

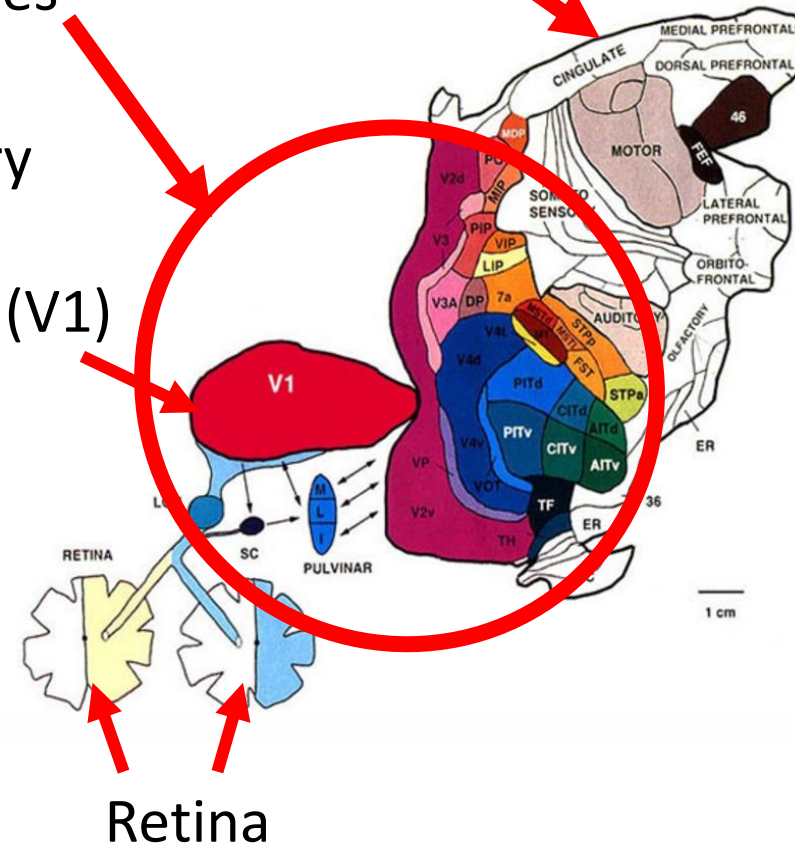
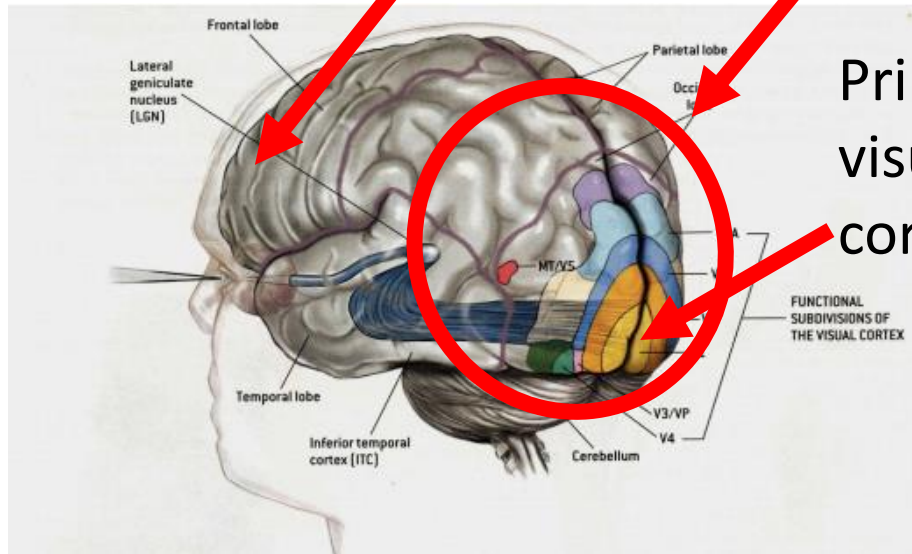
# A new path to understanding vision

from the perspective of the primary visual cortex

Frontal brain areas

Visual  
cortices

Primary  
visual  
cortex (V1)

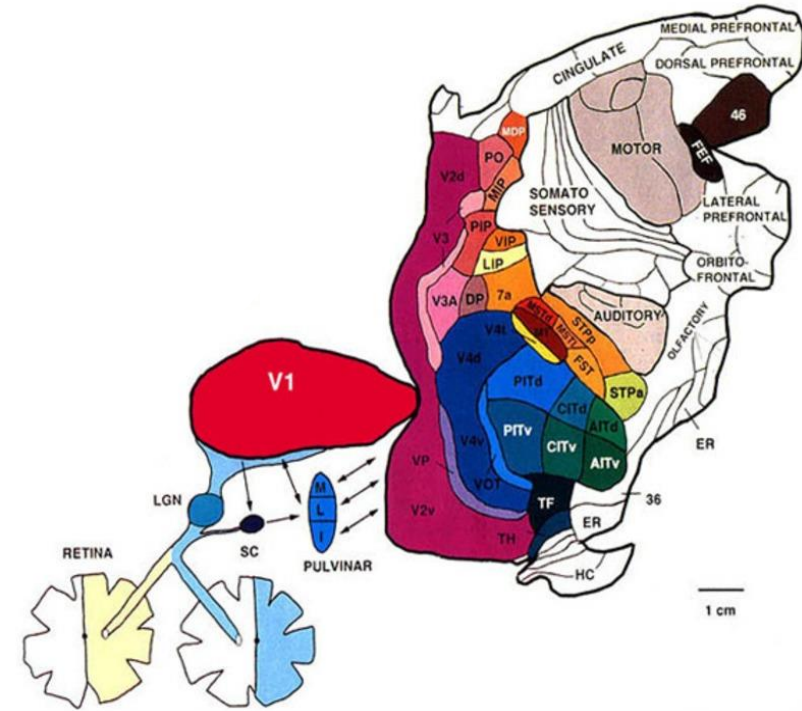
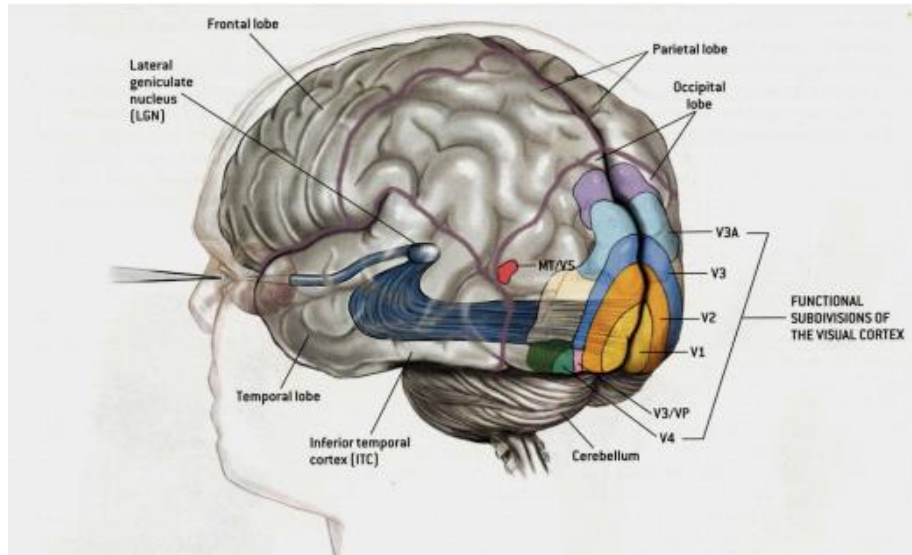


Li Zhaoping  
Sep. 14, 2018,  
KITP, Santa Barbara, CA, USA

# A new path to understanding vision

## Traditional paths to understanding vision

- (1) Low level vision, mid-level vision, high-level vision
- (2) David Marr: primal sketch, 2.5 d sketch, 3-d model.



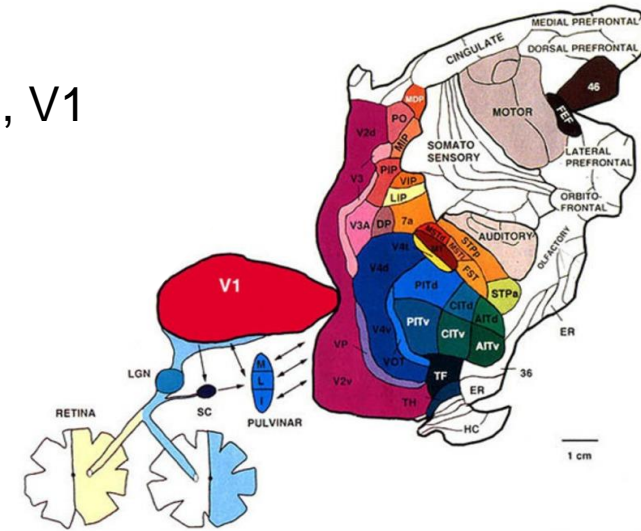
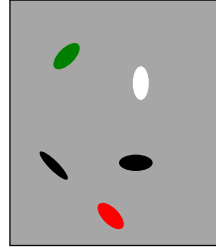
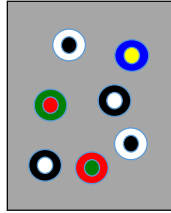
Li Zhaoping  
July 16, 2018, presented at APCV 2018,  
HangZhou, China

## Talk outline

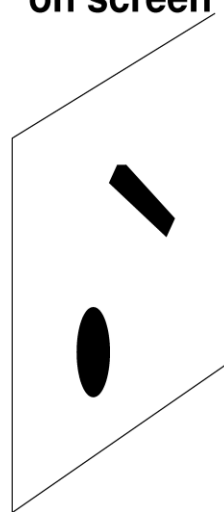
- (1) The functional role of the primary visual cortex (V1)
- (2) In light of V1's role →  
a new plan to understanding vision
- (3) A first example study in this new plan

# The primary visual cortex (V1)

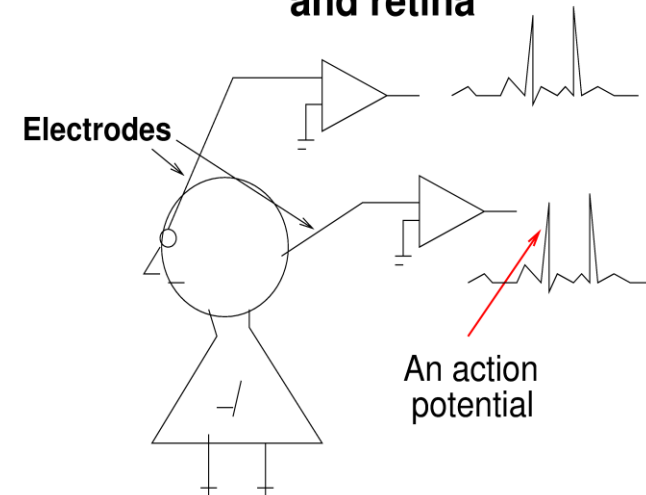
1953, Stephen Kuffler, retina, 1959-- Hubel and Wiesel, V1



Stimuli  
on screen

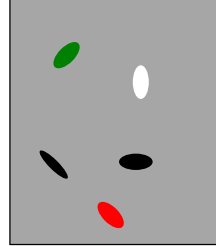
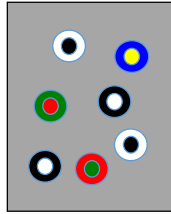


Recording  
from the brain  
and retina



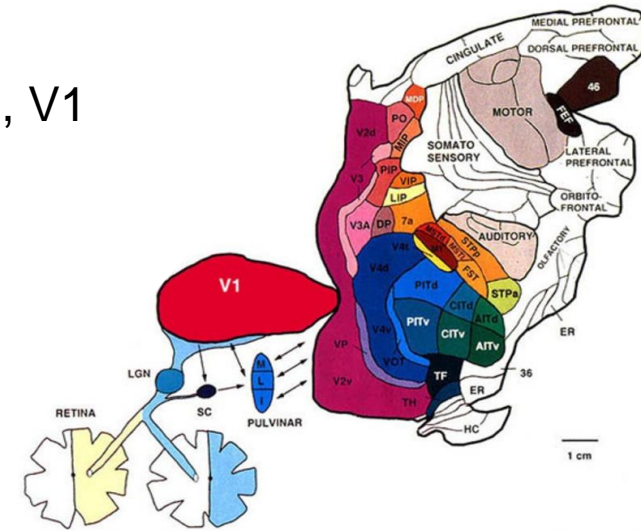
# The primary visual cortex (V1)

1953, Stephen Kuffler, retina, 1959-- Hubel and Wiesel, V1



Then ...

Experimentally: V1 and beyond  
Theoretical/modelling, Reichardt, Marr, etc.



2005: How close are we to understand V1?

Olshausen and Field 2005

Do we really know what the early visual system does?

Carandini, Demb, Mante, Tolhurst, Dan, Olshausen, Gallant, Rust, 2005

**Standard models of V1 neural receptive field (combining filtering, rectification, squaring, normalization) captures only 15-35% of the variances in V1 responses.**

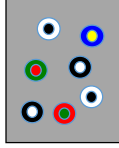
2012, David Hubel, in answer to “What Do You Feel Are the Next Big Questions in the Field?”

**”We have some idea ... for the retina, the lateral geniculate body, and the primary visual cortex, but that’s about it.”**

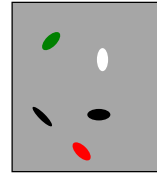
(Hubel & Wiesel 2012, Neuron)

# The primary visual cortex (V1)

1953, Stephen Kuffler, retina,



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Then ...

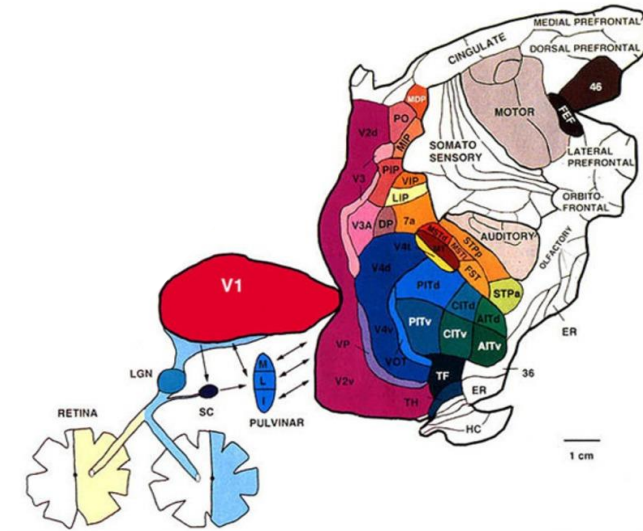
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(Hubel & Wiesel 2012, Neuron)

## Questions:

Is a lack of understanding of V1 hindering our progress beyond V1?

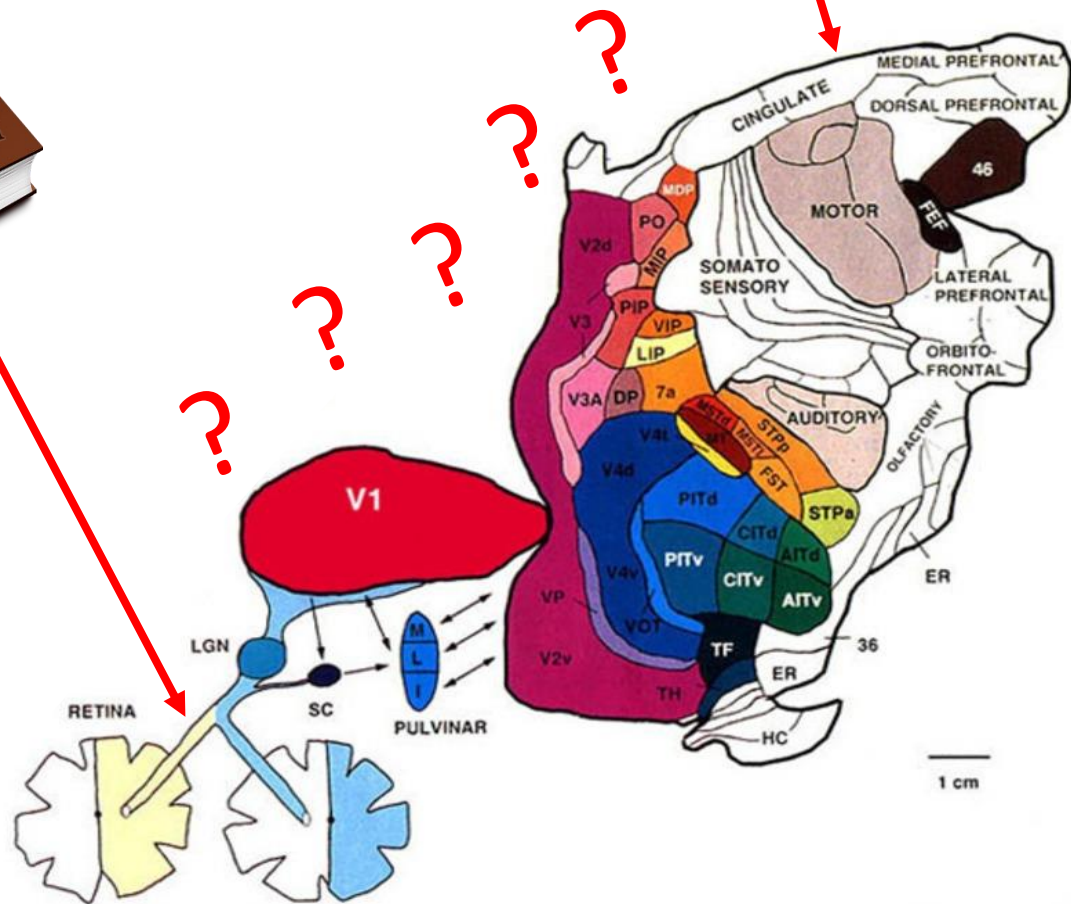
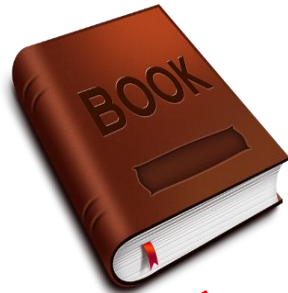
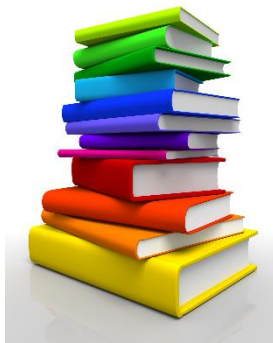
Physiologically

Functionally (in behaviour)?

# Information bottlenecks in the visual pathway:

$10^7$  bits/second  
~  $10^6$  neurons,  
~10 spikes/neuron  
~1 bit/spike

$10^9$  bits/second (Kelly 1962)  
~ 25 frames/second,  
2000x2000 pixels,  
1 byte/pixel



“To be or not to be,  
This is the question ..”

40 bits/second  
(Sziklai, 1956)

# Information bottlenecks in the visual pathway:

Vision ~ Looking (selecting) + Seeing

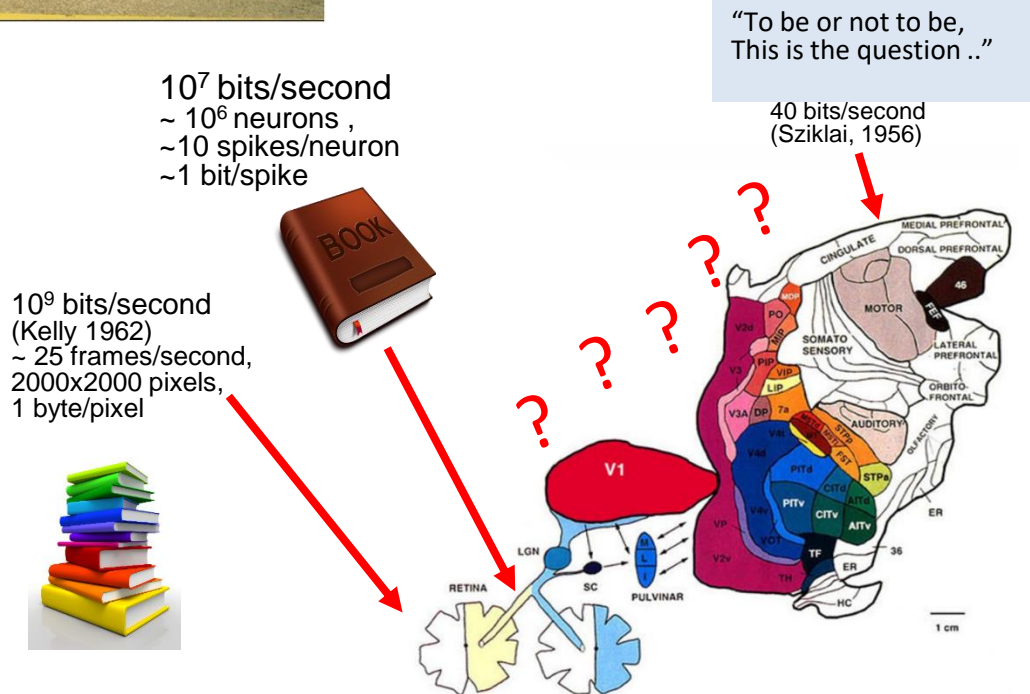


**We are nearly blind!**



**top-down** vs. **bottom-up** selection

Task: find a uniquely oriented bar



“To be or not to be, This is the question ..”



