

# Non-Equilibrium Quantum Many-Body Systems: Universal Aspects of Weak Quenches

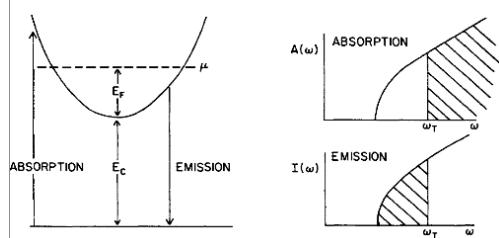
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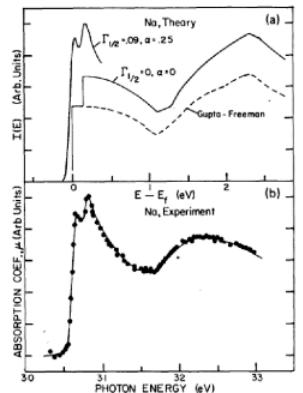
ARNOLD SOMMERFELD  
CENTER FOR THEORETICAL PHYSICS

## X-Ray Edge Problem



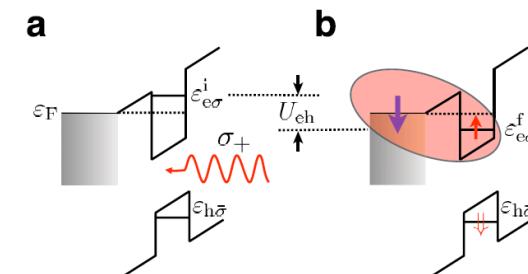
$$H_i = \sum \epsilon_k c_k^\dagger c_k$$

$$H_f = \sum_k \epsilon_k c_k^\dagger c_k + \sum_{k,k'} V_{kk'} c_{k'}^\dagger c_k$$



Mahan,  
Many-Particle Physics

## Kondo Excitons

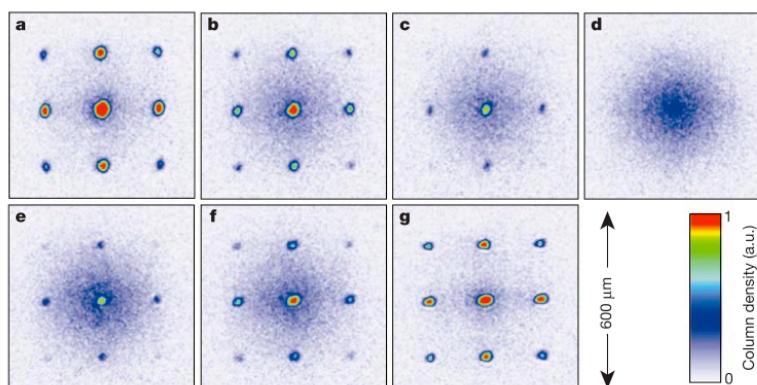


Türeci et al., arXiv:0907.3854

**Initial Hamiltonian  $H_i$ :** defines state  $|\Psi_i\rangle$

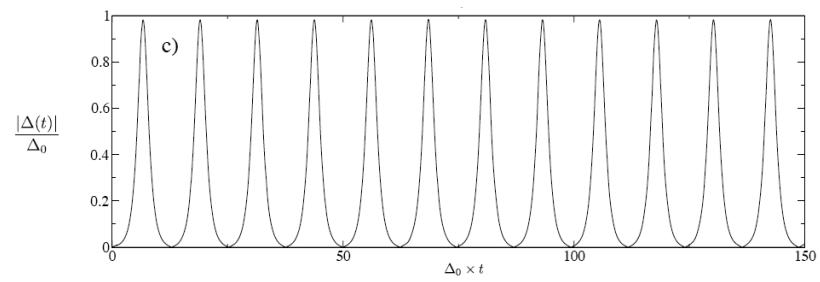
**Final Hamiltonian  $H_f$ :** defines time evolution  $|\Psi(t)\rangle = \exp(-iH_f t) |\Psi_i\rangle$

