Energy, momentum, and angular momentum transfers mediated by photons

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Outline

- Radiative (heat & momentum) transfer, experimental background
- NEGF theory of energy, momentum, and angular momentum transfer
 - N + 1 objects, bath at infinity
 - Meir-Wingreen/Landauer formula
 - Zero-point motion, when it contributes?
- Applications
 - Near-field heat transfer between graphene objects
 - Angular momentum emission from current-driven benzene molecule
 - Nonreciprocity, graphene edge effect

Blackbody radiation



Experimental background, blackbody radiation



Stefan-Boltzmann law:

 $\langle S \rangle = \sigma T^4$

Poynting vector

$$\mathbf{S} = \frac{1}{\mu_0} \mathbf{E} \times \mathbf{B}$$

Heat transfer between (grey) bodies



Polder & van Hove problem (1971)

Near-field heat transfer





(Casimir) force



Casimir force in plate-sphere geometry, from Mohideen and Roy, PRL (1998).

$$F \approx -\frac{\pi^3 R\hbar c}{360 \, d^3}$$

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Angular momentum emission





2D semiconductor junction made of WSe_2 that can emit polarized light. From Y. J. Zhang, et al., Science 344, 725 (2014).



Nonequilibrium Green's function (NEGF) theory

https://phyweb.physics.nus.edu.sg/~phywjs/NEGF/review-2022.pdf



Question: what are the energy emitted, force and torque applied to, for each of the object 1 to N+1.



$$D_{\mu\nu}(\mathbf{r},\tau;\mathbf{r}',\tau') = \frac{1}{i\hbar} \langle T_{\tau} A_{\mu}(\mathbf{r},\tau) A_{\nu}(\mathbf{r}',\tau') \rangle \rightarrow \begin{bmatrix} D^{t} & D^{<} \\ D^{>} & D^{\overline{t}} \end{bmatrix} \qquad \mathbf{E} = -\frac{\partial \mathbf{A}}{\partial t}, \quad \mathbf{B} = \nabla \times \mathbf{A}$$
$$\tau = (t,\pm) \qquad \mathbf{A} \to A_{\mu}, \quad \mu = x, y, z$$

 $D^r = D^t - D^{<}$

 $D^{t} + D^{\overline{t}} = D^{>} + D^{<} = D^{K}, \qquad D^{>} - D^{<} = D^{r} - D^{a}, \qquad D^{t} - D^{\overline{t}} = G^{r} + G^{a}$

$$D = v + v\Pi D \quad \rightarrow \quad \begin{cases} D^{<} = D^{r} (\Pi^{<} + \Pi_{\infty}^{<}) D^{a} \\ D^{r} = v^{r} + v^{r} \Pi^{r} D^{r} \end{cases} \qquad \qquad v^{-1} = -\mathcal{E}_{0} \left(\frac{\partial^{2}}{\partial \tau^{2}} U + c^{2} \nabla \times \nabla \times \right)$$

In equilibrium:
$$D^{<} = N(\omega) (D^{r} - D^{a}), \qquad N(\omega) = \frac{1}{e^{\beta \hbar \omega} - 1}$$

NEGF definitions

$$D^{>}_{\mu\nu}(\mathbf{r},t;\mathbf{r}'t') = \frac{1}{i\hbar} \left\langle A_{\mu}(\mathbf{r},t)A_{\nu}(\mathbf{r}',t') \right\rangle$$
$$D^{<}_{\mu\nu}(\mathbf{r},t;\mathbf{r}'t') = \frac{1}{i\hbar} \left\langle A_{\nu}(\mathbf{r}',t')A_{\mu}(\mathbf{r},t) \right\rangle$$

$$\mathbf{E} = -\frac{\partial \mathbf{A}}{\partial t}, \quad \mathbf{B} = \nabla \times \mathbf{A}$$
$$\mathbf{A} \to A_{\mu}, \quad \mu = x, y, z$$

