


Beyond GW: local and nonlocal vertex corrections

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European
Theoretical
Spectroscopy
Facility

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Prologue

xc self-energy $\Sigma_{xc}(12) = iG(14)W(31^+)\Gamma(42;3)$

vertex $\Gamma(12;3) = \delta(13)\delta(23) + \frac{\delta\Sigma_{xc}(12)}{\delta\rho(4)}P(43)$


$(\delta\Sigma/\delta V) = (\delta\Sigma/\delta\rho)(\delta\rho/\delta V)$

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$$\Gamma(12;3) = \delta(13)\delta(23) + \delta(12)f_{xc}^{eff}(14)P(43) + \Delta\Gamma(12;3)$$

$$f_{xc}^{eff}(14) = -iP_0^{-1}(16)G(65)G(76)\frac{\delta\Sigma_{xc}(57)}{\delta\rho(4)}$$

$$\Delta\Gamma(12;3) = \left[\frac{\delta\Sigma_{xc}(12)}{\delta\rho(4)} - \delta(12)f_{xc}^{eff}(14) \right] P(43)$$

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How local and nonlocal parts of Γ correct the self-screening error and the incorrect atomic limit of GW?

Outline

- ① *Theory*

 - GW: self-screening and incorrect atomic limit

 - Vertex corrections

- ① *Illustration*

 - 2-site Hubbard model: $GW\Gamma$ vs exact solution

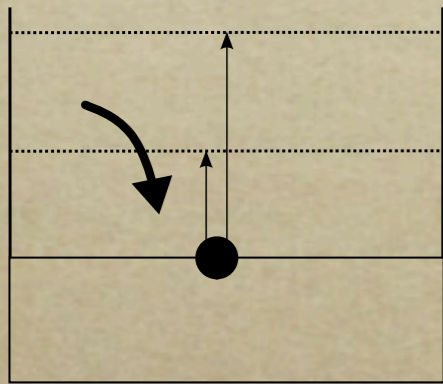
- ① *Conclusions*



THEORY

Self-screening

⑥ Addition energy

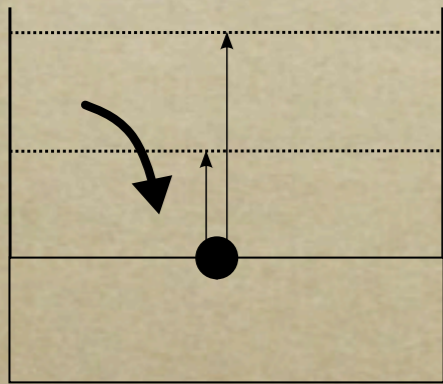


$$E_{N+1=1} - E_{N=0} = \epsilon_1$$

$$\left(-\frac{\nabla^2}{2} + V_0(x_1) \right) \phi_1(x_1) = \epsilon_1 \phi_1(x_1) \quad (\text{exact, DFT, HF, GW})$$

Self-screening

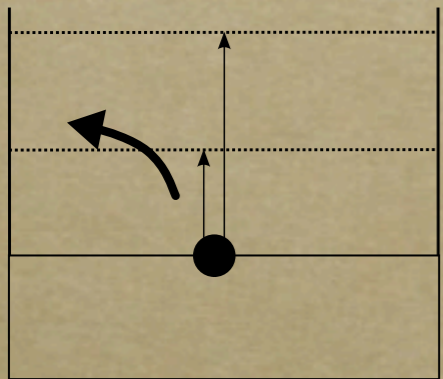
⑥ Addition energy



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⑥ Removal energy

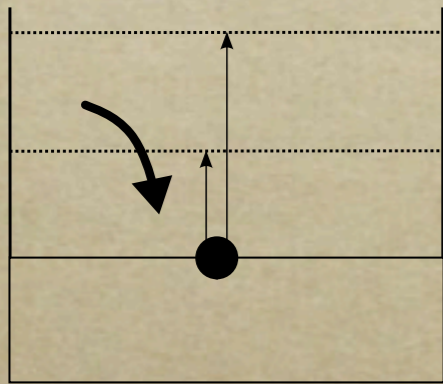


$$E_{N=1} - E_{N-1=0} = \epsilon_1$$

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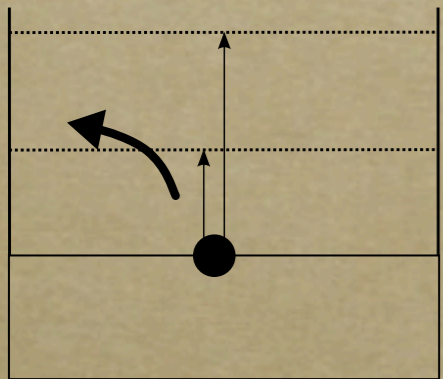
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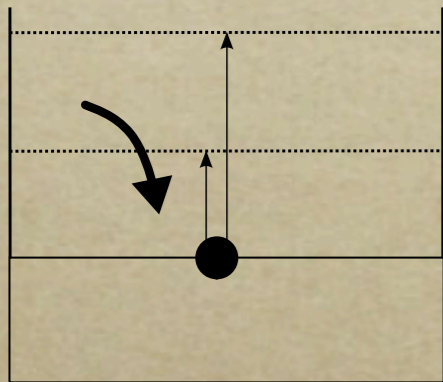
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$$\left(-\frac{\nabla^2}{2} + V_0(x_1) + v_H(x_1) \right) \phi_1(x_1) - \int dx_2 \left(\phi_1(x_1) \phi_1^*(x_2) W(x_1 x_2) + \delta(x_1 - x_2) \frac{1}{2} W_p(x_1 x_2) \right) \phi_1(x_2) = \epsilon_1^{GW} \phi_1(x_1) \quad (\text{GW (COHSEX)})$$

