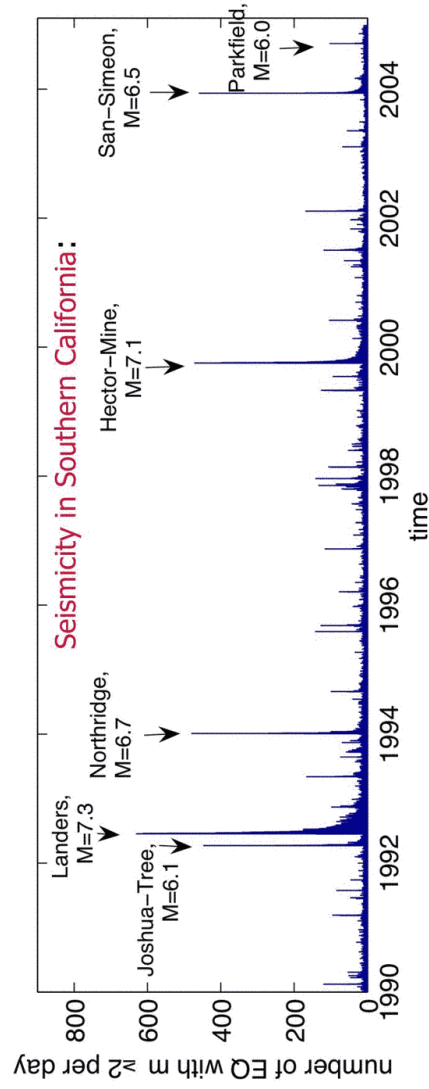


## Earthquake triggering, stress changes

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- Collaborations:  
Bruce Shaw (LDEO)  
Dave Jackson, Yan Kagan, Michael Peng, Didier Sornette (ESS, UCLA)

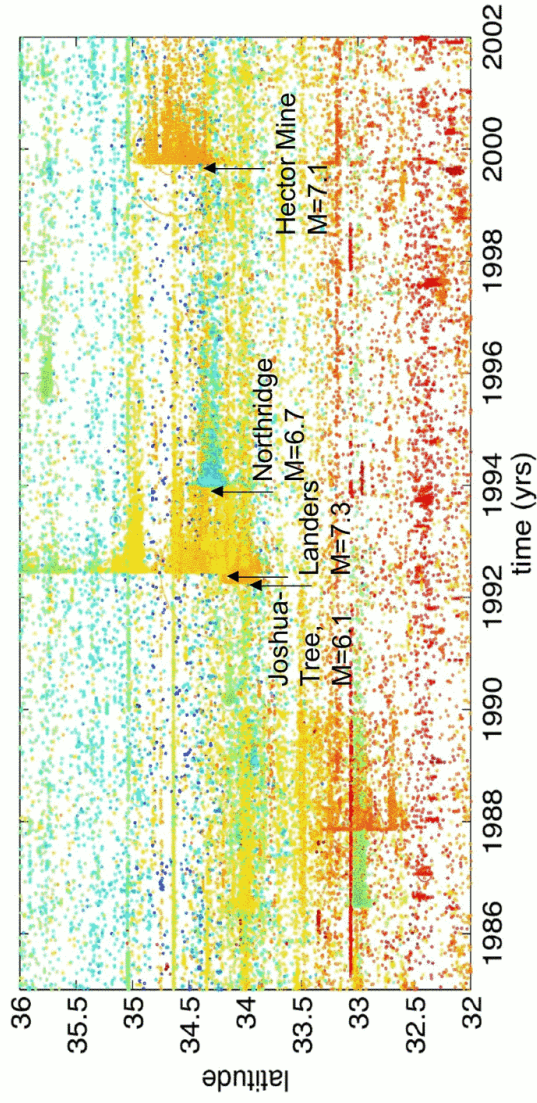
## Outline



- Observations earthquake triggering:  
When? Where? How? What size?
- Models:  
ETES, Rate-and-State
- Estimating stress changes from triggered earthquakes?

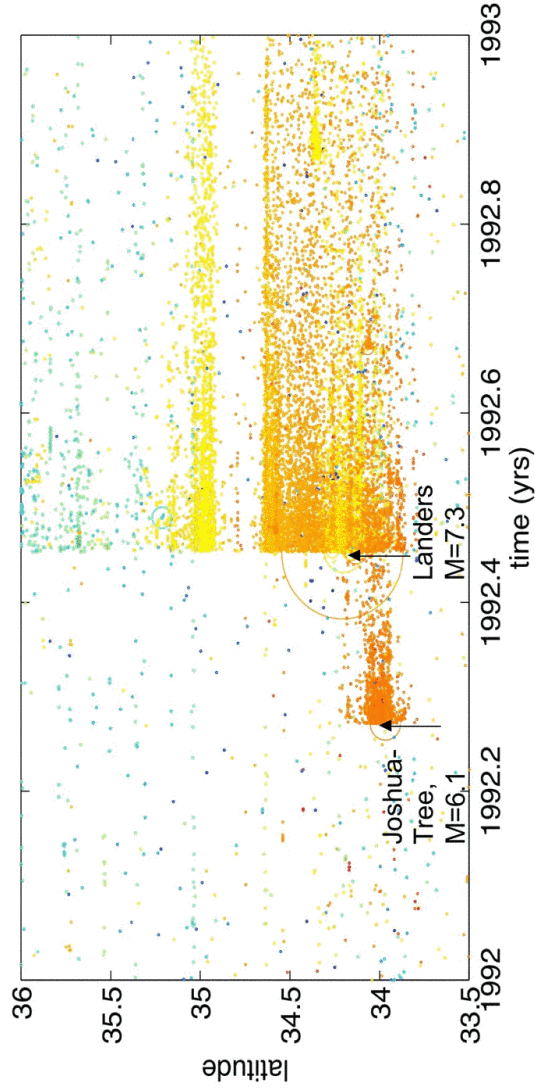
### Earthquake clustering

- Seismicity in Southern California with  $m \geq 2$
- Symbol size  $\sim$  rupture area  $\sim 10^m$
- Color represents longitude [-120 -116]

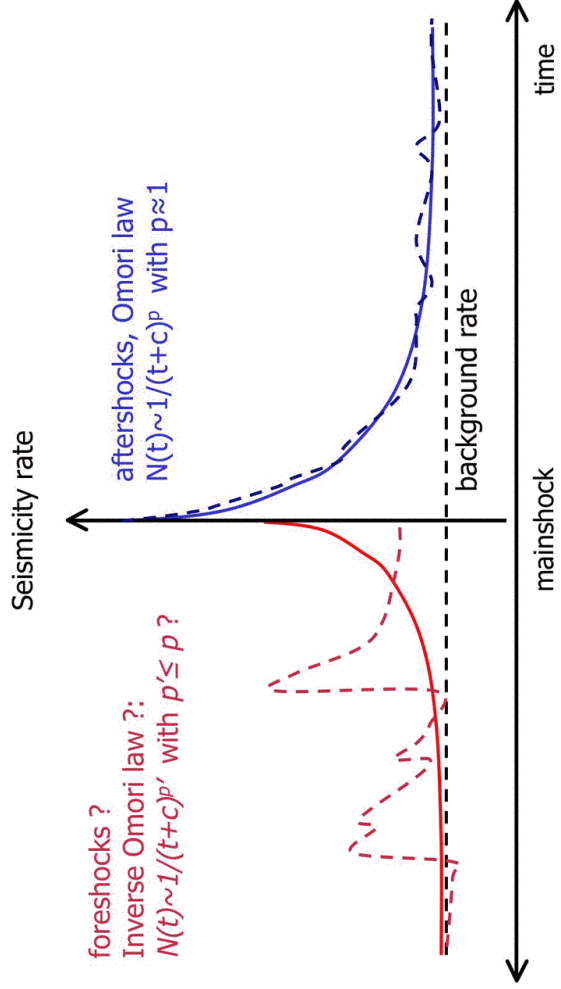


### Earthquake clustering

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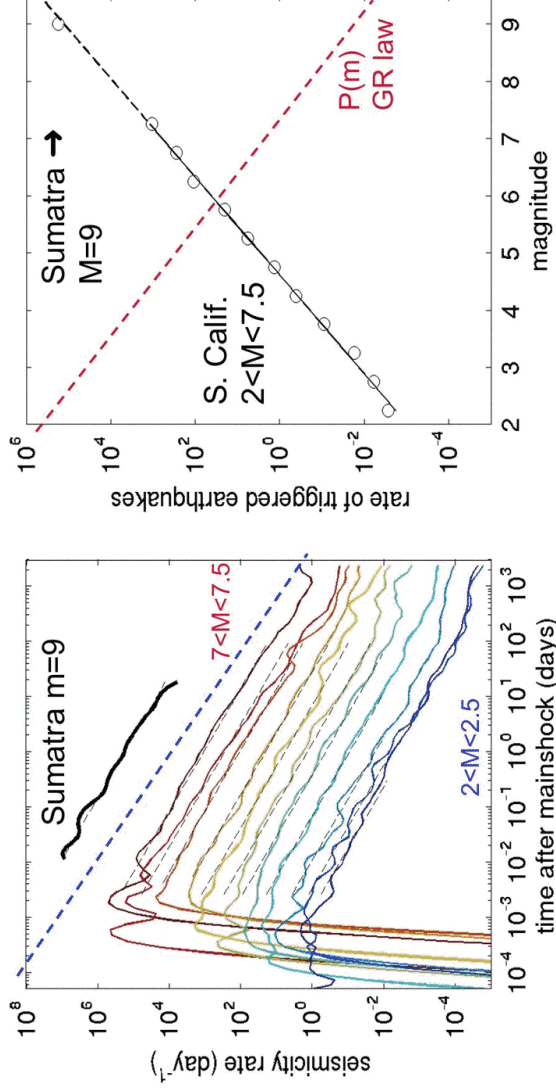
**"Foreshocks", "mainshocks", "aftershocks"**



**!! Non-conventional definition of "mainshocks" and "aftershocks"**

- Mainshock = any "isolated" EQ
- Aftershock = EQ within the "influence zone" (T,R) of the mainshock  
can be LARGER than the mainshock
- Motivation: can we explain the triggering of a 'large' EQ by a smaller one using the same laws as for a small EQ triggered by a larger one?

