

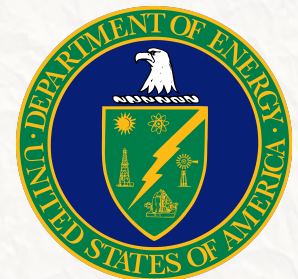
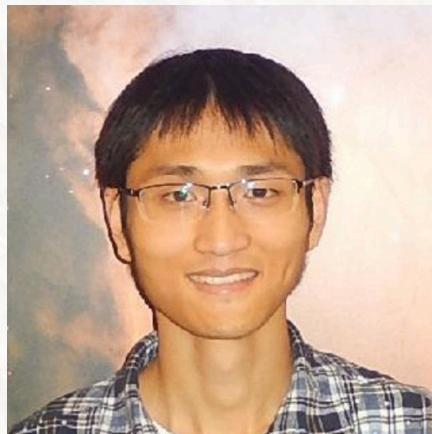
UNIVERSAL OPERATOR GROWTH HYPOTHESIS FOR HAMILTONIAN DYNAMICS

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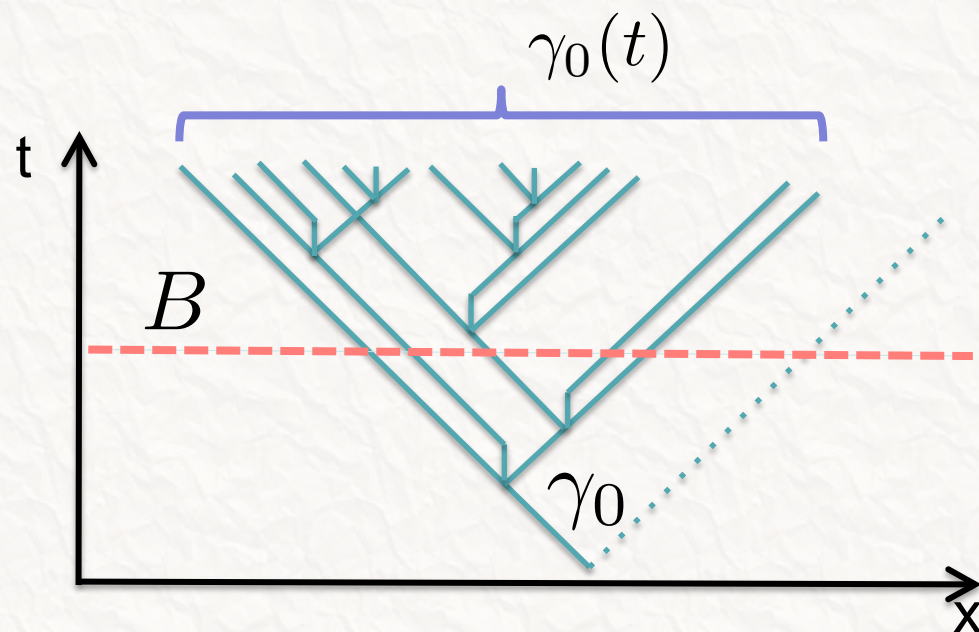
Daniel Parker,

Thomas Scaffidi



Operator growth

- Operator size;
Lyapunov exponents
(measured by OTOC)
- Operator complexity
- Emergent Hydrodynamics
(Diffusion constants)



Is there a universal structure that governs and relates these quantities in generic systems?

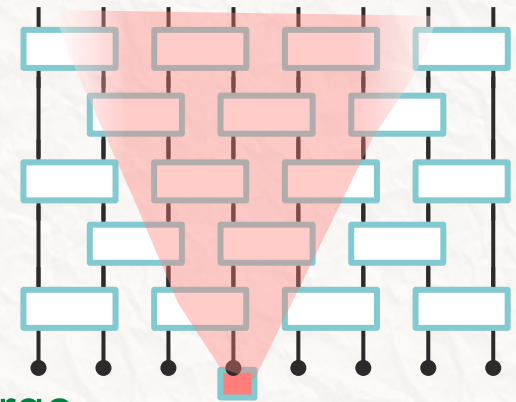
Can we utilize such a structure to enable computation of dynamics? (e.g. compute transport coefficients of strongly coupled systems.)

Recent progress from special models

Random unitary networks:

Nahum (2018), von Keyserlingk (2018) Khemani (2018)

- ✓ Local model and finite N per site
Universal operator front propagation.
Emergent dissipation / diffusion of conserved charge
- ✗ Non hamiltonian dynamics.
No energy conservation or notion of temperature.
Lyapunov exponents not well defined



SYK model

Sachdev, Kitaev, Stanford-Maldacena, ...

