

# The black hole information paradox

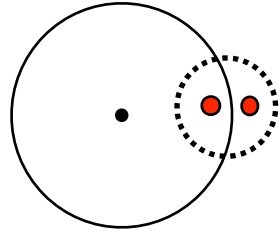
*Santa Barbara 2012*

## 1. The information paradox

Hawking argument can be made rigorous

Inequality

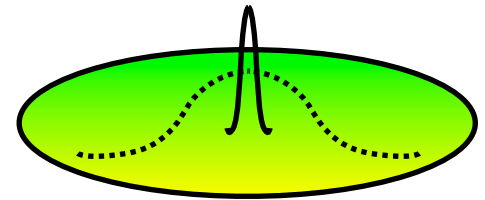
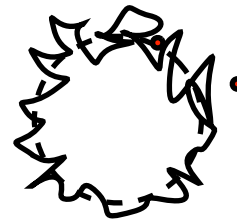
(arXiv: 09091038)



## 2. Constructing microstates ....

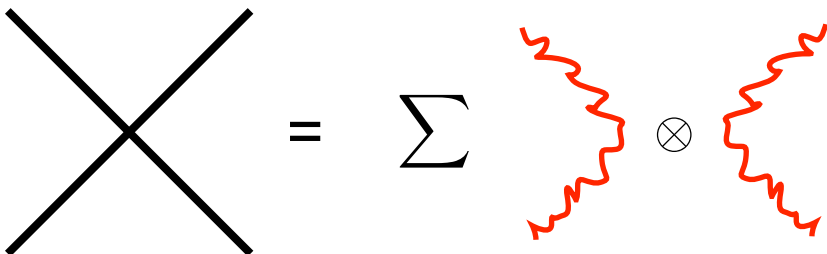
Find no regular horizons (fuzzballs)  
(‘hair’ in string theory)

Collapsing shell: wavefunction spreads over large phase space of solutions

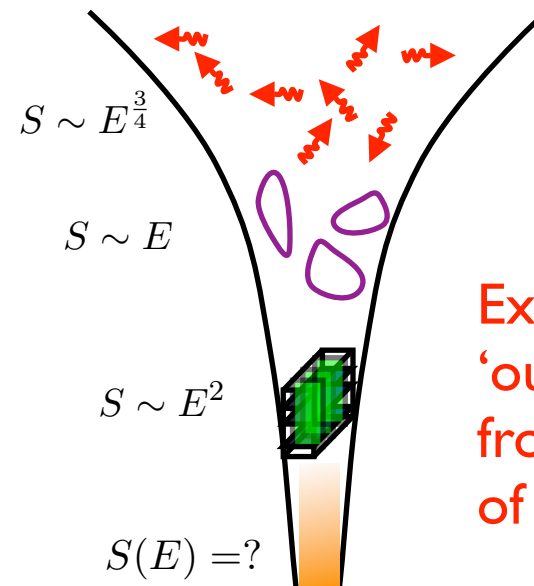


## 3. The infall problem

For generic microstates, is there a sense in which infalling observers see traditional black hole physics in some approximation ?



## 4. Cosmology

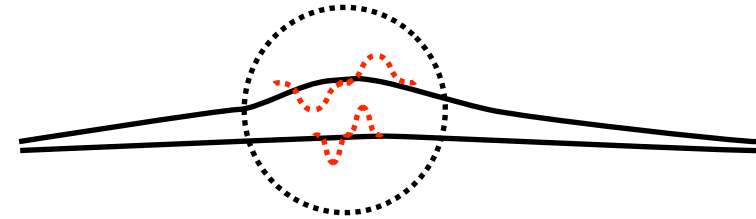


Extra  
‘outwards ‘push’  
from level density  
of microstates ?

# What is the information paradox ?

(i) The full theory of nature includes quantum gravity

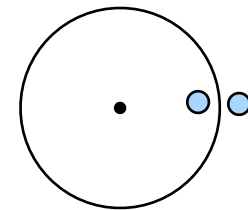
(ii) There is a limit in which we get semiclassical physics, where quantum gravity effects are small



(iii) This semiclassical limit breaks down when curvatures are planck scale



(iv) Hawking 'theorem': There must be a second mode of breakdown which does not involve planck scale curvature.



If there is no such second mode, then black hole evaporation will lead to information loss / remnants

Question for any theory of gravity:

Is there a second mode of breakdown for the semiclassical approximation ?

If yes, what is it ?

What are the conditions under which it happens ?  
(It must happen in the good slicing of the black hole, but not in a good slice through our room)

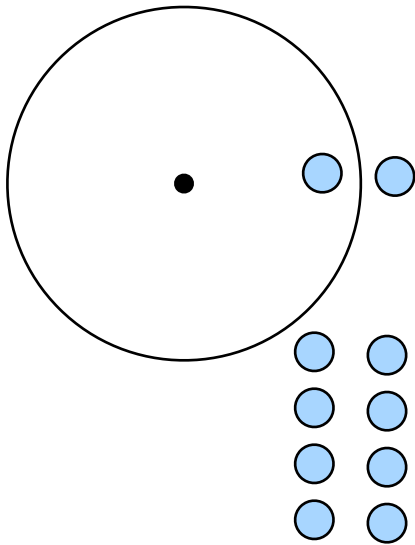
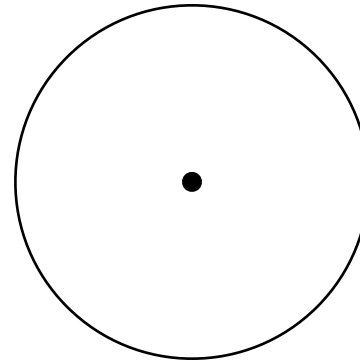
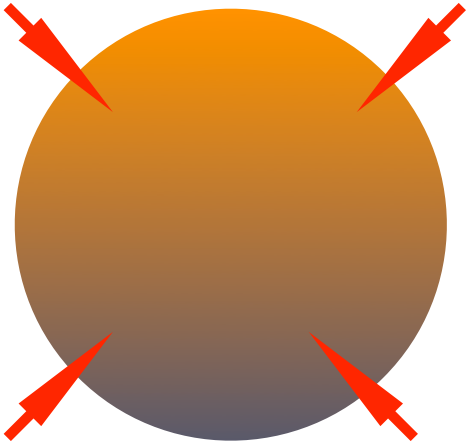
Different theories of gravity behave differently ...

Canonically quantized gravity: information loss/remnants

Loop quantum gravity: slow leaking remnants ...

String theory ... Information in Hawking radiation ...

# The information problem



$\Psi_M$

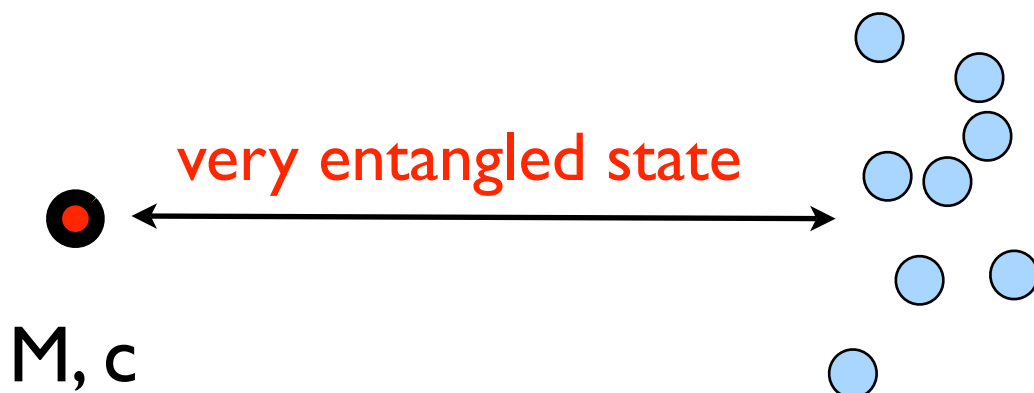
$$\otimes |0\rangle_1 |0\rangle_{1'} + |1\rangle_1 |1\rangle_{1'}$$

$$\otimes |0\rangle_2 |0\rangle_{2'} + |1\rangle_2 |1\rangle_{2'}$$

...

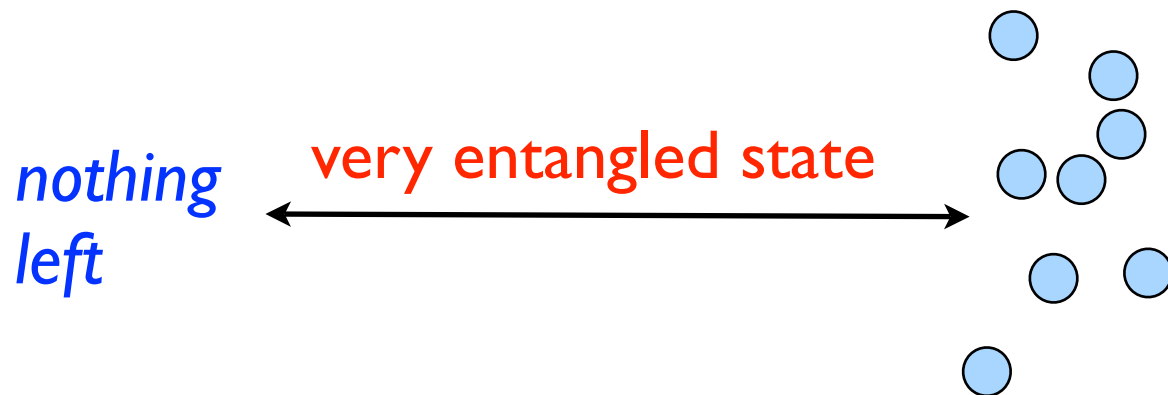
$$\otimes |0\rangle_n |0\rangle_{n'} + |1\rangle_n |1\rangle_{n'}$$

# Possible endpoints



Remnant

Planck mass, planck sized objects with unbounded degeneracy

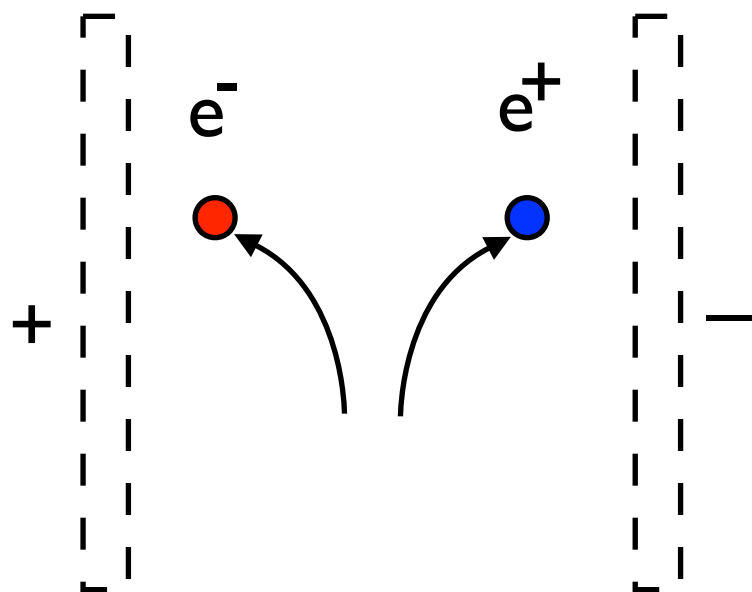


Radiation can only be defined by density matrix

No wavefunction can be written for the radiation

State of radiation is 'mixed' in a fundamental way

Let us first look at the Schwinger process ...



entangled  
pair

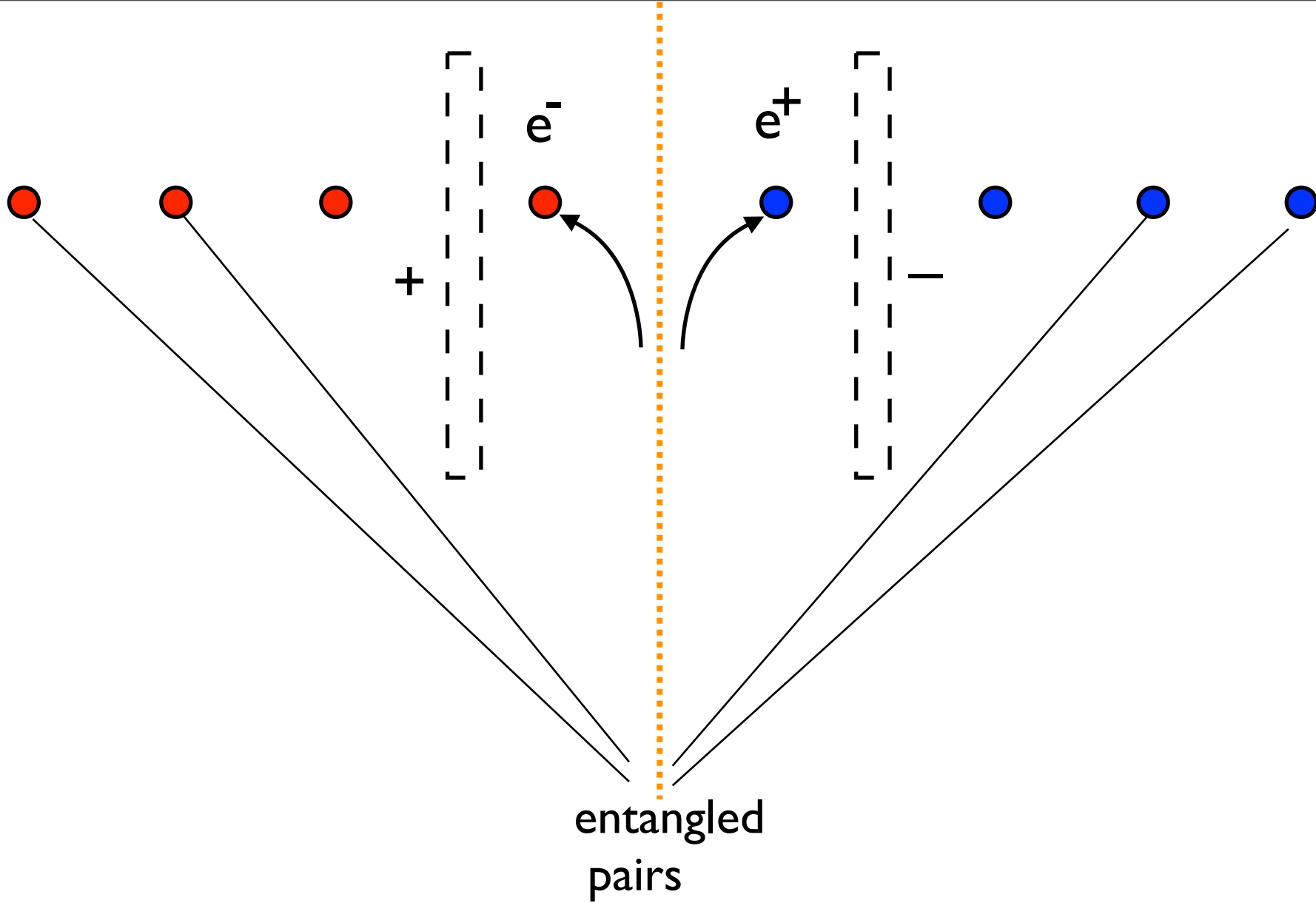
Schwinger pair production

State of created quanta is entangled

$$\uparrow\downarrow - \downarrow\uparrow$$

Entanglement entropy

$$S_{ent} = \ln 2$$

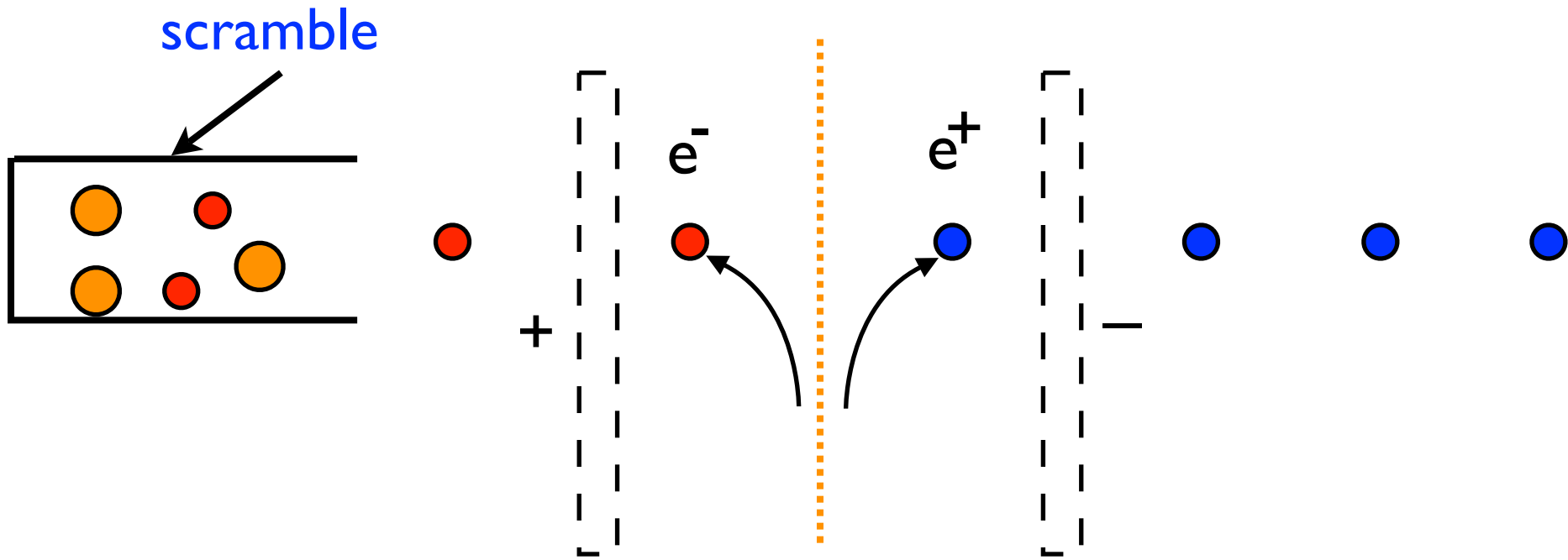


After  $N$  steps, the leading order computation gives

$$S_{ent} = N \ln 2$$



Can we change something so that  $S_{ent}$  becomes close to **zero** ?



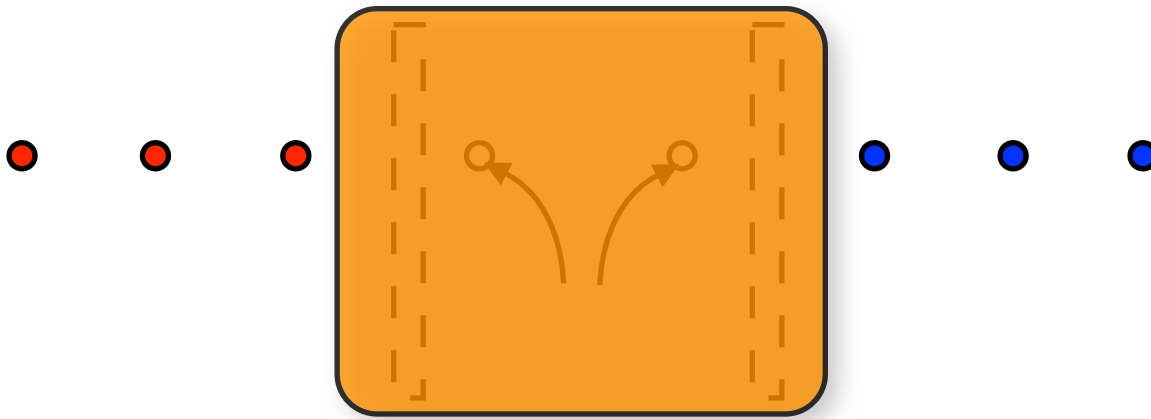
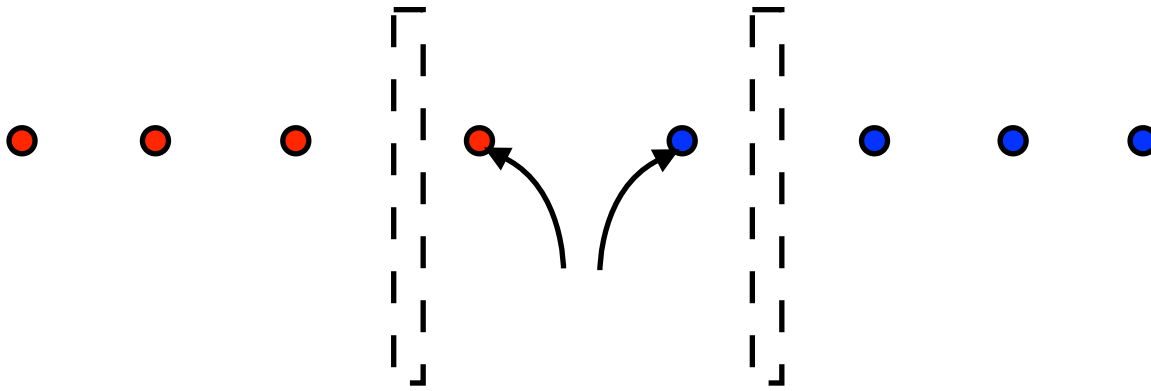
Scrambling the quanta that have already been created does not change the entanglement at all ....

$$\Psi = \sum_i C_i \psi_i \otimes \chi_i$$

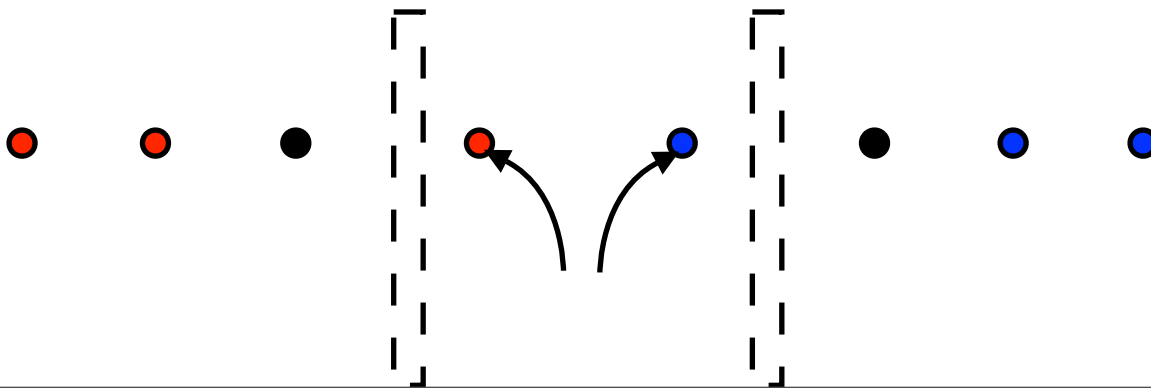
$$\psi_i \rightarrow U_{ij} \psi_j$$

$$S_{ent} = \sum_i |C_i|^2 \text{ remains unchanged}$$

# Large corrections, occurring very infrequently, don't help either ...

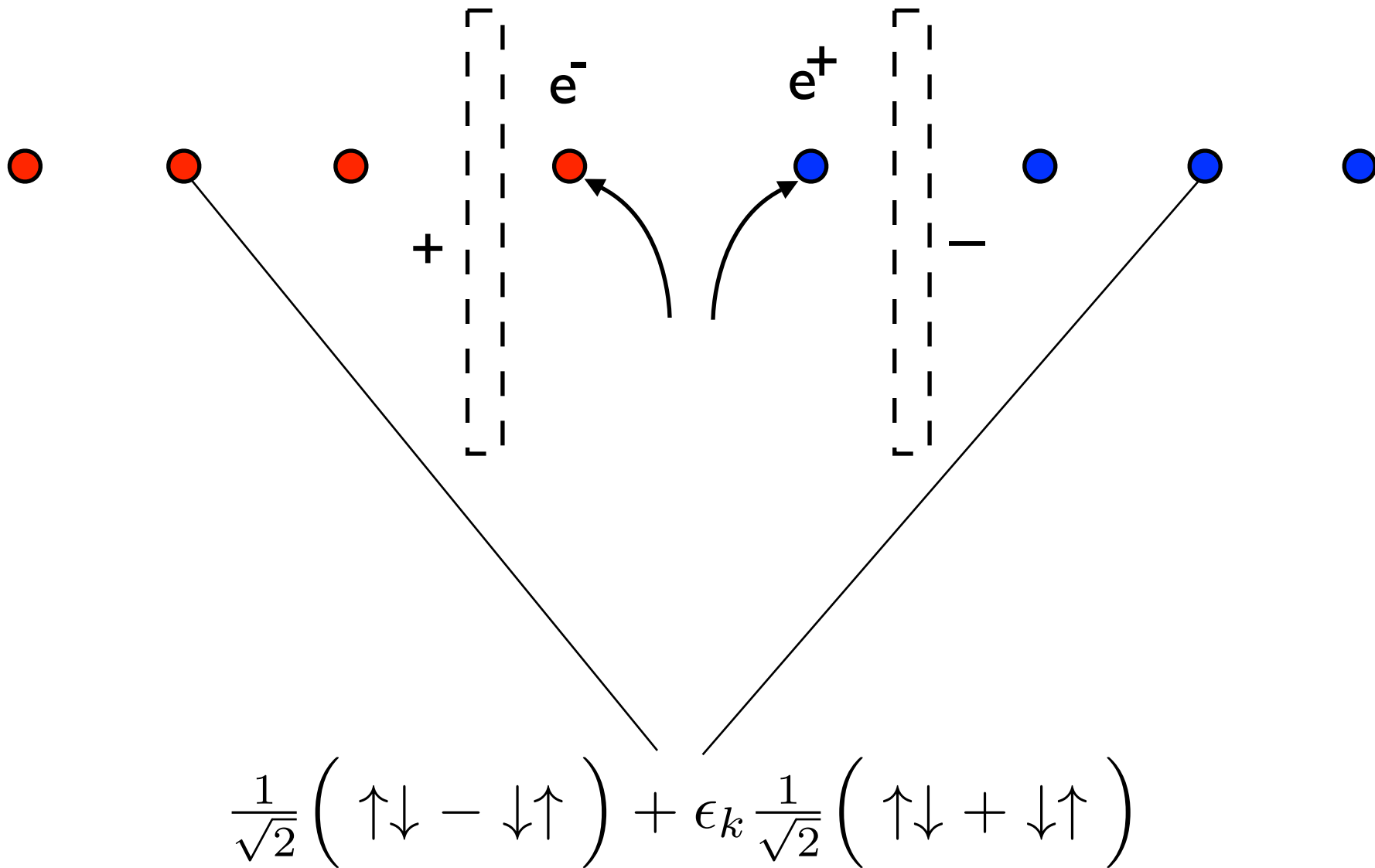


System can tunnel into another state with an exponentially small probability



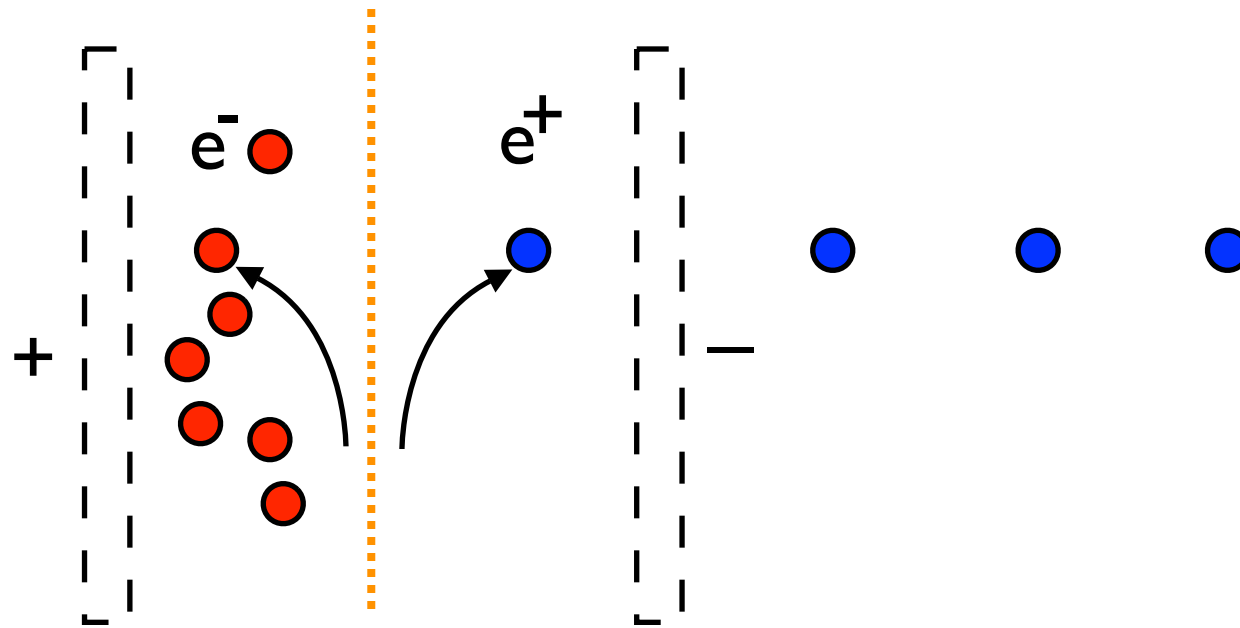
Produce one pair that may not be entangled ...