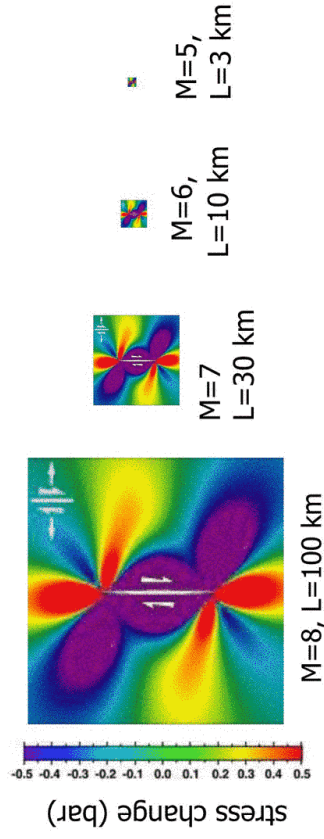
When : Relaxation of number of aftershocks with time and scaling with mainshock magnitude  $M$



- Aftershock rate decays with time as  $N \sim 1/t^{0.9}$  for all  $M$  ("Omori law")
- Only the number of aftershocks increases with  $M$ ,  $N \sim$  rupture area  $\sim 10^M$

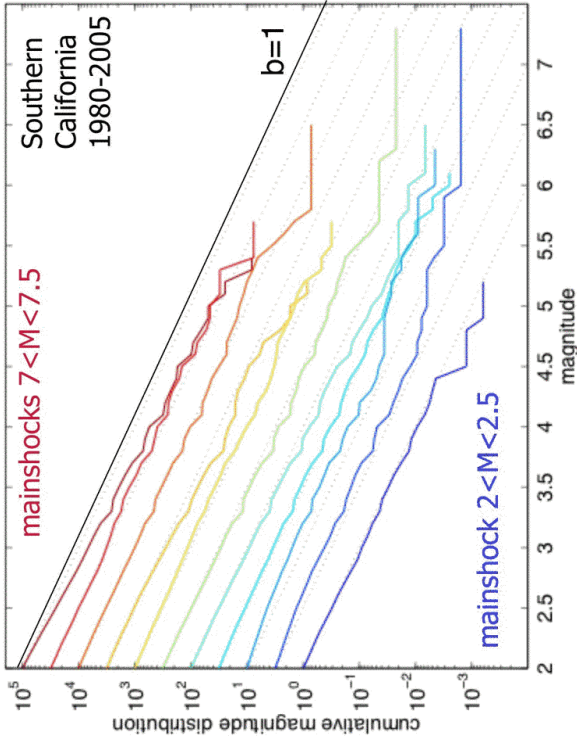
How are large EQs # from smaller ones?

- ... they're larger:  $L \sim 10^{0.5M}$
- but same stress drop
- static coulomb stress change  $\sigma(r/L, \theta)$



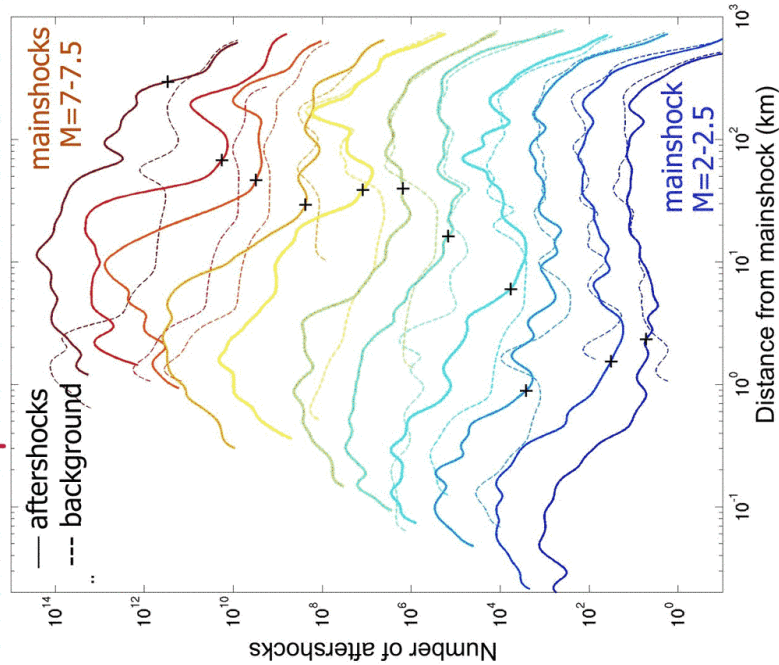
- so the number of aftershocks  $\sim$  fault area  $\sim L^2 \sim 10^m$  as observed
- small and 'large' EQs collectively as important for triggering and for stress transfers between EQs if  $P(m) \sim 10^m$  (GR law)

## How big are triggered events: Distribution of aftershocks magnitudes for different mainshock size $M$



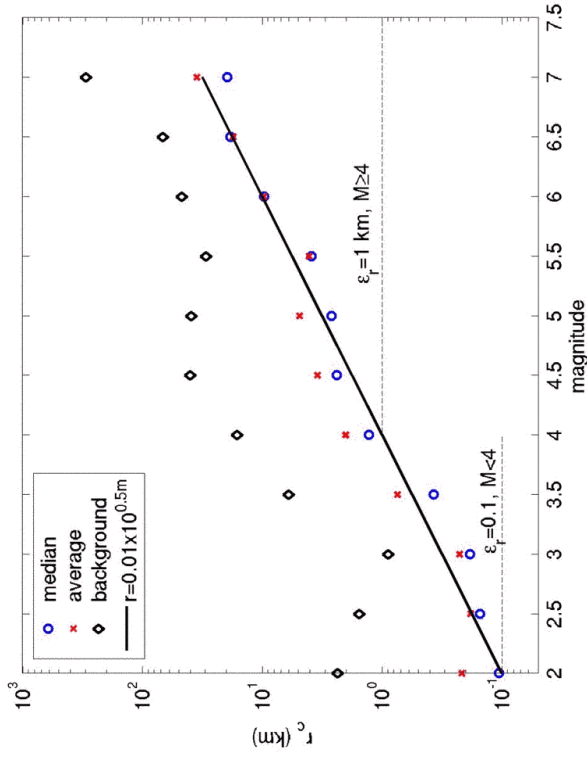
- Same mag distribution for all  $M$
- Only the number of aft increases with  $M$

## Where : Spatial distribution of aftershocks



- relocated catalog for Southern California [Shearer et al., 2004]
- Triggering distance increases with  $M$
- Max triggering distance:  $R \sim 2 * \text{rupture length} \sim 0.02 \times 10^{m/2} \text{ km}$

Where: Triggering distance as a function  $M$



- triggering distance  $d(m) \approx 0.01 \times 10^{0.5m}$  km  $\sim$  rupture length

### Summary: earthquake triggering, observations

- Aftershock rate decays as  $N \sim 1/t^{0.9}$ , for  $t$  between a few sec and several yrs, independently of the mainshock magnitude  $M$ .
- The number of aftershocks increases as  $N \sim 10^M \sim L^2$ , for  $0 < M < 9$ .
- Small EQs collectively as important as larger ones for EQ triggering
- The size of a triggered event is not constrained by the mainshock size
- The typical triggering distance =  $L = 0.01 \times 10^{M/2}$  km.  
The maximum triggering distance is  $2L$
- These observations can be explained by several models of earthquake triggering by static stress changes, such as the "rate-and-state" model of Dieterich [1994].

## How:

- static (permanent) coseismic stress change + "stress weakening"
  - rate-and-state friction [Dieterich, 1994]
  - subcritical crack growth [Das & Scholz, 1981; Shaw, 1993]
  - damage rheology [Ben-Zion & Lyakhovskiy, 2005]
- or stress relaxation with time
  - fluid diffusion [Nur & Booker, 1972]
  - postseismic slip + asperities [Schaff et al., 1998]
  - viscous relaxation (?) [Mikumo and Miyatake, 1979]
- dynamic stress changes (seismic waves) ?
  - does not explain long-time triggering

[Gomberg, 1997; Brodsky et al., 1998, 2003]

## Models for EQ triggering and forecasting

### "Epidemic Type EQ Sequence" (ETES)

- [Kagan and Knopoff, 1981; Ogata, 1988]
- Empirical model, based on Omori law & GR law
- Seismicity rate depends on time, location and mag of past EQs

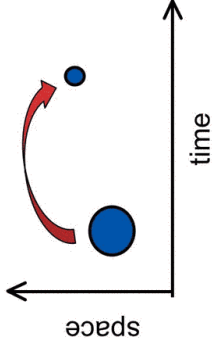
### "Rate-and-State"

- [Dieterich, 1994]
- Physical model based on rate-and-state friction law
- Seismicity rate as a function of stress history rate-and-state friction

