



UNIVERSITY OF LEEDS

Graphene, Index Theorem and Topological Degeneracy

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Experimental verification of anyonic statistics with photons

- JKP
- Christian Schmid
- Witlef Wieczorek
- Reinhold Pohlner
- Nikolai Kiesel
- Harald Weinfurter

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xop

Kitaev's honeycomb lattice model

- Energy of vortices
- Energy of fermions
- Vortex interactions
- Breakdown of non-abelian topological phase
- $B = 0$ and $B \neq 0$

Frustration of cold atoms in optical lattices

- 1 Dim optical lattice that simulates a ladder
- Exactly solvable limits
- Breakdown of superfluidity for large tunneling couplings
- Fragmentation of superfluid

Chiral interactions in triangular lattices

$$H = - \sum_{\langle i,j \rangle} \vec{\sigma}_i \cdot \vec{\sigma}_j - \lambda \sum_{\langle i,j,k \rangle} \vec{\sigma}_i \cdot \vec{\sigma}_j \times \vec{\sigma}_k$$

- Ground state degeneracy
- Chiral spin liquid states
- ...

Quantum Information, Physics and Topology

- **Encoding and manipulating QI** in small physical systems is plagued by **decoherence** and **control errors**.
- **Error correction** can be employed to resolve this problem by using a (huge) overhead of qubits and quantum gates.
- An alternative method is to employ **intrinsically error protected systems** such as **topological** ones => properties are described by integer numbers!
protected by macroscopic properties: hard to destroy.
- E.g. you can employ system with **degenerate ground states**:

- Make sure **degeneracy is protected by topological properties** (V)
- Make sure degenerate states are **locally indistinguishable** (X?)
- Encode information in these **degenerate levels**



TOPOLOGICAL DEGENERACY



Anyons

for your great kindness in the matter of the
names respecting which I applied to you; but
I hoped to have met you last Saturday at
Kensington and therefore delayed expressing my
obligations

I have taken your advice and the names
used are anode cathode anions cations
and ions the last I shall have but little
occasion for. I had some hot objections made
to them here and found myself very much
in the condition of the man with his son and
Sp who tried to please every body; but when

Letter from Faraday to Whewell (1834)

Overview

- **Graphene:** two dimensional layer of graphite –honeycomb lattice of C atoms
 - Fullerene: C60, C70
 - Nanotubes
- Conducting properties of these materials: zero energy modes.
- Can be used as miniaturized elements of circuits.

- **Index theorem (Atiyah-Singer)**

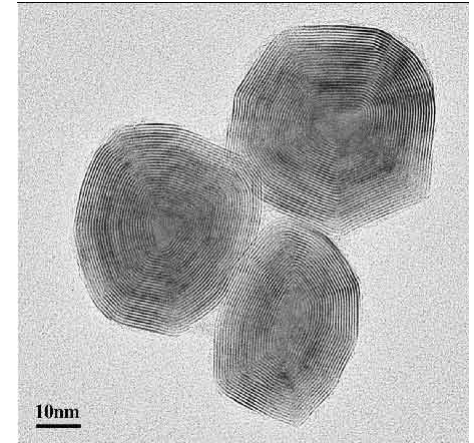
- Smooth, orientable, compact, Riemannian manifolds, M , with genus, g .
- Define elliptic operator D on M . Includes curvature and gauge fields.
- The **index theorem** relates the **number of zero energy modes** with α

- **Conductivity** on top of a cylinder $N=2$
- Zero modes provide **degeneracy** of ground state: G zero modes $\Rightarrow 2^G$ deg.
- **Topological computation**
 - Kitaev
 - Honeycomb lattice (same as graphene, but with four fermions)

