

We need “ $pV=nRT$ ” for climate

*( J. Harte, May 6, 2008 )*

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# Outline

1. Conceptual overview
2. Implementation of ideas
3. Modelling results
4. Observations

## **Overview -- the problem:**

Oceans, lakes and (most) duck ponds are too big.

See  $10^{24}$  to  $10^{30}$  excited degrees of freedom. Get a bigger computer? Even biggees care state vectors of maybe  $10^{10}$ . For every variable resolved, one must guess dependence  $10^{15}$  unknowns. Rethink!

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$\mathbf{y}$ =state vector (temp, salin, veloc, ...)      $[\mathbf{y}] \sim 10^{30}$

for this  $\mathbf{y}$  textbooks give us  $d\mathbf{y}/dt = \mathbf{f}(\mathbf{y}) + \mathbf{g}$

$dp = p(\mathbf{y})d\mathbf{y}$  : probability actual  $\mathbf{y}'$  within  $d\mathbf{y}$  of  $\mathbf{y}$

expectations  $\mathbf{Y} = \int \mathbf{y} dp$ ,  $\mathbf{R} = \int \mathbf{r}(\mathbf{y}) dp$ .      $[\mathbf{Y}]$  can be small

$d\mathbf{Y}/dt = \mathbf{F}(\mathbf{Y}) + \mathbf{G} + \text{“more”}$ .     “more” because  $\mathbf{F} \neq \int \mathbf{f} dp$

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what to do about "more"? **entropy**  $H = - \int dp \log(p)$

## **three choices:**

**a)** forget  $d/dt$ , forcing, dissip. let  $\mathbf{Y}$  maximise  $H$

**b)** “more” are such to maximise production of  $H$

**c)** “entropic force”:  $d\mathbf{Y}/dt = \mathbf{F}(\mathbf{Y}) + \mathbf{G} +$

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$\mathbf{C} \cdot \partial_{\mathbf{Y}} H$  has two parts:  $\mathbf{C}$  and  $\partial_{\mathbf{Y}} H$ . *n.b.*: “accessible”

$\mathbf{C} \cdot \partial_{\mathbf{Y}} H \sim \mathbf{C} \cdot \partial_{\mathbf{Y}} \partial_{\mathbf{Y}} H \cdot (\mathbf{Y} - \mathbf{Y}^*) = \mathbf{K} \cdot (\mathbf{Y} - \mathbf{Y}^*)$  where

$\mathbf{Y}^*$  only needs be evaluated at “small”  $\partial_{\mathbf{Y}} H$

*(n.b.*: you still need  $\mathbf{K}$ )

Woolly words! See explicit *e.g.*  $\partial_t \nabla^2 \psi + J(\psi, \nabla^2 \psi + h) = \dots$

expand  $\psi = \sum \psi_n(t) \phi_n$ ,  $h = \sum h_n \phi_n$  on eigenfunctions  $\nabla^2 \phi_n + q_n^2 \phi_n = 0$

Conserved quadratics are  $E = \frac{1}{2} \sum q_n^2 |\psi_n|^2$  and  $\Omega = \frac{1}{2} \sum |-q_n^2 \psi_n + h_n|^2$  (circulation=0 here)

Maximise  $S = -\int dp \log p$  subject to  $\langle E \rangle = E_0$ ,  $\langle \Omega \rangle = \Omega_0$  and  $\langle 1 \rangle = 1$ .

$\delta \int \mathbf{dx} (p \log p + \alpha E p + \beta \Omega p + \gamma p) = 0$  hence  $\log p + 1 + \alpha E + \beta \Omega + \gamma = 0$

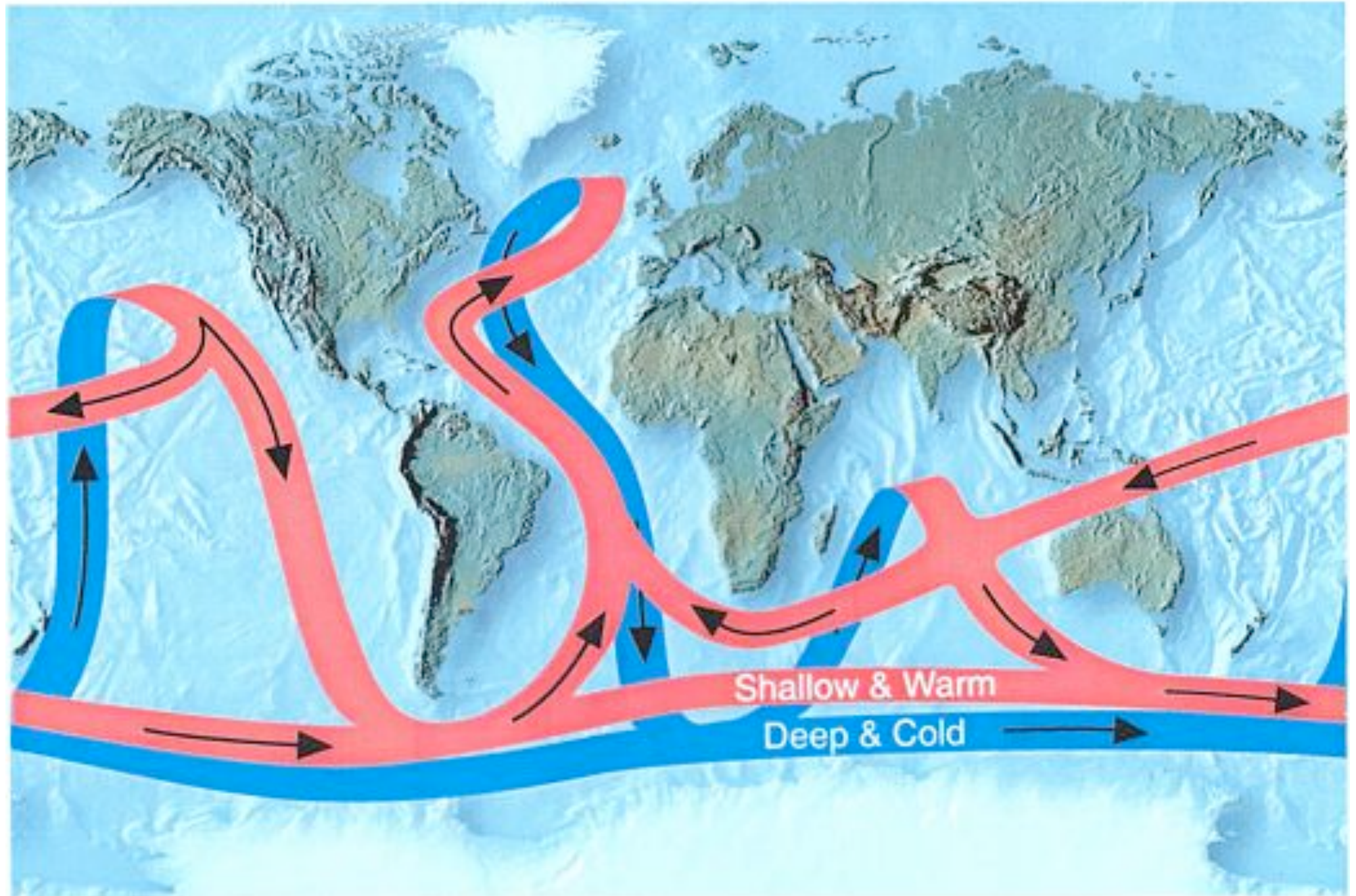
$p = \exp\{-1 - \gamma\} \exp\left\{-\sum \left\{q_n^2 (\alpha + \beta q_n^2) |\psi_n|^2 - 2\beta q_n^2 \text{Re} \psi_n h_n + \beta |h_n|^2\right\}\right\}$

