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# *Electron interactions and fractional quantum Hall effect in graphene in a strong magnetic field*



Mark O. Goerbig

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Santa Barbara



Collaborators: Roderich Moessner, Benoît Douçot, Nicolas Regnault

# Overview

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- Interactions and  $SU(4)$  (spin  $\times$  valley) symmetry
  - symmetry breaking terms in  $n \neq 0$  (and  $n = 0$ )
  - interactions and pseudopotentials

$\Rightarrow$  MOG, R. Moessner, and B. Douçot,  
Phys. Rev. B 74, 161407 (2006)

- $SU(4)$  fractional quantum Hall effect
  - $SU(4)$  generalisation of Halperin's wave function
  - comparison with numerical results (exact diagonalisation)

$\Rightarrow$  MOG and N. Regnault, *work in progress*

# Graphene in a magnetic field

- Bandstructure near the points  $K$  and  $K'$  (valley  $\alpha = \pm$ ):

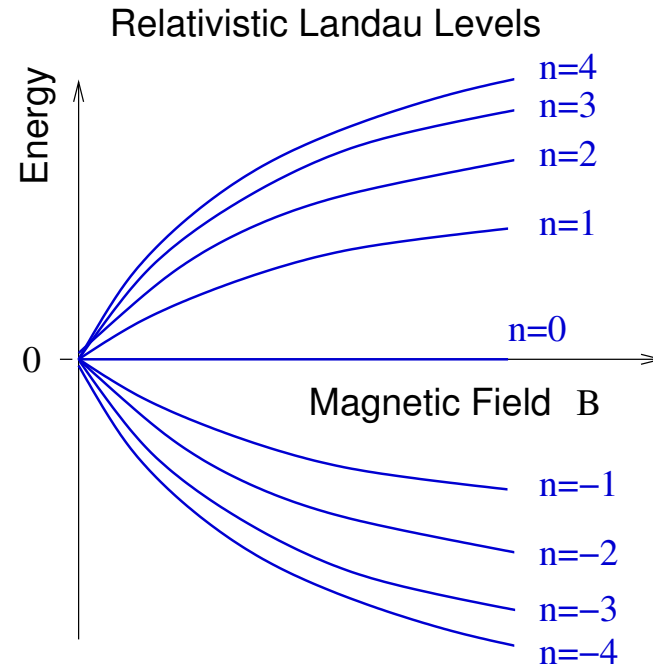
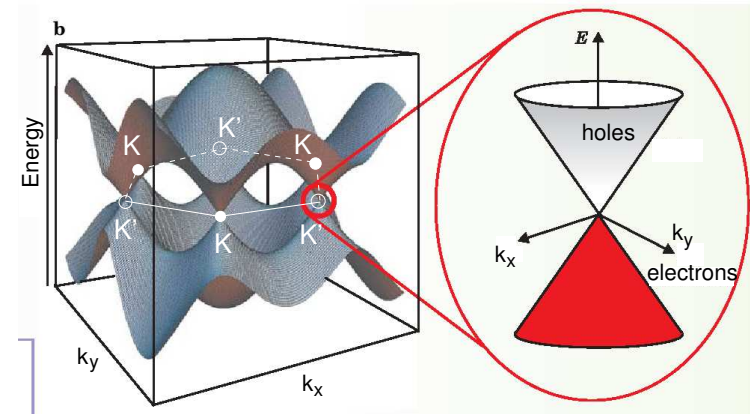
$$\mathcal{H}^{\pm}(\boldsymbol{\kappa}) = \frac{3}{2}ta \begin{pmatrix} 0 & \kappa_1 \mp i\kappa_2 \\ \kappa_1 \pm i\kappa_2 & 0 \end{pmatrix}$$

$\boldsymbol{\kappa}$ : measured from  $K$  and  $K'$  points

- Energy dispersion with magnetic field (degenerate in valley index  $\alpha$ ):

$$\epsilon_n = \pm \hbar \frac{v_F}{l_B} \sqrt{|n|} \propto \sqrt{B|n|}$$

(Relativistic LLs)



# Length and energy scales in graphene

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## Length scales

- Distance between neighbouring carbon atoms:  $a = 0.14\text{nm}$
- Magnetic length:  $l_B = 26\text{nm}/\sqrt{B[\text{T}]}$
- Lattice effects (anisotropies, etc.):  $a/l_B \sim 0.005\sqrt{B[\text{T}]}$
- Larmor radius:  $R_L = \sqrt{n}l_B$

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## Energy scales

- Band width:  $t = 2.7\text{eV}$
- LL 'spacing':  $\sqrt{2}\hbar v_F/l_B = 3ta/\sqrt{2}l_B \sim 35\sqrt{B[\text{T}]} \text{meV}$

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- Band width:  $t = 2.7\text{eV}$
- LL 'spacing':  $\sqrt{2}\hbar v_F/l_B = 3ta/\sqrt{2}l_B \sim 35\sqrt{B[\text{T}]} \text{meV}$
- Zeeman splitting:  $\Delta_z = g\mu_B B \sim 0.1B[\text{T}] \text{meV}$
- Interaction energy:  $e^2/\epsilon l_B \sim 2.4\dots 12\sqrt{B[\text{T}]} \text{meV}$

