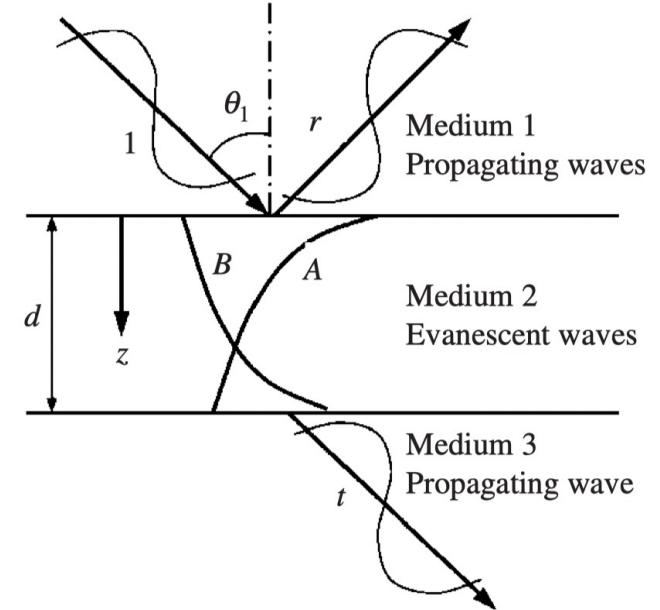
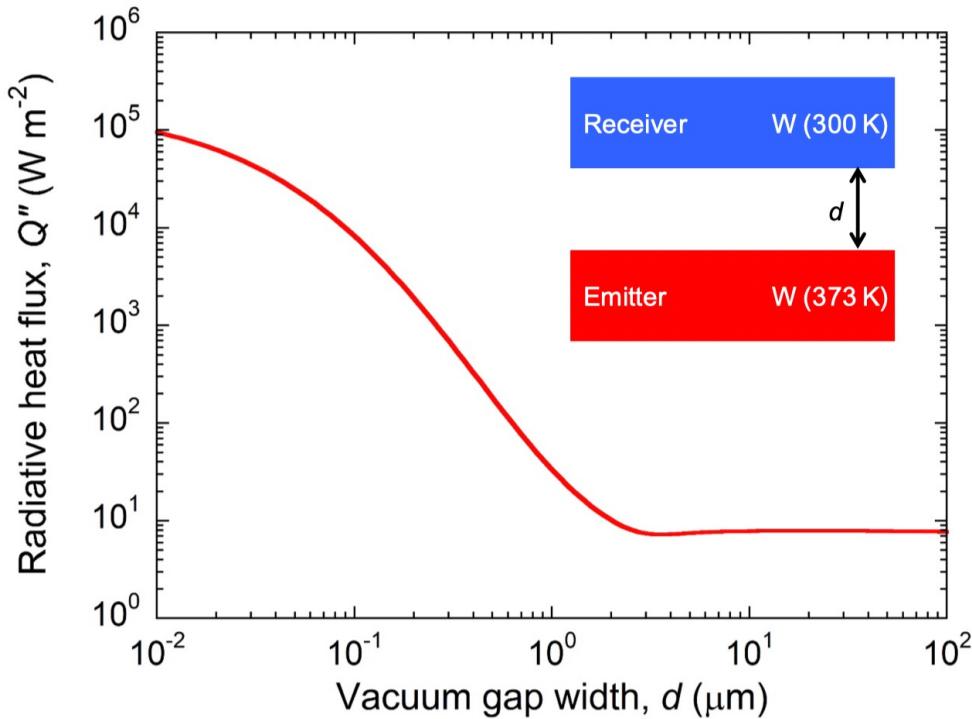


Experiments of Near-Field Thermal Radiation and Energy Conversion

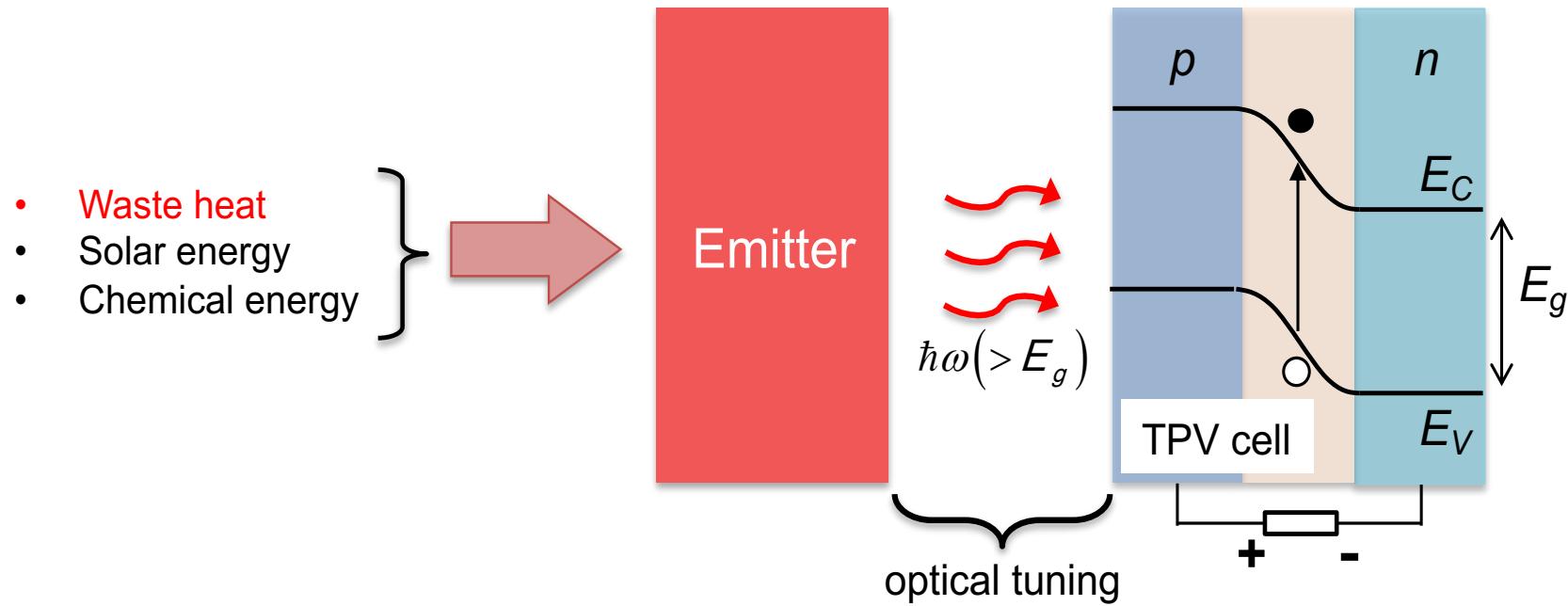
Bong Jae Lee, Ph.D.

Department of Mechanical Engineering
KAIST, South Korea

Near-Field Thermal Radiation



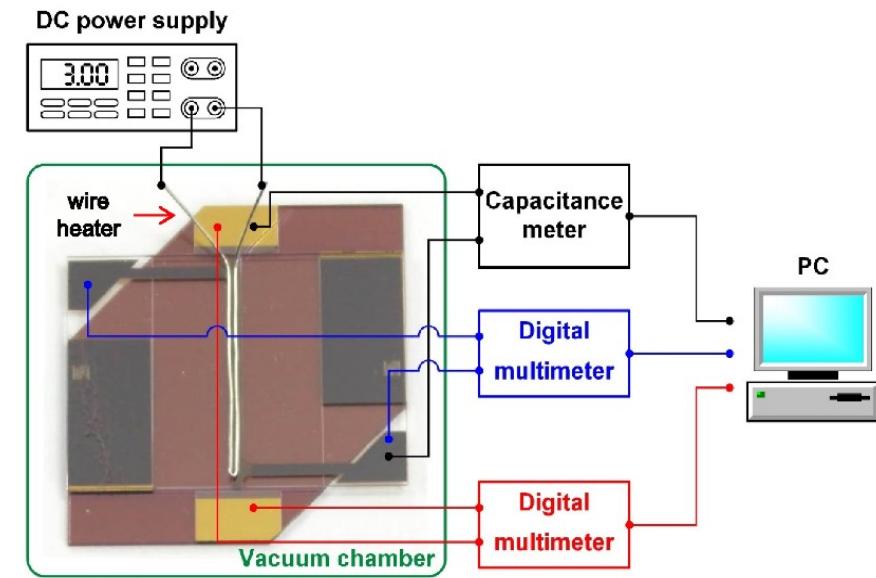
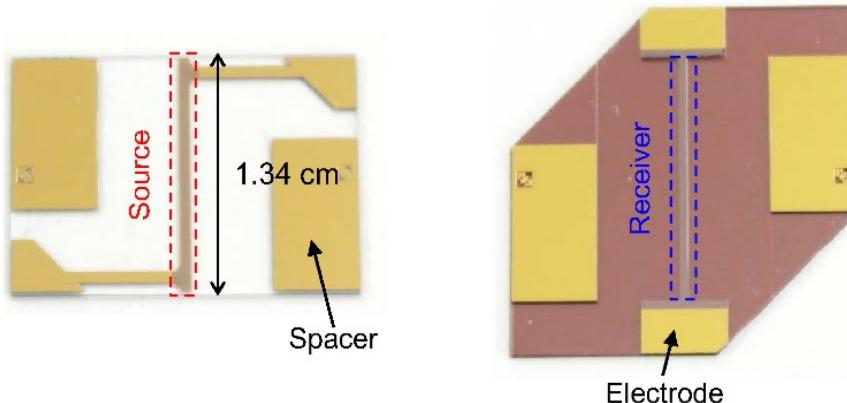
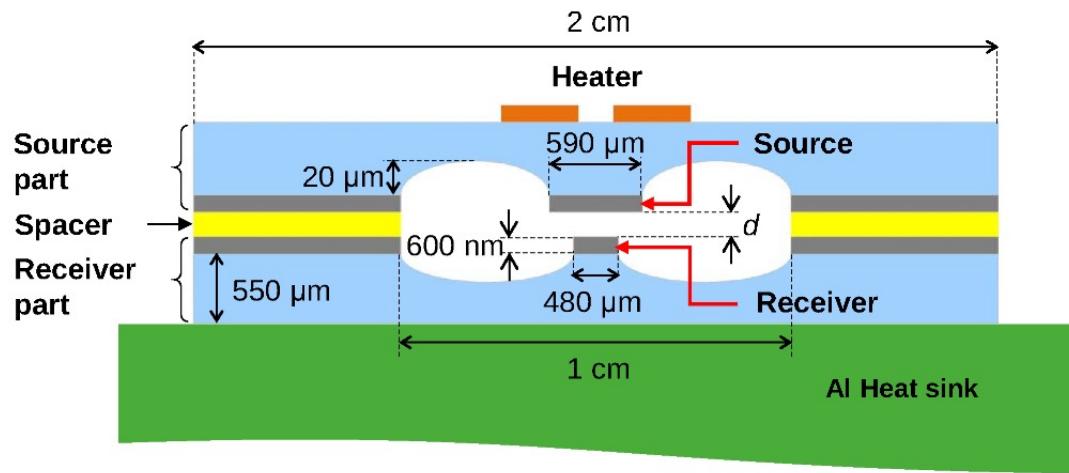
Motivation: Near-Field TPV Device



For realizing NF-TPV device, we need

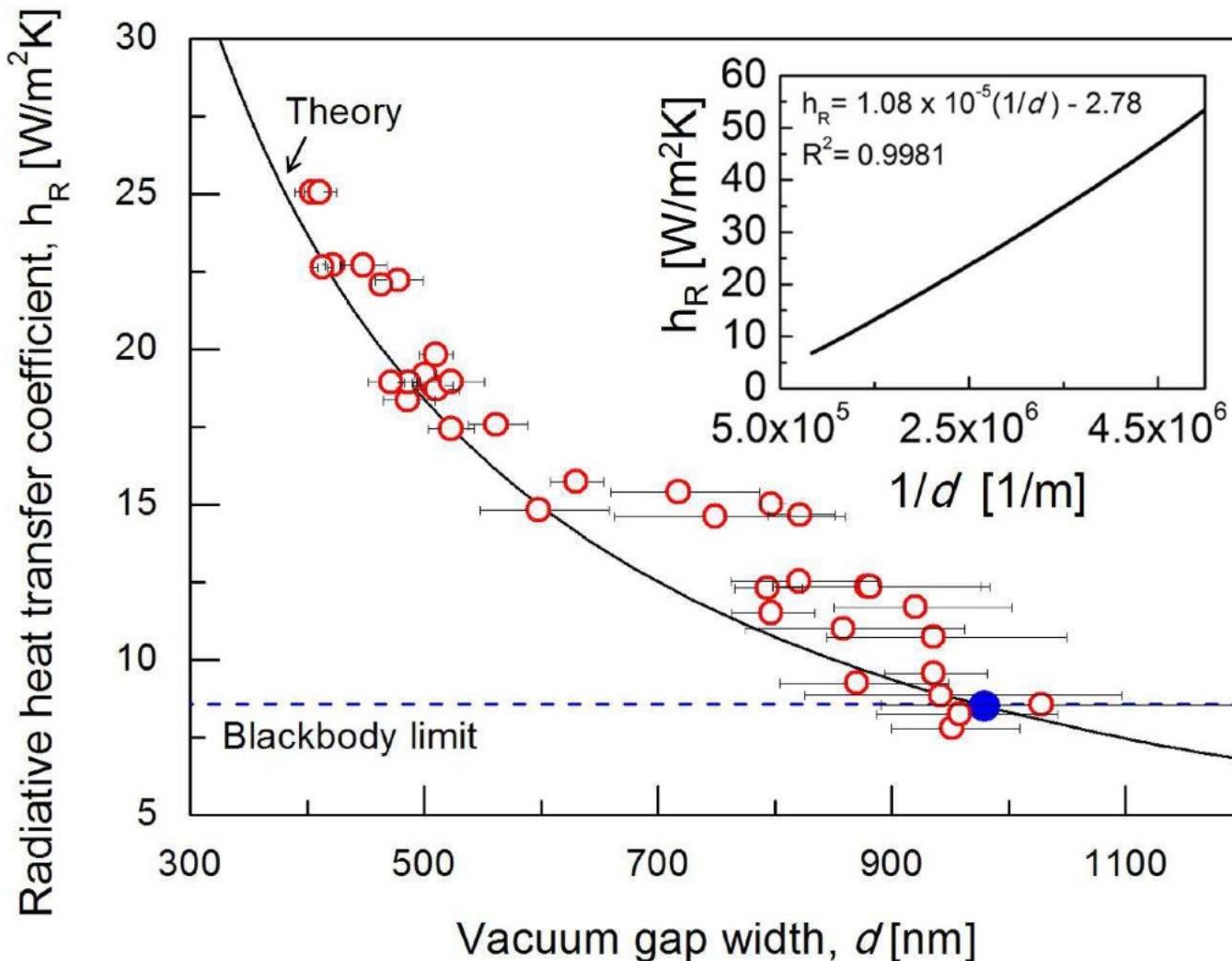
- source temperature to be as high as possible
- vacuum gap to be as small as possible
- surface area to be as wide as possible