Small correction at each step, large number of pairs N ...



Its not completely obvious, but it can be shown that this does not help either ...

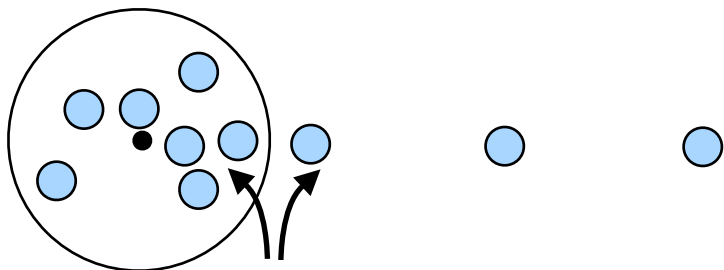
We **would** get a significant modification if the earlier created quanta did not move away ....



$$\frac{1}{\sqrt{2}} \left( \uparrow\downarrow - \downarrow\uparrow \right) \rightarrow \frac{1}{\sqrt{2}} \left( \uparrow\downarrow - \downarrow\uparrow \right) + \alpha_k \frac{1}{\sqrt{2}} \left( \uparrow\downarrow + \downarrow\uparrow \right)$$

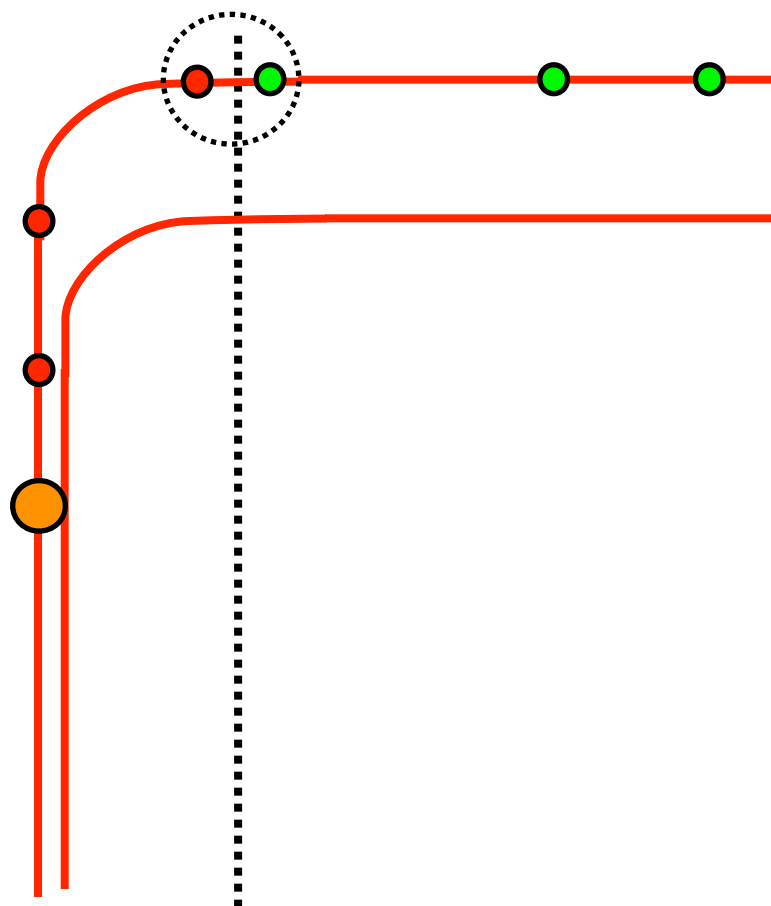
with  $\alpha_k = O(1)$

In any normal warm body, e.g. a star, we can have radiation, leading to entanglement ...

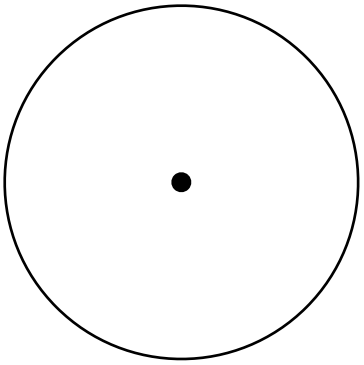


State of new pair will be corrected to order unity by interaction with earlier created quanta ...

But in the black hole we have a horizon, and then the older quanta get flushed away ...



# Structure of the black hole



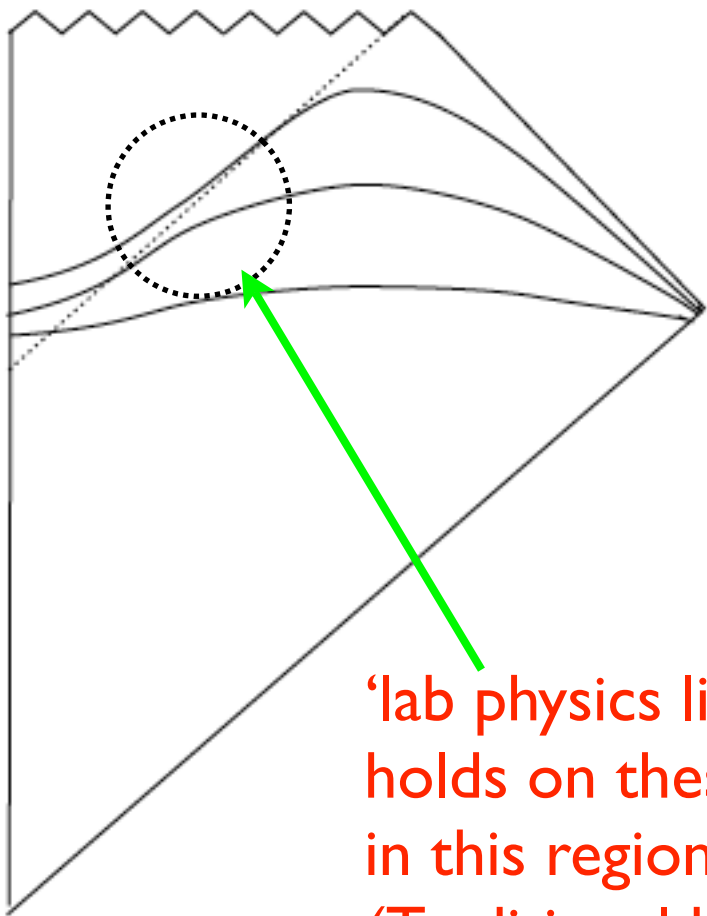
The black hole is described by the Schwarzschild metric

$$ds^2 = - \left(1 - \frac{2M}{r}\right) dt^2 + \frac{dr^2}{\left(1 - \frac{2M}{r}\right)} + r^2 (d\theta^2 + \sin^2 \theta d\phi^2)$$

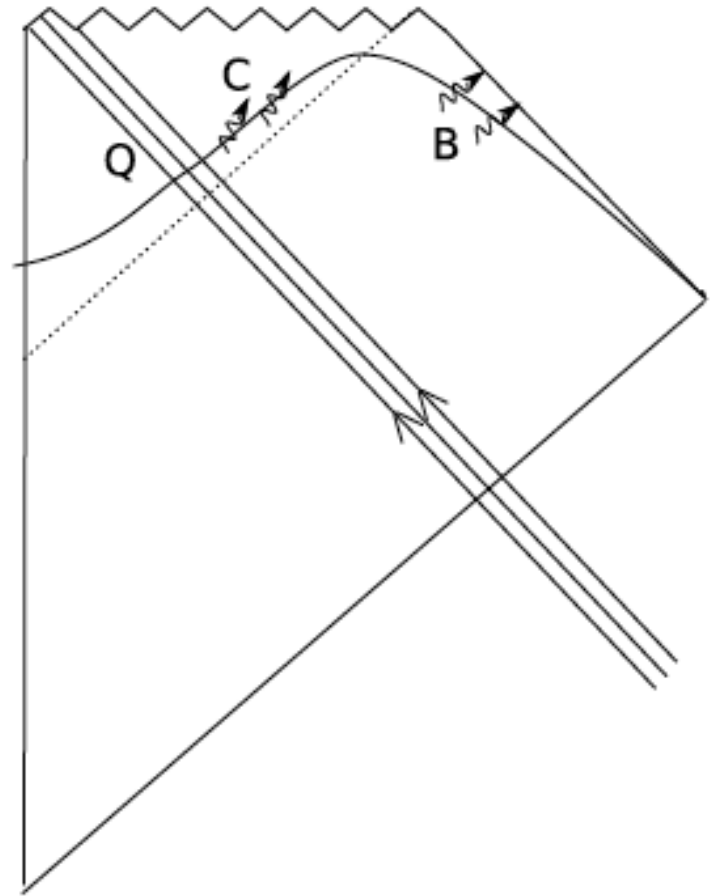
*Crucial point about the black hole:*

For  $r > 2M$  the surface  $t = \text{constant}$  is spacelike

For  $r < 2M$  the surface  $r = \text{constant}$  is spacelike

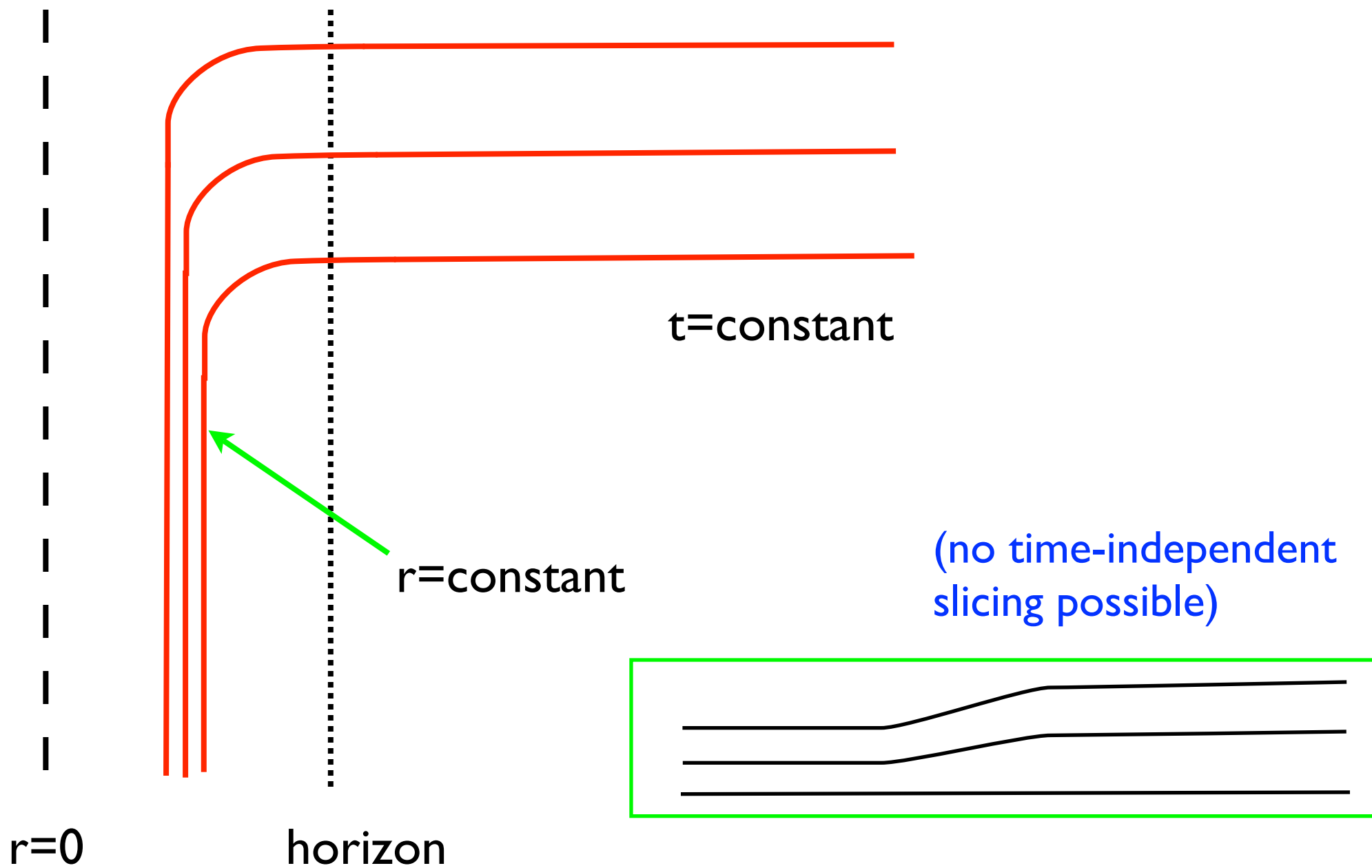


'lab physics limit'  
holds on these slices  
in this region  
(Traditional horizon)



The infalling matter, and the created pairs, are all at low energy on the slice

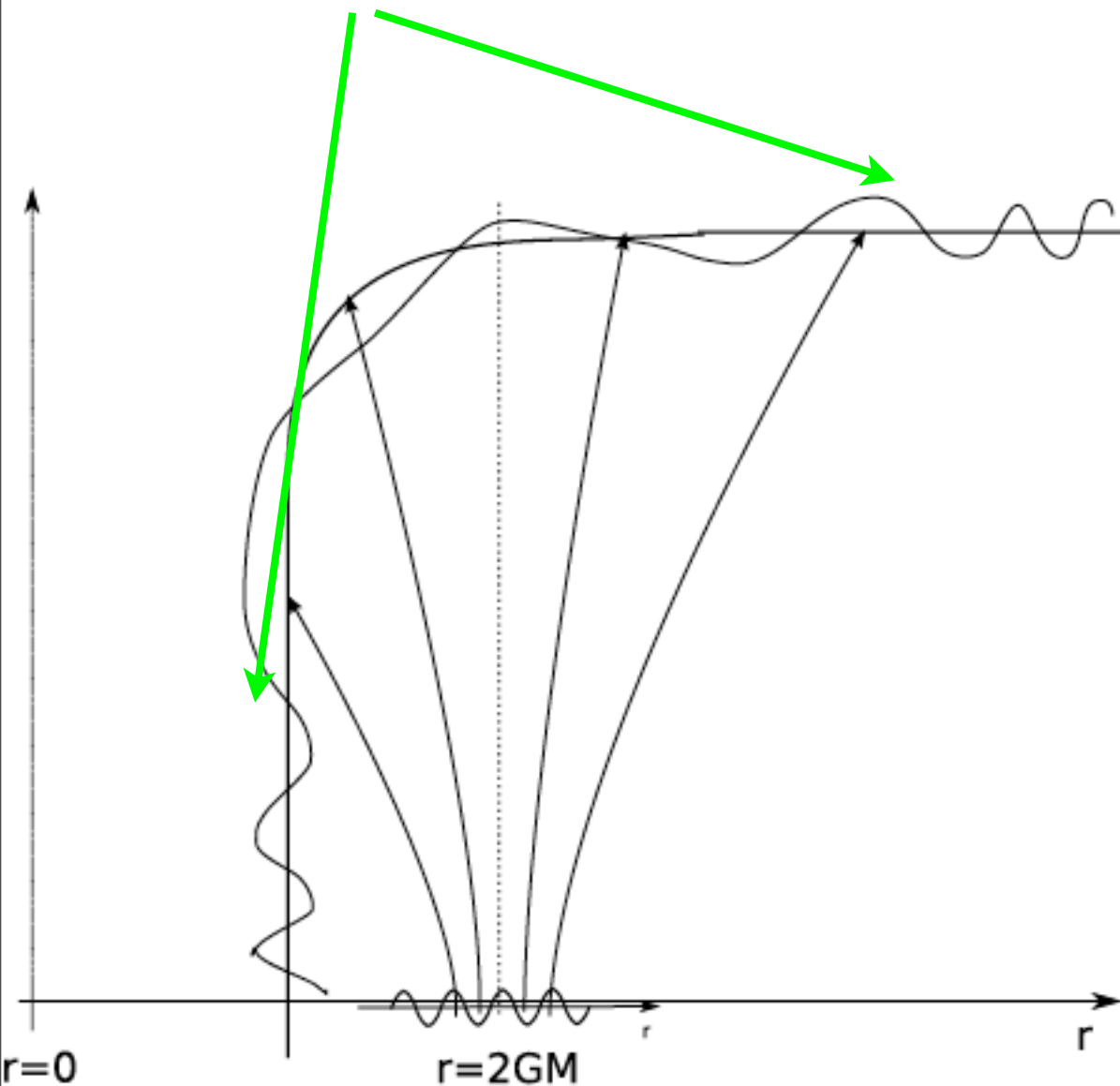
# The spacelike slices in a schematic picture





# The Hawking process

Entangled pairs



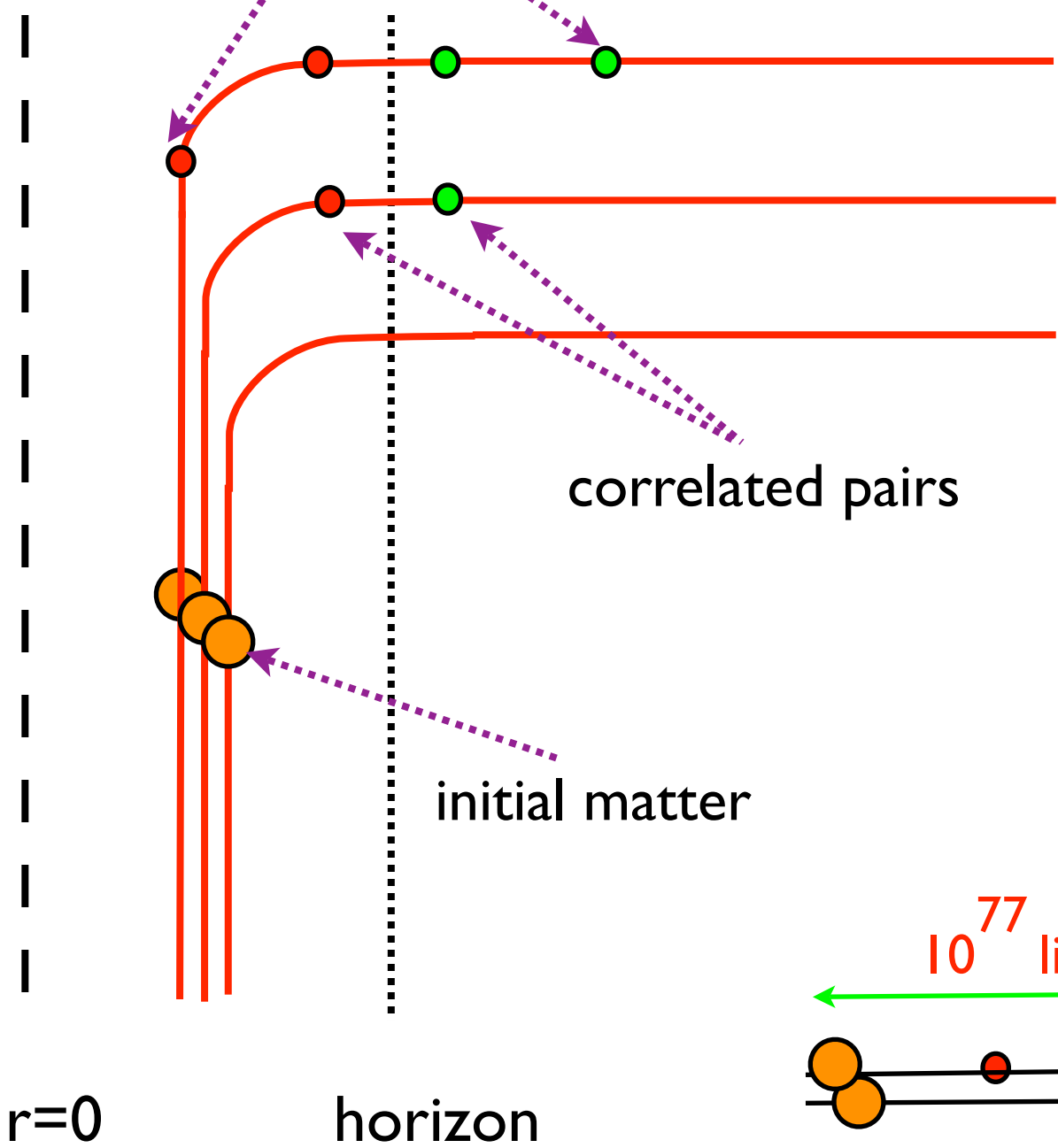
Follow the wavemode from  
say 1 fm to 1 Km

At 1 fm the mode must be in  
the vacuum state, else there  
would be a high energy density  
at the horizon  
(would violate 'traditional  
horizon' assumption)

At 1 Km we have particle pairs,  
with wavefunction the Hawking  
entangled state

(Transplanckian physics not  
needed; bypassed by uniqueness  
of vacuum assumption)

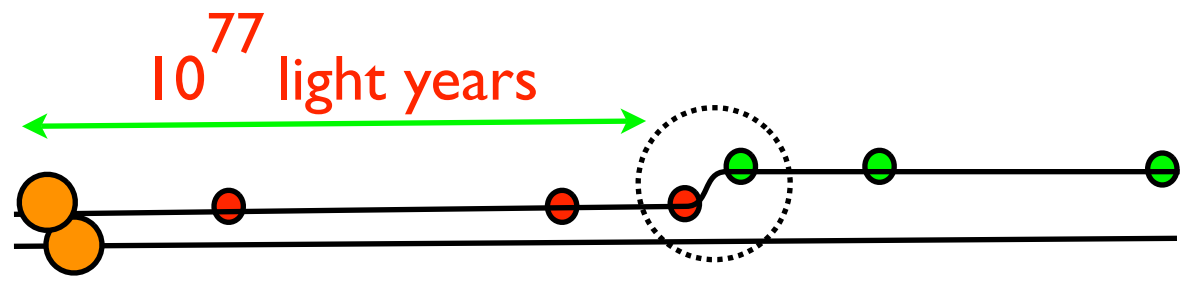
Older quanta move apart



● ● Hawking state

$$|\xi_1\rangle = \frac{1}{\sqrt{2}} (|0\rangle|0\rangle + |1\rangle|1\rangle)$$

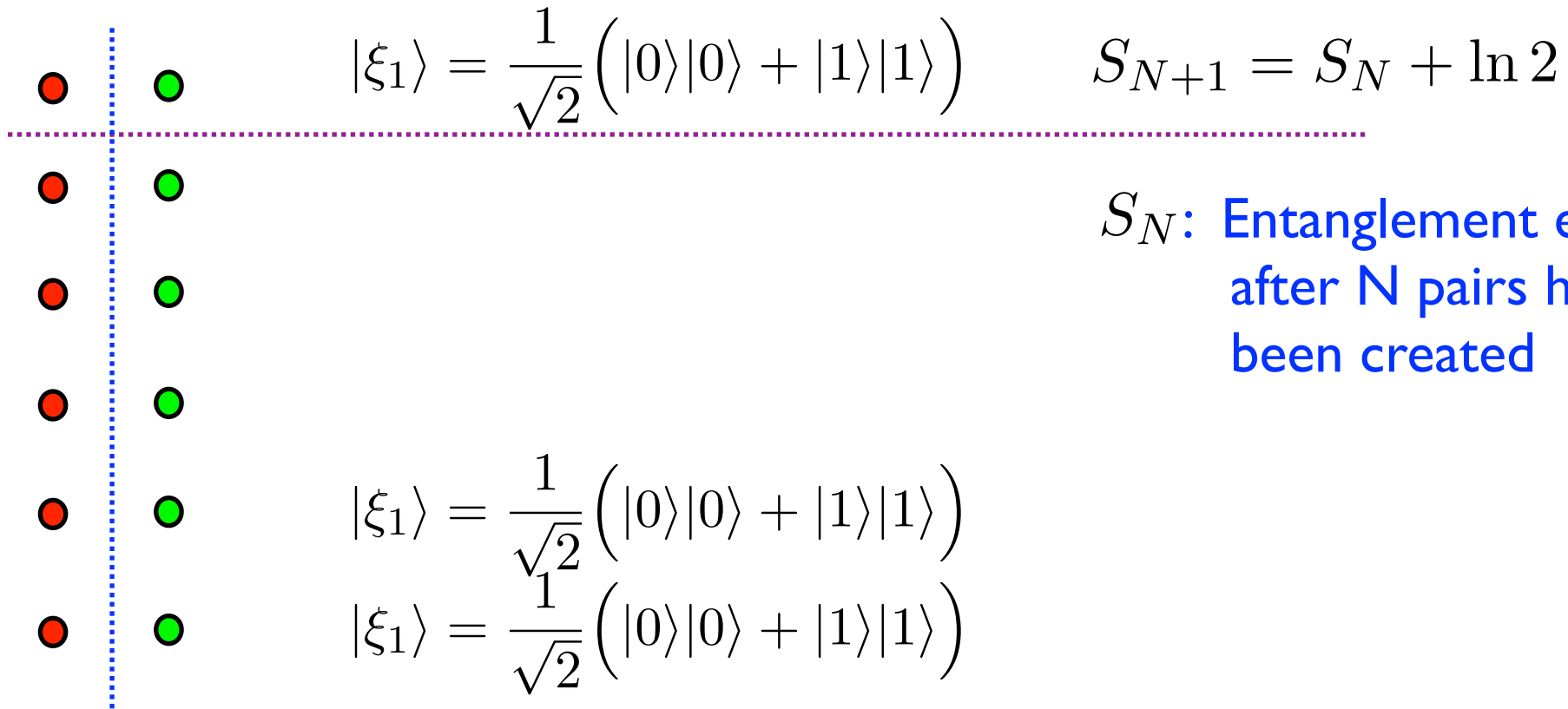
(We will use a discretized picture for simplicity; for full state see e.g. Giddings-Nelson)



r=0

horizon

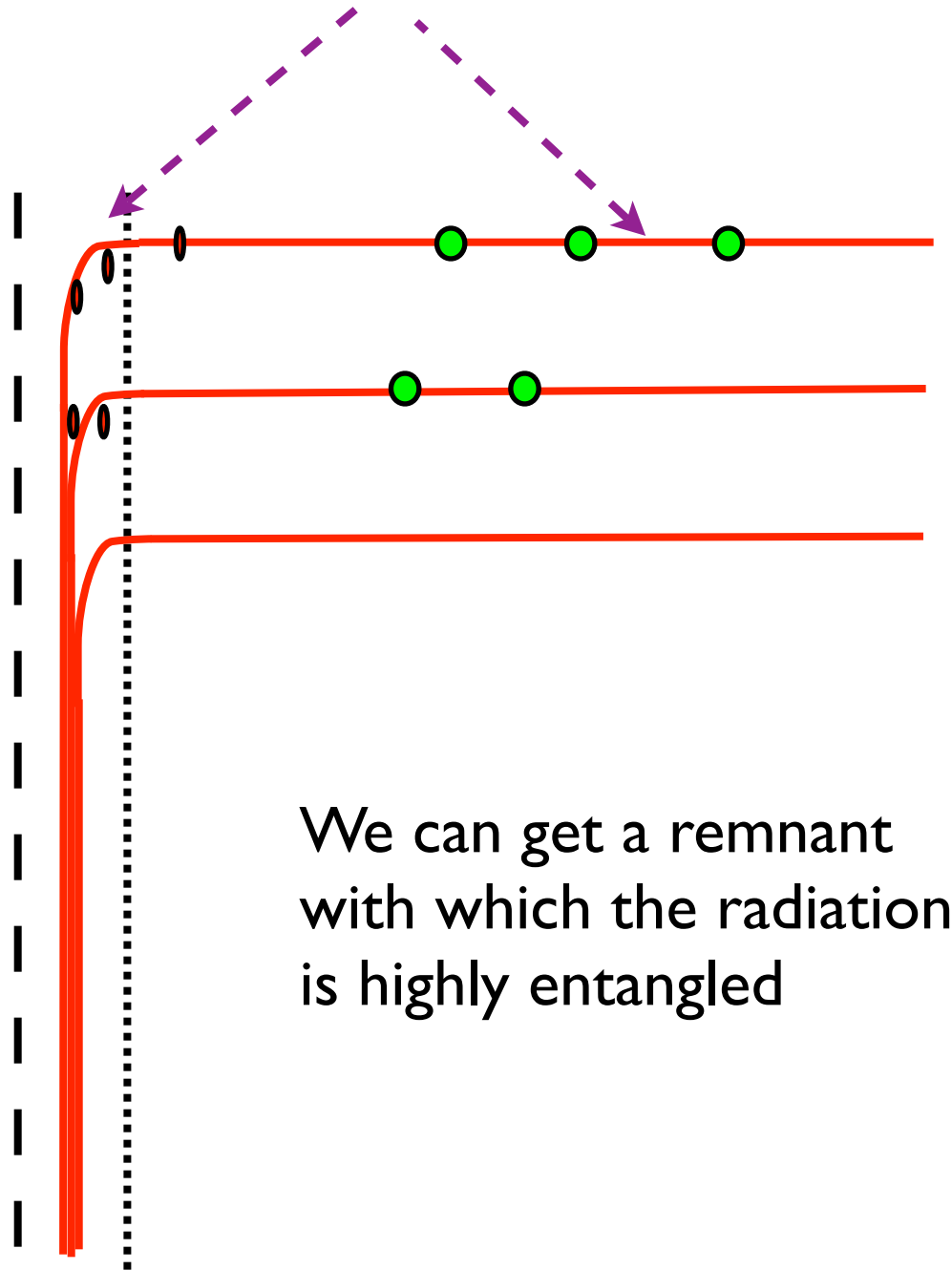
# Hawking's argument



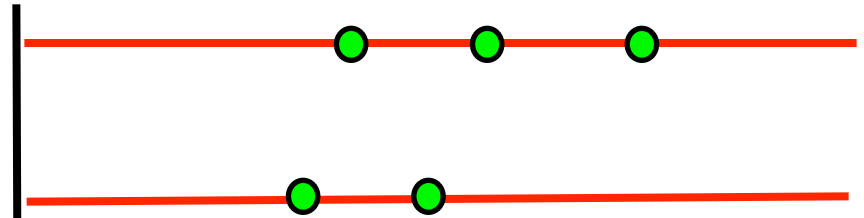
The radiation state (green quanta) are highly entangled with the infalling members of the Hawking pairs (red quanta)

$$S = N_{total} \ln 2$$

# Entangled state



We can get a remnant with which the radiation is highly entangled

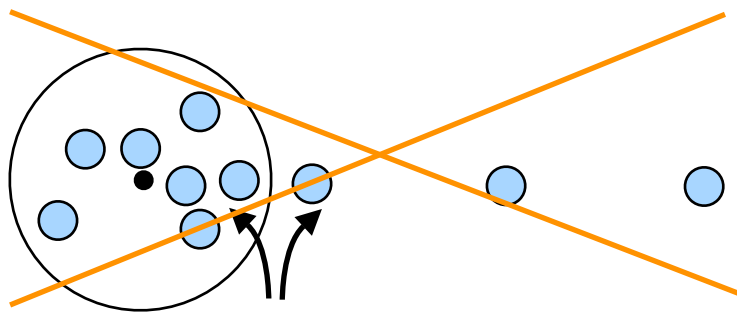


If the black hole evaporates away, we are left in a configuration which cannot be described by a pure state

