

# Dynamics and thermalization in isolated one-dimensional systems

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Disentangling Quantum Many-body Systems:  
Computational and Conceptual Approaches

Kavli Institute for Theoretical Physics

November 2, 2010



## 1 Introduction

- Experiments and numerical simulations

## 2 Non-equilibrium dynamics in one-dimension

- Quantum mechanics
- Time evolution vs exact time average
- Statistical description after relaxation
- Eigenstate thermalization hypothesis

## 3 Integrable systems

- Generalized Gibbs ensemble (GGE)
- Time evolution, time average, and diagonal ensemble
- Statistical description after relaxation
- Eigenstate expectation values and ETH

## 4 Summary



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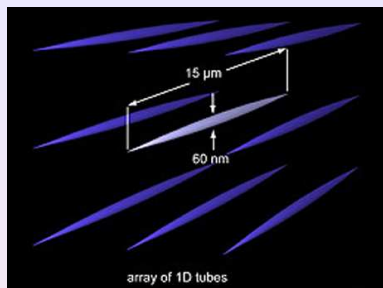
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# Experiments in the 1D regime



## Effective one-dimensional $\delta$ potential

M. Olshanii, PRL **81**, 938 (1998).

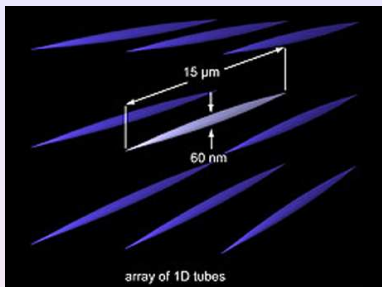
$$U_{1D}(x) = g_{1D}\delta(x)$$

where

$$g_{1D} = \frac{2\hbar a_s \omega_{\perp}}{1 - C a_s \sqrt{\frac{m\omega_{\perp}}{2\hbar}}}$$



# Experiments in the 1D regime



## Girardeau '60

T. Kinoshita, T. Wenger, and D. S. Weiss,  
Science **305**, 1125 (2004).

T. Kinoshita, T. Wenger, and D. S. Weiss,  
Phys. Rev. Lett. **95**, 190406 (2005).

$$\gamma_{eff} = \frac{m g_{1D}}{\hbar^2 \rho}$$

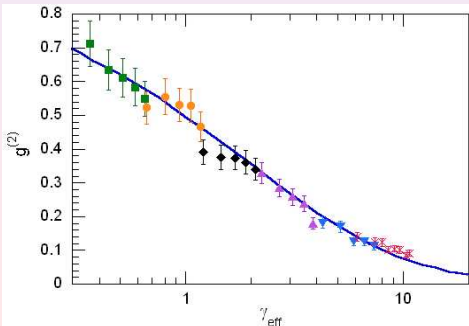
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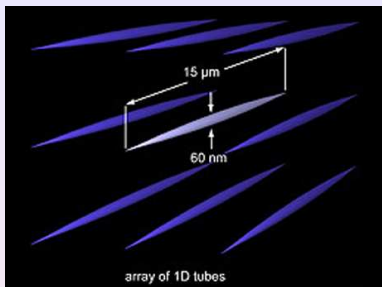
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# Experiments in the 1D regime



Lieb, Schulz, and Mattis '61

B. Paredes *et al.*,  
Nature (London) **429**, 277 (2004).

$n(x)$ : Density distribution

$n(p)$ : Momentum distribution

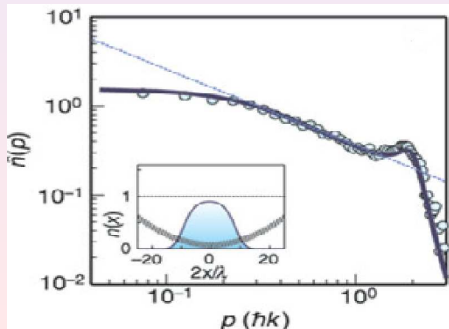
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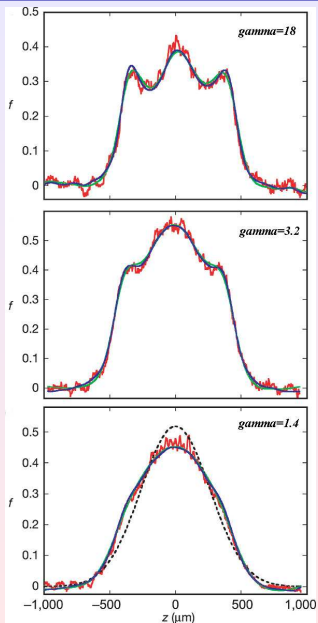
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# Absence of thermalization in 1D?



$$\gamma_{\text{eff}} = \frac{mg_{1D}}{\hbar^2 \rho}$$

$g_{1D}$ : 1D scattering length

$\rho$ : Density

If  $\gamma \gg 1$  the system is in the strongly correlated Tonks-Girardeau regime

If  $\gamma \ll 1$  the system is in the weakly interacting regime

Kinoshita, Wenger, and Weiss,  
Nature **440**, 900 (2006).

Also in: Hofferberth, Lesanovsky,  
Fischer, Schumm, and Schmiedmayer,  
Nature **449**, 324 (2007).



# Absence of thermalization in 1D numerical simulations

## Hard-core bosons (integrable)

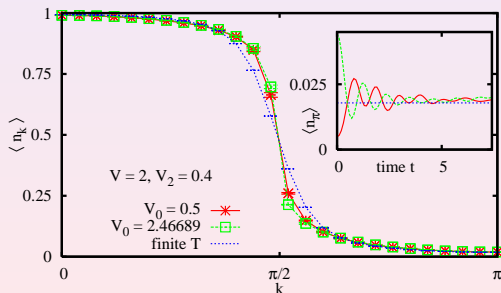
MR, V. Dunjko, V. Yurovsky, and M. Olshanii, PRL **98**, 050405 (2007).

## Spinless fermions Hamiltonian

$$H = -t \sum_j \left( c_{j+1}^\dagger c_j + \text{H.c.} \right) + V \sum_j n_j n_{j+1} + V_2 \sum_j n_j n_{j+2}$$

S. R. Manmana, S. Wessel, R. M. Noack, and A. Muramatsu, PRL **98**, 210405 (2007).

## Momentum distribution function



## Soft-core bosons

C. Kollath, A. Läuchli, and E. Altman, PRL **98**, 180601 (2007).





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# Thermalization in quantum systems

If the initial state is not an eigenstate of  $\hat{H}$

$$|\psi_I\rangle \neq |\Psi_\alpha\rangle \quad \text{where} \quad \hat{H}|\Psi_\alpha\rangle = E_\alpha|\Psi_\alpha\rangle \quad \text{and} \quad E_0 = \langle\psi_I|\hat{H}|\psi_I\rangle,$$

then a generic observable  $O$  will evolve in time following

$$O(\tau) \equiv \langle\psi(\tau)|\hat{O}|\psi(\tau)\rangle \quad \text{where} \quad |\psi(\tau)\rangle = e^{-i\hat{H}\tau}|\psi_I\rangle.$$



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Will a generic  $O$  in a generic system thermalize?

$$\overline{O(\tau)} = O(E_0) = O(T).$$



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One can rewrite

$$O(\tau) = \sum_{\alpha',\alpha} C_{\alpha'}^* C_\alpha e^{i(E_{\alpha'} - E_\alpha)\tau} O_{\alpha'\alpha} \quad \text{where} \quad |\psi_I\rangle = \sum_{\alpha} C_\alpha |\Psi_\alpha\rangle,$$

and taking the infinite time average (diagonal ensemble)

$$\overline{O(\tau)} = \lim_{\tau \rightarrow \infty} \frac{1}{\tau} \int_0^\tau d\tau' \langle\Psi(\tau')|\hat{O}|\Psi(\tau')\rangle = \sum_{\alpha} |C_\alpha|^2 O_{\alpha\alpha} \equiv \langle\hat{O}\rangle_{\text{diag}},$$

which depends on the initial conditions through  $C_\alpha = \langle\Psi_\alpha|\psi_I\rangle$ .



