

# Many Body Anderson Localization

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**Electron Glasses**

*Program at Kavli Institute for Theoretical Physics*

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# *Outline:*

- 1. Introduction to Anderson Localization*
- 2. Phononless conductivity*
- 3. Many – Body Localization*
- 4. Disordered bosons in 1D*
- 5. Metal -Perfect Insulator transition in electronic systems*

# *Introduction*



# Philip W. Anderson

The Nobel Prize in Physics 1977

## Nobel Lecture

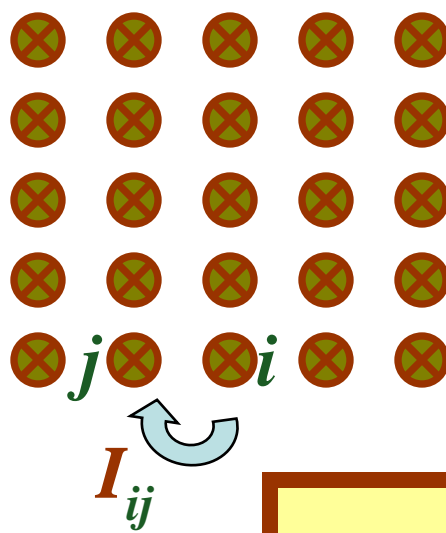
Nobel Lecture, December 8, 1977

### Local Moments and Localized States

I was cited for work both. in the field of magnetism and in that of disordered systems, and I would like to describe here one development in each held which was specifically mentioned in that citation. The two theories I will discuss differed sharply in some ways. The theory of local moments in metals was, in a sense, easy: it was the condensation into a simple mathematical model of ideas which. were very much in the air at the time, and it had rapid and permanent acceptance because of its timeliness and its relative simplicity. What mathematical difficulty it contained has been almost fully- cleared up within the past few years.

Localization was a different matter: **very few believed it at the time, and even fewer saw its importance; among those who failed to fully understand it at first was certainly its author.** It has yet to receive adequate mathematical treatment, and one has to resort to the indignity of numerical simulations to settle even the simplest questions about it .

# Anderson Model



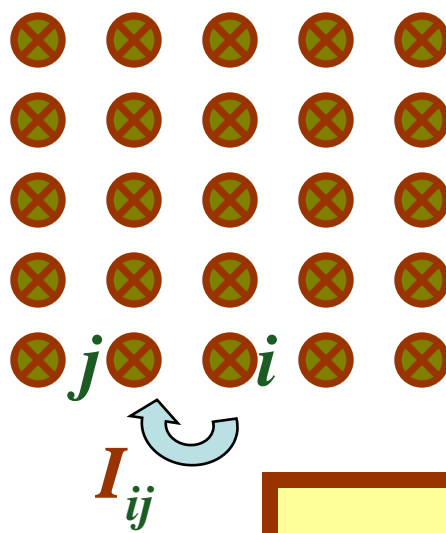
- *Lattice - tight binding model*
- *Onsite energies  $\epsilon_i$  - **random***
- *Hopping matrix elements  $I_{ij}$*

$$-W < \epsilon_i < W$$

*uniformly distributed*

$$I_{ij} = \begin{cases} I & \mathbf{i} \text{ and } \mathbf{j} \text{ are nearest neighbors} \\ 0 & \text{otherwise} \end{cases}$$

# Anderson Model



- Lattice - tight binding model
- Onsite energies  $\epsilon_i$  - *random*
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## Anderson Transition

$$I < I_c$$

*Insulator*

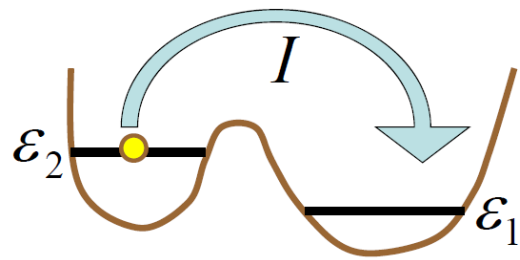
All eigenstates are *localized*  
Localization length  $\xi$

$$I_c = f(d) * W$$

$$I > I_c$$

*Metal*

There appear states *extended*  
all over the whole system



$$\hat{H} = \begin{pmatrix} \varepsilon_1 & I \\ I & \varepsilon_2 \end{pmatrix}$$

$$\varphi_1 \varepsilon_1; \varphi_2 \varepsilon_2 \Leftarrow \psi_1, E_1; \psi_2, E_2$$

$$\varepsilon_2 - \varepsilon_1 \gg I$$

$$\psi_{1,2} = \varphi_{1,2} + \mathcal{O}\left(\frac{I}{\varepsilon_2 - \varepsilon_1}\right) \varphi_{2,1}$$

## Off-resonance

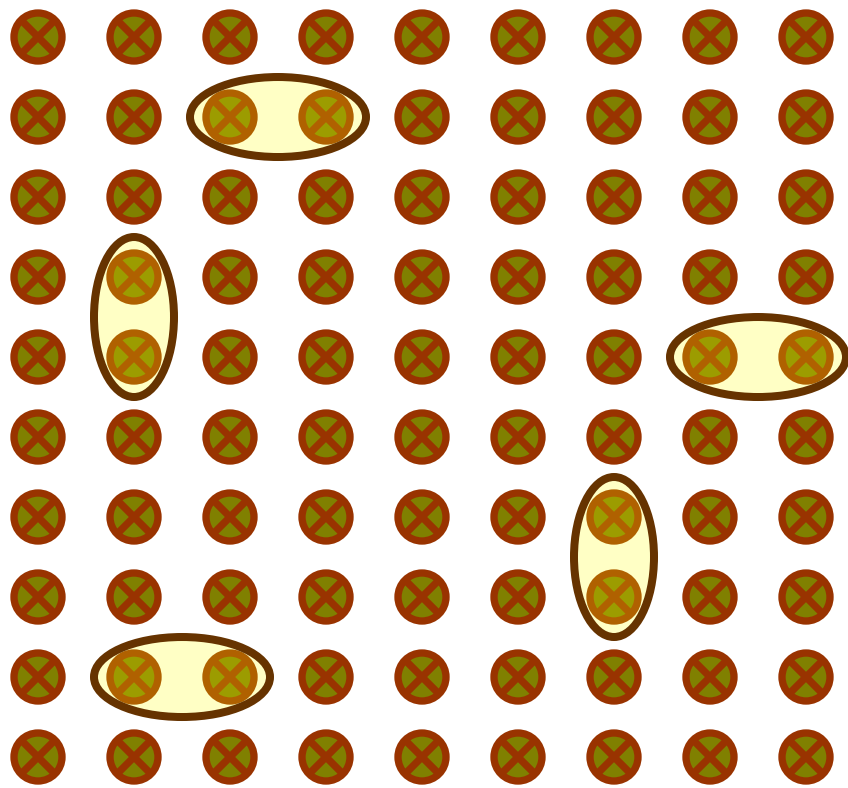
Eigenfunctions are close to the original on-site wave functions

$$\varepsilon_2 - \varepsilon_1 \ll I$$

$$\psi_{1,2} \approx \varphi_{1,2} \pm \varphi_{2,1}$$

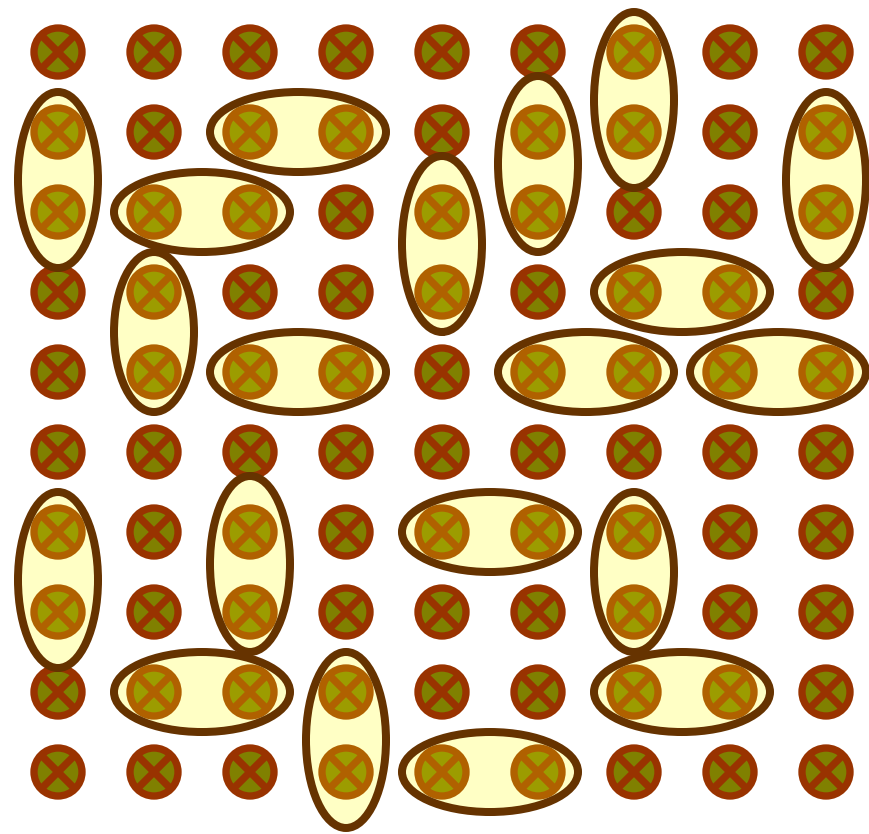
## Resonance

The probability is equally shared between the sites



## Anderson insulator

Few isolated resonances



## Anderson metal

There are many resonances  
and they overlap

**Transition:**

Typically each site is in the  
resonance with some other one

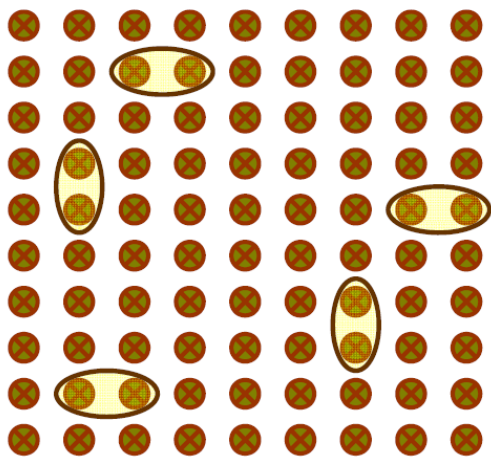


## Condition for Localization:

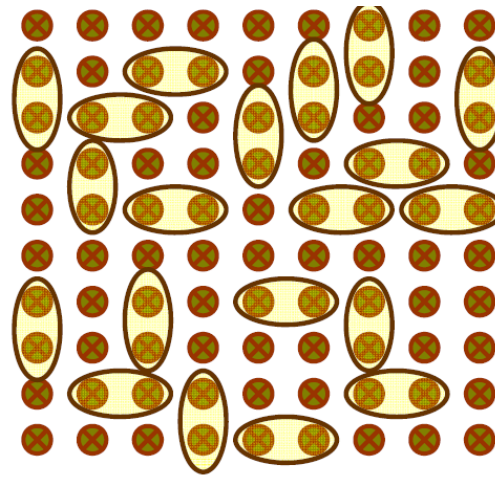
$$I < \frac{\text{energy mismatch}}{\# \text{ of n.neighbors}}$$

$$\text{energy mismatch} = |\varepsilon_i - \varepsilon_j|_{\text{typ}} = W$$

$$\# \text{ of nearest neighbors} = 2d$$



**Anderson insulator**  
Few isolated resonances



**Anderson metal**  
There are many resonances  
and they overlap

**Transition:** Typically each site is in the resonance with some other one

**A bit more precise:**

$$\frac{I_c}{W} \approx \left( \frac{1}{2d} \right) \left( \frac{1}{\ln d} \right)$$

Logarithm is due to the resonances, which are not nearest neighbors

# Condition for Localization:

$$\frac{I_c}{W} \approx \left( \frac{1}{2d} \right) \left( \frac{1}{\ln d} \right)$$

**Q:** Is it correct?

**A1:** For low dimensions - **NO**.  $I_c = \infty$  for  $d = 1, 2$   
All states are localized. Reason - loop trajectories

**A2:** Works better for larger dimensions  $d > 2$

**A3:** Is exact on the Cayley tree (Bethe lattice)

$$I_c = \frac{W}{K \ln K},$$

$K$  is the branching number

# Anderson Model on a Cayley tree

## A selfconsistent theory of localization

R Abou-Chacra†, P W Anderson‡§ and D J Thouless†

† Department of Mathematical Physics, University of Birmingham, Birmingham, B15 2TT

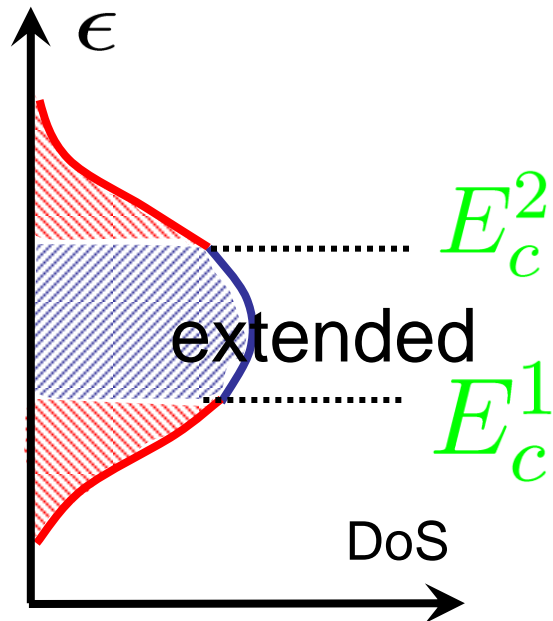
‡ Cavendish Laboratory, Cambridge, England and Bell Laboratories, Murray Hill, New Jersey, 07974, USA

Received 12 January 1973

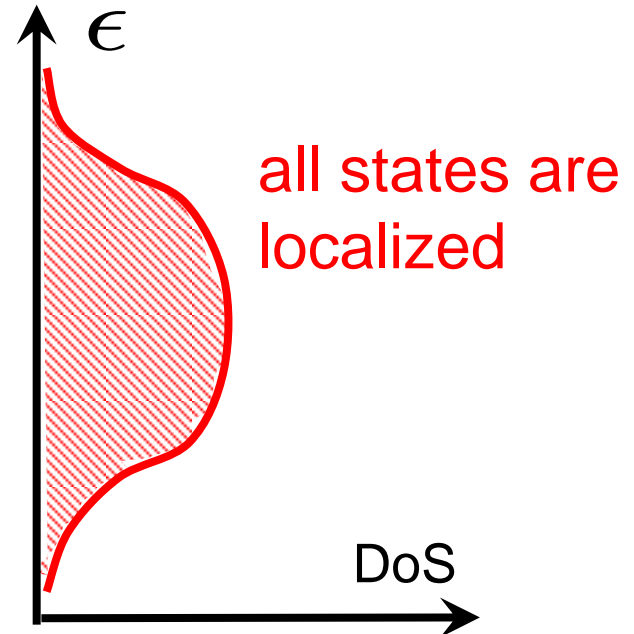
**Abstract.** A new basis has been found for the theory of localization of electrons in disordered systems. The method is based on a selfconsistent solution of the equation for the self energy in second order perturbation theory, whose solution may be purely real almost everywhere (localized states) or complex everywhere (nonlocalized states). The equations used are exact for a Bethe lattice. The selfconsistency condition gives a nonlinear integral equation in two variables for the probability distribution of the real and imaginary parts of the self energy. A simple approximation for the stability limit of localized states gives Anderson's 'upper limit approximation'. Exact solution of the stability problem in a special case gives results very close to Anderson's best estimate. A general and simple formula for the stability limit is derived; this formula should be valid for smooth distribution of site energies away from the band edge. Results of Monte Carlo calculations of the selfconsistency problem are described which confirm and go beyond the analytical results. The relation of this theory to the old Anderson theory is examined, and it is concluded that the present theory is similar but better.

# Anderson Transition

$$I > I_c$$



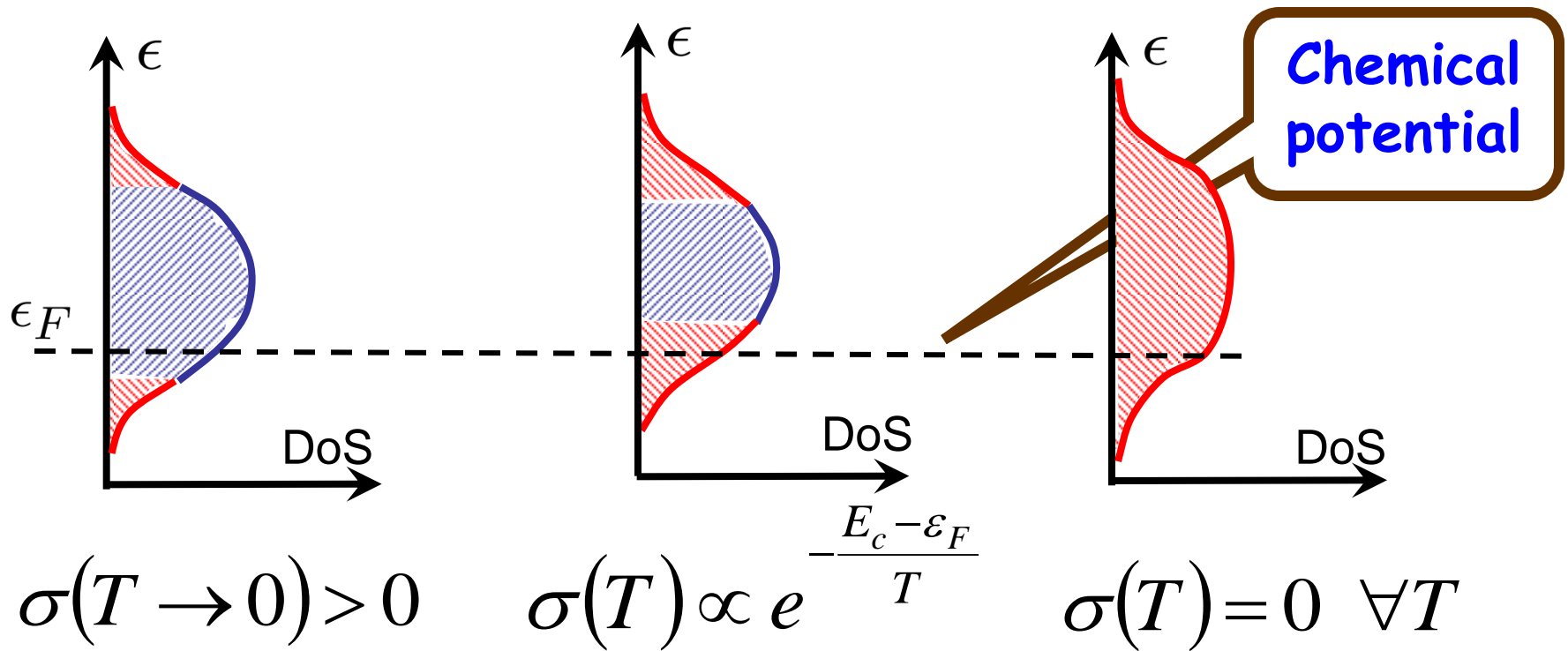
$$I < I_c$$



$E_c$  - mobility edges (one particle)

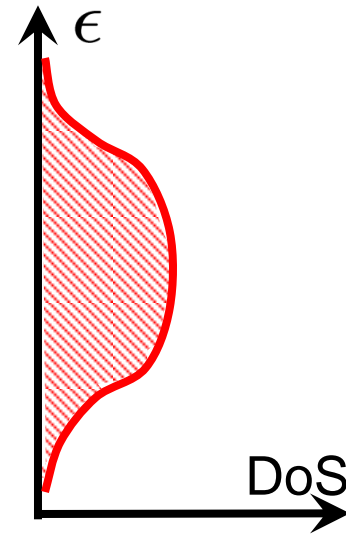
# Temperature dependence of the conductivity

## one-electron picture



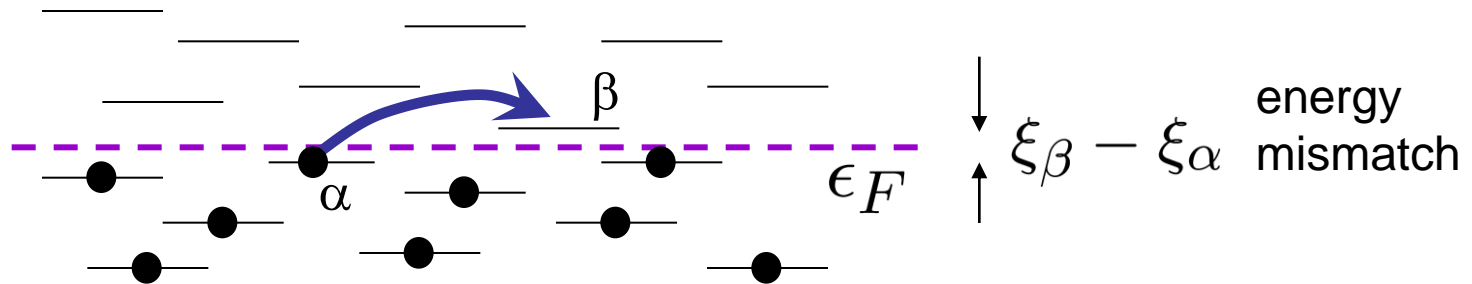
# Temperature dependence of the conductivity one-electron picture