## Epidemic Type Earthquake Sequence (ETES) model

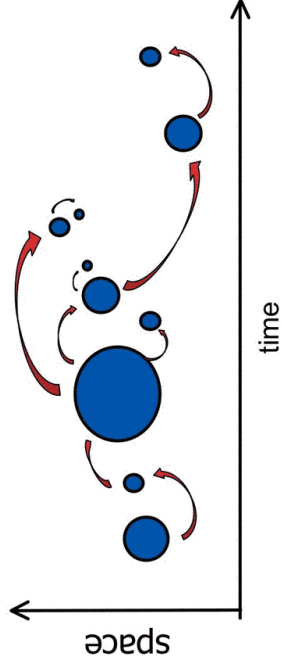
- **Input :**

Probability that an EQ  $(t,x,m)$  triggers another  $(t',x',m')$



- **Results :**

Multiple interactions between EQs



## Definition of ETES model

- Seismicity rate = "background" + "aftershocks":

$$\lambda(t, \vec{r}, m) \sim P(m) [\mu(\vec{r}) + \sum_{t_i < t} \phi_{m_i}(t - t_i, \vec{r} - \vec{r}_i)]$$

- Magnitude distribution: G.R. law

$$P(m) \sim 10^{-b(m-m_0)}$$

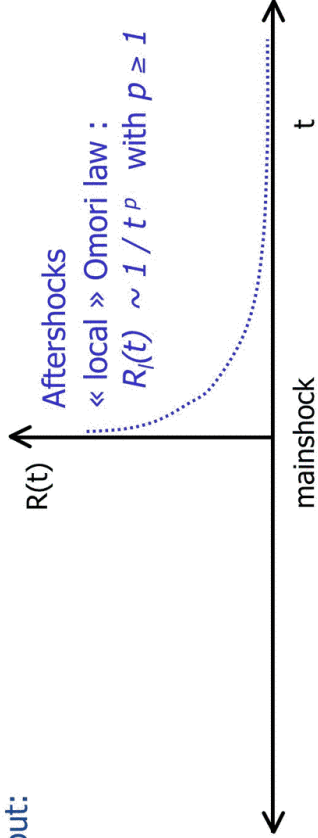
- Aftershocks: Omori law and increase of aftershock # with magnitude

$$\phi_m(t, \vec{r}) = \frac{K 10^{\alpha(m-m_0)}}{(t+c)^{1+\theta}} f(\vec{r})$$



## ETES model, foreshocks and aftershocks

- Input:



- Results

Foreshocks

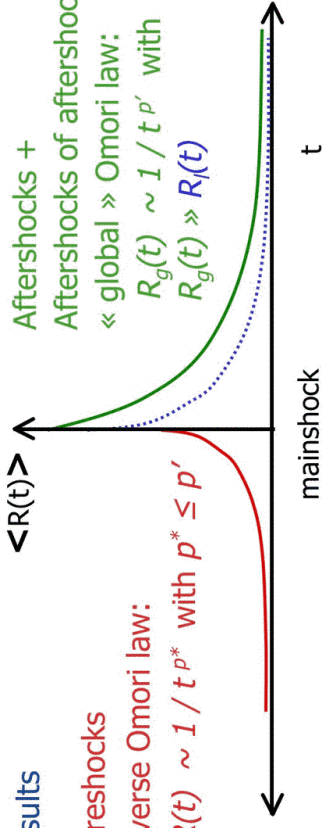
Inverse Omori law:

$$R(t) \sim 1/t^{p^*} \text{ with } p^* \leq p'$$

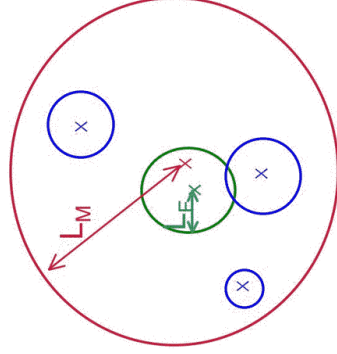
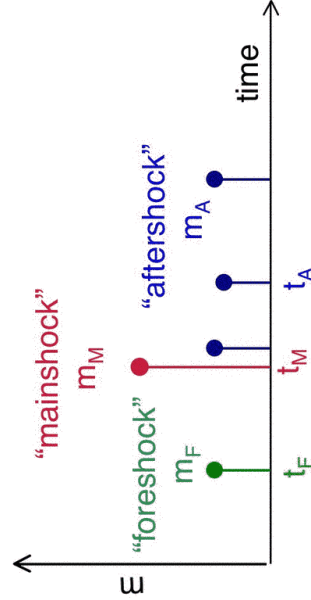
Aftershocks +  
Aftershocks of aftershocks + ...  
« global » Omori law:

$$R_g(t) \sim 1/t^{p'} \text{ with } p' \leq p$$

$$R_d(t) \gg R_l(t)$$



## Foreshock, mainshocks, aftershocks



- same properties  $F \Rightarrow M$  and  $M \Rightarrow A$

- in time: Omori, aftershock duration and  $p$  exponent indep. of  $m_M$

- in space:  $|r_M - r_F| \sim L_F$ ;  $|r_A - r_M| \sim L_M$

- in magnitude:  $P(m_A) = G.R.$  law indep. of  $m_M$

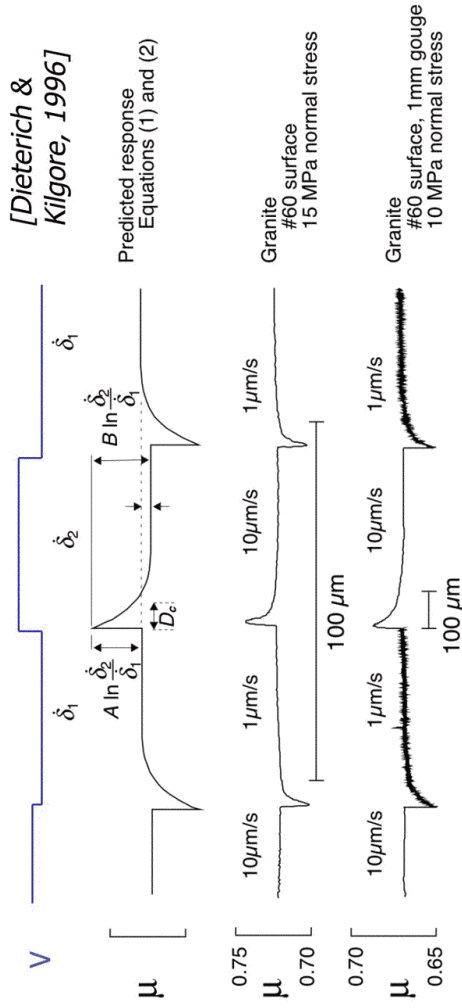
$$N_A(m_M) \sim 10^{cm}$$

- same physical mechanisms for  $F \Rightarrow M$  and  $M \Rightarrow A$

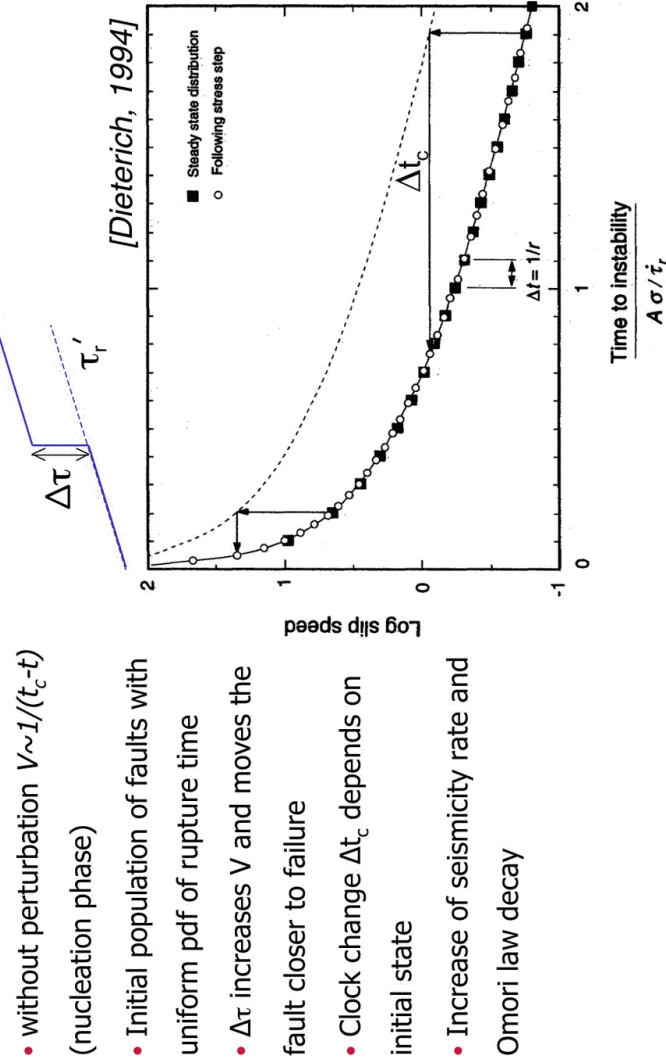
# from nucleation, "critical point" model, or rupture experiments

## "Rate-and-state" friction law

- Friction law [Dieterich, 1979; Ruina, 1983] • steady state:
  - $\mu = \mu_0 + A \ln(V) + B \ln(\theta)$   $\mu = \text{const.} + (A-B) \ln(V)$
  - $d\theta/dt = 1 - \theta V/D_c$  • stable if  $A > B$ ; EQs:  $A < B$