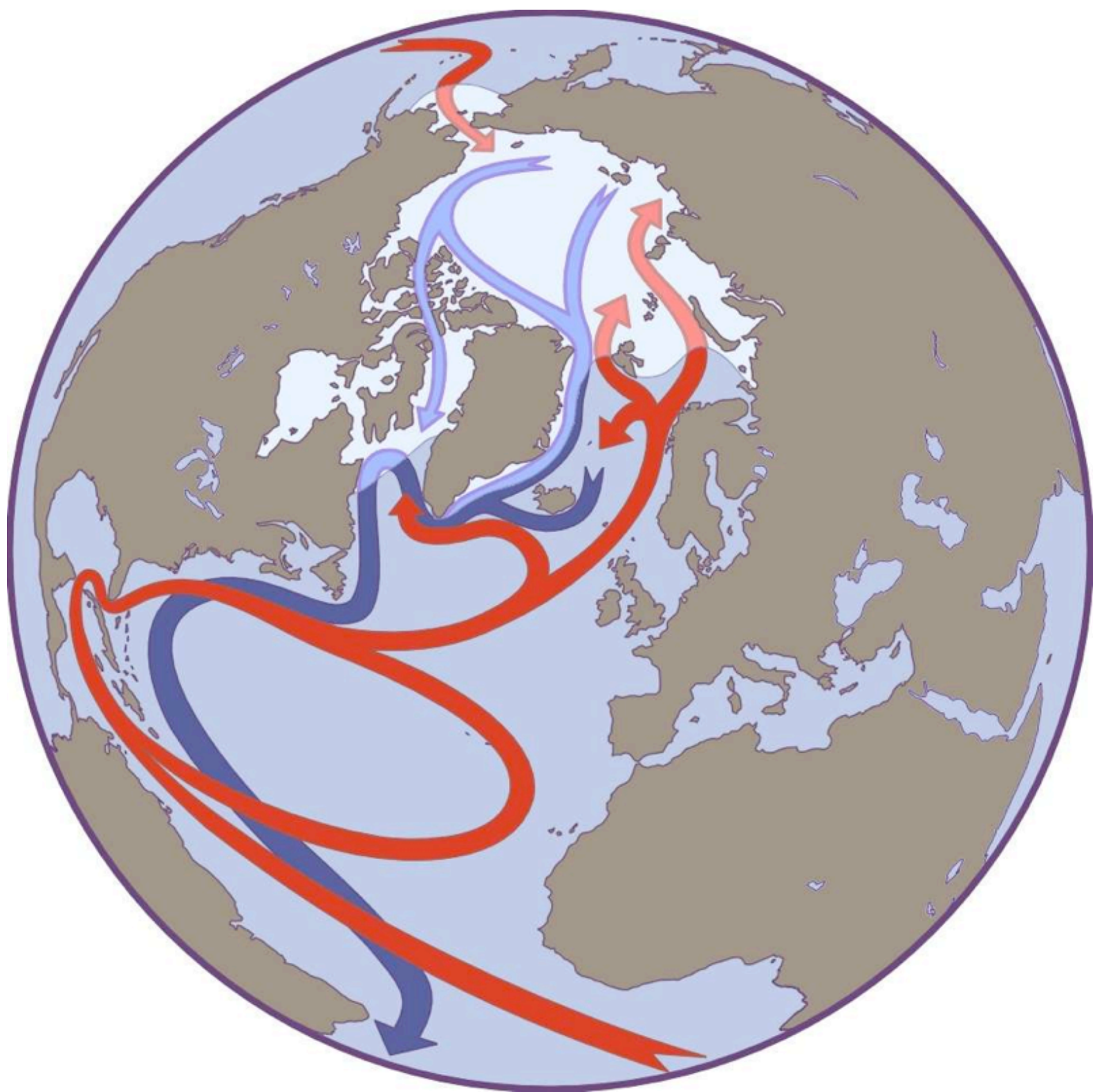
$= \Gamma \exp\left\{-\sum q_n^2 (\alpha + \beta q_n^2) |\psi_n - \hat{\psi}_n|^2\right\}$  where  $\hat{\psi}_n = \beta h_n / (\alpha + \beta q_n^2)$  or  $(\alpha/\beta - \nabla^2) \hat{\psi} = h$

If resolved scales are larger than  $\lambda = \sqrt{\beta/\alpha}$ , drop  $\nabla^2$  and simply  $\hat{\psi} = \lambda^2 h$

$\mathbf{K} \cdot (\mathbf{Y} - \mathbf{Y}^*)$  with  $\mathbf{Y}^* = -fL^2 \mathbf{D}$ ,  $\mathbf{K} = A \nabla^2$ : “neptune”

# The Global Ocean Conveyor Belt



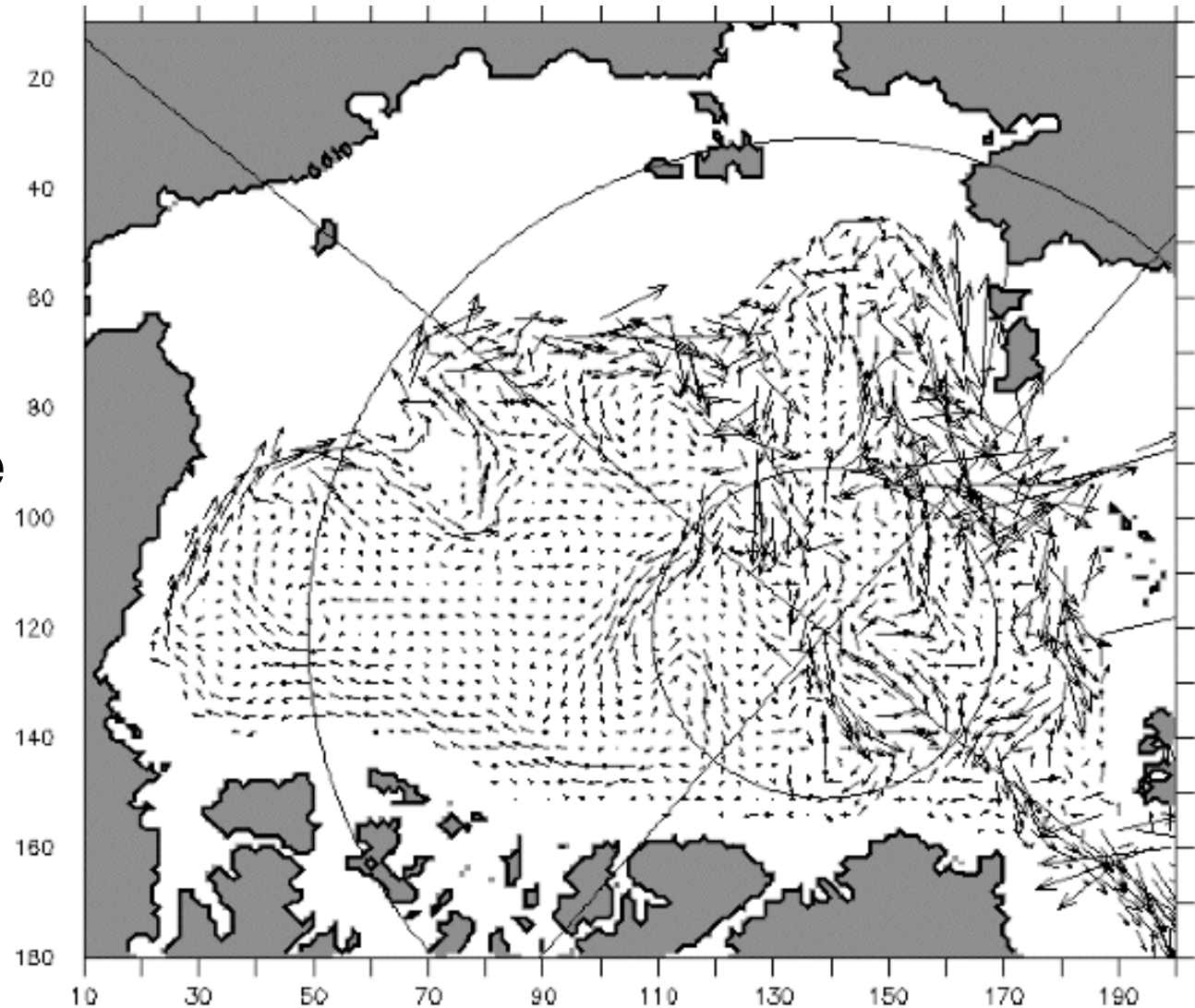


Arctic Ocean Models Intercomparison Project: To compare models, T and S are simple. Average, make heat or “freshwater” storage, etc. What to do about  $\mathbf{V}$ ?

