

Higher Spin Gravity from 2d CFT

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Bits, Branes, Black Holes @ KITP
March 29, 2012

Simplified Holography

- ▶ Introduction
- Minimal Model CFTs
- 3d Higher Spin Gravity
- Duality
- Black Holes

A goal

- Find a holographic duality simple enough to solve, but complicated enough to look like gravity in $d > 2$.

This talk

- Simple bulk: 3d higher spin gravity
- Simple boundary: 2d CFT with \mathcal{W}_N symmetry

Motivation

What makes holography tick?

- How does the radial direction emerge from renormalization?
in free field theory: Douglas, Mazzucato, Razamat '10
- What is a black hole? (Coarse-graining of microstates, information retrieval, etc.)
- How general is holography? (de Sitter, cosmology, etc.)

Higher Spin Gravity

Gravity plus large (or infinite) number of massless fields,

$$A_{\mu_1 \cdots \mu_s}$$

with spins

$$s = 0, 1, 2, 3, 4, \cdots ,$$

Spin-2 = graviton. Massless higher spin fields mean very large gauge symmetry extending diffeomorphism invariance.

Consistent interacting theory exists for $\Lambda \neq 0$

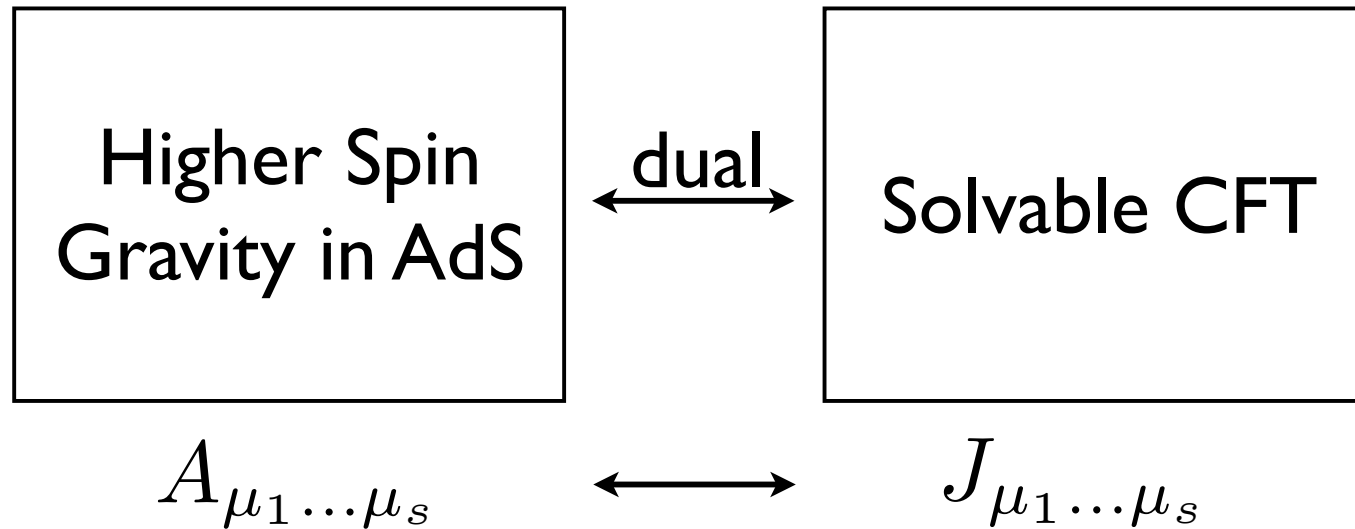
Fradkin and Vasiliev, 1987

Vasiliev, 1990

Toy model for string theory in the stringy limit

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Higher Spin Dualities

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d=4 gravity

- Vasiliev gravity in $\text{AdS}_4 \longleftrightarrow \text{O}(N) \text{CFT}_3$
- Similar construction in 4d de Sitter

Fronsdal '79
Witten
Sundborg
Mikhailov
Sezgin & Sundell
Klebanov & Polyakov
Giombi & Yin
etc...

d=3 gravity

- Vasiliev gravity in $\text{AdS}_3 \longleftrightarrow \text{W}_N \text{CFT}_2$
 - ▶ Gravity side is simpler than 4d
 - ▶ Interacting, solvable CFT duals

Campoleoni et al
Henneaux & Ray
Gaberdiel & Gopakumar
Gaberdiel & TH
etc.

