TDDFT for finite systems: Tales from the dark side

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http://dft.uci.edu

TDDFT publications in recent years

Search ISI web of Science for topic 'TDDFT'

TDDFT pubs



• Warning! By 2300, entire mass of universe will be TTDFT papers

Nov 17, 2009

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Recent reviews of TDDFT

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THE JOURNAL OF CHEMICAL PHYSICS 122, 1 (2005)

Time-dependent density functional theory: Past, present, and future

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Time-dependent density functional theory (TDDFT) is presently enjoying enormous popularity in quantum chemistry, as a useful tool for extracting electronic excited state energies. This article discusses how TDDFT is much broader in scope, and yields predictions for many more properties. We discuss some of the challenges involved in making accurate predictions for these properties. © 2005 American Institute of Physics. [DOI: 10.1063/1.1904586]



Just appeared in Reviews of Compututional Chemistry

Excited states from time-dependent density functional theory

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Time-dependent density functional theory (TDDFT) is presently enjoying enormous popularity in quantum chemistry, as a useful tool for extracting electronic excited state energies. This article explains what TDDFT is, and how it differs from ground-state DFT. We show the basic formalism, and illustrate with simple examples. We discuss its implementation and possible sources of error. We discuss many of the major successes and challenges of the theory, including weak fields, strong fields, continuum states, double excitations, charge transfer, high harmonic generation, multiphoton ionization, electronic quantum control, van der Waals interactions, transport through single molecules, currents, quantum defects, and, elastic electron-atom scattering.

KITP theoretical spectroscopy

2nd Gordon Research Conference, July 6

This paper is published as part of a PCCP Themed Issue on: <u>Time-Dependent Density-Functional Theory</u>



Guest Editors:

Miguel A. L. Marques and Angel Rubio

Overview of ALL TDDFT

1. General Time-dependent Density Functional Theory

- Any e⁻ system subjected to any $V_{ext}(\mathbf{r}t)$
- Only unknown:
- Treat atoms and molecules in INTENSE laser fields

2. TDDFT linear response to weak fields

- Linear response: $\delta \rho(\mathbf{r}t) = \int d^3r' \int dt' \chi(\mathbf{r}\mathbf{r}', t-t') \, \delta v_{\text{ext}}(\mathbf{r}'t')$
- Only unknown: $v_{\rm XC}(\mathbf{r}t)$ near ground state

 $v_{\rm XC}[\rho_0 + \delta\rho](\mathbf{r}\omega) = v_{\rm XC}[\rho_0](\mathbf{r}) + \int d^3r' f_{\rm XC}[\rho_0](\mathbf{rr'}\omega) \,\delta\rho(\mathbf{r'}\omega)$

- Treat electronic excitations in atoms + molecules + solids
- 3. Ground-state Energy from TDDFT
 - •Fluctuation-dissipation theorem: Exc from susceptibility
 - Van der Waals; seamless dissociation

Basic approximation: ALDA

 $v_{\rm xc}^{\rm unif}\left(\rho({\bf r}t)\right)$

Eigenvalue equations

Casida's matrix formulation (1996)

KITP theoretical spectroscopy

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Transitions in TDDFT response

In this equation, f_{HXC} is the Hartree-exchangecorrelation kernel, $1/|\mathbf{r}-\mathbf{r}'| + f_{XC}(\mathbf{r},\mathbf{r}',\omega)$, where f_{XC} is the unknown XC kernel



Adiabatic approximation

 $v_{\rm xc}[n,\Psi(0),\Phi(0)](\boldsymbol{r},t) = v_{\rm KS}[n,\Psi(0),\Phi(0)](\boldsymbol{r},t) - v_{\rm ext}(\boldsymbol{r},t) - v_{\rm H}[n](\boldsymbol{r},t)$

$$v_{\mathrm{xc}}^{\mathrm{adia}}[n](\boldsymbol{r},t) = v_{\mathrm{xc}}^{\mathrm{GS}}[n_{\mathrm{GS}}](\boldsymbol{r})|_{n_{\mathrm{GS}}(\boldsymbol{r}')=n(\boldsymbol{r}',t)}$$

$$v_{\rm xc}^{\rm adia}[n](\boldsymbol{r},t) = v_{\rm xc}^{\rm approx}[n(t)](\boldsymbol{r})$$

KS potential in LDA



Benzene: Fruitfly of TDDFT



First-principles densityfunctional calculations for optical spectra of clusters and nanocrystals

I. Vasiliev, S. Ogut, and J.R. Chelikowsky, Phys. Rev. B 65, 1 15416 (2002).

Basic points

- TDDFT
 - is an addition to DFT, using a different theorem
 - allows you to convert your KS orbitals into optical excitations of the system
 - for excitations usually uses ground-state approximations that usually work OK
 - has not been very useful for strong laser fields
 - is in its expansion phase: Being extended to whole new areas, not much known about functionals
 - with present approximations has problems for solids
 - with currents is more powerful, but harder to follow
 - yields a new expensive way to get ground-state E_{xc} .