- ✓ Hamiltonian dynamics, Lyapunov exponents;
Some connections to energy transport.
- ✗ Non generic features: Large- N / non locality



This talk

- **Preliminaries: operator dynamics, recursion methods**

- **A hypothesis for universal operator growth**

- **Evidence for the hypothesis:**

- (i) Numerical (Spin chains)

- (ii) Analytical (SYK models)

- (iii) Physical arguments (generalized RMT for extended system)

- **Application: generalized notion of quantum chaos**

- **Application: an accurate computational approach**

- Transport coefficients in strongly coupled systems

Operator dynamics: basics

$$-i \frac{d\hat{A}}{dt} = [H, \hat{A}] \quad \longleftrightarrow \quad -i \frac{d|A\rangle}{dt} = \mathcal{L}|A\rangle$$

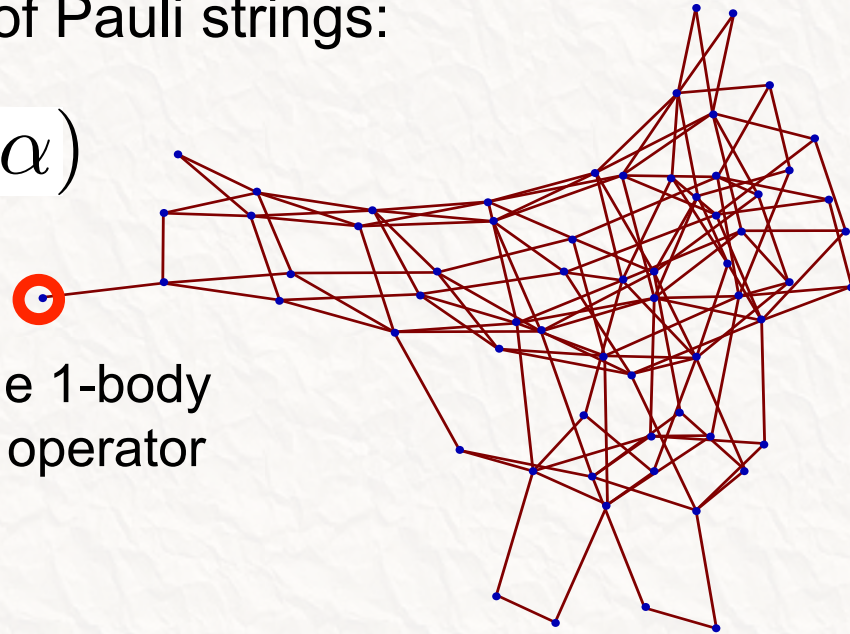
$$(A|B) = \text{tr}(AB) \quad |O(t)\rangle = e^{-i\mathcal{L}t}|O\rangle$$

For spin-1/2 problems use basis of Pauli strings:

$$\sigma^{\alpha_1} \otimes \sigma^{\alpha_2} \otimes \dots \otimes \sigma^{\alpha_N} \equiv |\alpha\rangle$$