# Information bottlenecks in the visual pathway:

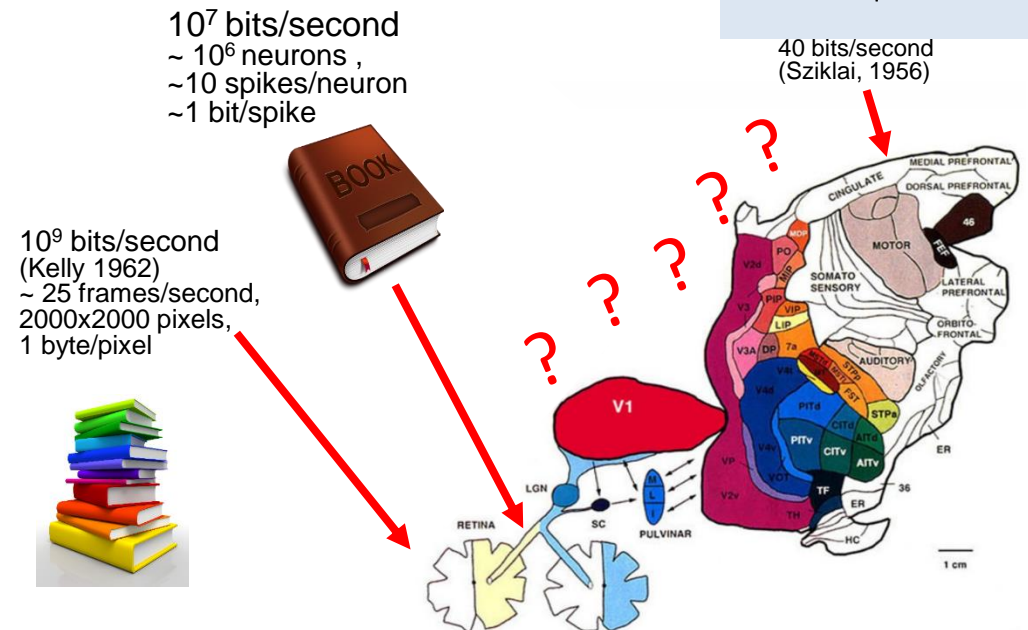
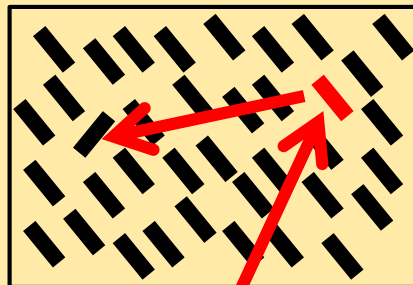
## Questions:

which brain areas are doing the bottom-up selection?

**Frontal? Parietal?**

top-down vs. **bottom-up selection**

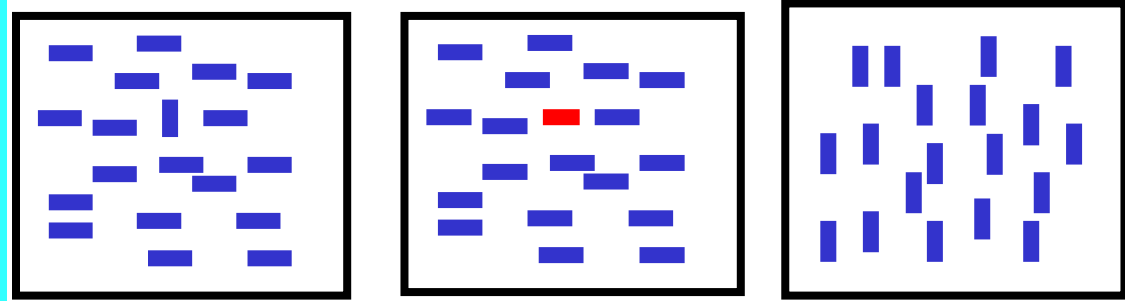
Task: find a uniquely oriented bar



# Information bottlenecks in the visual pathway:

**Questions:**  
which brain areas are doing the bottom-up selection?  
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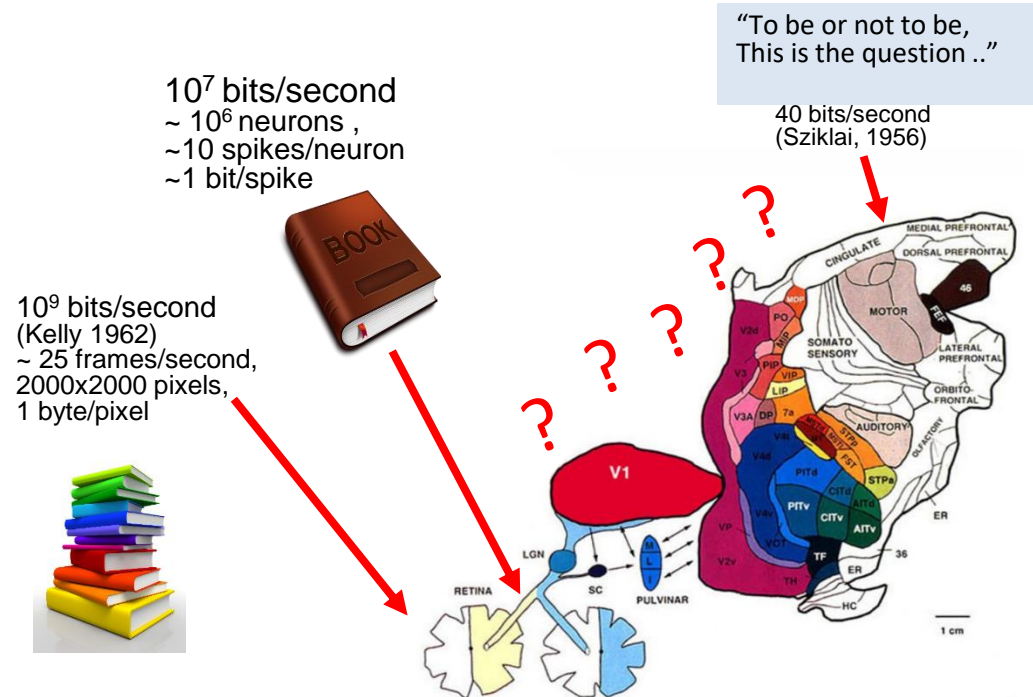
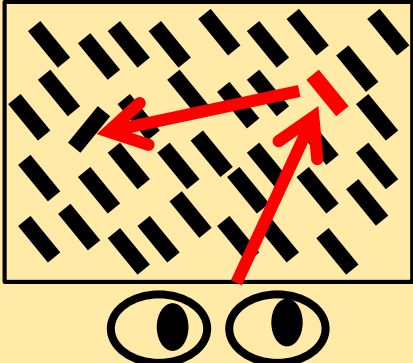
## Saliency regardless of visual features



Koch & Ullman 1985, Itti & Koch 2001, etc

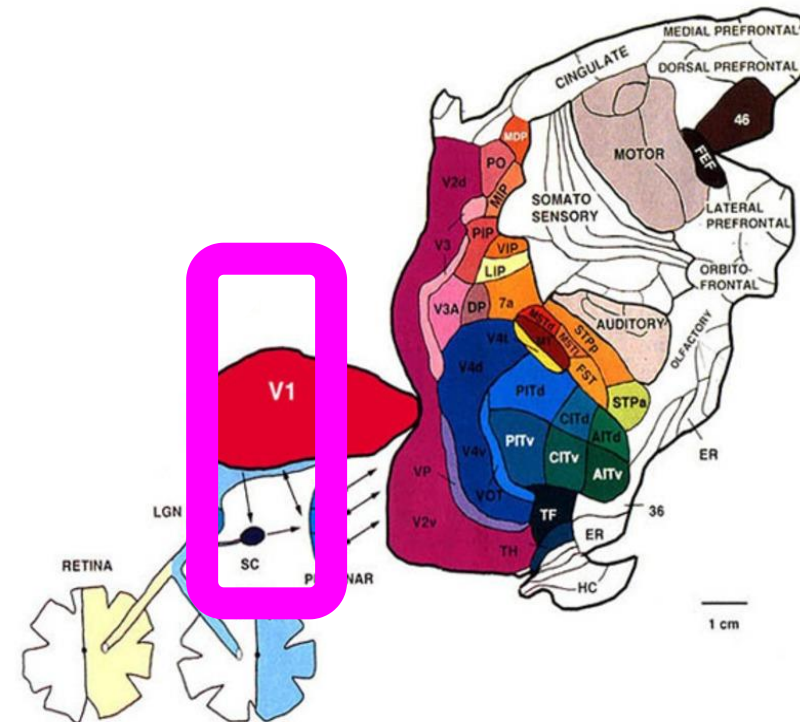
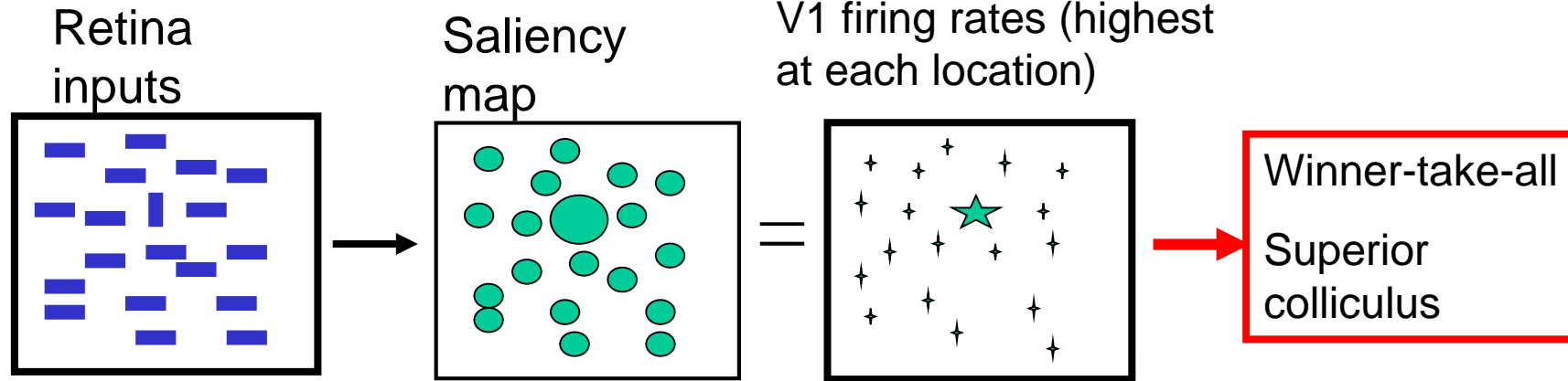
**top-down** vs. **bottom-up** selection

Task: find a uniquely oriented bar



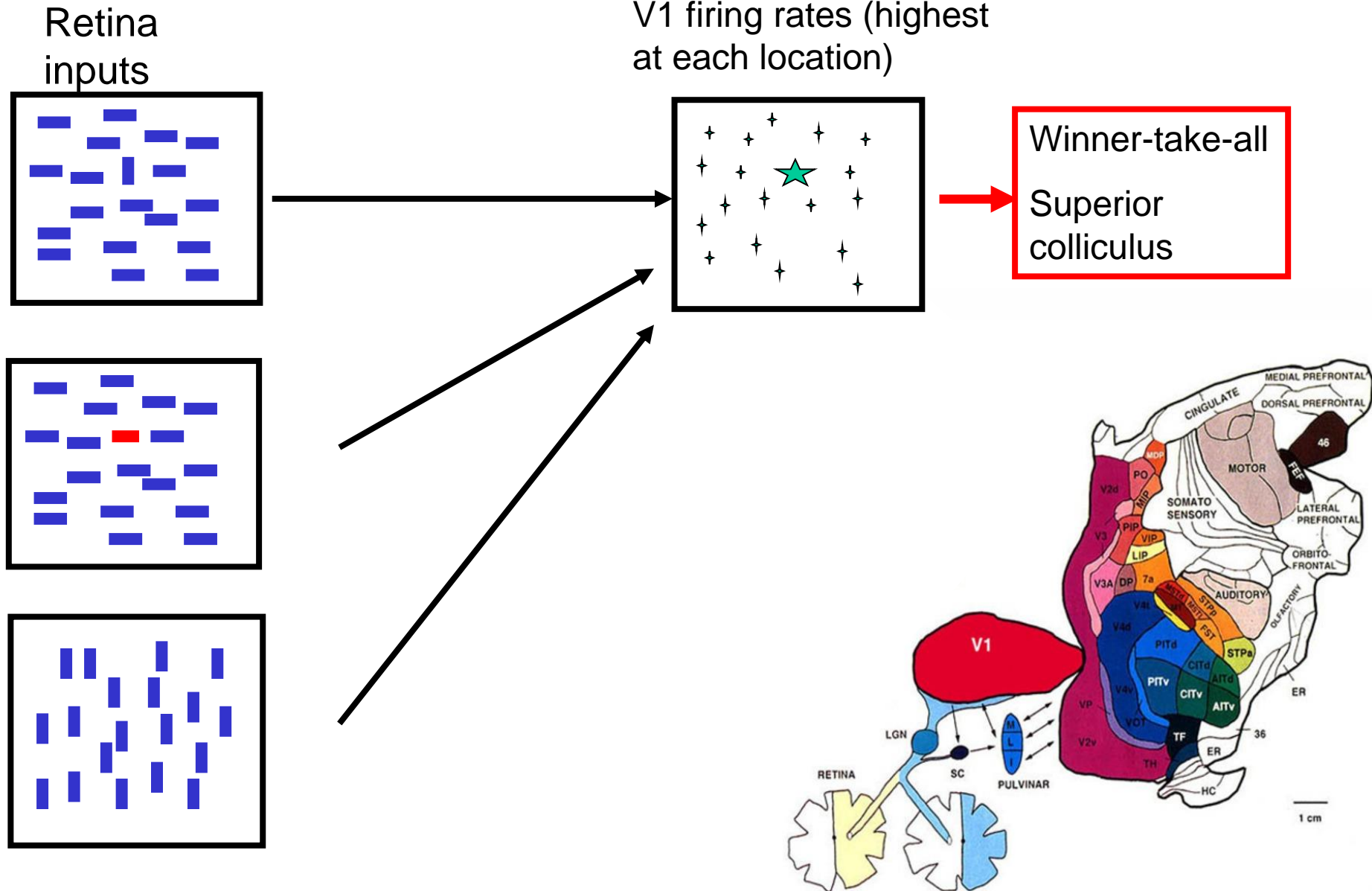
# The V1 Saliency Hypothesis:

A bottom-up saliency map in the primary visual cortex (Li 1999, 2002)



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**A bottom-up saliency map in the primary visual cortex (Li 1999, 2002)**

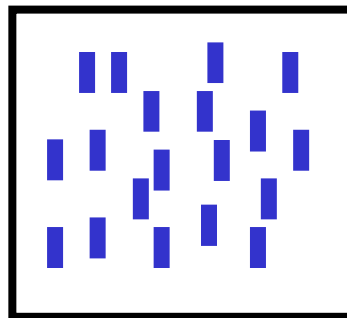
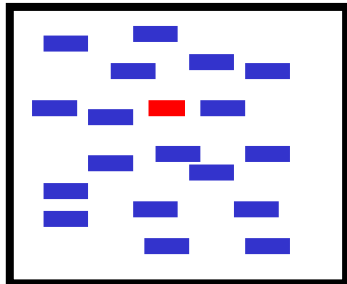
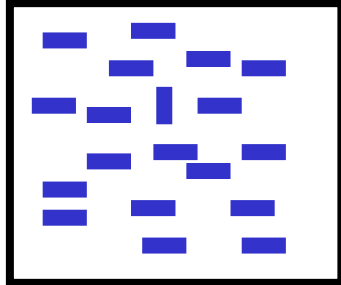


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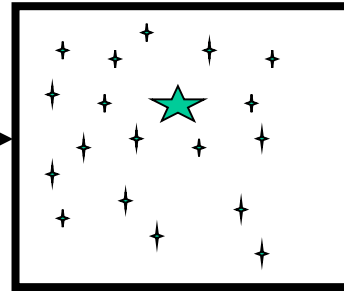
A bottom-up saliency map in the primary visual cortex (V1)

Neural activities as universal currency to bid for visual selection.

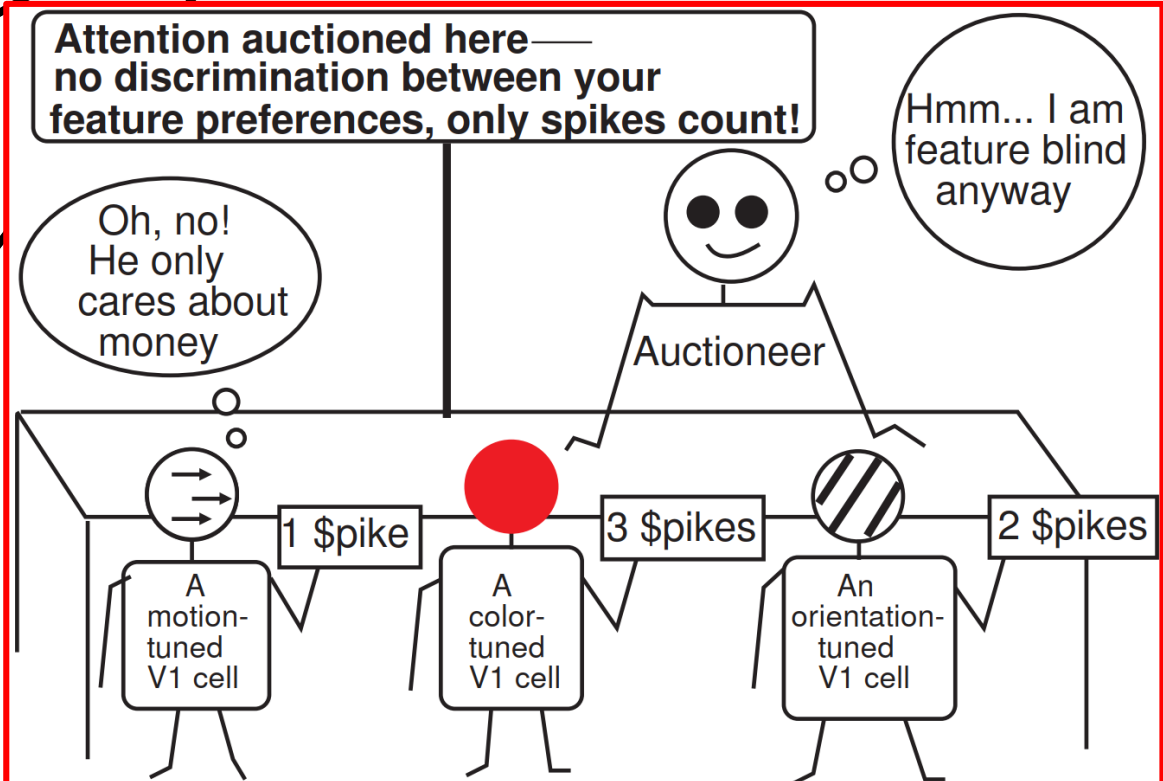
Retina inputs



V1 firing rates (highest at each location)

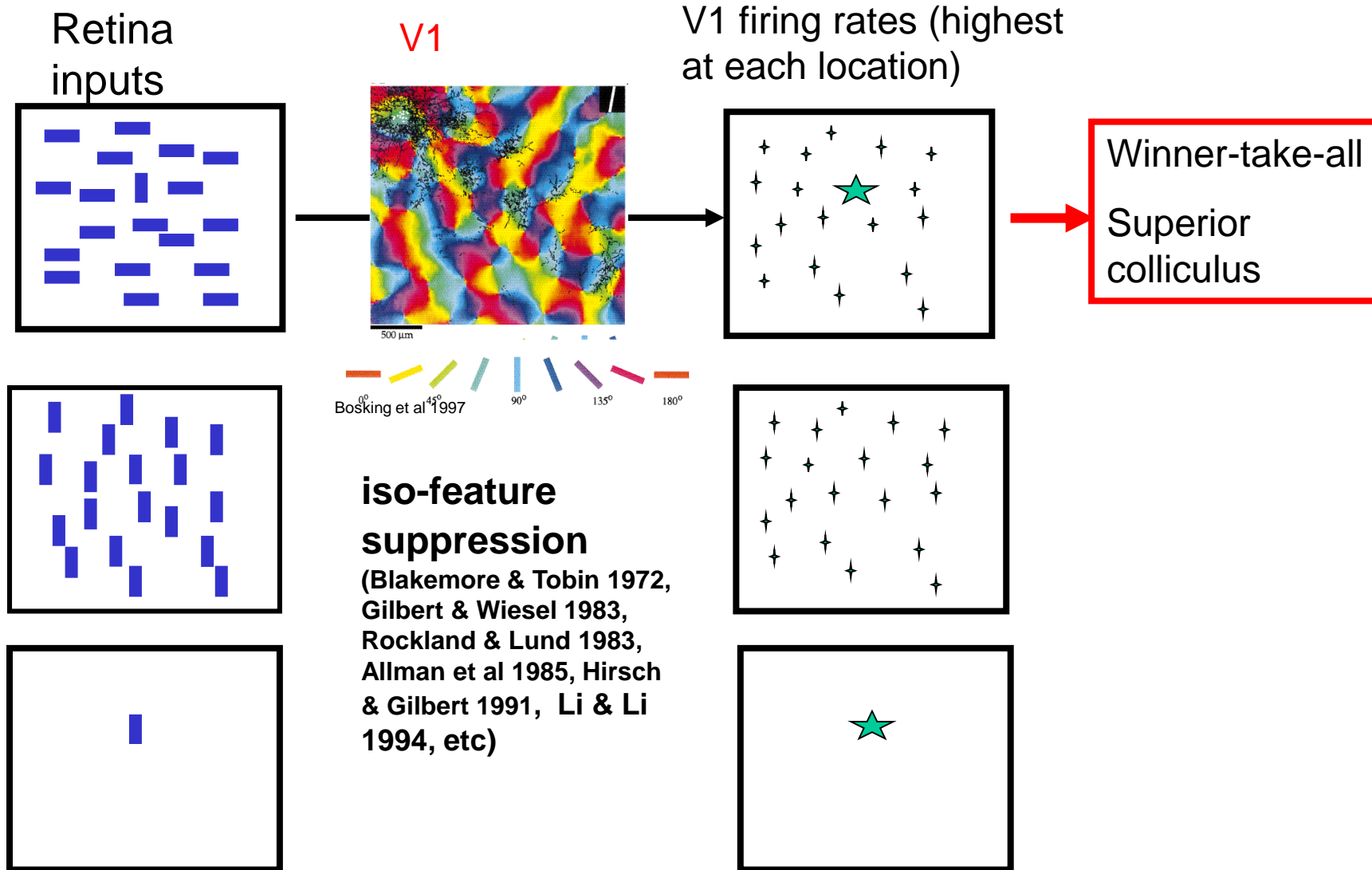


Winner-take-all  
Superior colliculus



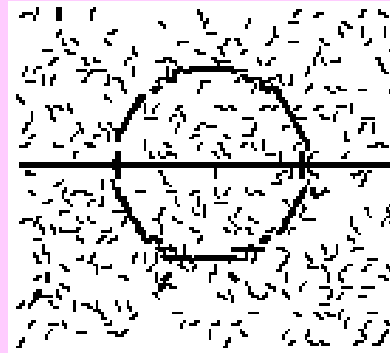
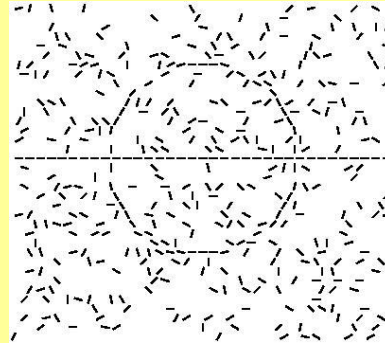
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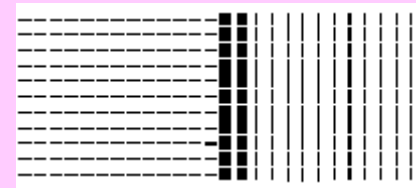
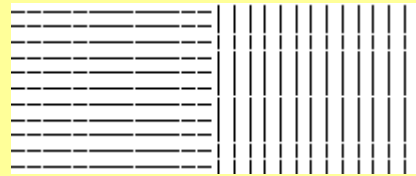
# V1's saliency computation on other visual stimuli

