

The Quantum Mechanics of JT gravity

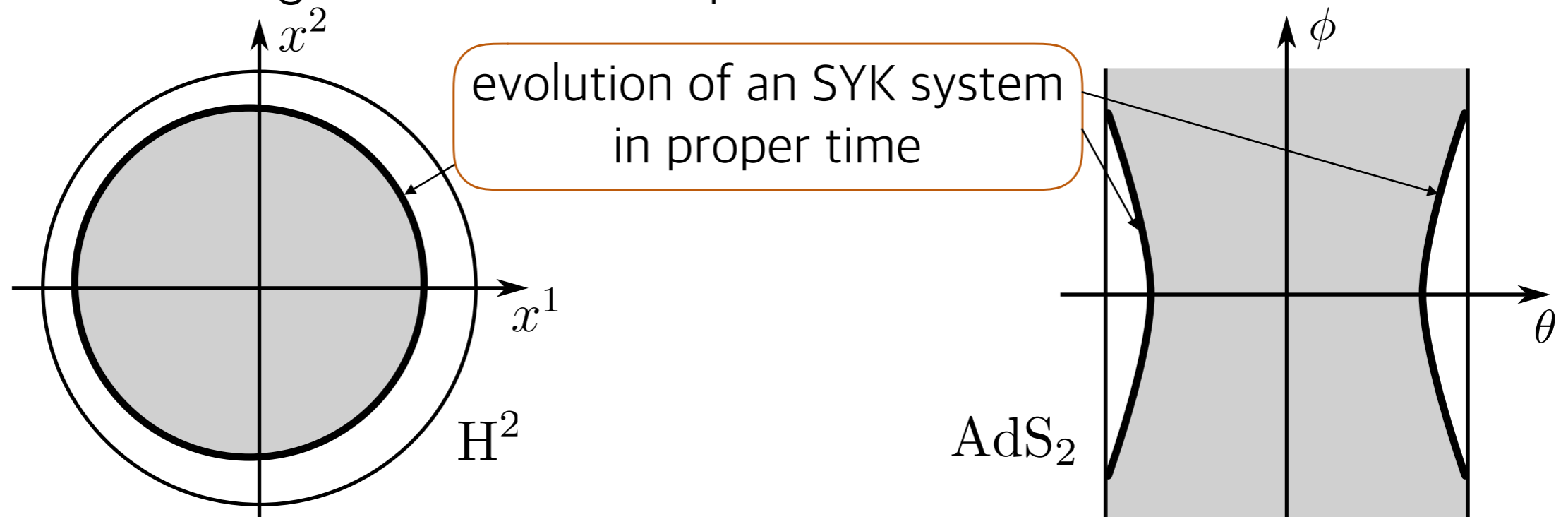
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[arXiv:1808.07032](https://arxiv.org/abs/1808.07032) + work in progress, w/ A. Kitaev

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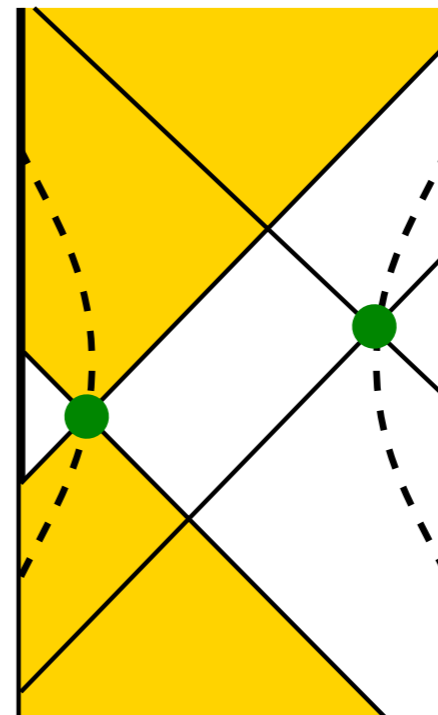
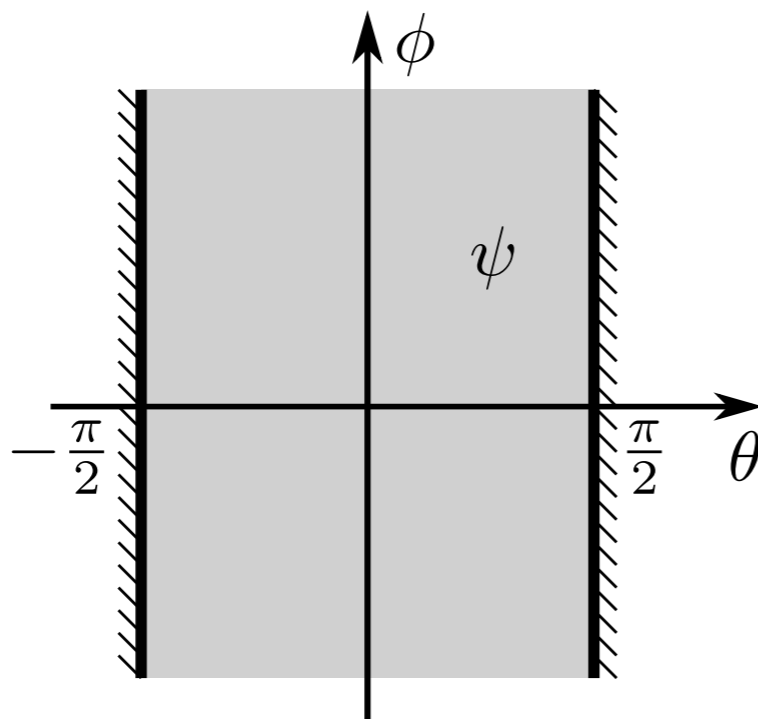
Goal

- Map the low-temperature dynamics of the SYK model to a consistent quantum mechanical theory defined on the emergent two-dimensional spacetime.
- The relevant two-dimensional theory is a simple dilaton gravity, **Jackiw-Teitelboim gravity**, which is topological. [Jensen; Maldacena, Stanford, Yang; Engelsoy, Mertens, Verlinde]
- Quantize the motion of boundaries of a rigid, two-dimensional, constant-negative-curvature spacetime.