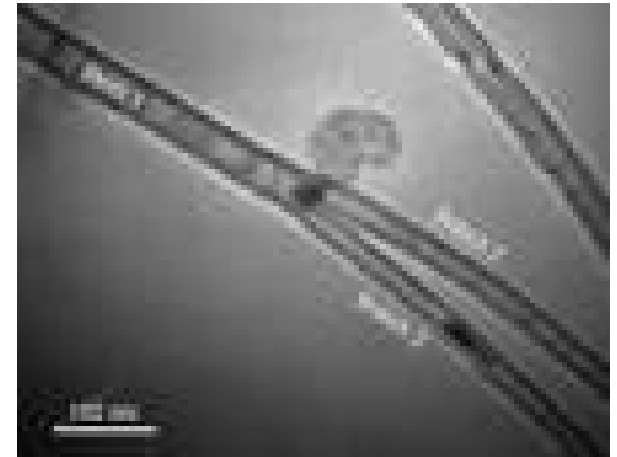
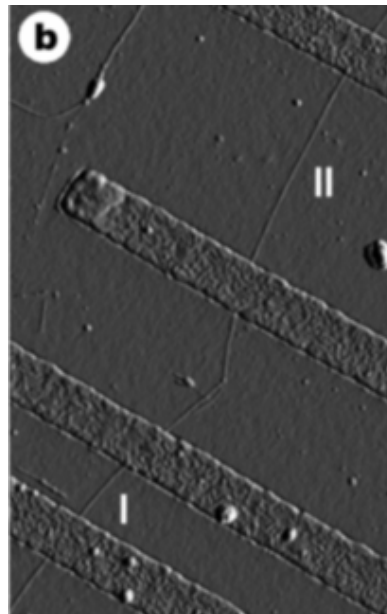
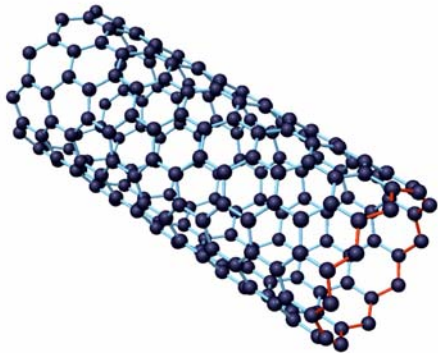
$g=0$ $g=1$ $g=2$

Different geometries of Graphene

Fullerene (C₆₀):



Nanotubes:



Graphene: structure

The Hamiltonian of graphene is given by

$$H = -t \sum_{\langle i,j \rangle} a_i^+ a_j = -\frac{t}{2} \sum_{\langle i,j \rangle} (a_i^+ b_j + b_j^+ a_i)$$

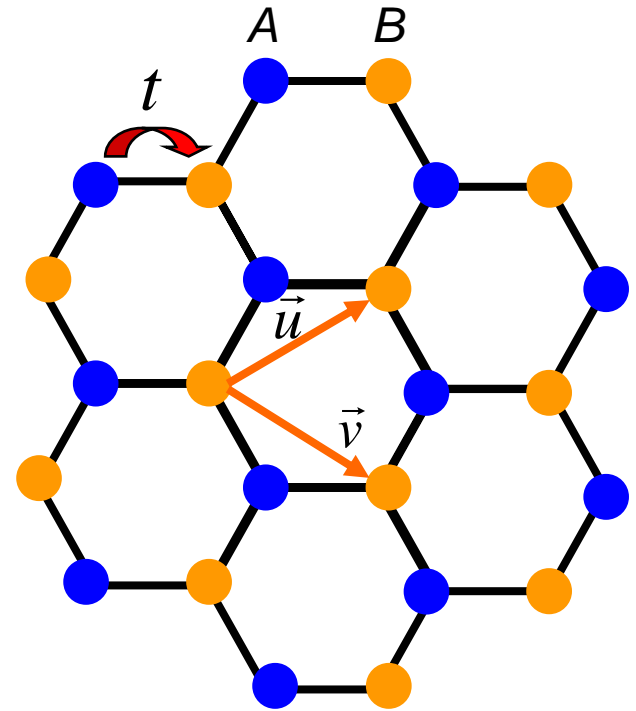
a_i fermionic modes

Fourier transformation:

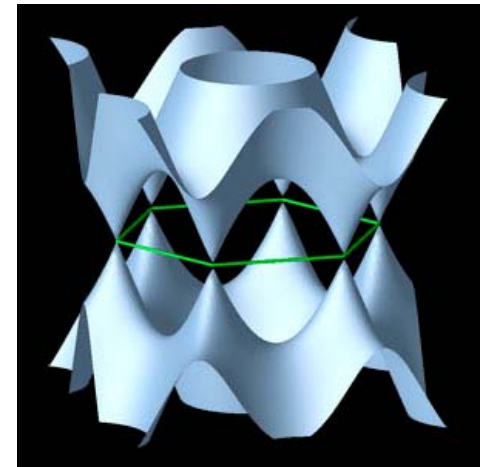
$$H_{\vec{k}} = \begin{pmatrix} 0 & -t(1 + e^{-i\vec{k}\cdot\vec{u}} + e^{-i\vec{k}\cdot\vec{v}}) \\ -t(1 + e^{i\vec{k}\cdot\vec{u}} + e^{i\vec{k}\cdot\vec{v}}) & 0 \end{pmatrix}$$

$$E(\vec{k}) = \pm t \sqrt{3 + 2 \cos \vec{k} \cdot \vec{u} + 2 \cos \vec{k} \cdot \vec{v} + 2 \cos \vec{k} \cdot (\vec{u} - \vec{v})}$$

Fermi points: $E(k)=0$



$E(k_x, k_y):$



Graphene: structure

$$E(\vec{k}) = \pm t \sqrt{3 + 2 \cos \vec{k} \cdot \vec{u} + 2 \cos \vec{k} \cdot \vec{v} + 2 \cos \vec{k} \cdot (\vec{u} - \vec{v})}$$

Linearise energy $E(\vec{k})$ around a conical point,

$$\vec{k} = \vec{K} + \vec{p}$$

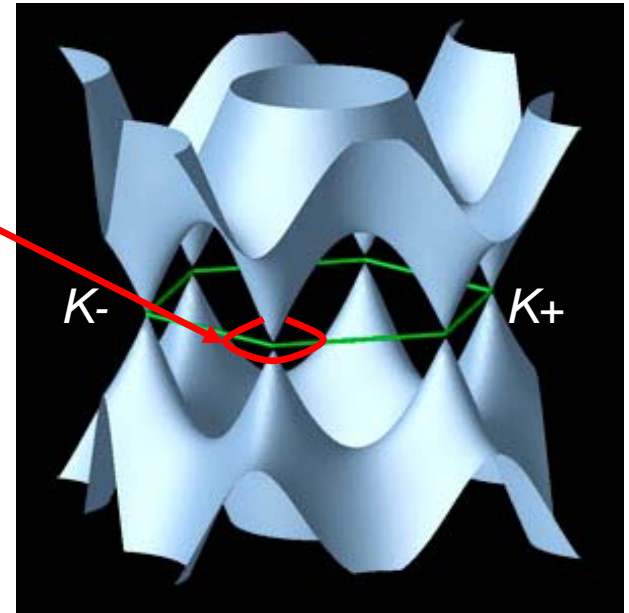
$$H_{\vec{p}} \approx \pm \frac{3t}{2} \begin{pmatrix} 0 & p_x + ip_y \\ p_x - ip_y & 0 \end{pmatrix} = \pm \frac{3t}{2} \vec{\sigma} \cdot \vec{p}$$

Relativistic Dirac equation at the tip of a pencil!

Two types of spinors: $\begin{pmatrix} |K_+, A\rangle \\ |K_+, B\rangle \end{pmatrix}, \begin{pmatrix} |K_-, A\rangle \\ |K_-, B\rangle \end{pmatrix}$

K_{\pm} are the Fermi points and A and B are the two triangular sub-lattices

Note: σ^z rotation maps to states with the same energy, but opposite momenta



Graphene: curvature

To introduce curvature:

cut $\pi/3$ sector and reconnect sites.