Define “topostrophy”  
 $\tau \equiv \mathbf{f} \times \mathbf{V} \cdot \nabla D$ , a  
 scalar that averages  
 like T or S. Normalize

$$\tau \equiv \frac{\langle \mathbf{f} \times \mathbf{V} \cdot \nabla D \rangle}{\sqrt{\langle |\mathbf{f} \times \mathbf{V}|^2 \rangle \langle |\nabla D|^2 \rangle}}$$

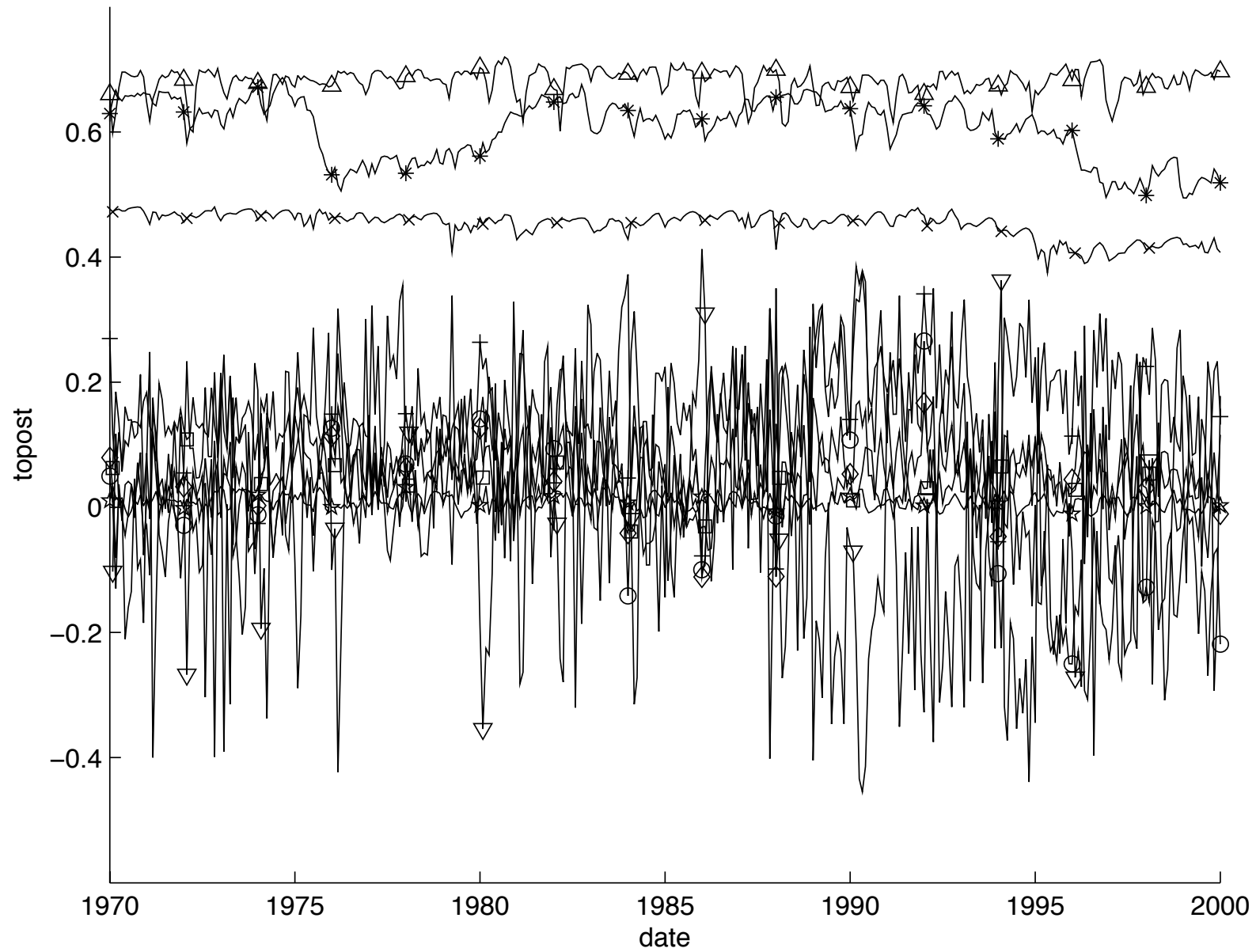
then  $-1 \leq \tau \leq +1$



Arctic observers refer to prevalent “cyclonic rim currents”, large  $+ \tau$

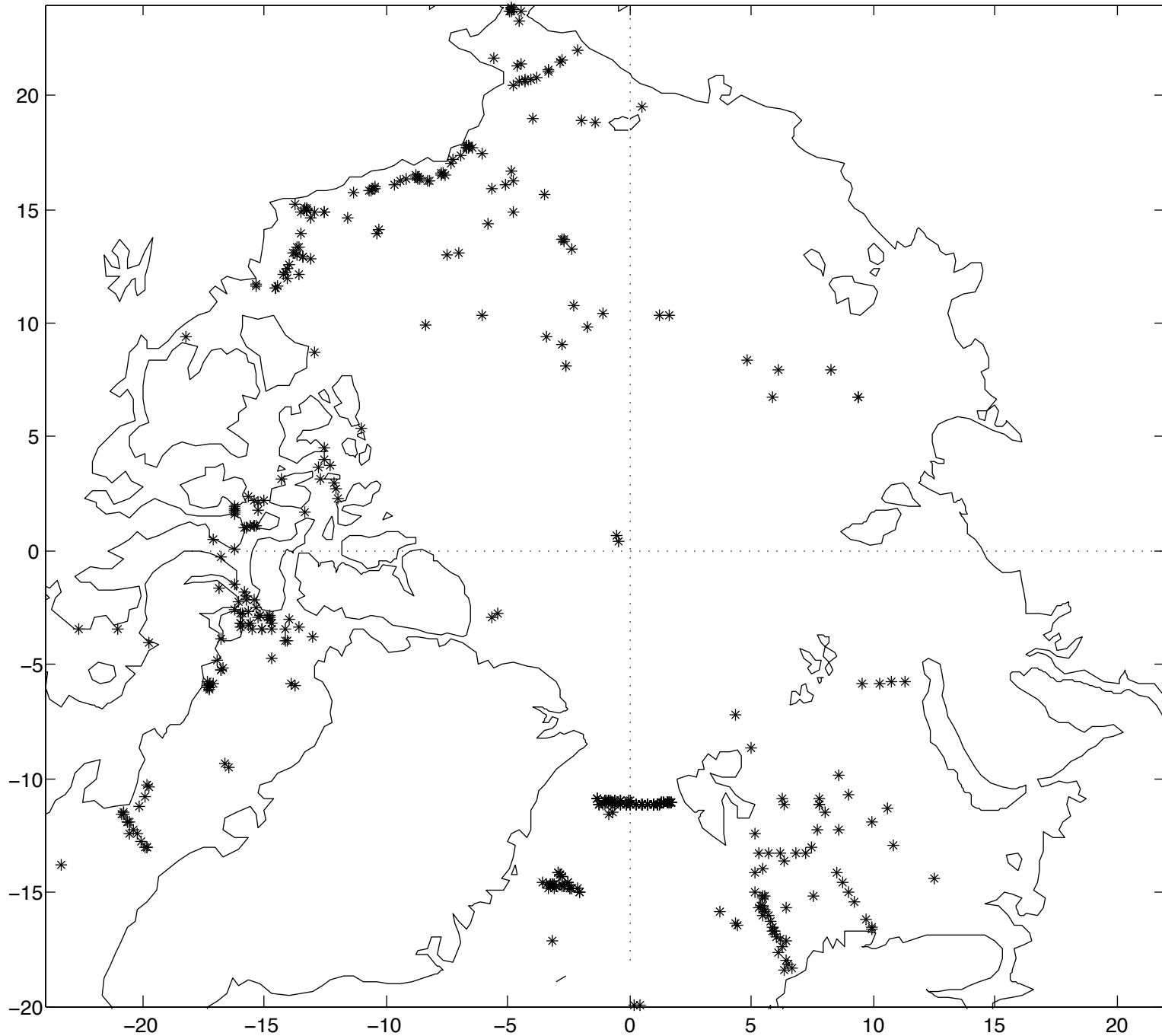
# Topostrophy averaged over Eurasian basin