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# Relaxation dynamics of hard-core boson in 1D

## Hardcore bosons in one dimension

$$\hat{H} = \sum_{i=1}^L \left\{ -t \left( \hat{b}_i^\dagger \hat{b}_{i+1} + \text{H.c.} \right) + V \hat{n}_i \hat{n}_{i+1} - t' \left( \hat{b}_i^\dagger \hat{b}_{i+2} + \text{H.c.} \right) + V' \hat{n}_i \hat{n}_{i+2} \right\}$$

MR, Phys. Rev. Lett. **103**, 100403 (2009); Phys. Rev. A **80**, 053607 (2009).



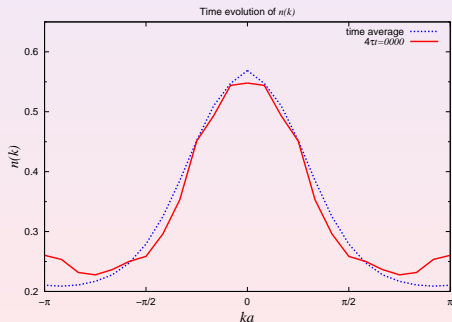
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## Nonequilibrium dynamics in 1D



$N = 8$  bosons

$L = 24$  lattice sites

Hilbert space:  $H = 735, 471$

Largest  $k$ -sector:  $D = 30, 667$

Fix  $t' = V'$  and quench

$t_{ini} = 0.5, V_{ini} = 2$

$\rightarrow t_{fin} = 1, V_{fin} = 1$

All  $k = 0$  states are used!



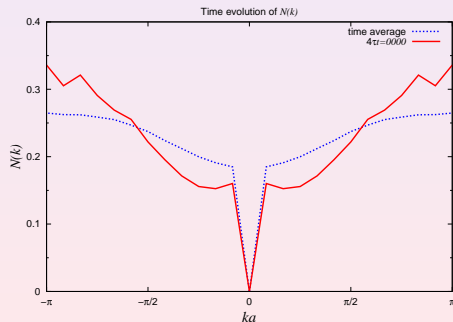
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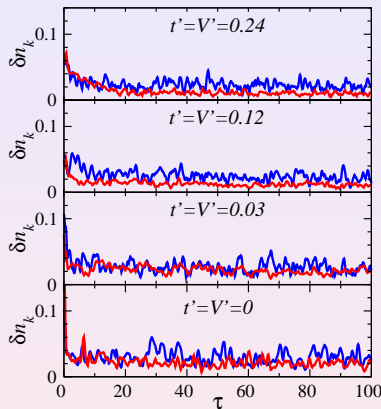
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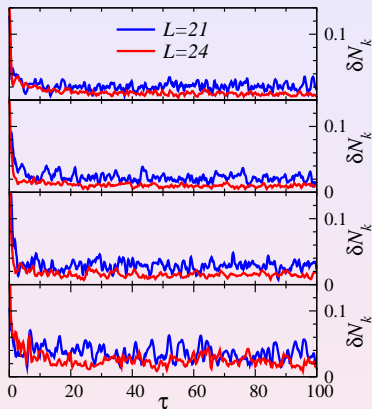


# Time evolution and scaling with system size



Relative differences

$$\delta n_k(\tau) = \frac{\sum_k |n(k, \tau) - n_{diag}(k)|}{\sum_k n_{diag}(k)}$$



Effective temperature  $T = 3.0$

$$E = Z^{-1} \text{Tr} \left\{ \hat{H} \exp(-\hat{H}/k_B T) \right\}$$



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# Statistical description after relaxation (nonintegrable)

## Canonical calculation

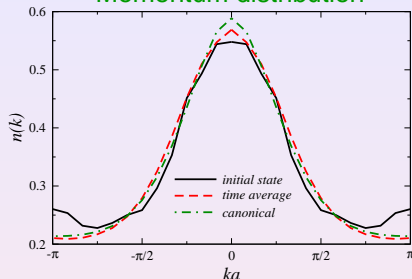
$$A = \text{Tr} \left\{ \hat{A} \hat{\rho} \right\}$$

$$\hat{\rho} = Z^{-1} \exp \left( -\hat{H} / k_B T \right)$$

$$Z = \text{Tr} \left\{ \exp \left( -\hat{H} / k_B T \right) \right\}$$

$$E_0 = \text{Tr} \left\{ \hat{H} \hat{\rho} \right\} \quad T = 3.0J$$

## Momentum distribution



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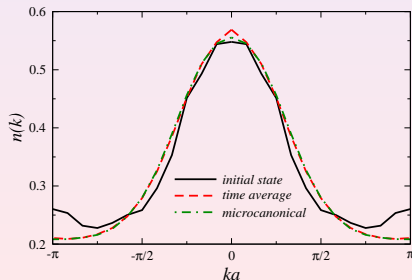
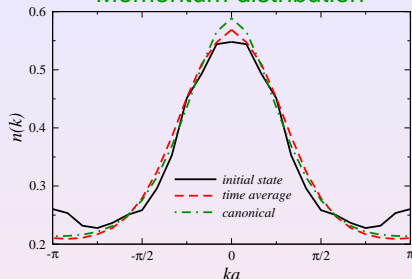
## Microcanonical calculation

$$A = \frac{1}{N_{states}} \sum_{\alpha} \langle \Psi_{\alpha} | \hat{A} | \Psi_{\alpha} \rangle$$

with  $E_0 - \Delta E < E_{\alpha} < E_0 + \Delta E$

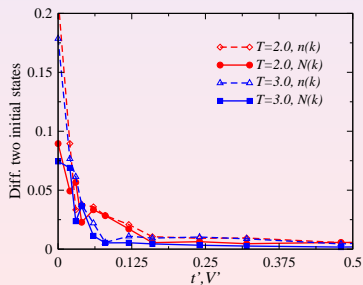
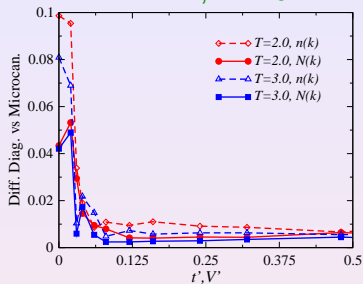
$N_{states}$  : # of states in the window

## Momentum distribution



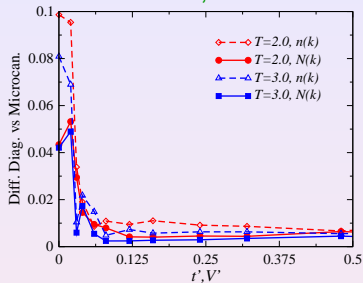
# Breakdown of thermalization

$$L = 24, N = 8$$

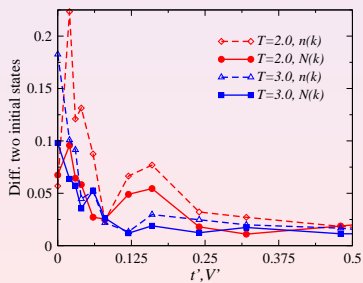
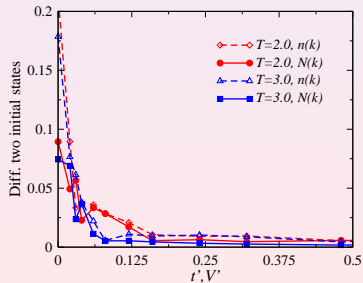
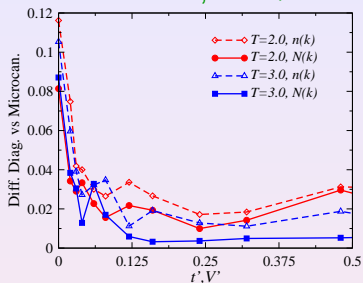