MEMS-Based Platform for NFRHT Experiments



M. Lim, S.S. Lee, and B.J. Lee, *Physical Review B* 91, 195136, 2015.

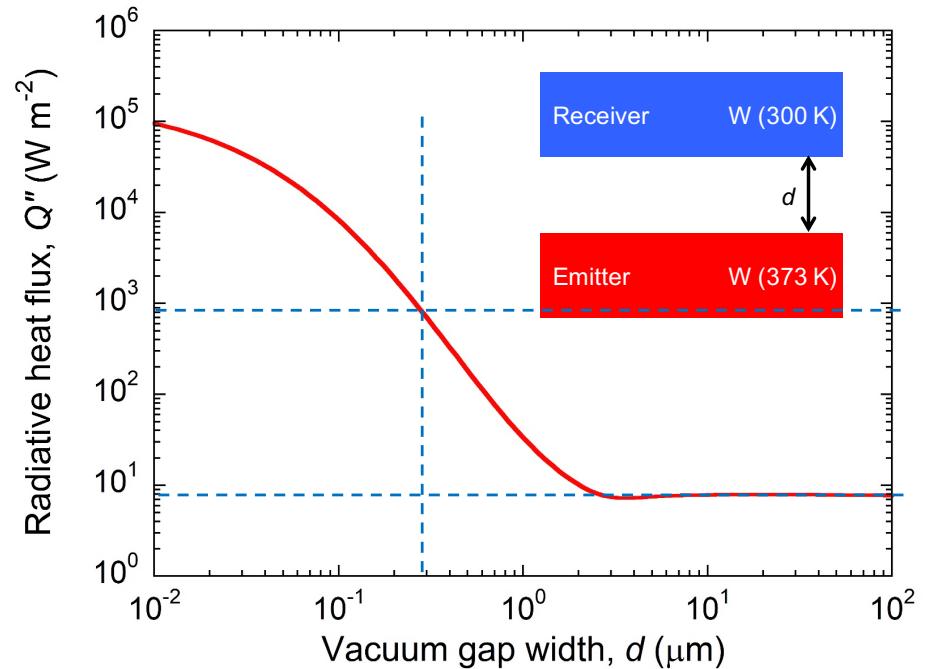
Measured NFRHT



M. Lim, S.S. Lee, and B.J. Lee, *Physical Review B* 91, 195136, 2015.

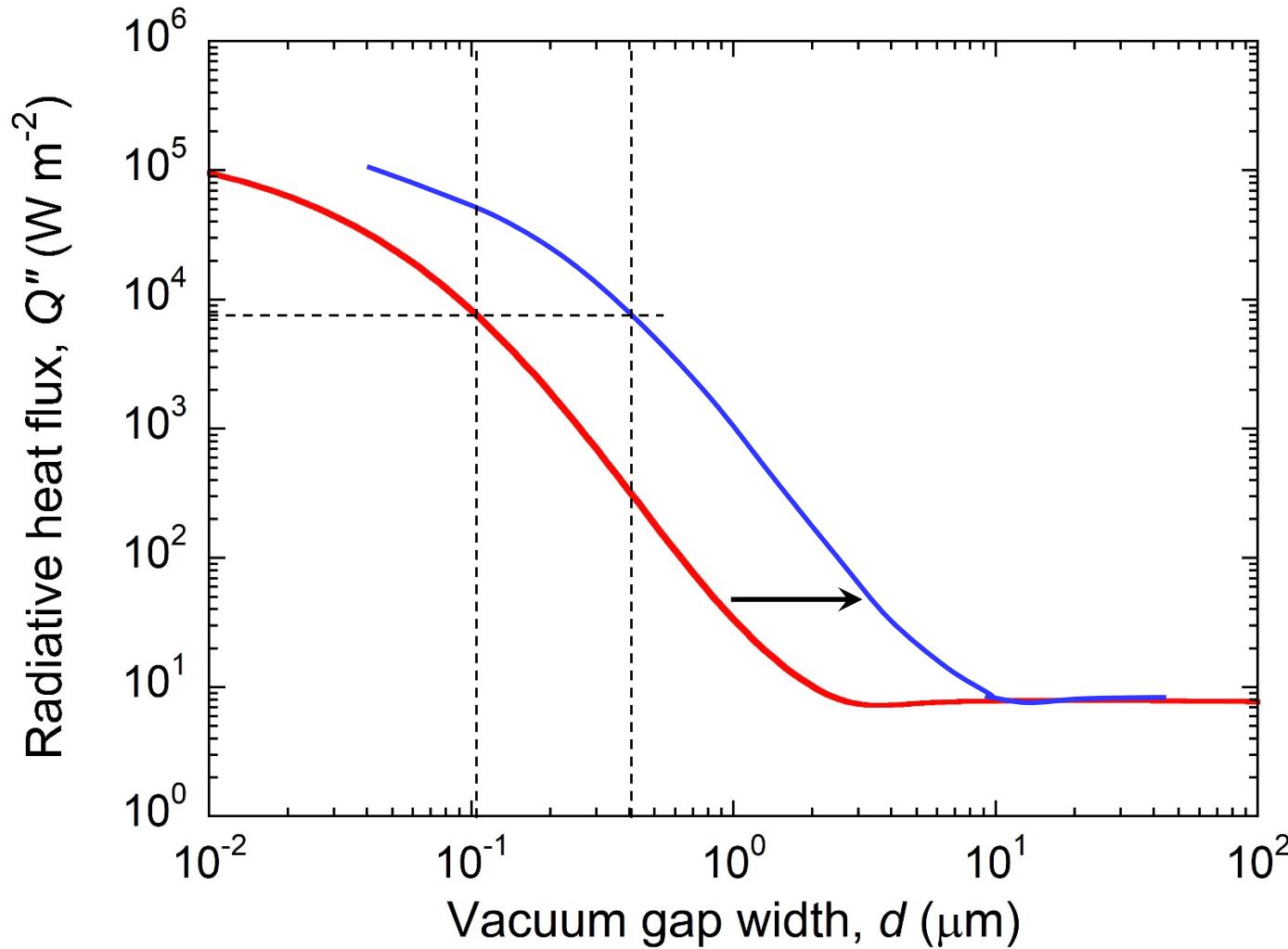
Challenge & Opportunity

- Near-field enhancement of radiative heat transfer becomes significant when the vacuum gap distance between parallel plates is less than 200 nm. But maintaining such a small gap distance between parallel plates (**with wide surface area**) is extremely challenging.

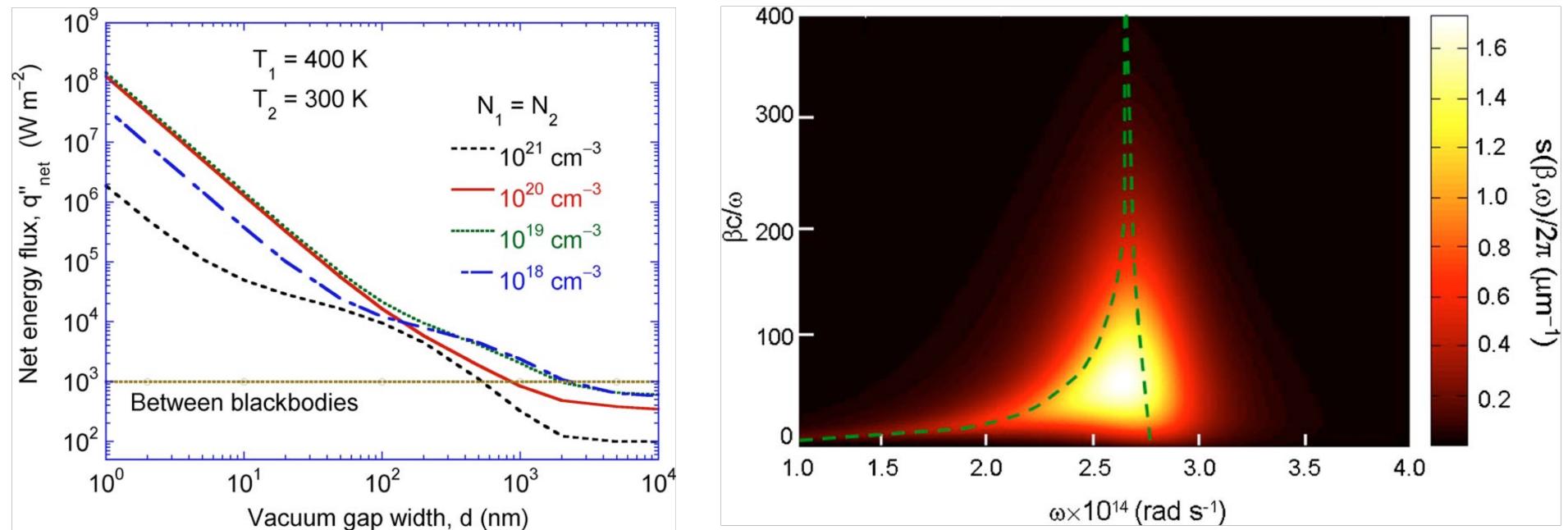


- We may also need to seek alternatives. For instance, we can modify surface conditions using optical metamaterials including graphene in order to further enhance the near-field thermal radiation at achievable vacuum gap distance (~ 200 nm).

Challenge & Opportunity – cont'd



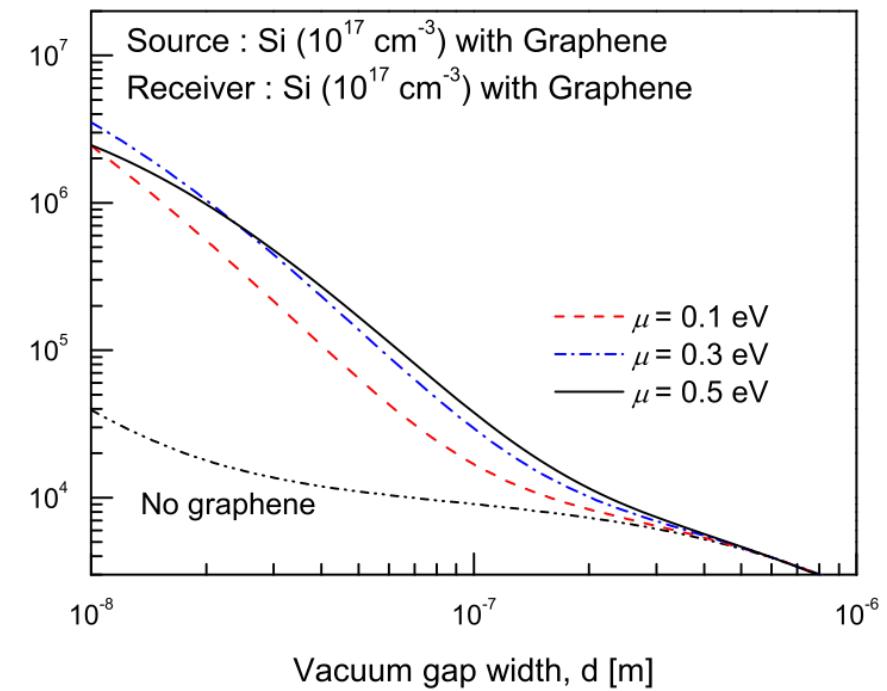
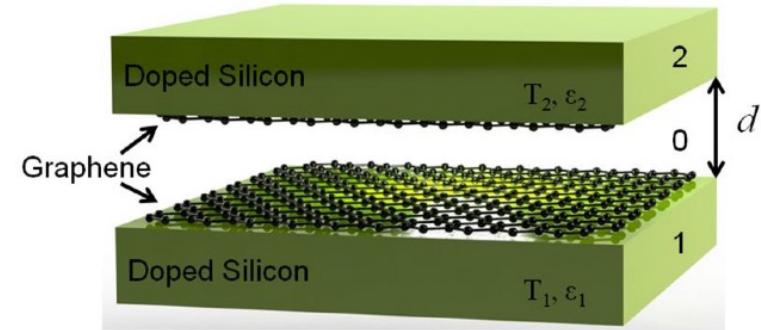
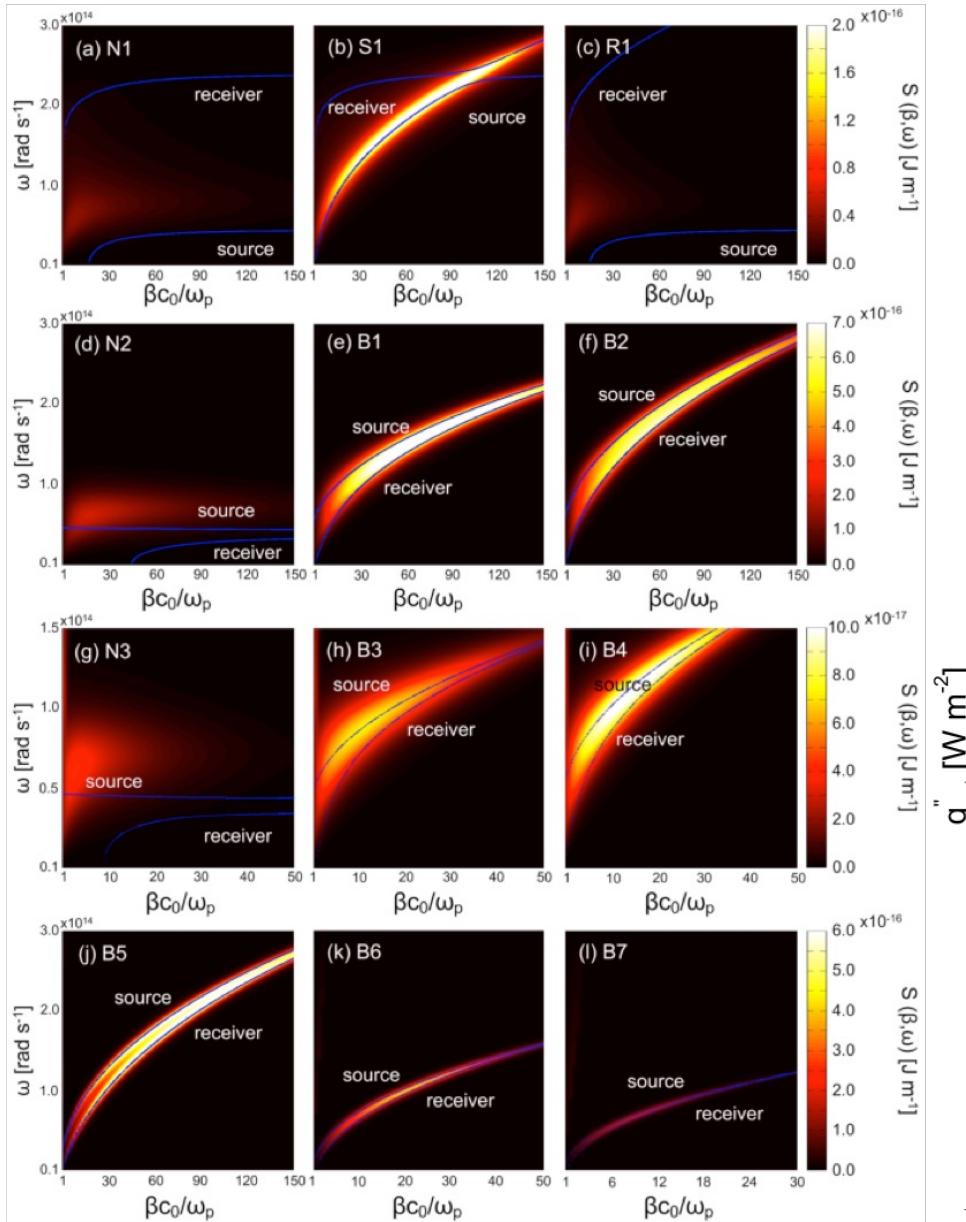
SPP-Meditated NFRHT



S. Basu, B.J. Lee, and Z.M. Zhang, *Journal of Heat Transfer* 132, 021005, 2010.

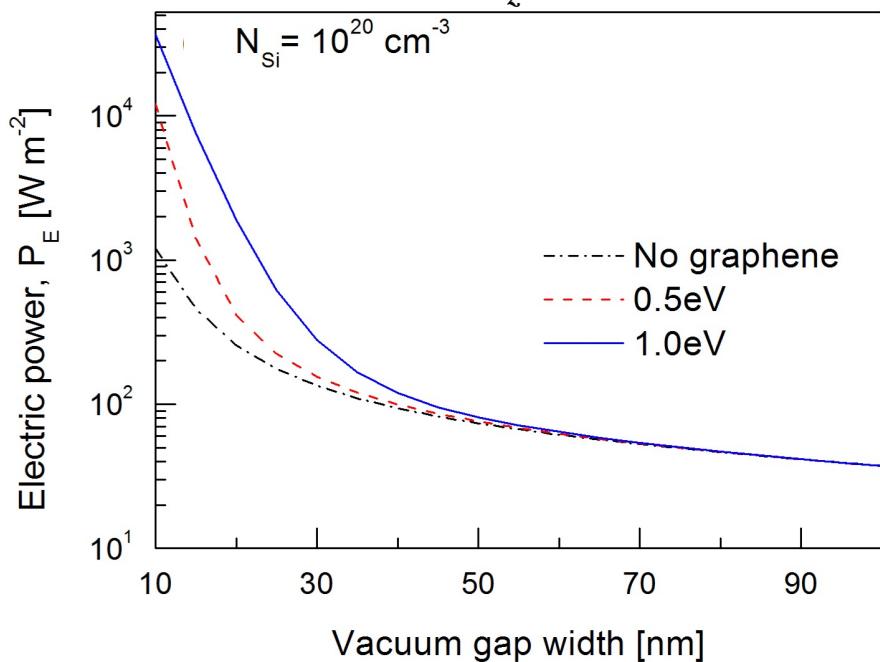
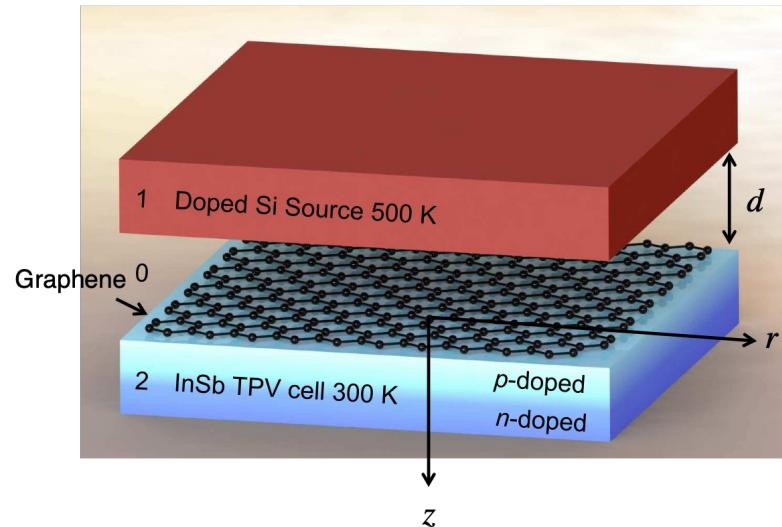
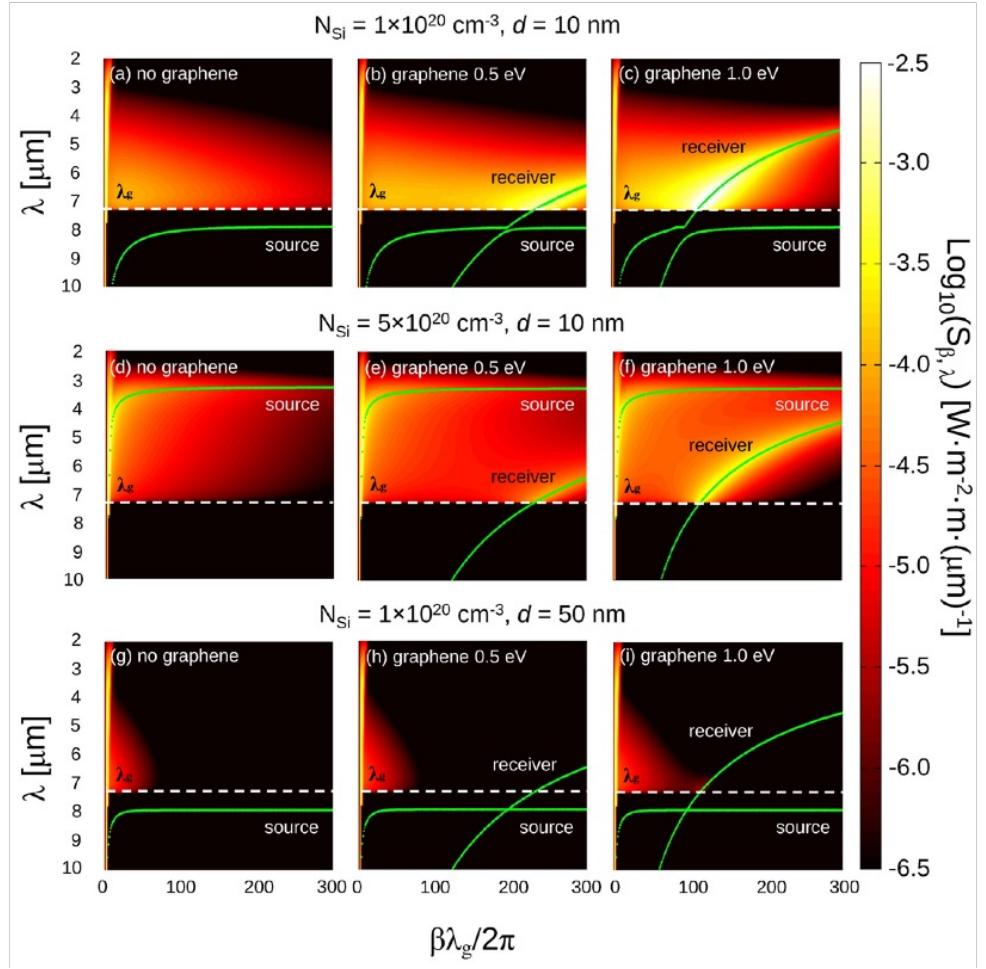
→ Since evanescent waves associated with the SPPs dominantly contribute to the near-field radiative heat transfer, tailoring the SPP dispersion curves in the $\omega-k_{\parallel}$ domain using surface nanostructures will eventually lead to tune the near-field radiation at a given vacuum gap.