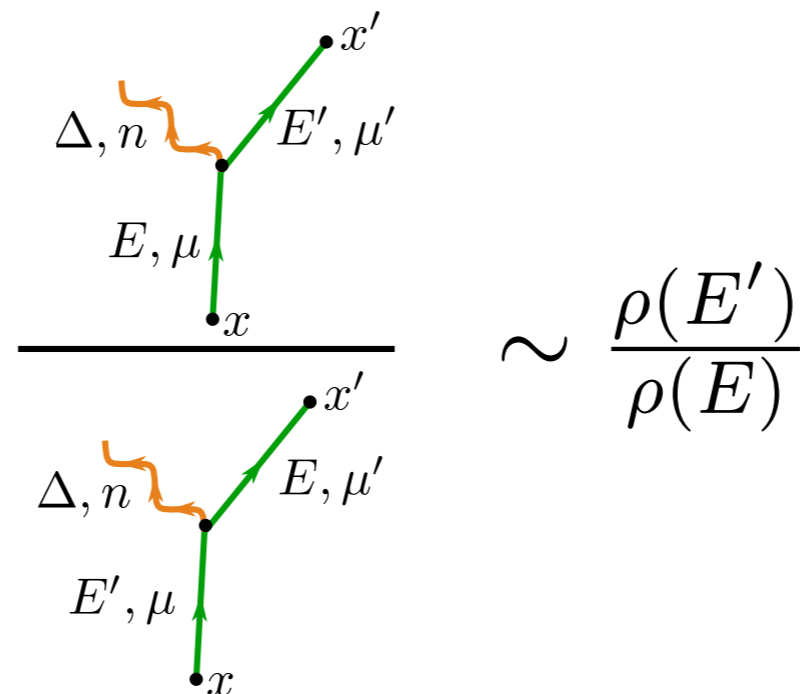
Overview

- Quantum theory of JT gravity
 - Hilbert space (for a single boundary) consists of wavefunctions living on the universal cover of [Lorentzian AdS₂](#).
 - We define an inner product and a regularized trace on this Hilbert space.
 - We can construct two-sided wavefunctions for an eternal black hole with fixed energy and exact causal disconnection between boundaries.



Overview (continued)

- Using the wavefunctions and trace, we can build density matrices and compute observables for an **AdS₂ black hole**, using a slight generalization of the rules used in discrete quantum systems.
- Wavefunctions in our Hilbert space seem to reproduce properties of the microscopic SYK model in a precise manner:
 - **analytic continuation relations** satisfied by observables (correlation functions of external operators on boundary)
 - **density of states**



JT gravity: particle with imaginary spin

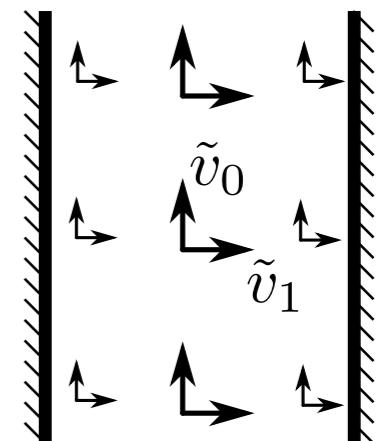
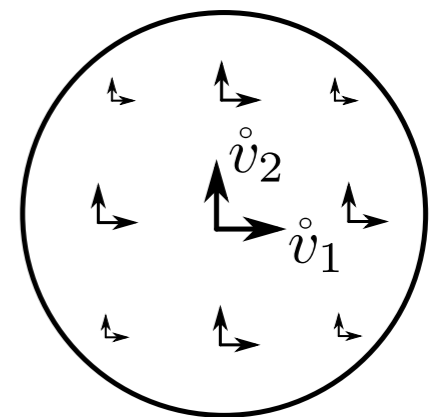
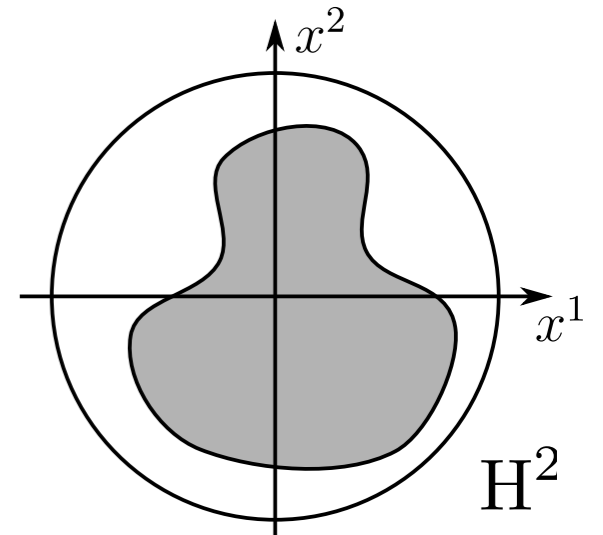
$$I_{\text{JT}}[g, \Phi] = -\frac{1}{4\pi} \int_D d^2x \sqrt{g} \Phi (R + 2) - \frac{\Phi_*}{2\pi} \int_{\partial D} dl K$$

$$\rightarrow I_{\text{pt}}[X] = -\gamma(2\pi + \text{area}[X]), \quad \gamma = \frac{\Phi_*}{2\pi}, \quad L = \text{length}[X]$$

Spin connection: analogue of gauge field for group of rotations at each point of space(time)

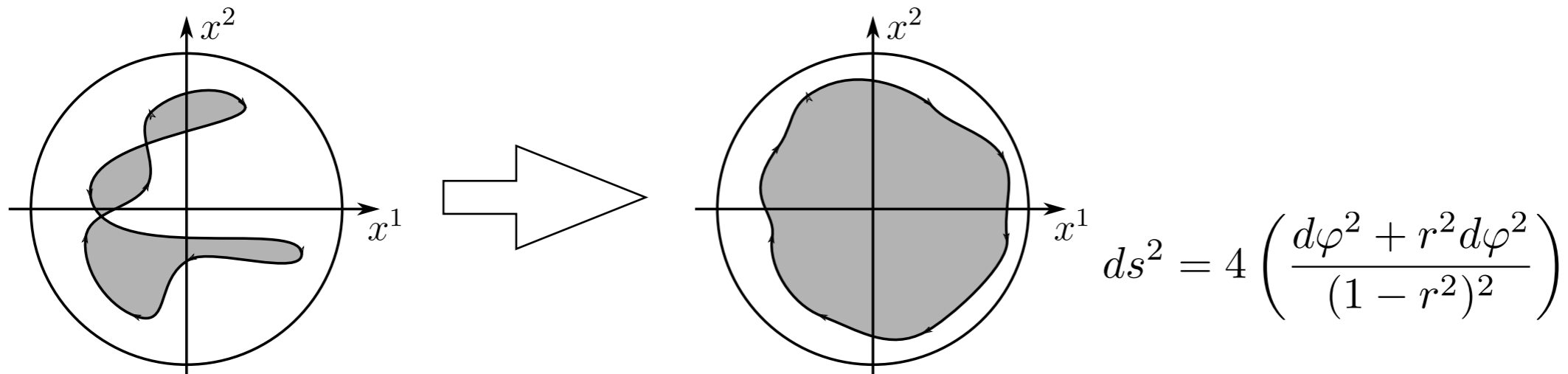
$$\text{area}[X] = \int dX^\mu \omega_\mu$$