# Breakdown of thermalization

$L = 24, N = 8$



$L = 21, N = 7$



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# Eigenstate thermalization hypothesis

## Paradox?

$$\sum_{\alpha} |C_{\alpha}|^2 A_{\alpha\alpha} = \langle A \rangle_{\text{microcan.}}(E_0) \equiv \frac{1}{N_{E_0, \Delta E}} \sum_{|E_0 - E_{\alpha}| < \Delta E} A_{\alpha\alpha}$$

**Left hand side:** Depends on the initial conditions through  $C_{\alpha} = \langle \Psi_{\alpha} | \psi_I \rangle$

**Right hand side:** Depends only on the initial energy





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- i) For physically relevant initial conditions,  $|C_{\alpha}|^2$  practically do not fluctuate.
- ii) Large (and uncorrelated) fluctuations occur in both  $A_{\alpha\alpha}$  and  $|C_{\alpha}|^2$ . Any physically relevant initial state performs an unbiased sampling of  $A_{\alpha\alpha}$ .



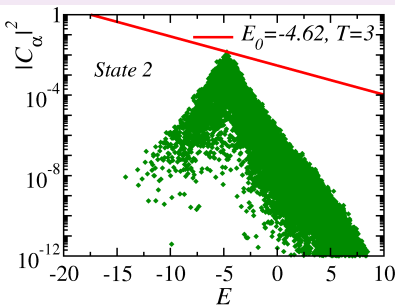
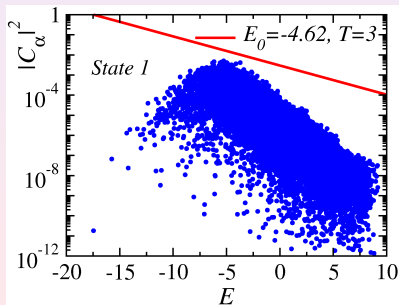
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MR, PRA **82**, 037601 (2010).



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## Eigenstate thermalization hypothesis (ETH)

[Deutsch, PRA **43** 2046 (1991); Srednicki, PRE **50**, 888 (1994); Rigol, Dunjko, and Olshanii, Nature **452**, 854 (2008).]

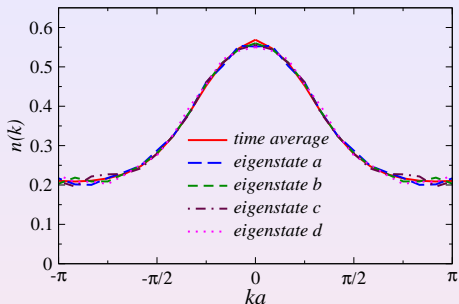
- iii) The expectation value  $\langle \Psi_{\alpha} | \hat{A} | \Psi_{\alpha} \rangle$  of a few-body observable  $\hat{A}$  in an eigenstate of the Hamiltonian  $|\Psi_{\alpha}\rangle$ , with energy  $E_{\alpha}$ , of a large interacting many-body system equals the thermal average of  $\hat{A}$  at the mean energy  $E_{\alpha}$ :

$$\langle \Psi_{\alpha} | \hat{A} | \Psi_{\alpha} \rangle = \langle A \rangle_{\text{microcan.}}(E_{\alpha})$$



## Momentum distribution

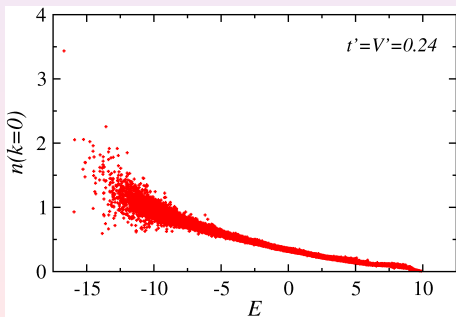
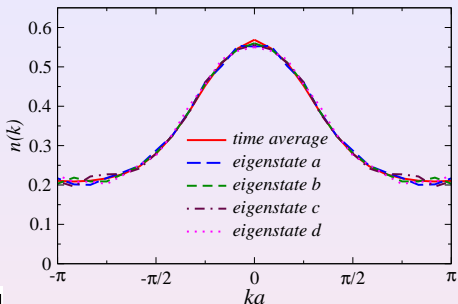
Eigenstates  $a - d$  are the ones with energies closest to  $E_0$



# ETH – far away from integrability ( $t' = V' = 0.24$ )

## Momentum distribution

Eigenstates  $a - d$  are the ones with energies closest to  $E_0$



## $n(k_x = 0)$ vs energy

$$\rho(E) = P(E) \times \text{dens. stat.}$$

$$P(E)_{\text{exact}} \rightarrow |C_\alpha|^2$$

$$P(E)_{\text{mic.}} \rightarrow \text{constant}$$

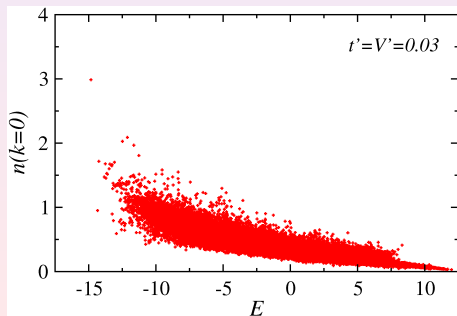
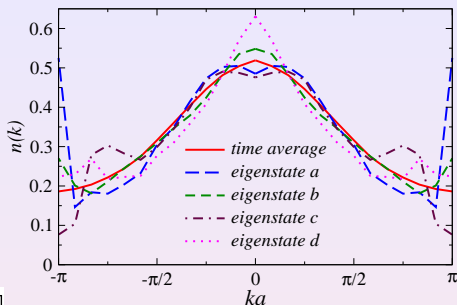
$$P(E)_{\text{can.}} \rightarrow \exp(-E/k_B T)$$



# Breakdown of ETH $\rightarrow$ integrability ( $t' = V' = 0.03$ )

## Momentum distribution

Eigenstates  $a - d$  are the ones with energies closest to  $E_0$



## $n(k_x = 0)$ vs energy

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# Bose-Fermi mapping

## Hard-core boson Hamiltonian in an external potential

$$\hat{H} = -J \sum_i \left( \hat{b}_i^\dagger \hat{b}_{i+1} + \text{H.c.} \right) + \sum_i \mu_i \hat{n}_i$$

## Constraints on the bosonic operators

$$\hat{b}_i^{\dagger 2} = \hat{b}_i^2 = 0$$



## Map to spins and then to fermions (Jordan-Wigner transformation)

$$\sigma_i^+ = \hat{f}_i^\dagger \prod_{\beta=1}^{i-1} e^{-i\pi \hat{f}_\beta^\dagger \hat{f}_\beta}, \quad \sigma_i^- = \prod_{\beta=1}^{i-1} e^{i\pi \hat{f}_\beta^\dagger \hat{f}_\beta} \hat{f}_i$$



