

# Objective discovery of dominant dynamical regimes

Bryan Kaiser

KITP Machine Learning for Climate

November 2, 2021

LA-UR-21-31428



Image: NASA



# Outline & Outlook

- *Looking backward* at how scientific discoveries have occurred can give us insights into how to build machines for scientific discovery.
- *Looking forward*, how might we build AI architectures specifically for science?

# *Dominant Dynamical Regimes:*

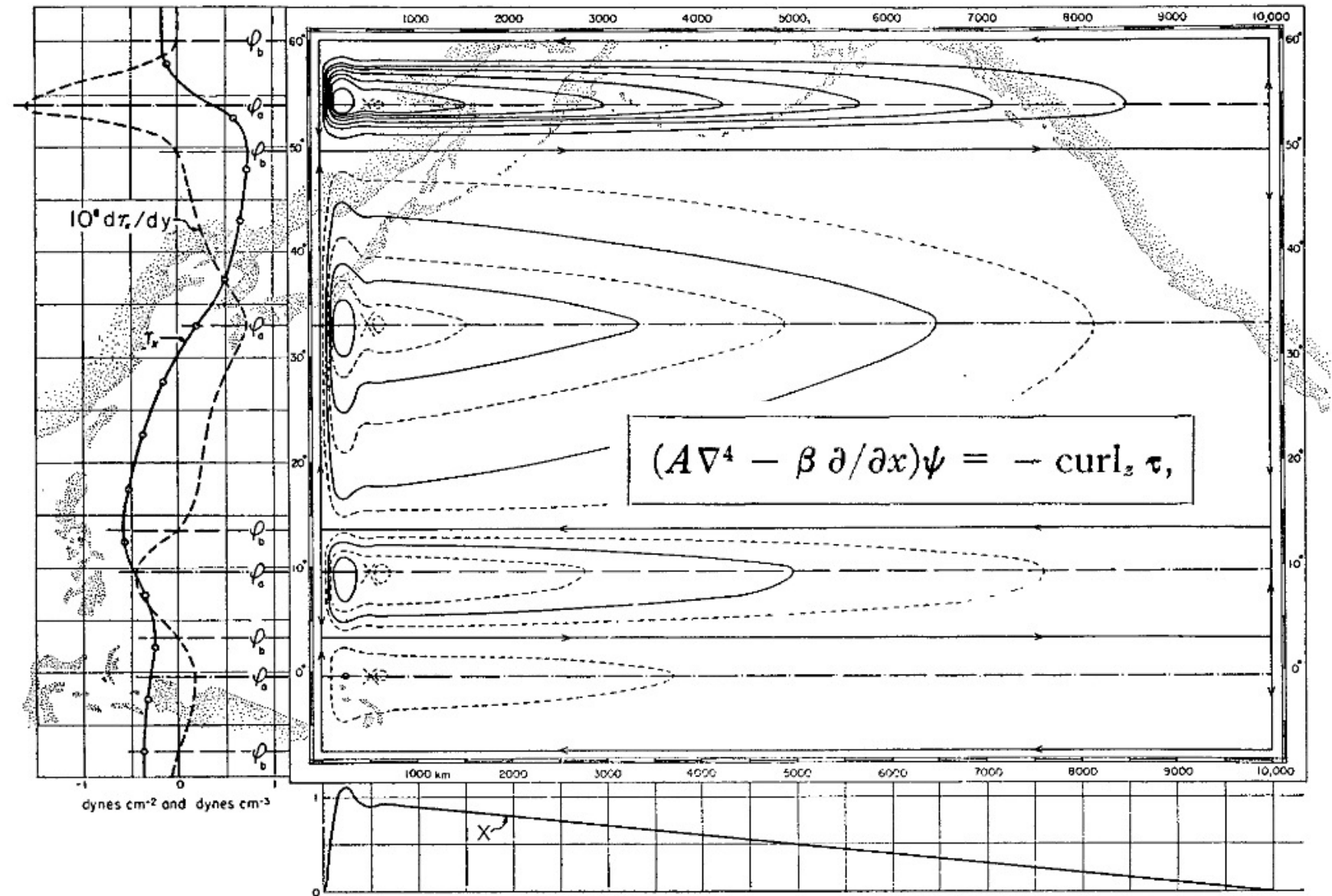
empirical & non-asymptotic equation reduction  
approximations with a long and fruitful history  
in geophysical fluid dynamics

Juan Saenz (LANL), Maïke Sonnewald (Princeton & U. Washington), & Daniel Livescu (LANL)

Machine Learning for Turbulence (MeLT)

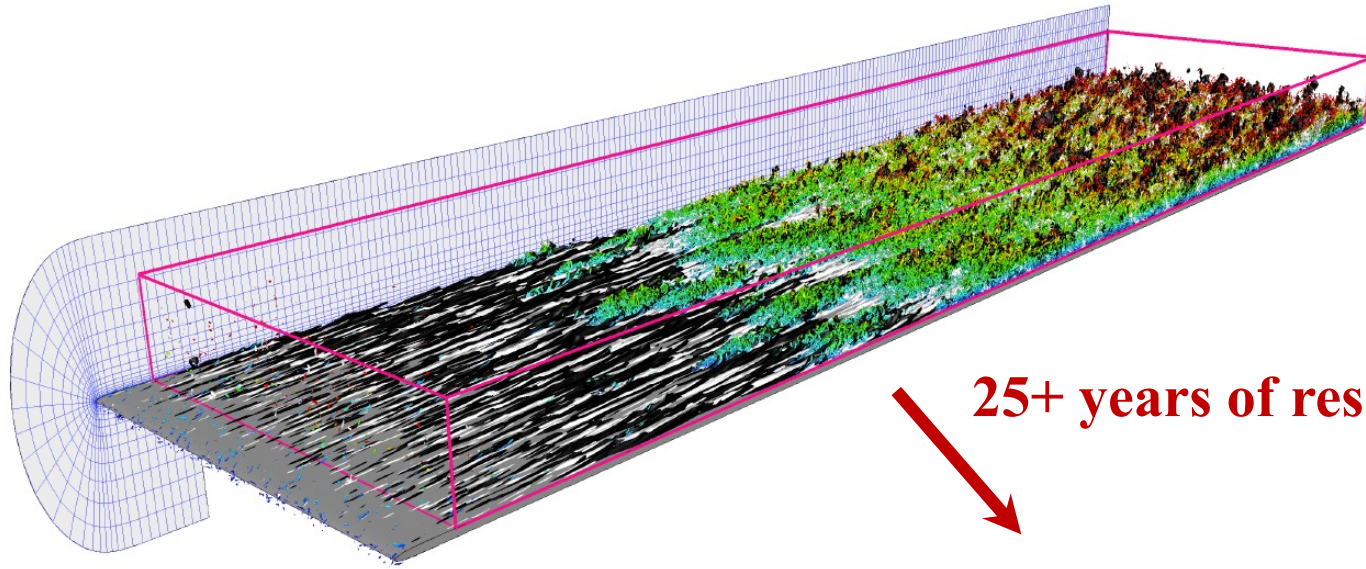
# Munk's ocean circulation model utilizes ad hoc scaling arguments

Munk's barotropic vorticity model is derived from the Navier-Stokes equations by neglecting equation terms according to geometric and *empirical scaling arguments*





There is *no explicit universal verification for scale analysis* for non-asymptotic dynamics



