

Hole-doped HTSCs: band structure trend,  
contact field and correlation with  $T_c$  max

E. Pavarini<sup>1,2</sup>, I. Dasgupta<sup>3,2</sup>, T. Saha-Dasgupta<sup>4,2</sup>, O. Jepsen<sup>2</sup>, O.K. Andersen<sup>2</sup>

1- Dipartimento di Fisica "A. Volta", Via Bassi 6, I-27100 Pavia

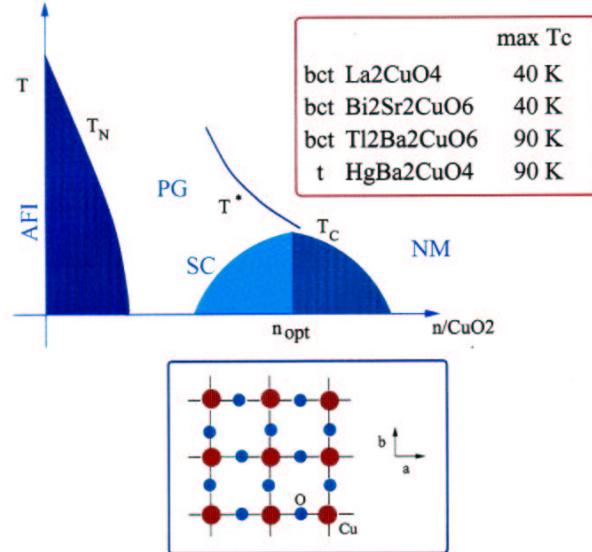
2- MPI-FKF, Heisenbergstrasse 1, 70569 Stuttgart, Germany

3- IIT Bombay, Mumbai 400 076, India

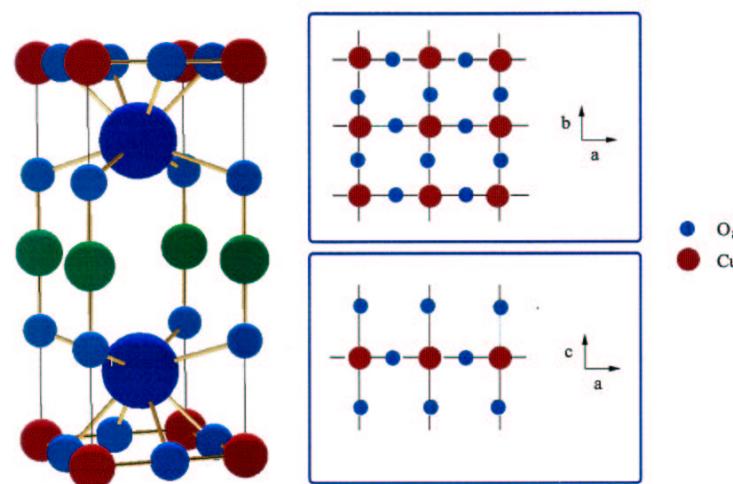
4- SN Bose National Centre for Basic Sciences, Kolkata 700098, India

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## Motivation



$$\text{Electronic structure} \rightarrow H = \sum t_{ij} c_i^\dagger c_j$$

HTSC:  $\text{HgBa}_2\text{CuO}_4$ 

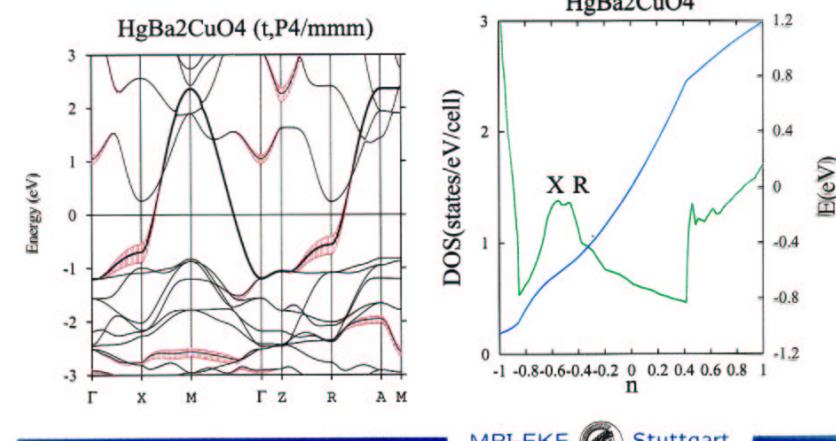
$\text{Cu} - \text{O}_a : 1.93 \text{ \AA}$

