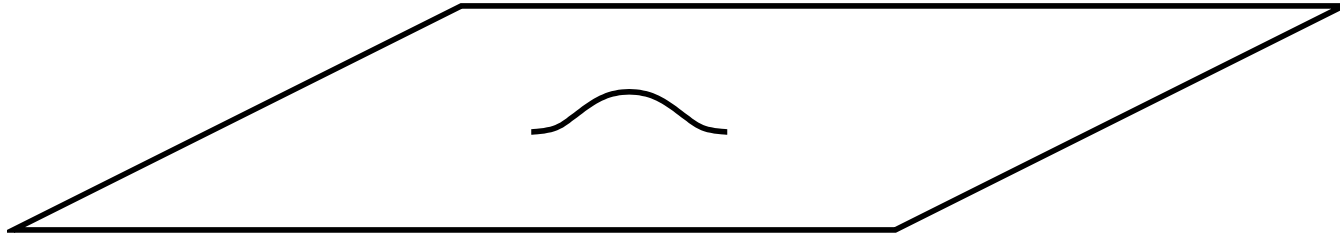


# Quantum Loop Gas Approach to Topological States of Matter

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ITP, June 7, 2004

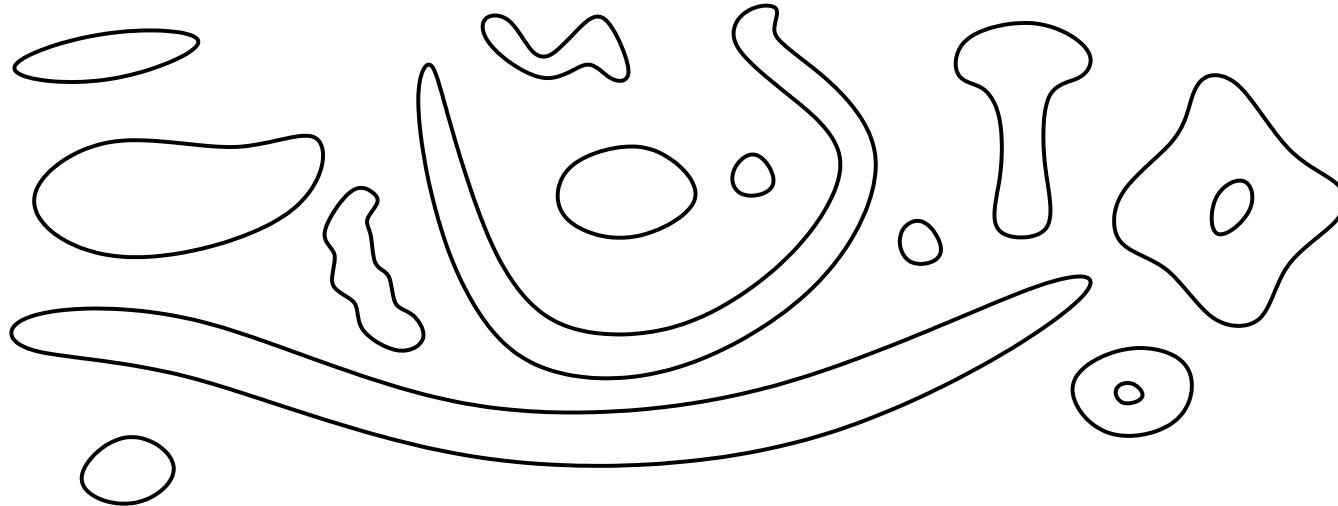
An elastic medium is a simple caricature describing many states of matter:



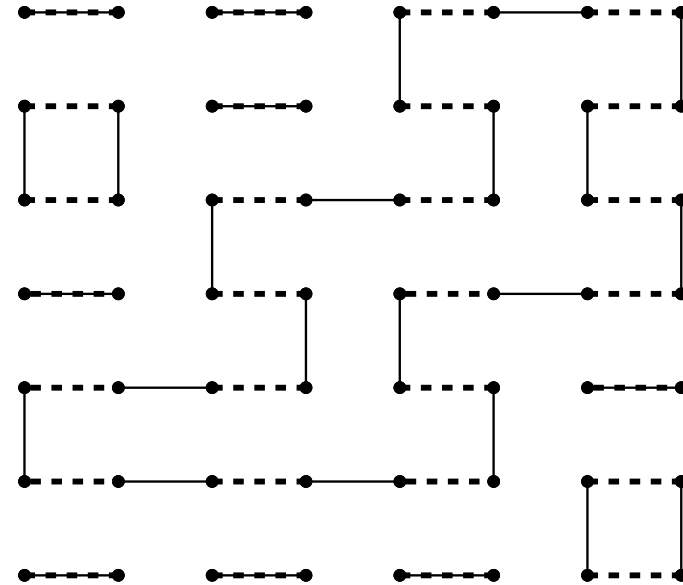
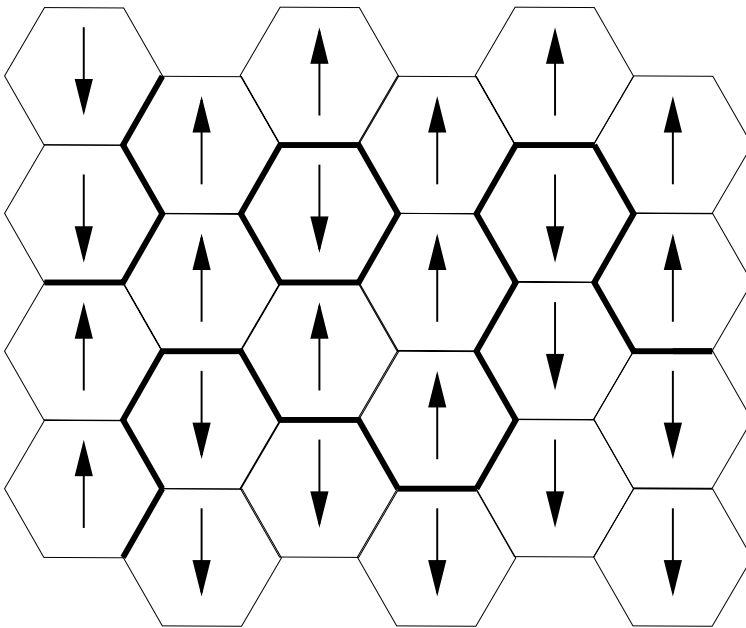
The ground state breaks a symmetry, and the low-lying excitations can be thought of as ripples in the medium which tend to restore the symmetry. They are gapless because in the long-wavelength limit, such a ripple is a symmetry operation.

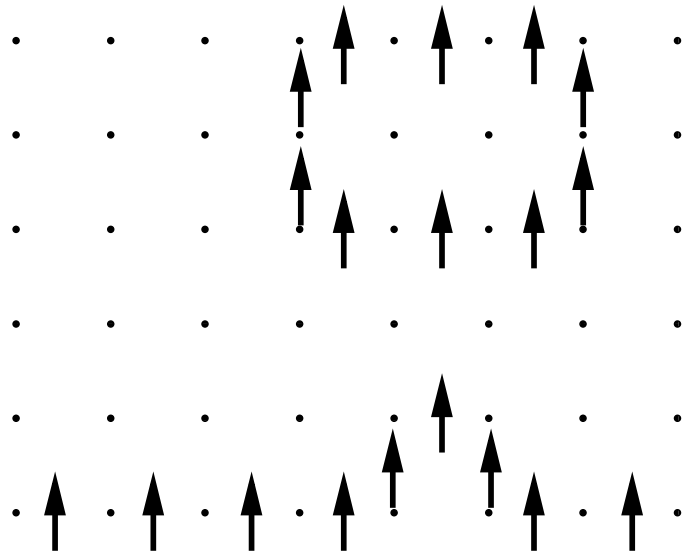
## Quantum Loop Gases

In this talk, I will describe states of matter for which the appropriate caricature is a sea of fluctuating loops.



- The loops may arise as domain walls, dimers, chains of up-spins or occupied sites, etc.





Kitaev:

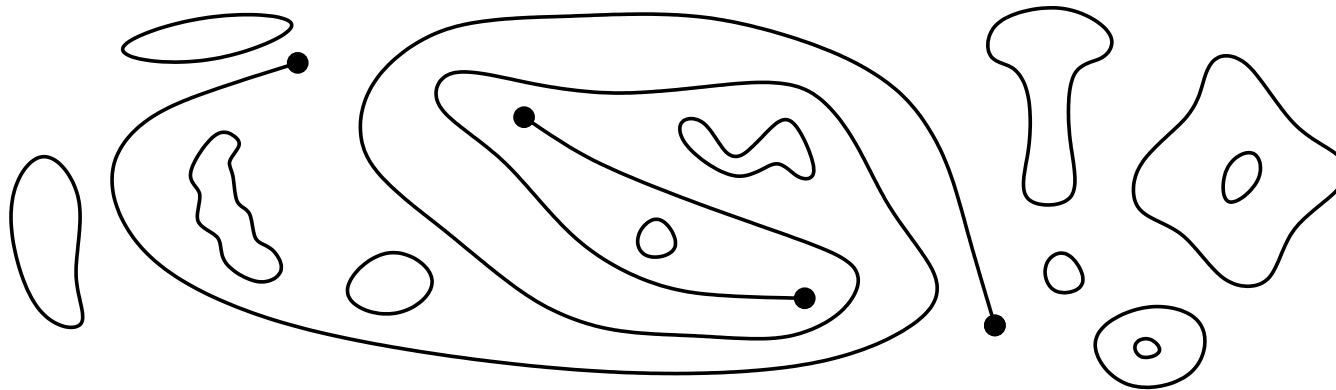
$$H = -J_1 \sum_i A_v - J_2 \sum_p F_p$$

$$A_v \equiv \prod_{\alpha \in \mathcal{N}(v)} \sigma_{\alpha}^z$$

$$F_p \equiv \prod_{\alpha \in p} \sigma_{\alpha}^x$$

- The loops obey a certain quantum dynamics; depending on the topological rules it imposes, the state may be a stable, gapped topological state or a gapless critical point.