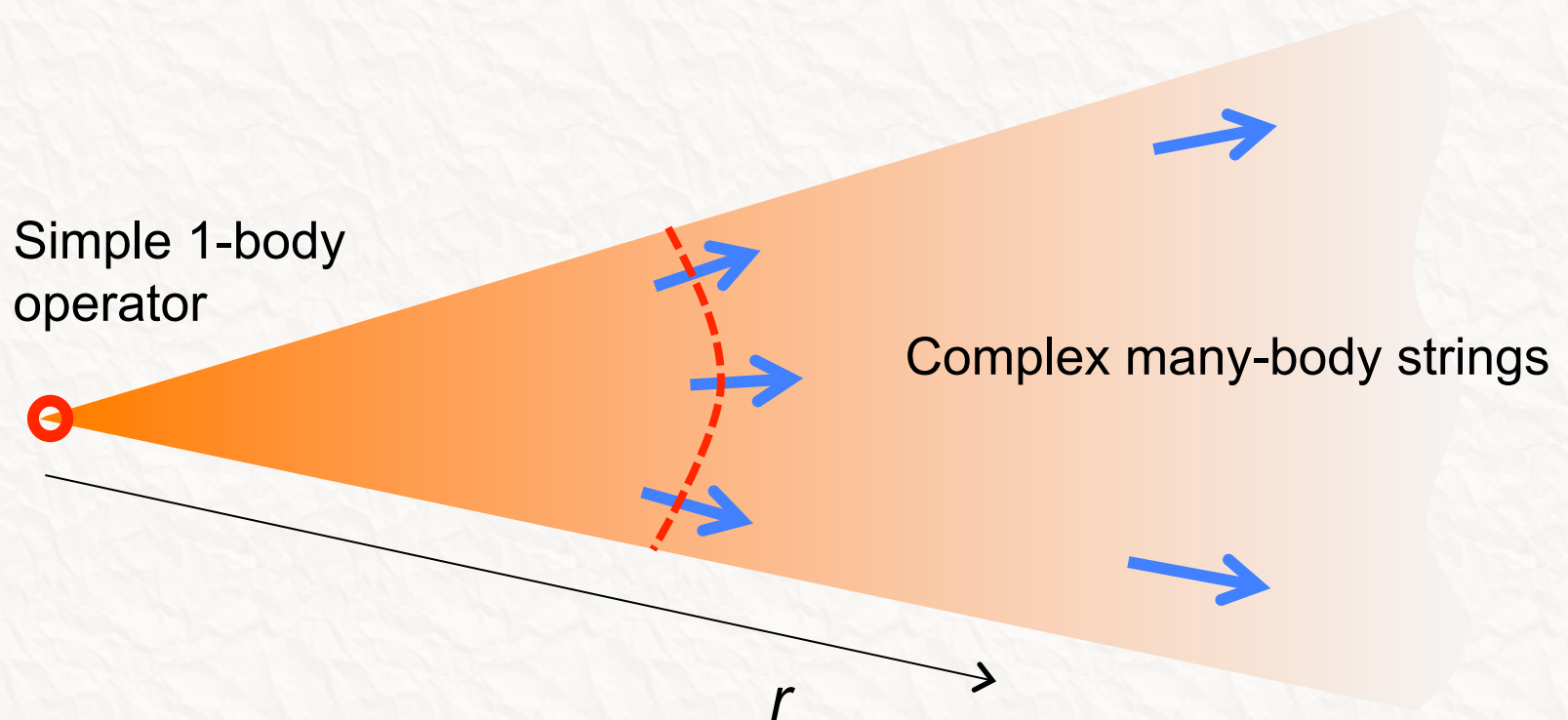
$$\alpha_i = 0, 1, 2, 3$$

Simple 1-body
initial operator



\mathcal{L} can be viewed as a Hamiltonian of a single particle hopping between Pauli strings on this graph. **Due to hermiticity: No diagonal terms.**

The basic idea



Operators flow from simple to more complex

When an operator becomes sufficiently complex its dynamics should be governed by a universal statistical description.

Our goal now is to formulate this universal description

Krylov basis: folding the graph on a line

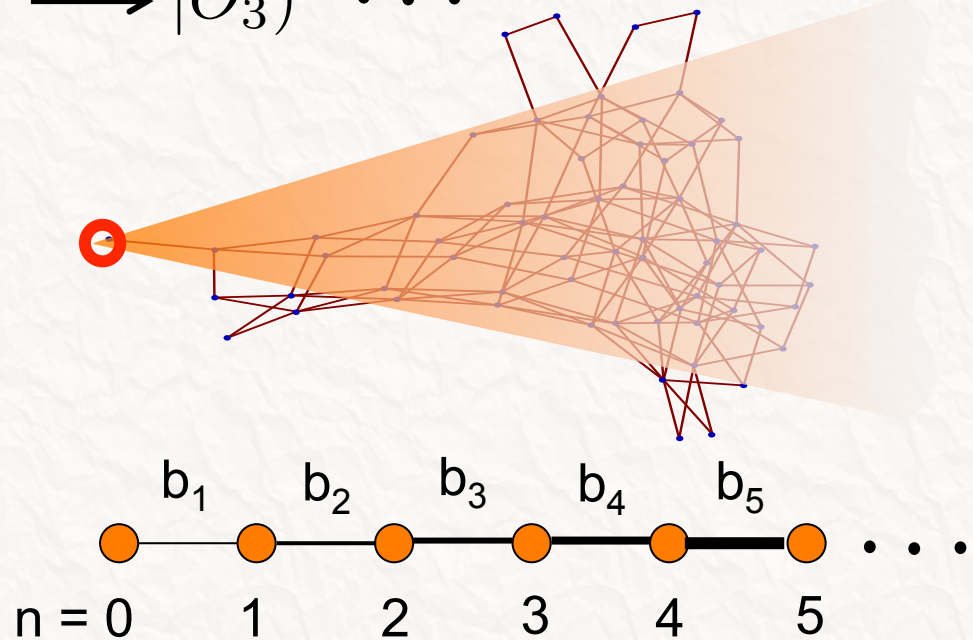
Generate orthonormal basis from successive application of \mathcal{L}

$$|O\rangle \xrightarrow{\mathcal{L}} |O_1\rangle \xrightarrow{\mathcal{L}} |O_2\rangle \xrightarrow{\mathcal{L}} |O_3\rangle \dots$$

$$\langle O_n | \mathcal{L} | O_m \rangle =$$

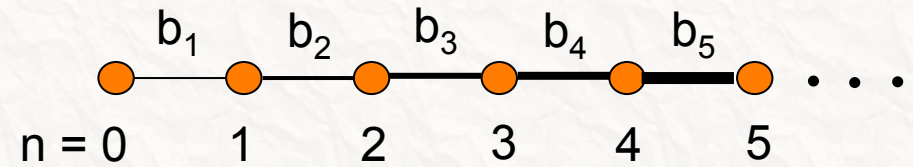
$$\begin{pmatrix} 0 & b_1 & 0 & 0 & \dots \\ b_1 & 0 & b_2 & 0 & \dots \\ 0 & b_2 & 0 & b_3 & \dots \\ 0 & 0 & b_3 & 0 & \ddots \\ \vdots & \vdots & \vdots & \ddots & \ddots \end{pmatrix}.$$

“Lanczos Coefficients”