- Particle has spin $\nu = -i\gamma$.
- Generator for group of rotations at each point act as multiplication by $-\nu$ on its wavefunction.
- On $\widetilde{\text{AdS}}_2$, ν -spinors are functions that transform in the same way under the generator of the analogous group $\widetilde{\text{SL}}(2, \mathbb{R})/\widetilde{\text{AdS}}_2$.



Recovery of the Schwarzian

- Take the limit $\gamma, L \gg 1$.



$$K - 1 \approx \text{Sch}(e^{i\varphi(\ell)}, \ell), \quad \text{Sch}(f(x), x) = \frac{f^{(3)}}{f'} - \frac{3}{2} \left(\frac{f''}{f'} \right)^2$$

$$I_{\text{pt}}[X] \approx I_{\text{Sch}}[\varphi] + \text{const.},$$

$$I_{\text{Sch}}[\varphi] = -\gamma \int d\ell \text{Sch}(e^{i\varphi(\ell)}, \ell) = -\frac{2\pi\gamma}{L} \int d\theta \text{Sch}(e^{i\varphi(\theta)}, \theta)$$

- In the SYK model: $\gamma \sim \frac{N}{\epsilon}, \quad L \sim \frac{\beta J}{\epsilon}, \quad \frac{\gamma}{L} \sim \frac{N}{\beta J}$

$$G(\theta_1, \theta_2) = G_c(\varphi(\theta_1), \varphi(\theta_2)) \varphi'(\theta_1)^\Delta \varphi'(\theta_2)^\Delta$$

[Kitaev; Kitaev, JS;...]

Outline

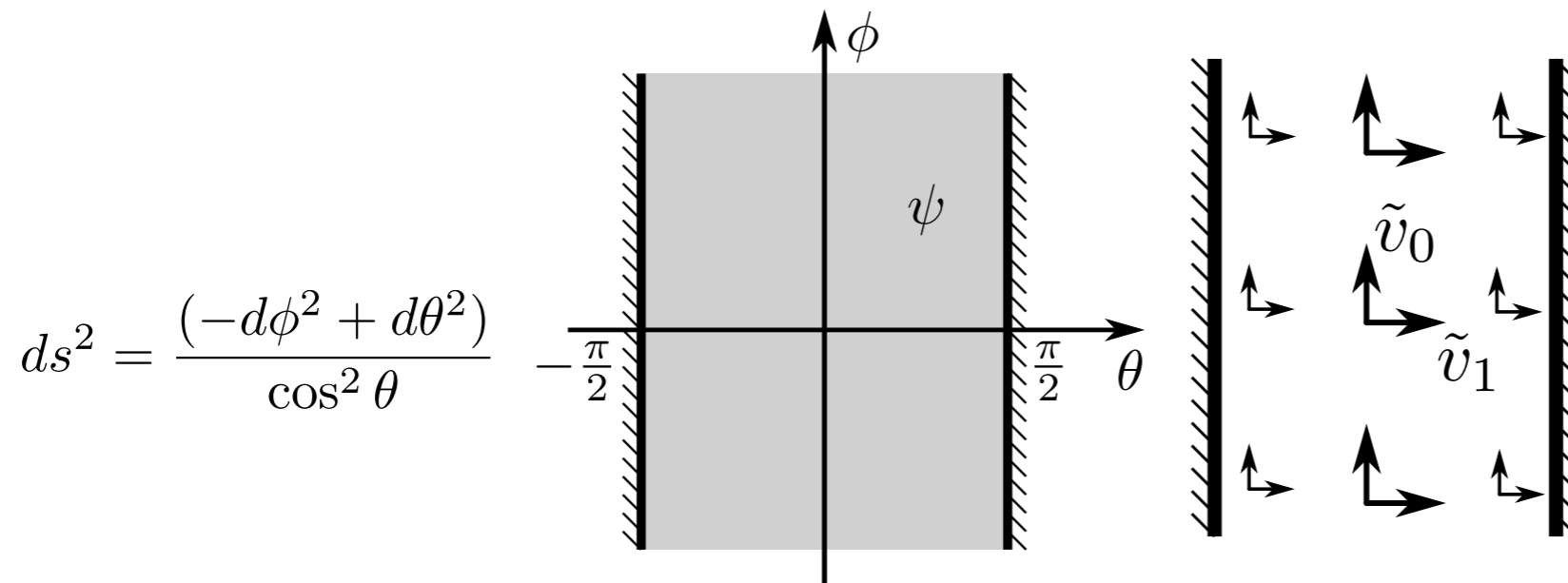
- Single-particle wavefunctions
- Two-sided black hole wavefunctions.
- General prescription for **trace, observables**.
- Correlation functions of external matter fields, kinematically interacting with boundary particle (**checks of consistency with microscopic SYK**).

Single-particle wavefunctions

- Regularized particle action

$$I_{\text{pt,reg.}} = \int dT \left(\frac{1}{2} g_{\mu\nu} \dot{X}^\mu \dot{X}^\nu + \gamma \omega_\mu \dot{X}^\mu \right), \quad \nu = -i\gamma$$

$$-\frac{1}{2} \nabla^2 \psi = E \psi \quad \Leftrightarrow \quad Q \psi = \lambda(1 - \lambda) \psi \quad - \nabla^2 = Q + \nu^2, \quad E = \frac{1}{2}(q - \gamma^2)$$



- Inner product

$$\psi(\phi, \theta) = f(\theta) e^{im\phi}, \quad \langle \psi_1 | \psi_2 \rangle = \int_{\widetilde{\text{AdS}}_2} d^2 x \sqrt{-g} \psi_1^*(x) \psi_2(x)$$

Possible $\widetilde{SL}(2, \mathbb{R})$ irreps in Hilbert space

- Our Hilbert space will be spanned by some ν -spinors, specifically Casimir eigenfunctions, organized into **irreps of $\widetilde{SL}(2, \mathbb{R})$** .