## Collapse and Revival

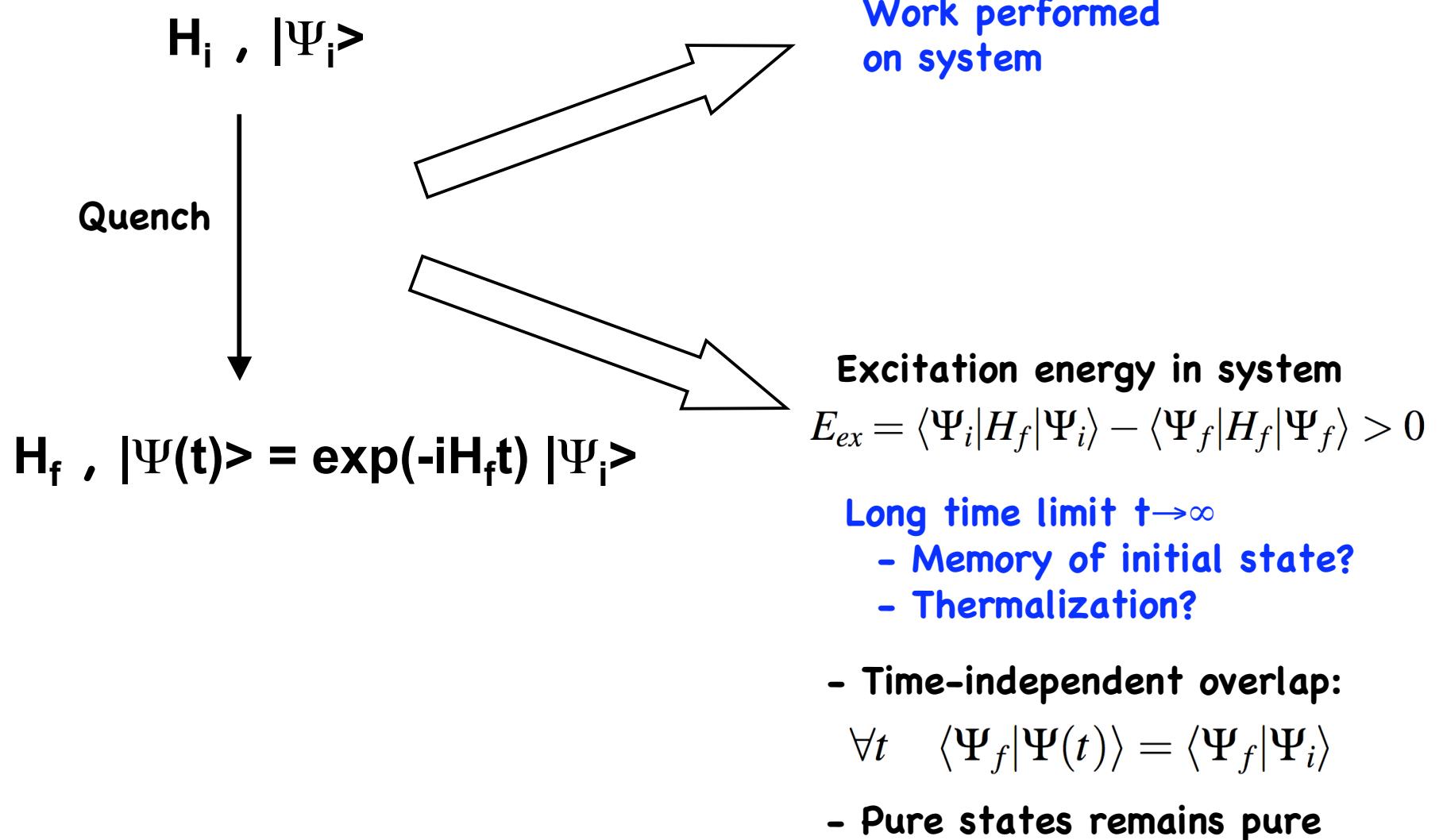


Greiner et al., Nature 419, 51 (2002)

## Non-Equilibrium Cooper Pairing



Yuzbashyan et al., PRL 96 (2006)



# Universal Aspects of Weak Quenches

Working definition:

"weak" = amenable to some (suitable) perturbation theory

## Factor 2 enhancement

- I) Ferromagnetic Kondo model ( $d=0$ )
- II) Quenched Fermi gas ( $d>1$ )  
(or: Beyond Landau adiabacity)
- III) What about  $d=1$ ?

# “Factor 2” for discrete Hamiltonians

- Perturbative Hamiltonian  $H = H_0 + g H_{\text{int}}$
- Observable  $O(t)$  with (i)  $O|\Omega_0\rangle = 0$  (ii)  $[O, H_0] = 0$
- Ground states of  $H_0 |\Omega_0\rangle$  of  $H |\Omega\rangle$

M. Moeckel and S. K., Ann. Phys. (NY) 324, 2146 (2009)

In second order perturbation theory:

$$\lim_{T \rightarrow \infty} \frac{1}{T} \int_0^T dt \langle \Omega_0(t) | O | \Omega_0(t) \rangle = 2 \langle \Omega | O | \Omega \rangle$$

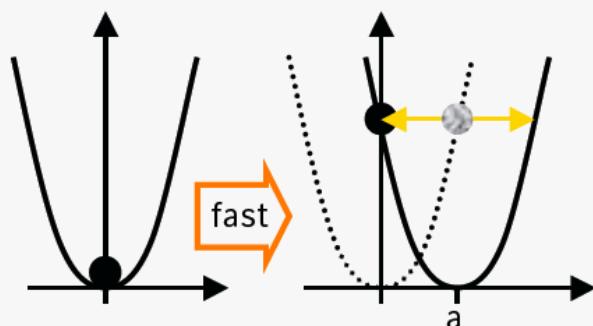
Expectation value  
of excited state  
**(nonequilibrium)**

Ground state  
expectation value  
**(equilibrium)**

Sudden shift

$$V^{(0)} = \frac{1}{2}x^2 \rightarrow V^{(S)} = \frac{1}{2}(x-a)^2$$

Adiabatic shift



# Ferromagnetic Kondo Model

A. Hackl, D. Roosen, S. K., W. Hofstetter, Phys. Rev. Lett. 102, 196601 (2009)

A. Hackl, M. Vojta and S. K., Phys. Rev. B 80, 195117 (2009)

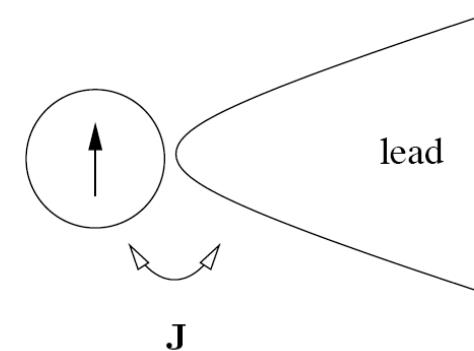
$$H_i = \sum_{k,\sigma} \varepsilon_k c_{k\alpha}^\dagger c_{k\alpha} - 0^+ S_z$$

↑  
infinitesimal magnetic field

⇒ Product initial state:  $|\Psi_i\rangle = |\uparrow\rangle \otimes |FS\rangle$

$$H_f = \sum_{k,\sigma} \varepsilon_k c_{k\alpha}^\dagger c_{k\alpha} - 0^+ S_z + J \vec{S} \cdot \sum_{k' \alpha} c_{k'\alpha} \vec{\sigma}_{\alpha\beta} c_{k\beta}$$

