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## Interfaces and Grain Boundaries of High Temperature Superconductors

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*from a theoreticians perspective*

*Thilo Kopp, Universität Augsburg*

- (1) electrostatic interface tuning (SuFETs)
- (2) nanomagnetism at interfaces of HTSCs

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*Why consider interfaces ?*

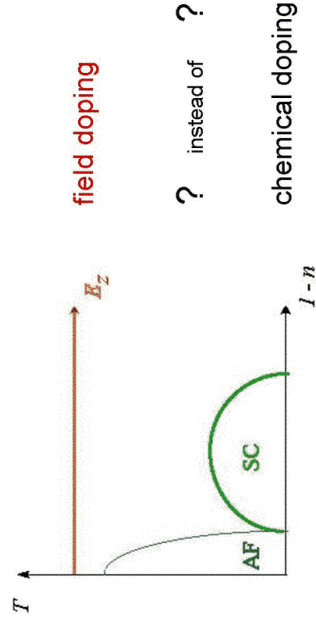
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- most devices are interface driven
- HTSC cables are not single crystals
  - grain boundaries may control the transport
- interfaces of correlated electronic systems may provide a new type of complexity;  
»reconstruction« of electronic states (?)

## Electrostatic interface tuning (SUFETs)

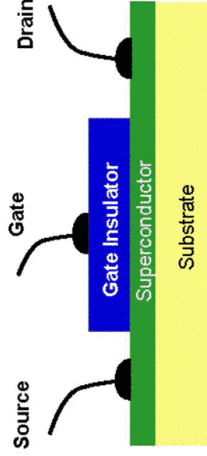
theory:

Natalia Pavlenko  
Verena Koerting  
Qingshan Yuan  
Peter Hirschfeld



? instead of ?

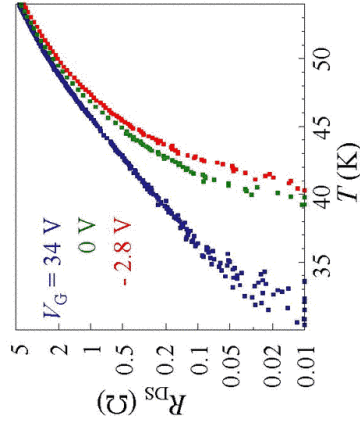
tune phase transitions electrostatically ?



experiment:

Jochen Mannhart  
Gennadij Logvenov  
Christof Schneider

## Is electrostatic interface tuning feasible ?



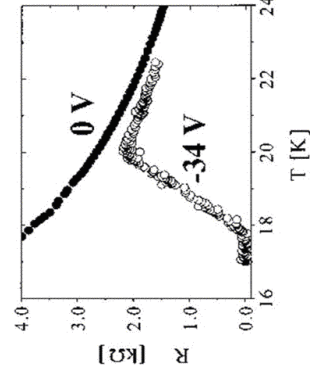
DS-channel:

8 nm polycrystalline  $\text{YBa}_2\text{Cu}_3\text{O}_{7-d}$

gate barrier:

300 nm epitaxial  $\text{Ba}_{0.15}\text{Sr}_{0.85}\text{TiO}_3$

- $\text{YBa}_2\text{Cu}_3\text{O}_{7-d}$ , electric field across Kapton foils: fractional shifts in  $R_N$  of  $O(10^{-5})$  (Fiory *et al.*, 1990)
- $\text{YBa}_2\text{Cu}_3\text{O}_{7-d}$ , electric field across  $\text{SrTiO}_3$  barriers with  $4 \times 10^6$  V/cm: major  $T_c$  shift (J. Mannhart, 1991, '96)
- $T_c$  shifts of 10 K YBCO film on  $\text{SrTiO}_3$  with  $10 \mu\text{C}/\text{cm}^2$  gate polarization (G. Logvenov, 2003)



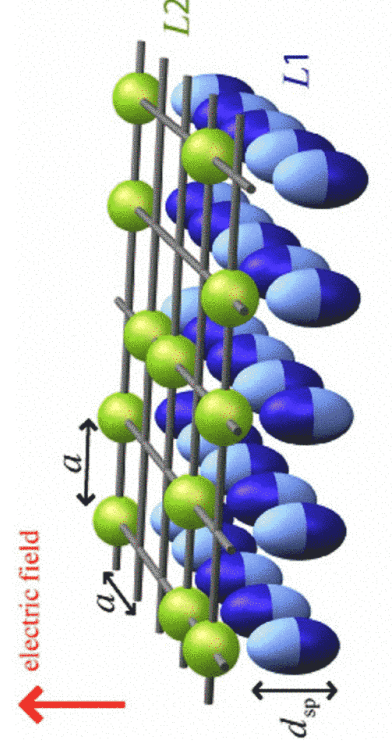
insulator-superconductor transition observed in a  $\text{Nd}_{1/2}\text{Ba}_{1/2}\text{Cu}_3\text{O}_7$  epitaxial film on  $\text{SrTiO}_3$  substrate (A. Cassinese *et al.*, 2004)