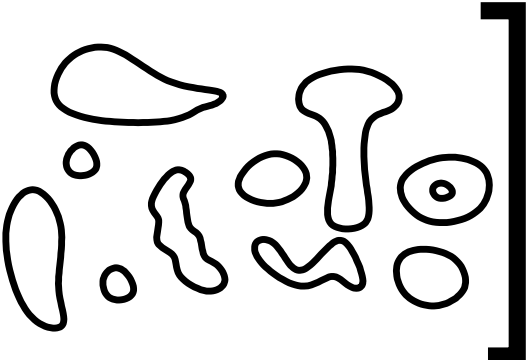

- Excitations are violations of these rules, e.g. broken loops:



- The rules obeyed by loops determine the braiding properties of the quasiparticles, ground state degeneracy, etc.

## Basic Structure of a Class of Theories

- Wavefunctions  $\Psi[\alpha]$  on multi-loops  $\alpha$  which are invariant under smooth deformations of the loops.

$$\Psi \left[ \text{Diagram 1} \right] = \Psi \left[ \text{Diagram 2} \right]$$


We would expect this for any topological phase.

- A ‘fugacity’  $d$  for small, contractible loops.

$$\Psi \left[ \text{diagram with a small loop} \right] = d \cdot \Psi \left[ \text{diagram without the small loop} \right]$$

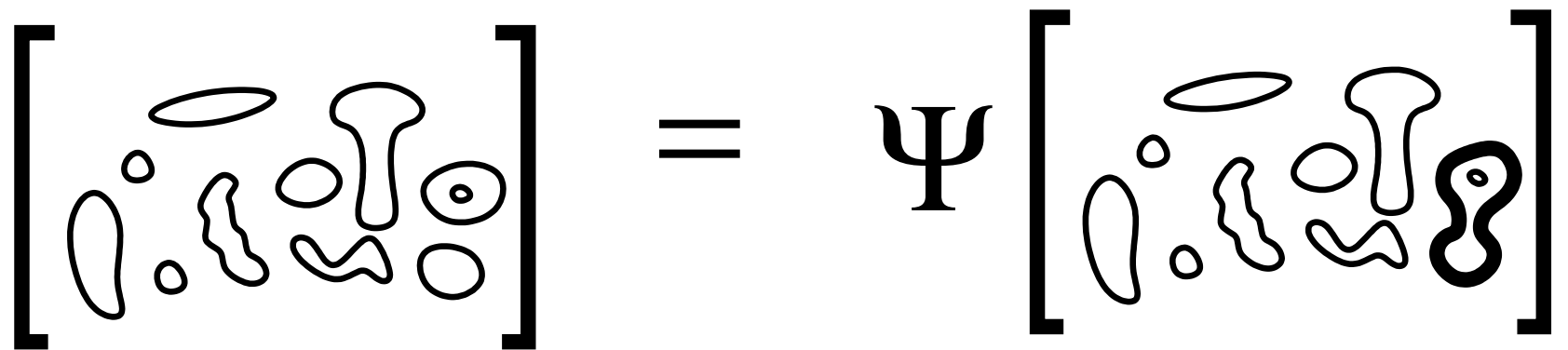
In Kitaev’s model,  $d = 1$ .

Without such a relation, the ground state would be degenerate even on the sphere.



- Invariance of the wavefunction under a ‘surgery relation’ which cuts and rejoins loops,

e.g.

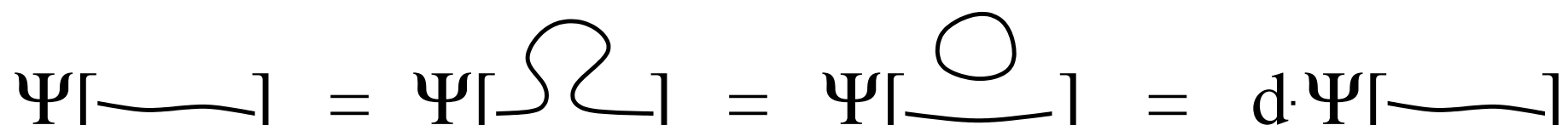
$$\Psi \left[ \text{diagram 1} \right] = \Psi \left[ \text{diagram 2} \right]$$


Without such a relation, the ground state would be infinitely degenerate on the torus.