Old Rutgers DFT group



KITP theoretical spectroscopy

Students and postdocs in TDDFT

- Paul Hessler high-school teacher
- Heiko Appel postdoc with diVentra
- Neepa Maitra Hunter college –talks Monday morning
- Rene Gaudoin postdoc with Pitarke in San Sebastian
- Adam Wasserman chem prof at Purdue
- Fan Zhang?
- Maxime Dion ??

Meta van Faassen









Finite systems for fundamentals

- RG theorem requires a surface condition, so original theorem only proven for finite systems.
- Should always ask "what is case for finite systems, and then what are complications in thermodynamic limit?"

Scaling relations in TDDFT

• Hessler, Park, (PRL 99): Scaling relations in TDDFT

$$v_{\rm XC}^{\lambda}[n](\mathbf{r}t) = \lambda^2 v_{\rm XC}[n_{1/\lambda,1/\lambda^2}](\lambda \mathbf{r},\lambda^2 t)$$

- Conservation of power
- Careful definition of adiabatic effects
- Complete breakdown of adiabatic approximation.
- Maxime Dion did current generalization, PRA 05.
- See also *Exact conditions* in TDDFT book.

Does functional depend on initial state?

- Demonstration of initial-state dependence (Maitra, PRA 01).
- Also applies in Floquet formulation (Maitra, CPL 02, CPL 08).



Can any initial-state dependence be written as memory?

• Relation between initial state and memory effects (Maitra, Woodward, PRL 02).

$$v_{\text{ext}}[n_{t'}, \Psi(t')](\mathbf{r}t) = v_{\text{ext}}[n_0, \Psi(0)](\mathbf{r}t) \quad \text{for } t \ge t',$$
(3)

where

$$n_{t'}(\mathbf{r}t) = n(\mathbf{r}t) \quad \text{for } t \ge t',$$
 (4)

and $n_{t'}(\mathbf{r}t)$ is undefined for t < t'. To see why this trivial

 Difficulties with pumping to excited states: 1s² -> 1s2p in singlet He!

Demo: Memory effects

 Memory in timedependent Hooke's atom, see Hessler, Maitra, JCP 02.



FIG. 7. A measure of the nonlocality of $\dot{E}_{\rm C}$ with respect to time for the nonresonant run (NR): Contrast the very similar density profiles implied in the top panel near times t=4.8 and 28.9 with the very different values of $\dot{E}c$ there in the graph above. Similar comparisons may be made near t=9.35 and t=24.3.

Excitations in real molecules

- Very large systems, mostly closed shells
- Usefulness is when there are many excitations of same symmetry in same region of spectrum.

Challenges for static DFT of excitations

Rene
 Gaudoin,
 PRL 03.



FIG. 1. Two potentials (upper panel) yielding the same first excited-state density (lower panel).

Success of TDDFT for excited states

- Energies to within about 0.4 eV
- Bonds to within about 1%
- Dipoles good to about 5%
- Vibrational frequencies good to 5%
- Cost scales as N², vs N⁵ for CCSD
- Available now in your favorite quantum chemical code

TABLE III: Performance of various density functionals for the first six singlet excitation energies (in eV) of naphthalene. An aug-TZVP basis set and the PBE/TZVP/RI ground state structure was used. The "best" estimates of the true excitations were from experiment and calculations, as described in text.

Method	$1 \ ^{1}B_{3u}$	$1 \ ^{1}B_{2u}$	$2 {}^{1}A_{g}$	$1 \ ^{1}B_{1g}$	$2^{-1}B_{3u}$	$1 {}^{1}A_{u}$
Pure density functionals						
LSDA	4.191	4.026	5.751	4.940	5.623	5.332
BP86	4.193	4.027	5.770	4.974	5.627	5.337
PBE	4.193	4.031	5.753	4.957	5.622	5.141
Hybrids						
B3LYP	4.393	4.282	6.062	5.422	5.794	5.311
PBE0	4.474	4.379	6.205	5.611	5.889	5.603
"best".	4.0	4.5	5.5	5.5	5.5	5.7

TABLE IV: Performance of various wavefunction methods for the excitations of Table I. The aug-TZVP basis set and the PBE/TZVP/RI ground state structure was used for all except the CASPT2 results, which were taken from Ref. [185]. Experimental results are also from Ref. [185].