Assume that all the  
states  
are **localized**;  
e.g.  $d = 1, 2$



$$\sigma(T) = 0 \quad \forall T$$

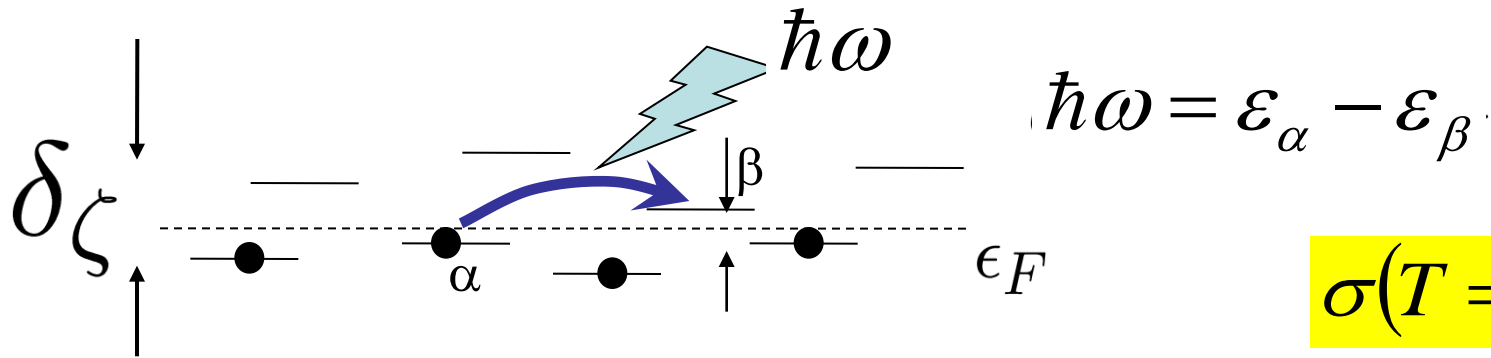
# Inelastic processes transitions between localized states



$$T = 0 \quad \Rightarrow \quad \sigma = 0$$

(any mechanism)

# Phonon-assisted hopping



$$\sigma(T=0) = 0$$

**Variable Range Hopping**  
N.F. Mott (1968)

$$\sigma(T) \propto T^\gamma \exp \left[ - \left( \frac{\delta\zeta}{T} \right)^{\frac{1}{d+1}} \right]$$

Mechanism-dependent prefactor

Optimized phase volume

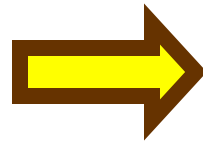
Any bath with a continuous spectrum of **delocalized excitations** down to  $\omega = 0$  will give the same exponential



*Phononless conductance  
in Anderson insulators  
with e-e interaction*

**Common belief:**

Anderson Insulator  
weak e-e interactions



Phonon assisted hopping transport

Can hopping conductivity exist **without phonons**



- Given:**
1. All one-electron states are localized
  2. Electrons interact with each other
  3. The system is closed (no phonons)
  4. Temperature is low but finite

**Find:** DC conductivity  $\sigma(T, \omega=0)$   
(**zero** or **finite**?)

**Q:** Can e-h pairs lead to **phonon-less** variable range hopping in the same way as phonons do ?

**A#1: Sure**

1. Recall phonon-less AC conductivity:  
Sir N.F. Mott (1970)

$$\sigma(\omega) = \frac{e^2 \zeta_{loc}^{d-2}}{\hbar} \left( \frac{\hbar\omega}{\delta\zeta} \right)^2 \ln^{d+1} \left| \frac{\delta\zeta}{\hbar\omega} \right|$$

2. Fluctuation Dissipation Theorem:  
there should be Johnson-Nyquist noise
3. Use this noise as a bath instead of phonons
4. Self-consistency (whatever it means)

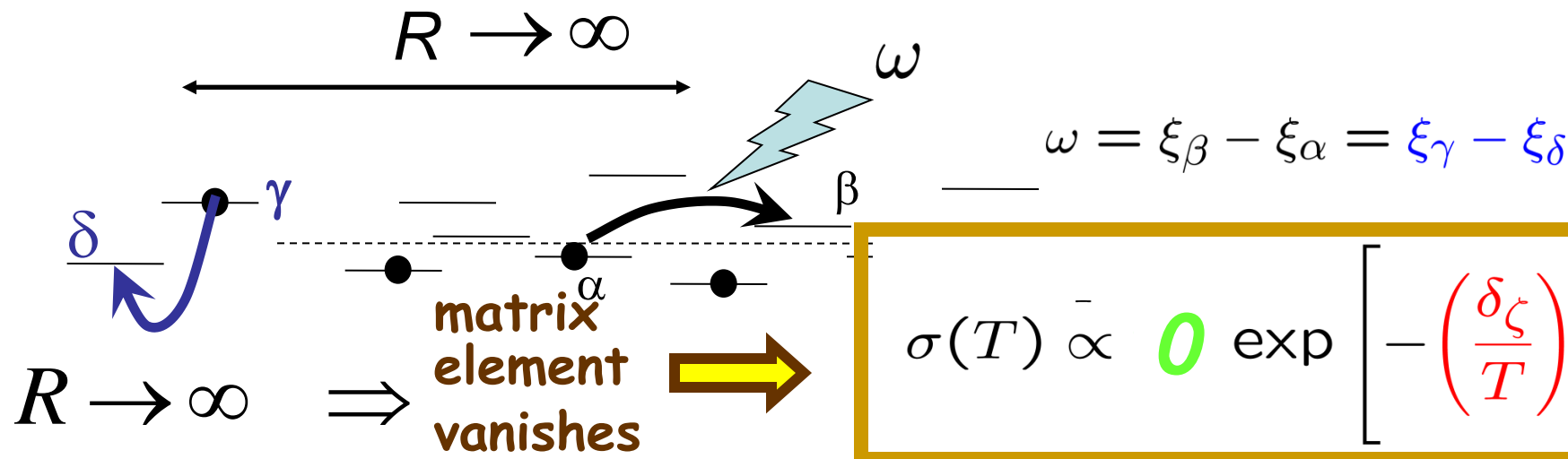
**Q:** Can e-h pairs lead to **phonon-less** variable range hopping in the same way as phonons do ?

**A#1:** Sure

**A#2:** No way (L. Fleishman, P.W. Anderson (1980))  
 Except maybe Coulomb interaction in 3D

$$\sigma(\omega) \simeq \frac{e^2 \zeta_{loc}^{d-2}}{\hbar} \left( \frac{\hbar\omega}{\delta\zeta} \right)^2 \ln^{d+1} \left| \frac{\delta\zeta}{\hbar\omega} \right|$$

is contributed by rare resonances



$$\sigma(T) \propto \mathbf{0} \exp \left[ - \left( \frac{\delta\zeta}{T} \right)^{\frac{1}{d+1}} \right]$$

No  
phonons

???

No transport  $\forall T$

## Problem:

➤ If the localization length exceeds  $L_\varphi$ , then - metal.

➤ In a metal e-e interaction leads to a finite  $L_\varphi$

At high enough temperatures conductivity should be **finite** even **without phonons**

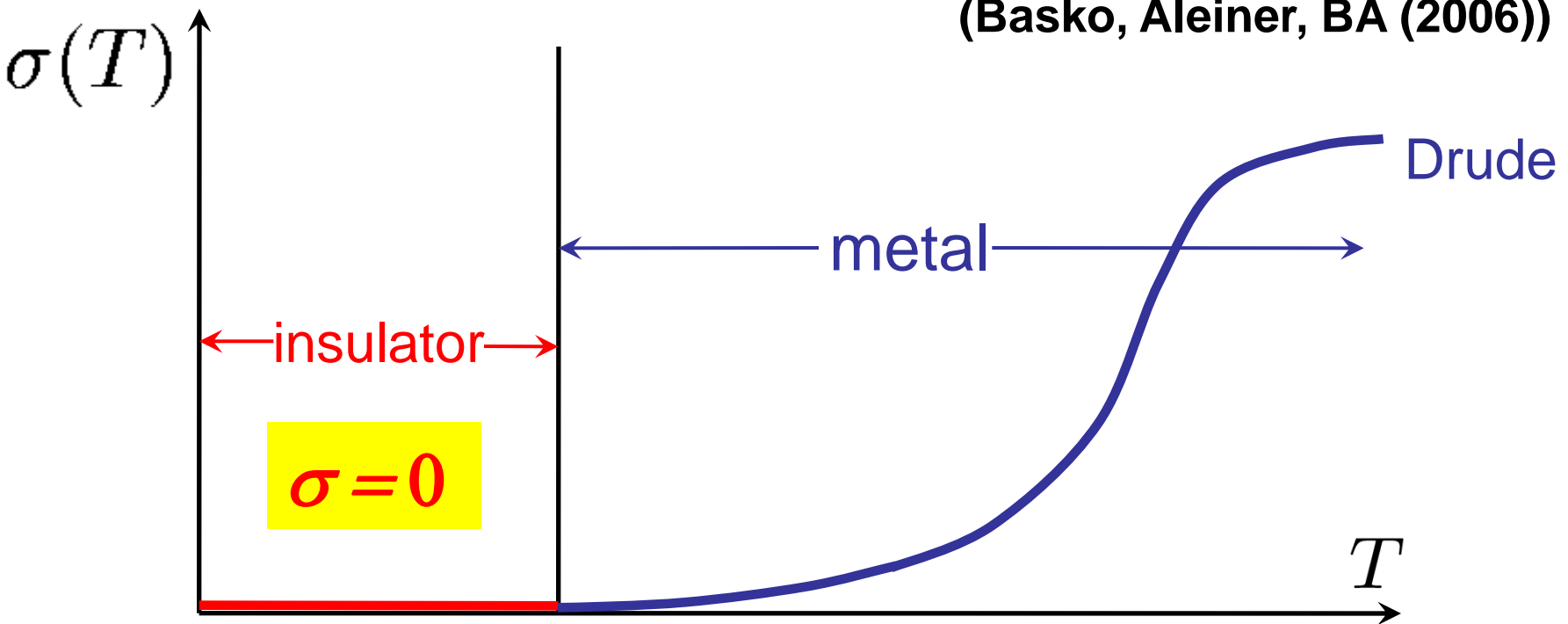
**Q:** Can e-h pairs lead to **phonon-less** variable range hopping in the same way as phonons do ?

**A#1:** Sure

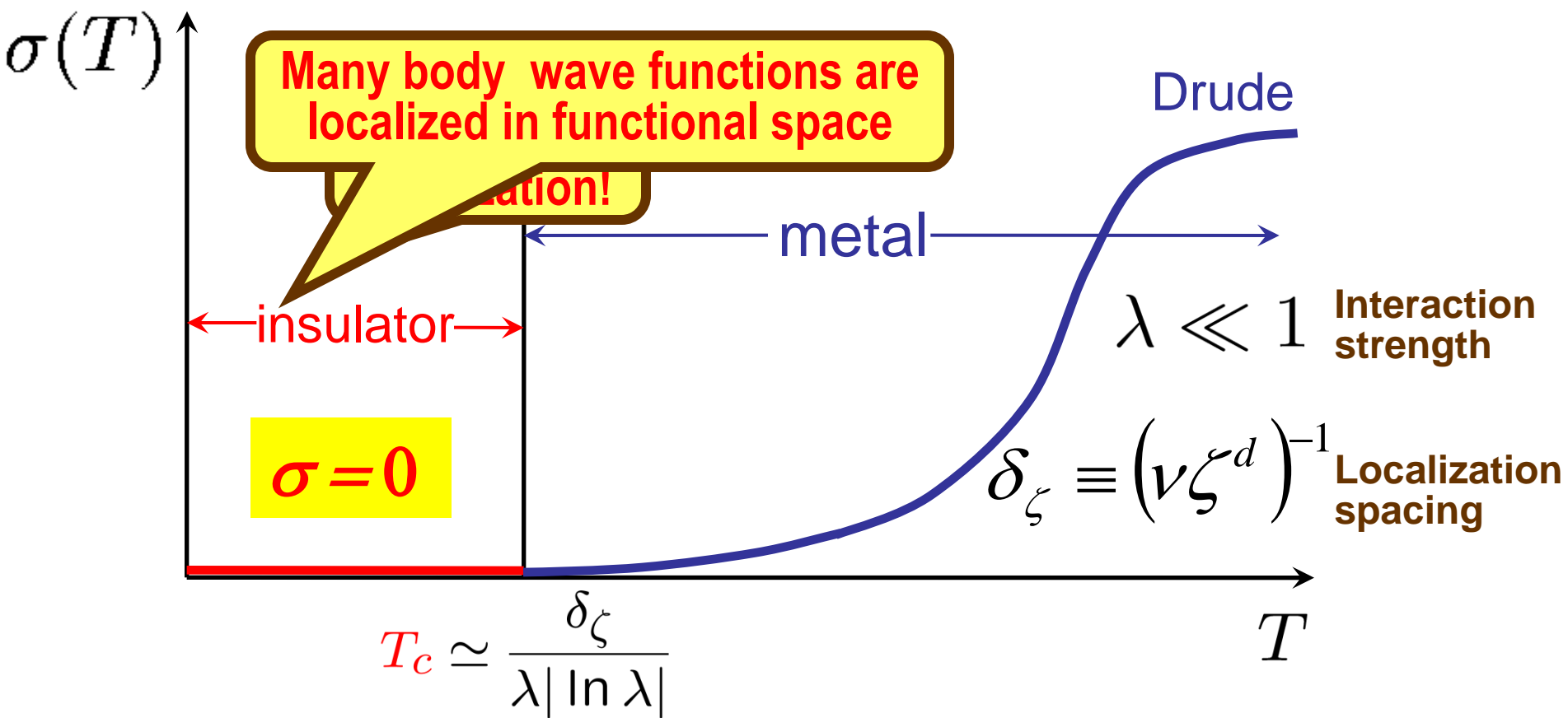
**A#2:** No way (L. Fleishman, P.W. Anderson (1980))

**A#3:** Finite temperature **Metal-Insulator Transition**

(Basko, Aleiner, BA (2006))



# Finite temperature Metal-Insulator Transition



## Definitions:

Insulator  $\sigma = 0$   
 not  $d\sigma/dT < 0$

Metal  $\sigma \neq 0$   
 not  $d\sigma/dT > 0$

# *Many-Body Localization*

BA, Gefen, Kamenev & Levitov, 1997

Basko, Aleiner & BA, 2005. . .



# Example: Random Ising model in the perpendicular field

Will not discuss today in detail

$$\hat{H} = \sum_{i=1}^N B_i \hat{\sigma}_i^z + \sum_{i \neq j} J_{ij} \hat{\sigma}_i^z \hat{\sigma}_j^z + I \sum_{i=1}^N \hat{\sigma}_i^x \equiv \hat{H}_0 + I \sum_{i=1}^N \hat{\sigma}_i^x$$

Random Ising model  
in a parallel field

Perpendicular  
field

$\vec{\sigma}_i$  - Pauli matrices,  $\sigma_i^z = \pm \frac{1}{2}$   
 $i = 1, 2, \dots, N; \quad N \gg 1$

$$\hat{H} = \sum_{i=1}^N B_i \hat{\sigma}_i^z + \sum_{i \neq j} J_{ij} \hat{\sigma}_i^z \hat{\sigma}_j^z + I \sum_{i=1}^N \hat{\sigma}_i^x \equiv \hat{H}_0 + I \sum_{i=1}^N \hat{\sigma}_i^x$$

Random Ising model  
in a parallel field

Perpendicular  
field

$\vec{\sigma}_i$  - Pauli matrices

$i = 1, 2, \dots, N; \quad N \gg 1$

**Anderson Model on  
 $N$ -dimensional cube**

$\{\sigma_i^z\}$  determines a site

$H_0(\{\sigma_i\})$

onsite energy

$\hat{\sigma}^x = \hat{\sigma}^+ + \hat{\sigma}^-$

hopping between  
nearest neighbors

$$\hat{H} = \sum_{i=1}^N B_i \hat{\sigma}_i^z + \sum_{i \neq j} J_{ij} \hat{\sigma}_i^z \hat{\sigma}_j^z + I \sum_{i=1}^N \hat{\sigma}_i^x \equiv \hat{H}_0 + I \sum_{i=1}^N \hat{\sigma}_i^x$$

## Anderson Model on $N$ -dimensional cube

Usually:

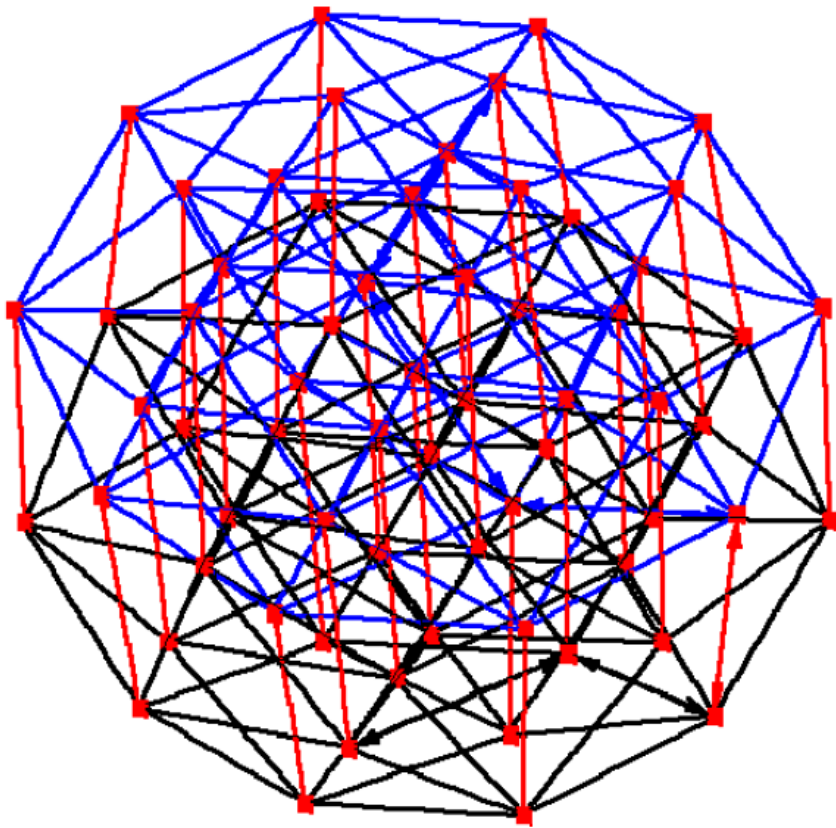
# of dimensions  $d \rightarrow \text{const}$

system linear size  $L \rightarrow \infty$

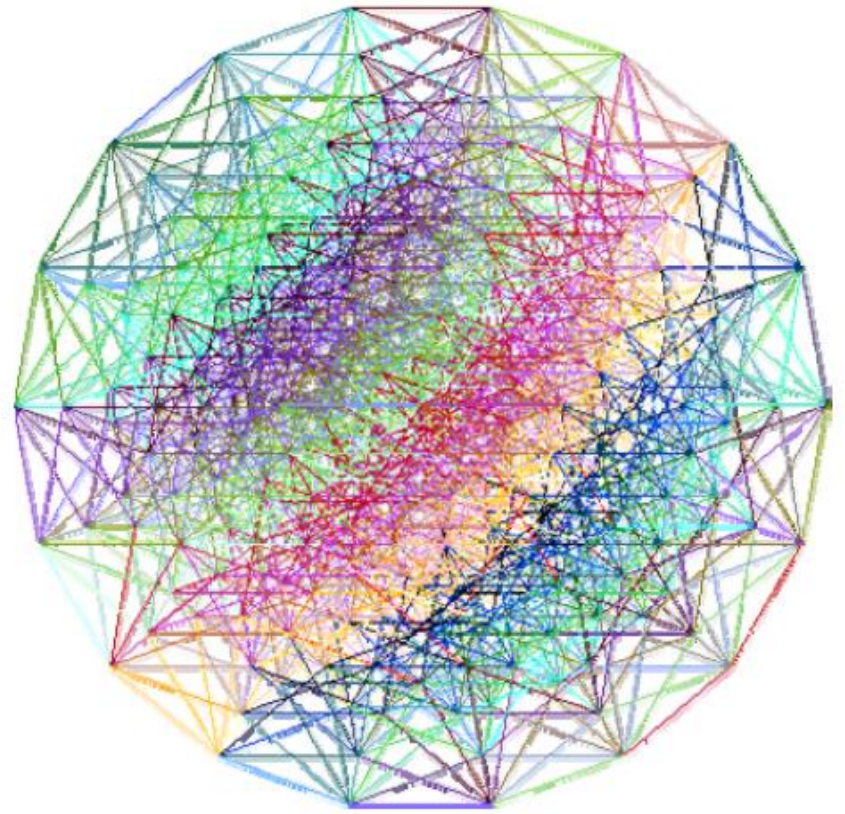
Here:

# of dimensions  $d = N \rightarrow \infty$

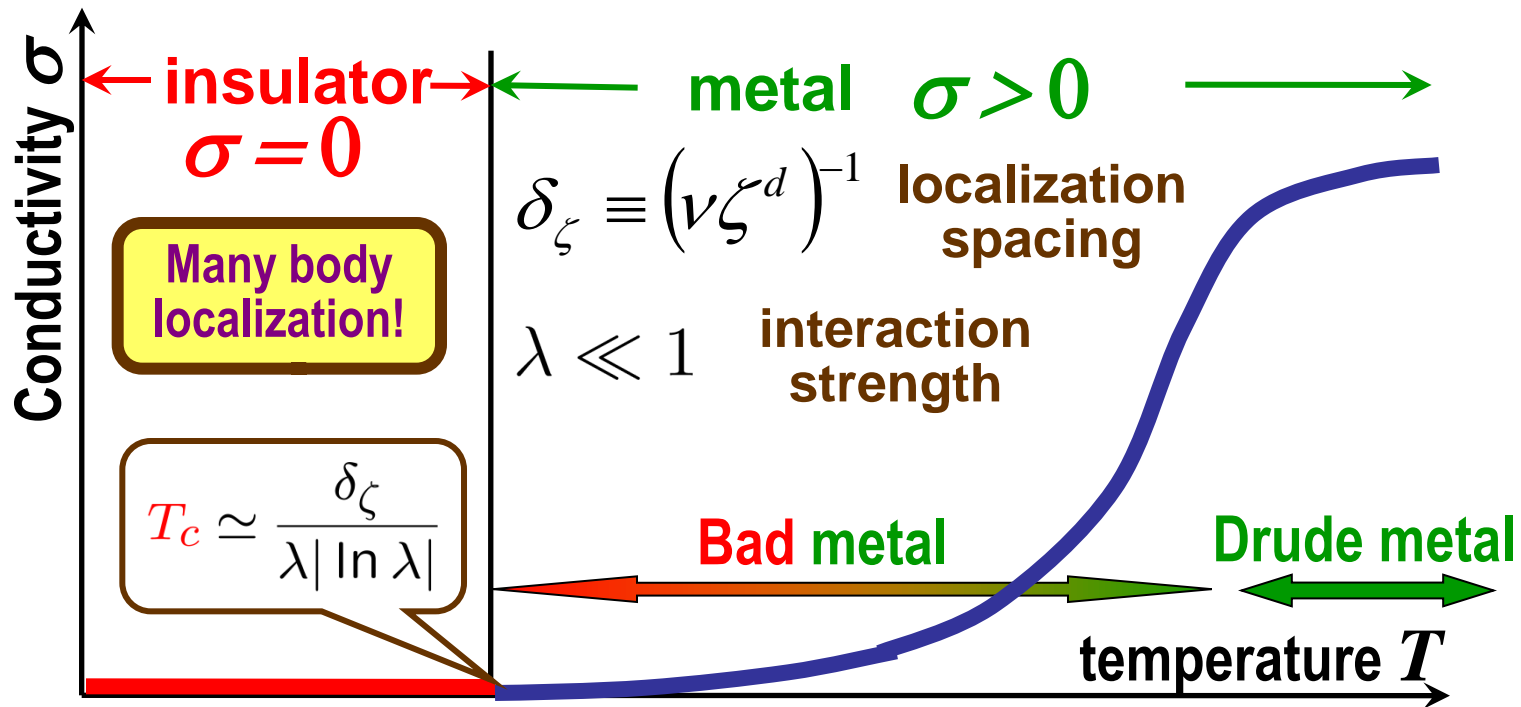
system linear size  $L = 1$



**6-dimensional cube**



**9-dimensional cube**



## Definitions:

**Insulator**  $\sigma = 0$   
 not  $d\sigma/dT < 0$

**Metal**  $\sigma \neq 0$   
 not  $d\sigma/dT > 0$

# *Many-Body Localization*

*1D bosons + disorder*

# 1D Localization

Exactly solved:  
all states are localized

Gertsenshtein & Vasil'ev,  
1959

Conjectured:

Mott & Twose, 1961

- 
- 
- 

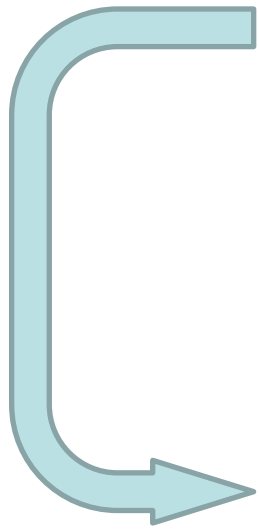
1-particle problem



correct for  
bosons as well  
as for fermions

# Bosons without disorder

- Bose - Einstein condensation
- Bose-condensate even at weak enough repulsion
- Even in  $1d$  case at  $T=0$  - “algebraic superfluid”
- Finite temperature - Normal fluid



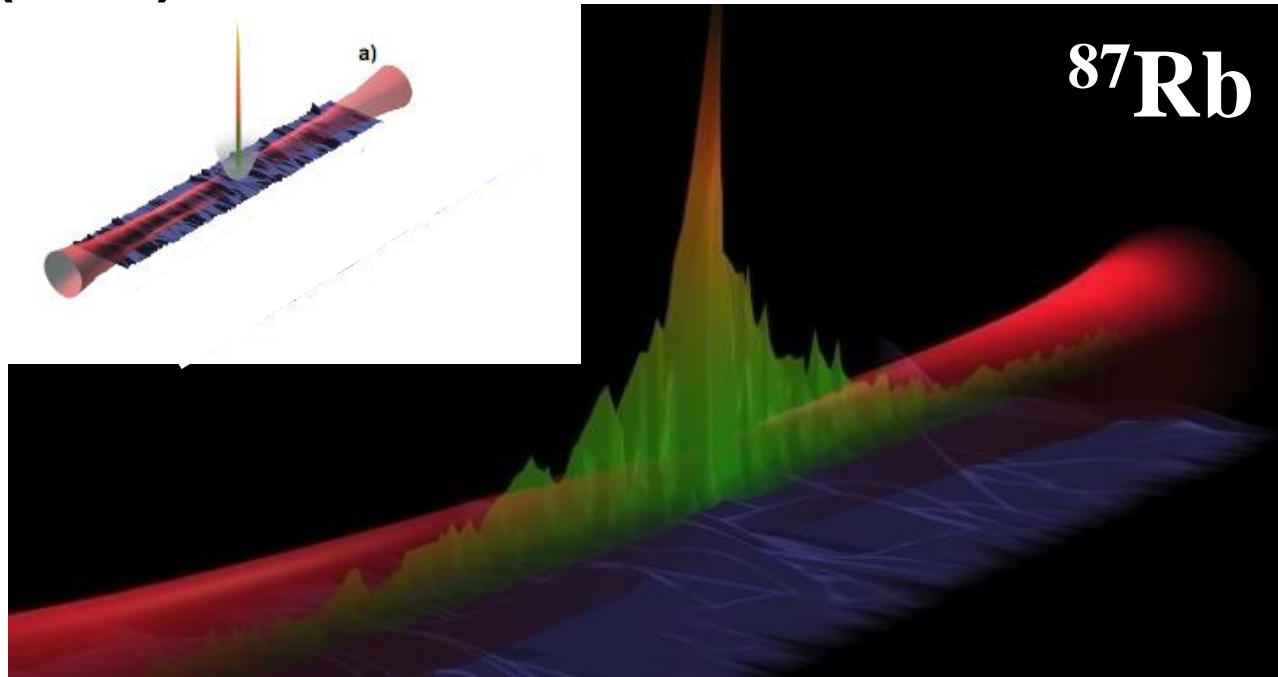
Normal fluid

$T$



# Localization of cold atoms

Billy et al. “Direct observation of Anderson localization of matter waves in a controlled disorder”. Nature 453, 891- 894 (2008).



Roati et al. “Anderson localization of a non-interacting Bose-Einstein condensate“. Nature 453, 895-898 (2008).

**No interaction !**

Thermodynamics of ideal Bose-gas in the presence of disorder is a **pathological problem**: all particles will occupy the localized state with the lowest energy

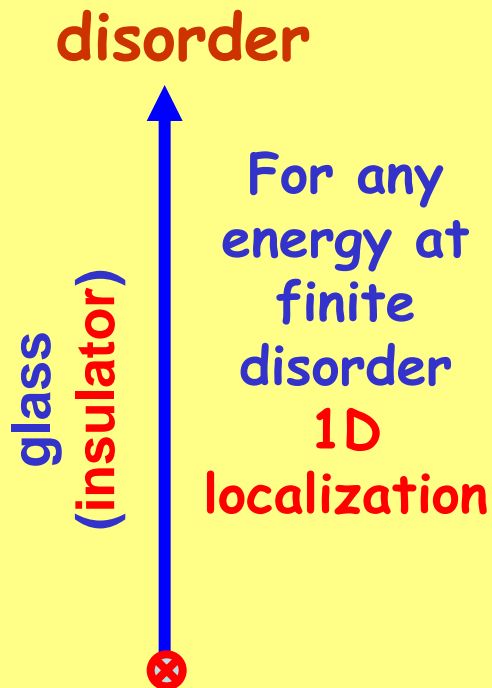


**Need  
repulsion**

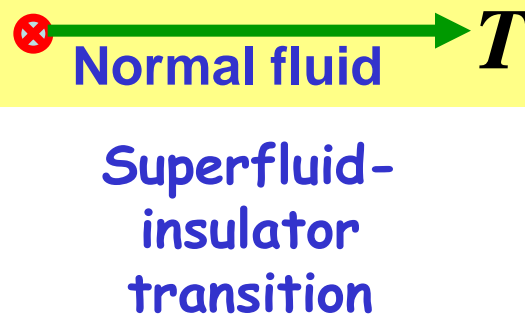
# Weakly interacting bosons

- Bose - Einstein condensation
- Bose-condensate even at weak enough repulsion
- Even in 1D case at  $T=0$  - "algebraic superfluid"

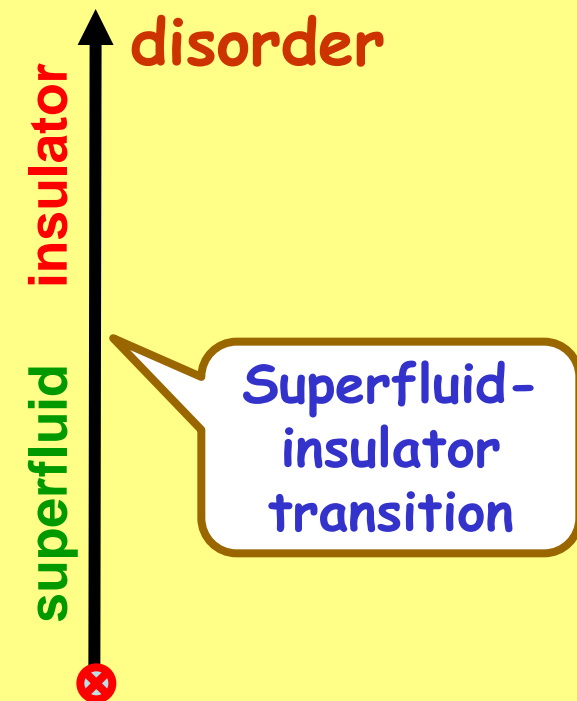
## 1. No interaction



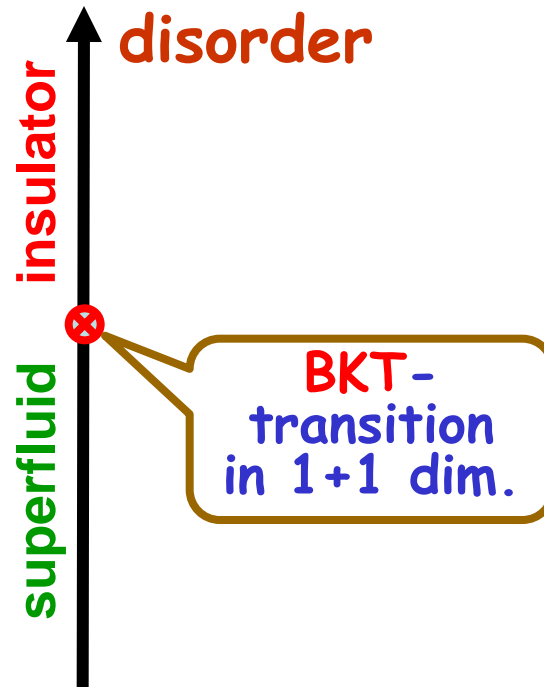
## 2. No disorder



## 3. Weak repulsion



# $T=0$ Superfluid - Insulator Quantum Phase Transition



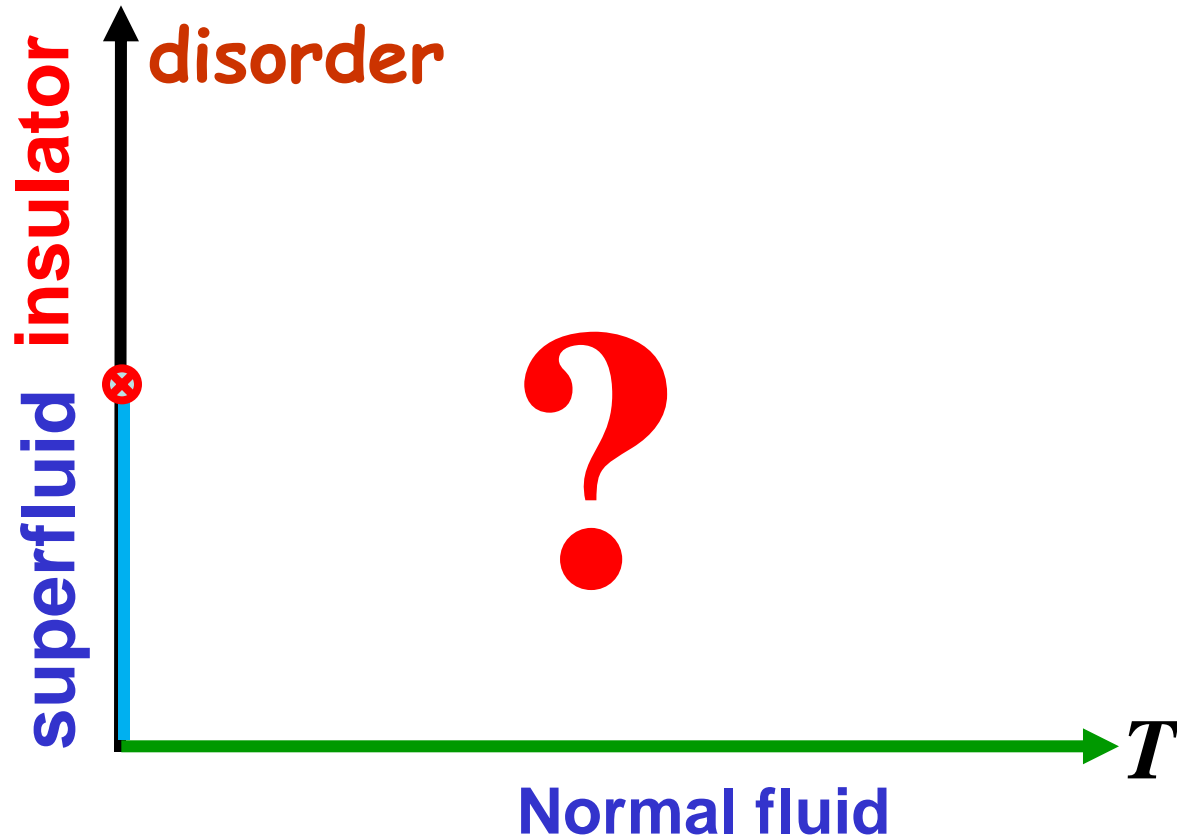
T. Giamarchi and H. J. Schulz, *Phys. Rev.*,  
**B37**, #1(1988).

relatively  
strong  
interaction

E. Altman, Y. Kafri, A. Polkovnikov & G.  
Refael, *Phys. Rev. Lett.*, **100**, 170402 (2008).

G.M. Falco, T. Nattermann, & V.L. Pokrovsky,  
*Phys. Rev.*, **B80**, 104515 (2009).

} weak  
interaction



Is it a normal fluid at any temperature?

# Dogma

There can be no phase transitions at a finite temperature in 1D

Van Howe, Landau

# Reason

Thermal fluctuation destroy any long range correlations in 1D

**$T \neq 0$  Normal fluid - Insulator Phase Transition:**

Neither normal fluids nor glasses (insulators) exhibit long range correlations

still

True phase transition: singularities in transport (rather than thermodynamic) properties

# What is insulator?

Perfect  
Insulator

**Zero** DC conductivity at  
**finite** temperatures

Possible if the system is decoupled from any outside bath

Normal  
metal  
(fluid)

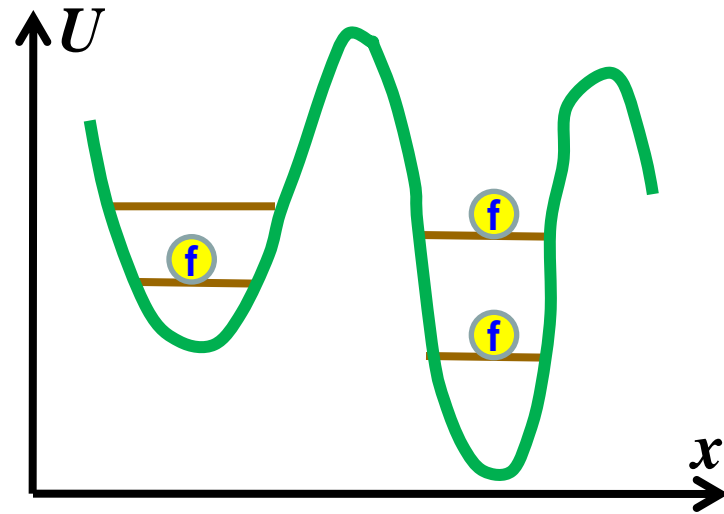
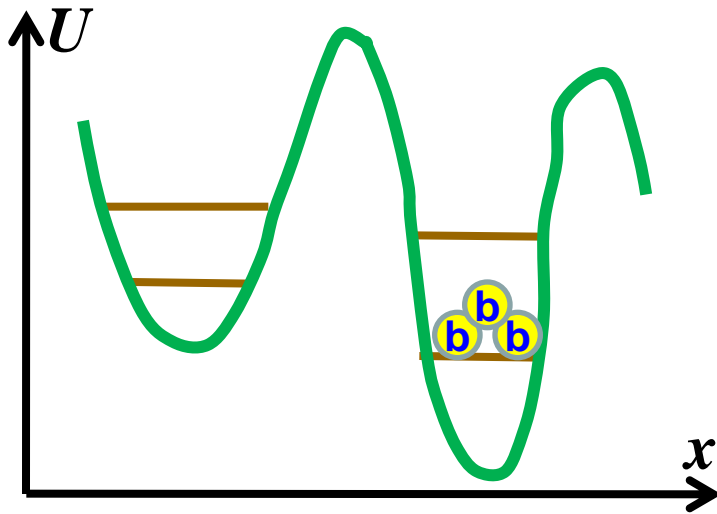
**Finite** (even if very small)  
DC conductivity at **finite**  
temperatures

# 1D Luttinger liquid: bosons = fermions ?

**Bosons** with infinitely strong repulsion  $\approx$  Free **fermions**

Free **bosons**  $\approx$  **Fermions** with infinitely strong attraction

**Weakly interacting bosons**  $\approx$  **Fermions** with strong attraction



As soon as the occupation numbers become large the analogy with **fermions** is not too useful



# 1D Weakly Interacting Bosons + Disorder

Aleiner, BA & Shlyapnikov, 2010, Nature Physics, to be published  
cond-mat 0910.4534

## 1. No interaction

disorder

glass  
(insulator)