**By generalizing the latter two conditions, we will construct a family of topological states of matter, all of which can be described as quantum loop gases.**

## Consistency Conditions for Quantum Loop Gases

If  $d \neq 1$ , then the surgery relation must be modified or else there is a contradiction:

$$\Psi[\text{---}] = \Psi[\text{---}] = \Psi[\text{---}] = d \cdot \Psi[\text{---}]$$
The diagrammatic equation shows four terms separated by equals signs. The first term is a wavy line with a small loop on top. The second term is a wavy line with a larger loop on top. The third term is a wavy line with a circle on top. The fourth term is a wavy line with a small loop on top, multiplied by the letter 'd'.

Hence, we must look at surgery relations involving 3, 4, ... curves.

**Important Mathematical Result:** For almost all  $d$ , there is **no consistent surgery relation**.

Consistent surgery relations can be found only

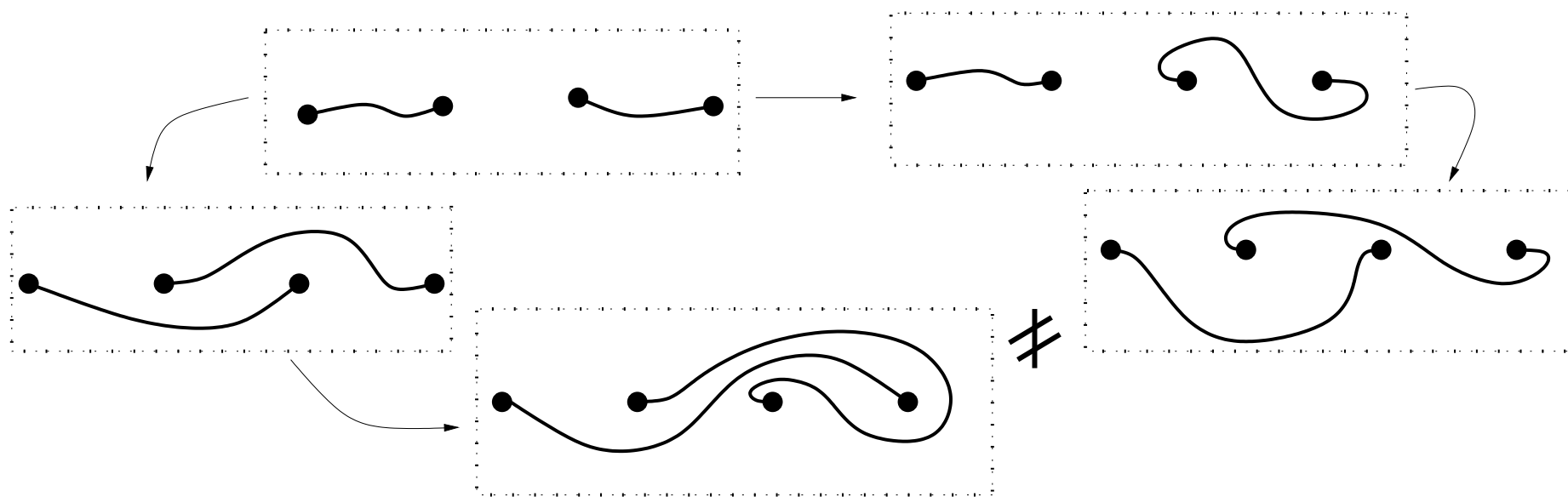
for  $d = 2 \cos \left( \frac{\pi}{k+2} \right)$  (Jones-Wenzl projectors)

e.g. for  $d = \sqrt{2}$ ,

$$\Psi[\text{||||}] - \sqrt{2} \Psi[\text{)|})] - \sqrt{2} \Psi[\text{)|})] + \Psi[\text{)|})] + \Psi[\text{)|})] = 0$$