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Minimal Model CFTs

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BPZ Virasoro Minimal Models

Belavin, Polyakov, Zamolodchikov '84

- Central charge $c < 1$
- Ising model, etc
- Rational: finite number of primaries

W_N Minimal Models

- $c < N - 1$; allows for large N , classical limit in the bulk
- Extended conformal symmetry: W_N instead of Virasoro
- Rational and exactly solvable

Extended Conformal Symmetry

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3d CFT

- Maldacena and Zhiboedov 2011: A single conserved current with spin >2 implies an infinite set of conserved higher spin currents, and the $\langle JJJ\dots \rangle$ correlators are those of a free theory.

2d CFT

- There is no such theorem. Interacting theories with a finite set of higher spin currents can be constructed explicitly.
- **Definition of W-algebra:** An extension of the Virasoro algebra by higher spin currents.

- Example: \mathcal{W}_3

▶ Extended chiral algebra: spin-2: $T(z)$ spin-3: $W(z)$

Zamolodchikov '85

- Example: \mathcal{W}_N

▶ Higher spin currents of all spins $s=2,\dots,N$

W_N Minimal Models

W_N specifies the symmetries. The simplest CFTs with this symmetry are the “minimal models”:

$$\frac{SU(N)_k \times SU(N)_1}{SU(N)_{k+1}} \quad c < N - 1$$

- $N=2$ gives the $c < 1$ Virasoro minimal models -- Ising, etc.
- For $k = \infty$ this is the singlet sector of $N-1$ free bosons

These are solvable CFTs, ie we can compute:

- Dimensions and charges of primary operators
- Partition function
- All correlation functions
- W -algebra commutation relations

... at least in principle

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3d Gravity/Chern-Simons

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AdS₃ gravity is related to Chern-Simons gauge theory

Achucarro, Townsend '86
Witten '88

$$g_{\mu\nu} \rightarrow \omega, e \rightarrow A_{\pm} = \omega \pm e$$

$$S_{Einstein} = \frac{k}{4\pi} \int \text{tr} \left(A_- dA_- + \frac{2}{3} A_-^3 \right) - \frac{k}{4\pi} \int \text{tr} \left(A_+ dA_+ + \frac{2}{3} A_+^3 \right)$$

$$A_{\pm} \in SL(2, R)$$

$$k = \frac{\ell_{AdS}}{4G_N}$$

Comments:

- This sector of the theory is topological. Any extra matter is not.
- These theories are inequivalent. For our purposes, the CS action is just a rewriting of the Einstein action in convenient variables, and should not be thought of as a gauge theory.

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Enlarge the gauge group

$$sl(2, R) \rightarrow g$$

Finite N

$$g = sl(N) , \quad \text{spins} = 2, 3, \dots, N$$

Infinite N (all spins > 1)

$$g = sl(\infty)$$

$$g = hs(\lambda) \leftarrow \text{non-integer } sl(\lambda)$$

Fradkin, Vasiliev '80s
Blencowe '88
etc.

