

# Quantum Criticality and Fermi Surfaces



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*Rice University*



*KITP, April 12, 2007*



- Brief overview of heavy fermion quantum criticality
- Fermi surfaces of Kondo lattice
  - Kondo effect in the presence of AF order
  - Global phase diagram

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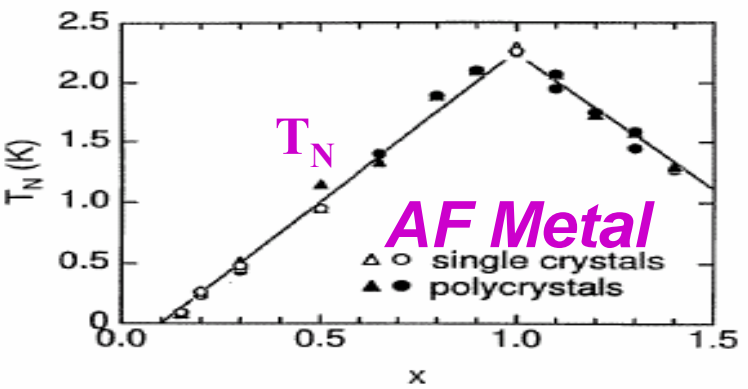
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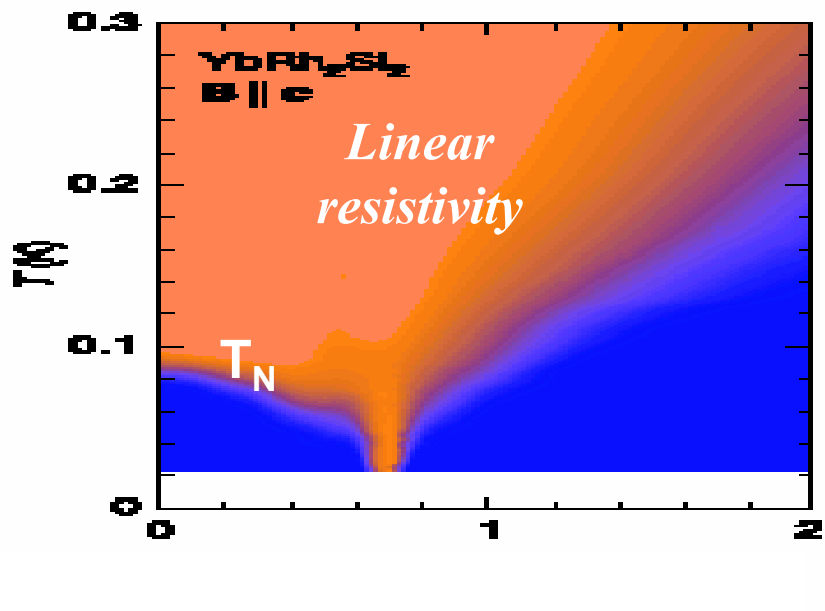
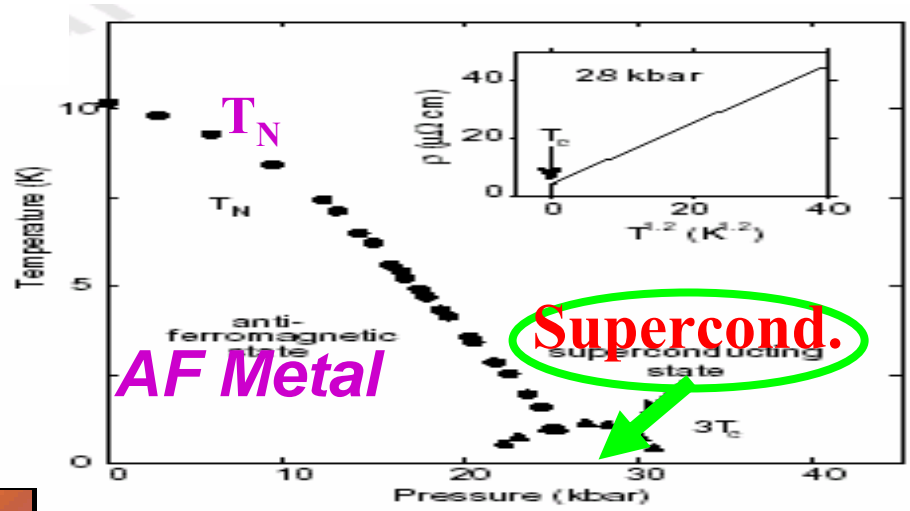
**P. Coleman**

# Heavy fermions near a magnetic QCP:

**CeCu<sub>6-x</sub>Au<sub>x</sub>** H. v. Löhneysen et al, PRL 1994



**CePd<sub>2</sub>Si<sub>2</sub>** N. Mathur et al, Nature 1998



**YbRh<sub>2</sub>Si<sub>2</sub>** J. Custers et al, Nature 2003

B (T)

# T=0 spin-density-wave transition

$m(\mathbf{x}, \tau)$

order parameter fluctuations  
in space and (imaginary) time

$$d_{\text{eff}} = d + z > 4,$$

*Gaussian*



no  $\frac{\omega}{T}$  scaling

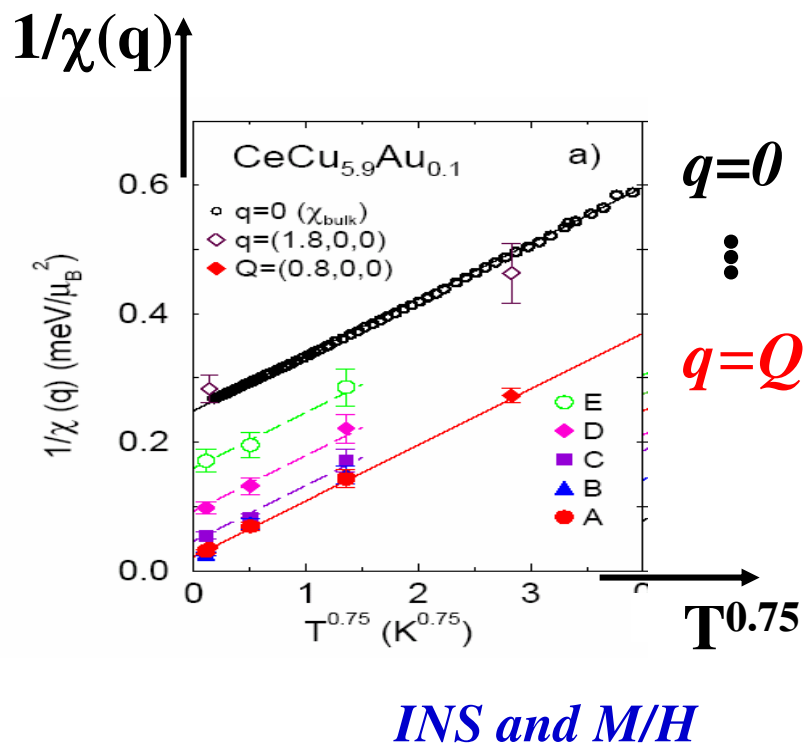
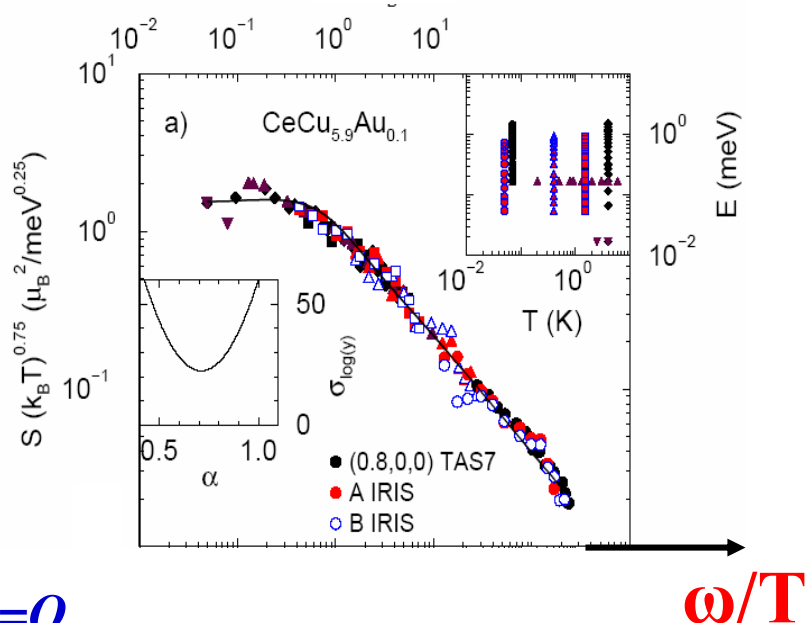
*MF exponent*