↑  
ferromagnetic coupling ( $J < 0$ ): Coupling constant flows to zero



⇒ Expansion becomes better  
(asymptotically exact) for long times

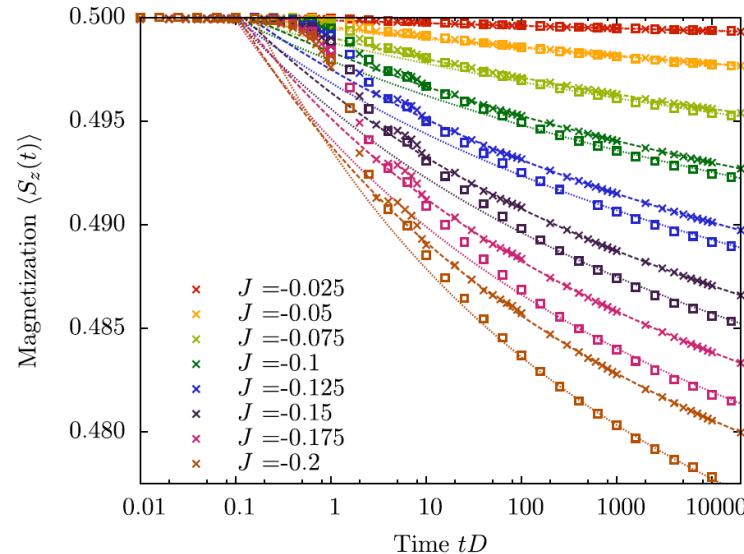
**Nonequilibrium spin expectation value:**  $\langle S_z(t) \rangle = \frac{1}{2} \left( \frac{1}{\ln(t) - \frac{1}{\rho J}} + 1 + \rho J + O(J^2) \right).$

**Equilibrium:**  $\langle S_z \rangle_{eq} = \frac{1}{2} \left( 1 + \frac{\rho J}{2} + O(J^2) \right)$

**Observable:**  
 $O = S_z - \frac{1}{2}$

## Comparison with TD-NRG: (Hackl et al., PRL 102)

⇒ System remembers initial quantum state for all times



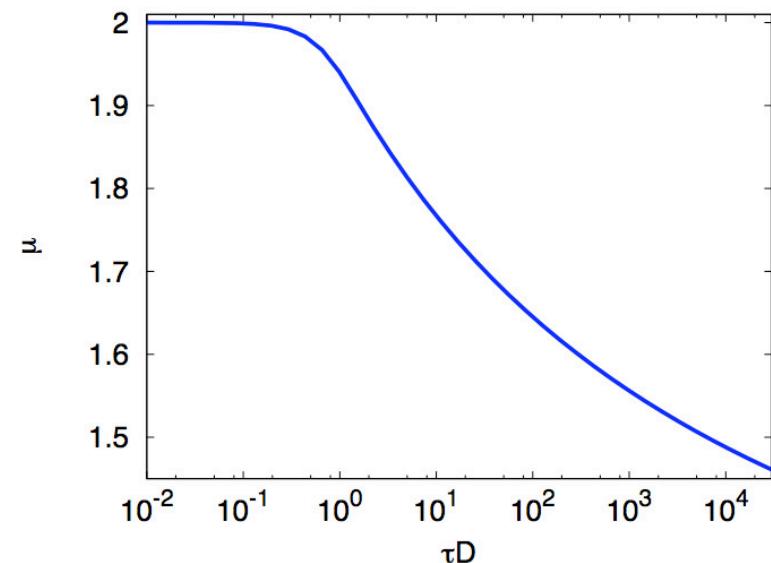
Crossover from adiabatic to instantaneous quenching:  
Coupling  $J$  switched on on timescale  $\tau$

Measure of non-adiabacity:

$$\mu \stackrel{\text{def}}{=} \frac{\lim_{t \rightarrow \infty} \langle O(t) \rangle_{\text{neq}} - \langle O \rangle_0}{\langle O \rangle_{\text{eq}} - \langle O \rangle_0}$$

⇒ Crossover timescale nonperturbative (exponentially large) due to RG flow

[ C. Tomaras, S. K., Preprint this week ]

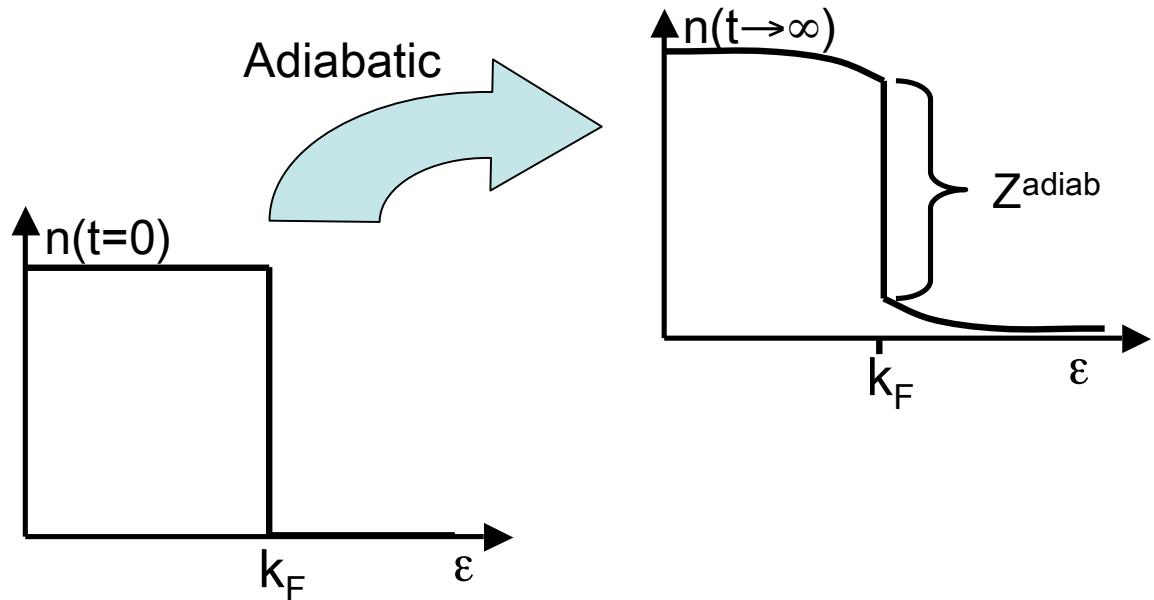


# Sudden Interaction Fermi Liquid

Landau Fermi liquid theory:

Adiabatic switching on of interaction

→ 1 to 1 correspondence between physical electrons and quasiparticles

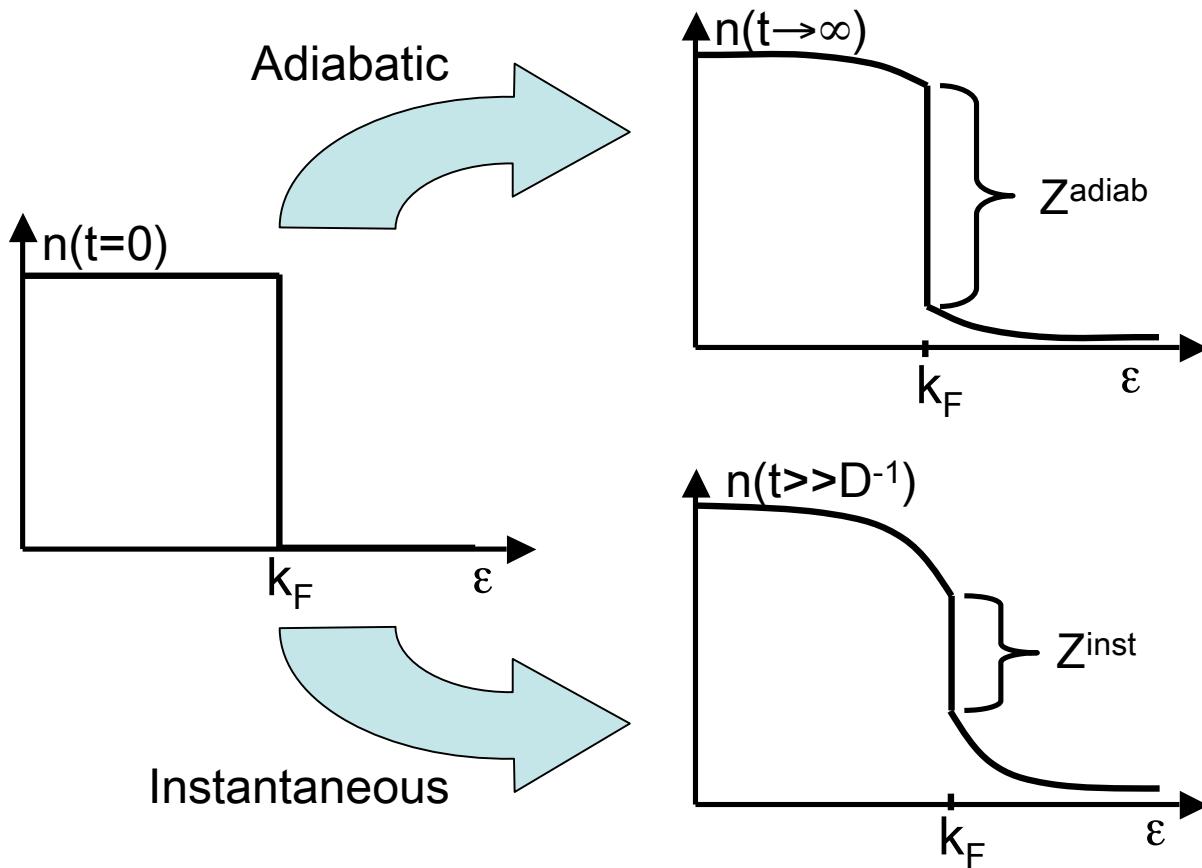


What happens for sudden switching?

Initial Hamiltonian:  $H_i = \sum_{k,\alpha} \epsilon_k c_{k\alpha}^\dagger c_{k\alpha}$

Observable  $O = \begin{cases} n_k & \text{for } k > k_F \\ 1 - n_k & \text{for } k < k_F \end{cases}$