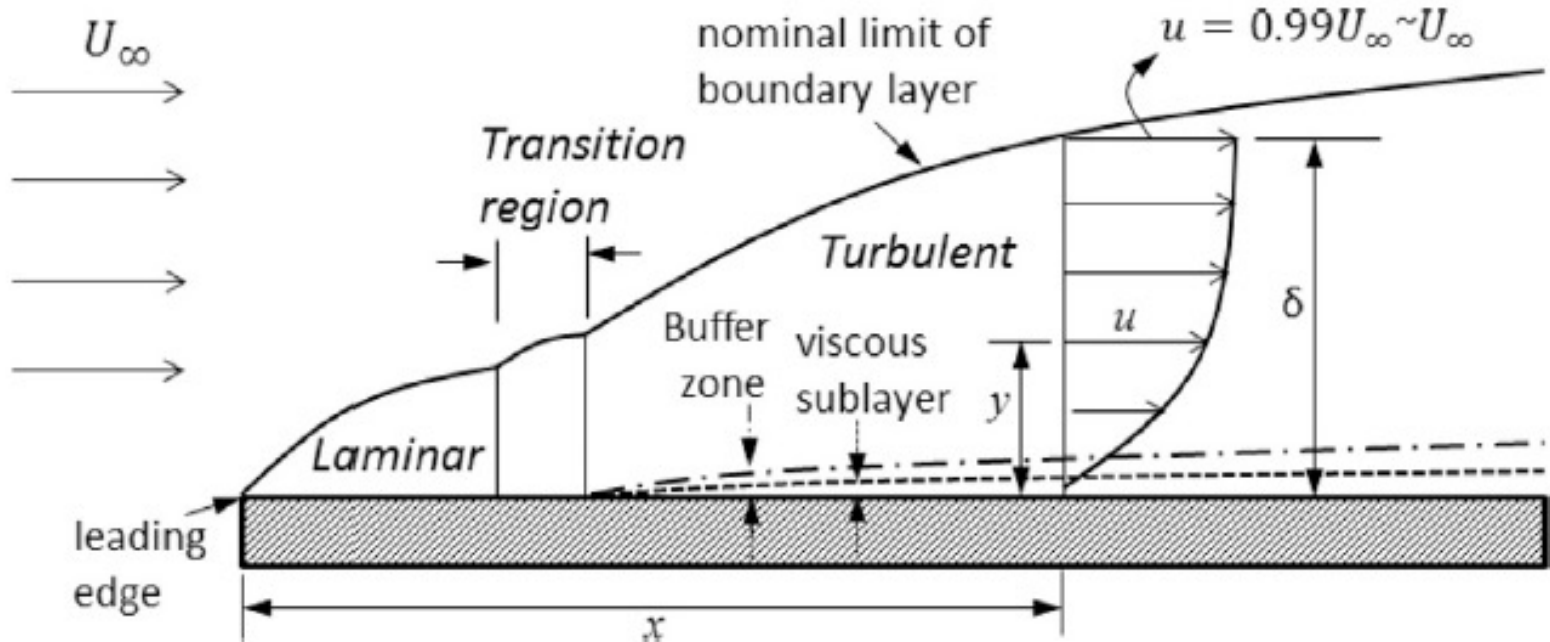
**1) High quality empirical data**

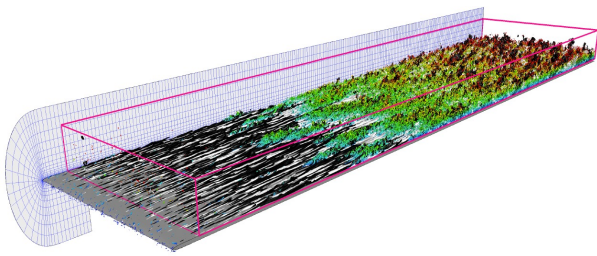
e.g. DNS by Zaki (2013) (*left*)

**25+ years of research: Prandtl (1904) to von Karman (1930)**

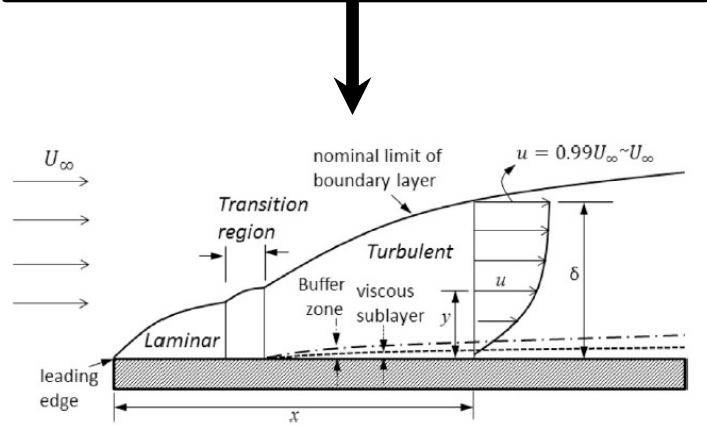
**2) Domain knowledge**

Image: Shahmohamadi & Rashidi (2017) (*right*)





Building on the work of Sonnewald et al. (2019) and Callaham et al. (2021), we show that: *dynamical regimes can be identified without a priori domain knowledge.*



Observations of closed equation,  $E$

human

unsupervised learning framework

1 **Partition  $E$  into regimes**  
 $E$  is partitioned by *ad hoc* visual or statistical methods

**Partition  $E$  into regimes**  
 $E$  is partitioned by clustering (Sonnewald *et al.*<sup>2</sup>, Callaham *et al.*<sup>9</sup>)

2 **Hypothesis selection**  
 All observations in each regime are labeled by a set of dominant terms we call hypotheses,  $H$

**Hypothesis selection**  
 Apply dimensionality reduction algorithms (Callaham *et al.*<sup>9</sup>) to clusters to generate  $H$ .

3 **Hypothesis testing**  
 Each regime hypothesis is validated by *ad hoc* methods

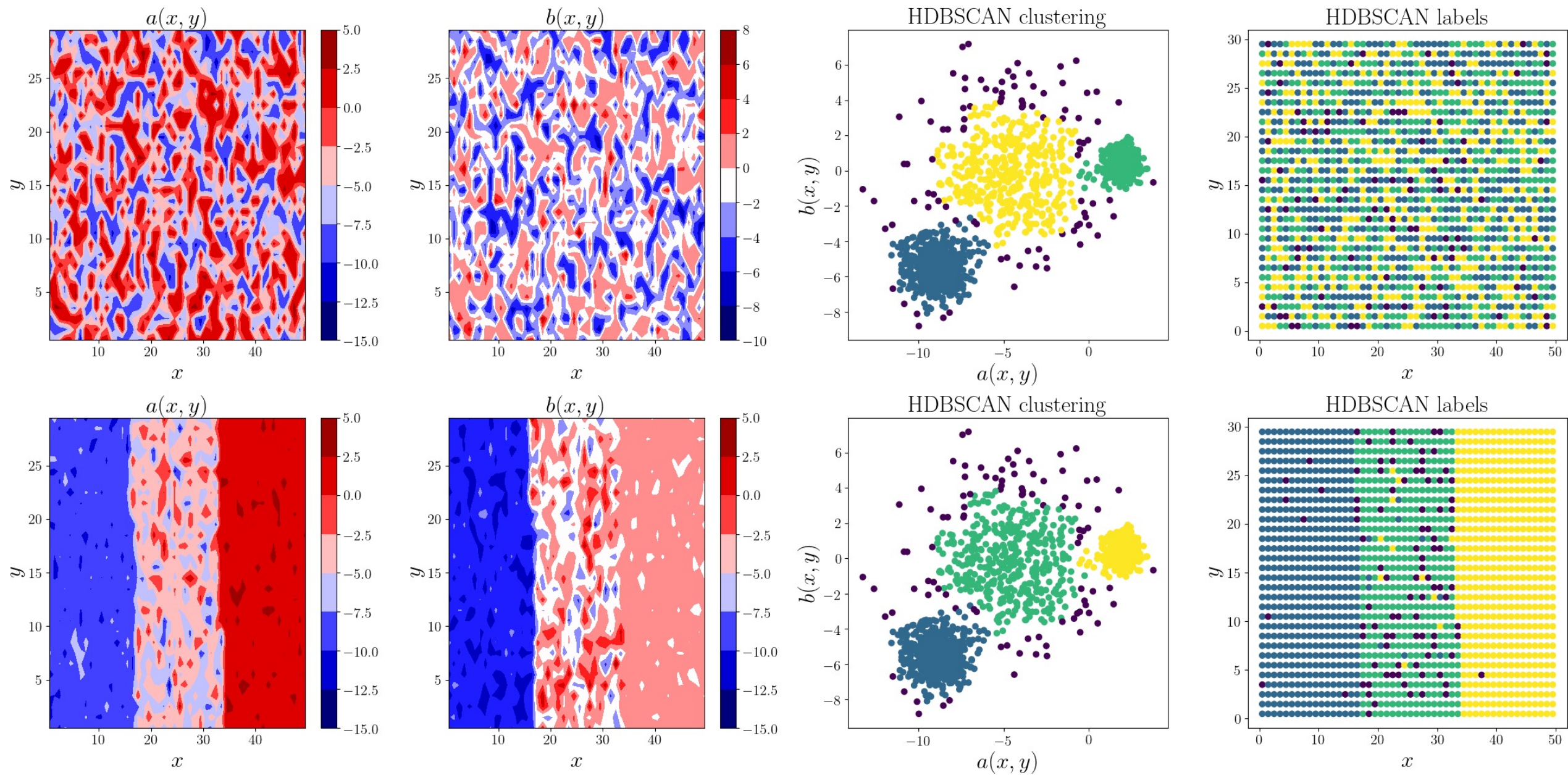
**Hypothesis testing**  
 The verification criterion indicates the fit of hypotheses  $H$  to data set  $E$ .

algorithm parameters

Minimized number of equation terms in one or more regimes,  $H_{opt}$



# Partition $\mathbf{E} = [a,b]$ , equation-term clusters exploit sparsity in the *equation-space*



## Verification criteria for dominant dynamical regimes

Given observations of **equation terms**:  $\mathbf{E} = \left[ \frac{\partial u}{\partial t}, u \frac{\partial u}{\partial x}, v \frac{\partial u}{\partial y}, w \frac{\partial u}{\partial z}, f v, \frac{1}{\rho} \frac{\partial p}{\partial x}, \nu \frac{\partial^2 u}{\partial x^2}, \nu \frac{\partial^2 u}{\partial y^2}, \nu \frac{\partial^2 u}{\partial z^2} \right],$

Choose **hypotheses** for which terms are dominant:  $\mathbf{H} = \{0, 0, 0, 0, 1, 1, 0, 0, 0\}$

To find the **optimal hypotheses...** 
$$\mathbf{H}_{\text{opt}} = \begin{cases} \operatorname{argmax}_{\mathbf{H}} \mathcal{V}(\mathbf{E}, \mathbf{H}) & \text{if } \max \mathcal{V}(\mathbf{E}, \mathbf{H}) > \mathcal{V}(\mathbf{E}, \mathbf{1}) \\ \mathbf{1} & \text{if } \max \mathcal{V}(\mathbf{E}, \mathbf{H}) \leq \mathcal{V}(\mathbf{E}, \mathbf{1}) \end{cases}$$