 $\langle \ldots \rangle = \mathrm{Tr}(\rho \cdots)$

$$D^{r} = \theta(t-t') \left(D^{>} - D^{<} \right)$$

 $D^{>} + D^{<} = D^{K}, \qquad D^{>} - D^{<} = D^{r} - D^{a} = -iA$

Fluctuation-dissipation theorem in thermal equilibrium

$$D^{<} = N(D^{r} - D^{a}), \qquad D^{>} = (N+1)(D^{r} - D^{a})$$
$$D^{a} = (D^{r})^{\dagger}$$

$$N = \frac{1}{e^{\beta \hbar \omega} - 1}$$

$$D^{<} \rightarrow D^{<}_{\mu\nu}(\omega;\mathbf{r},\mathbf{r'})$$
$$\left(D^{<}\right)^{\dagger} = -D^{<}$$

Dyson equations

$$D = v + v\Pi D \quad \rightarrow \quad \begin{cases} D^{<} = D^{r} \left(\Pi^{<} + \Pi_{\infty}^{<} \right) D^{a} \\ D^{r} = v^{r} + v^{r} \Pi^{r} D^{r} \end{cases} \qquad \qquad v^{-1} = -\varepsilon_{0} \left(\frac{\partial^{2}}{\partial \tau^{2}} U + c^{2} \nabla \times \nabla \times \right)$$

$$-\varepsilon_0 \left(\frac{\partial^2}{\partial t^2} U + c^2 \nabla \times \nabla \times \right) D^r(\mathbf{r}, \mathbf{r}'; t - t') = U \delta(t - t') \delta(\mathbf{r} - \mathbf{r}') + \int d^3 \mathbf{r}'' \int dt'' \Pi^r(\mathbf{r}, \mathbf{r}'', t - t'') D^r(\mathbf{r}'', \mathbf{r}', t'' - t')$$

$$U = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

 $\mathbf{j} = -\Pi^r \mathbf{A}$

Keldysh equation

$$D = v + v\Pi D \quad \rightarrow \quad \begin{cases} D^{<} = D^{r} \left(\Pi^{<} + \Pi_{\infty}^{<} \right) D^{a} \\ D^{r} = v^{r} + v^{r} \Pi^{r} D^{r} \end{cases}$$

$$v^{-1} = -\varepsilon_0 \left(\frac{\partial^2}{\partial t^2} U + c^2 \nabla \times \nabla \times \right)$$

 $D^{<} = v^{<} + v^{r} \Pi^{r} D^{<} + v^{r} \Pi^{<} D^{a} + v^{<} \Pi^{a} D^{a}$ $= D^{r} \Pi^{<} D^{a} + (I + D^{r} \Pi^{r}) v^{<} (I + \Pi^{a} D^{a})$ $= D^{r} \left(\Pi^{<} + N_{\infty} \left((-v^{r})^{-1} + (v^{a})^{-1} \right) \right) D^{a}$

environment: $v^{<} = N_{\infty}(v^{r} - v^{a})$

 $\prod_{\infty}^{r} = (-v^{r})^{-1}$

φ = 0 gauge, fundamental equation for vector potential **A**

$$v^{-1}\mathbf{A} = -\varepsilon_0 \left(\frac{\partial^2}{\partial t^2} + c^2 \nabla \times \nabla \times \right) \mathbf{A} = -\mathbf{j}$$

$$\mathbf{A} = -v \mathbf{j}, \qquad \mathbf{E} = -\frac{\partial \mathbf{A}}{\partial t} \qquad \text{dyadic Green's function } \mathbf{\ddot{G}} = -v / \mu_0$$

Quantization:

$$\left[A_{\mu}(\mathbf{r}), E_{\nu}(\mathbf{r}')\right] = -\frac{i\hbar}{\varepsilon_{0}}\delta_{\mu\nu}\delta(\mathbf{r}-\mathbf{r}')$$