## Surgery and Physical Properties

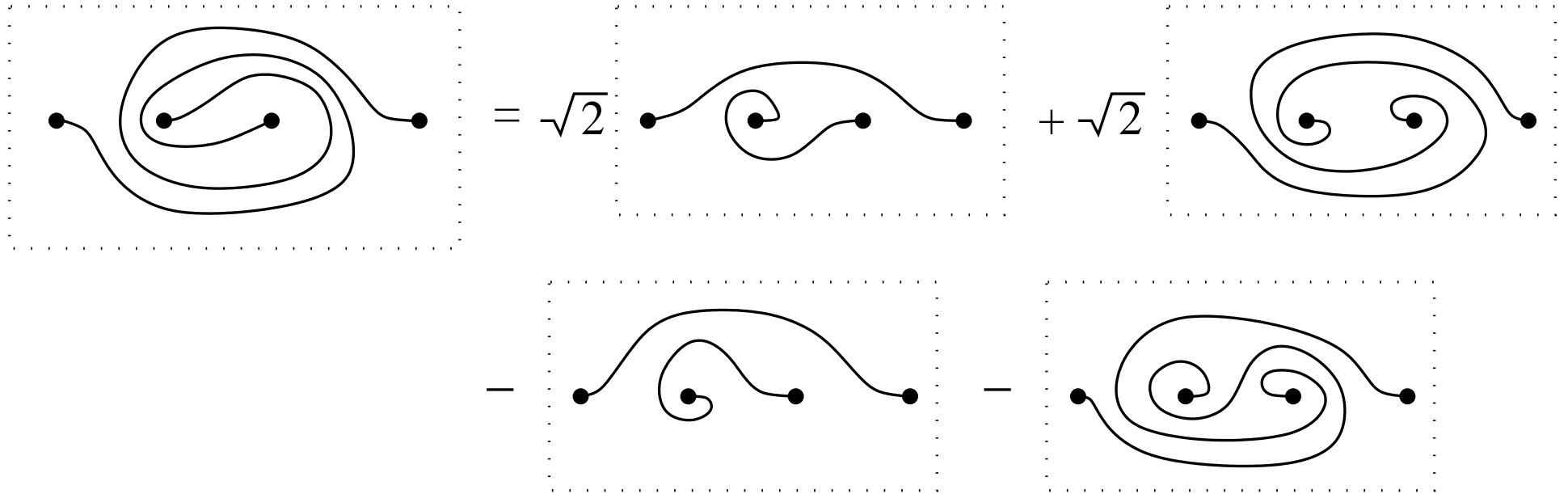
For a given  $k$ , the value  $d$  assigned to a contractible loop and the associated  $k + 1$ -curve surgery relation defines a **topological state**.



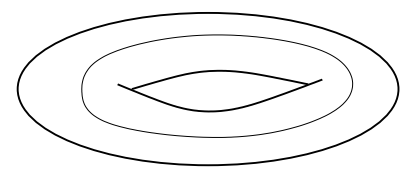
e.g. for  $k=2$ ,

$$\Psi[ \text{||||} ] - \sqrt{2} \Psi[ \text{)|\(\)} ] - \sqrt{2} \Psi[ \text{)\(\)} ] + \Psi[ \text{)\(\)} ] + \Psi[ \text{)\(\)} ] = 0$$

$\Rightarrow$



## 9 Ground States on $T^2$ :



## Field Theoretic Description

- The associated field theories are gauge theories.
- Braiding statistics from the generalized Aharonov-Bohm effect
- Wilson loop operators act in a simple ‘pictorial’ manner on the argument of wavefunctions.
- Unoriented loops are a feature of  $SU(2)$ .

# 'Doubled' $SU(2)_k$ Chern-Simons theories.

$$S_{\text{CS}} = \frac{k}{4\pi} \int \text{tr} \left( a \wedge da + \frac{2}{3} a \wedge a \wedge a \right)$$

Important gauge-invariant operators:

$$W[\gamma] \equiv \text{tr} \left( \mathcal{P} e^{i \oint_{\gamma} \mathbf{a}^c T^c \cdot d\mathbf{l}} \right)$$

Their commutator algebra:

$$[W[\gamma], W[\gamma']] = 2 \sin \left( \frac{\pi}{2(k+2)} \right) \sum_i (W[\gamma \circ_i \gamma'] - W[\gamma' \circ_i \gamma])$$



# Algebra of Wilson Loops

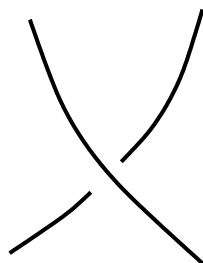
Can be represented on isotopy,  $d$ , surgery-invariant  $\Psi[\alpha]$  if:

$$W_+[\gamma] \Psi[\beta] = \Psi[\beta \star \gamma]$$

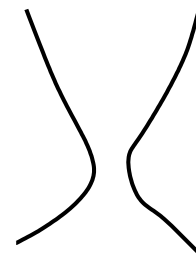
where  $\alpha \star \gamma = \alpha \cup \gamma$  with intersections resolved by:

$$\Psi[\alpha] = A\Psi[\alpha'] + A^{-1}\Psi[\alpha'']$$

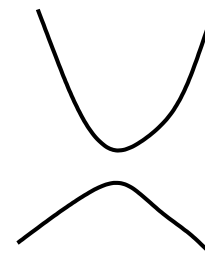
$$A = i \exp(\pi i/2(k+2))$$



$\alpha$



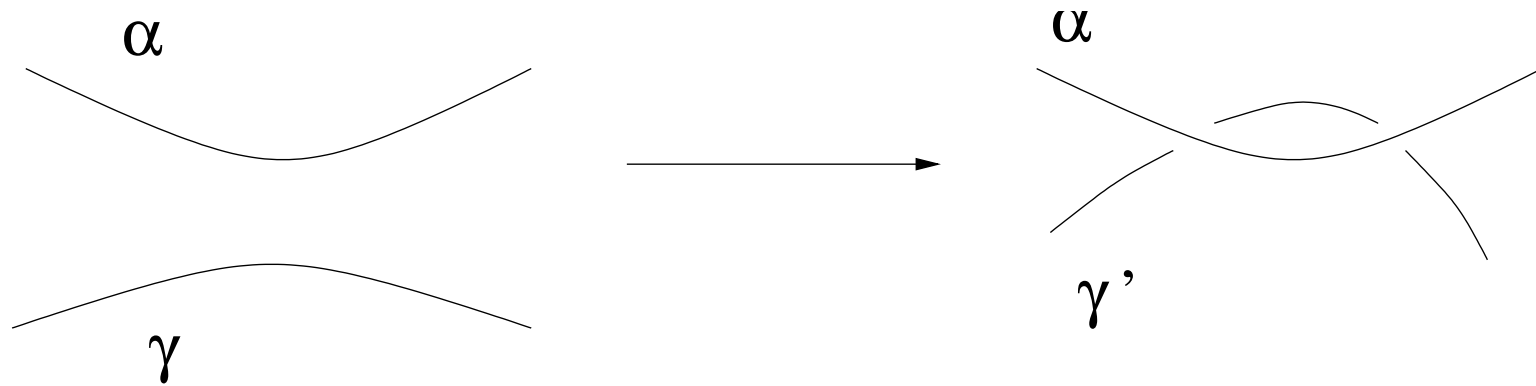
$\alpha'$



$\alpha''$

This guarantees that the desired commutation relations are obeyed. It also fixes  $d$ .

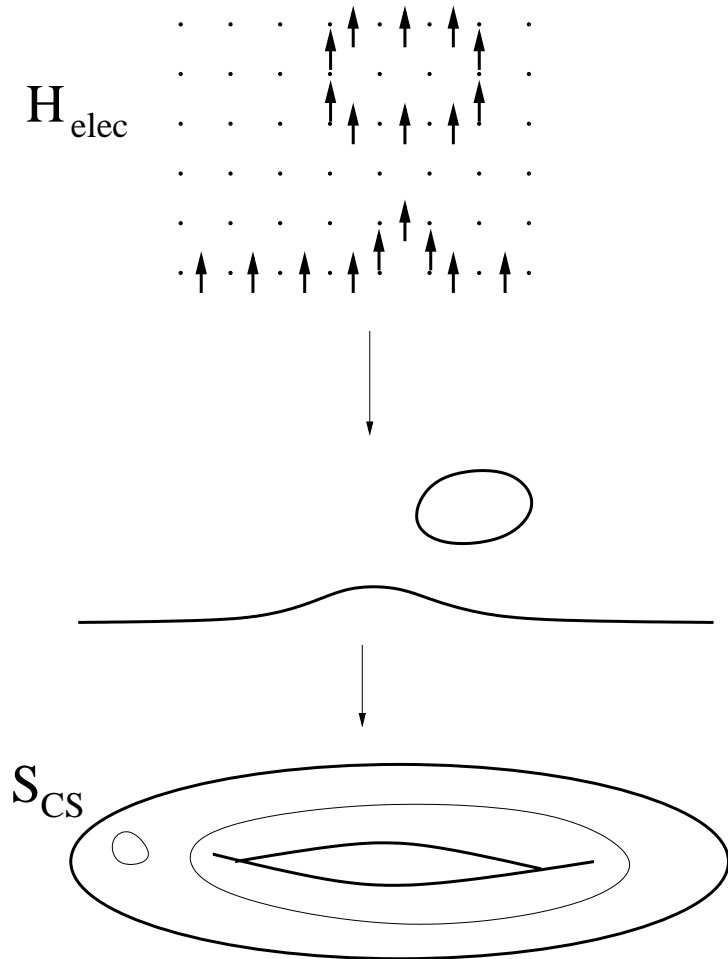
Suppose we deform  $\gamma$  into  $\gamma'$  which has two new intersections with  $\alpha$ ,



Using the resolution of crossings, we see that  $\Psi[\alpha \star \gamma] = \Psi[\alpha \star \gamma']$  iff

$$d = -A^2 - A^{-2} = 2 \cos \left( \frac{\pi}{k+2} \right)$$

# Descriptions at Different Scales



Short scales: electrons/spins  
at points (0-D)

Intermed. scales: fluctuating  
curves/loops (1-D)

Long scales: degenerate  
ground states on genus- $g$   
surfaces (2-D)

## Intermediate Scales $\sim$ Nearby Critical Point

Intermed. length scale physics: '*d*-isotropy'.