## Is electrostatic interface tuning feasible ?

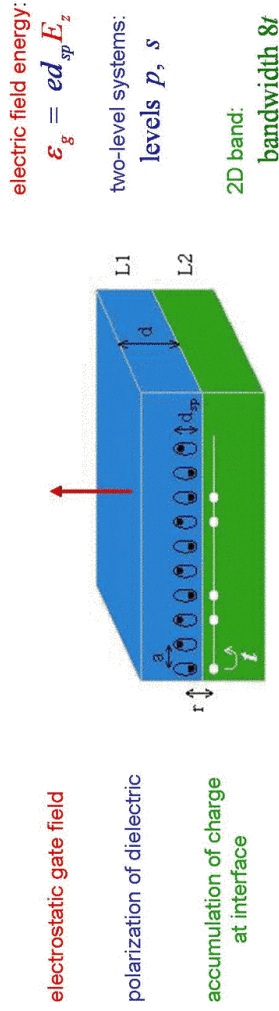
- achieved areal carrier densities:  
0.01 – 0.05 carriers per unit cell  
→ limited by dielectric constant  $\epsilon$  and breakdown field  
for  $\text{SrTiO}_3$  films:  $\epsilon \sim 100$  and breakdown  $\sim 10^8$  V/m
- charge profile studied by Wehri, Poilblanc & Rice (2001) and Pavlenko (unpublished)  
→ charge confined to surface layer when field doping the insulating state  
 $\sim$  underdoped  $\sim 80\%$ , overdoping  $\sim 100\%$  in surface layer

**electrostatic interface tuning is feasible  
no fundamental objection to higher charge densities**

## Theoretical design of the interface



## Theoretical design of the interface



single particle processes:

$$H_t = -t \sum_{\langle i,j \rangle, \sigma} c_{i,\sigma}^\dagger c_{j,\sigma}$$

$$H_{2l} = \frac{1}{2} \Delta_{sp} \sum_i (p_i^\dagger p_i - s_i^\dagger s_i)$$

$$H_{ext} = \epsilon_g \sum_i (p_i^\dagger s_i + s_i^\dagger p_i)$$

interaction between charge excitations in L1 and L2:

$$H_{int} = V_{sp} \sum_{i,\sigma} c_{i,\sigma}^\dagger c_{i,\sigma} (p_i^\dagger s_i + s_i^\dagger p_i)$$

interaction between charge carriers in L2:

$$H_{e-e} = U \sum_i c_{i,\uparrow}^\dagger c_{i,\uparrow} c_{i,\downarrow}^\dagger c_{i,\downarrow}$$

$$H_t + H_{2l} + H_{ext} + \underbrace{H_{int}}_{\text{polarized}} + H_{e-e}$$

interaction between metallic charge carriers and (polarized) two-level systems

$$H_{int} = V_{sp} \sum_{i,\sigma} c_{i,\sigma}^\dagger c_{i,\sigma} (p_i^\dagger s_i + s_i^\dagger p_i) \quad V_{sp} \propto \frac{e^2 d_{sp}}{r^2}$$

$$= V_x \sum c^\dagger c (S^+ + S^-) + V_z \sum c^\dagger c S^z$$

with

$$V_x = \frac{V_{sp}}{2} \sqrt{\epsilon_g^2 + \left(\frac{1}{2} \Delta_{sp}\right)^2}$$

$$V_z = 2V_{sp} \sqrt{\epsilon_g^2 + \left(\frac{1}{2} \Delta_{sp}\right)^2}$$

$V_x$  (virtual) transitions driven by field of nearest charge carrier  $\implies$  induce pairing

$V_z$  interaction of field induced dipoles with the 2D charge carriers  $\implies$  repulsive term in pairing channel