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Comments

- We will discuss the one-parameter family of higher spin theories based on the infinite Lie algebra $hs(\lambda)$
- Translation back to metric-like variables is known implicitly, but complicated
- In $d > 3$, action is unknown, and truncation to any finite number of higher spins is impossible

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The Conjecture

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A duality between

Gaberdiel, Gopakumar '10

- The 3d higher spin gravity theory based on $hs(\lambda)$ plus two additional scalar matter fields ϕ_{\pm} with masses

$$M^2 = -1 + \lambda^2$$

- The 2d W_N minimal model CFT at level k , with large N

with the tunable 't Hooft-like coupling

$$\lambda = \lim_{N, k \rightarrow \infty} \frac{N}{N + k} \quad , \quad 0 < \lambda < 1$$

$$c \approx N(1 - \lambda^2)$$

Evidence

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Symmetries

Spectrum of Primaries

Correlation functions

Black holes

Bulk Symmetries

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Brown & Henneaux computed asymptotic symmetries in ordinary AdS₃ gravity:

$$sl(2) \rightarrow \text{Virasoro}$$

In higher spin gravity, there is a similar enhancement to a W-algebra:

$$hs(\lambda) \rightarrow \mathcal{W}_\infty(\lambda)$$

Campoleoni et al
Henneaux & Ray
Gaberdiel & TH

$\mathcal{W}_\infty(\lambda)$ is a nonlinear algebra with an infinite number of conserved currents.

Matching Symmetries

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The symmetry in the CFT at finite N is

$$\mathcal{W}_N$$

So the duality requires

$$W_\infty[\lambda] = \lim_{N, k \rightarrow \infty} W_N \quad \text{with} \quad \lambda = \frac{N}{N+k}$$

This is unproven but appears to be true

Gaberdiel, TH
Gaberdiel, Gopakumar, TH, Raju

- (Evidence = properties of degenerate representations)
- The higher spin algebra $hs(\lambda)$ is hiding inside \mathcal{W}_N in the 't Hooft limit

Spectrum

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Bulk

Gauge fields + two complex scalars, with

$$h_{\pm} = \frac{1}{2} \left(\frac{3}{2} \pm \sqrt{\frac{9}{4} + m^2 \ell^2} \right) = \frac{1 \pm \lambda}{2}$$

CFT

States in the coset theory are labeled by two representations of $SU(N)$. Examples:

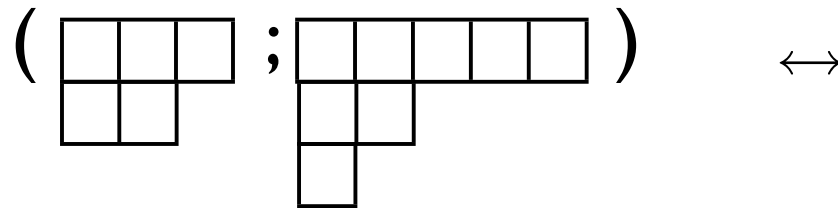
$$\frac{SU(N)_k \times SU(N)_1}{SU(N)_{k+1}}$$

$$\left(\mathbf{0} ; \square \right) \quad h = \frac{1 - \lambda}{2} \quad \text{dual to first scalar}$$

$$\left(\square ; \mathbf{0} \right) \quad h = \frac{1 + \lambda}{2} \quad \text{dual to other scalar}$$

Spectrum II

More complicated reps



multiparticle state
of bulk scalars

A problem with light states

CFT states of the form $(\Lambda; \Lambda)$ are very light

$$h \sim \frac{\lambda^2}{N}$$

but have (so far) no clear bulk interpretation. cf: S. Shenker's talk.

Spectrum & Correlators

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Possible Escapes:

- Drop one of the scalars; resulting theory is consistent perturbatively but not modular invariant **Chang & Yin**
- Lots of classical solutions in the bulk, which account for these additional states **Castro, Gopakumar, Gutperle, Raeymaekers**

Quick Summary

- Excluding light states, bulk spectrum and CFT spectrum match exactly as $N \rightarrow \infty$
Gaberdiel & Gopakumar
Gaberdiel, Gopakumar, TH, Raju
- The 't Hooft limit is nice: ie, correlators factorize.
- Certain correlators have been computed in Vasiliev theory in the bulk, and matched to the CFT
Chang & Yin
Ammon, Kraus, & Perlmutter