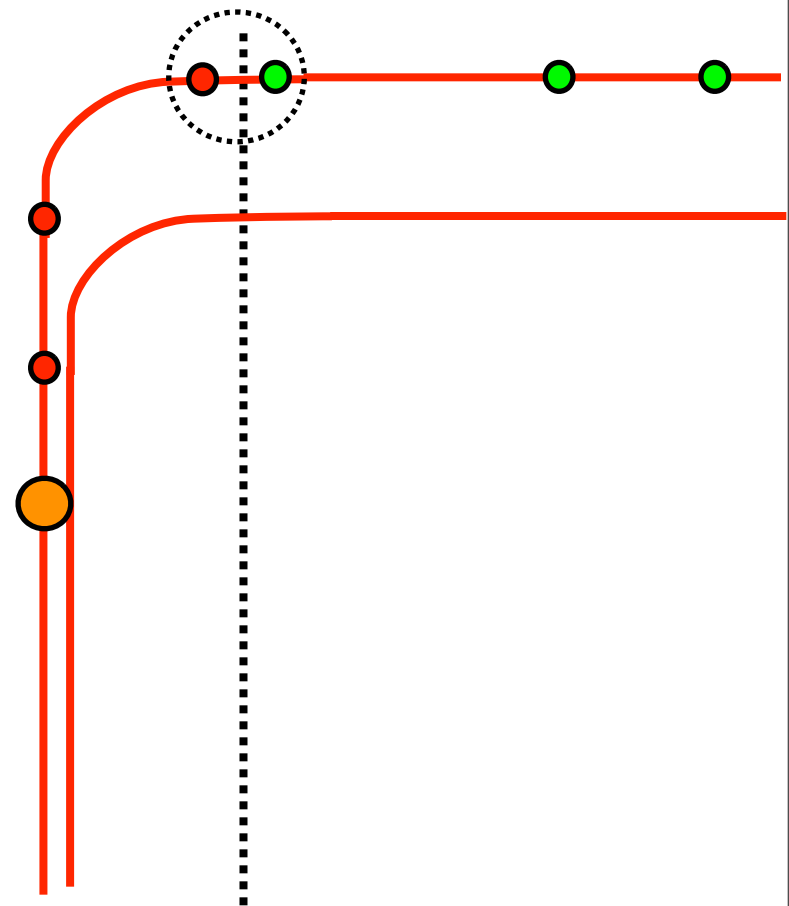
(Radiation quanta are entangled, but there is nothing that they are entangled with)

# Corrections ?

(A)



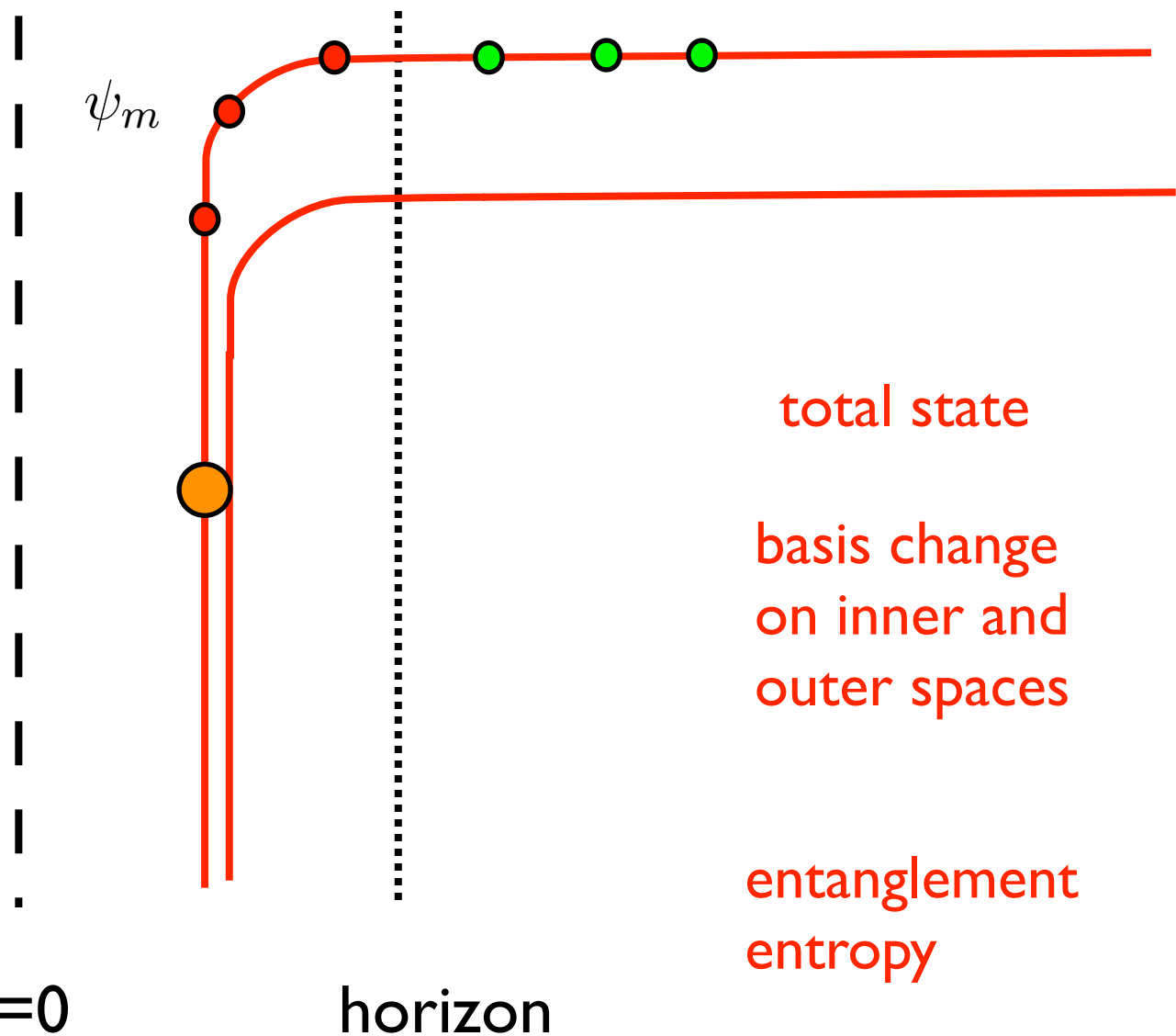
Older quanta get flushed away ...



At step N :

(basis for initial matter  
and inside quanta)

$\chi_n$  (basis for outside quanta)



total state

$$|\Psi\rangle = \sum C_{mn} \psi_m \chi_n$$

basis change  
on inner and  
outer spaces

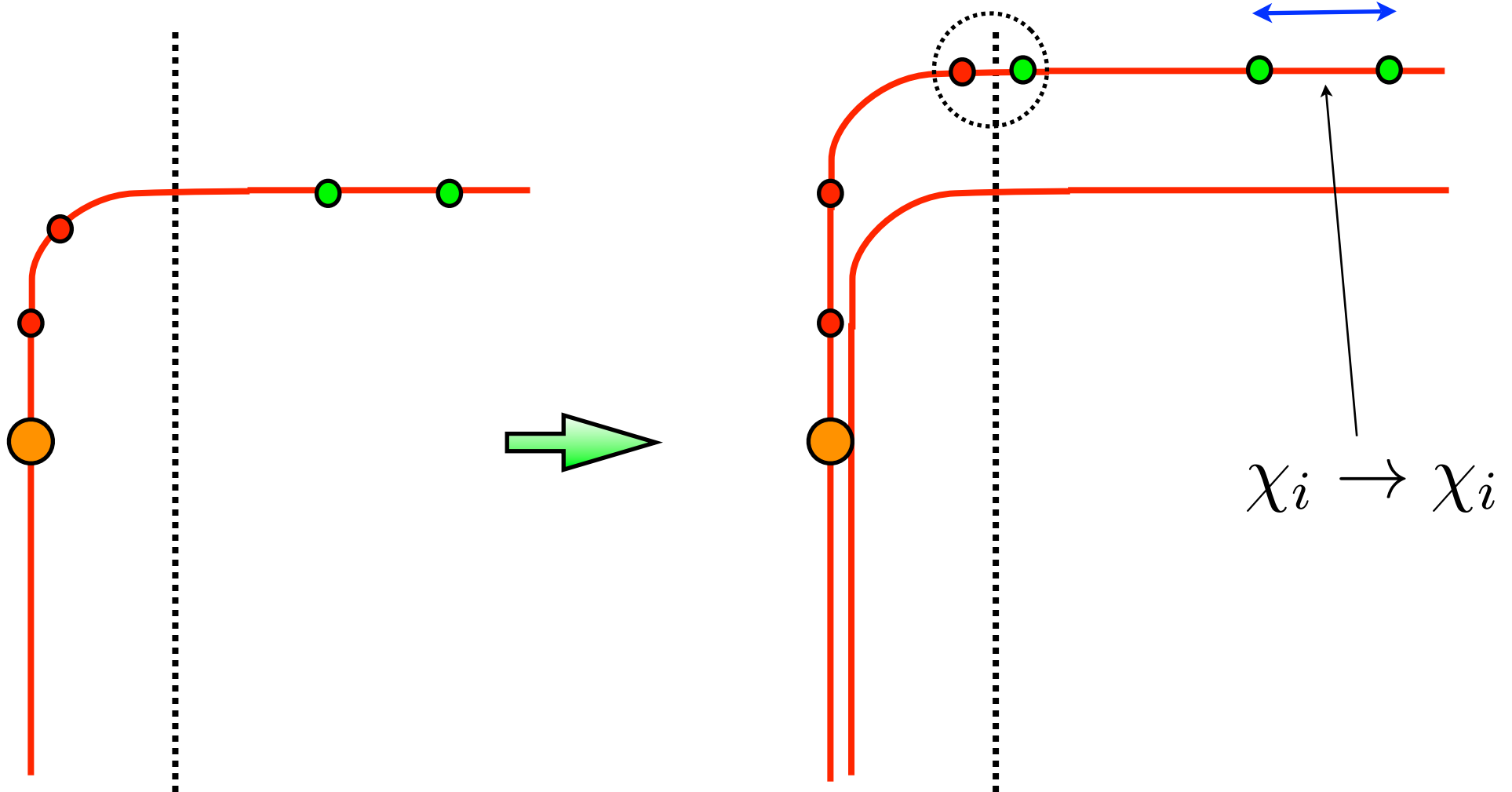
$$|\Psi\rangle = \sum_i C_i \psi_i \chi_i$$

entanglement  
entropy

$$S_N = - \sum_i |C_i|^2 \ln |C_i|^2$$

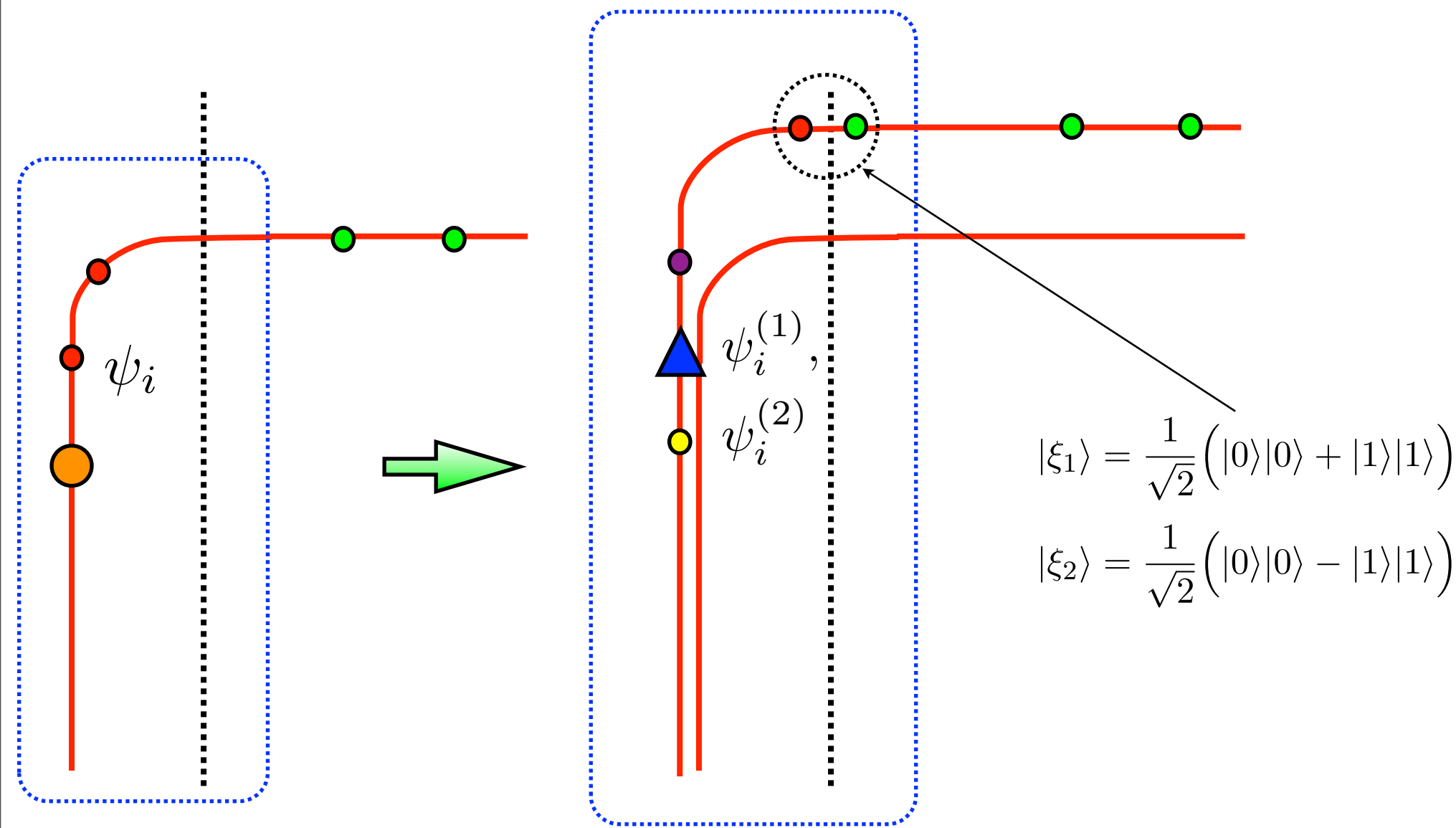
Rules for evolution from step N to step N+1:

(a) Quanta that have already left at earlier steps are not modified



(This also happens for burning paper)

(b) The quanta in the hole from earlier steps, and the initial matter, can mix up arbitrarily to a new state

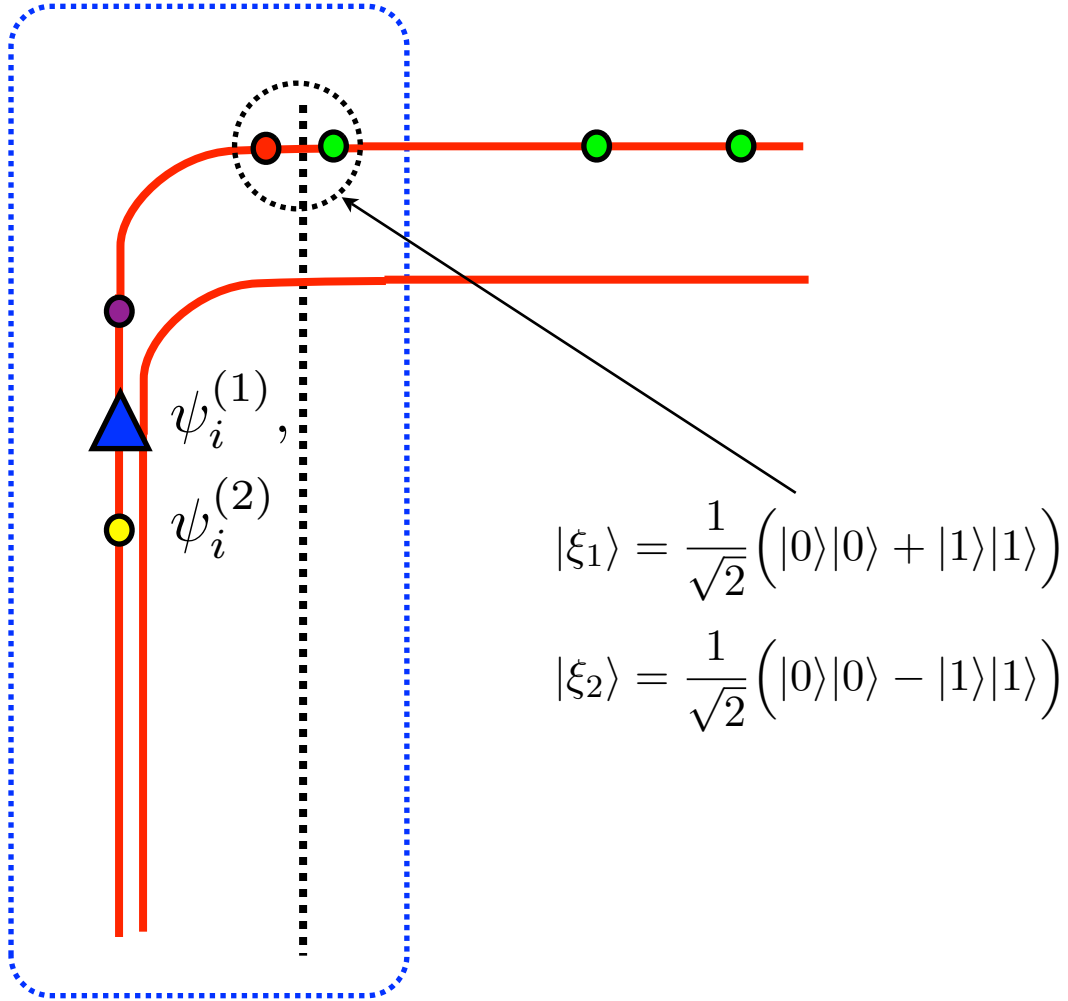


$$\psi_i \rightarrow \psi_i^{(1)} \xi^{(1)} + \psi_i^{(2)} \xi^{(2)}$$

(Unitary evolution)



## Evolution of the state from timestep N to N+1:



$$|\xi_1\rangle = \frac{1}{\sqrt{2}} (|0\rangle|0\rangle + |1\rangle|1\rangle)$$

$$|\xi_2\rangle = \frac{1}{\sqrt{2}} (|0\rangle|0\rangle - |1\rangle|1\rangle)$$

$$|\Psi\rangle = \sum_i C_i \psi_i \chi_i$$

$$\rightarrow \sum_i C_i [\psi_i^{(1)} \xi^{(1)} + \psi_i^{(2)} \xi^{(2)}]$$

$$\equiv \xi^{(1)} \Lambda^{(1)} + \xi^{(2)} \Lambda^{(2)}$$

$$\Lambda^{(1)} = \sum_i C_i \psi_i^{(1)} \chi_i$$

$$\Lambda^{(2)} = \sum_i C_i \psi_i^{(2)} \chi_i$$

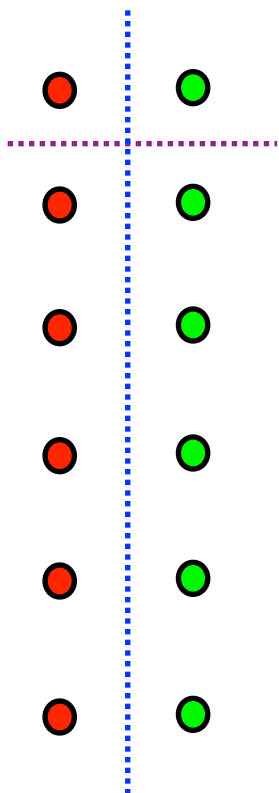
$$\|\Lambda^{(1)}\|^2 + \|\Lambda^{(2)}\|^2 = 1$$

$$\|\Lambda^{(2)}\| < \epsilon, \quad \epsilon \ll 1$$

Theorem: Small corrections to Hawking's leading order computation do NOT remove the entanglement

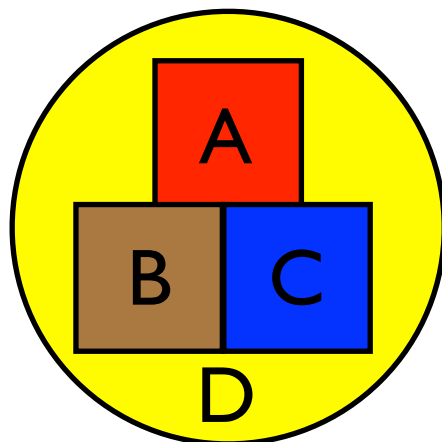
$$\frac{\delta S_{ent}}{S_{ent}} < 2\epsilon$$

(SDM arXiv:09091038)



Bound does not depend on the number of pairs N

Basic tool : Strong Subadditivity (Lieb + Ruskai '73)



$$S(A) = -Tr[\rho_A \ln \rho_A] \text{ etc.}$$

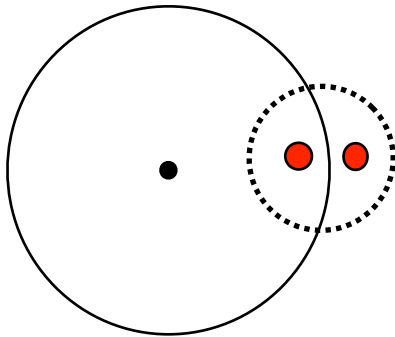
$$S(A + B) + S(B + C) \geq S(A) + S(C)$$

The Hawking argument  $\longrightarrow$  'Theorem'

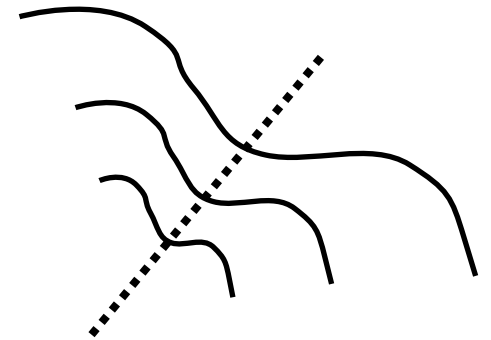
Can be made as rigorous as we want ...

(a) We either have a 'Traditional horizon', or 'hair'

Traditional horizon



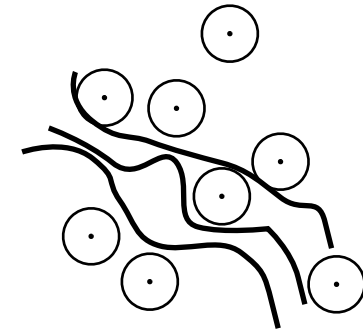
There is a good slicing at the horizon in which a neighbourhood of this horizon is low energy physics just like the one in this room ....



Then the stretching of vacuum modes will create an entangled pair at each time step ...

$S_{ent}$  keeps growing ...

(b) 'Hair' : Anything else ...



If we do not have the standard vacuum pair production at the horizon, then there is no Hawking argument ...  
(This would remove the paradox)

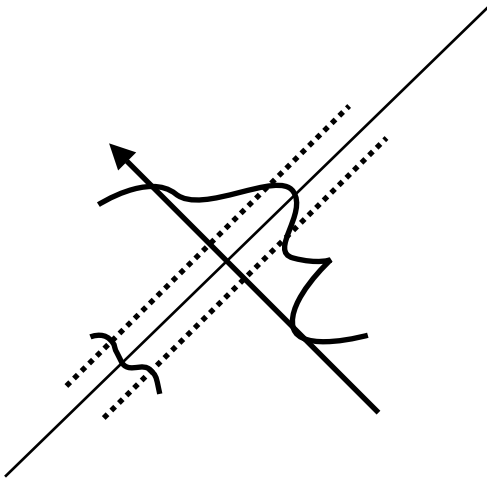
Note that the corrections to low energy evolution have to be order unity, not 'small' ....

# What do we have to do to resolve the paradox ?

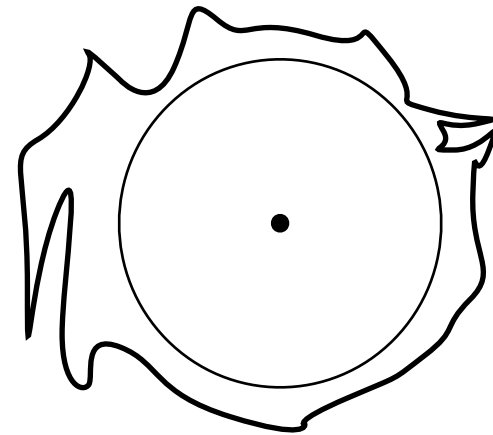
In one sense very little, in another sense, a lot ...