Spectral Control of NFRHT using Graphene



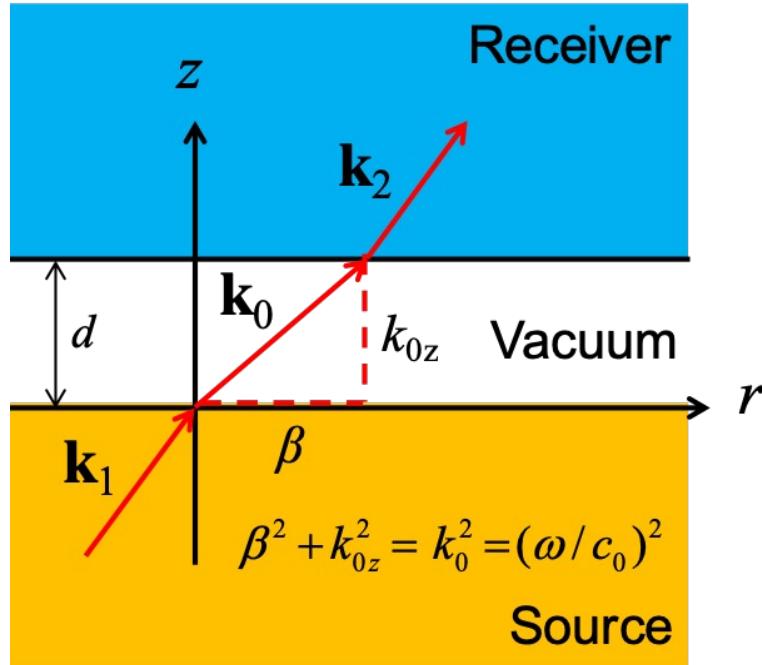
M. Lim, S.S. Lee, and B.J. Lee, *Optics Express* 21, 22173–22185, 2013.

Graphene-Assisted NF-TPV System



M. Lim, S.M. Jin, S.S. Lee, and B.J. Lee, *Optics Express* 23, A240-A253, 2015.

Tunneling of Evanescent Waves



$$k_i = \sqrt{\epsilon_i \omega^2 / c_0^2}$$

ϵ_i Permittivity of medium i

If $\beta \gg \omega / c_0$,

$$k_{0z} = \sqrt{\omega^2 / c_0^2 - \beta^2} \approx i\beta$$

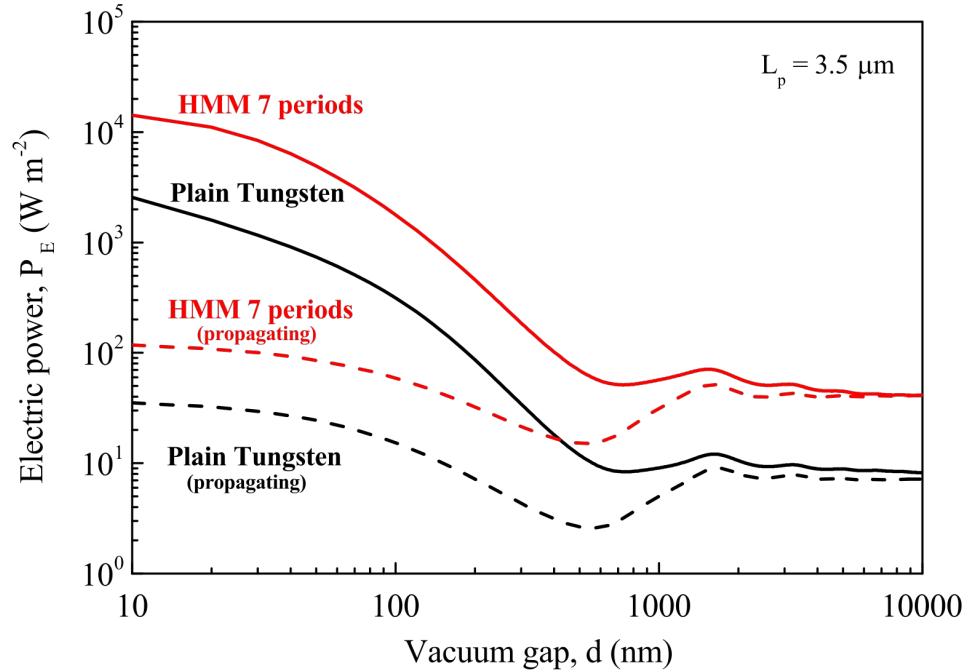
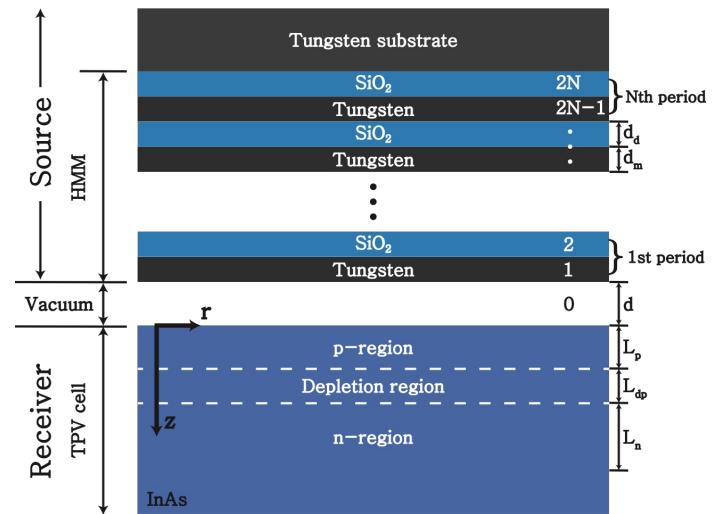
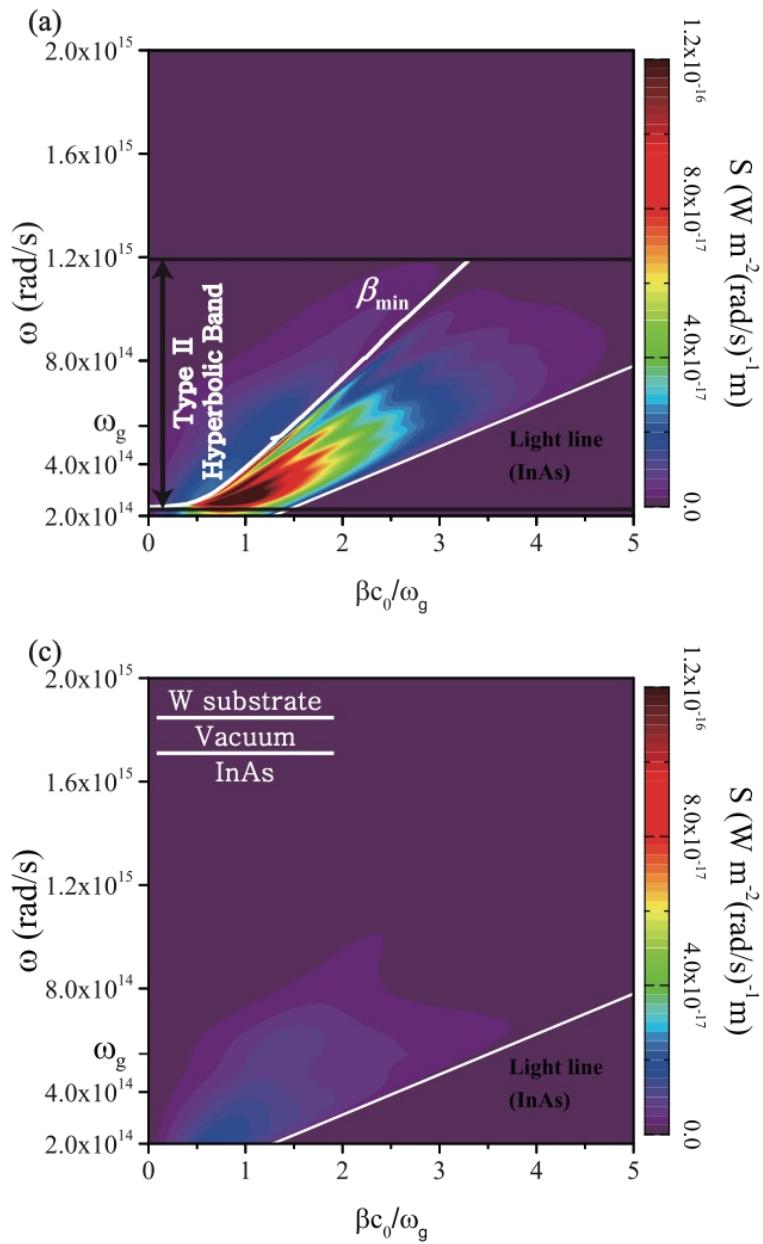
The amplitude of evanescent wave decay with the factor of

$$e^{ik_{0z}z} \approx e^{-\beta z}$$

Larger β rarely contribute to the heat transfer when vacuum gap width becomes larger

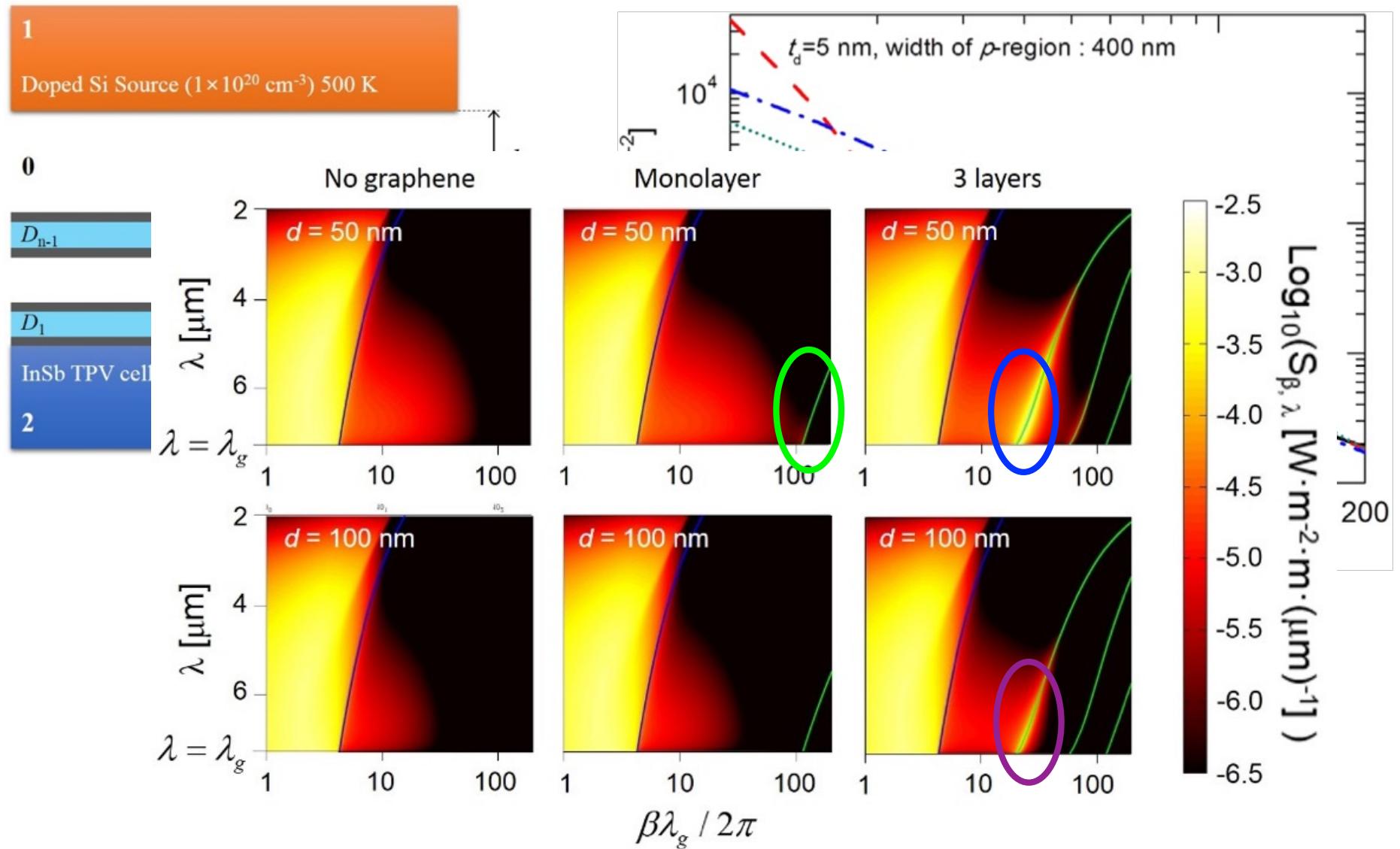
→ SPP dispersion curves should be close to the vacuum light line

HMM-Assisted NF-TPV System



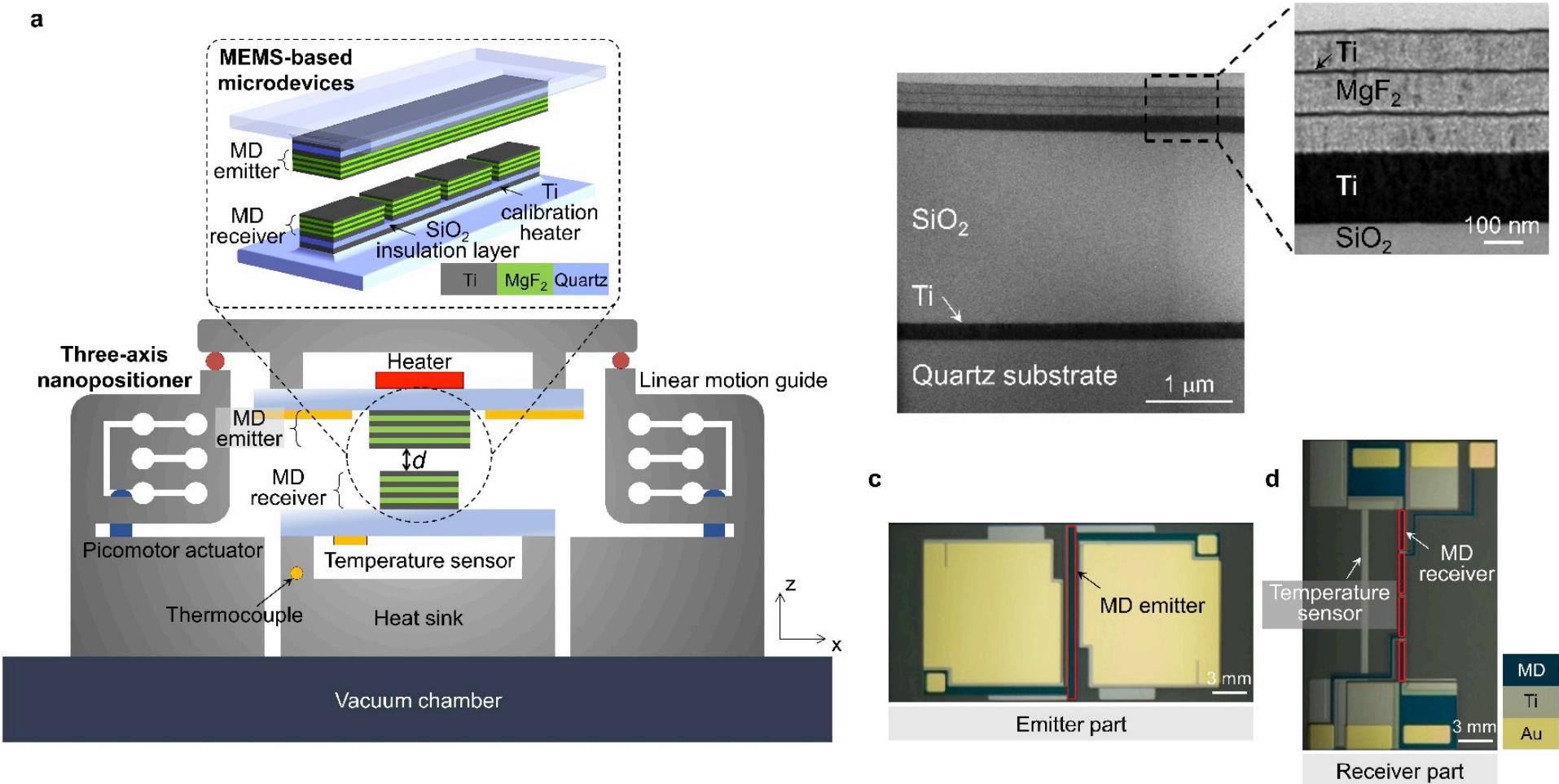
S.M. Jin, M. Lim, S.S. Lee, and B.J. Lee, *Optics Express* 24, A635-A649, 2016.

