

# Numerical approaches to dissipative quantum phase transitions

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# Framework

Focus on **strong local correlations** in quantum many-body systems  
( quantum phase transitions, quantum magnetism, high-Tc superconductivity, ... )

# Framework

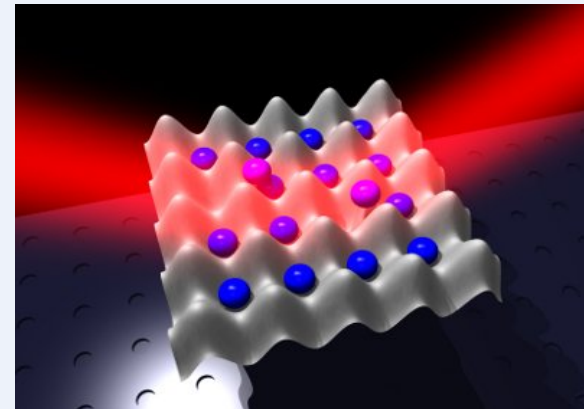
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( quantum phase transitions, quantum magnetism, high-Tc superconductivity, ... )

## Quantum simulators

Feynman, *Int. J. Theor. Phys.* **21**, 467 (1982)

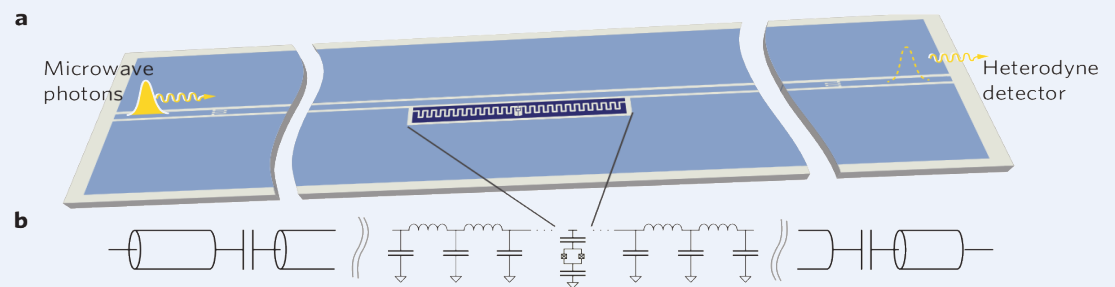
*Nature Physics Insight*, Vol. **8**, No. 4 (2012)

- Josephson junctions arrays
- Optically confined atoms/molecules
- Ion traps
- Nanopatterned semiconductors
- ...



→ novel platforms  
recently devised

Tureci, Wallraff ...



# Outline of the talk

## $Z_2$ -symmetry breaking dissipative phase transitions

- ✓ Quantitative numerical study for a system of interacting **spin-1/2 particles** on a *lattice*
- ✓ **Beyond mean-field** predictions
- ✓ Scaling of correlation functions
- ✓ Role of dimensionality

# Numerical strategies

## **Established methods:**

- Mean-field                     $\longrightarrow$  crude treatment of interactions
- Cluster mean-field

See e.g. Tomadin *et al.*, *Phys. Rev. A* **81**, 061801(R) (2010)

- Quantum trajectories        $\longrightarrow$  severely limited to small sizes ( $L \sim 10$ )

See Daley, *Adv. Phys.* **63**, 77 (2014)

- DMRG-based simulations    $\longrightarrow$  basically work only in 1D

See Verstraete *et al.* *PRL* **93**, 207204 (2004); Zwolak & Vidal, *PRL* **93**, 207205 (2004)

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## Novel approaches:

- Mean-field + quantum trajectories
- Perturbative expansions, linked clusters, ...
- Tensor networks (refined MPOs, PEPO, ...)
- ...

*Hartmann, Koch, Ciuti, Bañuls, Eisert, Keeling, Savona, Weimer, ...*

## A spin-system toy model:

We consider a **spin-1/2 XYZ anisotropic Heisenberg model**

$$\mathcal{H} = \sum_{\langle i,j \rangle} (J_x \sigma_i^x \sigma_j^x + J_y \sigma_i^y \sigma_j^y + J_z \sigma_i^z \sigma_j^z)$$

in the presence of **incoherent dissipative spin-flips** (z axis)

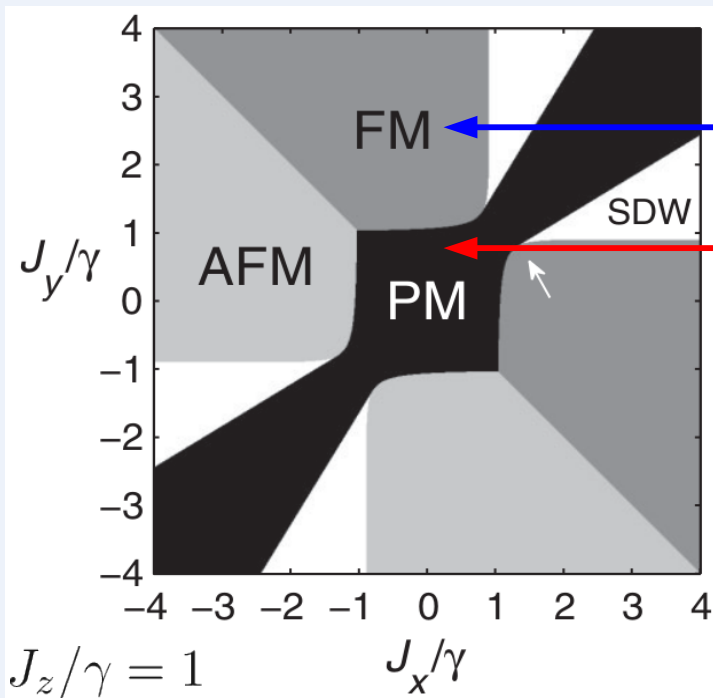
$$\partial_t \rho = -i[\mathcal{H}, \rho] + \gamma \sum_i \left( \sigma_i^- \rho \sigma_i^+ - \frac{1}{2} \{ \sigma_i^+ \sigma_i^-, \rho \} \right)$$

- x-y Hamiltonian anisotropy generates a **competition** between coherent dynamics & dissipative effects
- experimentally implementable with trapped ions
- mean-field study performed in  
T.E. Lee, S. Gopalakrishnan, M.D. Lukin, *Phys. Rev. Lett.* **110**, 257204 (2013)

The master equation has a  $\mathbb{Z}_2$  symmetry  $\sigma_j^x, \sigma_j^y \rightarrow -\sigma_j^x, -\sigma_j^y$

which may **spontaneously break** in *ordered phases*

(e.g.: the **paramagnetic / ferromagnetic** transition in the *Ising model*)



We study the **paramagnet (PM) / ferromagnet (FM)**  
steady-state phase transition

**Q.** What happens *beyond mean-field* ?

- infinite MPO
- cluster mean-field
- mean-field + quantum trajectories

### Mean-field phase diagram

T.E. Lee, S. Gopalakrishnan, M.D. Lukin,  
*Phys. Rev. Lett.* **110**, 257204 (2013)



# One-dimensional geometry

- Due to extremely reduced dimensionality, mean field should **fail**
- Reminiscence of features predicted by mean field
- Presumably no phase transition

Lee, Gopalakrishnan, Lukin (2013)

→ We target the **steady state** using

- Runge-Kutta (RK) integration of small clusters ( $L \leq 10$ )
  - Quantum trajectories (QT) up to slightly larger sizes ( $L \leq 16$ )
  - Infinite-MPO (i-MPO) for the thermodynamic limit
- finite-size scaling

**A few words on the methods ...**

# Stochastic unraveling of the master equation

$$\partial_t \rho = \boxed{-i[\mathcal{H}, \rho] - \frac{1}{2}\gamma \sum_j \{\sigma_j^+ \sigma_j^-, \rho\}} + \boxed{\gamma \sum_j \sigma_j^- \rho \sigma_j^+}$$

effective **non-hermitian Hamiltonian**

**quantum jumps**

$$\mathcal{H}_{\text{eff}} = \mathcal{H} - \frac{i}{2}\gamma \sum_j \sigma_j^+ \sigma_j^-$$

$$dp_j = \langle \phi(t_0) | \sigma_j^+ \sigma_j^- | \phi(t_0) \rangle$$

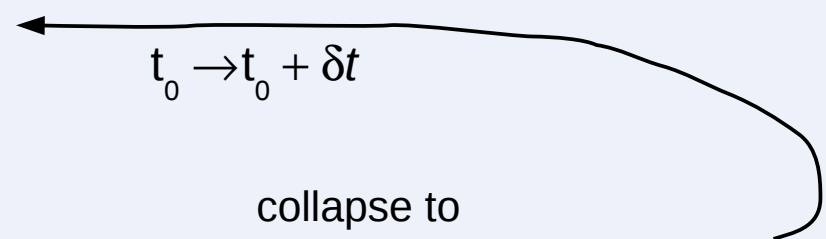
$$\rho(t_0) = |\phi(t_0)\rangle \langle \phi(t_0)|$$

time evolution  $\downarrow \delta t$

$$\rho(t_0 + \delta t) = \left(1 - \sum_j dp_j\right) |\phi_0\rangle \langle \phi_0| + \sum_j dp_j |\phi_j\rangle \langle \phi_j| \xrightarrow{\text{collapse to}} |\phi_j\rangle \quad j = 0, 1, 2, \dots$$

$$|\phi_0\rangle = \frac{e^{-i\mathcal{H}_{\text{eff}}\delta t} |\phi(t_0)\rangle}{\sqrt{1 - \sum_j dp_j}}$$

$$|\phi_j\rangle = \frac{\sigma_j^- |\phi(t_0)\rangle}{\|\sigma_j^- |\phi(t_0)\rangle\|}$$