Complication in $\varphi = 0$ gauge

- Gauss's law not reflected in the equation of motion
- States need to be selected such that

$$\left(\nabla \cdot \mathbf{E} - \frac{\rho}{\varepsilon_0}\right) |\Psi\rangle = 0$$

Poynting theorem, steady state average

$$\frac{\partial u}{\partial t} + \nabla \cdot \mathbf{S} = -\mathbf{E} \cdot \mathbf{j} \qquad \qquad u = \frac{1}{2} \left(\varepsilon_0 \mathbf{E}^2 + \frac{1}{\mu_0} \mathbf{B}^2 \right)$$

$$\left\langle \frac{\partial u}{\partial t} \right\rangle = \frac{\partial}{\partial t} \left\langle u \right\rangle = 0 \qquad \qquad \mathbf{E} = -\frac{\partial \mathbf{A}}{\partial t}$$

so $\langle \nabla \cdot \mathbf{S} \rangle = -\langle \mathbf{E} \cdot \mathbf{j} \rangle$ or $\iint d\Sigma \cdot \langle \mathbf{S} \rangle = -\int dV \langle \mathbf{E} \cdot \mathbf{j} \rangle$

Momentum and angular momentum conservation

$$-\frac{1}{c^2}\frac{\partial \mathbf{S}}{\partial t} + \nabla \cdot \mathbf{\ddot{T}} = \rho \mathbf{E} + \mathbf{j} \times \mathbf{B} = \mathbf{f}$$
$$\mathbf{\ddot{T}} = \varepsilon_0 \mathbf{E} \mathbf{E} + \frac{1}{\mu_0} \mathbf{B} \mathbf{B} - u \mathbf{U}$$

angular momentum density $\mathbf{l} = \mathbf{r} \times \mathbf{S} / c^2 = \varepsilon_0 \mathbf{r} \times (\mathbf{E} \times \mathbf{B})$

$$-\frac{\partial \mathbf{l}}{\partial t} - \nabla \cdot \left(\mathbf{\vec{T}} \times \mathbf{r} \right) = \mathbf{r} \times \mathbf{f}$$

From surface integral to volume integral

$$I_{\alpha} = \int d\mathbf{\Sigma} \cdot (\mathbf{E} \times \mathbf{B}) \frac{1}{\mu_{0}} = -\int dV \,\mathbf{E} \cdot \mathbf{j} = \int dV \frac{\partial \mathbf{A}}{\partial t} \cdot \mathbf{j}$$
$$\mathbf{F}_{\alpha} = \int d\mathbf{\Sigma} \cdot \mathbf{T} = \int dV \,\mathbf{f} = \int dV \sum_{\nu} (\nabla A_{\nu}) j_{\nu}$$
$$\mathbf{N}_{\alpha} = \int \mathbf{r} \times \mathbf{T} \cdot d\mathbf{\Sigma} = \int dV \,\mathbf{r} \times \mathbf{f} = \int dV \left(\sum_{\nu} (\mathbf{r} \times \nabla A_{\nu}) j_{\nu} + \mathbf{j} \times \mathbf{A} \right)$$

 $\mathbf{f} = \rho \mathbf{E} + \mathbf{j} \times \mathbf{B}$ $\mathbf{T} = \varepsilon_0 \mathbf{E} \mathbf{E} + \frac{1}{\mu_0} \mathbf{B} \mathbf{B} - u \mathbf{U}, \qquad u = \frac{1}{2} \left(\varepsilon_0 \mathbf{E}^2 + \frac{1}{\mu_0} \mathbf{B}^2 \right)$

