

# NON-PERTURBATIVE EFFECTS IN HIGHER SPIN THEORIES

Alejandra Castro  
McGill University

R. Gopakumar, M. Gutperle, J. Raeymaekers, AC arXiv 1111.3381  
E. Hijano, A. Lepage-Jutier, A. Maloney, AC arXiv 1110.4117

# PRECISION HOLOGRAPHY

AdS

CFT



Gauge  
symmetries

Global  
symmetries



Vasiliev's Theory  
Massless higher  
spin fields

Solvable theory:  
Free,  
Minimal models

$$Z_{\text{CFT}}(\beta) = \text{Tr}_{\mathcal{H}}(e^{-\beta H})$$

$$\begin{aligned} Z_{\text{CFT}}(\beta) &= \text{Tr}_{\mathcal{H}}(e^{-\beta H}) \\ &= Z_{\text{HS}}(\beta) \\ &= \int_{\partial\mathcal{M}} [\mathcal{D}g \cdots] e^{-S_{\text{E}}} \end{aligned}$$

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Can we re-write the partition function as a sum over geometries?

Does a higher spin theory resemble at all Einstein gravity?

What do we expect (assume) a gravitational path integral looks like?

- ◆ Include changes in topology
- ◆ Admit a saddle point approximation

$$Z_{\text{HS}} = \sum_{\phi_{cl}} \exp \left( -\frac{1}{\hbar} S_E^{(0)} + S_E^{(1)} + \hbar S_E^{(2)} + \dots \right)$$



**Non-perturbative**  
(e.g. black holes)



**Loop corrections**  
(field fluctuations)

# OVERVIEW

**AdS<sub>3</sub>**



**CFT<sub>2</sub>**

state duality



Classical  
phase space



Spectrum  
states

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**AdS<sub>3</sub>**



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What did we learn?



# CHERN-SIMONS AND HIGHER SPIN

why is it easy to construct hs  
thys in 3d?  
Eqns are bacground independent

$$F = dA + A^2 = 0$$

$$\bar{F} = d\bar{A} + \bar{A}^2 = 0$$

$$A, \bar{A} \in SL(N, \mathbb{R})$$

$$A = \omega + \frac{1}{\ell} e$$

$$\bar{A} = \omega - \frac{1}{\ell} e$$

Linearized eom's  
describe spin- $s$  fields

$$s = 2, 3, \dots, N$$

$$g_{\mu\nu} \sim \text{Tr}(e_\mu e_\nu)$$

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**Not gauge invariant!**

A generalization to include infinite number of fields

$$SL(N) \rightarrow hs[\lambda]$$

$$s = 2, 3, \dots, \infty$$

$$\lambda \in \mathbb{R}$$

Can also add propagating d.o.f. : massive scalar field

$$m^2 = -1 + \lambda^2$$

# AdS<sub>3</sub>/CFT<sub>2</sub>

AdS<sub>3</sub>

Vasiliev's theory

$$hs[\lambda]$$

& one scalar

$$m^2 = -1 + \lambda^2$$

CFT<sub>2</sub>

W<sub>N</sub> minimal model

$$c \leq N - 1$$

$$\frac{SU(N)_k \otimes SU(N)_1}{SU(N)_{k+1}}$$

$$N, k \rightarrow \infty$$

$$\lambda = \frac{N}{k + N} \leq 1$$

# EVIDENCE... SO FAR...

## Asymptotic symmetries

Henneaux, Rey 1008.4579

Campoleoni, Fredenhagen, Pfenninger, Theisen 1008.744

Gaberdiel, Hartman 1101.2910

Campoleoni, Fredenhagen, Pfenninger 1107.0290

## Correlation functions

Chang, Yin 1106.2580, 1112.5459

Papadodimas, Raju 1108.3077

Ammon, Kraus, Perlmutter 1111.3926

## HS black holes

Gutperle, Kraus 1103.4304

Kraus, Perlmutter 1108.2567

Gaberdiel, Hartman, Jin 1203.0015

## Perturbative spectrum

Gaberdiel, Gopakumar, Hartman, Raju 1101.2910

Gaberdiel, Gopakumar, Saha 1009.6087

# BOUNDARY SPECTRUM

Easy to compute in the CFT dimensions of primaries

$$h(\Lambda_+, \Lambda_-)$$

$$h(f; 0) \xrightarrow{N, k \rightarrow \infty} \frac{1}{2}(1 + \lambda) \quad h(0; f) \xrightarrow{N, k \rightarrow \infty} \frac{1}{2}(1 - \lambda)$$

$$h(\Lambda, \Lambda) \xrightarrow{N, k \rightarrow \infty} \frac{\lambda^2}{N}$$