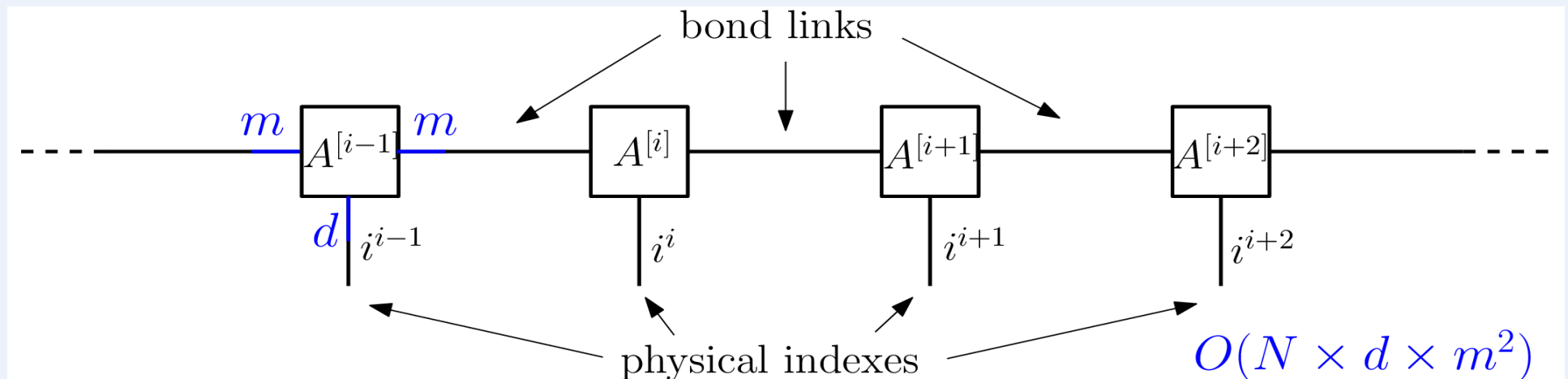
# DMRG-based approach

Digression on the **non-dissipative framework**:

DMRG  $\longleftrightarrow$  [variational approach on Matrix Product States \(MPS\)](#)

$$|\psi\rangle = \sum_{i_1, i_2, \dots, i_N} \text{Tr} \left( A^{[1]i_1} \cdot A^{[2]i_2} \cdot \dots \cdot A^{[N]i_N} \right) |i_1, i_2, \dots, i_N\rangle$$

area-law entanglement



J.I. Cirac and F. Verstraete,

*J. Phys. A* **42**, 504004 (2009)

F. Verstraete, J.I. Cirac, and V. Murg,

*Adv. Phys.* **57**, 143 (2008)

U. Schollwoeck,

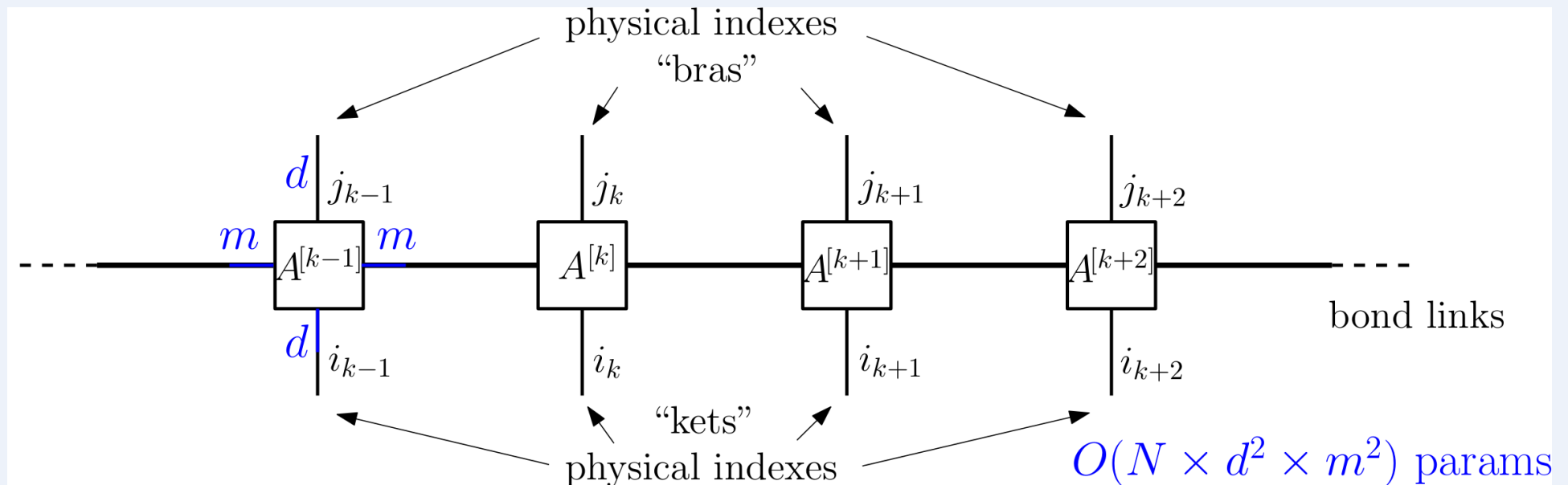
*Ann. Phys.* **326**, 96 (2011)

# DMRG-based approach

With **dissipation** one should target the zero eigenmode of the Liouvillian (tricky!!)

→ We just employ the **TEBD method** on a **Matrix Product Operator** (MPO)

$$\rho = \sum_{i_1, \dots, i_N} \sum_{j_1, \dots, j_N} \text{Tr} \left( A^{[1]i_1, j_1} \dots A^{[N]i_N, j_N} \right) |i_1, \dots, i_N\rangle \langle j_1, \dots, j_N|$$



F. Verstraete, J.J. García Ripoll, J.I. Cirac, *Phys. Rev. Lett.* **93**, 207204 (2004)

M. Zwolak and G. Vidal,

*Phys. Rev. Lett.* **93**, 207205 (2004)

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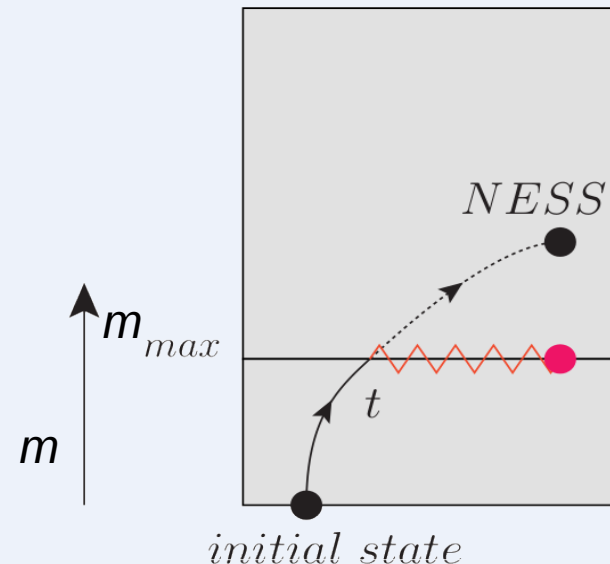
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$$\rho(t) = e^{\mathcal{L}t} \rho(0) \quad \text{trotterize the evolution super-operator, ...}$$

A subtle problem:  
*uncontrolled* bond-link growth  
in approaching the steady state