## Non-interacting fermion Hamiltonian

$$\hat{H}_F = -J \sum_i \left( \hat{f}_i^\dagger \hat{f}_{i+1} + \text{H.c.} \right) + \sum_i \mu_i \hat{n}_i^f$$





# One-particle density matrix

## One-particle Green's function

$$G_{ij} = \langle \Psi_{HCB} | \sigma_i^- \sigma_j^+ | \Psi_{HCB} \rangle = \langle \Psi_F | \prod_{\beta=1}^{i-1} e^{i\pi \hat{f}_\beta^\dagger \hat{f}_\beta} \hat{f}_i \hat{f}_j^\dagger \prod_{\gamma=1}^{j-1} e^{-i\pi \hat{f}_\gamma^\dagger \hat{f}_\gamma} | \Psi_F \rangle$$



## Time evolution

$$|\Psi_F(\tau)\rangle = e^{-i\hat{H}_F\tau/\hbar} |\Psi_F^I\rangle = \prod_{\delta=1}^N \sum_{\sigma=1}^L P_{\sigma\delta}(\tau) \hat{f}_\sigma^\dagger |0\rangle$$



## Exact Green's function

$$G_{ij}(\tau) = \det \left[ \left( \mathbf{P}^l(\tau) \right)^\dagger \mathbf{P}^r(\tau) \right]$$

Computation time  $\sim L^2 N^3 \rightarrow$  study very large systems

3000 lattice sites,      300 particles

MR and A. Muramatsu, PRL **93**, 230404 (2004); PRL **94**, 240403 (2005).



# Finite temperature

## One-particle density matrix (grand-canonical ensemble)

$$\rho_{ij} \equiv \frac{1}{Z} \text{Tr} \left\{ \hat{b}_i^\dagger \hat{b}_j e^{-\frac{\hat{H}_{HCB} - \mu \sum_m \hat{b}_m^\dagger \hat{b}_m}{k_B T}} \right\}, \quad Z = \text{Tr} \left\{ e^{-\frac{\hat{H}_{HCB} - \mu \sum_m \hat{b}_m^\dagger \hat{b}_m}{k_B T}} \right\}$$



## Mapping to noninteracting fermions

$$\rho_{ij} = \frac{1}{Z} \text{Tr} \left\{ \hat{f}_i^\dagger \hat{f}_j \prod_{k=1}^{j-1} e^{i\pi \hat{f}_k^\dagger \hat{f}_k} e^{-\frac{\hat{H}_F - \mu \sum_m \hat{f}_m^\dagger \hat{f}_m}{k_B T}} \prod_{l=1}^{i-1} e^{-i\pi \hat{f}_l^\dagger \hat{f}_l} \right\}$$



## Exact one-particle density matrix

$$\rho_{ij} = \frac{1}{Z} \left\{ \det \left[ \mathbf{I} + (\mathbf{I} + \mathbf{A}) \mathbf{O}_1 \mathbf{U} e^{-(\mathbf{E} - \mu \mathbf{I})/k_B T} \mathbf{U}^\dagger \mathbf{O}_2 \right] - \det \left[ \mathbf{I} + \mathbf{O}_1 \mathbf{U} e^{-(\mathbf{E} - \mu \mathbf{I})/k_B T} \mathbf{U}^\dagger \mathbf{O}_2 \right] \right\}$$

Computation time  $\sim L^5$ : 1000 sites

MR, PRA **72**, 063607 (2005).

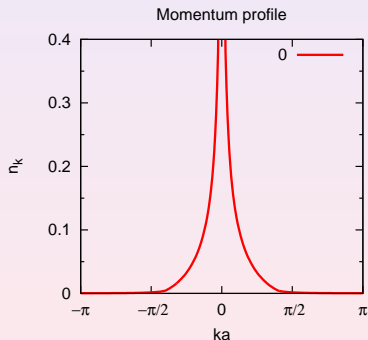
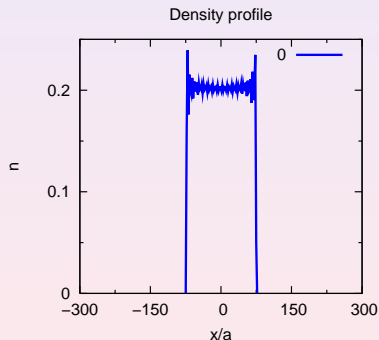


# Relaxation dynamics in an integrable system

## Hard-core boson Hamiltonian in an external potential

$$\hat{H} = -J \sum_i \left( \hat{b}_i^\dagger \hat{b}_{i+1} + \text{H.c.} \right) + \sum_i \mu_i \hat{n}_i$$

Constraints on the bosonic operators:  $\hat{b}_i^{\dagger 2} = \hat{b}_i^2 = 0$



MR, V. Dunjko, V. Yurovsky, and M. Olshanii, PRL **98**, 050405 (2007).



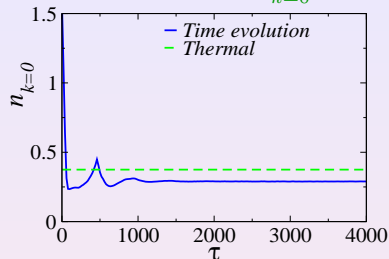
# Statistical description after relaxation

## Thermal equilibrium

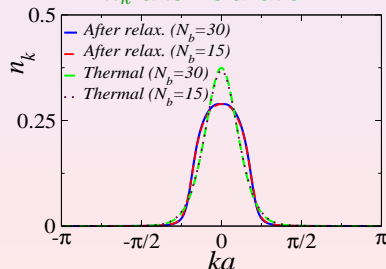
$$\hat{\rho} = Z^{-1} \exp \left[ - \left( \hat{H} - \mu \hat{N} \right) / k_B T \right]$$
$$Z = \text{Tr} \left\{ \exp \left[ - \left( \hat{H} - \mu \hat{N} \right) / k_B T \right] \right\}$$
$$E = \text{Tr} \left\{ \hat{H} \hat{\rho} \right\}, \quad N = \text{Tr} \left\{ \hat{N} \hat{\rho} \right\}$$

MR, PRA **72**, 063607 (2005).

## Evolution of $n_{k=0}$



## $n_k$ after relaxation



# Statistical description after relaxation

## Thermal equilibrium

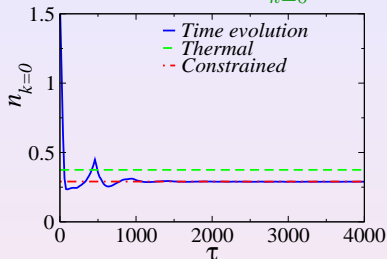
$$\hat{\rho} = Z^{-1} \exp \left[ - \left( \hat{H} - \mu \hat{N} \right) / k_B T \right]$$
$$Z = \text{Tr} \left\{ \exp \left[ - \left( \hat{H} - \mu \hat{N} \right) / k_B T \right] \right\}$$
$$E = \text{Tr} \left\{ \hat{H} \hat{\rho} \right\}, \quad N = \text{Tr} \left\{ \hat{N} \hat{\rho} \right\}$$

MR, PRA **72**, 063607 (2005).