**What is the bulk interpretation?**

# 3D HIGHER SPIN GRAVITY

**Note:** Gravity  $\neq$  Chern-Simons

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Gravitational theory requires:

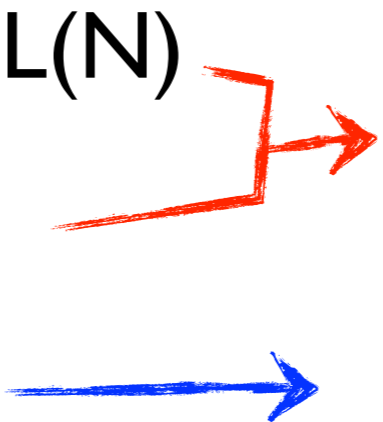
- ◆ Picking embedding of  $SL(2)$  in  $SL(N)$
- ◆ Imposing boundary conditions
- ◆ Allowing the topology to vary



# 3D HIGHER SPIN GRAVITY

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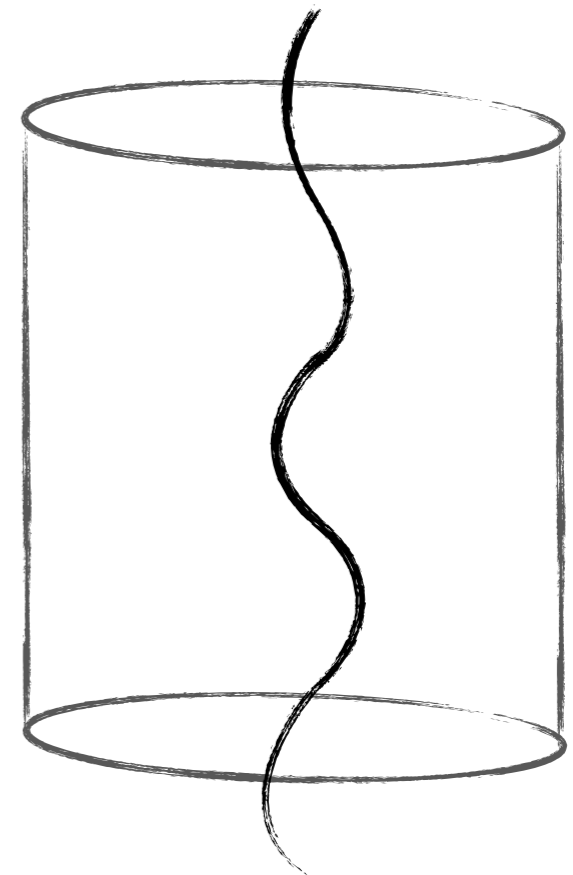
Gravitational theory requires:

- ◆ Picking embedding of  $SL(2)$  in  $SL(N)$
  - ◆ Imposing boundary conditions
  - ◆ Allowing the topology to vary
- Asymptotic AdS  
Exclude  $A=0$
- Black holes  
are welcomed
- 

# OBSERVABLE

**Goal:** To construct smooth solutions

What does that mean?