## Steps towards an approximate solution

### 1. bosonization (Holstein-Primakoff)

$$S_j^+ \rightarrow b_j, \quad S_j^- \rightarrow b_j^\dagger, \quad S_j^z \rightarrow \frac{1}{2} - b_j^\dagger b_j$$

not exact but correct for negligible inversion:

$$\langle b_j^\dagger b_j \rangle \square 1$$

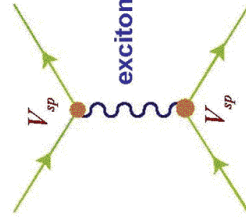
### 2. generalized Lang-Firsov transformation

$$\tilde{H} = U_{LF}^\dagger H U_{LF}$$

purpose of unitary transformation:

$$V_x c^\dagger c (b + b^\dagger) \rightarrow V_{eff} c^\dagger c^\dagger c c$$

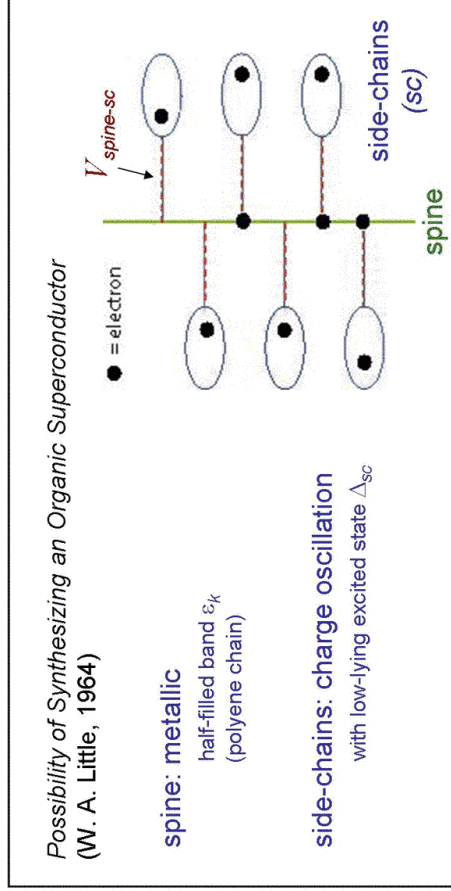
## Induced pairing (at $U=0$ )



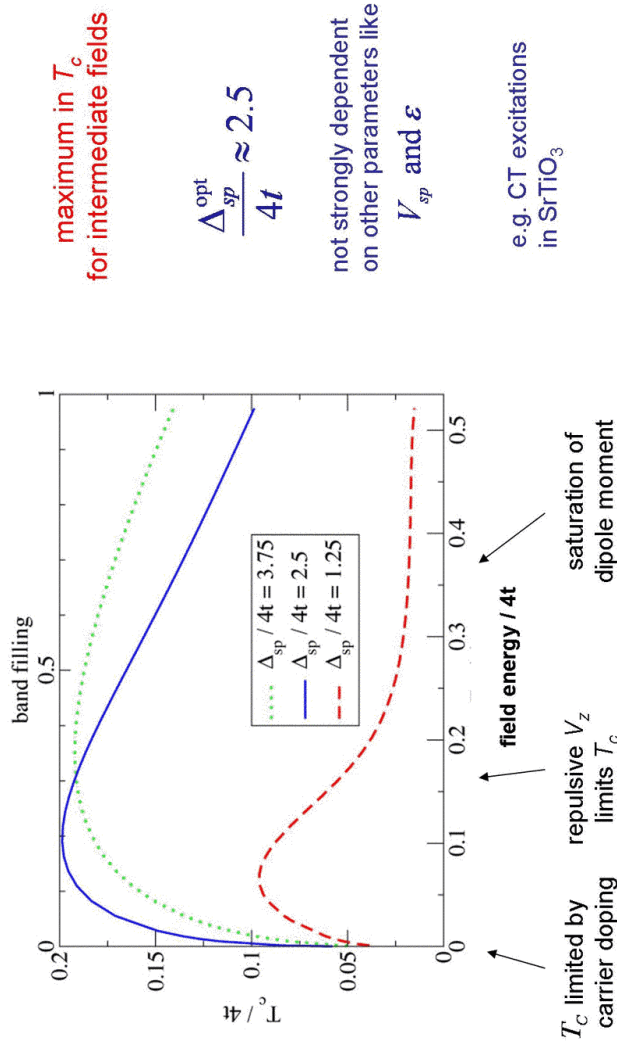
second order perturbation theory for zero field:

$$V_{eff} |_{\text{zero field}} = 2 \frac{V_{sp}^2}{\Delta_{sp}}$$

positive: **attractive interaction**



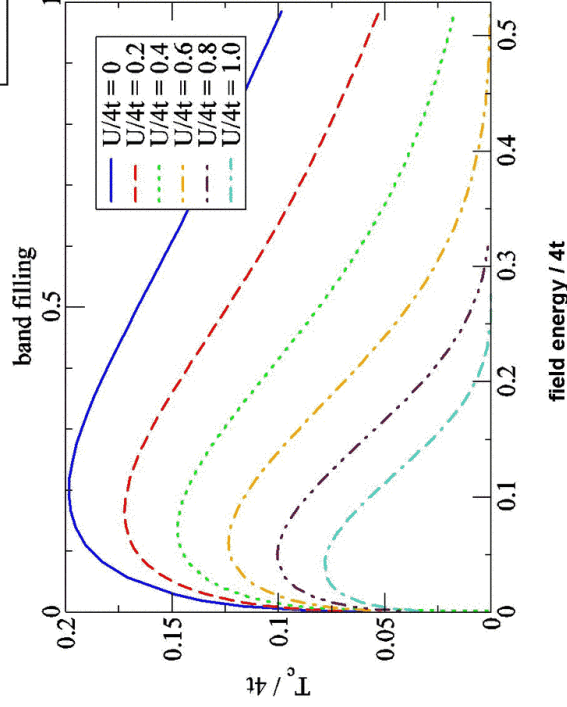
## Field dependence of $T_C$ (at $U=0$ )



(V. Koerting, Q. Yuan, P. Hirschfeld, T.K., and J. Mannhart, PRB **71**, 104510 (2005))

## Including a repulsive interaction in the metallic layer

$$H_{e-e} = U \sum_i c_{i,\uparrow}^\dagger c_{i,\uparrow} c_{i,\downarrow}^\dagger c_{i,\downarrow}$$



(V. Koerting, Q. Yuan, P. Hirschfeld, T.K., and J. Mannhart, PRB **71**, 104510 (2005))

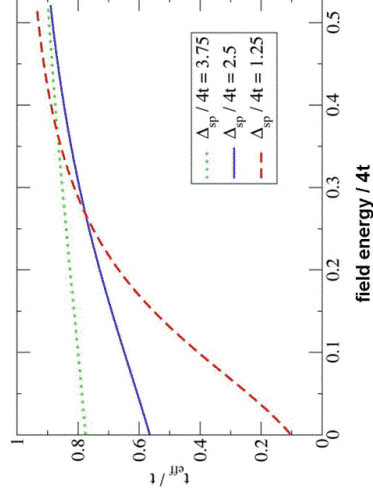
## Strong coupling: mapping onto a $t$ - $J$ model

renormalization of nearest neighbor spin exchange through charge transfer excitons ( $U \square 8t$ ,  $t'/t \square -0.3$ ,  $V_{sp} / \Delta_{sp} \square 1$ ):

$$\Delta U / U \square -0.2 \quad \rightarrow \quad J_{\text{eff}} / J \square 1.07 \quad \text{insignificant}$$

$$t'_{\text{eff}} / t \square 0.7 \quad \rightarrow \quad J_{\text{eff}} / J \square 0.5 \quad \text{major correction}$$

band renormalization at  $T_C$



coupling to excitons:

delocalization with increasing field

## Inclusion of phonon modes

(N. Pavlenko, T.K., cond-mat/0505714)

closer to realistic modelling, a further step in complexity:

$$H = H_{t,t'} + H_{2l} + H_{\text{ext}} + H_{\text{int}} + H_{e-e} + H_{\text{pol}}$$

coupling to polar phonons at the interface

disorder still not implemented !

SrTiO<sub>3</sub> : soft TO<sub>1</sub>-mode at  $\omega_{TO} \square 50\text{--}80 \text{ cm}^{-1}$

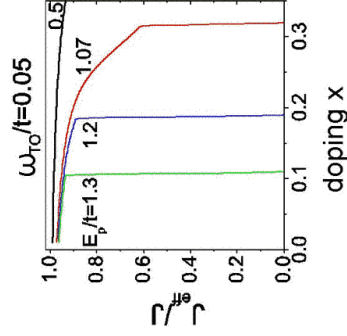
$$H_{\text{pol}} = \hbar\omega_{TO} \sum_i b_i^\dagger b_i - \gamma \sum_{i\sigma} (1 - n_{i\sigma}) (b_i^\dagger + b_i)$$

where

$\gamma = \sqrt{\hbar\omega_{TO} E_p}$  is the hole-phonon coupling  $\gamma \square 0.01\text{--}0.1 \text{ eV}$

$E_p$  is the polaron binding energy  $E_p / \hbar\omega_{TO} \square 0.1\text{--}5$