## "Rate-and-state" friction law and EQ triggering by a static stress change



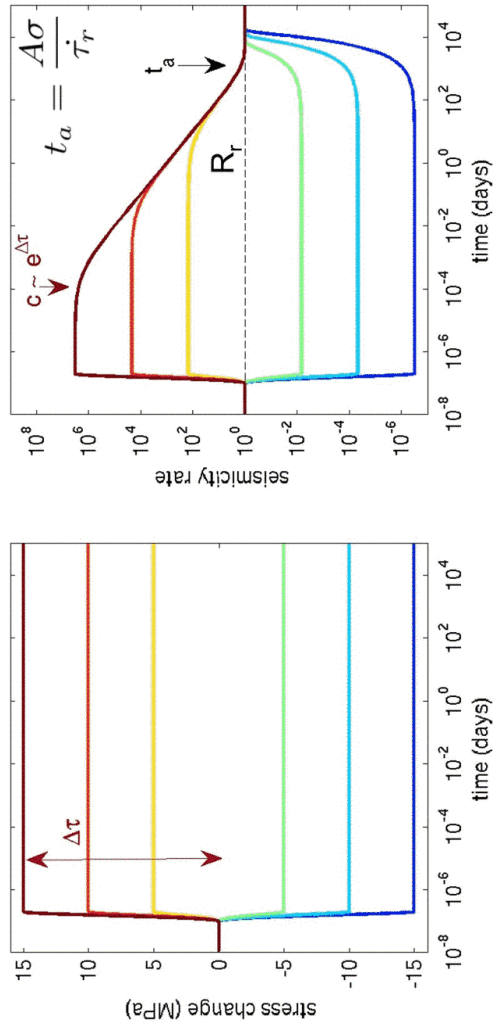
- without perturbation  $V \sim 1/(t_c - t)$  (nucleation phase)
- Initial population of faults with uniform pdf of rupture time
- $\Delta \tau$  increases  $V$  and moves the fault closer to failure
- Clock change  $\Delta t_c$  depends on initial state
- Increase of seismicity rate and Omori law decay

## "Rate-and-state" model of seismicity [Dieterich 1994]

- Relation between seismicity rate  $R$  and (Coulomb) stress history

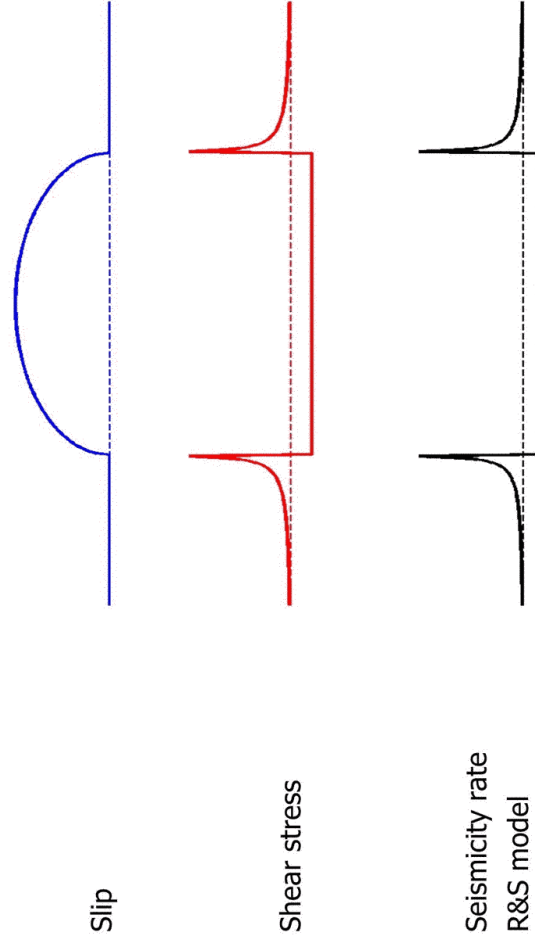
$$\partial\gamma = \frac{1}{A\sigma} [\partial t - \gamma \partial\tau], \quad \text{and} \quad R(t) = \frac{R_r}{\gamma(t, \tau) \tau_r}$$

- For a static stress change + constant tectonic loading rate:



## Coseismic slip, stress change, and aftershocks:

Planar fault, uniform stress drop



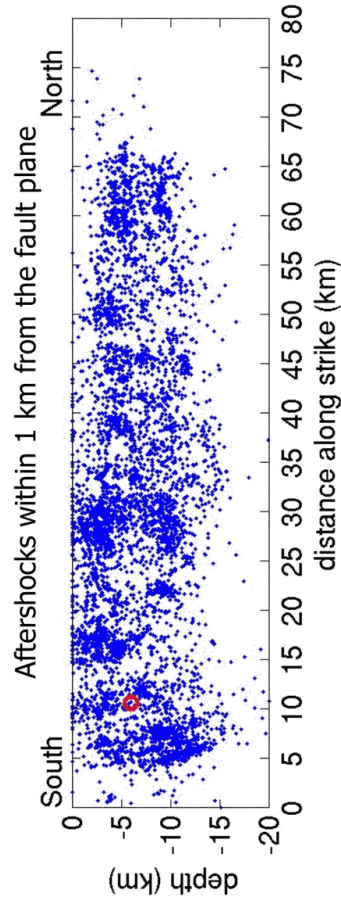
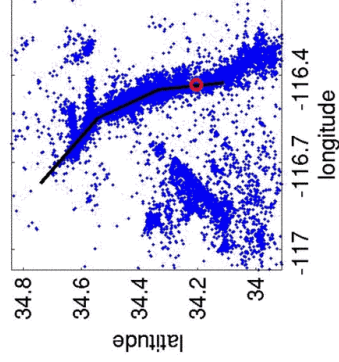
## Spatial distribution of aftershocks:

Map aftershocks

Landers, 1992,  $M=7.3$

S. California

$t < 1\text{yr}$

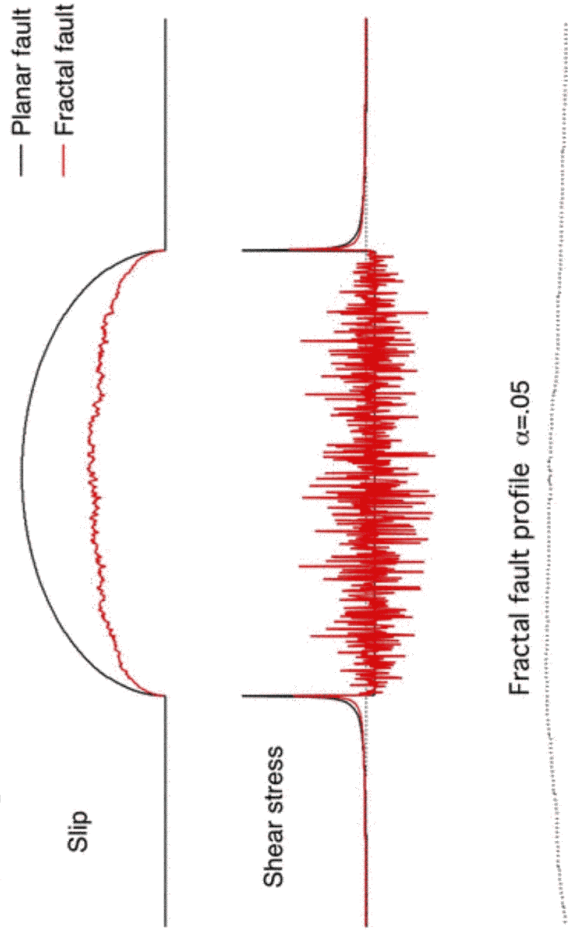


## Spatial distribution of aftershocks

- Most aftershocks occur "on" or "close" to the fault plane, where the shear stress change decreases on average
- Shear stress change must be very heterogeneous to explain on fault aftershock triggering with the rate-and-state model
  - fault roughness [Dieterich, 2005]
  - slip heterogeneity

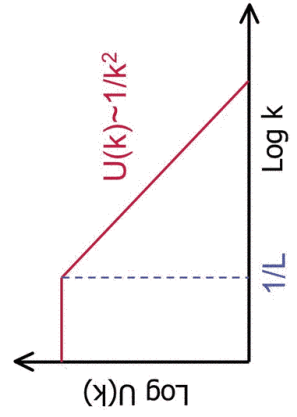
### Shear stress heterogeneity due to fault geometry

[Dieterich, 2005]



### Slip and shear stress heterogeneity

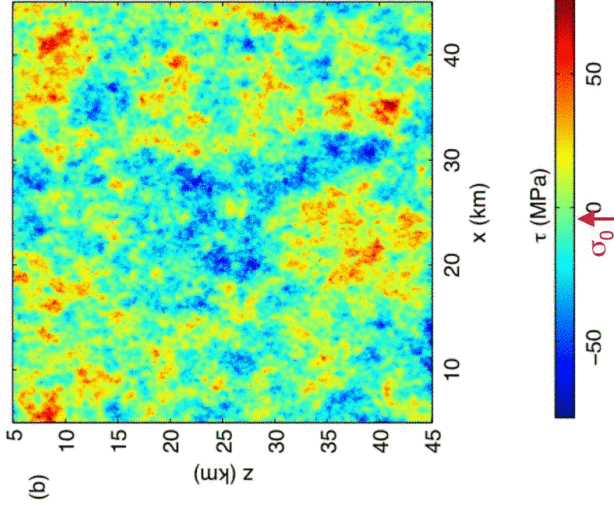
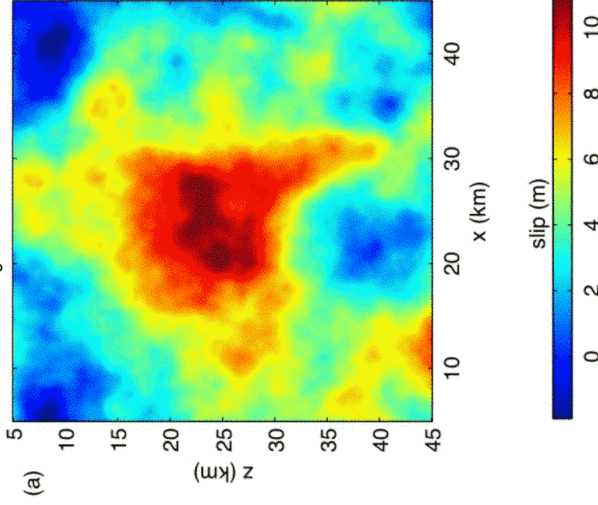
- Stochastic scale-invariant kinematic slip model [Herrero and Bernard, 1994]
- Large  $k > 1/L$  :  $U(k) \sim 1/k^2$ 
  - Large EQ = sum of small ones
- Small  $k < 1/L$  :  $U(k) = \text{const.}$
- Random phase
- Reproduces the  $1/f^2$  power spectrum seismograms (displacement) for  $f > f_c$
- Shear stress power-spectrum:  $\tau(k) \sim 1/k$  for  $k > 1/L$ 
  - infinite standard deviation!
  - small scale cutoff
  - or  $U(k) \sim 1/k^n$  with  $n > 2$