Smooth contours in noisy background

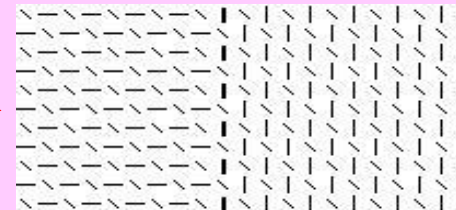
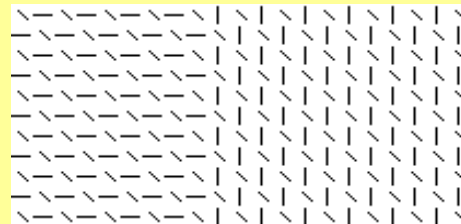


The smooth contours and the texture borders are the most salient

Texture segmentation  
--- simple textures

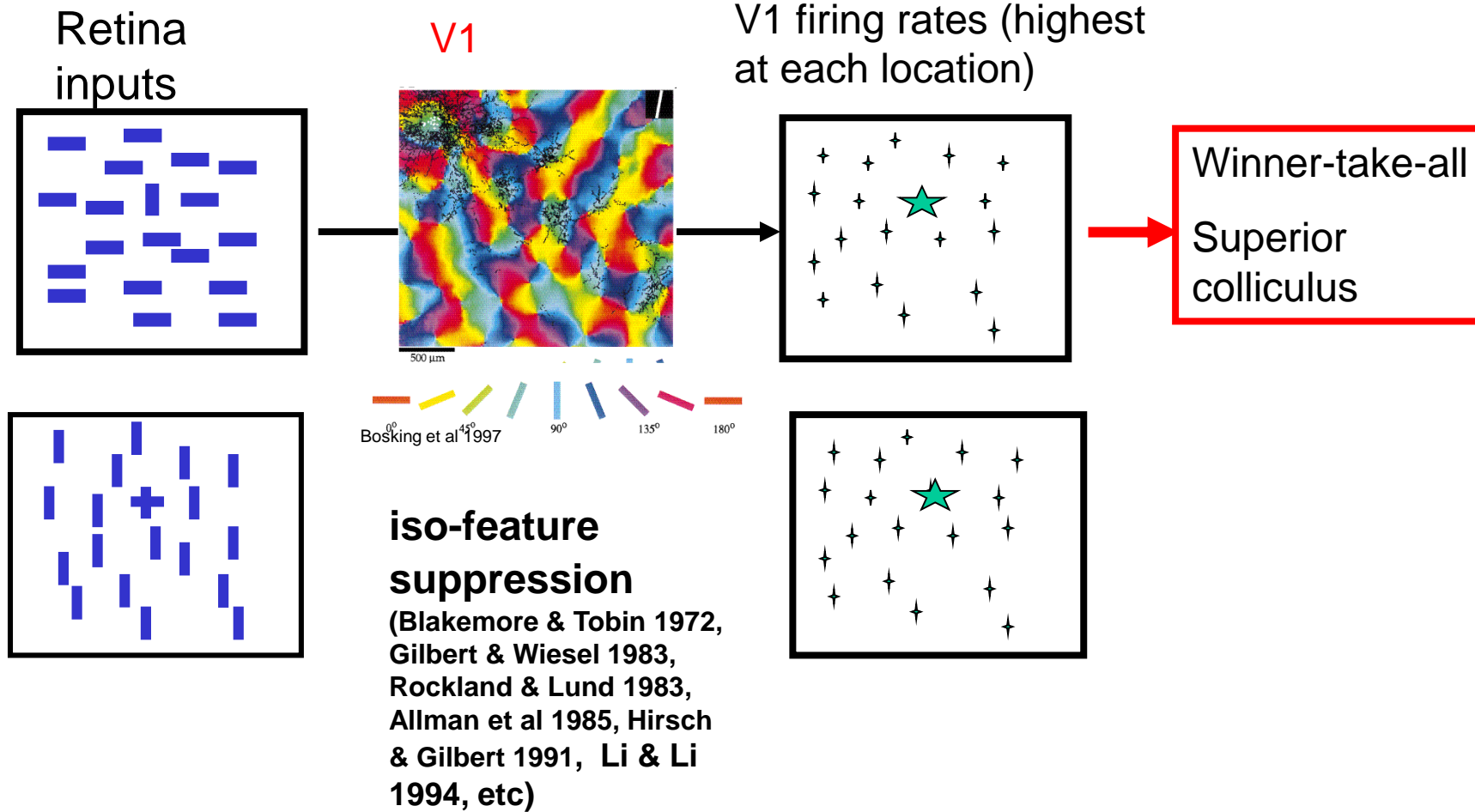


Texture segmentation  
--- complex textures



# The V1 Saliency Hypothesis:

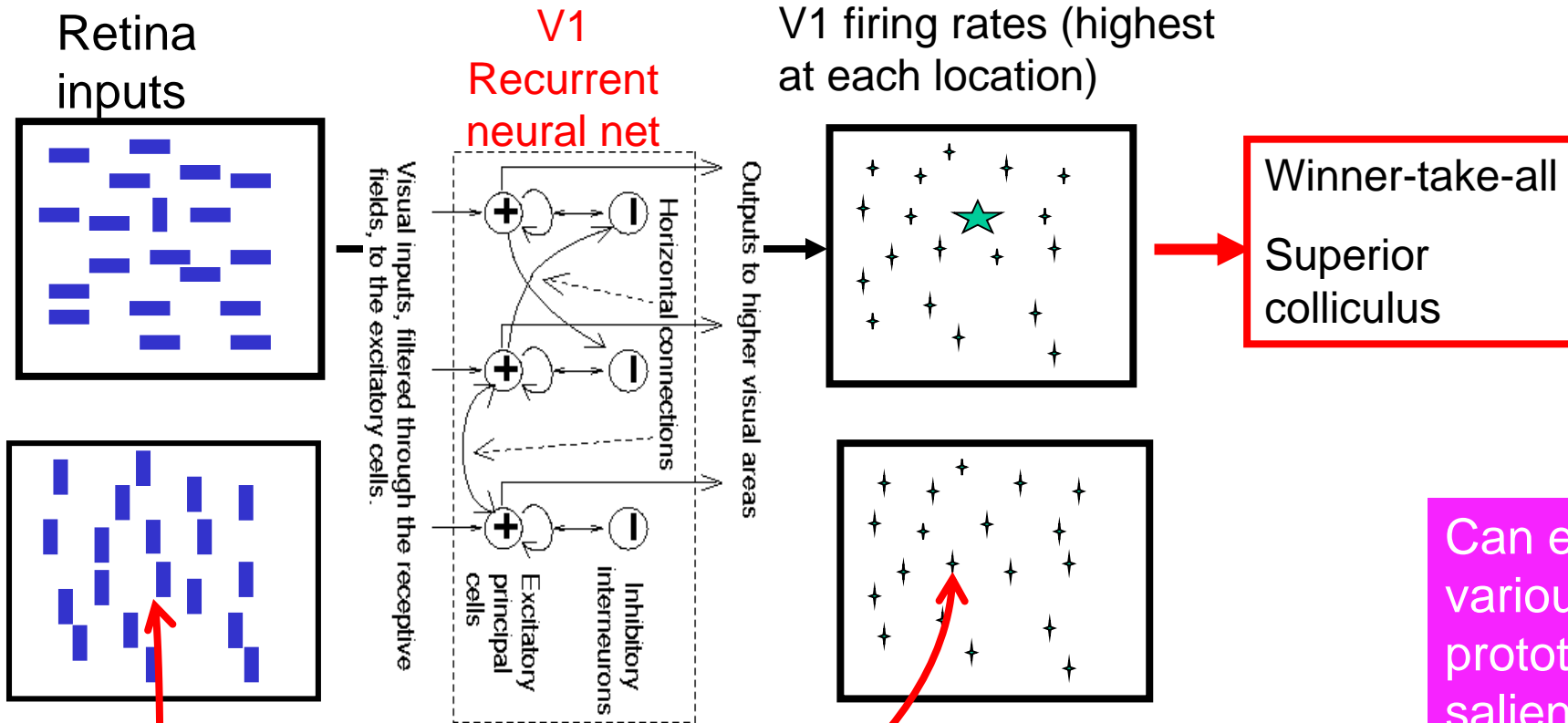
A bottom-up saliency map in the primary visual cortex (Li 1999, 2002)





# The V1 Saliency Hypothesis:

A bottom-up saliency map in the primary visual cortex (Li 1999, 2002)



Can explain various prototypical saliency behavior

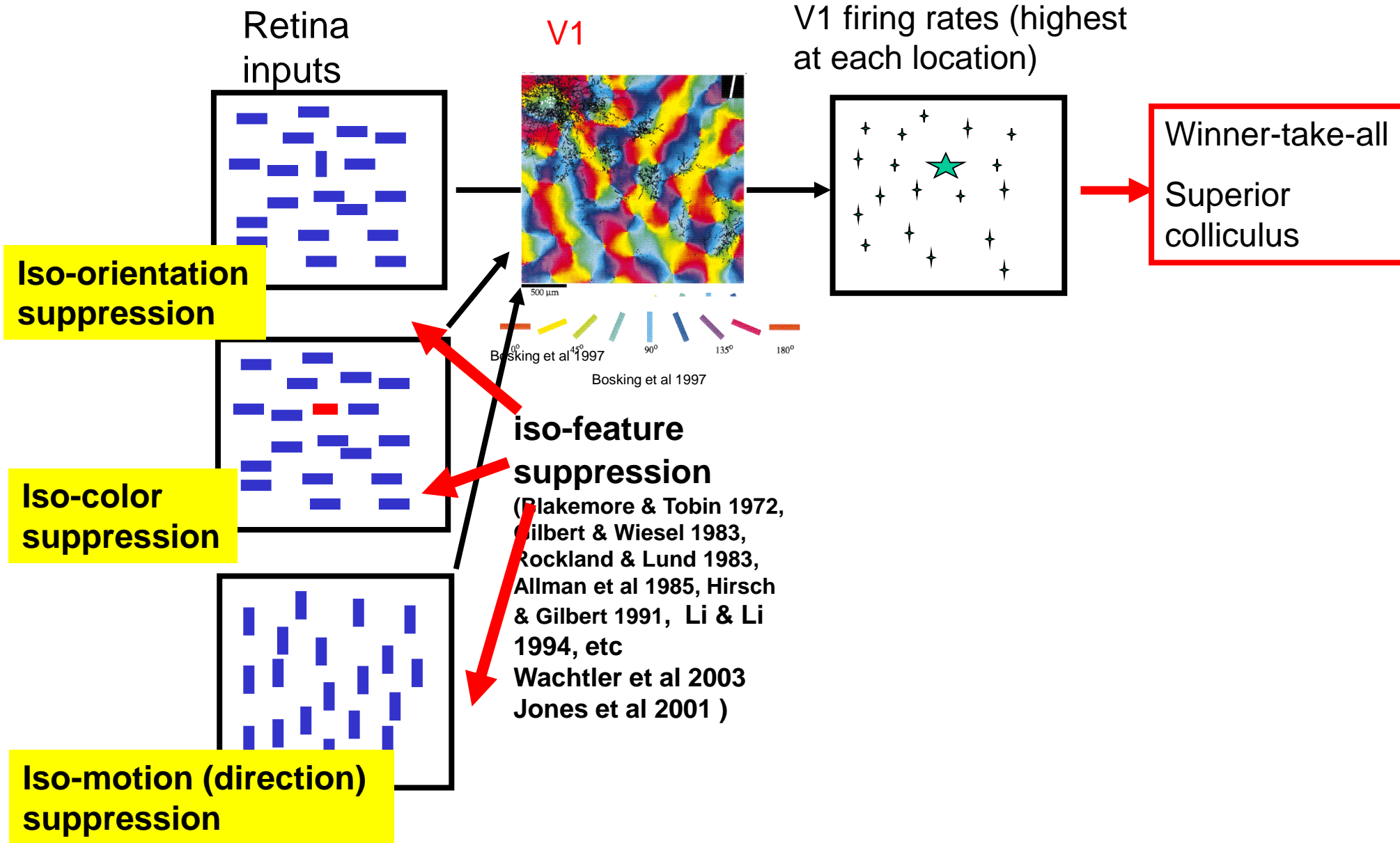
$$\dot{x}_{i\theta} = -\alpha_x x_{i\theta} - g_y(y_{i,\theta}) - \sum_{\Delta\theta \neq 0} \psi(\Delta\theta) g_y(y_{i,\theta+\Delta\theta}) + J_o g_x(x_{i\theta}) + \sum_{j \neq i, \theta'} J_{i\theta, j\theta'} g_x(x_{j\theta'}) + I_{i\theta} + I_o + I_{\text{noise}},$$

$$\dot{y}_{i\theta} = -\alpha_y y_{i\theta} + g_x(x_{i\theta}) + \sum_{j \neq i, \theta'} W_{i\theta, j\theta'} g_x(x_{j\theta'}) + I_c + I_{\text{noise}}.$$

A neural circuit model  
Local connections → global outcome

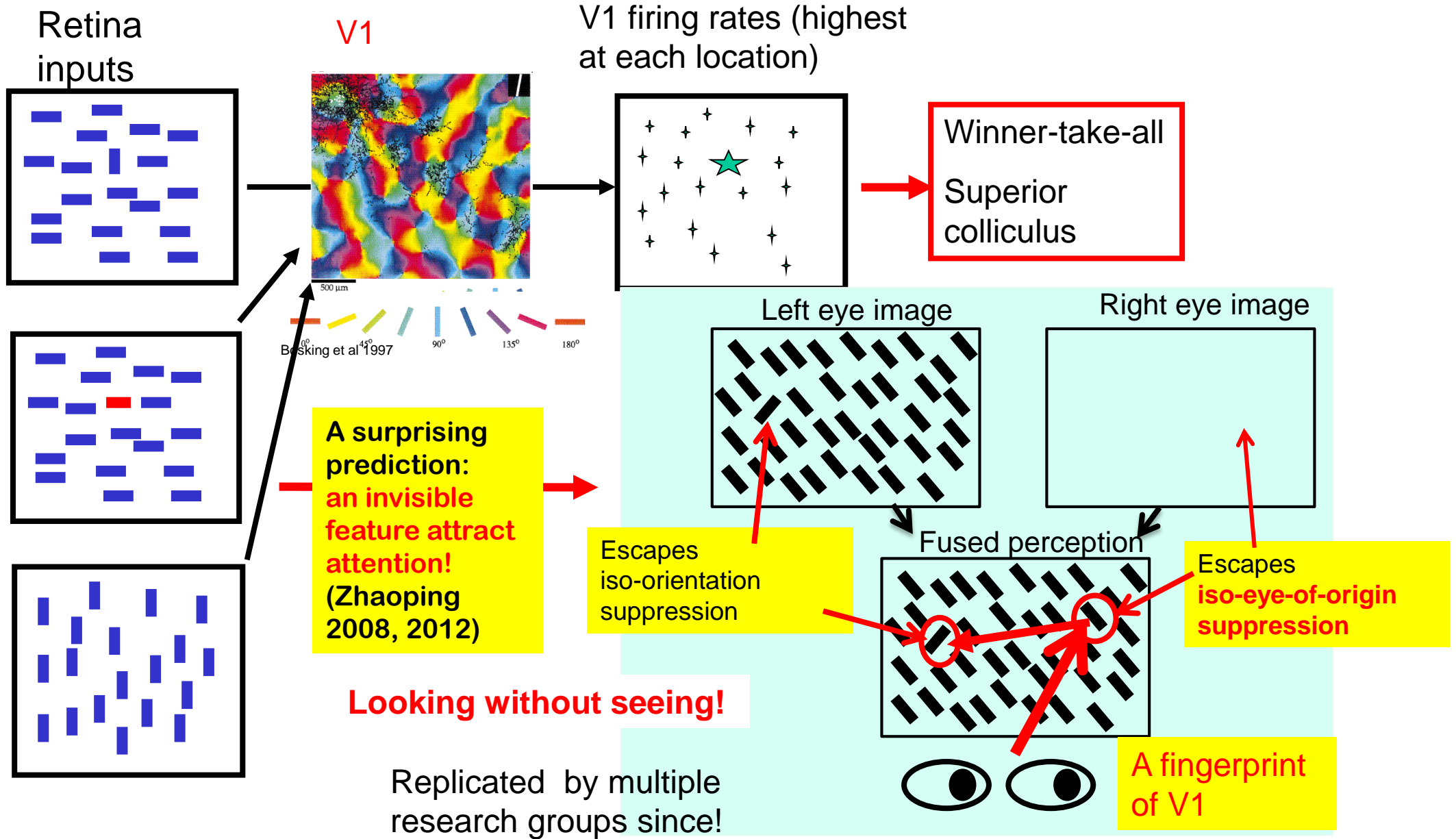
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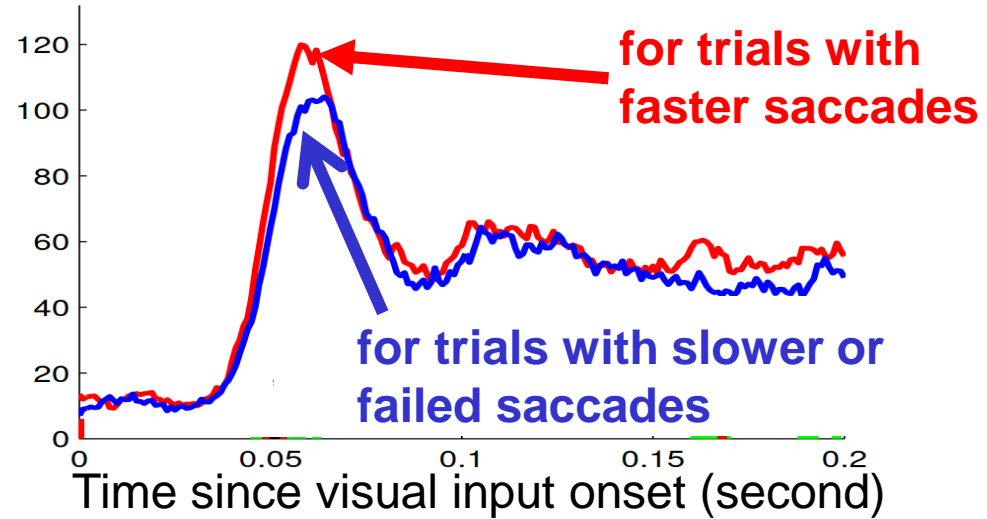
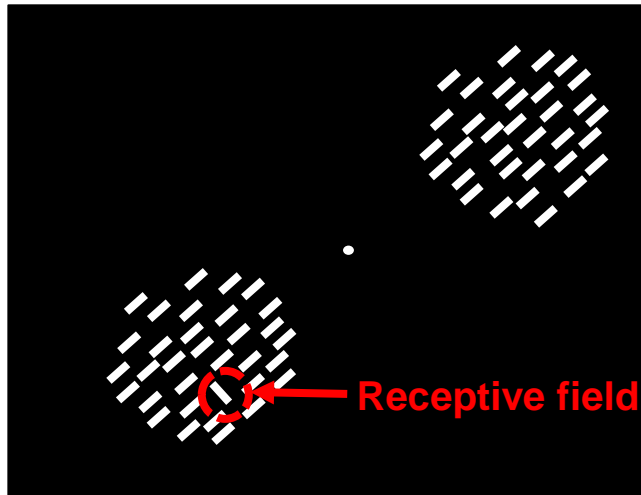
**A bottom-up saliency map in the primary visual cortex (Li 1999, 2002)**