Q. Area-law violation ?



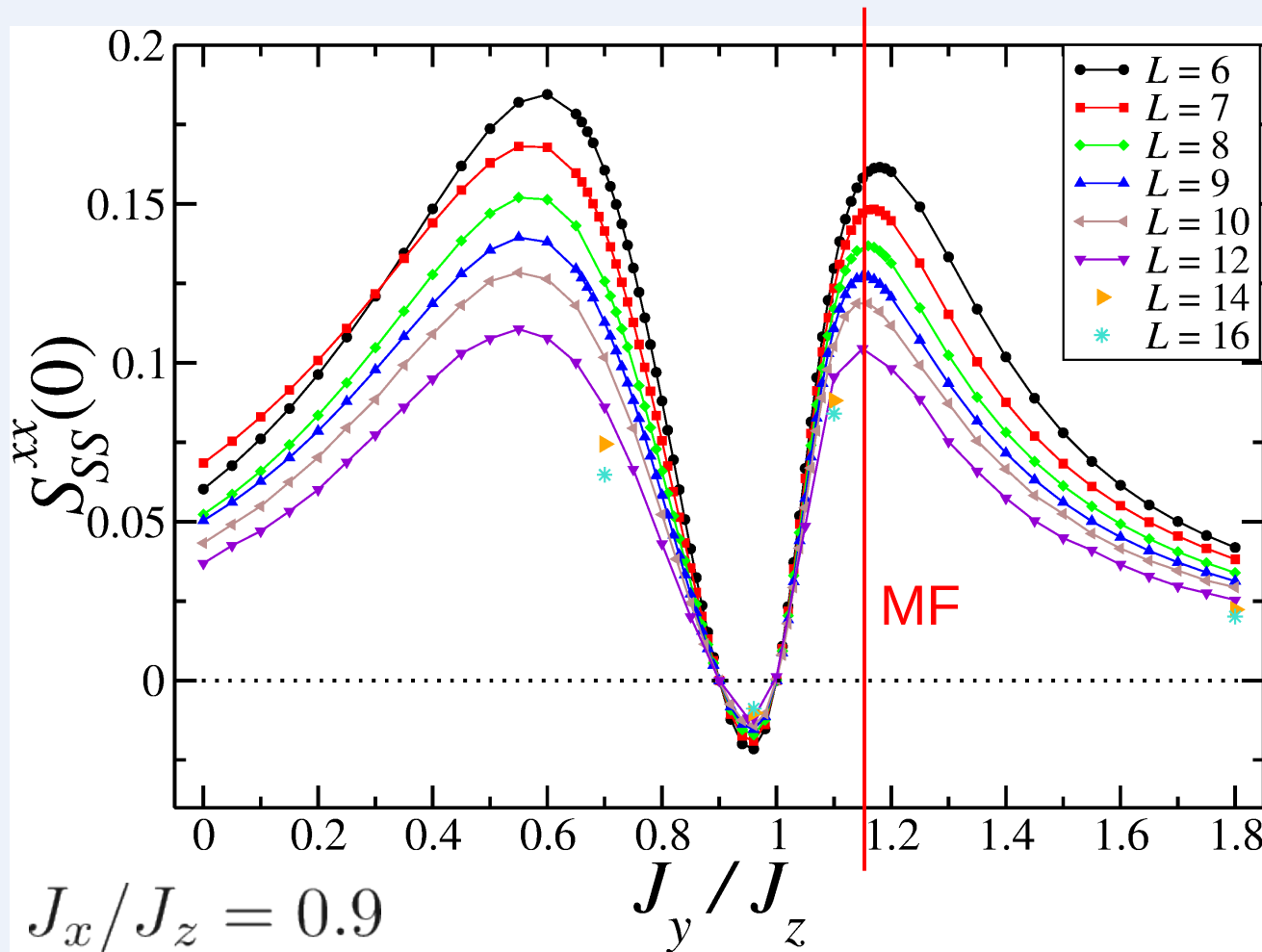
# Results

# One-dimensional geometry

Spin ordering signaled  
by the **structure factor**

( $k = 0 \leftrightarrow \text{FM}$      $k = \pi \leftrightarrow \text{AFM}$ )

$$S_{\text{SS}}^{xx}(k) = \frac{1}{L^2} \sum_{j,l} e^{-ik(j-l)} \langle \sigma_j^x \sigma_l^x \rangle_{\text{SS}}$$

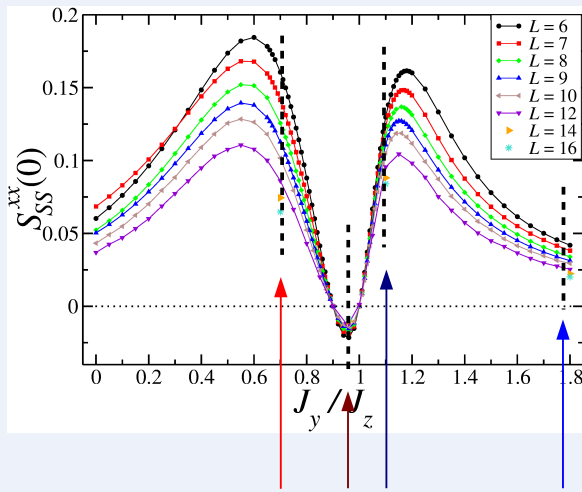


Mean field prediction:  
PM-to-FM transition

$$\frac{J_y^c}{J_z} = \frac{37}{12} \approx 1.156$$



# One-dimensional geometry

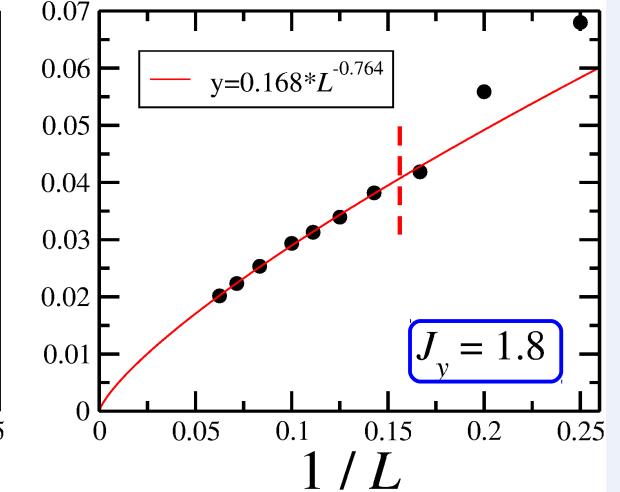
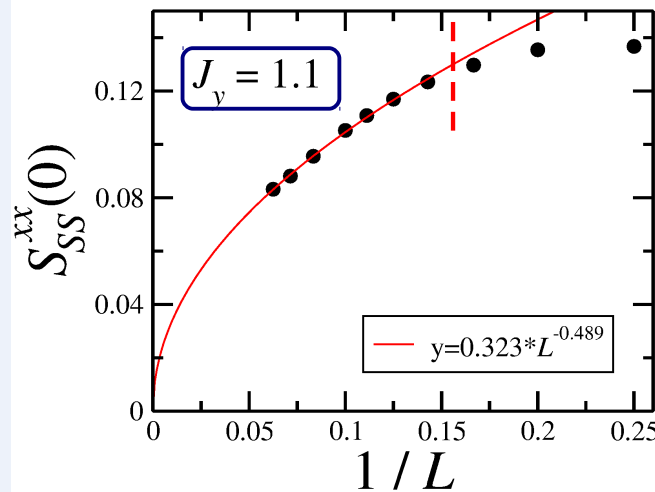
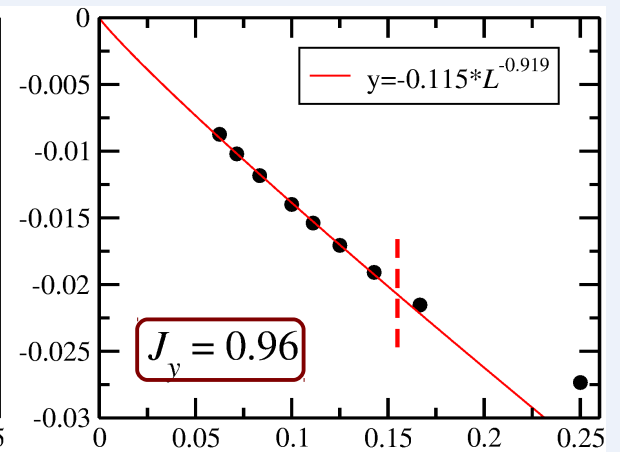
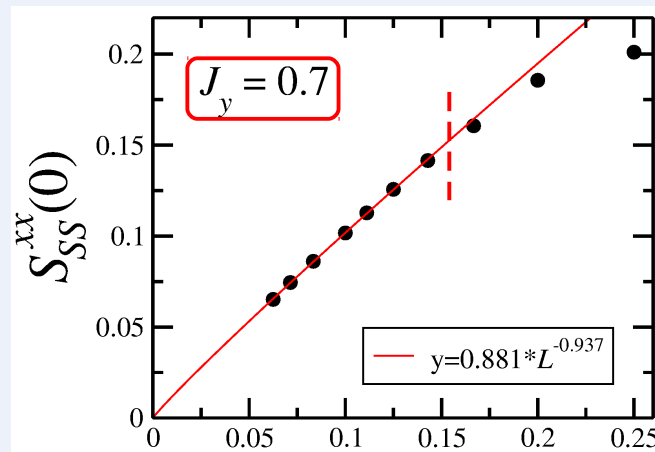