Little, because all we have to show is that there is an effect that will obstruct normal physics at the horizon ...

But this has been very hard, since people tried but could not find hair ... 'no hair theorem' ...

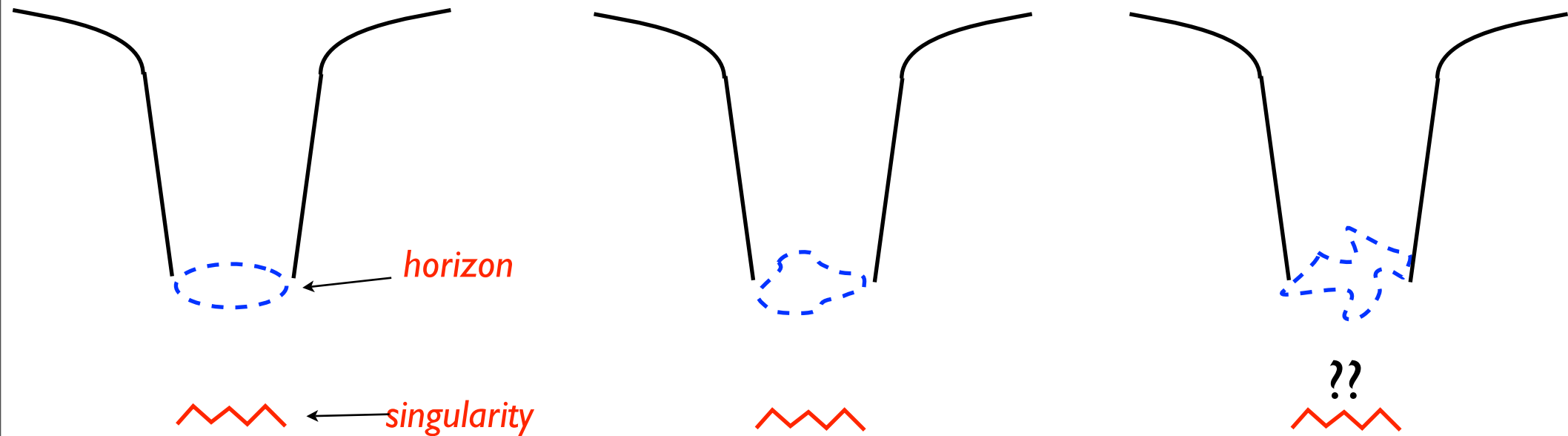


't hooft: infalling quanta create large shifts in outgoing rays ...



Susskind: strings at the horizon ... corrupt normal evolution ...

The basic difficulty can be seen from the earliest computations ...

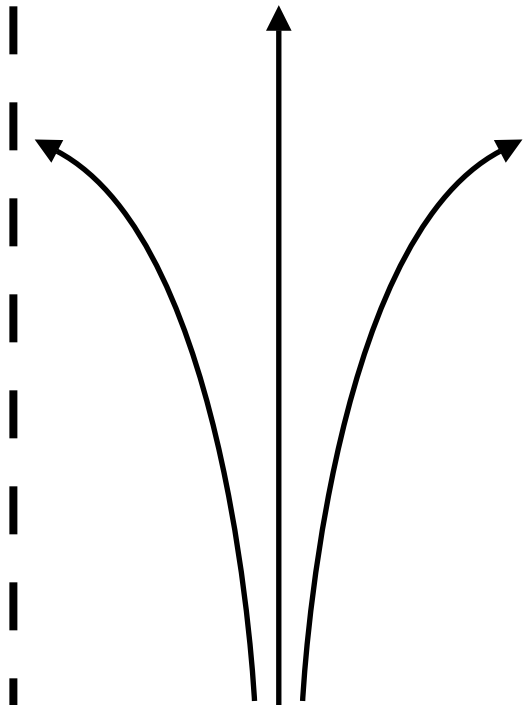


People wrote down the wave equation for scalars, gauge fields, gravitons ...  
Looked for solutions with  $L=1,2,3,\dots$

If they had found such solutions, then one would expect that the entropy comes from horizon fluctuations, and there would be no information problem

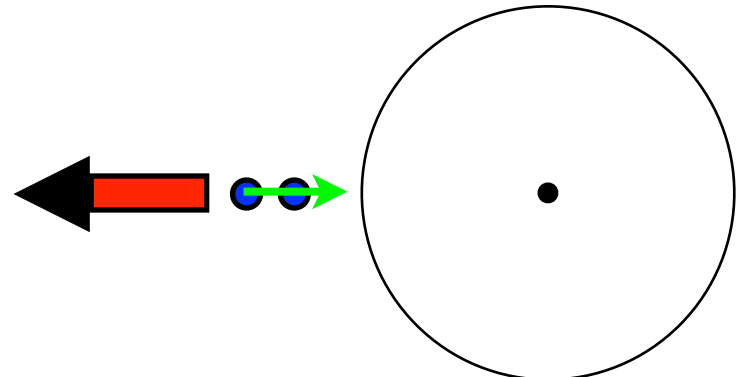
But no hair was found ... “no hair theorem”

# Why is it hard to find hair?



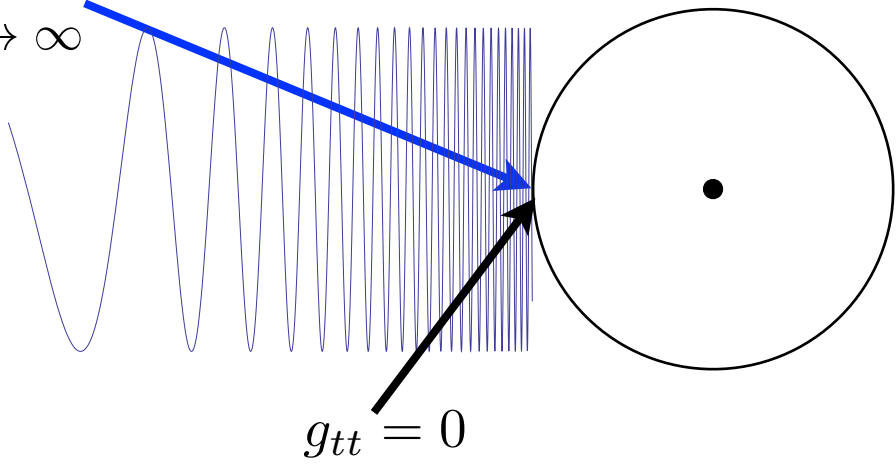
Horizon is an unstable place ...

Large relative momentum needed to keep the rocket stationary

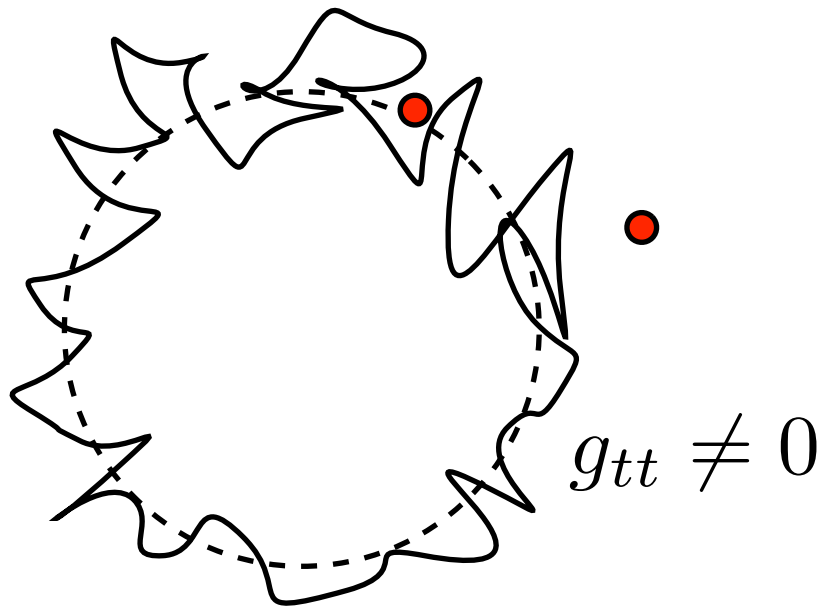


pressure  
 $T_{rr} \rightarrow \infty$

Field modes have divergent stress-energy



But there might still be non-perturbative “hair” ?



Backreaction from the distortion is self consistent ... no horizon forms

Hole radiates like ‘paper’

How do we find such solutions ?

String theory gives a new expansion parameter, the ‘complexity of the microstate’ ...

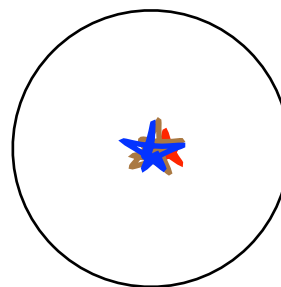


## Resolving the puzzle

(Avery, Balasubramanian, Bena, Chowdhury, de Boer, Gimon, Giusto, Keski-Vakkuri, Levi, Lunin, Maldacena, Maoz, Park, Peet, Potvin, Ross, Ruef, Saxena, Simon, Skenderis, Srivastava, Taylor, Turton, Warner ...)

# The traditional expectation ...

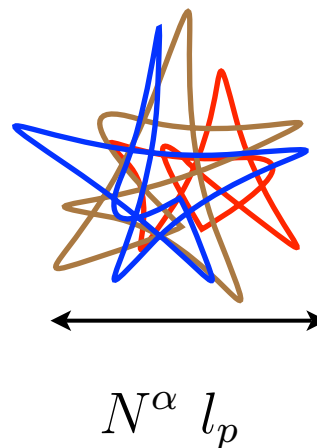
weak  
coupling



strong  
coupling

But it seems in string theory the opposite happens ...

weak  
coupling



strong  
coupling

$$R \sim \left[ \frac{g^2 \alpha'^3 \sqrt{n_1 n_5 n_p}}{RV} \right]^{\frac{1}{3}} \sim R_s$$

(SDM 97)

The 'no-hair' theorem tells us that the black hole metric is unique:

$$ds^2 = - \left(1 - \frac{2M}{r}\right) dt^2 + \frac{dr^2}{\left(1 - \frac{2M}{r}\right)} + r^2 (d\theta^2 + \sin^2 \theta d\phi^2)$$

But how did we get this metric ?

We take an ansatz where the metric coefficients had no dependence on angular variables or on the compact directions

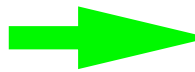
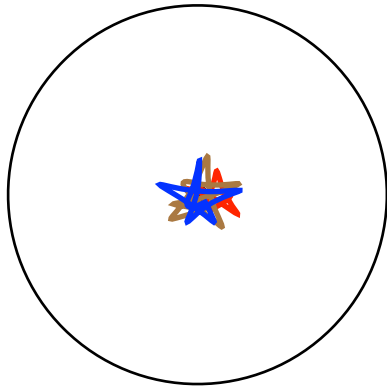
$$ds^2 = - f(r) dt^2 + g(r) dr^2 + r^2 d\Omega_2^2 + dz_i dz_i$$

The solution we get is singular, however, at the origin, so we cannot be sure it is a solution of the full quantum gravity theory

Now let us look for solutions that have no spherical symmetry and the compact directions are also not trivially tensored

Then there are a large number of regular solutions - no horizon and no singularity - with the same  $M, Q, J$  as the black hole

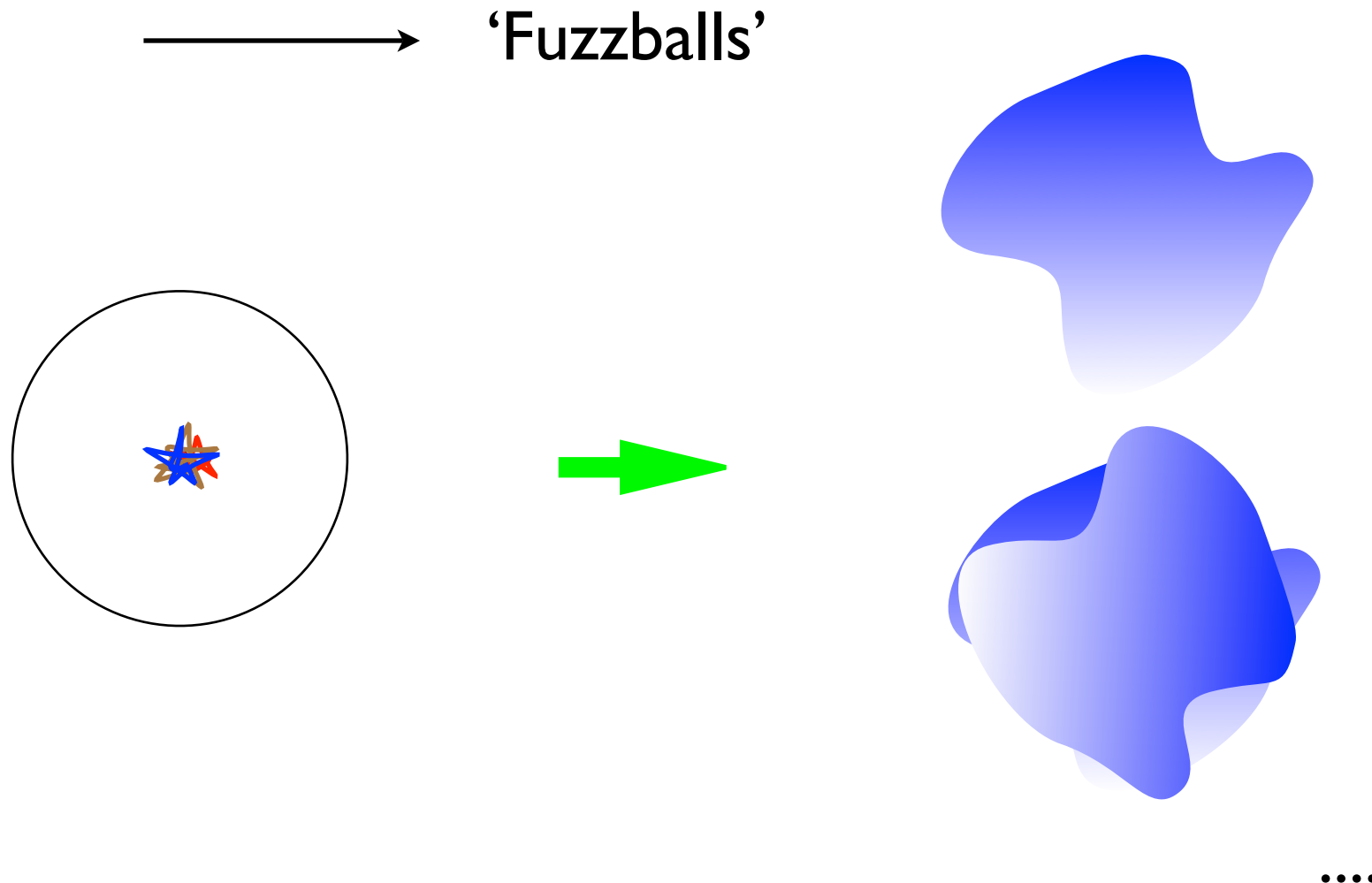
→ 'Fuzzballs'



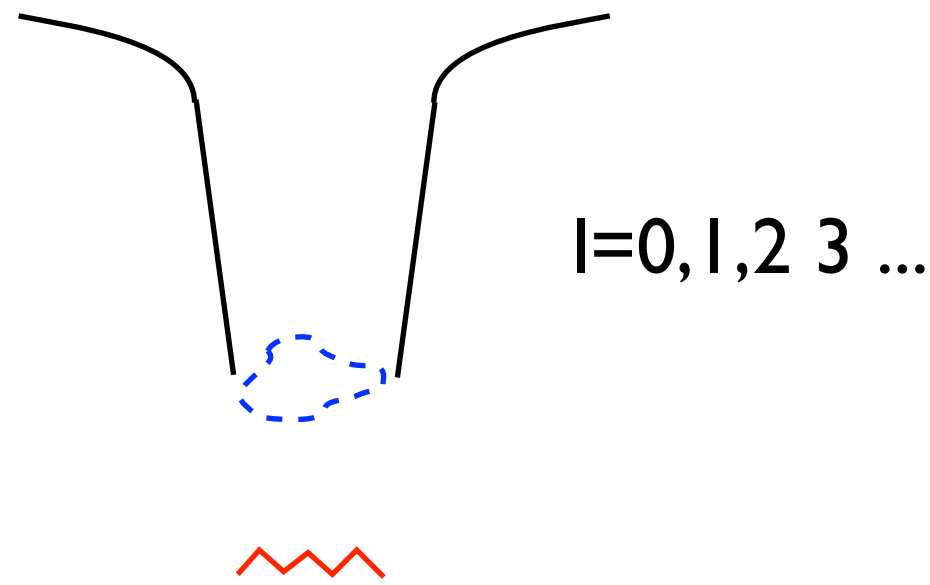
...

Now let us look for solutions that have no spherical symmetry and the compact directions are also not trivially tensored

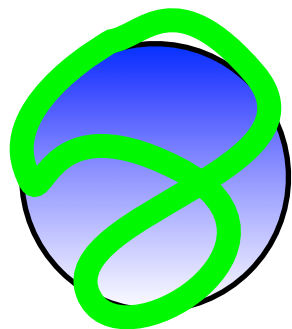
Then there are a large number of regular solutions - no horizon and no singularity - with the same  $M, Q, J$  as the black hole



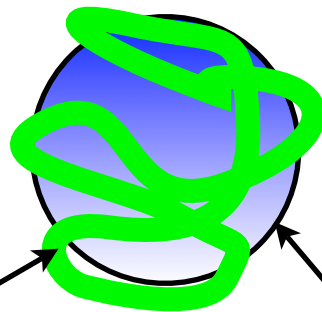
Hair in string theory ....



Nature of the hair:



small compact  
direction circle



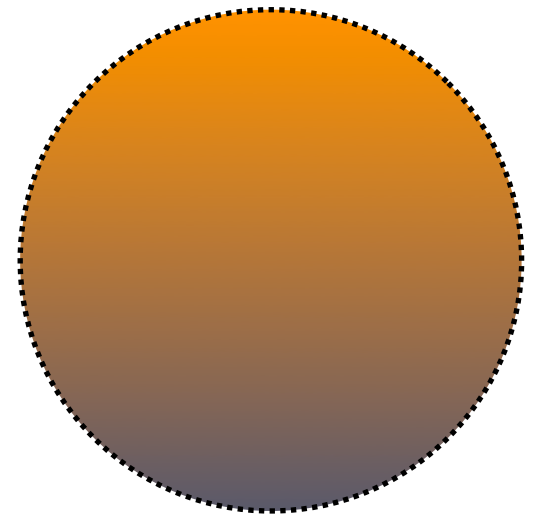
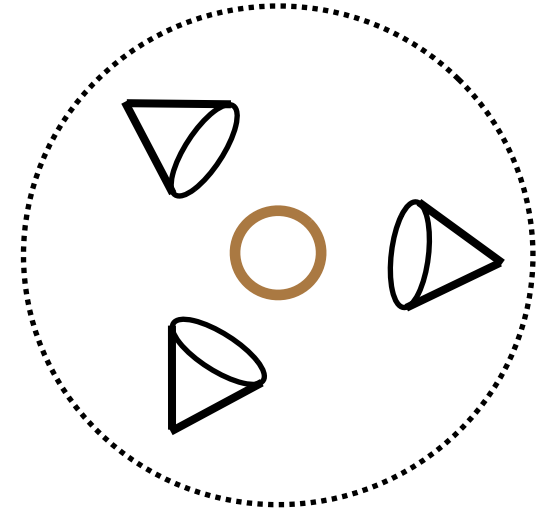
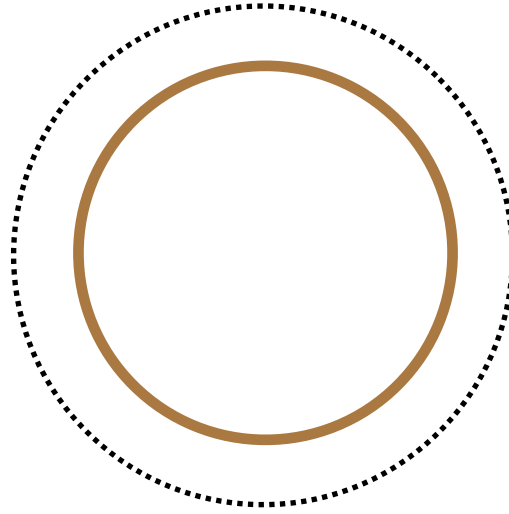
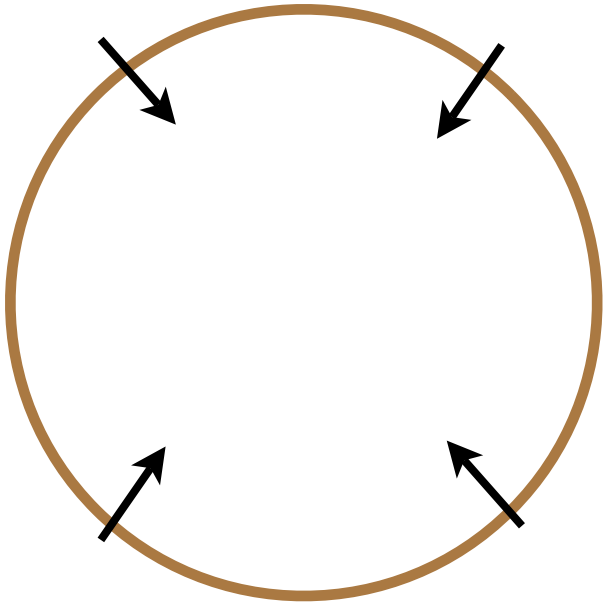
Angular sphere of  
noncompact directions

Compact directions make  
locally nontrivial fibrations over  
the noncompact directions

Thus the hair are fundamentally a nonperturbative construct involving  
the extra dimensions ...

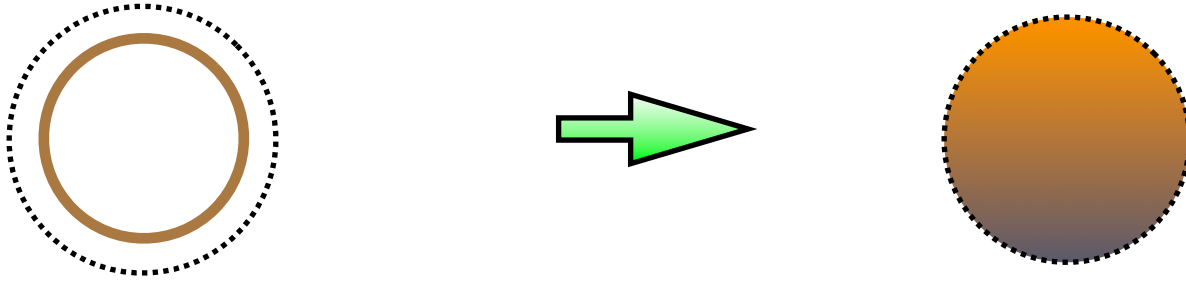
How does semiclassical  
intuition go wrong ?

# How does a collapsing shell become fuzzballs ?





Consider the amplitude for the shell to tunnel to a fuzzball state



$$S_{\text{tunnel}} \sim \frac{1}{G} \int R d^4x \sim \frac{1}{G} \frac{1}{(GM)^2} (GM)^4 \sim GM^2$$

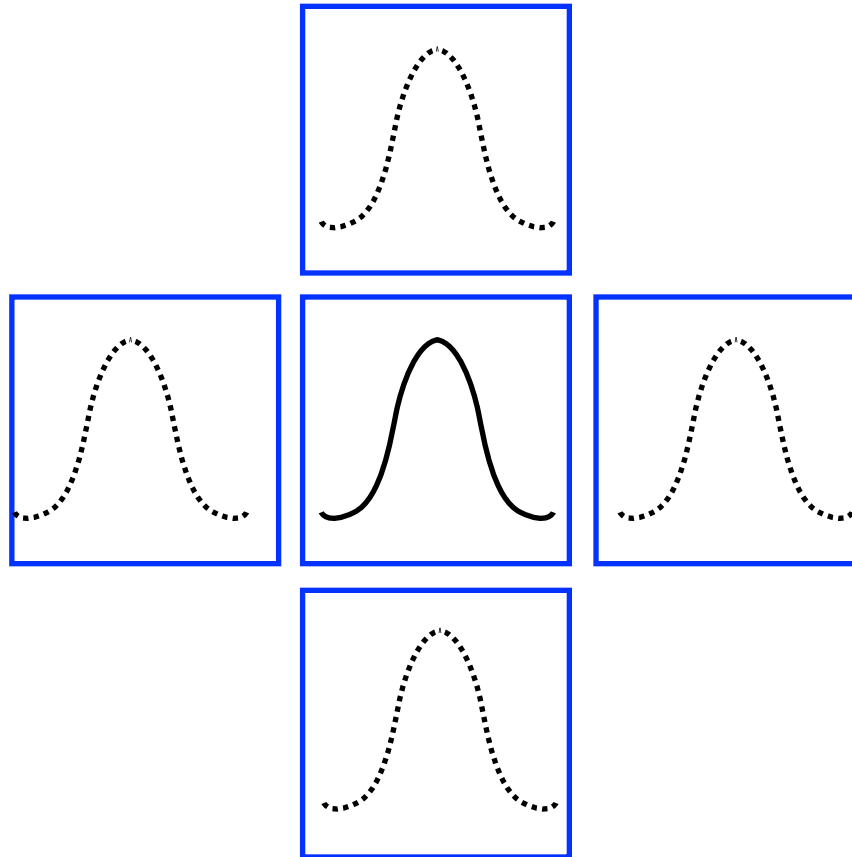
$$\mathcal{A} \sim e^{-S_{\text{tunnel}}}$$

Amplitude to tunnel is very small

$$\mathcal{N} \sim e^{S_{\text{bek}}} \sim e^{GM^2}$$

But the number of states that one can tunnel to is very large !

Toy model: Small amplitude to tunnel to a neighboring well, but there are a correspondingly large number of adjacent wells

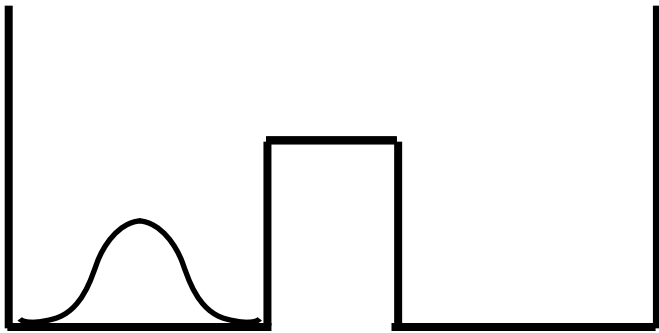


In a time of order unity, the wavefunction in the central well becomes a linear combination of states in all wells (SDM 07)

## How long does this tunneling process take ?

If it takes longer than Hawking evaporation time then it does not help ...

Tunneling in the double well:



$$\psi = e^{-iE_S t} \psi_S + e^{-iE_A t} \psi_A$$

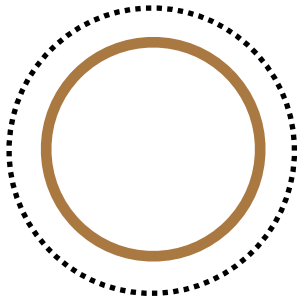
The wavefunction tunnels to the other well in a time

$$\Delta t = \frac{\pi}{\Delta E}$$

where

$$\Delta E = E_A - E_S$$

For the collapsing shell ...



$$|\psi\rangle = \sum_k c_k |E_k\rangle$$

$$E \sim \frac{P^2}{2M}$$

$$\Delta P \gg \frac{1}{R}$$

$$\Delta E \sim \frac{P\Delta P}{M} \gg \frac{(\Delta P)^2}{M} \gg \frac{1}{MR^2}$$

$$t_{\text{dephase}} \sim \frac{1}{\Delta E} \ll MR^2$$

$$t_{\text{evap}} \sim MR^2$$

$$t_{\text{dephase}} \ll t_{\text{evap}}$$

Thus the collapsing shell turns into a linear combination of fuzzball states in a time short compared to Hawking evaporation time

# The matrix model

If we define our gravity as the dual to a CFT:

(a) Remnant ?

(b) Slow leaking remnant ?

(c) No black hole ?

(d) No good local gravity theory ?

(e) Information in Hawking radiation ?