### Slip and shear stress heterogeneity

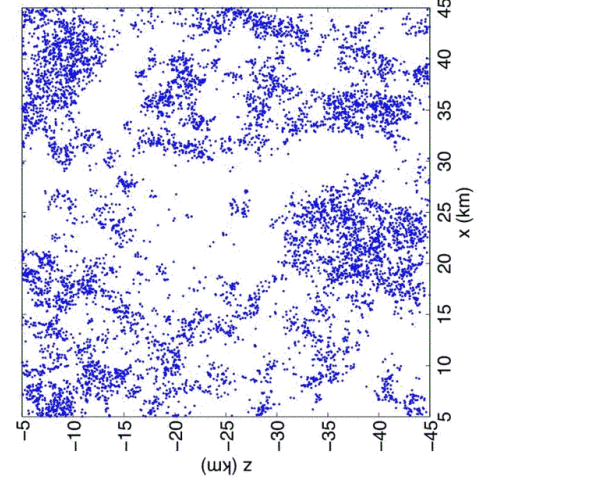
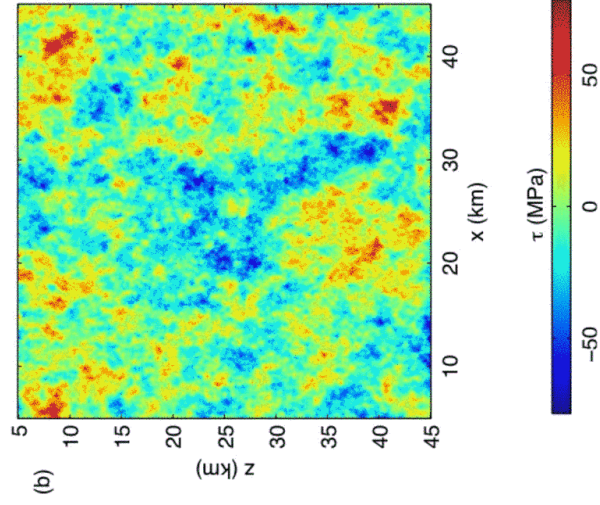
- Modified  $\ll k^2 \gg$  slip model:  $U(k) \sim 1/(k+1/L)^{2.3}$

- Stress drop  $\sigma_0 = 3$  MPa



### Slip and shear stress heterogeneity + R&S model

- Synthetic aftershock catalog generated using Dieterich [1994] model (without multiple interactions between aftershocks)

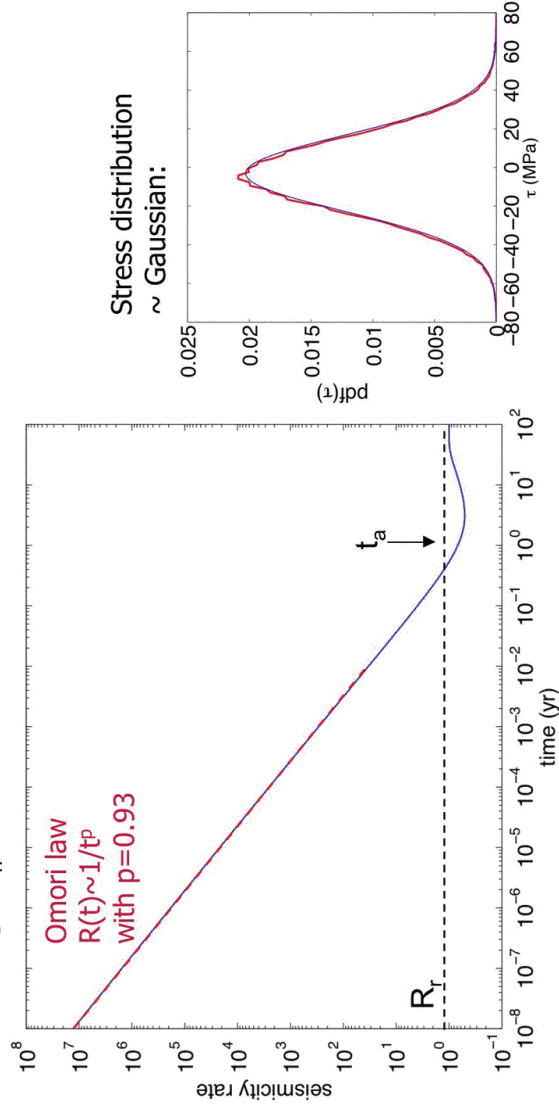


### Stress heterogeneity and aftershock decay with time

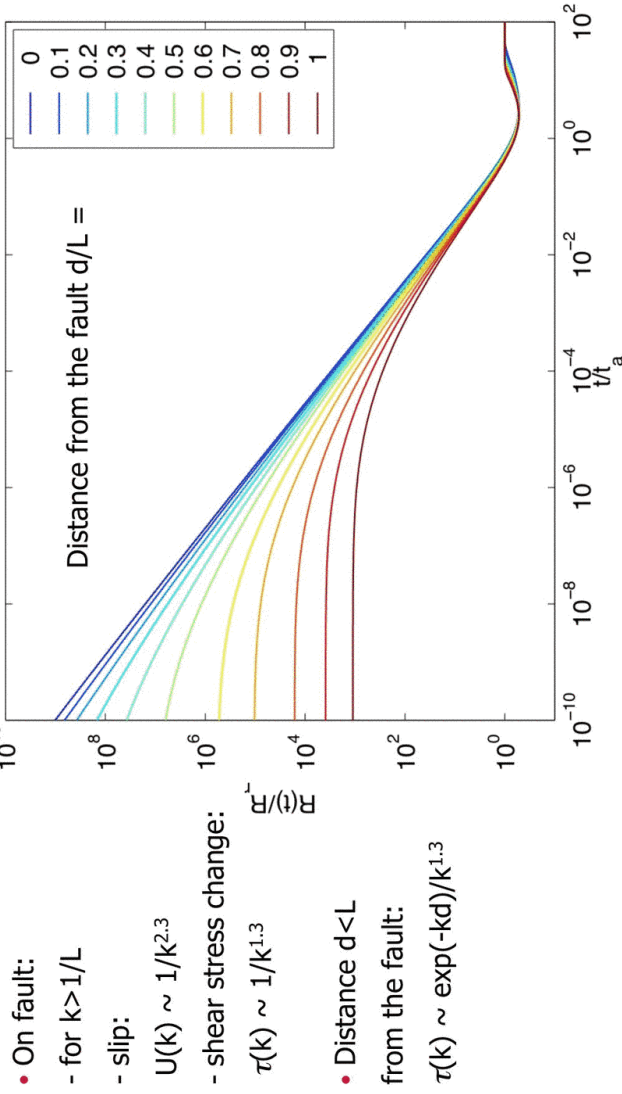
- Aftershock rate from R&S model with modified  $k^2$  slip model

$$R(t) = \int_{\text{fault}} R(t, \tau) P(\tau) d\tau$$

assuming  $A\sigma_n = 1$  MPa



### Modified $k^2$ slip model, Off-fault aftershocks

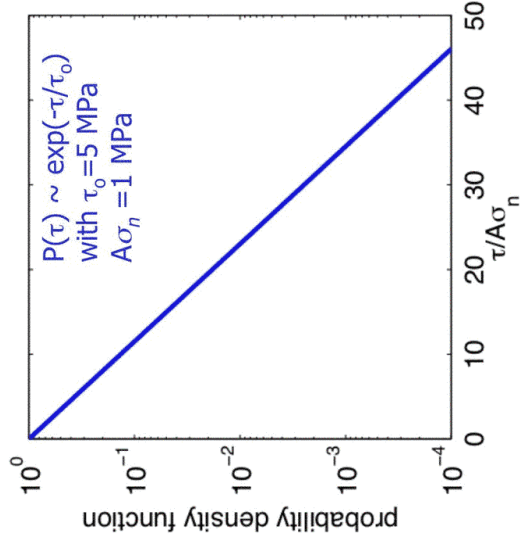
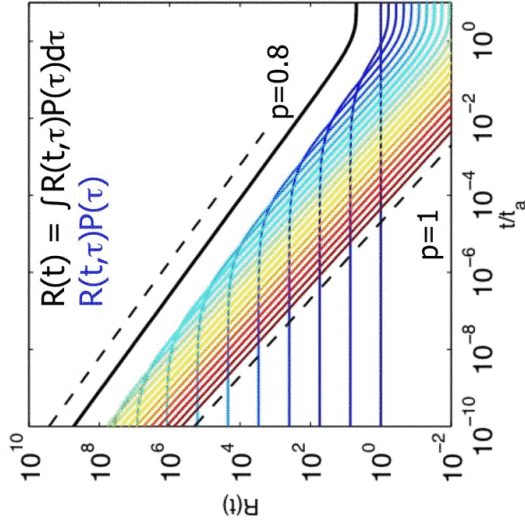


### Stress heterogeneity and aftershock decay with time

- R&S model produces Omori law with  $p \leq 1$  for an exponential pdf  $P(\tau)$