**Finite-size scaling**

of numerical data  
along the four cuts

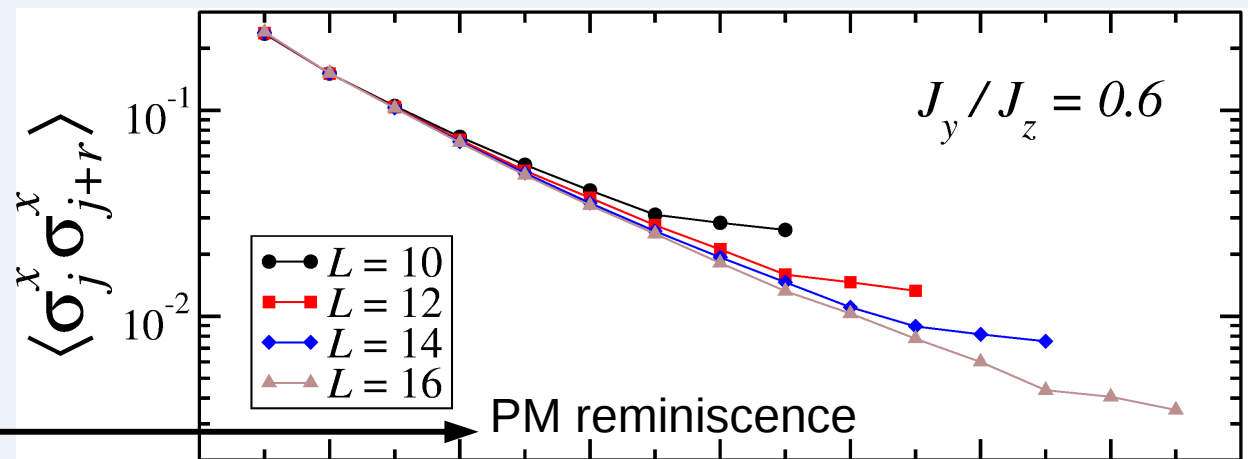
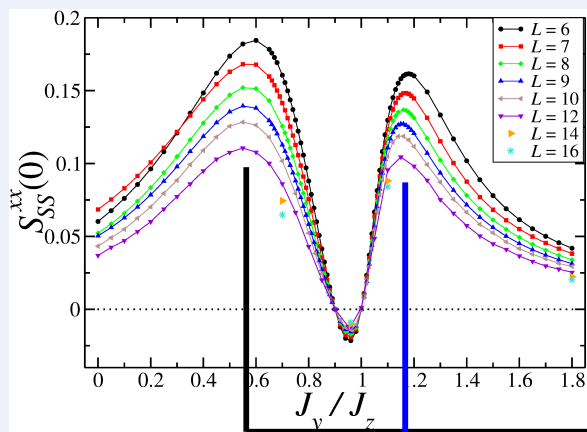
power-law decay

$$S_{SS}^{xxx}(0) \sim \kappa L^{-\gamma}$$



the exponent decreases when approaching the MF critical point  $J_y^c \approx 1.156$

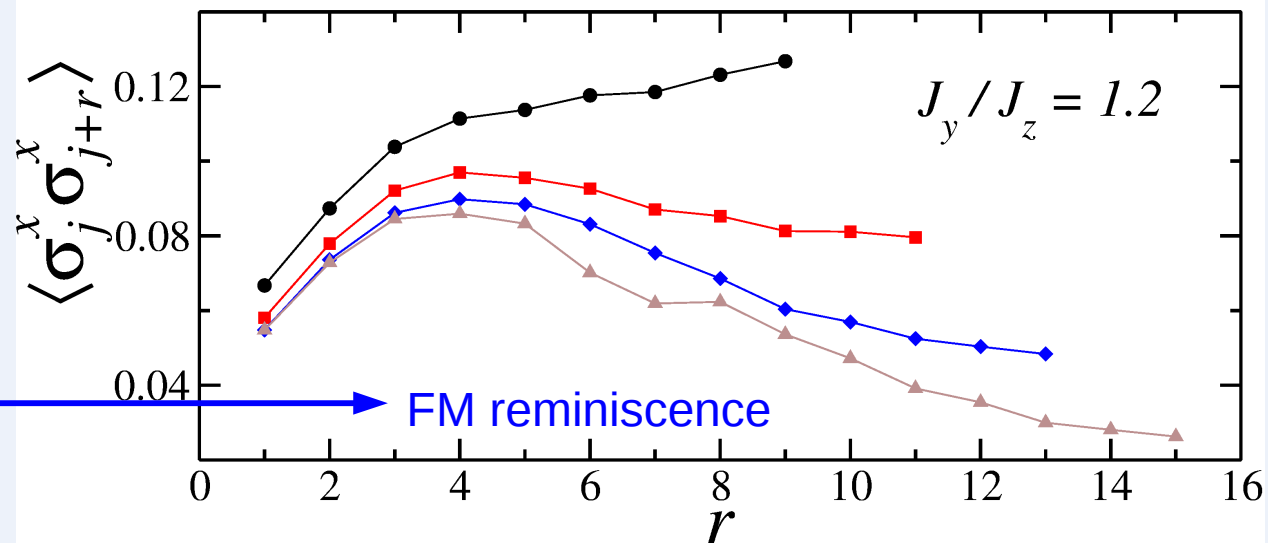
# One-dimensional geometry



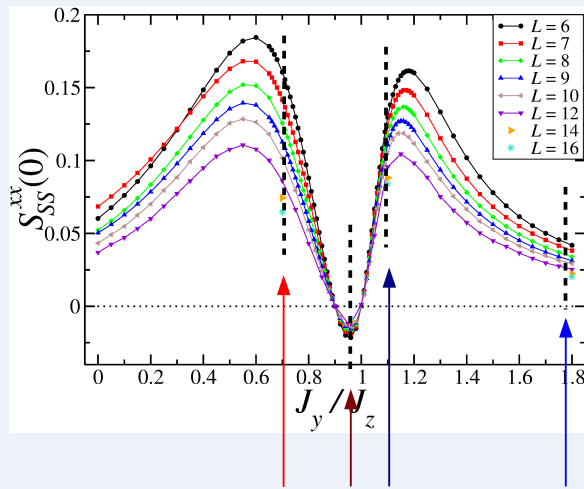
xx correlators:

$J_y < J_y^c$   
exponential decay

$J_y > J_y^c$   
not clear



# One-dimensional geometry

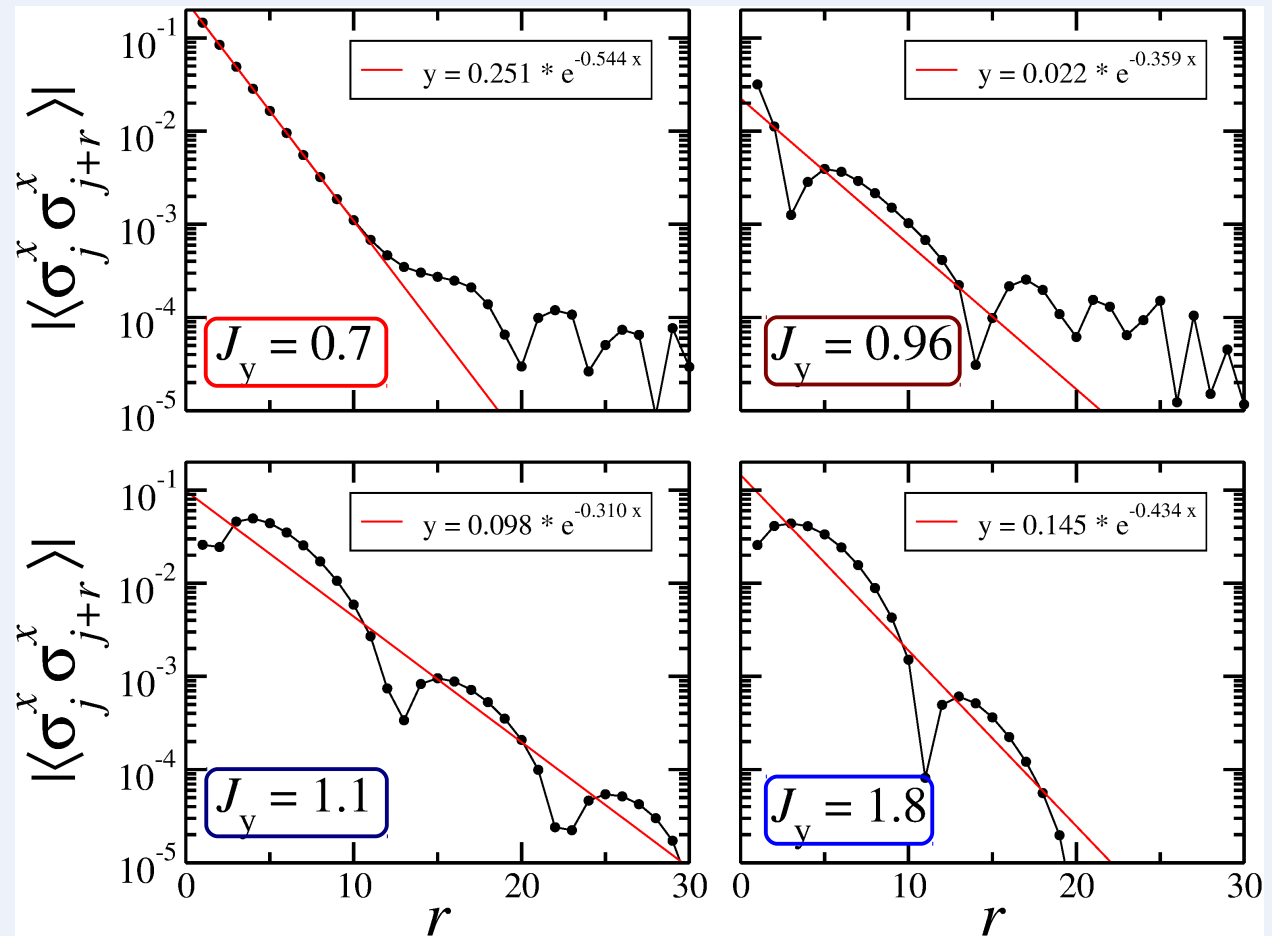


infinite-MPO



thermodynamic limit

always a clear exponential decay



# Two-dimensional geometry

- What is the fate of mean field?
- Presumably **there is** a symmetry-broken phase

—→ We target the **steady state** using the **cluster mean-field**

- standard cluster-mean field (CMF) approach ( $L \leq 3 \times 3$ )
- quantum trajectories + cluster mean-field ( $L \leq 4 \times 4$ )

finite-size  
scaling ?