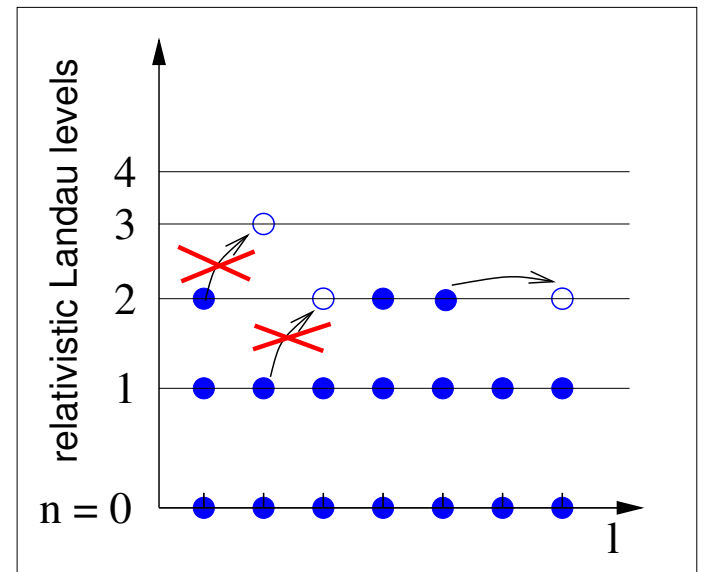
# Interaction model – densities

- Electrons in a single rel. LL at  $\nu \neq 2n + 1$  (no spin):

$$H = \frac{1}{2} \sum_{\mathbf{q}} V(q) \rho^n(-\mathbf{q}) \rho^n(\mathbf{q})$$

with Coulomb interaction

$$V(q) = \frac{2\pi e^2}{\epsilon q}$$



- Projected densities  $\rho^n(\mathbf{q}) = \sum_{\alpha, \alpha'} F_n^{\alpha\alpha'}(\mathbf{q}) \bar{\rho}^{\alpha\alpha'}(\mathbf{q})$ :

$$\bar{\rho}^{\alpha\alpha'}(\mathbf{q}) = \sum_{m, m'} \langle m | e^{-i[\mathbf{q} + (\alpha - \alpha')\mathbf{K}] \cdot \mathbf{R}} | m' \rangle c_{n, m, \alpha}^\dagger c_{n, m', \alpha'}$$

**R**: guiding center operator

## Interaction model (II)

---

- Graphene form factors  $l_B \equiv 1$ :

$$F_n^{++}(\mathbf{q}) = \frac{1}{2} \left[ L_{|n|} \left( \frac{|\mathbf{q}|^2}{2} \right) + L_{|n|-1} \left( \frac{|\mathbf{q}|^2}{2} \right) \right] e^{-|\mathbf{q}|^2/4} = F_n^{--}(\mathbf{q}) \equiv \mathcal{F}_n(\mathbf{q})$$

$$F_n^{+-}(\mathbf{q}) = \left( \frac{-i(q + q^* - K - K^*)}{2\sqrt{2|n|}} \right) L_{|n|-1}^1 \left( \frac{|\mathbf{q} - \mathbf{K}|^2}{2} \right) e^{-|\mathbf{q} - \mathbf{K}|^2/4}$$

$$F_n^{-+}(\mathbf{q}) = [F_n^{+-}(-\mathbf{q})]^*$$



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- Model:  $H = \frac{1}{2} \sum_{\alpha_1, \dots, \alpha_4} \sum_{\mathbf{q}} v_n^{\alpha_1, \dots, \alpha_4}(\mathbf{q}) \bar{\rho}^{\alpha_1 \alpha_3}(-\mathbf{q}) \bar{\rho}^{\alpha_2 \alpha_4}(\mathbf{q})$

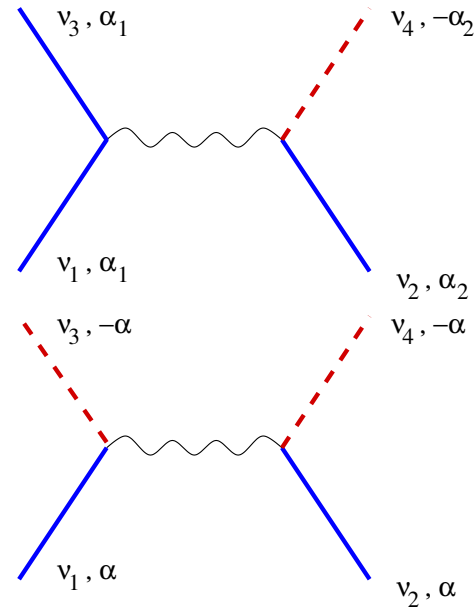
with interaction vertex:

$$v_n^{\alpha_1, \dots, \alpha_4}(\mathbf{q}) = \frac{2\pi e^2}{\epsilon |\mathbf{q}|} F_n^{\alpha_1 \alpha_3}(-\mathbf{q}) F_n^{\alpha_2 \alpha_4}(\mathbf{q}),$$

$\Rightarrow$  No SU(2) valley symmetry so far !!