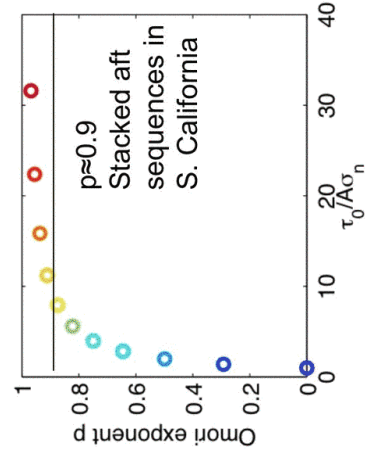
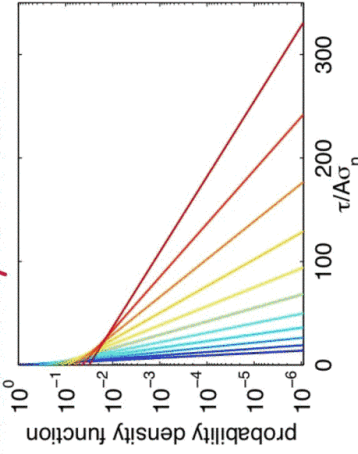
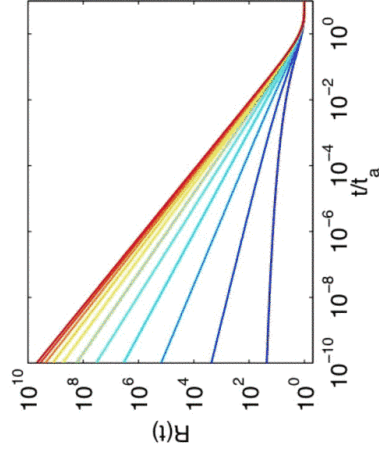
$$P(\tau) \sim \exp(-\tau/\tau_0)$$

$$R(t) = \int R(t,\tau) P(\tau) d\tau \sim 1/t^p \text{ for } t \ll t_a \text{ with } p = 1 - A\sigma_n/\tau_0$$



### Stress heterogeneity and aftershock decay with time

- Aftershock rate for  $P(\tau) \sim \exp(-\tau/\tau_0)$
  - $p = 1 - A\sigma_n/\tau_0$  for  $t \ll t_a$
- $p$  decreases if « heterogeneity »  
 $\tau_0$  increases





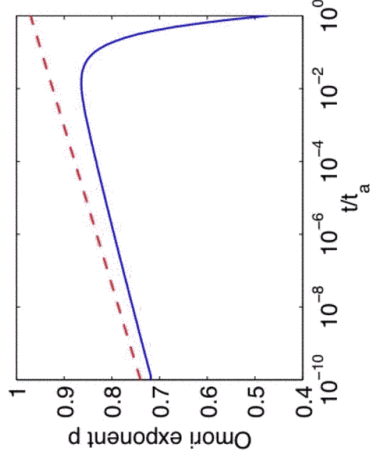
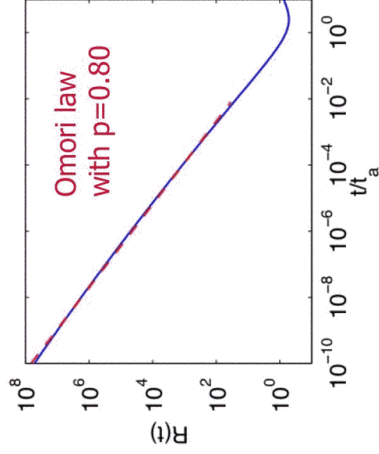
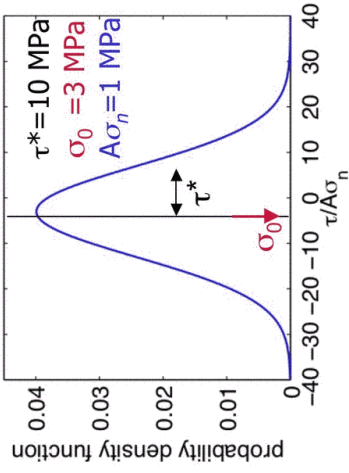
### Aftershock decay for a Gaussian stress distribution

- stress distribution

$$P(\tau) \sim \exp[-(\tau - \sigma_0)^2 / 2\tau^{*2}]$$

- aftershock rate close to Omori law with effective exponent

$$p \approx 1 - [A \sigma_n \sigma_0 - A^2 \sigma_n^2 \log(t/t_a)] / \tau^{*2}$$



### Inversion of stress changes from aftershock rate

Deviations from Omori law with  $p=1$  due to

- stress decrease / increase with time [Dieterich, JGR 1994; Nature 2000]
- or heterogeneity of coseismic stress change, due to
  - fault geometry [Dieterich, 2005]
  - heterogeneity of coseismic slip
- hard to distinguish between small scale stress heterogeneity and temporal variation

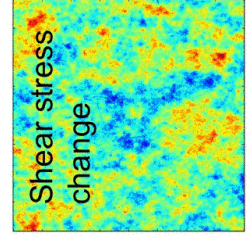
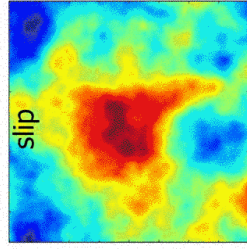
We invert for  $P(\tau)$  from aftershocks rate  $R(t)$

- Solve  $R(t) = \int R(t, \tau) P(\tau) d\tau$
- assuming stress does not change with time
- problem: R&S model with instantaneous stress change can't explain  $p > 1$

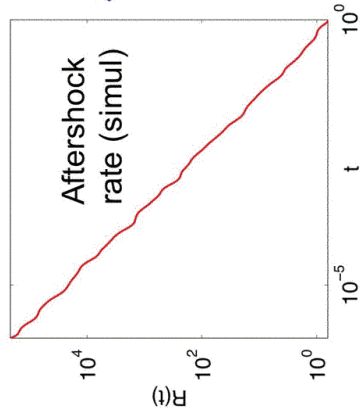
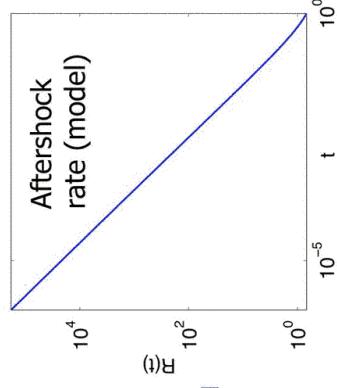
## Inversion of stress pdf from aftershock rate

Test on synthetic R&S catalogs

modified  $k^2$  model

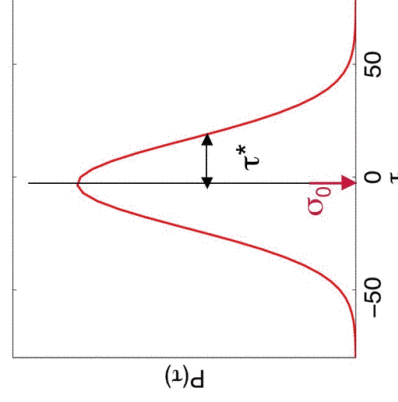
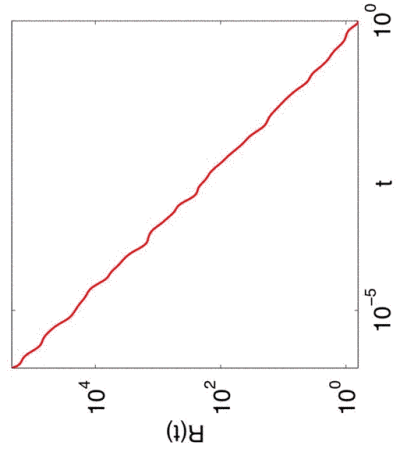


Rate & State  
[Dieterich, 1994]



EQ catalog  
 $t_i, i=1, \dots, N$   
 $t_{\min} < t < t_{\max}$

## Inversion of stress pdf from aftershock rate



- Complete distribution  $P(\tau)$ 
  - solution of  $R(t) = \int R(t, \tau) P(\tau) d\tau$
  - fixed  $t_a, R_r, A\sigma_n$
- Gaussian  $P(\tau)$ 
  - fixed  $A\sigma_n, R_r$
  - invert for  $\tau^*, \sigma_0$  and  $t_a$

## Inversion of stress pdf from aftershock rate

Synthetic R&S catalog

N=150000

$A\sigma_n=1$  MPa

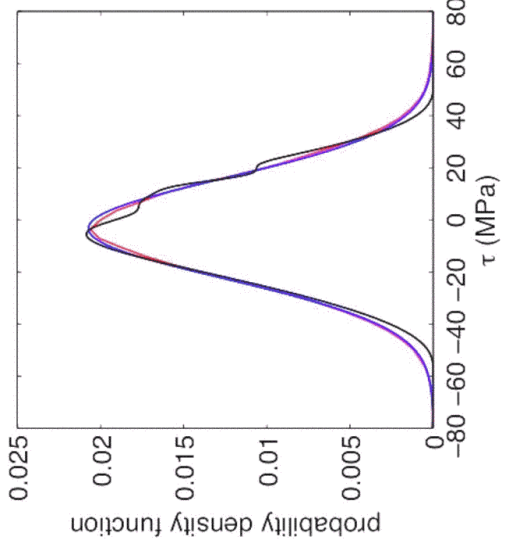
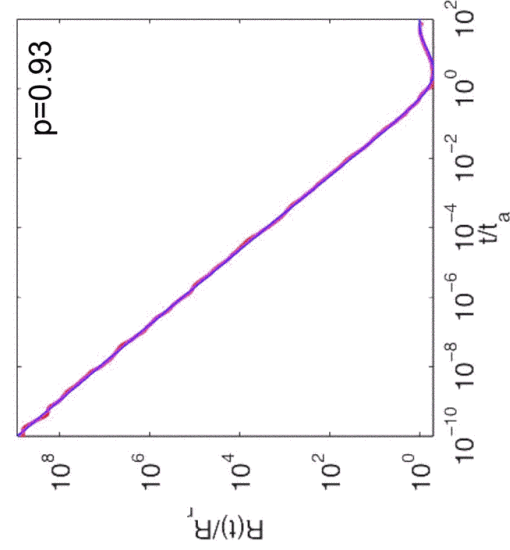
$\sigma_0 = 3$  MPa;  $\tau^*=20$  MPa