# Dynamical and Static Susceptibilities in $\text{CeCu}_{5.9}\text{Au}_{0.1}$

- $\omega/T$  scaling

- Fractional exponent  $\alpha=0.75$

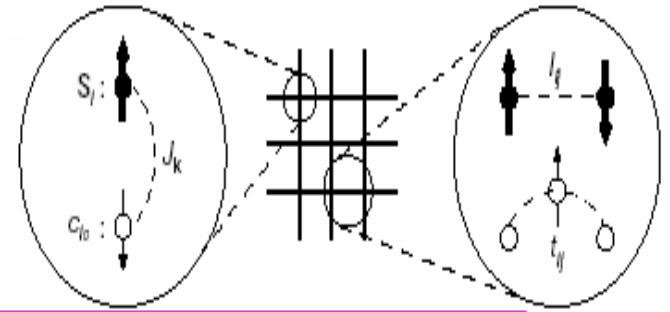
- $\alpha=0.75$  'everywhere' in  $\mathbf{q}$ .



*A. Schröder et al., Nature '00; PRL '98;*

*O. Stockert et al., PRL '98; M. Aronson et al., PRL '95*

# • Kondo lattices:



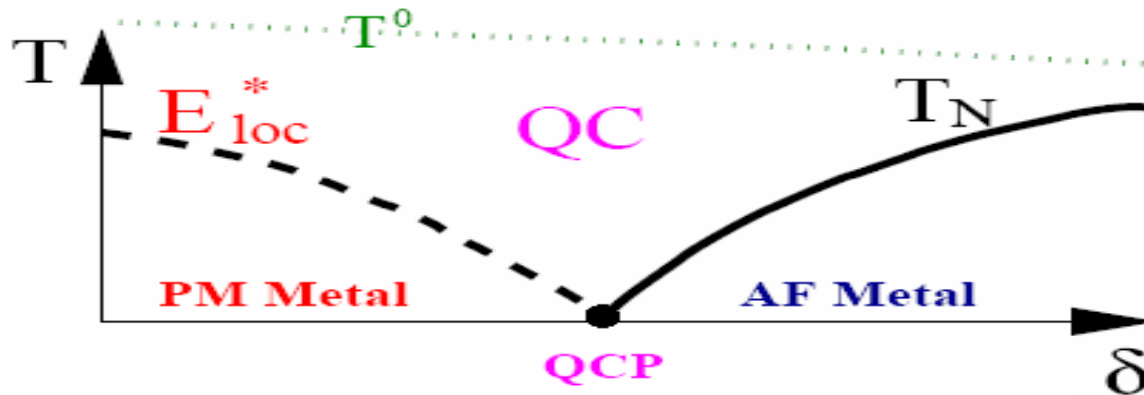
$$\begin{aligned}
 \mathcal{H} = & \sum_{ij,a} I_{ij}^a S_i^a S_j^a \\
 & + \sum_{ij,\sigma} t_{ij} c_{i\sigma}^\dagger c_{j\sigma} + \sum_{i,a} J_K^a S_i^a s_{c,i}^a
 \end{aligned}$$

Historical development of heavy Fermi liquid:

Single-impurity: Anderson, Wilson, Nozières, Andrei, Wiegmann, Coleman, Read & Newns, ...

Lattice: Varma, Doniach, Auerbach & Levin, Millis & Lee, Rice & Ueda, ...

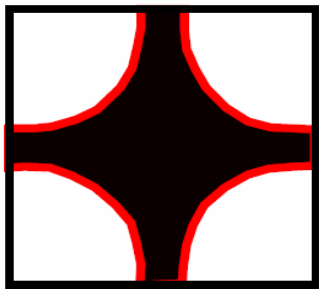
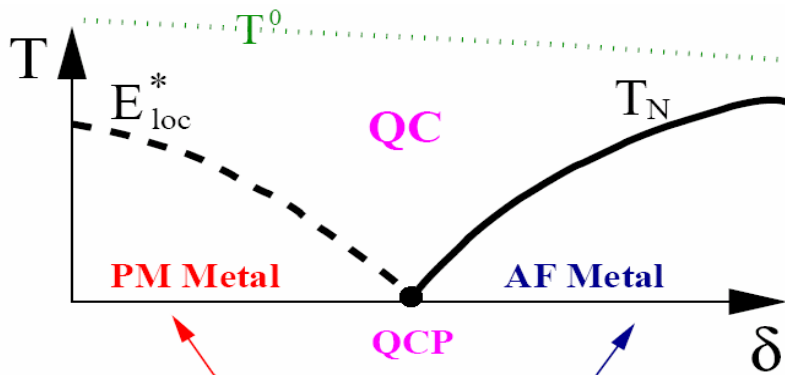
# Local Quantum Critical Point



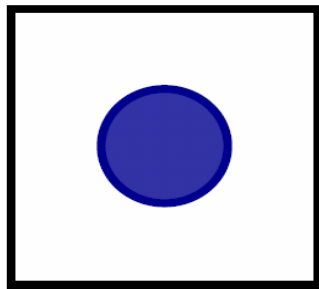
- **Destruction of Kondo screening:**  
energy scale  $E_{loc}^* \rightarrow 0$  marks an electronic slowing down at the magnetic QCP
- **Spin damping: anomalous exponent and  $\omega/T$  scaling**
- **Fermi surface jumps from “large” to “small”**

*QS, S. Rabello, K. Ingersent, & J. L. Smith, Nature 413, 804 (2001);  
Phys. Rev. B68, 115103 (2003)*

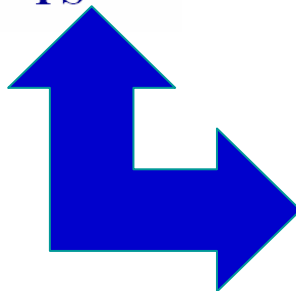




'Large' FS



'Small' FS



Fermi surface inside the antiferromagnetic part of the Kondo lattice phase diagram