**A few words on the methods again ...**

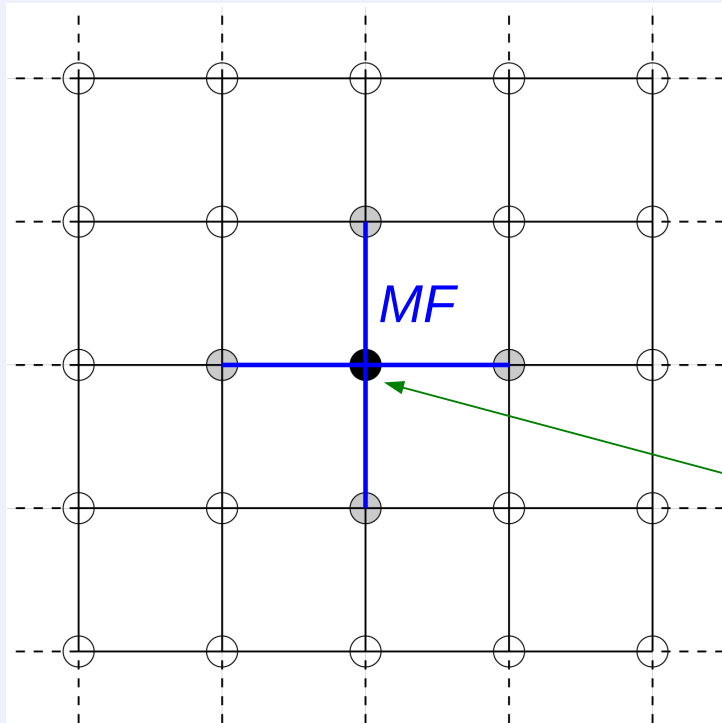
# Single-site mean-field

$$z^{-1} \sum_{\langle i,j \rangle} \sigma_i^\alpha \sigma_j^\alpha \longrightarrow \langle \sigma^\alpha \rangle \sum_j \sigma_j^\alpha$$

(z: coordination number)

↑  
mean field

One completely **decouples** the lattice sites in a minimal structure:



$$\rho_{\text{MF}}(t) = \prod_{j=1}^L \rho_j$$

$$\partial_t \rho_j = -i[\mathcal{H}_j, \rho_j] + \mathcal{L}_j[\rho_j]$$

$$\mathcal{H}_j = z [J_x \langle \sigma^x \rangle \sigma_j^x + \langle \sigma^y \rangle \sigma_j^y + \langle \sigma^z \rangle \sigma_j^z]$$

$$\text{with } \langle \sigma^\alpha \rangle = \text{Tr}(\sigma^\alpha \rho_j)$$

→ *single-site* problem!

## Single-site mean-field

$$\partial_t \rho_j = -i[\mathcal{H}_j, \rho_j] + \mathcal{L}_j[\rho_j] \quad (\text{note that } j \text{ is irrelevant here})$$

The mean-field values  $\langle \sigma^\alpha \rangle$  are determined **self-consistently** by

$$\underline{\langle \sigma^\alpha \rangle = \text{Tr}[\sigma^\alpha \rho_j(t)]} \star$$

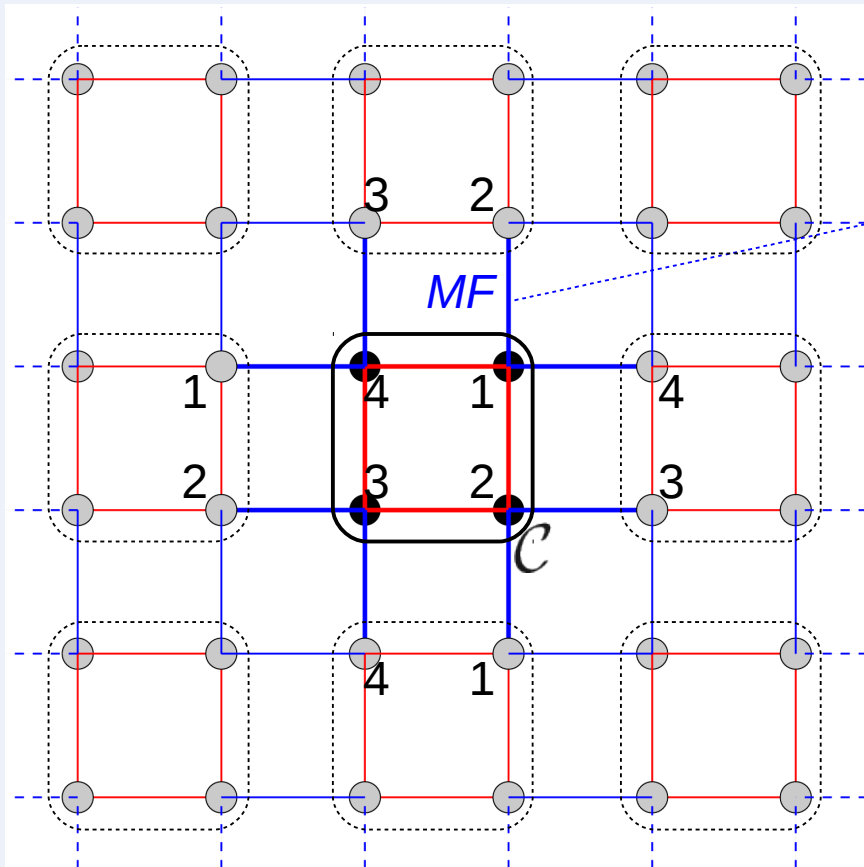
- Integrate the single-site differential equation for a small time step
- At time intervals  $\delta t$ , enforce the self-consistence condition  $\star$
- Steady-state solution: *long-time limit* starting from a generic initial state...

# Refining the mean-field: cluster mean-field

$$\mathcal{H}_{\text{CMF}} = \mathcal{H}_c + \mathcal{H}_{c-c'}$$

exact Hamiltonian of a **cluster**

**interactions** between neighbor clusters (mean field)



for example this link gives a term  $\langle \sigma_2^\alpha \rangle \sigma_1^\alpha$   
in the Hamiltonian for C-C' interactions

One **decouples** the whole lattice  
into a cluster structure:

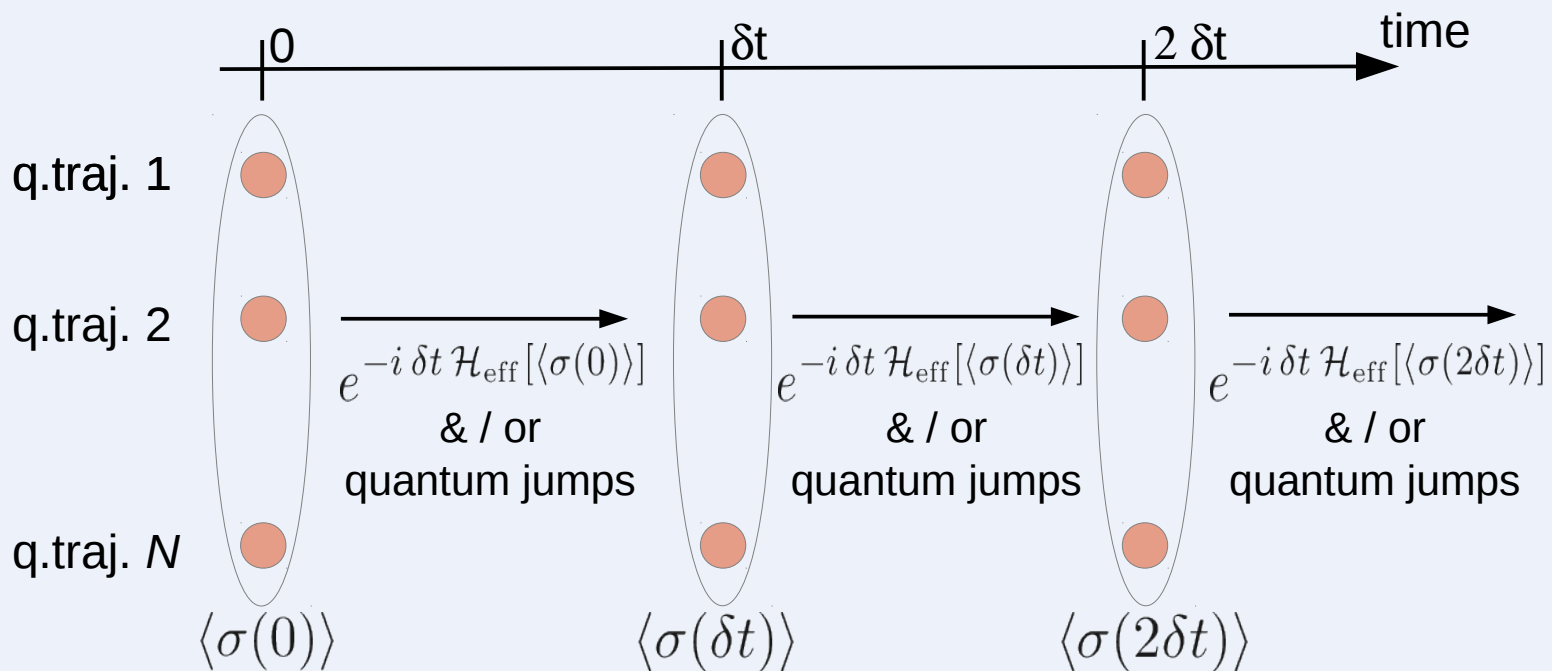
$$\rho_{\text{CMF}}(t) = \prod_c \rho_c$$