...according to the **verification criterion**:

$$\mathcal{V}(\mathbf{E}, \mathbf{H}) = \frac{\sum_{n=1}^N w_n \cdot \mathcal{M}_n(\mathbf{e}_n, \mathbf{h}_n)}{\sum_{n=1}^N w_n},$$

$$\mathcal{M}_n(\mathbf{e}_n, \mathbf{h}_n) = \frac{\Gamma_n}{1 + \Omega_n} = \frac{\text{magnitude gap}}{\text{magnitude spread of dominant terms}}$$



# Verification criteria: 1D asymptotic example

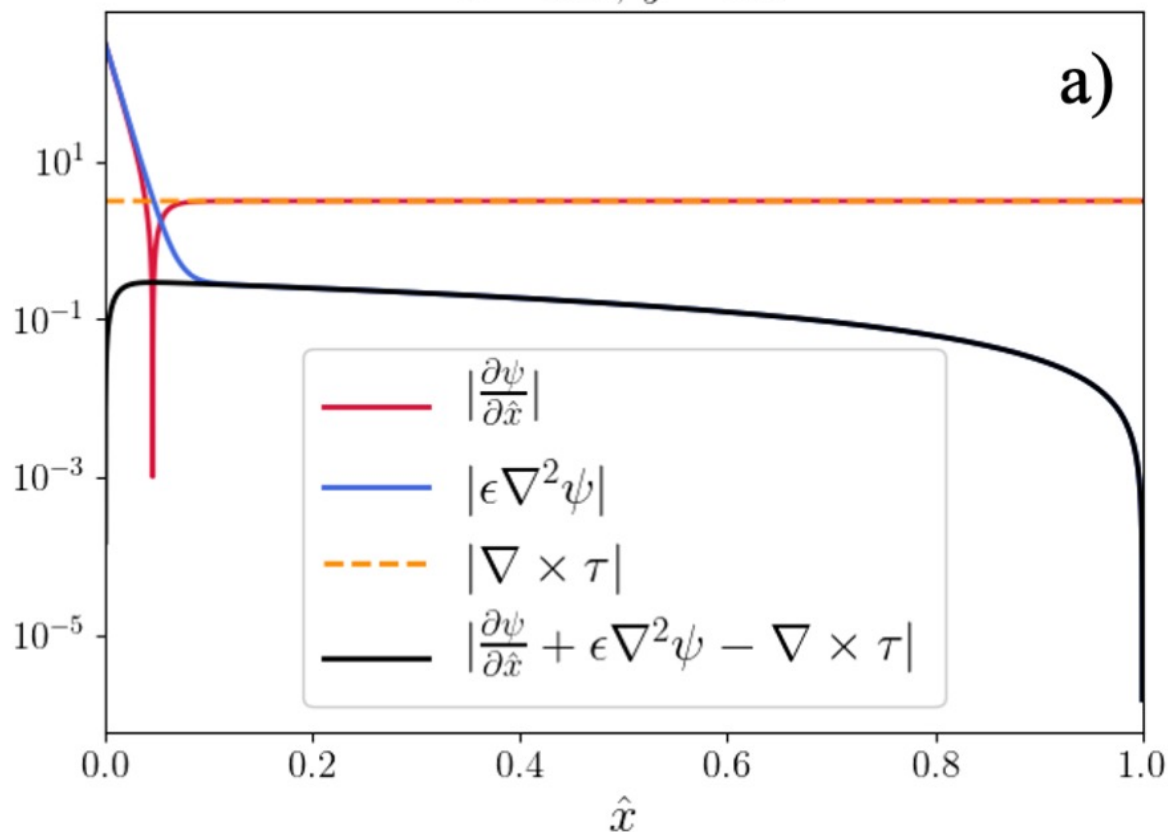
Munk-like Vallis (2017)  
barotropic vorticity model:

$$\underbrace{\frac{\partial \psi}{\partial \hat{x}}}_{\text{advection of planetary vorticity}} + \underbrace{\epsilon \nabla^2 \psi}_{\text{diffusive torque}} = \underbrace{\nabla \times \tau}_{\text{wind stress curl}},$$

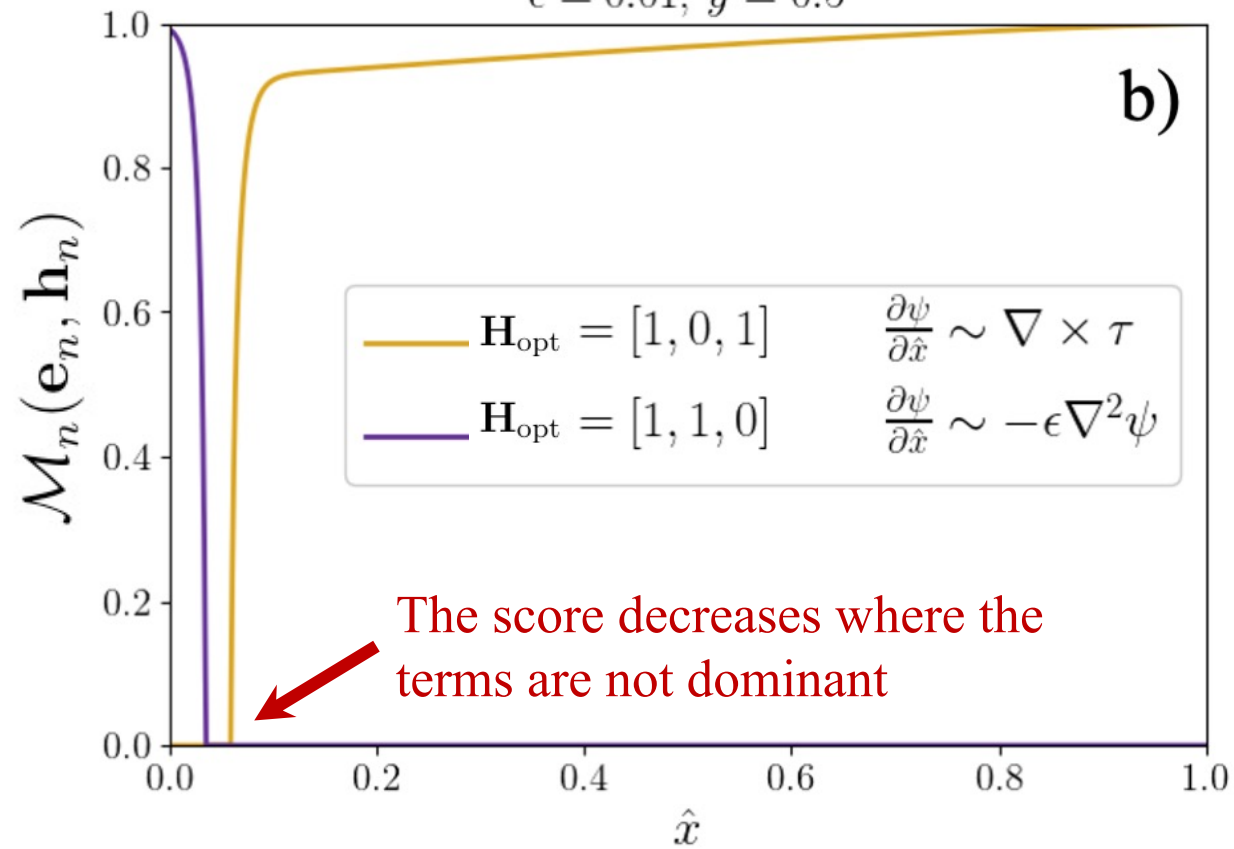
Solution:  $\psi(\hat{x}, \hat{y}) \approx (1 - x - e^{-\hat{x}/\epsilon})\pi \sin(\pi \hat{y})$ ,