$\text{Cu} - \text{O}_c : 2.79 \text{ \AA}$

$\text{Hg} - \text{O}_c : 1.95 \text{ \AA}$

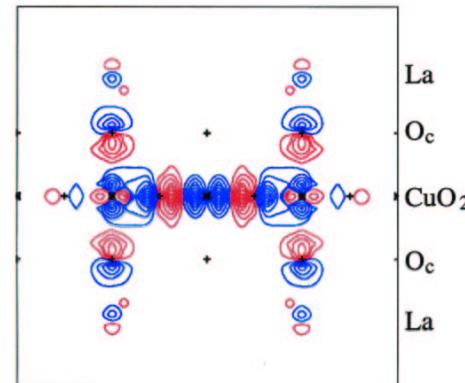
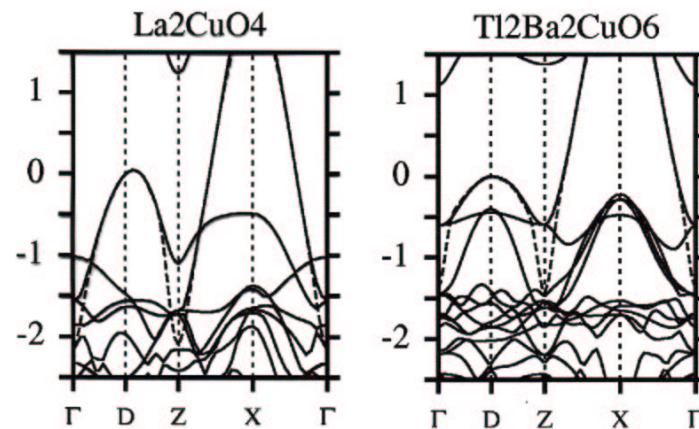
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### Electronic structure DFT(LDA), TB LMTO method.



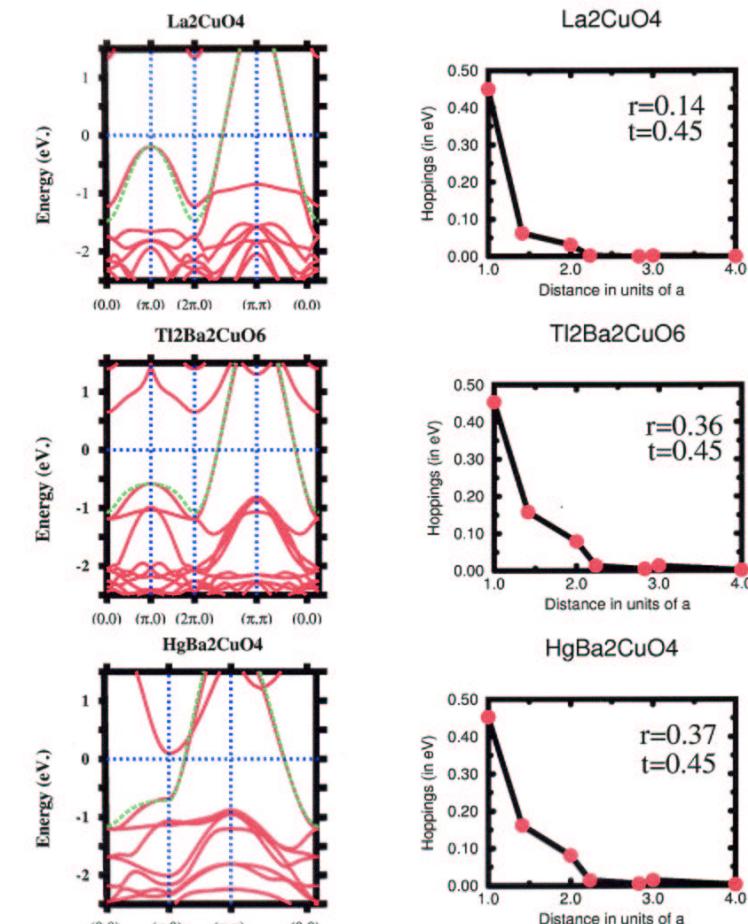
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LDA bands → one-band model