\uparrow
 $W - v$

Self-screening

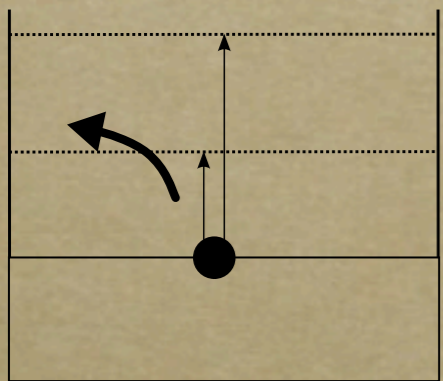
Addition energy



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Removal energy



$$E_{N=1} - E_{N-1=0} = \epsilon_1$$

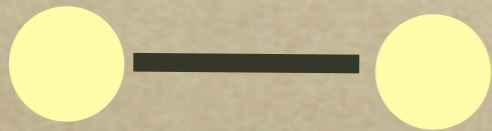
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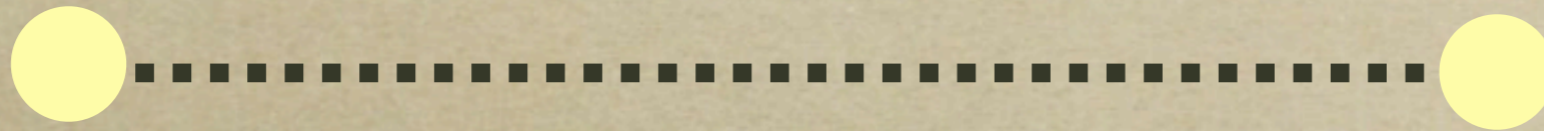
\uparrow
 $W - v$

Self-screening: the extracted particle screens itself $\longrightarrow \epsilon_1^{GW} \neq \epsilon_1$
 (bad treatment of the induced exchange)

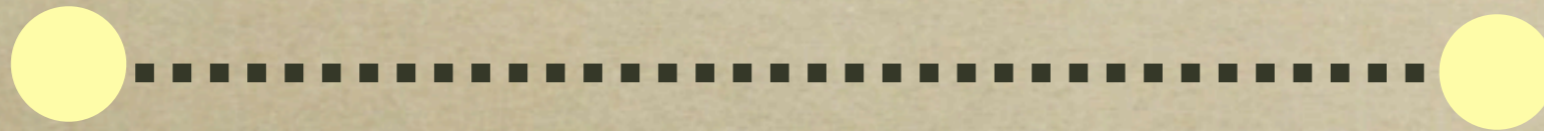
Atomic limit



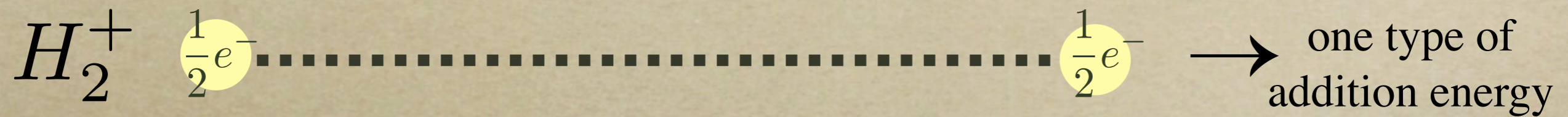
Atomic limit



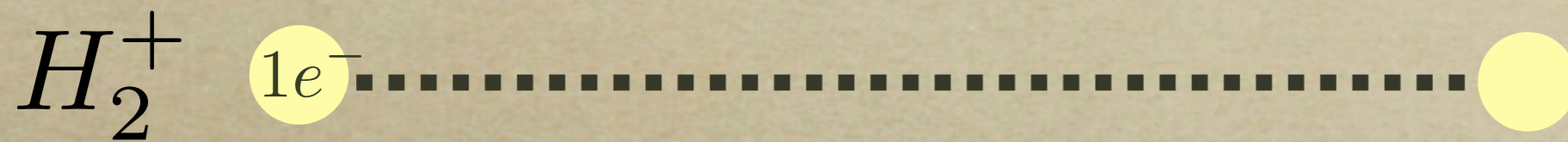
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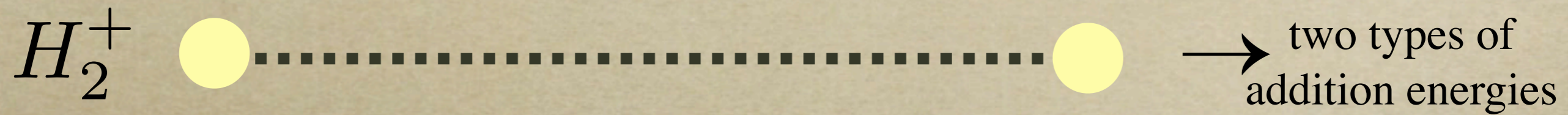
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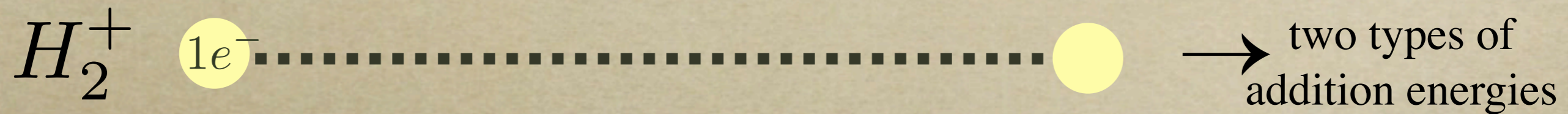
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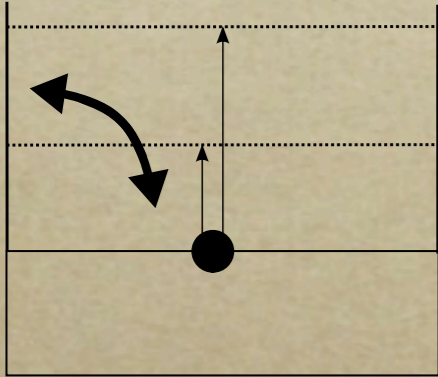


Atomic limit



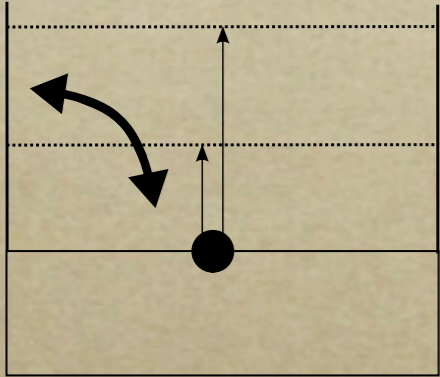
Incorrect atomic limit
(bad treatment of the correlation)

Vertex corrections: $P = -iGG\Gamma$



$$P = -iGG\Gamma \quad \longrightarrow \quad W = v + vPW$$

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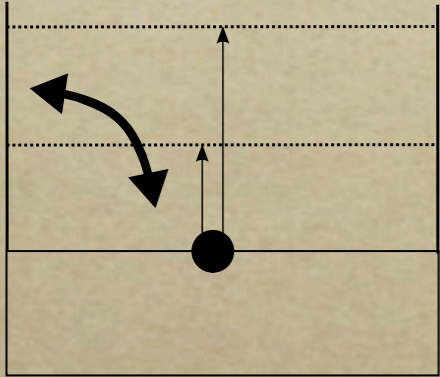
$$P = -iGG\Gamma \longrightarrow W = v + vPW$$

