## Twisted scroll waves: instabilities and a reduced model.

Blas ECHEBARRIA (Politècnica), Hervé HENRY (Polytechnique)
Vincent Hakim
Laboratoire de Physique Statistique, Ecole Normale Supérieure, Paris


Winfree et al., Chaos 6, 617 (1996);

U. Storb et al, PCCP 5, 2344 (2003).

A new degree of freedom in 3D : the twist

A. Pertsov et al Nature 345419 (1990); J. Phys.Chem. 1001975 (1996).

## A twist induced instability : sproing

Henze, Lugosi and Winfree (1990).



- Helical deformation of the mean filament.
- Slow rotation of the center of rotation in a given plane.


## A theoretical puzzle

Keener (1988): Equations for the slow motion of the core of a weakly curved ( $\kappa$ ) and weakly twisted scroll wave in the normal plane ( $\overrightarrow{\mathbf{N}}, \overrightarrow{\mathbf{B}}$ ), using averaging techniques,

$$
\begin{aligned}
& \overrightarrow{\mathbf{R}}_{\mathbf{t}} \cdot \overrightarrow{\mathbf{N}}=a_{1} \kappa+\cdots \\
& \overrightarrow{\mathbf{R}}_{\mathbf{t}} \cdot \overrightarrow{\mathbf{B}}=a_{2} \kappa+\cdots
\end{aligned}
$$

Biktashev, Holden, Zhang (1994): all the other coefficients coupling the core motion to the twist vanish by symmetry.

## Different instabilities in different parameter regimes

- Extension of spiral instabilities:
- 3D induced meander (Aranson et Mitkov)
- Instabilities specific to scrolls:
- Negative line tension (Panfilov and Rudenko, Brazhnik et al, Biktashev et al,... )
- Twist-induced "sproing" (Winfree et al.)

The stability spectrum of a scroll
helps to obtain a clearer view of the different instabilities
(H. Henry + V H, PRL 2000, PRE 2002).

- The excitable medium model: two coupled equations (FitzHugh,1961; Nagumo et al.,1962),

$$
\begin{aligned}
\partial_{t} u & =\nabla^{2} u+f(u, v) / \epsilon \\
\partial_{t} v & =g(u, v)
\end{aligned}
$$

$\diamond$ Specific choice here (Barkley, 1991):

$$
f(u, v)=u(1-u)[u-(v+b) / a], g(u, v)=u-v
$$

The linear stability analysis

- Steadily rotating uniformly twisted straight scrolls (determined by a Newton method):

$$
\begin{equation*}
\left(u_{0}\left(r, \theta-\omega t-\tau_{w} z\right), v_{0}\left(r, \theta-\omega t-\tau_{w} z\right)\right. \tag{1}
\end{equation*}
$$

- Computation of the linear stability spectrum

Translation invariance along the $z$-direction
$\Rightarrow$ the eigenvalues appear in bands parameterized by the wavenumber $k_{z}$ along the $z$-direction

## Outcome of the linear stability computation

- Negative line tension instability $\Rightarrow$ small $k$
(long-wavelength) instability of the translation bands; directly linked to spiral drift direction in an electric field.
- Twist-induced "sproing" $\Rightarrow$ twist-induced finite $k$ instability of the translation bands.
"Negative line tension instability" of large core non-meandering spiral

$$
a=.44, b=.01 \text { and } \epsilon=.025
$$



Eigenvalue bands: $(+)$ translation, the real part of $\sigma\left(k_{z}\right)$ is positive for small $k_{z}$ : instability
$((\bullet)$ rotation, (o) meander)

Non-linear evolution: no restabilization

$$
a=.44, b=.01 \text { and } \epsilon=.025
$$



The instability existence is determined by the direction of spiral drift in an external field (A Karma +VH, PRE 1999; also H. Henry, PRE 2004).

$$
\sigma_{ \pm}\left(k_{z}\right)= \pm i \omega_{1}+\left(-\alpha_{\|} \pm i \alpha_{\perp}\right) k_{z}^{2}+O\left(k_{z}^{4}\right)
$$



$$
\partial_{t} u=\nabla^{2} u+f(u, v) / \epsilon-E . \nabla u, \quad v_{\mathrm{drift}}=\alpha_{\|} E+\alpha_{\perp} \omega_{1} \times E
$$

## Twist-induced instability of the translation bands

$$
(a=.8, b=.01, \epsilon=.025)
$$



- Instability above a threshold twist.
- The translation modes $\left(\operatorname{Re}\left(\sigma\left(k_{z}\right)\right)=0\right)$ remain local extrema of $\operatorname{Re}\left(\sigma\left(k_{z}\right)\right)$ (consequence of 3D rotation invariance);
- The unstable modes are a finite $k_{z}$ away from the translation modes.