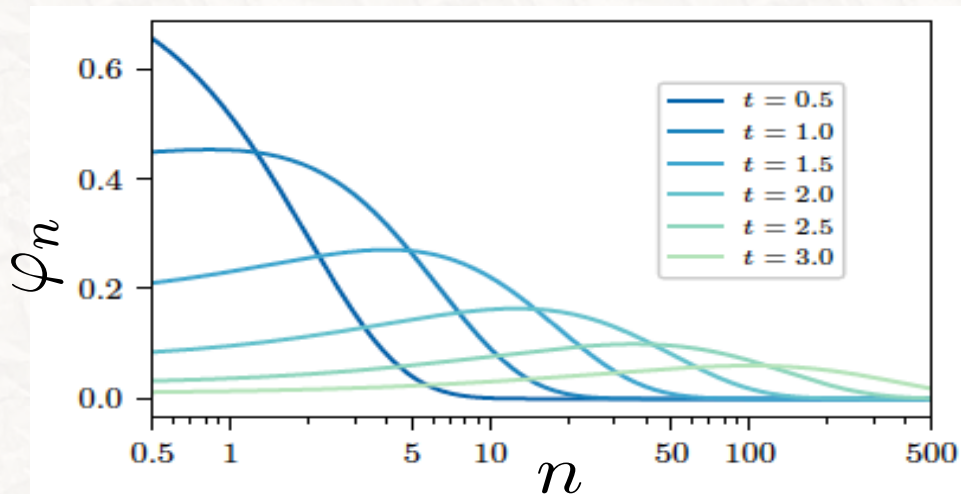
- Problem mapped to single-particle hopping on a semi-infinite chain !
- Krylov index \sim operator complexity

“Operator wavefunction” in Krylov space

$$\varphi_n(t) = (\mathcal{O}_n | \mathcal{O}(t))$$


The diagram shows a horizontal line representing the Krylov space basis states. Six orange circles are placed at positions labeled $n=0, 1, 2, 3, 4, 5$ from left to right. Above each circle is a label b_1, b_2, b_3, b_4, b_5 respectively, representing the coupling between adjacent states. The line continues to the right with an ellipsis \dots .

$$\partial_t \varphi_n = -b_{n+1} \varphi_{n+1} + b_n \varphi_{n-1}, \quad \varphi_n(0) = \delta_{n0}$$



The autocorrelation function:

$$C(t) = \text{tr} [\mathcal{O}(t) \mathcal{O}] = \varphi_0(t)$$

Operator complexity:

$$\langle n(t) \rangle = \sum_{n=0}^{\infty} |\varphi_n(t)|^2 n$$

The hypothesis

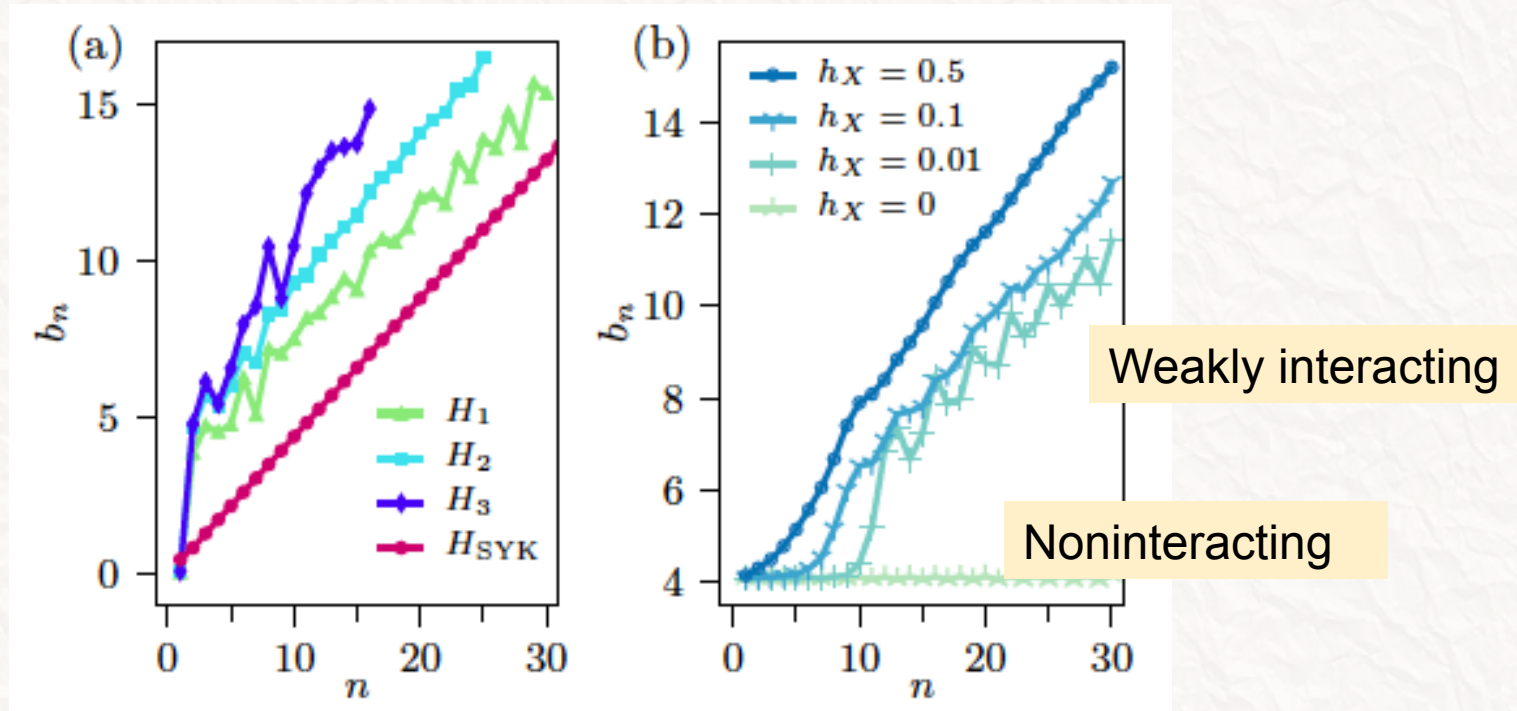
In an infinite non-integrable many-body system the Lanczos coefficients of a generic local operator are asymptotically linear:

$$b_n = \alpha n + \beta + o(1), \quad n \rightarrow \infty$$

We term the slope α , the “growth rate” of the operator for reasons that will become clear.

The evidence

Numerical: Many distinct nonintegrable spin chains, SYK model



Analytical: SYK model in the limit of large q

$$b_n \rightarrow \sqrt{qn(n-1)/2} \quad n \geq 2$$

Physical origin of linear Lanczos coefficients in models with short range couplings

Use relation between b_n and moments:

$$b_n \sim n \iff \mu_{2n} \equiv (\mathcal{O} | \mathcal{L}^{2n} | \mathcal{O}) \sim n^{2n}$$

H is local then \mathcal{O}_n is at most of size n . To compute μ_{2n} we can use $H_{(n)}$ (H restricted to a subsystem that covers the support of \mathcal{O}_n)

$$\mathcal{L}_{(n)}^n | \mathcal{O} \rangle = \sum_{\alpha, \beta} (E_\alpha - E_\beta)^n | \mathcal{O}_{\alpha\beta} \rangle (\mathcal{O}_{\alpha\beta} | \mathcal{O} \rangle \quad \mathcal{O}_{\alpha\beta} = D^{-1/2} | E_\alpha \rangle \langle E_\beta |$$

$$(\mathcal{O}_{\alpha\beta} | \mathcal{O} \rangle = D^{-\frac{1}{2}} \langle E_\beta | \mathcal{O} | E_\alpha \rangle = f(E_\alpha - E_\beta) D^{-1} R_{\alpha\beta} \quad \text{(ETH)}$$

At frequency above local bandwidth we assume: $f(\omega) \sim e^{-|\omega|/\omega_0}$

Physical origin of linear Lanczos coefficients in models with short range couplings

Use: $b_n \sim n \iff \mu_{2n} \equiv (\mathcal{O}|\mathcal{L}^{2n}|\mathcal{O}) \sim n^{2n}$

$$\mathcal{L}_{(n)}^n|\mathcal{O}\rangle = \sum_{\alpha,\beta} (E_\alpha - E_\beta)^n |\mathcal{O}_{\alpha\beta}\rangle (\mathcal{O}_{\alpha\beta}|\mathcal{O}\rangle) \quad \mathcal{O}_{\alpha\beta} = D^{-1/2} |E_\alpha\rangle \langle E_\beta|$$

$$(\mathcal{O}_{\alpha\beta}|\mathcal{O}\rangle) = D^{-\frac{1}{2}} \langle E_\beta|\mathcal{O}|E_\alpha\rangle = f(E_\alpha - E_\beta) D^{-1} R_{\alpha\beta} \quad \text{(ETH)}$$

At frequency above local bandwidth we assume: $f(\omega) \sim e^{-|\omega|/2\omega_0}$

$$\mu_{2n} = (\mathcal{O}|\mathcal{L}_{(n)}^{2n}|\mathcal{O}\rangle) = \sum_{\alpha,\beta=1}^D f(E, \omega)^2 D^{-2} (E_\beta - E_\alpha)^{2n}$$

$$\sim \int_{\epsilon,\epsilon'} e^{ns(\epsilon)+ns(\epsilon')} e^{-n\frac{|\epsilon-\epsilon'|}{\omega_0}} \underbrace{n^{2n} (\epsilon - \epsilon')^{2n}}_{E = n\epsilon} \sim n^{2n} e^{-S_{sp}n}$$

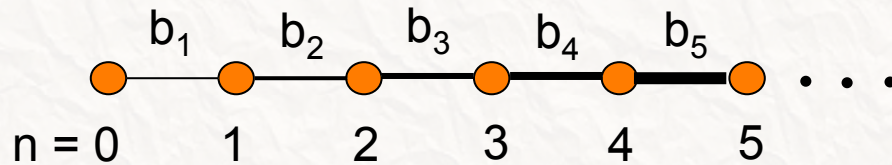
More precise relation to spectral function

$$\Phi(\omega) = \int_{-\infty}^{\infty} dt C(t) e^{-i\omega t} = \int_{-\infty}^{\infty} dt \operatorname{tr} [\mathcal{O}(t) \mathcal{O}] e^{-i\omega t}$$

$$b_n = \alpha n + O(1) \iff \Phi(\omega) \sim e^{-\pi \frac{|\omega|}{2\alpha}}$$