**Testing the V1 theory on behaving monkeys** --- Yan, Zhaoping, & Li, in press, PNAS 2018.

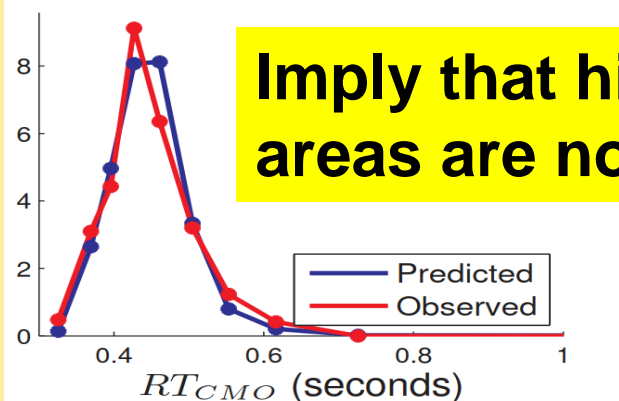
**V1 neural responses to input stimulus (spikes/sec)**

Saccade to an uniquely oriented bar ASAP



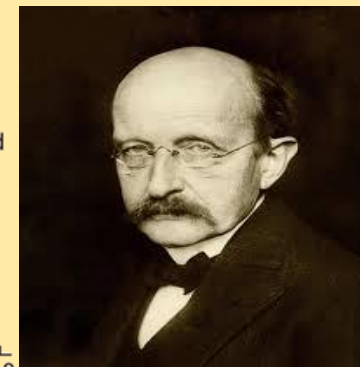
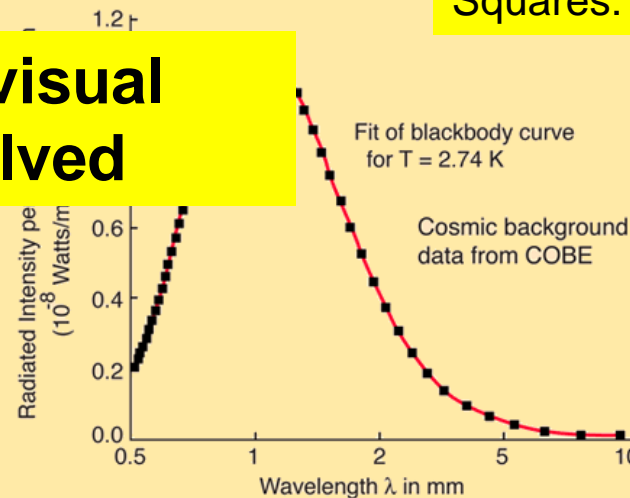
**Quantitative, zero-parameter, predictions from theory**

A: Probability density ( $RT_{CMO}$ )



Koene & Zhaoping 2007  
Zhaoping & Zhe 2015,

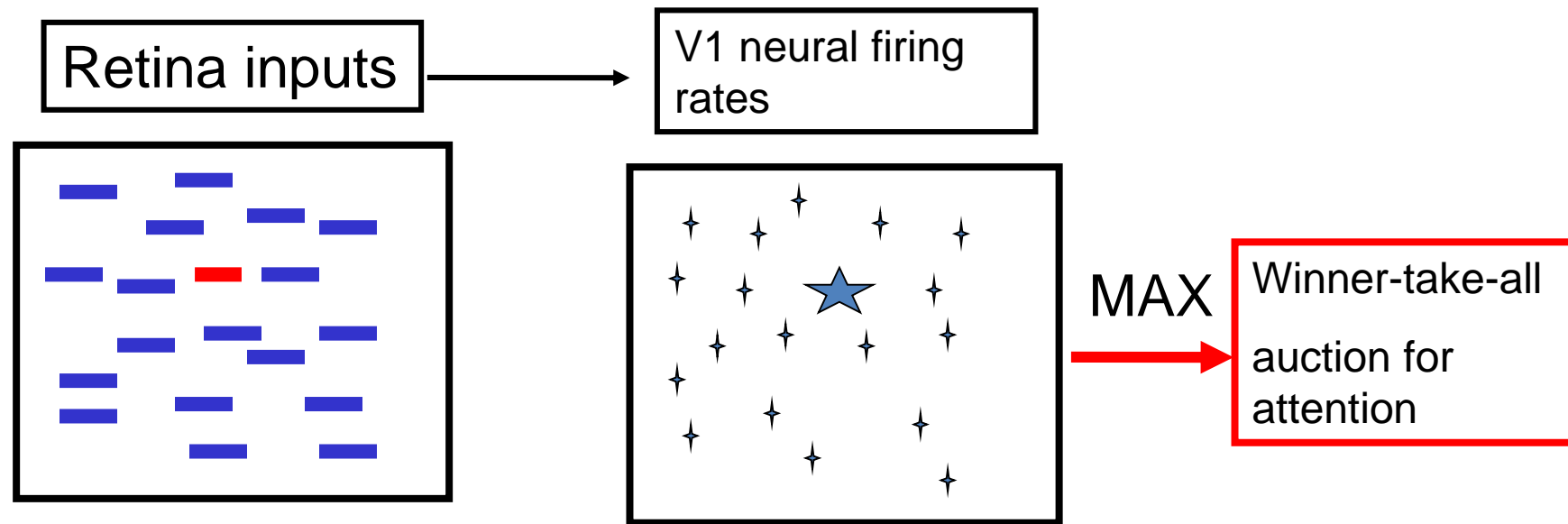
Solid curve --- Planck's law  
Squares: --- data points



## Now the zero parameter quantitative prediction (Zhaoping & Zhe 2012,2015)

First, recall from the theory:

maximum firing, (not summation of firing rates),  
at a location determines its saliency

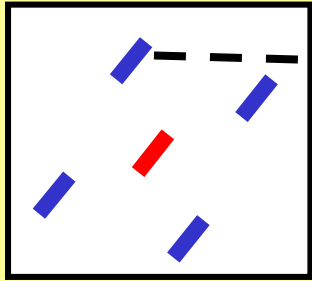


**Neural activities as universal  
currency to bid for visual selection.  
The receptive field of the most active  
V1 cells is selected**

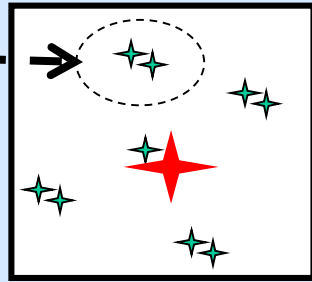
# Predicting RT:

First a toy V1: some cells tuned to orientation, others tuned to color

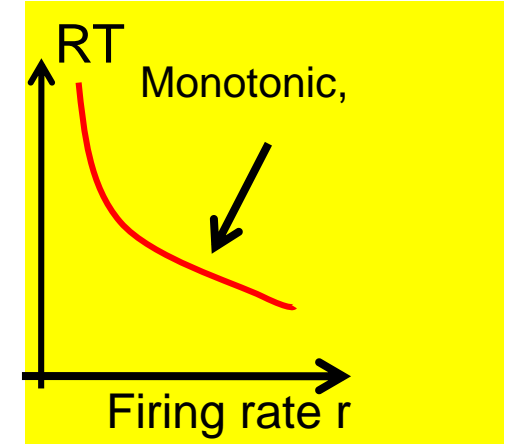
Colour (C) singleton



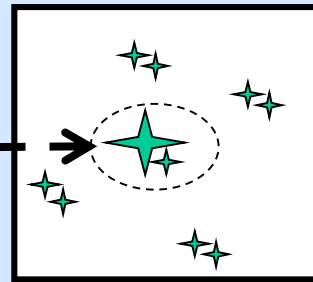
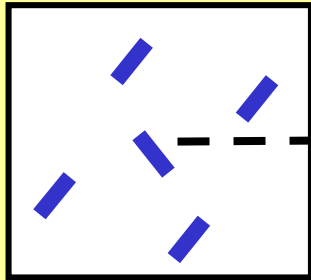
V1 responses



$RT_C = 500 \text{ ms}$  ,  
Color (C) cell  
Max response:  $r_C$

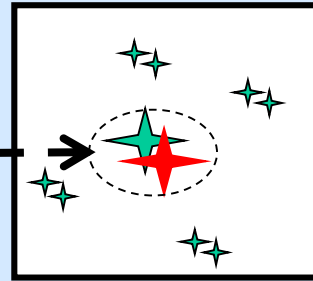
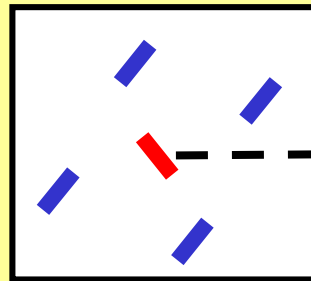


Orientation (O) singleton



$RT_O = 600 \text{ ms}$ ,  
Orientation (O) cell  
Max response:  $r_O$

Double (CO)  
singleton



$RT_{CO} = 500 \text{ ms} = \min(RT_C, RT_O)$

$RT_{CO} = ?$

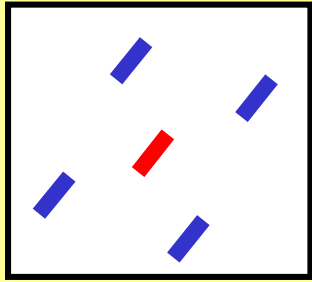
C cell  $r_C$

O cell  $r_O$

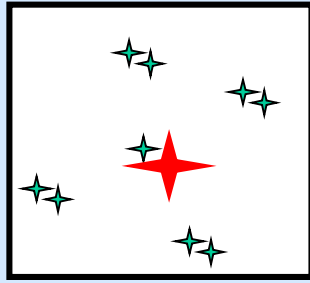
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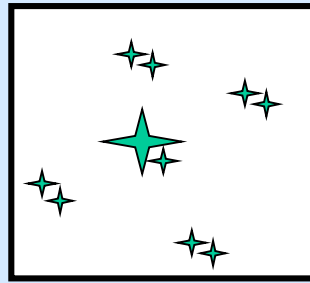
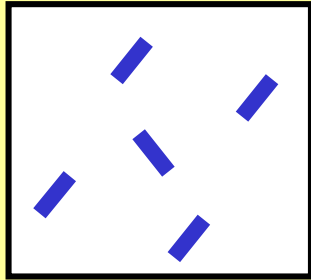
Colour (C) singleton



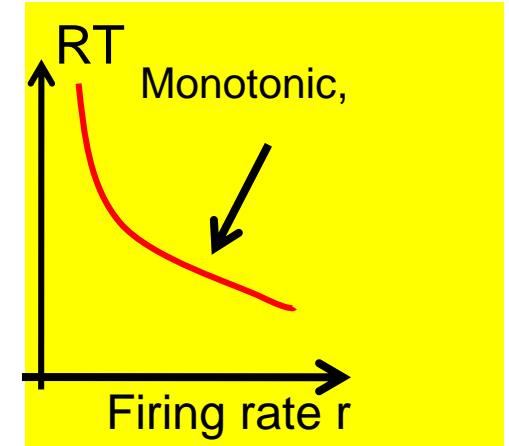
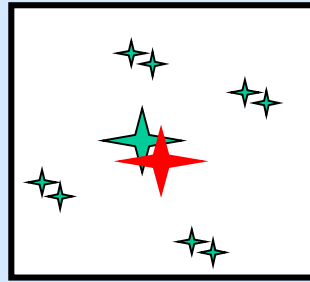
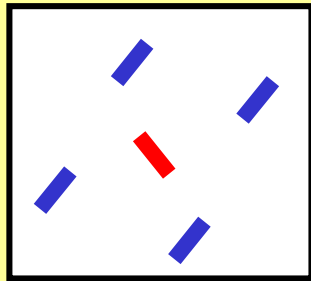
V1 responses



Orientation (O) singleton



Double (CO) singleton

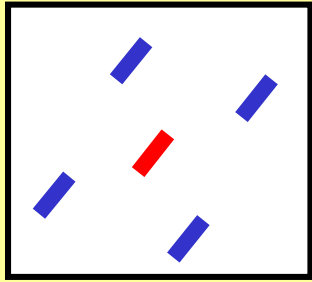


$$RT_{CO} = \min(RT_C, RT_O)$$

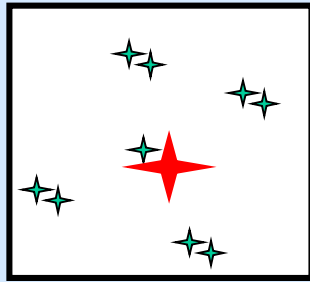
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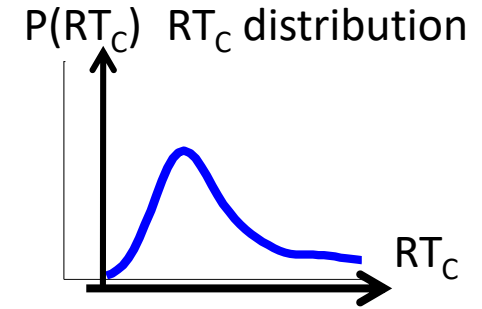
Colour (C) singleton



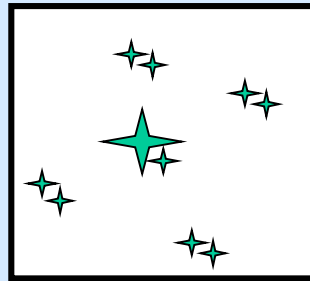
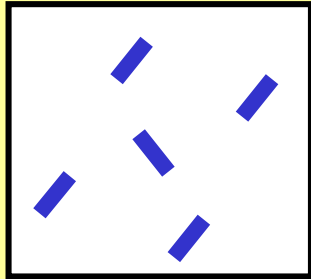
V1 responses



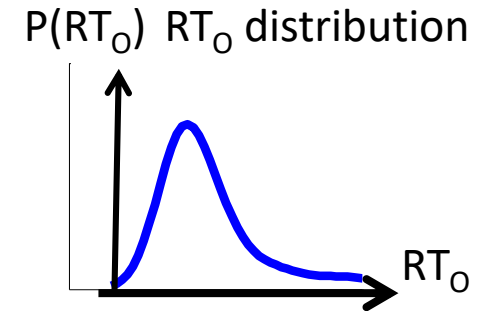
Stochastic  
C cell responses  
10, 9, 11, ...



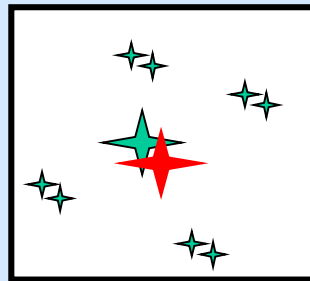
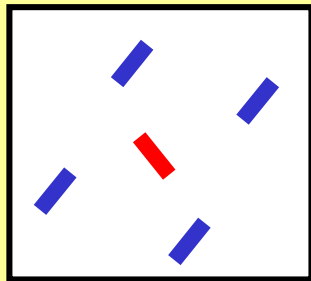
Orientation (O) singleton



O cell responses  
11, 9, 8, 10, ...

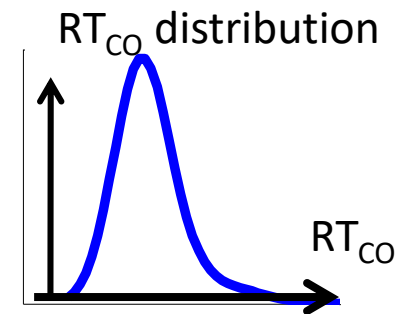


Double (CO)  
singleton



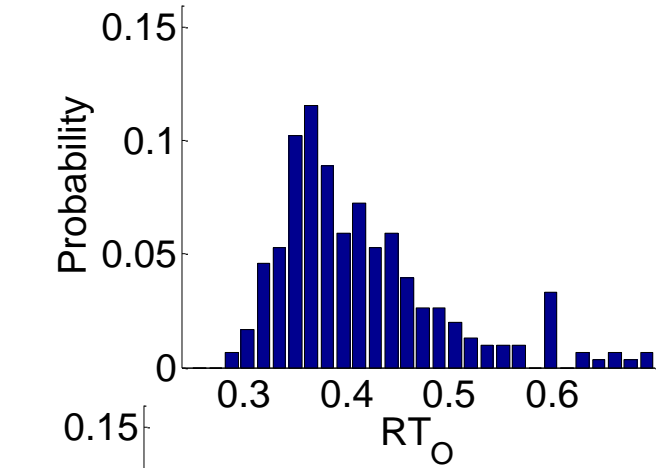
$$RT_{CO} = \min(RT_C, RT_O)$$

$$P(RT_{CO}) = P[\min(RT_C, RT_O)]$$

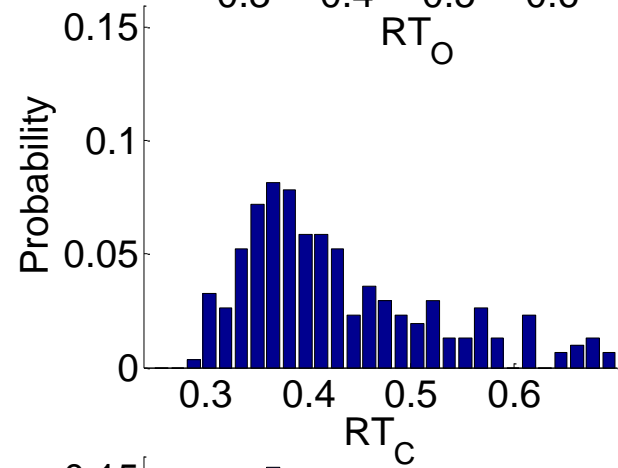




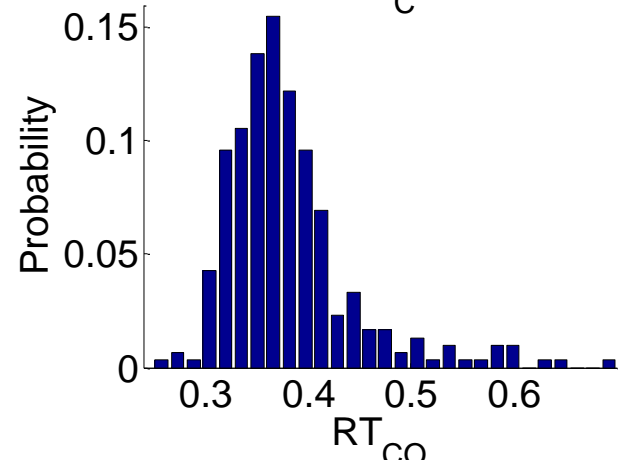
# Behavioral data from Koene and Zhaoping (2007)



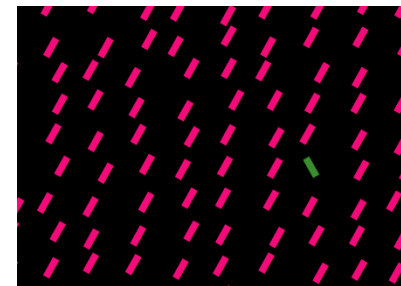
O target



C target

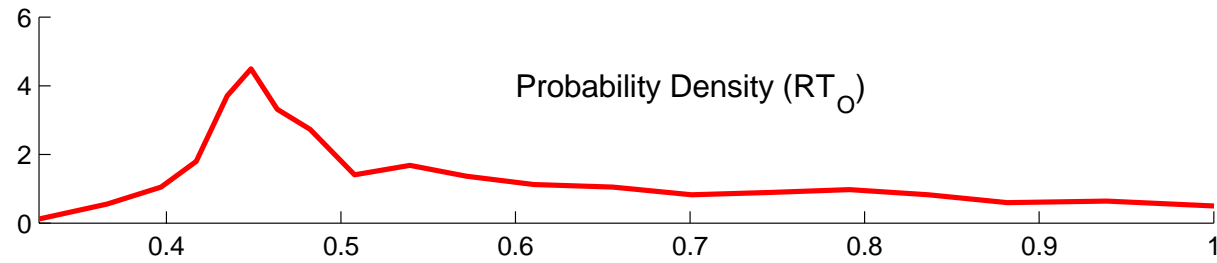
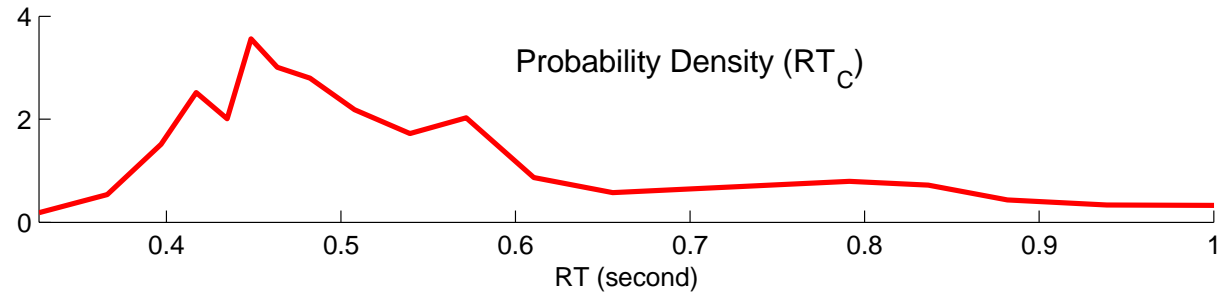


CO target



About 300 trials per condition

Predict  $RT_{CO}$  from  $RT_{CO} = \min(RT_C, RT_O)$



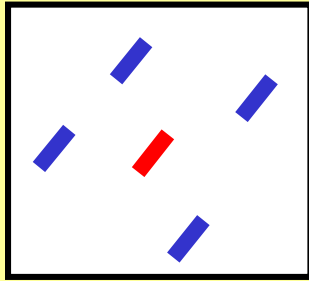
**P value ~ 0.00**

Predicted RT  
significantly longer  
than observed RT

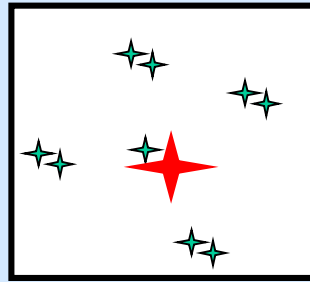
Because --- real V1 has CO conjunction cells