From TDDFT the exact vertex

$$f_{xc} = -v$$

$$\chi = \chi_0 + \chi_0(v + f_{xc})\chi = \chi_0 \longrightarrow W = v + v\chi_0v$$

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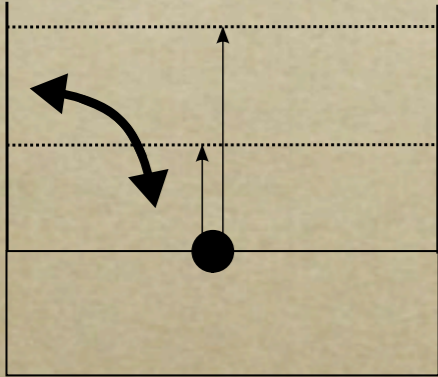
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Exact vertex in P does not correct self-screening

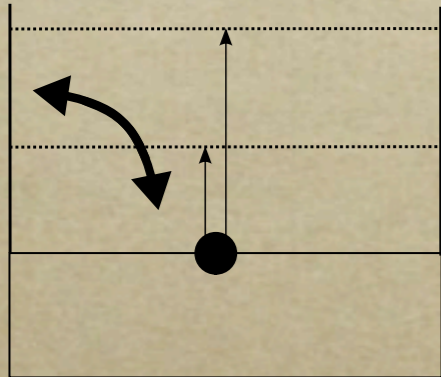
Vertex corrections: $\Sigma_{xc} = iGWT$

⑥ Valence state



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Valence state

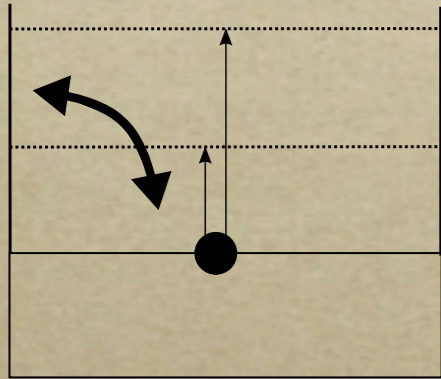


$$\Sigma_{xc}(12) \approx iG(12)W(31^+)\Gamma(23)$$

$$\Gamma(23) = \delta(23) + f_{xc}(24)P(43)$$

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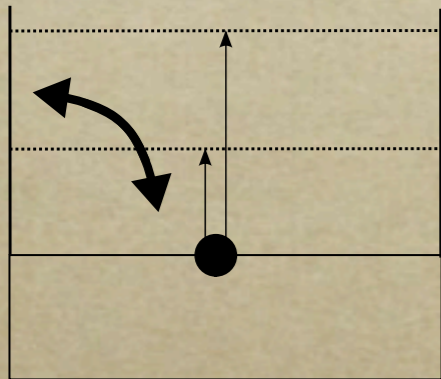
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$$\Gamma(12; 3) = \delta(13)\delta(23) + \frac{\delta\Sigma_{xc}(12)}{\delta\rho(4)}P(43) \approx \delta(13)\delta(23) + \frac{\delta v_{xc}(1)\delta(12)}{\delta\rho(4)}P(43)$$

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Valence state



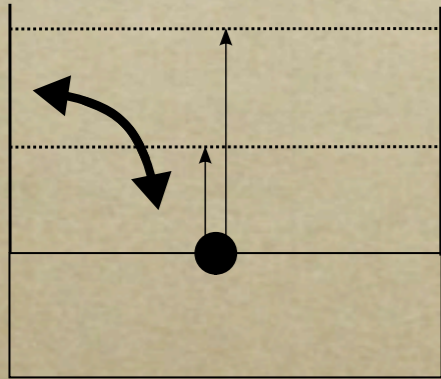
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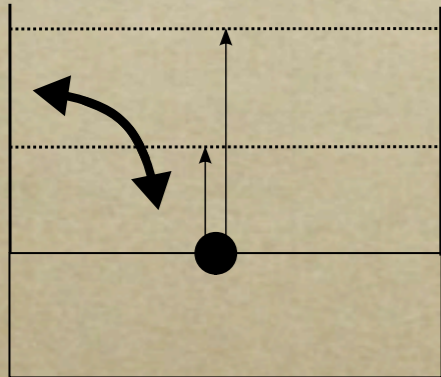
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A two-point vertex is sufficient to remove the self-screening

It is built from the total f_{xc} and not only from the excitonic part $f_{xc}^{eff} = f_{xc} - f_{xc}^{QP}$

Vertex corrections: $\Sigma_{xc} = iGWT$

Valence state

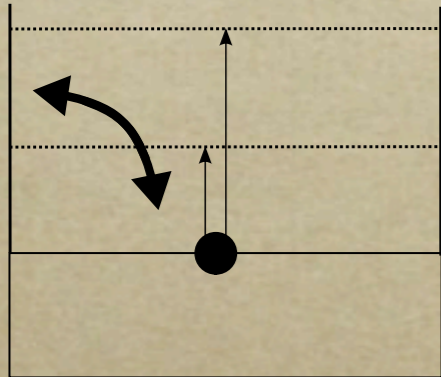


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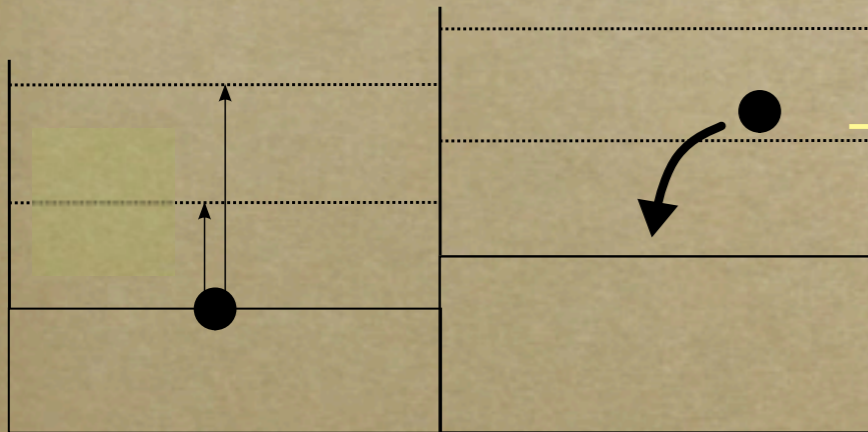
Valence state