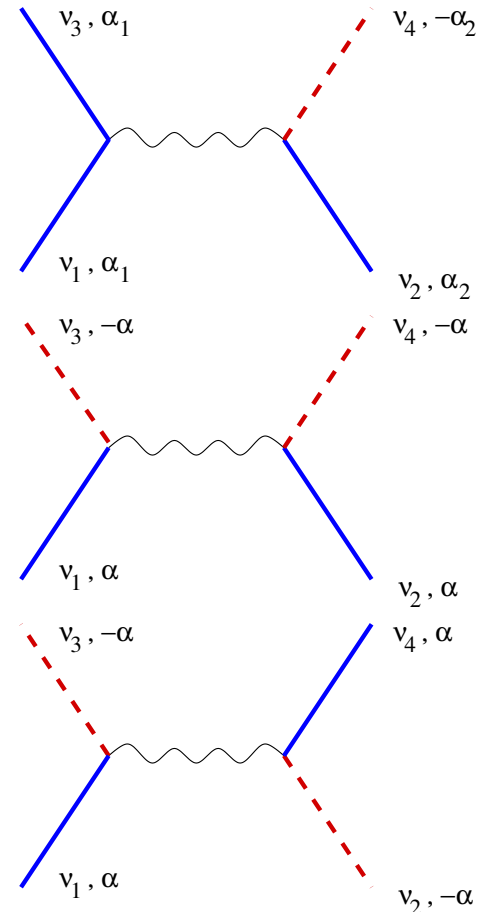
# Scattering Processes in $n \neq 0$

- Terms of the form  
 $F_n^{\alpha, \alpha}(\mp \mathbf{q}) F_n^{\alpha', -\alpha'}(\pm \mathbf{q})$  :  
exp. suppressed  $\sim \exp(-|\mathbf{K}|/8)$
- Umklapp terms  
 $F_n^{\alpha, -\alpha}(-\mathbf{q}) F_n^{\alpha, -\alpha}(\mathbf{q})$  :  
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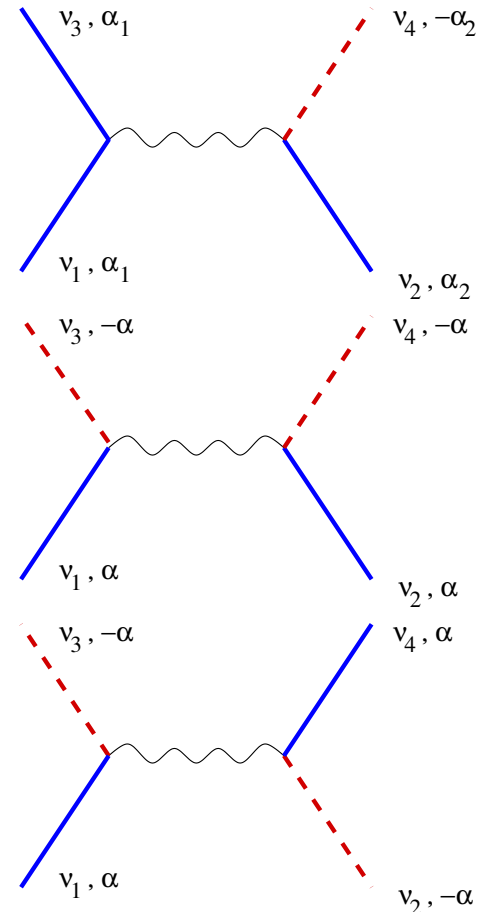
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- Backscattering terms  $F_n^{\alpha, -\alpha}(-\mathbf{q}) F_n^{-\alpha, \alpha}(\mathbf{q})$  :  
alg. small  $\sim 1/|\mathbf{K}| \sim a/l_B$



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$$\Rightarrow H_{SU(2)}^n = \frac{1}{2} \sum_{\alpha, \alpha'} \sum_{\mathbf{q}} \frac{2\pi e^2}{\epsilon |\mathbf{q}|} [\mathcal{F}_n(q)]^2 \bar{\rho}^{\alpha, \alpha}(-\mathbf{q}) \bar{\rho}^{\alpha', \alpha'}(\mathbf{q}) + \mathcal{O}(a/l_B)$$

# ***SU(2) Model***

---

- SU(2) Interaction Hamiltonian:

$$H_{SU(2)}^n = \frac{1}{2} \sum_{\mathbf{q}} v_n^G(q) \bar{\rho}(-\mathbf{q}) \bar{\rho}(\mathbf{q})$$

with total projected density  $\bar{\rho}(\mathbf{q}) = \bar{\rho}^{++}(\mathbf{q}) + \bar{\rho}^{--}(\mathbf{q})$   
and effective interaction potential for graphene:

$$v_{n \neq 0}^G(q) = \frac{\pi e^2}{\epsilon q} e^{-q^2/2} \left[ L_{|n|} \left( \frac{q^2}{2} \right) + L_{|n|-1} \left( \frac{q^2}{2} \right) \right]^2, \quad v_0^G(q) = \frac{2\pi e^2}{\epsilon q} e^{-q^2/2}$$