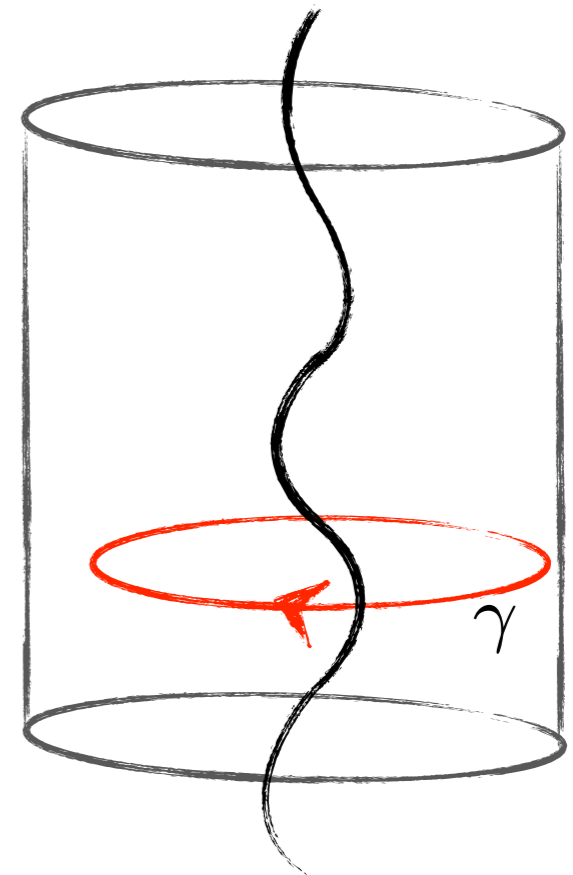


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$$\text{Hol}_\gamma(A) = \mathcal{P} \exp \left( \oint_\gamma A \right)$$



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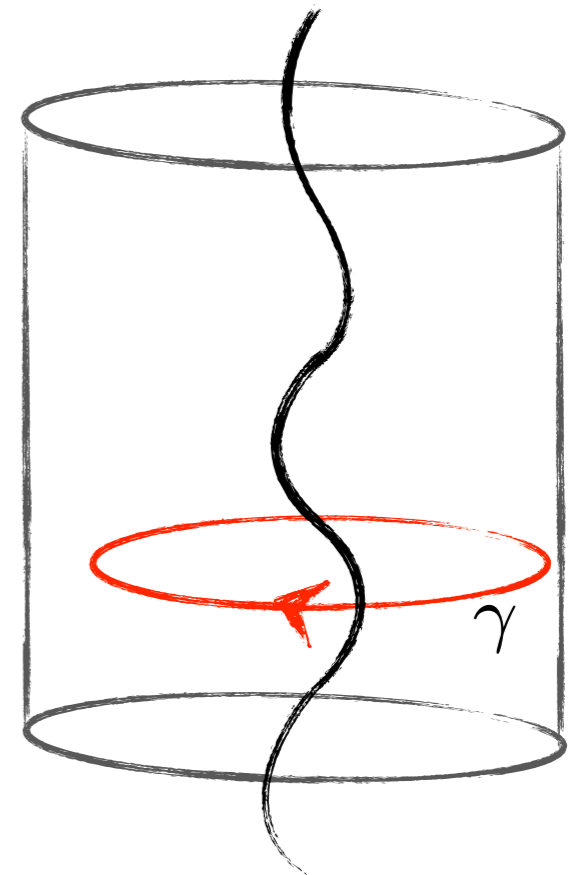
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**Perfect features:**

- ◆ Independent of metric
- ◆ Topological invariant
- ◆ Traces are gauge invariant!



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**Rule:** If holonomy is trivial around contractible cycle  
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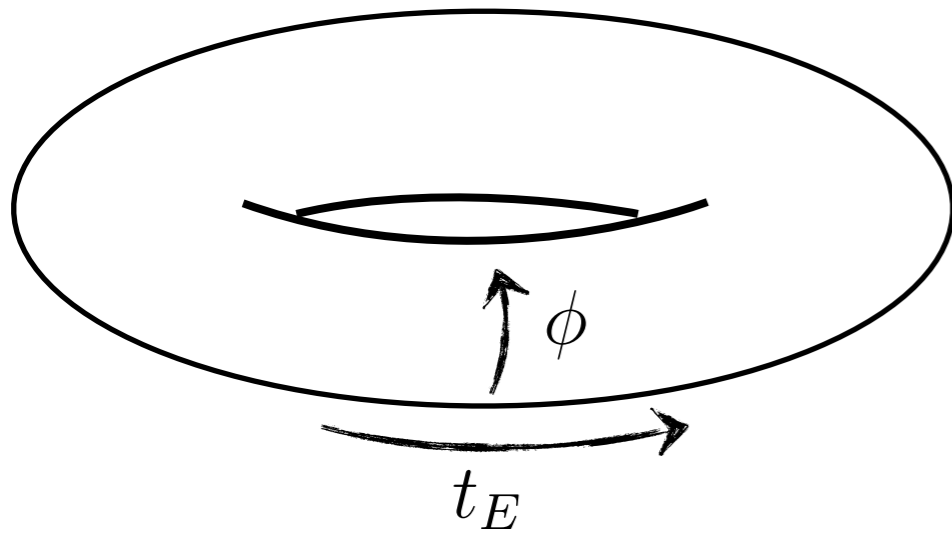
$$c \rightarrow \infty$$