- input  $P(\tau)$

- inverted  $P(\tau)$ , fixed  $A\sigma_n$ ,  $R_r$  and  $t_a$

- Gaussian  $P(\tau)$

fixed  $A\sigma_n$  and  $R_r$ , invert for  $t_a$ ,  $\sigma_0$  and  $\tau^*$



## Inversion of stress pdf from aftershock rate

Synthetic R&S catalog

N=230

$A\sigma_n=1$  MPa

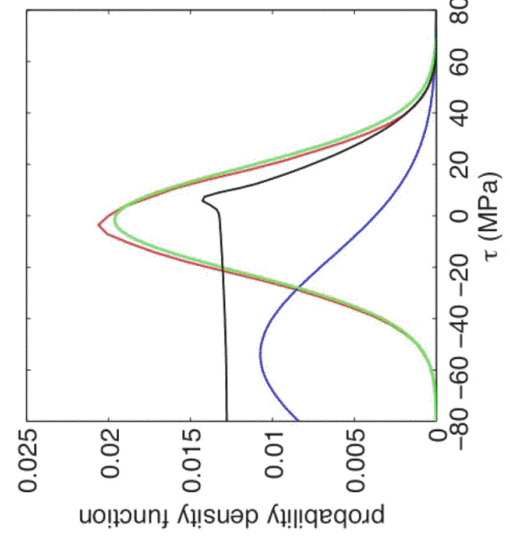
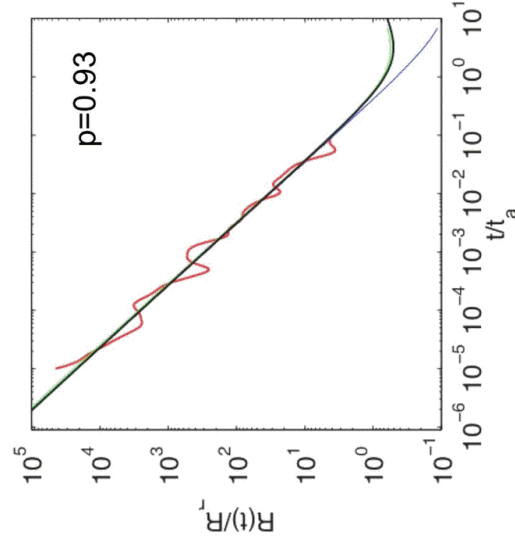
$\sigma_0 = 3$  MPa;  $\tau^*=20$  MPa

- input  $P(\tau)$

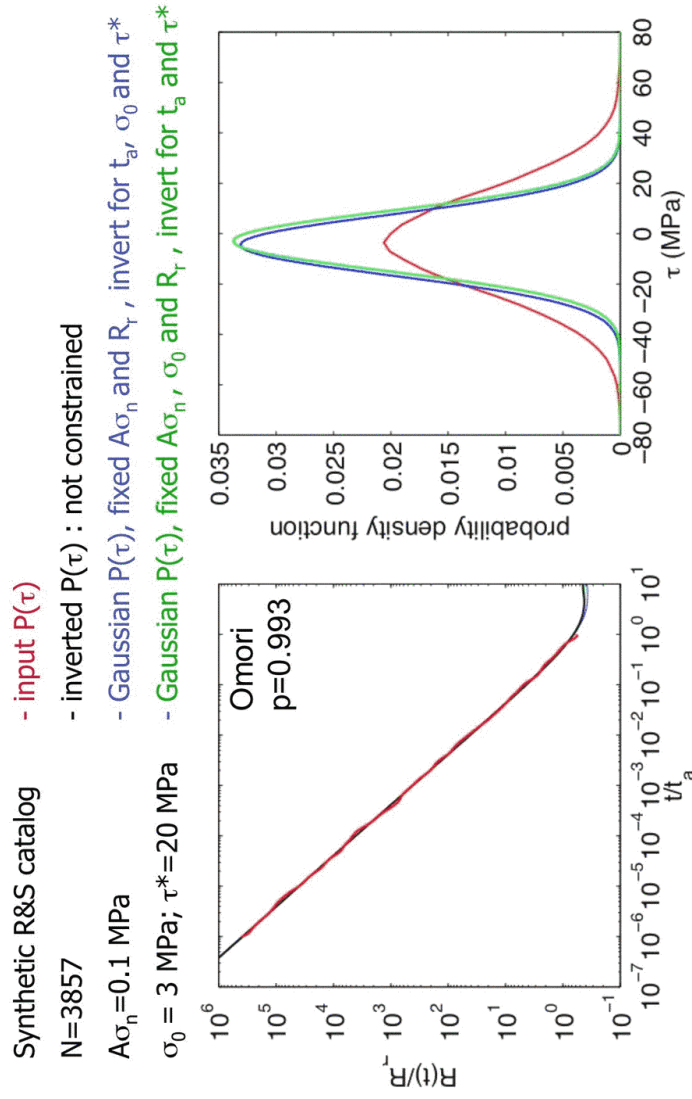
- inverted  $P(\tau)$ , fixed  $A\sigma_n$ ,  $R_r$  and  $t_a$

- Gaussian  $P(\tau)$ , fixed  $A\sigma_n$  and  $R_r$ , invert for  $t_a$ ,  $\sigma_0$  and  $\tau^*$

- Gaussian  $P(\tau)$ , fixed  $A\sigma_n$ ,  $\sigma_0$  and  $R_r$ , invert for  $t_a$  and  $\tau^*$