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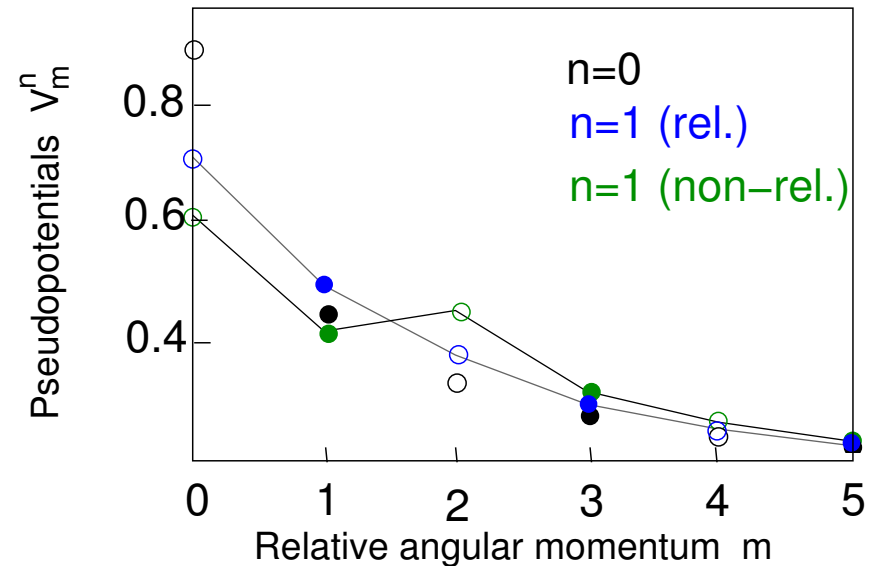
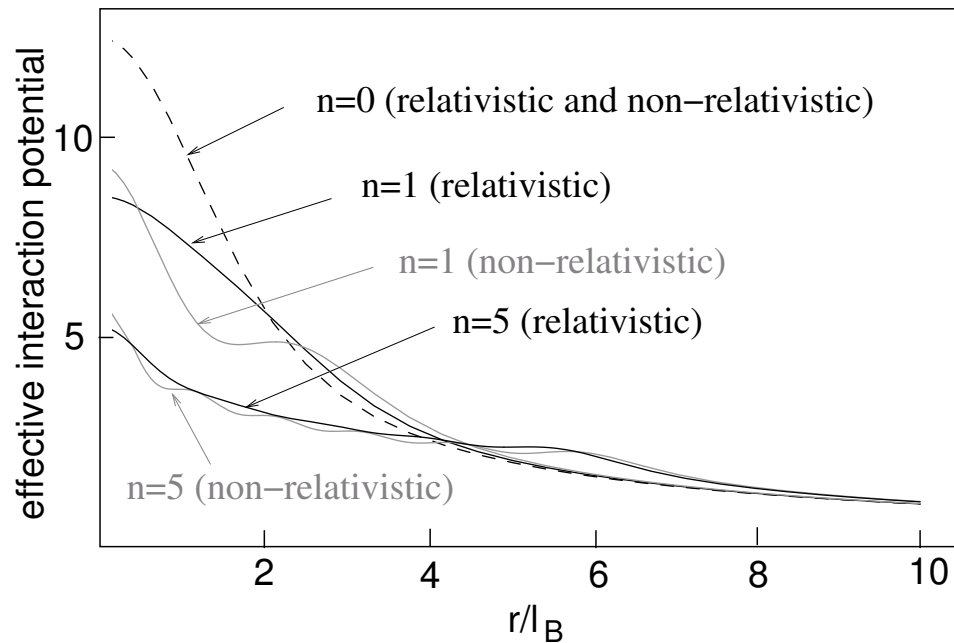
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- Magnetic translation algebra for projected densities:

$$[\bar{\rho}(\mathbf{q}), \bar{\rho}(\mathbf{q}')] = 2i \sin \left( \frac{\mathbf{q} \wedge \mathbf{q}'}{2} \right) \bar{\rho}(\mathbf{q} + \mathbf{q}')$$

- ⇒ Same structure as for **non-relativistic** QH systems, but
- **SU(4)** symmetry (spin  $\times$  valley)
  - modified interaction potential

# Effective $SU(2)$ interaction potentials – FQHE



- Largest difference between rel. and non-rel. case in  $n = 1$
- Similar behaviour of rel. interaction in  $n = 0$  and  $n = 1$ :
  - “valley-polarised” FQHE states most stable in  $n = 1$
  - absence of non-rel.  $n = 1$  physics: Pfaffian at  $\nu = 5/2$  ?

## ***SU(2) symmetry-breaking terms of $\mathcal{O}(a/l_B)$***

---

- Backscattering terms in  $n \neq 0$ :

$$H_{bs} = \frac{1}{2} \sum_{\alpha} \sum_{\mathbf{q}} v_n^{\alpha, -\alpha}(\mathbf{q}) \bar{\rho}^{\alpha, -\alpha}(-\mathbf{q}) \bar{\rho}^{-\alpha, \alpha}(\mathbf{q})$$

with interaction  $v_n^{+-}(\mathbf{q}) = v_n^{-+}(-\mathbf{q})$  peaked at  $\mathbf{q} = \pm \mathbf{K}$ :

$$v_n^{+-}(q) \sim e^2/\epsilon |\mathbf{K}| l_B^2 \sim (e^2/\epsilon l_B)(a/l_B)$$



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- Electrostatics in  $n = 0$ :

charge distributed homogeneously on **both** sublattices

$\Rightarrow$  “easy-plane anisotropy”  $SU(2) \rightarrow U(1)$

**BUT** : other possible symmetries (e.g. for on-site  $U$ )

Alicea and Fisher, PRB 74, 075422 (2006); Herbut, cond-mat/0610249

# *Towards a FQHE in graphene*

---

- Chern-Simons approach
- ⇒ Relativistic composite fermions (CF) ?
  - Peres, Guinea, Castro Neto, PRB 73, 125411 (2006)
  - Khveshchenko, cond-mat/0607174
- Exact diagonalisation with SU(2) chirality symmetry only (fully spin-polarised)
  - Apalkov and Chakraborty, PRL 97, 126801 (2006)
  - Töke et al., PRB 74, 235417 (2006)
- CF wave functions with SU(4) symmetry at  $\nu = p/(2sp + 1)$ 
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# Towards a FQHE in graphene

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Töke and Jain, cond-mat/0701026
- ⇒ SU(4) generalisation of Halperin's wave functions  
at "new fractions"  
MOG and N. Regnault, work in progress

# ***$SU(K)$ generalisation of Halperin's wave functions***

---

- Laughlin's wave function (apart from Gaussian factor):

$$\phi_m^L = \prod_{k < l}^N (z_k - z_l)^m, \quad m \text{ odd}$$

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- Halperin's generalisation for **SU(2)** spin:

$$\phi_{m_1, m_2, n}^H = \prod_{k_1 < l_1}^{N_1} (z_{k_1}^{(1)} - z_{l_1}^{(1)})^{m_1} \prod_{k_2 < l_2}^{N_2} (z_{k_2}^{(2)} - z_{l_2}^{(2)})^{m_2} \prod_{k_1, k_2}^{N_1, N_2} (z_{k_1}^{(1)} - z_{k_2}^{(2)})^n$$