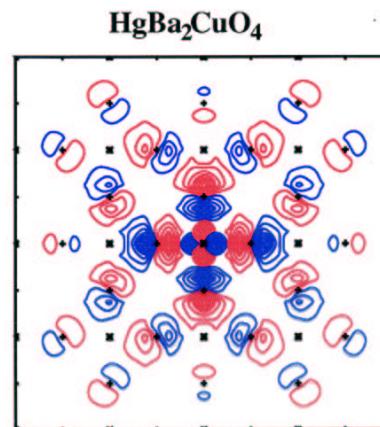
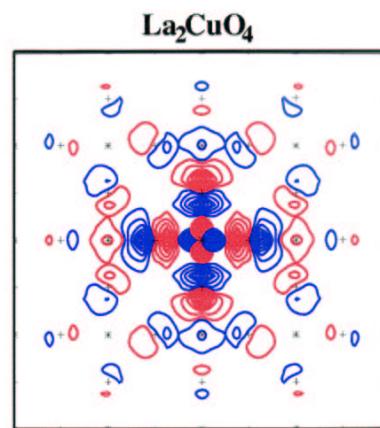


method: O.K. Andersen et al., Phys Rev B 62, R16219 (2000)

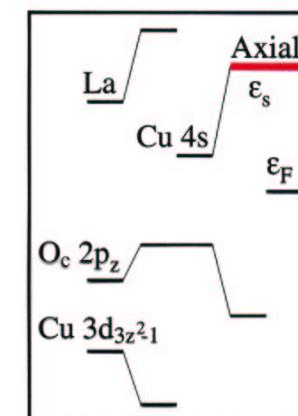
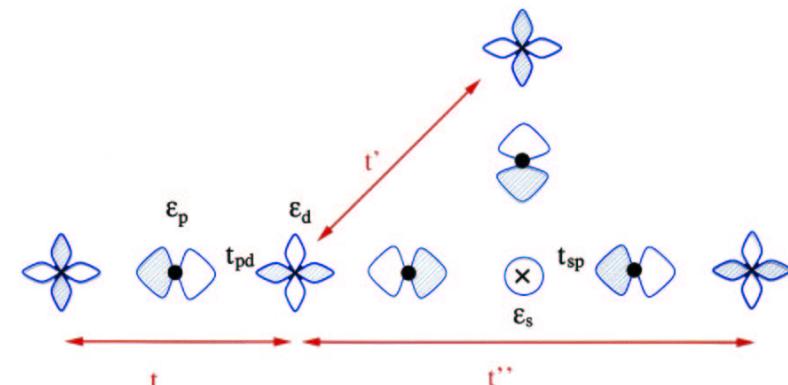
LDA bands, low energy conduction band and hopping integrals



ZMTO conduction-band orbital in the CuO<sub>2</sub>-layer for La<sub>2</sub>CuO<sub>4</sub> and HgBa<sub>2</sub>CuO<sub>4</sub>