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Conduction state

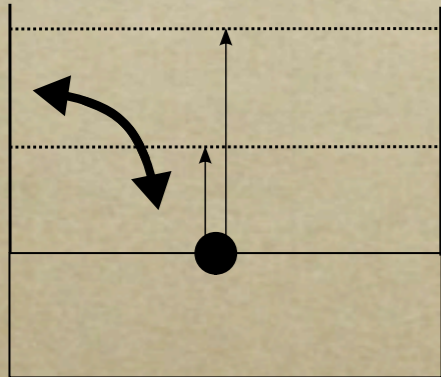


feels only induced Hartree
(different spatial distribution/opposite spin)

$$\Gamma(23) = \delta(23)$$

Vertex corrections: $\Sigma_{xc} = iGW\Gamma$

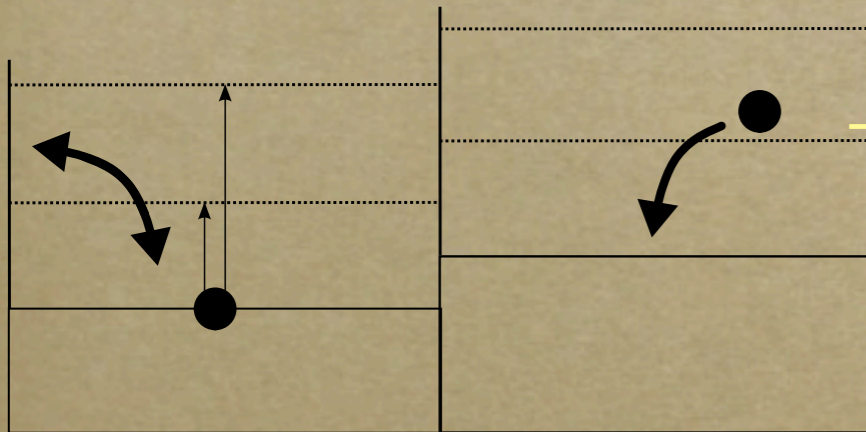
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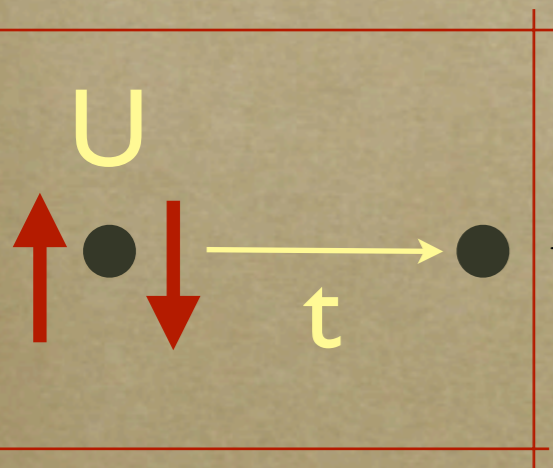


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$$\Gamma(23) = \delta(23)$$

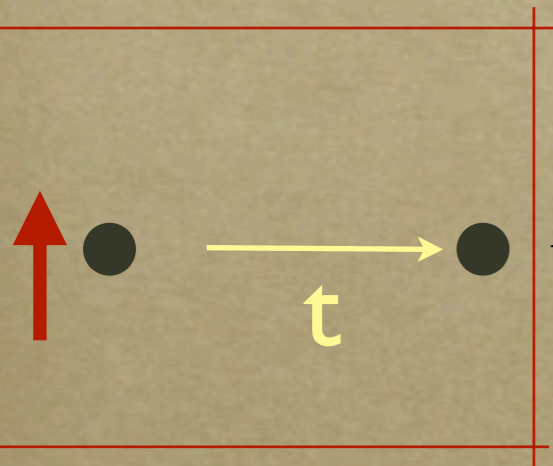
nonlocal vertex $\Gamma = \begin{cases} \delta + f_{xc}P & \text{for valence} \rightarrow W^{TC-TE} \\ \delta & \text{for conduction} \rightarrow W^{TC-TC} \end{cases}$

ILLUSTRATION



$$H = -t \sum_{\substack{i,j=1,2 \\ i \neq j}} \sum_{\sigma} c_{i\sigma}^{\dagger} c_{j\sigma} + \frac{U}{2} \sum_{i=1,2} \sum_{\sigma\sigma'} c_{i\sigma}^{\dagger} c_{i\sigma'}^{\dagger} c_{i\sigma'} c_{i\sigma} + \epsilon_0 \sum_{\sigma,i=1,2} n_{i\sigma} + V_0$$

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$$|\psi_0^{N=1}\rangle = \frac{1}{\sqrt{2}} (|\uparrow 0\rangle + |0 \uparrow\rangle) \quad E_0 = \epsilon_0 - t$$

Hubbard model: exact solution

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One-particle Green's function

$$G_{ij\uparrow}(\omega) = \frac{(-1)^{(i-j)}}{2} \left[\frac{1}{\omega - (\epsilon_0 + t) + i\eta} + \frac{(-1)^{(i-j)}}{\omega - (\epsilon_0 - t) - i\eta} \right]$$

1 removal energy
5 addition energies

$$G_{ij\downarrow}(\omega) = \frac{(-1)^{(i-j)}}{4} \left[\frac{1}{\omega - (\epsilon_0 + t) + i\eta} + \frac{1}{\omega - (\epsilon_0 + t + U) + i\eta} \right]$$
$$+ \frac{1}{2} \left[\frac{\frac{1}{a^2} \left(1 + \frac{4t}{(c-U)}\right)^2}{\omega - (\epsilon_0 + t - (c-U)/2) + i\eta} + \frac{\frac{1}{b^2} \left(1 - \frac{4t}{(c+U)}\right)^2}{\omega - (\epsilon_0 + t + (c+U)/2) + i\eta} \right]$$

Hubbard model: exact solution

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Self-energy $\Sigma(\omega) = G_0^{-1}(\omega) - G^{-1}(\omega)$

$$\Sigma(\omega) = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & \Sigma_{11\downarrow} & \Sigma_{12\downarrow} \\ 0 & 0 & \Sigma_{12\downarrow} & \Sigma_{11\downarrow} \end{pmatrix}$$