Because --- real V1 has CO conjunction cells

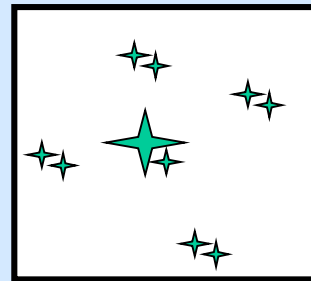
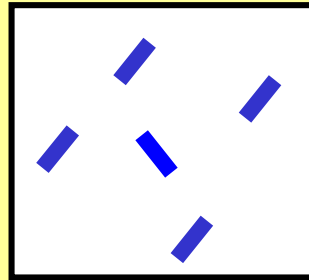
Colour (C) singleton



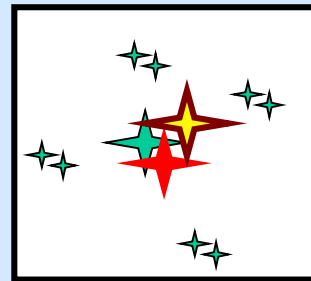
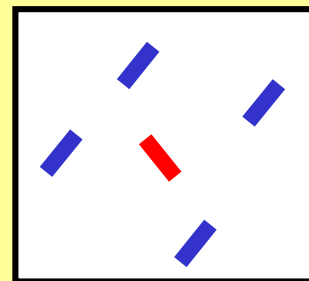
V1 responses



Orientation (O) singleton



Double (CO) singleton



$$RT_{CO} \leq \min(RT_C, RT_O)$$

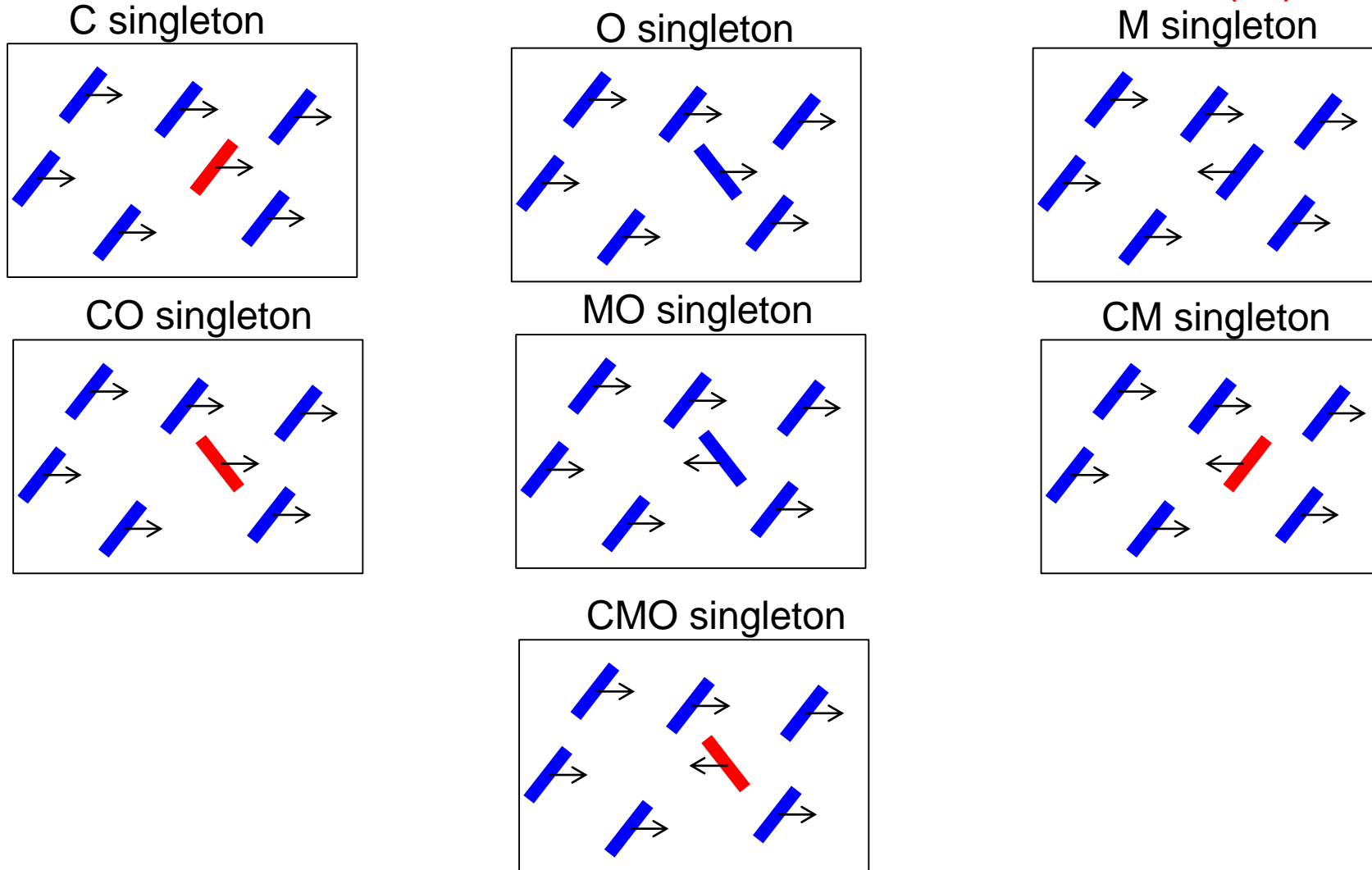
~~$$RT_{CO} = \min(RT_C, RT_O)$$~~

Singleton strongly evoke  
all three cell types:  
C, O, CO

V1 **without** CO cells,  $\longrightarrow RT_{CO} = \min(RT_C, RT_O)$

V1 **with** CO cells,  $\longrightarrow RT_{CO} \notin \min(RT_C, RT_O)$

Introduce another feature dimension: motion direction (M),



V1 **without** CO cells,  $\longrightarrow RT_{CO} = \min(RT_C, RT_O)$

V1 **with** CO cells,  $\longrightarrow RT_{CO} \notin \min(RT_C, RT_O)$

Introduce another feature dimension: motion direction (M),

V1 has **no** CMO cells,  $\longrightarrow$

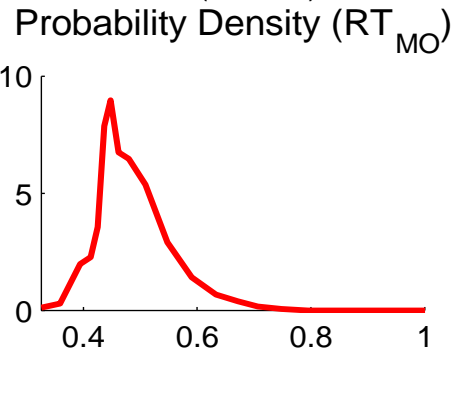
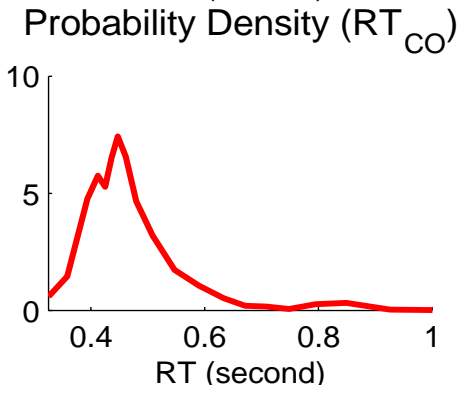
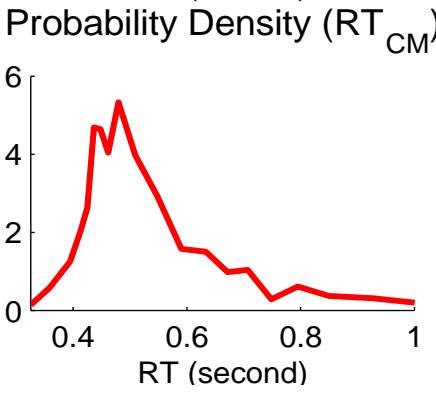
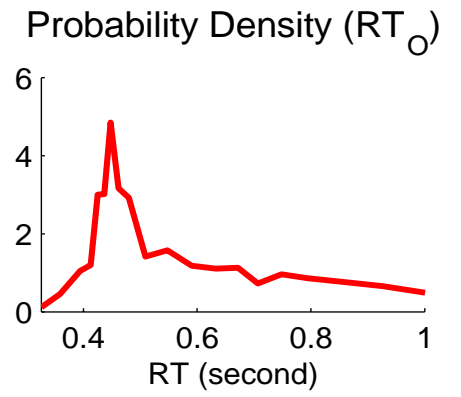
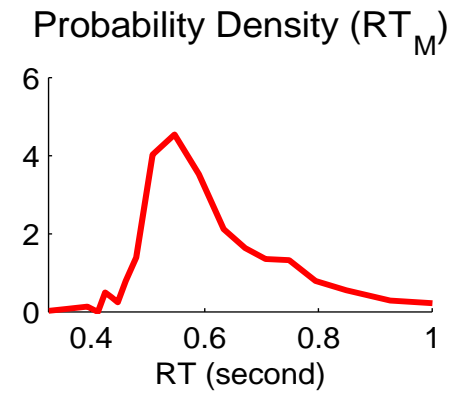
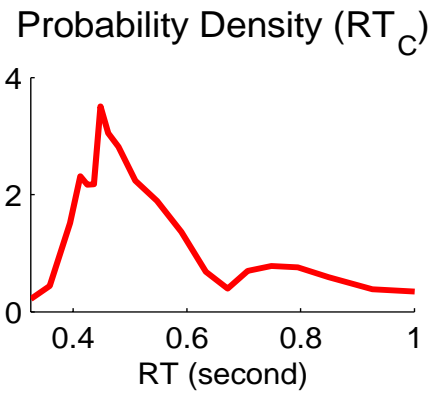
$$\min(RT_{CMO}, RT_C, RT_M, RT_O) = \min(RT_{CM}, RT_{CO}, RT_{MO})$$

**Predict**

Analogously

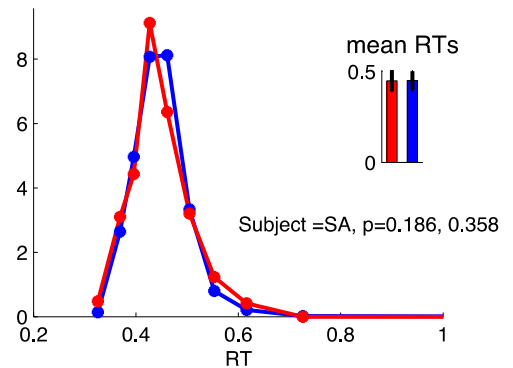
# Distributions of RTs for a particular subject

$$\min(RT_{CMO}, RT_C, RT_M, RT_O) = \min(RT_{CM}, RT_{CO}, RT_{MO})$$



> 0.18,  
cant

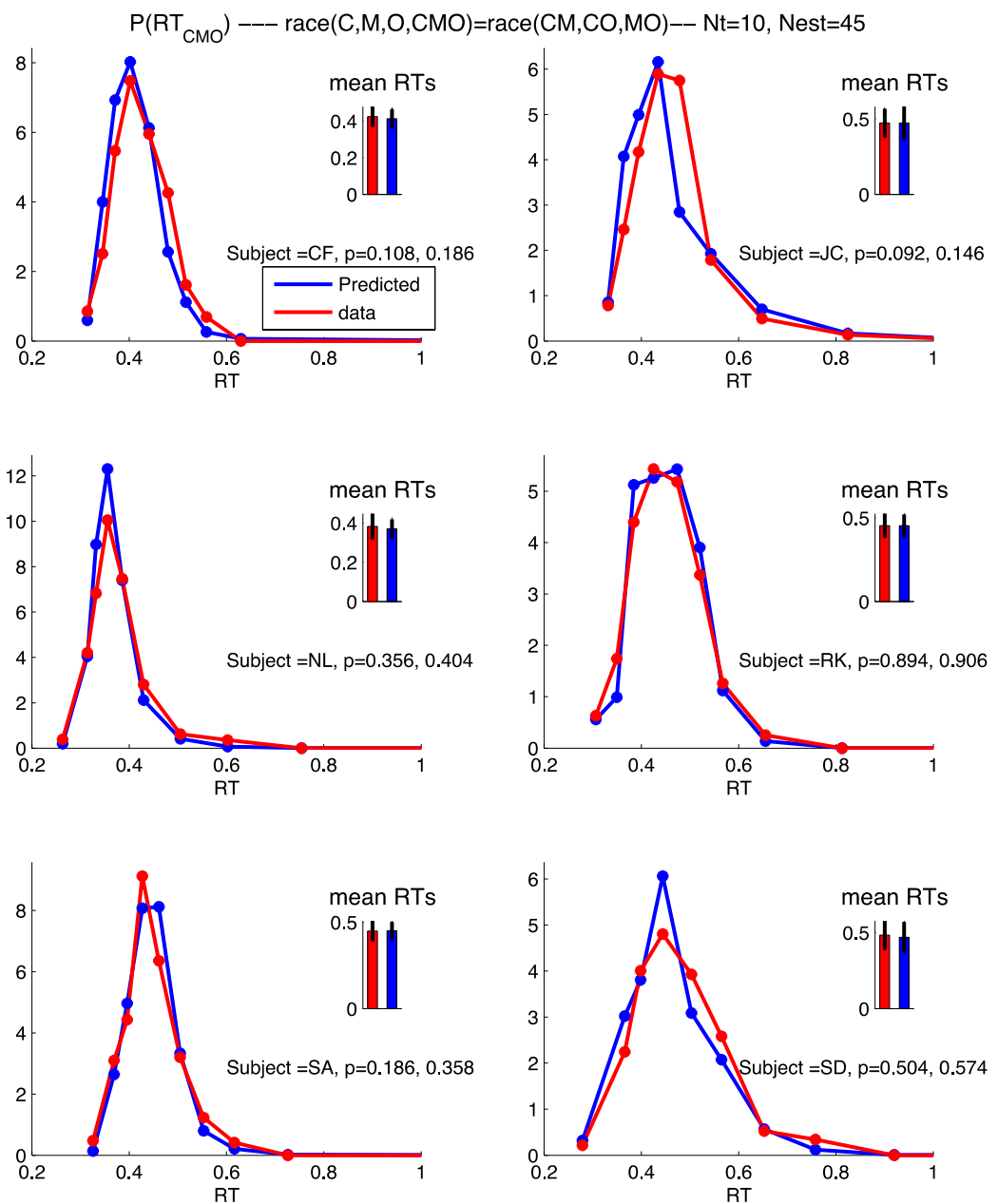
$$\min(RT_{CMO}, RT_C, RT_M, RT_O) = \min(RT_{CM}, RT_{CO}, RT_{MO})$$





# Prediction=data for all six observers

$$\min(RT_{CMO}, RT_C, RT_M, RT_O) = \min(RT_{CM}, RT_{CO}, RT_{MO})$$





# Talk outline

(1) The functional role of the primary visual cortex (V1) ← **Attentional selection**

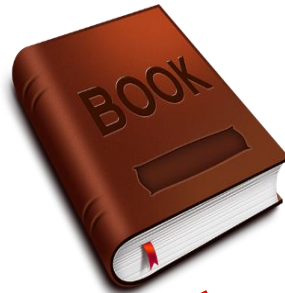
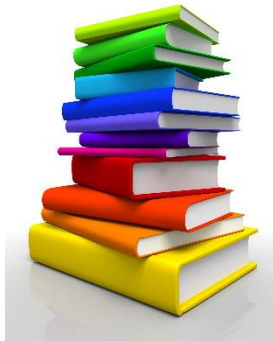
(2) In light of V1's role →  
a new plan to understanding vision

(3) A first example study in this new plan

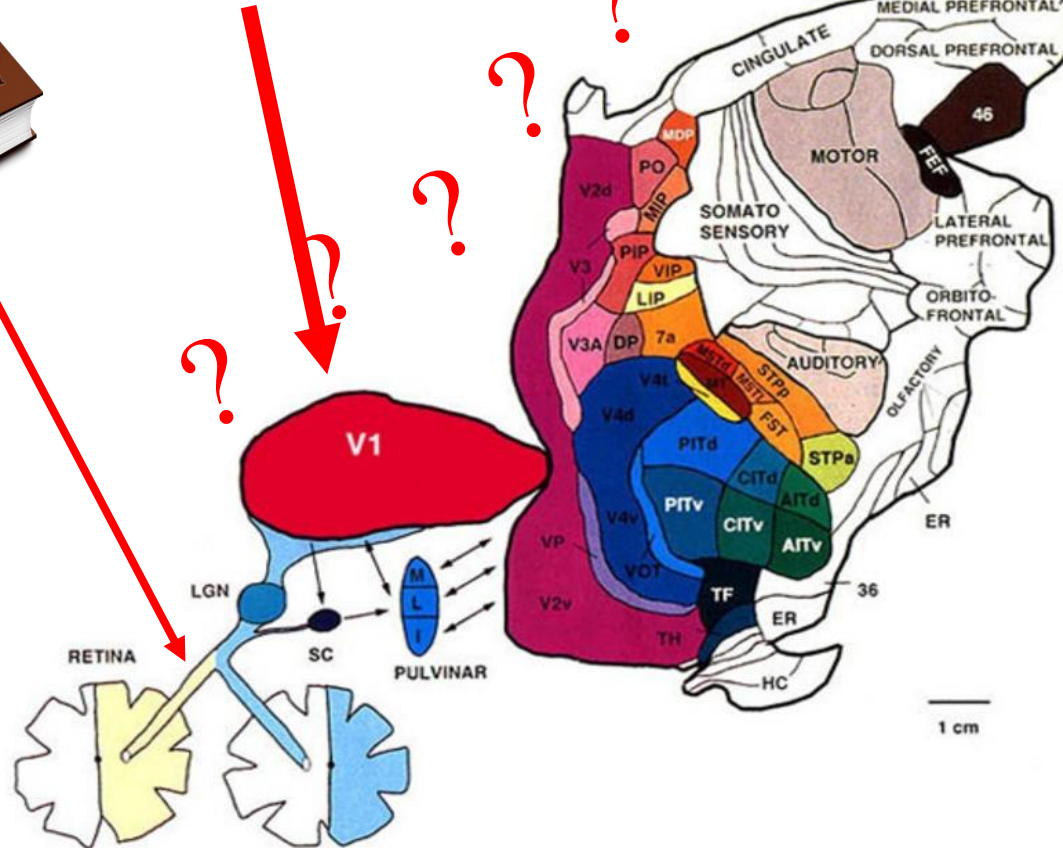
# Information bottlenecks in the visual pathway:

$10^7$  bits/second  
~  $10^6$  neurons,  
~10 spikes/neuron  
~1 bit/spike

$10^9$  bits/second (Kelly 1962)  
~ 25 frames/second,  
2000x2000 pixels,  
1 byte/pixel



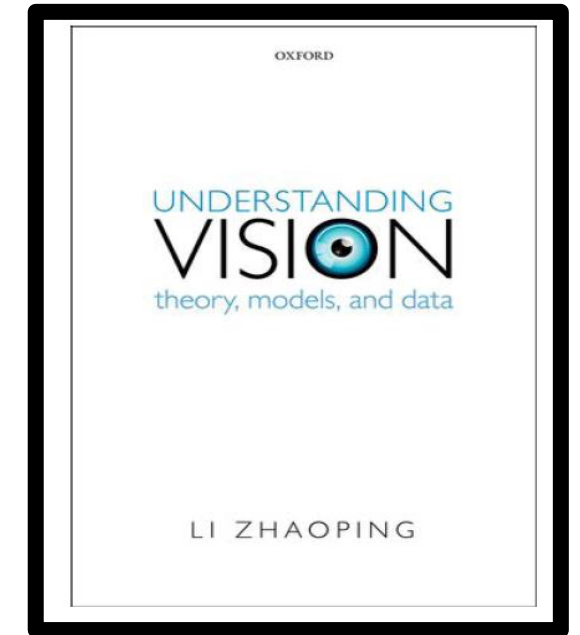
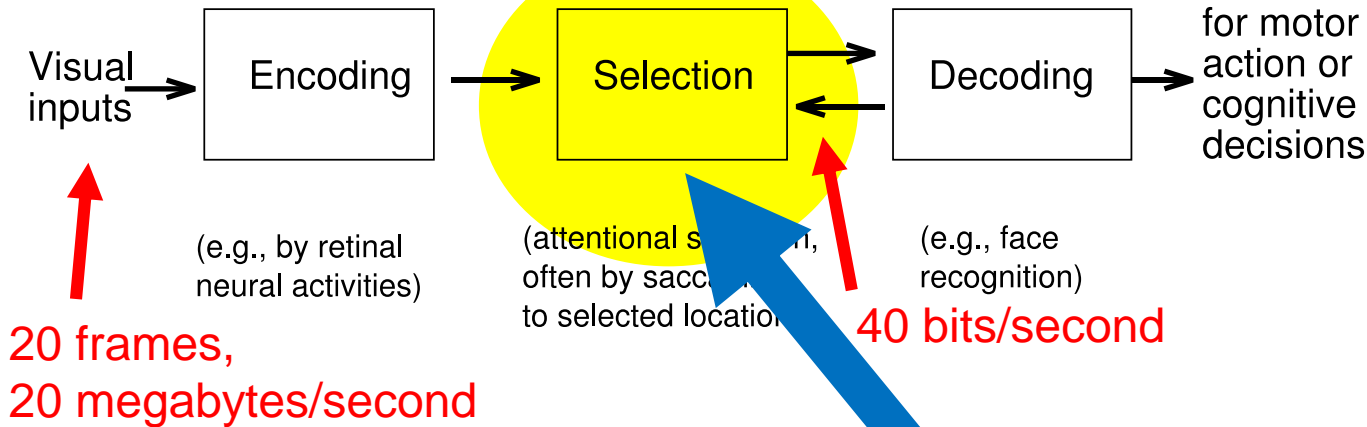
## Bottom-up selection



“To be or not to be,  
This is the question ..”

