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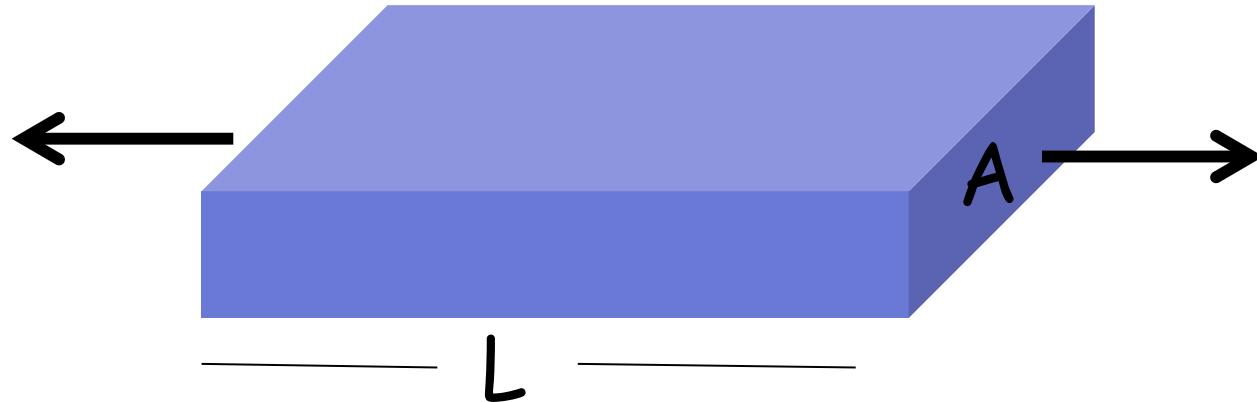
# Measuring the mechanical properties of carbon nanostructures using simple physics

Jim Hone

Columbia University  
Dept. of Mechanical Engineering  
Nanoscale Science and Engineering  
Center



# Mechanical Parameters



Young's Modulus

$$\text{Stress} \rightarrow \sigma = E \varepsilon \leftarrow \text{Strain}$$

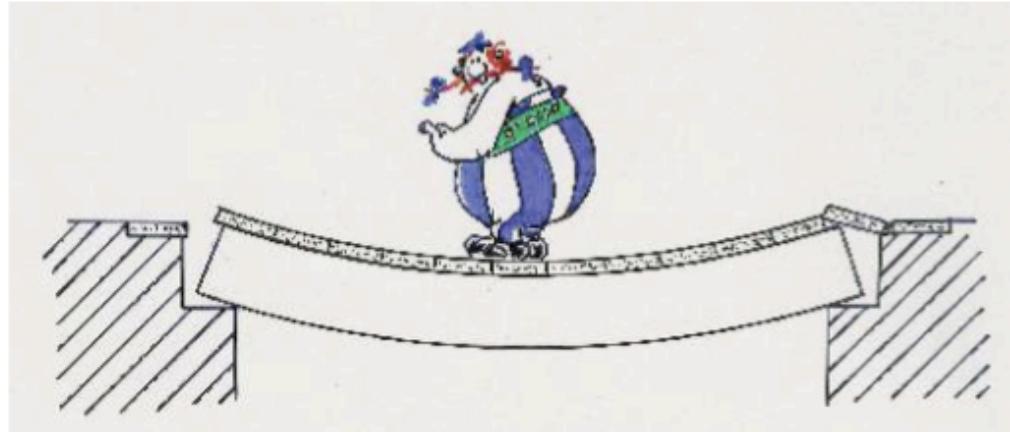
$$\frac{F}{A} = E \frac{\Delta L}{L}$$

Young's Modulus:  
**Stiffness in Elastic  
Regime**

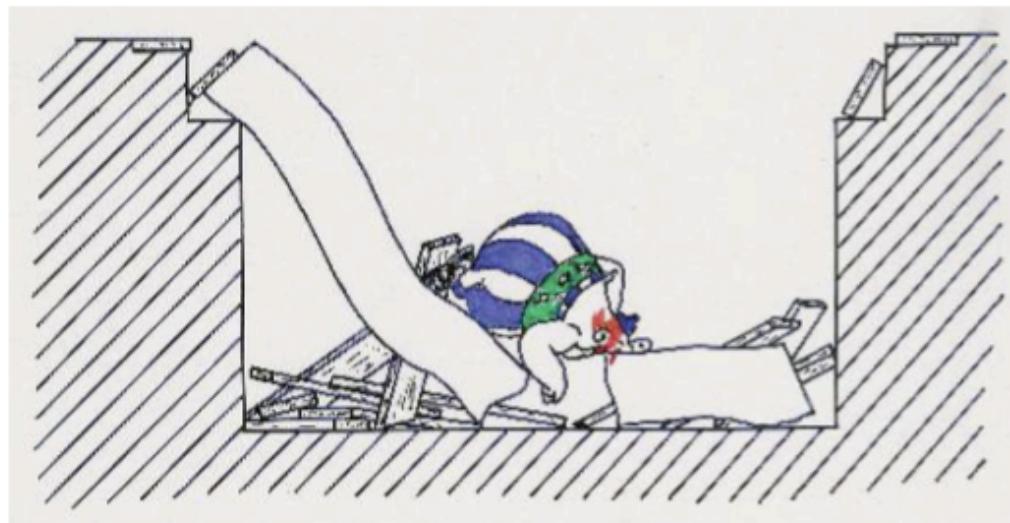
$\sigma_{\max}$  : **Strength**



# Stiffness vs. Strength



Stiffness: how much does it deform?

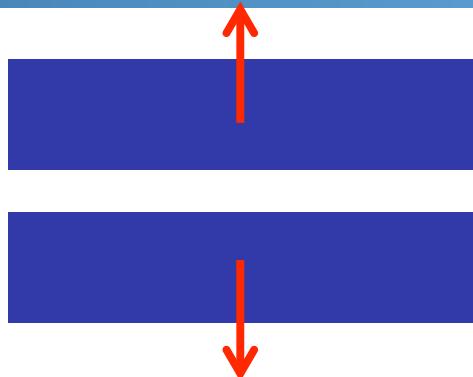


Strength: when does it break?

Fig. 1.1 : Stiffness and strength.



# What determines the strength of a material?



$$\sigma_{th} = \sqrt{\frac{E\gamma}{a_0}}$$

Surface Energy

Atomic Separation

	$a_0$ [m]	$E$ [GPa]	$\sigma_{th}$ [GPa]	$\sigma_b$ [MPa]	$\sigma_{th}/\sigma_b$
glass	$3 * 10^{-10}$	60	14	170	82
steel	$10^{-10}$	210	45	250	180
silica fibers	$10^{-10}$	100	31	25000	1.3
iron whiskers	$10^{-10}$	295	54	13000	4.2
silicon whiskers	$10^{-10}$	165	41	6500	6.3
alumina whiskers	$10^{-10}$	495	70	15000	4.7
ausformed steel	$10^{-10}$	200	45	3000	15
piano wire	$10^{-10}$	200	45	2750	16.4



# What determines the strength of a material?

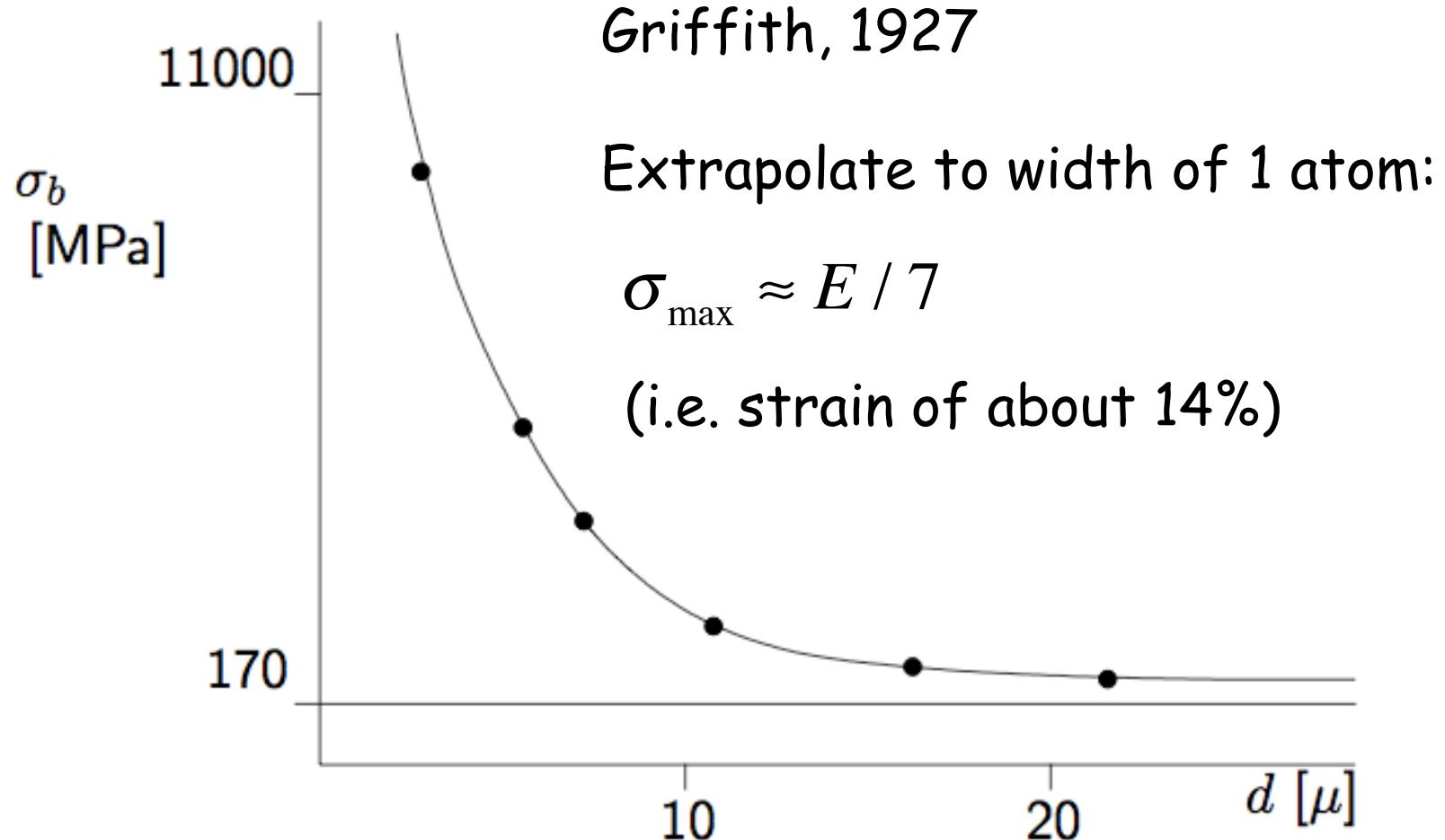
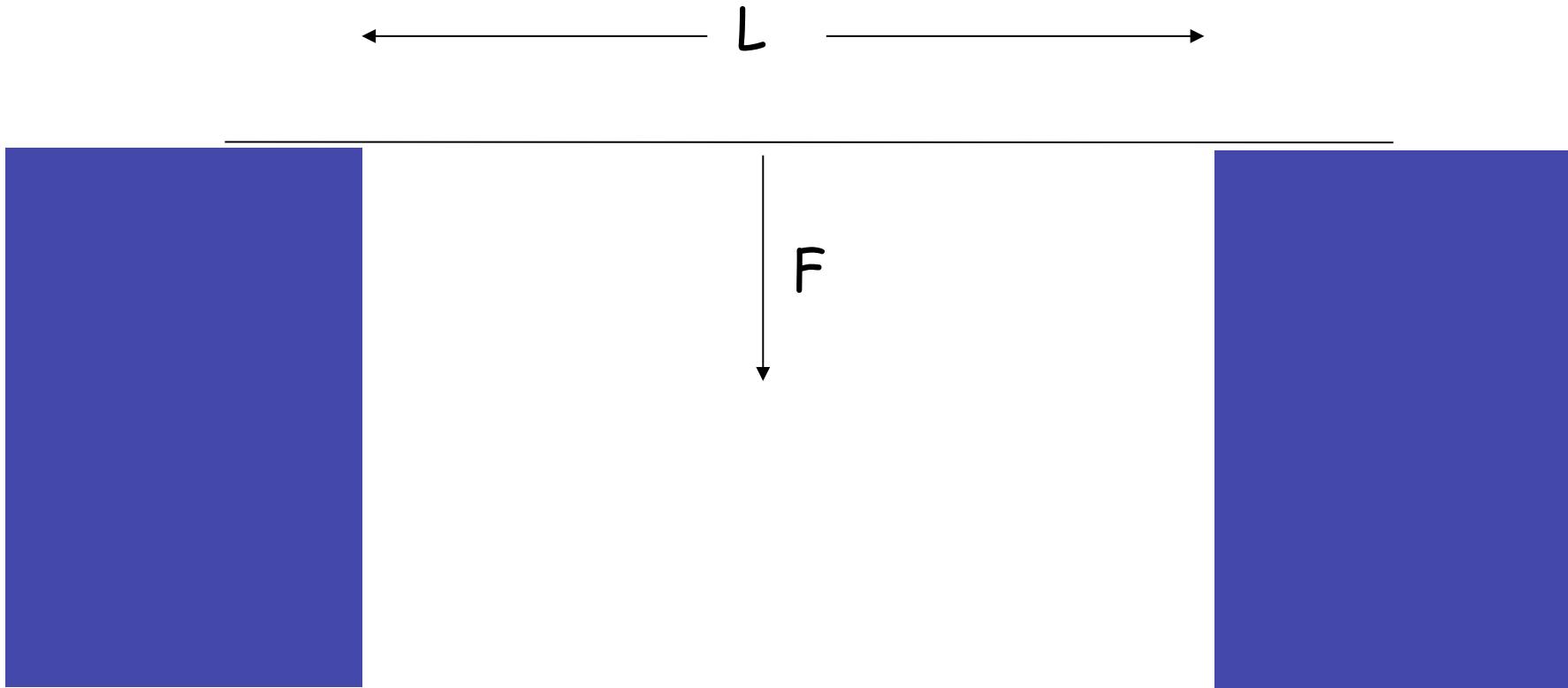


Fig. 2.9 : *Fracture strength of glass fibers in relation to their thickness.*



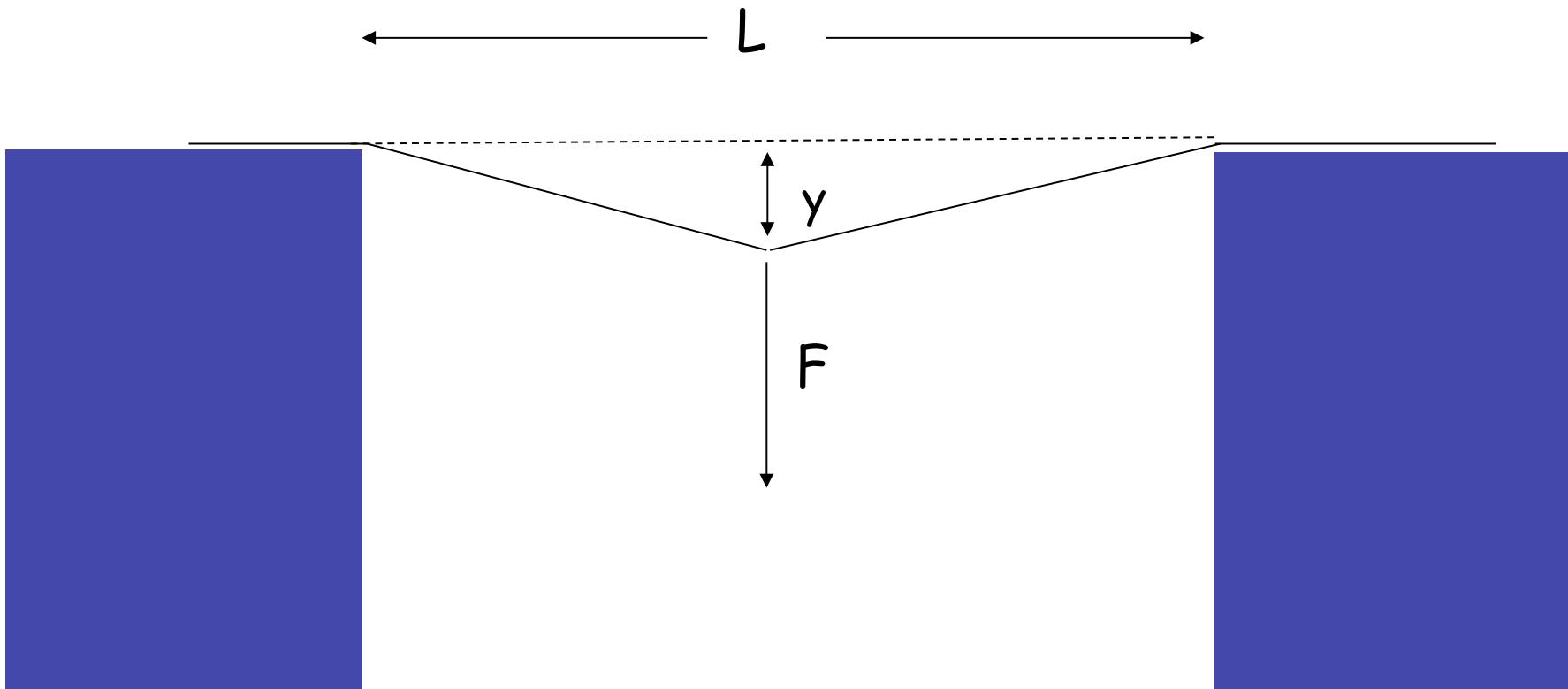
## *A Simple Physics Problem...*



Pull a string at the center with force  $F$



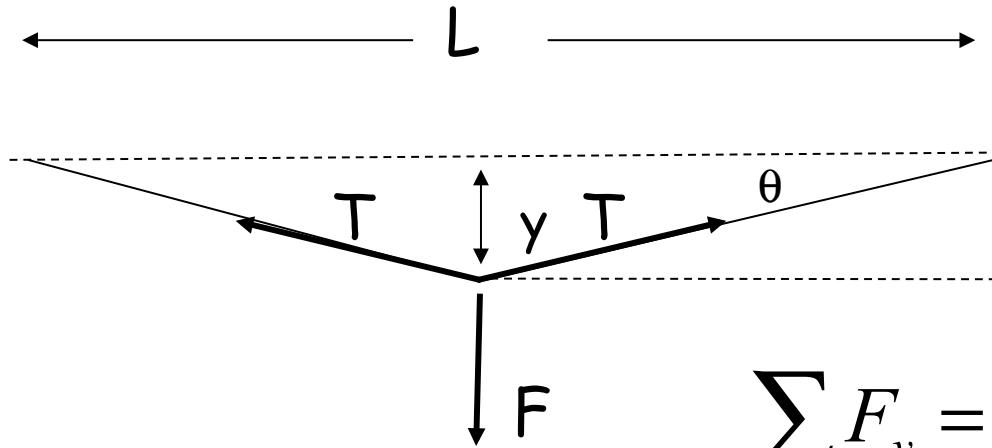
## A Simple Physics Problem...



What is  $F(y)$ ?