$N$  fixed

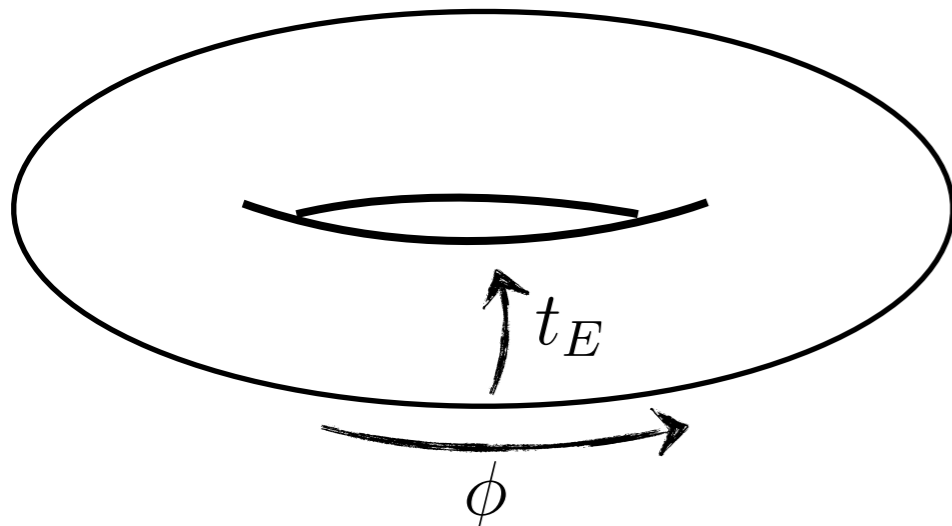
# BULK SPECTRUM

Consider the topology of a solid torus

thermal AdS



BTZ BH

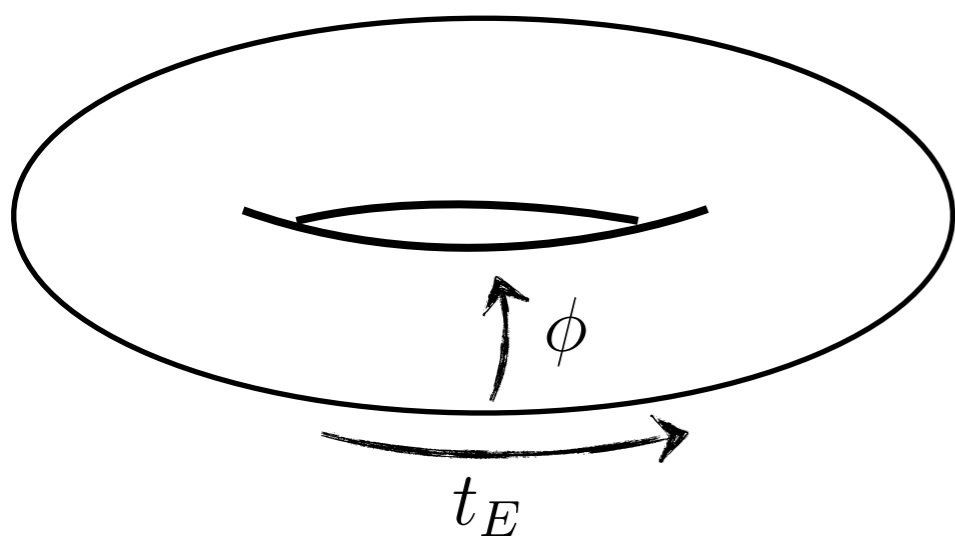




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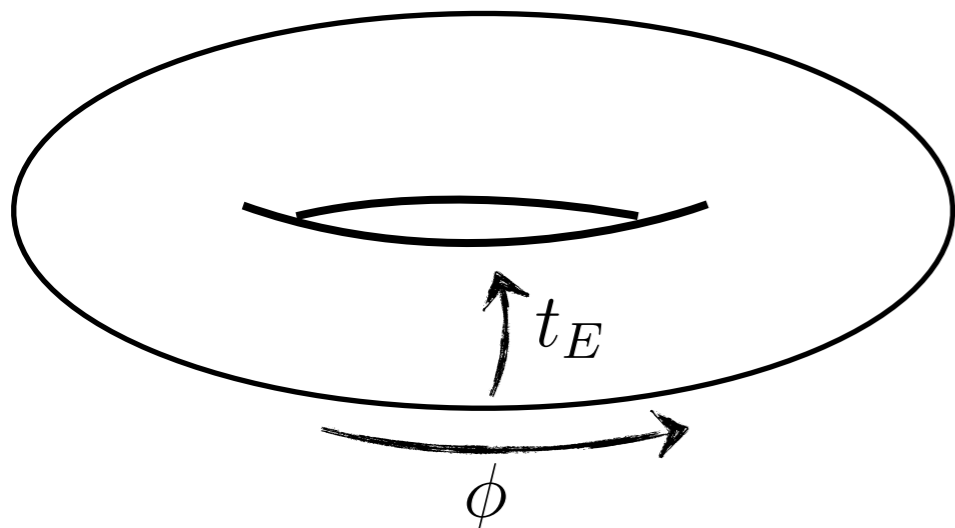
thermal AdS