Eurasian

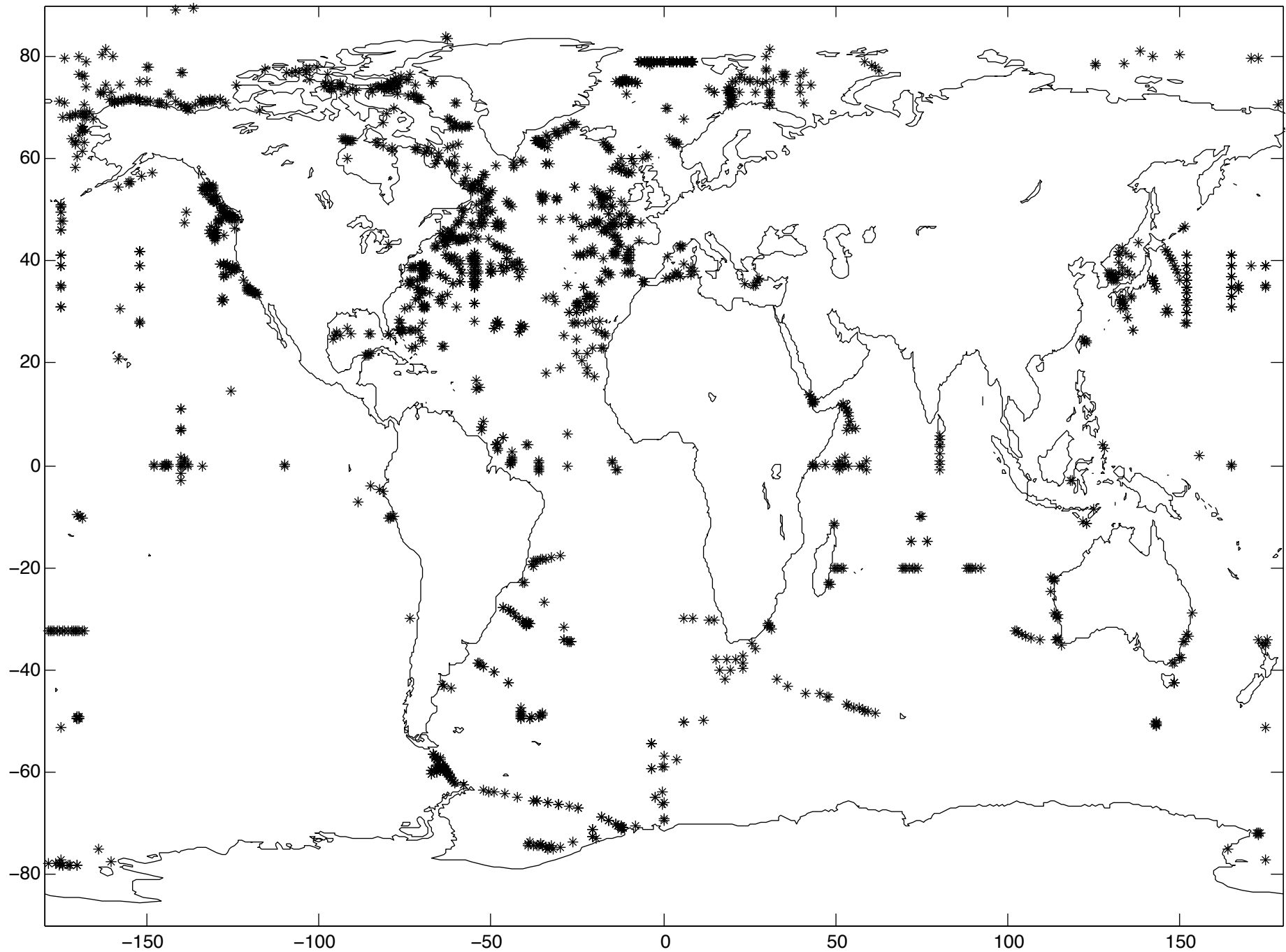


Interesting, but what is observed?