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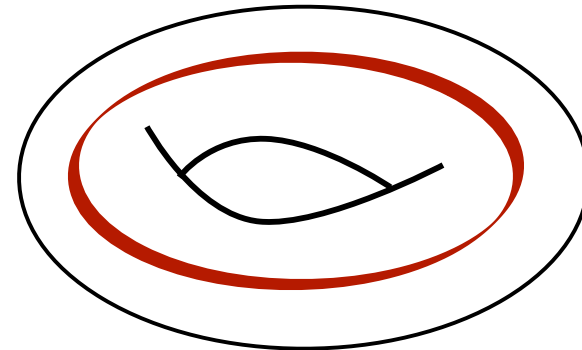
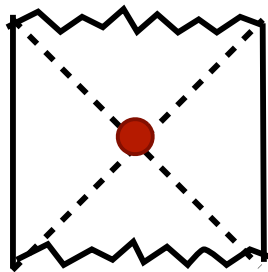
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Black Holes in Higher Spin Gravity

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What is a black hole?

- Lorentzian: Classical solution with a horizon
- Euclidean: smooth, solid torus



However,

- The metric and gauge fields mix under higher spin gauge transformations
- Thus Ricci and causal structure are *not* gauge invariant.
 - ▶ What is “smooth”? What is a “horizon”?

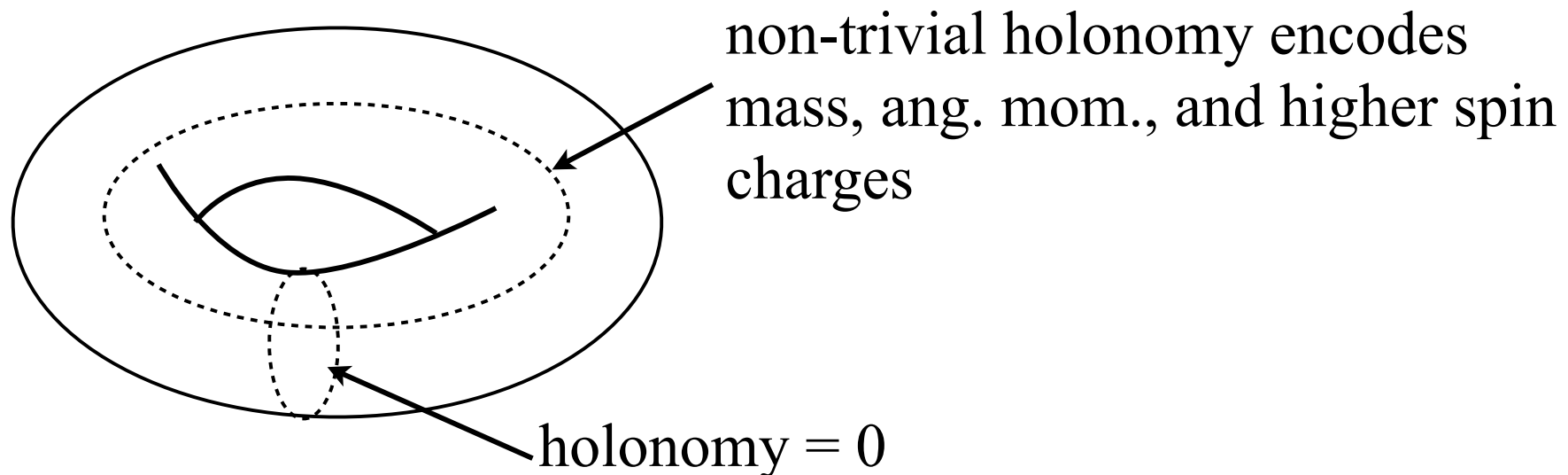
Black Holes in Higher Spin Gravity

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- The Chern-Simons description is useful to define black holes in a gauge-invariant way
- Gauge invariant data = holonomies of the Chern-Simons gauge field $A \in \mathfrak{hs}(\lambda)$

$$P \exp \oint A$$

“Black hole” = flat connection on a torus, with vanishing holonomy around one cycle



Phase structure?