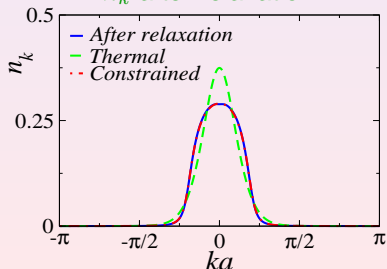
## Constrained equilibrium

$$\hat{\rho}_c = Z_c^{-1} \exp \left[ - \sum_m \lambda_m \hat{I}_m \right]$$
$$Z_c = \text{Tr} \left\{ \exp \left[ - \sum_m \lambda_m \hat{I}_m \right] \right\}$$
$$\langle \hat{I}_m \rangle_{\tau=0} = \text{Tr} \left\{ \hat{I}_m \hat{\rho}_c \right\}$$

## Evolution of $n_{k=0}$



## $n_k$ after relaxation



# Generalized thermalization in integrable systems

If the initial state is not an eigenstate of  $\hat{H}$

$$|\psi_0\rangle \neq |\alpha\rangle \quad \text{where} \quad \hat{H}|\alpha\rangle = E_\alpha|\alpha\rangle \quad \text{and} \quad E_0 = \langle\psi_0|\hat{H}|\psi_0\rangle,$$

then a generic observable  $O$  will evolve in time following

$$O(\tau) \equiv \langle\psi(\tau)|\hat{O}|\psi(\tau)\rangle \quad \text{where} \quad |\psi(\tau)\rangle = e^{-i\hat{H}\tau}|\psi_0\rangle.$$

What is it that we call generalized thermalization?

$$\overline{O(\tau)} = O(I_1, \dots, I_L).$$

One can rewrite

$$O(\tau) = \sum_{\alpha', \alpha} C_{\alpha'}^* C_\alpha e^{i(E_{\alpha'} - E_\alpha)\tau} O_{\alpha'\alpha} \quad \text{where} \quad |\psi_0\rangle = \sum_{\alpha} C_\alpha |\alpha\rangle,$$

and taking the infinite time average (diagonal ensemble?)

$$\overline{O(\tau)} = \lim_{\tau \rightarrow \infty} \frac{1}{\tau} \int_0^\tau d\tau' \langle\Psi(\tau')|\hat{O}|\Psi(\tau')\rangle \stackrel{?}{=} \sum_{\alpha} |C_\alpha|^2 O_{\alpha\alpha} \equiv \langle\hat{O}\rangle_{\text{diag}},$$

which depends on the initial conditions through  $C_\alpha = \langle\alpha|\psi_0\rangle$ .



- 1 Introduction
  - Experiments and numerical simulations
- 2 Non-equilibrium dynamics in one-dimension
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  - Time evolution vs exact time average
  - Statistical description after relaxation
  - Eigenstate thermalization hypothesis

- 3 Integrable systems
  - Generalized Gibbs ensemble (GGE)
  - **Time evolution, time average, and diagonal ensemble**
  - Statistical description after relaxation
  - Eigenstate expectation values and ETH

- 4 Summary



## Hard-core boson Hamiltonian

$$H = -J \sum_{i=1}^{L-1} \left( \hat{b}_i^\dagger \hat{b}_{i+1} + \text{H.c.} \right) + V(\tau) \sum_{i=1}^L (i - L/2)^2 \hat{n}_i$$

A. C. Cassidy, C. W. Clark, and MR, arXiv:1008.4794.





## Hard-core boson Hamiltonian

$$H = -J \sum_{i=1}^{L-1} \left( \hat{b}_i^\dagger \hat{b}_{i+1} + \text{H.c.} \right) + V(\tau) \sum_{i=1}^L (i - L/2)^2 \hat{n}_i$$

A. C. Cassidy, C. W. Clark, and MR, arXiv:1008.4794.

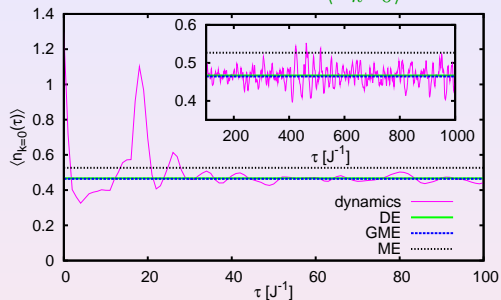
## Numerical calculations

- $V(\tau < 0) = V_0$ ,  $V(\tau \geq 0) = 0$ , and  $n = N/L = 0.2$ .
- The initial state  $|\Psi_0\rangle$  is the **ground state** for  $\tau < 0$ .
- The dynamics and GGE results are obtained in **polynomial time**.
- For all other calculations, the  $|\alpha\rangle$ 's are generated from single particle states ( $L = 50$  and  $N = 10 \Rightarrow 10^{10}$  **states**).
- For the microcanonical and canonical ensembles the usual weights are used.
- For the diagonal ensemble  $C_\alpha = \det [\mathbf{P}_\alpha^\dagger \mathbf{P}_0]$ .



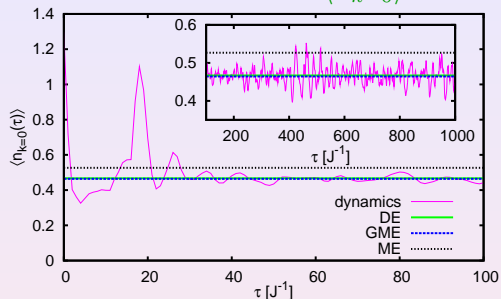
# Time evolution, time average, and diagonal ensemble

## Evolution of $\langle \hat{n}_{k=0} \rangle$



# Time evolution, time average, and diagonal ensemble

## Evolution of $\langle \hat{n}_{k=0} \rangle$



## Error

$$(\Delta n_k)_\tau = \frac{\sum_k |\langle \hat{n}_k \rangle_{\text{DE}} - \bar{n}_k|}{\sum_k \langle \hat{n}_k \rangle_{\text{DE}}}$$

where

$$\bar{n}_k = \frac{1}{\tau_2 - \tau_1} \int_{\tau_1}^{\tau_2} d\tau \langle \hat{n}_k(\tau) \rangle$$

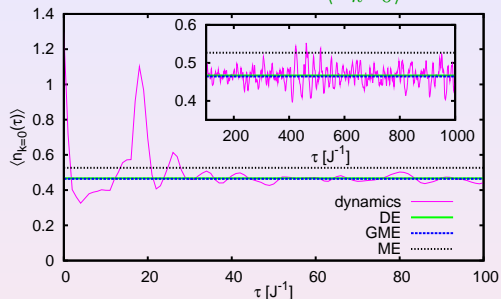
## Fluctuations

$$\sigma_\tau = \sum_k \sqrt{n_k^2 - \bar{n}_k^2}$$



# Time evolution, time average, and diagonal ensemble

## Evolution of $\langle \hat{n}_{k=0} \rangle$



## Error

$$(\Delta n_k)_\tau = \frac{\sum_k |\langle \hat{n}_k \rangle_{\text{DE}} - \overline{n_k}|}{\sum_k \langle \hat{n}_k \rangle_{\text{DE}}}$$

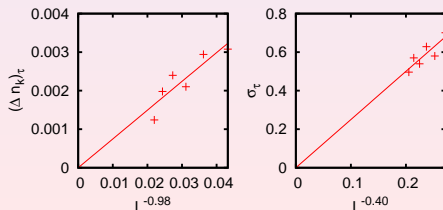
where

$$\overline{n_k} = \frac{1}{\tau_2 - \tau_1} \int_{\tau_1}^{\tau_2} d\tau \langle \hat{n}_k(\tau) \rangle$$

## Fluctuations

$$\sigma_\tau = \sum_k \sqrt{n_k^2 - \overline{n_k}^2}$$

## Scaling



See also: Kollar and Eckstein,  
PRA **78**, 013626 (2008).