Challenge & Opportunity



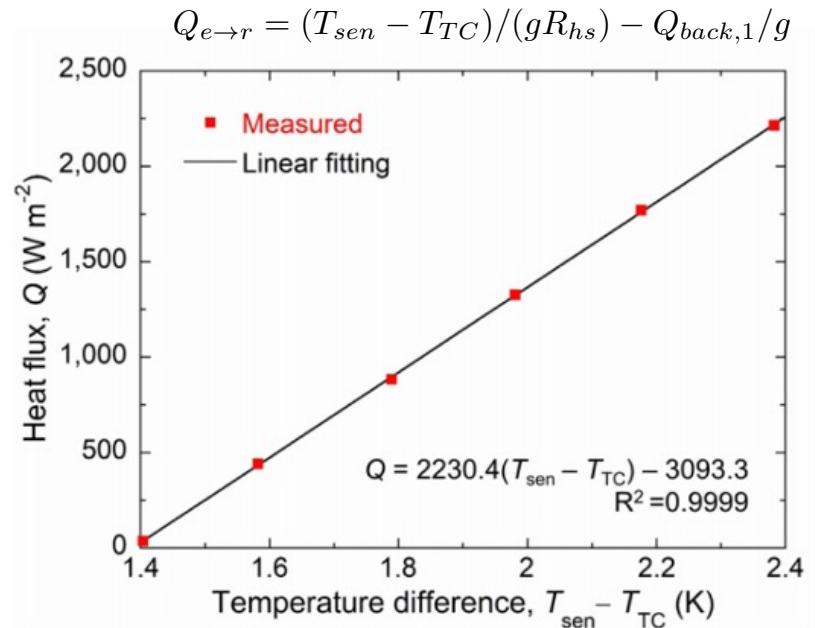
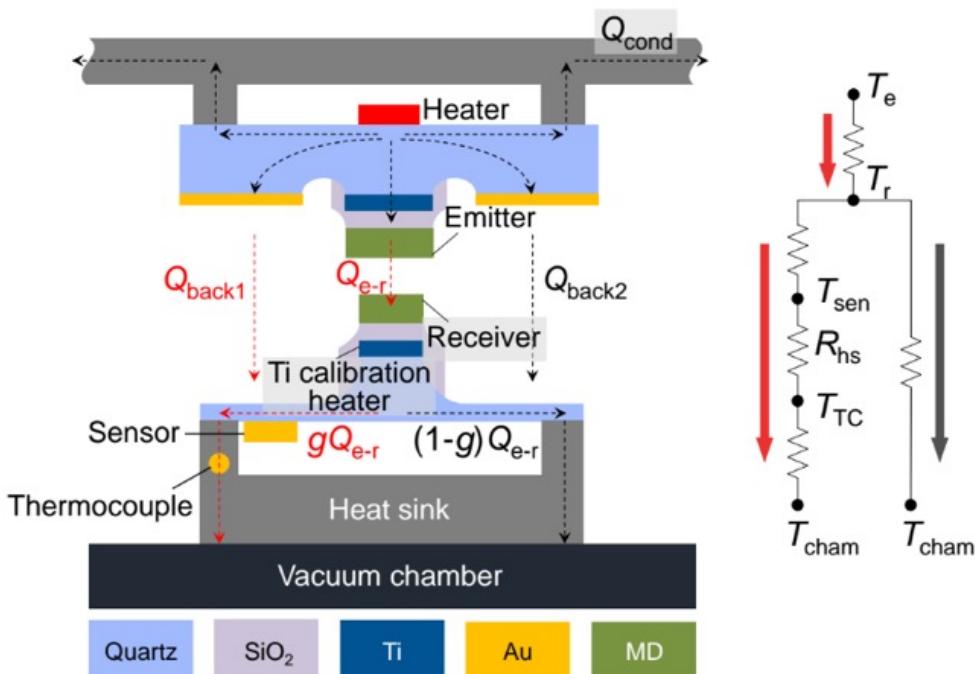
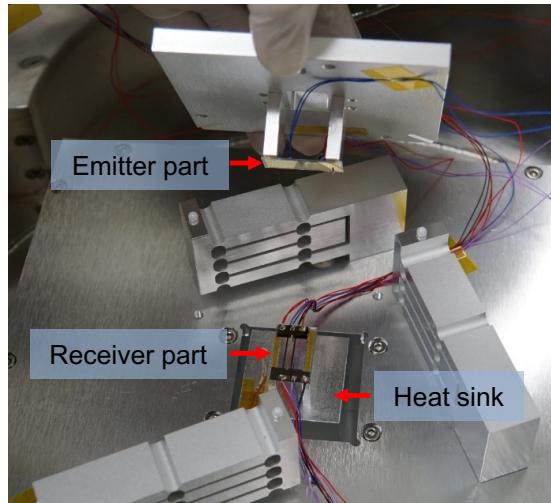
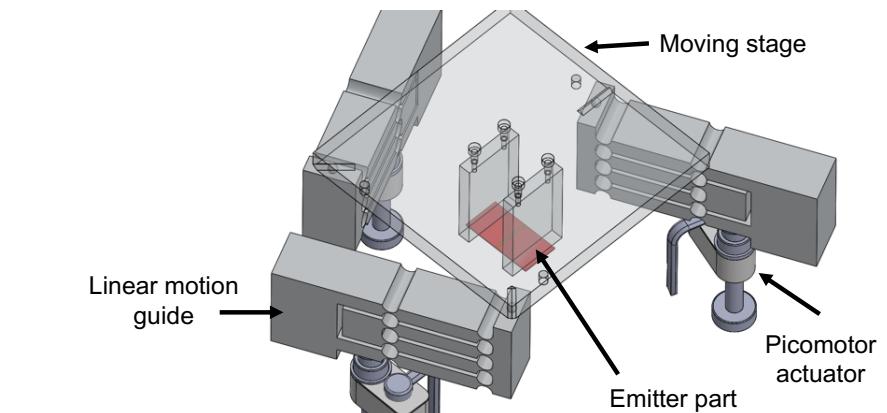
M. Lim, S.S. Lee, and B.J. Lee, *Journal of Quantitative Spectroscopy & Radiative Transfer* 197, 84-94, 2017.

Control of NFRHT



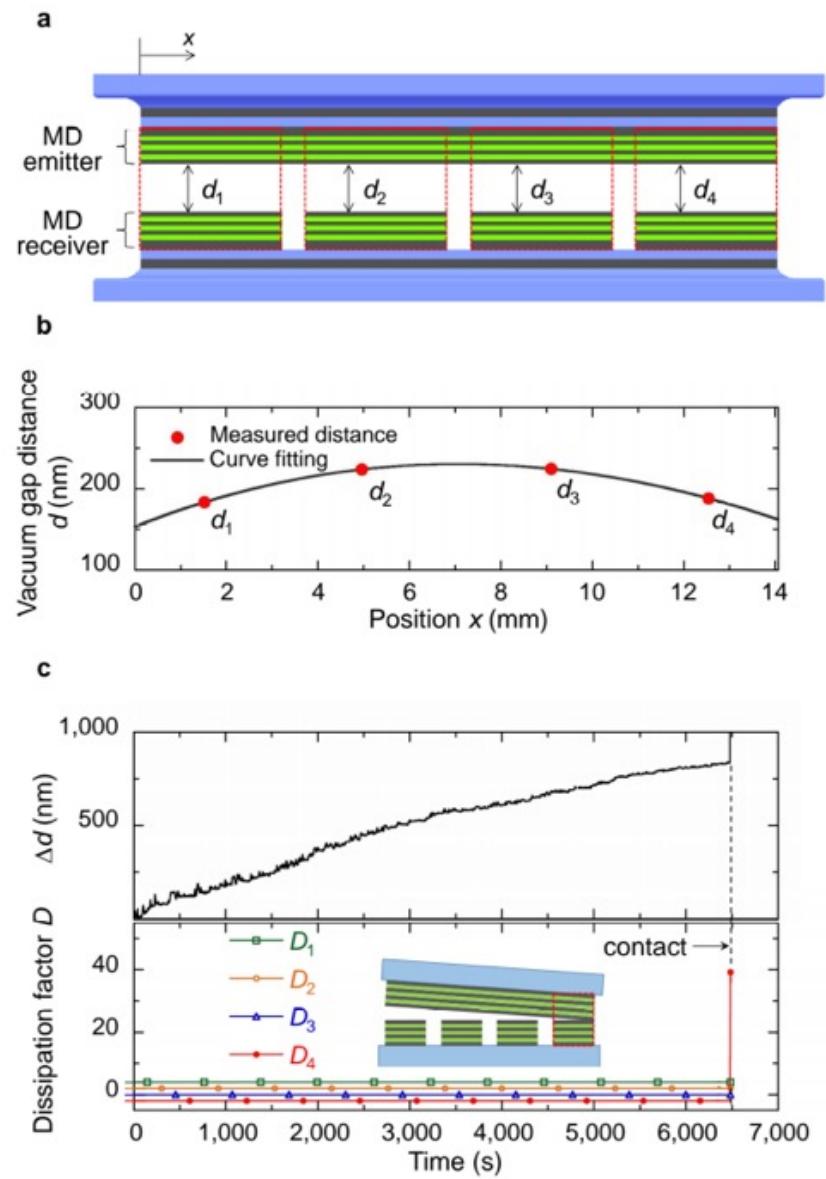
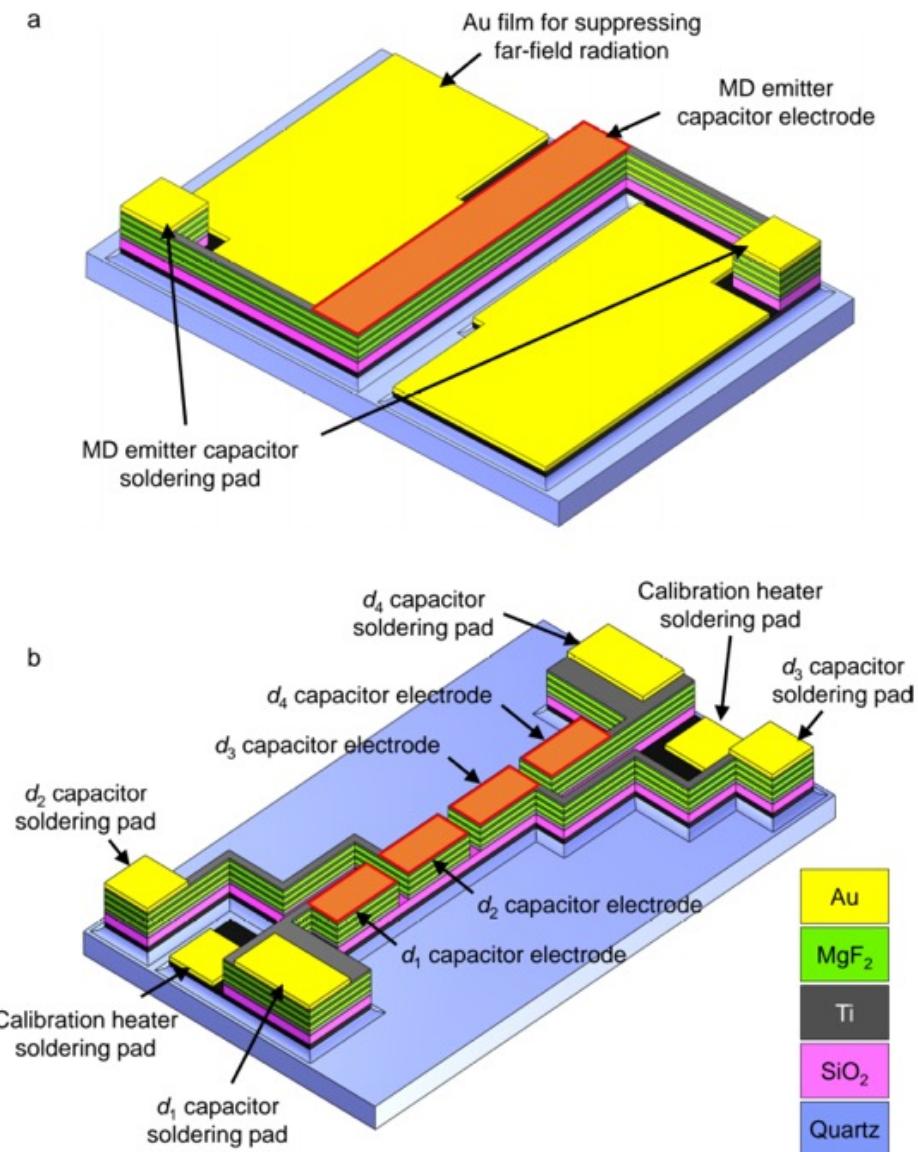
M. Lim, J. Song, S.S. Lee, and B.J. Lee, *Nature Communications* 9, 4302, 2018.

Control of NFRHT – cont'd



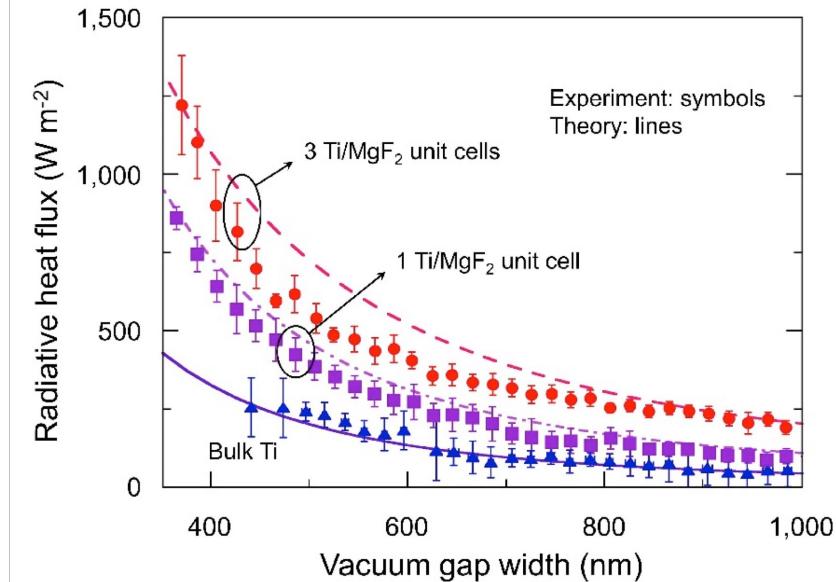
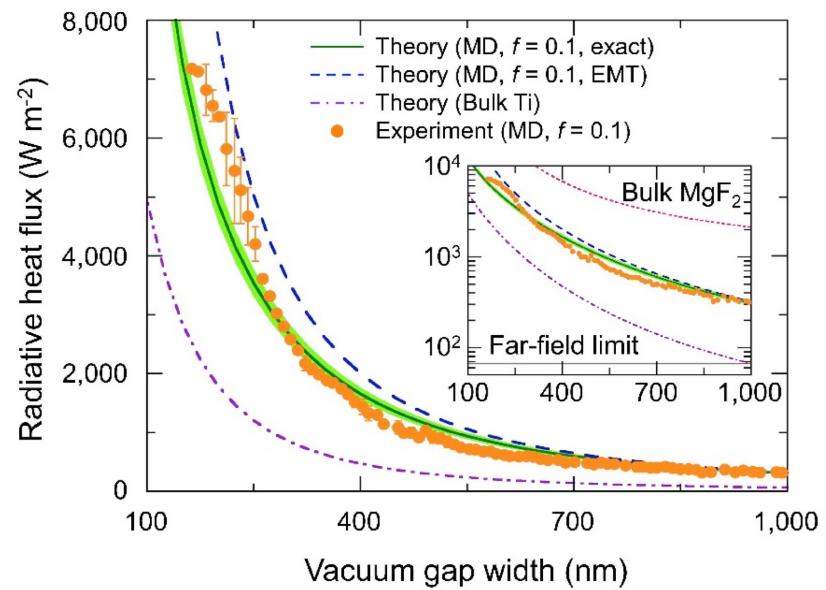
$$gQ_{e-r} + Q_{back,1} = (T_{sen} - T_{TC})/R_{hs}$$