## Calculation to order $U^2$



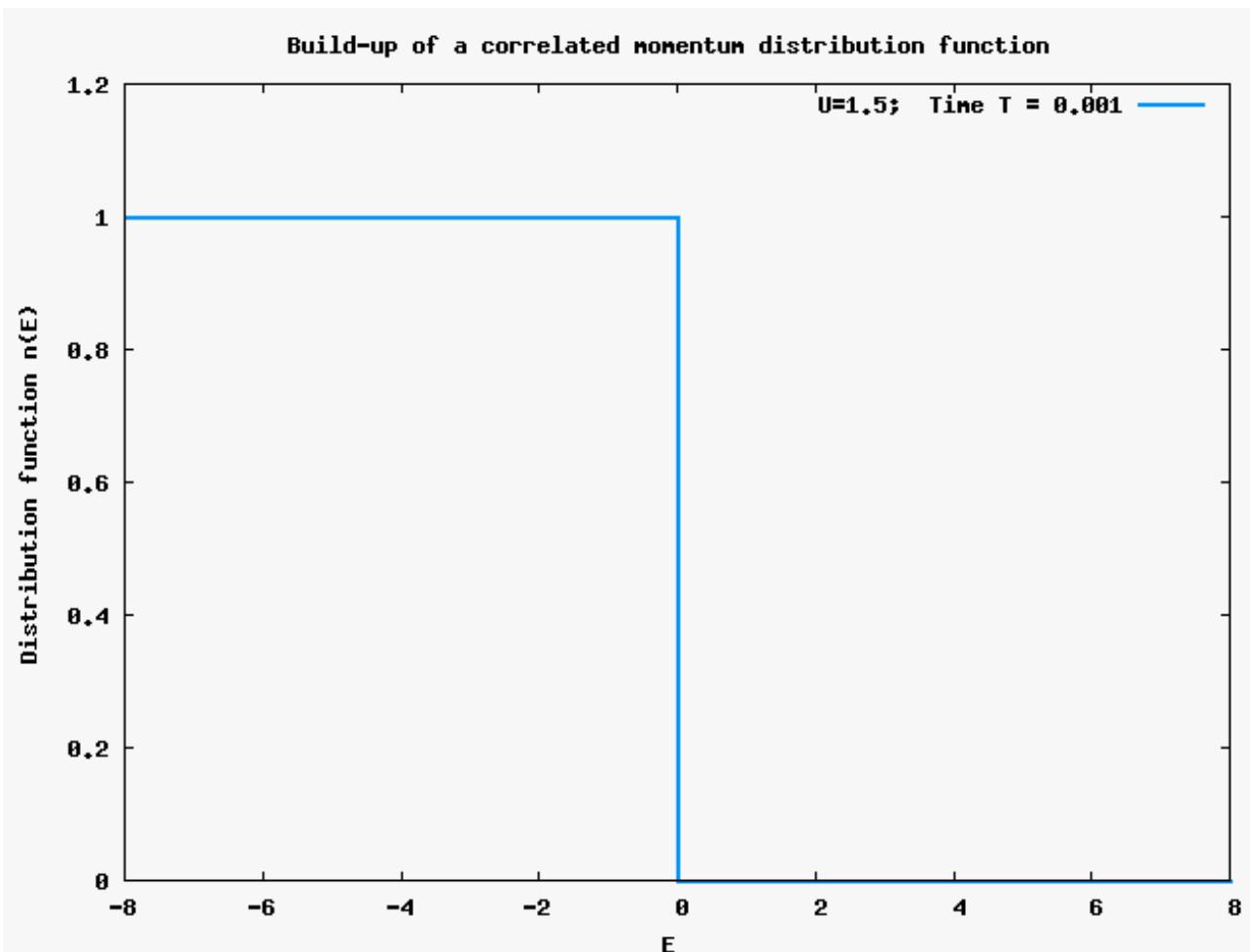
Sudden switching looks  
like  $T=0$  Fermi liquid with  
“wrong” quasiparticle  
residue:

$$1 - Z^{\text{inst}} = 2(1 - Z^{\text{adiab}})$$

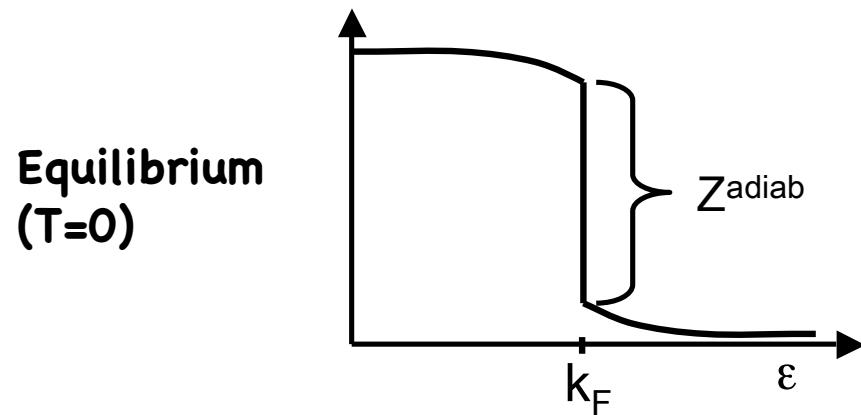
M. Möckel and S. K., Phys. Rev. Lett. 100, 175702 (2008);  
Ann. Phys. 324, 2146 (2009)

Hubbard model in  $d \geq 2$  dimensions:

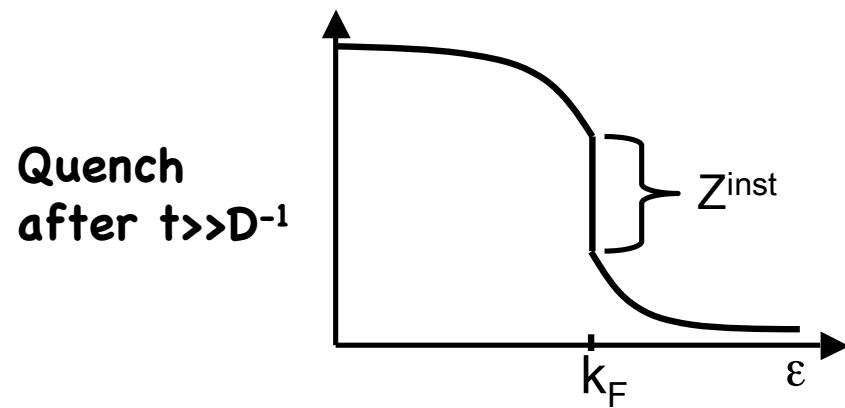
$$H = \sum_{k,\alpha} \varepsilon_k c_{k\alpha}^\dagger c_{k\alpha} + U \Theta(t) \sum_i \left( n_{i\uparrow} - \frac{1}{2} \right) \left( n_{i\downarrow} - \frac{1}{2} \right)$$



