



Mechanisms and models of normal and impaired hearing

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Hearing: Information processing system

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Challenges: - Representation and processing of signal information in the auditory system; neural correlates of perception.

- Modelling auditory signal processing and perception.
- Integration of processing strategies in technical and clinical applications.



Various challenges



Physical domain





Complex sound field

1-dim. input signal

Coding Multi-dimensional feature representation

X2

[™]X₁



Various challenges



Psychophysical domain



Some "hot topics":

- Solving the cocktail-party problem
- Solving the dynamic range problem
- Coding of spatial sounds in humans
- Neural correlates of learning and attention



The "cocktail-party" problem





In "cocktail-party" situations, normally-hearing listeners effortlessly segregate different sources. No artificial system performs anywhere near as well.



Key problem: Hearing-impaired people have difficulty with speech communication (even with hearing aids) when background noise is present.



• What gets lost in the impaired system (besides sensitivity)?

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- How can we compensate for the deficits with hearing instruments?
- What are the properties that makes the intact auditory system so special?
- Why is the intact auditory system so robust in challenging situations?





- Main goals: To represent the results from experiments within one framework
 - To explain the functioning of the system
- Specifically: Models can help generate hypotheses that can be explicitly stated.
 - Models can help determine how a deficit in one or more components affects the operation of the system.
 - Models can illustrate how complex a problem is.
- Classes of Conceptual, biophysical, physiological, mathematical, computational, ... models: perceptual models, depending on which aspects are considered.





Example for a perception model (from an engineering point of view)



Model focusses on limitations in resolution rather than predictions of sensations.

Focus on the simulation of perception data (inspired by physiology)

Computational auditory signal processing and perception model

CASP

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Jepsen et al. (2008) (based on Dau et al., 1997)



- Key aspects of nonlinear cochlear processing for auditory perception
- Across-channel processing and coincidence detection
- Adaptation: Steady-state compression and dynamic contrast enhancement
- Processing of temporal and spectral modulations and consequences for speech perception
- Computational auditory scene analysis: An approach based on coherence



Nonlinear cochlear filtering





- Amplification and compression only at the characteristic frequency (CF).
- Linear response properties for off-freq. stimulation.
- Frequency selectivity is level dependent.
- Largest gain at low stimulation levels



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Cochlear damage









Simulation of loss of frequency selectivity (broadening factor of 3) but no threshold elevation.







Masker level: 45 or 85 dB SPL

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 $\Box \bigcirc$ Data (Moore *et al.*, 1998)

Model

Original model (with *linear* BM)

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TN

NN

2

3

4

On- versus off-frequency forward masking (NH)



Intensity discrimination, signalDTUintegration and AM detection (NH)Image: Second S

-20

-30

3

5

20

50

10

100 4 8 16 32 64

Modulation frequency (Hz)

250

1000



... and modulation detection

... resulting from the properties of optimal detector, adaptation stage and modulation filterbank

Jepsen et al. (2008)









So far so nice. Preprocessing has also been successful as front end in certain applications - for mean NH listeners.

However, a model is missing that accounts for the variability in the data (particularly in HI listeners).

(Example: Frequency selectivity @750 Hz in listeners with normal audiogram at low frequencies.)

- 1. step: Characterisation of HI ("auditory profile").
- 2. step: Prediction of individual HI ("basic" functions).
- 3. step: Evaluation in other tasks (e.g. speech).

Strelcyk and Dau (2009)



Modelling individual hearing impairment?









Relations between functions in impaired hearing







- Key aspects of nonlinear cochlear processing for auditory perception
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Reduced frequency selectivity thus represents only one impairment factor. Probably not sufficient to explain the major problems in noisy environments.

Hypothesis: Across-channel processing is important for robust signal encoding (Loeb *et al.*, 1981; Carney *et al.*, 2002).

Spatio-temporalIdea: To extract information from the spatio-temporal pattern of
cochlear activity.







