

Dualities of 3d gauge theories

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Outline

- Introduction
- 3d gauge theories from D3-branes and 5-branes
- Monopole operators
- The IR limit of $N=4$ QED with flavors

Motivation

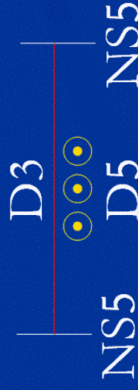
- Renewed interest in 3d Chern-Simons-matter theories now that some AdS duals are known
- Interesting interplay between dualities of 4d gauge theories and their 3d cousins
- A new duality of $N=4$ QED
- Learn more about monopole operators in CSM

From 4d to 3d

- A very fruitful way to construct interesting three dimensional theories is to begin with $N = 4$ SYM and compactify on a circle or an interval.
- There will be boundary conditions, and domain walls.
- For the abelian theory, Dirichlet = D5 brane, and Neumann = NS5 brane.
- Flow to the IR to obtain a 3d theory.

Hanany-Witten engineering

- Consider a D3 brane stretched between a pair of parallel NS5 branes. The boundary condition of ending on the NS5 brane breaks the supersymmetry on the D3 brane to $N=4$ in the effective 2+1 dimensions.
- Intersecting with N_f D5 branes at right angles to the NS5 branes results in N_f hypermultiplets charged under the $U(1)$ gauge theory on the D3.



IR limit of $N=4$ QED with flavors

- This is an abelian gauge theory in three dimensions with N_f massless charged hypermultiplets.
- For $N_f=1$, there is no Higgs branch, and the theory becomes free in the IR.
- Otherwise, one obtains a strongly coupled fixed point, located at the intersection point of the Coulomb and Higgs branches.

[Hanany Witten,
Intligator Seiberg, ...]

Moduli space of 2+1 QED

- Naively, the Coulomb branch is a \mathbb{C}^2 parameterized by the vector multiplet, however there is a quantum correction that modifies it to $\mathbb{C}^2 / \mathbb{Z}_{N_f}$
- The Higgs branch cannot be corrected in this N=4 theory, since the gauge coupling can be promoted to a vector superfield, decoupling it from the hypermultiplet moduli space.
- Thus one obtains the classical result $T^* \mathbb{C}P^{N_f - 1}$

BPS boundary conditions for N=4 SYM with $\theta \neq 0$.

- So far I have been discussing the case $\tau = \frac{2\pi i}{g_{YM}^2}$
- By applying an $SL(2, \mathbb{Z})$ transformation, there must be N = 4 configurations with NS5 branes and (1,1)5 branes. In general a different subgroup of supersymmetry will be unbroken.
- For NS5 and (1,k) 5 branes to preserve the same susy, one needs $\tau = k(1 + e^{2i\psi})$
- The NS5 b.c. is $F_{3i} = \frac{\tan \psi}{2} \epsilon_{ijk} F^{jk}$, $Y_i = 0$, $D_3 X_i = 0$

[Gaiotto-Witten]

Chern-Simons on the D3

- First derived by [Kitao, Ohta, Ohta; Bergman, Hanany, Karch, Kol]
- Easy argument: consider turning on IIB axion. Then there is a term $\int \theta F \wedge F$ on the stretched D3. Integrating it by parts gives a CS term of level $\pm\theta$ on each boundary.
- If a D3 ends on a $(1,k)5$, perform an $SL(2,Z)$ to shift it to an NS5. This turns on the axion.
- Now if the D3 ends on NS5 and $(1,k)5$, there will be a relative level k CS term.

Chern-Simons-matter theory

- We first consider the case with $N=2$ susy. It consists of a vector multiplet in the adjoint of the gauge group, and chiral multiplets in representations R_i

$$S_{CS}^{N=2} = \frac{k}{4\pi} \int (A \wedge dA + \frac{2}{3} A^3 - \bar{\chi}\chi + 2D\sigma)$$

- The kinetic term for the chiral multiplets includes couplings $-\bar{\phi}_i \sigma^2 \phi_i - \bar{\psi}_i \sigma \psi_i$
- There is the usual D term $\bar{\phi}_i D \phi_i$

We integrate out D, σ , and x

$$S^{N=2} = \int \frac{k}{4\pi} (A \wedge dA + \frac{2}{3} A^3) + D_\mu \bar{\phi}_i D^\mu \phi_i + i \bar{\psi}_i \gamma^\mu D_\mu \psi_i \\ - \frac{16\pi^2}{k^2} (\bar{\phi}_i T_{R_i}^a \phi_i) (\bar{\phi}_j T_{R_j}^b \phi_j) (\bar{\phi}_k T_{R_k}^a T_{R_k}^b \phi_k) - \frac{4\pi}{k} (\bar{\phi}_i T_{R_i}^a \phi_i) (\bar{\psi}_j T_{R_j}^a \psi_j) \\ - \frac{8\pi}{k} (\bar{\psi}_i T_{R_i}^a \phi_i) (\bar{\phi}_j T_{R_j}^a \psi_j).$$

Note that this action has classically marginal couplings. It has been argued that it does not renormalize, up to shift of k , and so is a CFT.