## Dynamics of twisted scrolls: difficulties for theoretical descriptions

- The instability appears above a finite threshold twist and a finite $k_{z}$ away from the translation modes : it is invisible with small twist approaches (Keener; Biktashev et al).
- the twist-induced deformation of the translation modes can be analytically captured in the large core regime but untwisted scrolls are already unstable in this regime (negative line tension).


## A ribbon model of twisted scroll waves

(a phenomenological extension of Keener's approach). B. Echebarria, H. Henry and VH, PRL (2006).

The scroll is reduced to

- the line of rotation centers $\overrightarrow{\mathbf{R}}(\sigma, t)$ with tangents $\overrightarrow{\mathbf{T}}(\sigma, t)$
- the ribbon vectors in the direction of the spiral tip $\overrightarrow{\mathbf{p}}(\sigma, \mathbf{t})$

$$
\text { with } \overrightarrow{\mathbf{p}} \cdot \overrightarrow{\mathbf{p}}=1, \overrightarrow{\mathbf{T}} \cdot \overrightarrow{\mathbf{p}}=0
$$

An important quantity: the local twist:

$$
\tau_{w}=\left(\overrightarrow{\mathbf{p}} \times \frac{\partial \overrightarrow{\mathbf{p}}}{\partial s}\right) \cdot \overrightarrow{\mathbf{T}}, \quad(s \text { curvilinear abscissa }) .
$$

## Dynamics of the mean filament

The filament velocity in the normal plane is written as a gradient expansion

$$
\begin{aligned}
{\left[\overrightarrow{\mathbf{R}}_{t}\right]_{\perp}=} & a_{1} \overrightarrow{\mathbf{R}}_{s s}+a_{2} \overrightarrow{\mathbf{T}} \times \overrightarrow{\mathbf{R}}_{s s}+\tau_{w}\left\{-d_{2}\left[\overrightarrow{\mathbf{R}}_{\text {sss }}\right]_{\perp}+d_{1} \overrightarrow{\mathbf{T}} \times \overrightarrow{\mathbf{R}}_{s s s}\right\} \\
& -b_{1}\left[\overrightarrow{\mathbf{R}}_{\text {sssss }}\right]_{\perp}-b_{2} \overrightarrow{\mathbf{T}} \times \overrightarrow{\mathbf{R}}_{s s s s}+\cdots \\
& \left.\left(\text { Notation: }\left[\overrightarrow{\mathbf{R}}_{t}\right]_{\perp} \equiv \overrightarrow{\mathbf{R}}_{t}-\left(\overrightarrow{\mathbf{R}}_{t}\right) \cdot \overrightarrow{\mathbf{T}}\right) \overrightarrow{\mathbf{T}}\right)
\end{aligned}
$$

First two terms: motion induced by curvature ( $\equiv$ spiral drift ).
Other terms: beyond lowest order averaged equations (Keener), involve the coupling of filament motion with twist.

## Kinematics of twist evolution

The twist characterizes the spatial rotation of $\overrightarrow{\mathbf{p}}(\sigma, t)$ around $\overrightarrow{\mathbf{T}}(\sigma, t)$. A deformation of the center line induces kinematic changes in the twist.

## Global conservation

For a closed ribbon, the linking number $L$ between the center line and the ribbon edge is conserved.

$$
\mathbf{L}=\mathbf{W r}+\int d s \tau_{\mathbf{w}}
$$

The "writhe" Wr only depends on $\overrightarrow{\mathbf{R}}(\sigma, t)$. Well-studied in DNA context (White, Fuller,...))

Here, the local form is more useful (Klapper and Tabor,...).