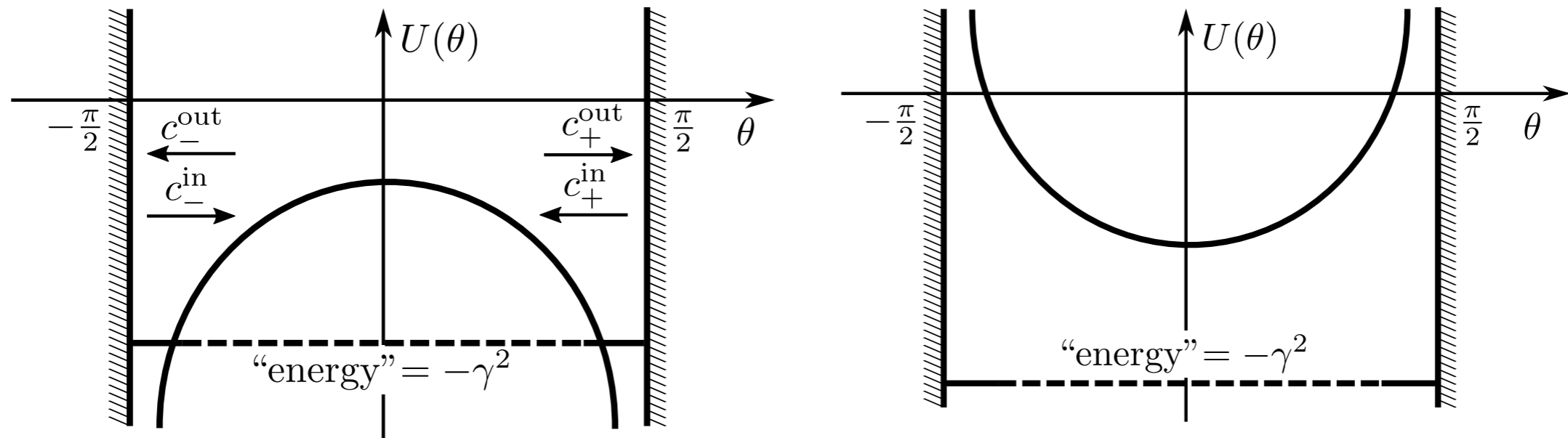
- In general, an irrep of $\widetilde{SL}(2, \mathbb{R})$ is labeled by two numbers, the Casimir eigenvalue and central element:

$$Q = \lambda(1 - \lambda), \quad e^{2\pi i L_0} = e^{2\pi i \mu}, \quad \mu \in \mathbb{R}/\mathbb{Z}$$

- Within each irrep, states are labeled by $L_0 = -m, m \in \mu + \mathbb{Z}$.
- An irrep falls into one of three categories:
 - Principal series: $\lambda = \frac{1}{2} + is, s \in \mathbb{R}, \mu$ arbitrary
 - Discrete series: $\lambda > 0, \mu = \pm\lambda, \pm m = \lambda, \lambda + 1, \lambda + 2, \dots$
 - Complementary series:....
- ν -spinors in **principal and discrete series** are normalizable under our inner product.

Physical distinction between wavefunctions

- The spatial part of a spin- ν Casimir eigenfunction sees a **potential** in a time-independent Schrodinger equation.



- Tunneling probability** computed with wavefunctions in the principal series reproduces the density of states of the system.

$$\begin{pmatrix} c_+^{\text{out}} \\ c_-^{\text{out}} \end{pmatrix} = \begin{pmatrix} S_{++} & S_{+-} \\ S_{-+} & S_{--} \end{pmatrix} \begin{pmatrix} c_+^{\text{in}} \\ c_-^{\text{in}} \end{pmatrix}, \quad p(s, \mu) = |S_{-+}|^2$$

$$\int d\mu p(s, \mu) = (2\pi)^2 \rho(E), \quad \rho(E) = (2\pi)^{-2} \frac{\sinh(2\pi s)}{\cosh(2\pi\gamma) + \cosh(2\pi s)}$$

Operators on particle Hilbert space

- A general $\widetilde{\text{SL}}(2, \mathbb{R})$ -invariant operator takes the form

$$\Psi_{\lambda, \mu}^{\nu}[R] = \sum_{\alpha, \beta} R_{\alpha\beta}(\lambda, \mu) \sum_{m \in \mu + \mathbb{Z}} |(\psi_{\alpha})_{\lambda, m}^{\nu}\rangle \langle (\psi_{\beta})_{\lambda, m}^{\nu}|, \quad \alpha = 1, 2$$

$$(\psi(x) = \langle x | \psi \rangle)$$

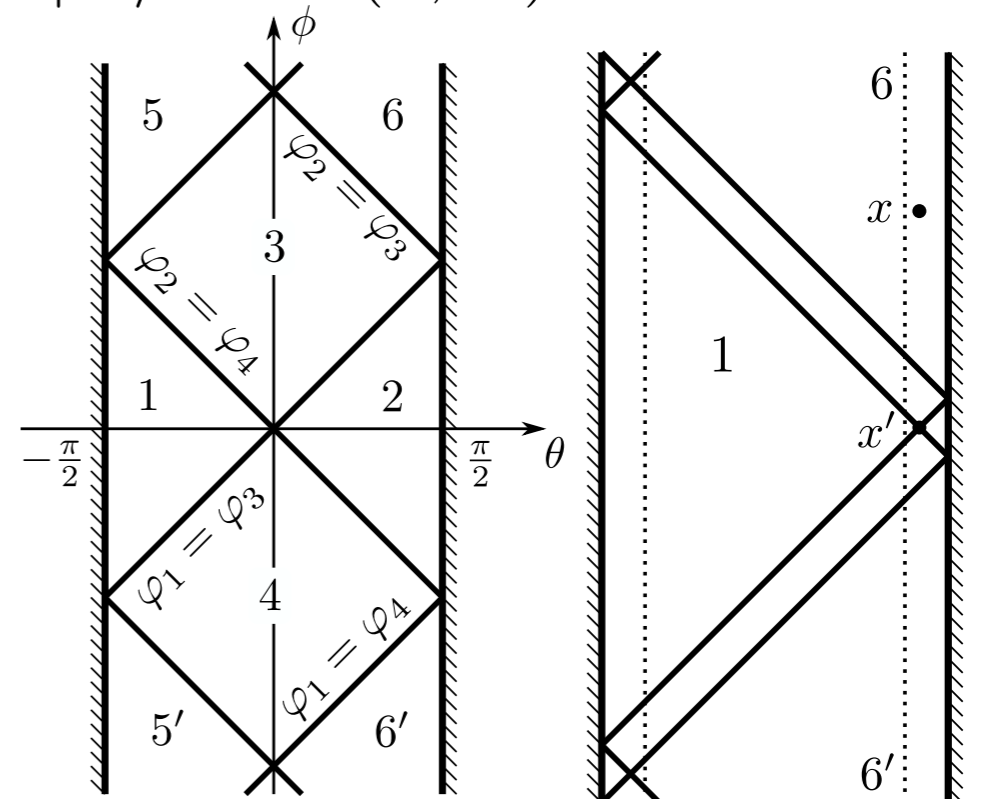
$$\Psi^{\nu}[R] = \int dE \underbrace{\int d\mu \rho_{\text{P1}}(E, \mu) \Psi_{1/2 + is, \mu}^{\nu}[R(s, \mu)]}_{\Psi_E^{\nu}[R]}$$