# Can we estimate topography from current meter records?

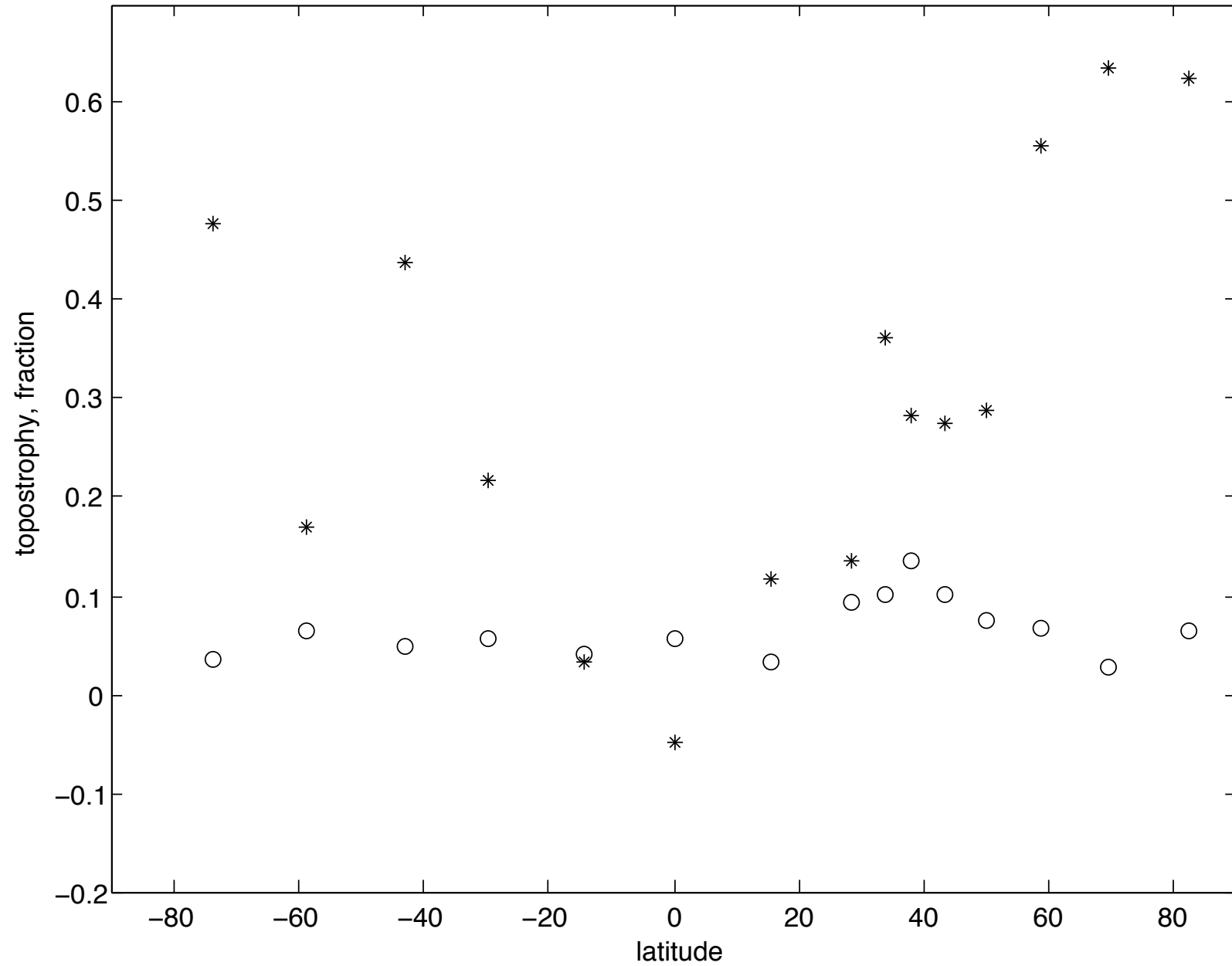


# 17120 CM records, 83087 months later ...

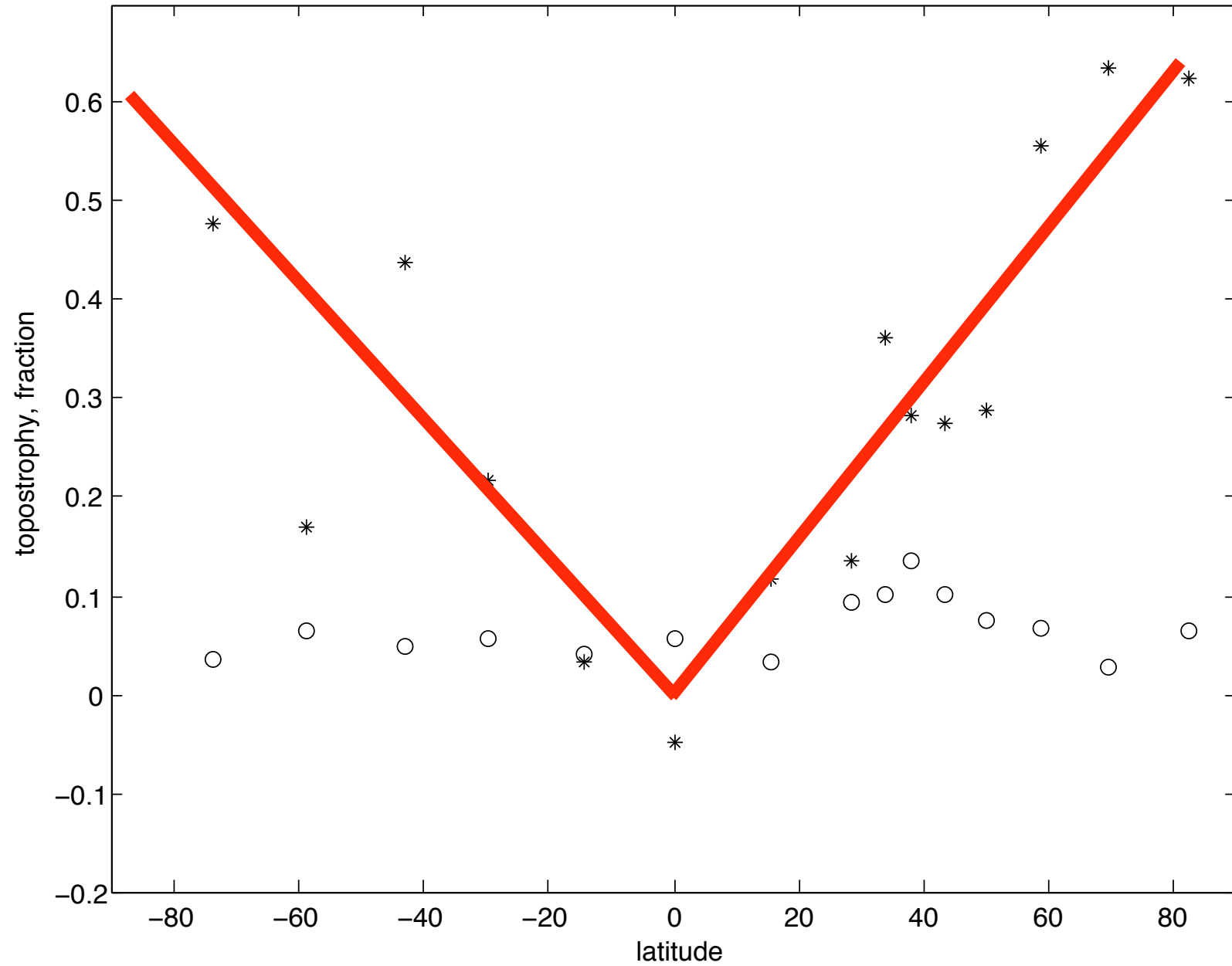




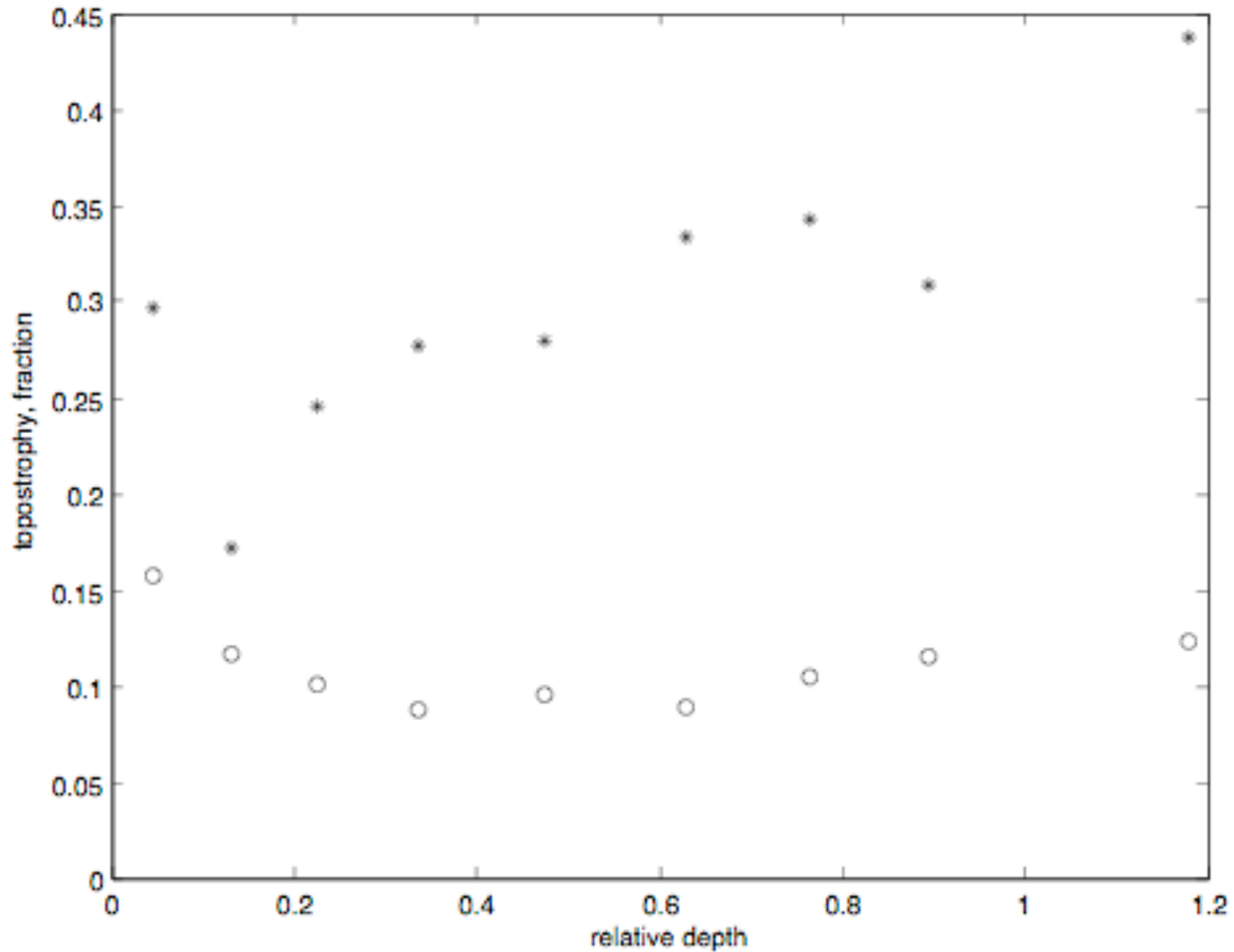
vs latitude nonuniform bins, here omitting top 500m