## A Simple Physics Problem...



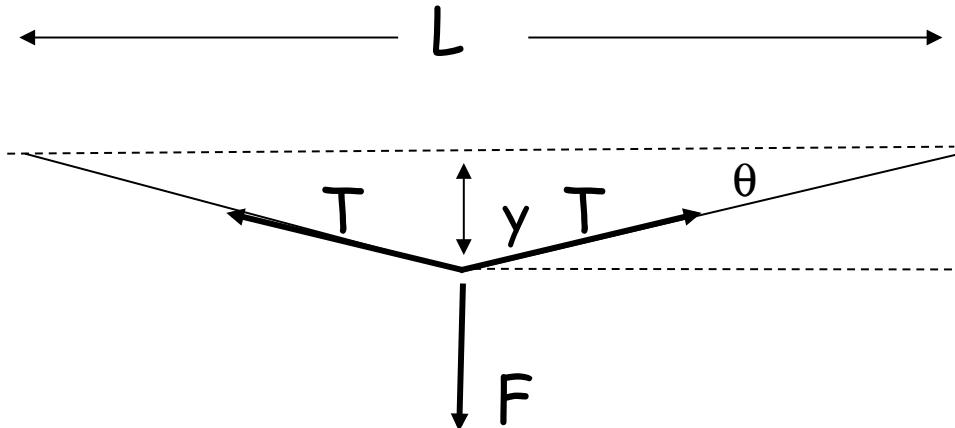
$T$ =tension in string

$$\sum F_y = 2T \sin \theta - F = 0$$

$$\sin \theta \approx \frac{2y}{L} \quad \Rightarrow F = 4T \frac{y}{L}$$



## A Simple Physics Problem...



Young's modulus

$$T = T_0 + k\Delta L = T_0 + \frac{EA}{L} \Delta L$$

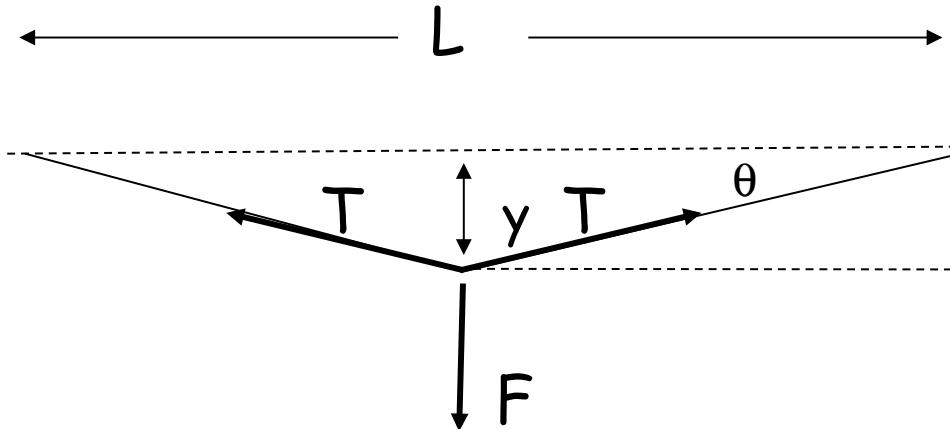
x-sectional area

Geometry:

$$\begin{aligned}\Delta L &= 2\sqrt{\left(\frac{L}{2}\right)^2 + y^2} - L = \sqrt{L^2 + 4y^2} - L \\ &= L\sqrt{1 + \frac{4y^2}{L^2}} - L \approx L\left(1 + \frac{2y^2}{L^2}\right) - L \\ &= \frac{2y^2}{L}\end{aligned}$$



## A Simple Physics Problem...



$$T = T_0 + \frac{EA}{L} \Delta L = T_0 + \frac{EA}{L} \left( \frac{2y^2}{L} \right)$$

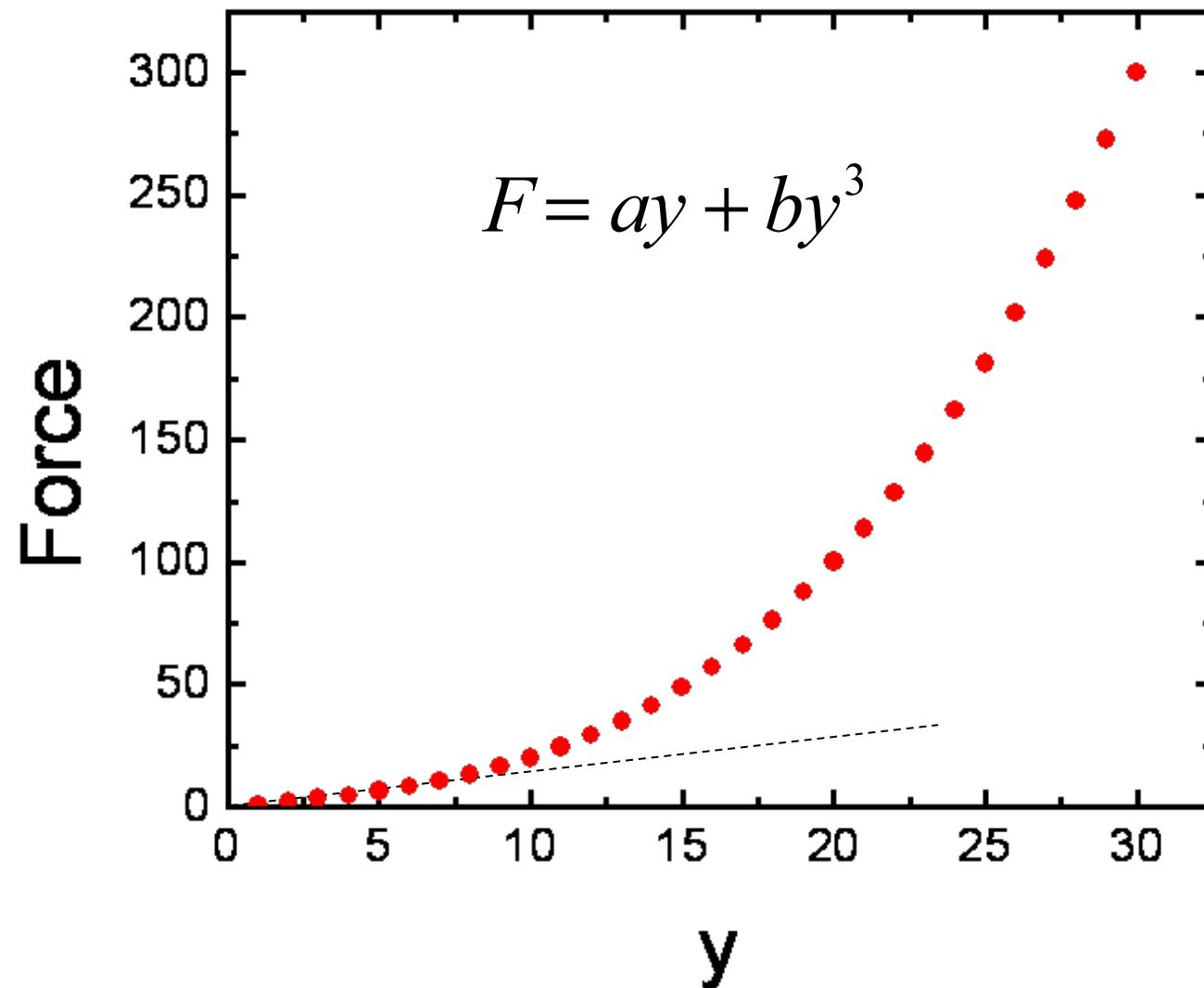
$$F = 4 \frac{T_0}{L} y + 8 \frac{EA}{L^3} y^3 = ay + by^3$$

↗  
↗

linear term      cubic term

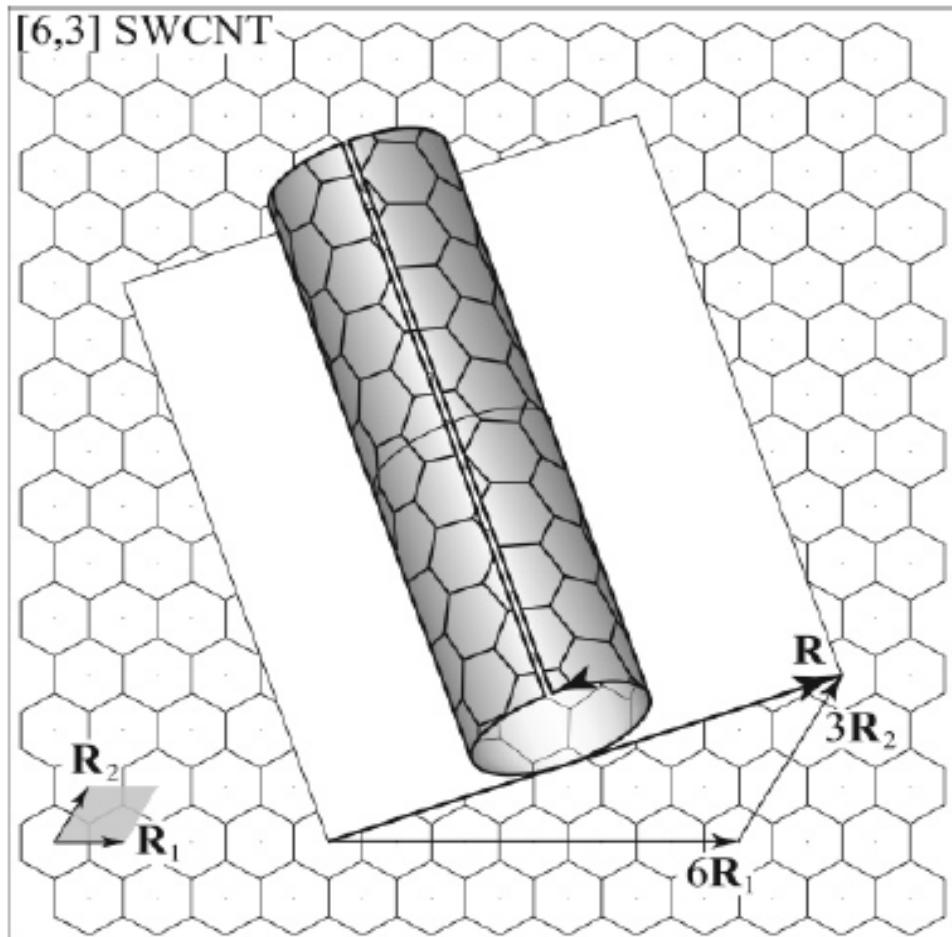


## *A Simple Physics Problem...*





# Carbon Nanotubes



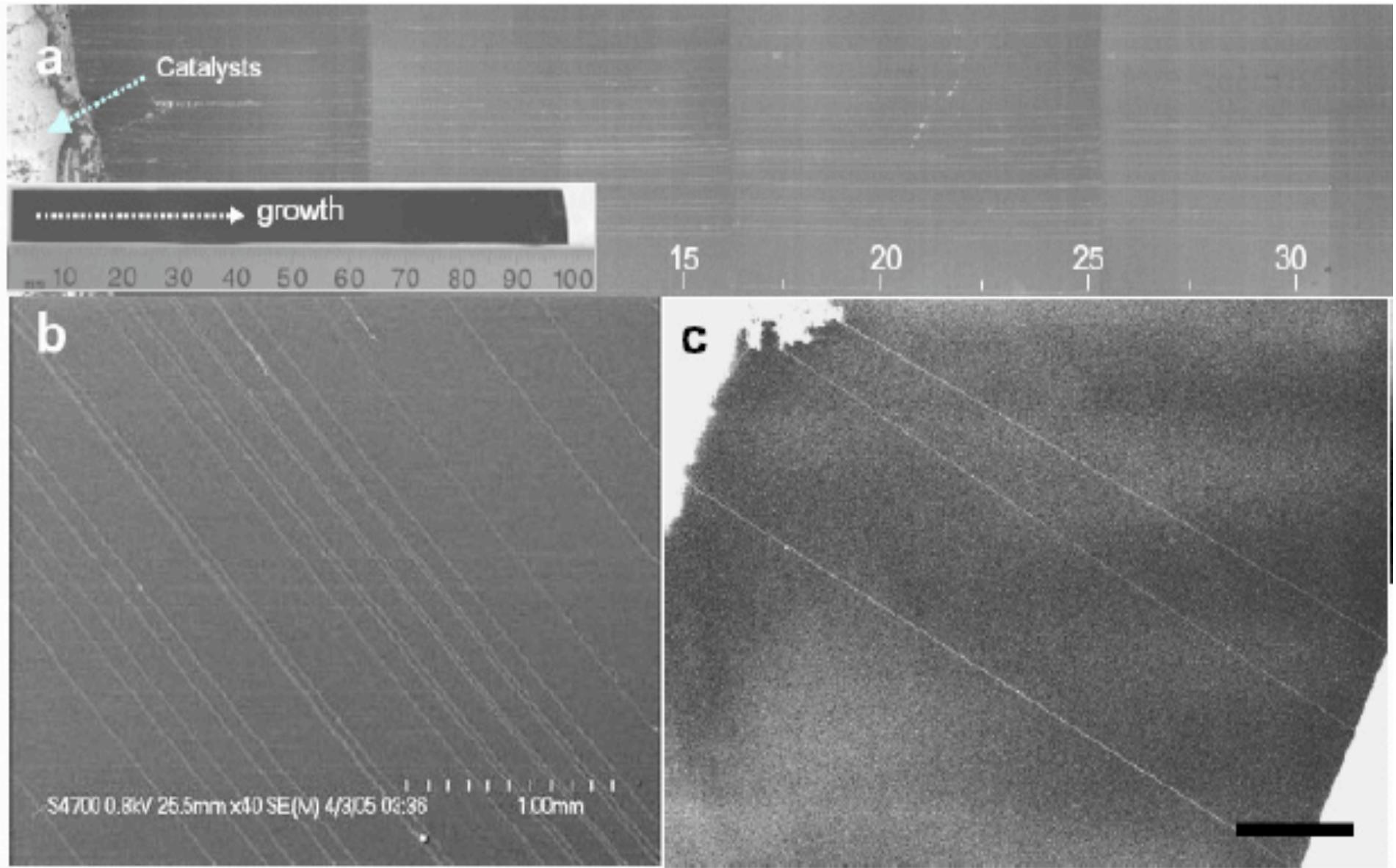
**Figure 1.** Mapping of the graphene sheet to form the [6,3] SWCNT with a couple of translational unit cells along the tube shown. The unit cell of the graphene lattice highlighted in gray is defined by the primitive lattice vectors  $\mathbf{R}_1$  and  $\mathbf{R}_2$ .

Rolled up graphene...

Should have similar mechanical properties

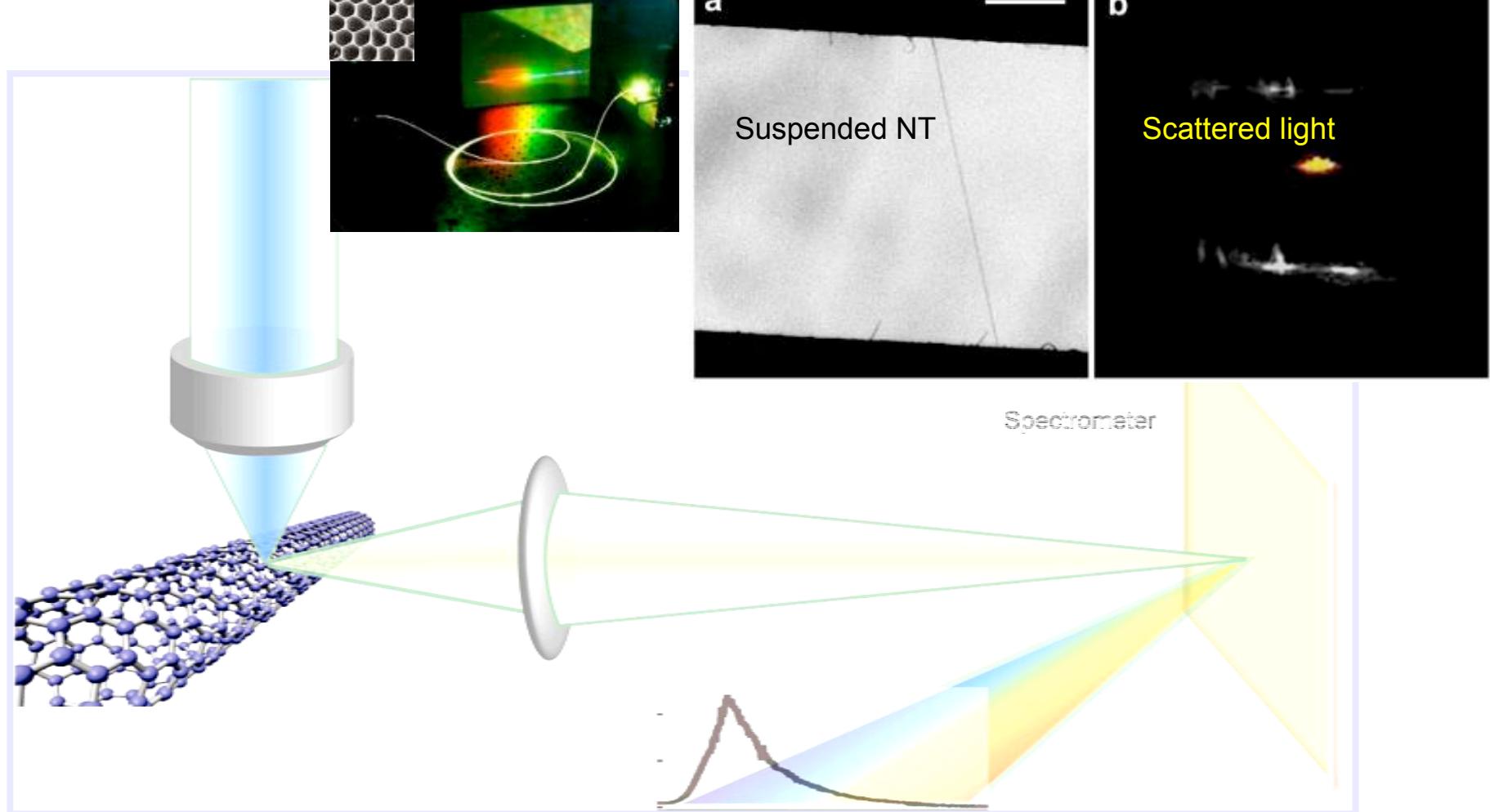


# Carbon Nanotubes grown by CVD





# Rayleigh Scattering Spectroscopy

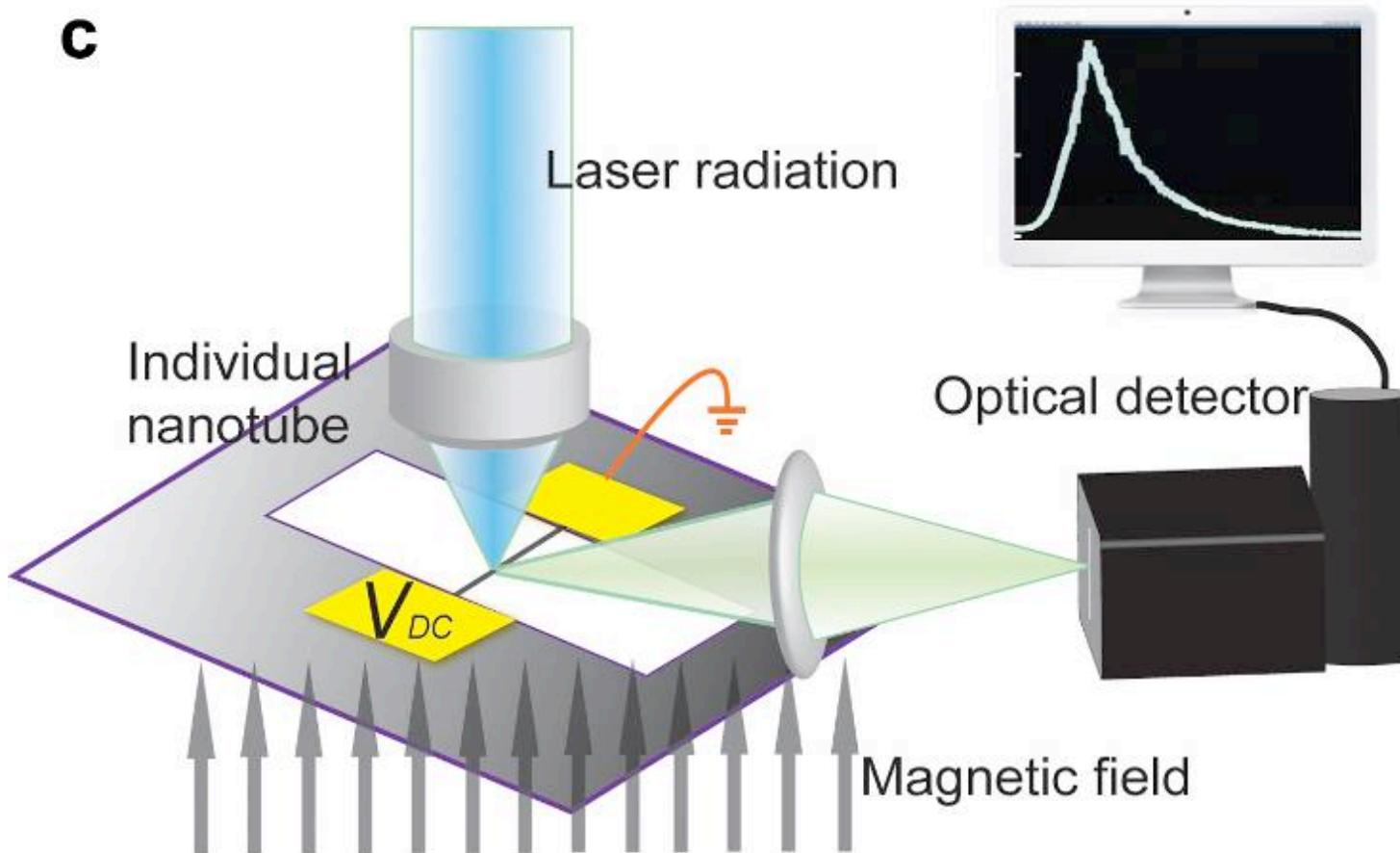


## ***Probing Electronic Transitions in Individual Carbon Nanotubes by Rayleigh Scattering***

Matthew Y. Sfeir, Feng Wang, Limin Huang, Chia-Chin Chuang, J. Hone, Stephen P. O'Brien, Tony F. Heinz, Louis E. Brus  
Science **306**, 1540-1543 (2004)