Control of NFRHT – cont'd



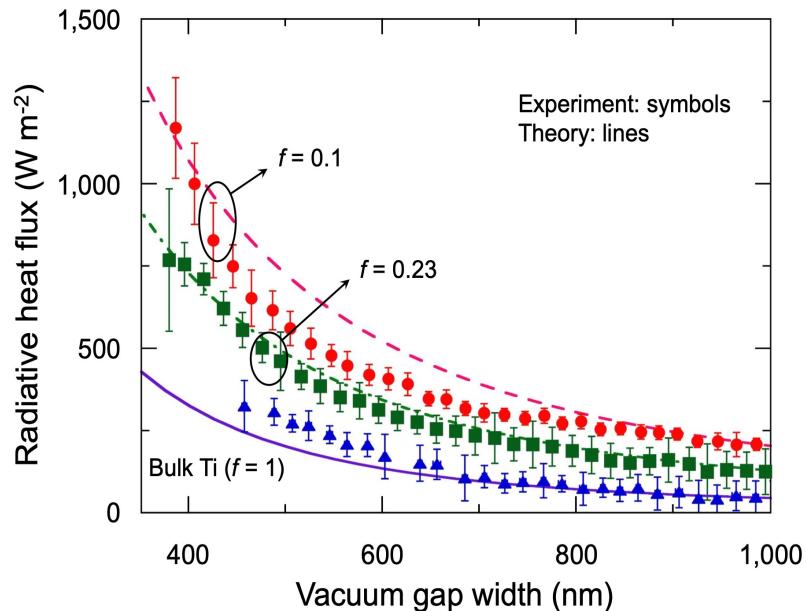
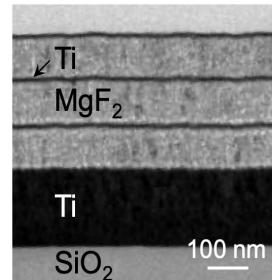
M. Lim, J. Song, S.S. Lee, and B.J. Lee, *Nature Communications* 9, 4302, 2018.

Control of NFRHT – cont'd

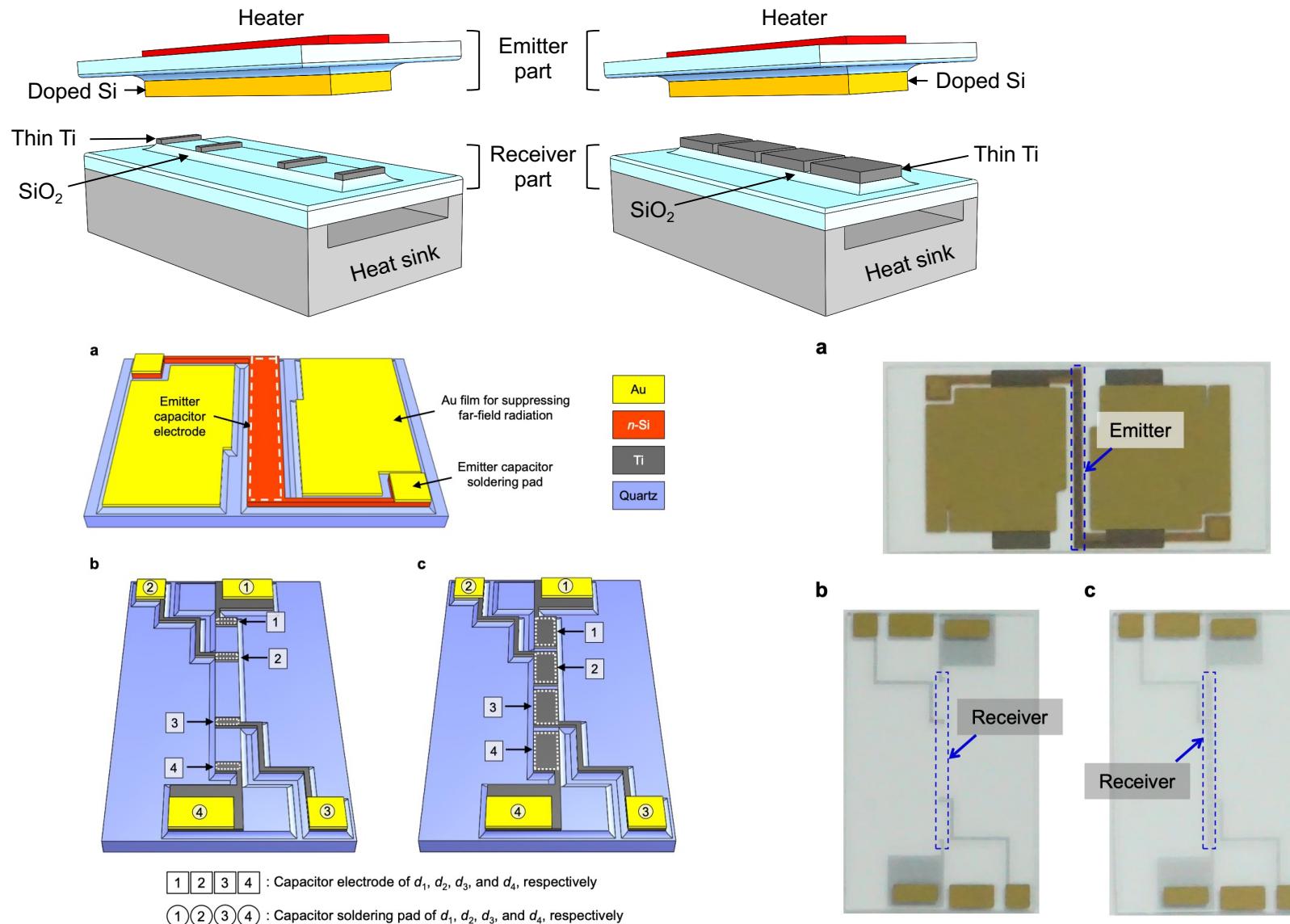


Volume filling ratio (f)

$$f = \frac{t_m}{t_m + t_d} \quad \begin{aligned} t_m &: \text{thickness of Ti layer} \\ t_d &: \text{thickness of } \text{MgF}_2 \text{ layer} \end{aligned}$$

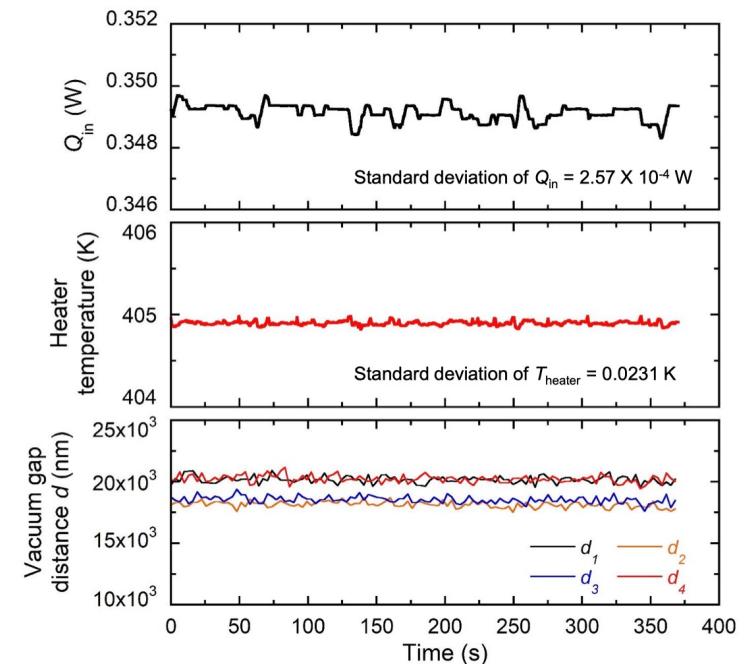
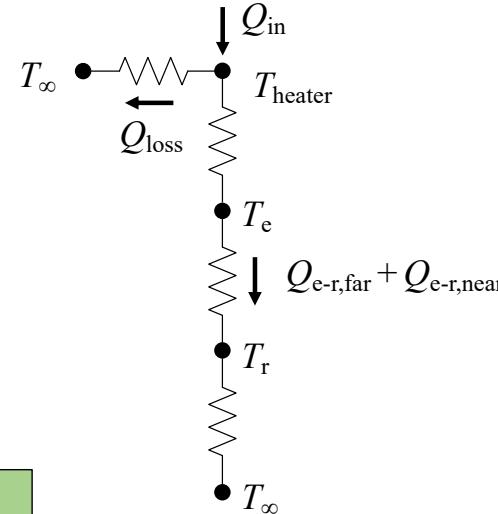
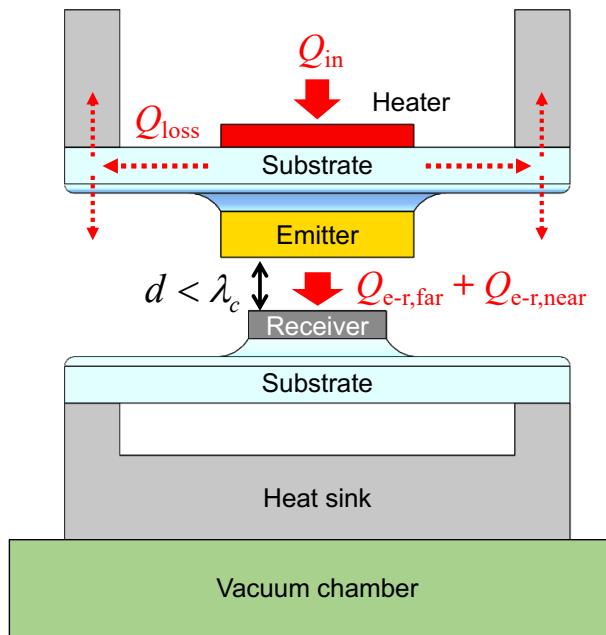


Coupling in Asymmetric Configuration



M. Lim, J. Song, S.S. Lee, J. Lee, and B.J. Lee, *Physical Review Applied* 14, 014070, 2020.

Coupling in Asymmetric Configuration – cont'd



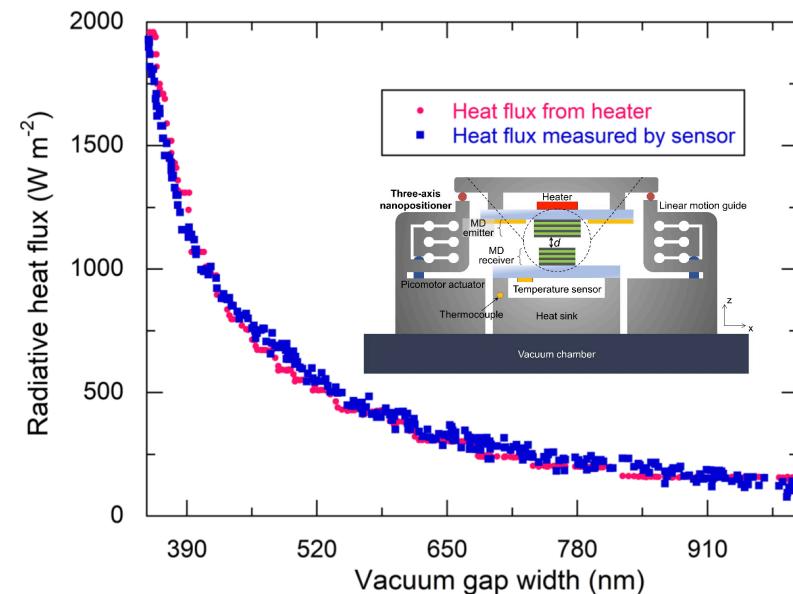
- Far-field

$$Q_{\text{in}} = Q_{\text{e-r,far}} + Q_{\text{loss}}$$

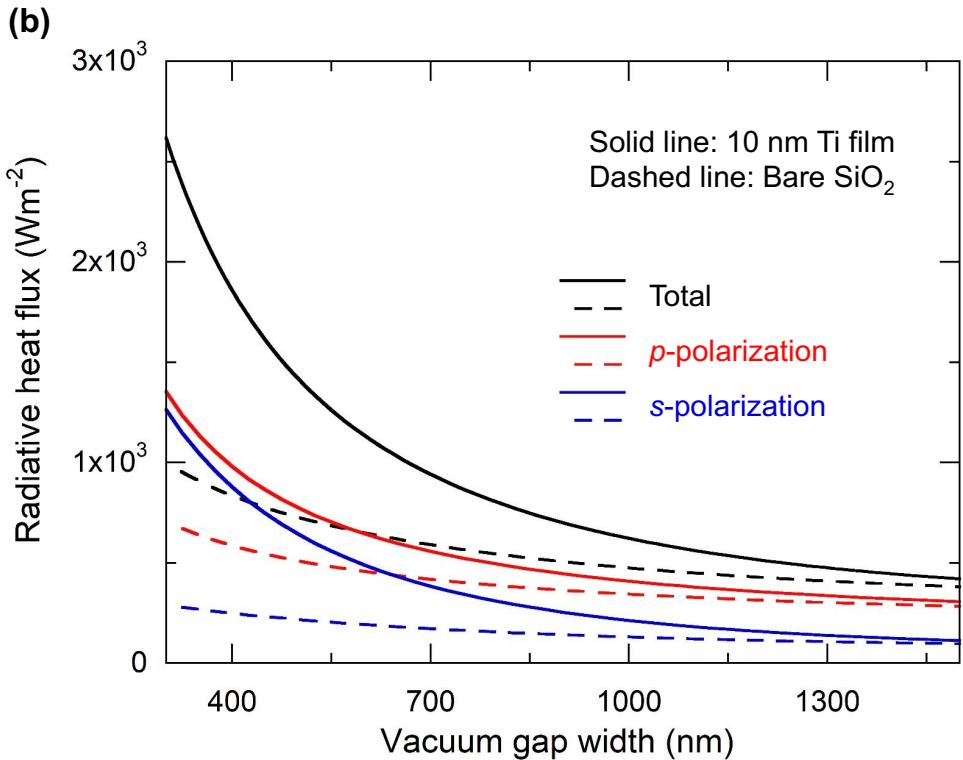
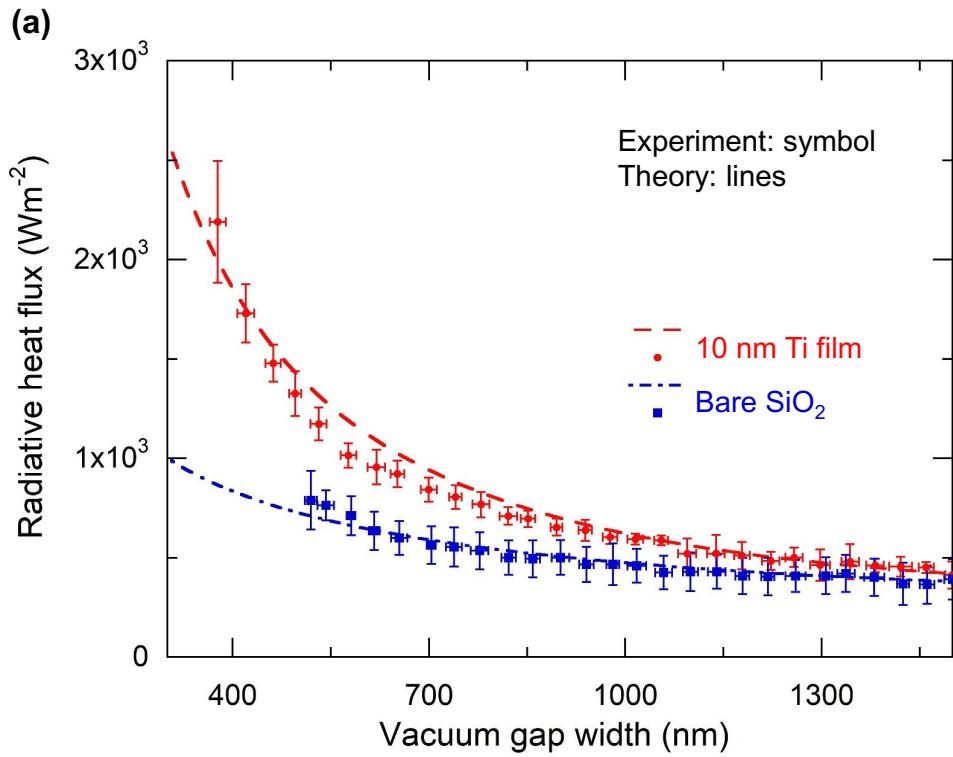
- Near-field

$$Q_{\text{in}}(d) = Q_{\text{e-r,near}}(d) + \underline{Q_{\text{e-r,far}} + Q_{\text{loss}}} \text{ constant}$$

$$Q_{\text{e-r,near}}(d) = Q_{\text{in}}(d) - (Q_{\text{e-r,far}} + Q_{\text{loss}})$$