$$\gamma_{\text{AdS}} : \phi \rightarrow \phi + 2\pi$$

$$\text{Hol}_\gamma(A) = 1$$

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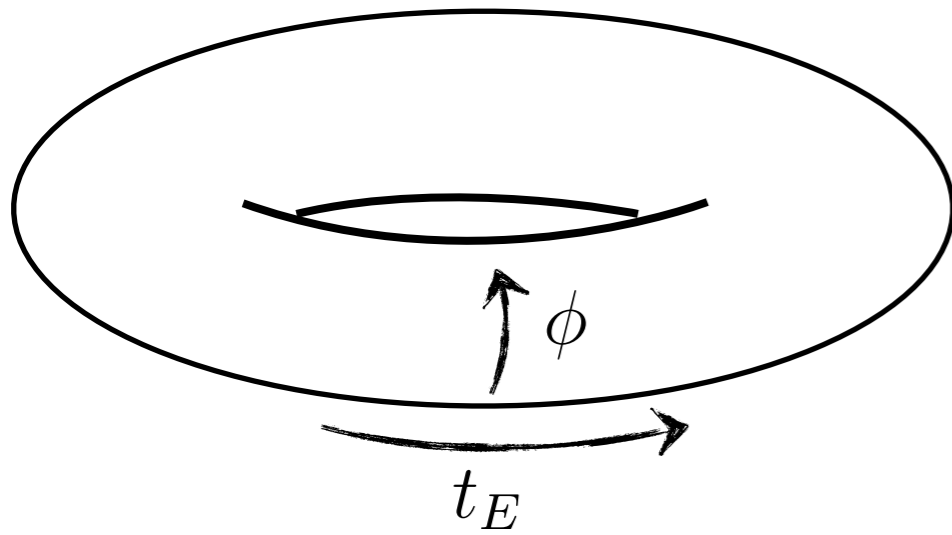


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# BULK SPECTRUM

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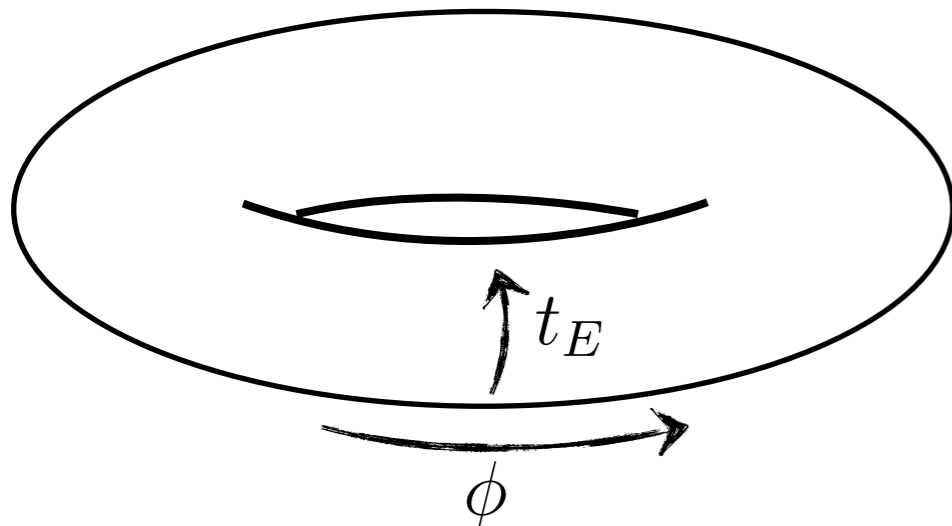
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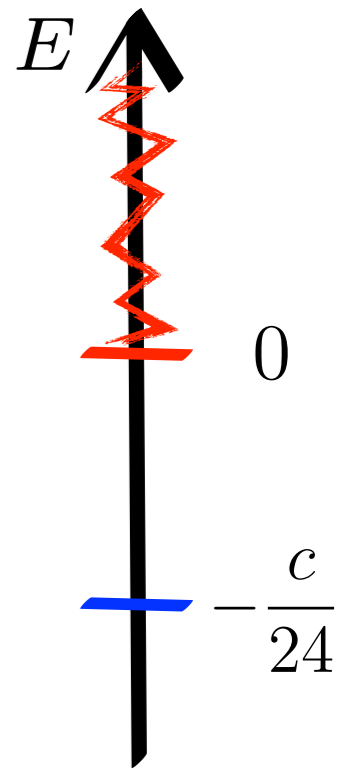
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Are there more smooth solutions?  
(with the same boundary conditions)