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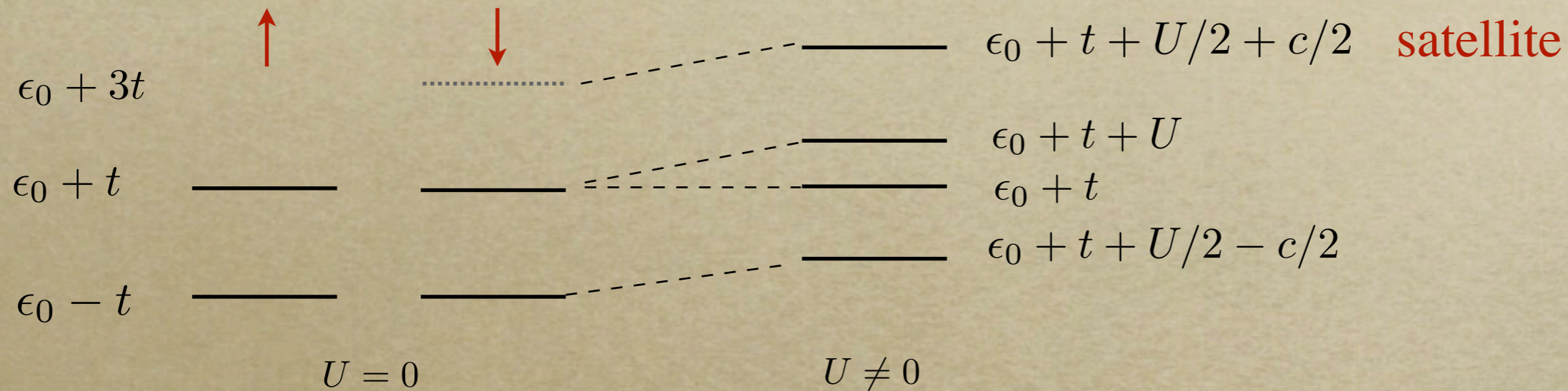
• Noninteracting limit $U \rightarrow 0$

$$G_{ij\uparrow}^{U=0}(\omega) = G_{ij\uparrow}(\omega) \quad G_{ij\downarrow}^{U=0}(\omega) = \frac{(-1)^{(i-j)}}{2} \left[\frac{1}{\omega - (\epsilon_0 + t) + i\eta} + \frac{(-1)^{(i-j)}}{\omega - (\epsilon_0 - t) + i\eta} \right]$$

Hubbard model: exact solution

Noninteracting limit $U \rightarrow 0$

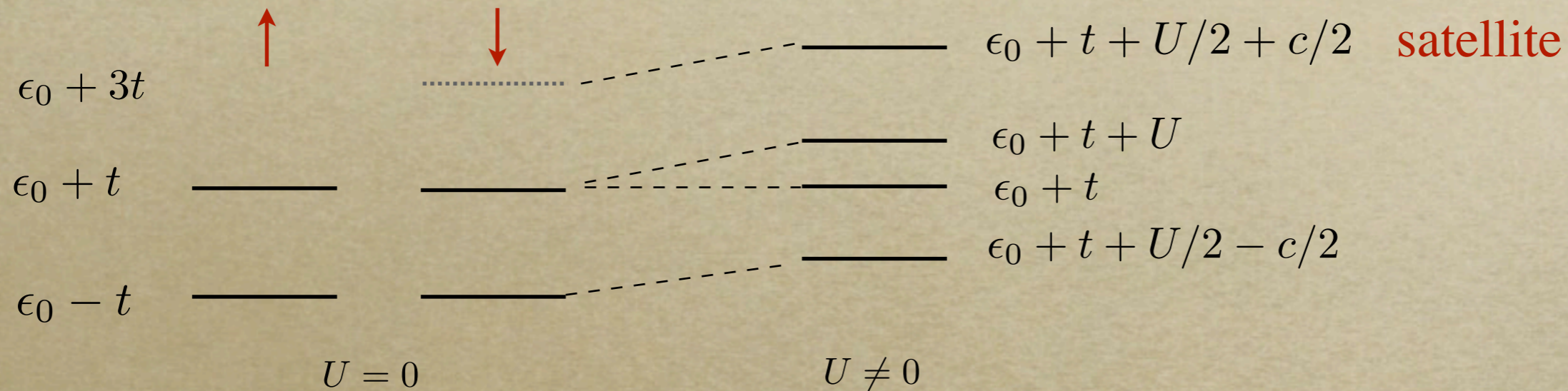
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Hubbard model: exact solution

Noninteracting limit $U \rightarrow 0$

$$G_{ij\uparrow}^{U=0}(\omega) = G_{ij\uparrow}(\omega) \quad G_{ij\downarrow}^{U=0}(\omega) = \frac{(-1)^{(i-j)}}{2} \left[\frac{1}{\omega - (\epsilon_0 + t) + i\eta} + \frac{(-1)^{(i-j)}}{\omega - (\epsilon_0 - t) + i\eta} \right]$$



Atomic limit $t \rightarrow 0$

$$G_{ij\uparrow}^{t=0}(\omega) = \frac{(-1)^{(i-j)}}{2} \left[\frac{1}{\omega - \epsilon_0 + i\eta} + \frac{(-1)^{(i-j)}}{\omega - \epsilon_0 - i\eta} \right]$$

$$G_{ii\downarrow}^{t=0}(\omega) = \frac{1}{2} \left[\frac{1}{\omega - \epsilon_0 + i\eta} + \frac{1}{\omega - (\epsilon_0 + U) + i\eta} \right]$$

removal/addition energies of two isolated atoms

$$\Sigma_{ij\downarrow}(\omega) = \delta_{ij} \frac{U}{2} \left[1 + \frac{U}{2(\omega - \epsilon_0) - U + i\eta} \right]$$

Hubbard model: GW solution

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Self-energy $\Sigma(\omega) = v_H + \frac{i}{2\pi} \int d\omega' G(\omega + \omega') W(\omega') e^{i\omega'\eta} \quad (G_0, W^{RPA})$

$$\Sigma(\omega) = \begin{pmatrix} \Sigma_{11\uparrow} & \Sigma_{12\uparrow} & 0 & 0 \\ \Sigma_{12\uparrow} & \Sigma_{11\uparrow} & 0 & 0 \\ 0 & 0 & \Sigma_{11\downarrow} & \Sigma_{12\downarrow} \\ 0 & 0 & \Sigma_{12\downarrow} & \Sigma_{22\downarrow} \end{pmatrix}$$

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Self-screening

$$\Sigma(\omega) = \begin{pmatrix} \Sigma_{11\uparrow} & \Sigma_{12\uparrow} & 0 & 0 \\ \Sigma_{12\uparrow} & \Sigma_{11\uparrow} & 0 & 0 \\ 0 & 0 & \Sigma_{11\downarrow} & \Sigma_{12\downarrow} \\ 0 & 0 & \Sigma_{12\downarrow} & \Sigma_{22\downarrow} \end{pmatrix}$$