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- **SU(K)** generalisation:

$$\psi_{m_1, \dots, m_K; n_{ij}}^{SU(K)} = \prod_{j=1}^K \prod_{k_j < l_j}^{N_j} (z_{k_j}^{(j)} - z_{l_j}^{(j)})^{m_j} \prod_{i < j}^K \prod_{k_i, k_j}^{N_i, N_j} (z_{k_i}^{(i)} - z_{k_j}^{(j)})^{n_{ij}}$$

# Symmetries of SU(4) states (I)

- Symmetric exponent matrix

$$M = (n_{ij}),$$

$$n_{ji} = n_{ij}; \quad n_{jj} \equiv m_j$$

- Filling factors :

$$\begin{pmatrix} \nu_1 \\ \vdots \\ \nu_4 \end{pmatrix} = M_4^{-1} \begin{pmatrix} 1 \\ \vdots \\ 1 \end{pmatrix}$$

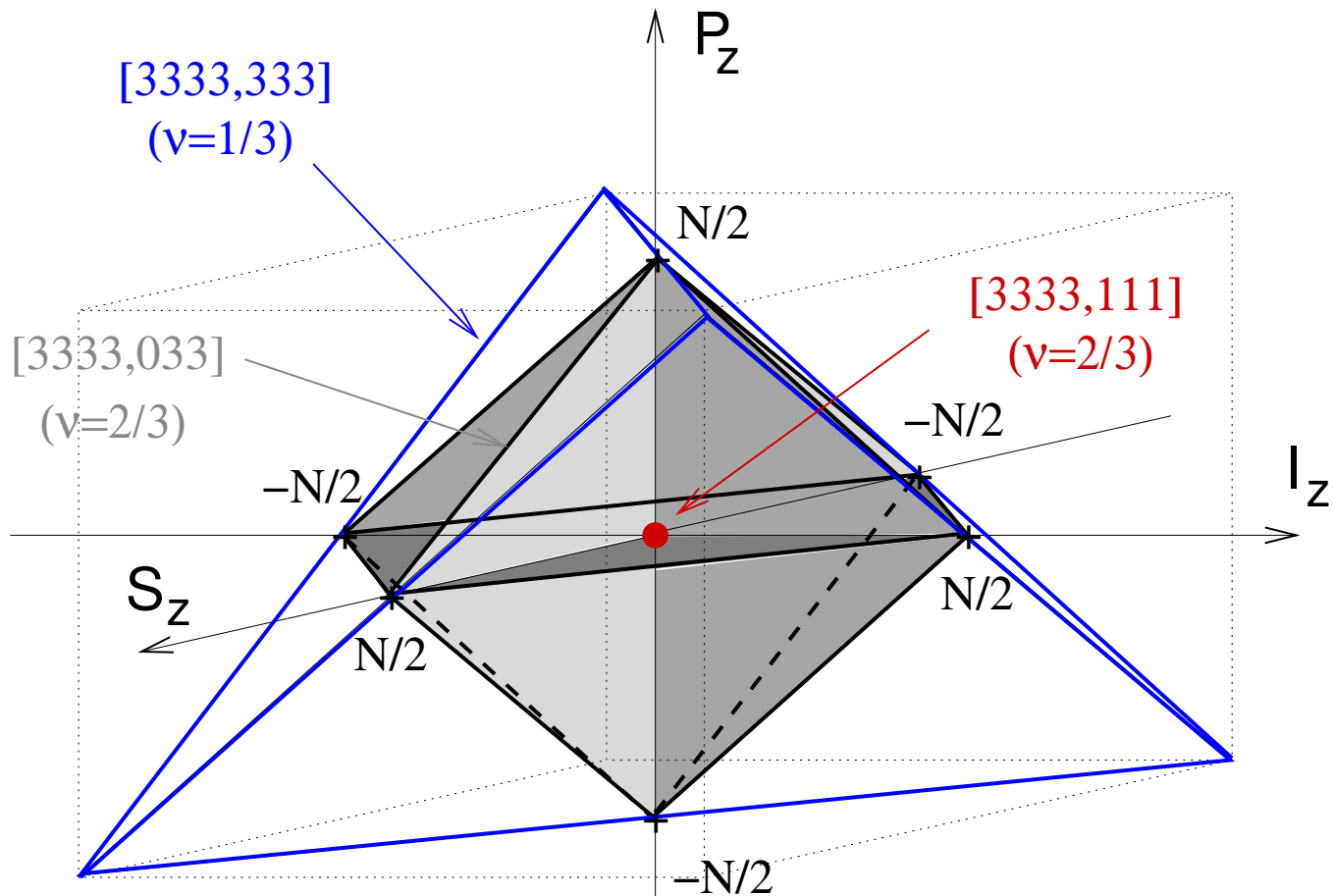
$[m_1 m_2 m_3 m_4, n_e n_+ n_-]$	$r$	$\nu_T$	$\frac{S_z}{N/2}$	$\frac{I_z}{N/2}$	$\frac{P_z}{N/2}$
[3333, 111]	4	2/3	0	0	0
[3333, 033]	2	2/3	—	0	—
[3555, 222]	4	2/5	1/3	1/3	1/3
[3333, 233]	2	2/5	—	0	—
[3535, 222]	4	8/19	0	1/2	0
[5555, 222]	4	4/11	0	0	0
[3737, 233]	3	4/11	—	1/2	—
[3535, 235]	2	4/11	—	1/2	—
[3333, 333]	1	1/3	—	—	—