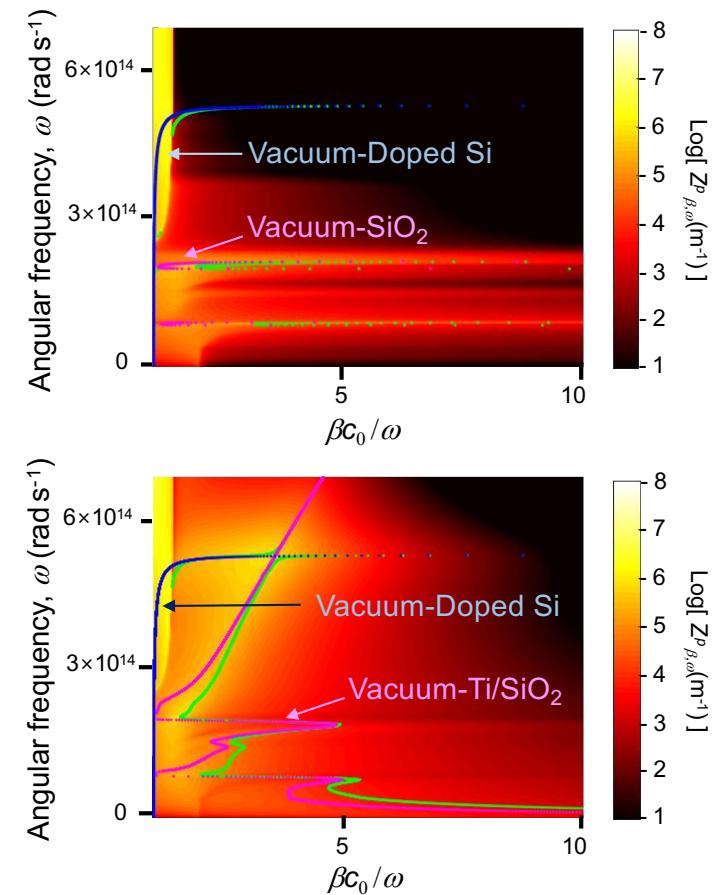
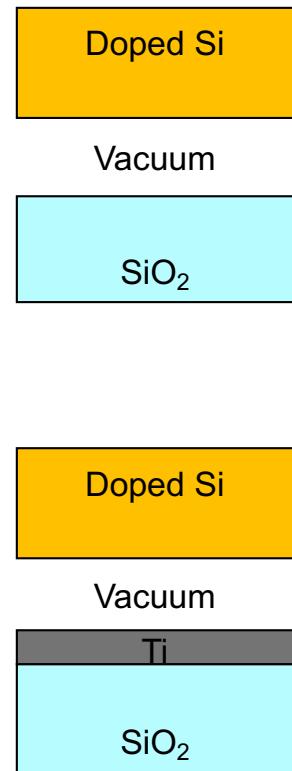
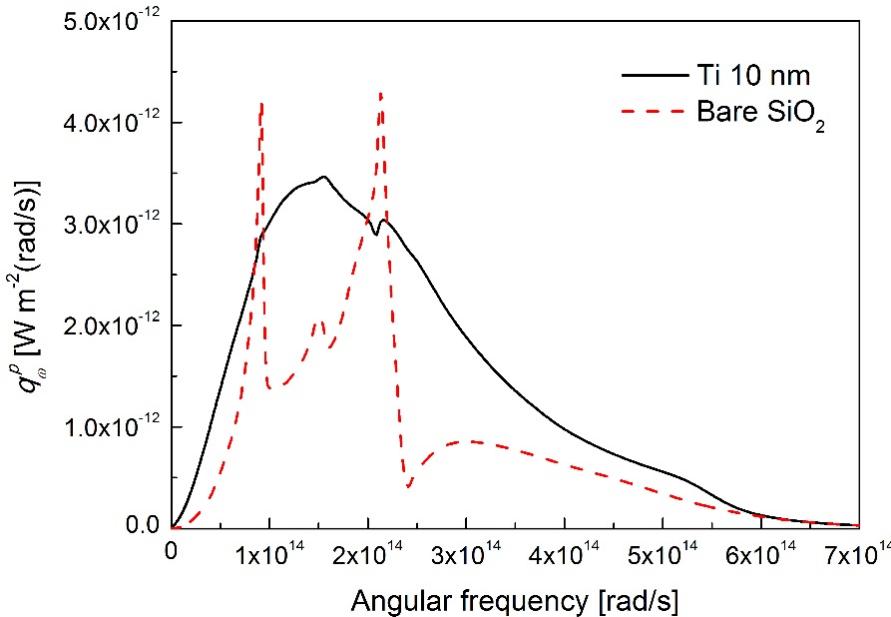
Coupling in Asymmetric Configuration – cont'd



M. Lim, J. Song, S.S. Lee, J. Lee, and B.J. Lee, *Physical Review Applied* 14, 014070, 2020.

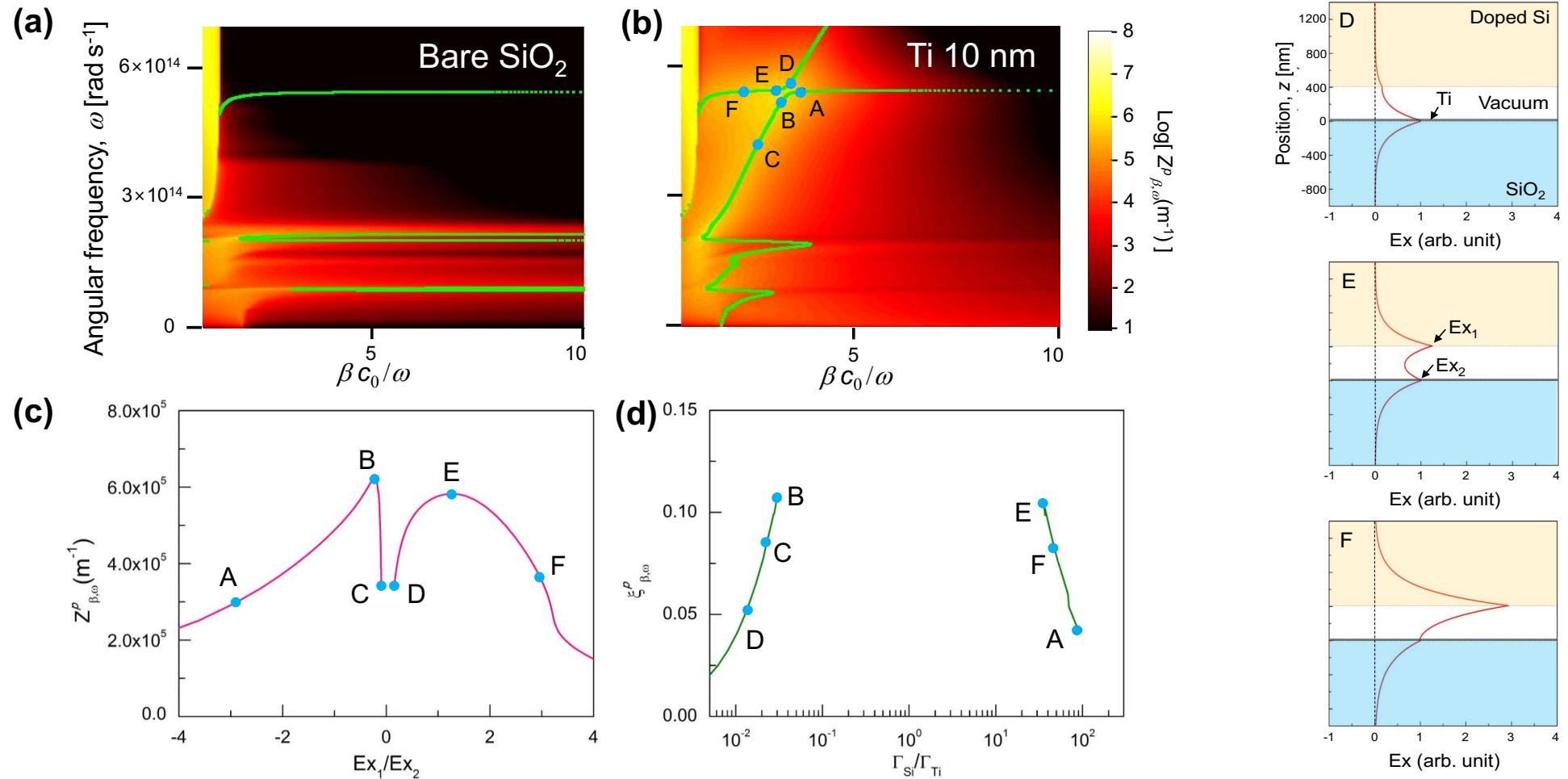
Coupling in Asymmetric Configuration – cont'd

$$q_{\omega}^p = \frac{\Theta(\omega, T_1) - \Theta(\omega, T_2)}{4\pi} \int_0^\infty Z_{\beta, \omega}^p d\beta$$



M. Lim, J. Song, S.S. Lee, J. Lee, and B.J. Lee, *Physical Review Applied* 14, 014070, 2020.

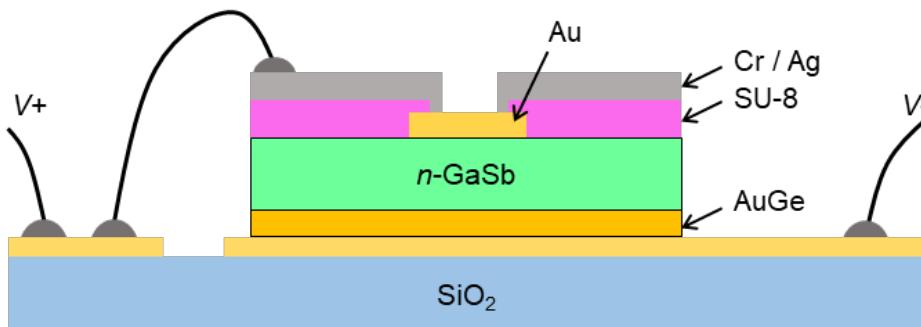
Coupling in Asymmetric Configuration – cont'd



M. Lim, J. Song, S.S. Lee, J. Lee, and B.J. Lee, *Physical Review Applied* 14, 014070, 2020.

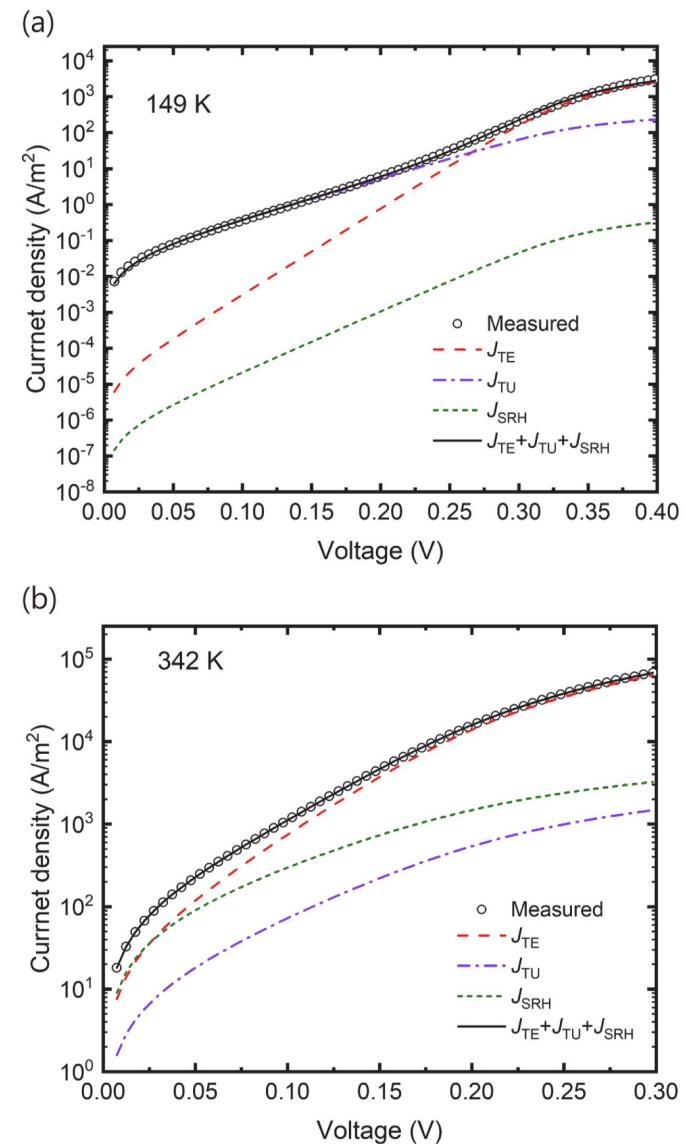
Schottky-Junction Cell

- Schematic of an Au/n-GaSb Schottky TPV cell attached on a chip carrier



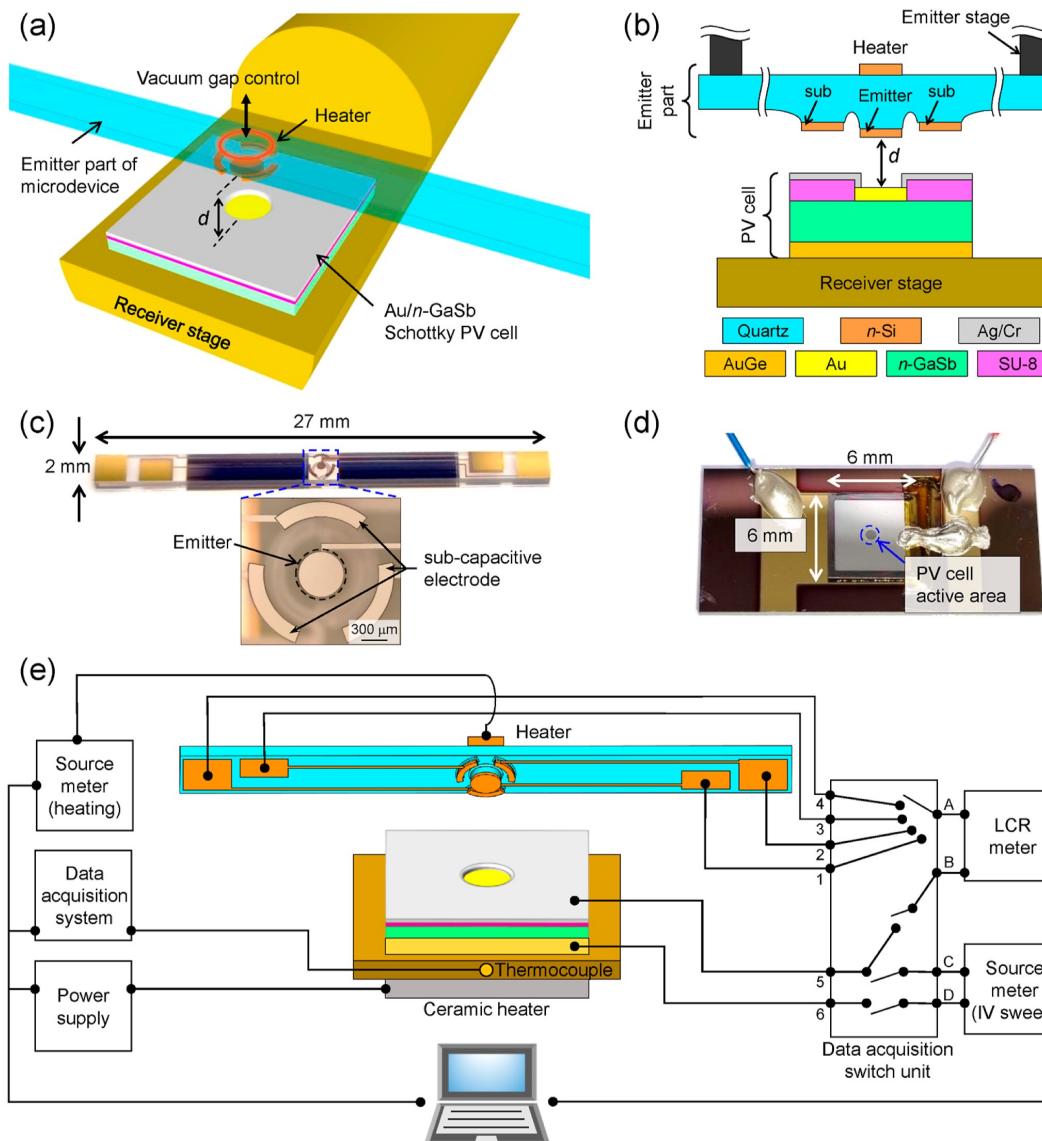
- Multiple current mechanism in Au/n-GaSb Schottky diode

$$\begin{aligned}
 J_{\text{tot}} &= J_{\text{TE}} + J_{\text{sec}} \\
 &= J_{\text{TE}(0)} \left\{ \exp[q(V - I R_S)/nkT] - 1 \right\} \\
 &\quad + J_{\text{sec}(0)} \left\{ \exp[q(V - I R_S)/E_{\text{sec}}] - 1 \right\} \\
 &= J_{\text{TE}(0)} \left\{ \exp[q(V - I R_S)/nkT] - 1 \right\} \\
 &\quad + J_{\text{TU}(0)} \left\{ \exp[q(V - I R_S)/E_t] - 1 \right\} \\
 &\quad + J_{\text{SRH}(0)} \left\{ \exp[q(V - I R_S)/2kT] - 1 \right\}
 \end{aligned}$$



J. Jang, J. Song, S.S. Lee, S. Jeong, B.J. Lee, and S. Kim, *Materials Science in Semiconductor Processing* 131, 105882, 2021.