40 bits/second  
(Sziklai, 1956)

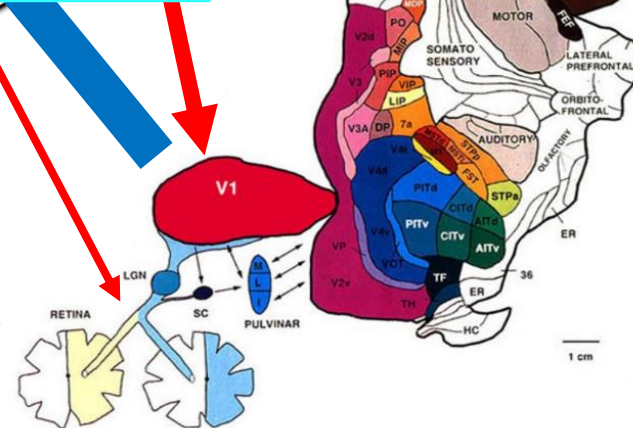
# A new path to understanding vision



## Traditional paths to understanding vision

- (1) Low level vision, mid-level vision, high-level vision
- (2) David Marr: primal sketch, 2.5 d sketch, 3-d model.

(Kelly 1962)  
~ 25 frames/second,  
2000x2000 pixels,  
1 byte/pixel



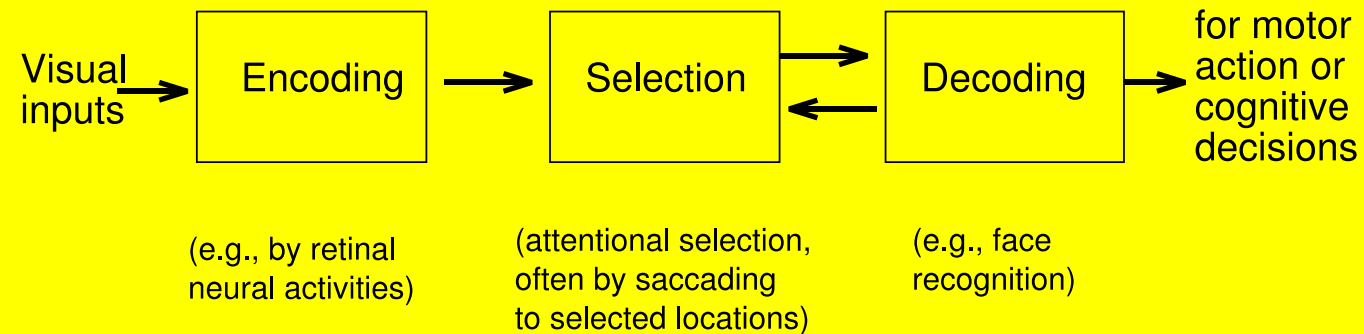
“To be or not to be,  
This is the question ..”

40 bits/second  
(Sziklai, 1956)

# Talk outline

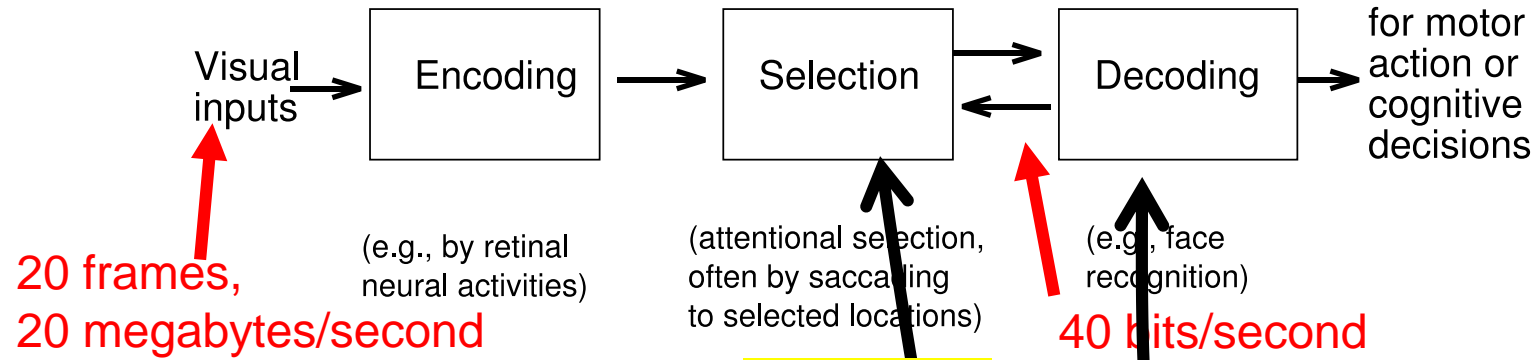
- (1) The functional role of the primary visual cortex (V1) ← **Attentional selection**

(2) In light of V1's role →  
a new plan to understanding vision



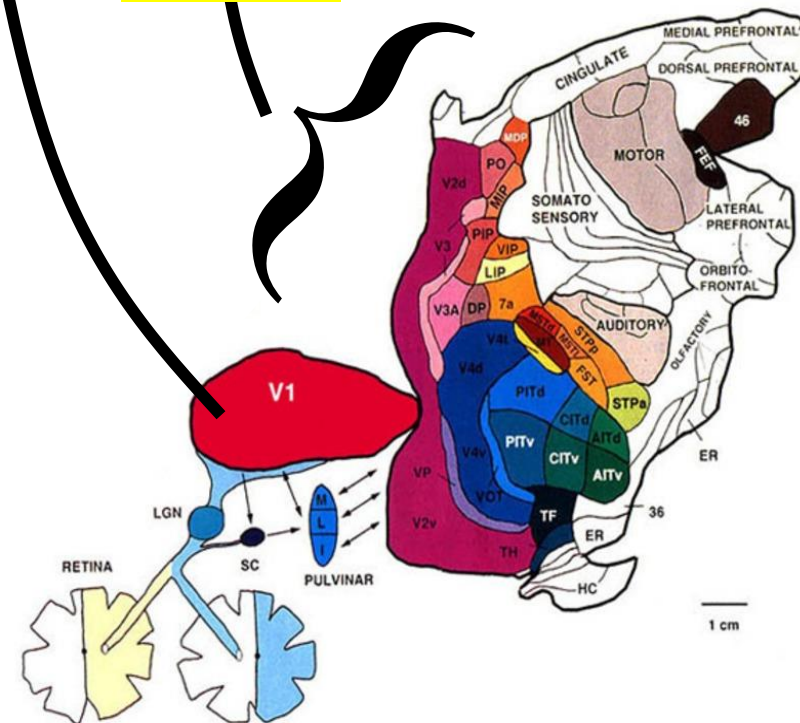
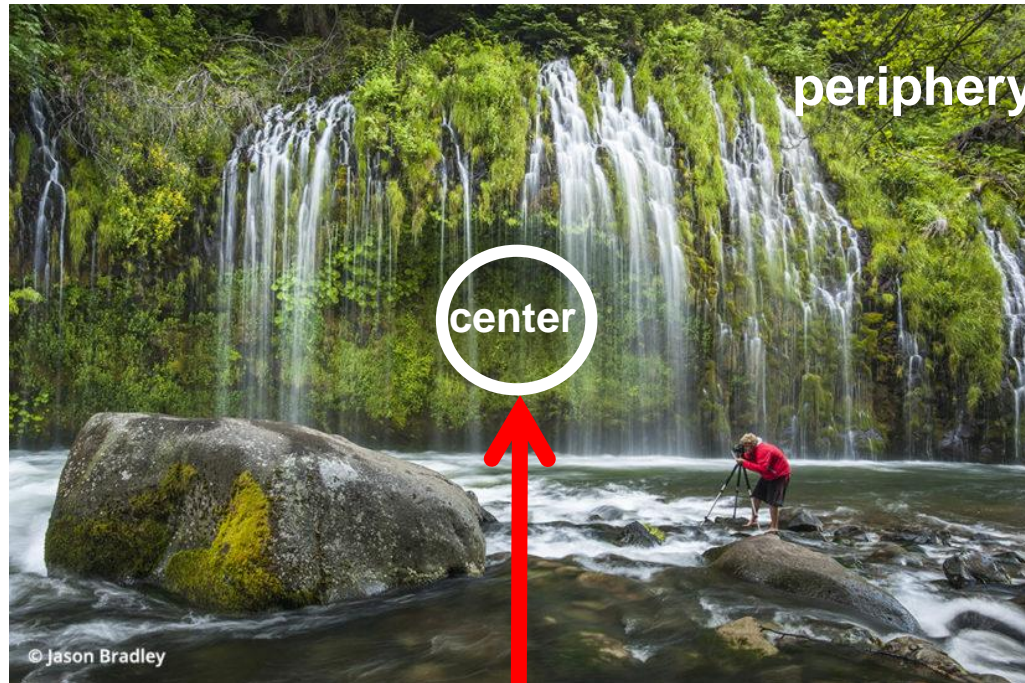
- (3) A first example study in this new plan

# A new path to understanding vision

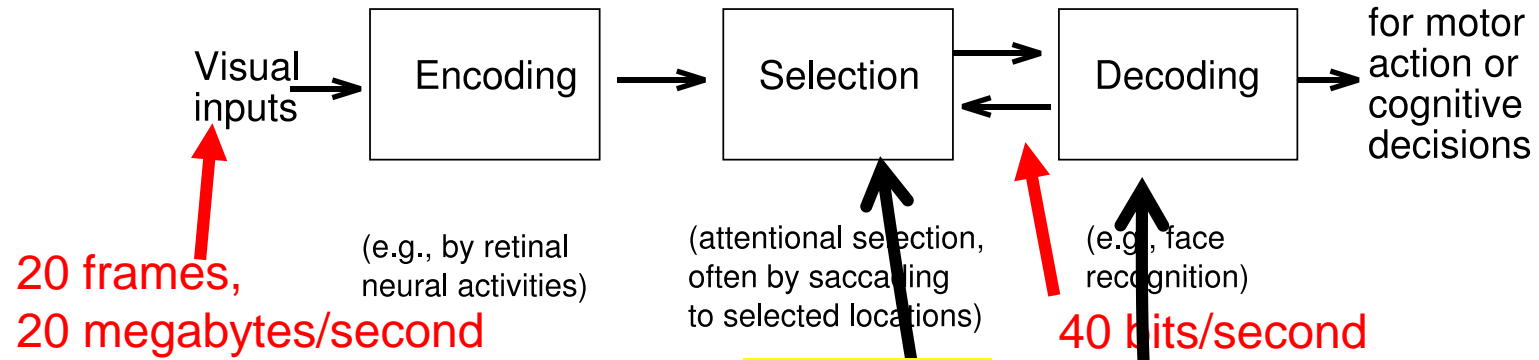


**Looking**

**Seeing**

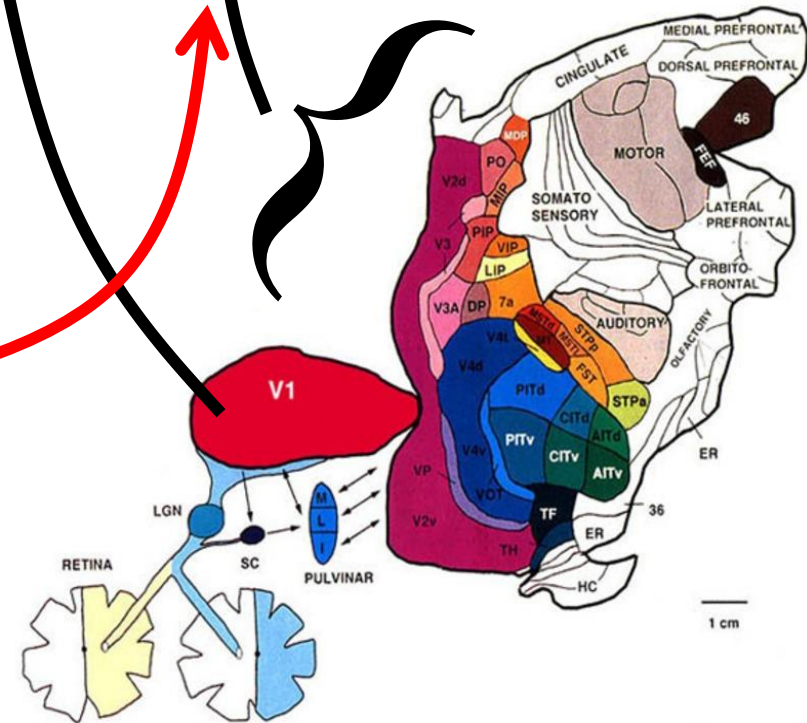


# A new path to understanding vision



**Looking**

**Seeing**



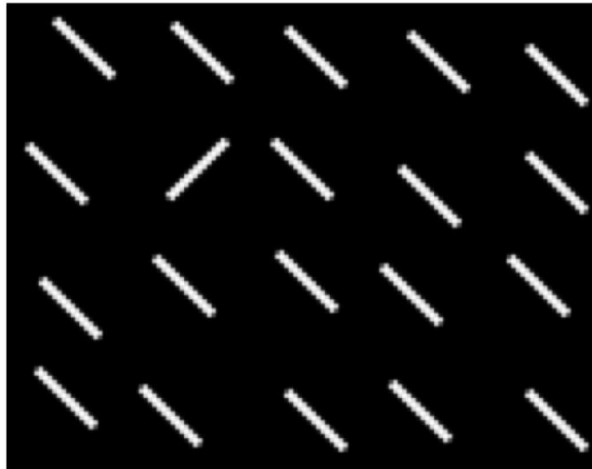




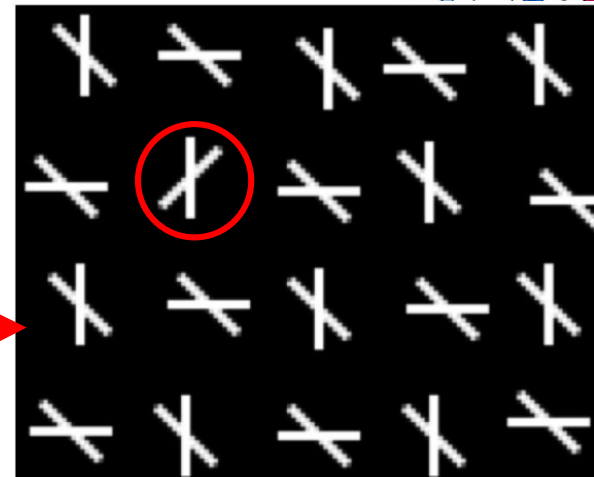
# Looking and seeing --- peripheral and central

Two separate processes

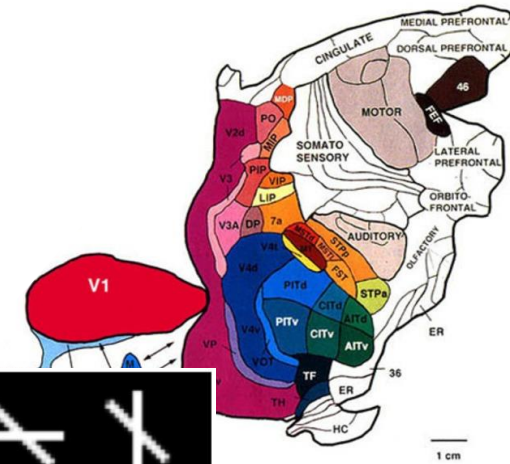
Demo: (Zhaoping & Guyader 2007)



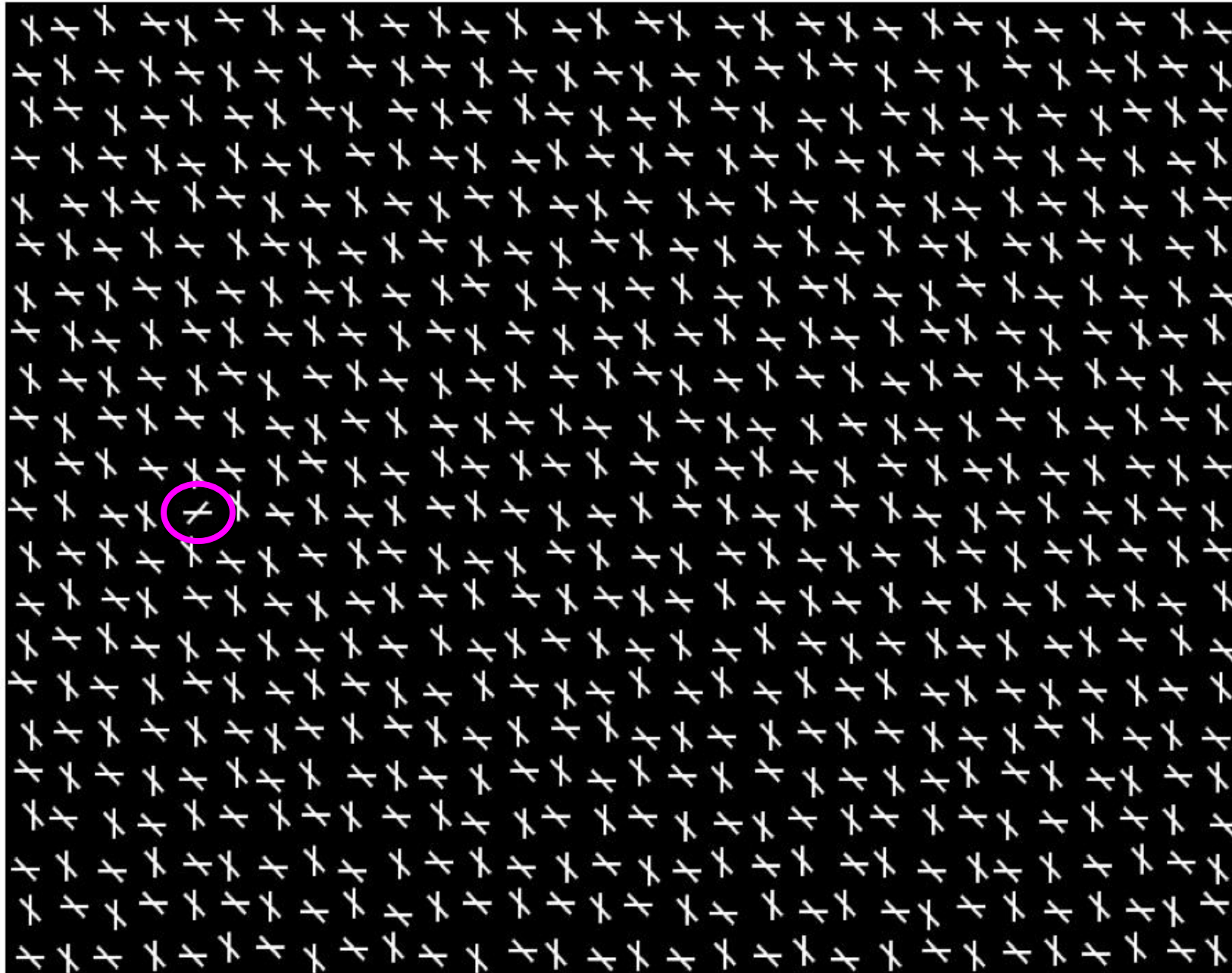
Add a horizontal bar or a vertical bar to each oblique bar



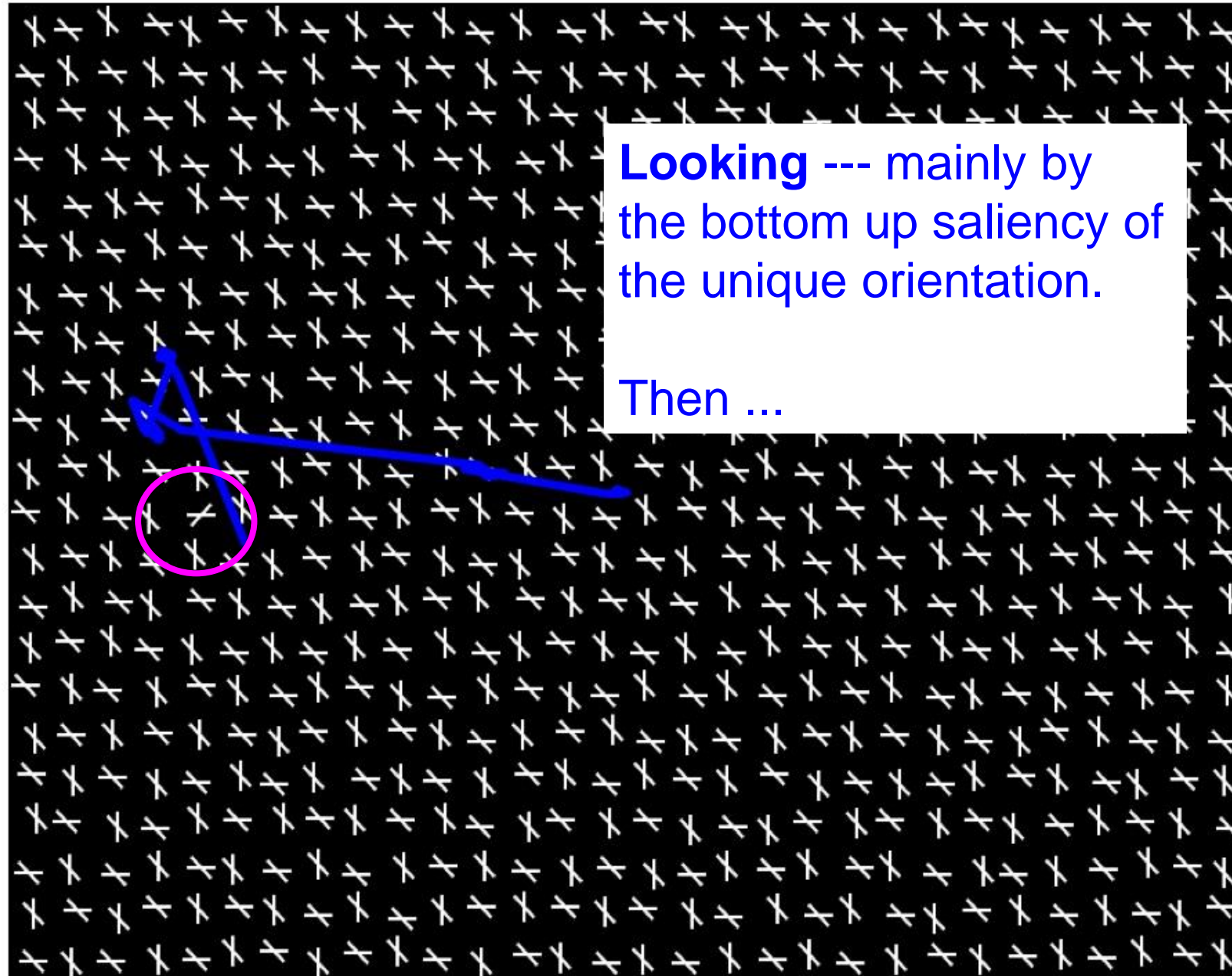
Add a horizontal bar or a vertical bar to each oblique bar