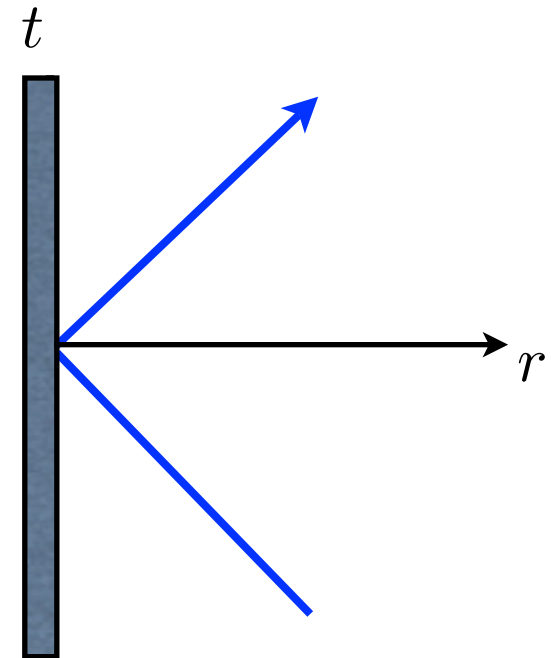
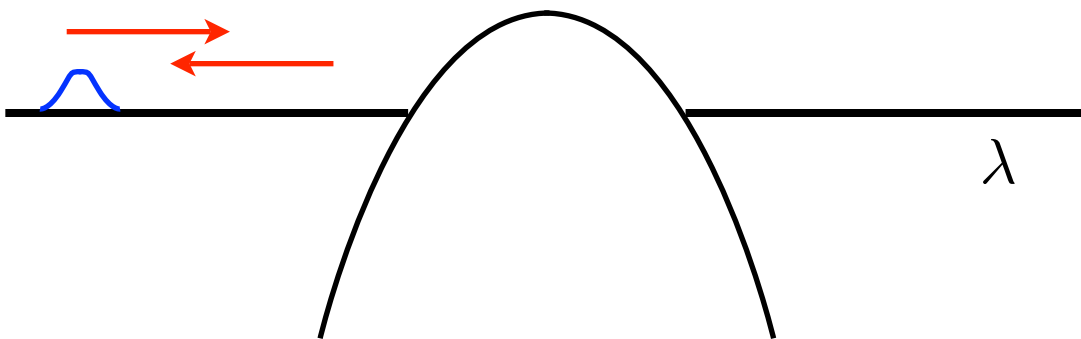
If there are no fuzzballs, then we cannot get (e) ...

$c = 1$  Matrix model: **A toy model of AdS/CFT**

$M : N \times N$  **matrix**

Eigenvalues form  
a 1-d fermi sea

$$L = \text{Tr} \left( \frac{1}{2} \dot{M}(t)^2 - V(M) \right)$$

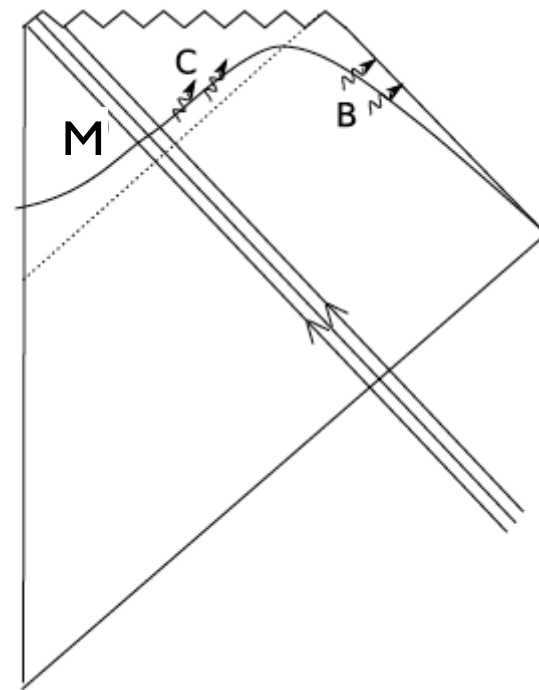


**Scattering of low energy pulses from potential wall agrees with the scattering of pulses in 1+1 dim dilaton gravity + scalar**

**I+1 dilaton gravity has black holes ...**

The matrix model is unitary,  
so information cannot be lost ...

So we should find that evaporation  
in I+1 dim dilaton gravity is unitary ?

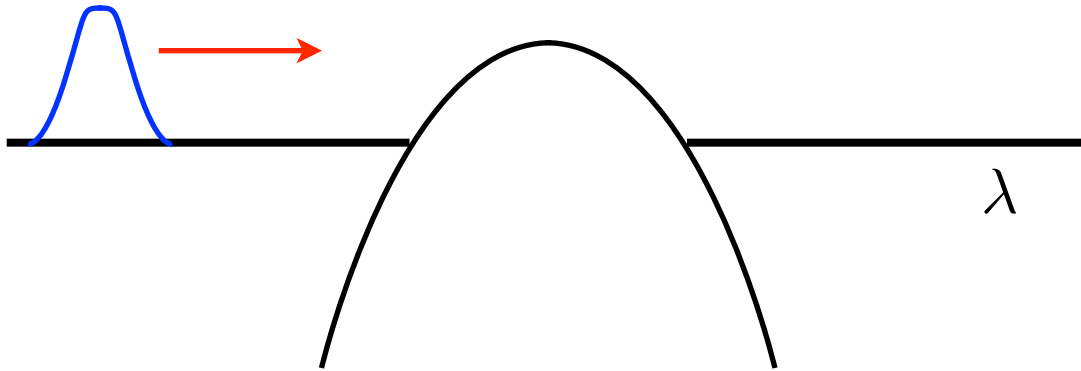


**NO !!** Low energy scattering agrees between matrix model dilaton gravity

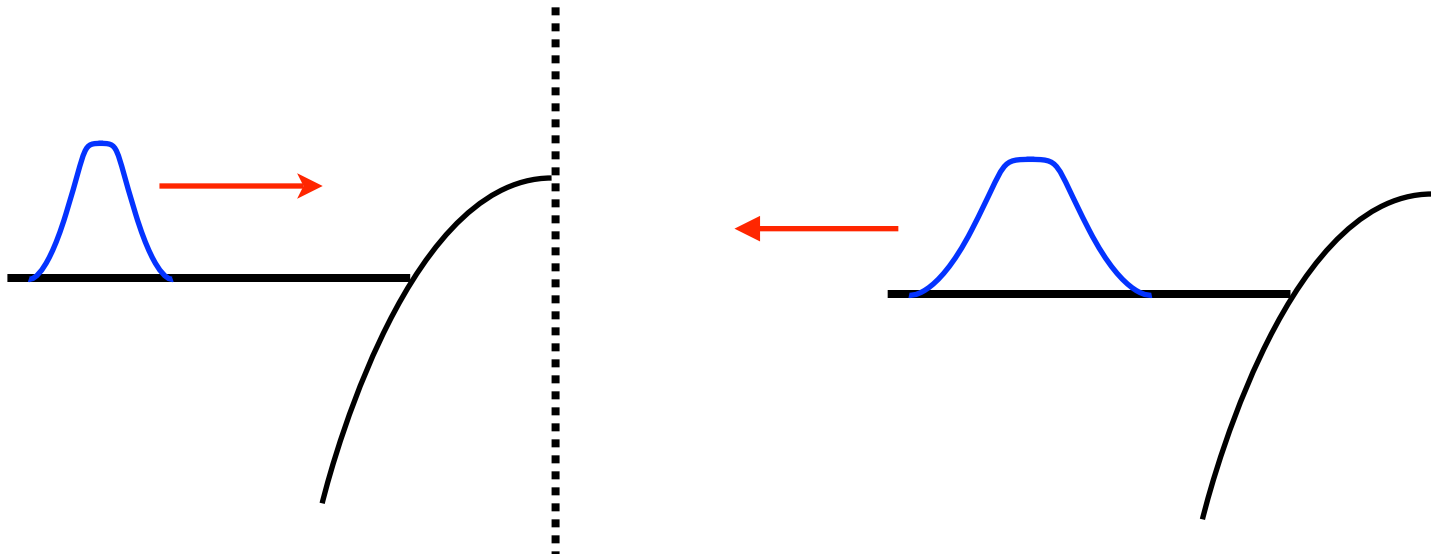
But if we try to make a black hole in the matrix model ....



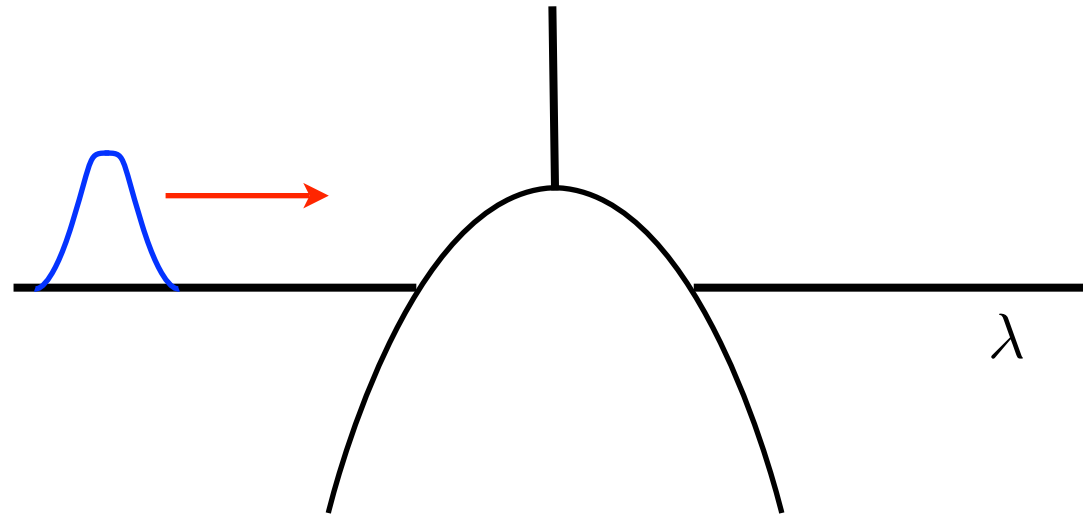
- (a) Pulse with enough energy to make black hole spill over and goes to a second asymptotic infinity : 'information loss'



- (b) If we orbifold the two sides (e.g. susy theories), the pulse returns in crossing time: no black hole formation



(c) If we try to modify potential, gravity theory cannot in general be derived from a local lagrangian



What we do not get is a black hole, persisting for a time greater than crossing time by a factor  $(M/m_{pl})^\alpha$ , information coming out in Hawking radiation

No fuzzballs  $\longrightarrow$  cannot get info in Hawking radiation

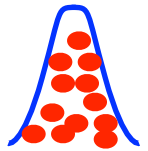
No remnants and no information loss

Theory takes only way out: no black hole formation ...

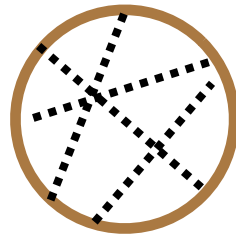
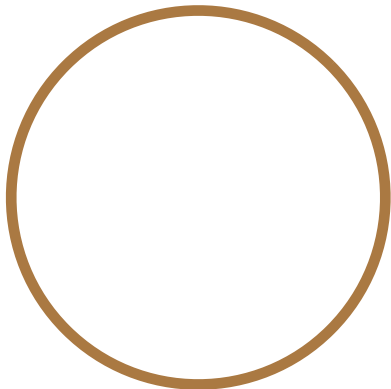
## How do we avoid black hole formation in the matrix model ?



A pulse made of  $n$  quanta has small deviations from exact dilaton gravity, because of interaction between the quanta



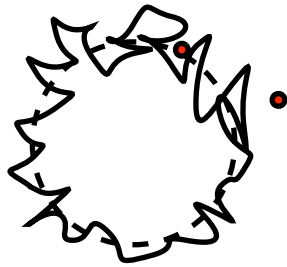
When the pulse becomes big enough to make a black hole, these interactions create order unity deviation from dilaton gravity



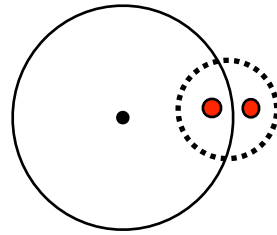
Large number of mutual interactions stop semiclassical collapse at horizon scale

## Common questions about fuzzballs

(A) How many fuzzballs have you found ?



fuzzballs

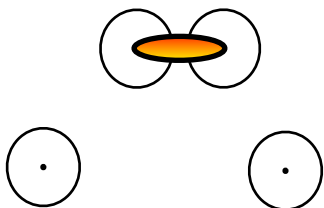
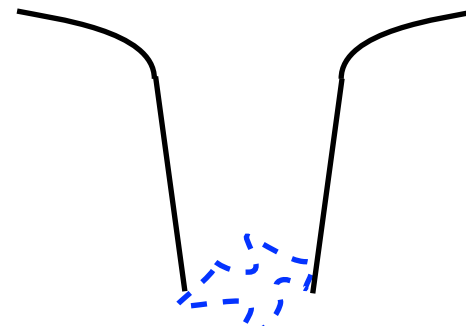
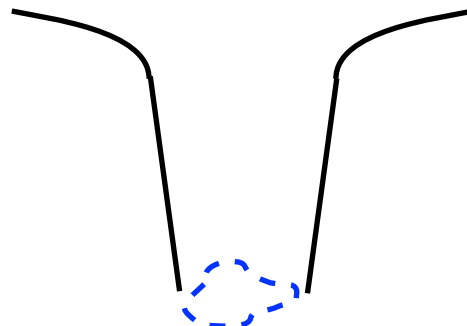
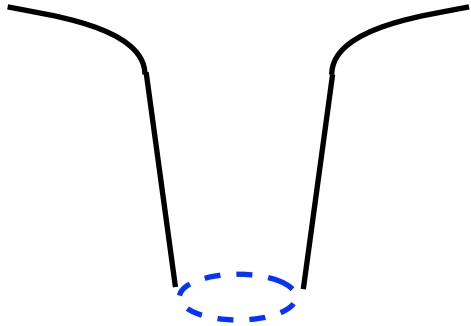
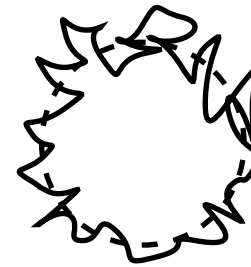
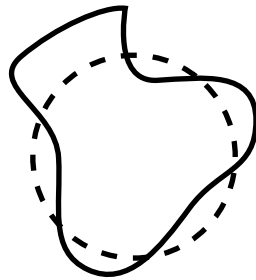
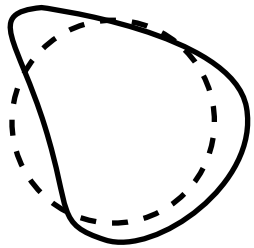


??

black hole with traditional horizon

But if even one traditional black hole state exists, then we can choose it and get information loss/remnants ...

(B) How well can you describe generic fuzzballs ?



When KK monopoles are close,  
D2 brane pairs will be excited  
between them ... and so on ...

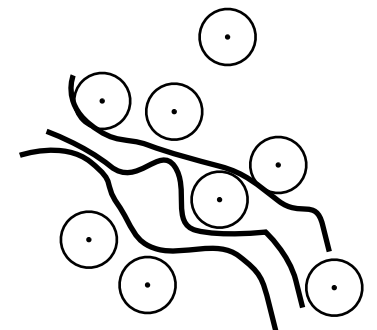
# Why does this matter ?

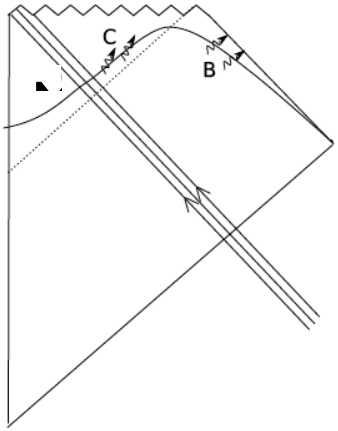
Question: can it be that the more messy generic states become 'close' to the vacuum for all practical purposes?

Then we have not learnt much by making the simple fuzzballs ...

But there is only one practical purpose relevant to the information puzzle:  
Does the evolution of low energy modes at the horizon differ by order unity from the traditional vacuum ?

As we take the limit to more generic states, the evolution of these modes does NOT go towards evolution in the vacuum ...





Information paradox needs structure at the horizon, but we don't quite know how to get it

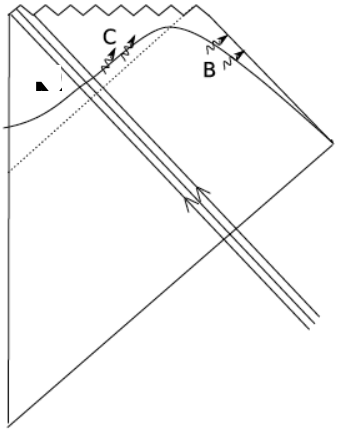


Fuzzballs provide this structure. But generic fuzzballs are complicated, so we can describe physics only in dual CFT



In the dual CFT it is hard to see locality, etc, so we don't quite know the solution to the information problem





Information paradox  
needs structure at  
the horizon



Fuzzballs provide this structure.  
Hawking evolution in generic  
fuzzballs shows no sign of being  
vacuum evolution



Can use picture to understand  
Complementarity, issues in  
Cosmology, etc ...



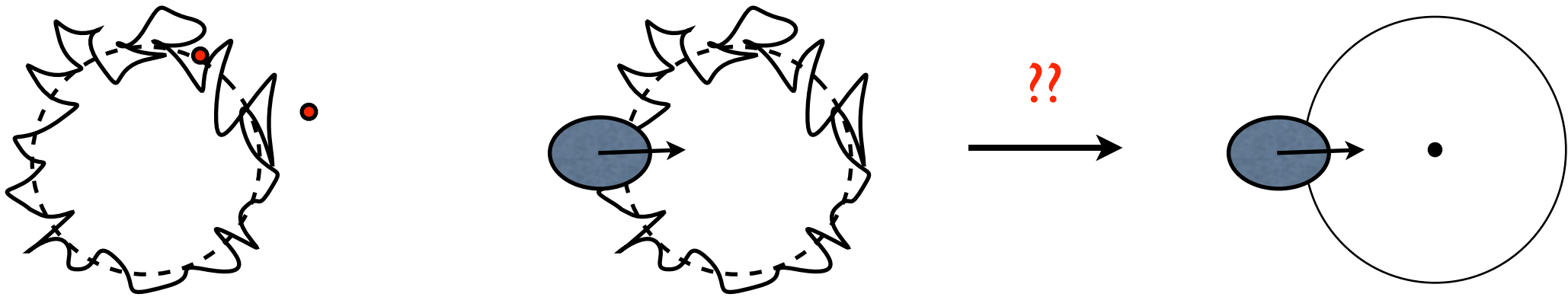
AdS/CFT duality is correct,  
but is not useful for  
understanding whether  
there is structure at the  
horizon

# The infall problem

# The infall problem

What happens to an object ( $E \gg kT$ ) that falls into the black hole?

What does an infalling observer 'feel' ?



Low energy radiation modes are corrected by order unity, no information loss in process of creation

Is it possible that the dynamics of high energy infalling objects can be approximated by the traditional black hole geometry in some way?

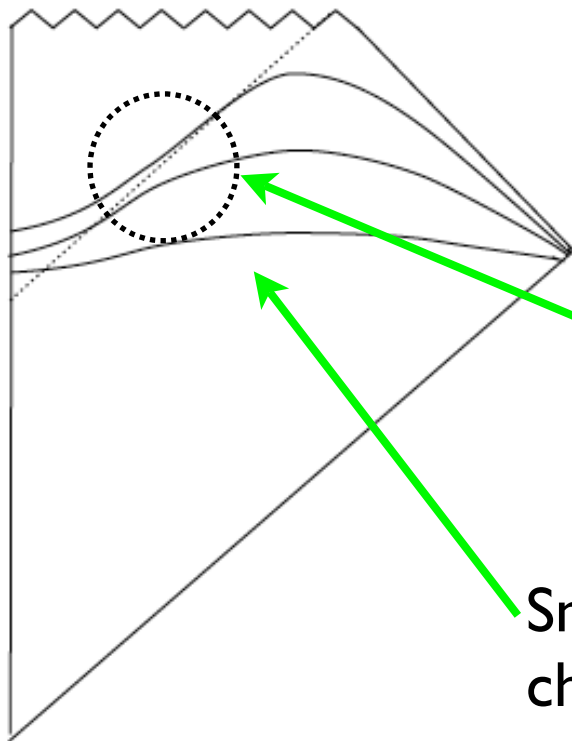
't Hooft, Susskind: **Complementarity** :

Infalling observer get destroyed by Hawking radiation at horizon (so that information never falls into the hole)

**BUT**

In a dual description he continues to fall inside (so we take into account that the horizon is not a 'special place')

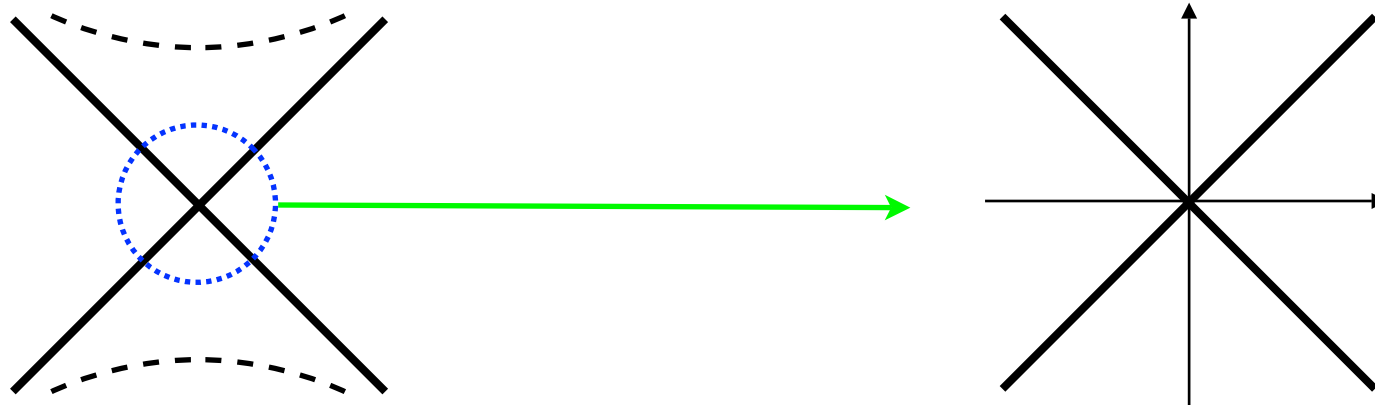
With the traditional picture of the black hole, people could see no way to make complementarity work ...



Infalling observer feels  
no Hawking radiation

Smooth evolution, no reason to  
change description at a regular point

Now we know that black hole microstates are fuzzballs. let us see if we can do any better ...

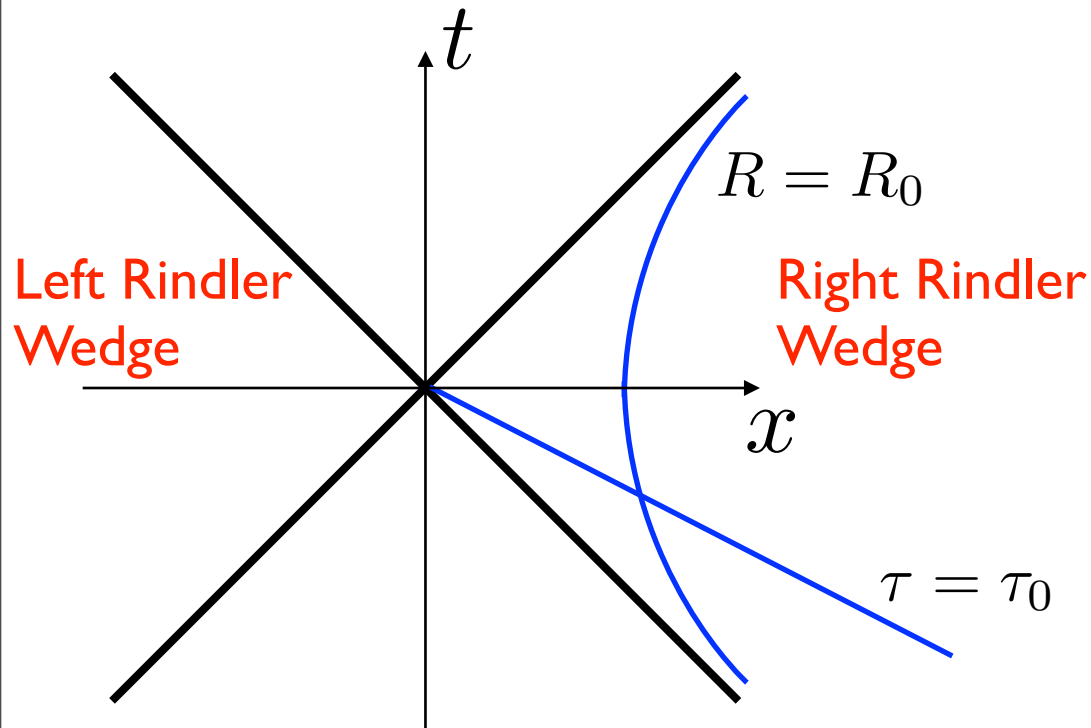


Central part of eternal black hole diagram looks like a piece of Minkowski spacetime, Horizons look like Rindler horizons

So complementarity looks as strange as asking that we get destroyed at a Rindler horizon, and in a dual description we continue past the horizon

# Rindler space: Accelerated observers see a thermal bath

Minkowski spacetime



$$t = R \sinh \tau$$

$$x = R \cosh \tau$$

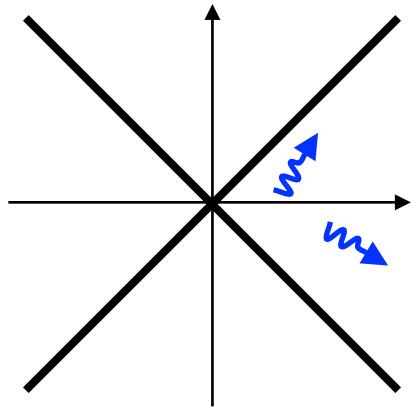
An observer moving along  $R = R_0$  sees a temperature

$$T = \frac{1}{2\pi R_0}$$

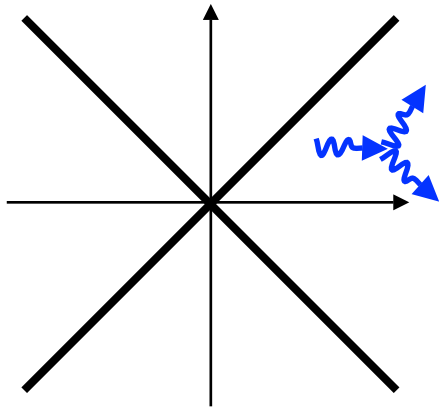
The Minkowski vacuum can be written as an entangled sum of Rindler states

$$|0\rangle_M = \sum_k e^{-\frac{E_k}{2\pi}} |E_k\rangle_L |E_k\rangle_R$$

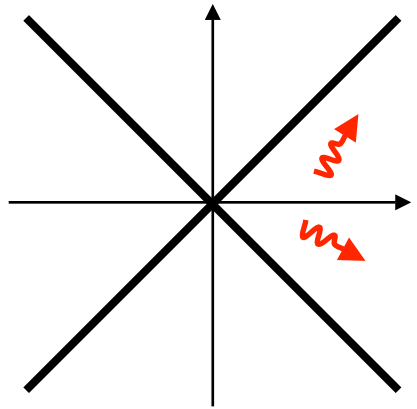
# An observation



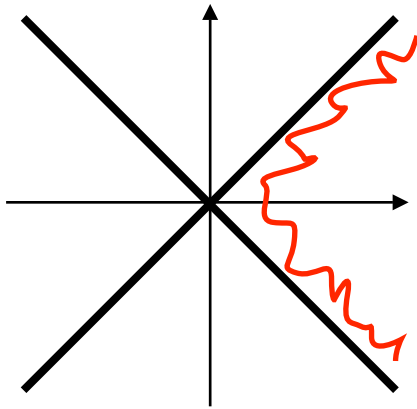
If there is a scalar field  $\phi$ , then the Rindler states will have a bath of scalar quanta



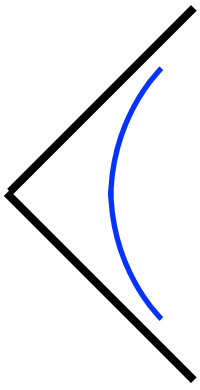
If  $\phi$  has a  $\phi^3$  interaction, then this bath of scalar quanta will be interacting



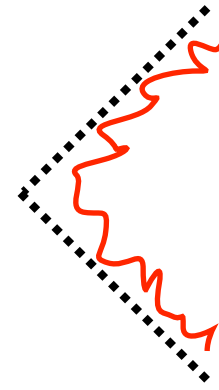
The graviton is a field that is always present, so we will have a bath of (interacting) gravitons



Thus expect fully nonlinear quantum gravity near Rindler horizon



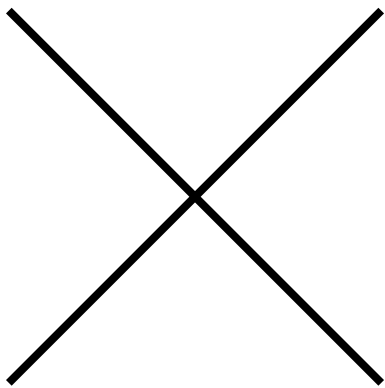
Rindler coordinates 'end' at the boundary of the wedge



Thus it is logical to expect that the gravity solution for Rindler states should also 'end'

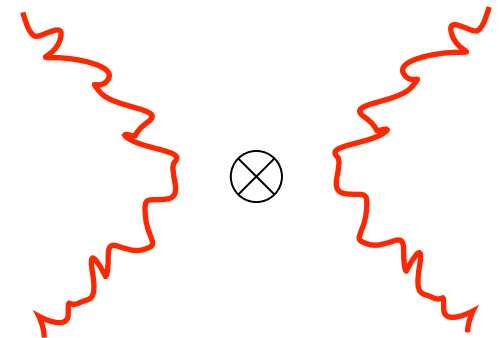
But this is exactly what fuzzball microstates do !