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## 3 Integrable systems

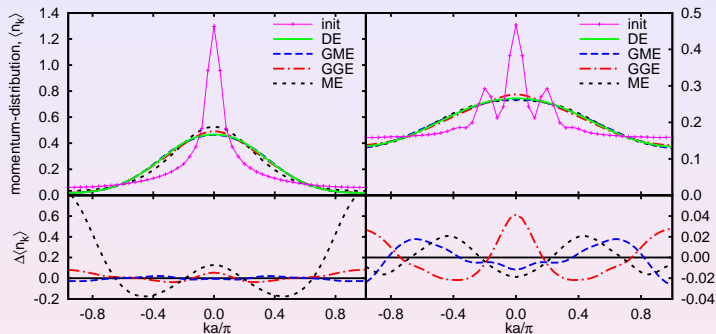
- Generalized Gibbs ensemble (GGE)
- Time evolution, time average, and diagonal ensemble
- **Statistical description after relaxation**
- Eigenstate expectation values and ETH

## 4 Summary



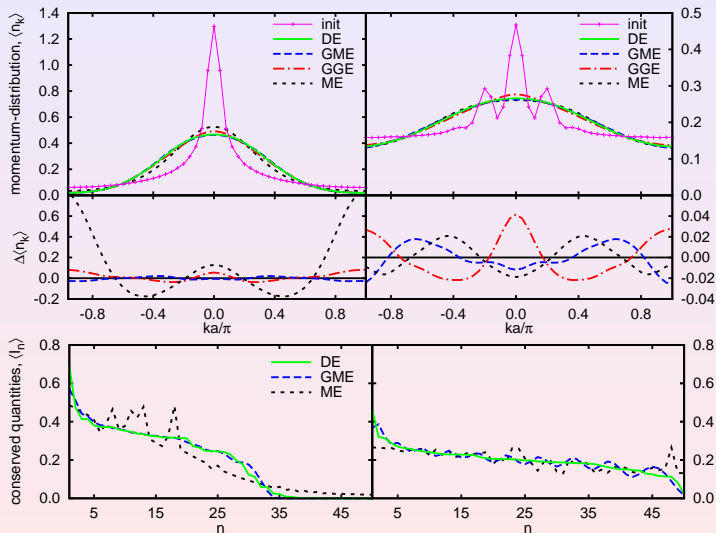
# Statistical description after relaxation

## Results for $n_k$ and the integrals of motion



# Statistical description after relaxation

## Results for $n_k$ and the integrals of motion



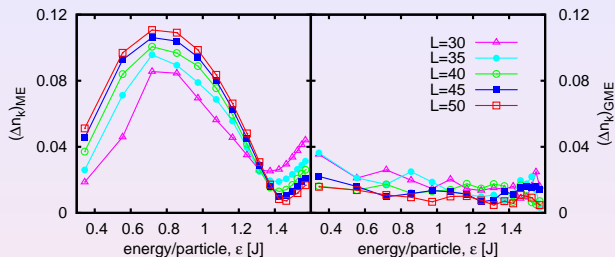
# Generalized microcanonical ensemble (GME)

- GME idea, assign equal weight to all eigenstates whose values of the conserved quantities are close to a target distribution
- GME ingredients
  - 1 Ordered distribution of conserved quantities in the initial state  $I_n$
  - 2 A target distribution of conserved quantities  $\{I_{n_i^*} = 1\}$ , with  $n_i^*$  ( $i = 1, \dots, N$ ) computed to describe  $I_n$  in a coarse grained sense
  - 3 The distance of each individual many-body eigenstate from the target state  $\delta^\alpha = \left[ \frac{1}{N} \sum_{i=1}^N I_{n_i^*} (n_i^\alpha - n_i^*)^2 \right]^{1/2}$
- Target distribution (the  $n_i^*$ 's are not restricted to integer values)
  - 1  $n_1^*$  is computed so that  $\int_{0.5}^{n_1^*} I(x) dx = 0.5$ , where  $I(x) = I_n, x \in (n - 1/2, n + 1/2]$
  - 2 All other values of  $n_i^*$  are computed so that  $\int_{n_{i-1}^*}^{n_i^*} I(x) dx = 1$

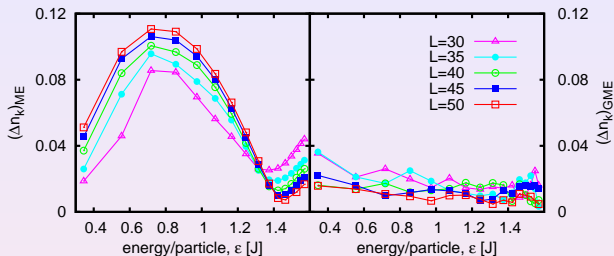




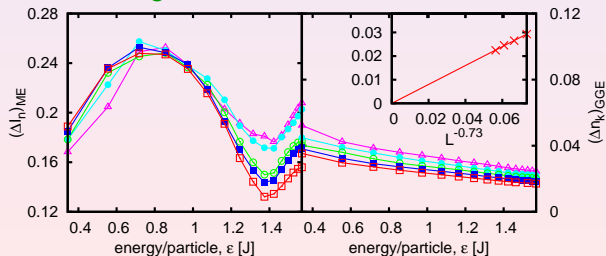
## Results for $\Delta n_k$ in the microcanonical ensembles



## Results for $\Delta n_k$ in the microcanonical ensembles



## Integrals of motion and the GGE



## 1 Introduction

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## 3 Integrable systems

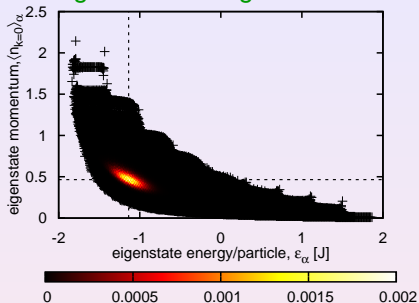
- Generalized Gibbs ensemble (GGE)
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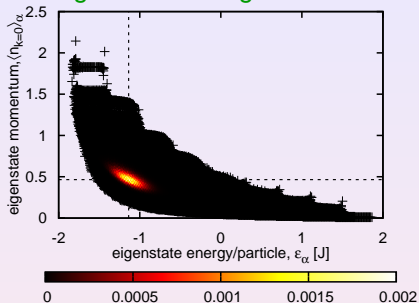
# Eigenstate expectation values and scaling