**Physical electrons**

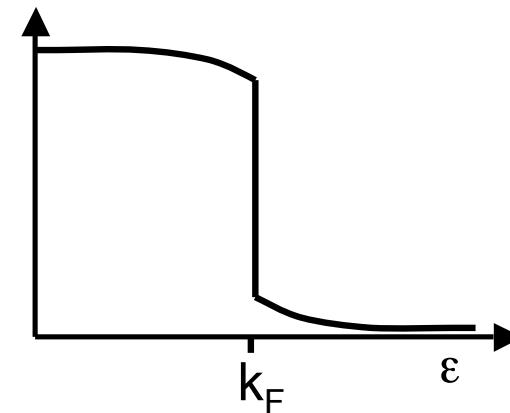
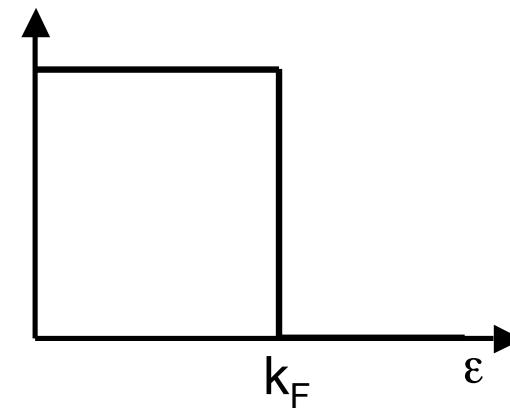


**Equilibrium  
( $T=0$ )**



**Quench  
after  $t \gg D^{-1}$**

**Quasiparticles**



**Nonthermal distribution function**

- ⇒ unstable under Quantum Boltzmann equation dynamics
- ⇒ thermalization on timescale  $t \propto U^{-4}$

# Sudden quench (generic weak interaction g)

## Time scale

$$t \propto D^{-1}$$

- Formation of quasiparticles

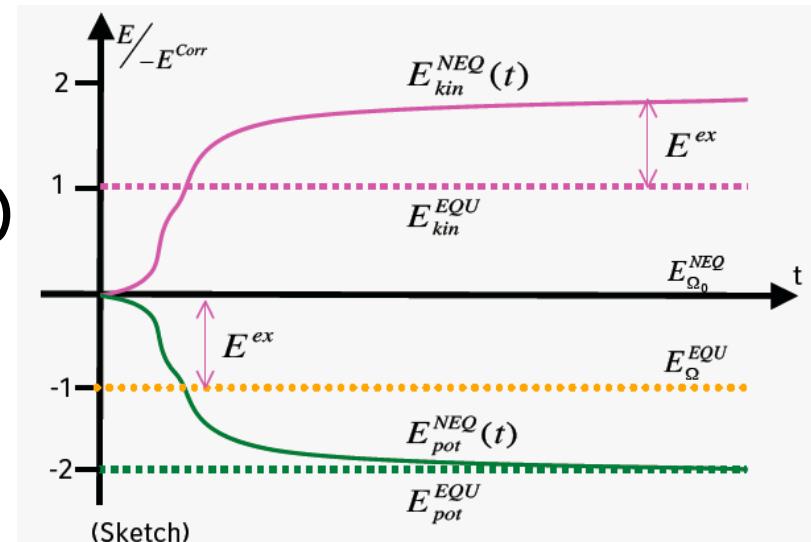
$$D^{-1} \ll t \ll g^{-2}$$

- T=0 Fermi liquid with “wrong” quasiparticle residue:

$$1-Z^{\text{inst}} = 2(1-Z^{\text{adiab}})$$

$$D^{-1} \ll t \ll g^{-4}$$

- Quasi-steady state
- Prethermalization  
(Berges et al. 2004)



$$t \propto g^{-4}$$

- Quantum Boltzmann equation  
(quasiparticles explore available phase space):

Thermalization with  $T_{\text{eff}} \propto g$

# Numerical Studies

M. Eckstein, M. Kollar and P. Werner, Phys. Rev. Lett. 103, 056403 (2009);  
Phys. Rev. B 81, 115131 (2010)

Non-equilibrium DMFT with real time QMC for interaction quench in half-filled Hubbard model

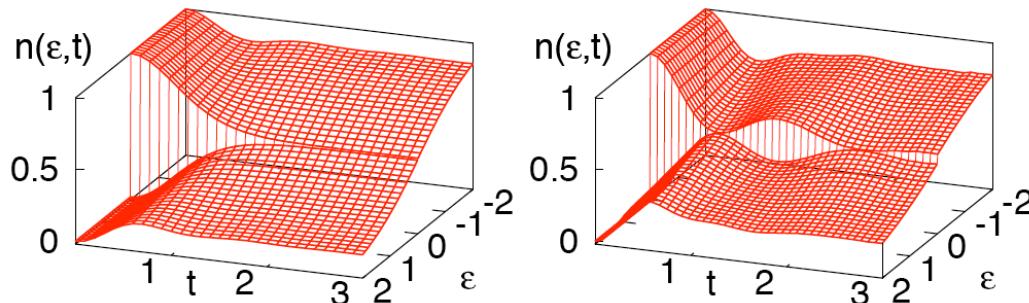
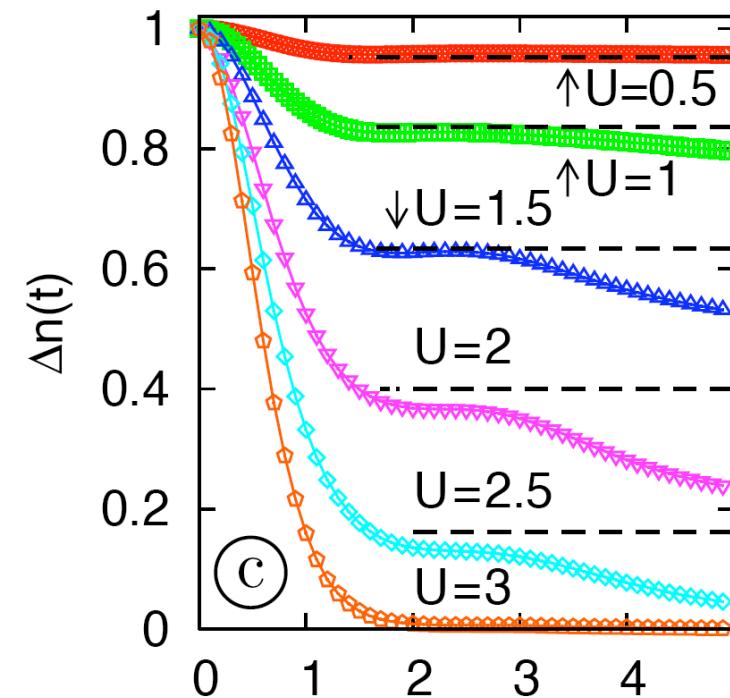


FIG. 1: Momentum distribution  $n(\epsilon_k, t)$  for quenches from  $U = 0$  to  $U = 3$  (left panel) and  $U = 5$  (right panel).