The operator “growth rate” α , is directly related to the decay of the spectral function

Phenomenology of the semi infinite chain



Exactly solvable “universal” model:

$$\tilde{b}_n = \alpha \sqrt{n(n-1+\eta)} \xrightarrow{n \gg 1} \alpha n + \beta$$

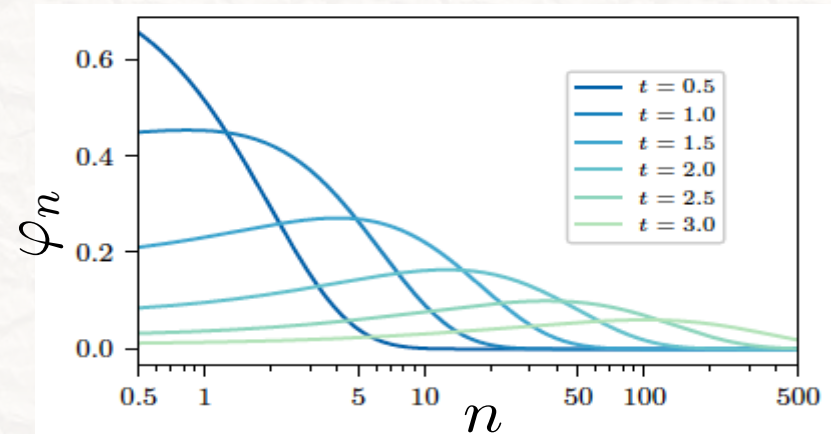
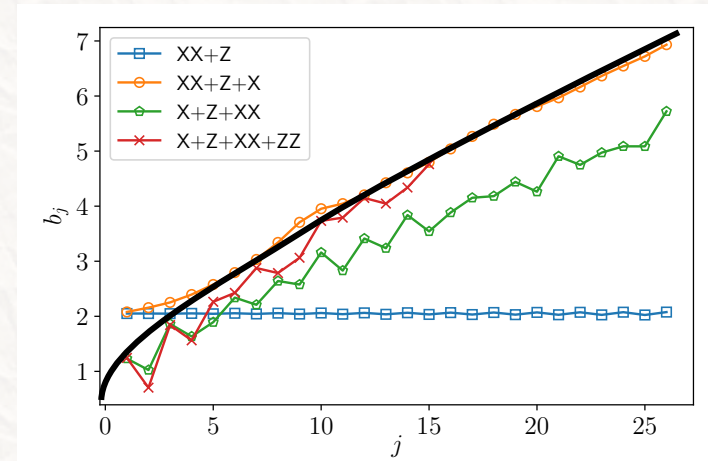


$$\langle n(t) \rangle = \eta \sinh(\alpha t)^2 \sim \eta e^{2\alpha t}$$

Exponential growth of complexity.

What is the relation to chaos?

$$C(t) = \varphi_0(t) \sim e^{-2\alpha t}$$



Can we utilize this to compute dynamical correlations, transport in real models?

Relation to chaos I : SYK model

Compare growth rate α to Lyapunov exp. λ_L in the SYK model

1. Infinite temperature:

$$C(t) := \frac{1}{2} ([O(t), A] | [O(t), A]) \sim e^{2\lambda_L t}$$

q	2	3	4	7	10	∞
α/\mathcal{J}	0	0.461	0.623	0.800	0.863	1
λ_L/\mathcal{J}	0	0.454	0.620	0.799	0.863	1

Relation to chaos I : SYK model

Compare growth rate α to Lyapunov exp. λ_L in the SYK model

2. Low temperature limit

Modify inner product: $(A|B) := \text{tr}[\rho A^\dagger B(i\beta/2)]$

→ $C(t) \sim \text{tr}[\rho \gamma_1 \gamma_1 (i\beta/2 + t)] \sim \text{sech}(t\pi T)^{2/q}$