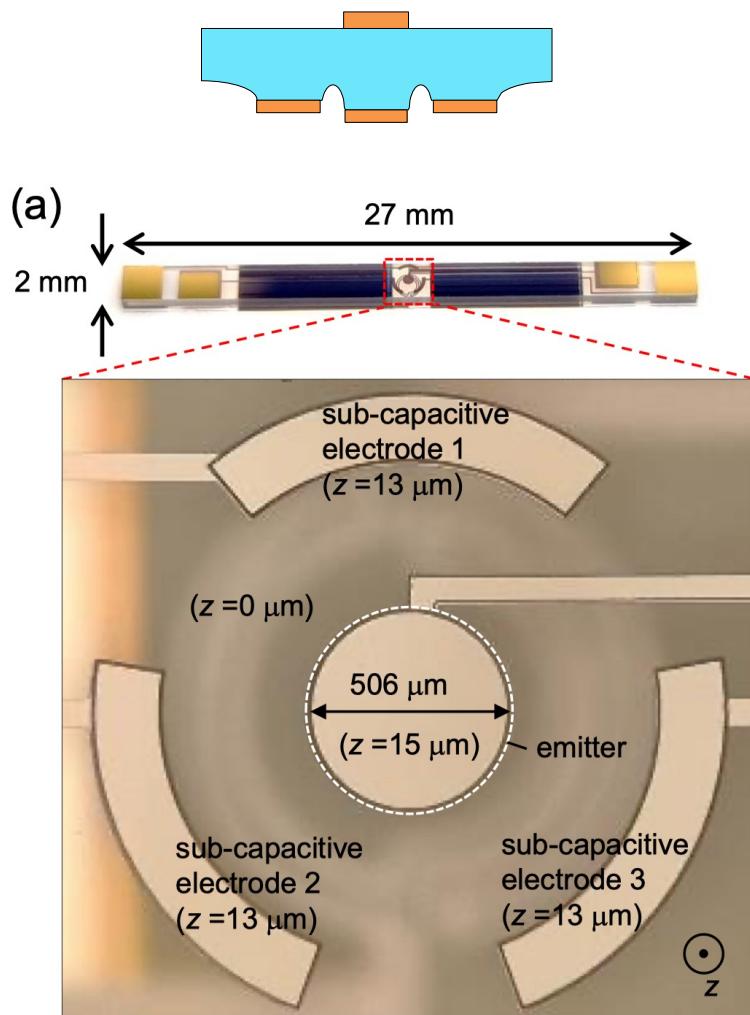
NF-TPV Conversion Experiment



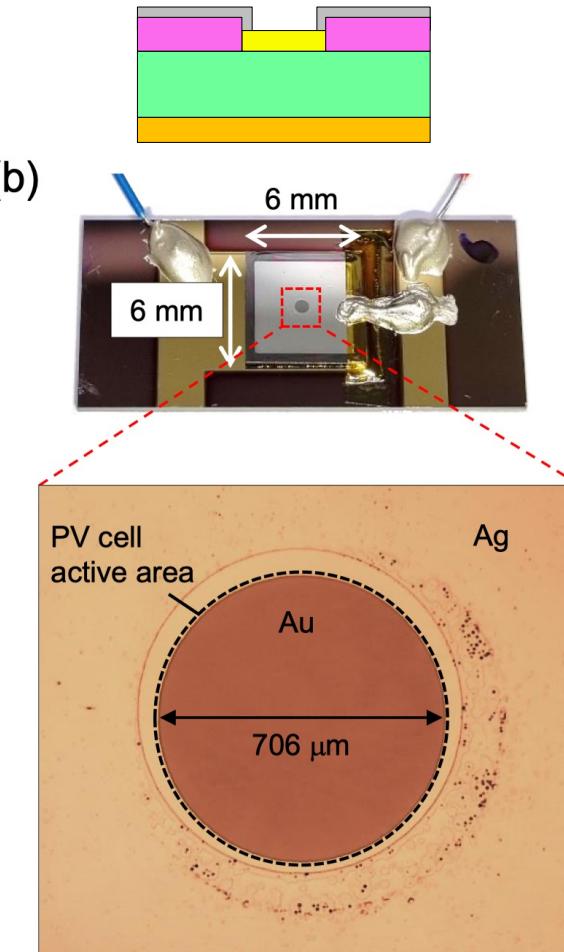
J. Song, J. Jang, M. Lim, M. Choi, J. Lee, and B.J. Lee, ACS Photonics 9, 1748 – 1756, 2022.

NF-TPV Conversion Experiment – cont'd

Emitter

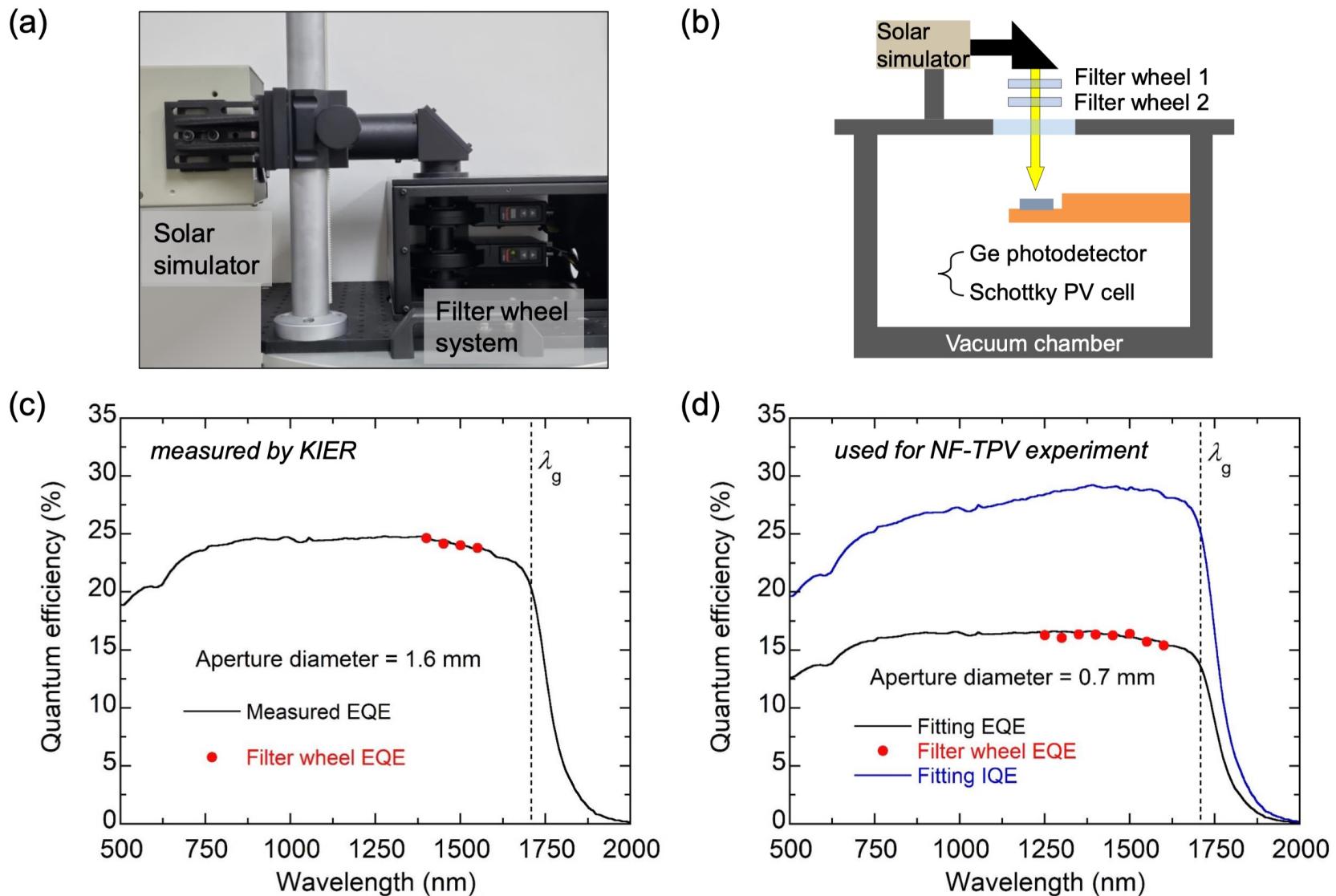


Au/n-GaSb Cell

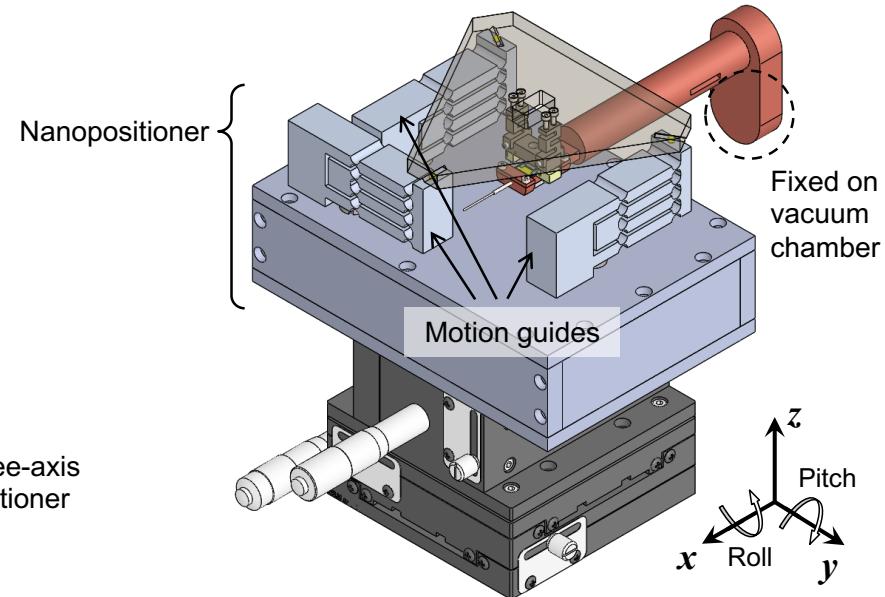
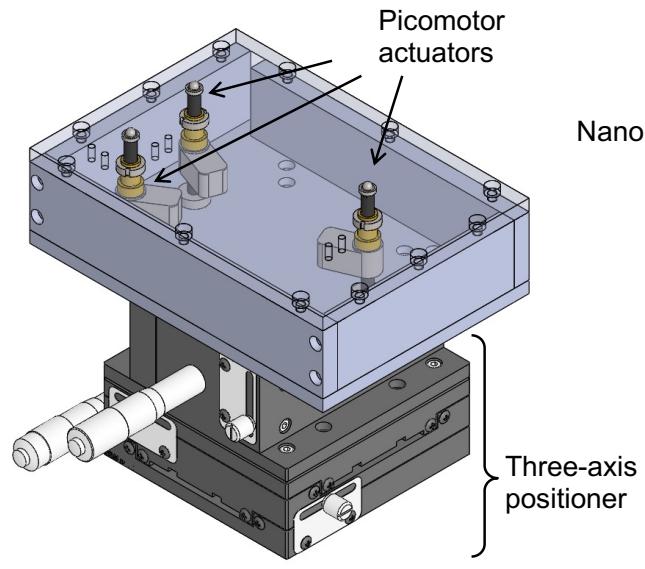
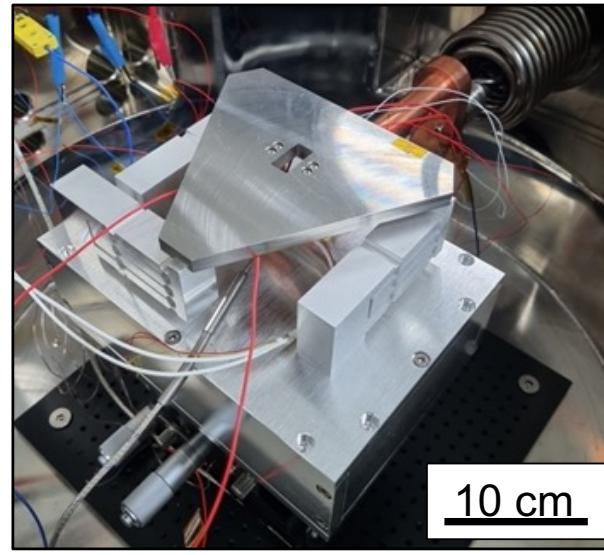
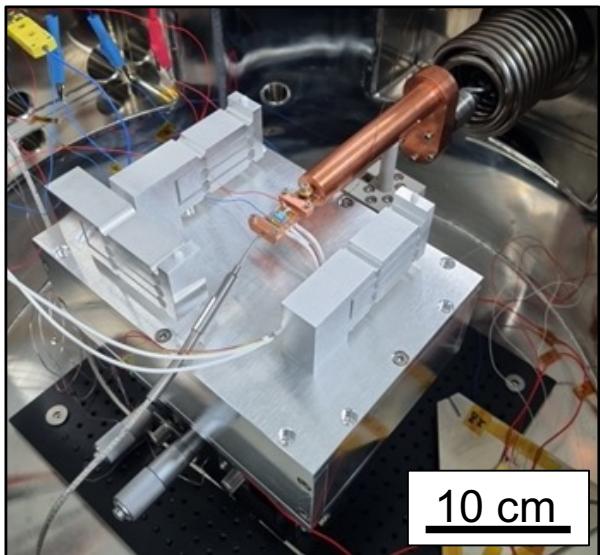


J. Song, J. Jang, M. Lim, M. Choi, J. Lee, and B.J. Lee, ACS Photonics 9, 1748 – 1756, 2022.

Schottky-Junction Cell – cont'd

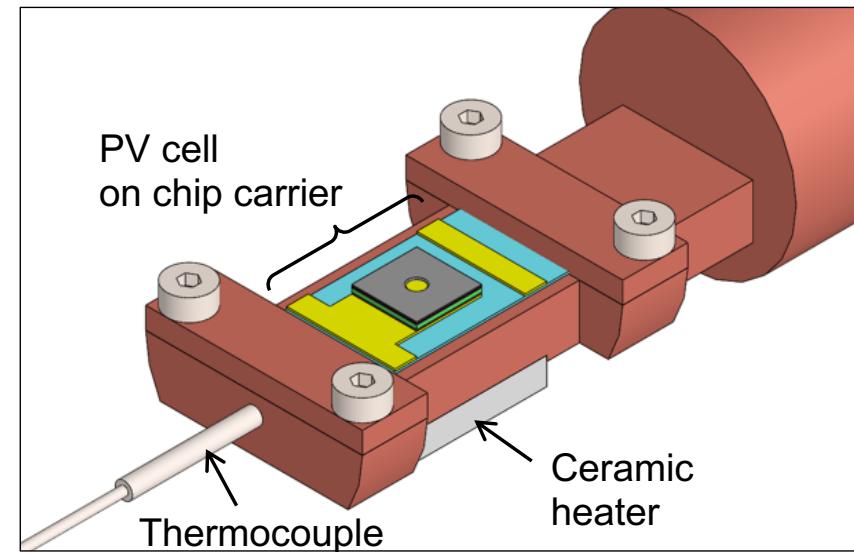
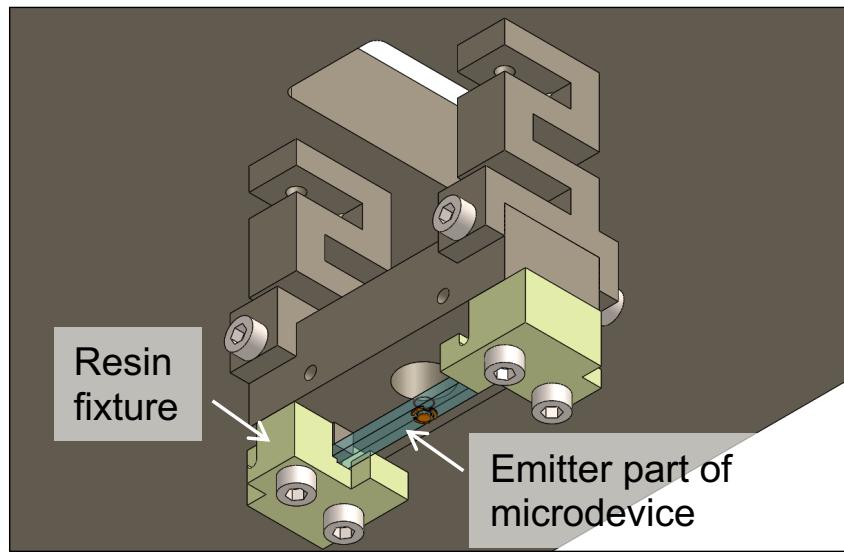
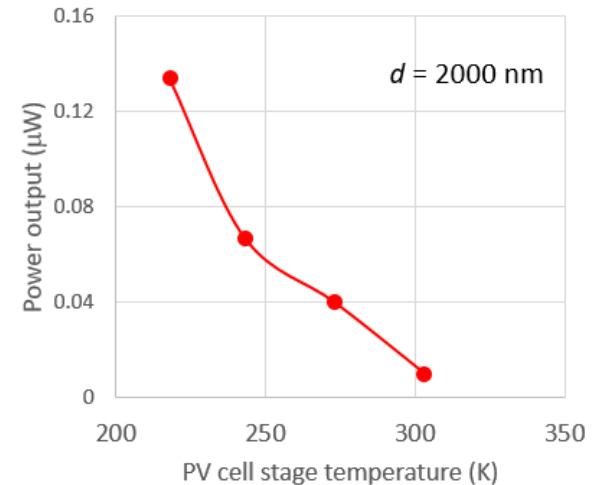
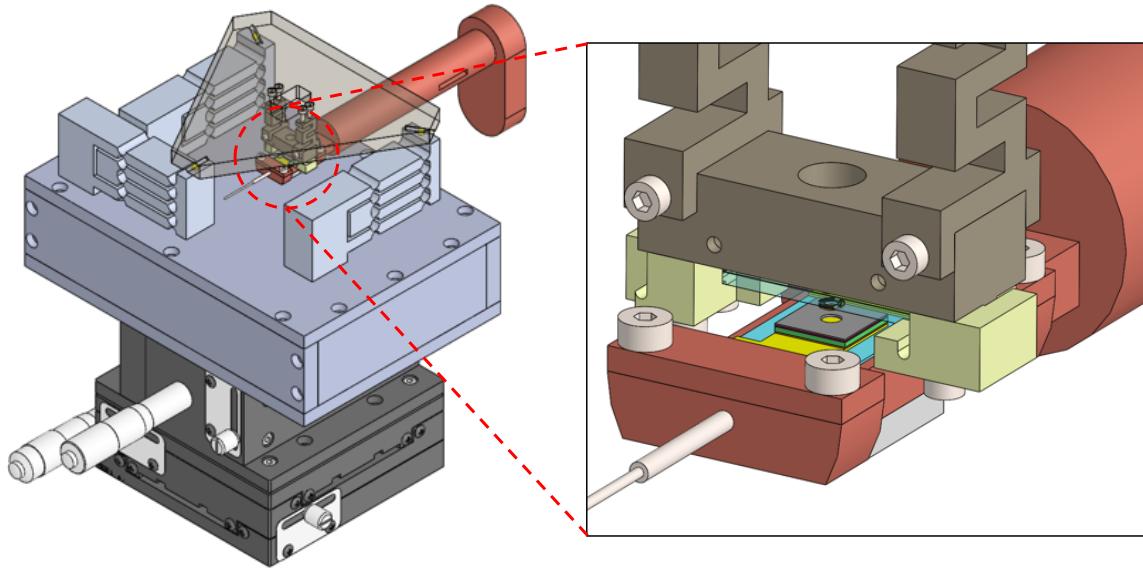