$\epsilon = 0.01, \hat{y} = 0.5$

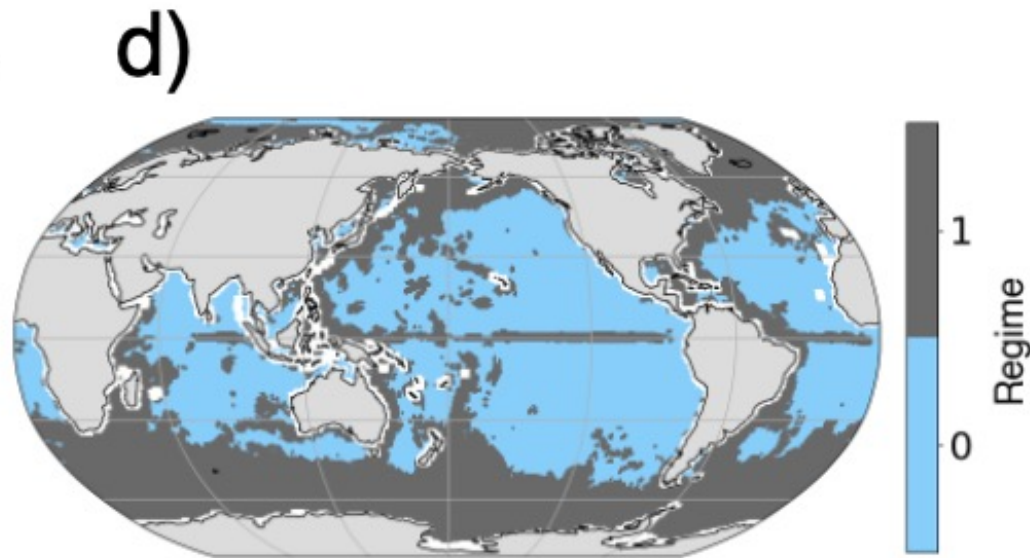
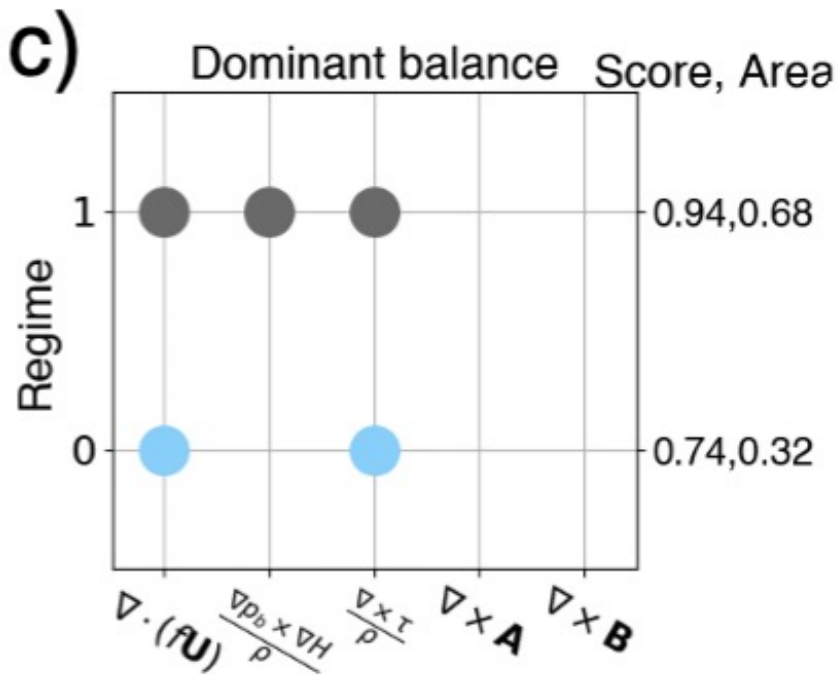
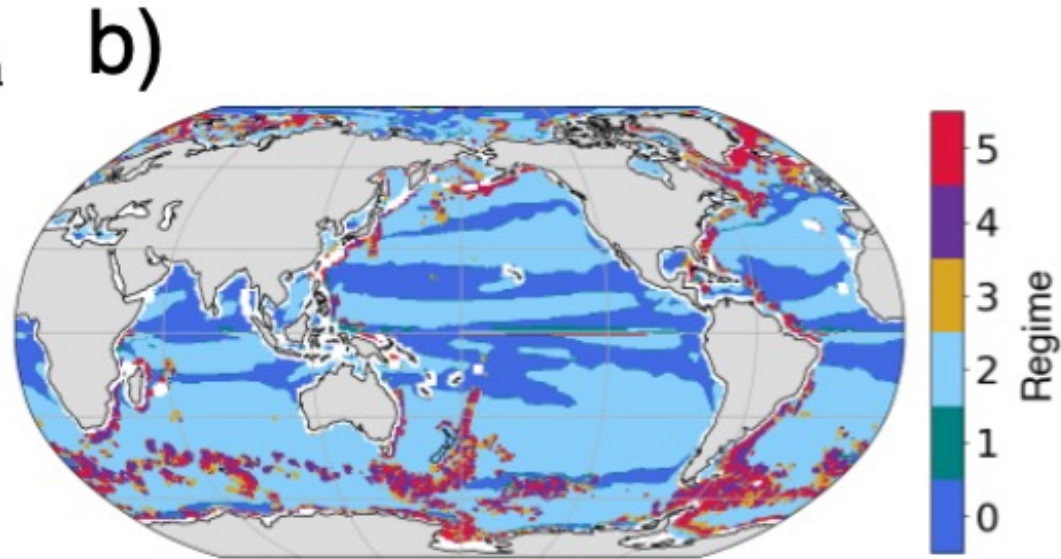
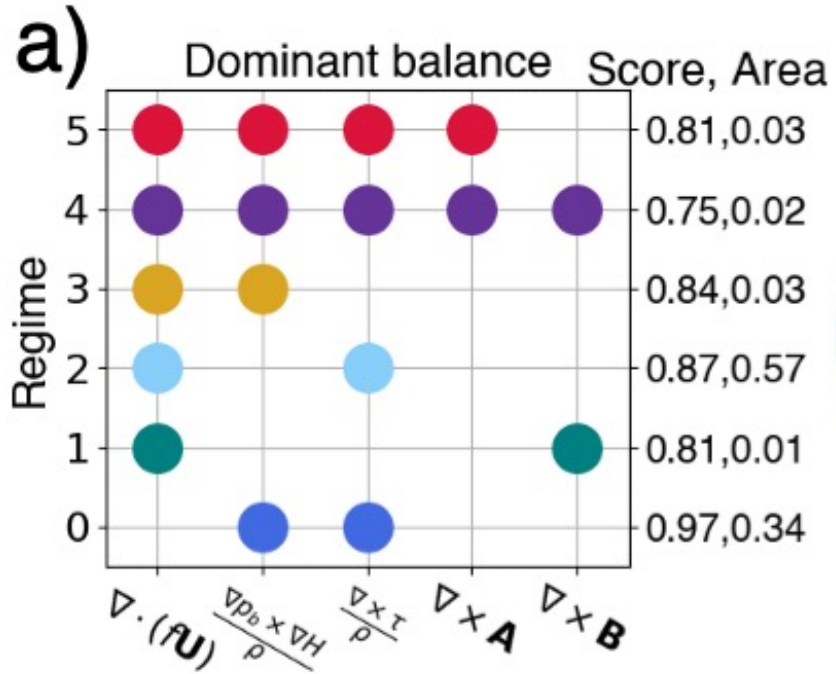
Equation term magnitude



$\epsilon = 0.01, \hat{y} = 0.5$

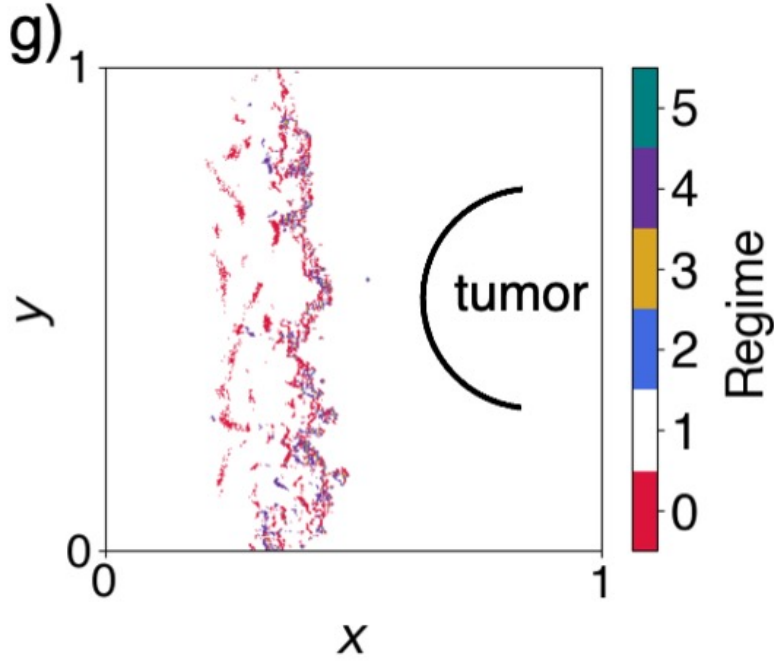
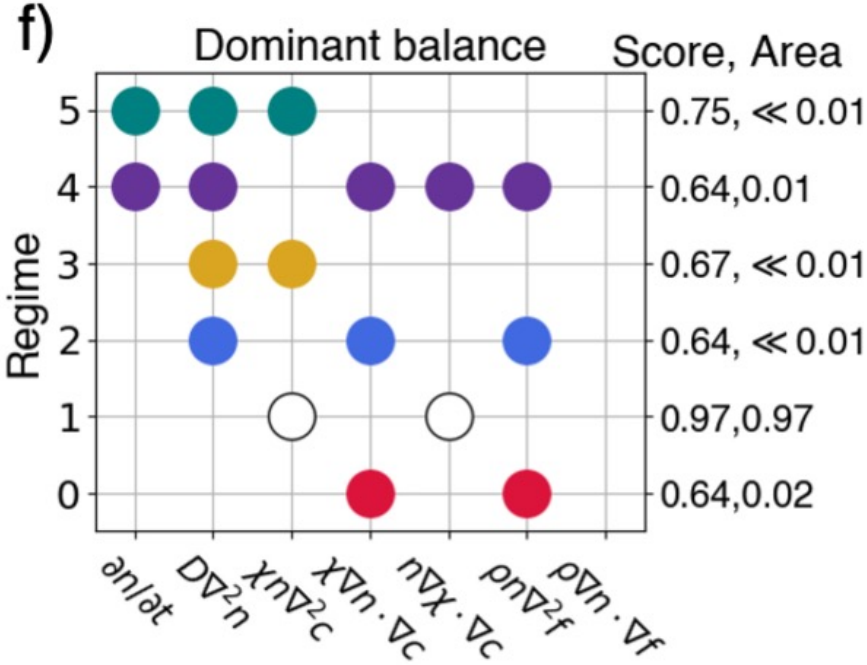
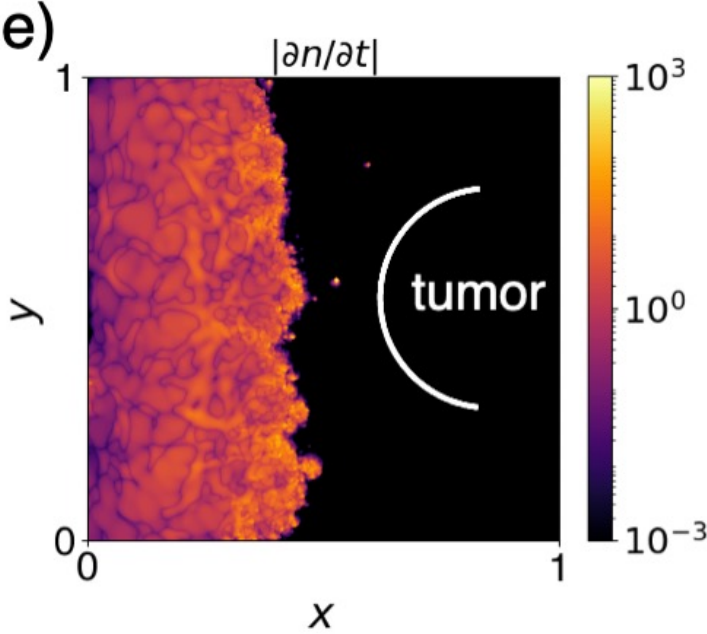