For any  
temperature  
and any  
finite  
disorder  
1D  
localization



## 2. No disorder

Normal fluid

$T$

## 3. $T=0$

disorder

K-T  
transition

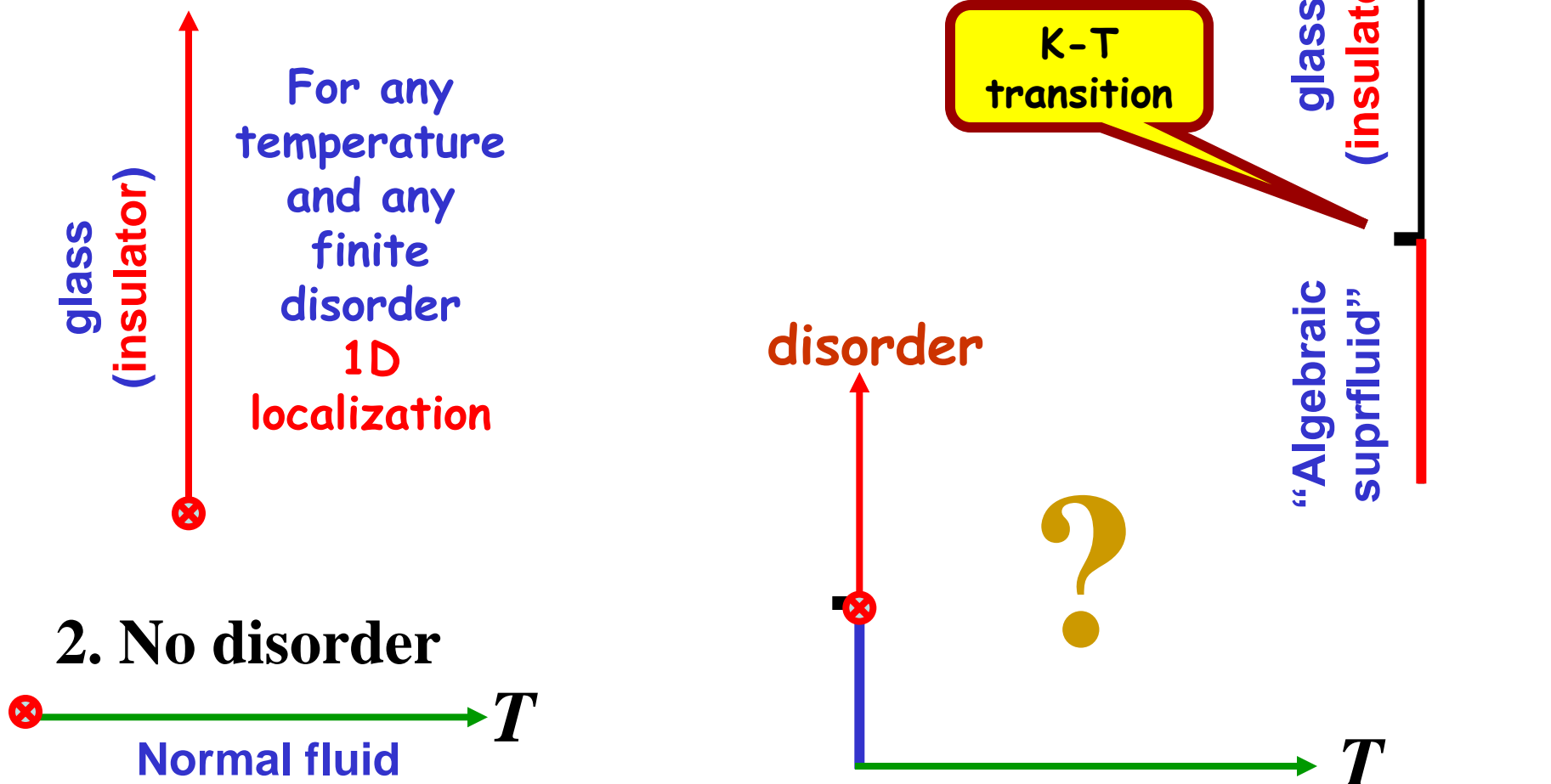
glass  
(insulator)

“Algebraic  
suprfluid”

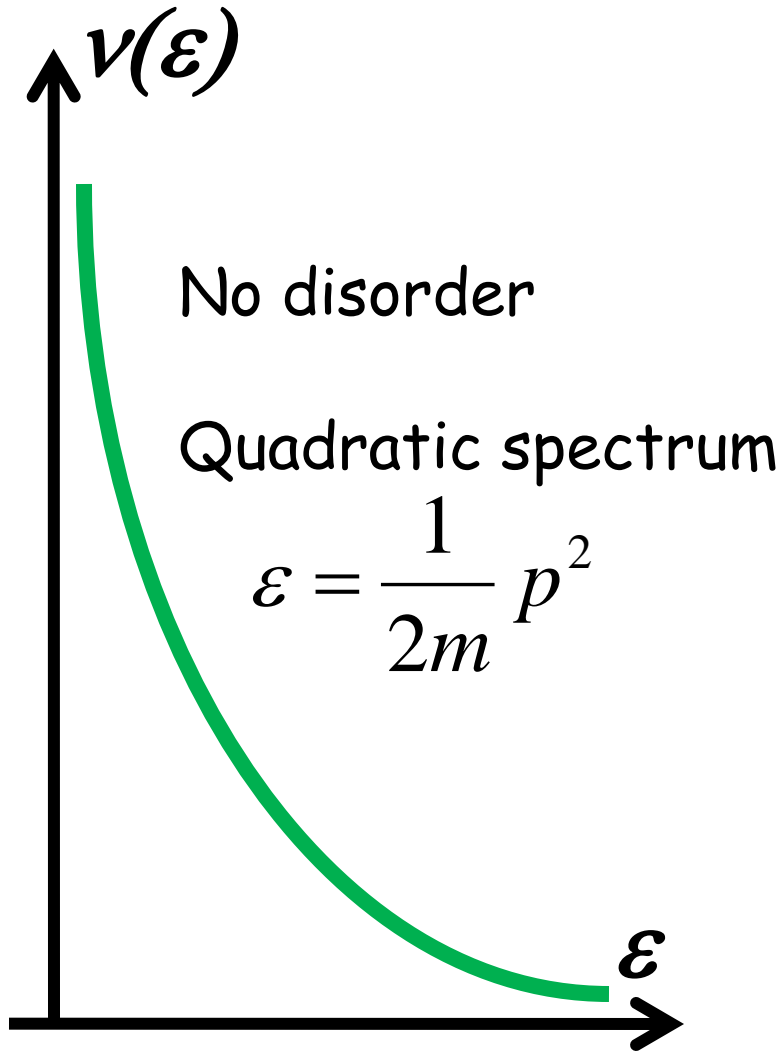
disorder



$T$



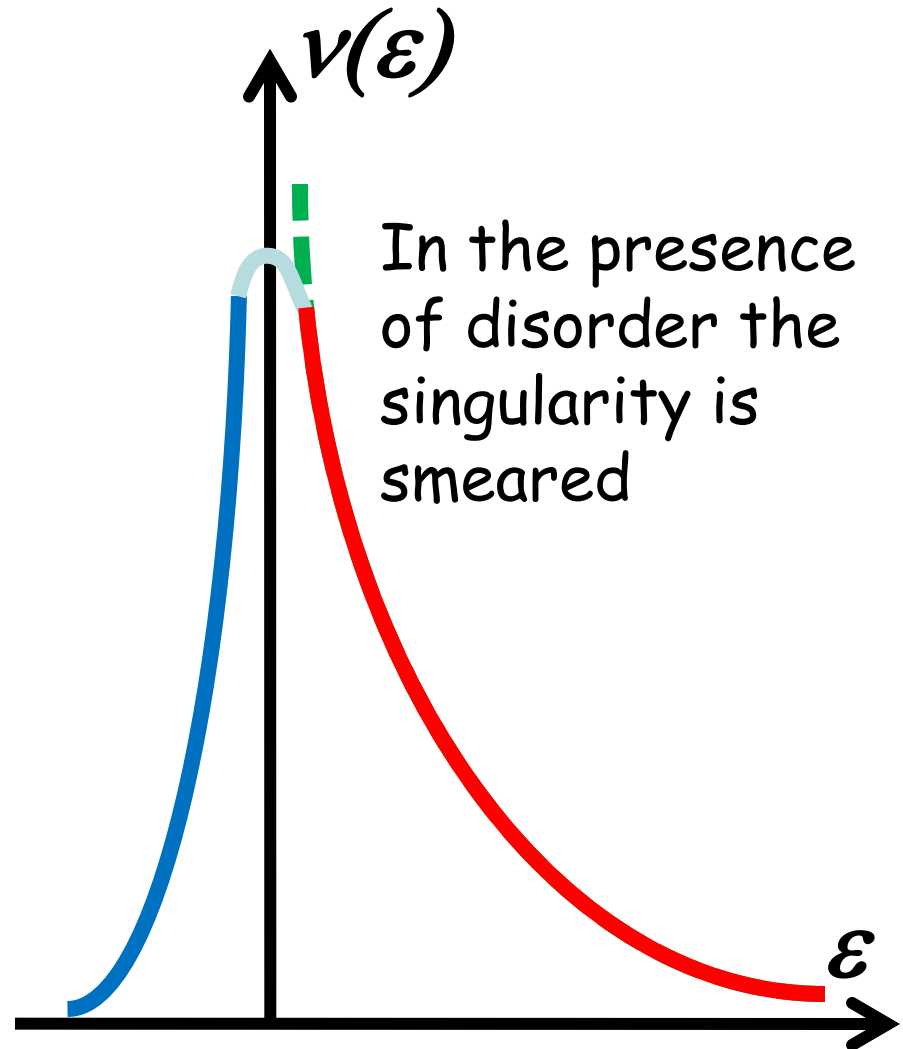
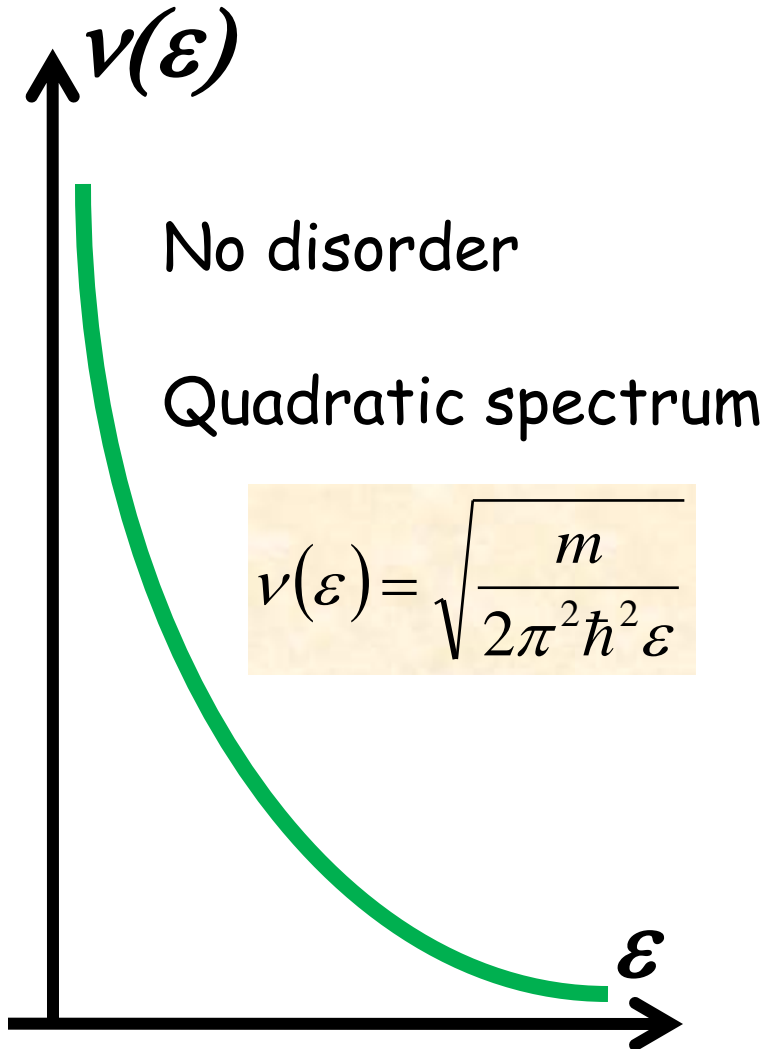
# Density of States $\nu(\varepsilon)$ in one dimension



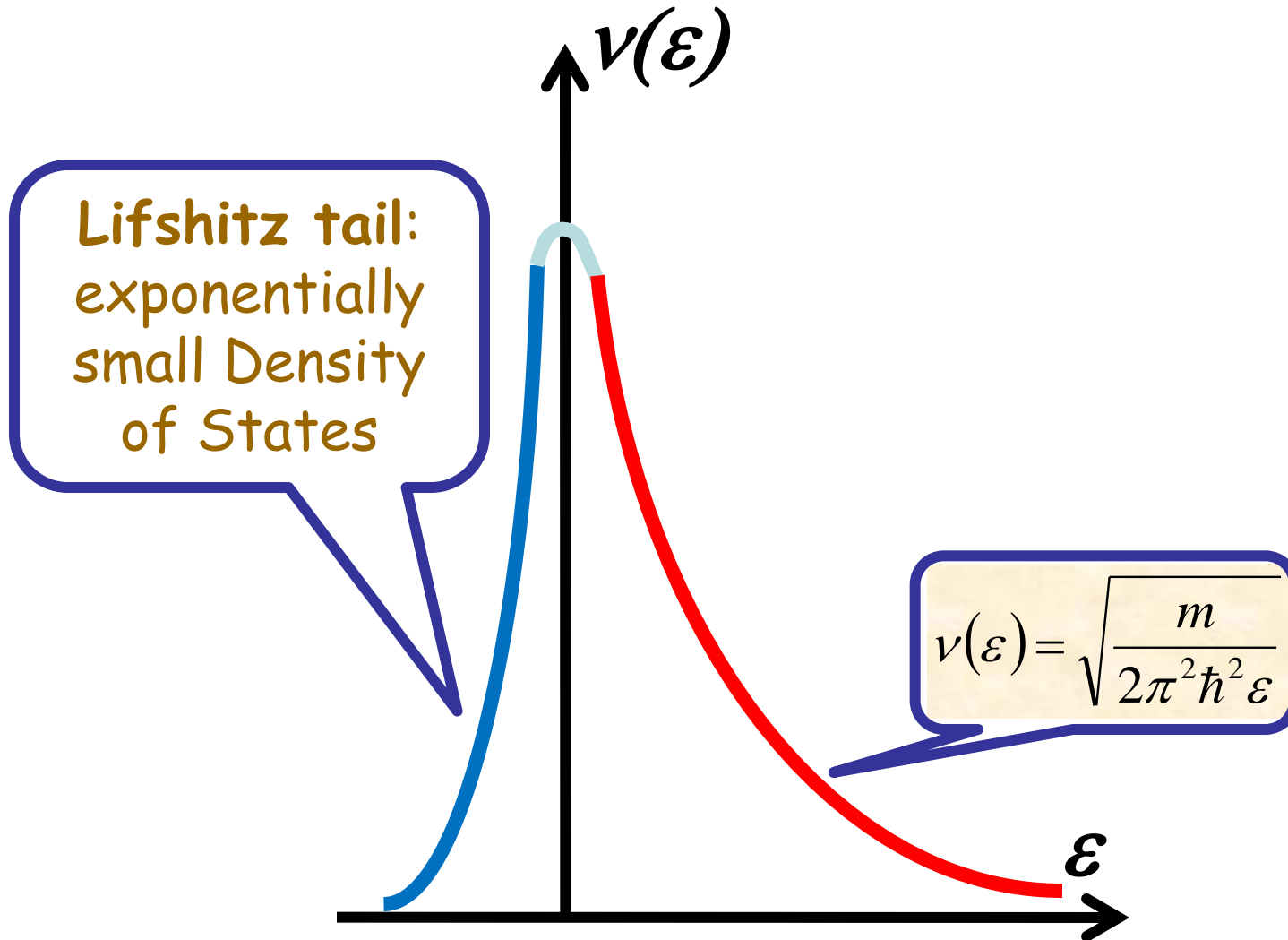
$$\nu(\varepsilon) = \sqrt{\frac{m}{2\pi^2 \hbar^2 \varepsilon}}$$

$\sqrt{\quad}$  - singularity

# Density of States $\nu(\varepsilon)$ in one dimension



# Density of States $\nu(\varepsilon)$ in one dimension

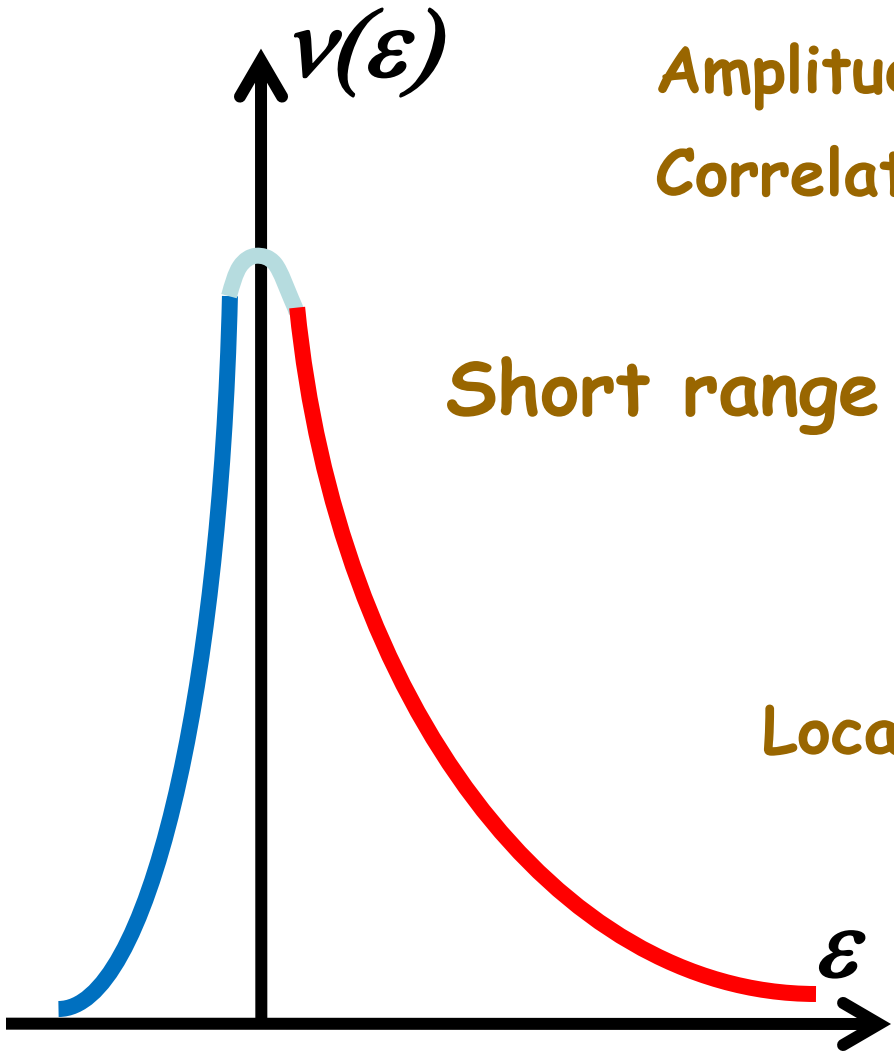


# Weak disorder - random potential $U(x)$

Random potential  $U(x)$ :