# Nonequilibrium Cooper pairing

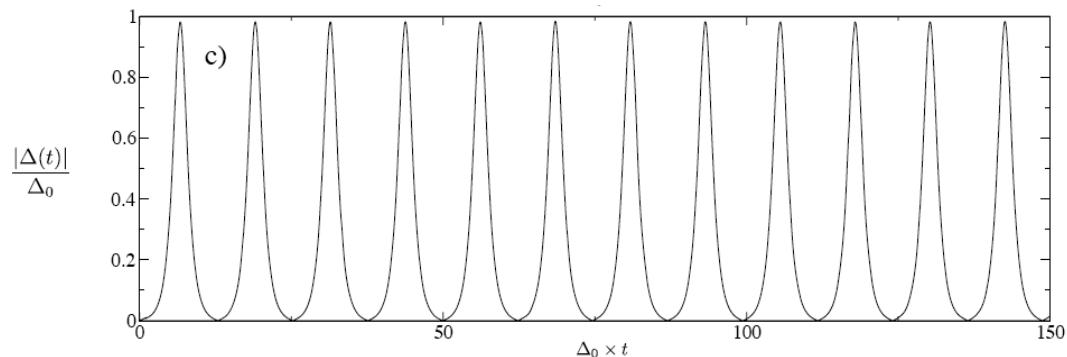
Barankov et al., PRL 93 (2004); Yuzbashyan et al., J. Phys. A 38 (2005)

Time-dependent BCS-Hamiltonian:

$$H = \sum_{k,\alpha} \varepsilon_k c_{k\alpha}^\dagger c_{k\alpha} - \Theta(t) g \sum_{k,k'} c_{k\uparrow}^\dagger c_{-k\downarrow}^\dagger c_{-k'\downarrow} c_{k'\uparrow}$$

BCS channel

Coherent order parameter oscillations for quench from normal state



Yuzbashyan et al., PRL 96 (2006)

Problem: For weak physical (short range) interaction

$$\Delta_{\text{BCS}} \propto \exp(-1/g) \ll T_{\text{eff}} \propto g$$

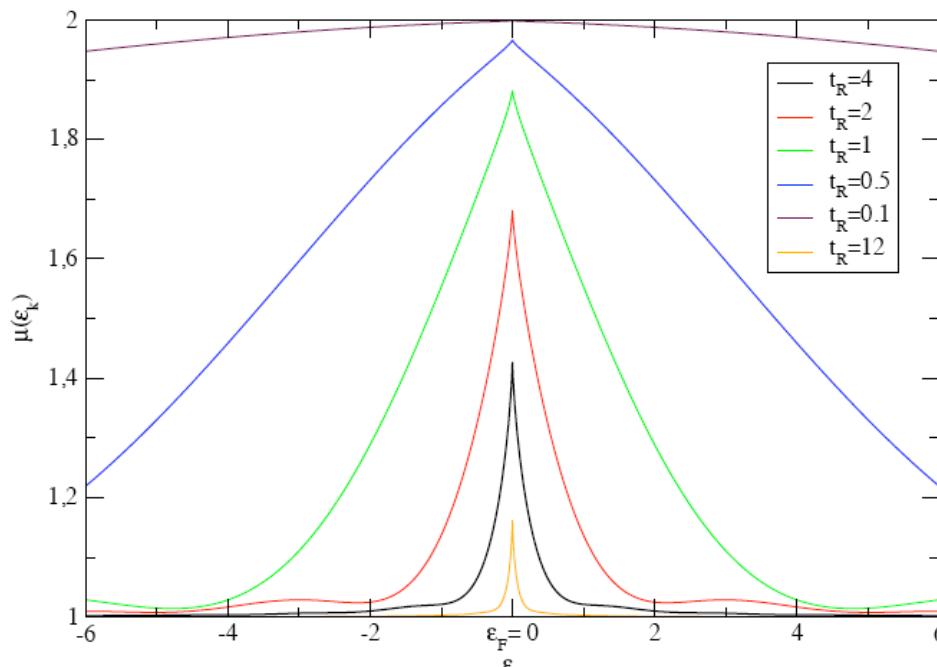
$\Rightarrow$  Thermalization destroys BCS physics

# Crossover from adiabatic to instantaneous quenching

M. Moeckel and S. K., New J. Phys. 12, 055016 (2010)

Linear ramping on timescale  $t_R$ :

$$U(t) = U \begin{cases} 0 & t \leq 0 \\ t/t_R & 0 < t < t_R \\ 1 & t > t_R \end{cases}$$