This creates a single **pentagon** with no other deformations present.

Results in a **conical configuration**.

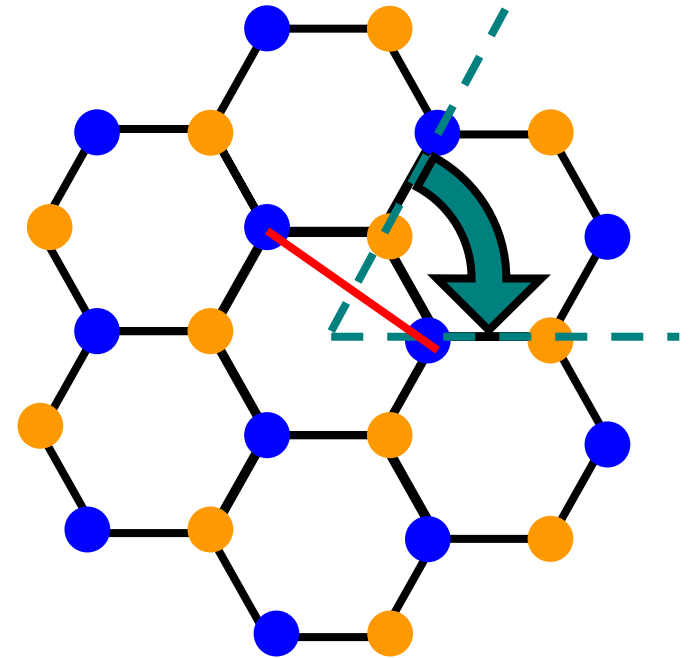
To preserve continuity of the spinor field when circulating the pentagon one can introduce **two additional fields**:

-Spin connection Q: $\oint Q_\mu dx^\mu = -\frac{\pi}{6} \sigma^z$

Mixes A and B components

-Non-abelian gauge field, A: $\oint A_\mu dx^\mu = -\frac{\pi}{2} \tau^y$

Mixes + and - spinors



Resulting 4x4 Dirac equation can be decoupled by simple rotation to a pair of 2x2 Dirac equations (k=1,2):

elliptic operator

$$\frac{3t}{2} \sum_{\mu} \gamma^{\mu} (p_{\mu} - iQ_{\mu} - iA_{\mu}^k) \psi^k = E \psi^k$$

$$\oint A_{\mu}^k dx^{\mu} = \pm \frac{\pi}{2}$$

Graphene: curvature

$$\frac{3t}{2} \sum_{a,\mu} \gamma^\mu (p_\mu - iQ_\mu - iA_\mu^k) \psi^k = E \psi^k$$

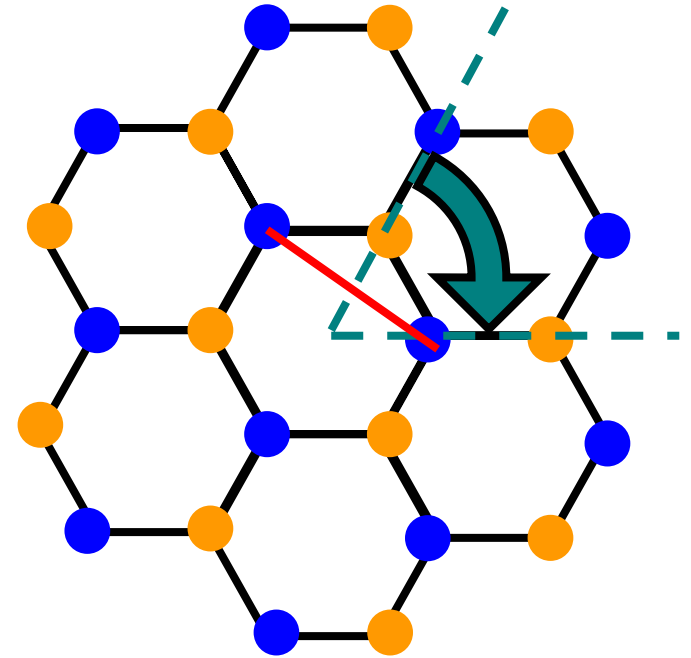
$$F_{\mu\nu}^k = \partial_\mu A_\nu^k - \partial_\nu A_\mu^k$$