# Some Further Experiments

- **Fermi surface jump:**
  - **Hall coeff.: a rapid crossover,**  
→ **a jump at zero temperature, YbRh<sub>2</sub>Si<sub>2</sub>**  
[Paschen et al, Nature 432, 881 (2004)]
  - **dHvA: Fermi surface jump w/ divergent mass, CeRhIn<sub>5</sub>**  
[Shishido et al, JPSJ 74, 1103 (2005); Park et al]
- **Multiple energy scales:**
  - **thermodynamics (magnetostriction & magnetization)**  
**and transport, in YbRh<sub>2</sub>Si<sub>2</sub>**  
[Gegenwart et al, Science 315, 969 (2007)]

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# Kondo lattice

$$\mathcal{H} = \sum_{ij,a} I_{ij}^a S_i^a S_j^a + \sum_{ij,\sigma} t_{ij} c_{i\sigma}^\dagger c_{j\sigma} + \sum_{i,a} J_K^a S_i^a s_{c,i}^a$$

$$J_K \ll I_{rkky} \ll W$$

*$J_K=0$  as the reference point of expansion*

# Local Moments:

## Quantum non-Linear Sigma Model

Heisenberg model + coherent spin path integral



QNLσM

$$\mathcal{A} = \mathcal{A}_{\text{NL}\sigma\text{M}} + \mathcal{A}_{\text{Berry}} + \mathcal{A}_K + \mathcal{A}_c$$

Haldane (1983)

Affleck (1985)

:

$$\mathcal{A}_{\text{NL}\sigma\text{M}} = \frac{c}{2g} \int d\tau d^d x \left[ (\nabla \vec{n}(\tau, \vec{x}))^2 + \frac{1}{c^2} \left( \frac{\partial \vec{n}(\tau, \vec{x})}{\partial \tau} \right)^2 \right]$$

Chakravarty, Halperin, Nelson  
PRB 39, 2344 (1989)

$$\mathcal{A}_{\text{Berry}} = i \sum_{\vec{x}} \int_0^1 du \int_0^\beta d\tau \vec{n}_{\vec{x}}(\tau, u) \cdot \left( \frac{\partial \vec{n}_{\vec{x}}(\tau, u)}{\partial u} \times \frac{\partial \vec{n}_{\vec{x}}(\tau, u)}{\partial \tau} \right)$$

Not important inside  
ordered phase

$$\mathcal{A}_c = \int d\omega d^d k \psi(\omega, \vec{k}) (i\omega - \xi_{\vec{k}}) \psi(\omega, \vec{k}) + \int \psi^4$$

Conduction electrons

$$\mathcal{A}_K = \lambda \int d\tau d^d x \left[ \underbrace{\vec{s}_c(\tau, \vec{x})}_{\psi^\dagger \vec{\tau} \psi} \cdot \underbrace{\left( \vec{n}(\tau, \vec{x}) \times \frac{\partial \vec{n}(\tau, \vec{x})}{\partial \tau} \right)}_{\vec{\phi}} \right]$$

Effective fermi-magnon  
coupling

N.B.:  $\vec{s}_c(\tau, \vec{x}) \cdot \vec{n}(\tau, \vec{x})$  coupling  
irrelevant (see next slide)

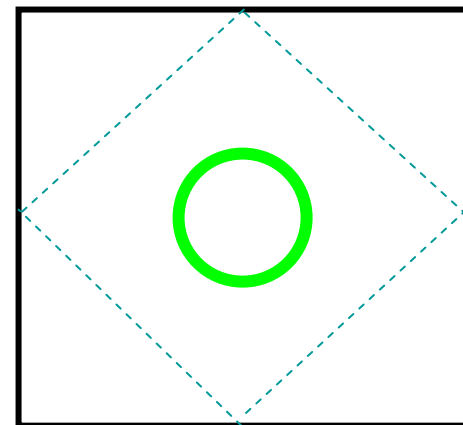
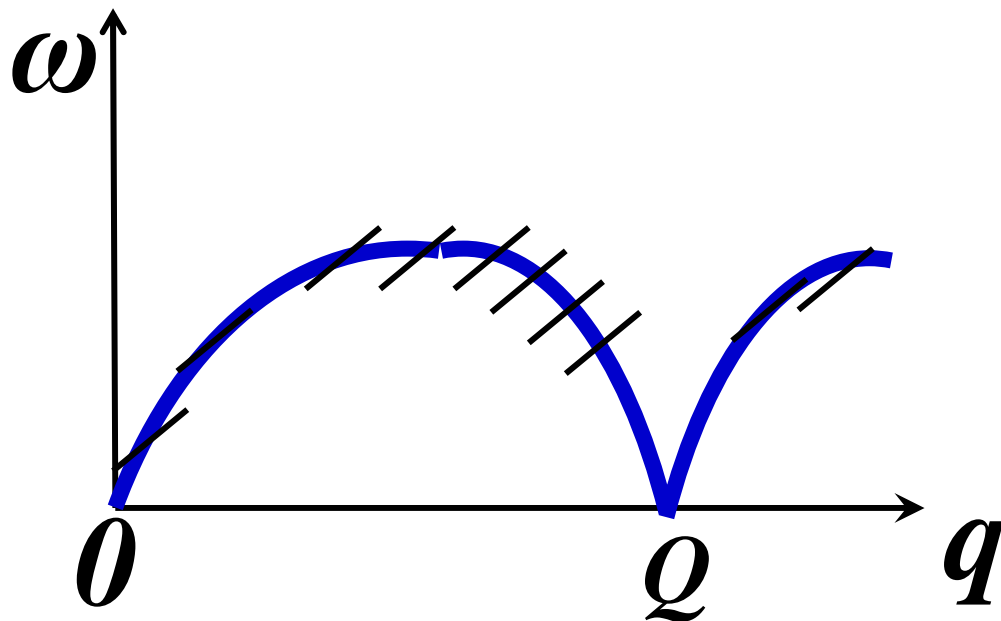
# Kondo lattice

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*At  $J_K=0$ :*

Local-moment  
antiferromagnetism

Conduction electrons



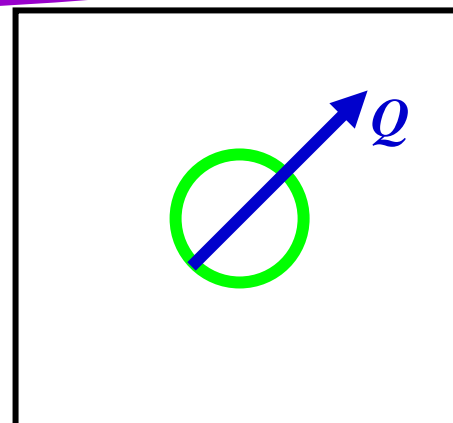
$k$

# Effective Kondo coupling w/ magnons

$$\mathcal{A}_K = \lambda \int d\tau d^d x \left[ \vec{s}_c(\tau, \vec{x}) \cdot \left( \vec{n}(\tau, \vec{x}) \times \frac{\partial \vec{n}(\tau, \vec{x})}{\partial \tau} \right) \right]$$

$$q \approx Q$$

Large momentum transfer scattering **NOT** allowed kinematically.