# Oceanic barotropic vorticity equation example

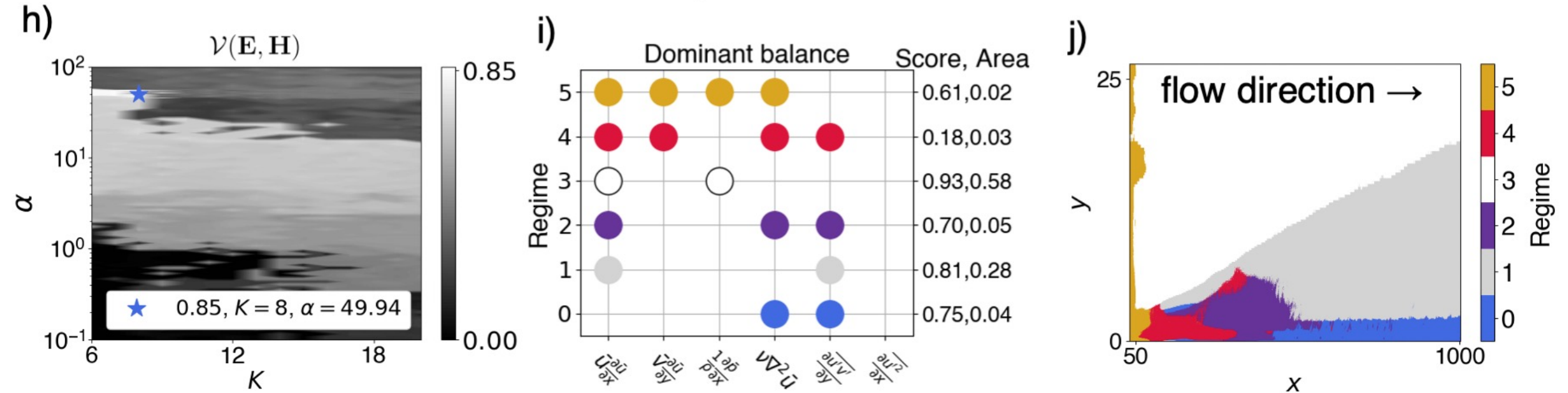




# Tumor angiogenesis / endothelial cell growth equation example

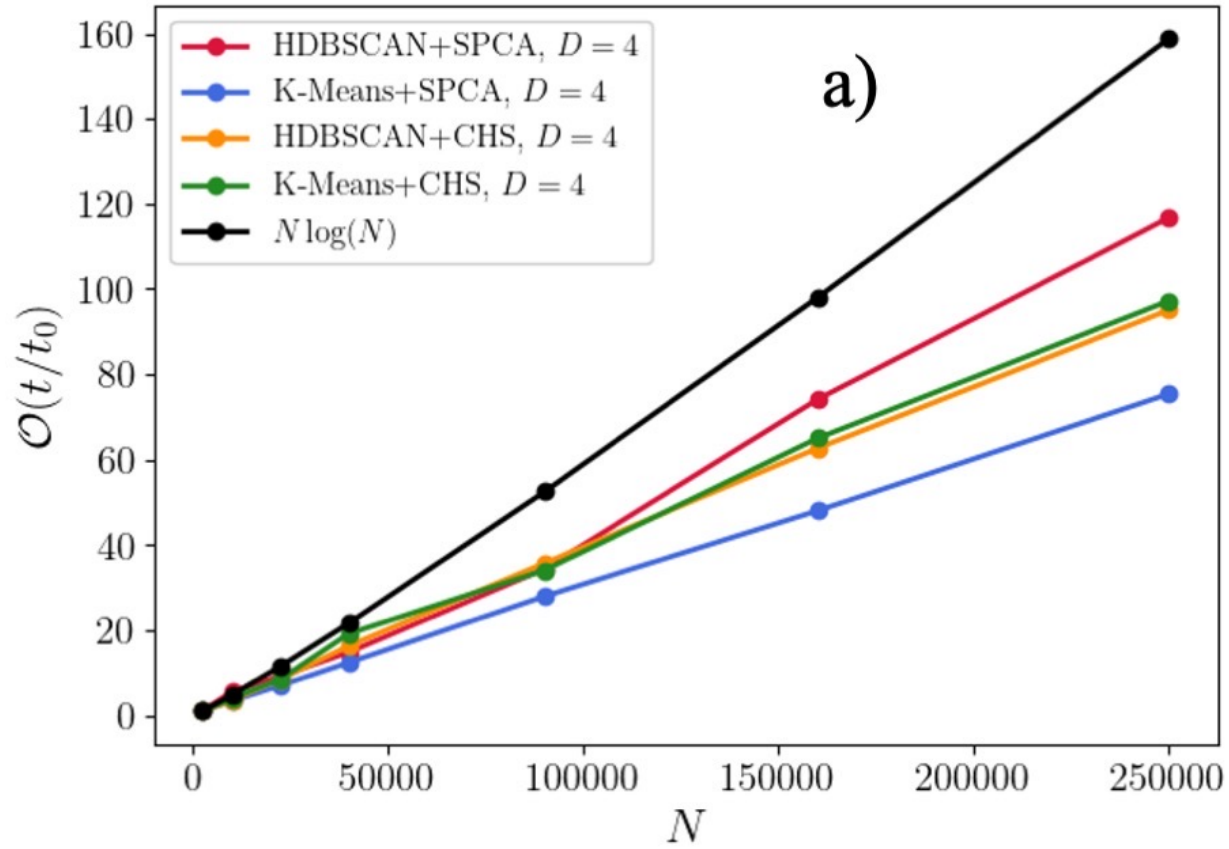


# Reynolds-averaged turbulent boundary layer equation example

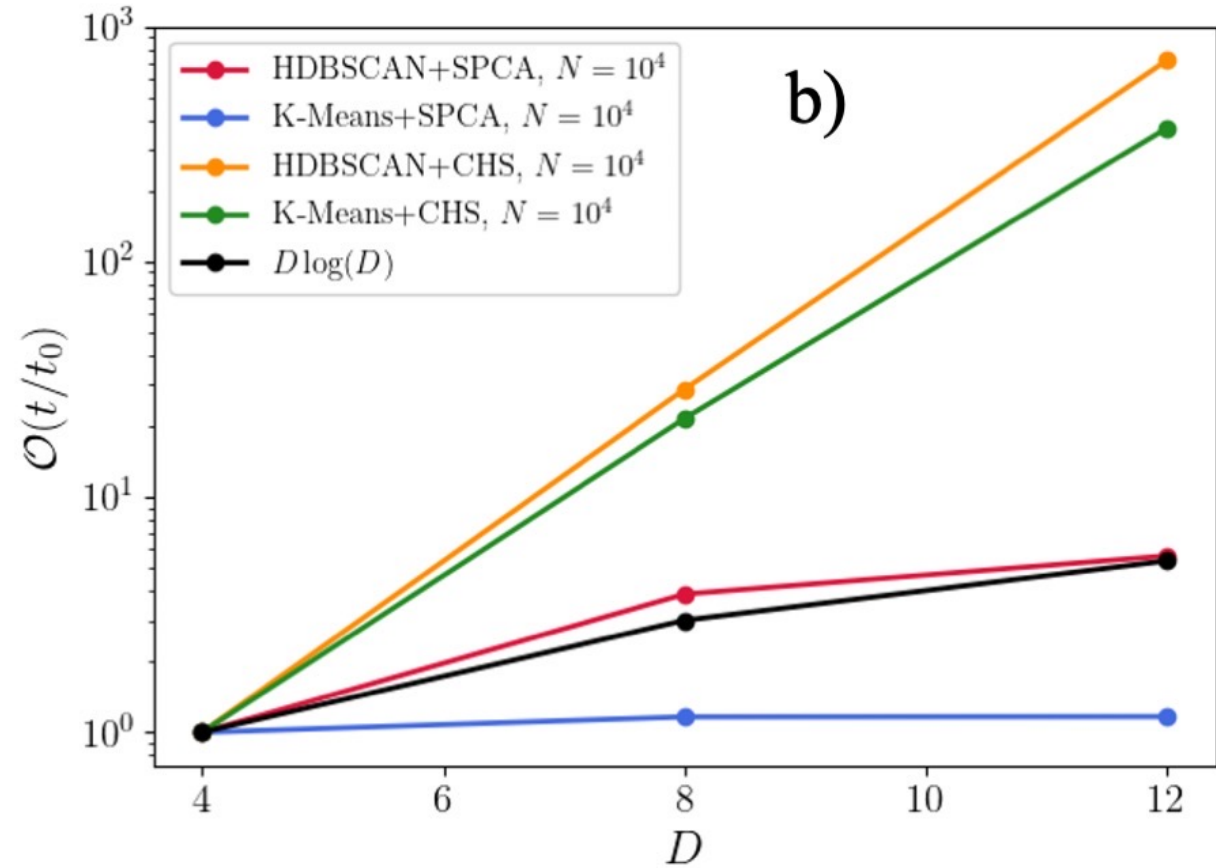




# *Time complexity* depends upon chosen clustering & dimensionality reduction algorithms



$N$  = number of samples in data set



$D$  = number of equation terms

# Conclusions

- We have formulated the partitioning & classification of dominant dynamical regimes as an *optimization problem*.
- We have proposed a *verification criteria* that:
  1. Is consistent with domain knowledge
  2. Allows regimes to be identified with no *a priori* domain knowledge



# *Machines that hypothesize*

reflections on *generalizability & interpretability*  
AI/ML methods for science

Juan Saenz (LANL) & Ismael Boureima (LANL)

Machine Learning for Turbulence (MeLT)