Across-channel model for signal-in-noise detection



Detection of formants and tones in noise (e.g., Deng & Geisler, 1987; Carney et al., 2002)





Cochlear damage and across-channel processing



Typical consequences of cochlear damage:

1. Reduced frequency selectivity as a consequence of loss or reduction of compression (outer hair cells)

2. Deterioration of the encoding of temporal fine structure, by:

- i) Reduced precision of phase locking in AN fibers
- ii) Reduced number of cochlear hair cells \rightarrow reduction of converging inputs
- iii) Loss of coincidence detectors

Effect on the output of spatio-temporal processing:



Cochlea

How can we measure phase locking in humans?





Phase locking in normal versus impaired hearing

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- \Rightarrow Good correspondence between physiological and behavioral estimates
- \Rightarrow Most hearing-impaired listeners show a lower frequency limit either due to degraded monaural phase locking or deficits in the "binaural operator".

Relation between speech intelligibilityDTUand measures of temporal processing\vee



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Dau et al. (2000); Harte et al. (2010)

⇒ The amount of neural synchronization across frequeny is correlated with speech intelligibility (but not with audibility).





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We can hear sounds extending over a huge range of sound levels (of 120 dB).

At the same time, we can hear level changes of about 1 dB across entire level range.

However: The neural dynamic range of individual neurons is very limited as studied extensively in the cochlea (auditory nerve).

Which mechanisms exist that extend the range of coding?



 \Rightarrow Adaptive processes of neurons throughout the auditory system (here: brainstem).



Dynamic adaptation



Dynamic changes: Temporal pattern of adaptation is similar throughout auditory pathway but "time constants" change from *ms* to *s*.



Firing patterns of many neurons show a form of adaptation to a sudden change in stimulus level.

Auditory nerve: rapid adaptation

Phenomenological model of adaptation:

 $\Rightarrow \begin{array}{l} \text{Steady-state compression} \\ \text{and contrast enhancement} \end{array}$





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Model including an adaptation circuit



- Simple circuit that accounts for large variety of behavioral data
- Provides robust internal representation in model applications
- No explanation of the mechanisms underlying adaptation

Jepsen et al. (2008) (based on Dau *et al.*, 1997)



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How is the envelope coded in the auditory system?

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Evidence from physiological and perceptual data: Decomposition of the temporal envelope at the output of each cochlear filter.



 \Rightarrow Modulation frequency-to-place transformation in the brain.



(1-D) Modulation filterbank model



Speech perception:

 Modulation filterbank consistent with concept of speech transmission index (STI) (e.g., Houtgast and Steeneken, 1985).

• RASTA algorithm (Hermansky, 1994) in speech recognition systems: filters out "irrelevant" temporal modulations.

Dau et al. (1997); Jepsen et al. (2008)



Speech intelligibility prediction: The STI concept



Speech signal (1/3 oct. filtered @ 2kHz)





No processing



- STI accounts for effects of additive noise.
- Noise reduction (via spectral subtraction) *increases* the SNR (in the audio domain) and the STI.
- \Rightarrow Prediction of *increase* in intelligibility.
- However, data typically show a decreased speech intelligibility.
- \Rightarrow Noise reduction *paradox*



Speech-based envelope power spectrum model (sEPSM)





- Based on the EPSM (Ewert and Dau, 2000) used for prediction of modulation detection and masking.
- Key component: Metric based on the signal-to-noise ratio in the envelope domain (SNR_{env}).



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Components of the framework





The *ideal observer* makes assumptions about the response alternatives and redundancy (m, σ) of a given speech material \Rightarrow shape of psych. function.

However, the "key" measure affected by the transmission channel is considered to be SNR_{env}.