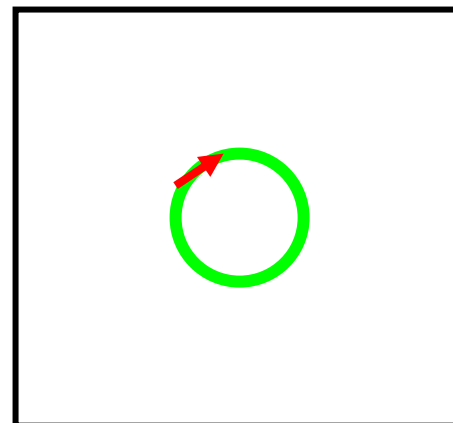


*with AF order*

$$S(x, \tau) \approx m + n e^{iQ \cdot x}$$

$$q \approx 0$$

Forward scattering **ALLOWED.**  
**RELEVANT ???**



# Combined bosonic/fermionic\* RG

Tree-level scaling

(\*ferminoc RG: Shankar, RMP '94)

$$\mathcal{A}_K = \lambda \int \frac{d\omega d\varepsilon dk_\perp d^{d-1}k_\parallel dq_\perp d^{d-1}q_\parallel}{e^l e^l e^l e^0 e^l e^{(d-1)l}} \frac{\psi_{\sigma'}^\dagger(\omega + \varepsilon, \vec{k} + \vec{q}) \psi_{\sigma}(\omega, \vec{k})}{e^{-3l/2} e^{-3l/2}} \tau_{\sigma\sigma'}^a \frac{\phi^a(\varepsilon, \vec{q})}{e^{-dl}}$$



$$\frac{d\lambda}{dl} = 0 + O(\lambda^2)$$



marginal!

$$[\vec{\phi}(\varepsilon, \vec{q})] = -d$$

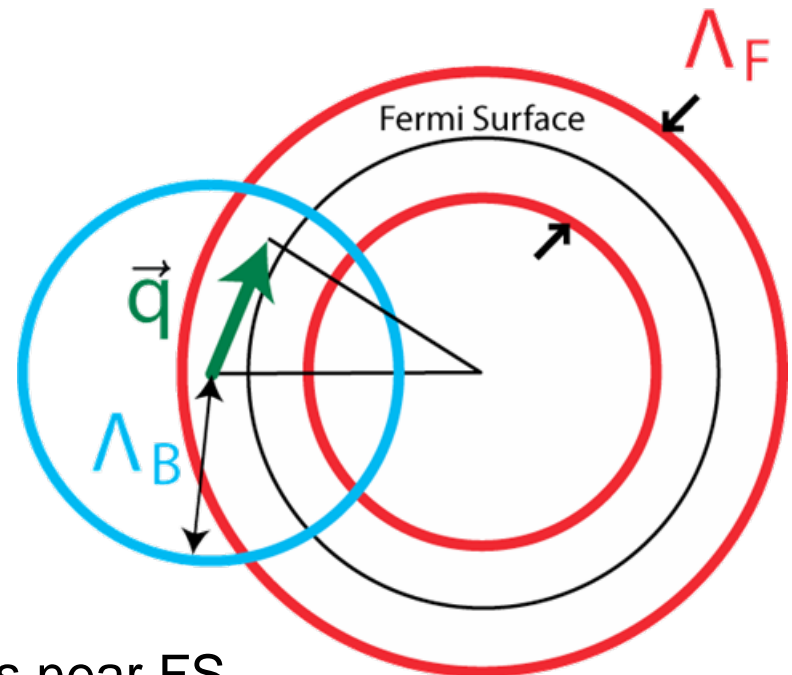


From NL $\sigma$ M

$$[\psi(\omega, \vec{k})] = -3/2$$



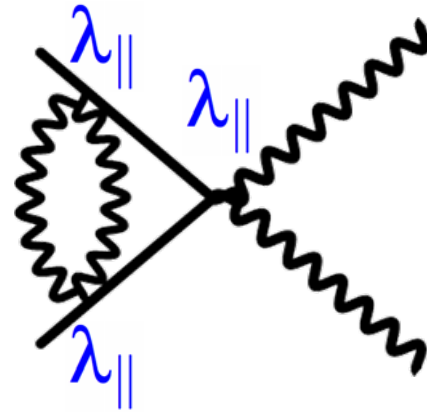
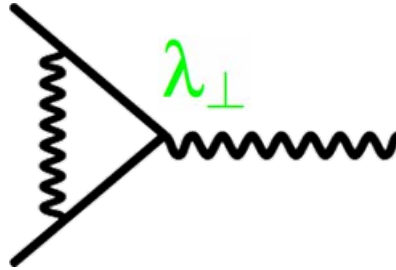
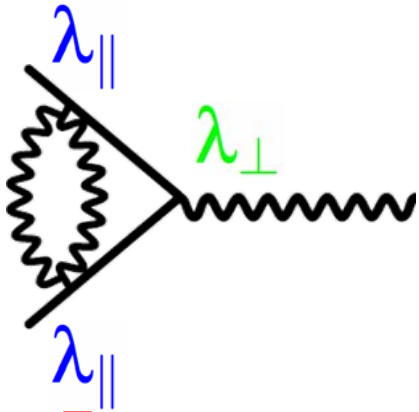
From electrons near FS



note: remember  $\vec{q} \approx 0$  for forward scattering



# RG at 1-loop & beyond:



Marginal even at 1-Loop and beyond.