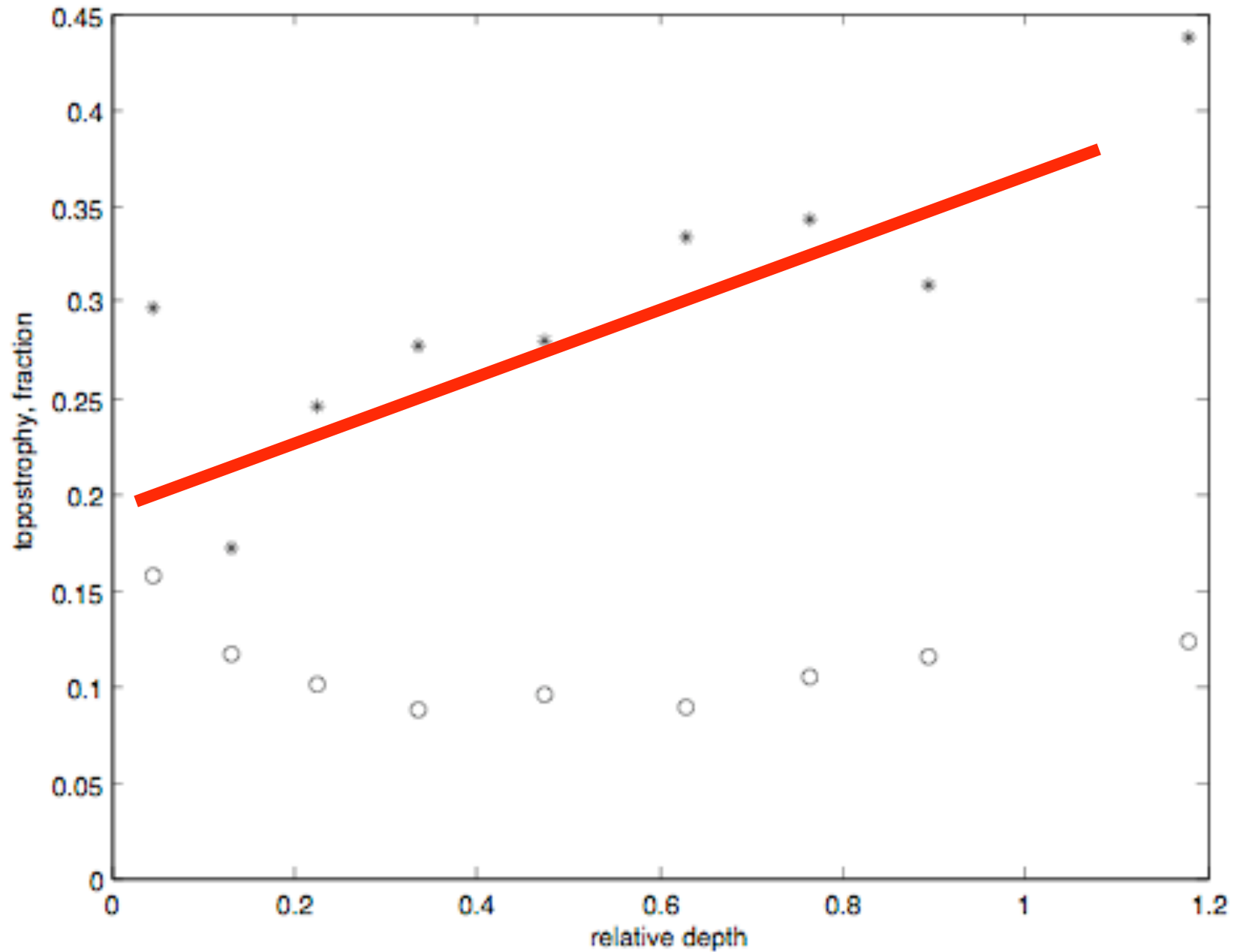
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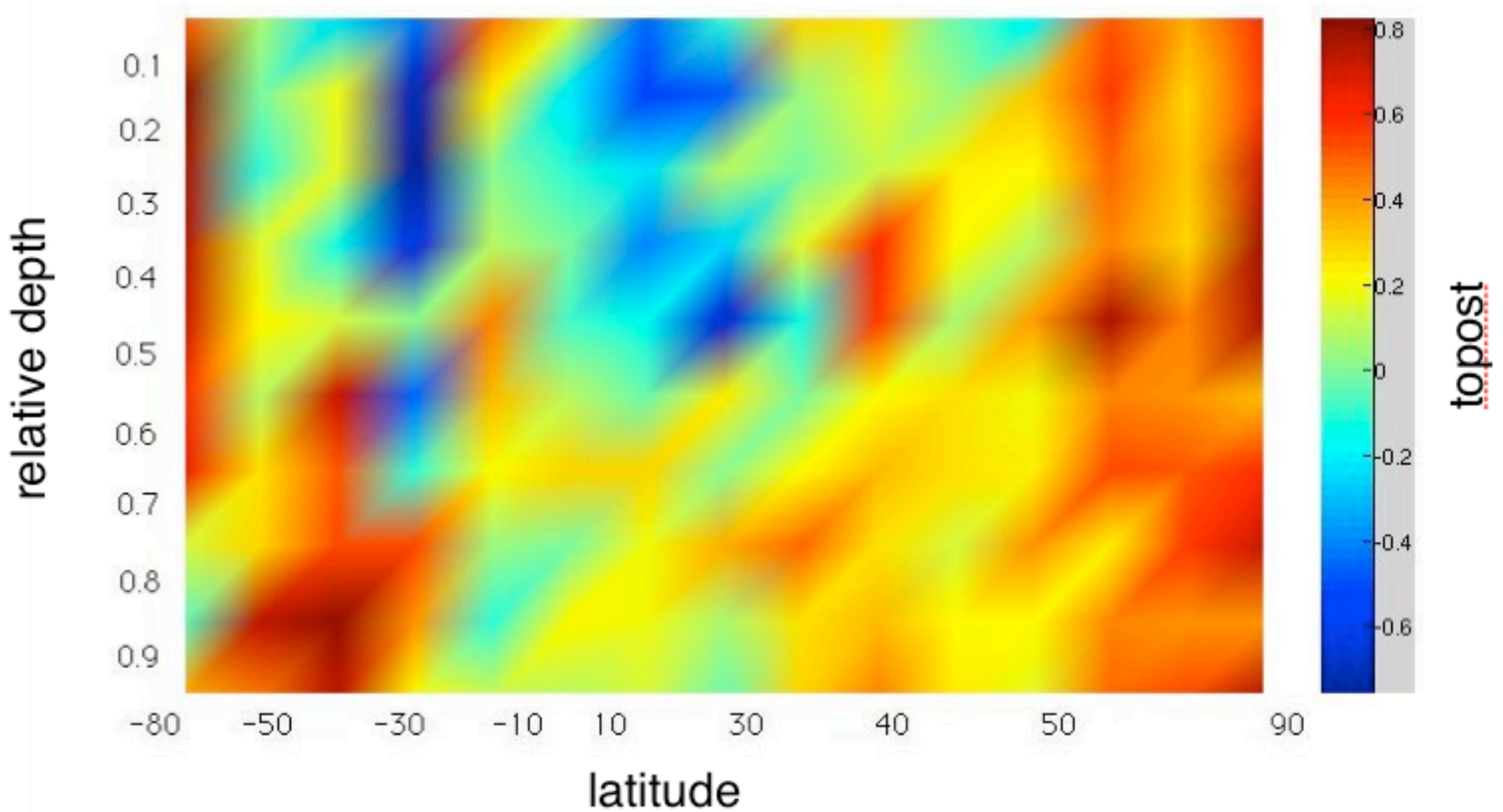
# All latitudes, vs. relative depth