$$\text{Hol}_\phi(A_{\text{AdS}}) \sim \exp(2\pi i \lambda_{\text{AdS}})$$

$$\lambda_{\text{AdS}} = \left( -\frac{N-1}{2}, \dots, \frac{N-1}{2} \right)$$

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$$\lambda_i = m_i - \frac{m}{N} \quad m_i \in \mathbb{Z}$$

$$m = \sum_i m_i$$

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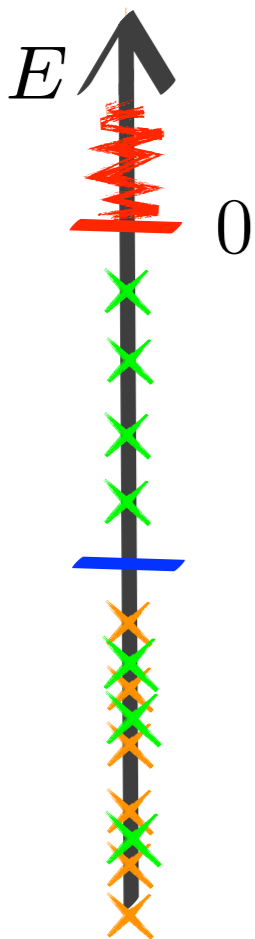
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✕ : States with degenerate eigenvalues

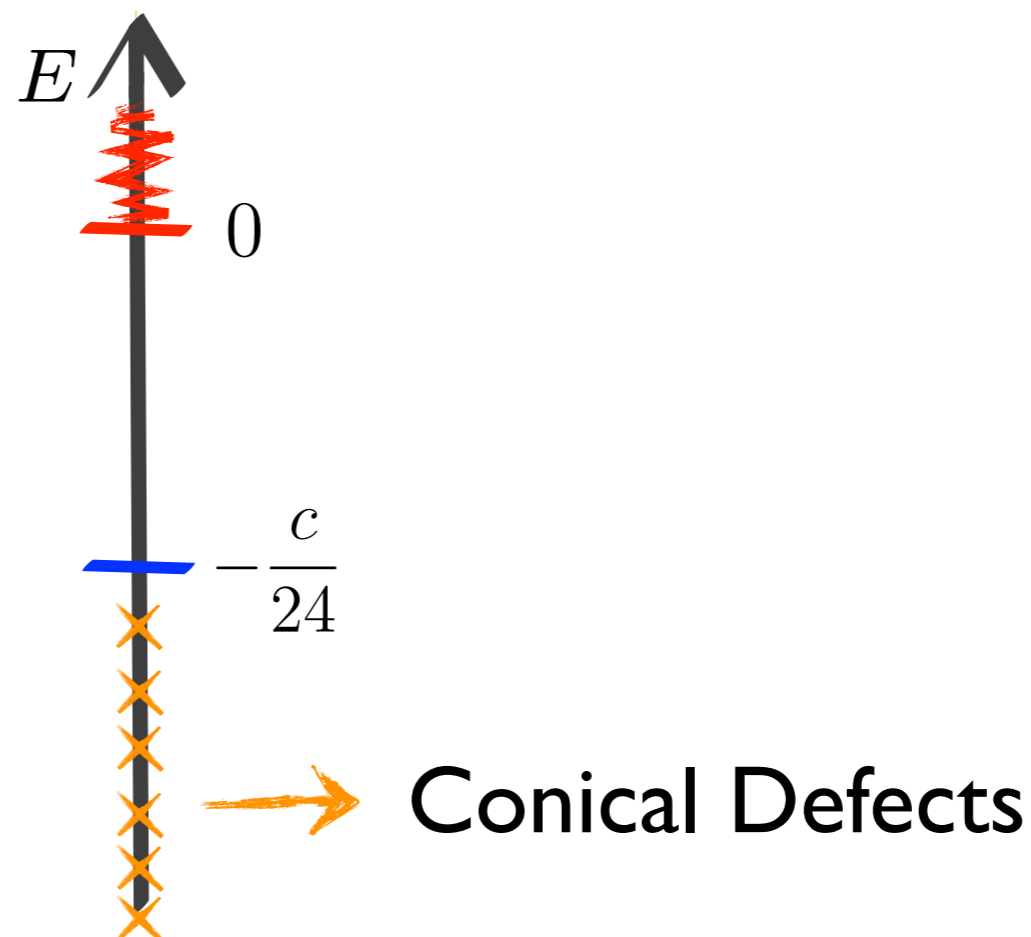
✕ : States with non-degenerate eigenvalues