$$\left(E = \frac{1}{2} \left(\frac{1}{4} + s^2 - \gamma^2 \right), \quad \rho_{\text{P1}}(E, \mu) = (2\pi)^{-2} \frac{\sinh(2\pi s)}{\cosh(2\pi s) + \cos(2\pi\mu)} \right)$$

- Two-point functions of a ν -particle $\langle x | \Psi^{\nu} | x' \rangle = \Psi^{\nu}(x; x')$ have the structure on $\widetilde{\text{AdS}}_2$:

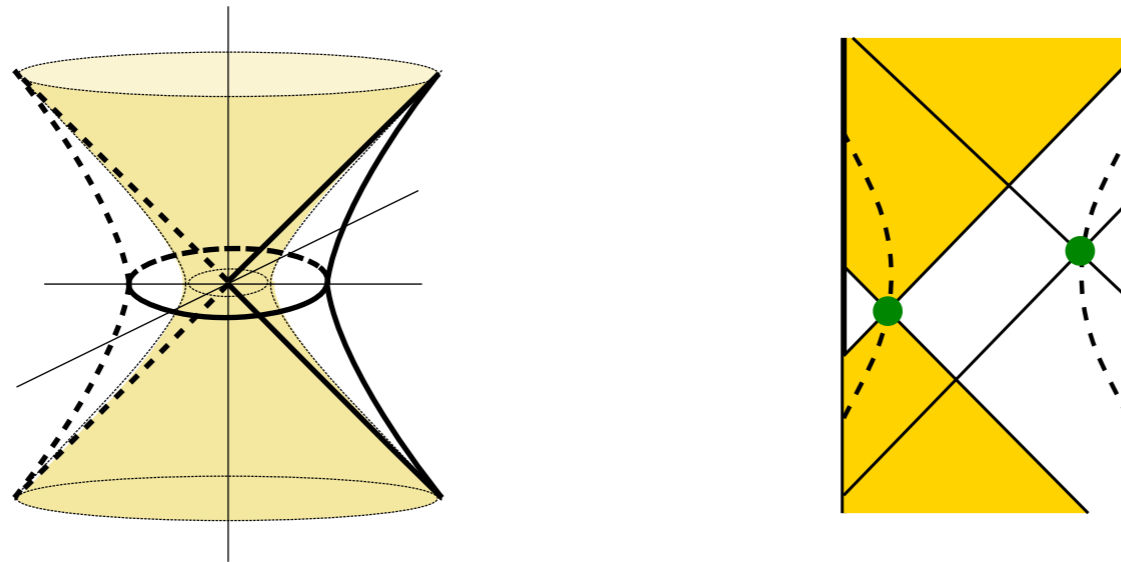
$$\Psi^{\nu}(x; x') = \left| \frac{\varphi_{23}}{\varphi_{14}} \right|^{\nu} f_j(u), \quad u = \frac{\varphi_{13}\varphi_{24}}{\varphi_{14}\varphi_{23}}$$

$$\varphi_{ij} = 2 \sin \left(\frac{\varphi_i - \varphi_j}{2} \right)$$



Black hole wavefunction (tunneling operator)

- We can uniquely specify the wavefunction for a **two-sided black hole** at each energy $\Phi_E(x; x')$



$$\Phi_E(x; 0) = \begin{cases} \pm 2\pi \rho(E) A_{\lambda, \nu, -\nu}(u) & (+ : \text{in region 2, } - : \text{in region 1}) \\ 0 & \text{in all other regions} \end{cases}$$

$$A_{\lambda, \nu, -\nu}(u) = (1 - u)^\lambda \tilde{F}(\lambda + \nu, \lambda - \nu, 1; u)$$

- Due to its space-like support, Φ_E can also be interpreted as a **tunneling operator**.

Trace operation on Hilbert space

- We can define an algebraic trace operation on an arbitrary operator on our Hilbert space as

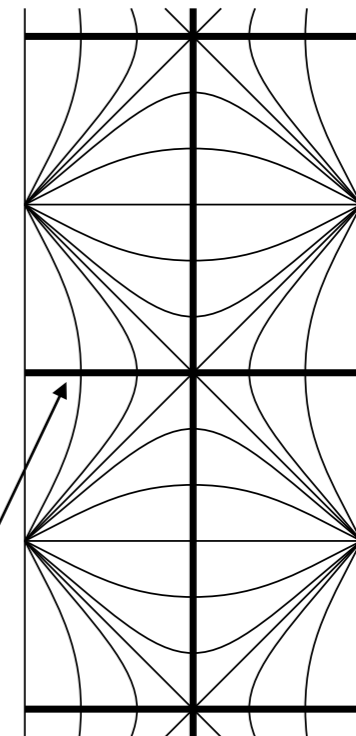
$$\text{tr}(\Psi^\nu [R]) = \int dE \int d\mu \rho_{\text{P1}}(E, \mu) \text{Tr}(R(s, \mu))$$