- Matrix rank  $r$  of  $M_4$ : residual symmetries
  - $r = 1$ : SU(4) ferromagnet (at  $\nu = 1/m$ )
  - $r = 2$ : e.g. SU(2) × SU(2) ferromagnet ([3333, 033])
  - $r = 3$ : e.g. SU(2) ferromagnet only at  $K$  (or  $K'$ )
  - $r = 4$ : invertible matrix (e.g. [3333, 111])

# Symmetries of $SU(4)$ states (II)

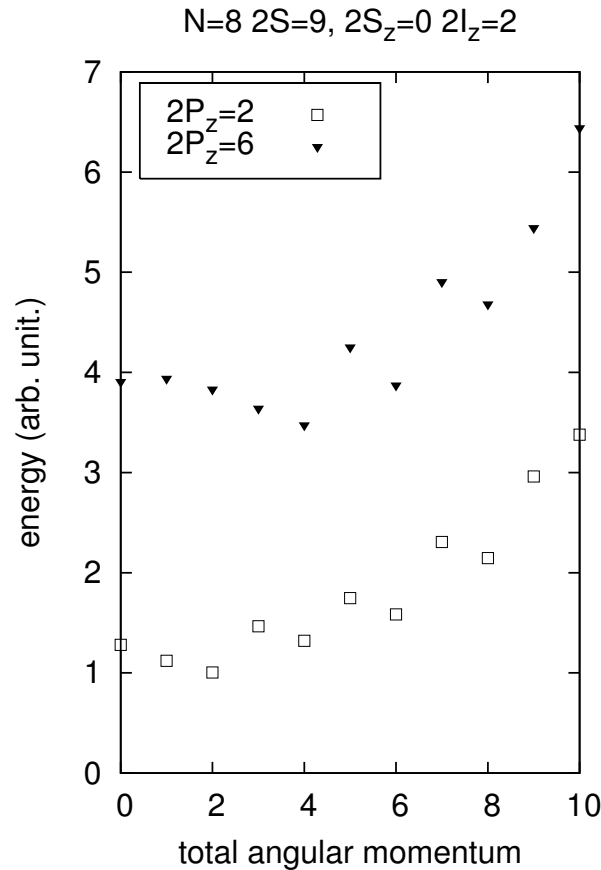
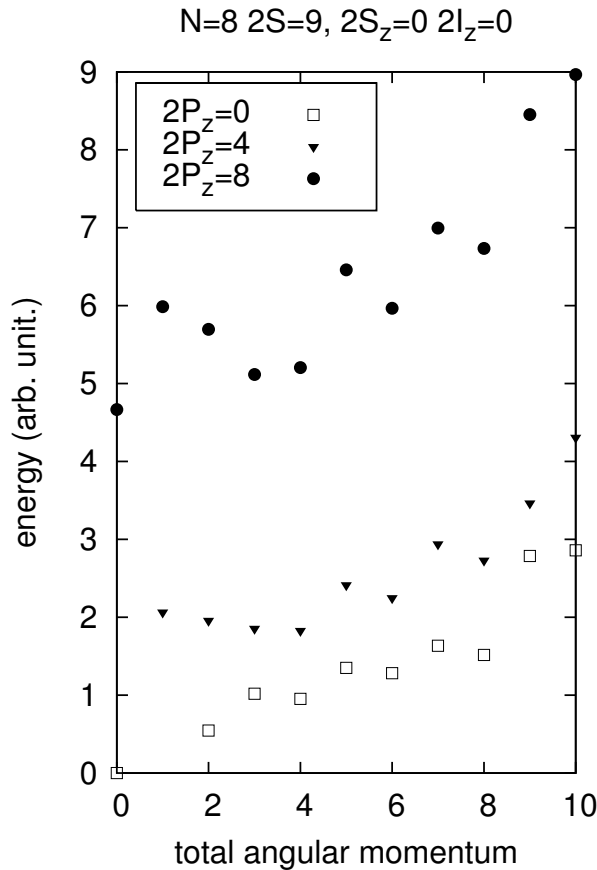
Good quantum numbers: “ $z$ -components” of  $SU(4)$  spin,

$$S_z \sim \tau_z \otimes 1, I_z \sim 1 \otimes \tau_z, P_z \sim \tau_z \otimes \tau_z$$





# Exact diagonalisation for $\nu = 2/3$ , [3333, 111]



$$N = 8, 2S = 9$$

calculations for model potential:

$$V_1^{\text{intra}} > 0,$$

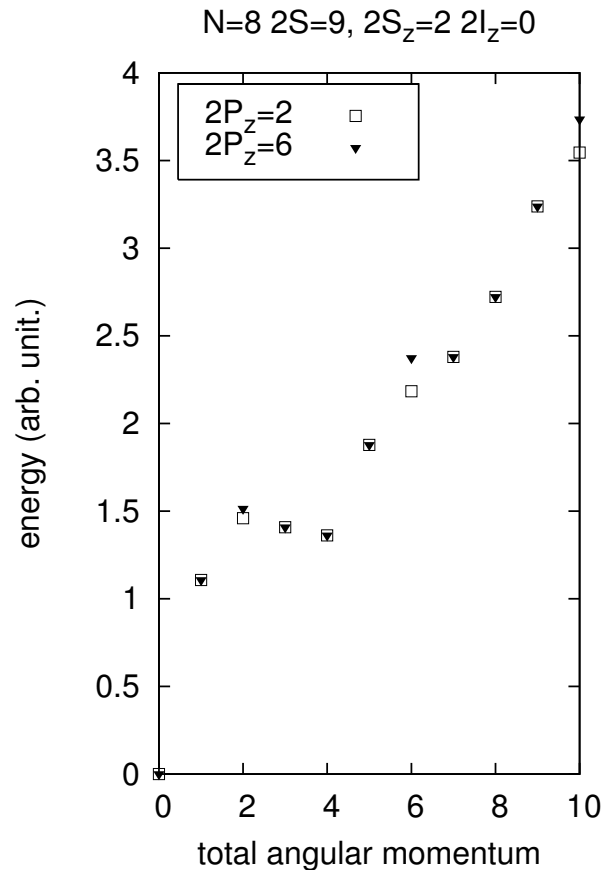
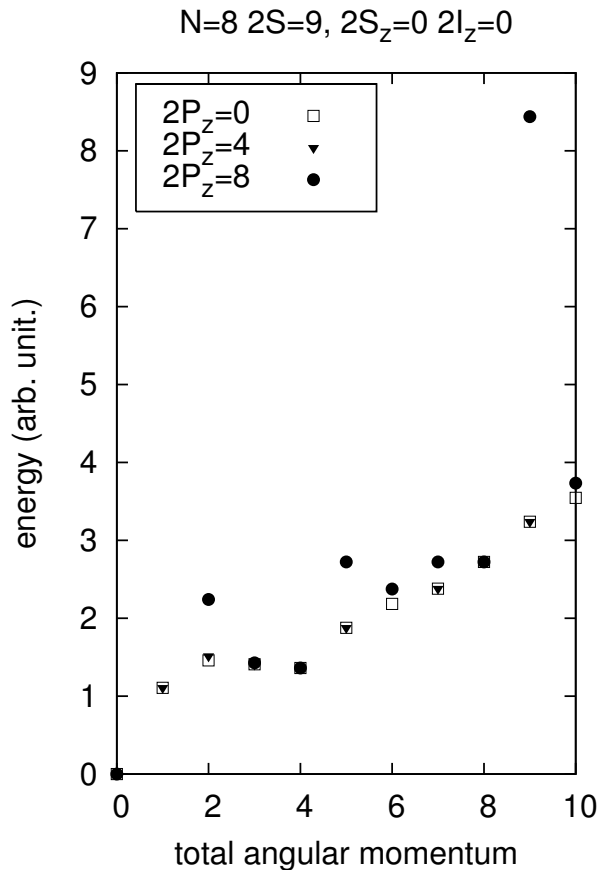
$$V_{m>1}^{\text{intra}} = 0,$$

$$V_0^{\text{inter}} > 0,$$

$$V_{m>0}^{\text{inter}} = 0$$

[3333, 111] is non-degenerate ground state with  $S_z = I_z = P_z = 0$

# Exact diagonalisation for $\nu = 2/3$ , [3333, 033]



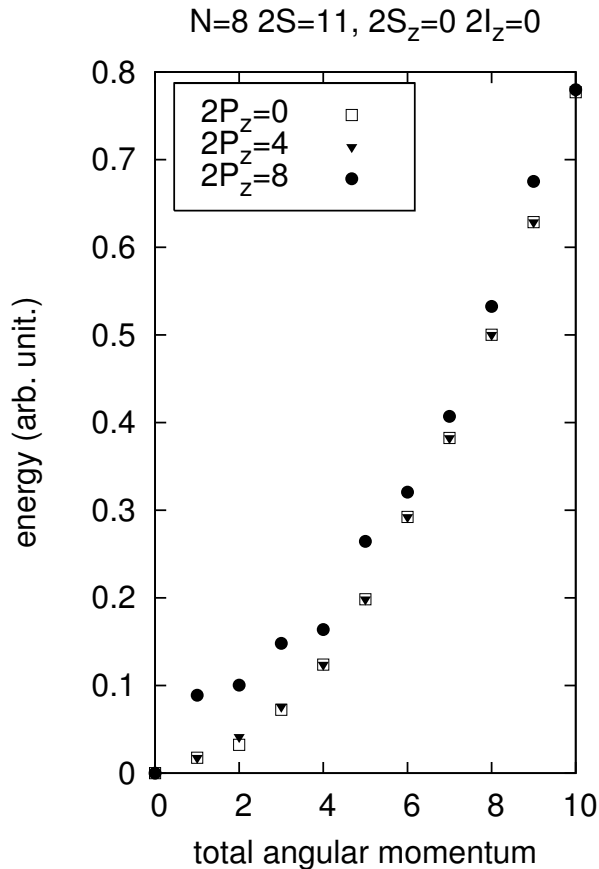
$$N = 8, 2S = 9$$

calculations for  
model potential

MC calculations  
for Coulomb:  
higher in energy  
than [3333, 111]