From one- to four-band model



## One-band model

$$\epsilon(\mathbf{k}) = -2t(\cos k_x + \cos k_y) + 4t' \cos k_x \cos k_y - 2t'' (\cos 2k_x + \cos 2k_y) + \dots$$

with  $t'/t = r + o(r)$

## Four-band model

$$\epsilon(\mathbf{k}) = \frac{2t_{pd}^2}{\epsilon_F - (\epsilon_p + \epsilon_d)/2} \left( u + \frac{2rv^2}{1 - 2ru} \right)$$

with  $u = (\cos k_x \pm \cos k_y)$

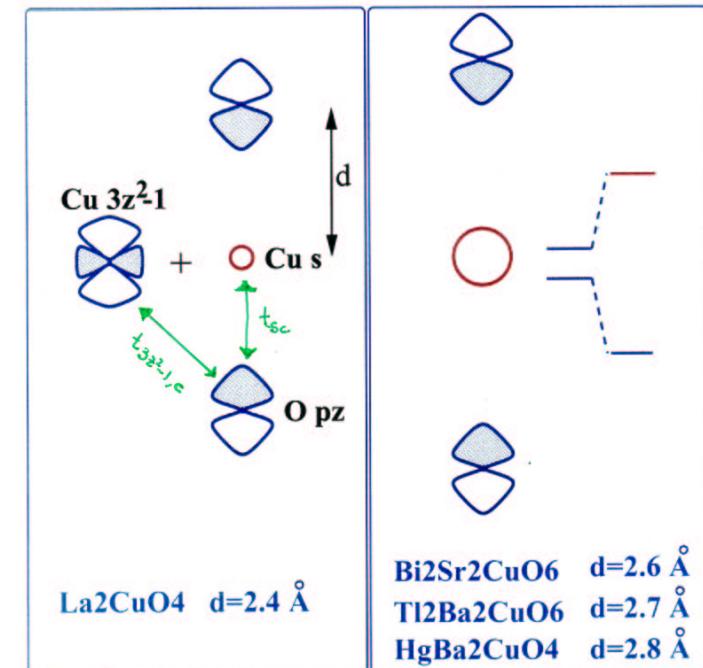
$$r = [2(1 + s)]^{-1}, \quad \text{and} \quad s = (\epsilon_s - \epsilon_F)(\epsilon_F - \epsilon_p)/(2t_{sp}^2)$$

The range-parameter is essentially the Cu  $s$  character

$$|c_s|^2 \propto v^2 r^2 |c_d|^2$$

## The axial orbital

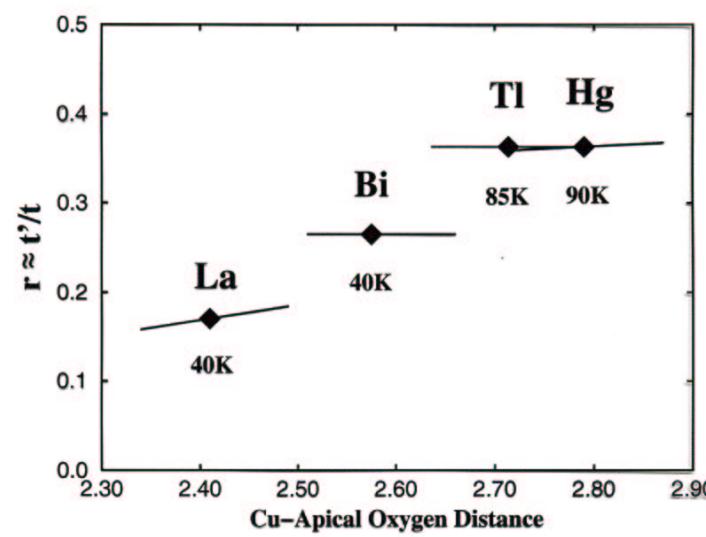
Effective Cu  $s$ : Cu  $s$  + apical O<sub>c</sub>  $p_z$  + Cu  $d_{3z^2-1}$