$$\gamma^\mu = e_a^\mu \gamma^a, \quad g^{\mu\nu} = e_a^\mu e_b^\nu \eta^{ab}$$

$$\Gamma_{\mu\nu}^\sigma = \frac{1}{2} g^{\sigma\rho} (\partial_\mu g_{\nu\rho} + \partial_\nu g_{\mu\rho} - \partial_\rho g_{\mu\nu})$$

$$R_{\nu\rho\sigma}^\mu = \partial_\sigma \Gamma_{\nu\rho}^\mu - \partial_\rho \Gamma_{\nu\sigma}^\mu + \Gamma_{\nu\rho}^\lambda \Gamma_{\lambda\sigma}^\mu - \Gamma_{\nu\sigma}^\lambda \Gamma_{\lambda\rho}^\mu$$

$$R_{\mu\nu} = R_{\mu\nu\rho}^\rho, \quad R = g^{\mu\nu} R_{\mu\nu}$$



Continuous limit: Small energies => large wavelengths =>
insensitive to lattice spacing, conical singularity,...

Index Theorem

Consider operators, $P, P^+ \quad V_+ \xrightarrow{P} V_-, \quad V_- \xrightarrow{P^+} V_+$

For $\lambda \neq 0, \quad P^+ P u = \lambda u \Rightarrow (P P^+) P u = \lambda P u$

Define (Dirac op.) $D = \begin{pmatrix} 0 & P^+ \\ P & 0 \end{pmatrix}, \quad D^2 = \begin{pmatrix} P^+ P & 0 \\ 0 & P P^+ \end{pmatrix}$

non-zero modes come in pairs

Same number of zero modes as D

Define operator: $\gamma_5 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ with eigenvalues +1, -1 for V_+, V_-

Consider ν_+, ν_- the dimension of the **null** subspace of V_+, V_-

Then
$$\text{Tr}(\gamma_5 e^{-tD^2}) = \sum_{Sp(P^+ P)} e^{-t\lambda^2} - \sum_{Sp(P P^+)} e^{-t\lambda^2} = \nu_+ - \nu_- \equiv \text{index}(D)$$

Non-zero eigenvalues cancel in pairs.

Expression is t independent.

Index Theorem

\mathbb{D} can describe a general 2-dimensional **Dirac** operator defined over a **compact** surface coupled with a gauge field.

One can evaluate that

$$\mathbb{D}^2 = \underbrace{-g^{\mu\nu}}_{\text{metric}} \underbrace{\nabla_\mu \nabla_\nu}_{\text{covariant derivative}} + \frac{i}{4} \underbrace{[\gamma^\mu, \gamma^\nu]}_{\text{gauge field}} F_{\mu\nu} - \frac{1}{4} \underbrace{R}_{\text{curvature scalar}}$$

Heat kernel expansion (2-dims):

$$\text{Tr}(f e^{-tD}) = \frac{1}{4\pi t} \sum_{k \geq 0} t^{k/2} a_k(f, D)$$

For $f = \gamma_5$, $D = \mathbb{D}^2$ the only non-zero coefficient is

$$a_2 = \text{Tr} \left\{ \gamma_5 \left(\frac{i}{4} [\gamma^\mu, \gamma^\nu] F_{\mu\nu} - \frac{1}{4} R \right) \right\} = 2 \iint F \Rightarrow \text{Tr}(\gamma_5 e^{-t\mathbb{D}^2}) = \frac{1}{2\pi} \iint F$$