Amplitude  $U_0$

Correlation length  $\sigma$



Short range disorder:

$$U_0 \ll \frac{\hbar^2}{m\sigma^2}$$



Localization length  $\zeta \gg \sigma$

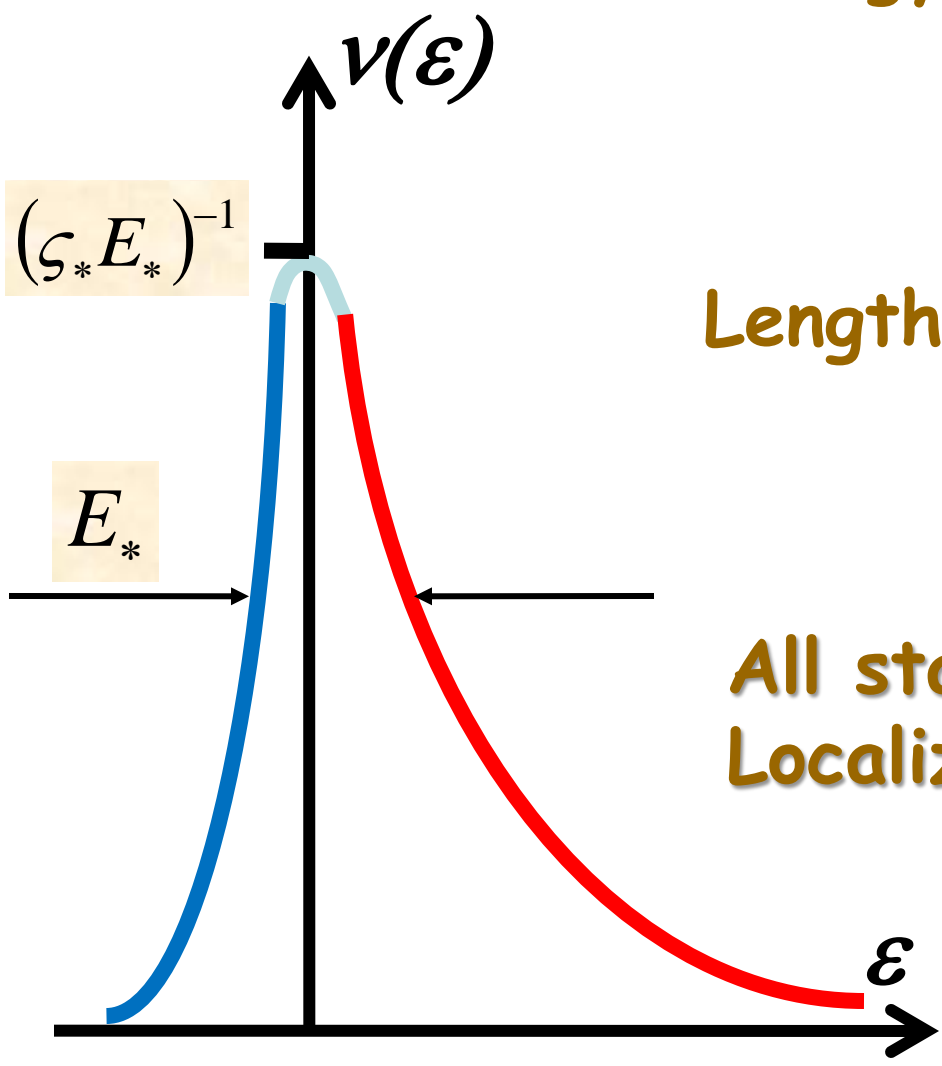
# Characteristic scales:

Energy

$$E_* \equiv \left( \frac{U_0^4 \sigma^2 m}{\hbar^2} \right)^{1/3}$$

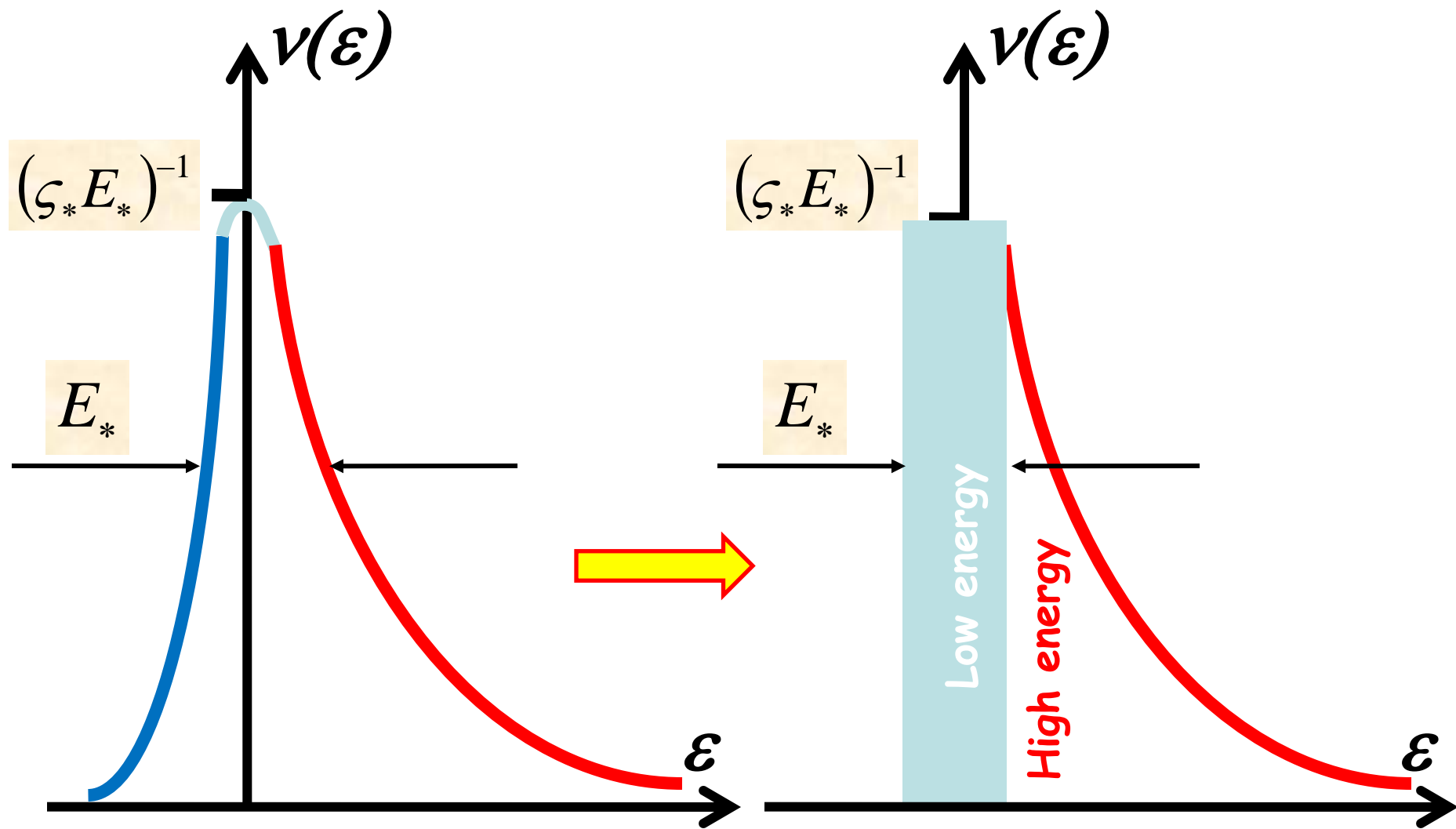
Length

$$\zeta_* \equiv \left( \frac{\hbar^4}{U_0^2 \sigma m} \right)^{1/3} \gg \sigma$$



All states are localized  
Localization length:

$$\zeta(\epsilon) \sim \begin{cases} \zeta_* & \epsilon \sim E_* \\ \zeta_* \frac{\epsilon}{E_*} & \epsilon \gg E_* \end{cases}$$



# Finite density Bose-gas with repulsion

Density  $n$

Two more energy scales

Temperature of quantum degeneracy

$$T_d \equiv \frac{\hbar^2 n^2}{m}$$

Interaction energy per particle  $ng$

Two dimensionless parameters

$$\kappa \equiv E_*/ng$$

Characterizes the strength of disorder

$$\gamma \equiv ng/T_d$$

Characterizes the interaction strength

Strong disorder

$$\kappa \gg 1$$

Weak interaction

$$\gamma \ll 1$$



Dimensionless temperature

$$t = T/ng$$

Critical temperature

$$T_c$$

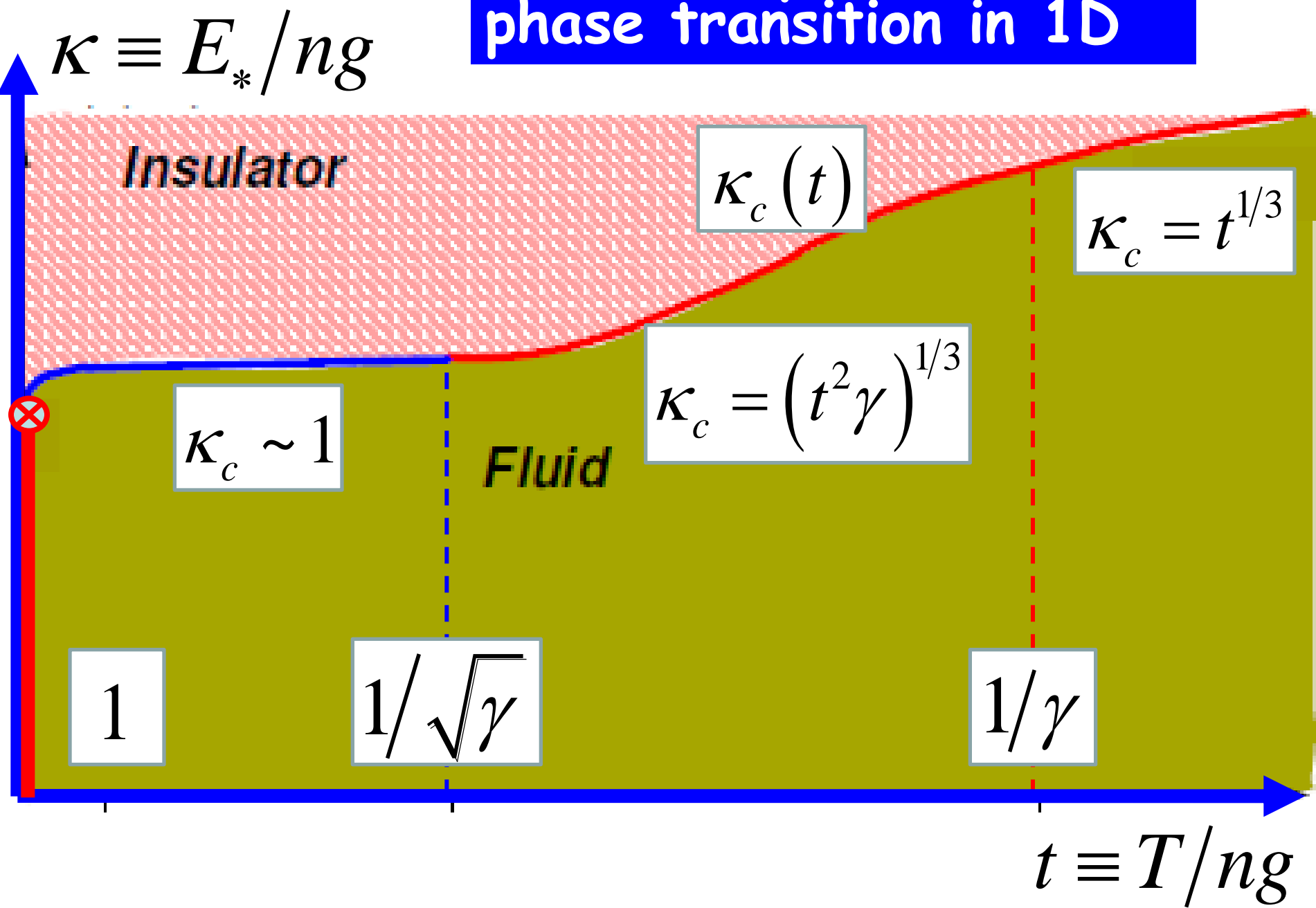
$$t_c = t_c(\kappa, \gamma)$$

Critical disorder

$$\kappa_c = \kappa_c(t, \gamma)$$

Phase transition line on the  $t, \kappa$  - plane

# Finite temperature phase transition in 1D



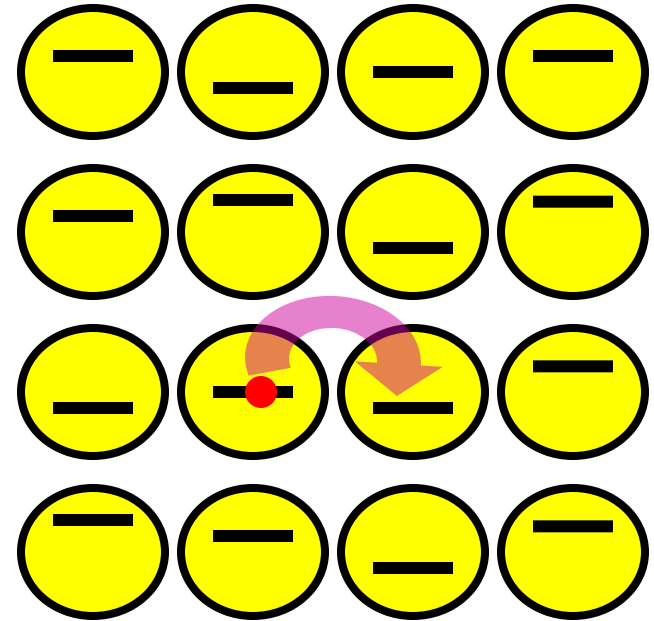
# Conventional Anderson Model

- one particle,
- one level per site,
- onsite disorder
- nearest neighbor hopping

**Basis:**  $|i\rangle$ ,  $i$  labels sites

**Hamiltonian:**  $\hat{H} = \hat{H}_0 + \hat{V}$

$$\hat{H}_0 = \sum_i \varepsilon_i |i\rangle\langle i| \quad \hat{V} = \sum_{i,j=n.n.} I |i\rangle\langle j|$$

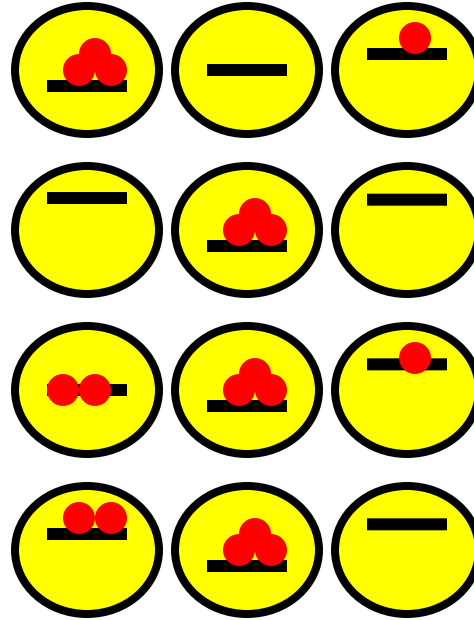


**Transition:** happens when the hopping matrix element exceeds the energy mismatch

The same for **many-body** localization

# Many body Anderson-like Model

- many particles,
- several particles per site.
- interaction



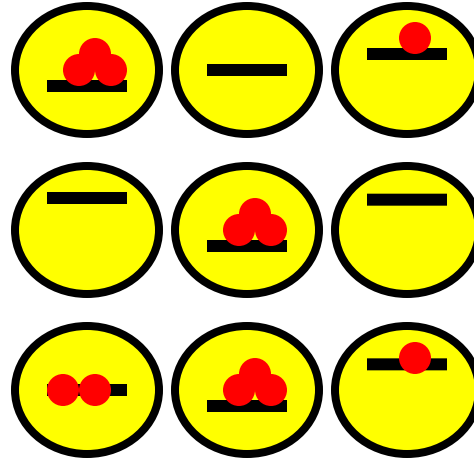
**Basis:**  $|\mu\rangle \equiv \left| \{n_i\} \right\rangle$

$i$  label sites

$n_i^\alpha = 0, 1, 2, 3, \dots$   
occupation numbers

# Many body Anderson-like Model

- many particles,
- several particles per site.
- interaction



**Basis:**  $|\mu\rangle$

$$\mu = \{n_i\}$$

$i$  labels sites

$n_i = 0, 1, 2, \dots$  occupation numbers

**Hamiltonian:**

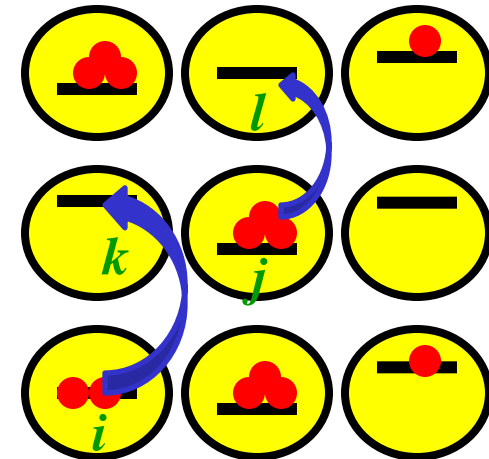
$$\hat{H} = \hat{H}_0 + \hat{V}$$

$$\hat{H}_0 = \sum_{\mu} E_{\mu} |\mu\rangle \langle \mu|$$

$$\hat{V} = \sum_{\mu, \eta(\mu)} I |\mu\rangle \langle \eta(\mu)|$$

$$|\nu(\mu)\rangle = |\dots, n_i - 1, \dots, n_j - 1, \dots, n_k + 1, \dots, n_l^{\delta} + 1, \dots\rangle$$

$i, j, k, l = n.n.$



# Conventional Anderson Model

**Basis:**  $|i\rangle$   
 $i$  labels sites

$$\hat{H} = \sum_i \varepsilon_i |i\rangle\langle i| + \sum_{i,j=n.n.} I |i\rangle\langle j|$$

# Many body Anderson- like Model

**Basis:**  $|\mu\rangle$ ,  $\mu = \{n_i^\alpha\}$

$i$  labels sites

$n_i = 0, 1, 2, \dots$

occupation numbers

$$\hat{H} = \sum_\mu E_\mu |\mu\rangle\langle\mu| + \sum_{\mu, \nu(\mu)} I |\mu\rangle\langle\nu(\mu)|$$

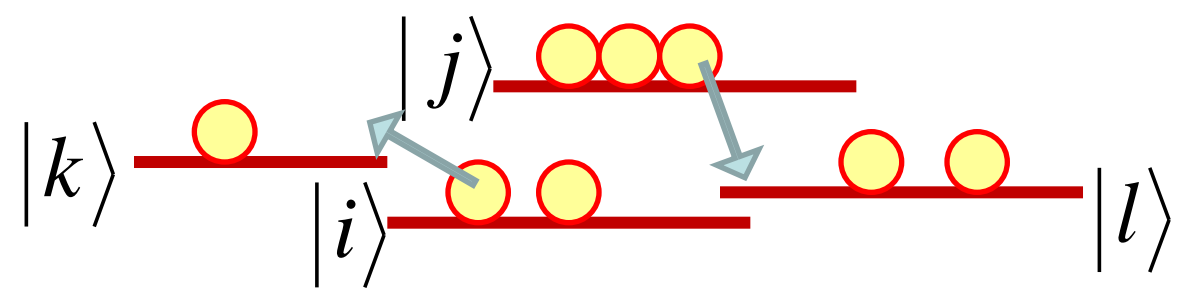
“nearest  
neighbors”:

$$|\nu(\mu)\rangle = |\dots, n_i - 1, \dots, n_j - 1, \dots, n_k + 1, \dots, n_l^\delta + 1, \dots\rangle$$

$i, j, k, l = n.n.$

# Transition temperature: $T_c \equiv t_c (ng)$

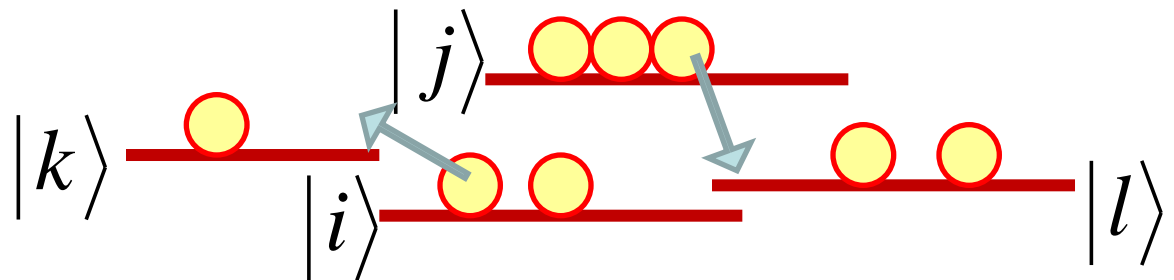
$|i\rangle, |j\rangle \Rightarrow |k\rangle, |l\rangle$   
transition



# Transition temperature: $T_c \equiv t_c (ng)$

$$|i\rangle, |j\rangle \Rightarrow |k\rangle, |l\rangle$$

transition



$$\Delta_{ij,kl} \equiv \varepsilon_i + \varepsilon_j - \varepsilon_k - \varepsilon_l \quad \text{energy mismatch}$$

$$I_{ij,kl} \quad \text{matrix element}$$

Decay of a state  $|i\rangle$

$\Delta$  typical mismatch

$N_1$  typical # of channels

$I$  typical matrix element

Anderson condition:

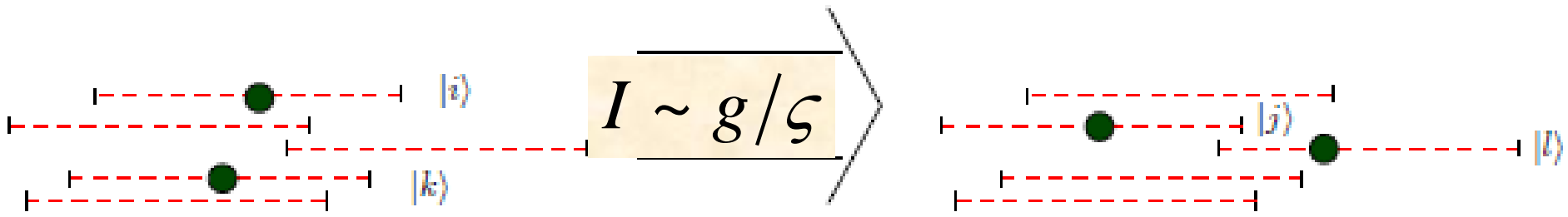
$$I(T) \gg \Delta(T)/N_1(T) \quad \text{extended}$$

$$I(T) \ll \Delta(T)/N_1(T) \quad \text{localized}$$



High temperatures:  $T \gg T_d \iff t \gg \gamma^{-1}$

Bose-gas is not degenerated;  
 occupation numbers either 0 or 1



Matrix element of the transition

$$I \sim g/\zeta (\varepsilon = T) \sim (gE_*)/(\zeta_* T)$$

should be compared with the minimal energy

mismatch  $(v\zeta)^{-1}/(n\zeta) \sim (vn\zeta_*^2 T^2)^{-1} E_*^2$

Localization spacing  $\delta_\zeta$

Number of channels

$$\kappa_c(t) \propto t^{1/3} \quad t\gamma \gg 1$$

# Intermediate temperatures: $\gamma^{-1/2} \ll t \ll \gamma^{-1}$

1.  $T \ll T_d \iff t\gamma \ll 1$
2. Bose-gas is degenerated; occupation numbers either  $\gg 1$ .
3. Typical energies  $|\mu| = T^2/T_d$ ,  $\mu$  is the chemical potential. Correct as long as  $|\mu| \gg ng, E_*$   $\iff t\sqrt{\gamma} \gg 1$   
**multiple occupation**  $N(\epsilon) \sim \frac{T}{\epsilon}$
4. Characteristic energies  $\epsilon \sim |\mu|$   
 $\ll T$   
 $\gg ng, E_*$

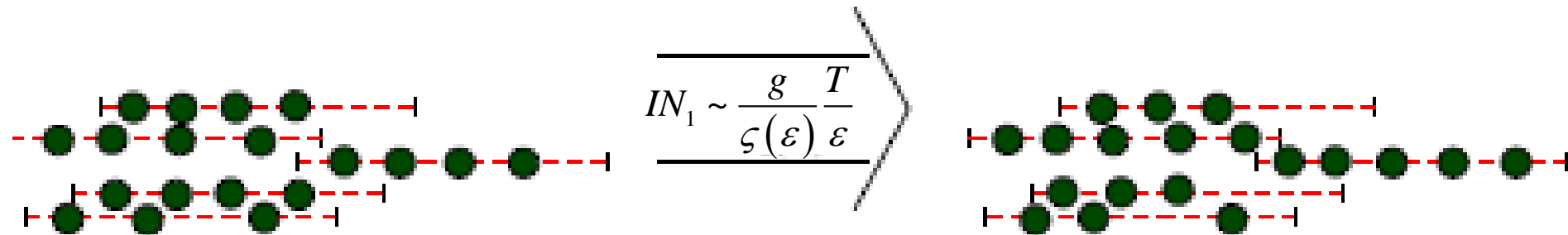
We are still dealing with the high energy states

Intermediate temperatures:  $\gamma^{-1/2} \ll t \ll \gamma^{-1}$

$$|\mu| = T^2/T_d \gg ng, E_*$$

$$T \ll T_d$$

Bose-gas is degenerated; typical energies  $\sim |\mu| \gg T \Rightarrow$  occupation numbers  $\gg 1 \Rightarrow$  matrix elements are enhanced



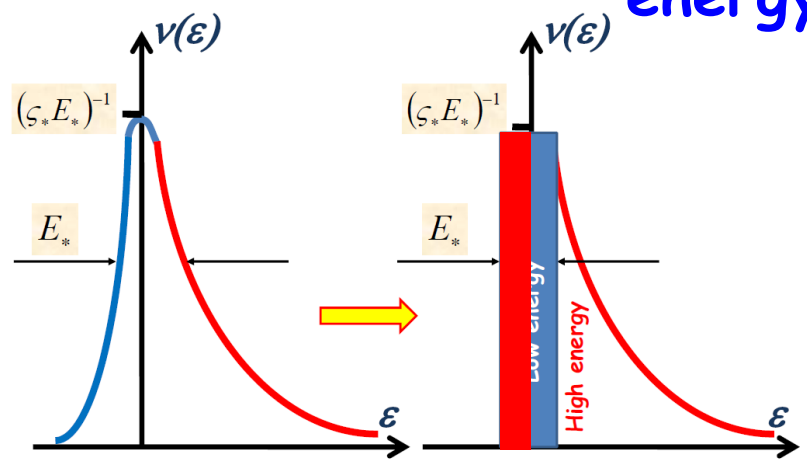
$$\kappa_c(t) \propto t^{2/3} \gamma^{1/3} \quad \sqrt{\gamma} \ll t\gamma \ll 1$$

Low temperatures:  $t \ll \gamma^{-1/2}$  Start with  $T=0$

Suppose

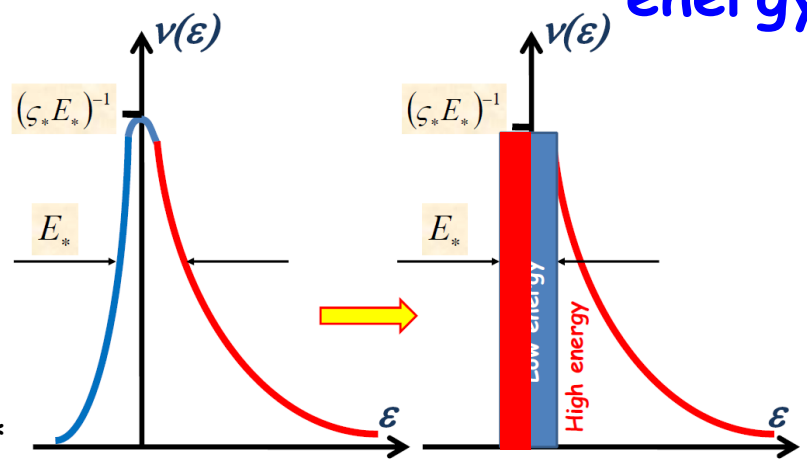
$$\kappa \equiv E_*/ng \gg 1 \implies |\mu| \ll E_*$$

Bosons occupy only small fraction of low energy states  $\epsilon_i < \mu$



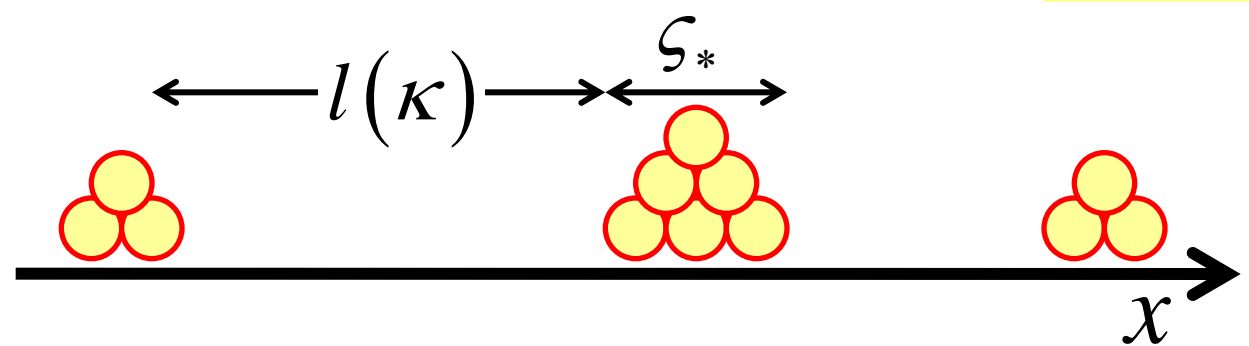
**Low temperatures:**  $t \ll \gamma^{-1/2}$  **Start with  $T=0$**

**Suppose**  $\kappa \equiv E_*/ng \gg 1 \implies |\mu| \ll E_* \implies$  **Bosons occupy only small fraction of low energy states  $\varepsilon_i < \mu$**



**Localization length  $\zeta_*$**

**Occupation #:**  $(\mu - \varepsilon_i) \zeta_* / g$   
**DoS:**  $v(\varepsilon) = (E_* \zeta_*)^{-1} \implies n = \frac{\mu^2}{2gE_*} \implies \mu = E_* \sqrt{\kappa}$



$l(\kappa) = \zeta_* \sqrt{\kappa} \gg \zeta_*$

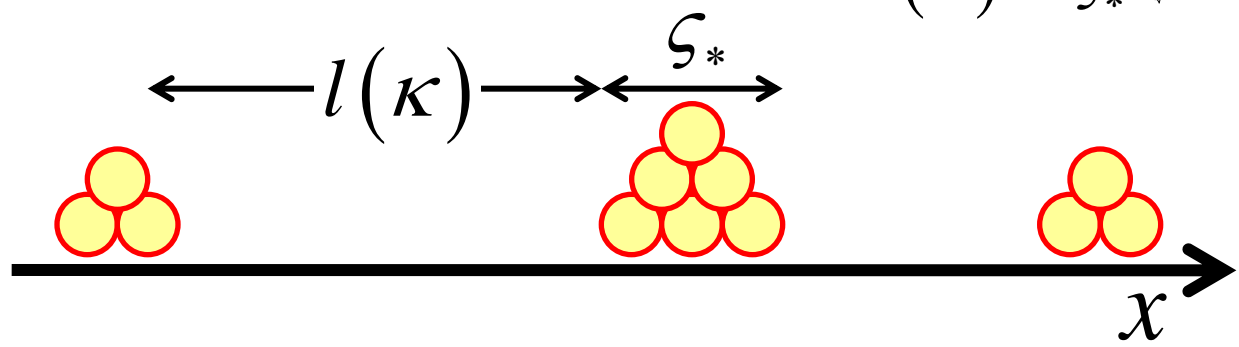
**Occupation**  
 $nl(\kappa)/\zeta_* = \gamma^{-1/2} \gg 1$

**Low temperatures:**  $t \ll \gamma^{-1/2}$

$\kappa \equiv E_*/ng \gg 1 \Rightarrow$  “lakes”

**Occupation**  
 $nl(\kappa)/\zeta_* = \gamma^{-1/2} \gg 1$

**Distance**  
 $l(\kappa) = \zeta_* \sqrt{\kappa} \gg \zeta_*$



$l(\kappa) \gg \zeta_* \Rightarrow$  **Strong insulator**

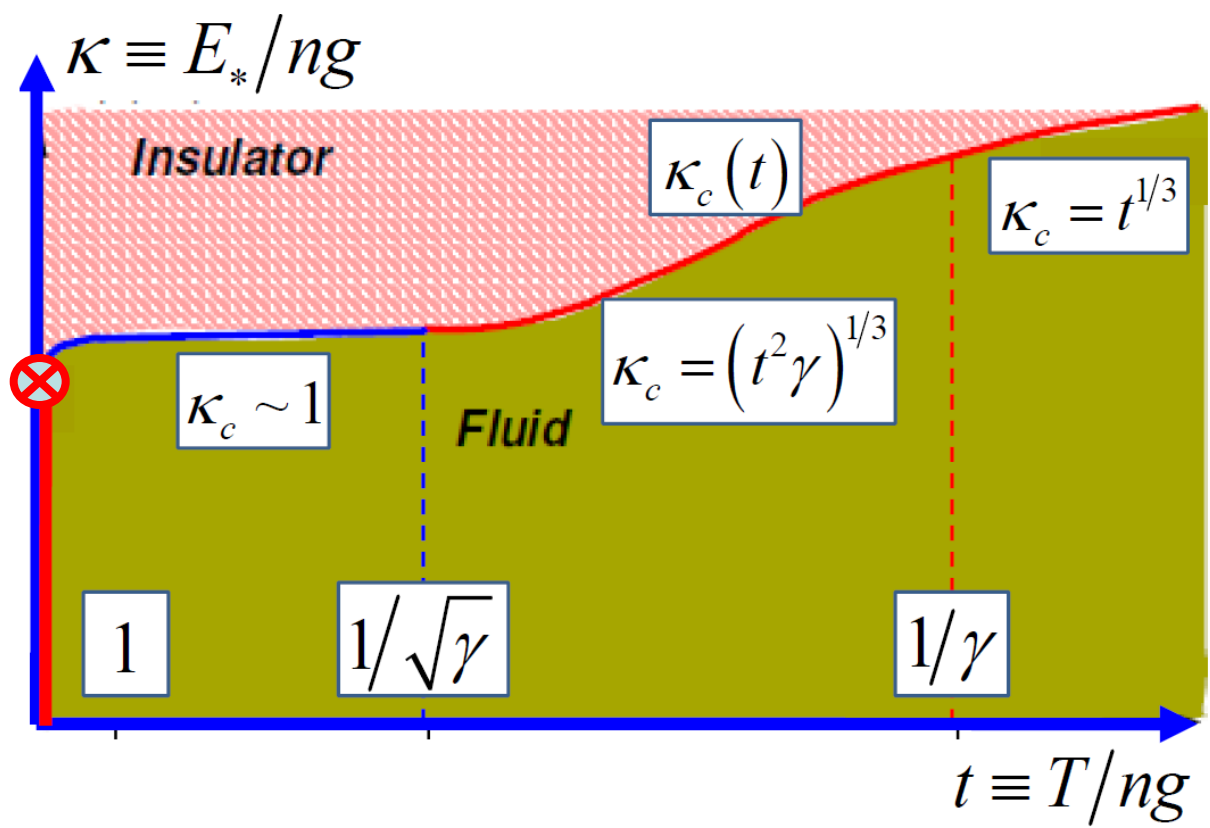
$\kappa \rightarrow \kappa_c$   
 $l(\kappa) \ll \zeta_* \Rightarrow$  **Insulator – Superfluid transition in a chain of “Josephson junctions”**

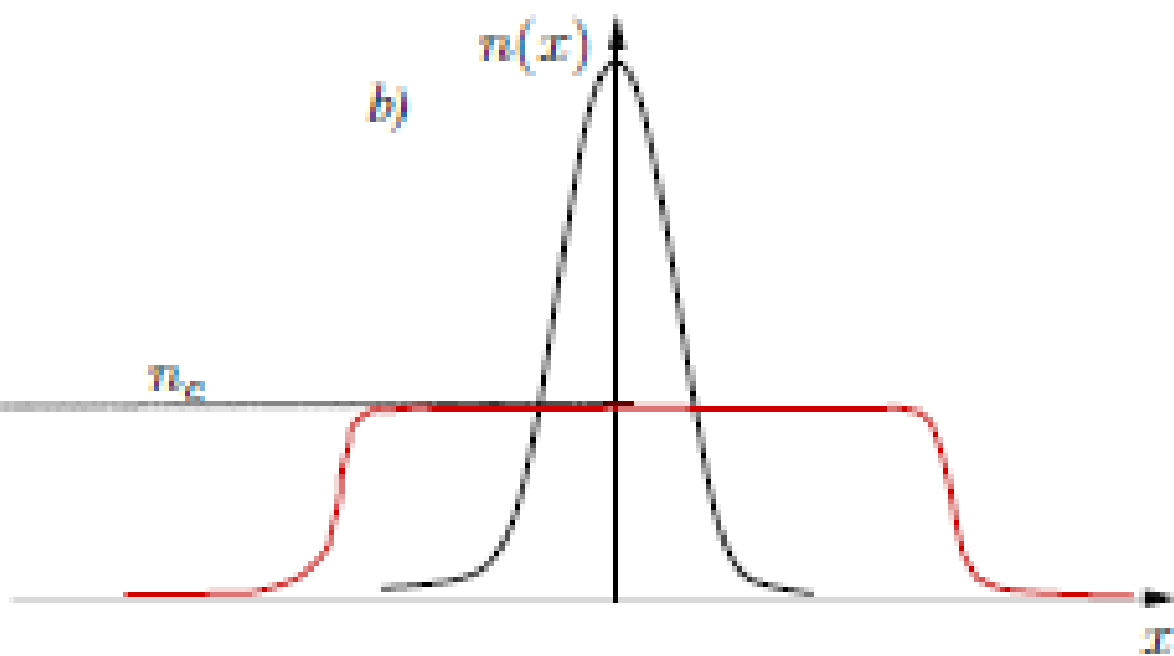
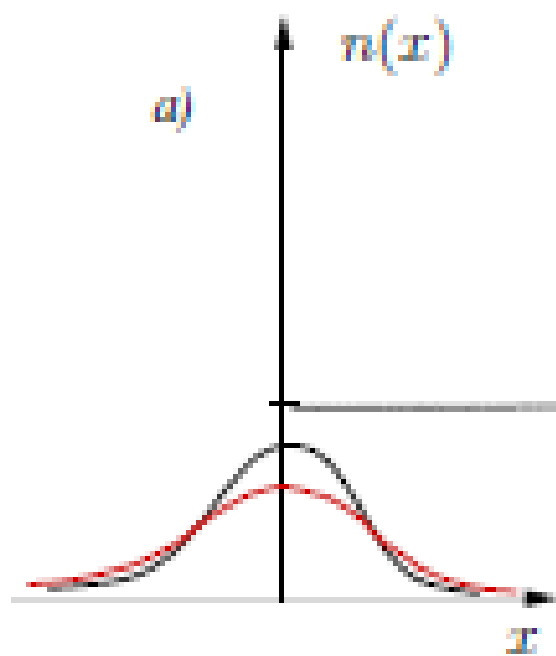
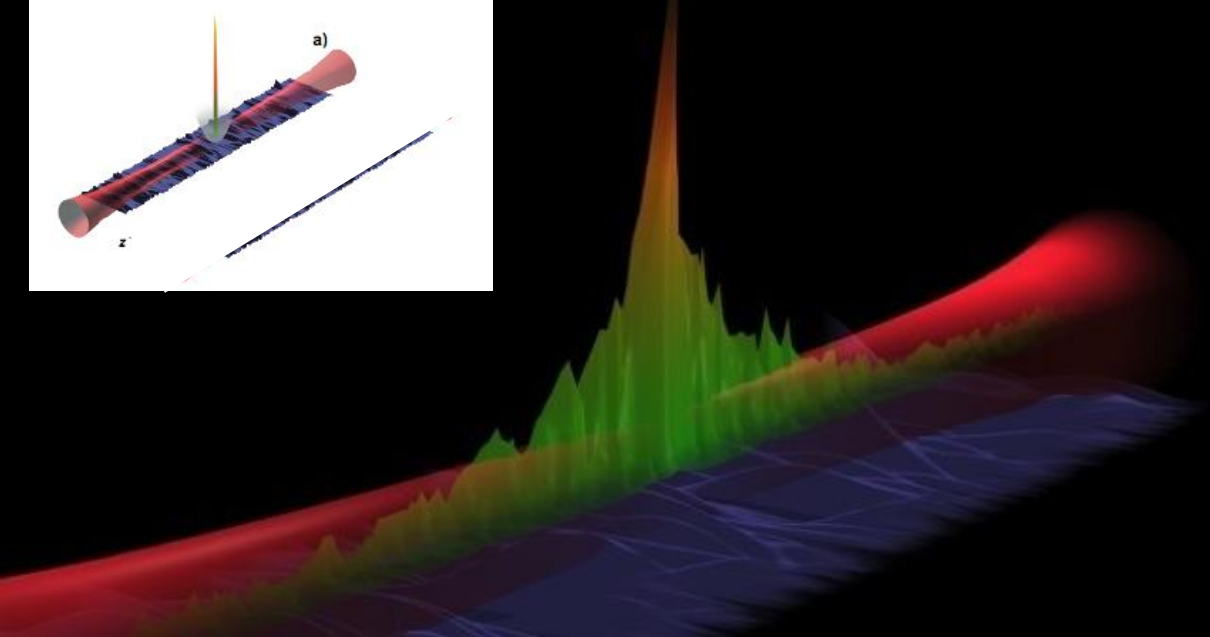
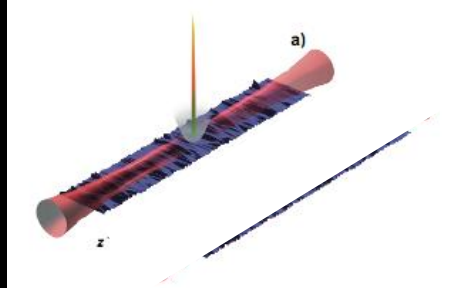
Low temperatures:  $t \ll \gamma^{-1/2}$