→ $b_n = \pi T \sqrt{n(n-1+2/q)}$

→ $\alpha = \pi T = \lambda_L$

Relation to chaos II: classical limit

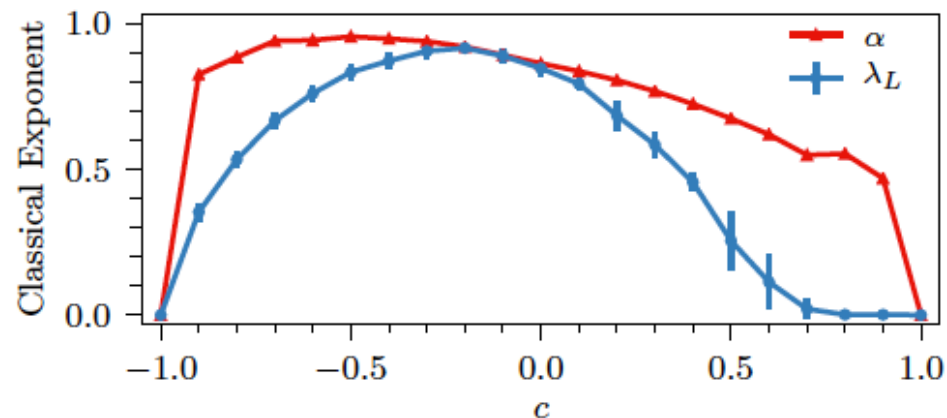
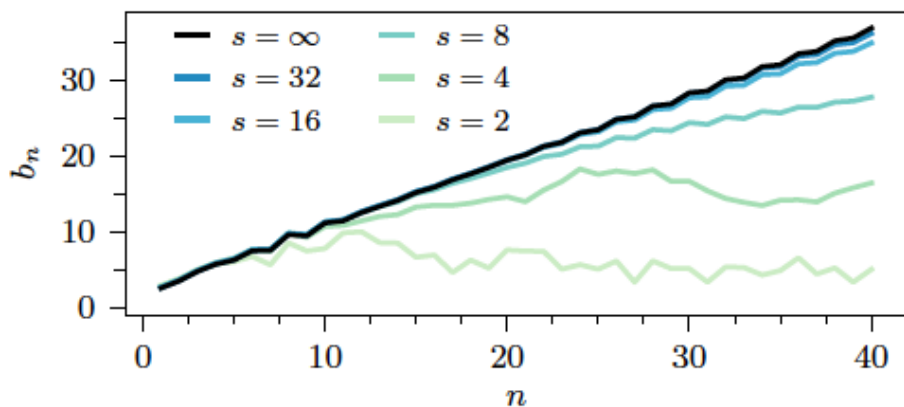
The framework carries over for classical dynamics with:

Liouvillian $\longrightarrow \mathcal{L} = i\{\mathcal{H}, \cdot\}$

Operators \longrightarrow Functions on the classical phase space

Compare α to λ_L Peres-Feingold model:

$$H_{\text{FP}} = (1 + c) [S_1^z + S_2^z] + 4s^{-1}(1 - c)S_1^x S_2^x$$



The two exponents coincide where the model is most chaotic. Otherwise α appears to be an upper bound on λ_L

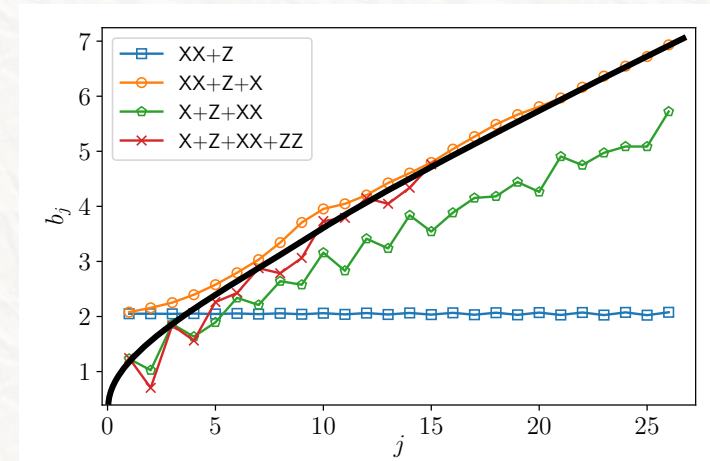
Relation to chaos: summary

- We conjecture that $\alpha \geq \lambda_L$ and that the two exponents coincide in maximally chaotic systems.
- The complexity growth rate α gives a measure of chaos even in systems where the Lyapunov exponent is not well defined. e.g. generic, non-semiclassical systems.
- α is measurable with a standard local probe through the high frequency limit of the spectral function.

Application: computing operator decay

The basic idea:

1. Compute the first m Lanczos coefficient numerically.
2. Complete with the fitted “universal” model at larger values of m



$$\tilde{b}_n = \alpha \sqrt{n(n-1+\eta)} \xrightarrow{n \gg 1} \alpha n + \beta$$

3. Stich the small n and large m wavefunctions to get an approximation of the decay of $C(t)$.
In practice we utilize it to get an approximation for:

$$G(z) = i \int_0^\infty C(t) e^{-izt} dt = \langle \mathcal{O} | \frac{1}{z - \mathcal{L}} | \mathcal{O} \rangle$$

Meromorphic approximation for the Green's function

Continued fraction expansion:

$$G(z) = \frac{1}{z - \frac{|b_1|^2}{z - \frac{|b_2|^2}{z - |b_3|^2 G^{(3)}(z)}}} = M_1 \circ M_2 \circ \dots \circ M_n G^{(n)}(z)$$

Suppose we can obtain b_1, \dots, b_n numerically, from which we can already extract the parameters of the universal model.