[Gaiotto Yin]

N=3 CS-matter

- To obtain a more supersymmetric theory, begin with N=4 YM-matter. Then add the CS term, breaking to N=3.
- Thus we add a chiral multiplet φ , with no kinetic term in the adjoint, and the matter chiral multiplets, $\Phi_i, \tilde{\Phi}_i$ must come in pairs.
- There is a superpotential, $W = -\frac{k}{8\pi} T_V(\varphi^2)$ from the CS term.

- Integrating out φ one obtains the same action as before, but with a superpotential:

$$W = 4\pi(k^{-1})_{ab}(\tilde{\Phi}_i T_{R_i}^a \Phi_i)(\tilde{\Phi}_j T_{R_j}^a \Phi_j)$$

- These N=3 theories are completely rigid, and hence superconformal. It is impossible to have more supersymmetry in a YM-CS-matter theory, but we shall see that for particular choices of gauge groups and matter representations, the pure CSM can have enhanced supersymmetry.

[Schwarz; Gaiotto Yin]

Simple example

- Consider a $U(1) \times U(1)$ CSM theory, with a BF-like Chern-Simons coupling $\frac{2k}{4\pi} \int a \wedge db$
- Take a pair of matter hypers (X, \tilde{X}) , (Y, \tilde{Y}) in the fundamental of the first and second $U(1)$.
- In this theory the supersymmetry is enhanced to N=4; one can check that the boson-fermion coupling is invariant under a separate $SU(2)$ acting on each fundamental hyper.

$$W = \frac{8\pi}{k}(X\tilde{X})(Y\tilde{Y})$$

RG Flow

- The Chern-Simons terms dominate the rg flow.
- At long distances, the Yang-Mills coupling diverges, and its ordinary kinetic term just disappears.
- But the Lagrangian remains nonsingular due to the CS term.
- Morally this is due to conformality in the 3+1 theory. There is no other renormalization.

SL(2,Z) generalized mirror symmetry



- Apply STS transformation to turn D5 branes into (1,1)5 branes. If $N_f < 4$, move them to get a CSM quiver with level +1 and -1.
- Moving the (1,1) 5 branes so they alternate with the NS5 branes gives CSM theories with one hyper bifundamental between each node.

$$U(1)_1 \times U(1)_{-1} \times U(1)_1$$

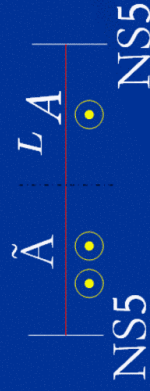
The CSM model

- In the three node theory, integrating out the overall trivially acting Chern-Simons gauge field results in precisely our simple example, with $k=1$.
- In general, consider the same theory with N_f-1 fields (X_i, \tilde{X}_i) , and the same (Y, \tilde{Y}) .
- This also results from integrating out in the $N_f=3$ case.

$$W = \frac{8\pi}{k} Y\tilde{Y} \sum_{i=1}^{N_f-1} X_i \tilde{X}_i$$

Piecewise s-duality

- Cut the D3 brane, and glue with $\int_{3d} \tilde{A} \wedge *F_A$
- Note that the theory on the right is the self mirror boundary condition. Dualize that segment.
- This results in the Chern-Simons-matter theory above, with BF like coupling $\int \tilde{A} \wedge d \int A$



[Kapustin-Strassler]

Quantum correction to hypermultiplet moduli space

- In Yang-Mills theories with eight supercharges, the moduli space of hypermultiplets is normally not corrected, since one can promote the coupling to a vector superfield, which decouples from the hypers.
- In CSM theories, no such argument exists for the CS level. However, corrections to the metric must respect the hyperkahler structure.
- We will find a correction of this type.

Monopole operators

- Reducing a four dimensional magnetic monopole to three dimensions results in a vortex configuration.
- Alternatively, reduce to obtain a magnetic instanton with $\int_{S^2} F \neq 0$
- In 3d CFT, the operator that creates the vortex corresponds by state-operator correspondence to that state in radial quantization.