$\kappa \equiv E_*/ng \gg 1 \Rightarrow$  Strong insulator

$T = 0$  transition  $\kappa_c \sim 1$

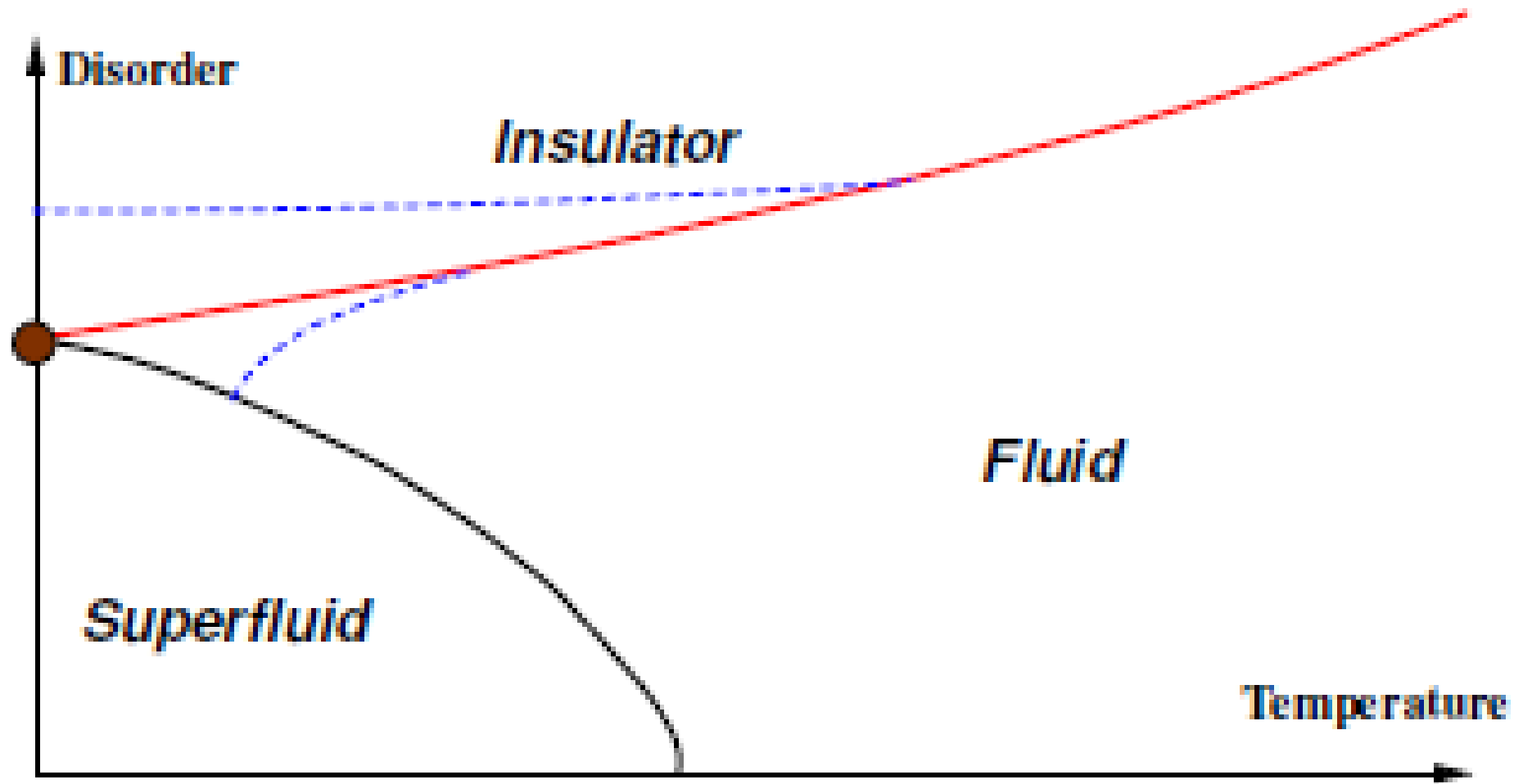
$\kappa_c \sim 1$  for  $t \ll \gamma^{-1/2}$



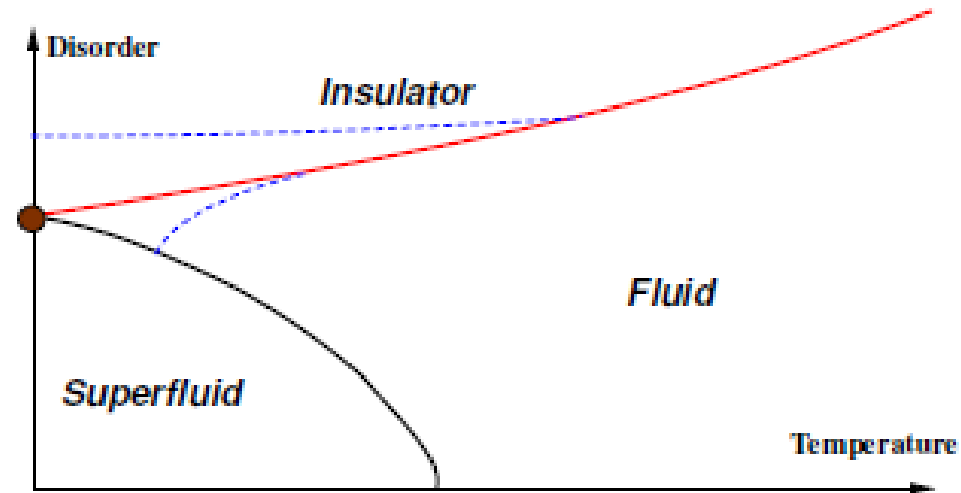




# Disordered interacting bosons in two dimensions



# Disordered interacting bosons in two dimensions

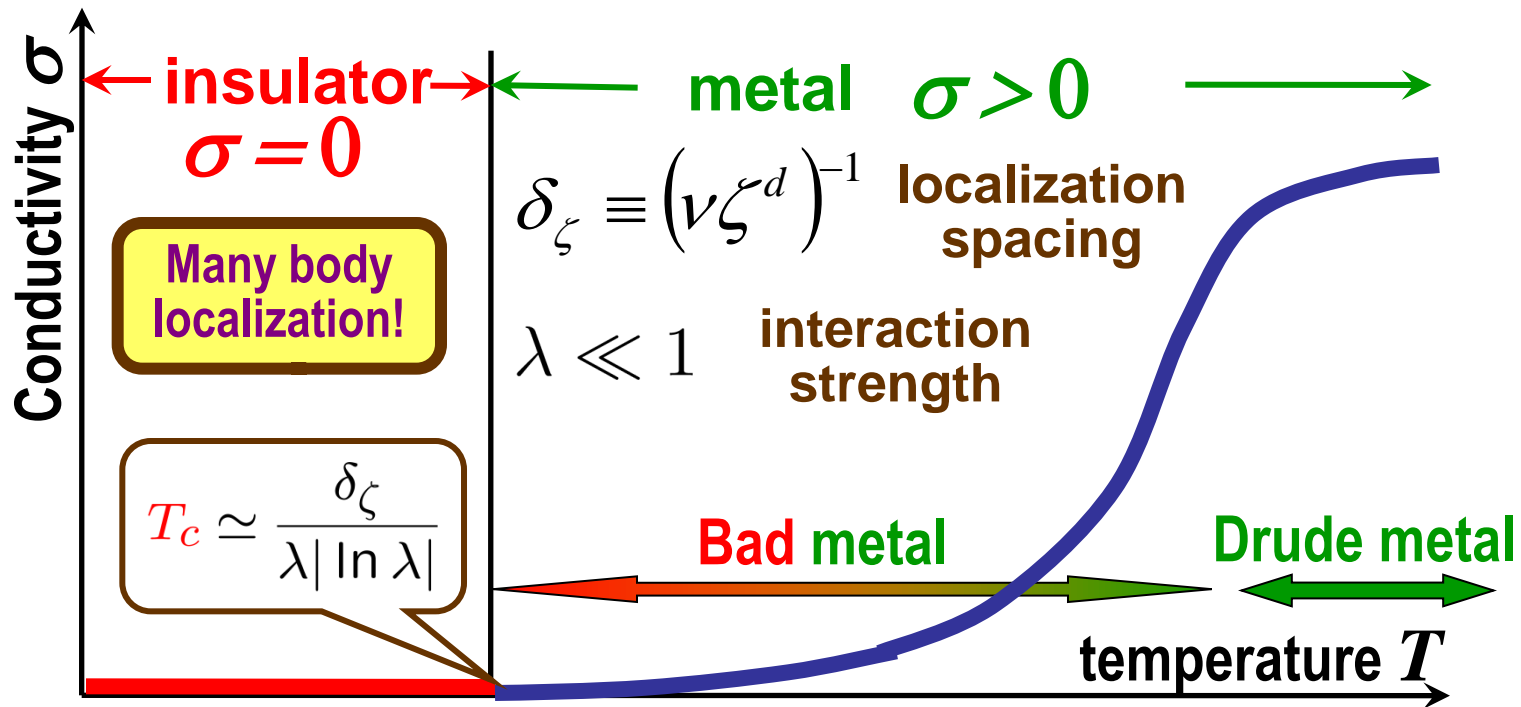


## Justification:

1. At  $T=0$  normal state is unstable with respect to either insulator or superfluid.
2. At finite temperature in the vicinity of the critical disorder the insulator can be thought of as a collection of "lakes", which are disconnected from each other. The typical size of such a "lake" diverges. This means that the excitations in the insulator state are localized but the localization length can be arbitrary large. Accordingly the many-body delocalization is unavoidable at an arbitrary low but finite  $T$ .

*Phononless conductance*

*Many-body Localization  
of fermions*



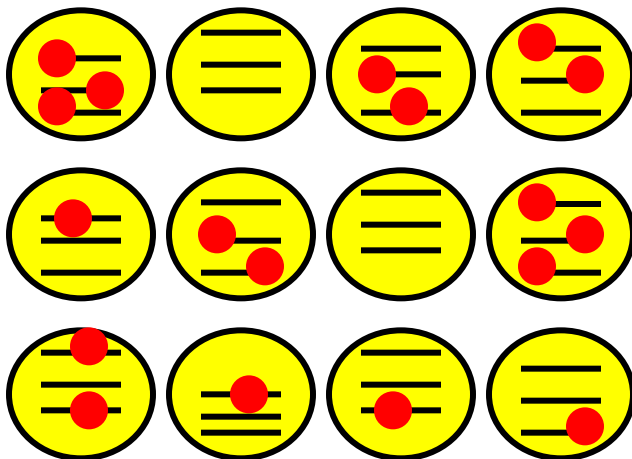
## Definitions:

Insulator  $\sigma = 0$   
 not  $d\sigma/dT < 0$

Metal  $\sigma \neq 0$   
 not  $d\sigma/dT > 0$

# Many body Anderson-like Model

- many particles,
- several levels per site,
- onsite disorder
- local interaction



**Basis:**  $|\mu\rangle$

$$\mu = \left\{ n_i^\alpha \right\}$$

$i$  labels sites

$\alpha$  labels levels

occupation numbers

$$\hat{V}_1 n_i^\alpha = 0, 1$$

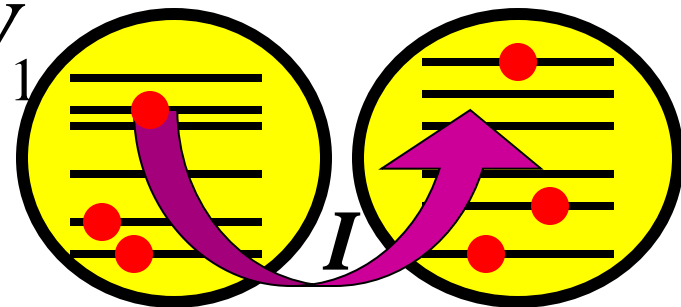
**Hamiltonian:**

$$\hat{H} = \hat{H}_0 + \hat{V}_1 + \hat{V}_2$$

$$\hat{H}_0 = \sum_{\mu} E_{\mu} |\mu\rangle \langle \mu|$$

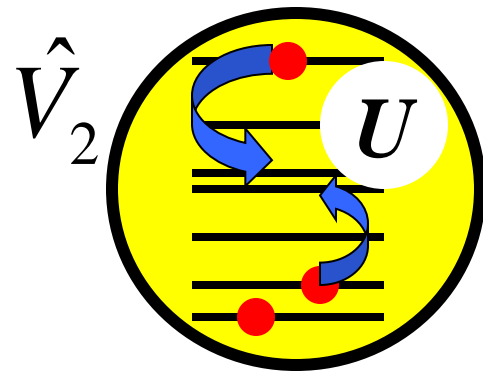
$$\hat{V}_1 = \sum_{\mu, \nu(\mu)} I |\mu\rangle \langle \nu(\mu)|$$

$$|\nu(\mu)\rangle = |\dots, n_i^\alpha - 1, \dots, n_j^\beta + 1, \dots\rangle, \quad i, j = n.n.$$



$$\hat{V}_2 = \sum_{\mu, \eta(\mu)} U |\mu\rangle \langle \eta(\mu)|$$

$$|\nu(\mu)\rangle = |\dots, n_i^\alpha - 1, \dots, n_i^\beta - 1, \dots, n_i^\gamma + 1, \dots, n_i^\delta + 1, \dots\rangle$$



# Conventional Anderson Model

**Basis:**  $|i\rangle$

$i$  labels sites

$$\hat{H} = \sum_i \varepsilon_i |i\rangle\langle i| + \sum_{i,j=n.n.} I |i\rangle\langle j|$$

**Two types of “nearest neighbors”:**

# Many body Anderson-like Model

**Basis:**  $|\mu\rangle$ ,  $\mu = \{n_i^\alpha\}$

$i$  labels sites

$\alpha$  labels levels

$n_i^\alpha = 0, 1$   
occupation numbers

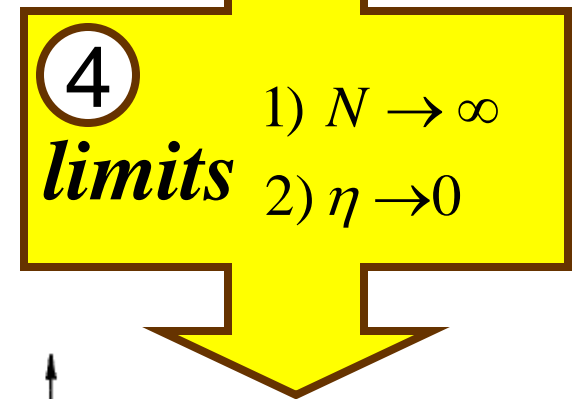
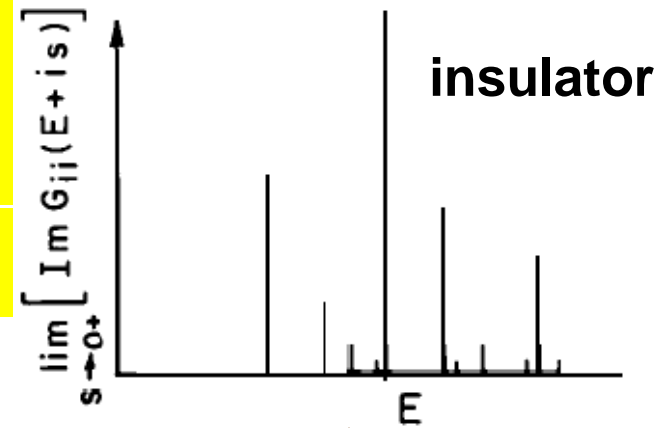
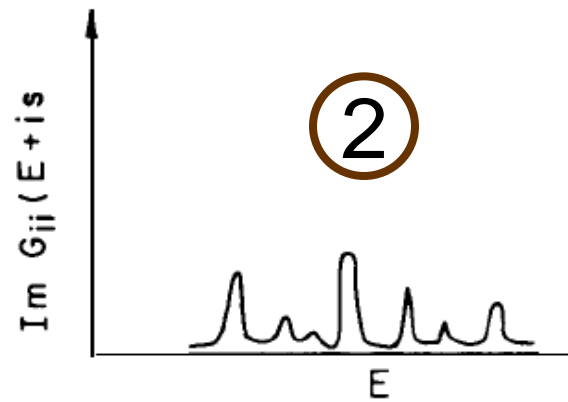
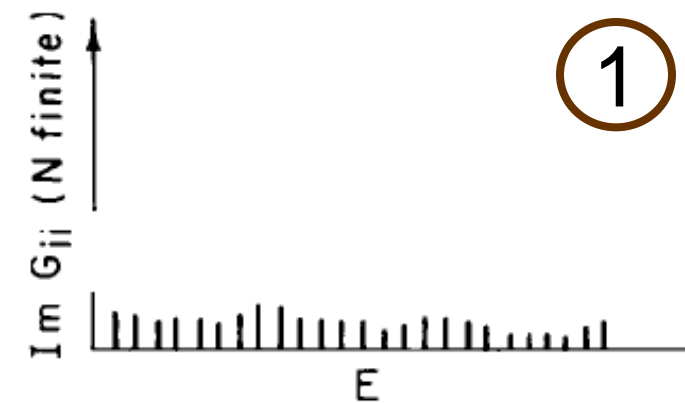
$$\hat{H} = \sum_\mu E_\mu |\mu\rangle\langle\mu| + \sum_{\mu, \nu(\mu)} I |\mu\rangle\langle\nu(\mu)| + \sum_{\mu, \eta(\mu)} U |\mu\rangle\langle\eta(\mu)|$$

$$|\nu(\mu)\rangle = |\dots, n_i^\alpha - 1, \dots, n_j^\beta + 1, \dots\rangle, \quad i, j = n.n.$$

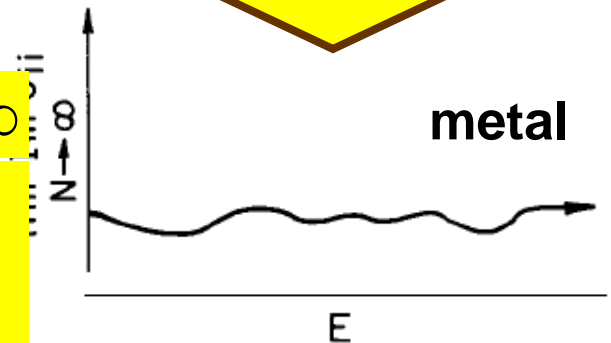
$$|\eta(\mu)\rangle = |\dots, n_i^\alpha - 1, \dots, n_i^\beta - 1, \dots, n_i^\gamma + 1, \dots, n_i^\delta + 1, \dots\rangle$$

# Anderson's recipe:

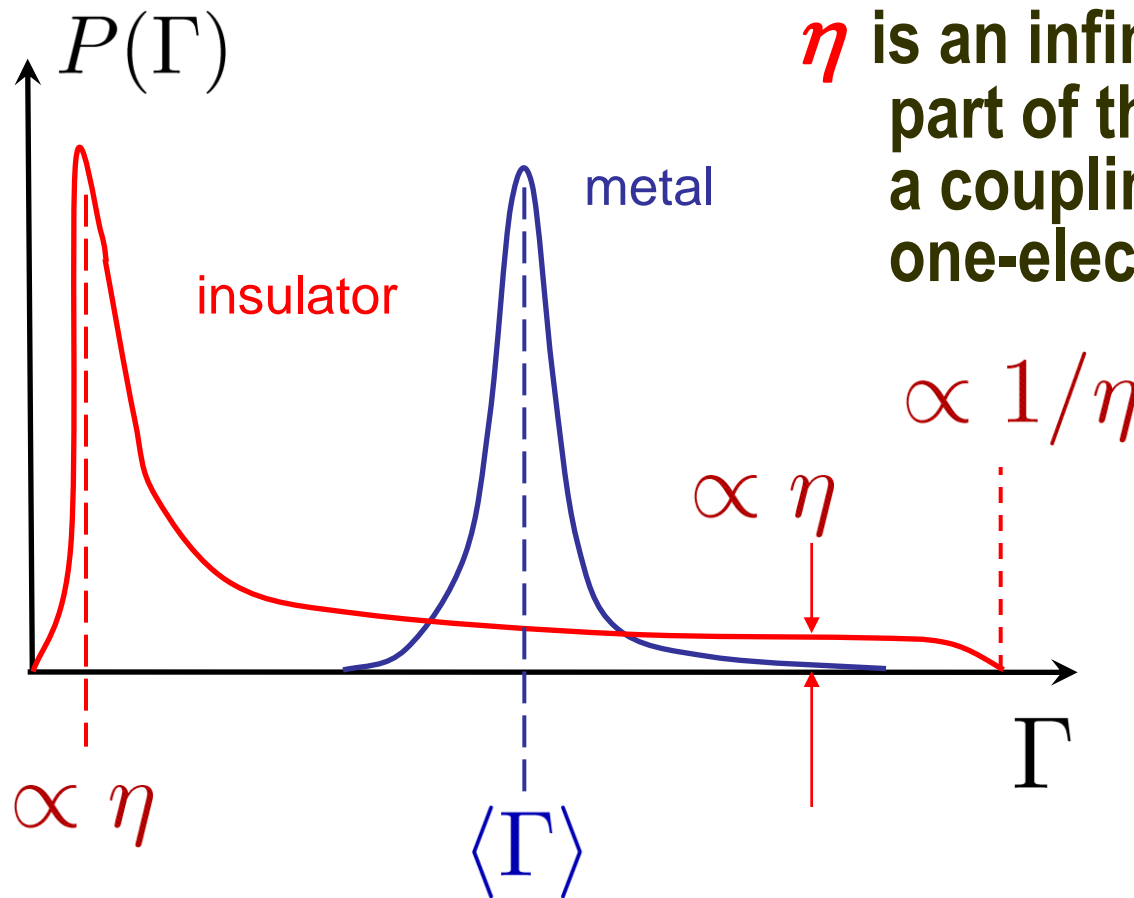
1. take discrete spectrum  $E_\mu$  of  $H_0$
2. Add an infinitesimal *Im* part  $i\eta$  to  $E_\mu$
3. Evaluate  $Im \Sigma_\mu$



4. take limit  $\eta \rightarrow 0$  but only **after**  $N \rightarrow \infty$
5. "What we really need to know is the *probability distribution* of  $Im \Sigma$ , **not** its average..."