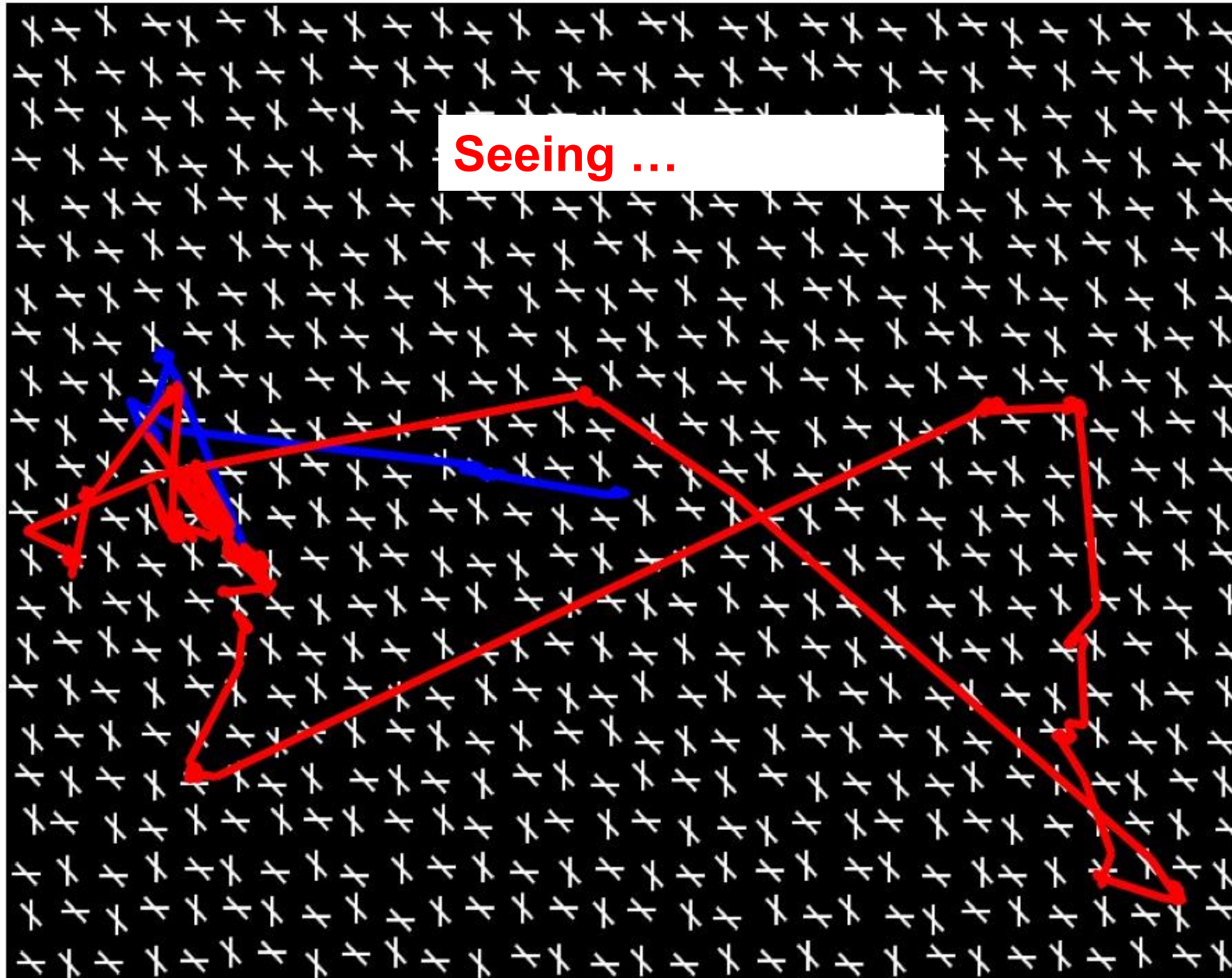
Display span 46x32 degrees in visual angle --- condition A



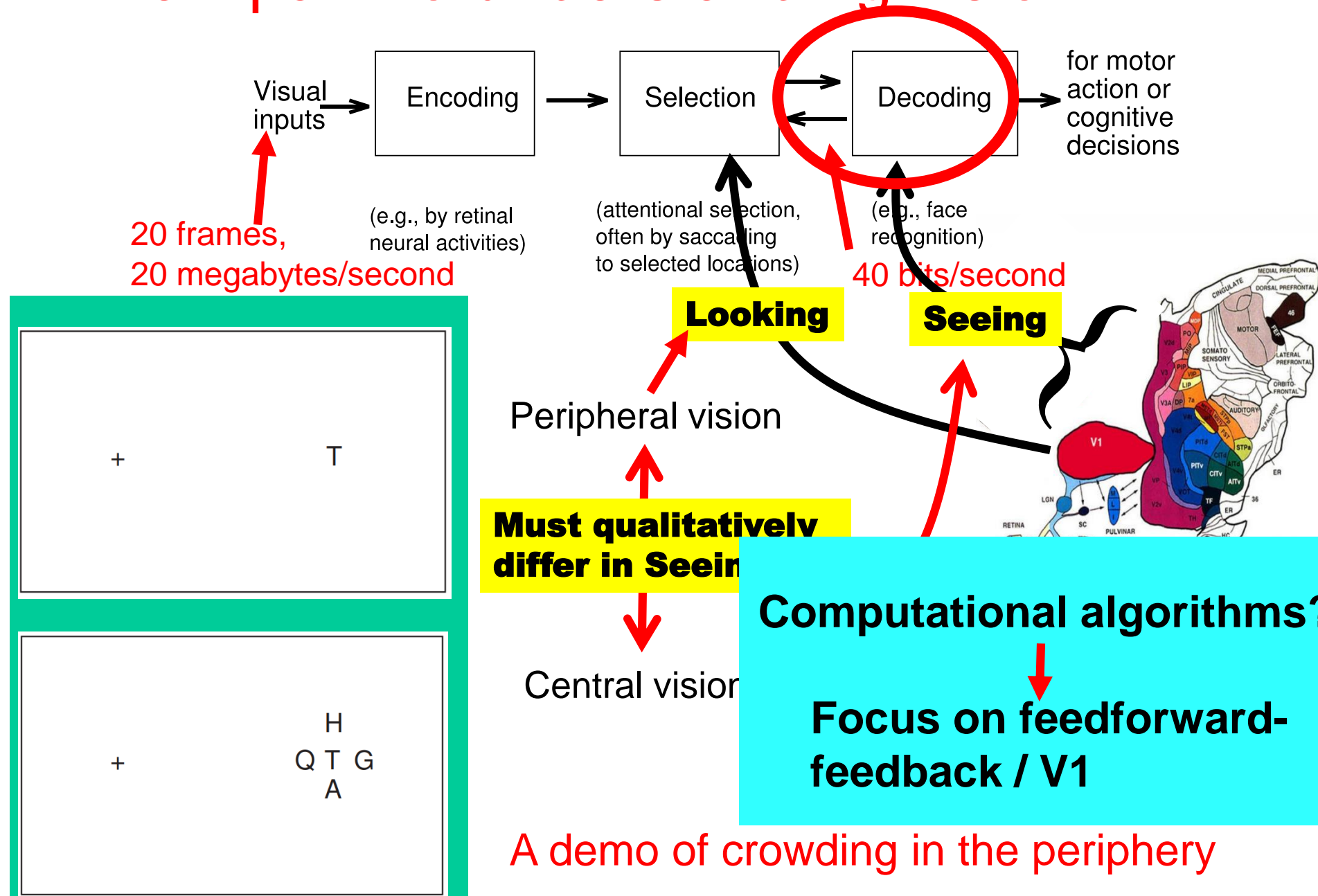
## Gaze arrives at target after a few saccades



Gaze dawdled around the target, then abandoned and returned.



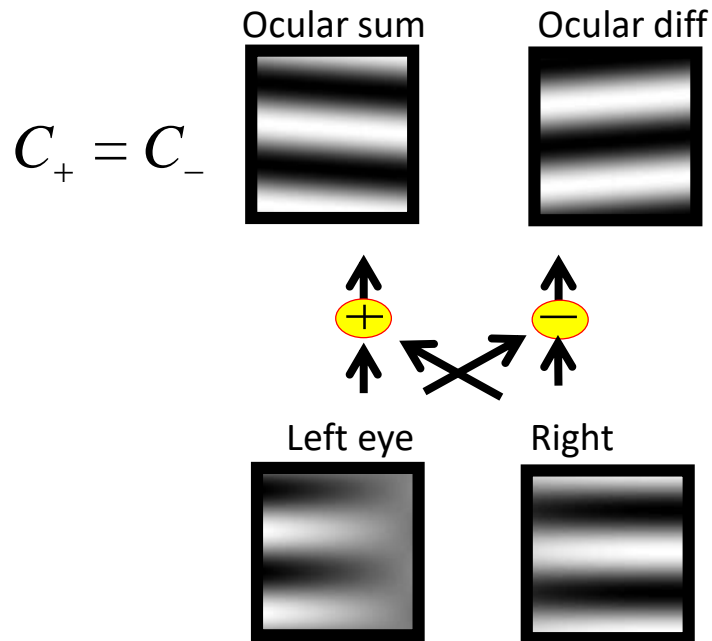
# A new path to understanding vision



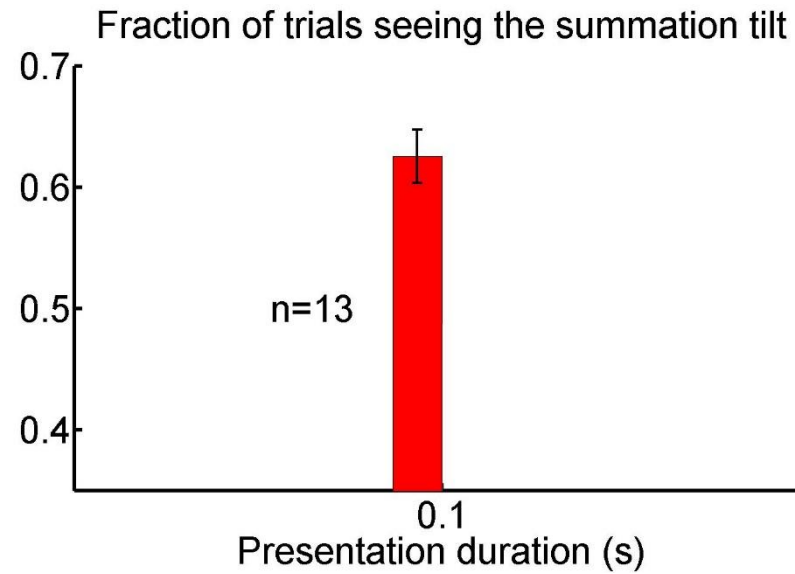
# Summation percept dominant

Perception = ?

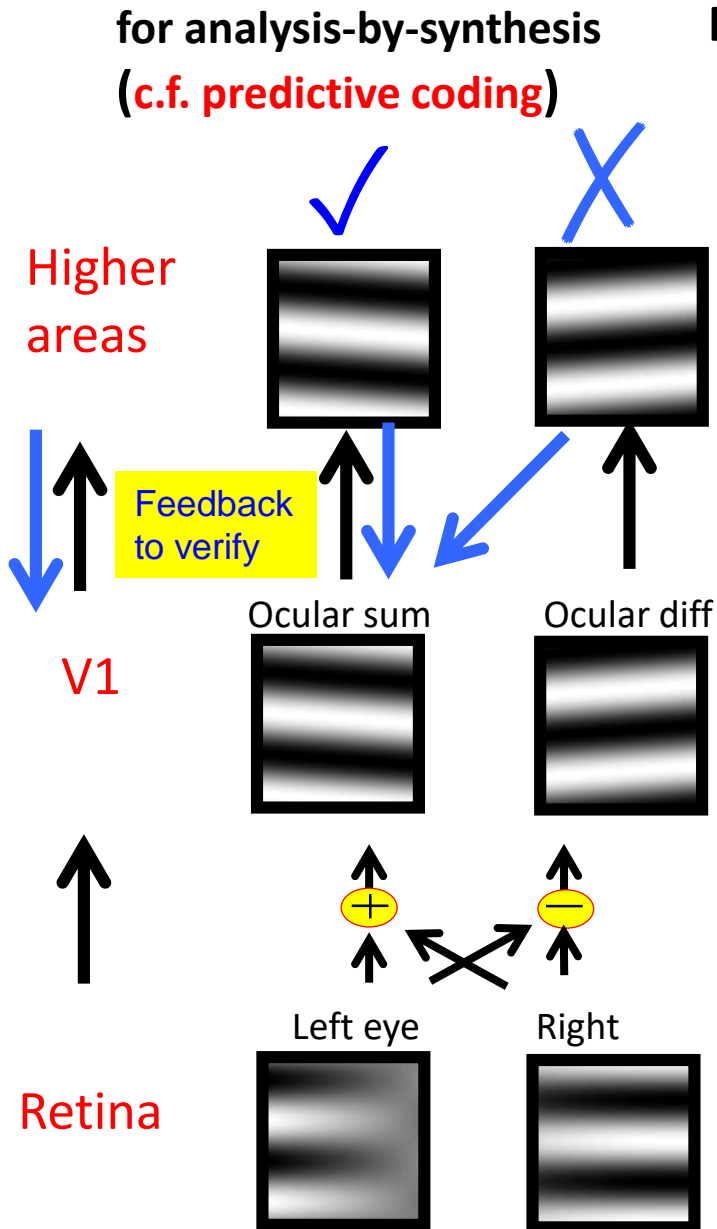
In V1, signals are efficiently encoded by these two de-correlated channels (Li & Atick 1994)



Subject task: report the perceived tilt.



# Why does perception prefer ocular summation? (Zhaoping 2017)

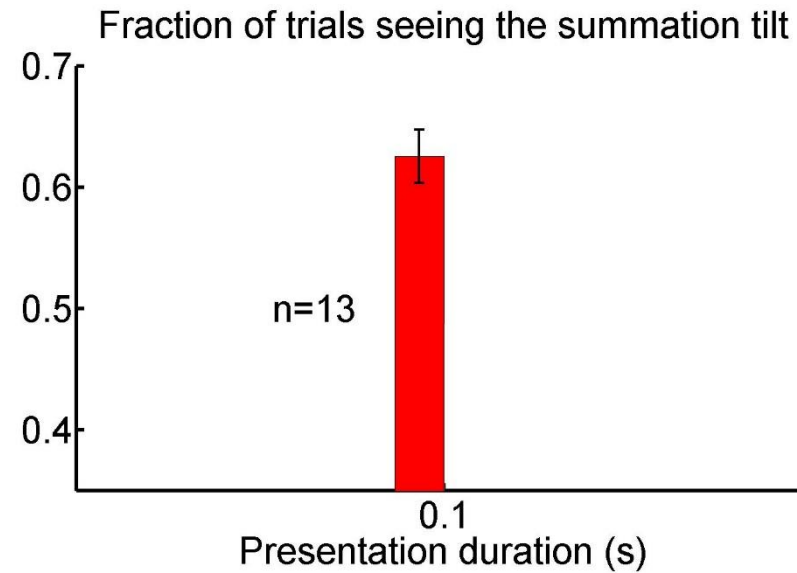


## Feedforward, feedback, verify, and re-weight (FFVW)

If I perceive it, it is likely (prior) shown to both my left and my right eyes, so it should resemble the input in the sum channel!!!

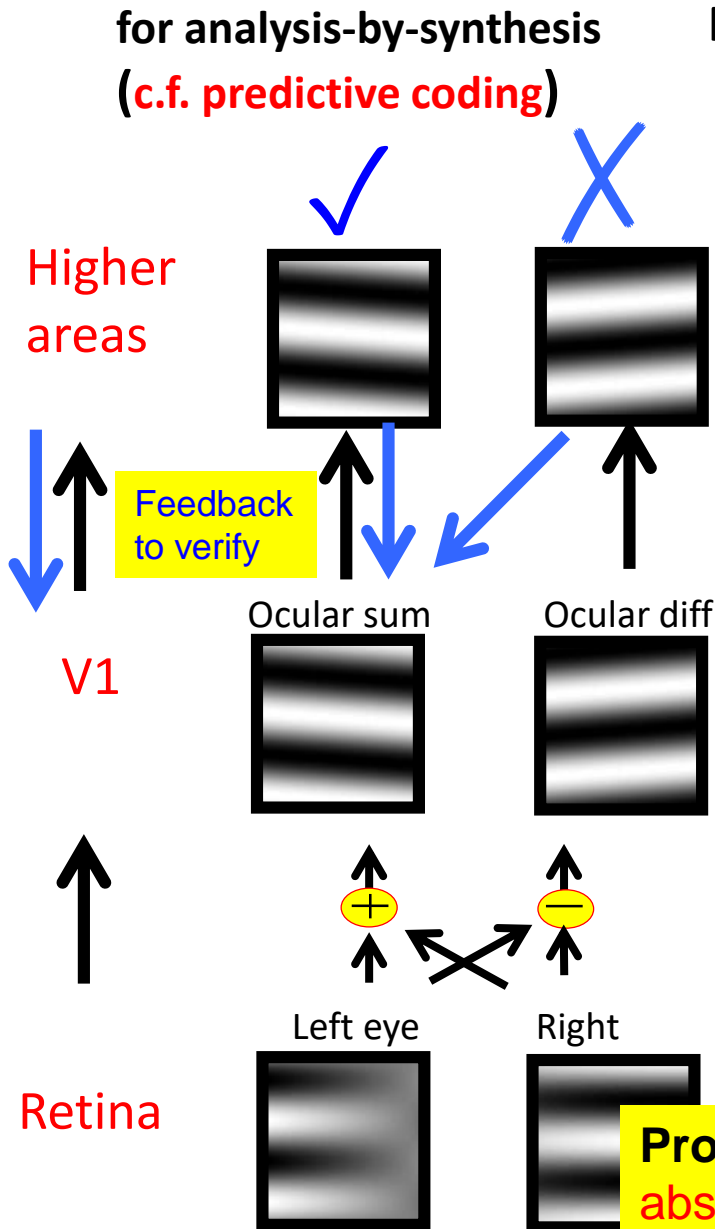


The Bayesian(?) monster





# Why does perception prefer ocular summation? (Zhaoping 2017)



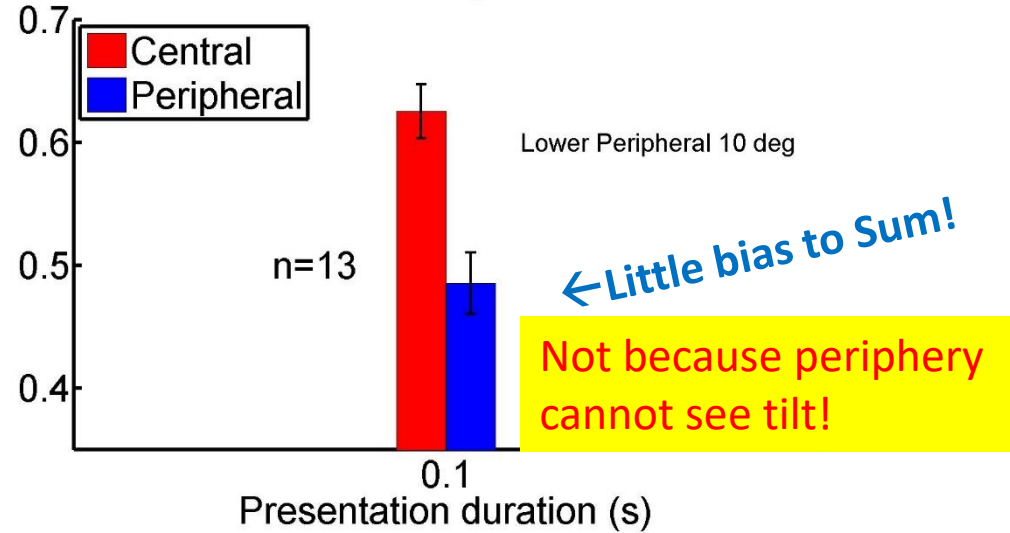
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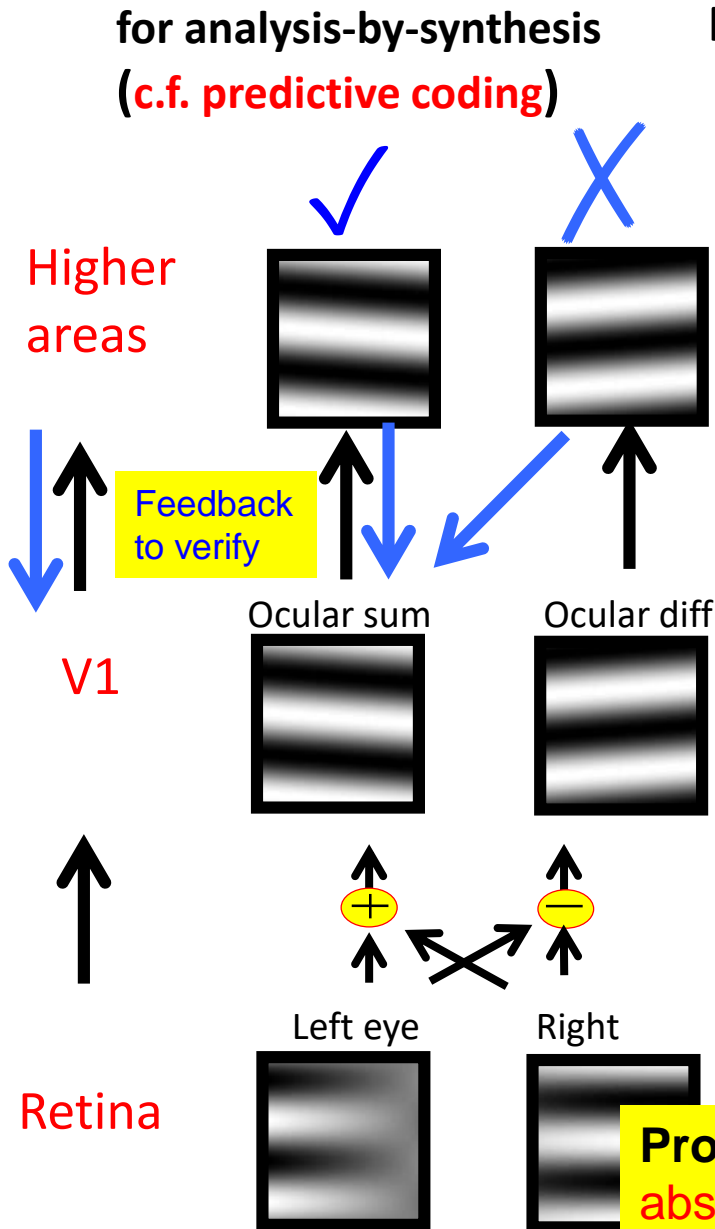
The Bayesian(?) monster