# What is scientific intelligence?

- “AI is the science of making machines capable of performing tasks that would require intelligence if done by humans” - Marvin Minsky
- “Intelligence measures an agent’s ability to achieve goals in a wide range of environments” - Legg & Hutter
- Intelligence is the ability to efficiently acquire skills, not mastery of a single skill. [Chollet (2020)]
- Psychologists question the concept of intelligence as a single, undifferentiated capacity. [Adams (2012)]

*Scientific intelligence* is the measure of a scientist's skill at generating *falsifiable* and *causal* models of Nature in the form of *symbolic* hypotheses and theories.

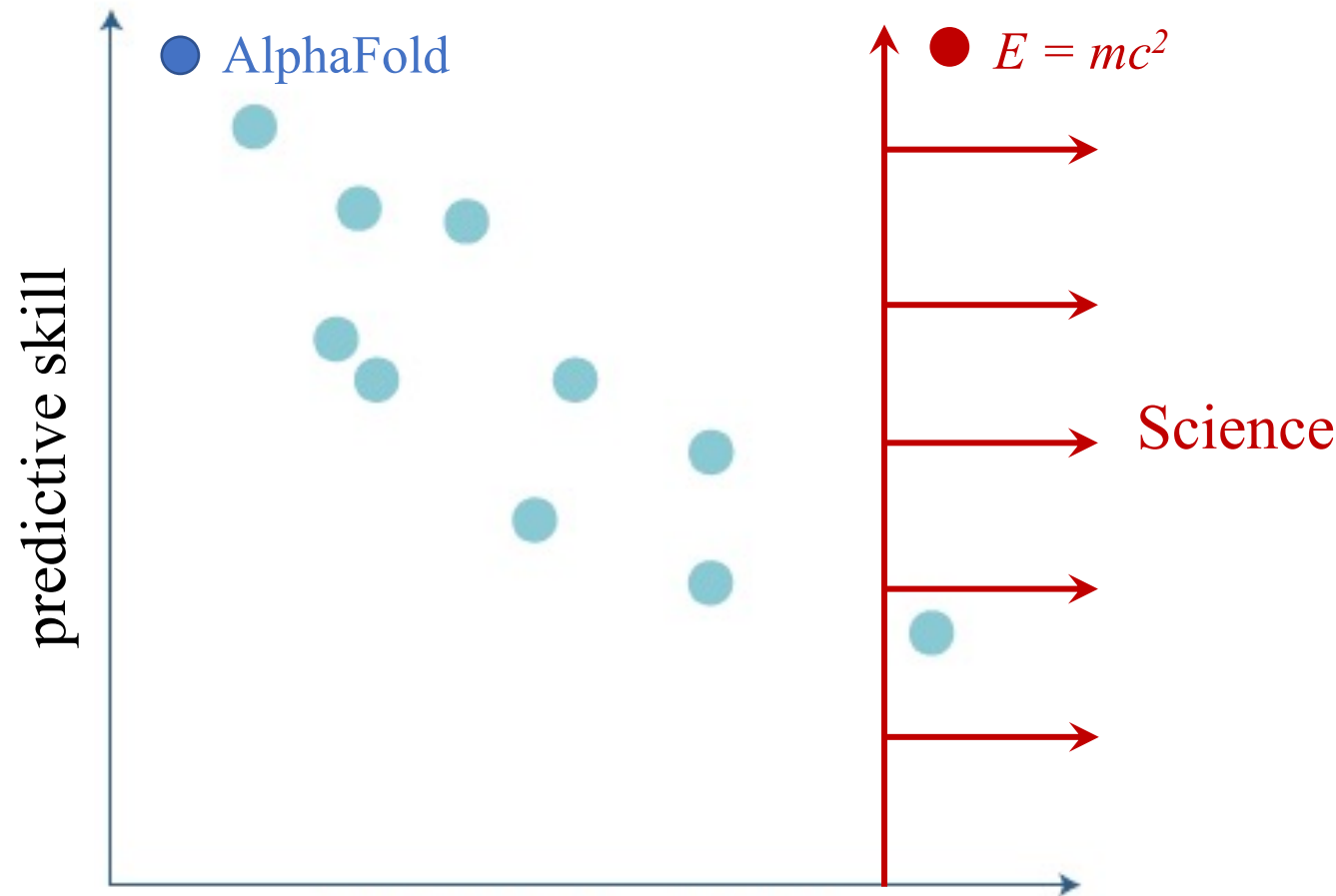
Maria Goeppert Mayer,  
Nobel Prize in Physics (1963)



Machine scientists

*Machine learning* answers questions of statistical association

*Science* answers questions of causality with symbolic hypotheses



*Other salient axes:*

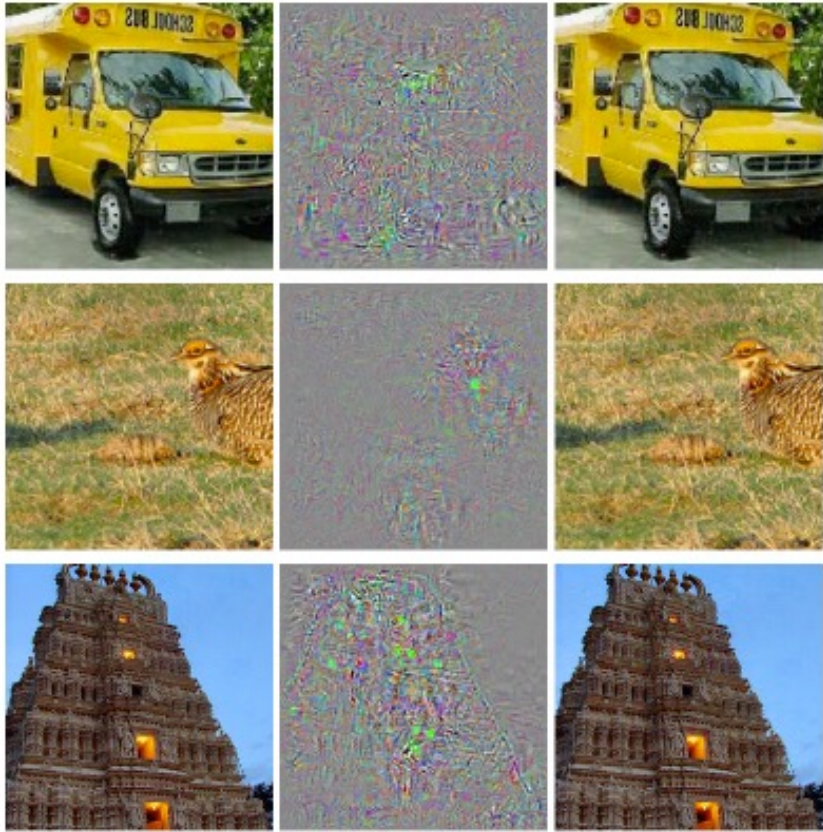
- Computational complexity
- Scope of applicability