# RG at 1-loop & beyond:

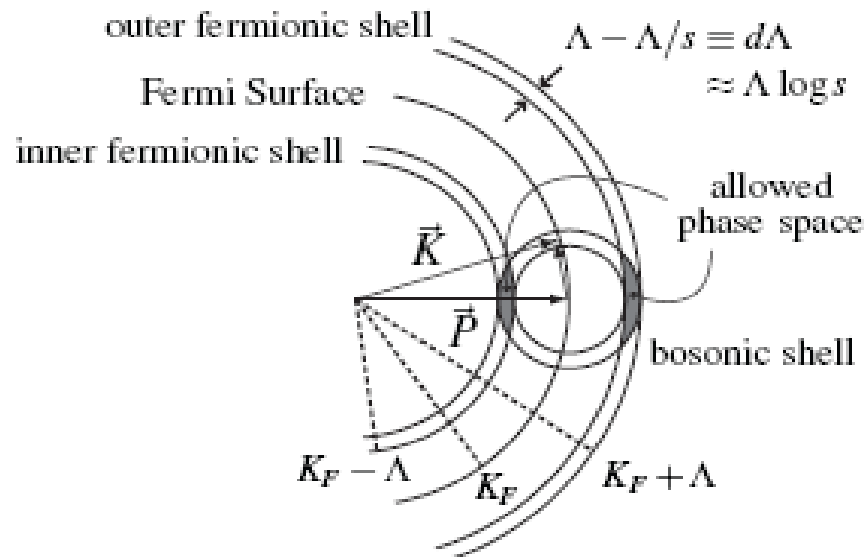
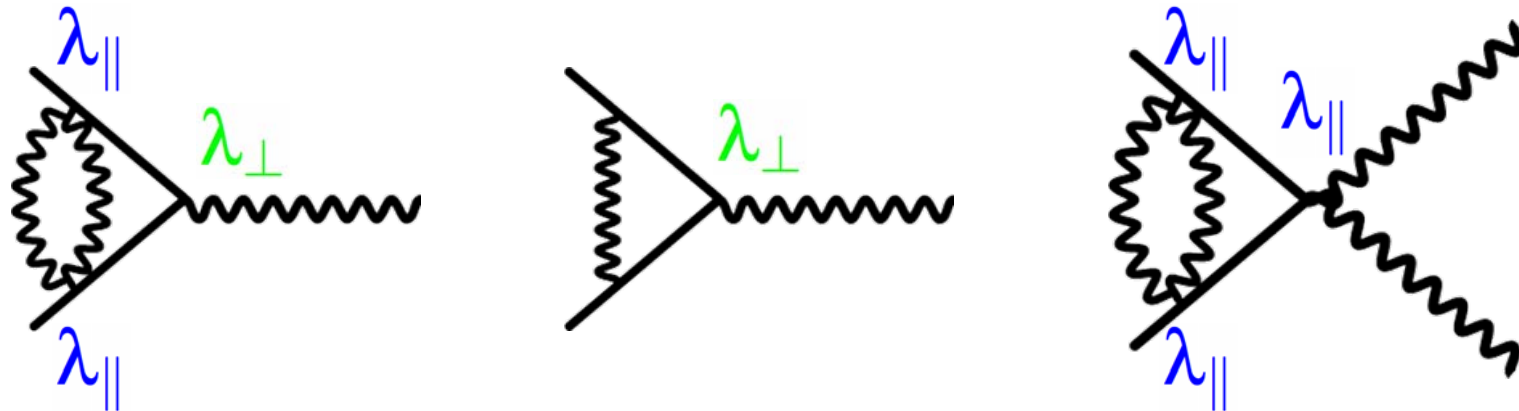
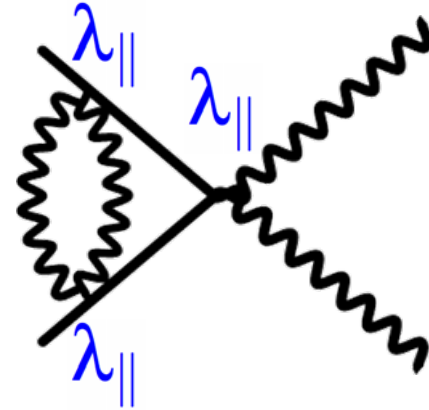
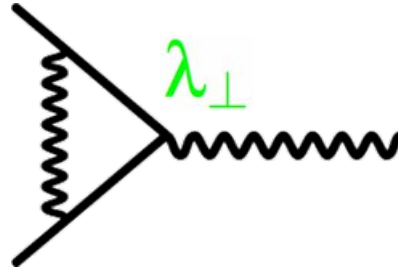
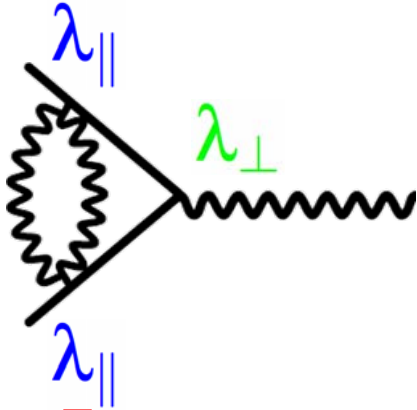


FIG. 4: Kinematics for the momentum-shell RG. Only the shaded region is integrated over.

# RG at 1-loop & beyond:

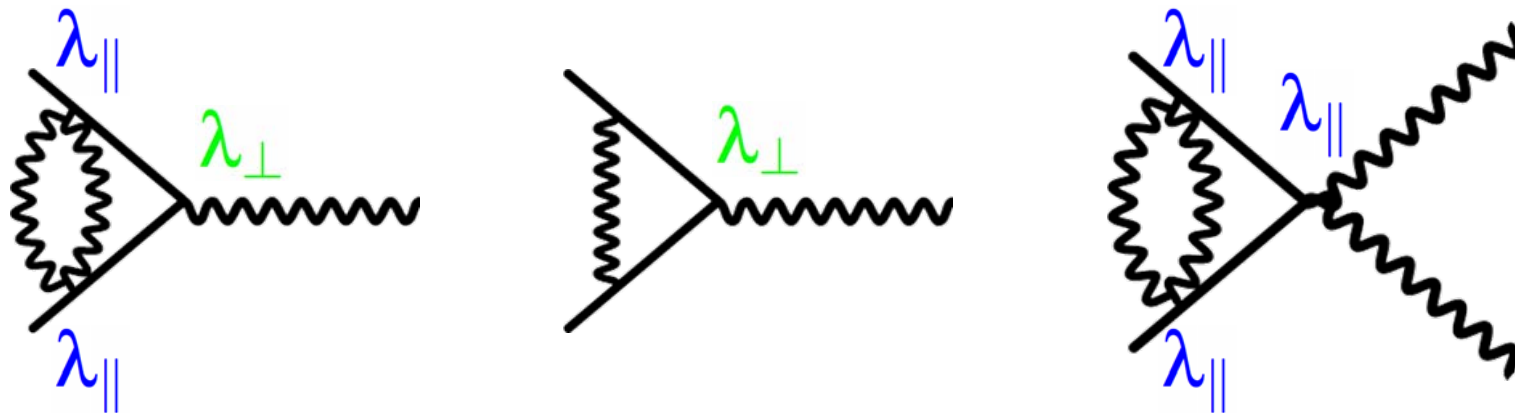


Marginal even at 1-Loop and beyond.



AF phase w/ "small"  
Fermi surface!

# RG at 1-loop & beyond:



Marginal even at 1-Loop and beyond.

Large N:

$$\Sigma(\vec{k}, \omega) = \text{[Diagram of a wavy line above a horizontal line]} \\ \sim \omega^d$$

No pole in self energy.  
Fermi surface remains "small".

AF phase w/ "small"  
Fermi surface!