Long-wavelength physics: Jones-Wenzl surgery relations restrict winding numbers and det. the energy gap.

**A nearby critical point might determine intermediate length scale physics.**

## $d$ -isotopy Hamiltonians

Spins on the links of the honeycomb lattice:

$$\begin{aligned}
 H_{d\text{-iso}} = & \sum_v \left( 1 + \prod_{i \in \mathcal{N}(v)} \sigma_i^z \right) + \sum_p \left[ \frac{1}{d^2} \left( F_p^0 \right)^\dagger F_p^0 + \left( F_p^0 \right)^\dagger F_p^0 - \frac{1}{d} F_p^0 - \frac{1}{d} \left( F_p^0 \right)^\dagger \right. \\
 & + \left( F_p^1 \right)^\dagger F_p^1 + \left( F_p^1 \right)^\dagger F_p^1 - F_p^1 - \left( F_p^1 \right)^\dagger \left( F_p^2 \right)^\dagger F_p^2 + \left( F_p^2 \right)^\dagger F_p^2 - F_p^2 - \left( F_p^2 \right)^\dagger \\
 & \left. \left( F_p^3 \right)^\dagger F_p^3 + \left( F_p^3 \right)^\dagger F_p^3 - F_p^3 - \left( F_p^3 \right)^\dagger \right]
 \end{aligned}$$

$$\begin{aligned}
 F_p^0 &= \sigma_1^- \sigma_2^- \sigma_3^- \sigma_4^- \sigma_5^- \sigma_6^- , & F_p^1 &= \sigma_1^+ \sigma_2^- \sigma_3^- \sigma_4^- \sigma_5^- \sigma_6^- + \text{cyclic perm.} \\
 F_p^2 &= \sigma_1^+ \sigma_2^+ \sigma_3^- \sigma_4^- \sigma_5^- \sigma_6^- + \text{c. p.} , & F_p^3 &= \sigma_1^+ \sigma_2^+ \sigma_3^+ \sigma_4^- \sigma_5^- \sigma_6^- + \text{c. p.}
 \end{aligned}$$

Up-spins again form closed loops which satisfy  $d$ -isotopy, but without surgery.

## Ground State and Stat. Mech. Analogy

$$|\Psi_0\rangle = \sum_{\alpha} d^{n_{\alpha}} |\alpha\rangle$$

Can be interpreted as a Loop Gas of fugacity  $d^2$ :

$$\boxed{\sum_{\alpha} |\Psi[\alpha]|^2 = Z_{\text{O}(n)}(x = n)} \quad \text{where} \quad n = d^2$$

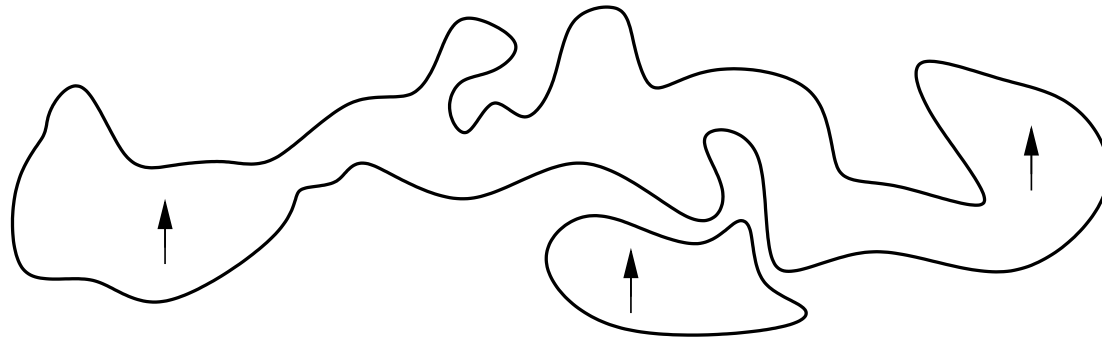
$$Z_{\text{O}(n)}(x) = \int \prod_i d\hat{S}_i \prod_{\langle i,j \rangle} (1 + x \hat{S}_i \cdot \hat{S}_j) = \sum_{\alpha} \left(\frac{x}{n}\right)^{\ell_{\alpha}} n^{n_{\alpha}}$$

## Ground State Properties

For  $x = n$ , the  $O(n < 2)$  loop model is in its critical low-temperature phase.

- Loops meander over long distances with exponents  $\eta_k = \frac{g}{4}k^2 - \frac{1}{g}(1-g)^2$  where  $n = -2 \cos(\pi g)$
- The  $x \rightarrow \infty$  limit is the FK rep. of the *critical*  $q = n^2$  state Potts model, which has the same exponents as the *low-temp.*  $O(n)$  model.

- The ground state of  $H_{d-\text{iso}}$  contains long loops characterized by exponents  $\eta_k$  for  $d \leq \sqrt{2}$ , which arise in correlators of **non-local operators** – *referring to the same loop*.