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Data and simulations



0.1

0.2

0.3

0.4

0.6

0.7

0.8

0.9

0.5 ILS

Spectral subtraction Reverberation 10 4 $\rho = 0.99$ $\rho = 0.98$ 9 RMSE = 0.48 dBRMSE = 0.71 dB3 8 ф Ę 7 Ļ Ţ 6 **ASRT** (dB) **ASRT** (dB) 5 ф 0 4 Þ -1 3 2 -2 Data 1 Data П -3 sEPSM sEPSM 0 sSTI STI -1 0.5 0.4 0.7 1.3 2.3 0 2 4 8 0 Over-subtraction factor α Reverberation time $T_{30}(s)$

- \Rightarrow In conditions of reverberation, STI and sEPSM perform similarly (and successfully).
- ⇒ In conditions of spectral subtraction, the sEPSM accounts for the data while the STI fails completely (Jørgensen and Dau, 2011).



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- No contributions from modulations \geq 32 Hz (consistent with earlier work).
- The envelope power of the noisy speech increases with α ; However, the envelope power of the (estimated) noise floor increases more strongly with α .
- \Rightarrow Thus, SNR_{env} decreases with α as does the measured speech intelligibility.





Is frequency selectivity in audio and envelope frequency domain critical?

Additional simulations with:

- one "broad" auditory filter
- a 150-Hz modulation LP filter

In both cases, the modified model fails to account for the data.

⇒ The integration of SNR_{env} information *after* frequency-selective processing (in both domains) is crucial for speech-intelligibility prediction.

However, the model does not reflect, which modulations contribute at which time and (carrier) frequency to speech intelligibility.

Speech masking release in modulated interferers



Speech perception in fluctuating noise enhanced compared to a stationary noise interferer

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Large masking release (MR) observed due to the ability to "listen in the dips".

Hypothesis: The SNR_{env} might be increased in the dips.

"Short-term" SNR_{env} calculation required



"Multi-resolution" sEPSM





Modulated-noise and speech-like interferers



Three conditions: SSN - as the stationary reference condition

SAM - 8-Hz modulated noise (e.g., Festen, 1987)

ISTS - International speech test signal (Holube et al., 2010).



In fact, the simulations suggest that high-frequency modulations (>30 Hz) contribute effectively to speech intelligibility in the case of the SAM and ISTS interferers.



The role of fast modulations





Model-output from audio-filter @1 kHz

Another challenge: Phase jitter (nonlinear) distortion



Phase jitter distortion







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Weigthing of SNR_{env} by across-channel variance (Chabot-Leclerc *et al.*, 2015):

- ⇒ sEPSM_x predictions (incl. weighting) provide good agreement with measured data
- ⇒ Similar results as with STMI predictions by Elhilali *et al.* (2003)



- Conceptually related to correlation of neural activity across sensory channels that has been proposed in connection to auditory streaming (Elhilali *et al.*, 2009) and CMR (Piechowiak *et al.*, 2007).
- Different from STRF concept on which STMI is based.







- Current focus: Expansion towards two ears: Prediction of spatial release from masking due to "true" binaural unmasking vs "better-ear" listening (Chabot-Leclerc *et al.*, 2016).
 - Combination of modulation-based preprocessing with correlation-based decision metric (Iborra *et al.*, 2017). (Back to the template-matching approach)?
 - Prediction of consequences of hearing loss on speech intelligibility (e.g., consequences of IHC vs. OHC loss).
 - Analysis of "distortion vs attenuation" component of a hearing loss (Plomp, 1986) in a modeling framework.

- ...



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Hearing-impaired listeners often show highly reduced speech masking release.

Fast envelope fluctuations may be inaudible or distorted.

Interesting input for models of impaired speech perception and the evaluation of hearing aids.

100 steadyhearing-impaired of sentences correct listeners 80 60 interferi 40 V01C# odulated % 20 -14 -12 -10 -8 0 2 -6 -2 speech-to-masker ratio (dB) Festen and Plomp (1993)

The model is based on the concept of modulation masking. It even accounts for conditons with interfering talkers – often associated with "informational masking".

However, the model fails if it does not have *a priori* information about the signal and the masker. It cannot provide stream segregation.

Unfortunately, stream segregation is one of the major challenges of hearingimpaired people.