Thus we expect :



=

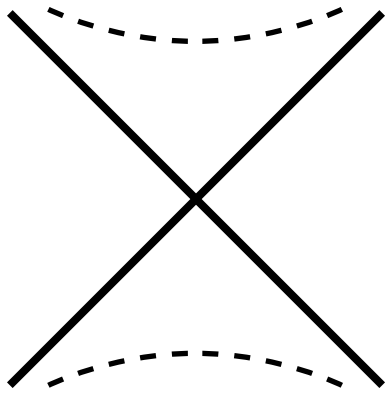
$\sum$



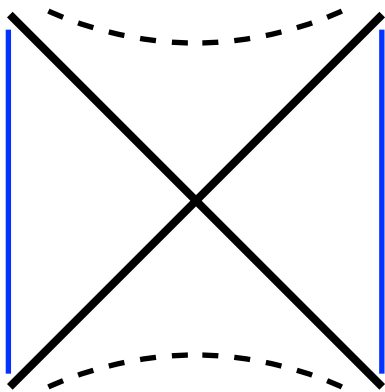
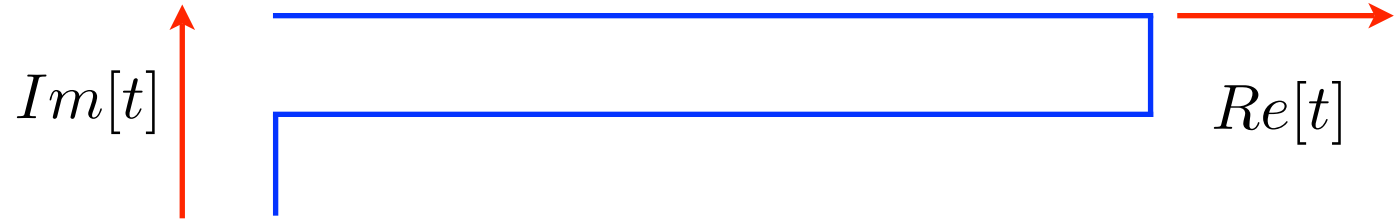
$$|0\rangle_M = \sum_k e^{-\frac{E_k}{2\pi}} |E_k\rangle_L \otimes |E_k\rangle_R$$



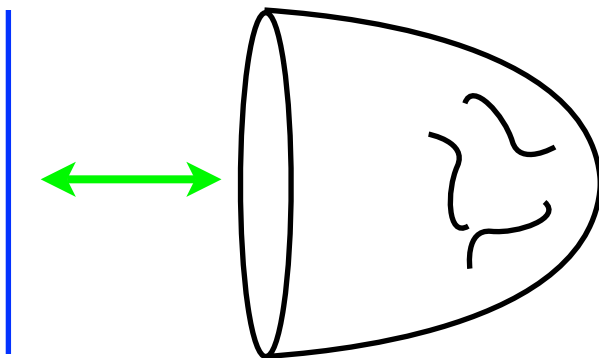
# Black Holes :



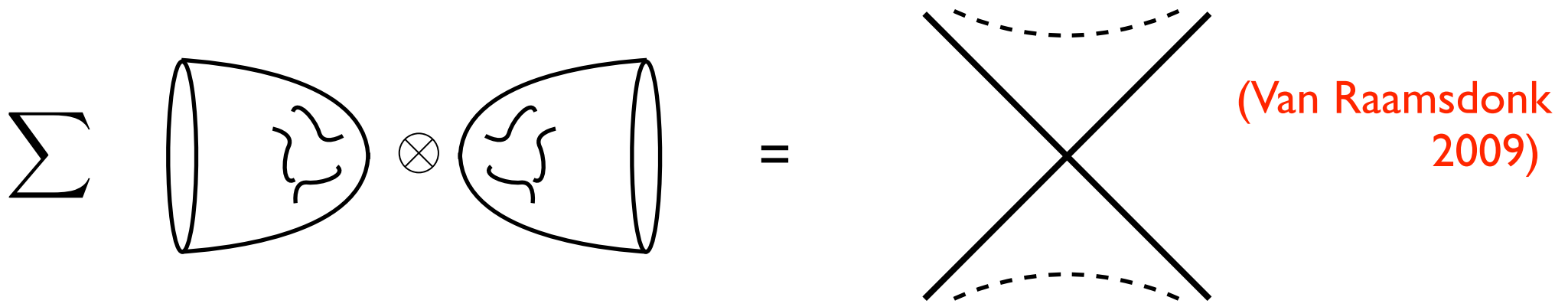
Israel (1976): The two sides of the eternal black hole are the two entangled copies of a thermal system in thermo-field-dynamics



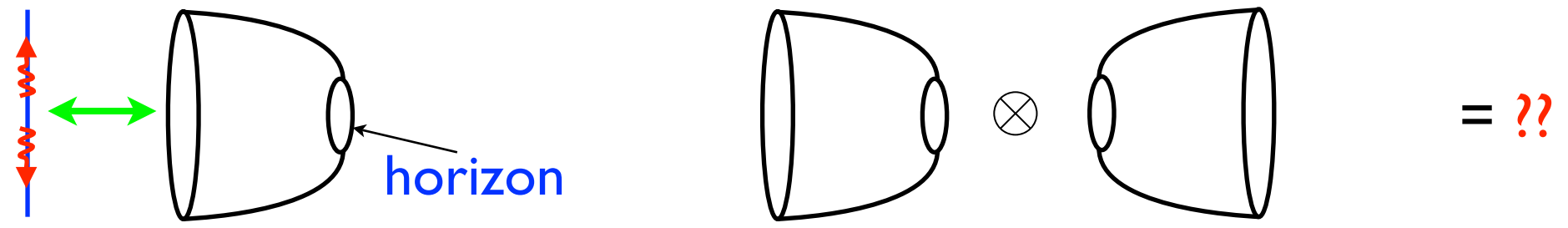
Maldacena (2001): This implies that the dual to the eternal black hole is two entangled copies of a CFT



Van Raamsdonk (2009): CFT states are dual to gravity solutions ... so we should be able to write an entangled sum of CFT states as an entangled sum of gravity states ...

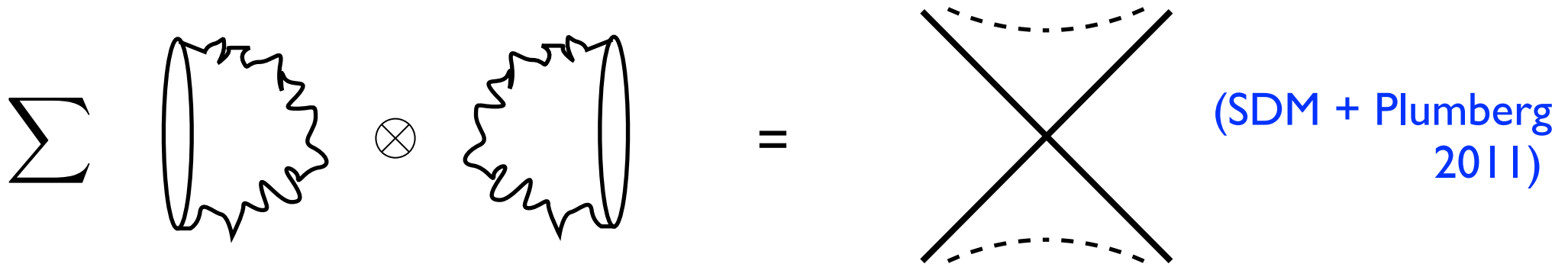


But what do we do with CFT states which are dual to black holes with a horizon ?



But the lesson from fuzzballs is that there are no microstates with horizons !! Thus there is only one 'class' of microstates, they just vary in their complexity

Thus we can expect that summing over fuzzball microstates will generate the eternal black hole spacetime



The fuzzball microstates do not have horizons, but the eternal black hole spacetime does ...

Is it reasonable to expect that sums over (disconnected) gravitational solutions can be a different (connected) gravitational solution ?

Something like this happens in 2-d Euclidean CFT ...

(a) **Low energy dynamics** ( $E \sim kT$ )



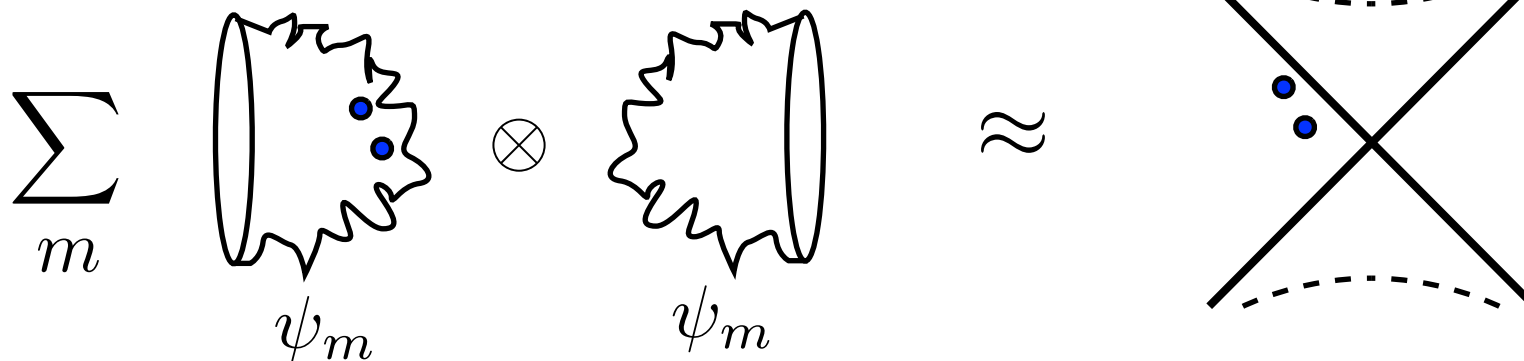
No horizon, radiation from ergoregions, so radiation like that from any warm body

no information loss since radiation depends on choice of microstate  $\psi_k$

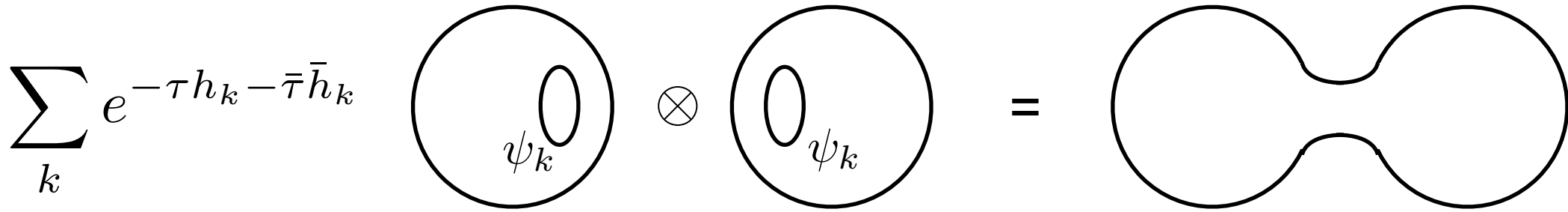
(b) **Correlators in high energy infalling frame** ( $E \gg kT$ )

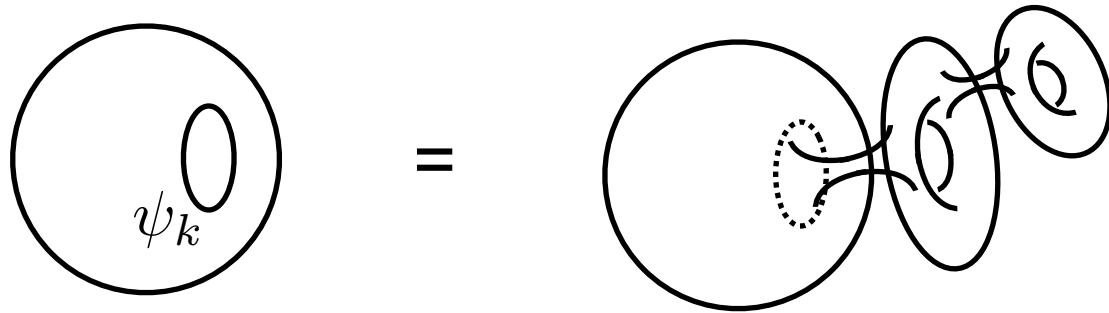
$$\langle \psi_k | \hat{O}_1 \hat{O}_2 | \psi_k \rangle \approx \sum_m e^{-\beta E_m} \langle \psi_m | \hat{O}_1 \hat{O}_2 | \psi_m \rangle$$

for generic states  $\psi_k$

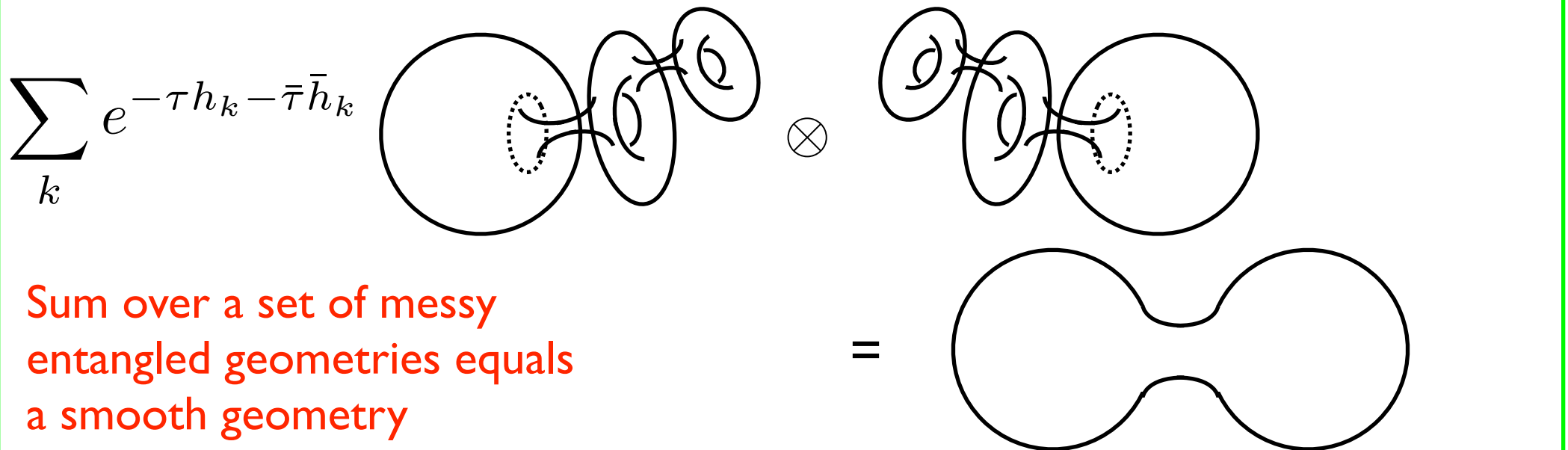


# 'Sewing' process in CFT

$$\sum_k e^{-\tau h_k - \bar{\tau} \bar{h}_k} \left( \text{circle with } \psi_k \text{ and } 0 \right) \otimes \left( \text{circle with } 0 \text{ and } \psi_k \right) = \text{smooth genus-2 surface}$$


$$\left( \text{circle with } \psi_k \text{ and } 0 \right) = \text{circle with internal structure}$$


Structure of state if continued inside

$$\sum_k e^{-\tau h_k - \bar{\tau} \bar{h}_k} \left( \text{circle with internal structure} \right) \otimes \left( \text{circle with internal structure} \right) = \text{smooth genus-2 surface}$$


Sum over a set of messy entangled geometries equals a smooth geometry

## Minkowski vacuum

$$|0\rangle_M = C \sum_i e^{-\frac{E_i}{2}} |E_i\rangle_L |E_i\rangle_R, \quad C = \left( \sum_i e^{-E_i} \right)^{-\frac{1}{2}}$$

## Expectation value of an operator in the right wedge

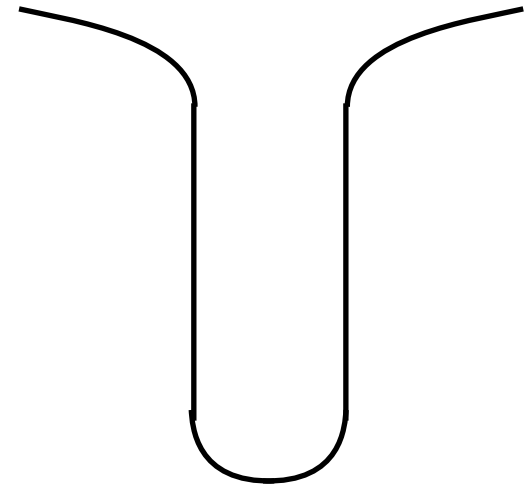
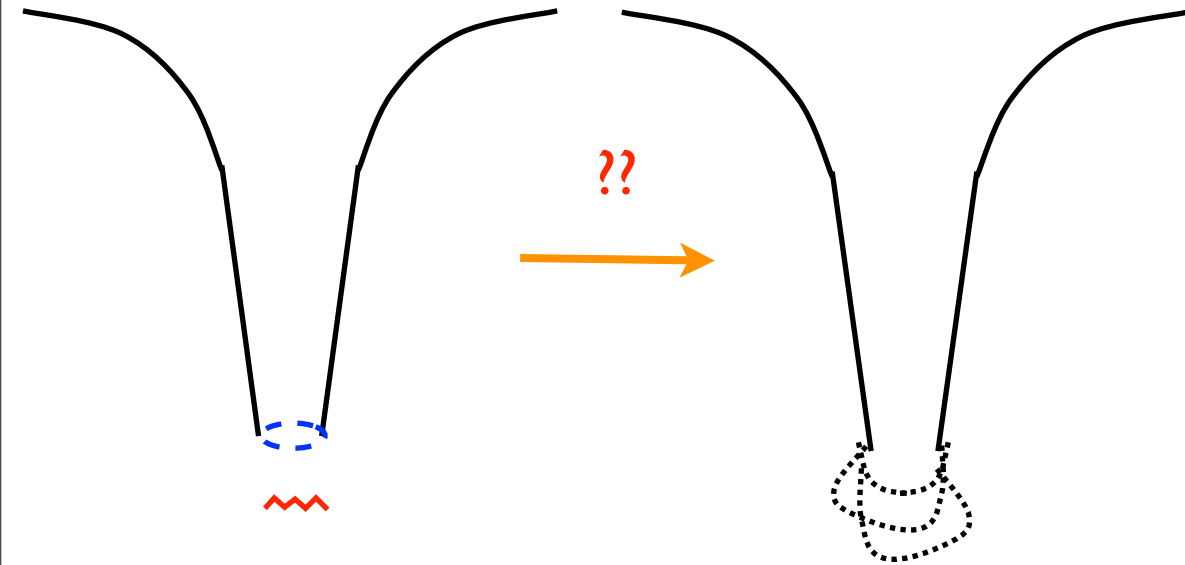
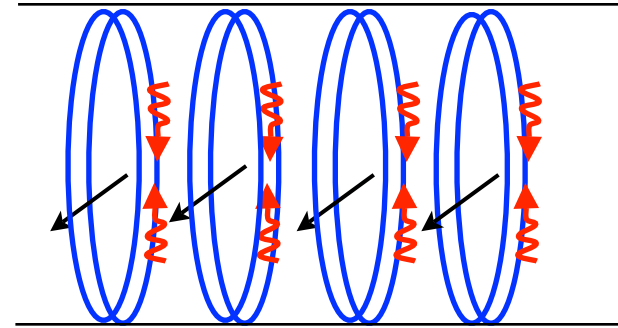
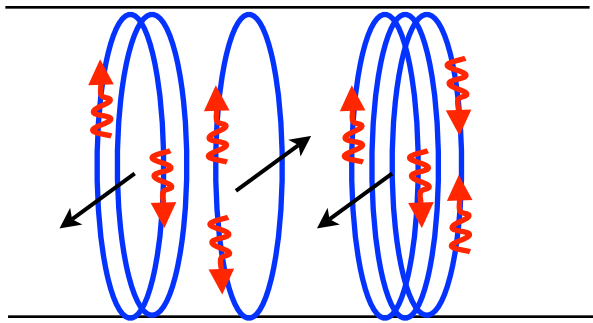
$$\begin{aligned} {}_M\langle 0 | \hat{O}_R | 0 \rangle_M &= C^2 \sum_{i,j} e^{-\frac{E_i}{2}} e^{-\frac{E_j}{2}} {}_L\langle E_i | E_j \rangle_L {}_R\langle E_i | \hat{O}_R | E_j \rangle_R \\ &= C^2 \sum_i e^{-E_i} {}_R\langle E_i | \hat{O}_R | E_i \rangle_R \end{aligned}$$

Thus for suitable (high impact) operators, the expectation value in a single (generic) fuzzball equals the expectation value in the naive extended geometry with horizons

$${}_R\langle E_k | \hat{O}_R | E_k \rangle_R \approx \frac{1}{\sum_l e^{-E_l}} \sum_i e^{-E_i} {}_R\langle E_i | \hat{O}_R | E_i \rangle_R = {}_M\langle 0 | \hat{O}_R | 0 \rangle_M$$

# Nonextremal states and Hawking radiation

# Nonextremal states: D1D5 + nonextremal energy





$$\begin{aligned}
ds^2 = & -\frac{f}{\sqrt{\tilde{H}_1 \tilde{H}_5}}(dt^2 - dy^2) + \frac{M}{\sqrt{\tilde{H}_1 \tilde{H}_5}}(s_p dy - c_p dt)^2 \\
& + \sqrt{\tilde{H}_1 \tilde{H}_5} \left( \frac{r^2 dr^2}{(r^2 + a_1^2)(r^2 + a_2^2) - Mr^2} + d\theta^2 \right) \\
& + \left( \sqrt{\tilde{H}_1 \tilde{H}_5} - (a_2^2 - a_1^2) \frac{(\tilde{H}_1 + \tilde{H}_5 - f) \cos^2 \theta}{\sqrt{\tilde{H}_1 \tilde{H}_5}} \right) \cos^2 \theta d\psi^2 \\
& + \left( \sqrt{\tilde{H}_1 \tilde{H}_5} + (a_2^2 - a_1^2) \frac{(\tilde{H}_1 + \tilde{H}_5 - f) \sin^2 \theta}{\sqrt{\tilde{H}_1 \tilde{H}_5}} \right) \sin^2 \theta d\phi^2 \\
& + \frac{M}{\sqrt{\tilde{H}_1 \tilde{H}_5}} (a_1 \cos^2 \theta d\psi + a_2 \sin^2 \theta d\phi)^2 \\
& + \frac{2M \cos^2 \theta}{\sqrt{\tilde{H}_1 \tilde{H}_5}} [(a_1 c_1 c_5 c_p - a_2 s_1 s_5 s_p) dt + (a_2 s_1 s_5 c_p - a_1 c_1 c_5 s_p) dy] d\psi \\
& + \frac{2M \sin^2 \theta}{\sqrt{\tilde{H}_1 \tilde{H}_5}} [(a_2 c_1 c_5 c_p - a_1 s_1 s_5 s_p) dt + (a_1 s_1 s_5 c_p - a_2 c_1 c_5 s_p) dy] d\phi \\
& + \sqrt{\frac{\tilde{H}_1}{\tilde{H}_5}} \sum_{i=1}^4 dz_i^2
\end{aligned}$$

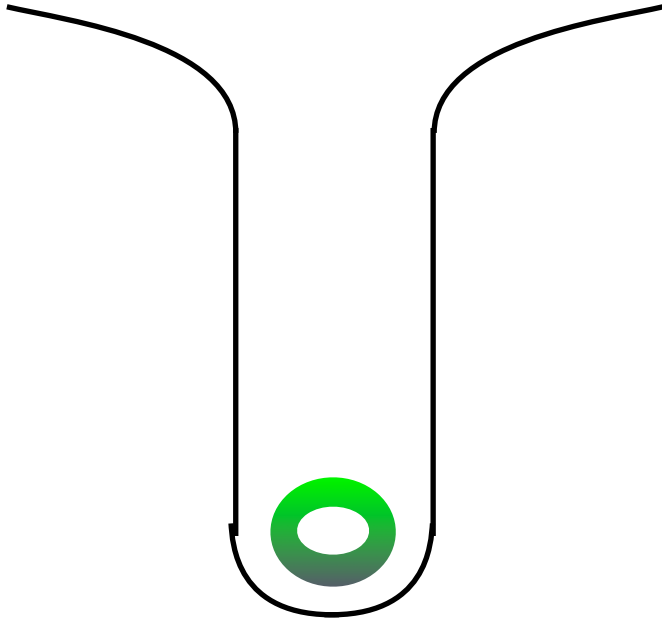
$$\begin{aligned}
Q_1 &= \frac{g\alpha'^3}{V} n_1 \\
Q_5 &= g\alpha' n_5 \\
Q_p &= \frac{g^2 \alpha'^4}{V R^2} n_p
\end{aligned}$$

(Jejalla, Madden, Ross  
Titchener '05)

$$\tilde{H}_i = f + M \sinh^2 \delta_i, \quad f = r^2 + a_1^2 \sin^2 \theta + a_2^2 \cos^2 \theta$$

$$Q_1 = M \sinh \delta_1 \cosh \delta_1, \quad Q_5 = M \sinh \delta_5 \cosh \delta_5, \quad Q_p = M \sinh \delta_p \cosh \delta_p$$