## Weights in the diagonal ensemble

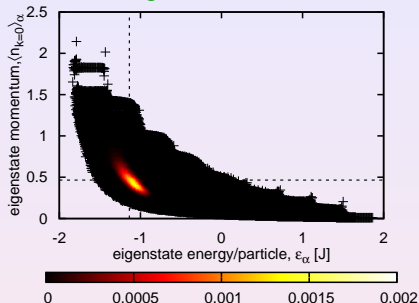


# Eigenstate expectation values and scaling

## Weights in the diagonal ensemble

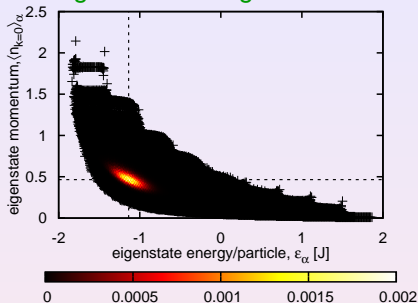


## Weights in the GME

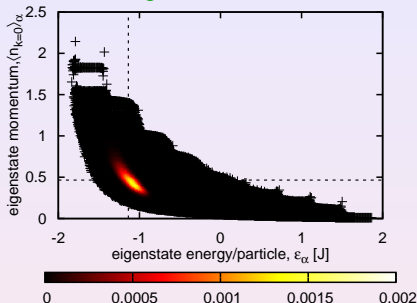


# Eigenstate expectation values and scaling

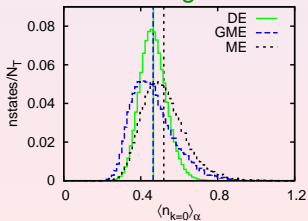
## Weights in the diagonal ensemble



## Weights in the GME

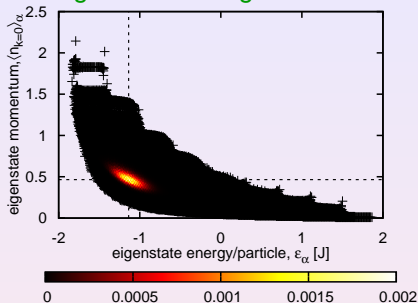


## Histogram

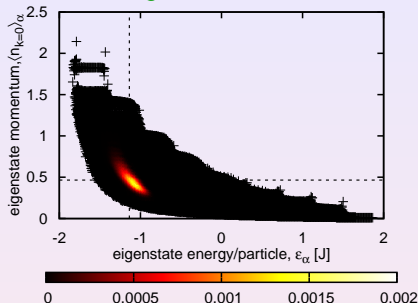


# Eigenstate expectation values and scaling

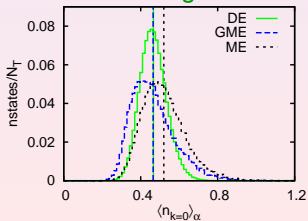
## Weights in the diagonal ensemble



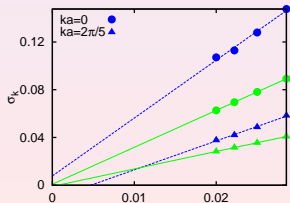
## Weights in the GME



## Histogram



## Scaling of $\sigma_k$



# Eigenstate thermalization hypothesis

## Eigenstate thermalization hypothesis (ETH)

[Deutsch, PRA **43** 2046 (1991); Srednicki, PRE **50**, 888 (1994); Rigol, Dunjko, and Olshanii, Nature **452**, 854 (2008).]

- The expectation value  $\langle \alpha | \hat{O} | \alpha \rangle$  of a few-body observable  $\hat{O}$  in an eigenstate of the Hamiltonian  $|\alpha\rangle$ , with energy  $E_\alpha$ , of a many-body system equals the thermal average of  $\hat{O}$  at the mean energy  $E_\alpha$ :

$$\langle \alpha | \hat{O} | \alpha \rangle = \langle \hat{O} \rangle_{\text{microcan.}}(E_\alpha)$$





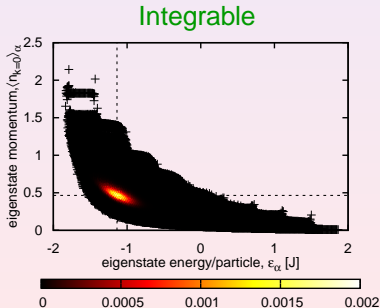
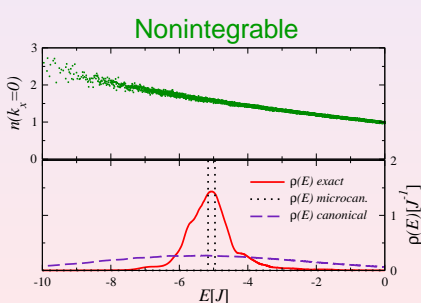
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- The expectation value  $\langle \alpha | \hat{O} | \alpha \rangle$  of a few-body observable  $\hat{O}$  in an eigenstate of the Hamiltonian  $|\alpha\rangle$ , with energy  $E_\alpha$ , of a many-body system equals the thermal average of  $\hat{O}$  at the mean energy  $E_\alpha$ :

$$\langle \alpha | \hat{O} | \alpha \rangle = \langle \hat{O} \rangle_{\text{microcan.}}(E_\alpha)$$



# Summary

- There is thermalization far away from integrability
  - ★ Finite size effects
- Eigenstate thermalization hypothesis
  - ★  $\langle \Psi_\alpha | \hat{A} | \Psi_\alpha \rangle = \langle A \rangle_{\text{microcan.}}(E_\alpha)$
- Thermalization and ETH break down close integrability (finite system)
  - ★ KAM in the thermodynamic limit?
- In integrable systems observables after relaxation can be described by generalized statistical ensembles (GGE and GME)
  - ★ The number of constraints increases polynomially with system size while the Hilbert space increases exponentially with system size
- “Updated” ensembles have their origin in a generalized view of ETH: eigenstates with similar integrals of motion have similar observables
  - ★ Typicality and thermodynamics for isolated integrable systems?



# Collaborators

- Amy C. Cassidy (NIST)
- Charles W. Clark (NIST)
- Vanja Dunjko (U Mass Boston)
- Alejandro Muramatsu (U Stuttgart)
- Maxim Olshanii (U Mass Boston)
- Lea F. Santos (Yeshiva U)
- Vladimir Yurovsky (Tel Aviv U)



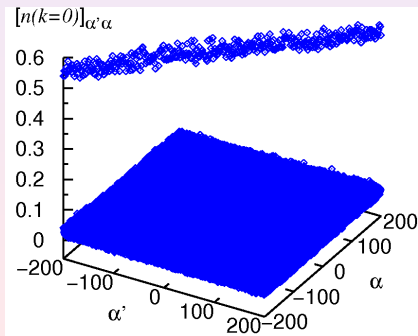
# Time fluctuations

Are they small because of dephasing?

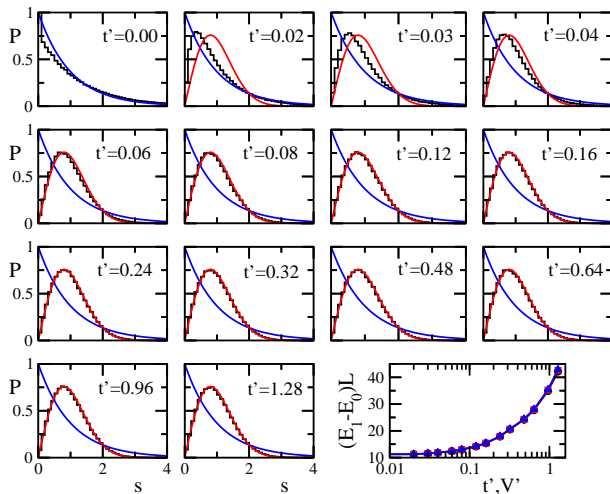
$$\begin{aligned}\langle \hat{A}(t) \rangle - \overline{\langle \hat{A}(t) \rangle} &= \sum_{\substack{\alpha', \alpha \\ \alpha' \neq \alpha}} C_{\alpha'}^* C_{\alpha} e^{i(E_{\alpha'} - E_{\alpha})t} A_{\alpha' \alpha} \sim \sum_{\substack{\alpha', \alpha \\ \alpha' \neq \alpha}} \frac{e^{i(E_{\alpha'} - E_{\alpha})t}}{N_{\text{states}}} A_{\alpha' \alpha} \\ &\sim \frac{\sqrt{N_{\text{states}}^2}}{N_{\text{states}}} A_{\alpha' \alpha}^{\text{typical}} \sim A_{\alpha' \alpha}^{\text{typical}}\end{aligned}$$

Time average of  $\langle \hat{A} \rangle$

$$\begin{aligned}\overline{\langle \hat{A} \rangle} &= \sum_{\alpha} |C_{\alpha}|^2 A_{\alpha \alpha} \\ &\sim \sum_{\alpha} \frac{1}{N_{\text{states}}} A_{\alpha \alpha} \sim A_{\alpha \alpha}^{\text{typical}}\end{aligned}$$



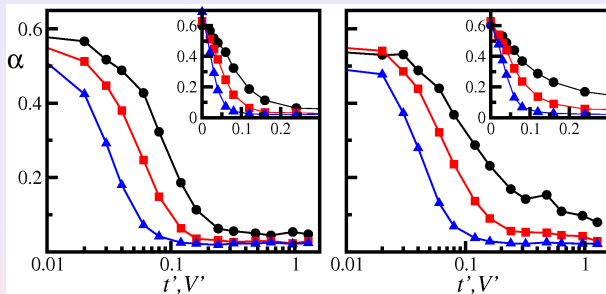
# Level spacing distribution



L.F. Santos and MR, Phys. Rev. E **81**, 036206 (2010).