# Probability Distribution of $\Gamma = \text{Im} \Sigma$



$\eta$  is an infinitesimal width (*Im* part of the self-energy due to a coupling with a bath) of one-electron eigenstates

**Look for:**

$$\lim_{\eta \rightarrow +0} \lim_{V \rightarrow \infty} P(\Gamma > 0) = \begin{cases} > 0; & \text{metal} \\ 0; & \text{insulator} \end{cases}$$



# Stability of the insulating phase: **NO** spontaneous generation of broadening

$$\Gamma_\alpha(\varepsilon) = 0$$

$$\varepsilon \rightarrow \varepsilon + i\eta$$

is always a solution

linear stability analysis

$$\frac{\Gamma}{(\varepsilon - \xi_\alpha)^2 + \Gamma^2} \rightarrow \pi\delta(\varepsilon - \xi_\alpha) + \frac{\Gamma}{(\varepsilon - \xi_\alpha)^2}$$

After  $n$  iterations of  
the equations of the  
**Self Consistent**  
**Born Approximation**

$$P_n(\Gamma) \propto \frac{\eta}{\Gamma^{3/2}} \left( \text{const} \frac{\lambda T}{\delta_\zeta} \ln \frac{1}{\lambda} \right)^n$$

first  $n \rightarrow \infty$   
then  $\eta \rightarrow 0$

$(\dots) < 1$  – insulator is stable !

# Physics of the transition: cascades

Conventional wisdom:

For phonon assisted hopping one phonon - one electron hop



Baron Münchhausen regime



Cascade regime

# Physics of the transition: cascades

## Conventional wisdom:

For phonon assisted hopping one phonon - one electron hop

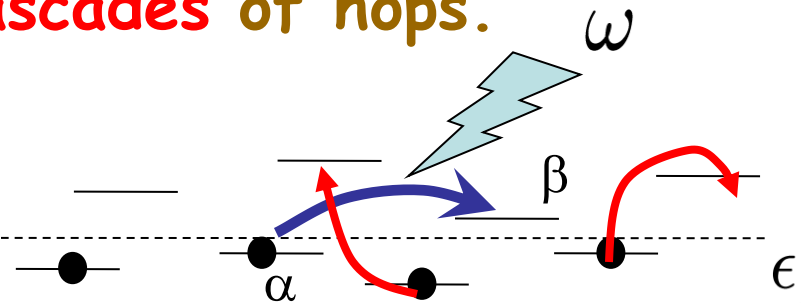
It is maybe correct at low temperatures, but the higher the temperature the easier it becomes to create e-h pairs.

Therefore with increasing the temperature the typical number of pairs created  $n_c$  (i.e. the number of hops) increases. Thus phonons create **cascades** of hops.

Typical size  
of the  
cascade

$\approx$

Localization  
length



# Physics of the transition: cascades

## Conventional wisdom:

For phonon assisted hopping one phonon - one electron hop

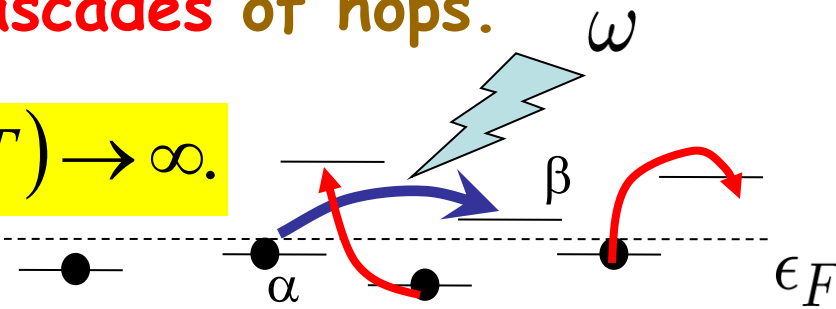
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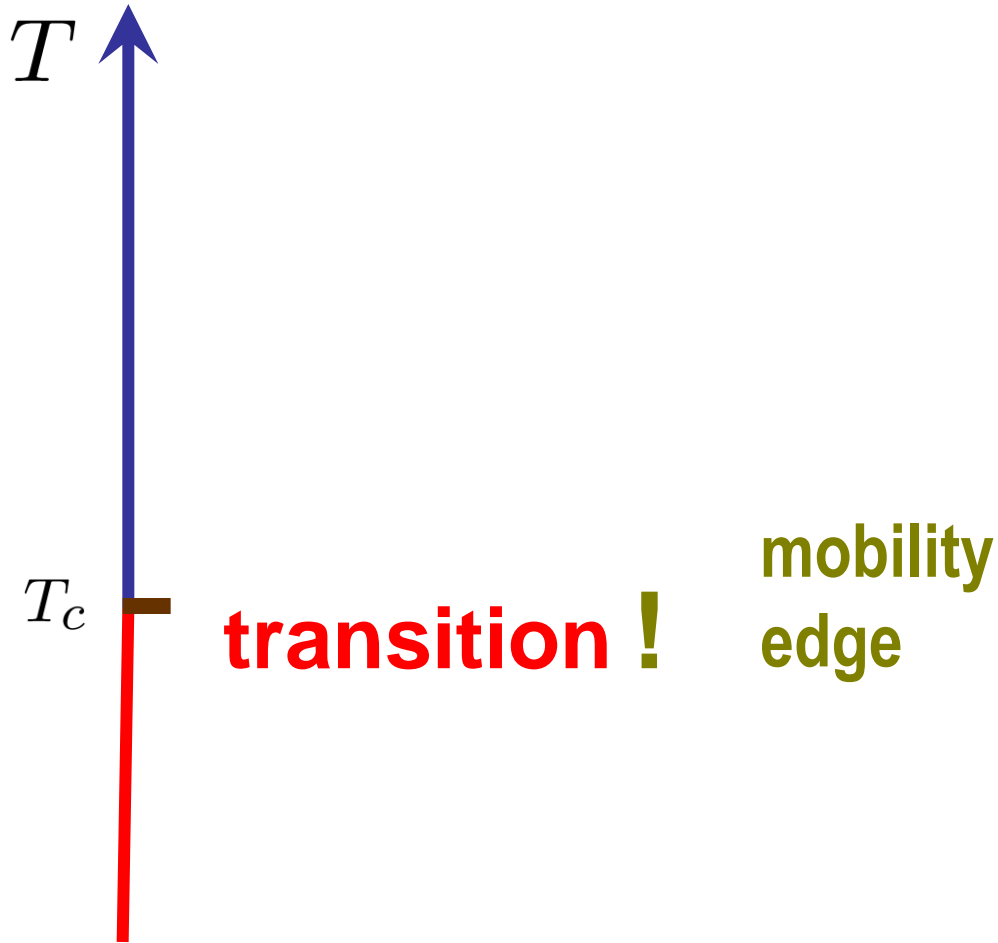
At some temperature  $T = T_c$   $n_c(T) \rightarrow \infty$ .

This is the critical temperature.

Above  $T_c$  one phonon creates infinitely many pairs, i.e., phonons are not needed for charge transport.



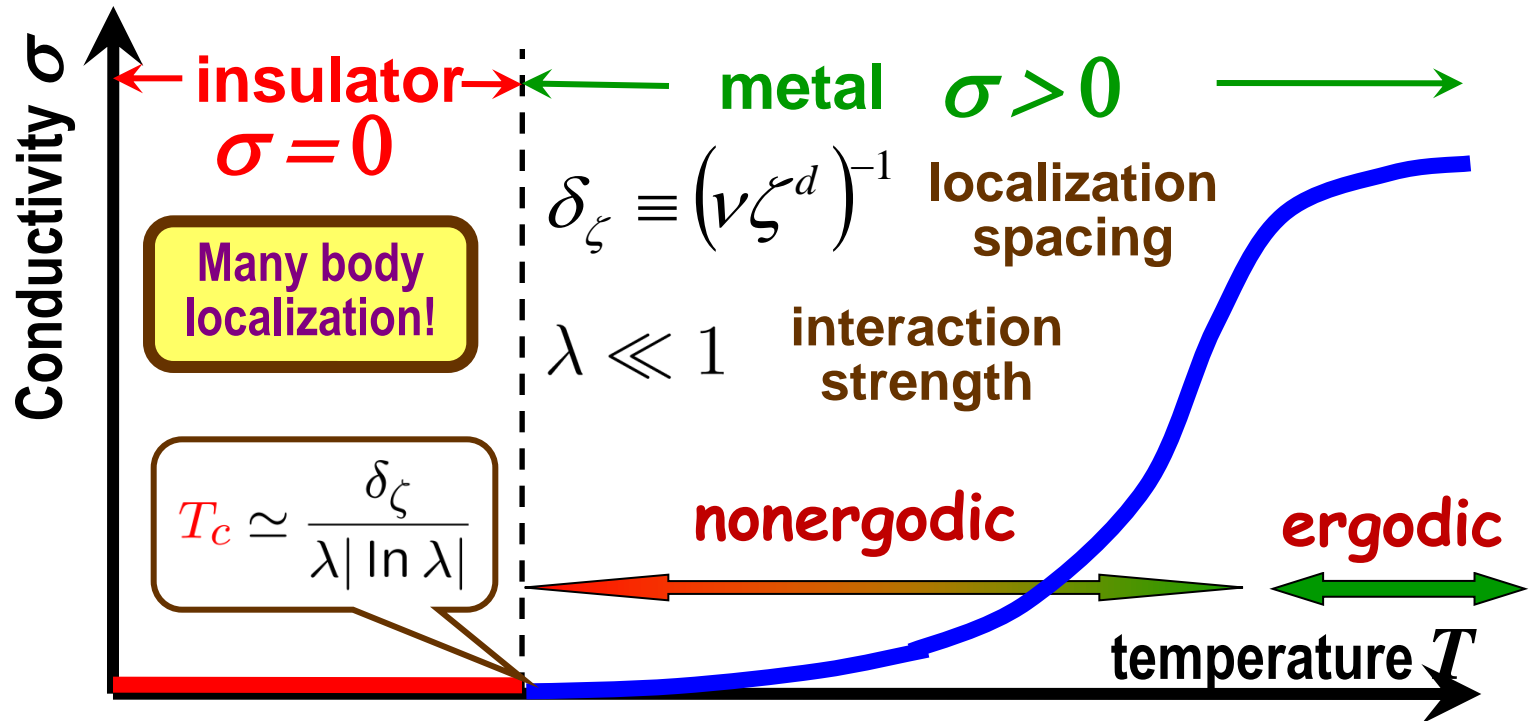
# Many-body mobility edge



# Many-body mobility edge



# Finite $T$ normal metal - insulator transition is another example of the many-body localization



**Definition:** We will call a quantum state  $|\mu\rangle$  **ergodic** if it occupies the number  $N_\mu$  of sites  $N_\mu$  on the Anderson lattice, which is proportional to the total number of sites  $N$ :

$$\frac{N_\mu}{N} \xrightarrow{N \rightarrow \infty} 0$$

**nonergodic**

$$\frac{N_\mu}{N} \xrightarrow{N \rightarrow \infty} \text{const} > 0$$

**ergodic**

Localized states are obviously not ergodic:  $N_\mu \xrightarrow{N \rightarrow \infty} \text{const}$

**Q:** Is each of the extended state ergodic ?

**A:** In **3D** probably **YES**, for  $d > 4$  - probably **NO**



# Nonergodic states

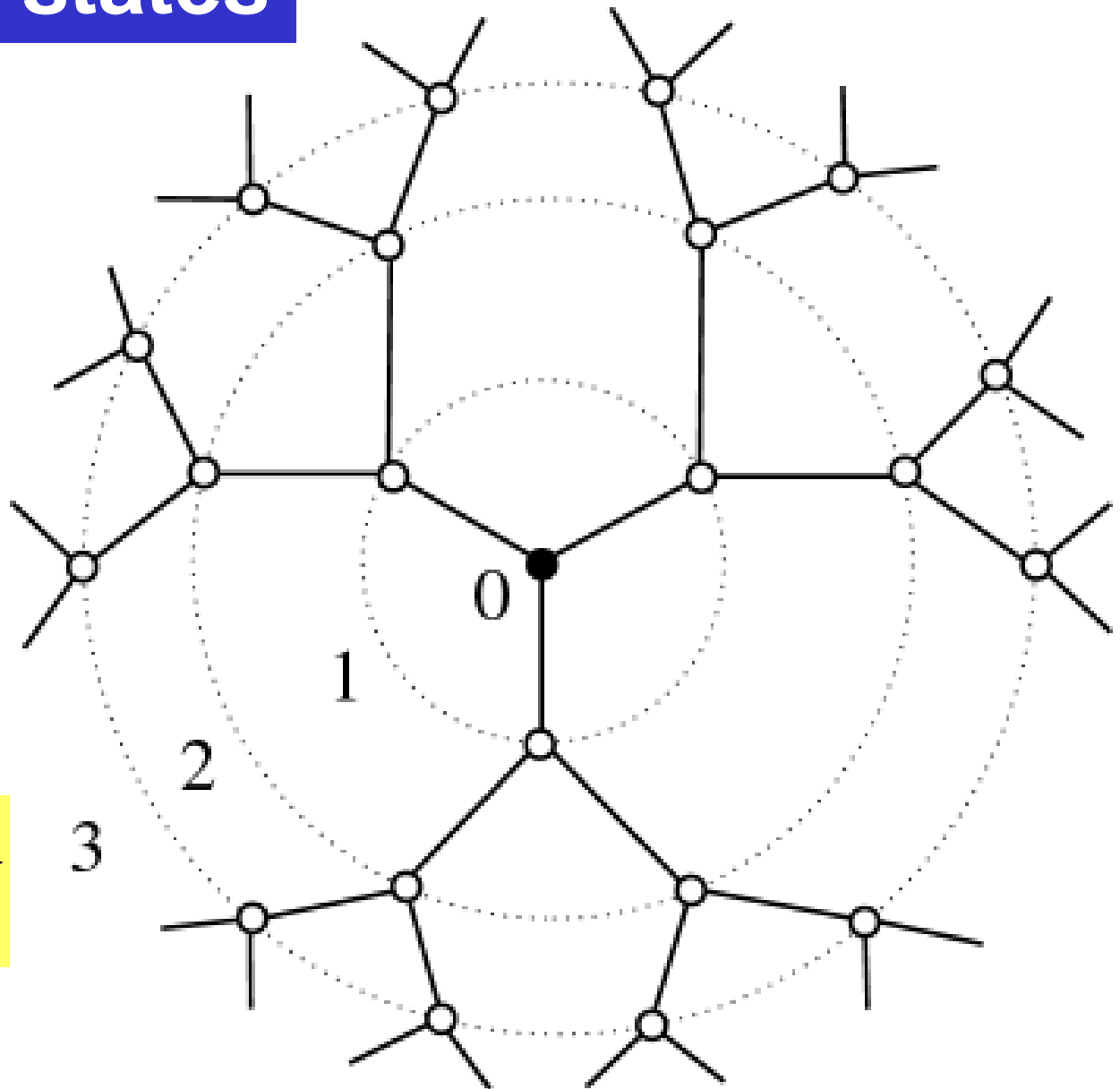
Cayley tree  
(Bethe lattice)

$$I_c = \frac{W}{K \ln K}$$

$K$  is the  
branching  
number

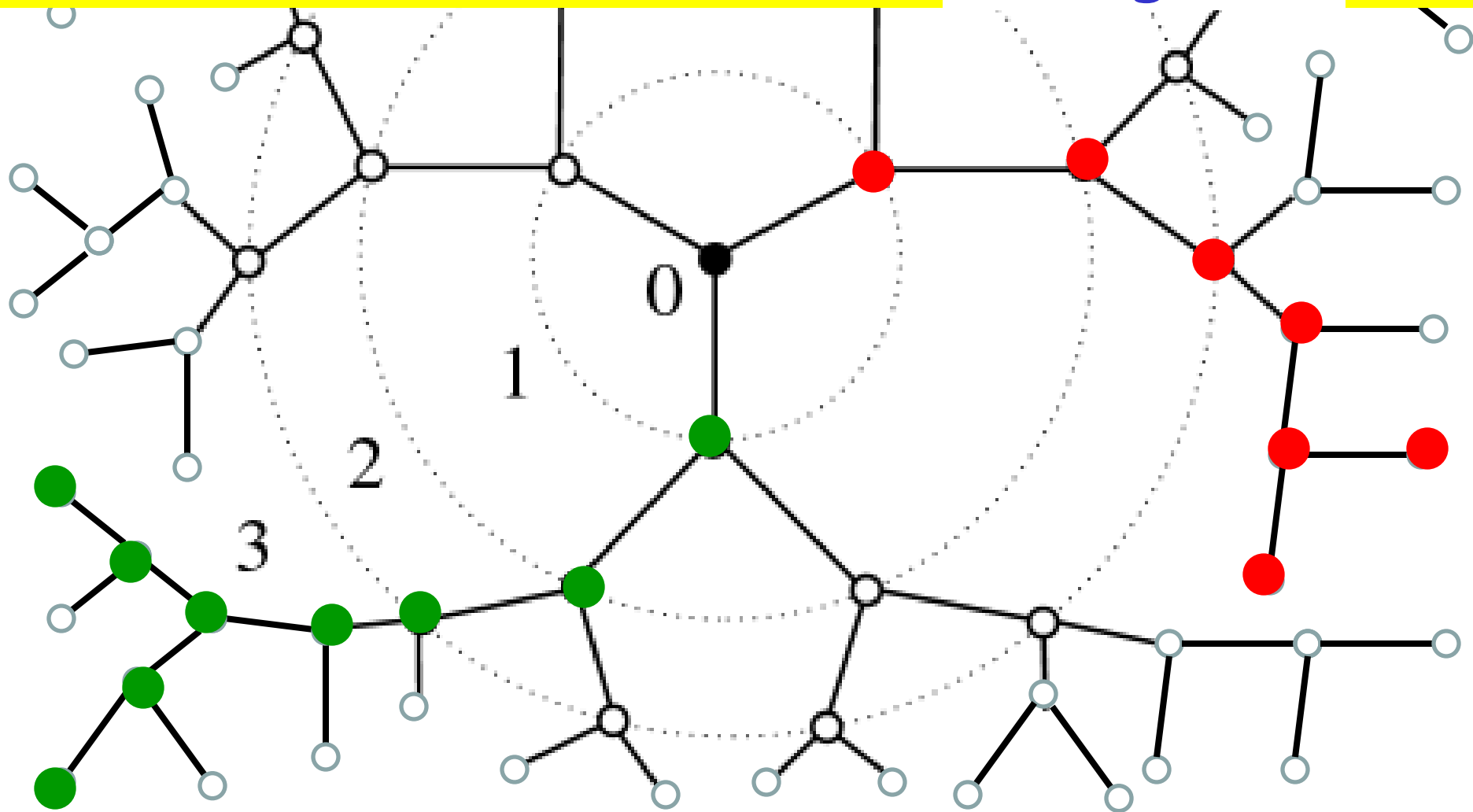
$$I_c < I < W$$

Extended but  
not ergodic

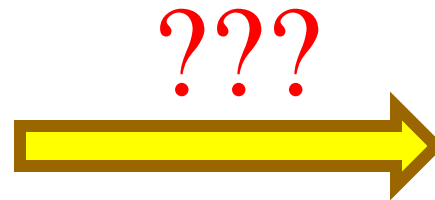


$$I \approx \frac{W}{K} \implies N_\mu \approx \ln N \ll N$$

nonergodic



**nonergodic**



**glassy**