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$$\Sigma(\omega) = \begin{pmatrix} \Sigma_{11\uparrow} & \Sigma_{12\uparrow} & 0 & 0 \\ \Sigma_{12\uparrow} & \Sigma_{11\uparrow} & 0 & 0 \\ 0 & 0 & \Sigma_{11\downarrow} & \Sigma_{12\downarrow} \\ 0 & 0 & \Sigma_{12\downarrow} & \Sigma_{22\downarrow} \end{pmatrix}$$

One-particle Green's function $G^{GW}(\omega) = [G_0^{-1}(\omega) - \Sigma(\omega)]^{-1}$

2 removal energy
6 addition energies

$$G_{ij\uparrow}^{GW}(\omega) = (-1)^{(i-j)} \left[\frac{\left(\frac{1}{4} + \frac{2t+h}{4A}\right)}{\omega - \omega_1 + i\eta} + \frac{\left(\frac{1}{4} - \frac{2t+h}{4A}\right)}{\omega - \omega_2 - i\eta} \right] + \frac{\left(\frac{1}{4} - \frac{2t+h}{4A}\right)}{\omega - \omega_3 + i\eta} + \frac{\left(\frac{1}{4} + \frac{2t+h}{4A}\right)}{\omega - \omega_4 - i\eta}$$