- However, correlation functions of local ops.  $\vec{\sigma}$  are **short-ranged**.
- **A ‘Quasi-Topological Phase’.**



## Low-Energy Excitations

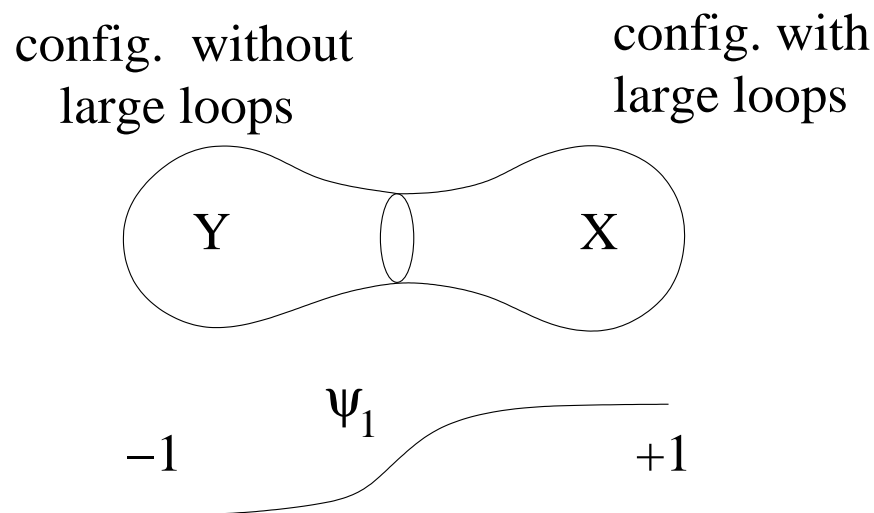
Trial wavefunction:

$$|\Psi_1\rangle = \sum_{\alpha \in X} d^{n_\alpha} |\alpha\rangle - \sum_{\alpha \in Y} d^{n_\alpha} |\alpha\rangle$$

$X$  = configs. with long loops;  $Y$  = without.

Since the  $O(n)$  model is critical for  $n \leq 2$ , we can define 'long' so that the prob. of a config. with a long loop is  $1/2$ . Then  $\langle \Psi_1 | \Psi_1 \rangle = 0$ .

$\langle \Psi_1 | H_{d\text{-iso}} | \Psi_1 \rangle = 0$  because the two sectors of configuration space are not directly connected by the Hamiltonian, i.e. there is a bottleneck.



**This is a critical line parametrized by  $d \leq \sqrt{2}$ .**

## Low-Energy Field Theory

An effective field theory would help us address stability, dynamics, etc.

- $\omega \sim k^2$
- SU(2) gauge theory
- Local operators equal-time correlations are short-ranged, but non-local operators have power-laws  $\eta_k = \frac{g}{4}k^2 - \frac{1}{g}(1-g)^2$ .

The first two requirements motivate the guess:

$$S = \frac{1}{g^2} \int d^2x d\tau \left( E_i^a \partial_\tau A_i^a + A_0^a D_i E_i^a + \frac{1}{2} E_i^a D^2 E_i^a + \frac{1}{2} B^a B^a \right)$$

But is this interacting theory actually critical?

The first two requirements motivate the guess:

$$S = \frac{1}{g^2} \int d^2x d\tau \left( E_i^a \partial_\tau A_i^a + A_0^a D_i E_i^a + \frac{1}{2} E_i^a D^2 E_i^a + \frac{1}{2} B^a B^a \right)$$

But is this interacting theory actually critical?

At one-loop,

$$\frac{dg}{d\ell} = 0$$

**This theory is also on a critical line.**

For  $g$  small, the perturbations  $\lambda_1(E_i^a E_i^a)^2 + \lambda_2(E_i^a E_j^a)^2$  have runaway flows. This presumably corresponds to  $d \geq 2$ . *The classical limit is massive, as in the  $q$ -state Potts/ $O(n)$  models.*

If the conjecture is correct, then for  $g$  sufficiently large, these become irrelevant, and in this regime we expect

$$\langle W[\gamma] \rangle = d$$

$$\left\langle \text{tr} \left( E_i(x) \mathcal{P} e^{i \int_0^x a} E_j(0) \mathcal{P} e^{i \int_x^0 a} \right) \right\rangle \sim \frac{1}{|x|^{\eta_2}} \delta_{ij}$$

## Future Directions

With the pictorial-combinatorial description of topological phases in hand, there are many open questions which one hopes to address.

- Electrons, topology, statistical mechanics, gauge theories ... computer science.
- The pictorial representation motivates certain types of microscopic models.

## **Perturbing away from soluble models, towards more realistic ones.**

- Imposing the Jones-Wenzl relations.
- *Stability of  $d$ -isotopy critical line.*

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