## Structure of the geometry



There is no horizon

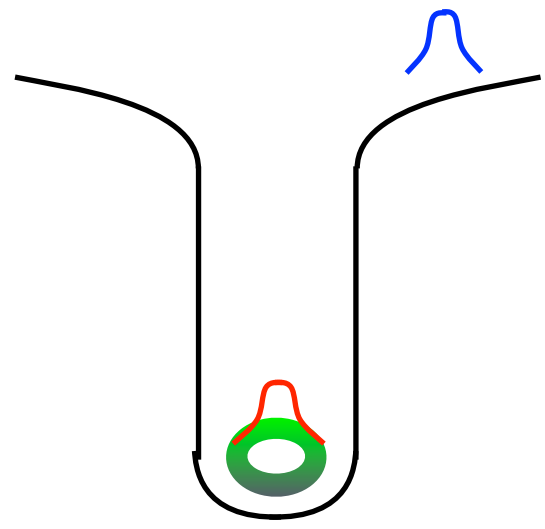
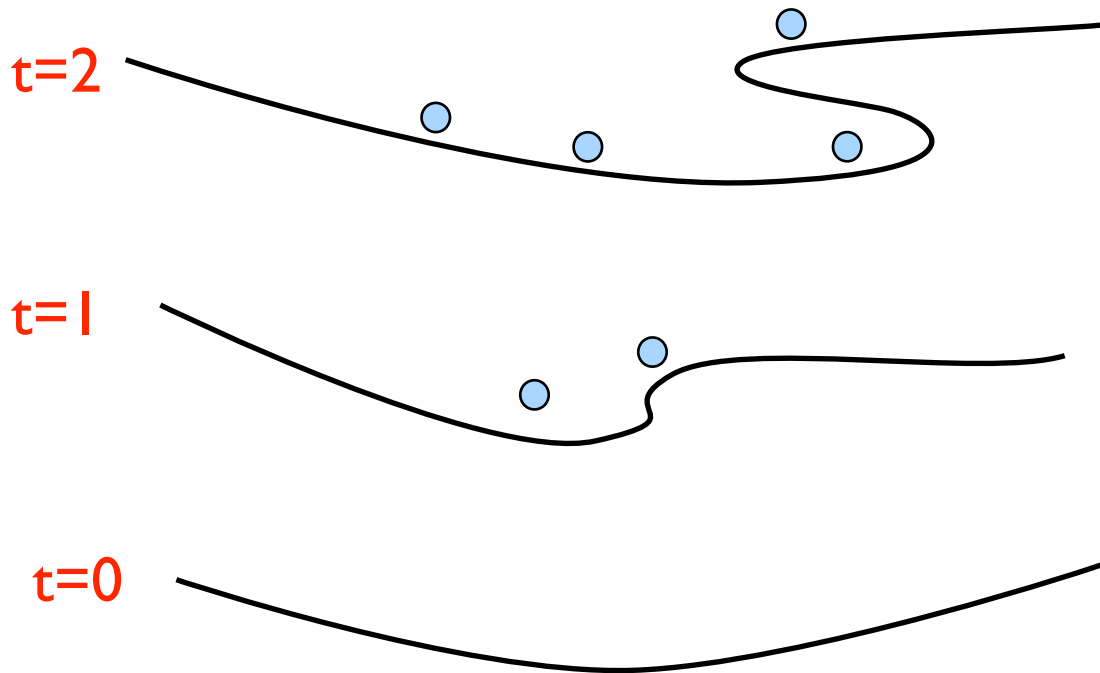
But there is an **ERGOREGION**

The geometry does not depend on  $t$ ,  
so  $\partial/\partial t$  is a Killing vector

But this Killing vector is not timelike  
everywhere:

It is **SPACELIKE** in the ergoregion

So even though the metric is independent of  $t$ , any foliation with spacelike hypersurfaces will be **TIME-DEPENDENT**



Since the geometry of the slice keeps changing, the vacuum of the initial slice is not the vacuum on later slices, and we have particle pair creation near the ergoregion

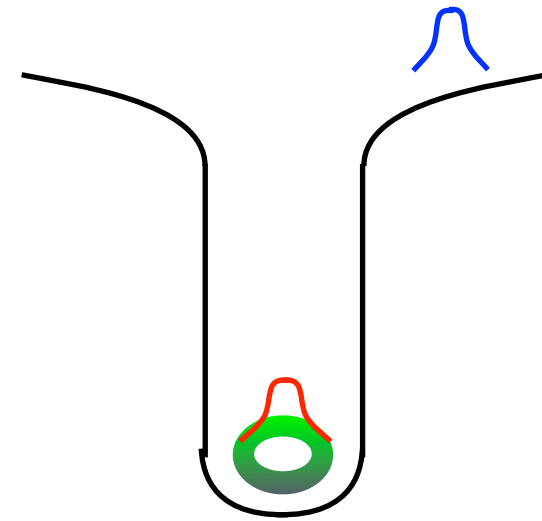
One member of the pair falls into the ergoregion, and tends to 'cancel' the frame dragging causing the ergoregion

The other member escapes to infinity as radiation

# Radiation from the ergoregion

Scalar field  $\square\Psi = 0$

$$\Psi = \psi(x)e^{-i\omega t}$$



$$\omega \simeq \omega_R = \frac{1}{R} (-l - m_\psi m + m_\phi n - | -\lambda - m_\psi n + m_\phi m | - 2(N + 1))$$

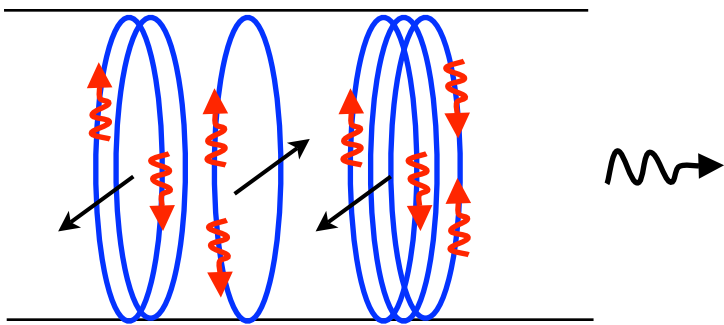
$$\omega_I = \frac{1}{R} \left( \frac{2\pi}{[l!]^2} \left[ (\omega^2 - \frac{\lambda^2}{R^2}) \frac{Q_1 Q_5}{4R^2} \right]^{l+1} {}^{l+1+N}C_{l+1} {}^{l+1+N+|\zeta|}C_{l+1} \right)$$

$$\zeta \equiv -\lambda - m_\psi n + m_\phi m$$

(Cardoso, Dias, Jordan, Hovdebo, Myers, '06)

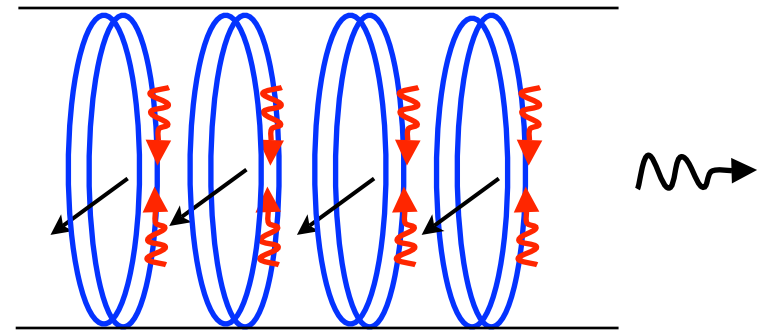
$$N \geq 0$$

Is this 'Hawking radiation' for this particular microstate ?



$$\Gamma = V \rho_L \rho_R$$

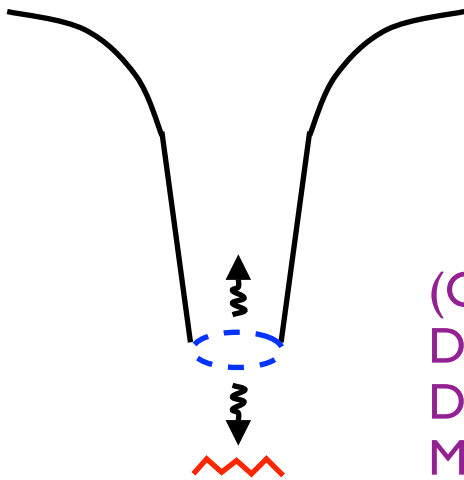
Setting  $\rho_L, \rho_R$   
as bose and fermi thermal  
distributions gives the  
Hawking radiation spectrum  
from the black hole



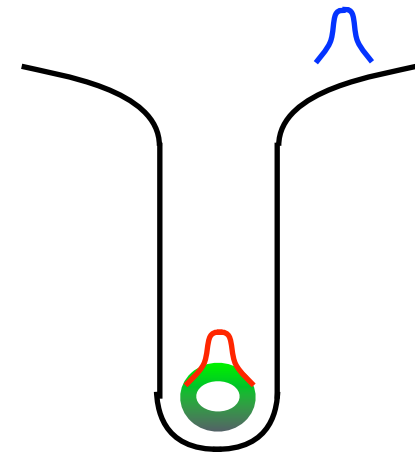
$$\Gamma_{microstate} = V \bar{\rho}_L \bar{\rho}_R$$

We find

$$\Gamma_{microstate} = \Gamma_{gravity}$$

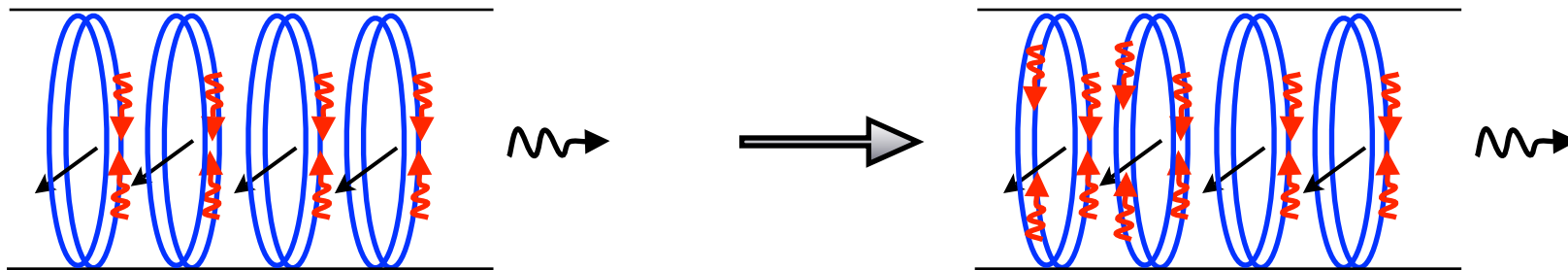


(Callan-Maldacena 96,  
Dhar-Mandal-Wadia 96,  
Das-Mathur 96,  
Maldacena-Strominger 96)



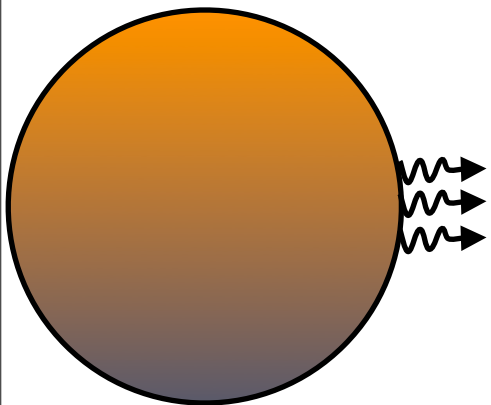
Thus we can explicitly see the interior geometry for this microstate and unitary Hawking radiation carrying out information from the interior of the microstate

We can similarly find ergoregions for less symmetrical microstates :

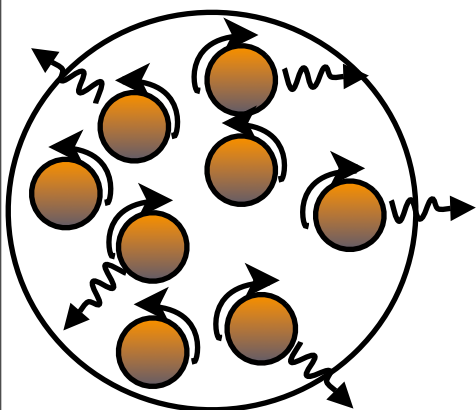
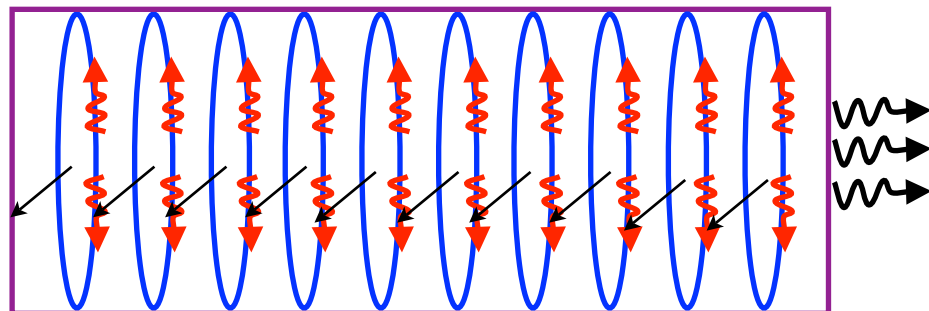


(Chowdhury+SDM 07, 08)

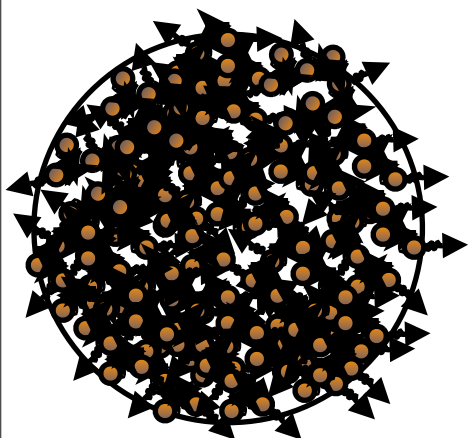
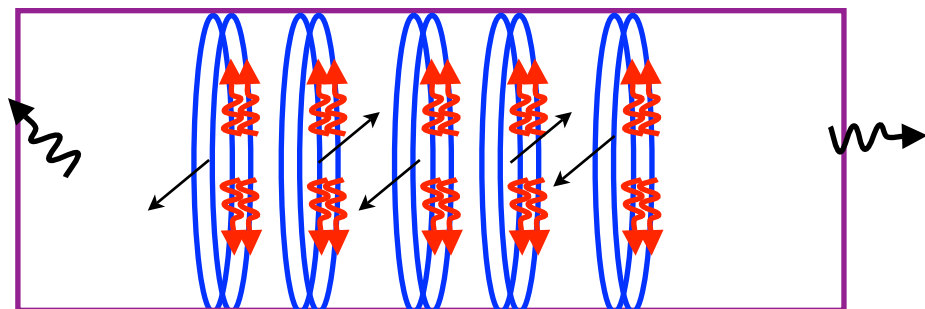
# Special and generic states in gravity: conjecture



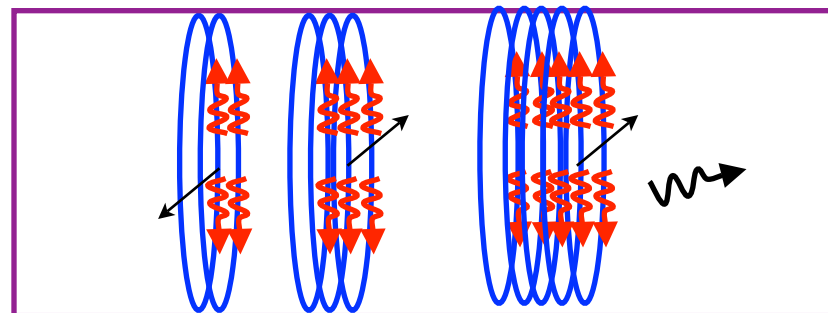
Classical geometry,  
axial symmetry,  
standard ergoregion,  
enhanced emission



'Star cluster'. Different  
stars have ergoregions  
with different orientations,  
so there is no axial  
symmetry in the emission



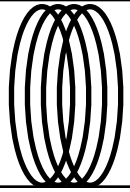
A generic state is very  
quantum, with very  
'shallow' ergoregions,  
and quanta leak out  
slowly as Hawking  
radiation



## Constructing 2-charge extremal fuzzballs



# Making black holes in string theory



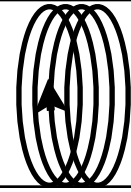
$n_1$

+

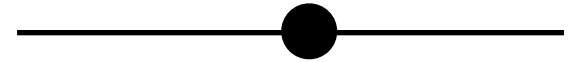


$n_p$

=



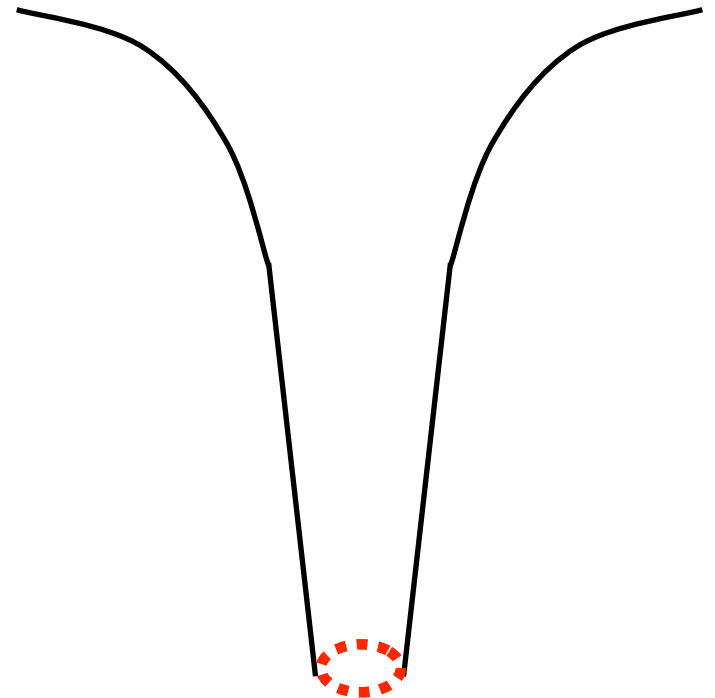
$n_1$   $n_p$



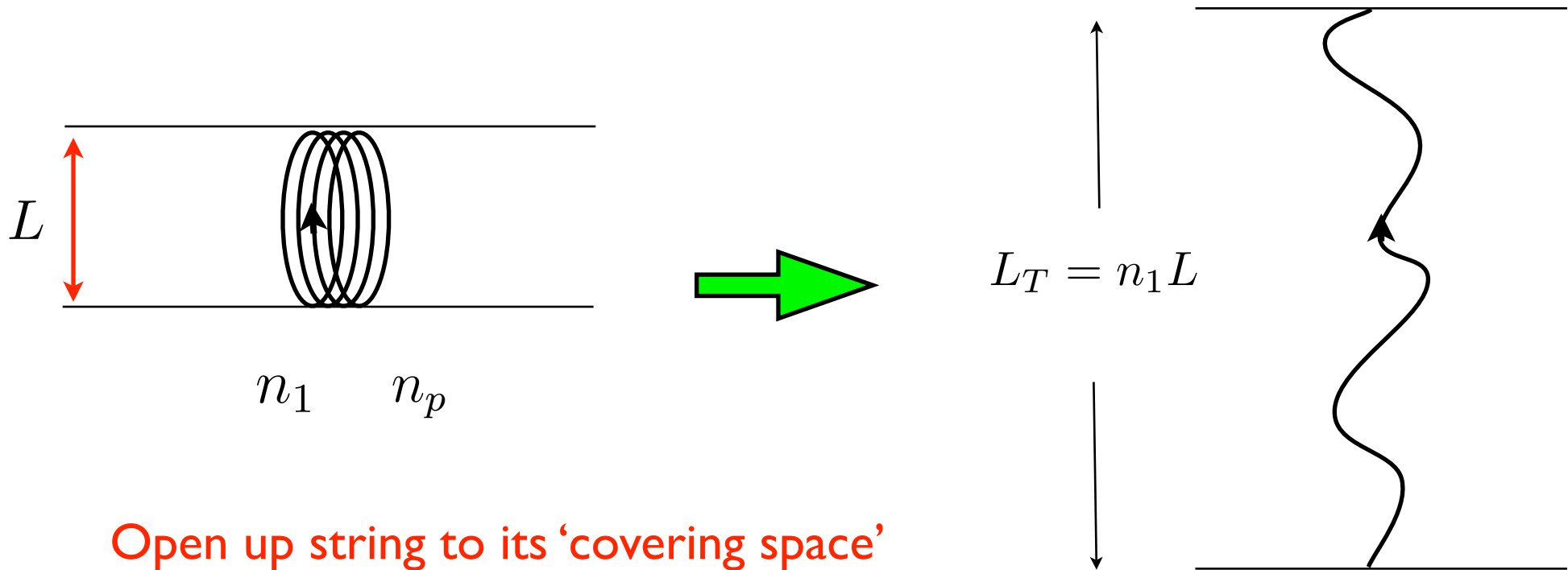
For  $K3 \times S^1$  compactification,  
geometry gives a Bekenstein - Wald  
entropy

$$S_{bek} = \frac{A}{2G} = 4\pi \sqrt{n_1 n_p}$$

(Dabholkar '04)



Can we get this entropy by a microscopic count of states ?



Open up string to its 'covering space'  
We have transverse vibrations carrying  
momentum up the string

$$S_{micro} = 4\pi \sqrt{n_1 n_p}$$

$$S_{bek} = \frac{A}{2G} = 4\pi \sqrt{n_1 n_p} = S_{micro}$$

(Susskind '93, Sen '94)

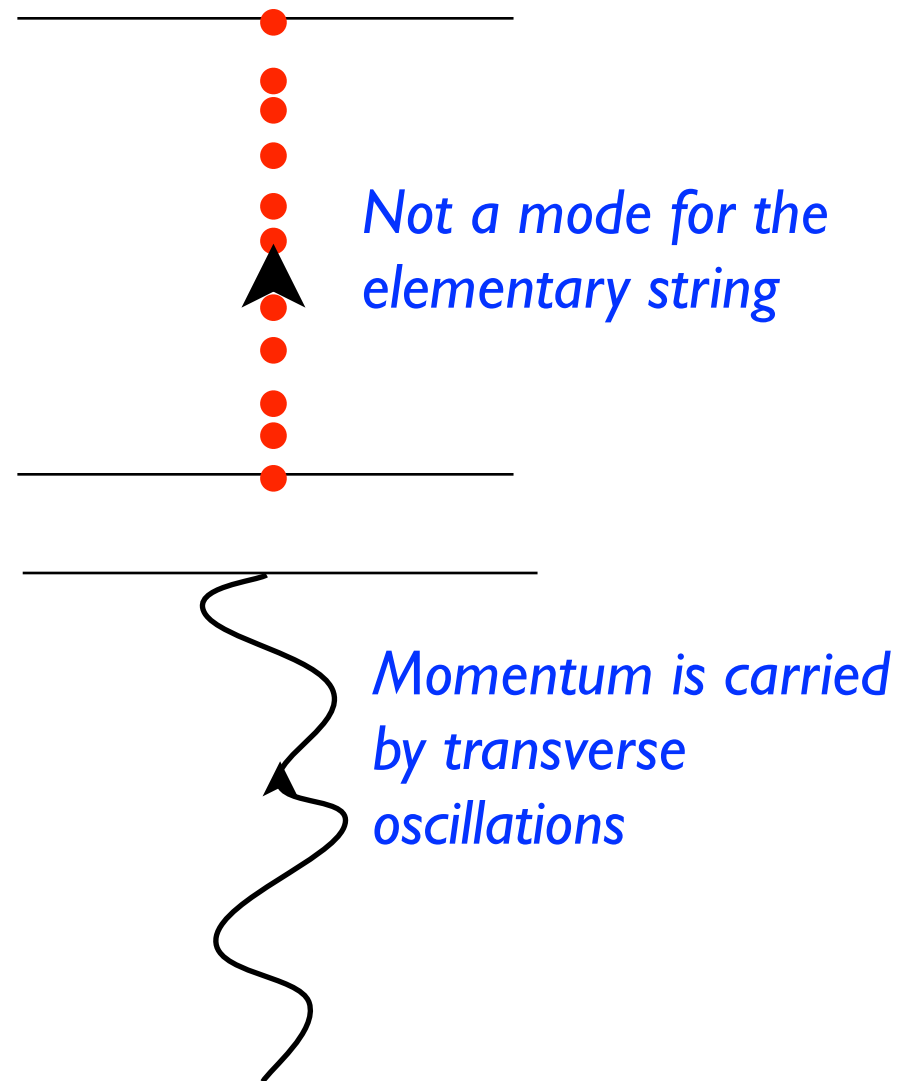
## A key point

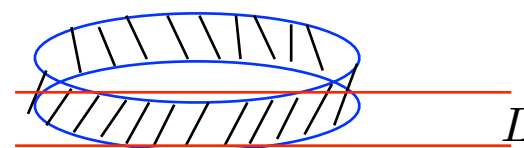
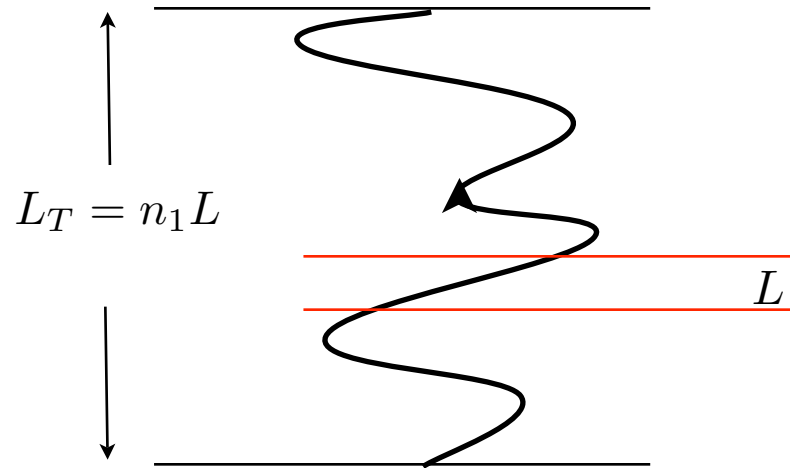
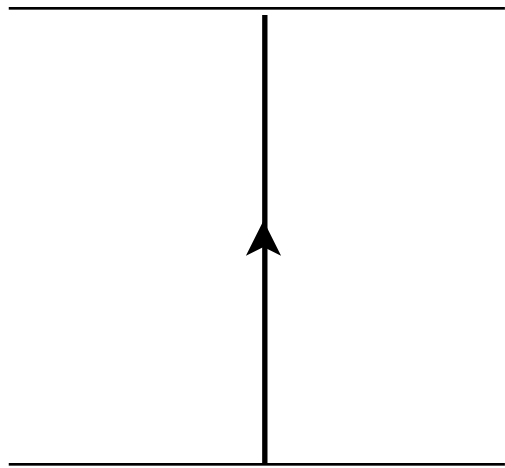
The elementary string (NSI) does not have any LONGITUDINAL vibration modes

This is because it is not made up of 'more elementary particles'

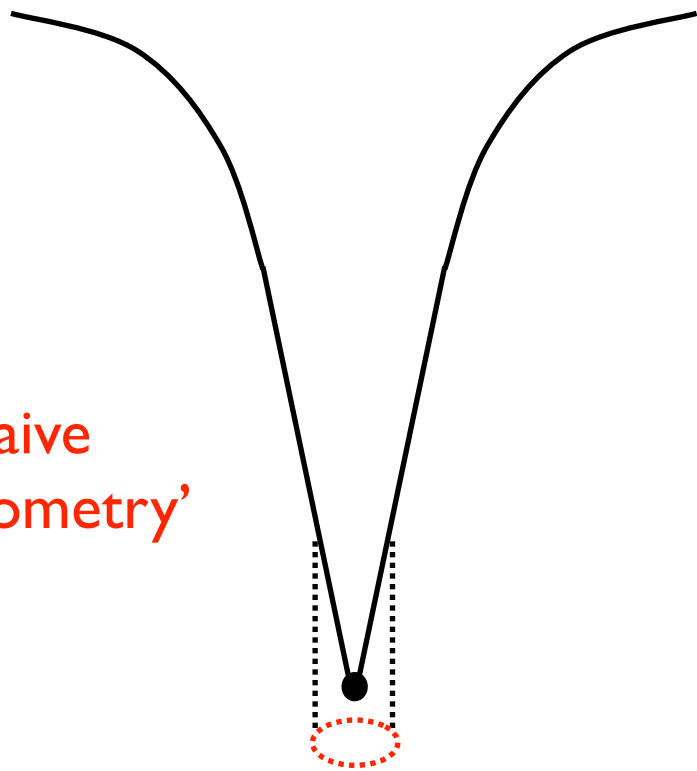
Thus only transverse oscillations are permitted