## Kinematics of twist evolution

The local twist-writhe conversion (Klapper and Tabor,...):

- Spatial evolution of $\overrightarrow{\mathbf{p}}(\sigma, t)$ (along the filament):

$$
\frac{\partial \overrightarrow{\mathbf{p}}}{\partial \sigma}=\tau_{w} \frac{\partial s}{\partial \sigma} \overrightarrow{\mathbf{T}} \times \overrightarrow{\mathbf{p}}-\frac{\partial \overrightarrow{\mathbf{T}}}{\partial \sigma} \cdot \overrightarrow{\mathbf{p}} \overrightarrow{\mathbf{T}}
$$

- Time evolution of $\overrightarrow{\mathbf{p}}(\sigma, t)$ :

$$
\frac{\partial \overrightarrow{\mathbf{p}}}{\partial t}=\alpha \overrightarrow{\mathbf{T}} \times \overrightarrow{\mathbf{p}}-\frac{\partial \overrightarrow{\mathbf{T}}}{\partial t} \cdot \overrightarrow{\mathbf{p}} \overrightarrow{\mathbf{T}}
$$

- Comparison of cross-derivatives $\Rightarrow$ compatibility condition:

$$
\frac{\partial}{\partial t}\left(\tau_{w} \frac{\partial s}{\partial \sigma}\right)=\frac{\partial \alpha}{\partial \sigma}+\left(\frac{\partial \overrightarrow{\mathbf{T}}}{\partial \sigma} \times \frac{\partial \overrightarrow{\mathbf{T}}}{\partial t}\right) \cdot \overrightarrow{\mathbf{T}}
$$

## A simple illustration of the kinematics

An helical deformation of a straight twisted filament with periodic boundary conditions. Center line : $\overrightarrow{\mathbf{R}}=(R(t) \cos (\tau z), R(t) \sin (\tau z), z)$

Local twist $\tau_{w}(t): \quad \frac{\partial}{\partial t}\left(\tau_{w} \frac{\partial s}{\partial \sigma}\right)=\frac{\partial \alpha}{\partial \sigma}+\left(\frac{\partial \overrightarrow{\mathbf{T}}}{\partial \sigma} \times \frac{\partial \overrightarrow{\mathbf{T}}}{\partial t}\right) \cdot \overrightarrow{\mathbf{T}} \Rightarrow$

$$
\frac{d}{d t}\left[\tau_{w} \sqrt{1+(R \tau)^{2}}\right]=-\frac{R \tau^{3}}{\left(1+(R \tau)^{2}\right)^{3 / 2}} \frac{d R}{d t}
$$

The final twist $\tau_{w}$ is determined by the initial twist $\tau_{w}^{(0)}$ and by the geometric parameters of the final deformation

$$
\tau_{w}=\frac{\tau_{w}^{(0)}}{\sqrt{1+(R \tau)^{2}}}-\frac{\tau}{\sqrt{1+(R \tau)^{2}}}\left[1-\frac{1}{\sqrt{1+(R \tau)^{2}}}\right]
$$

The initial twist is decreased both by the length increase and the writhe of the helical deformation.

## Dynamics of twist evolution

$$
\frac{\partial}{\partial t}\left(\tau_{w} \frac{\partial s}{\partial \sigma}\right)=\frac{\partial \alpha}{\partial \sigma}+\left(\frac{\partial \overrightarrow{\mathbf{T}}}{\partial \sigma} \times \frac{\partial \overrightarrow{\mathbf{T}}}{\partial t}\right) \cdot \overrightarrow{\mathbf{T}}
$$

The rotation velocity $\alpha$ of $\overrightarrow{\mathbf{p}}$ around $\overrightarrow{\mathbf{T}}$ entirely characterizes the twist dynamics. When

$$
\alpha=\omega_{1}+c \tau_{w}^{2}+D \partial_{s} \tau_{w}+\left(\overrightarrow{\mathbf{T}} \cdot \partial_{t} \overrightarrow{\mathbf{R}}\right) \tau_{w}
$$

the twist dynamics is identical to that given by Keener's phase equation. Two effects : rotation velocity increases with $\tau_{w}^{2}$ and with the gradient of twist. The coefficients $c, D$ are given as scalar products with the adjoint rotation mode (Keener) and have been computed as a by-product of the linear stability analysis.