- The same trace, for a product of two operators, can also be evaluated over spacetime points, by factoring out the volume of $\widetilde{\text{SL}}(2, \mathbb{R})$:

$$F(x; x') = \left| \frac{\varphi_{23}}{\varphi_{14}} \right|^\nu f_j(u), \quad G(x; x') = \left| \frac{\varphi_{23}}{\varphi_{14}} \right|^\nu g_j(u)$$

$$\text{tr}(F^\dagger G) = \sum_j \frac{2du}{(1-u)^2} f_j^*(u) g_j(u),$$

$$(\widetilde{\text{AdS}}_2 \times \widetilde{\text{AdS}}_2) \setminus \widetilde{\text{SL}}(2, \mathbb{R})$$



Prescription for black hole observables

- The thermal partition function for a one-sided black hole, with our construction of the quantum theory, takes the form

$$Z = \text{tr}(e^{-\beta H} \mathbf{P}), \quad \mathbf{P} = \Phi^\dagger \Phi = -\Phi^2$$

- The square of the tunneling operator, \mathbf{P} , encodes the density of states in the black hole system as $\text{tr}(\mathbf{P}_E)/2 = \rho(E)$.
- In the absence of matter in the bulk, most general density matrix for a black hole is

$$\varrho = \Psi^\nu[fI] \cdot \mathbf{P} = \int dE f(E) \mathbf{P}_E$$

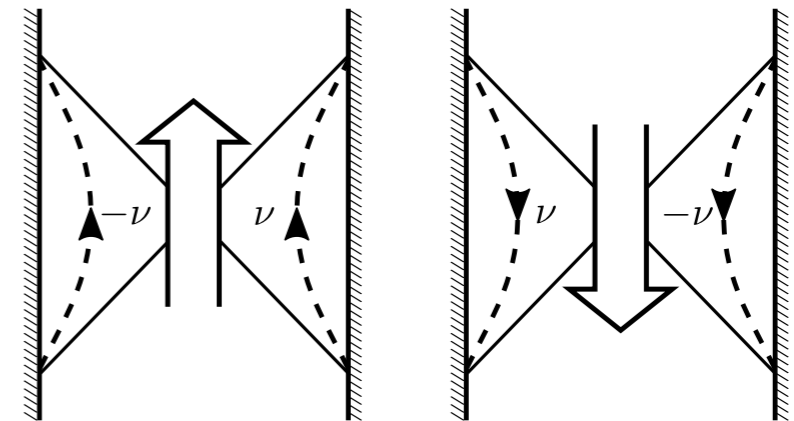
- To calculate general expectation values, we use the usual rules of quantum mechanics, employing our trace, except that density matrices are multiplied by an extra \mathbf{P} . For quantum entropy,

$$S = -\frac{1}{2} \text{tr}(\varrho(\ln \varrho - \ln \mathbf{P})) = - \int dE \rho(E) f(E) \ln f(E)$$

Correlation functions of external operators

- Consider adding matter fields decoupled from the boundaries which are second-quantized on $\widetilde{\text{AdS}}_2$

$$\mathcal{H} \subset (\mathcal{H}_{\text{fields}} \oplus \mathcal{H}_{\text{fields}}^*) \otimes \mathcal{H}^\nu \otimes \mathcal{H}^{-\nu}$$

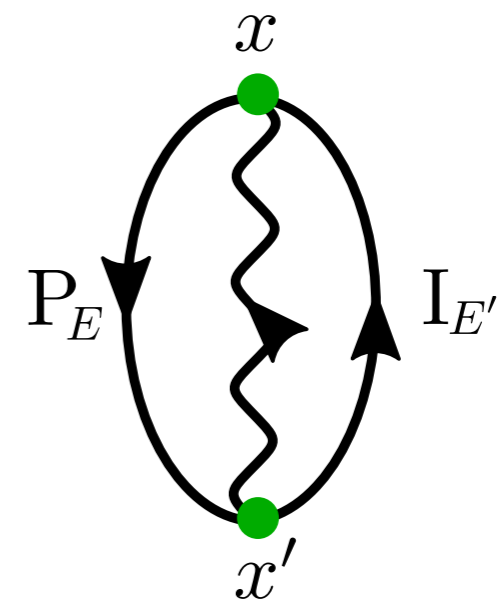


- Operator acting at position of ν -particle:

$$\hat{\mathcal{O}}^\nu = \int d^2x \sqrt{-g} \mathcal{O}(x) \otimes |x\rangle\langle x| \otimes \mathbf{1}, \quad \hat{\mathcal{O}}^\nu(T) = e^{iH^\nu T} \hat{\mathcal{O}}^\nu e^{-iH^\nu T}$$

- E.g. a one-sided correlator

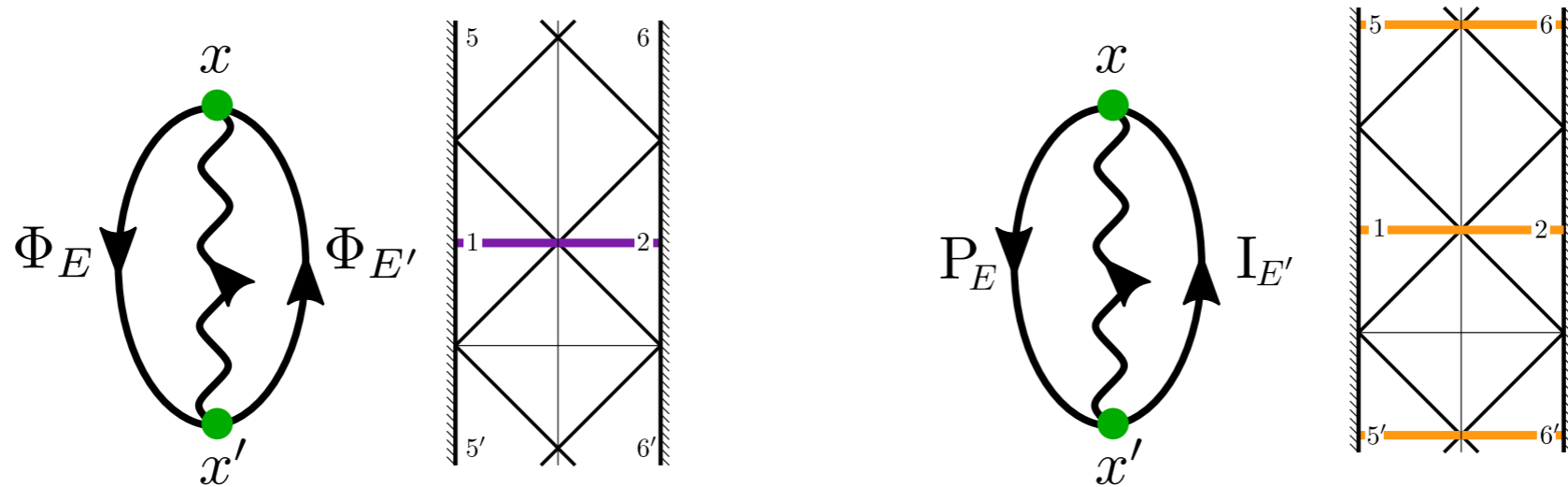
$$\begin{aligned} \mathcal{F}_{X,Y}^{\nu,\nu}(T,0) &= \left\langle \frac{1}{2} \text{tr} \left(Z^{-1} e^{-\beta H} \mathbf{P} \hat{X}(T) \mathbf{I} \hat{Y}(0) \right) \right\rangle_{\text{fields}} \\ &= Z^{-1} \int dE dE' e^{-\beta E} e^{i(E-E')T} \times \end{aligned}$$