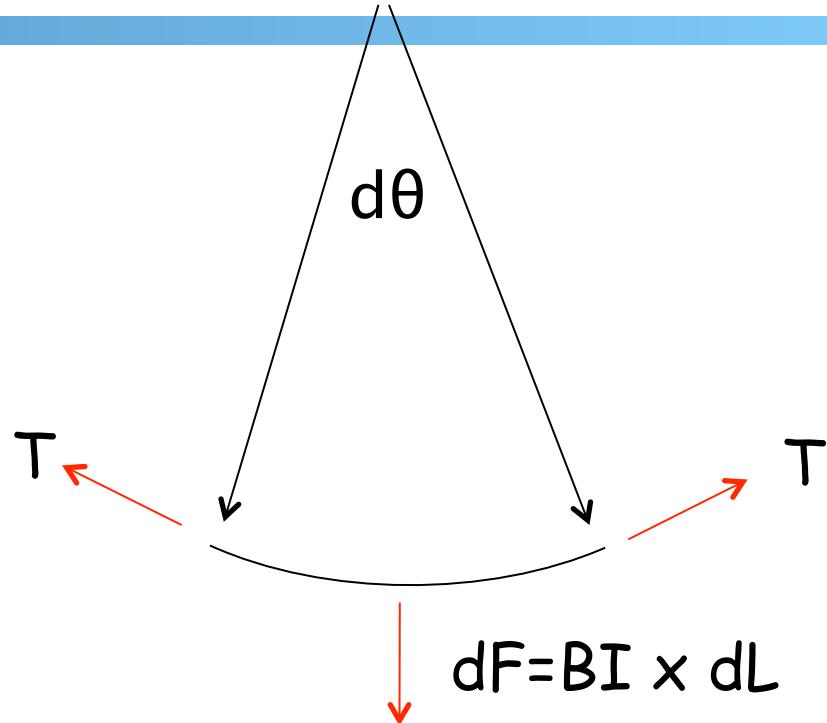
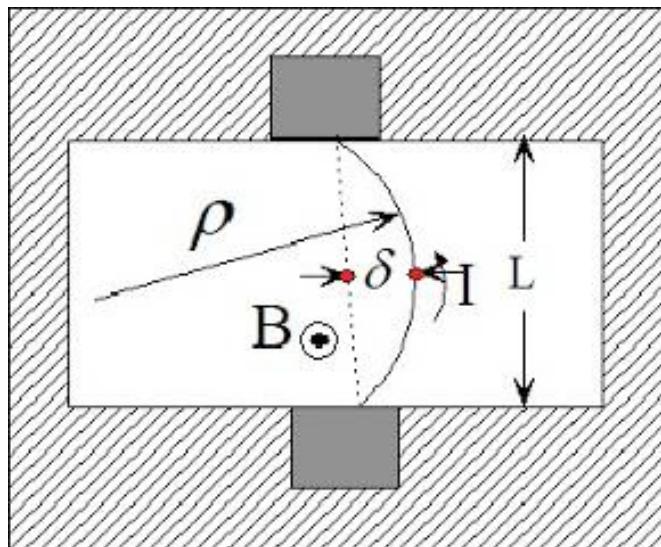
# Mechanical Testing: Magnetic Displacement



Y. Wu and M. Huang



# Mechanical Testing: Magnetic Displacement



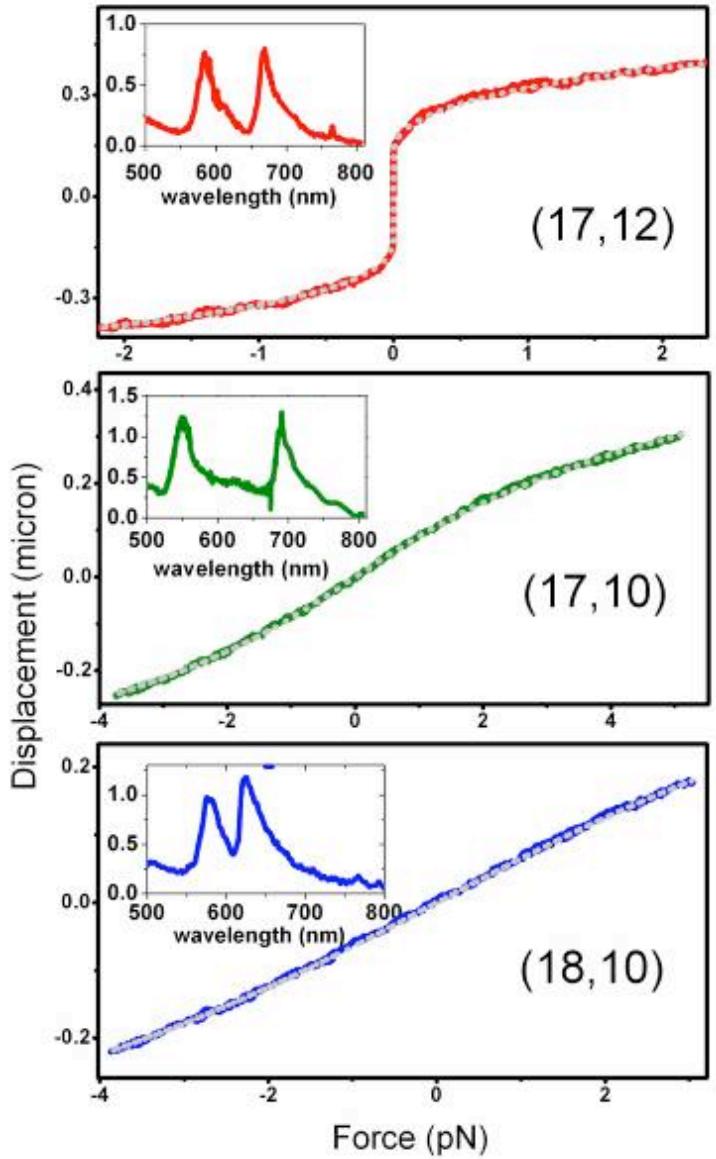
$$BI dL = 2T \sin(d\theta / 2) \approx T d\theta$$

$$dL = \rho d\theta$$

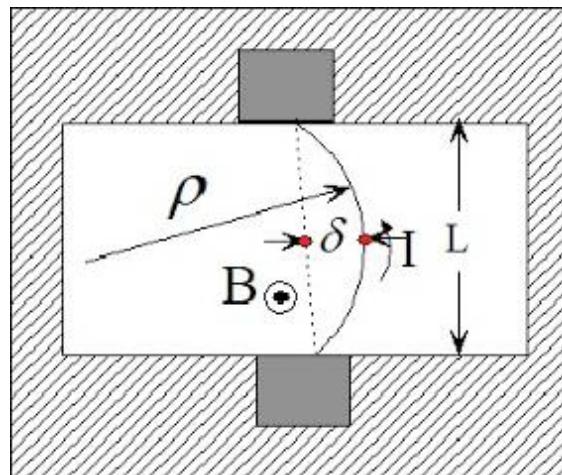
$$\Rightarrow \rho = \frac{T}{BI}$$



# Mechanical Testing: Magnetic Displacement



$L \sim 100 \mu\text{m}$ ,  $d \sim 2 \text{ nm}$ : model as string  
(no bending moment)



$$\rho = \frac{dl}{2d\theta} = \frac{T}{BI}$$

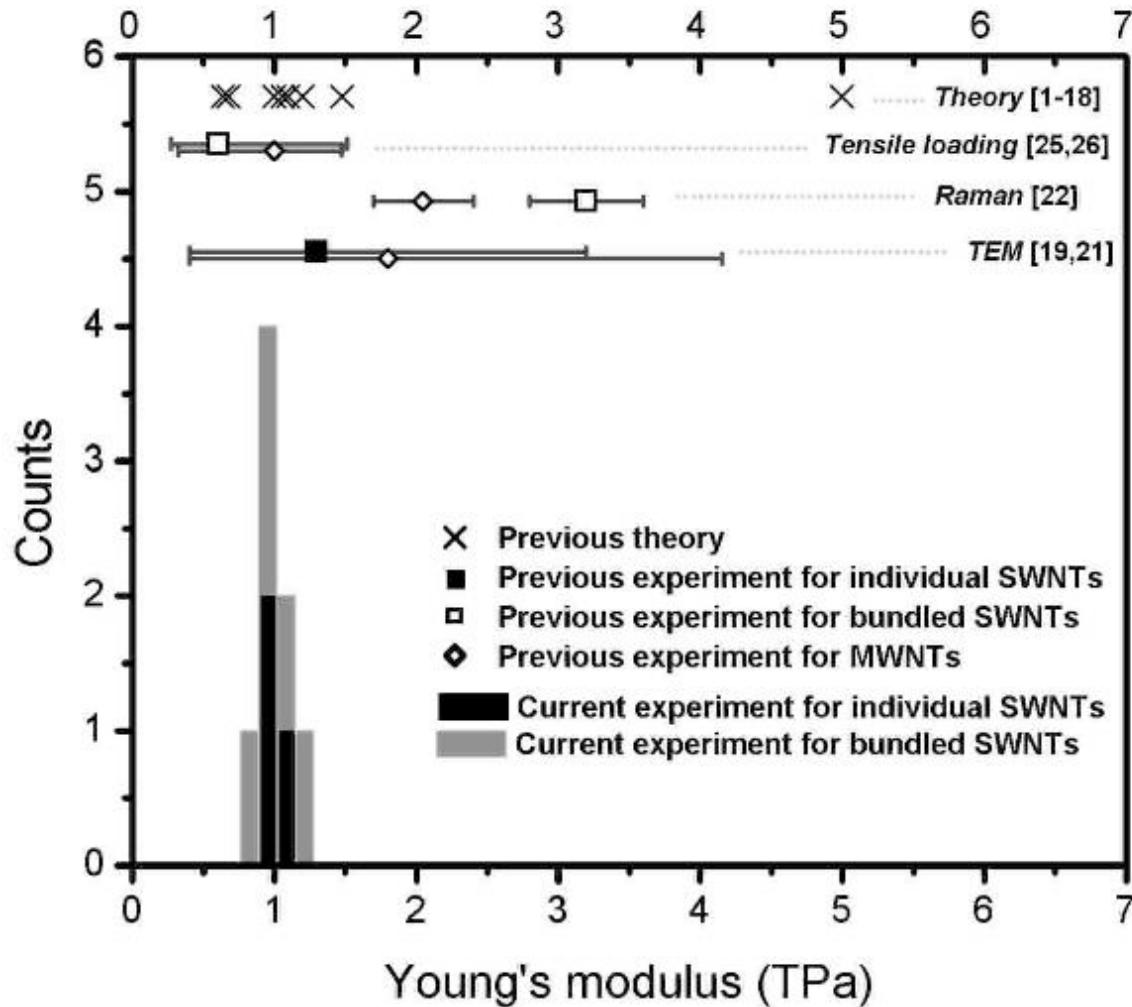
$$F = BIL$$

$$B = 0.31T$$

$$F = 8A E \frac{\delta}{L} \cdot \max \left[ 0, \frac{8}{3} \left( \frac{\delta}{L} \right)^2 + \varepsilon_0 \right]$$



# Mechanical Testing: Magnetic Displacement



$$E = 1 \text{ TPa} \pm 16\%$$

Knowing the nanotube structure lets us measure the stiffness precisely...

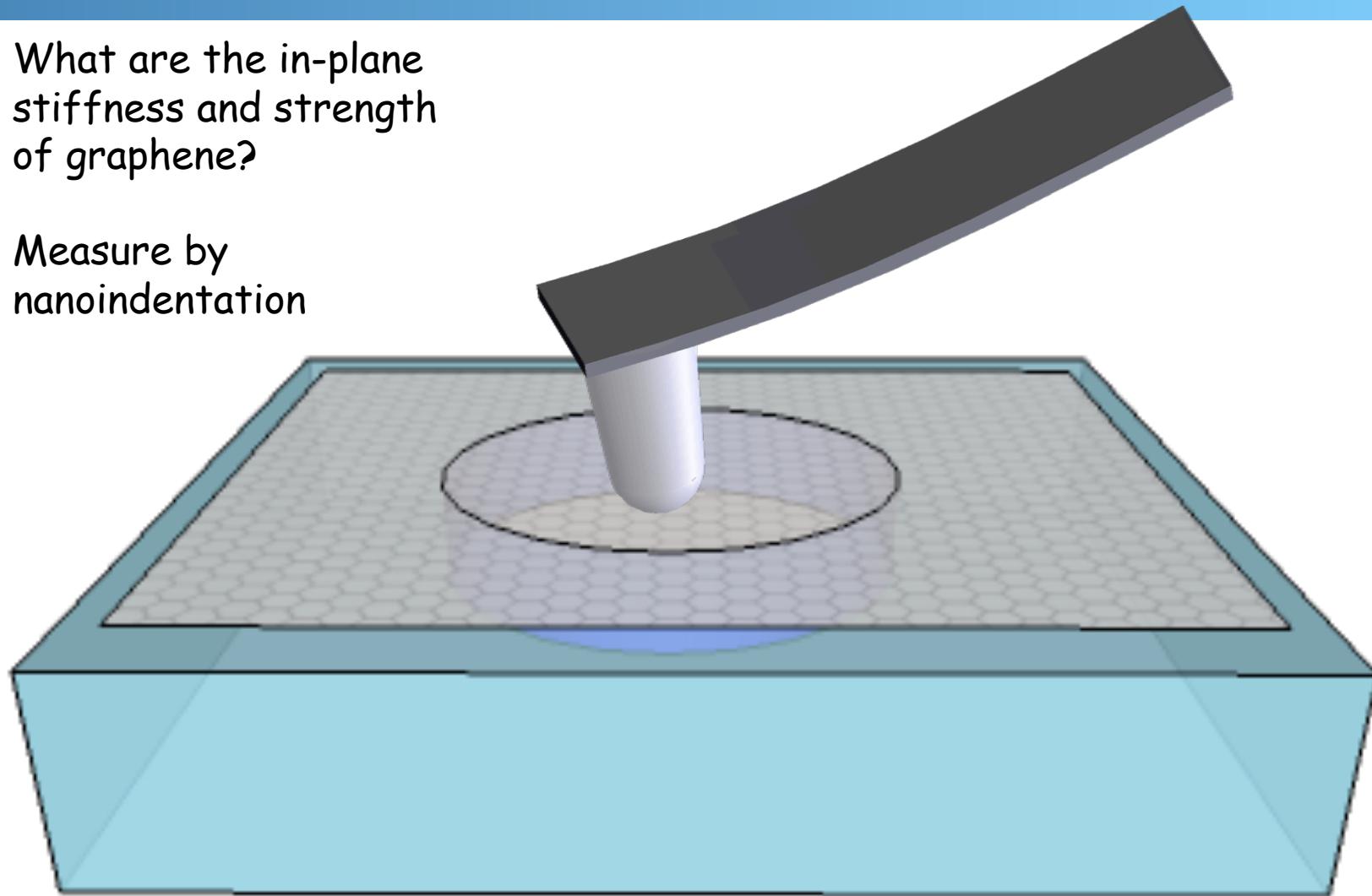


# Mechanical Testing of Graphene



What are the in-plane  
stiffness and strength  
of graphene?

Measure by  
nanoindentation



Changgu Lee, Xiaoding Wei, J. Kysar, J. Hone, *Science* (2008)

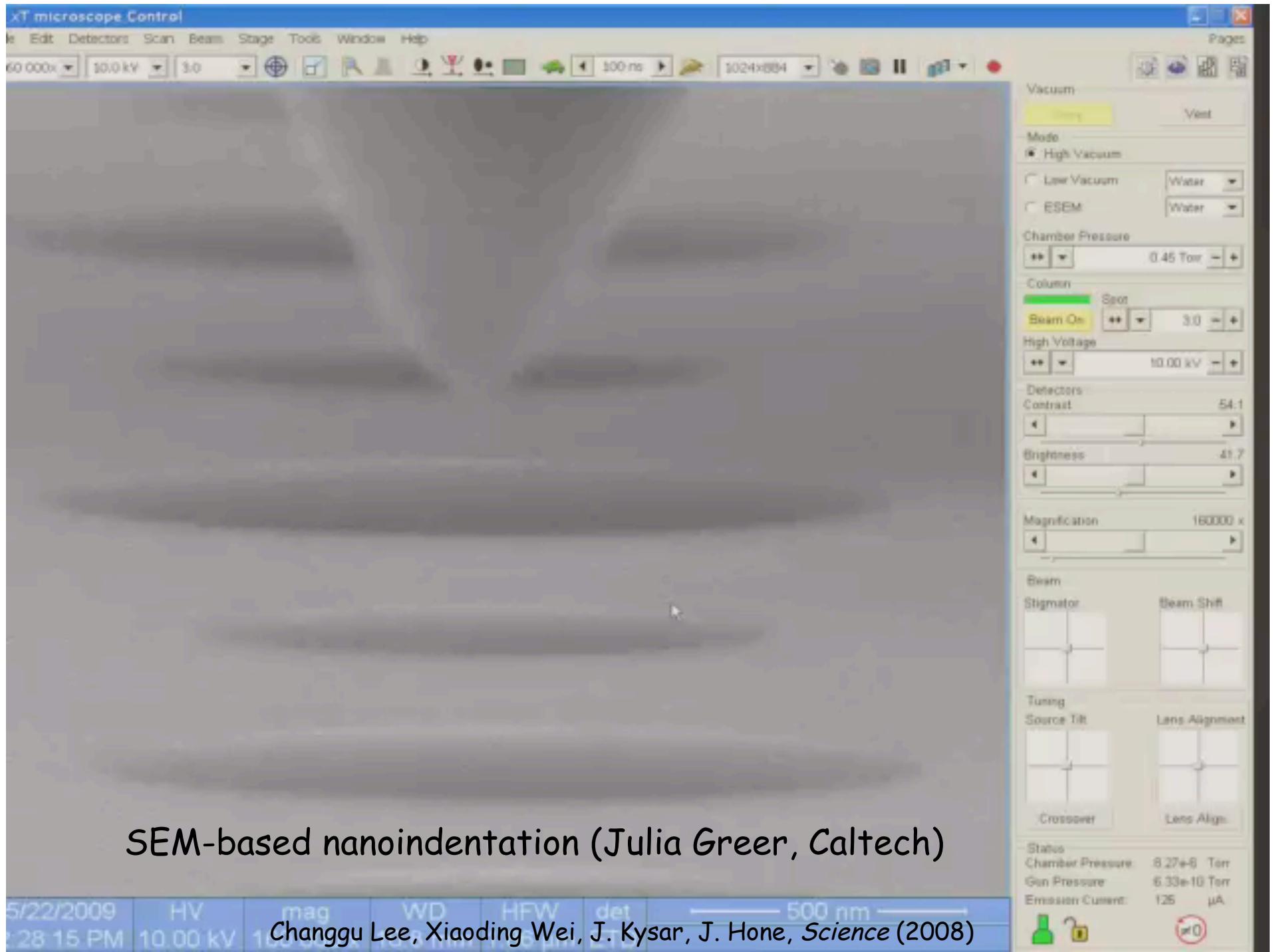


# Mechanical Testing of Graphene



Graphene Membranes

S5000D 15.0kV ×18.0K 1:67<sup>20</sup> μm

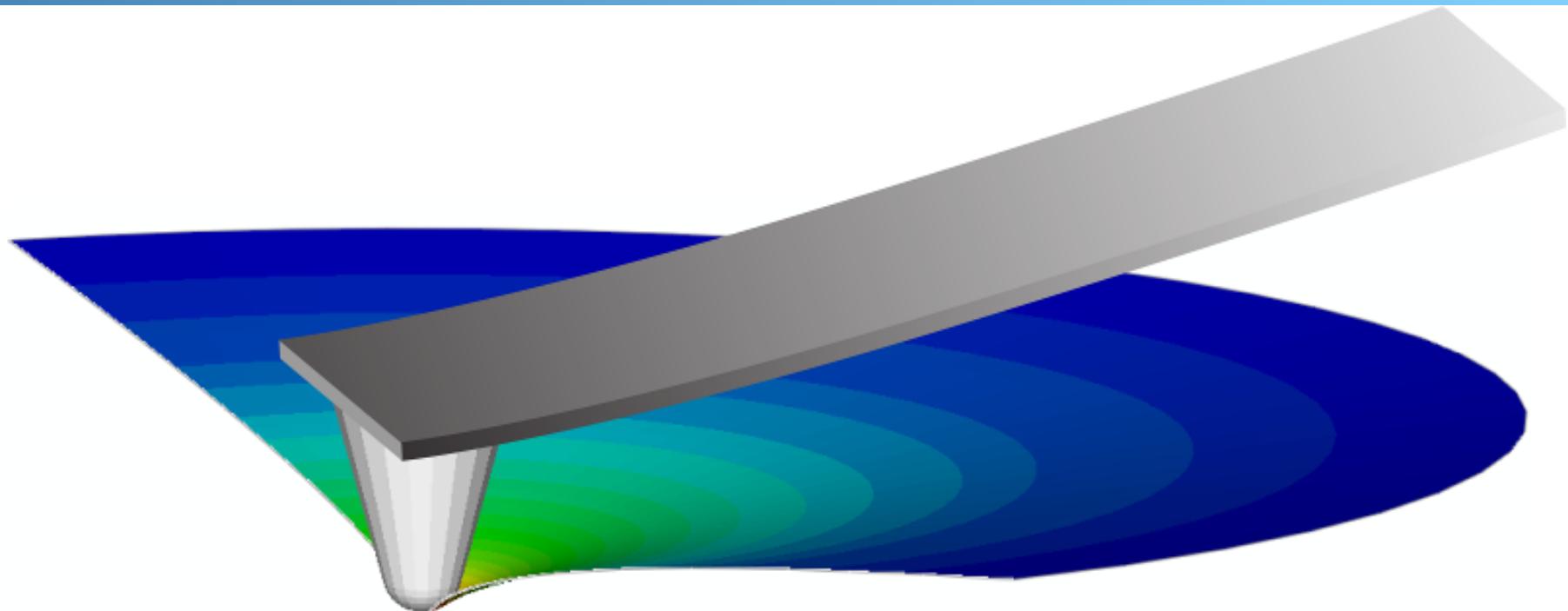


SEM-based nanoindentation (Julia Greer, Caltech)