Index Theorem

We have

$$\text{Tr}(\gamma_5 e^{-tD^2}) = \sum_{\text{Sp}(P^+P)} e^{-t\lambda^2} - \sum_{\text{Sp}(PP^+)} e^{-t\lambda^2} = \nu_+ - \nu_- \equiv \text{index}(D)$$

Also

$$\text{Tr}(\gamma_5 e^{-tD^2}) = \frac{1}{2\pi} \iint F_{xy} d^2x$$

The **Index theorem** states: *for a (compact) manifold...*

$$\text{index}(D) = \nu_+ - \nu_- = \frac{1}{2\pi} \iint F$$

integer!

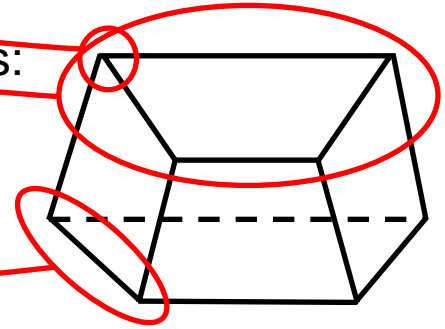
It is a **topological number**: small deformations do not change its value.

From this theorem you can obtain the **least number of zero modes**. The exact number is obtained if ν_+ or ν_- is equal to zero.

Index Theorem: Euler characteristic

Euler characteristic for lattices on “smooth” surfaces:

$$\chi = V - E + F = 2(1 - g) - N$$



Consider folding of graphene in a compact manifold. The **minimal** violation is obtained by insertion of **pentagons** or **heptagons** that contribute positive or negative curvature respectively. Consider

- n_5 number of pentagons
- n_6 number of hexagons
- n_7 number of heptagons



$$V = (5n_5 + 6n_6 + 7n_7) / 3$$

$$E = (5n_5 + 6n_6 + 7n_7) / 2$$

$$F = n_5 + n_6 + n_7$$

From the Euler characteristic formula:

$$n_5 - n_7 = 6\chi = 12(1 - g) - 6N$$

Fullerenes: $g = 0, N = 0 \Rightarrow n_5 = 12$

Nanotubes: $g = 0, N = 2 \Rightarrow n_5 - n_7 = 0$

Index Theorem: Graphene application

$$\iint F = \oint A \qquad \frac{1}{2\pi} \left(\pm \frac{\pi}{2} \right) (n_5 - n_7) = \pm \frac{3}{2} \chi$$

Stokes's theorem

$$\text{index}(D) = \nu_+ - \nu_- = \frac{1}{2\pi} \iint F$$

Thus, one obtains:

$$\nu_+ - \nu_- = \begin{cases} \frac{3}{2} \chi, & \text{for } k = 1 \\ -\frac{3}{2} \chi, & \text{for } k = 2 \end{cases}$$

Least number of zero modes:

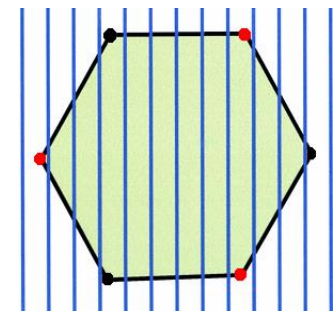
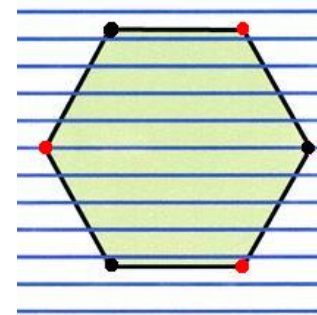
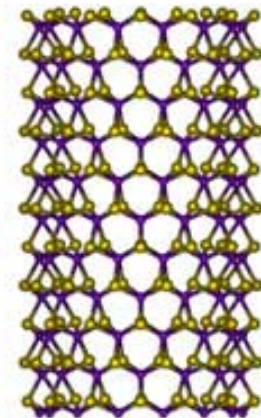
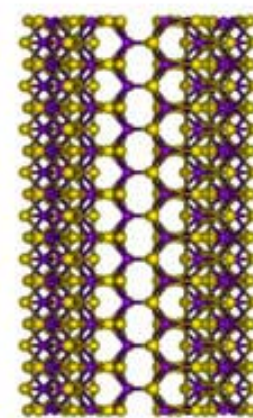
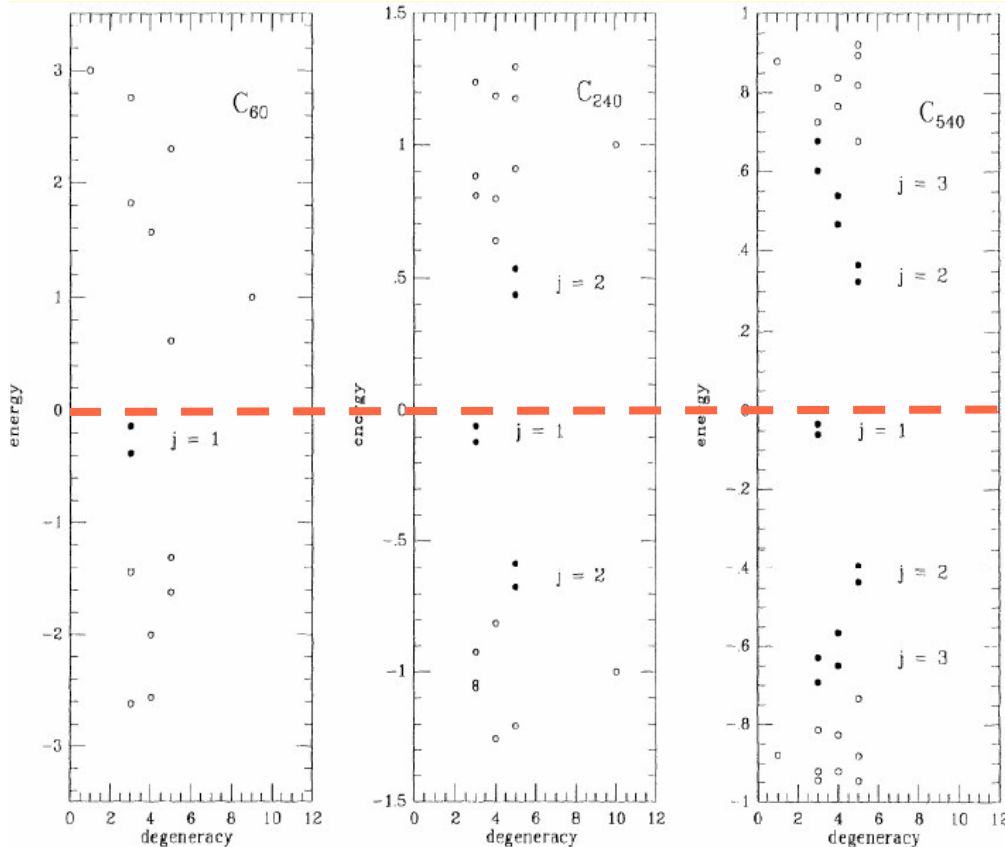
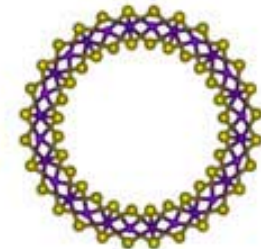
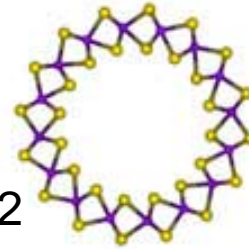
$$3\chi = 6|1 - g| + 3N$$

Index Theorem: Graphene application

$$\text{index}(D) = \nu_+ - \nu_- = 6|1 - g| + 3N$$

C60: $g=0$, $N=0$

Nanotubes: $g=0$, $N=2$



Zero mode pairs

No zero modes

Ultra-cold Fermi atoms and optical lattices

Single species ultra cold **Fermi atoms** superposed by **optical lattices** that form a hexagonal lattice.