# Stochastic unraveling of the master equation + mean-field coupling to adjacent clusters

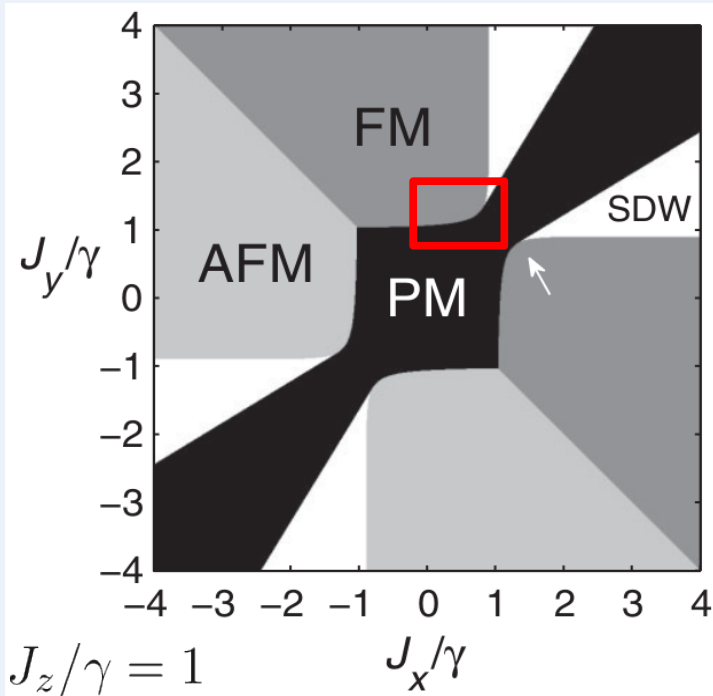
$$\mathcal{H}_{\text{eff}}[\langle\sigma(t)\rangle] = \mathcal{H}_{\text{CMF}}[\langle\sigma(t)\rangle] - \frac{i}{2}\gamma \sum_j \sigma_j^+ \sigma_j^-$$

$$\mathcal{H}_{\text{CMF}}[\langle\sigma(t)\rangle] = \mathcal{H}_C + \mathcal{H}_{C-C'}[\langle\sigma(t)\rangle]$$

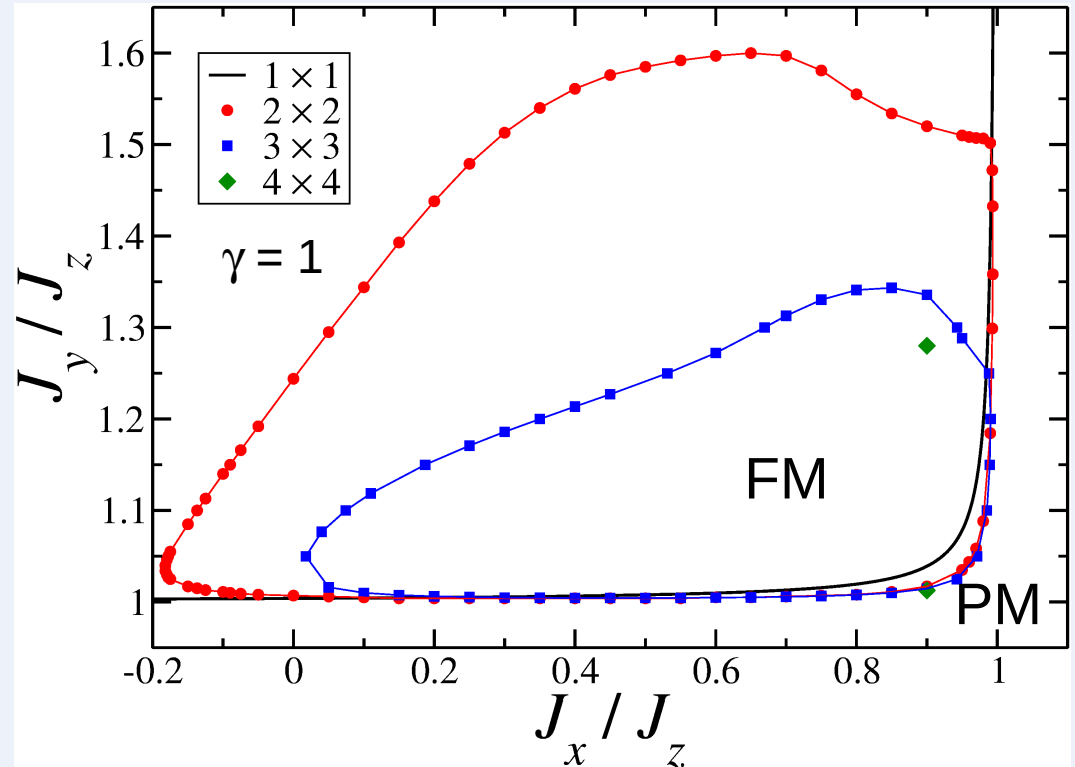


# Results

# Two-dimensional geometry



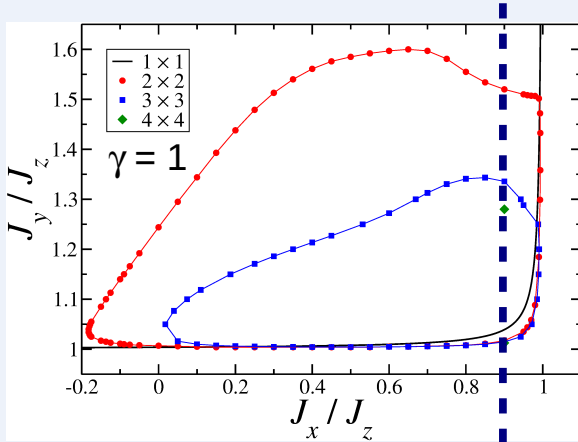
Mean-field phase diagram



Cluster mean-field on a 2D square lattice

- We analyze a square-lattice geometry
- Up to  $4 \times 4$  clusters, the **symmetry-broken phase** (FM) survives
- Its extension drastically reduced: significant deviations from mean field

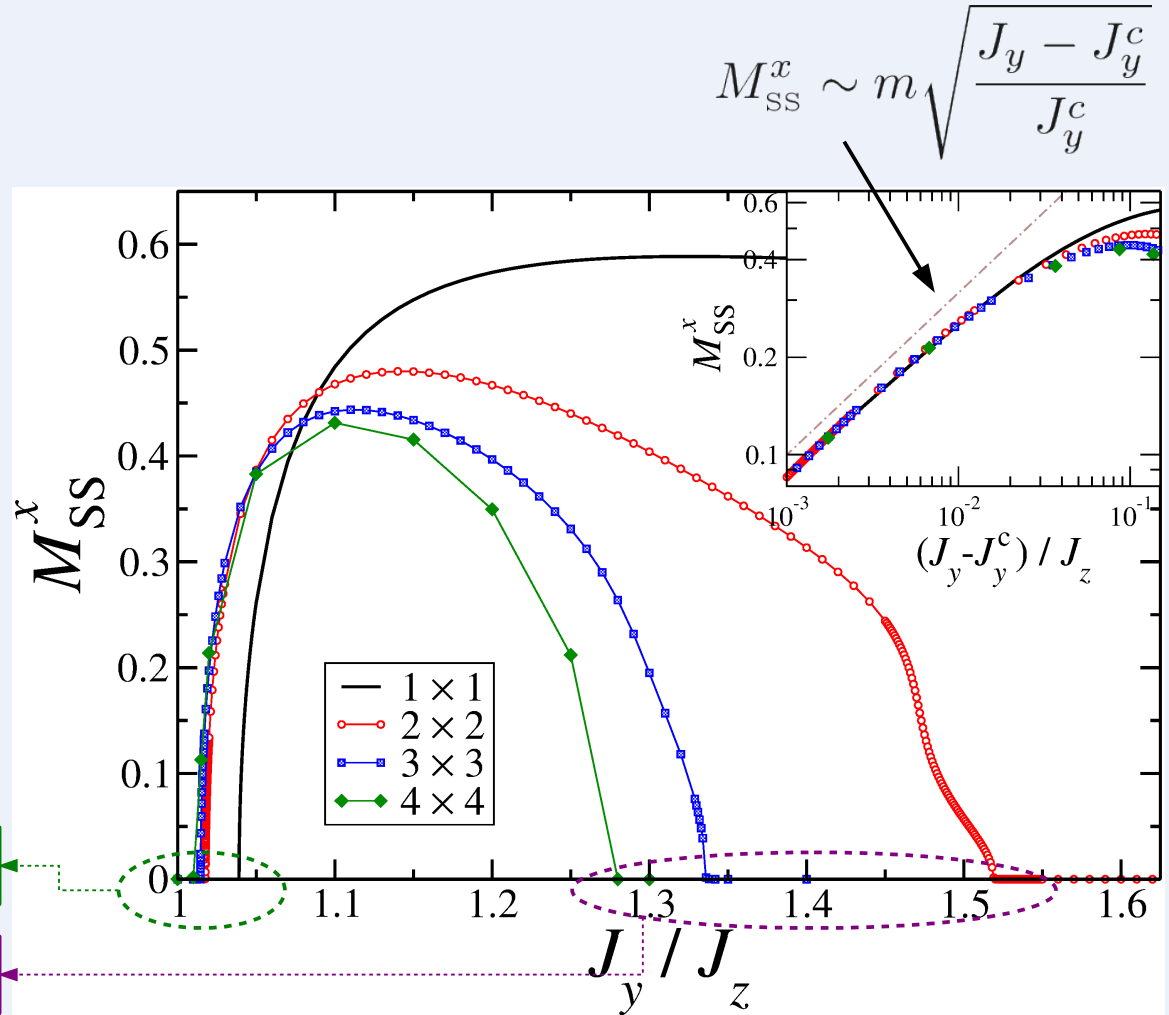
# Two-dimensional geometry



$$M_{SS}^x = \frac{1}{\ell^2} \sum_{j=1}^{\ell^2} \langle \sigma_j^x \rangle_{SS}$$