“solving the pathway of protein folding, along with the dynamics of protein processes, is a different type of challenge from predicting protein structure”  
Fersht (2020)

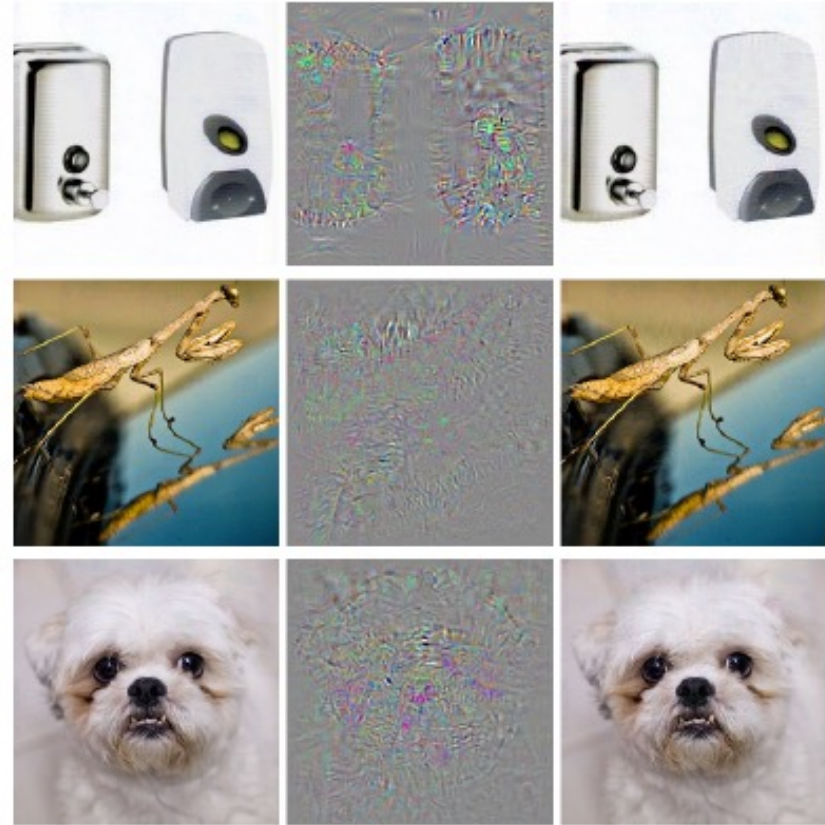
*Post hoc* explainability/interpretability/intelligibility of predictions



# *Machine learning* answers questions of statistical association



Right hand column all labeled as “ostrich”



Right hand column all labeled as “ostrich”

Concept learning is different statistical learning

# Science answers questions of causality with symbolic hypotheses

Level (Symbol)	Typical Activity	Typical Questions	Examples
1. Association $P(y x)$	Seeing	What is? How would seeing $X$ change my belief in $Y$ ?	What does a symptom tell me about a disease? What does a survey tell us about the election results?
2. Intervention $P(y do(x), z)$	Doing Intervening	What if? What if I do $X$ ?	What if I take aspirin, will my headache be cured? What if we ban cigarettes?
3. Counterfactuals $P(y_x x', y')$	Imagining, Retrospection	Why? Was it $X$ that caused $Y$ ? What if I had acted differently?	Was it the aspirin that stopped my headache? Would Kennedy be alive had Oswald not shot him? What if I had not been smoking the past 2 years?

Judea Pearl, “The Seven Tools of Causal Inference with Reflections on Machine Learning,” 2018



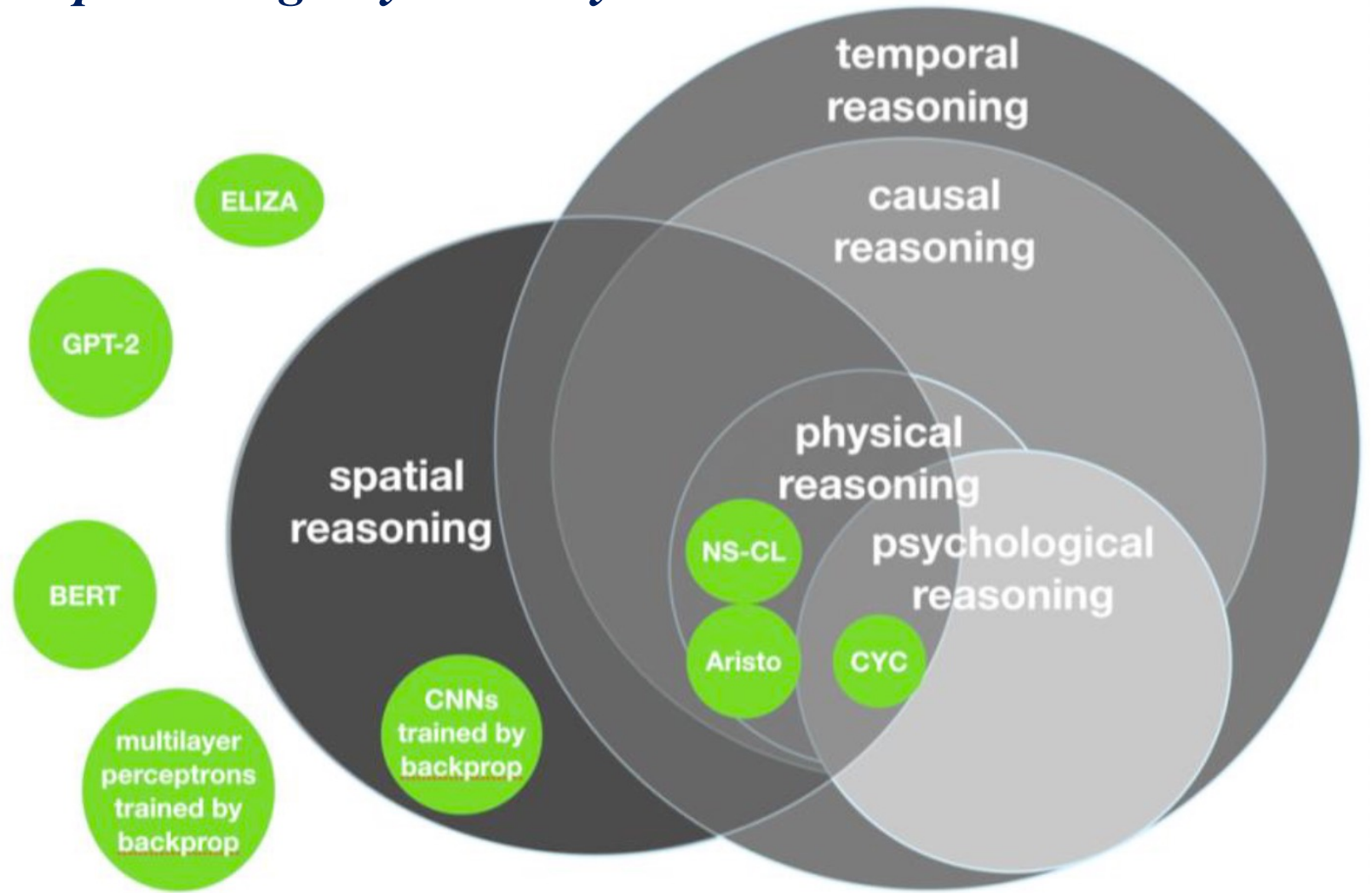
We can't wait for the 50% chance of AGI in 2140, if ever ...

*...but we can develop hybrid deep learning – symbolic systems now*



*Will human-like reasoning eventually emerge from a sufficiently large neural network?*

(deep learning folks, e.g. R. Sutton, say yes)