$$G_{ij\downarrow}^{GW}(\omega) = (-1)^{(i-j)} \left[\frac{\left(\frac{1}{4} + \frac{2t-h+U/2}{4B}\right)}{\omega - \omega_5 + i\eta} + \frac{\left(\frac{1}{4} - \frac{2t-h+U/2}{4B}\right)}{\omega - \omega_6 + i\eta} \right] + \frac{\left(\frac{1}{4} - \frac{2t+h-U/2}{4C}\right)}{\omega - \omega_7 + i\eta} + \frac{\left(\frac{1}{4} + \frac{2t+h-U/2}{4C}\right)}{\omega - \omega_8 + i\eta}$$

Hubbard model: GW solution

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1 physical pole+ extra poles $\rightarrow \epsilon_0 \pm 3t$ with zero intensity \rightarrow satellites

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- Atomic limit $t \rightarrow 0$

$$G_{ij\uparrow,t=0}^{GW} \rightarrow \text{exact solution}$$

self-screening not detected in $t \rightarrow 0$

$$G_{ii\downarrow,t=0}^{GW}(\omega) = \frac{1}{\omega - (\epsilon_0 + \frac{U}{2}) + i\eta}$$

only one pole ($\epsilon_0 + U/2$) vs two in the exact solution ($\epsilon_0, \epsilon_0 + U$)

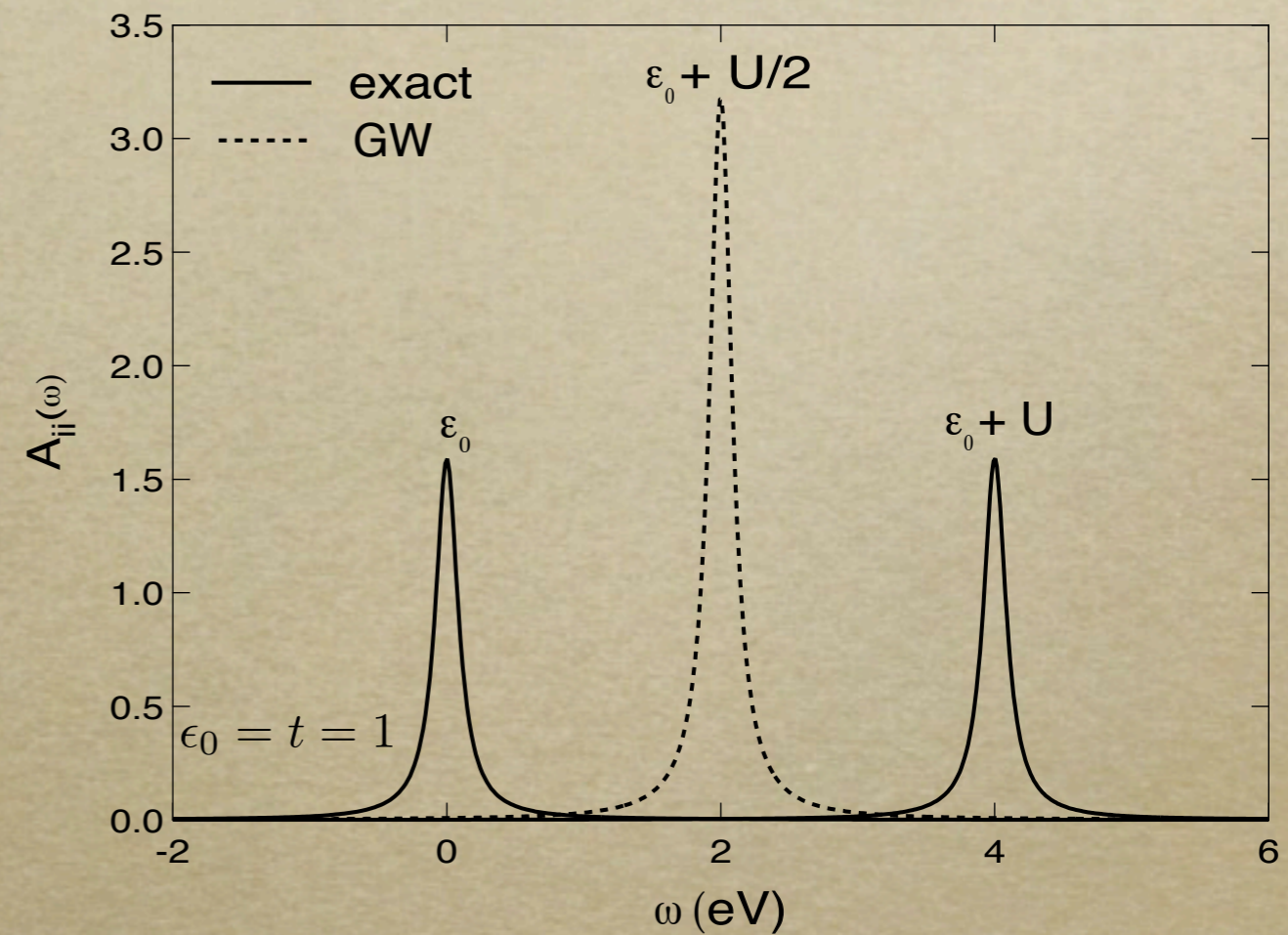
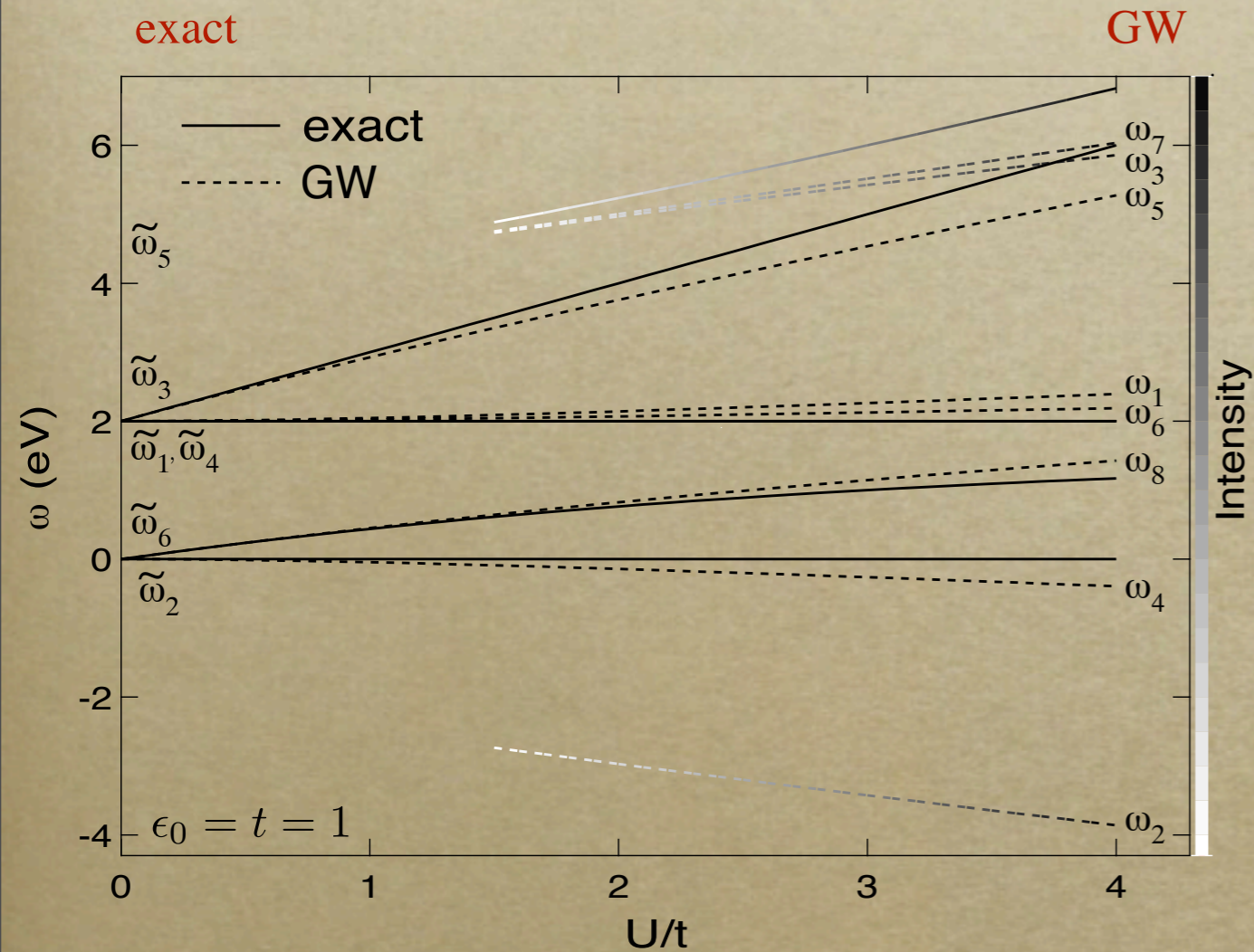
$$\Sigma_{ij\downarrow}(\omega = 0) = \frac{U}{2} \delta_{ij}$$