Don't forget to impose boundary conditions

$$(A - A_{\text{AdS}})|_{\rho \rightarrow \infty} = \mathcal{O}(1)$$

The fall off of the connection restricts eigenvalues to be non-degenerate.

States  $\times$  we throw. States  $\times$  we keep.



# INTERPRETATION

Where does  $\times$  fit in the CFT spectrum?

Compare with  $h(\Lambda, \Lambda)$

# INTERPRETATION

## AdS

$$\begin{aligned}w_0^{(2)} &= -\beta_0 C_2(\lambda_n) \\w_0^{(3)} &= i\beta_0^{3/2} C_3(\lambda_n) \\&\vdots\end{aligned}$$

$$\beta_0 = \frac{c}{N(N^2 - 1)}$$

## CFT

$$\begin{aligned}w_0^{(2)} &= \alpha_0^2 C_2(\Lambda) \\w_0^{(3)} &= \alpha_0^3 C_3(\Lambda) \\&\vdots\end{aligned}$$

$$\begin{aligned}\alpha_0^2 &= \frac{1}{N(N+1)} - \frac{c}{N(N^2-1)} \\&\xrightarrow{c \rightarrow \infty} -\beta_0\end{aligned}$$



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Reliable for large central charge and fixed N

## CFT

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Unitary for central charge less than N

SL(N) states map via analytic continuation to light states of  $W_N$  minimal model

What happens in this limit to the other primaries?

$$h(f; 0) \xrightarrow{c \rightarrow \infty} -\frac{1}{2}(N - 1) \quad h(0; f) \xrightarrow{c \rightarrow \infty} -\frac{c}{2N^2}$$

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Bulk interpretation

$$h(f; f) = h(f; 0) + h(0; f) - \frac{N - 1}{N}$$



Conical



Scalar



Conical defect +  
sprinkle of scalar

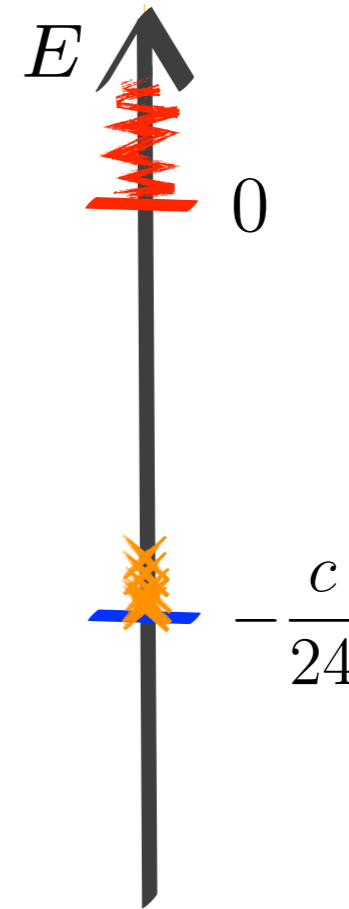
Is the black hole still the king (or queen)?

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Black dominates at high temperature,  
but what are we counting?

$$S_{\text{BH}} = S_{\text{Cardy}}$$

“Typical” gravity behavior:  
Hawking-Page transition



# CONCLUSIONS

RG flow equations in AdS/CFT

Are black holes truly big in HS thy?

What is Cardy's formula counting?

Did we learn anything new?