Then we can substitute $G^{(n)}(z) \rightarrow \tilde{G}^{(n)}(z)$

$$G(z) \approx M_1 \circ M_2 \circ \dots \circ M_n \tilde{G}^{(n)}(z; \alpha, \eta)$$

$$\approx M_1 \circ \dots \circ M_n \tilde{M}_n^{-1} \circ \dots \circ \tilde{M}_n^{-1} \tilde{G}(z; \alpha, \eta)$$

Example: computing diffusion coefficient

Model:

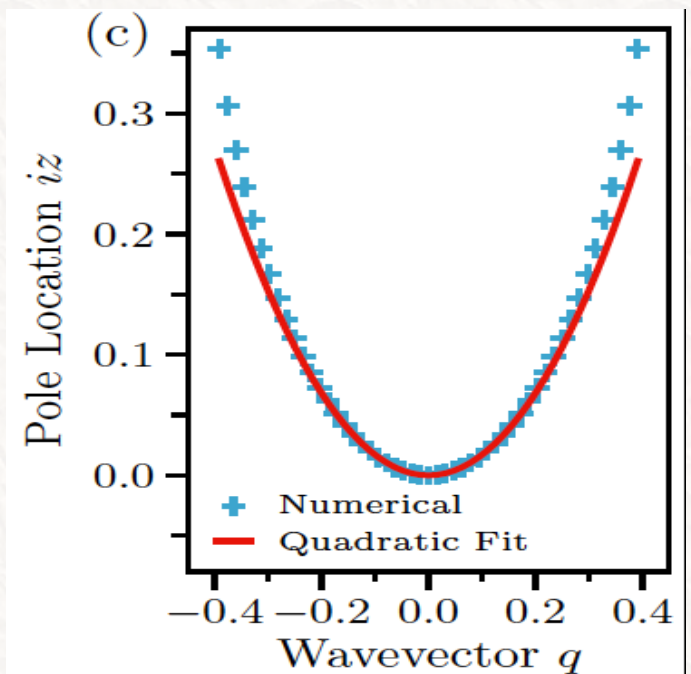
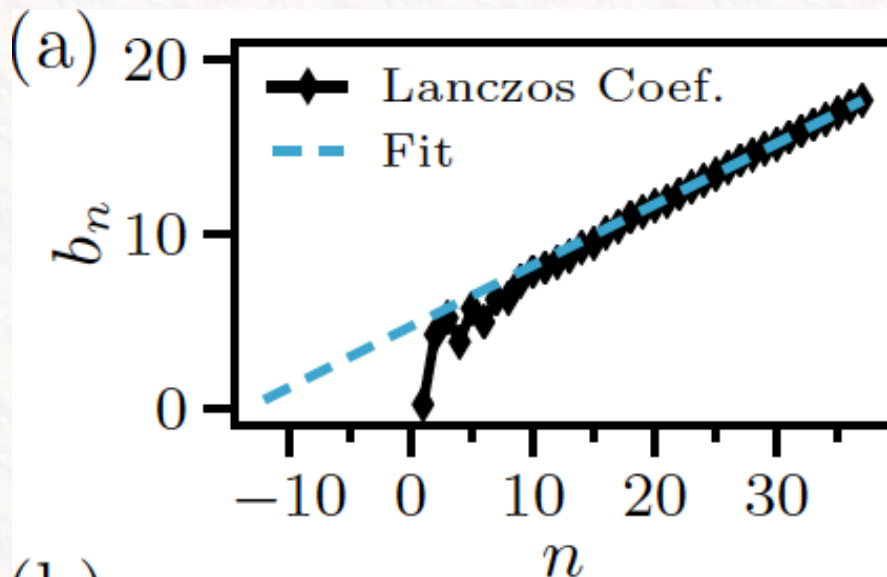
$$H = \sum_i h_i = \sum_i X_i X_{i+1} - 1.05 Z_i + X_i$$

Operator of interest:

$$H_q = \sum_i e^{iqx_i} h_i$$

1. Obtain first 35 Lanczos coefficients numerically to fit α and η
2. Find the smallest imaginary pole of the approximate Green's function:

$$G_q(z) \approx M_1 \circ \dots \circ M_n \tilde{M}_n^{-1} \circ \dots \circ \tilde{M}_n^{-1} \tilde{G}(z; \alpha, \eta)$$



Summary

- Hypothesis for universal operator dynamics supported by extensive evidence. Linear growth of Lanczos coefficients

$$b_n = \alpha n + \beta + o(1), \quad n \rightarrow \infty$$

- Implies exponential growth in operator complexity with a exponent α
- The complexity growth offers a generalized notion of chaos even where the Lyapunov exponent is ill defined.
Conjecture: $\alpha \geq \lambda_L$, coincide for maximally chaotic.
- The hypothesis enables a new numerical scheme to compute dynamical correlations and transport coefficients.

Outlook

- Rigorous proofs of the hypothesis and the conjecture concerning quantum chaos?
Perhaps within a generalized random matrix description for infinite systems with local interactions?
- Generalization to finite temperature
- Develop computational scheme for strongly correlated models at finite T . Use QMC to compute moments ?