# Scaling of the level spacing distribution



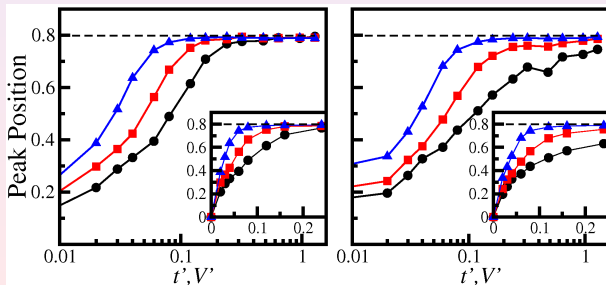
Left panels  
(bosons)

Right panels  
(fermions)

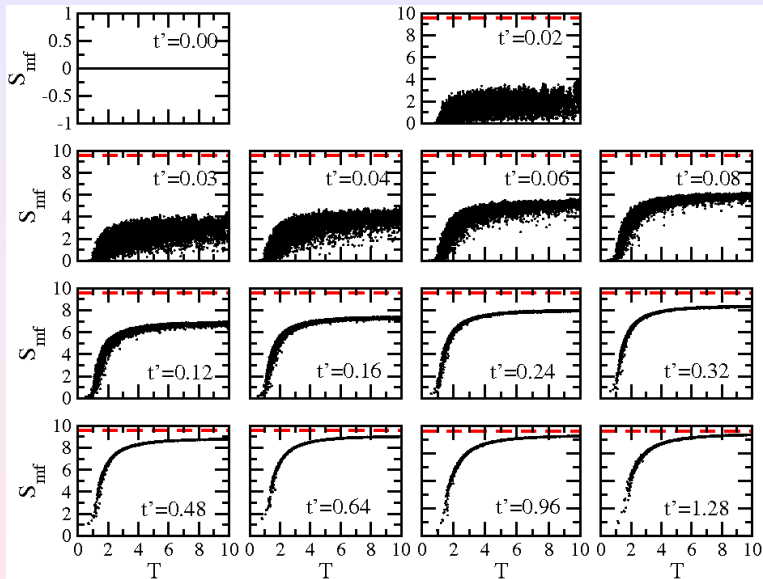
Black circles  
 $L = 18, N_p = 6$

Red squares  
 $L = 21, N_p = 7$

Blue triangles  
 $L = 24, N_p = 8$



# Information entropy ( $S_j \equiv -\sum_{k=1}^D |c_j^k|^2 \ln |c_j^k|^2$ )



L.F. Santos and MR, Phys. Rev. E **81**, 036206 (2010).



# Relation between thermalization and ETH

## Quantifying ETH

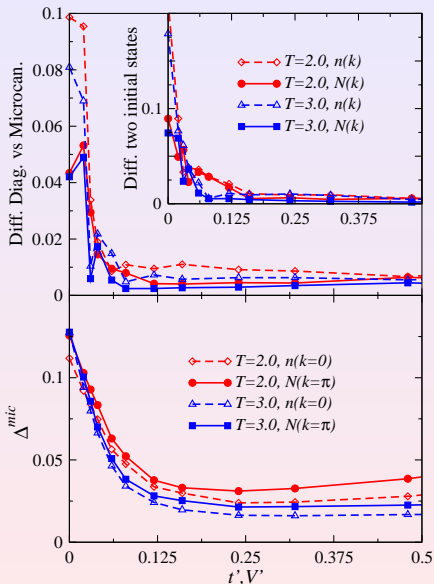
$$\Delta^{mic}_O = \frac{\sum_{\alpha} |O_{\alpha\alpha} - O_{mic}|}{N_{states} O_{mic}}$$

$O_{\alpha\alpha}$ : eigenstate expectation values of  $\hat{O}$

$O_{mic}$ : microcanonical expectation values of  $\hat{O}$

The sum over  $\alpha$  contains all states with energies in the window  $[E - \Delta E, E + \Delta E]$ , and  $N_{states}$  is the number of states in the sum ( $\Delta E = 0.1$ ).

Observables of interest:  
 $n(k=0)$  and  $N(k=\pi)$





# Statistical description after relaxation

## Integrals of motion

(underlying noninteracting fermions)

$$\hat{H}_F \hat{\gamma}_m^{f\dagger} |0\rangle = E_m \hat{\gamma}_m^{f\dagger} |0\rangle$$
$$\left\{ \hat{I}_m^f \right\} = \left\{ \hat{\gamma}_m^{f\dagger} \hat{\gamma}_m^f \right\}$$

## Lagrange multipliers

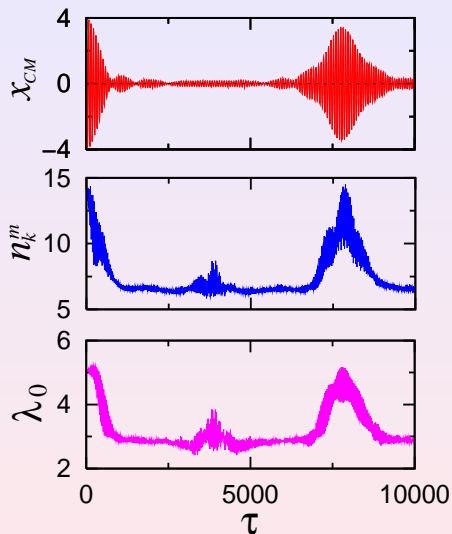
$$\lambda_m = \ln \left[ \frac{1 - \langle \hat{I}_m \rangle_{\tau=0}}{\langle \hat{I}_m \rangle_{\tau=0}} \right]$$

## Other examples in:

- M. A. Cazalilla, PRL **97**, 156403, (2006).
- P. Calabrese and J. Cardy, J. Stat. Mech.: Theory Exp., P06008 (2007).
- M. Cramer *et al.*, PRL **100**, 030602 (2008).
- T. Barthel and U. Schollwöck, PRL **100**, 100601 (2008).
- M. Eckstein and M. Kollar, PRL **100**, 120404 (2008).
- M. Kollar and M. Eckstein, PRA **78**, 013626 (2008).
- A. Flesch *et al.*, PRA **78**, 033608 (2008).
- A. Iucci and M. A. Cazalilla, PRA **80**, 063619 (2009).
- D. Fioretto and G. Mussardo, NJP **12**, 055015 (2010).
- J. Mossel and J.-S. Caux, NJP **12**, 055028 (2010).



# Poincaré recurrences?



MR *et al.*, Phys. Rev. Lett. **95**, 110402 (2005).