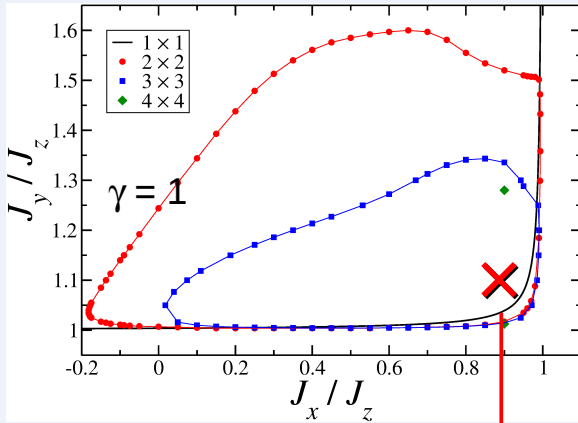
$$J_y^{c,1} \approx 1.010 + 0.030 \ell^{-1}$$

$$J_y^{c,2} \approx 1.194 + 1.341 \ell^{-1}$$



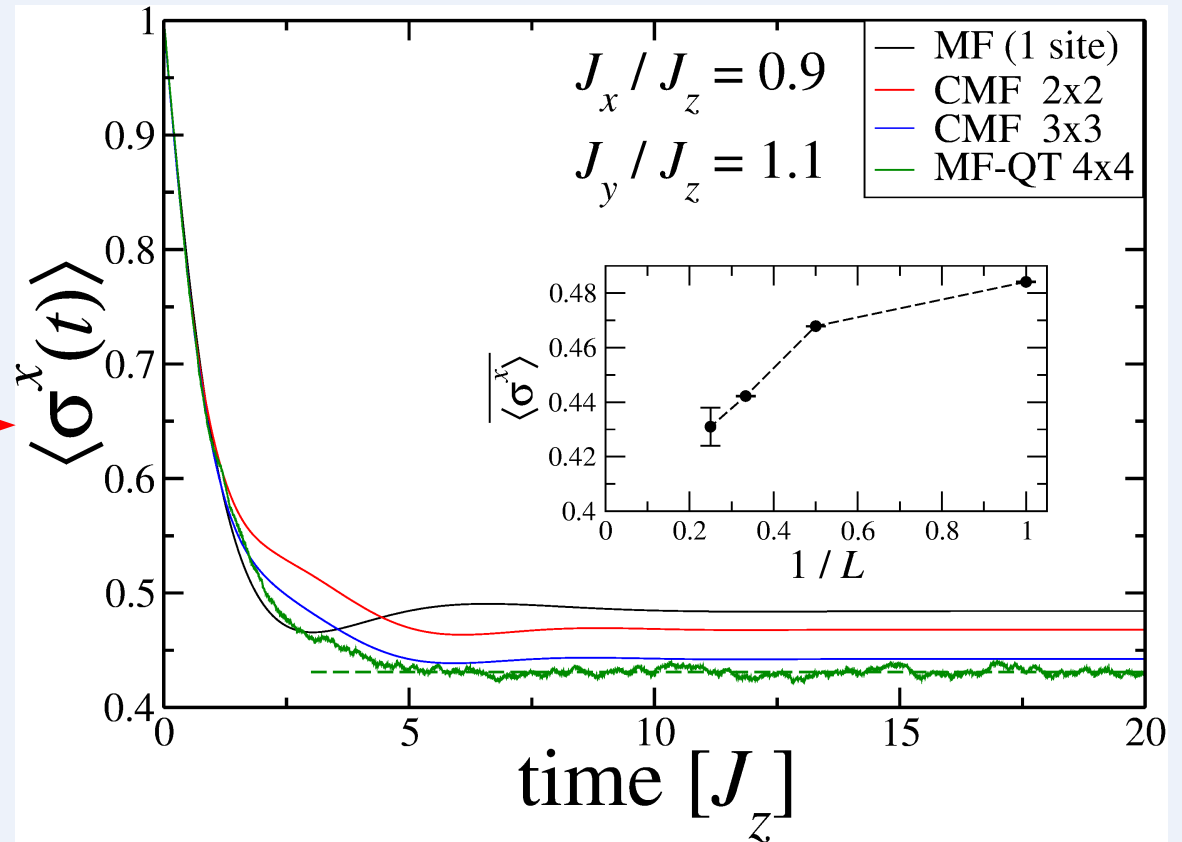
A rough finite-size scaling confirms the stabilization of a FM phase

# Two-dimensional geometry

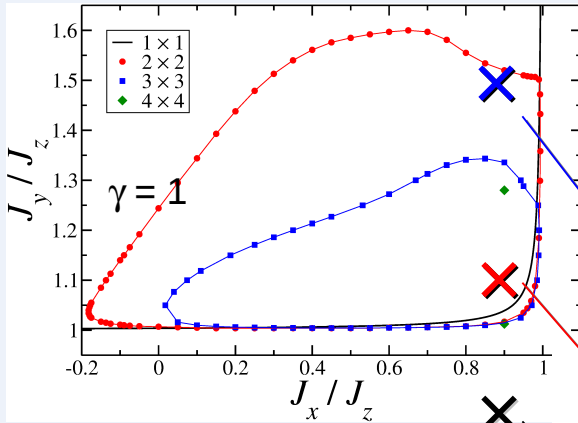


Robust indication of a finite magnetization

(no need to evaluate correlators with mean field:  
The system spontaneously breaks the symmetry)

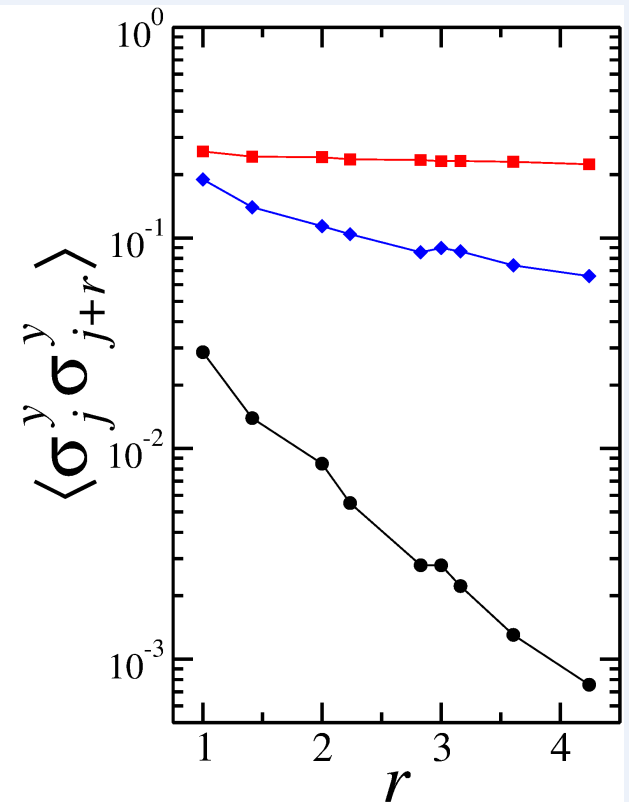
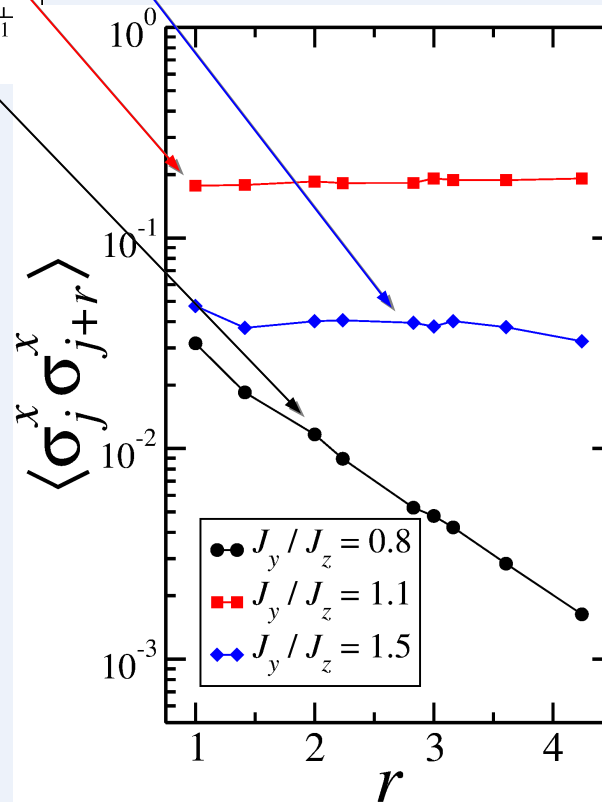
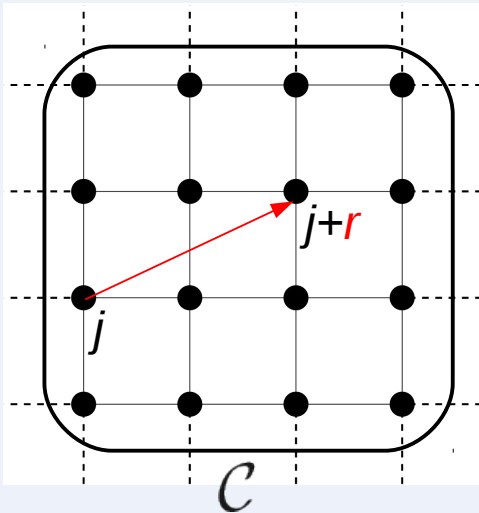