BTZ black hole dominates the free energy at asymptotically high temperatures,

$$T \gg c$$

where the CFT obeys the Cardy formula. (cf. 4d)

Otherwise the phase structure is completely unknown, in both bulk and CFT.

- Is there a Hawking-Page transition at $T \gtrsim 1$?
- Or perhaps a Shenker-Yin-like transition at Planck temperatures?
- Do other saddles dominate at other temperatures?

Although exact CFT partition function is known, it is too complicated to solve this problem by brute force.

Instead I will discuss charged black holes at very high temperature.

Charged Black Holes

The black hole in $hs(\lambda)$ -gravity carrying spin-3 charge has been constructed explicitly.

Gutperle & Kraus '11
Ammon, Gutperle, Kraus, & Perlmutter
Castro, Hijano, LePage-Jutier, & Maloney
Kraus & Perlmutter

Parameters

$L_0, \bar{L}_0 = \text{mass} \pm \text{ang. mom.}$

$Q_3, \bar{Q}_3 = \text{spin-3 charges}$

Potentials

$\tau, \bar{\tau} = \text{inverse Hawking temperature}$

$\mu, \bar{\mu} = \text{spin-3 potential}$

Smoothness

- The zero-holonomy condition relates charges to potentials,

$$L_0 = L_0(\tau, \mu; \lambda) \quad Q_3 = Q_3(\tau, \mu; \lambda)$$

Aside: RG Flows

Ammon, Gutperle, Kraus, & Perlmutter

Turning on a higher spin potential is like deforming the CFT by an irrelevant operator,

$$S_{cft} \rightarrow S_{cft} + \mu \int d^2 z W(z)$$

Therefore these black holes violate the original Brown-Henneaux boundary conditions.

However:

- ▶ It is asymptotically AdS in a different higher-spin gauge
- ▶ A different set of higher spin fields are identified as “metric” in the UV
- ▶ Thus these black holes describe an RG flow between two CFTs

Black Hole Entropy

Horizon area / Wald entropy is not higher-spin-gauge invariant. But Wald entropy was designed to integrate the first law of thermodynamics, so we might as well just do this directly:

$$L_0 \sim \partial_\tau \log Z$$

$$Q_3 \sim \partial_\mu \log Z$$

$$\Rightarrow Z = \text{Tr} e^{2\pi i(\tau L_0 + \mu Q_3)}$$

Smoothness (zero-holonomy) condition gives the charges.
Integrating the thermodynamic relations gives the free energy,

Thermodynamics

Bulk partition function

$$\log Z = \frac{i\pi c}{12\tau} \left[1 - \frac{4\mu^2}{3\tau^4} + \frac{400\lambda^2 - 7\mu^4}{27\lambda^2 - 4\tau^8} - \frac{16005\lambda^4 - 85\lambda^2 + 377\mu^6}{27(\lambda^2 - 4)^2\tau^{12}} + \dots \right]$$

Kraus & Perlmutter

$$\text{entropy} = (1 - \tau\partial_\tau - \mu\partial_\mu) \log Z$$

- this should equal the on-shell action of the Vasiliev theory, but this computation has not been done.
- first term is the Cardy formula Strominger '97

CFT partition function

Gaberdiel, TH, Jin

- Compute $Z = \text{Tr} e^{2\pi i(\tau L_0 + \mu Q_3)}$ in a CFT with $\mathcal{W}_\infty(\lambda)$
- At high temperature, result agrees exactly with the bulk
- In what regime does this formula apply to minimal models?

Conclusions

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Summary

- 3d higher spin gravity = 2d CFT with W_N symmetry

The Future

- Can we use these models to tackle difficult issues in holography and quantum gravity, like RG, de Sitter space, information paradox, etc.?

Jevicki and Jin
Douglas, Mazzucato, & Razamat
etc.