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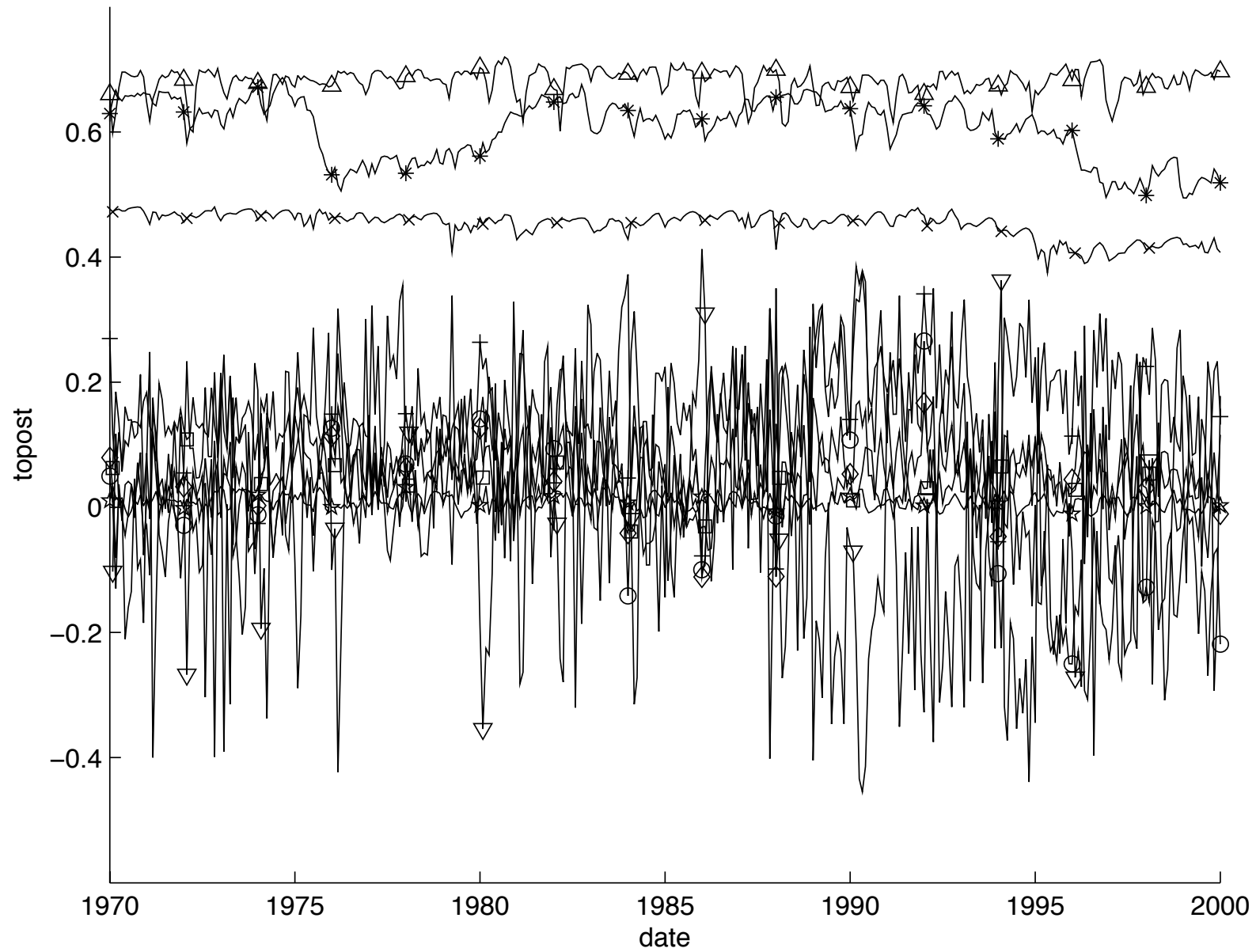


# Topostrophy vs. latitude and relative depth



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In plain words --

1) **entropy** ( $-\int \log(p) dp$ ) is “starved” at short scales

2) simplest enstrophy  $(\zeta + h)^2 = \zeta^2 + 2\zeta h + h^2$

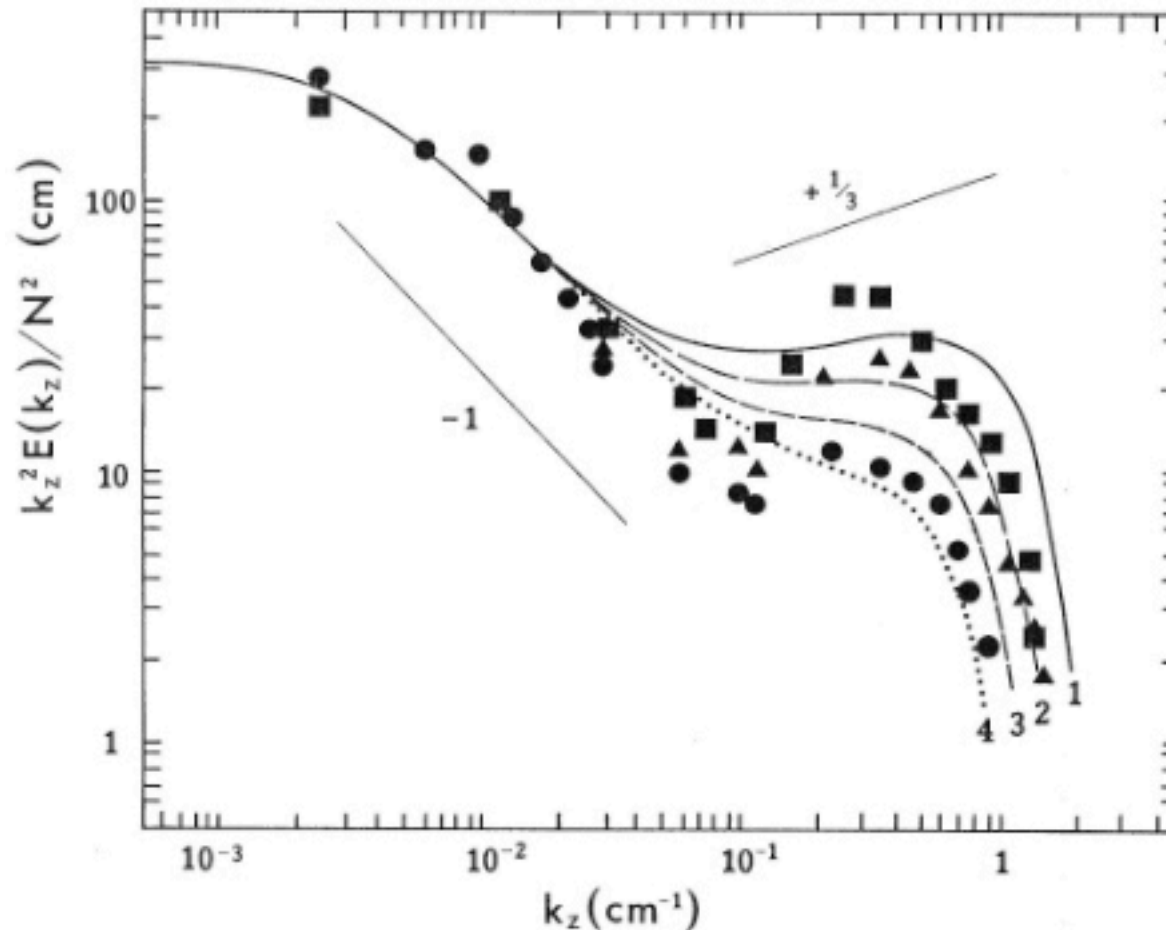
3) organizing a little  $\zeta h < 0$  (losing entropy)

4) generates  $\zeta^2$  (=short scales, gaining entropy)

5) hence “**entropic forcing**” drives  $\zeta \Rightarrow -h$

or  $\mathbf{V} \Rightarrow -\mathbf{f} \times \nabla D$  or  $\tau > 0$

change subject, change scale, change physics:



1. internal waves => “buoyancy range” => “turbulence” => dissip
2. where does downward buoyancy mixing occur?  
puzzle: persistent countergradient fluxes (“PCG”s) -- why?



one integral: total (KE + PE) energy = waves + vortical energy

$\mathbf{Y}^*$ : at each  $\alpha, \beta$  wave energy = 2x vortical, KE = 2x PE

with forcing & dissip, much more energy at low  $\alpha, \beta$

$\mathbf{C} \cdot \partial_{\mathbf{Y}} \mathbf{H}$  meets 2 demands: 1) transfer energy to high  $\alpha, \beta$