*S. Yamamoto & QS,  
Cond-mat/0610001*

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$J_K=0$

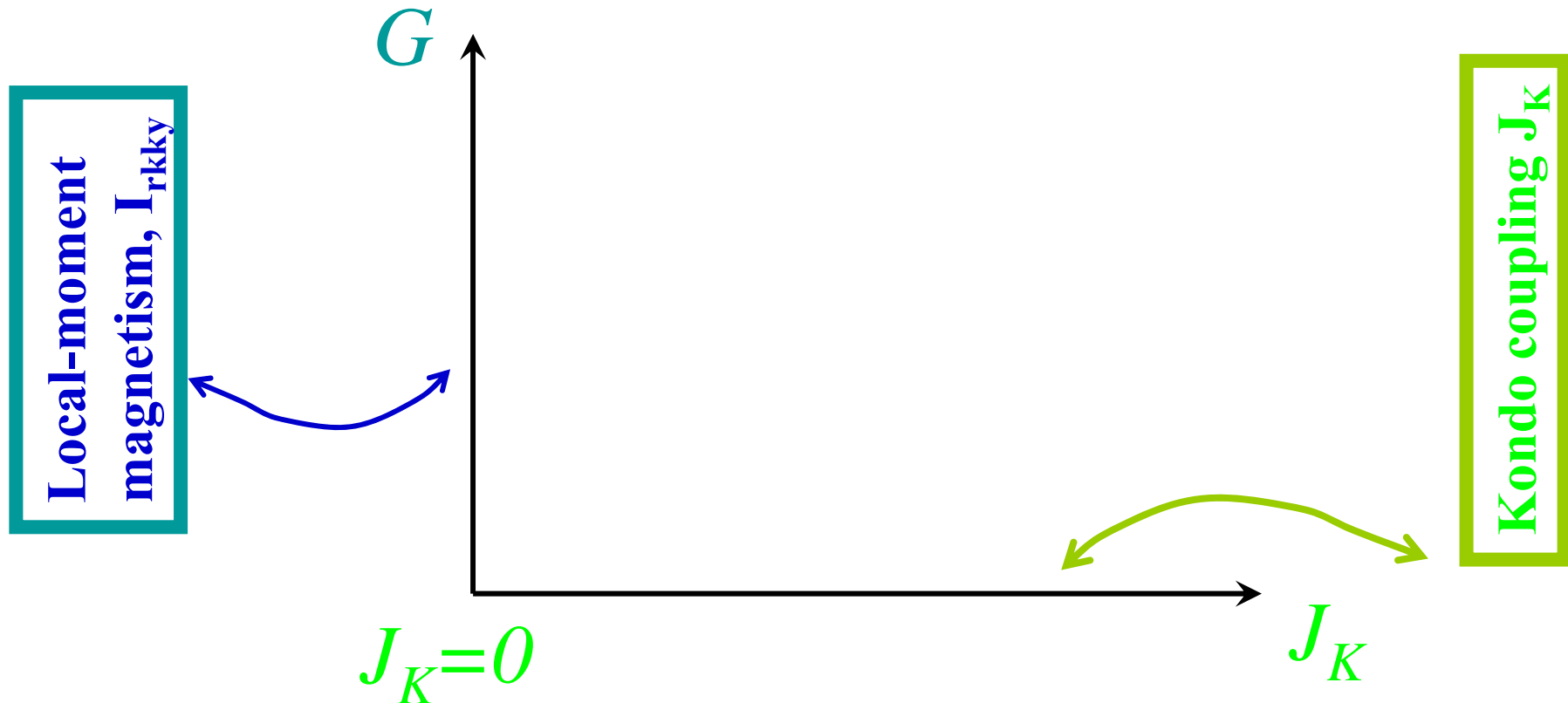
$J_K$

Kondo coupling  $J_K$

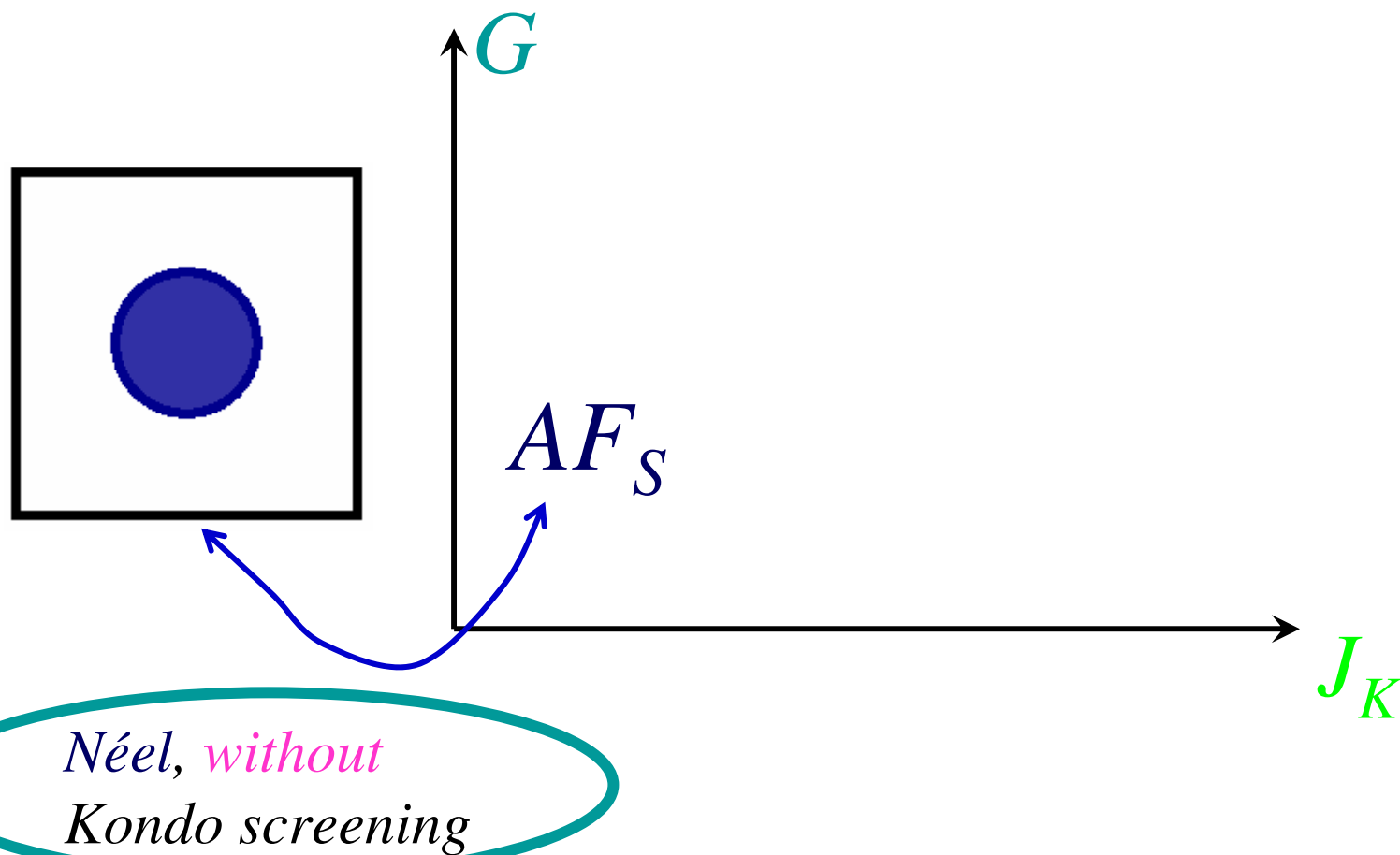
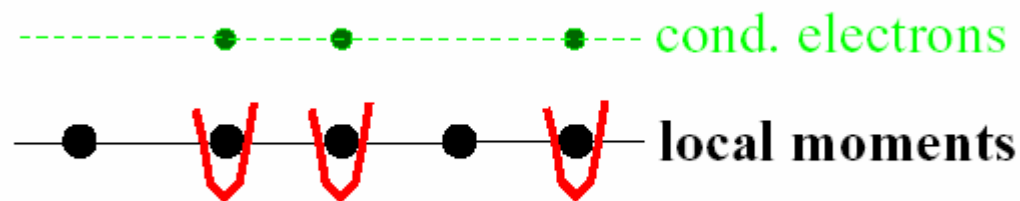
# Kondo lattice

$$\mathcal{H} = \sum_{ij,a} I_{ij}^a S_i^a S_j^a + \sum_{ij,\sigma} t_{ij} c_{i\sigma}^\dagger c_{j\sigma} + \sum_{i,a} J_K^a S_i^a S_{c,i}^a$$