Method	$1 \ ^{1}B_{3u}$	$1 \ ^{1}B_{2u}$	$2 {}^{1}A_{q}$	$1 \ ^{1}B_{1q}$	$2 {}^{1}B_{3u}$	$1 \ {}^{1}A_{u}$
CIS	5.139	4.984	7.038	6.251	6.770	5.862
CC2	4.376	4.758	6.068	5.838	6.018	5.736
CASPT2	4.03	4.56	5.39	5.53	5.54	5.54
expt.	3.97, 4.0	4.45, 4.7	5.50, 5.52	5.28, 5.22	5.63, 5.55 5.89	
"best".	4.0	4.5	5.5	5.5	5.5	5.7

TDDFT results for vertical singlet excitations in Naphthalene

Elliot, Furche, KB, Reviews Comp Chem, sub. 07.

Note: For valence excitations, variations in $v_{xc}(r)$ comparable to those in $f_{xc}(r,r')$

Understanding TDDFT response

 Staring at response equations, how they work, and what they mean, tells us much about what is going on.

Eigenvalue equations

Casida's matrix formulation (1996)

KITP theoretical spectroscopy

Nov 17, 2009

First, a little technology: SPA- when excitations are well separated



H. Appel, E.K.U. Gross and K. Burke, Phys. Rev. Lett. 90, 043005 (2003)

Understanding TDDFT response

- Consider matrix in equations:
- Appel, Gross, PRL 03.
- If diagonal, just get KS oscillator strengths, but shifted excitations (small matrix approx)
- Include off-diagonal, but assume small:
 - Change in oscillator strengths is firstorder
 - Change in transition frequencies is second-order

TABLE I. Exact results for the He and Be atoms, using numerically exact ground-state Kohn-Sham potentials.

		Frequency (eV)			Oscillator strength	
Atom	Transition	KS ^a	SM A ^b	Exact	KS	Exact ^c
He	$1s \rightarrow 2p$	21.15	21.23	21.22	0.3243	0.2762
	$1s \rightarrow 3p$	23.06	23.10	23.09	8.47(-2)	7.34(-2)
	$1s \rightarrow 4p$	23.73	23.75	23.75	3.41(-2)	2.99(-2)
	$1s \rightarrow 5p$	24.04	24.05	24.05	1.71(-2)	1.50(-2)
	$1s \rightarrow 6p$	24.21	24.22	24.22	9.8(-3)	8.6(-3)
Be	$2s \rightarrow 2p$	3.61	4.95	5.28	2.5422	1.3750
	$2s \rightarrow 3p$	7.33	7.39	7.46	3.79(-2)	9.01(-3)
	$2s \rightarrow 4p$	8.29	8.31	8.33	2.06(-2)	2.3(-4)
	$2s \rightarrow 5p$	8.69	8.70	8.69	1.08(-2)	8.1(-4)
	$2s \rightarrow 6p$	8.90	8.90	8.90	6.3(-3)	7.5(-4)

^aExact values published previously [17].

^bHybrid SPA results from Ref. [9], converted to SMA.

^cHe numbers are from Ref. [23] and Be numbers from Ref. [24].

Double excitations

- Counting argument: Number of excitations = number of singles
- In special case of single + double strongly coupled: Can reverse engineer and solve 2x2 matrix
- Maitra kernel, JCP 04.
- Butadiene and hexatriene with Bob Cave (CPL 04).
- Id quantum well with Fan Zhang (PRA, 04)

Recapturing the double excitations

What frequency-dependent is needed in f_{HXC} ?