## Strong coupling: superconductor-insulator transition

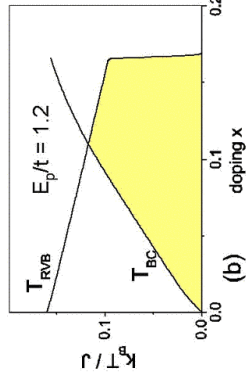
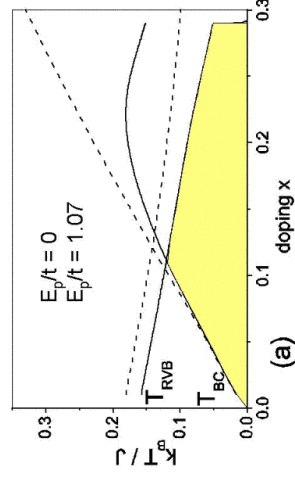


coupling to phonons :

localization with increasing doping

similar evaluation for the CMR-manganites  
compare: Röder, Zang, and Bishop (PRL 1996)  
double exchange  $\leftrightarrow$  excitonic narrowing  
JT phonon  $\leftrightarrow$  soft-phonon mode

• slave-boson evaluation (with d-wave pairing):



## Strong coupling: superconductor-insulator transition

coupling to phonons :

localization with increasing doping

coupling to excitons:

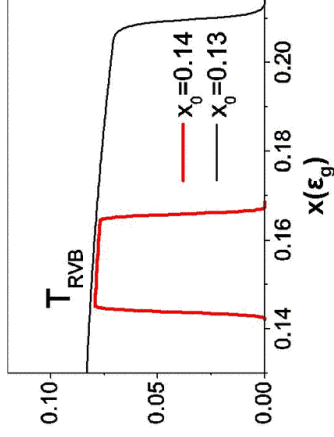
delocalization with increasing field

→ transition not only depends on the overall doping  
but also on the details of chemical versus field doping



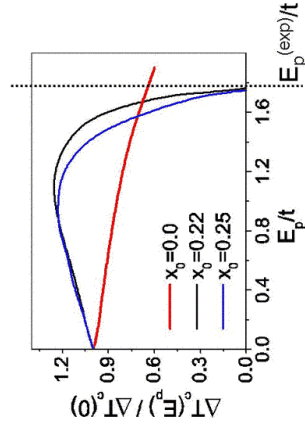
## Strong coupling: reentrant behavior

- the phase diagram now depends on doping at zero field  $x_0$  and the field doping  $x(\epsilon_g)$
- field-induced reentrant behavior:**



- observed (field-induced)  $T_c$  shift in HTSC cuprate films depends on doping:

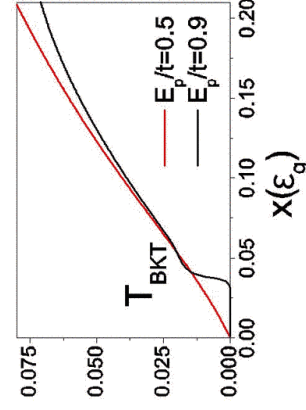
in underdoped films sizable shift  
*whereas*  
 in overdoped films (nearly) no shift



## BKT transition

2D systems: Berezinskii-Kosterlitz-Thouless transition (BKT)

- $T_{BKT}$  always smaller than  $T_{BKT}$
- $T_{BKT}$  increases nonlinearly with doping, due to interface coupling (cf. with experiments by Walkenhorst *et al.*, PRL, 1992)

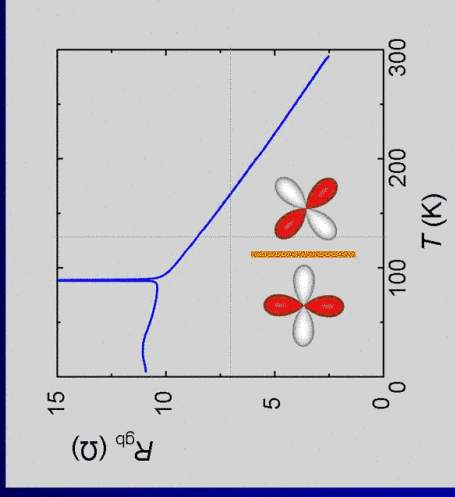


## Summary

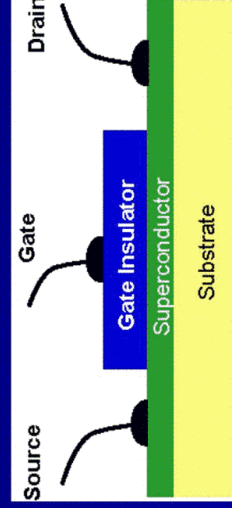
### Challenge: Interfaces in Correlated Electron Systems

- new states at the interface
- anomalous transport through interface

example: grain boundaries in HTSC



example: SuFET with HTSC



C.W. Schneider et al., PRL 92, 257003 (2004)