2) seek KE = 2x PE at each  $\alpha, \beta$

transfer depends on  $\theta_{kpq} = (\mu_k + \mu_p + \mu_q) / \left( (\mu_k + \mu_p + \mu_q)^2 + (\omega_k + \omega_p + \omega_q)^2 \right)$

$\mu \ll \omega$  see resonant wave interactions,  $\mu \gg \omega$  see turbulence

$\theta \approx \tau^{-1} / (\tau^{-2} + N^2)$  where  $\tau \approx \varepsilon^{-1/3} k^{-2/3} \Rightarrow D_U \approx \theta \tau^{-2} k^2 \Rightarrow U \approx N^2 k^{-3} + \varepsilon^{2/3} k^{-5/3}$

transfer of veloc variance (KE) is less efficient than tracer var (PE),

KE > 2xPE at lower  $\alpha, \beta$ , KE < 2xPE at higher  $\alpha, \beta$

vertical buoyancy flux  $F = \overline{w'b'}$  converts:  $\partial_t \text{KE} = -\partial_t \text{PE} = F$

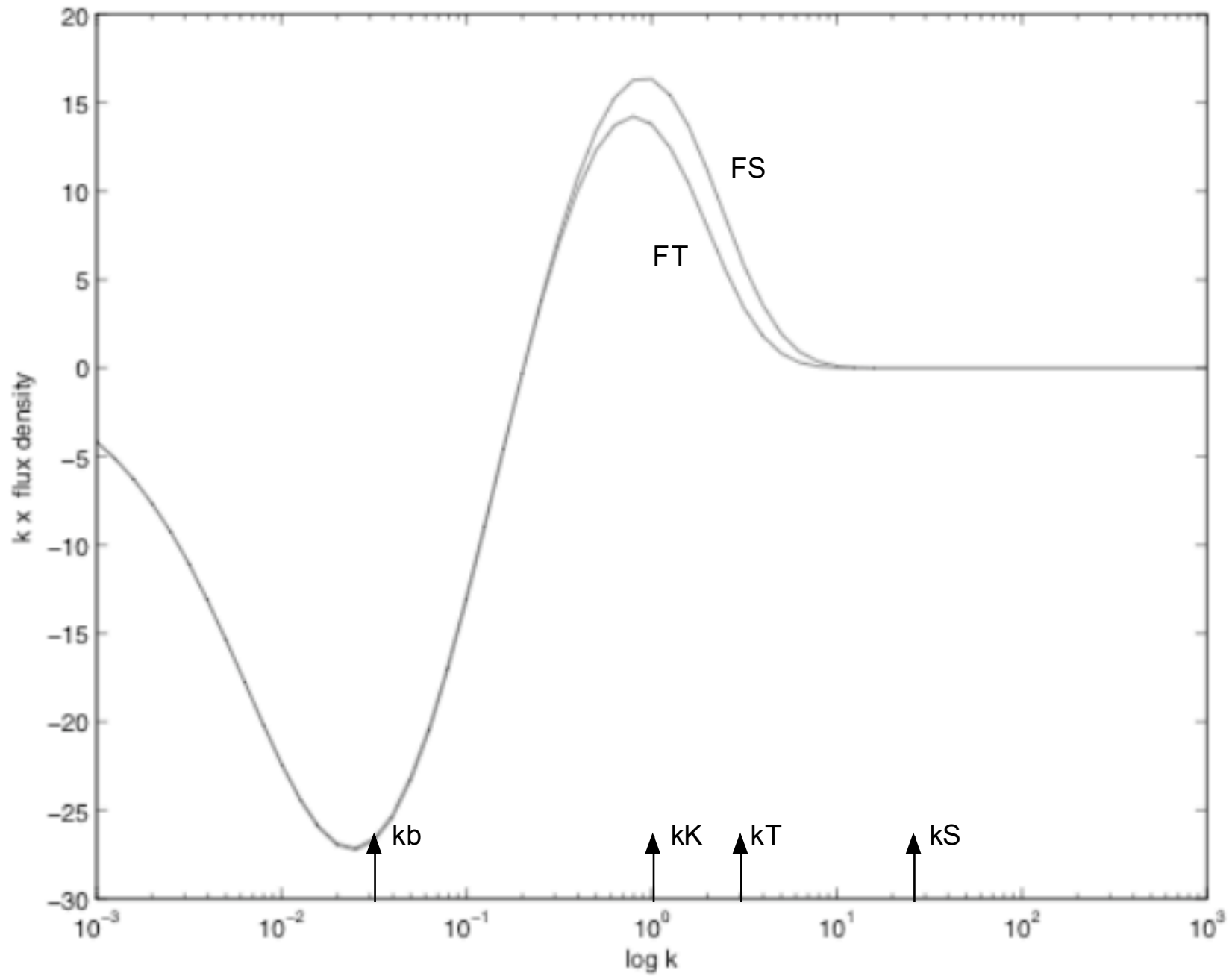


Figure 4. FT and FS corresponding to Fig. 3.

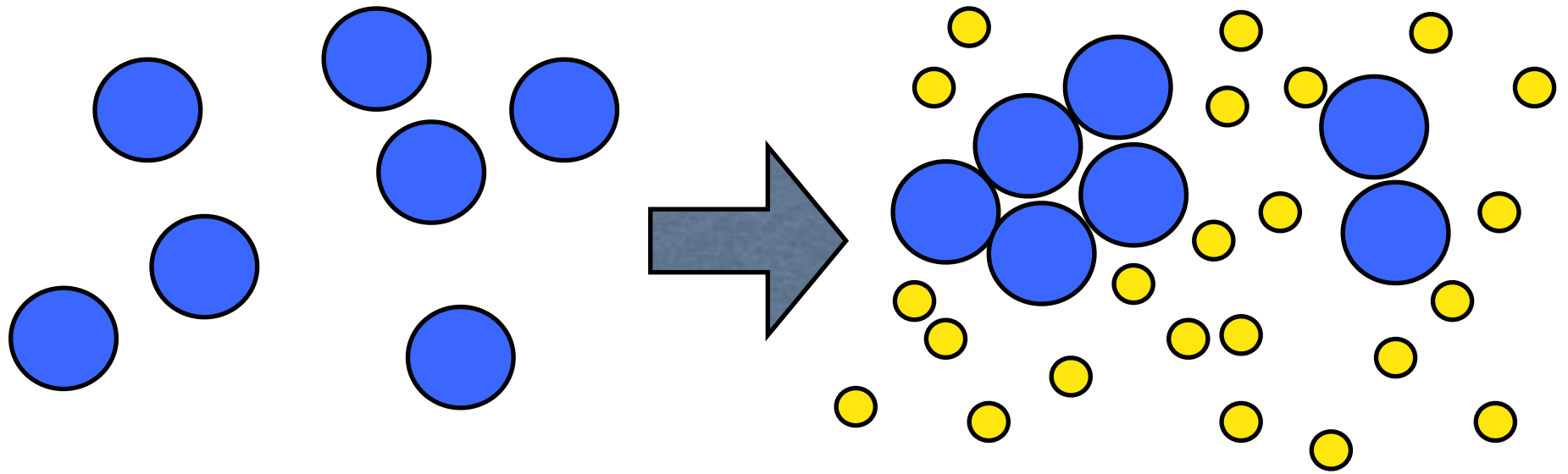
# Summary

1. See dependent variables as expectations
2. Entropy gradients force expectations
3. *E.g*: eddy forcing mean flow along slopes  
with secondary upwelling  
*E.g*: internal waves / vortical  $\Rightarrow$  mixing  
with persistent countergrad fluxes

# Outlook

1. Work at less fudge
2. Alternatives (max entropy production, ...?)
3. Further applications (sea ice, ...?)





Examples from nanoworld (colloids, ‘machines’, microbiol): The only explicit physics is repulsion among balls, and from walls. “See” attraction. “Entropic forcing” in the lab!