Consider a double excitation, close to a single, and separated from all others





Example: a toy model

2 Fermions in Harmonic Well



Exact Kohn-Sham potential



Results for toy model Transition frequencies (λ =0.2)



Real-life Example: 2¹A_q state of butadiene





Dressed TDDFT equations

The Dressed TDDFT-AB response matrix

=

$$\begin{pmatrix} A + X_{dress} & B + X_{dress} \\ B + X_{dress} & A + X_{dress} \end{pmatrix}$$

$$\begin{array}{l} X_{dress} = \\ \begin{pmatrix} \\ \frac{|\langle 1b_g \rightarrow 2b_g \mid H \mid Double \rangle|^2}{E - E_{double}} \\ \langle 1a_u \rightarrow 2a_u \mid H \mid Double \rangle < Double \mid H \mid 1b_g \rightarrow 2b_g \rangle \\ \hline E - E_{double} \\ \hline E - E_{double} \\ \hline E - E_{double} \\ \hline \end{array} \begin{array}{l} \langle 1b_g \rightarrow 2b_g \mid H \mid Double \rangle < Double \mid H \mid 1a_u \rightarrow 2a_u \rangle \\ \hline E - E_{double} \\ \hline \end{array} \begin{array}{l} \langle 1a_u \rightarrow 2a_u \mid H \mid Double \rangle|^2 \\ \hline \end{array} \begin{array}{l} \langle 1a_u \rightarrow 2a_u \mid H \mid Double \rangle|^2 \\ \hline \end{array} \begin{array}{l} \langle 1a_u \rightarrow 2a_u \mid H \mid Double \rangle|^2 \\ \hline \end{array} \end{array} \begin{array}{l} \langle 1a_u \rightarrow 2a_u \mid H \mid Double \rangle|^2 \\ \hline \end{array} \end{array}$$

Dressed TDDFT results

The Dressed TDDFT-AB response matrix

$$A = \begin{pmatrix} \omega_{a_u} + 2[a_u|f_{HXC}|a_u] & d \\ 2[b_g|f_{HXC}|a_u] & \omega_{b_g} \end{pmatrix}$$

2¹A_g Excitation energies

$$2\left[a_{u}\left|f_{HXC}\right|b_{g}\right]$$
$$\omega_{b_{g}}+2\left[b_{g}\left|f_{HXC}\right|b_{g}\right]\right)$$

Г

X-ray absorption

Measuring the kernel of time-dependent density functional theory with X-ray absorption spectroscopy of 3d transition metals

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> K. Burke Department of Chemistry and Chemical Biology, Rutgers University, 610 Taylor Rd, Piscataway, NJ 08854 (Dated: July 5, 2005)

- Analyze TDDFT results for absorption in bulk transition metals
- Shows novel core-hole interaction.
- PRL 2005.



FIG. 2: The experimental isotropic absorption spectra (solid line) at the $L_{2,3}$ edges are shown for the early 3d TMs Ti, V, and Cr versus Fe. The edge jumps are normalized to unity for direct comparison. The continuum in the experimental spectrum is simulated by a two-step function as shown for Fe (dashed-dotted line). The treatment of the core hole redshifts the independent particle spectrum (dotted line) and changes the statistical branching ratio in the correlated spectrum (dashed line) as revealed by the DPA model.

Double pole approximation

 Appel, Gross, Int J
 Quantum
 Chem 2006.



FIGURE 1. Interacting and Kohn–Sham spectra as function of frequency ($\omega_1 = 9 \text{ eV}$, $M_{12} = 0.2 \text{ eV}$).

Does TDDFT really work?

- Given exact ground-state Kohn-Sham potential, what does TDDFT actually give you?
- Does it give you more than RPA?

How good the KS response is



Quantum defect of Rydberg series

$$\omega_{nl} = I - \frac{1}{2(n - \mu_{nl})^2}$$

- I=ionization potential, n=principal, l=angular quantum no.s
- Due to long-ranged Coulomb potential
- Effective one-electron potential decays as -1/r.
- Absurdly precise test of excitation theory, and very difficult to get right.

Be s quantum defect: expt



Top: triplet, bottom: singlet

Be s quantum defect: KS



Be s quantum defect: RPA



Be s quantum defect: ALDAX



Be s quantum defect: ALDA



Problems with approximate groundstate potential

- Folklore:
 - Biggest errors come from error in ground-state potential
 - Unbound states are meaningless
 - You cannot get Rydberg series from LDA/GGA/hybrid potentials

Good oscillator strengths from LDA

 Wasserman and Maitra show how to get accurate oscillator strengths from LDA (PRL 03)



FIG. 1. Oscillator strengths (in inverse Hartrees) for the $2p \rightarrow ns$ transitions in Ne as a function of photon energy (in Hartrees), from the exact KS potential, and from the LDA one. The discrete spectrum has been multiplied by the density-of-states factor (see text).