Branches of CSM moduli space

- In Chern-Simons theories, there is no Coulomb branch, as the vector multiplets are all effectively massive.
- $N=3$ supersymmetry protects the dimensions of ordinary chiral operators formed from the matter fields; the quantum correction depends on the existence of monopole operators.
- Rich structure of branches distinguished by the spectrum of allowed monopoles.

Classical moduli space

- The superpotential is dictated by $N=3$ supersymmetry to be
$$W = \frac{8\pi}{k}(X\tilde{X})(Y\tilde{Y})$$
- Thus there are two branches, \mathcal{M}_a and \mathcal{M}_b , on which the respective $U(1)$ is unbroken.
- Naively, one would quotient by the nontrivially acting $U(1)$, but would leave $\mathfrak{3d}$, so can't be.
- $\frac{2k}{4\pi} \int a \wedge db$ is only invariant under a Z_k .

$$\mathcal{M}_a = \mathcal{M}_b = \mathbb{C}^2 / \mathbb{Z}_k$$

Extra massless fields at origin

- The two branches intersect at the origin, where there are extra massless fields. In particular, on \mathcal{M}_a which is parameterized by (Y, \tilde{Y}) the X fields have a mass

$$\frac{4\pi}{k} \tilde{Y}_{(a} Y_{b)} = \frac{4\pi}{k} \left(\frac{1}{2} (|Y|^2 - |\tilde{Y}|^2), Y\tilde{Y}, \tilde{Y}\tilde{Y} \right) \equiv \vec{m}_X$$

- We will see that integrating out these fields changes the singularity at the origin.

Mukhi-Papageorgakis effect

- Forget about the (X, \tilde{X}) multiplet for a moment. Going onto the moduli space by turning on (Y, \tilde{Y}) gives a mass to the broken gauge field, b .
- Integrating out b gives Yang-Mills kinetics to the unbroken gauge field, a . It can then be dualized to a scalar, φ_Y which transforms under the $U(1)$ in the same way as the phase of the hyper Y , but with charge k . The Z_k arises by gauge fixing.

Correction to the hyperkahler metric

- As familiar from the Coulomb branch of N=4 2+1 gauge theories, integrating out a charged massive hypermultiplet at 1 loop gives rise to a term

$$\int \frac{1}{8\pi|\vec{m}|} (\partial_\mu \vec{m} \cdot \partial^\mu \vec{m} - |da|^2) + \epsilon^{\mu\nu\rho} \epsilon^{ijk} \partial_i \left(\frac{1}{8\pi|\vec{m}|} \right) a_\mu \partial_\nu m_j \partial_\rho m_k$$

$$= \int \frac{1}{8\pi|\vec{m}|} (\partial_\mu \vec{m} \cdot \partial^\mu \vec{m} - |da|^2) + (*da)^\mu \omega_i \partial_\mu m_i$$

- Note that this already introduces a Yang-Mills term for the gauge field a.

- Before integrating out the broken gauge field b, we dualize a, treating F_a as the fundamental

$$\text{variable} \cdot \int |D_\mu Y|^2 + \frac{1}{8\pi|\vec{m}|} (\partial_\mu \vec{m} \cdot \partial^\mu \vec{m} - |\tilde{F}_a|^2) + \int \tilde{F}_a \wedge (d\varphi_Y + \omega_i dm_i + \frac{k}{2\pi} b)$$

- Integrating out F_a leads to

$$\int |D_\mu Y|^2 + \frac{1}{8\pi|\vec{m}|} \partial_\mu \vec{m} \cdot \partial^\mu \vec{m} + 2\pi|\vec{m}| (\partial_\mu \varphi_Y + \omega_i \partial_\mu m_i + \frac{k}{2\pi} b_\mu)^2$$

$$Y \rightarrow e^{i\Lambda} Y, \quad b_\mu \rightarrow b_\mu + \partial_\mu \Lambda, \quad \varphi_Y \rightarrow \varphi_Y - \frac{k}{2\pi} \Lambda$$

- The U(1)_b acts on the space of Y, Y, and φ_Y . The metric is nontrivial due to the quantum correction as seen.

$$\mathcal{M}_a = \mathbb{C}^2 / \mathbb{Z}_{k+1}$$

Monopoles in the chiral ring

- There are monopole operators in YM-CS-matter theories, which we follow to the IR CSM.
- In radial quantization, it is a classical background with magnetic flux $\int_{S^2} F_a = 2\pi n$, and constant scalar, $\sigma = n/2$. Of course, in the CSM limit, $\sigma_a = 1/k (|Y|^2 - |\tilde{Y}|^2)$
- It is crucial that Y is not charged under a.
- Call this monopole operator T.