Changgu Lee, Xiaoding Wei, J. Kysar, J. Hone, *Science* (2008)



## Stress distribution: FEA model

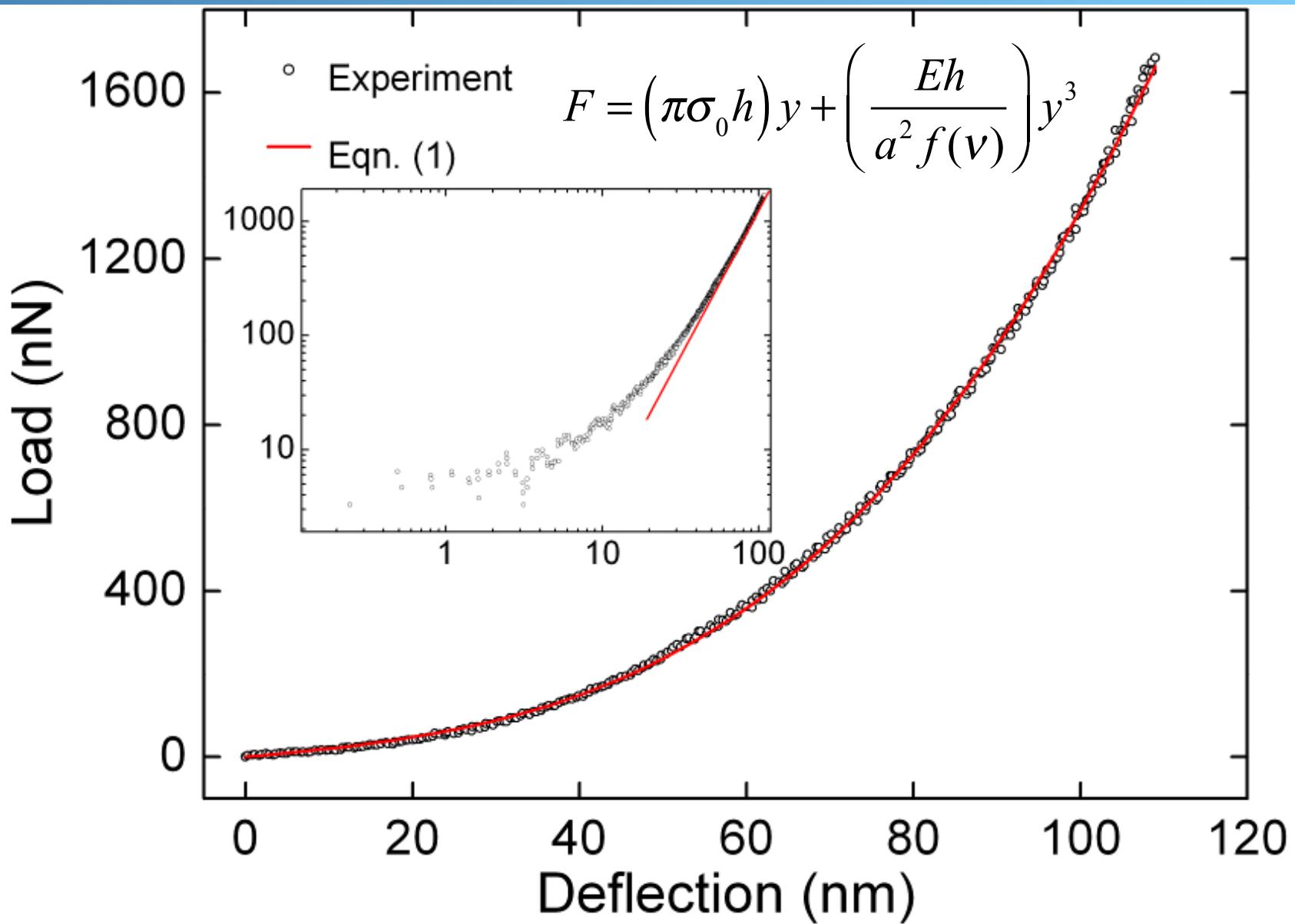


FEA modeling of indentation:

- low stress at clamping points
- most of deflection is away from tip (linear model, point loading)
- breakage will occur under tip (stress concentration)

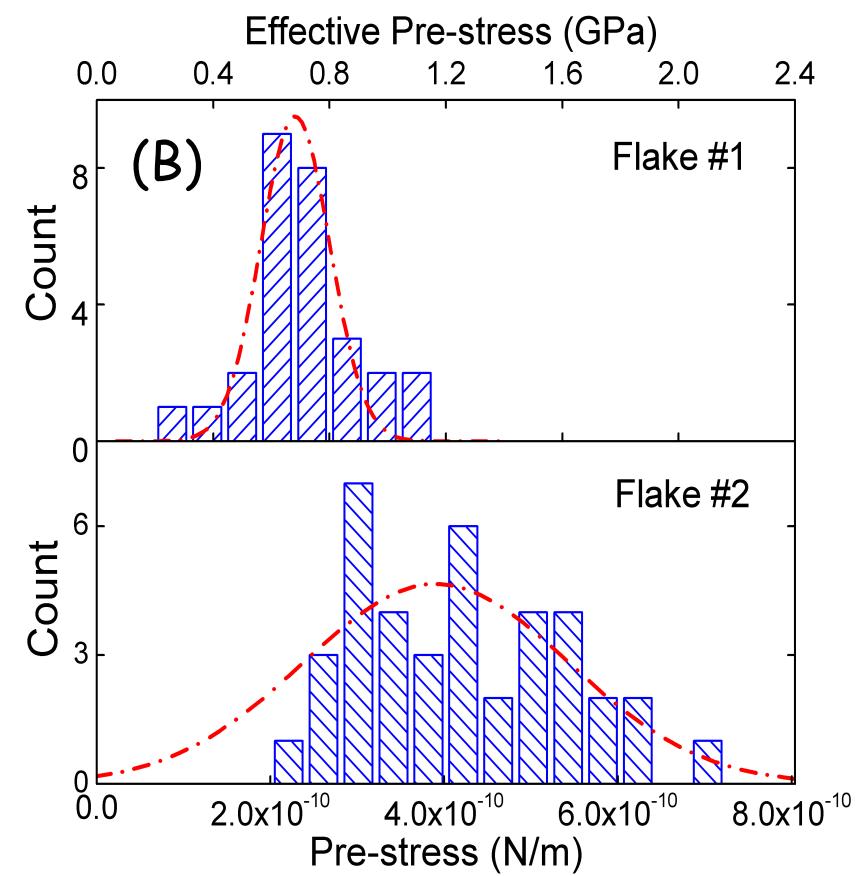
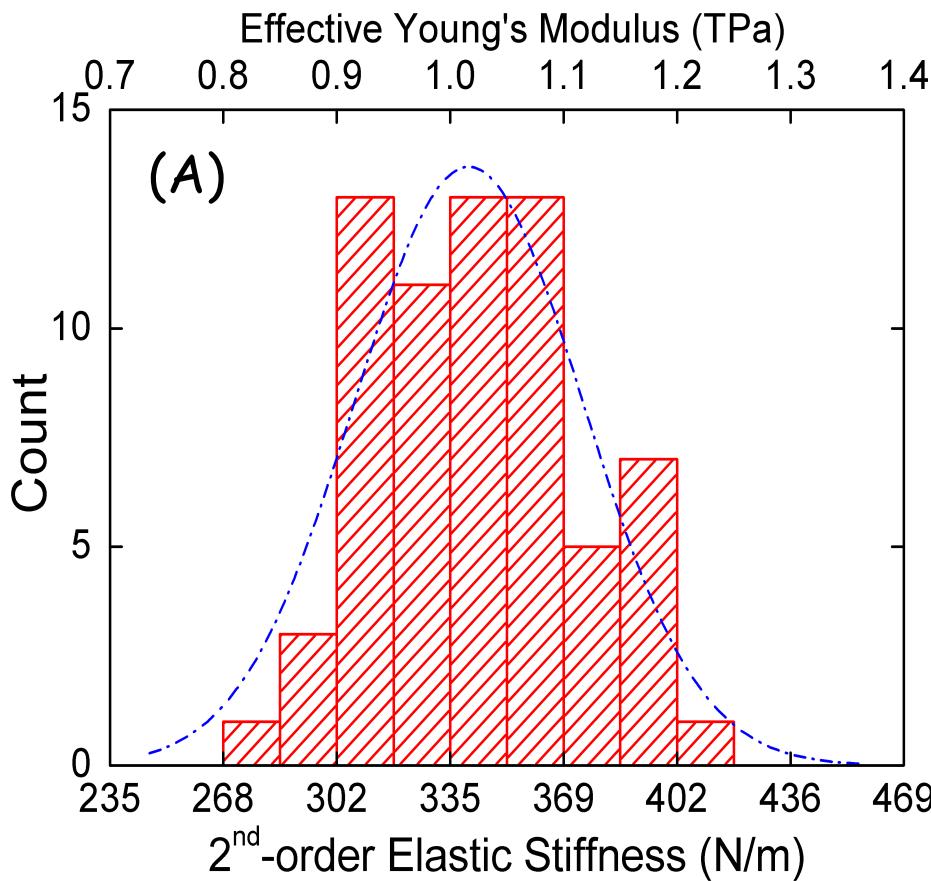


## Force - Displacement Data





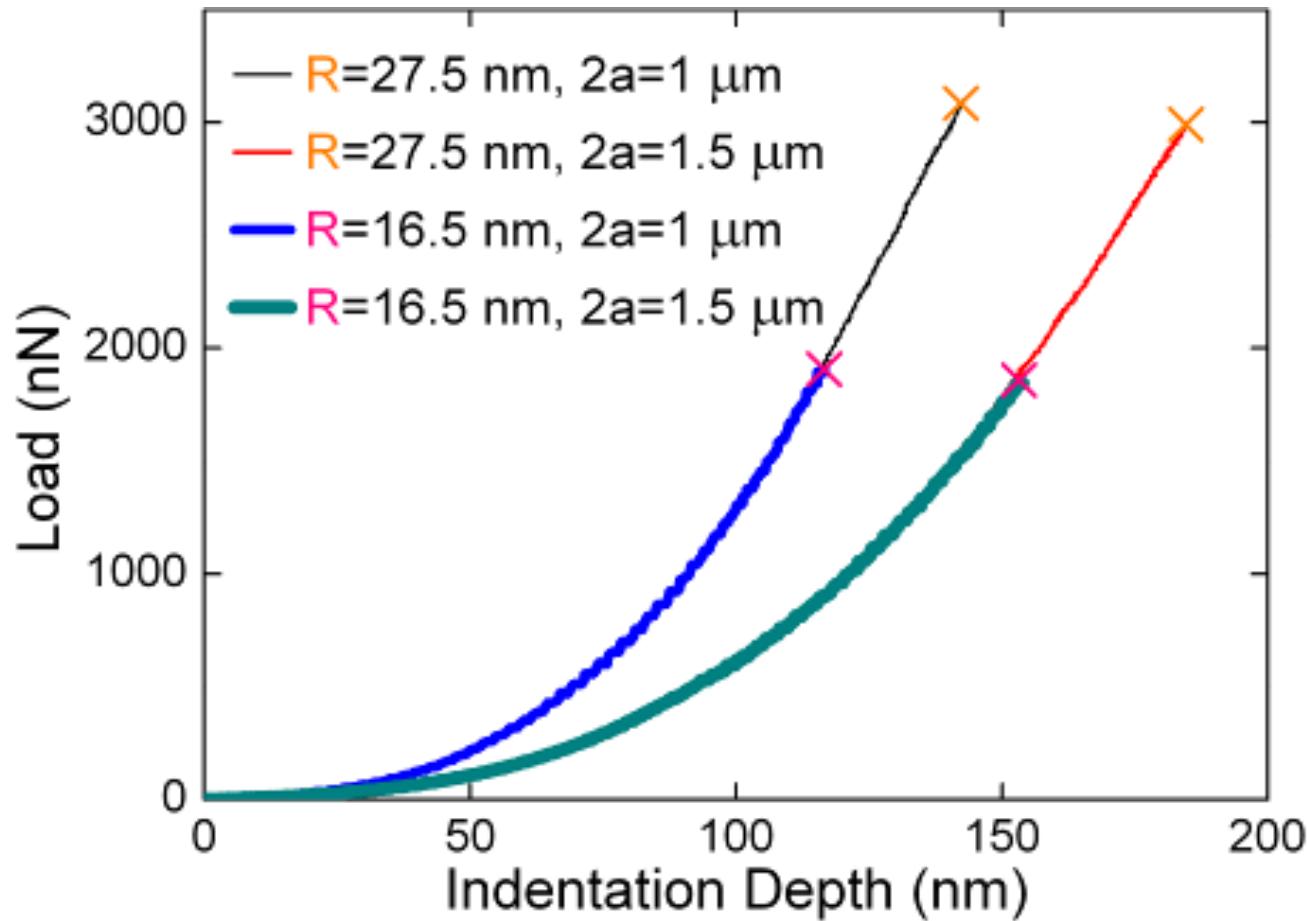
## AFM Nanoindentation



Avg. Young's modulus: 1.02 TPa



## Breaking the membranes



Breaking force depends only on tip radius due to stress concentration

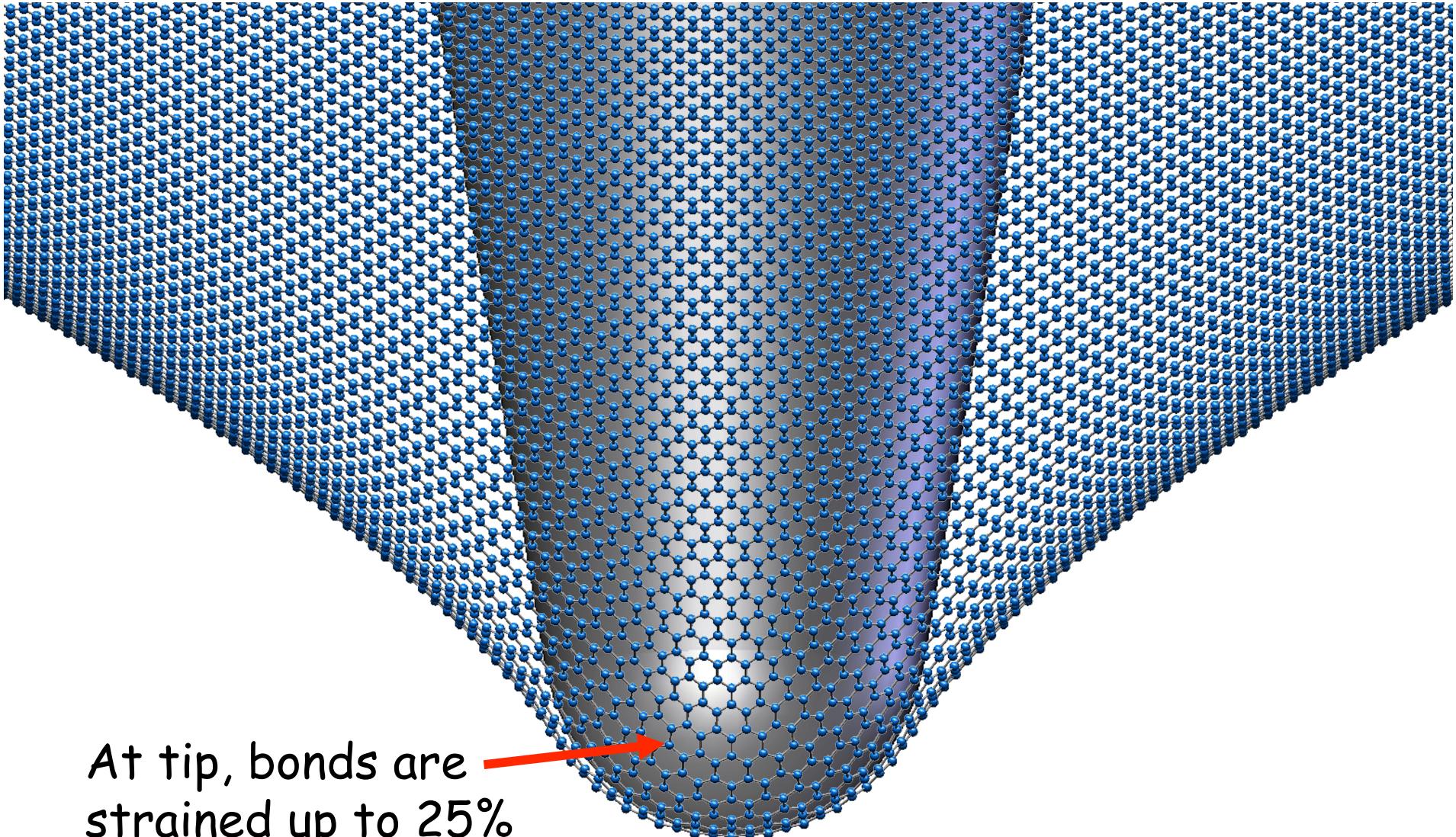
linear elastic model:

$$\sigma_m \approx \left( \frac{P}{4\pi EhR} \right)^{\frac{1}{2}}$$

gives correct trend, but unphysically large strength... [25](#)