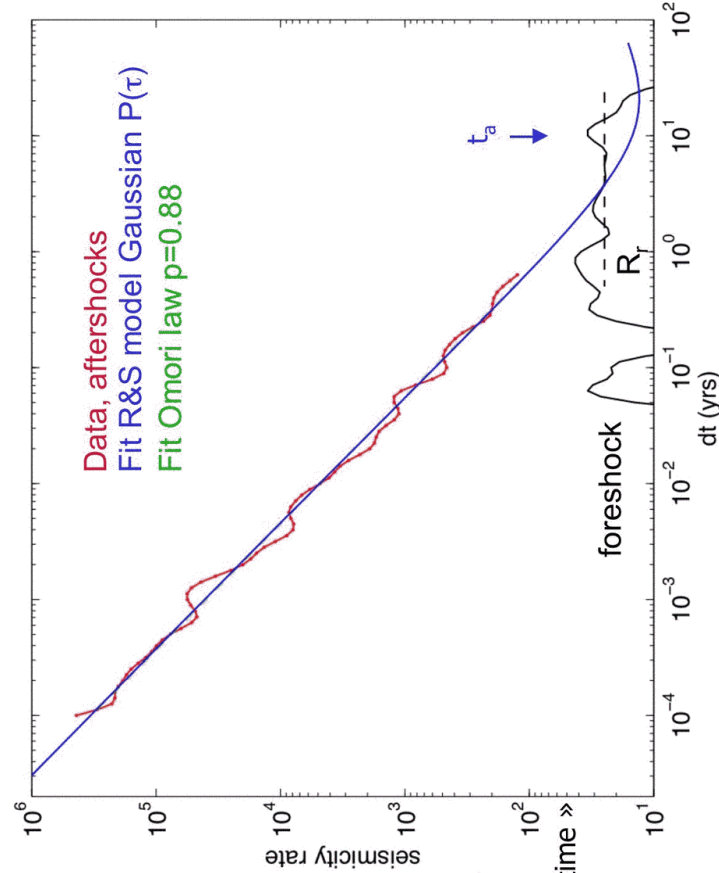


## Inversion of stress pdf from aftershock rate

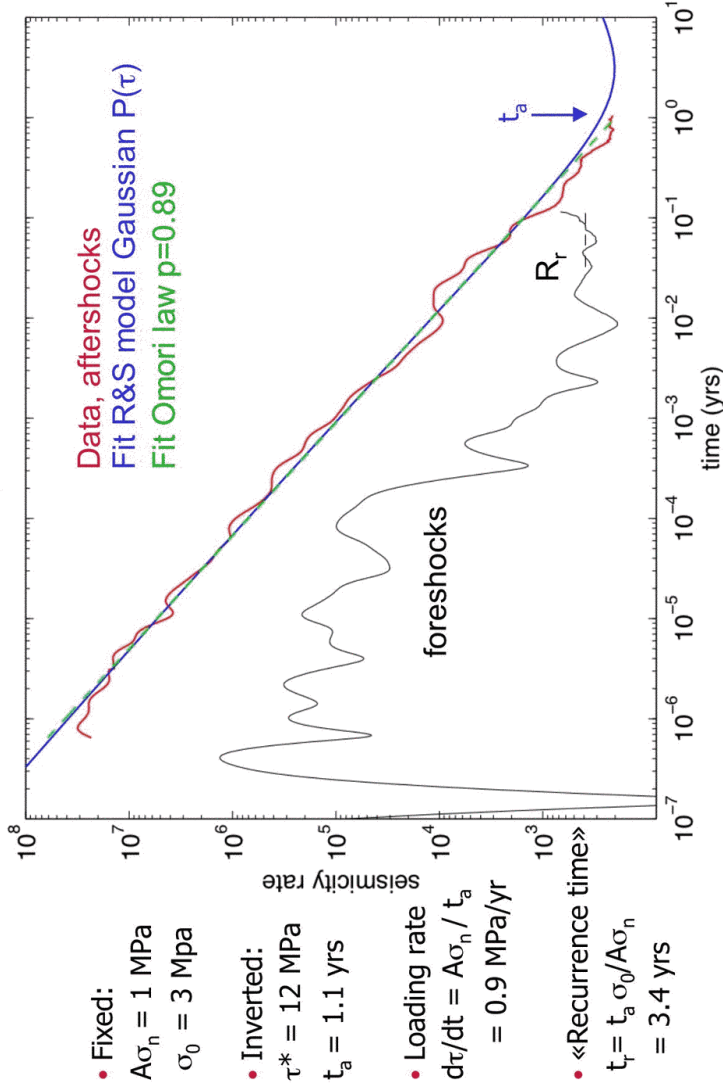


## Parkfield 2005 m=6 aftershock sequence

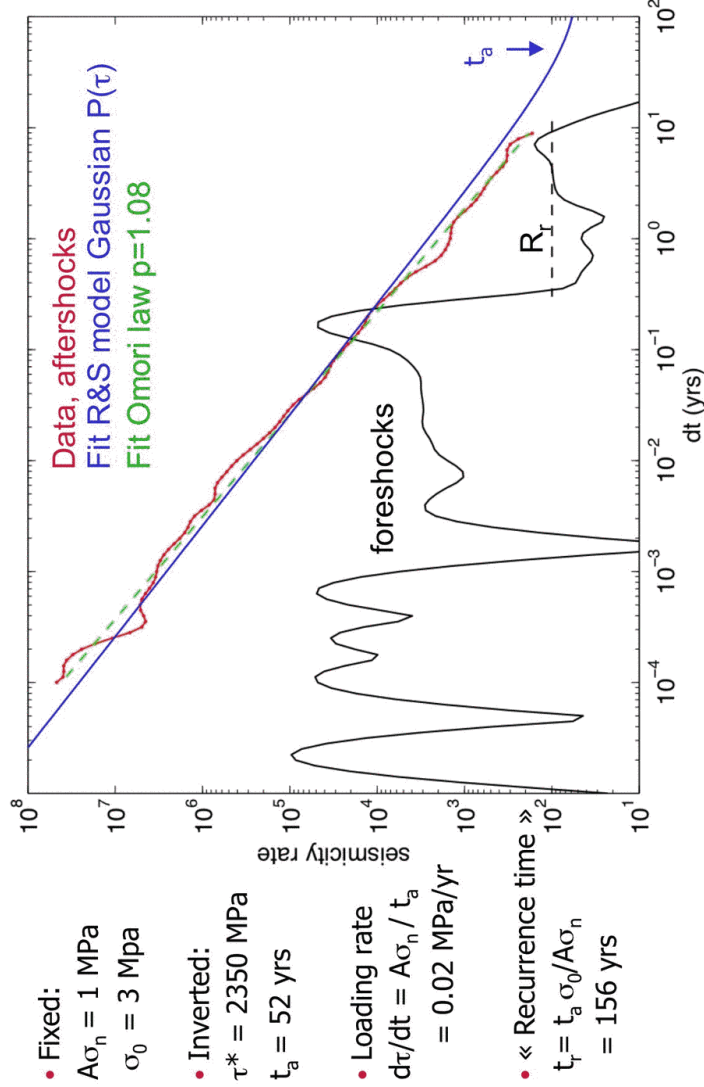
- Fixed:
  - $A\sigma_n = 1$  MPa
  - $\sigma_0 = 3$  MPa
- Inverted:
  - $\tau^* = 11$  MPa
  - $t_a = 10$  yrs
- Loading rate
  - $dr/dt = A\sigma_n / t_a$
  - $= 0.1$  MPa/yr
- « Recurrence time »
  - $t_r = t_a \sigma_0 / A\sigma_n$
  - $= 30$  yrs



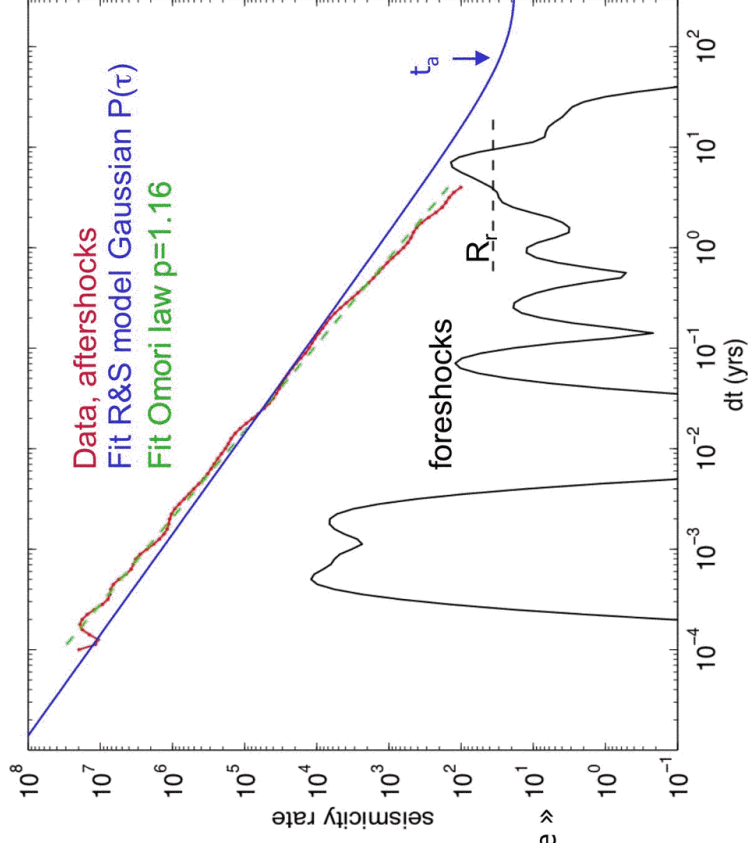
**Stacked aftershock sequences, Japan (80, 3<M<5, z<30)**



**Landers, 1992, M=7.3, aftershock sequence**

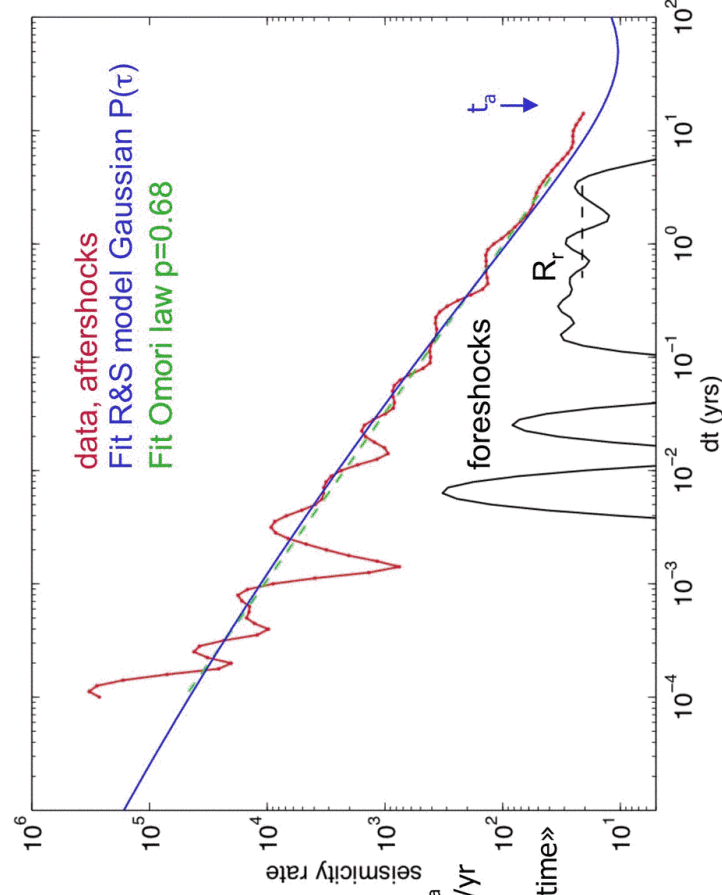


### Hector Mine 1999 M=7.1 aftershock sequence



- Fixed:  
 $A\sigma_n = 1$  MPa  
 $\sigma_0 = 3$  MPa
- Inverted:  
 $\tau^* = 438$  MPa  
 $t_a = 80$  yrs
- Loading rate  
 $d\tau/dt = A\sigma_n / t_a$   
 $= 0.012$  MPa/yr
- « Recurrence time »  
 $t_r = t_a \sigma_0 / A\sigma_n$   
 $= 240$  yrs

### Morgan Hill, 1984 M=6.2, aftershock sequence



- Fixed:  
 $A\sigma_n = 1$  MPa  
 $\sigma_0 = 3$  Mpa
- Inverted:  
 $\tau^* = 6.2$  MPa  
 $t_a = 26$  yrs
- Loading rate  
 $d\tau/dt = A\sigma_n / t_a$   
 $= 0.04$  MPa/yr
- «Recurrence time»  
 $t_r = t_a \sigma_0 / A\sigma_n$   
 $= 78$  yrs

## Inversion of stress - Conclusion

- Stress drop not constrained if catalog too short
- We can estimate  $\tau^*$  (width of  $P(\tau)$ ) for a limited catalog if  $p < 1$
- And if we know  $A\sigma_n$ 
  - $A\sigma_n = 1$  MPa ?  $A = 0.01$  (Lab experiments, Dieterich and Kilgore 1996)
  - $\sigma_n = 100$  MPa (lithostatic pressure at 5km)
  - $A\sigma_n = 0.1$  MPa ? (relation between  $t_a$  and recurrence time [Dieterich, 1994])
- Effect of secondary aftershocks?
  - increase ref EQ rate  $R_r$  [Ziv and Rubinfeld, 2003], but does not change  $p$  or  $\tau^*$  ?
- Heterogeneity of  $A\sigma_n$ ? (does not change  $R(t)$  if  $A\sigma_n$  less heterogeneous than  $\Delta\tau$ )
- Post-seismic stress relaxation?