More about LDA osc str







FIG. 3 (color online). Ne atom: the top curve shows the difference between the LDA and exact XC potential. The bottom curves show $v_{\rm S}^{\rm exact}$ and $v_{\rm S}^{\rm LDA}$. In the valence region (shaded) the two potentials run almost parallel.

Rydberg series in He atom



FIG. 6. He atom: The top panel shows the bare exact KS and LDA spectra, and the lower panel shows the TDDFT corrected spectra, LDA/ALDA results are from [29] but unshifted; the exact calculations are from [30], multiplied by the density of states factor (see text), and the experimental results are from [31]

Truncation tricks II

 Wasserman shows how to get accurate Rydberg transition frequencies from LDA potentials (PRL 05)

$$\frac{d\ln\phi_{>r_0}}{dr} = \frac{1}{n^*} - \frac{n^*}{r} - \frac{1}{r} \frac{U(-n^*;2;2r/n^*)}{U(1-n^*;2;2r/n^*)}.$$
 (3)

Here k was written as $k = (n^*)^{-1}$, with $n^* = (n - \mu_n)$,



Rydberg series of LDA in Ne

TABLE I: Transition frequencies (in eV) for the first six discrete $2p \rightarrow ns$ transitions in Ne, from the exact and LDA KS potentials.

trans.	transition frequency		
	LDA	exact	
$2p \rightarrow 3s$	16.468	16.604	
$2p \rightarrow 4s$	19.603	19.666	
$2p \rightarrow 5s$	20.534	20.561	
$2p \rightarrow 6s$	20.931	20.945	
$2p \rightarrow 7s$	21.135	21.143	
$2p \rightarrow 8s$	21.255	21.260	

From Wasserman and KB, PRL 05.

Electron scattering from TDDFT

- Huge interest in low energy scattering from biomolecules, since resonances can lead to cleavage of DNA
- Traditional methods cannot go beyond 13 atoms
- Can we use TDDFT? Yes!

Review of scattering work

PERSPECTIVE

www.rsc.org/pccp | Physical Chemistry Chemical Physics

Time-dependent density functional theory of high excitations: to infinity, and beyond⁺

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We review the theoretical background for obtaining both quantum defects and scattering phase shifts from time-dependent density functional theory. The quantum defect on the negative energy side of the spectrum and the phase shift on the positive energy side merge continuously at E = 0, allowing both to be found by the same method. We illustrate with simple, one-dimensional examples: the spherical well and the delta well potential. As an example of a real system, we study in detail elastic electron scattering from the He⁺ ion. We show how the results are influenced by different approximations to the unknown components in (time-dependent) density functional theory: the ground state exchange–correlation potential and time-dependent kernel. We also revisit our previously obtained results for e–H scattering. Our results are remarkably accurate in many cases, but fail qualitatively in others.

Simple scheme for spherical case

- Eg e- scattering from H.
- Put H- into spherical box, and consider E>0 states.
- Old formula due to Fano (1935):

$$\delta_{\alpha} = -k_{\alpha} R_b + \alpha \pi \quad (l=0)$$

• Exact for any R_b beyond potential.

Is KS a good starting place?



FIG. 1: Accurate quantum chemical singlet and triplet sphase shifts [19], together with the KS values, calculated with a wall at 15 a.u. and at 100 a.u..

Is the LDA potential good enough?



FIG. 2: The exact, exact-exchange, and LDA KS-potentials for $\mathrm{H}^-.$



TDDFT corrections



FIG. 4: Singlet and triplet TDDFT curves from an SPA and full ALDA calculation, together with the KS values and accurate quantum chemical data from Ref. [19]. The ground state KS potential is exact-exchange. The wall location in all calculations is at 100 a.u.

$$k^{2l+1} \cot \delta_l(k) = -\frac{1}{a_l} + \frac{1}{2}r_{el}k^2 + O(k^4)$$

TABLE I:	TDDFT	scattering	lengths
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	Singlet a	Triplet a
Accurate data ^{a}	5.97	1.77
ALDA SPA	9.7	1.8
ALDA	5.6	2.0^{b}

^aAccurate variational calculations from [19]

 ${}^{b}\mathrm{This}$ is the value as obtained from our tangent approximation as explained in the text

Summary

• Finite systems good for establishing principles.

• Much to understand of a single-body nature.

• Scattering important inside solids

• Thanks to NSF and all students.