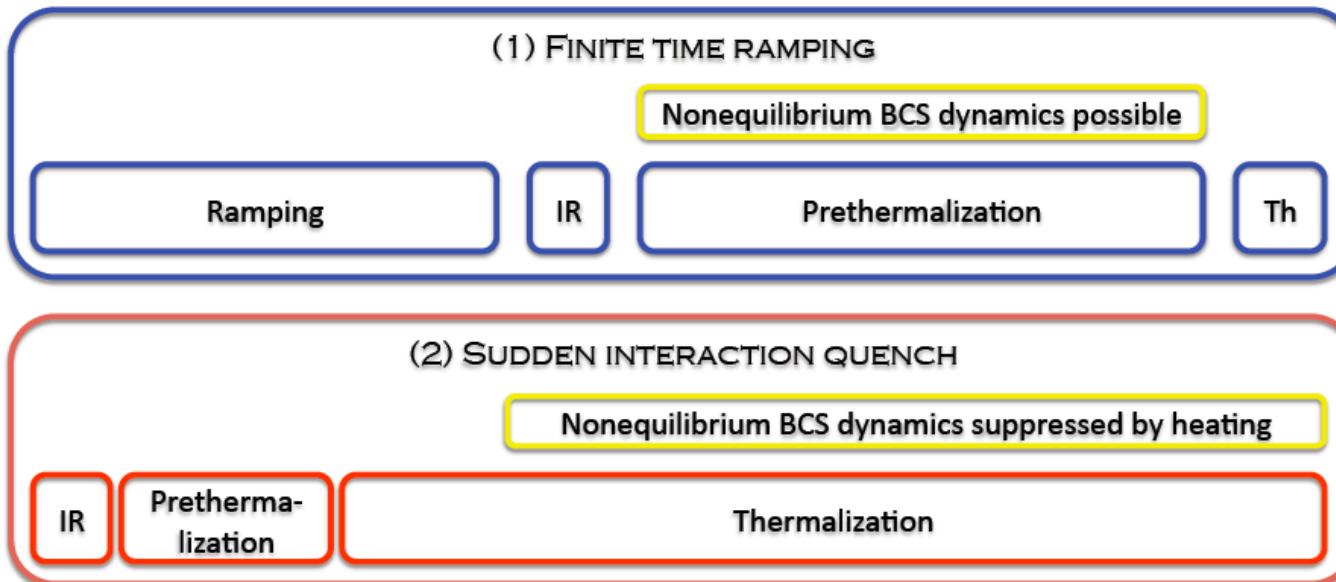
Uniform approach  
to equilibrium also  
at Fermi surface!



Effective temperature for linear ramping:  $T_{\text{eff}} \propto t_R^{-1}$

Quench "instantaneous" for  $t_R \leq \Delta_{\text{BCS}}^{-1}$

But: Excitation energy mainly in high-energy modes & relaxation bottleneck due to prethermalization plateau  
⇒ Broadening of Fermi surface delayed to times  $\propto t_R^2$

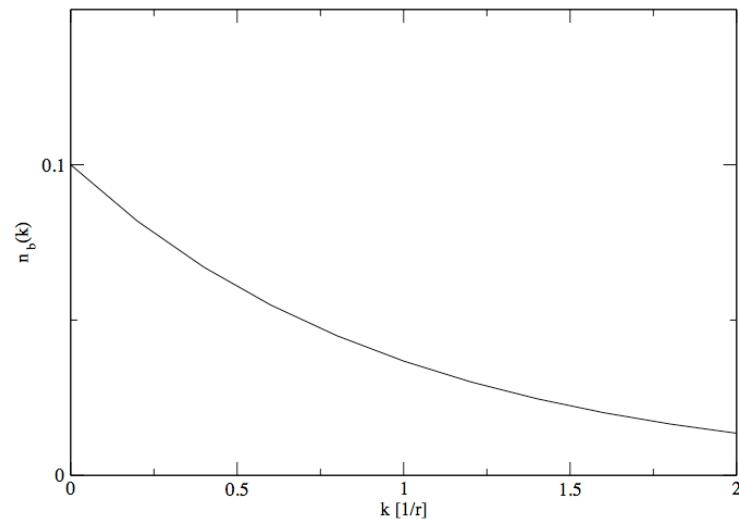


# Interaction Quench in a Luttinger Liquid

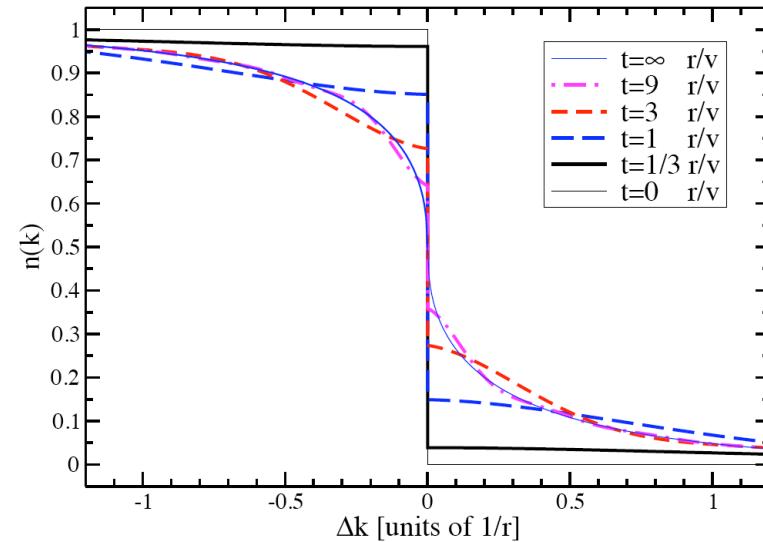
M. Cazalilla, Phys. Rev. Lett. 97 (2006):

Sudden forward scattering in 1d Fermi gas  
⇒ Exact solution via Bogoliubov transformation to free bosons

No time evolution for  
free bosons (quasiparticles)



Time evolution for physical  
fermions (G. Uhrig, Phys. Rev. A 80)



Quasiparticle momentum distribution function time-invariant  
due to lack of quasiparticle interaction.

However, even Boltzmann dynamics from 2-quasiparticle interaction  
is generically ineffective in 1d!  
(No time evolution beyond prethermalized regime.)

Prethermalization:  
Momentum-averaged  
quantities time-indep.,  
but distribution over  
momentum modes  
non-thermal

### Systems with well-defined quasiparticles

No quasiparticle  
description possible

- Weak quenches for  $d > 1$ :
  - Fast prethermalization
  - Thermalization with Boltzmann dynamics
- Weak quenches for  $d = 1$ :
  - Fast prethermalization
  - Long time limit?  
(no 2-particle Boltzmann  
dynamics, constraints due  
to integrability, ...)