# How can we build *scientifically intelligent machines*?

## General properties of machine scientists

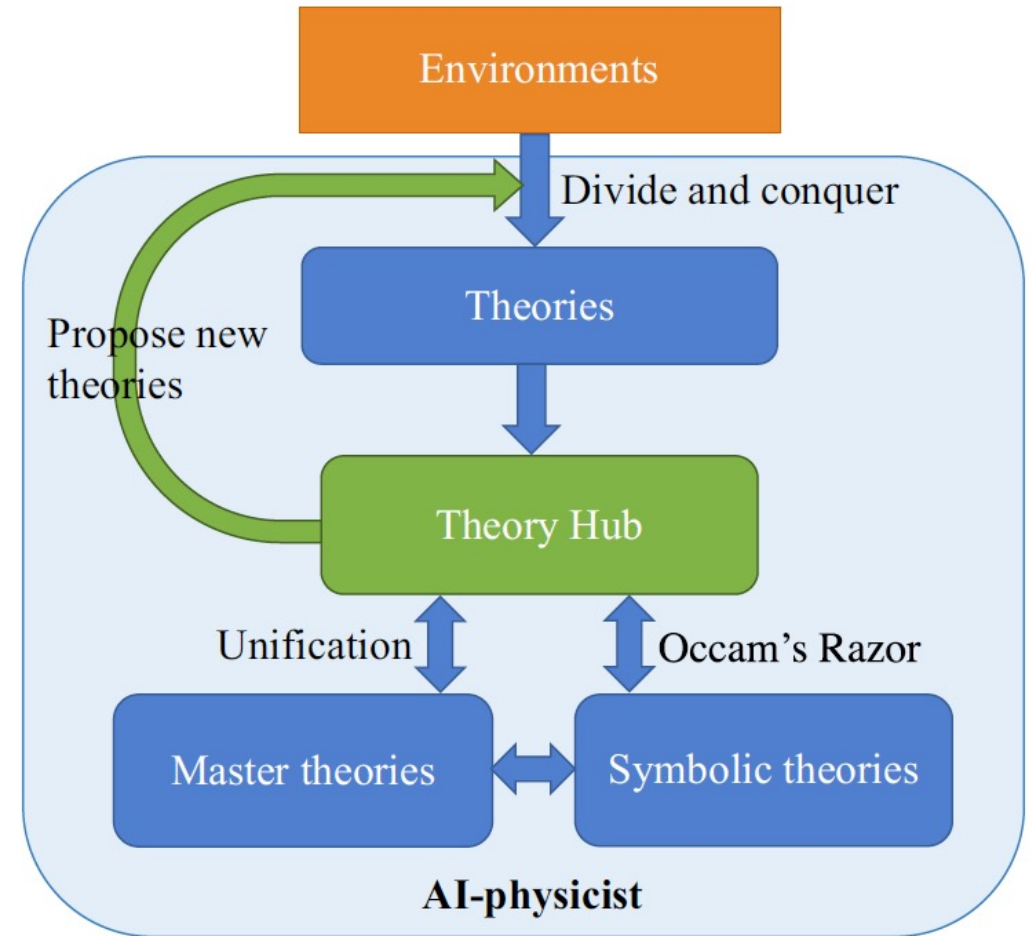
- Inputs: prior knowledge, data
- Outputs: symbolic hypotheses and theories
- Iterates over the scientific method
- Manipulates hypotheses with symbolic logic

## Technical challenges:

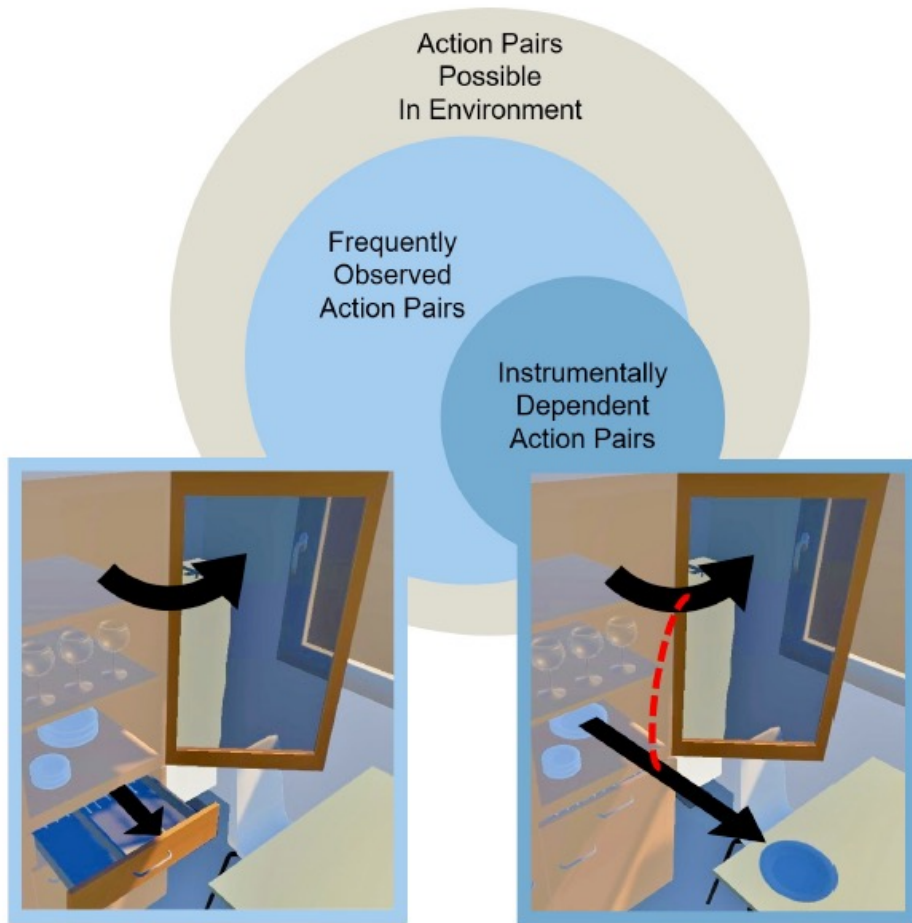
- Computational complexity
- The symbol grounding problem [Harnad 1990]
- Machine reasoning [Sparkes 2010, Bottou 2014]
- Mathematics [Davis 2020]

## Philosophical challenges:

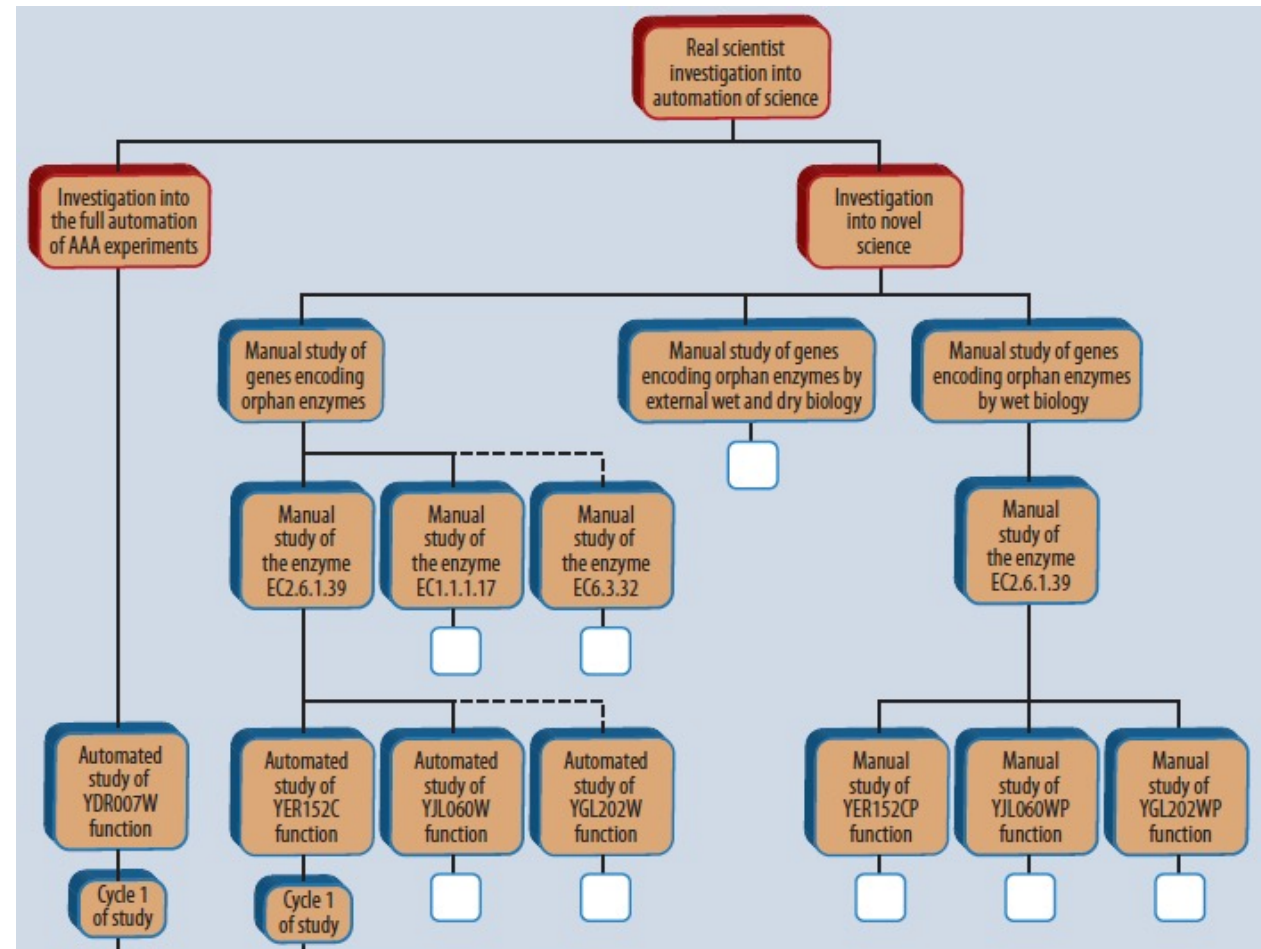
- Scope of applicability: breadth of inquiry
- Value: ranking the relative importance of theories



**Example:** Wu & Tegmark (2019) “AI physicist” uses symbolic regression and the above graph to find the most accurate and broadly applicable symbolic expressions.



**Example:** Uhde *et al.* (2020) “Robot as Scientist” uses virtual reality simulation, causal graphs, and experiments to reduce the search space required to predict the effects of robot motion.



**Example:** King *et al.* (2009) robot functional genomicist uses abductive logic, prior knowledge, and experiments to identify gene encodings that cause protein functions in yeast.

Thank you for your time and attention