This causes the string to spread over a nonzero transverse area

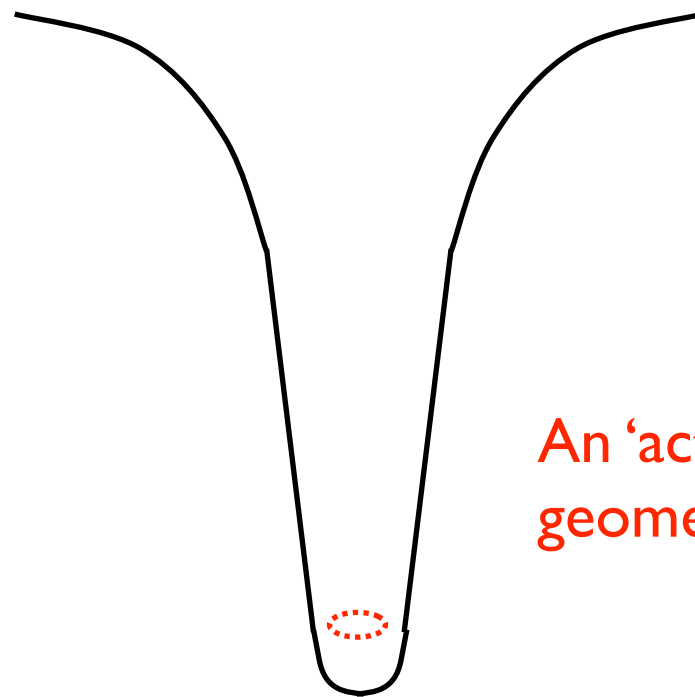




'Naive  
geometry'



An 'actual  
geometry'



## Making the geometry

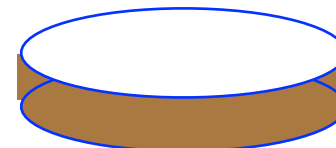
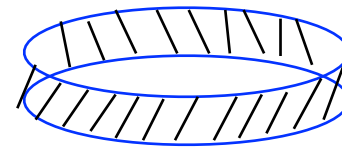
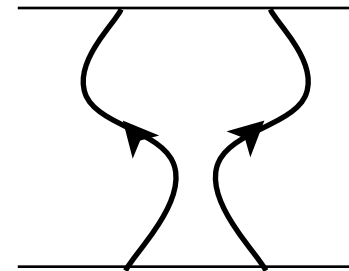
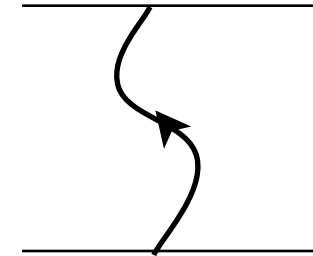
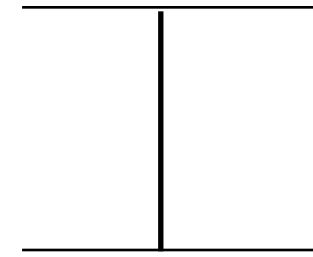
We know the metric of one straight strand of string

We know the metric of a string carrying a wave -- ‘Vachaspati transform’

We get the metric for many strands by superposing harmonic functions from each strand

(Dabholkar, Gauntlett, Harvey, Waldram '95, Callan, Maldacena, Peet '95)

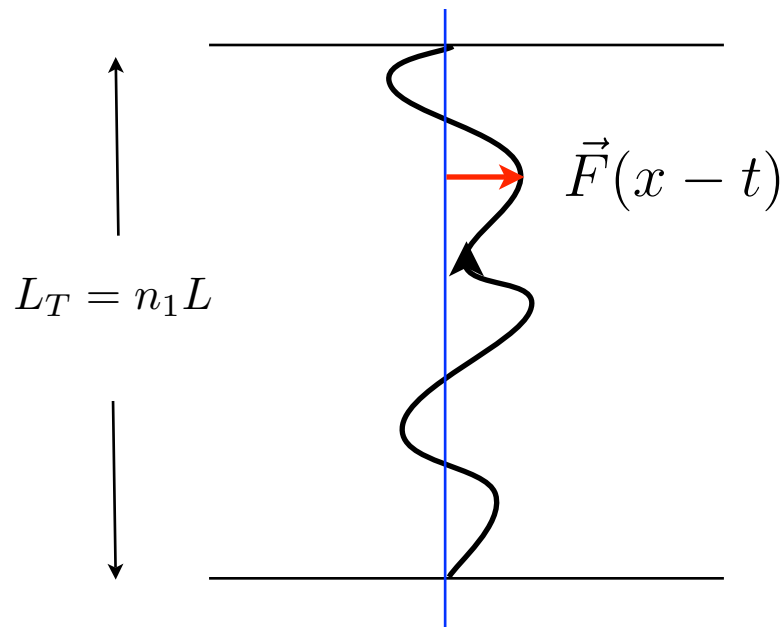
In our present case, we have a large number of strands, so we ‘smear over them to make a continuous ‘strip’ (Lunin+SDM '01)



$$ds_{string}^2 = H[-dudv + Kdv^2 + 2A_i dx_i dv] + \sum_{i=1}^4 dx_i dx_i + \sum_{a=1}^4 dz_a dz_a$$

$$B_{uv} = \frac{1}{2}[H - 1], \quad B_{vi} = HA_i$$

$$e^{2\phi} = H$$

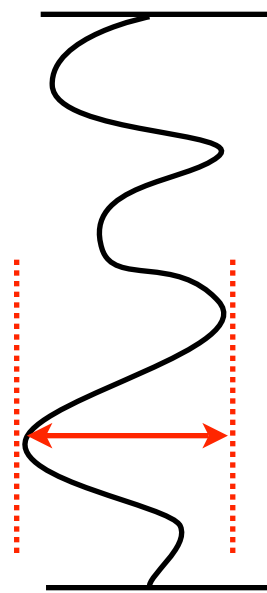
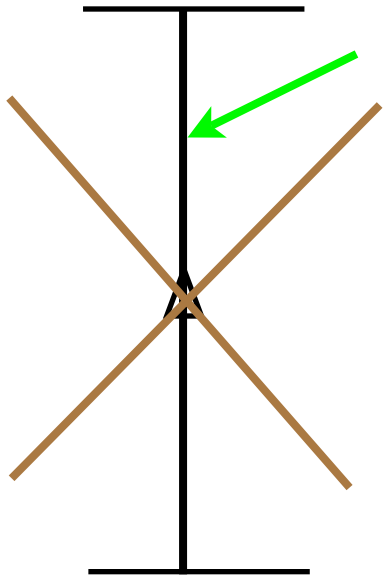


$$H^{-1} = 1 + \frac{Q_1}{L_T} \int_0^{L_T} \frac{dv}{|\vec{x} - \vec{F}(v)|^2}$$

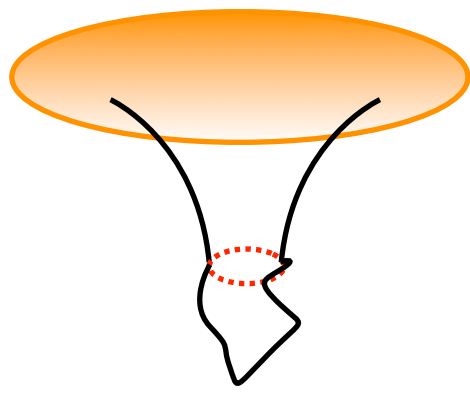
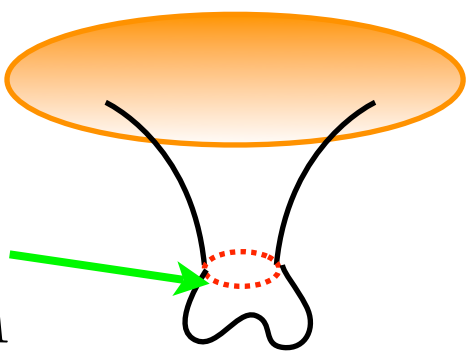
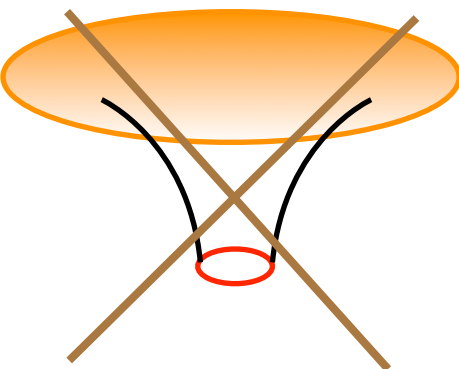
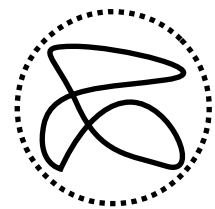
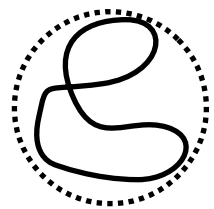
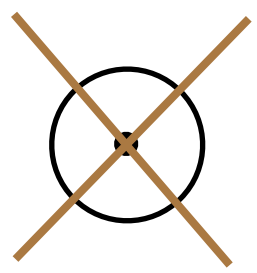
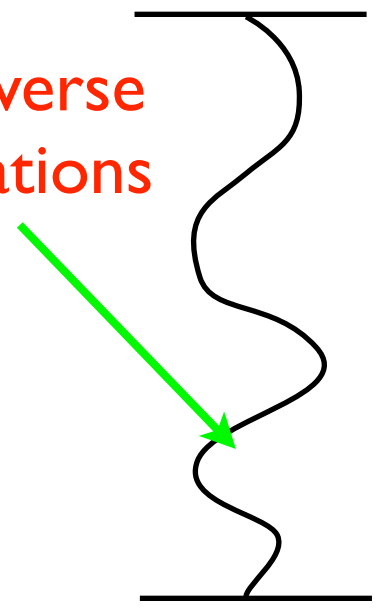
$$K = \frac{Q_1}{L_T} \int_0^{L_T} \frac{dv (\dot{\vec{F}}(v))^2}{|\vec{x} - \vec{F}(v)|^2}$$

$$A_i = -\frac{Q_1}{L_T} \int_0^{L_T} \frac{dv \dot{F}_i(v)}{|\vec{x} - \vec{F}(v)|^2}$$

No longitudinal mode



Transverse oscillations



Boundary area satisfies  $S \sim \frac{A}{G}$

We can map the NS1 - P bound state to a D5 - D1 bound state

$$\begin{aligned} NS1 P (IIB) & \xrightarrow{S} D1 P (IIB) \\ & \xrightarrow{T_{1234}^{T^4}} D5 P (IIB) \\ & \xrightarrow{S} NS5 P (IIB) \\ & \xrightarrow{T_{S^1}^{S^1}} NS5 NS1 (IIA) \\ & \xrightarrow{T_{1^1}^{T^4}} NS5 NS1 (IIB) \\ & \xrightarrow{S} D5 D1 (IIB) \end{aligned}$$



# Geometry for D1-D5

$$ds^2 = \sqrt{\frac{H}{1+K}} [-(dt - A_i dx^i)^2 + (dy + B_i dx^i)^2] \\ + \sqrt{\frac{1+K}{H}} dx_i dx_i + \sqrt{H(1+K)} dz_a dz_a$$

$$H^{-1} = 1 + \frac{Q}{L_T} \int_0^{L_T} \frac{dv}{|\vec{x} - \vec{F}(v)|^2}$$

$$K = \frac{Q}{L_T} \int_0^{L_T} \frac{dv (\dot{\vec{F}}(v))^2}{|\vec{x} - \vec{F}(v)|^2}$$

$$A_i = -\frac{Q}{L_T} \int_0^{L_T} \frac{dv \dot{F}_i(v)}{|\vec{x} - \vec{F}(v)|^2}$$

$$dB = - * _4 dA$$

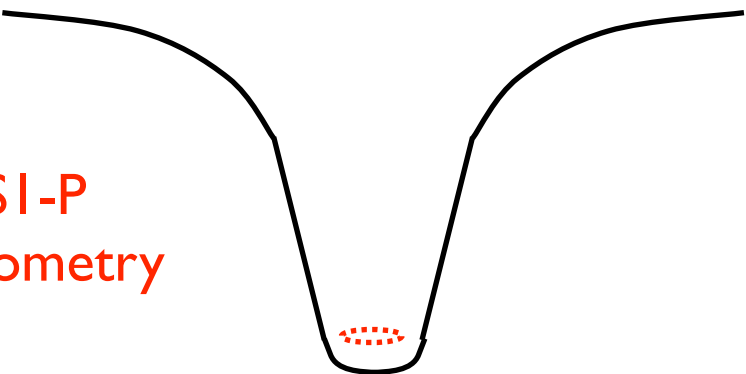
(Lunin+SDM '01,

Lunin+Maldacena+Maoz 02

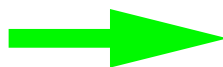
Taylor 05, Skenderis+Taylor 06)

# Dipole charges

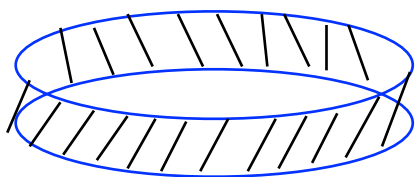
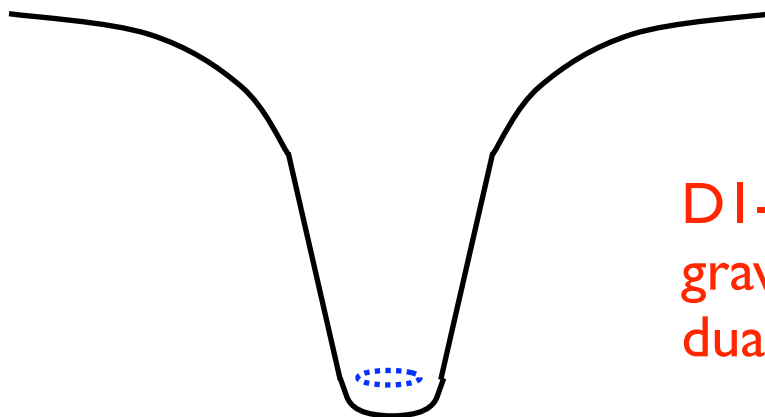
NSI-P  
geometry



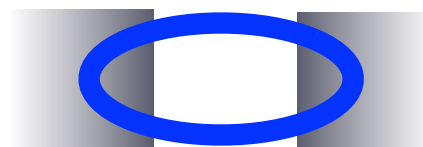
S,T  
dualities



D1-D5  
gravity  
dual



anti-  
KK



KK



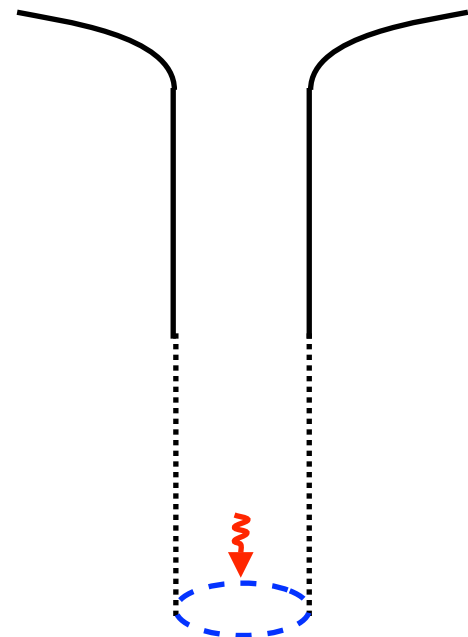
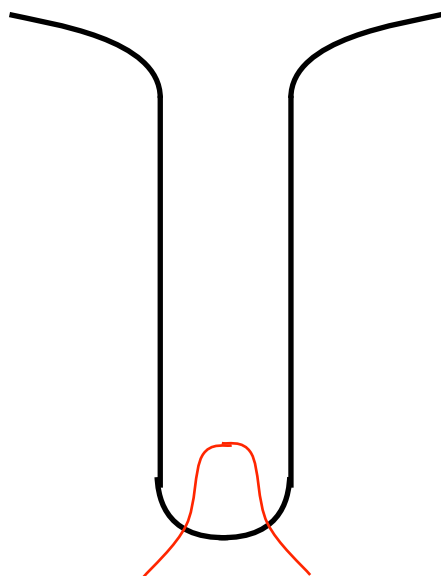
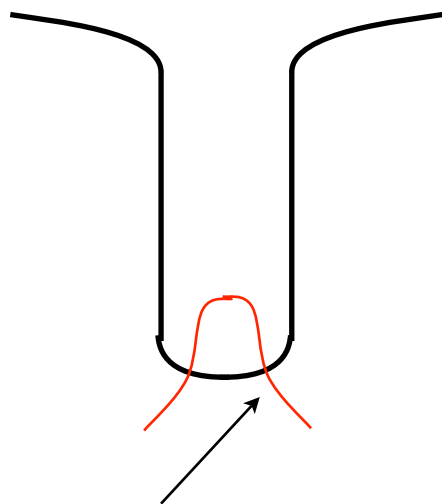
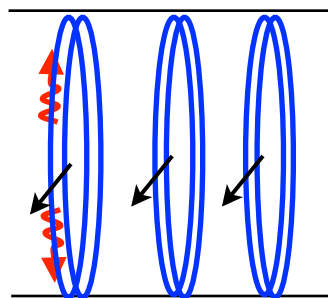
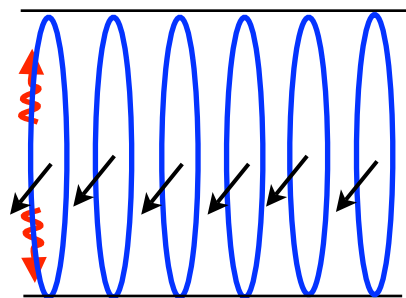
true  
charge

dipole  
charge

True charges are D1, D5  
Dipole charge is KK monopole

Energy gaps exactly agree between the CFT and the gravity solution...

(so we must have fuzzballs ...)

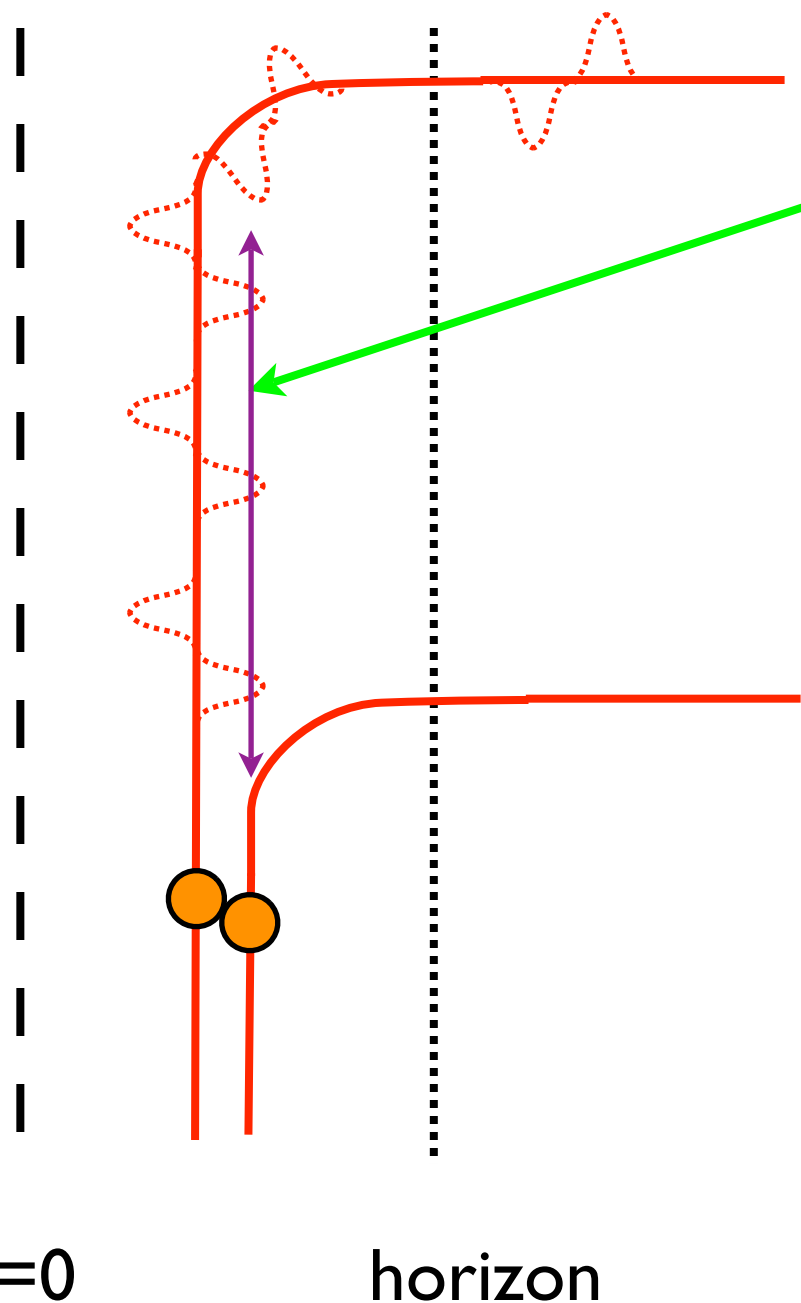


Wavefunctions  
of supergravity  
quanta

??

Nonlocality

## 'Over-stretching' of slices



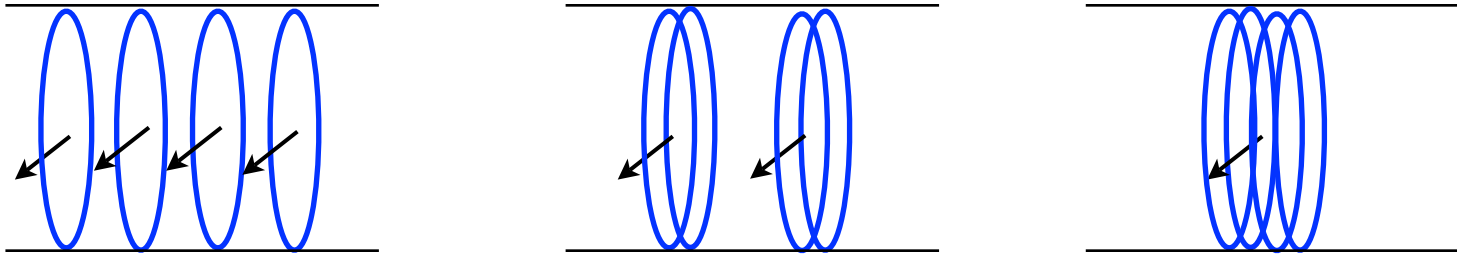
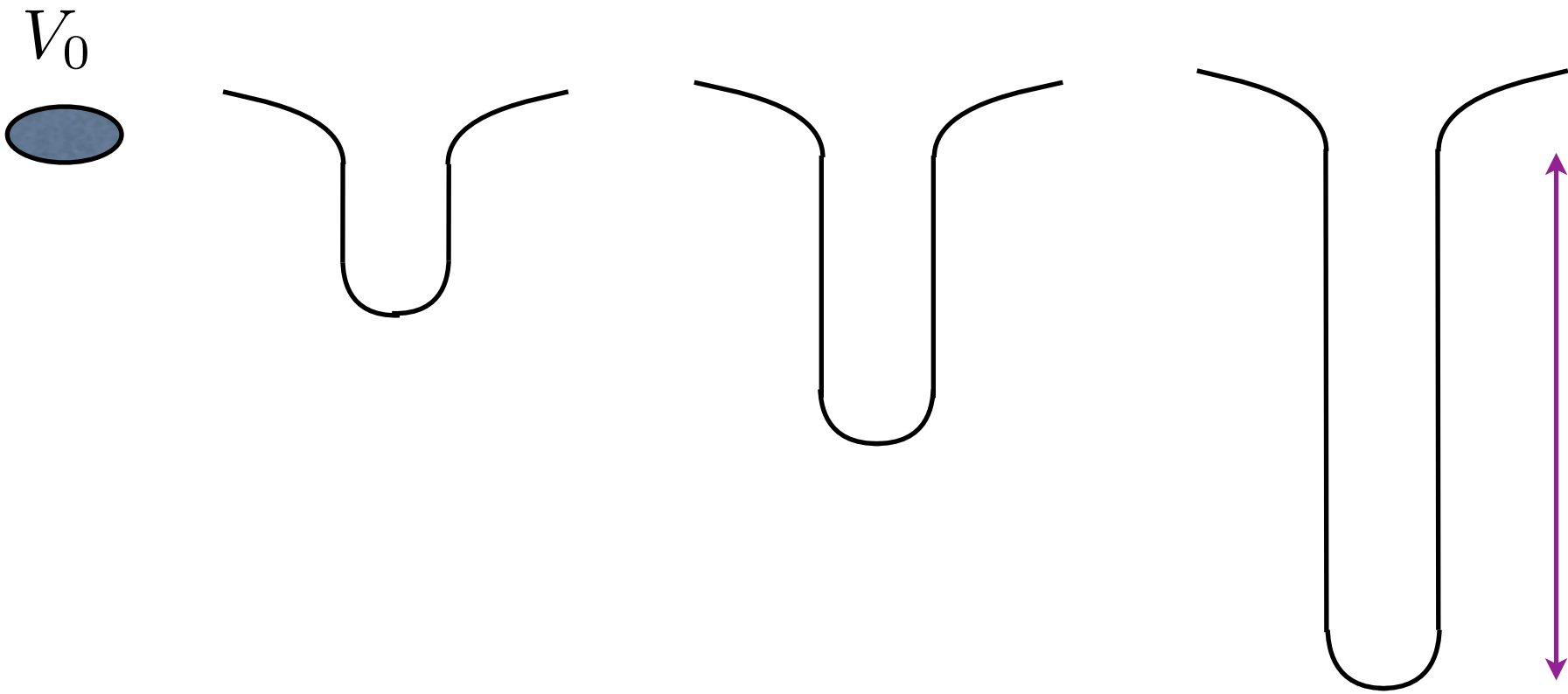
$$R_s \times S_{bek} \sim \frac{R_s^3}{G} \sim \frac{V}{G}$$

(a very long length)

Suppose that new nonlocal effects arose when a slice was stretched too much ...

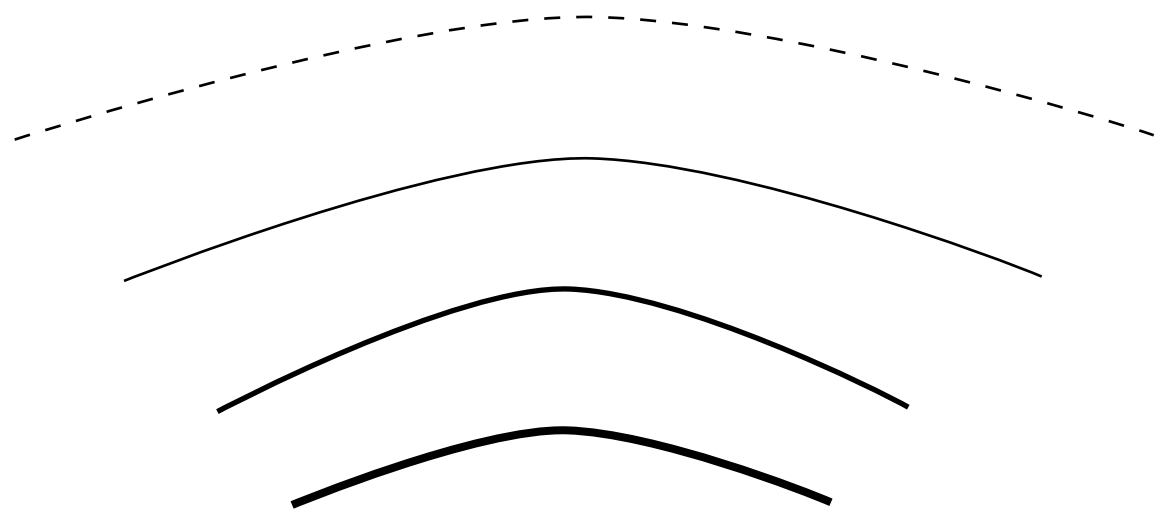
Then the information problem would be solved: lab physics is local because stretching is small, but black hole slices have nonlocal physics after they stretch enough to hold half the Hawking radiation ...

(SDM gr-qc/0007011,  
Giddings hep-th/0911.3395)



D1D5 extremal states: it appears the space can be 'stretched' only upto a maximal depth, which is of the correct order ...

But what happens if we apply this to the Universe ?



Spacelike slices are not just an abstract manifold, but have a 'thickness'

If we 'stretch too much', semiclassical physics breaks down, nonlocal effects start

(SDM hep-th/0305204)

But our Universe started with the size of a marble and 'stretched' to 3000 Mega-parsecs ... and we seem to have normal physics today ...