## Increase of scroll frequency with twist



$$
(a=0.8, b=0.01, \epsilon=0.025)
$$

First-order perturbation theory:

$$
\omega_{1}\left(\tau_{w}\right)=\omega_{1}(0)-\tau_{w}^{2} \frac{\left\langle\tilde{u}_{\phi}, \partial_{\phi \phi} u_{0}\right\rangle}{\left\langle\tilde{u}_{\phi}, \partial_{\phi} u_{0}\right\rangle+\left\langle\tilde{v}_{\phi}, \partial_{\phi} v_{0}\right\rangle}+O\left(\tau_{w}^{4}\right)
$$

(Full agreement with numerics : $\left.\omega_{1}\left(\tau_{w}\right)=\omega_{1}\left(\tau_{w}=0\right)+0.72 \tau_{w}^{2}\right)$

## Sproing of a twisted scroll

Linear stability of a twisted straight ribbon:

$$
W_{t}=a W_{z z}+i d \tau_{w} W_{z z z}-b W_{z z z z}, W=x+i y
$$

and the dispersion relation $(W(t, z)=A \exp (\sigma t+i k z))$ :

$$
\sigma=-a k^{2}+d \tau_{w} k^{3}-b k^{4}
$$

$a_{1}, b_{1}>0$, stable untwisted filament.
Threshold twist : $\tau_{w}^{(c)}=2 \sqrt{a_{1} b_{1} / d_{1}^{2}}$



Non linear evolution of a helix of pitch $k$ :

$$
R_{t}=d_{1} k^{3}\left[\tau_{w}-\tau_{w}^{(c)}(k)\right] R
$$

the twist decreases as the radius increases:

$$
\left.\frac{d}{d t} \tau_{w}=\operatorname{Re}\left[\bar{W}_{z z}\left(\tau_{w}-i \partial_{s}\right) W_{t}\right)\right] \Rightarrow \tau_{w}(t)=\tau_{w}^{(0)}-\frac{1}{2}\left(\tau_{w}^{(0)}+k\right) k^{2} R^{2}
$$

Supercritical Hopf bifurcation;

$$
R_{t}=d_{1} k^{3}\left\{\left[\tau_{w}-\tau_{w}^{(c)}(k)\right] R-\frac{1}{2}\left(\tau_{w}^{(0)}+k\right) k^{2} R^{3}\right\}
$$

R-D equations:



## Conclusions (I)

- The ribbon model (a phenomenological extension of Keener's approach) helps to understand sproing and appears to describe well some of the essential features of twisted scroll wave dynamics.
- Is it also useful in more complicated cases? (twist has to be generated in some way for open scrolls...)
$\Rightarrow$ the case of an excitability gradient.
U. Storb, C. R. Neto, M. Bär and S. C. Müller, PCCP 5, 2344 (2003).


Oxygen gradient leads to a gradient of excitability. Origin of the instability?

## Twist distribution in an excitability gradient

$$
\partial_{t} \tau_{w}=\partial_{s}\left(D \partial_{s} \tau_{w}\right)+\partial_{s}\left(c \tau_{w}^{2}\right)+\partial_{s} \omega_{0}
$$



Excitability step
b)


## Instability for a large enough excitability step



The critical twist does not correspond to the previously computed sproing threshold.

## RD vs. ribbon model



Very similar phenomenon in the ribbon model: different thresholds.

## Linear stability: different spectra

Convective vs. absolute instability.
Simpler linear problem:

$$
W_{t}=a W_{z z}+i d \tau_{w} W_{z z z}-b W_{z z z z}
$$

- Fourier: $\sigma=-a k^{2}+d k^{3}-b k^{4}$

$$
\sigma=a q^{2}+i d q^{3}-b q^{4} \Rightarrow 4 \text { roots } \operatorname{Re}\left(q_{1}\right) \geq \operatorname{Re}\left(q_{2}\right) \geq \operatorname{Re}\left(q_{3}\right) \geq \operatorname{Re}\left(q_{4}\right)
$$

For a large domain with $W=W_{z}$ at $z=0, L$,

- Spectrum: $\sigma$ such that $\operatorname{Re}\left(q_{2}\right)=\operatorname{Re}\left(q_{3}\right)$. (Kulikovskii, 1966).
- Critical points $d \sigma / d q=0$ belong to a branch $\operatorname{Re}\left(q_{i}\right)=\operatorname{Re}\left(q_{j}\right)$ but not necessarily the right one.


## Different spectra

- Fourier: $\sigma=-a k^{2}-i d k^{3}-b k^{4}$
- Spectrum: $\sigma$ such that $\operatorname{Re}\left(q_{2}\right)=\operatorname{Re}\left(q_{3}\right)$. (Kulikovskii, 1966).
- Critical points $d \sigma / d q=0$



## Conclusions (II)

- The reduced model seems helpful to study more complicated phenomena.
- Sproing (+ collision with the boundaries) appears to explain the instability seen in BZ reaction with an excitability gradient.
- The results highlight the influence of boundary conditions in non-potential problems even for large domains.