*G ~ frustration, reduced dimensionality, etc.*

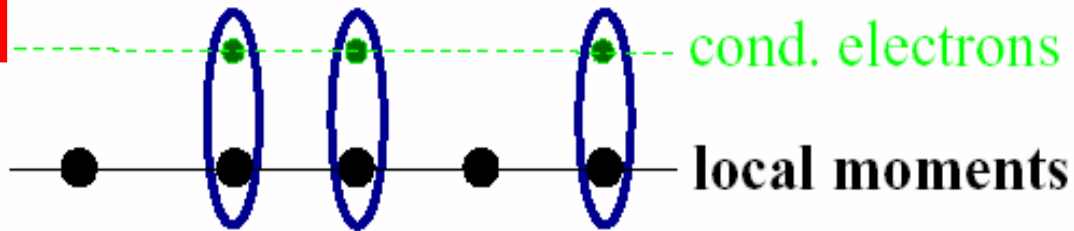


$$J_K \ll I_{rkky} \ll W$$





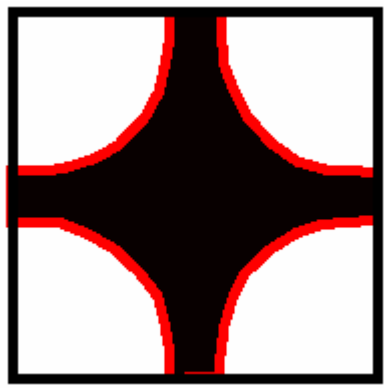
$$J_K \gg W \gg I_{rkky}$$



cond. electrons

local moments

*paramagnet, w/  
Kondo screening*

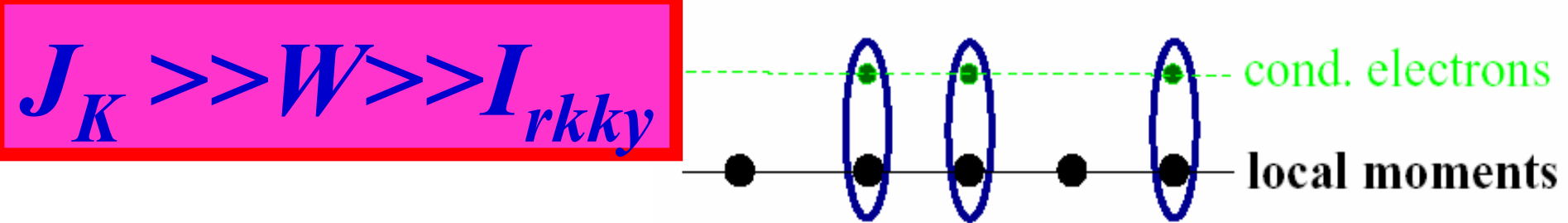


$G$

$PM_L$

$J_K$

*Cf. A. C. Hewson, The Kondo Problem to Heavy Fermions  
(Cambridge Univ. Press, 1993)*



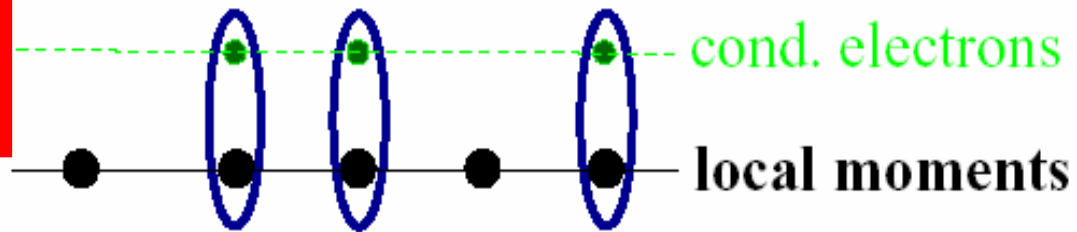
- $xN_{site}$  tightly bound local singlets

$$|s \rangle_i = \frac{1}{\sqrt{2}} (|\uparrow \rangle_f |\downarrow \rangle_c - |\downarrow \rangle_f |\uparrow \rangle_c)_i$$

(cf. If  $x$  were =1, Kondo insulator)

- $(1-x)N_{site}$  lone moments:

$$J_K \gg W \gg I_{rkky}$$



- $xN_{site}$  tightly bound local singlets

$$|s \rangle_i = \frac{1}{\sqrt{2}} (|\uparrow \rangle_f |\downarrow \rangle_c - |\downarrow \rangle_f |\uparrow \rangle_c)_i$$

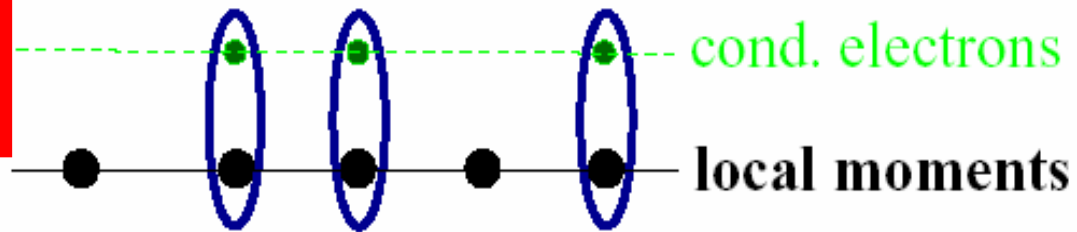
(cf. If  $x$  were =1, Kondo insulator)

- $(1-x)N_{site}$  lone moments:

- projection:  $|\text{lone moment } \rangle_{i,\sigma} = (-\sqrt{2}\sigma) c_{i,\bar{\sigma}} |s \rangle_i$

- $(1-x)N_{site}$  holes with  $U=\infty$

$$J_K \gg W \gg I_{rkky}$$



- $xN_{site}$  tightly bound local singlets

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- $(1-x)N_{site}$  holes with  $U=\infty$

- Luttinger's theorem:

$(1-x)$  holes/site in the Fermi surface

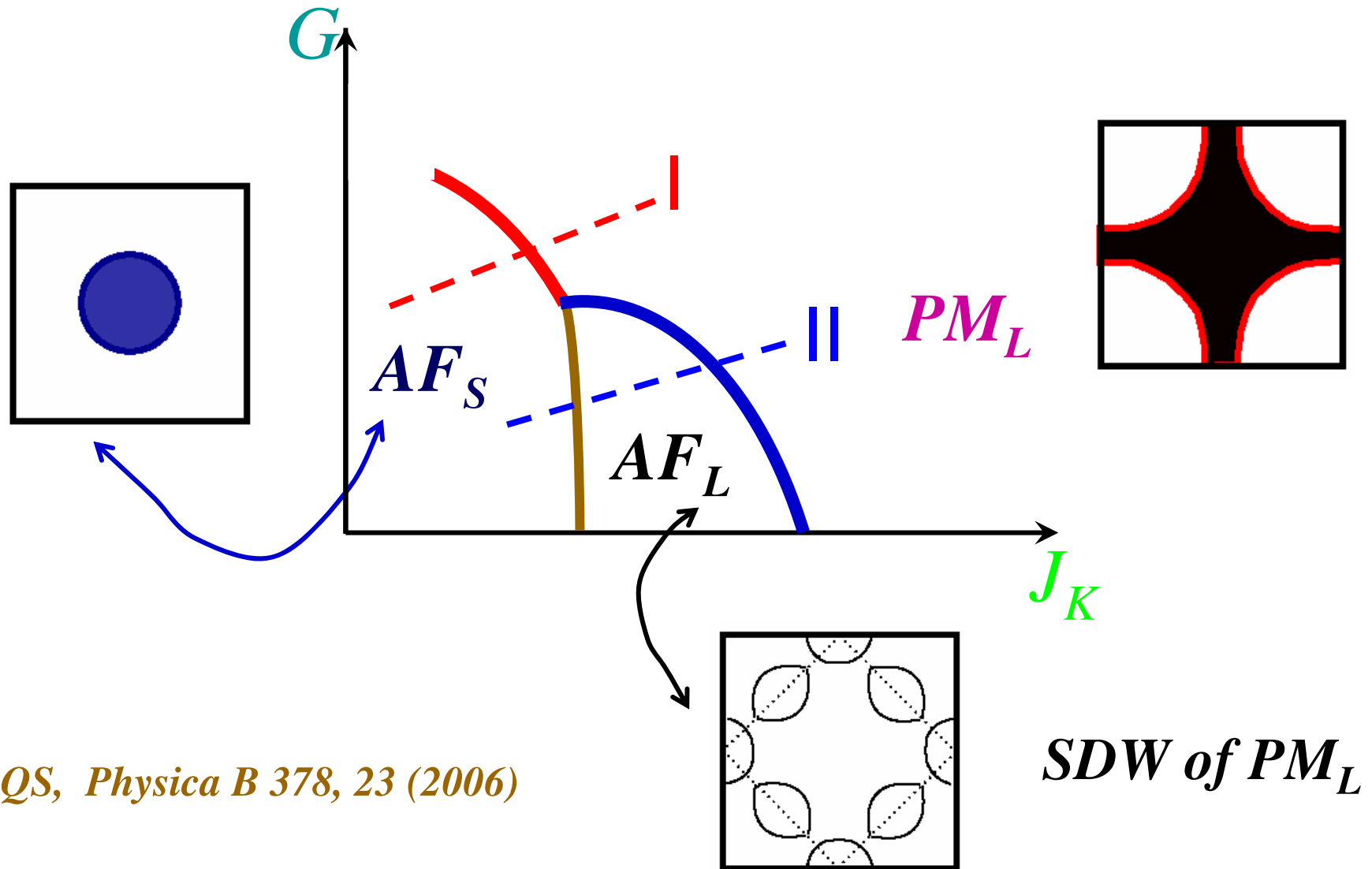


$(1+x)$  electrons/site

----

Large Fermi surface!

# Global phase diagram



# *Type II transition*

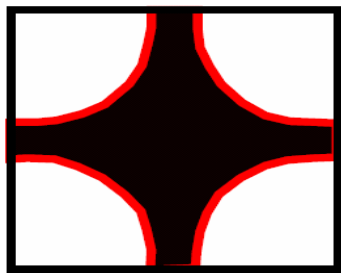
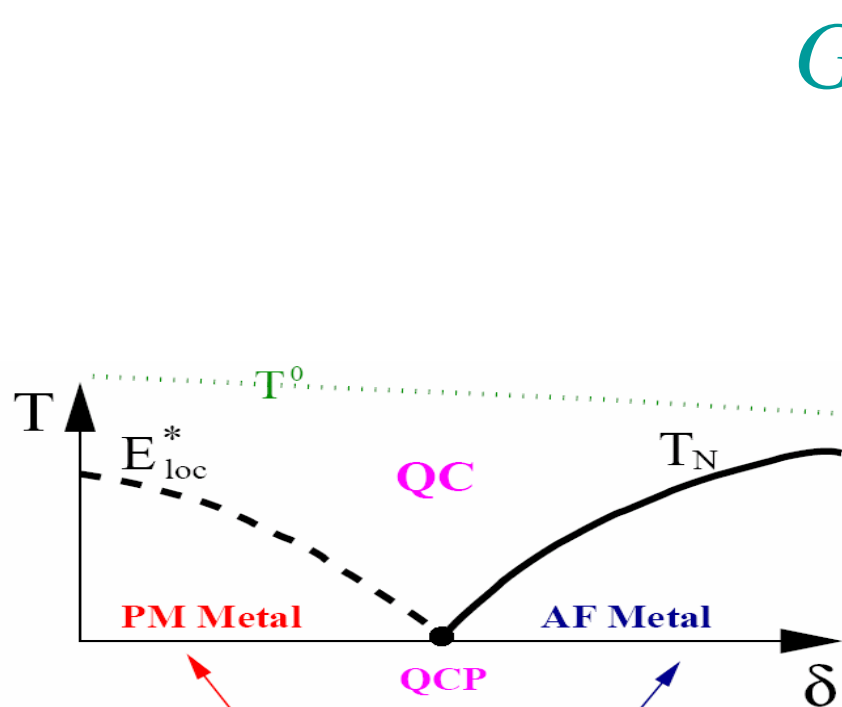
*Hertz-Moriya-Millis fixed point for  $T=0$  SDW transition*

# *Type I transition*

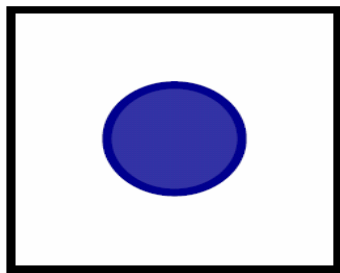
*Second order if*  $\left\{ \begin{array}{l} z_L \rightarrow 0 \text{ as } \delta \rightarrow \delta_c^+ \\ z_S \rightarrow 0 \text{ as } \delta \rightarrow \delta_c^- \end{array} \right.$

*Destruction of Kondo screening where magnetism sets in*

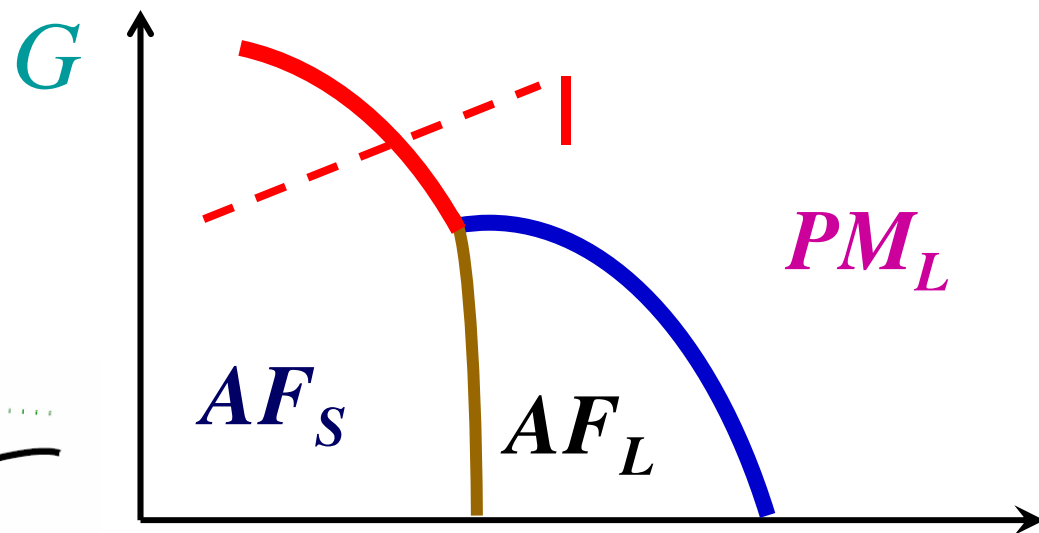
# Type I quantum transition (cont'd)



**'Large' FS**



**'Small' FS**



# SUMMARY

- **Quantum criticality**
  - heavy fermion metals as prototype
  - non-Fermi liquid behavior
  - beyond the order parameter fluctuation picture
- **Antiferromagnetic metal with “small” Fermi Surface**  
**[An asymptotically exact solution in  $d > 1$  dimensions]**
- **Global phase diagram**
- **Evidence for  $AF_S$  and  $PM_L$  phases, and direct transition between them: dHvA and Hall effect**