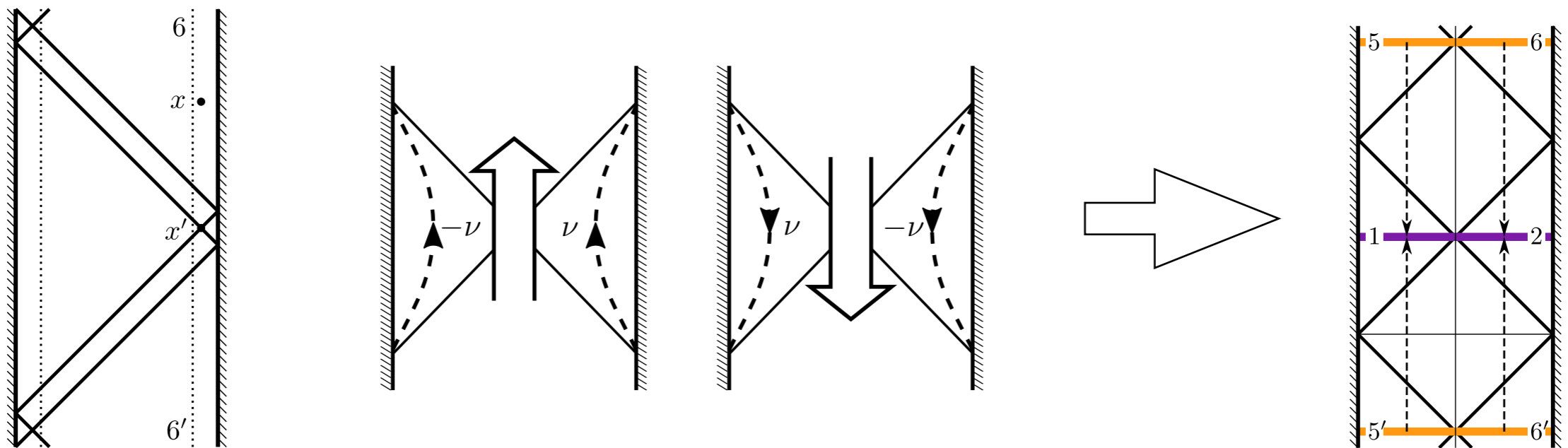
Constraints from analyticity of 2-point function

- Analogue of analyticity holding in a microscopic quantum system

$$\langle \text{TFD} | \hat{X}^R(iT) \hat{Y}^L(0) | \text{TFD} \rangle = \langle \text{TFD} | \hat{X}^R(T) \hat{Y}^R(0) | \text{TFD} \rangle$$



- This imposes non-trivial constraints on our quantum theory



$$\mathcal{H} = (\mathcal{H}_{\text{fields}} \otimes \mathcal{H}_R^\nu \otimes \mathcal{H}_L^{-\nu}) \oplus (\mathcal{H}_{\text{fields}}^* \otimes \mathcal{H}_L^\nu \otimes \mathcal{H}_R^{-\nu})$$

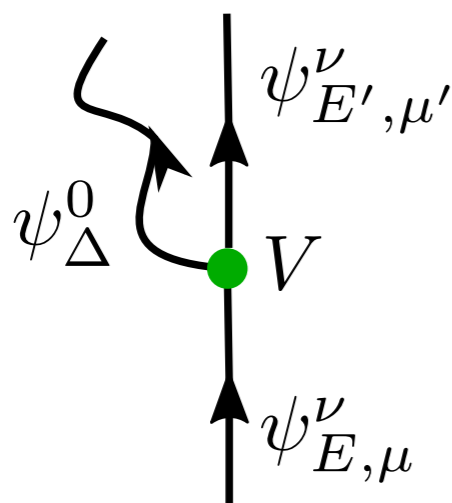
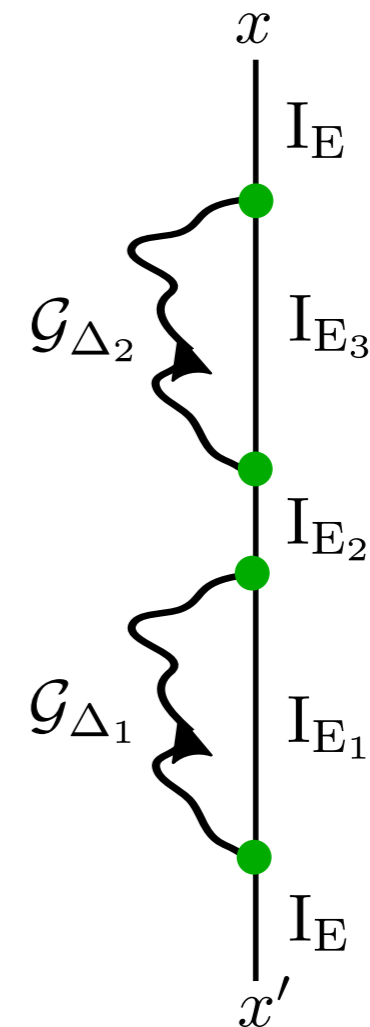
Structure of higher-point correlation functions

- There is group-theoretic structure in a general Lorentzian correlator.

$$I_E = \int d\mu \rho_{\text{PI}}(E, \mu) \sum_{m \in \mu + \mathbb{Z}} |\psi_{E,m}^\nu\rangle \langle \psi_{E,m}^\nu|$$

$$\mathcal{G}_\Delta = \sum_{n \in \mathbb{N}} |\psi_{\Delta, \Delta+n}^0\rangle \langle \psi_{\Delta, \Delta+n}^0|$$

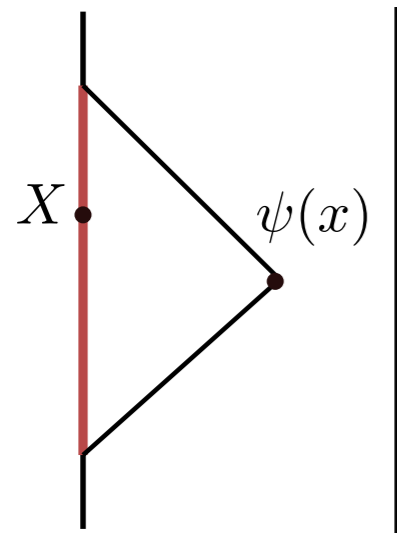
- Each vertex is an intertwiner, whose matrix elements are Clebsch-Gordon coefficients.