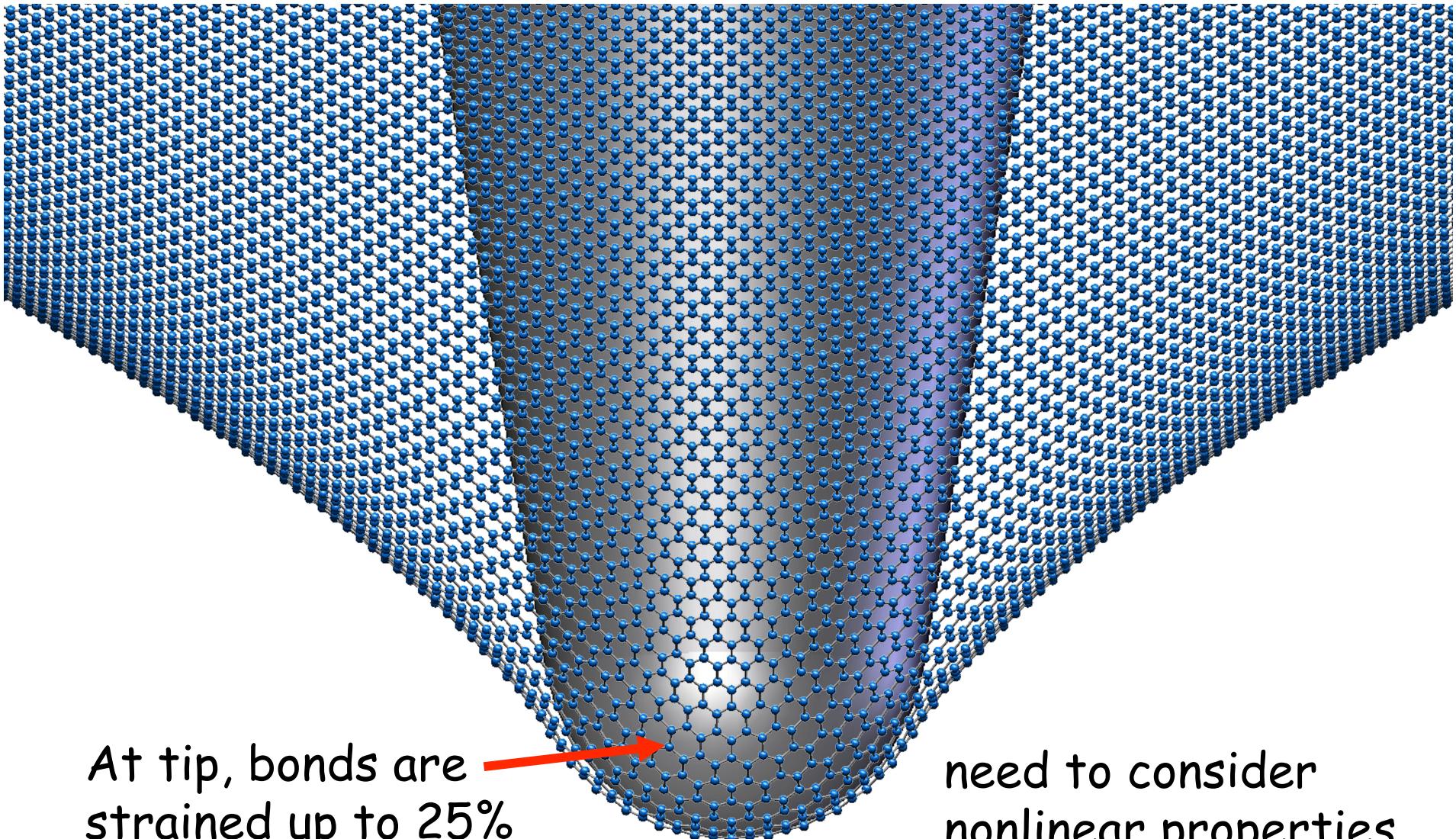
## Breaking Force



At tip, bonds are  
strained up to 25%



## Breaking Force

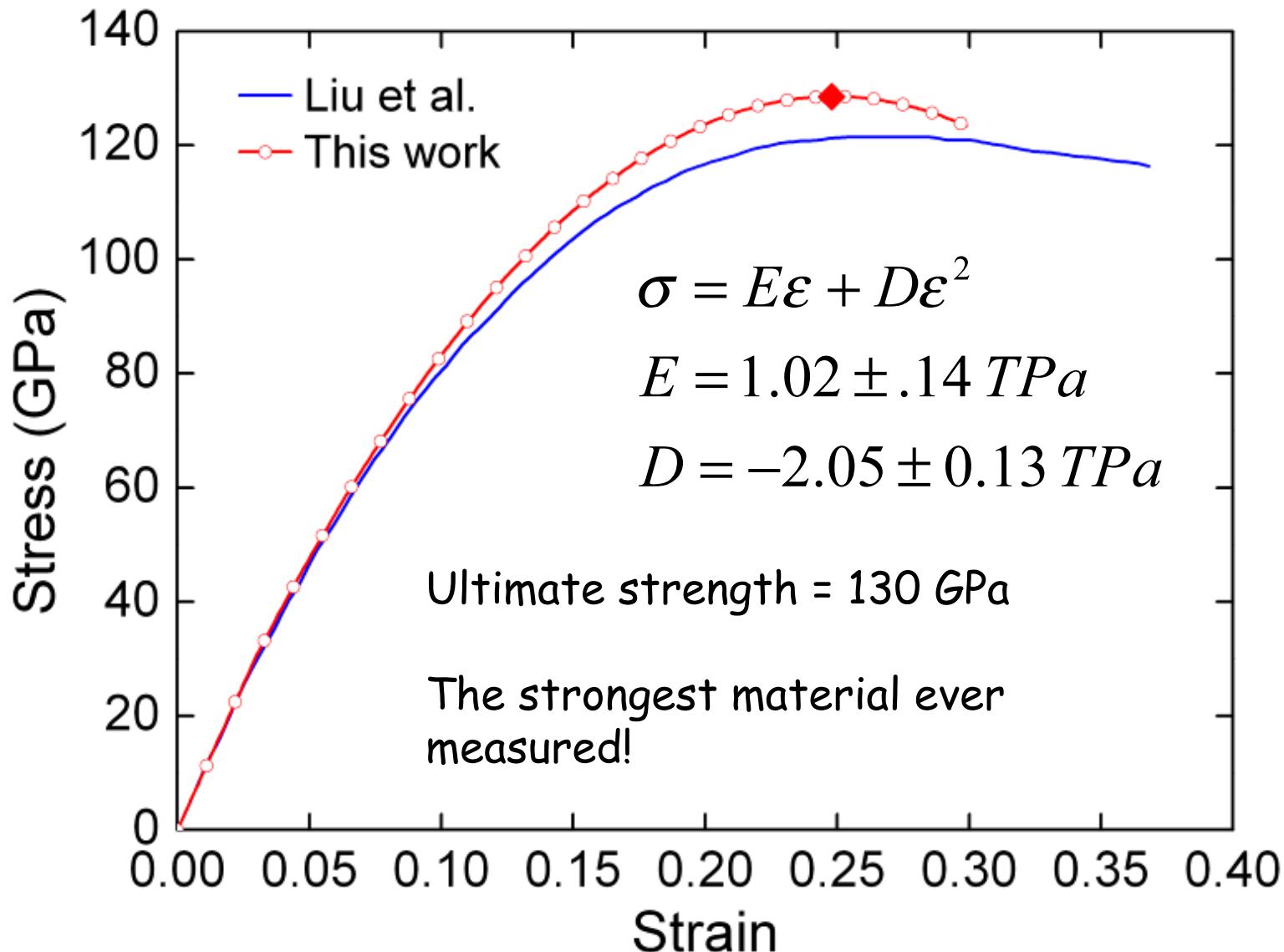


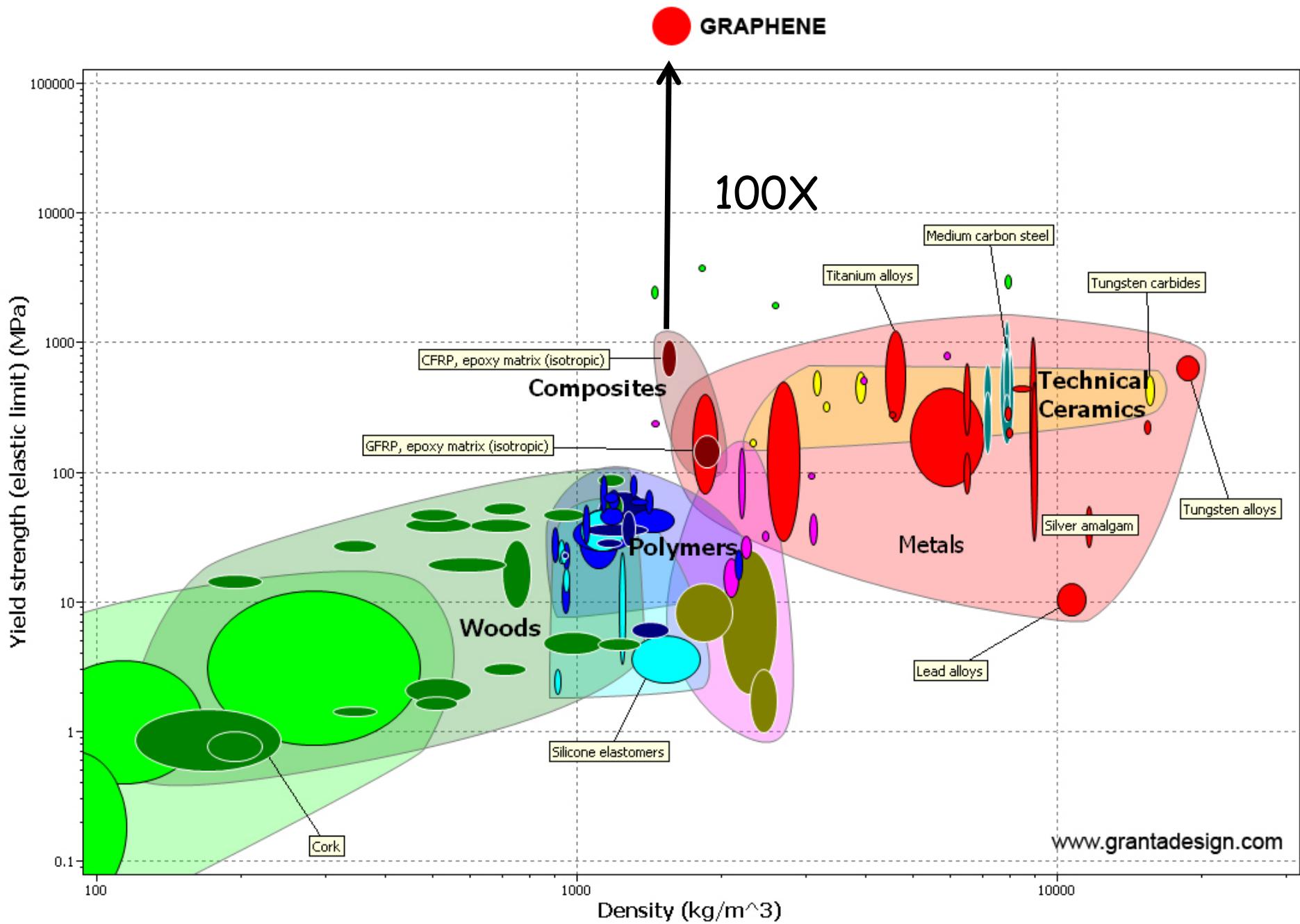
At tip, bonds are  
strained up to 25%

need to consider  
nonlinear properties



## Non-linear model







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## Elephant Illustrates Important Point

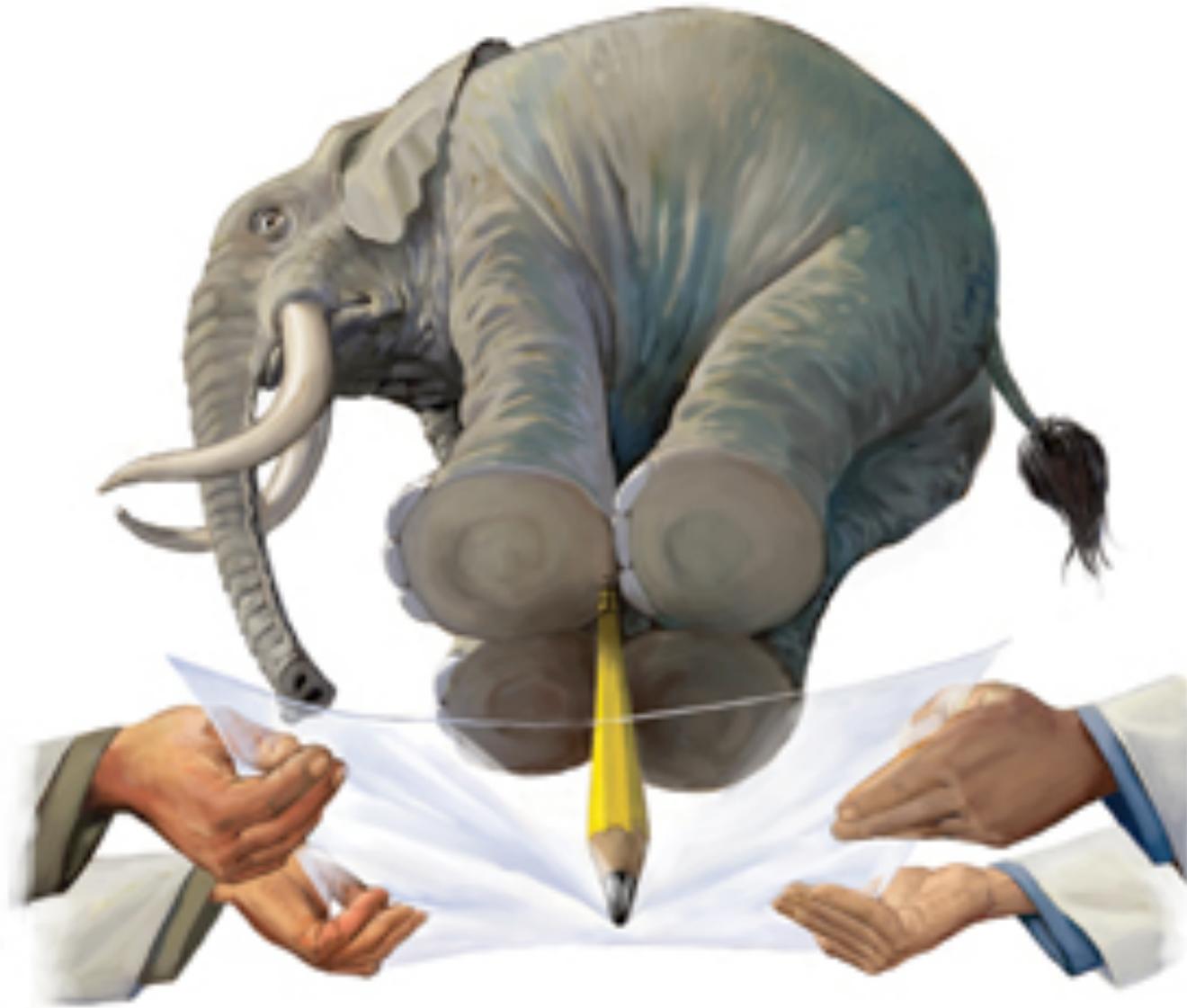
A statement about a material raises heavy issues

By Steve Mirsky | November 7, 2011 | 11



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The tweet, posted on September 1, 2011, by @qikipedia, read in its entirety: "It would take an elephant, balanced on a pencil to break through a sheet of graphene the thickness of cling film." Some detective work revealed that the statement originated with mechanical engineering professor James Hone of Columbia University, who said in 2008, "Our research establishes graphene as the strongest material ever measured, some 200 times stronger than structural steel. It would take an elephant, balanced on a pencil, to break through a sheet of graphene the thickness of Saran Wrap."





## Important Questions...

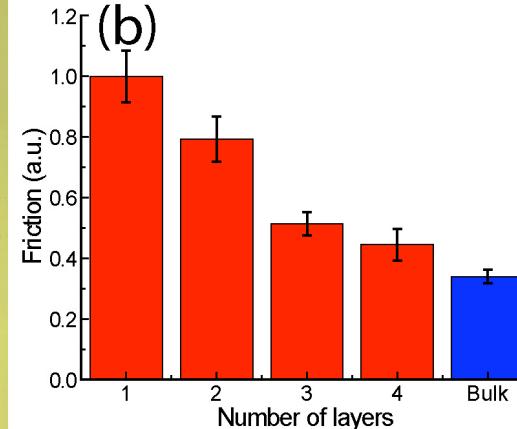
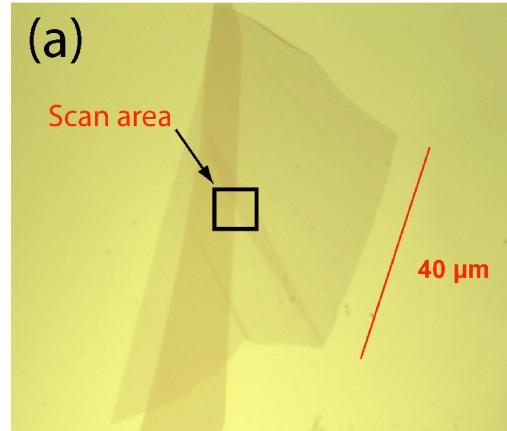
What is the pencil made of? You can't expect a regular old pencil to carry the weight of an elephant.

How do you get the elephant onto the pencil? Wait a second, back up. Is it an African elephant, weighing in at, say, 15,000 pounds, or is it the more diminutive Asian elephant, tipping the scales at a more manageable 10,000 pounds?

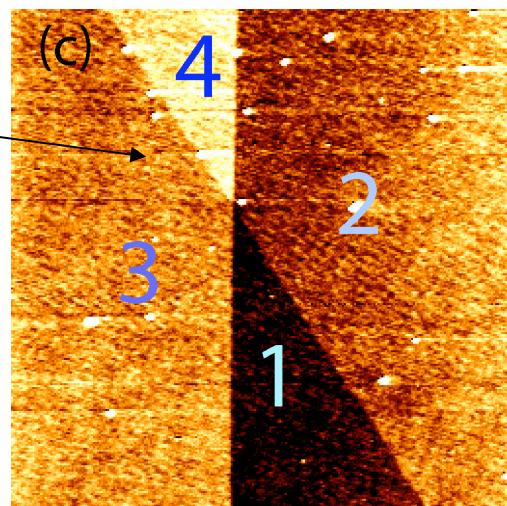
A baby Asian elephant is going to be the easiest choice to get onto the pencil. As it approaches the graphene, do the researchers play Henry Mancini's "Baby Elephant Walk"? If not, why not? These opportunities don't come along every day.



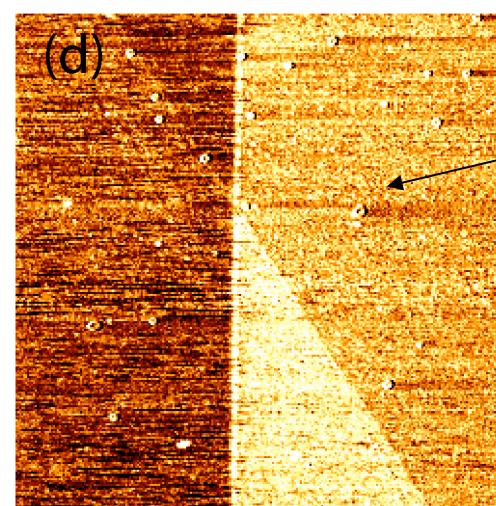
# Graphene Friction



topography



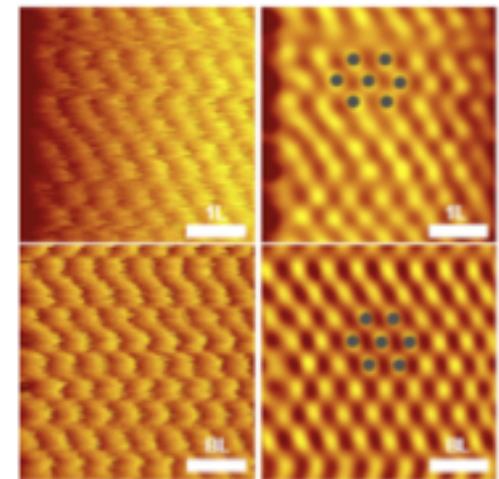
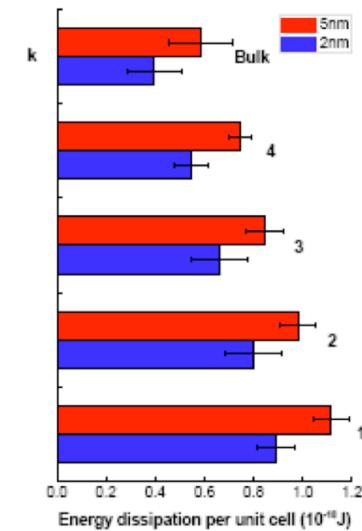
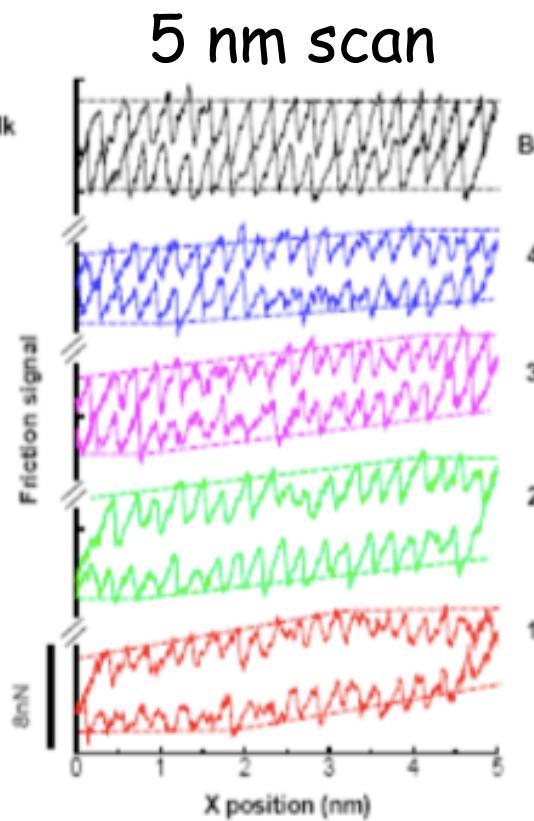
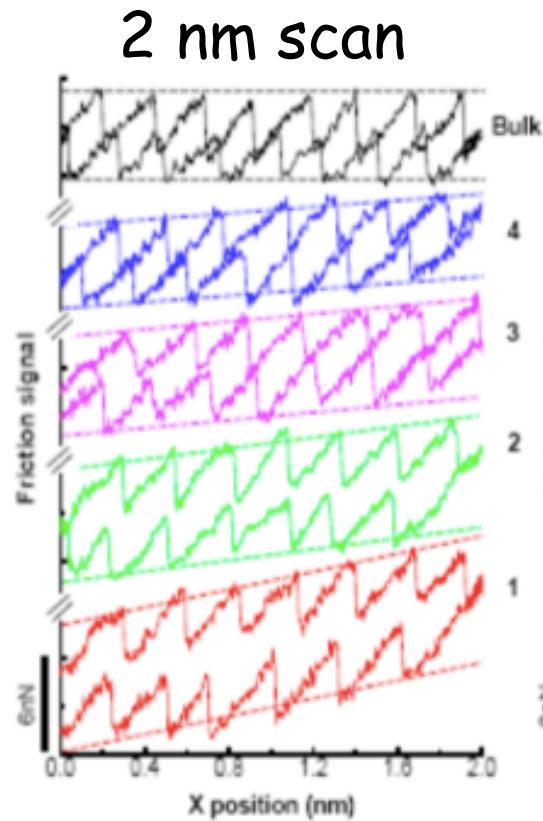
friction



friction increases as the number of layers decreases...