A-j correlation function

$$F^{\alpha}_{\mu\nu}(\mathbf{r}\tau;\mathbf{r}'\tau') = \frac{1}{i\hbar} \left\langle T_{\tau}A_{\mu}(\mathbf{r},\tau)j^{\alpha}_{\nu}(\mathbf{r}',\tau')\right\rangle$$

$$\sum_{\alpha} F^{\alpha} = -D\Pi \quad \rightarrow \quad \sum_{\lambda} \int d^{3}\mathbf{r} \, "\int d\tau \, "D_{\mu\lambda}(\mathbf{r}\,\tau;\mathbf{r}\,"\,\tau\,")\Pi_{\lambda\nu}(\mathbf{r}\,"\,\tau\,";\mathbf{r}\,"\,\tau\,")$$

Assuming additivity: $\Pi \approx \sum_{\alpha=1}^{N} \Pi^{\alpha}$, then $F^{\alpha} = -D\Pi^{\alpha}$

In frequency domain, using Langreth rule, we have:

$$F^{K} = F^{>} + F^{<} = -(D\Pi)^{K} = -D^{r}\Pi^{K} - D^{K}\Pi^{a}$$

Hamiltonian, electron-photon system, temporal gauge

$$\hat{H} = \frac{\varepsilon_0}{2} \int dV \left[\left(\frac{\partial \mathbf{A}}{\partial t} \right)^2 + c^2 \left(\nabla \times \mathbf{A} \right)^2 \right] \\ + \sum_{j,l} c_j^{\dagger} H_{jl} c_l \exp \left(-i \frac{e}{\hbar} \int_l^j \mathbf{A} \cdot d\mathbf{r} \right)$$

electron charge is -e

Self energy Π

RPA



 $H = H_0 + H_{\text{int}}$

$$H_{\rm int} = -\int dV \mathbf{A} \cdot \mathbf{j} = \sum_{jkl\mu} c_j^{\dagger} M_{jk}^{l\mu} c_k A_{\mu}(\mathbf{r}_l)$$

 $D = v + v \Pi D$

 $\Pi_{l\mu,l'\nu}(\tau,\tau') = -i\hbar \operatorname{Tr}_{e}\left(M^{l\mu}G(\tau,\tau')M^{l'\nu}G(\tau',\tau)\right)$

Aslamazov-Larkin diagram (superconductivity, Coulomb drag)





 $\Pi^{r} = \omega^{2} \varepsilon_{0} (1 - \varepsilon)$ $= -i\omega\sigma$

Operator order: normal or symmetric order?

 $A^{\dagger} = A, B^{\dagger} = B$, but $\langle AB \rangle$ is not a real number

Two choices: $\frac{1}{2}\langle AB + BA \rangle$ or normal order $\langle :AB : \rangle$

$$\frac{1}{2} \langle AB + BA \rangle = \operatorname{Re} i\hbar \int_{0}^{\infty} \frac{d\omega}{2\pi} G_{AB}^{K}(\omega)$$

$$G_{AB}(\tau,\tau') = \frac{1}{i\hbar} \langle A(\tau)B(\tau') \rangle \qquad \qquad G^{K} = G^{>} + G^{<}$$

Meir-Wingreen formula

$$\begin{pmatrix} I_{\alpha} \\ \mathbf{F}_{\alpha} \\ \mathbf{N}_{\alpha} \end{pmatrix} = -\int_{0}^{\infty} \frac{d\omega}{2\pi} \operatorname{Re} \operatorname{Tr} \begin{bmatrix} -\hbar\omega \\ \hat{\mathbf{p}} \\ \hat{\mathbf{J}} \end{bmatrix} F_{\alpha}^{K}(\omega) \end{bmatrix}, \qquad \alpha = 1, 2, \cdots, N, N + 1$$

 $-F_{\alpha}^{K} = D^{r}\Pi_{\alpha}^{K} + D^{K}\Pi_{\alpha}^{a} \qquad \qquad F_{\mu\nu}(\mathbf{r},\mathbf{r}',\omega)$

$$\hat{\mathbf{p}} = \frac{\hbar}{i} \nabla, \quad \hat{\mathbf{J}} = \mathbf{r} \times \hat{\mathbf{p}} + \hat{\mathbf{S}}, \quad S^{\mu}_{\nu\lambda} = (-i\hbar) \varepsilon_{\mu\nu\lambda}$$