NF-TPV Conversion Experiment – cont'd

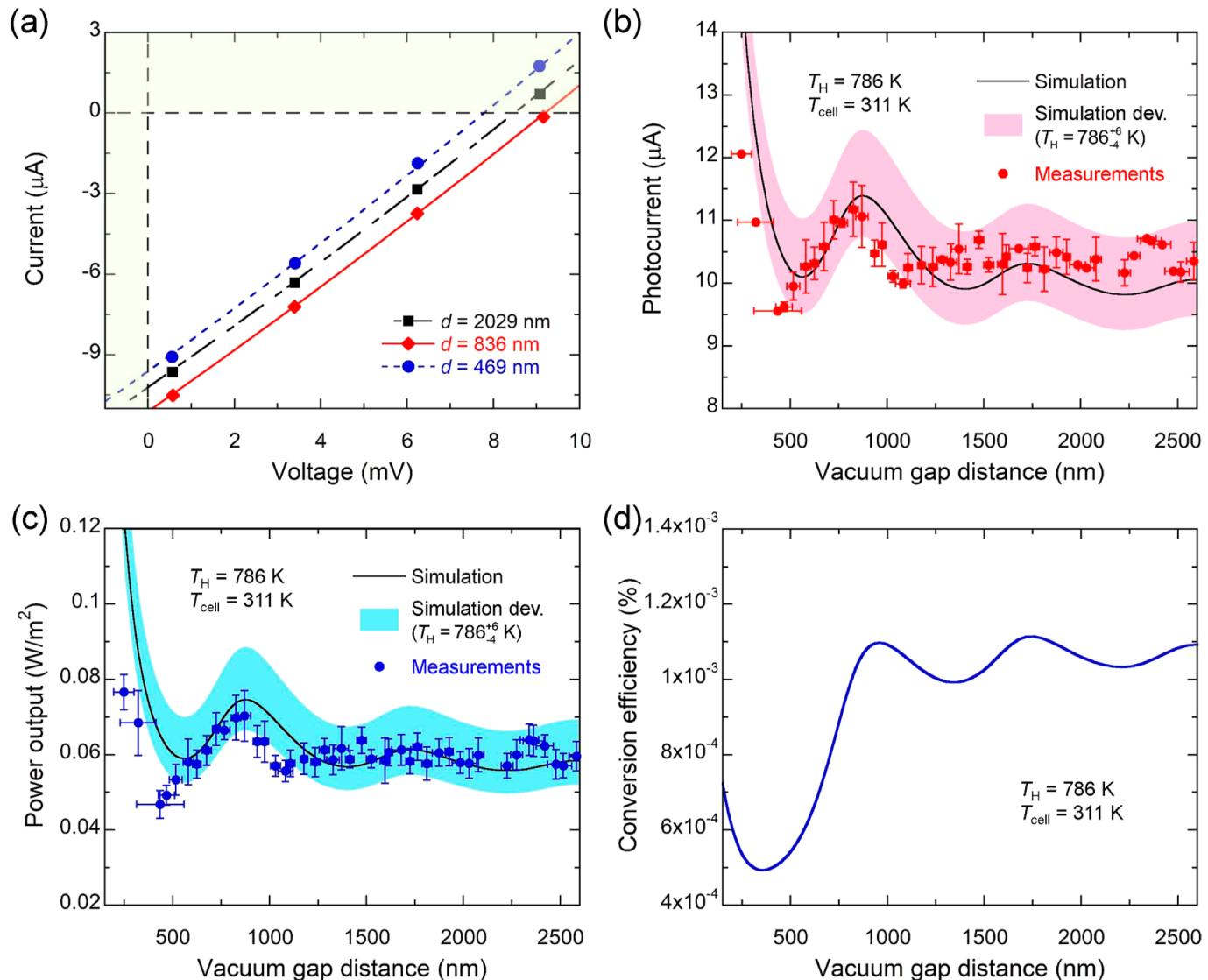


J. Song, J. Jang, M. Lim, M. Choi, J. Lee, and B.J. Lee, ACS Photonics 9, 1748 – 1756, 2022.

NF-TPV Conversion Experiment – cont'd

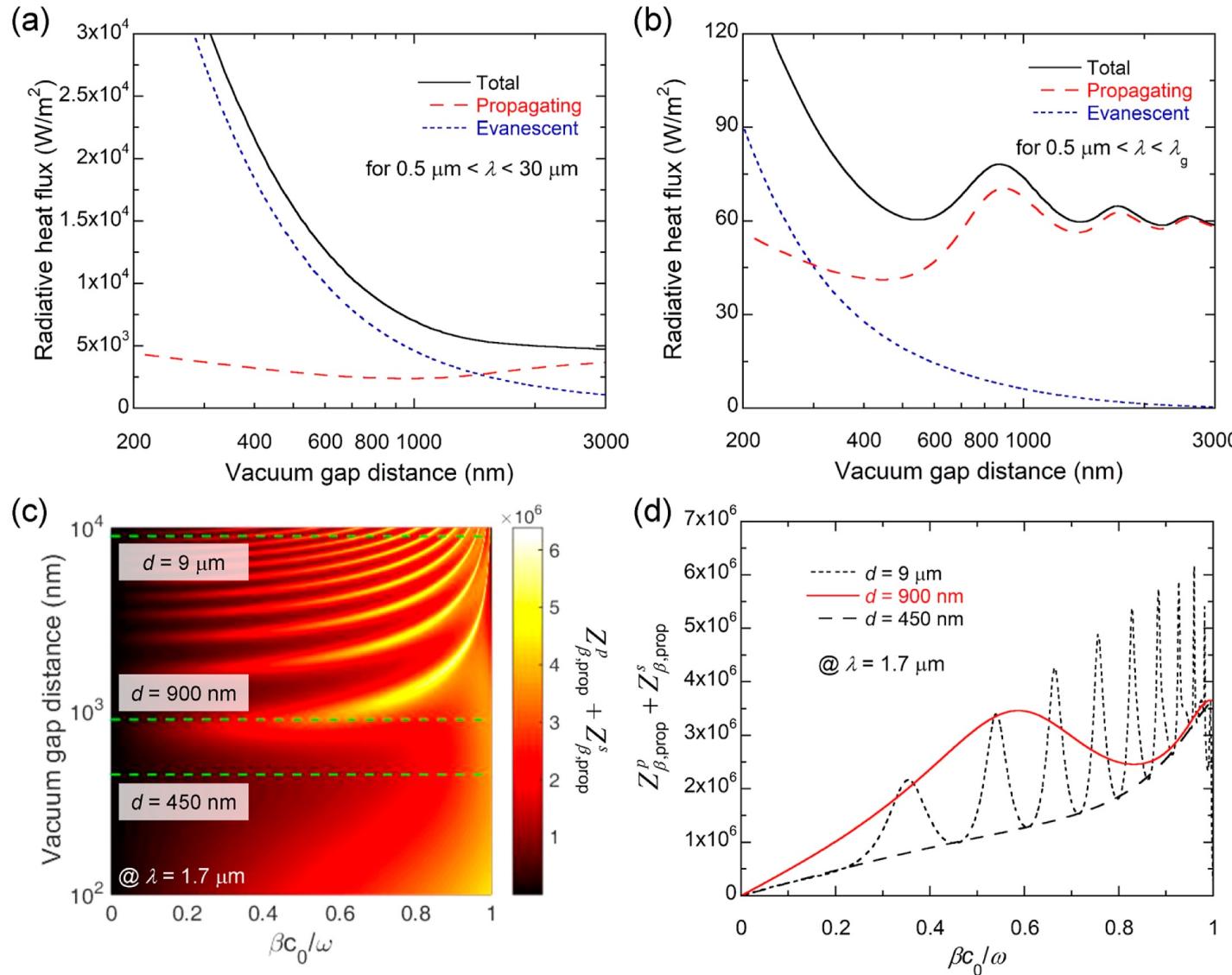


NF-TPV Conversion Experiment – cont'd



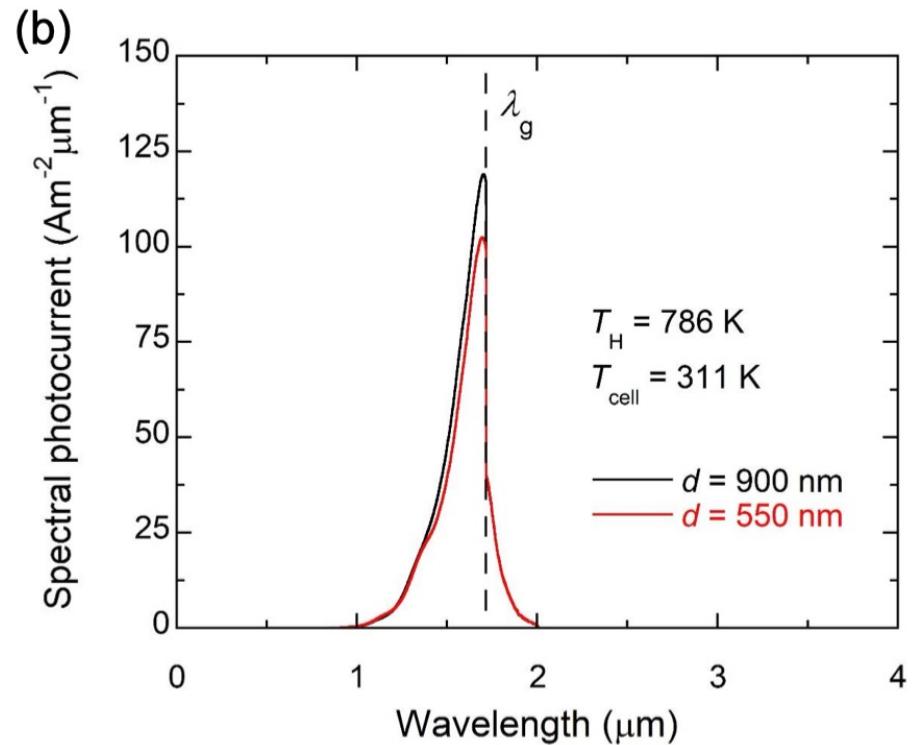
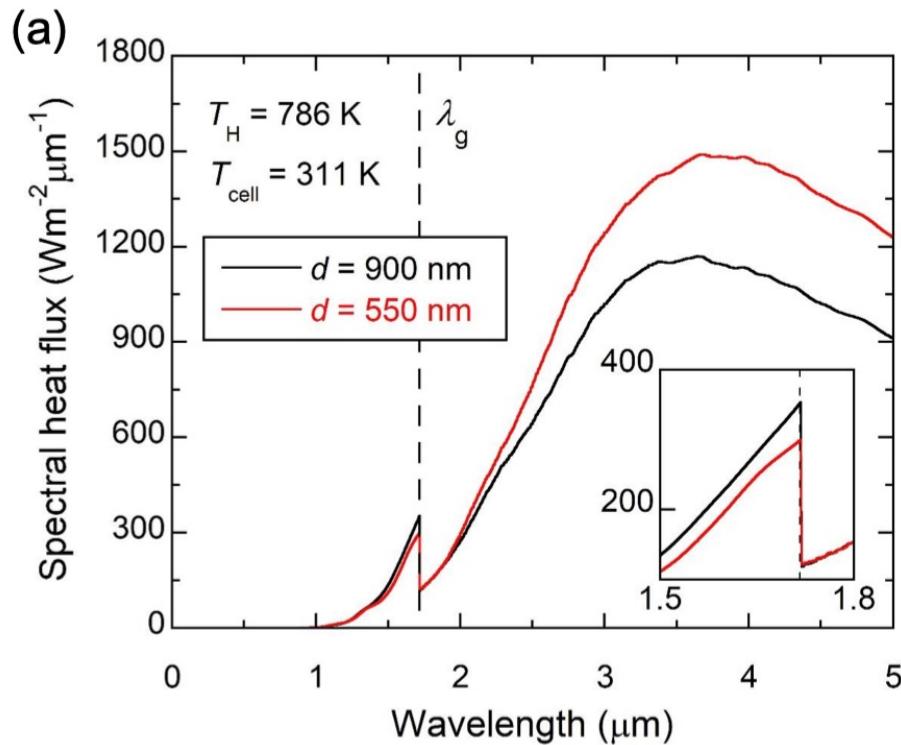
J. Song, J. Jang, M. Lim, M. Choi, J. Lee, and B.J. Lee, ACS Photonics 9, 1748 – 1756, 2022.

NF-TPV Conversion Experiment – cont'd



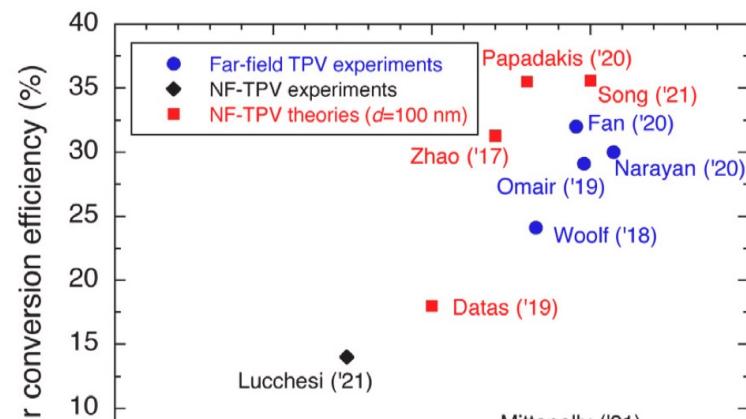
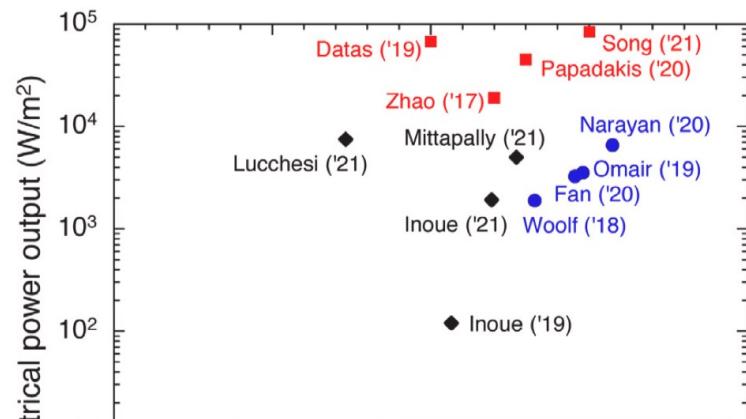
J. Song, J. Jang, M. Lim, M. Choi, J. Lee, and B.J. Lee, ACS Photonics 9, 1748 – 1756, 2022.

NF-TPV Conversion Experiment – cont'd



J. Song, J. Jang, M. Lim, M. Choi, J. Lee, and B.J. Lee, ACS Photonics 9, 1748 – 1756, 2022.

Prospects & Acknowledgements



2021 Cherry blossom