# Stick-slip friction loops

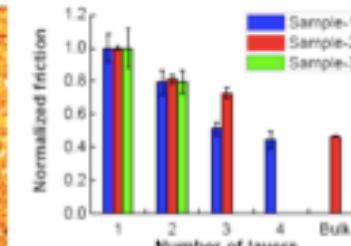
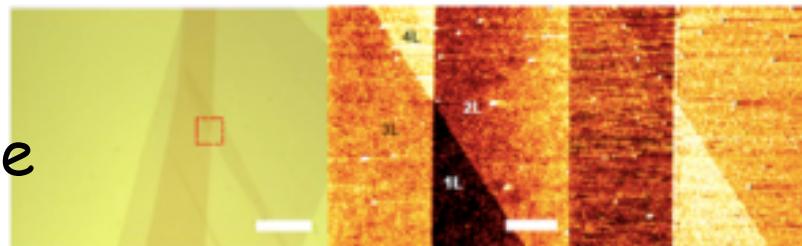




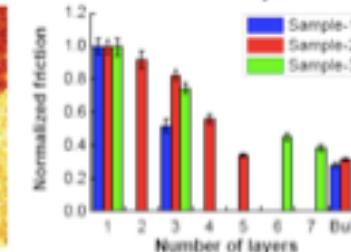
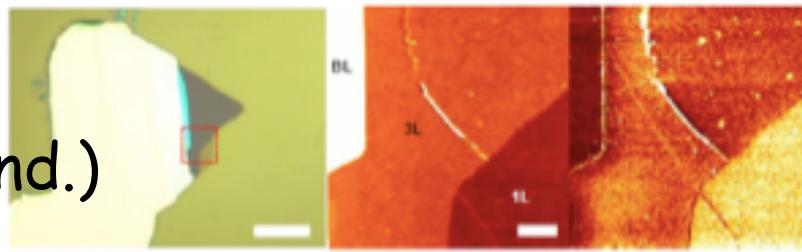
# Friction in Layered Materials



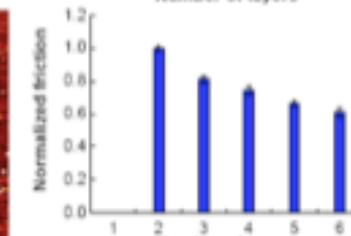
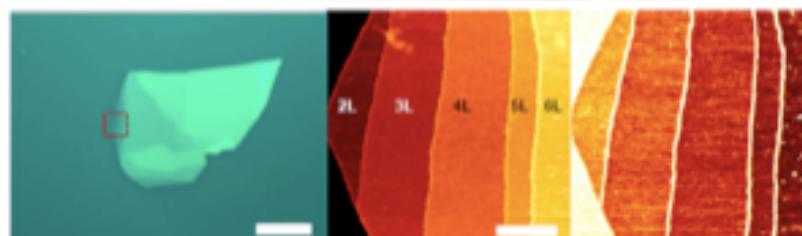
Graphene



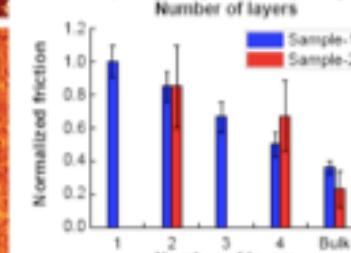
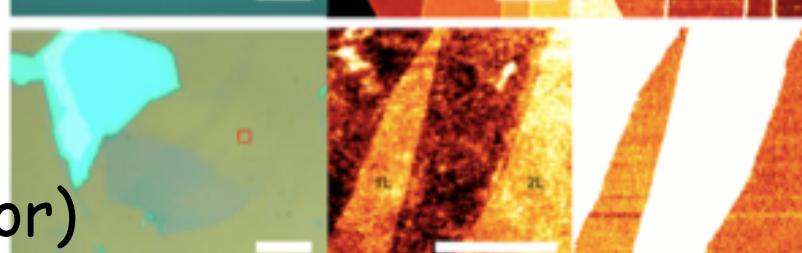
MoS<sub>2</sub>  
(Semicond.)



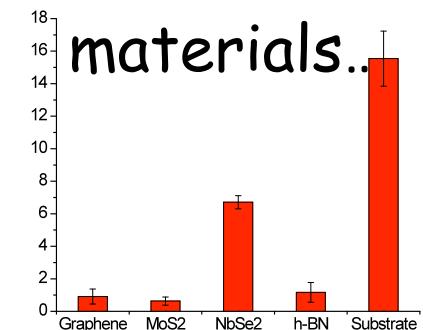
NbSe<sub>2</sub>  
(Metal)



h-BN  
(Insulator)



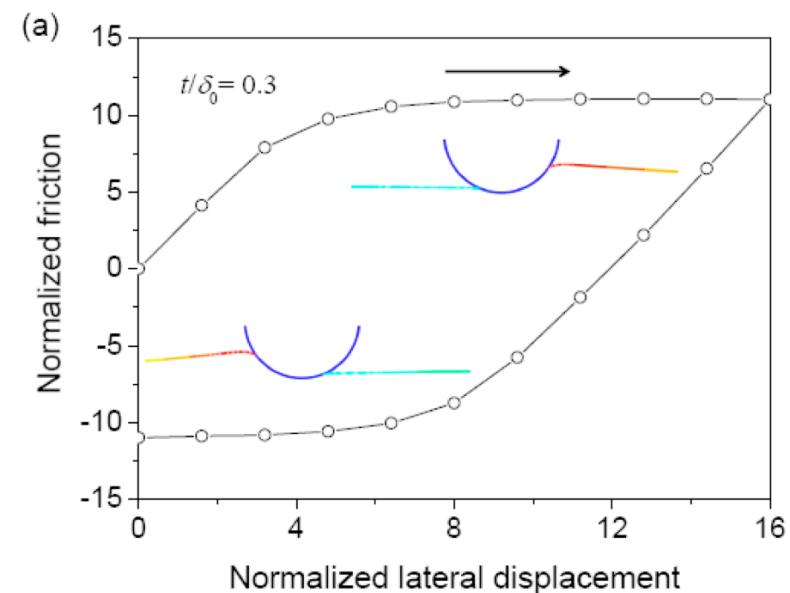
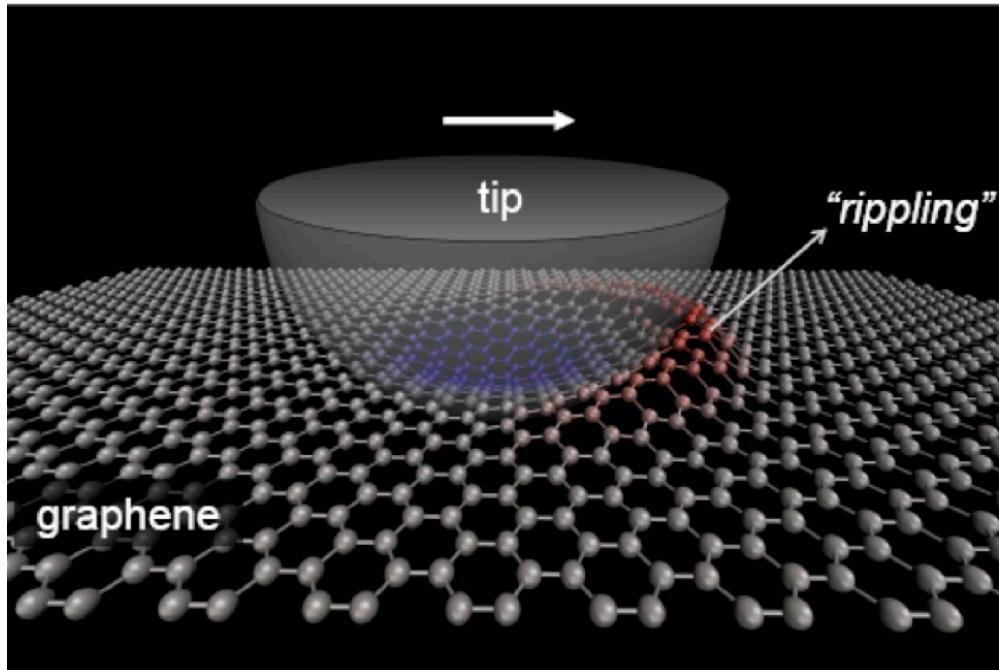
Thinner =  
higher  
friction  
For all 4  
materials..



Friction magnitude  
smallest for  
graphene and MoS<sub>2</sub>



## Simple model...



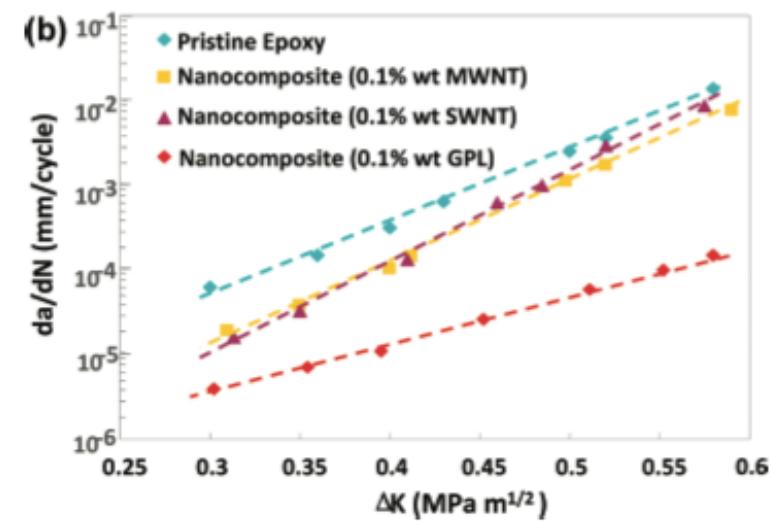
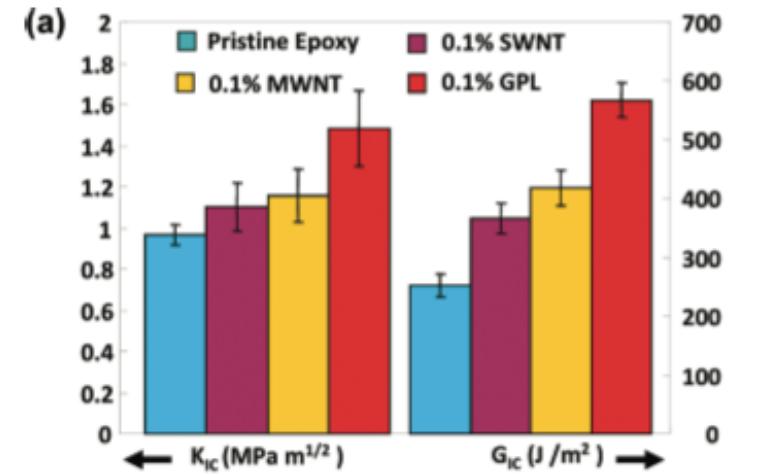
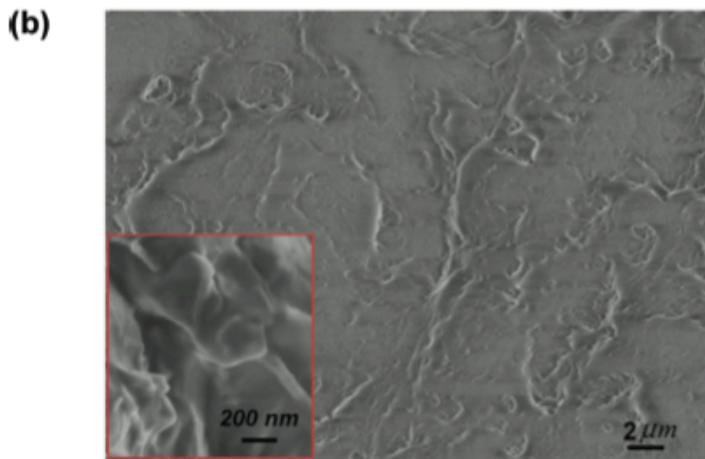
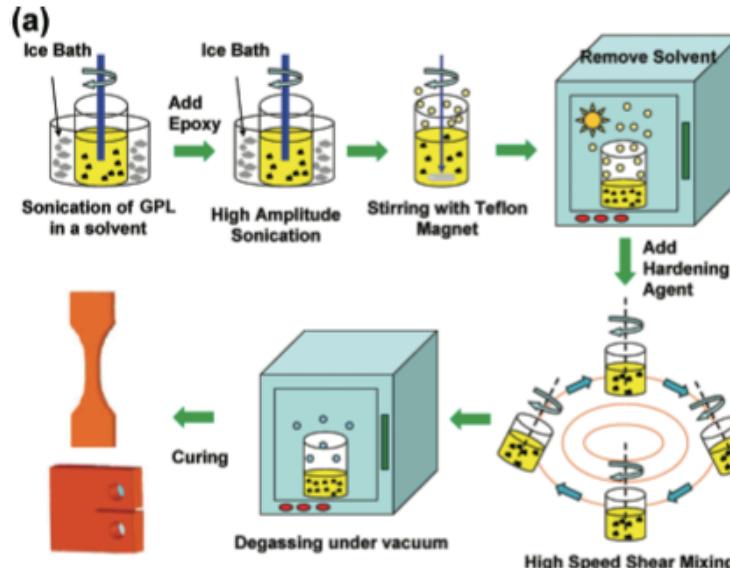


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Some applications....



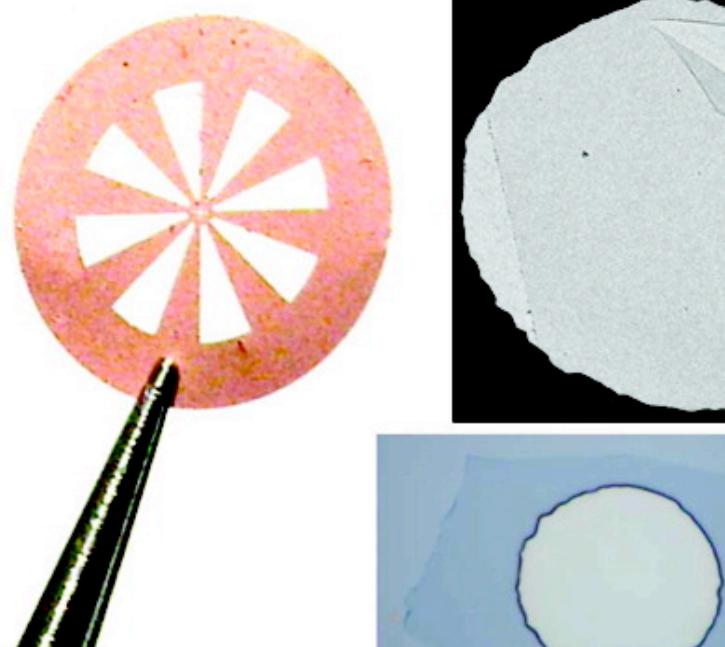
# Composite Materials



Raffiee et al, ACS Nano (2009)

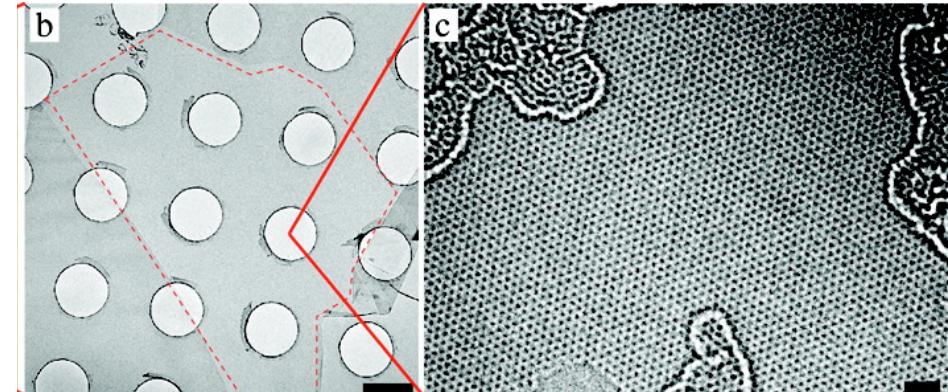


# Free-Standing Membranes

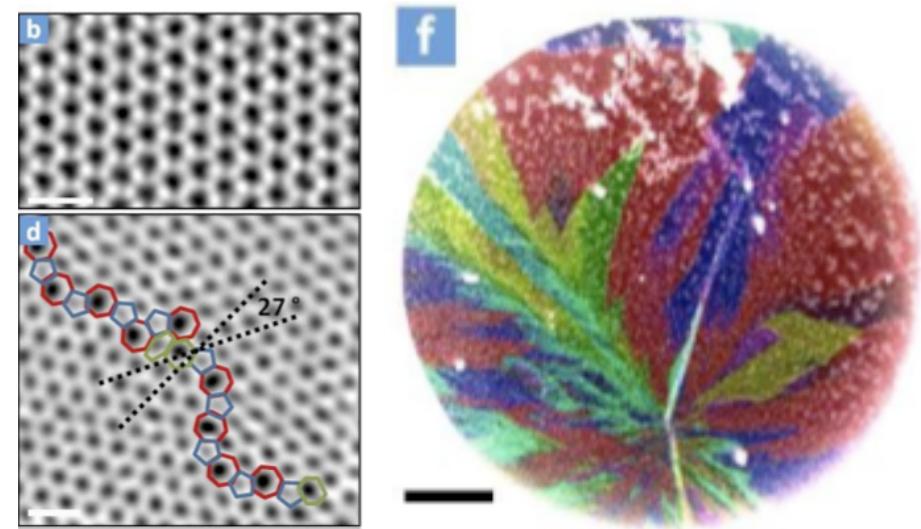


Tim J. Booth; Peter Blake; Rahul R. Nair; Da Jiang; Ernie W. Hill; Ursel Bangert; Andrew Bleloch; Mhairi Gass; Kostya S. Novoselov; M. I. Katsnelson; A. K. Geim; *Nano Lett.* 2008, 8, 2442-2446.