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Bath at infinity

 $\Pi_{\infty}^{r} = -(v^{r})^{-1}$

Eckhardt, PRA 29, 1991 (1984)

Krüger, et al, PRB 86, 115423 (2012)

$$\Pi_{\infty}^{r} = -i\varepsilon_{0}c\omega\left(\mathbf{U} - \hat{\mathbf{R}}\hat{\mathbf{R}}\right)$$
$$\hat{\mathbf{R}} = \frac{\mathbf{R}}{R}$$



From Meir-Wingreen to Landauer: local equilibrium approximation

$$-F_{\alpha}^{K} = D^{r}\Pi_{\alpha}^{K} + D^{K}\Pi_{\alpha}^{a}$$
$$\Pi_{\alpha}^{K} = -i(2N_{\alpha} + 1)\Gamma_{\alpha}$$
$$\Gamma_{\alpha} = i(\Pi_{\alpha}^{r} - \Pi_{\alpha}^{a})$$
$$D^{K} = D^{r}\sum_{\beta=1}^{N+1}\Pi_{\beta}^{K}D^{a}$$

No Landauer form for force and torque!

$$I_{\alpha} = \int_{0}^{\infty} \frac{d\omega}{2\pi} \hbar \omega \sum_{\beta=1}^{N+1} \left(N_{\alpha} - N_{\beta} \right) \operatorname{Tr} \left(D^{r} \Gamma_{\beta} D^{a} \Gamma_{\alpha} \right)$$

When zero-point-motion contribution is cancelled?

temperature $T \rightarrow 0$ $N \rightarrow 0$ when $\omega > 0$

$$\int_{0}^{\infty} \frac{d\omega}{2\pi} \operatorname{Tr}\left[\hat{O}\left(D^{r}(\Pi_{\alpha}^{r}-\Pi_{\alpha}^{a})+D^{r}\sum_{\beta=1}^{N+1}\left(\Pi_{\beta}^{r}-\Pi_{\beta}^{a}\right)D^{a}\Pi_{\alpha}^{a}\right)\right]=0?$$

$$\hat{O} = -\hbar\omega$$
 or $\hat{\mathbf{p}}$ or $\hat{\mathbf{J}}$

Emission to infinity

$$\begin{pmatrix} I_{\infty} \\ \mathbf{F}_{\infty} \\ \mathbf{N}_{\infty} \end{pmatrix} = \int_{0}^{\infty} \frac{d\omega}{2\pi} \operatorname{Re} \operatorname{Tr} \begin{bmatrix} -\hbar\omega \\ \hat{\mathbf{p}} \\ \hat{\mathbf{J}} \end{bmatrix} D^{r} \Pi^{<} D^{a} \Pi_{\infty}^{a} \end{bmatrix}$$

$$\Pi_{\infty}^{a} = i\omega\varepsilon_{0}c\left(\mathbf{U} - \hat{\mathbf{R}}\hat{\mathbf{R}}\right)$$

$$\hat{\mathbf{p}} = \frac{\hbar}{i} \nabla, \quad \hat{\mathbf{J}} = \mathbf{r} \times \hat{\mathbf{p}} + \hat{\mathbf{S}}, \quad S^{\mu}_{\nu\lambda} = (-i\hbar) \varepsilon_{\mu\nu\lambda}$$

Far field approximations

- Ignore screening or multiple reflection: $D^r \approx v^r$
- Multiple expansion: $D^r(\mathbf{R}, \mathbf{r}) = D^r(\mathbf{R}, 0) \mathbf{r} \cdot \nabla_{\mathbf{R}} D^r(\mathbf{R}, 0) + \cdots$
- Integrating over solid angle analytically, eigenmode representation for Π^r

$$-I_{\infty} = \frac{4\alpha}{3\hbar c^{2}} \sum_{\mu,n,n'} \left(\varepsilon_{n} - \varepsilon_{n'}\right)^{2} \theta(\varepsilon_{n} - \varepsilon_{n'}) \left| \left\langle n \mid V^{\mu} \mid n' \right\rangle \right|^{2} f_{n}(1 - f_{n'})$$

$$\alpha = \frac{e^{2}}{4\pi\varepsilon_{0}\hbar c} \approx \frac{1}{137}$$
Fermi golden rule