Fraction of trials seeing the summation tilt



**Proposal:** Top-down feedback to V1 is weaker or absent in peripheral vision for analysis-by-synthesis!

Why does perception prefer ocular summation? (Zhaoping 2017)

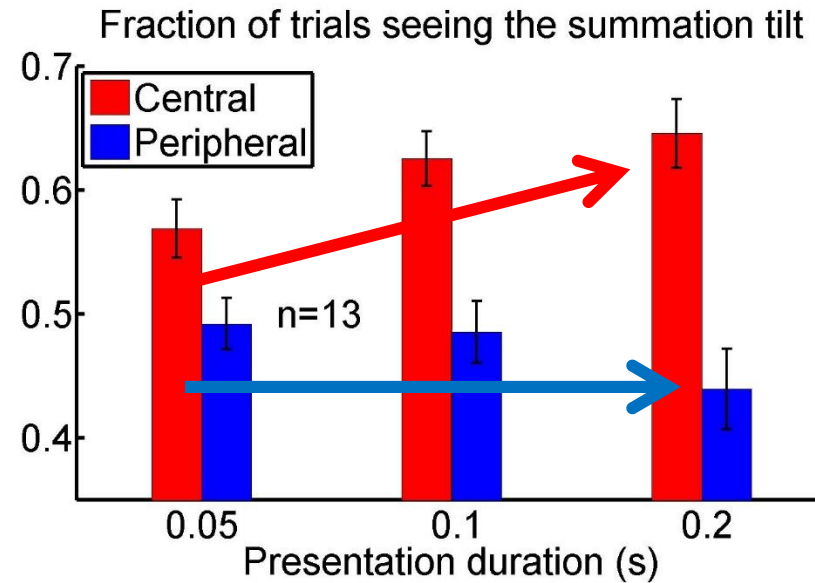


Feedforward, feedback, verify, and re-weight (FFVW)

If I perceive it, it is likely (prior) shown to both my left and my right eyes, so it should resemble the input in the sum channel!!!



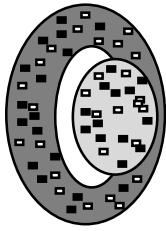
The Bayesian(?) monster



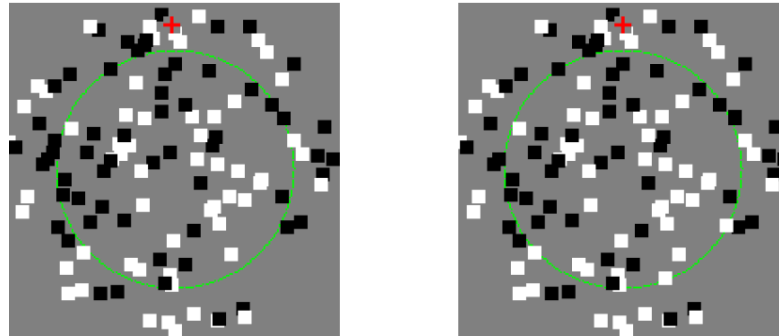
**Proposal:** Top-down feedback to V1 is weaker or absent in peripheral vision for analysis-by-synthesis!

# Testing it in depth perception

Zhaoping & Ackermann, 2018

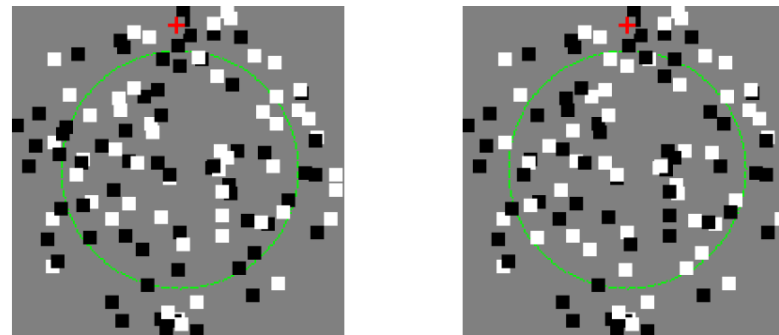


A central disk (non-zero disparity)  
and a surrounding ring (zero disparity)



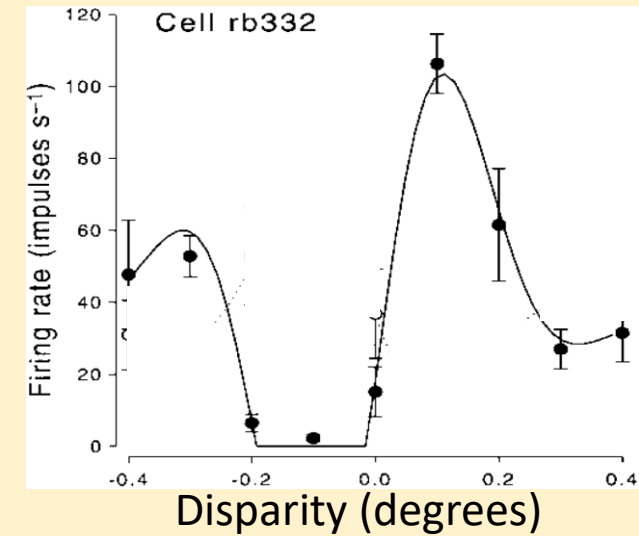
(Green circle not part of the stimuli)

Dots for the  
central disk  
Anti-correlated



Doi et al 2011

## A V1 neuron's response to disparity in random dot stereograms



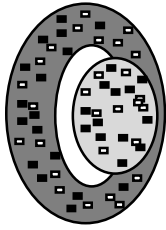
(Cumming and Parker 1997 )

**Proposal:** Top-down feedback to V1 is weaker or  
absent in peripheral vision for analysis-by-synthesis!

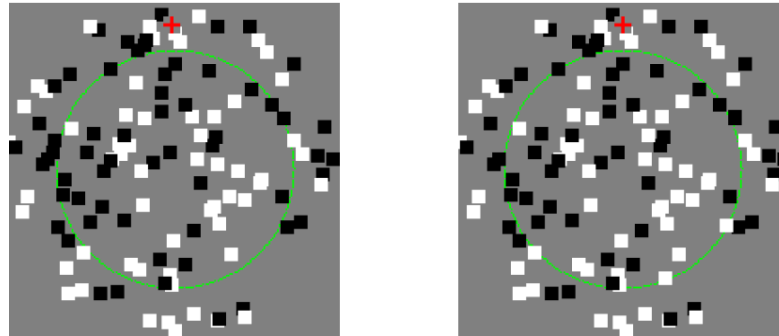
**Proposal: Top-down feedback to V1 is weaker or absent in peripheral vision for analysis-by-synthesis!**

**Testing it in depth perception**

Zhaoping & Ackermann, 2018

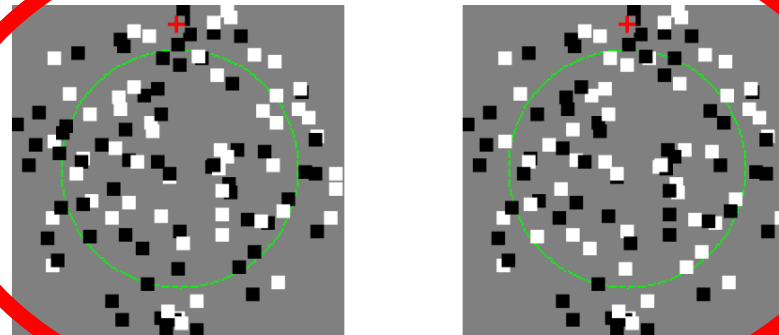


A central disk (non-zero disparity) and a surrounding ring (zero disparity)



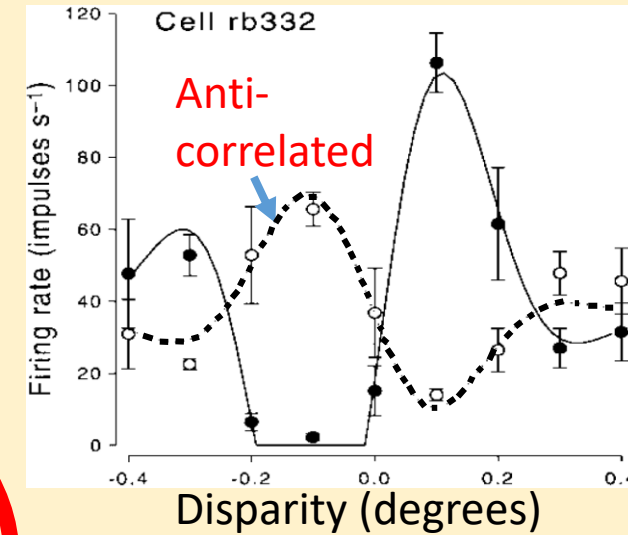
(Green circle not part of the stimuli)

Dots for the central disk  
Anti-correlated



Doi et al 2011

**A V1 neuron's response to disparity in random dot stereograms**



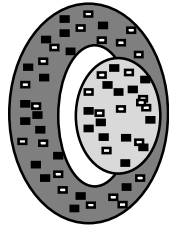
(Cumming and Parker 1997 )

**Humans cannot see depth in such stereograms in central vision**

**Proposal: Top-down feedback to V1 is weaker or absent in peripheral vision for analysis-by-synthesis!**

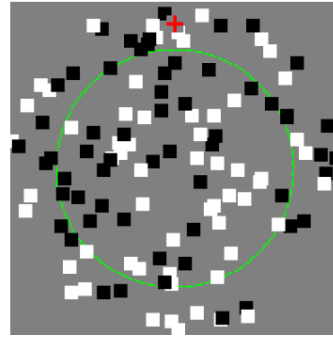
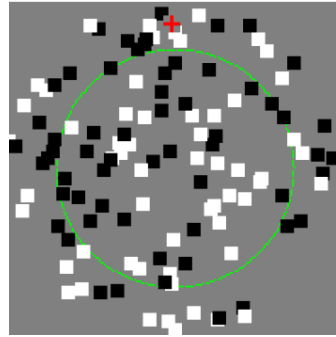
**Testing it in depth perception**

Zhaoping & Ackermann, 2018

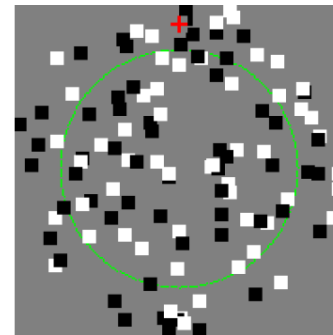
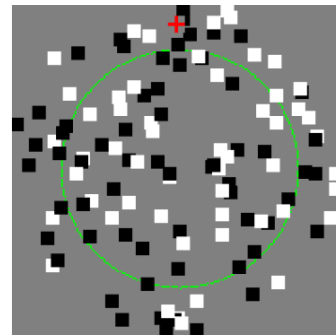


A central disk (non-zero disparity)  
and a surrounding ring (zero disparity)

Dots for the  
central disk  
correlated



Dots for the  
central disk  
Anti-correlated



**No reversed depth percept**

**V1's report vetoed**

**Expected disparity and binocular correlation not found in V1**

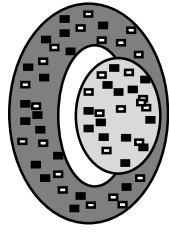
**Top-down feedback to verify V1's report**

**V1 feeds forward reverse depth to higher brain areas!**

**Proposal: Top-down feedback to V1 is weaker or absent in peripheral vision for analysis-by-synthesis!**

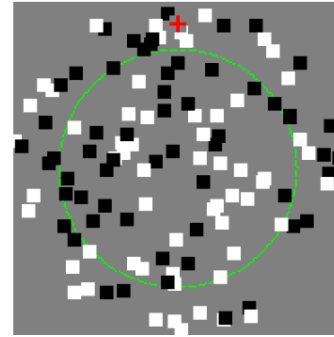
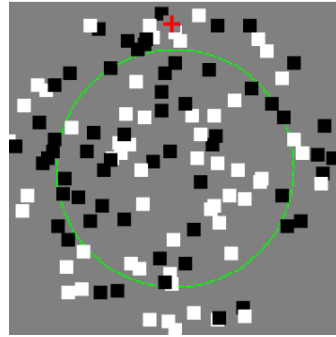
**Testing it in depth perception**

Zhaoping & Ackermann, 2018

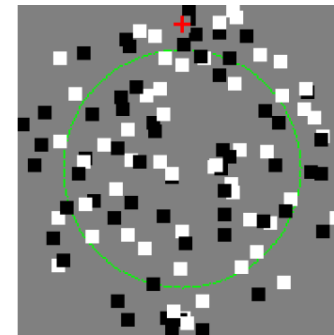
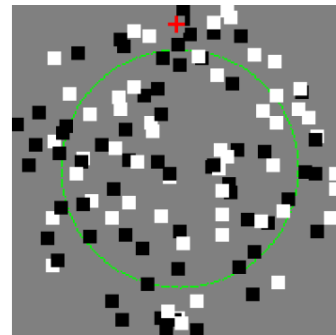


Dots for the central disk correlated

A central disk (non-zero disparity) and a surrounding ring (zero disparity)



Dots for the central disk Anti-correlated



**No reversed depth percept**



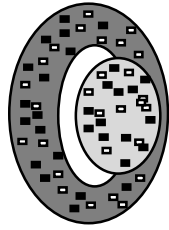
**If peripheral vision has no feedback**



**V1 feeds forward reverse depth to higher brain areas!**

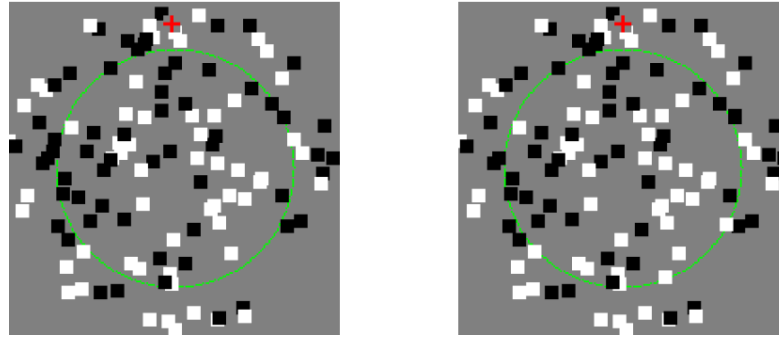


**Proposal: Top-down feedback to V1 is weaker or absent in peripheral vision for analysis-by-synthesis!**

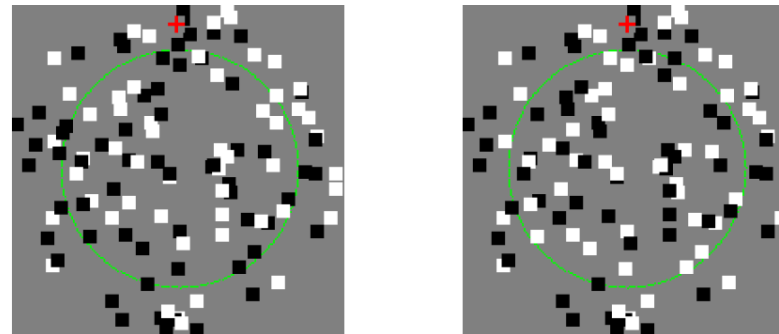


Dots for the central disk correlated

A central disk (non-zero disparity) and a surrounding ring (zero disparity)



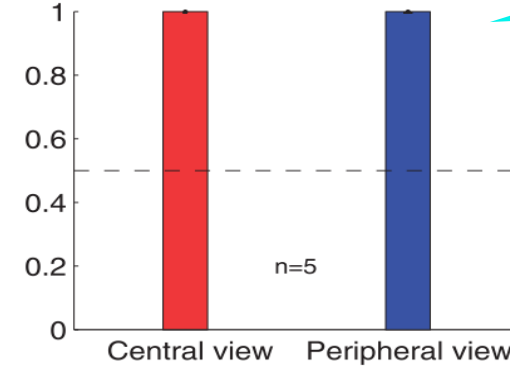
Dots for the central disk Anti-correlated



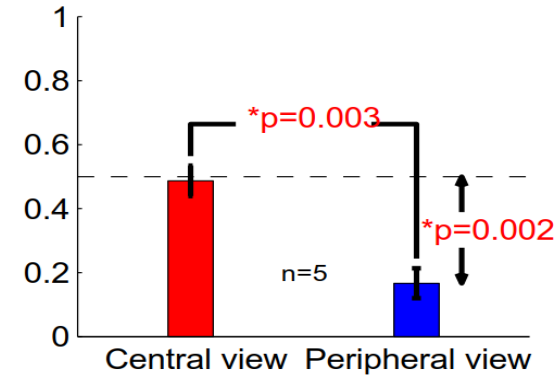
**Testing it in depth perception**

Zhaoping & Ackermann, 2018

**Prediction**



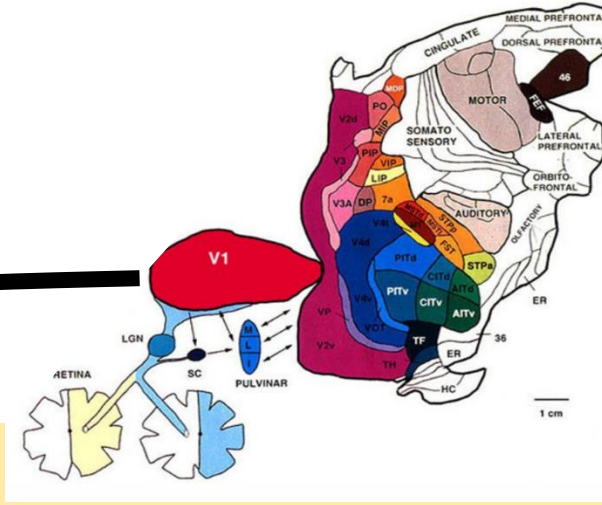
**Accuracy reporting disparity-defined depth**



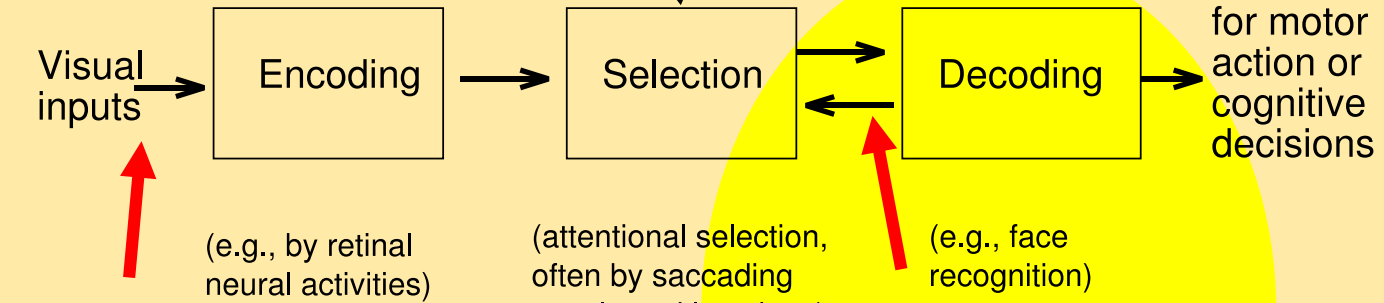
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# A new path to understanding vision



20 frames,  
20 megabytes/second

40 bits/second

**Central-peripheral dichotomy**

**Falsifiable**

**Opening the window to our brain**