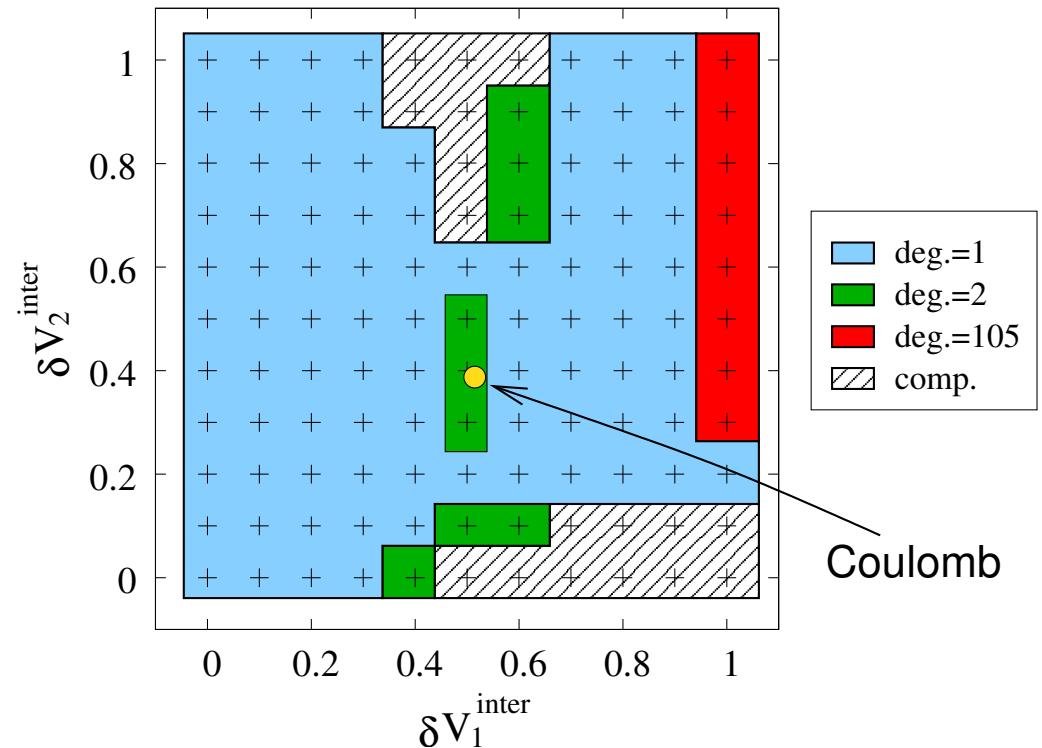
[3333, 033] is ground state with  $SU(2) \times SU(2)$  symmetry  
( $S_z = 0, I_z = 0, \text{ or } P_z = 0$ )

# Exact diagonalisation for $\nu = 2/3$ , Coulomb



calculations for **Coulomb** potential  
 same ground state as in **SU(2)**,  
 orthogonal to **[3333, 111]**

Pseudopotential variation



$N = 8, 2S = 11$

Goldstone mode ?

# Conclusions

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- Electron interactions in graphene in the QH regime:
  - effective SU(4) interaction model
  - symmetry-breaking terms of order  $a/l_B \sim 0.02$
- FQHE in graphene (what is different from GaAs):
  - graphene interaction potential
  - relativistic electrons but **non-relativistic behaviour** in lowest LL
  - **SU(4)** internal symmetry

⇒ possibly new fractions

# Outlook: From $SU(2)$ to $SU(4)$ in a fixed LL (I)

- Theoretical limit of vanishing Zeeman splitting:  
valley  $SU(2)$  symmetry  $\times$  spin  $SU(2)$  symmetry
- Generators of  $SU(2)$  symmetry: projected spin densities

$$\bar{S}^\mu(\mathbf{q}) = \bar{\rho}(\mathbf{q}) \otimes S^\mu = \frac{1}{2} \sum_{m,m';\sigma,\sigma'} \langle m | e^{-i\mathbf{q}\cdot\mathbf{R}} | m' \rangle c_{m;\sigma}^\dagger \tau_{\sigma,\sigma'}^\mu c_{m';\sigma'}$$

$$[\bar{S}^\mu(\mathbf{q}), \bar{\rho}(\mathbf{q}')] = 2i \sin\left(\frac{\mathbf{q} \wedge \mathbf{q}'}{2}\right) \bar{S}^\mu(\mathbf{q} + \mathbf{q}')$$

$$[\bar{S}^\mu(\mathbf{q}), \bar{S}^\nu(\mathbf{q}')] = \frac{i}{2} \delta^{\mu\nu} \sin\left(\frac{\mathbf{q} \wedge \mathbf{q}'}{2}\right) \bar{\rho}(\mathbf{q} + \mathbf{q}') + i\epsilon^{\mu\nu\sigma} \cos\left(\frac{\mathbf{q} \wedge \mathbf{q}'}{2}\right) \bar{S}^\sigma(\mathbf{q} + \mathbf{q}')$$

- Additional  $SU(2)$  symmetry –  $SU(2) \otimes SU(2)$ :

$$\bar{S}^\mu(\mathbf{q}) = \bar{\rho}(\mathbf{q}) \otimes (S^\mu \otimes \mathbb{1}) \quad \bar{I}^\nu(\mathbf{q}) = \bar{\rho}(\mathbf{q}) \otimes (\mathbb{1} \otimes \bar{I}^\nu)$$

## Outlook: From $SU(2)$ to $SU(4)$ in a fixed LL (II)

---

- Other generators of  $SU(4)$  obtained from commutators:

$$[\bar{S}^\mu(\mathbf{q}), \bar{I}^\nu(\mathbf{q}')] = 2i \sin\left(\frac{\mathbf{q} \wedge \mathbf{q}'}{2}\right) \bar{\rho}(\mathbf{q} + \mathbf{q}') \otimes (S^\mu \otimes I^\nu),$$

⇒  $SU(4)$  extension of magnetic translation algebra

[Ezawa, PRB 67, 125314 (2003); Tsitsishvili and Ezawa, PRB 70, 125304 (2004)]

- Necessary spin-valley entanglement in model (c.f. spin-charge entanglement in  $SU(2)$  extension)
- $SU(4)$  skyrmion physics at  $\nu = 1$

[Arovas et al., PRB 59, 13147 (1999); Ezawa, PRL 82, 3512 (1999)]