TEM-compatible  
membranes: direct  
imaging of graphene  
lattice and defects /  
grains



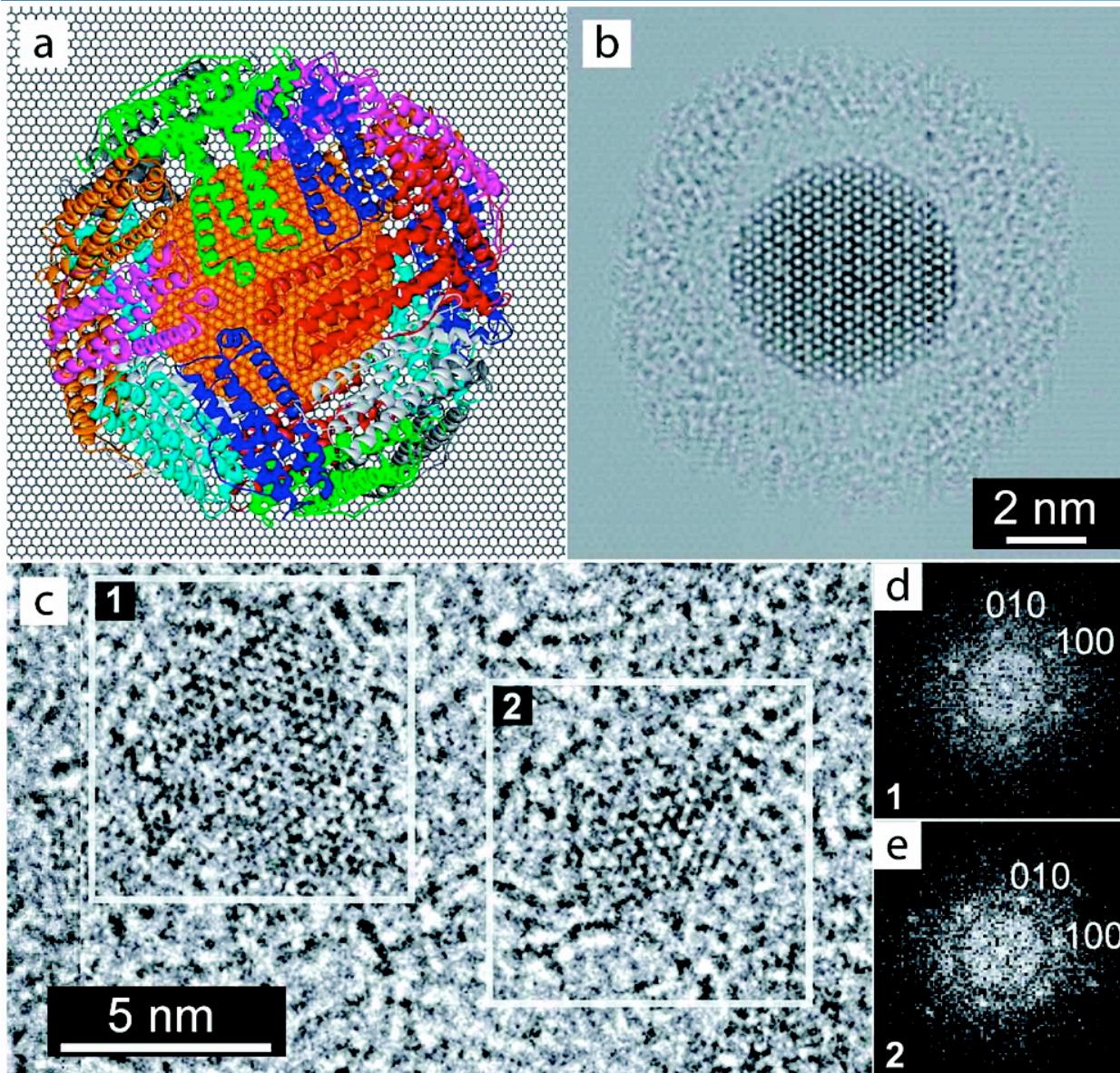
Jannik C. Meyer; C. Kisielowski; R. Erni; Marta D. Rossell; M. F. Crommie; A. Zettl; *Nano Lett.* 2008, 8, 3582-3586



McEuen group, in press



# Free-Standing Membranes

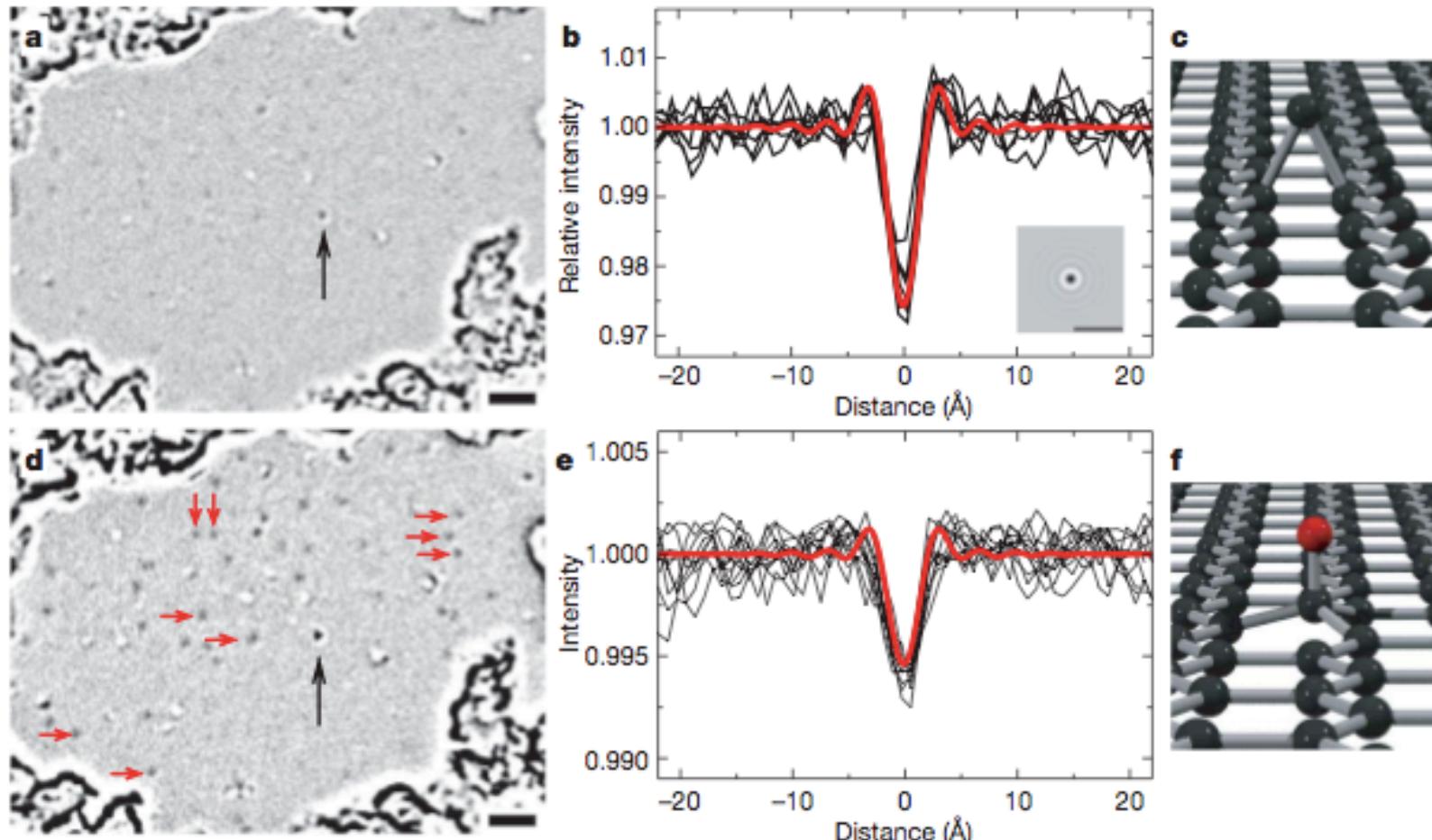


TEM imaging  
of proteins /  
biomolecules

Neil R. Wilson; Priyanka A. Pandey;  
Richard Beanland; Robert J. Young;  
Ian A. Kinloch; Lei Gong; Zheng Liu;  
Kazu Suenaga; Jonathan P. Rourke;  
Stephen J. York; Jeremy Sloan;  
*ACS Nano* 2009, 3, 2547-2556.



# Free-Standing Membranes

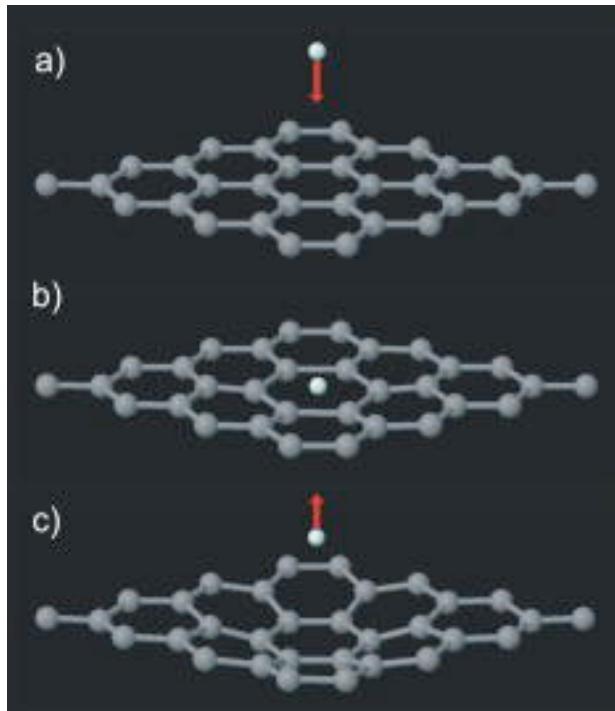


Meyer and Zettl, Nature 2008

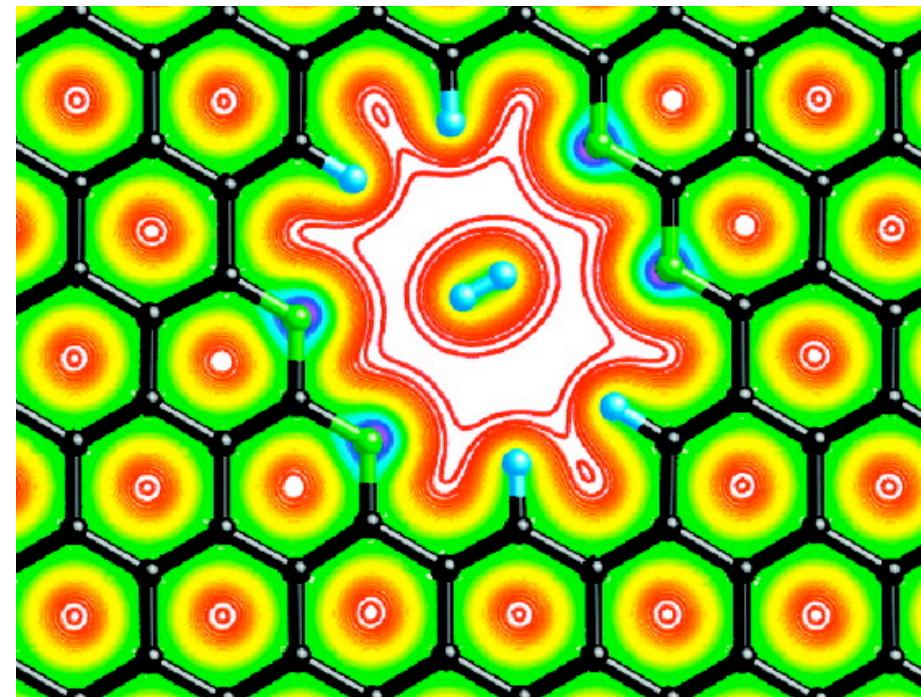
TEM imaging of single hydrogens!



# Gas Trapping and Separation



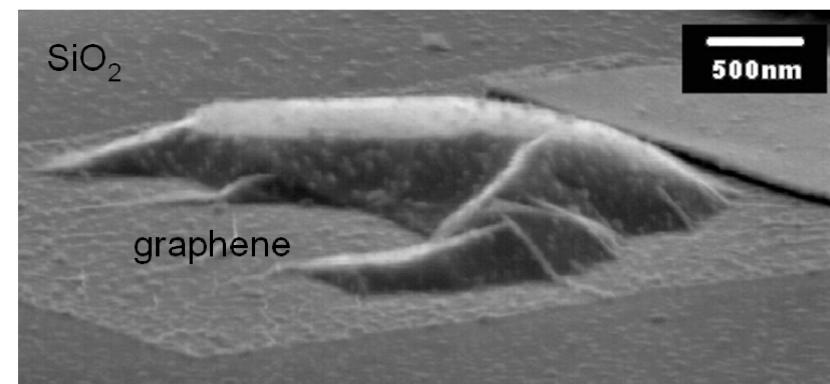
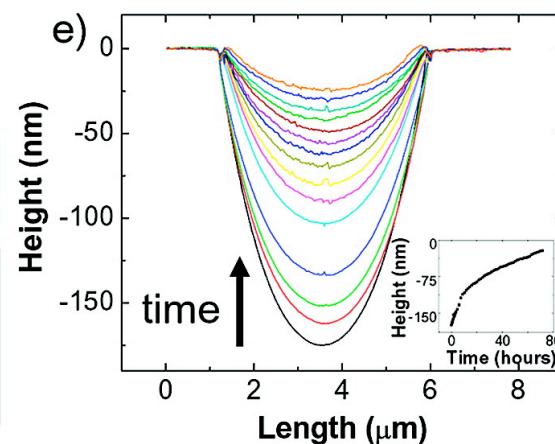
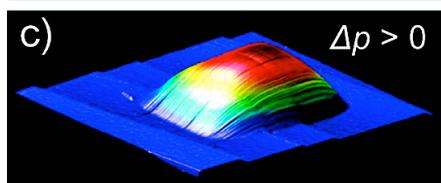
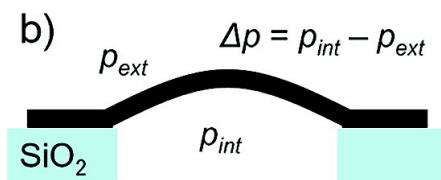
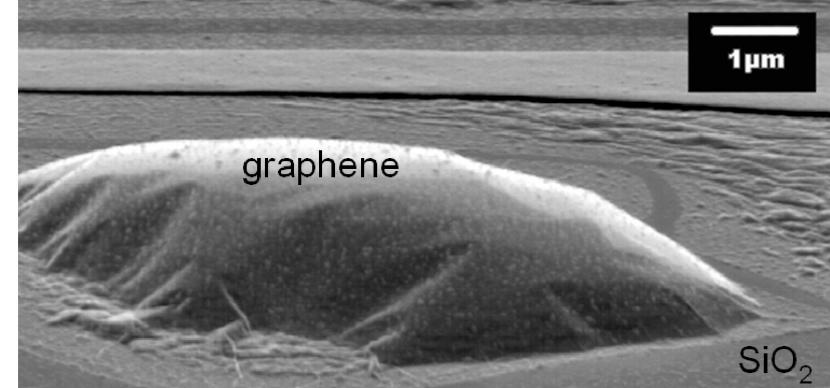
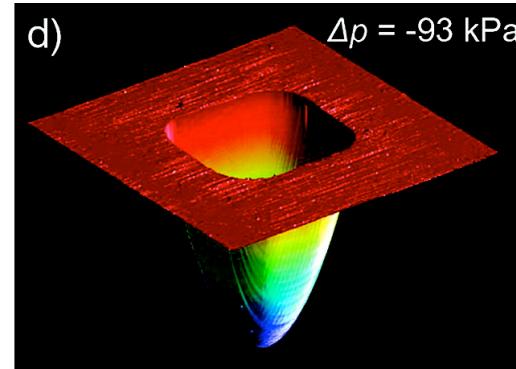
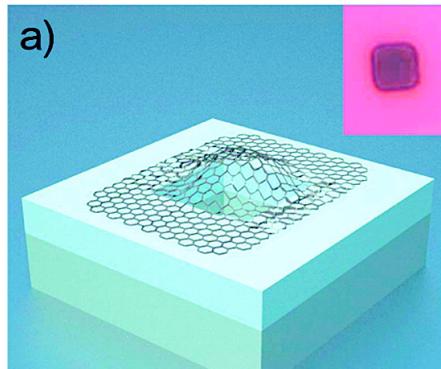
Appl. Phys. Lett. 93, 193107 (2008)



De-en Jiang; Valentino R. Cooper; Sheng Dai; *Nano Lett.* 2009, 9, 4019-4024.



# Graphene 'Bubbles'

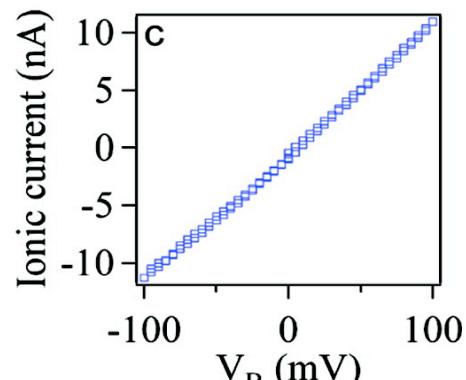
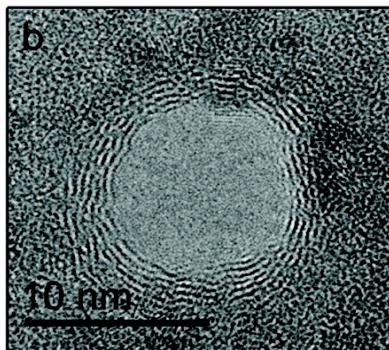
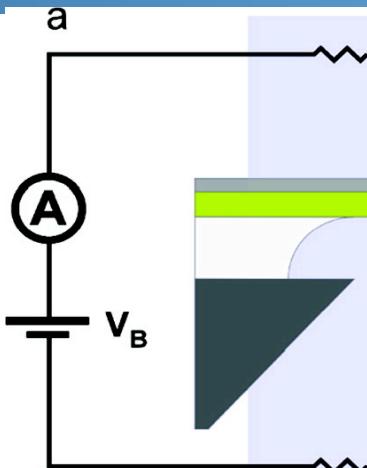


J. Scott Bunch; Scott S. Verbridge; Jonathan S. Alden;  
Arend M. van der Zande; Jeevak M. Parpia; Harold G.  
Craighead; Paul L. McEuen; *Nano Lett.* **2008**, *8*,  
2458-2462.

E. Stolyarova; D. Stolyarov; K. Bolotin; S. Ryu; L. Liu; K. T. Rim; M. Klima; M. Hybertsen; I. Pogorelsky; I. Pavlishin; K. Kusche; J. Hone; P. Kim; H. L. Stormer; V. Yakimenko; G. Flynn; *Nano Lett.* **2009**, *9*, 332-337.



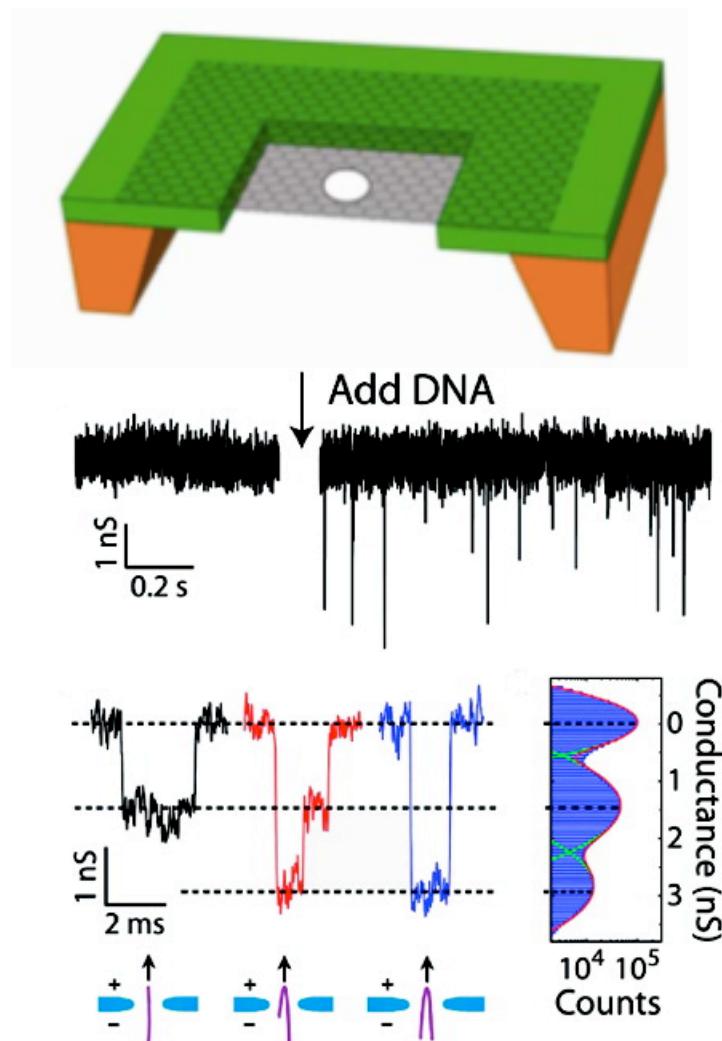
# Nanopores: DNA sequencing



Peterman; John Bartel; Michael D. Fischbein; Kimberly Venta; Zhengtang Luo; A. T. Charlie Johnson; Marija Drndić; *Nano Lett.* **2010**, *10*, 2915-2921.