Torque and force on object

$$N_{\infty}^{\mu} = \int_{0}^{\infty} d\omega \frac{\hbar\omega}{6\pi^{2}\varepsilon_{0}c^{3}} \sum_{\alpha,\beta} \varepsilon_{\mu\alpha\beta} \Pi_{\beta\alpha}^{<}, \qquad \mu = x, y, z$$

$$F_{\infty}^{\mu} = \int_{0}^{\infty} d\omega \frac{\hbar\omega^{3}}{60\pi^{2}\varepsilon_{0}c^{5}} \sum_{l,l',\alpha} \left[4\Pi_{l\alpha,l'\alpha}^{<} \left(r_{\mu}^{l} - r_{\mu}^{l'} \right) - \left(r_{\alpha}^{l} - r_{\alpha}^{l'} \right) \Pi_{l\alpha,l'\mu}^{<} - \Pi_{l\mu,l'\alpha}^{<} \left(r_{\alpha}^{l} - r_{\alpha}^{l'} \right) \right]$$

Force is zero if system is reciprocal, i.e., if $(\Pi^{<})^{T} = \Pi^{<}$

Applications

Heat transfer between two graphene sheets



↑ Heat transfer ratio based on electron tight-binding model with nearest neighbor hopping t = 2.8 eV, between 300 K and 1000 K sheets at chemical potential $\mu = 0.1$ eV. Slope ≈ 2.2. Jiang & Wang, PRB 96, 155437 (2017). ↓ First principles QE/BerkeleyGW calculation for the ratio of energy transfer to blackbody value between two graphene sheets at temperatures 300 K and 1000 K, $\eta = 0.05$ eV, electron chemical potential at Dirac point. Zhu & Wang, PRB 104, L121409 (2021).



Heat transfer between zigzag nanotubes or triangles





Heat transfer from 400K to 300K objects. (a), (b) zigzag carbon nanotubes. (c), (d) nano-triangles. *d*: gap distance, *M*: nanotube circumference, *L*: triangle length. ε: dielectric constant. From Tang, Yap, Ren, and Wang, Phys. Rev. Appl. 11, 031004 (2019).

Angular momentum emission from a benzene molecule



Far field monopole approximation (all atoms are at the origin), ignore screening/multiple scatterings.

Angular momentum emission resonance effect





Largest angular momentum emission when one of the chemical potential meets the E = +t energy level. From Zhang, Lü, and Wang, PRB 101, 161406(R) (2020).

Force and torque from the nonequilibrium edge



 μ_L

 $\rightarrow p$

Emission from graphene edge





I, *N*, *F* scan $\mu_R vs \mu_L$. System size width 700 unit cells, k-point 401. From Zhang, et al, PRB 105, 205421 (2022).

Summary of NEGF approach

- Determine Π_{α} the photon-self energy for each object serving as bath
- Solve the Dyson equation $D^r = v + v \Pi^r D^r$
- Field distribution/correlation by the Keldysh equation $D^{<} = D^{r} \Pi^{<} D^{a}$
- Apply Meir-Wingreen or Landauer (when we have local equilibrium) formula to compute transport quantities: energy, momentum, and angular momentum

NEGF advantage

- Fully quantum-mechanical
- Local equilibrium or not, reciprocal or not, the theory is the same
- Strong coupling regime? E.g., cavity QED (different free Green's function *v*), or need selfconsistent Π_L(G_L, G_R)?
- First-principle based materials properties, i.e., Π .
- Challenges: Large system sizes? Moving objects?

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