Towards a model of stream segregation







Example of stream segregation due to frequency separation

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- Tones with a sufficiently large frequency separation always split into separate streams (van Noorden, 1975).
- Unless the tones are synchronized! Then they merge into a single stream despite tonotopic separation (Bregman, 1990; Elhilali *et al.*, 2009).









- How strict is the "synchrony" grouping mechanism?
- Can the tones be slightly asynchronous (ΔT≠0) and still be fused?
- Experiment investigating the influence of:
 - Tone repetition time (TRT)
 - Tone duration (t_{dur})







Results:

- The tones *can* fuse together without perfect synchrony ($\Delta T = 0$).
- Fusion occurs if the asynchrony is less than ~ 20 ms.
- No significant effect of TRT and t_{dur}.











Step 1: Decomposition of acoustic stimuli into a collection of sensory elements (following the concepts of Bregman, 1990):

Using a physiologically inspired model of the auditory periphery, CASP (e.g., Dau *et al.*, 1997).



The model has earlier been evaluated in various conditions of spectro-temporal masking in the auditory system.



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Modelling

Step 2: Grouping of sensory elements:

- Based on synchrony of neural activity (including conditions with distant spectral components).
- Utilizing a correlation process across tonotopic channels, e.g., a "temporal coherence analysis" similar to Elhilali *et al.* (2009).



Correlation between each pair of frequency channels ⇒ Dynamic coherence matrix C that evolves over time.



- Diagonal entries of the matrix shows the correlation of a given peripheral channel with itself.
- Off-diagonal entries reflect correlation across separate channels.
- To quantify the coherence matrix, an eigenvalue decomposition is performed.
- The ratio of the second largest to the largest eigenvalue (λ_2/λ_1) shows the strength of the "two-stream percept".

 $\frac{\lambda_2}{\lambda_1} \approx 0 =>$ Only 1 stream



6





Synchrony simulation



6



2 4 Frequency (kHz) $\frac{\lambda_2}{\lambda_1} \approx 0.08 \approx 0 => 1 \text{ stream}$

0



Synchrony simulation



Applying the model on the same experimental setup as used in the psychoacoustic experiment:

- Similar overall behaviour
- However, the model shows some dependency on TRT









Van Noorden simulation



Applying the model to the classical van Noorden stimuli:

 \Rightarrow Model accounts for the dependency of segregation/fusion on TRT and Δf .







Van Noorden simulation



- Spread of excitation causes a high ٠ TRT = 140 ms cross-frequency correlation 4800 2700 (Hz) 1500 (Hz) 300 For short TRTs: forward masking ٠ reduces spread of excitation 300 100 0.2 0.6 0.8 0 0.4
 - reduced cross-frequency \succ correlation



High correlation \rightarrow One stream





Low correlation \rightarrow Two streams

Consistent with physiological studies (e.g., Bee and Klump, 2005).



- Temporal coherence may be the organizing principle behind primitive stream segregation.
- However, this requires an appropriate preprocessing (i.e., realistic frequencyselective filtering in the cochlea; an adaptive process accounting for forward masking and onset enhancement, and a modulation filterbank).
- The concept of coherence may be generalizable to other sensory channels (e.g., binaural processing).
- A model of auditory stream segregation might be useful for:
 - Source separation algorithms (ideally performing as well as NH listeners)
 - Classification of the number of sources in complex acoustic scenes
 - Evaluation of hearing-aid processing (e.g., does the processing "corrupt" acoustic cues necessary for stream segregation?)





- Modeling can be helpful to test specific hypotheses. It allows to quantify the effects of individual components in the framework.
- The presented examples have highlighted several features that seem important for robust auditory signal analysis.
- Despite the different methods and "outcome measures" illustrated here, similar features and processes were found to be essential.
- Combination of approaches might be interesting; e.g. to model targetinterferer confusion in stream segregation could help interpret the speechin-noise problem for the hearing impaired.
- Some of the model insights might be useful for applications:
 - mostly regarding objective evaluations of the effects of hearinginstrument processing ("analysis approach").
 - and maybe less in terms of applying the signal-processing directly in compensation strategies