static (only Hartree potential) vs frequency-dependent exact solution

Hubbard model: GW vs exact

Self-screening

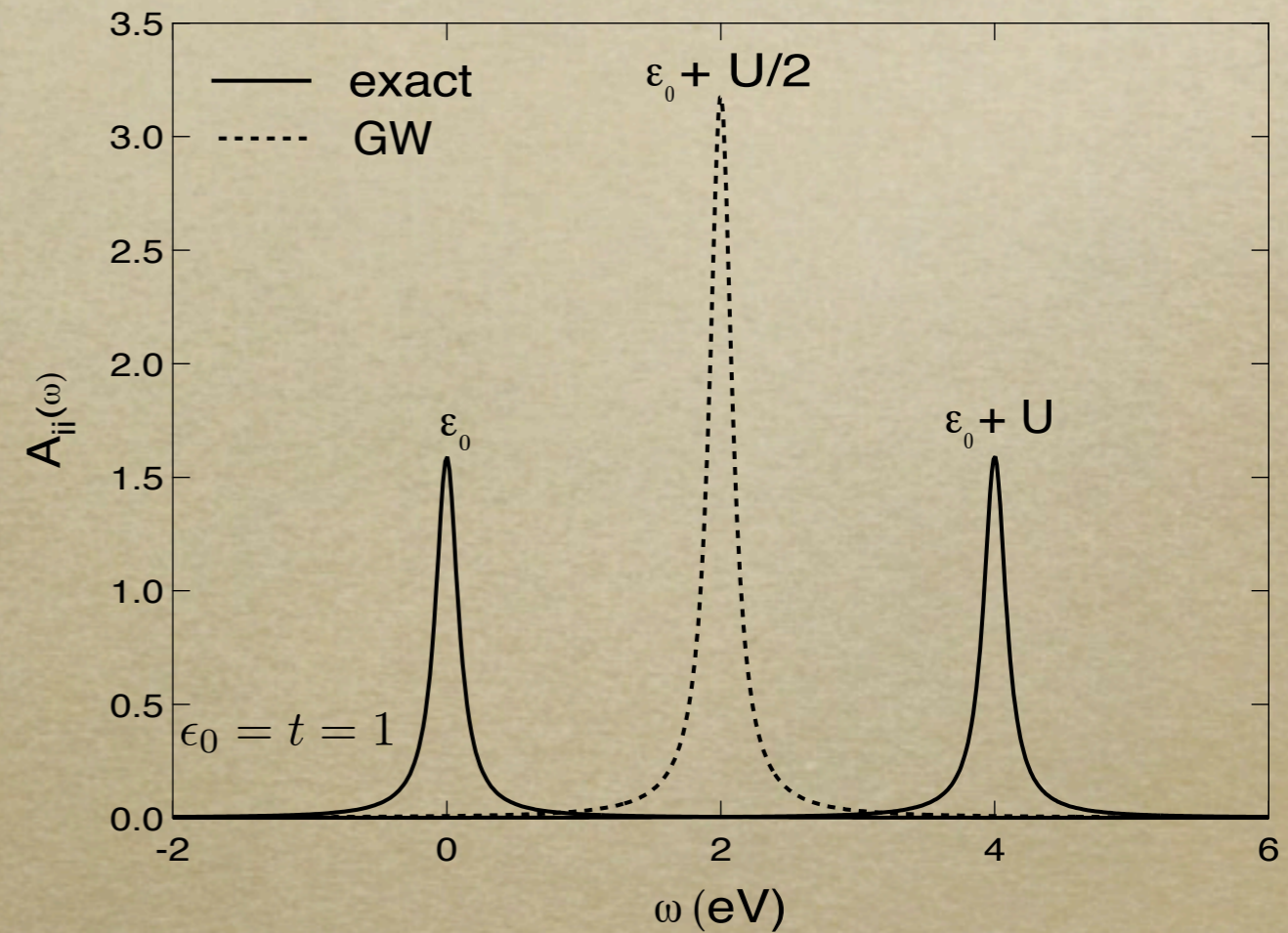
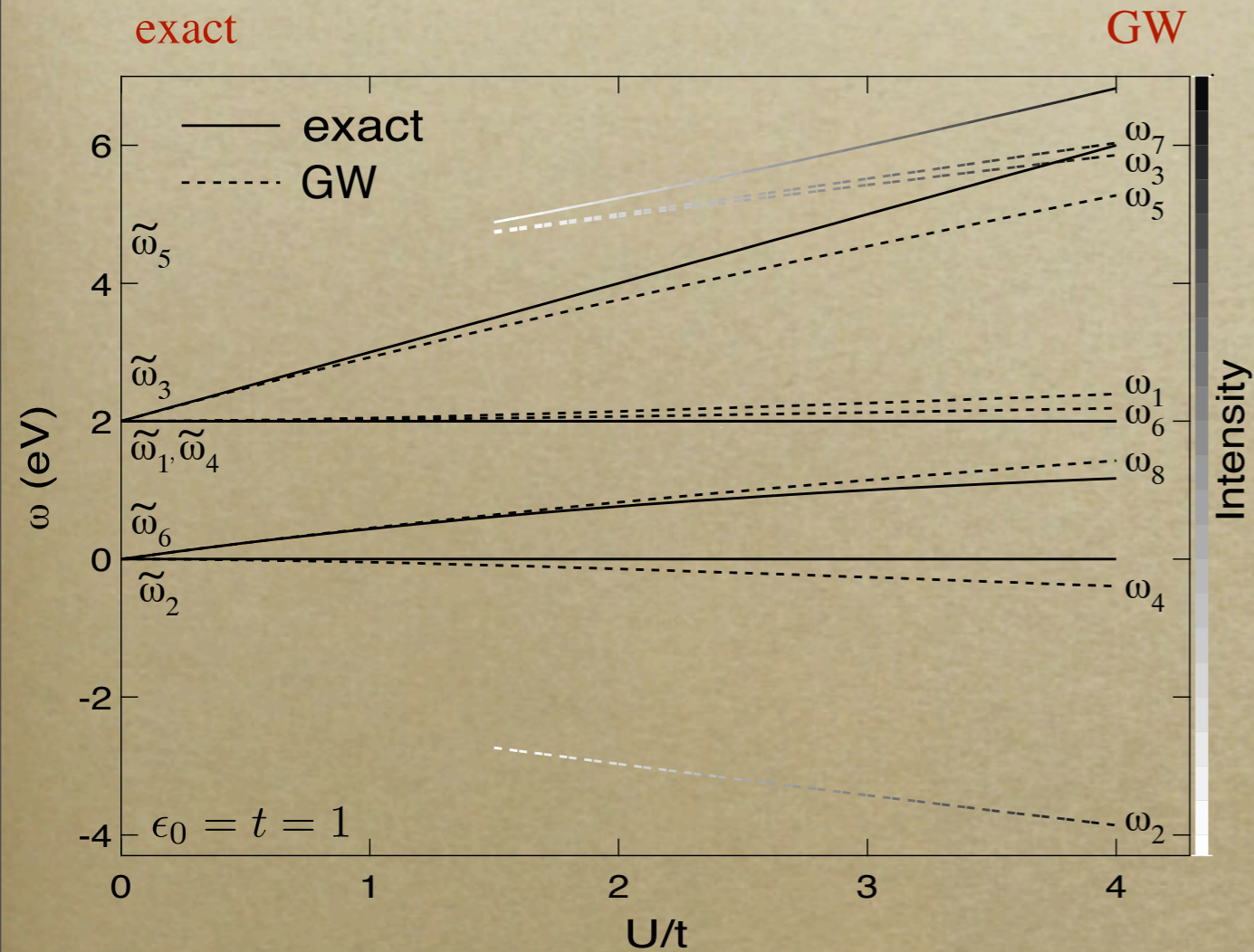
Atomic limit $t \rightarrow 0$



Hubbard model: GW vs exact

Self-screening

Atomic limit $t \rightarrow 0$



Hubbard model: vertex corrections

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Vertex corrections in P

$$W = v + v\chi_0v \longrightarrow W_{ij}(\omega) = U\delta_{ij} + (-1)^{(i-j)} \frac{U^2t}{\omega^2 - (2t)^2} \quad \text{only shifts poles of } \Sigma$$

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Vertex corrections in Σ

$$\Gamma = \begin{cases} \delta + f_{xc}P & \text{for valence} \\ \delta & \text{for conduction} \end{cases} \longrightarrow \Sigma(\omega) = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & \Sigma_{11\downarrow} & \Sigma_{12\downarrow} \\ 0 & 0 & \Sigma_{12\downarrow} & \Sigma_{22\downarrow} \end{pmatrix}$$

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No self-screening anymore!

Still incorrect atomic limit!

Conclusions

- GW suffers of a self-screening error (bad description of induced exchange) and an incorrect atomic limit (bad description of correlation)
- An approximate vertex $\Gamma = \begin{cases} \delta + f_{xc}P & \text{for valence} \\ \delta & \text{for conduction} \end{cases}$ can correct the self-screening...but not the incorrect atomic limit
- The approximate vertex (for valence) is built from TDDFT with the total f_{xc} and not only with the excitonic part $f_{xc}^{eff} = f_{xc} - f_{xc}^{QP}$

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