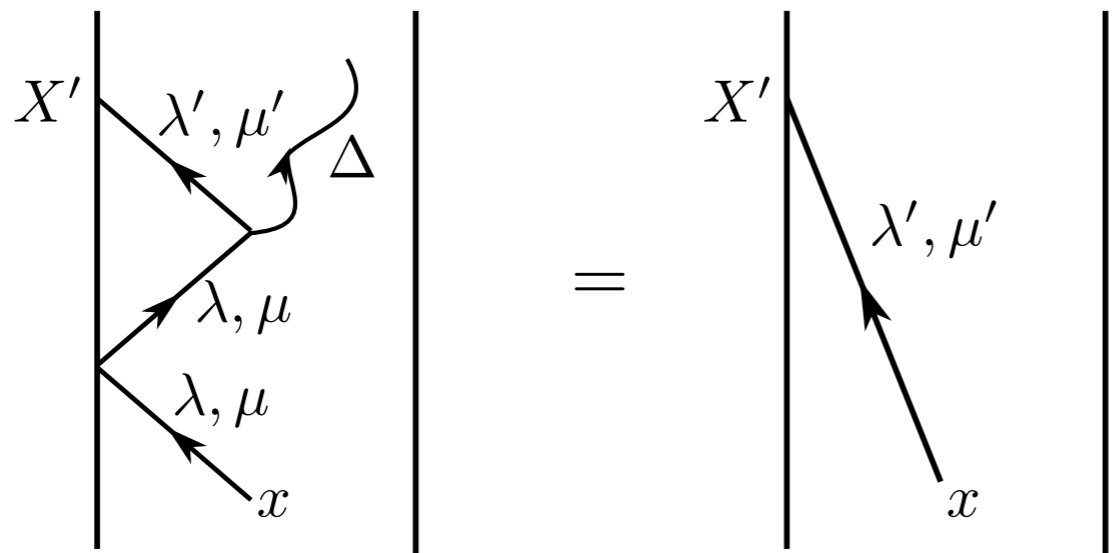
$$\langle X', n | V_{E', \mu', \Delta; E, \mu} | X \rangle = \int d^2 x \sqrt{-g} \psi_{E, \mu}^\nu(x | X) \psi_{E', \mu'}^\nu(x | X')^* \psi_{\Delta, n}^0(x)^*$$

Cancellation of μ -dependence

- Bulk-to-boundary propagators seem to constitute a nice basis, in which we can see cancellation of μ -dependence.



$$\Rightarrow \sum_{m \in \mu + \mathbb{Z}} \psi_{E,m}^\nu(x) \psi_{E,m}^\nu(x')^* = \int dX \psi_{E,\mu}^\nu(x|X) \psi_{E,\mu}^\nu(x'|X)^*$$



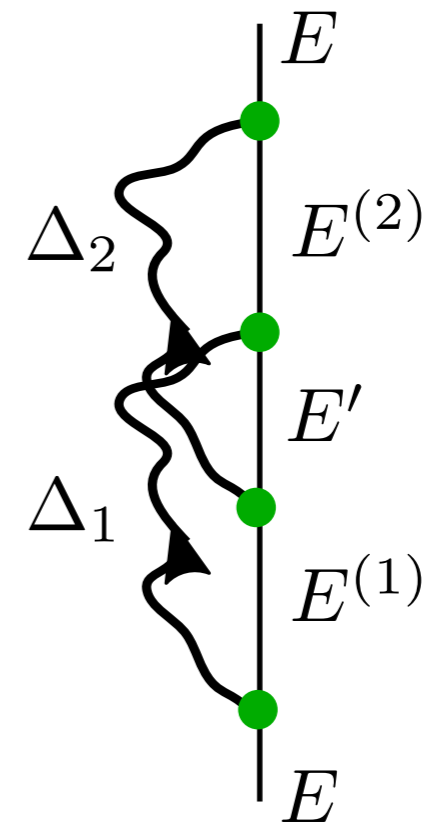
$$= \times \mathcal{A}(\Delta, \lambda, \lambda') \delta(\mu - \mu' - \Delta)$$

(work in progress)

Outcomes

- Pending this cancellation, we can reproduce the classical 6j-symbol found in OTO 4-point functions using CFT bootstrap arguments in the Schwarzian theory.

[Mertens, Turiaci, Verlinde]



- The density of states appears in the ratio between transition amplitudes:

$$\frac{
 \begin{array}{c}
 \Delta, n \\
 \swarrow \\
 E, \mu \uparrow x \\
 \nearrow \\
 E', \mu' \uparrow x'
 \end{array}
 }{
 \begin{array}{c}
 \Delta, n \\
 \swarrow \\
 E', \mu \uparrow x \\
 \nearrow \\
 E, \mu' \uparrow x'
 \end{array}
 } \sim \frac{\rho(E')}{\rho(E)}$$

Summary

- We have built a quantum theory for the dynamics of the soft mode in the SYK model, which corresponds to a solvable, topological sector of a gravitational theory in two-dimensional anti-de Sitter space.
- Wavefunctions in our quantum theory pass some preliminary checks and can be viewed as resulting from coarse-graining of SYK microstates.
- More rigorous consistency checks on the Hilbert space remain, involving matrix elements of interaction with external matter.

Some open questions

- Can we couple additional degrees of freedom to JT gravity which reproduce the subleading-in- βJ exponent of the OTO 4-point function in the SYK model?
 - If so, can we quantize them?
- Can we quantize degrees of freedom that contribute at non-perturbative order (powers of e^{-N}) in JT gravity?