# Two-dimensional geometry

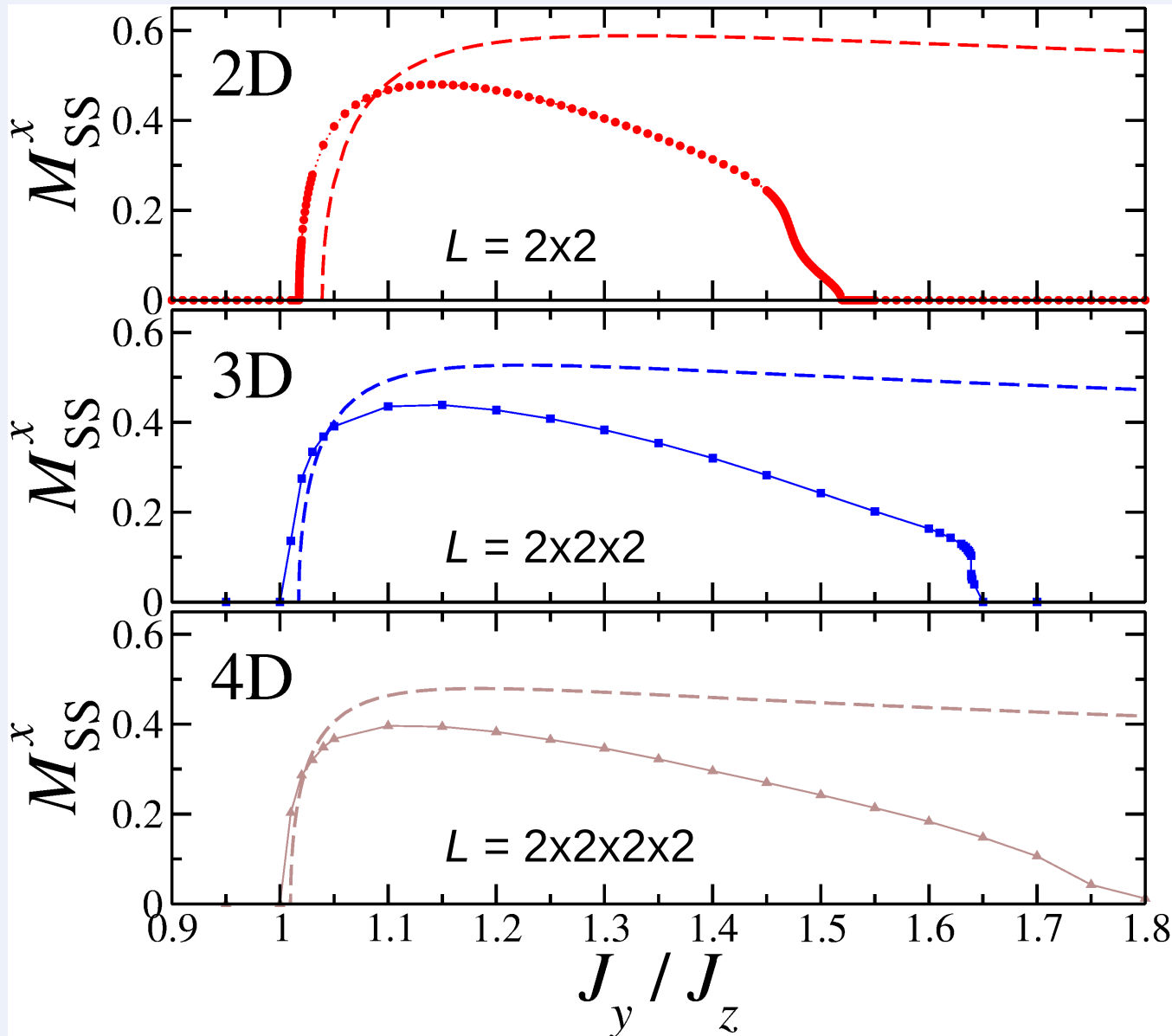


FM phase corroborated by the correlation functions:

- PM phase: exponential decay
- **FM phase**: saturation
- **crossover region**: unclear at small size



# Higher dimensionalities



## cluster mean-field

MF results approached if the dimensionality is increased

BUT a **finite extension** of the **FM phase** is predicted even in 4D

Upper critical dimension > 4  
???

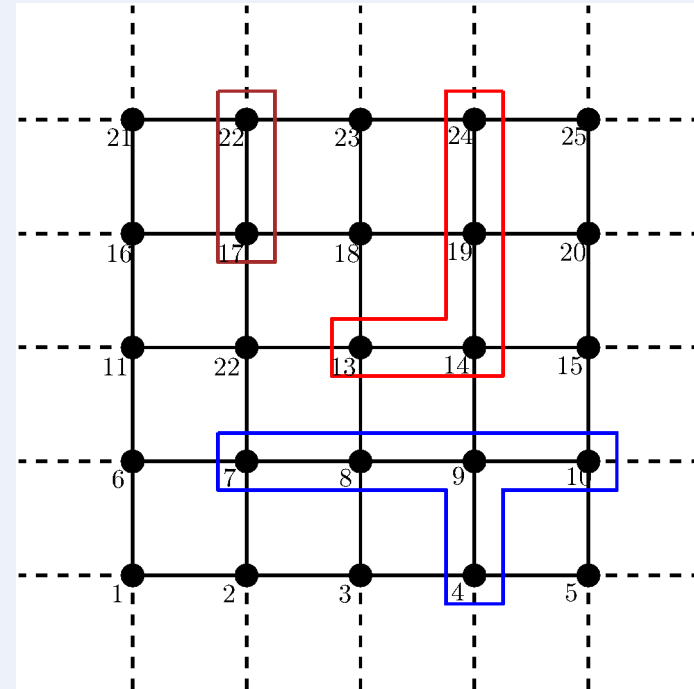
# Numerical linked-cluster method ?

Lattice constant  
counts # of "embeddings"

$$P/L = \sum_{\mathcal{G}} l(\mathcal{G}) W_{[P]}(\mathcal{G}) .$$

Cluster weight

$$W_{[P]}(\mathcal{C}) = P_{\mathcal{C}} - \sum_{S \subset \mathcal{C}} W_{[P]}(S)$$



- disconnected clusters have vanishing weight
- if expansion is up to order R,  
then only clusters at most of size R shall be considered

Results directly at the *thermodynamic limit*

→ finite-size scaling substituted by **series extrapolation**

M. Rigol, T. Bryant, R. Singh, *Phys. Rev. Lett.* **97**, 187202 (2006)

M. Rigol, *Phys. Rev. Lett.* **112**, 170601 (2014)



# Summary

## Non-equilibrium phase transitions of **quantum dissipative** many-body systems

A  $\mathbb{Z}_2$  symmetry breaking (Ising-like) phase transition

**mean-field** results reveal their **fragility** to a more accurate analysis

- 1D: *no symmetry-broken phase*
- 2D: the ordered phase *drastically shrinks*
- 3D – 4D: mean-field predictions approached

XYZ Heisenberg  
+  
incoherent spin-flips

- Matrix product operators (MPO)
- Cluster mean-field (CMF)
- Cluster mean-field with quantum trajectories (CMF+QT)
- Numerical linked cluster expansions (NLCE) → perspective

Thanks to:



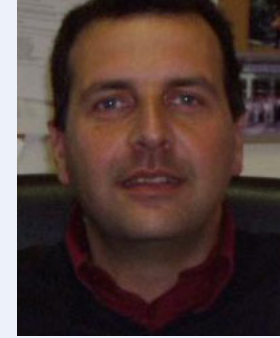
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Leonardo Mazza



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Oscar Viyuela  
@ Madrid



Jonathan Keeling  
@ St. Andrews