[Duan *et al.* Phys. Rev. Lett. 91, 090402 (2003)]

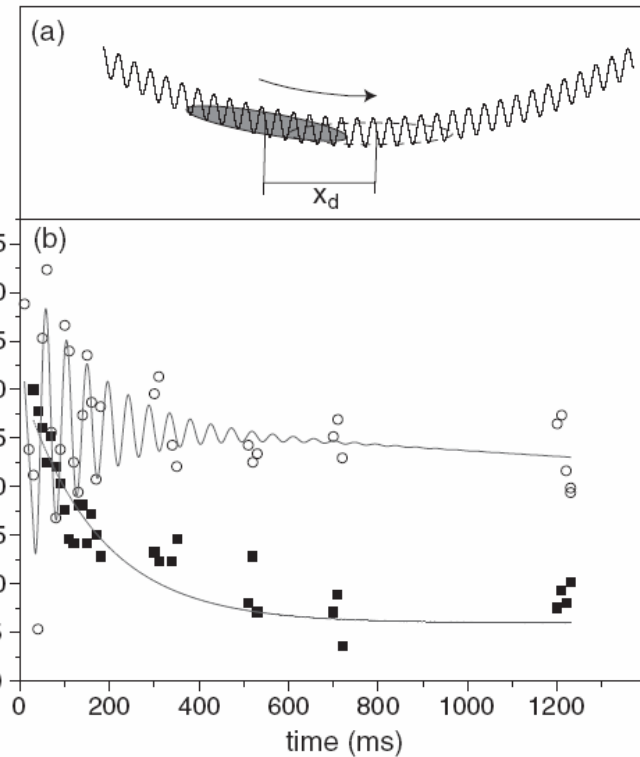
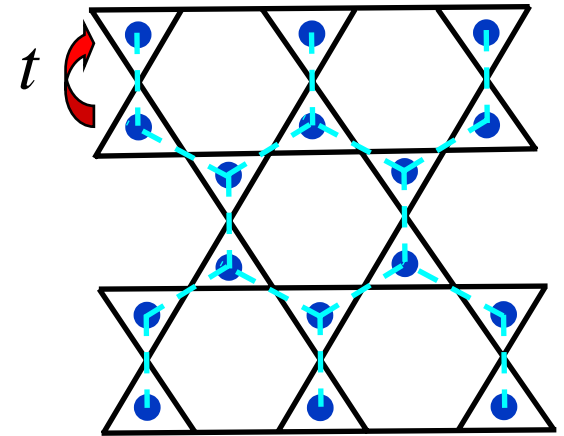
- Very low temperatures: $T \sim 0.1 T_F$
- Arbitrary filling factors: e.g. $1/2$

See dependence of conductivity on **disorder**, **impurities** and lattice **defects**: e.g. insert pentagons at the edge of the lattice or effect of empty sites.

Similar **index theorem** can be devised for open boundary conditions.

Measurement of conductivity in Fermi lattices has already been performed in the laboratory:

[Ott *et al.* Phys. Rev. Lett. 92, 160601 (2004)]



Conclusions

- **Index Theorem** for various graphene configurations.
- Agrees well with known models of **fullerenes** and **nanotubes**.
- Gives conductivity properties for **more complex models**:
sideways connected nanotubes.
- Predicts **stability** of spectrum under small deformations.
- Relate to **topological models**:
 - obtain **topologically related degeneracy**: $2^{6|1-g|+3N}$
 - encode and manipulate **quantum information**.
 - apply **reverse engineering** to find new models with specific degeneracy properties.
- Related experiments with **ultra-cold Fermi atoms** can give insight to the properties of graphene. May be easier to implement than solid state setup.

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