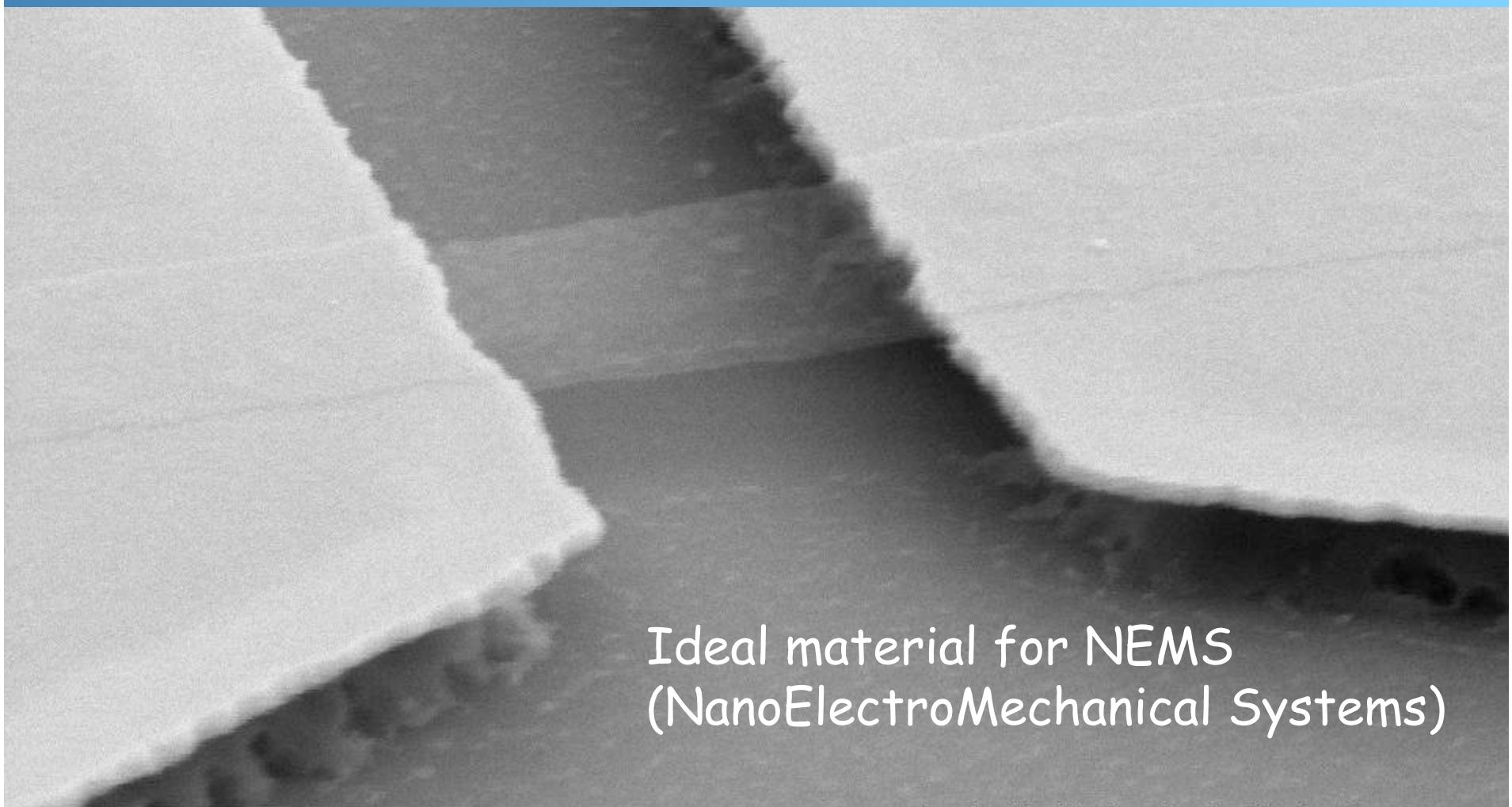
Grégory F. Schneider; Stefan W. Kowalczyk; Victor E. Calado; Grégory Pandraud; Henny W. Zandbergen; Lieven M. K. Vandersypen; Cees Dekker; *Nano Lett.* **2010**, *10*, 3163-3167.

Golovchenko et al, *Nature* 2010





## *Suspended Graphene*



A scanning electron micrograph showing a single, thin, dark, elongated sheet of suspended graphene suspended between two support points. The background is a textured grey surface.

Ideal material for NEMS  
(NanoElectroMechanical Systems)

5.0kV 17.1mm x40.0k SE(M)

1.00μm



## Graphene Mechanical Resonators

Source

Gate

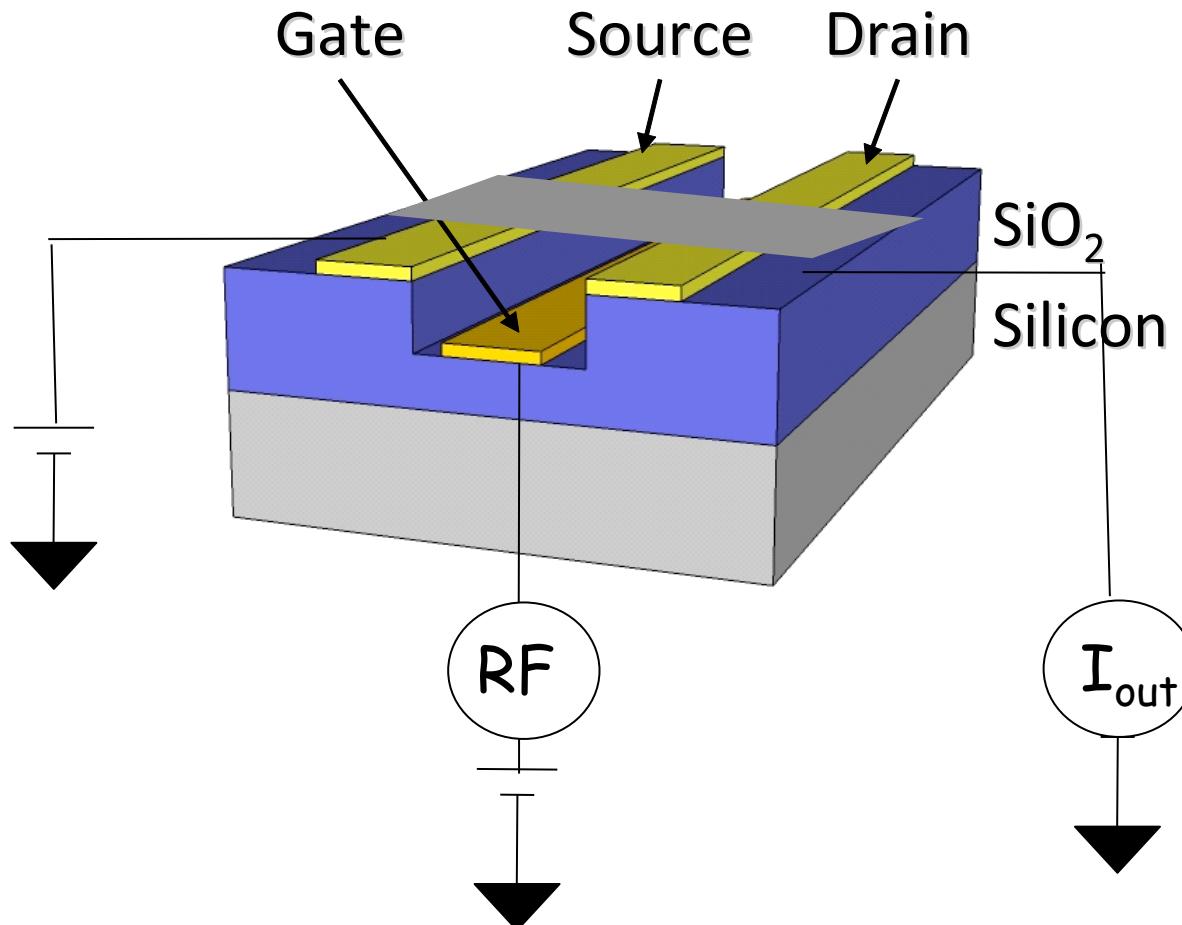
Drain

5.0kV 10.8mm x35.0k 11/10/2010

1.00um

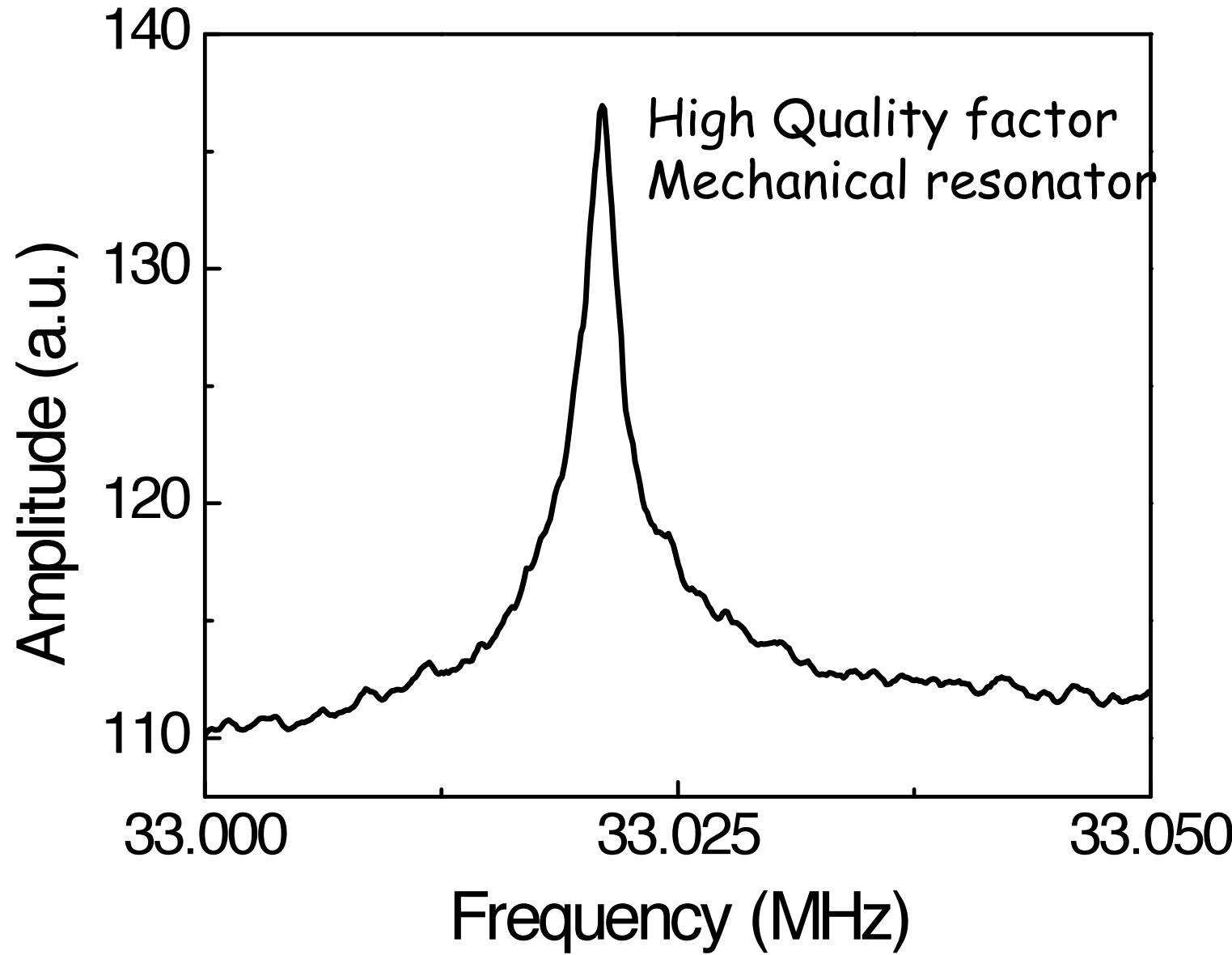


# Graphene Mechanical Resonators





## Graphene Mechanical Resonators

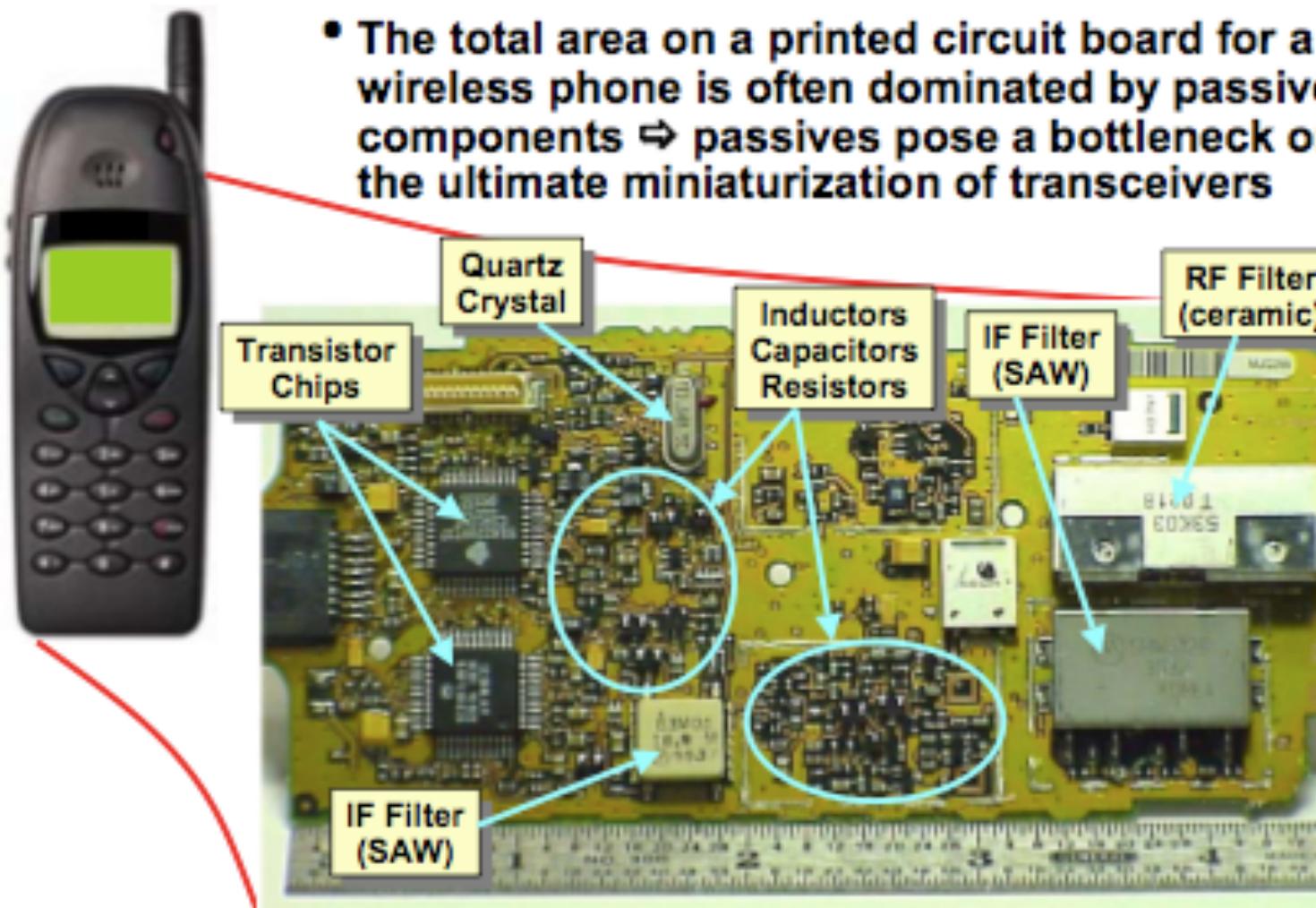




MEMS for Wireless Communications

## So Many Passive Components!

- The total area on a printed circuit board for a wireless phone is often dominated by passive components  $\Rightarrow$  passives pose a bottleneck on the ultimate miniaturization of transceivers

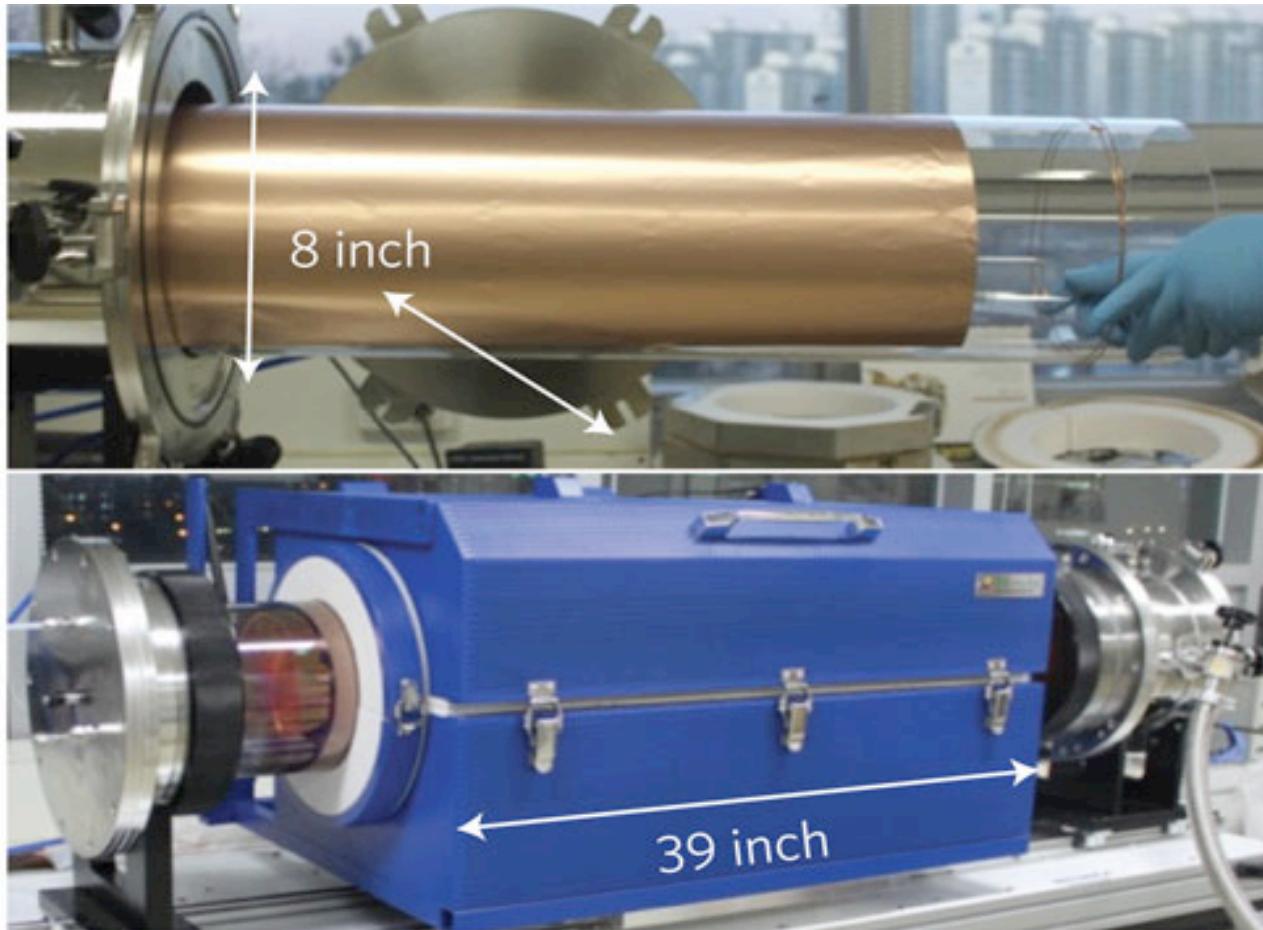




# CVD Growth of Graphene



a



R. Ruoff, UT

B.H. Hong,  
SKKU (Korea)

At 1000 °C, methane ( $\text{CH}_4$ ) becomes graphene on surface of copper