$$t_{3z^2-1,c} \propto d^{-4} \quad t_{sc} \propto d^{-2}$$

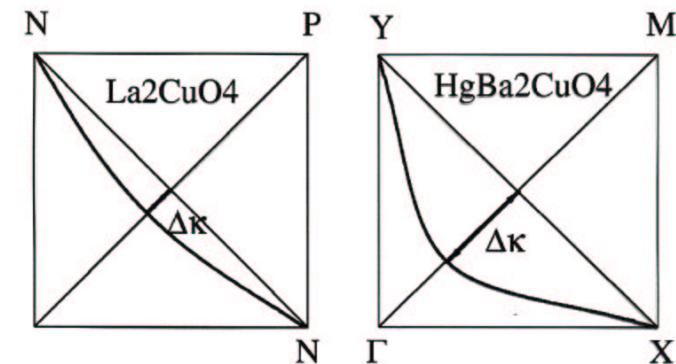
## Parameters of one-band model

single-layer materials



$r$  is controlled by the distance from Cu to apical O and the bonding of apical O to La, Bi, Tl or Hg.

Constant energy contours passing through the  $(\pi, 0)$  saddle point



Materials-dependence contained in a single parameter

$$r = \frac{1}{2} \sin \left( \frac{\Delta k}{\Gamma - M} \right) \sim \frac{t'}{t}$$

## Interpretation and Trends

- The only material dependent parameter is the energy  $\epsilon_s$  of the **effective Cu 4s orbital** (axial orbital). This energy is  $\epsilon_s - \epsilon_{x^2-y^2} \sim 6-9.$
- The hopping integrals  $t', t'' \dots$ , as well as  $t_\perp$  proceed via this effective Cu 4s orbital

$$t'/t \sim r \quad t''/t' \sim 1/2 \quad r \equiv r(\epsilon_s)$$

$$t_\perp \sim r^2 v^2 \cos ck_z \quad v \equiv \frac{1}{2}(\cos k_x - \cos k_y) \quad \text{tetragonal}$$

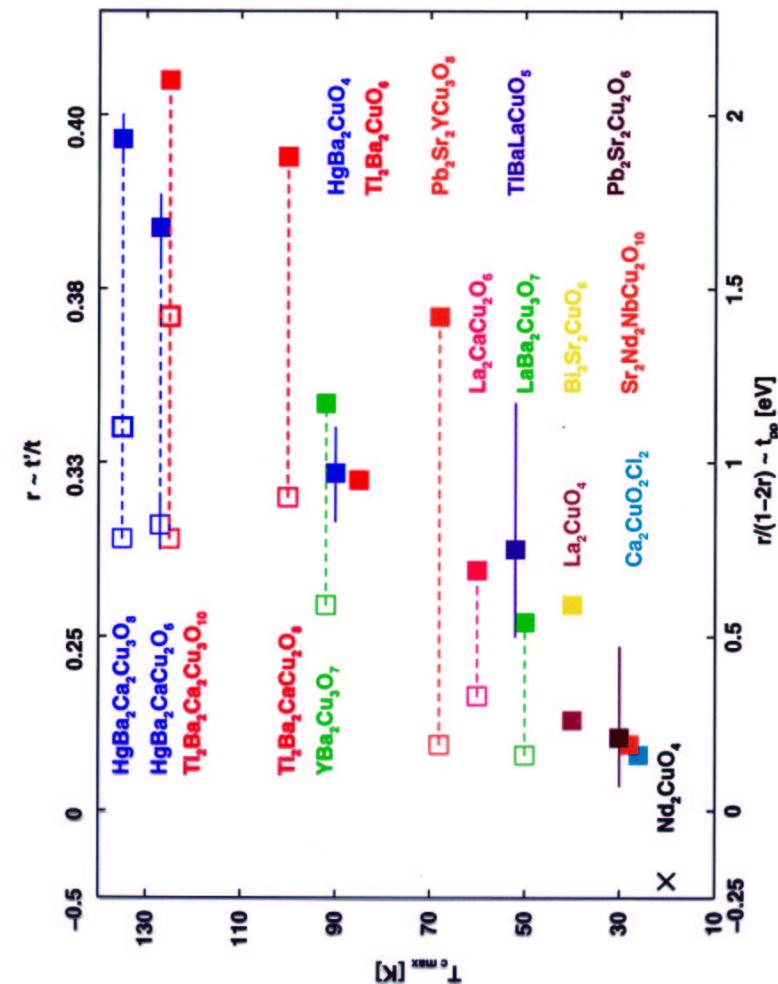
$$t_\perp \sim r^2 v^2 \cos \frac{1}{2}k_x \cos \frac{1}{2}k_y \cos \frac{1}{2}ck_z \quad \text{bct}$$

- One of the most important structural parameters determining the normal and superconducting properties for **single layer materials** is the **distance between the apical oxygen and the plane copper**.