[Borokhov Kapustin Wu]

CS induced charge of T

- The Chern-Simons term induces a charge for the operator T we have just defined. Writing $\frac{2k}{4\pi} \int a \wedge db = kn \int_{radius} b$ in the monopole background, it is a particle of charge n under $U(1)_b$
- Equivalently, in radial quantization, the Gauss' law constraint is modified, and some matter field zero modes must be turned on.

Anomalous dimension

- The dimension of the monopole operator will be the sum of the two contributions

$$Q_0 = \frac{1}{2} \left(\sum_{i \in \text{hyper}} - \sum_{i \in \text{vector}} \right) |q_i|$$

and the dimension of the scalar fields used in the dressing.

- This was calculated in Borokhov-Kapustin-Wu by quantizing the matter fields in the monopole background with constant $\sigma = n/2$

- The result was that the spectrum of fermions from the hypermultiplets became asymmetric,

$$E_p^+ = |n|/2 + p, \quad E_p^- = -|n|/2 - p, \quad E_0 = +|n|/2$$

- The spectrum of scalars was found to be symmetric. Thus only the fermions contributed to the vacuum energy, which is exactly the anomalous dimension of the operator.
- We will include the CS terms simply noting this operator is charged under the gauge group.
- This is sensible since the matter fields needed to “dress” the operator are neutral under the magnetic $U(1)$. Needed for it to be in chiral ring

General (N=3) picture

- The moduli space equations $(k^{-1})^{ij} \mu_i^\alpha T_j^{\alpha b} q_b^A = 0$ in terms of the moment maps $\mu_i^\alpha = T_i^{ab} q_a^A q_b^B \Gamma_{AB}^\alpha$
 - The subgroup generated by $k^{-1} \mu$ is unbroken, and $(k^{-1})^{ij} \mu_i^\alpha \mu_j^\beta = 0$ implies this is a null direction in the CS form, thus there are monopole operators with those fluxes.
 - The CS term is not invariant under constant gauge transformations in such backgrounds $\frac{k}{4\pi} \Lambda \int_{S^2} F$
- Only quotient by the kernel of $e^{iS_{CS}} : G \rightarrow U(1)$

Our example

- We have monopoles $T_a, \tilde{T}_a, T_b, \tilde{T}_b$, the first two on the branch \mathcal{M}_a , and the latter pair on \mathcal{M}_b
- Each has one hypermultiplet charged under the associated $U(1)$, so it gets a dimension $1/2$
- The CS induced charge of T is $(0, k)$ under the $U(1) \times U(1)$ gauge group.
- The chiral operators on \mathcal{M}_a are $Y, \tilde{Y}^k, \tilde{T} Y^k$ exactly as expected for $\mathcal{M}_a = \mathbb{C}^2 / \mathbb{Z}_{k+1}$

$$z_1 z_2, z_1^{k+1}, z_2^{k+1}$$

Agreement in the chiral ring

- On the branch where X 's are set to zero, the chiral operators are $Y\tilde{Y}$, $T_a\tilde{Y}$, $\tilde{T}_a Y$ with dimensions 1 , $N_f/2$, $N_f/2$. This is exactly what one expects for $\mathbb{C}^2/\mathbb{Z}_{N_f}$
- On the other branch, the operators are formed out of gauge invariant combinations of

$$X_i, \tilde{X}_i, T_b, \text{ and } \tilde{T}_b$$

all $1 + (N_f - 1)$ of these have dimension $1/2$ and charge ± 1 under $U(1)_a$. Agrees perfectly!

Non-abelian generalization

- It is easy to generalize the $SL(2, \mathbb{Z})$ transformation and fivebrane moves we applied to QED with three or less flavors to the $U(N)$ theory. But one is really interested in 3d theories with $N_f \geq 2N_c$
- This can be done by introducing extra NS5 branes. Complicated result, but get a Lagrangian for the SCFT that is classically conformal.



Summary

- We studying the quantum corrections to the moduli spaces of Chern-Simons-matter CFTs both directly and using the chiral ring.
- This allowed us to identify an interesting CFT dual to the IR limit of QED with flavors.
- Used more of the full $SL(2,Z)$, and saw that it reproduced some results of piecewise abelian duality.