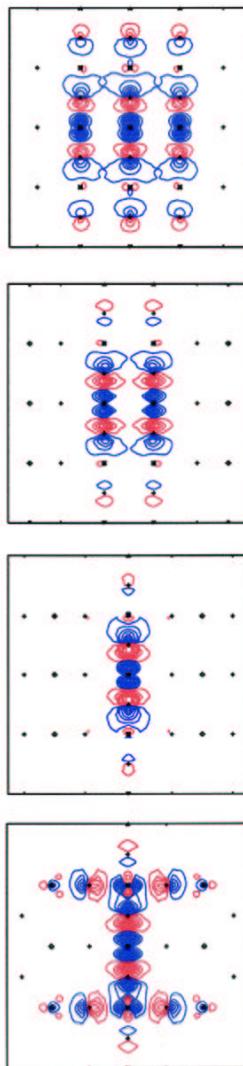
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Conduction-band orbital in the  $xz$ -plane



$\text{HgBa}_2\text{Cu}_3\text{O}_8$

$\text{HgBa}_2\text{CaCu}_2\text{O}_6$

$\text{HgBa}_2\text{CuO}_4$

$\text{La}_2\text{CuO}_4$

## Interpretation and Trends

- The essential material-dependence is contained in a single parameter,  $r$ , which expresses the range of the intra-layer hopping,  $t'/t \sim r + o(r)$ .
- This parameter is controlled by the axial orbital, the effective Cu 4s,  $\epsilon_s - \epsilon_{d_{x^2-y^2}} \sim 6 - 9\text{eV}$ .
- The axial orbital is a hybrid between Cu 4s, Cu  $3d_{3z^2-1}$ , apical oxygen 2p<sub>z</sub> and farther orbitals such as Hg or La.
- The ratio of axial-orbital to Cu d<sub>x<sup>2</sup>-y<sup>2</sup></sub> character is proportional to  $r^2(\cos k_x - \cos k_y)^2 = r^2v^2$
- The materials with larger  $r$  tend to be those with the higher observed value of max T<sub>c</sub>. For the materials with the highest observed max T<sub>c</sub>, the axial orbital is almost pure Cu 4s.
- The correlation between  $r$  and max T<sub>c</sub> holds also for multilayers with  $n \leq 3$ , if electrons are assumed to be coherent across the multilayer and if we use the  $r$ -value for the Cu 4s bonding subband.

- The axial orbital is also the main vehicle for perpendicular hopping. The axial character is maximum at "hot spots" and vanishes at cold "spots".
- CuO<sub>2</sub> layers stacked on top of each other:  $t_{\perp}(\mathbf{k}) \propto v^2 \cos k_z$ . bct stacked CuO<sub>2</sub> layers: additional nodes along  $k_x = \pi \rightarrow k_z$ -dispersion killed in single-layer TI but not in single-layer Hg, nearly same max T<sub>c</sub>. This argues against pair-tunnelling as a mechanism for boosting T<sub>c</sub>.

#### Experiments consistent with our results

- Fermi surface shape: ARPES of La<sub>2</sub>CuO<sub>4</sub> (over-doped), YBa<sub>2</sub>CuO<sub>7</sub> and Bi<sub>2</sub>Sr<sub>2</sub>CaCu<sub>2</sub>O<sub>8</sub>
- T<sub>c</sub> in La<sub>1.9</sub>Sr<sub>0.1</sub>CuO<sub>4</sub> films with different degrees of strain, J.-P. Locquet et al., Nature 394, 453 (1998)