

# Critical amplification in the cochlea

Frank Jülicher

Max Planck Institute  
for the Physics of Complex Systems  
Dresden



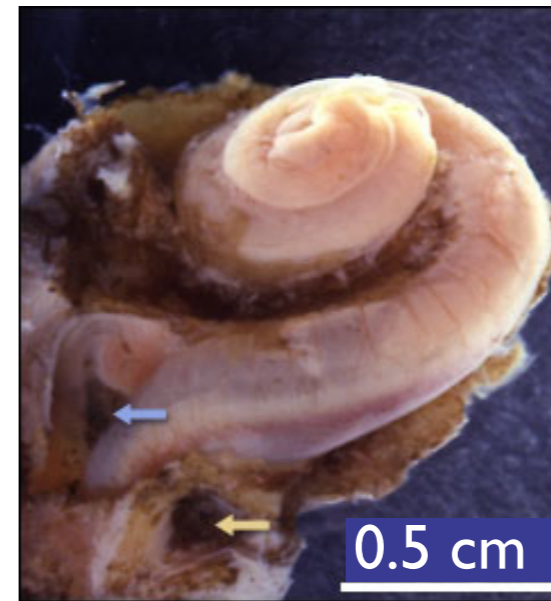
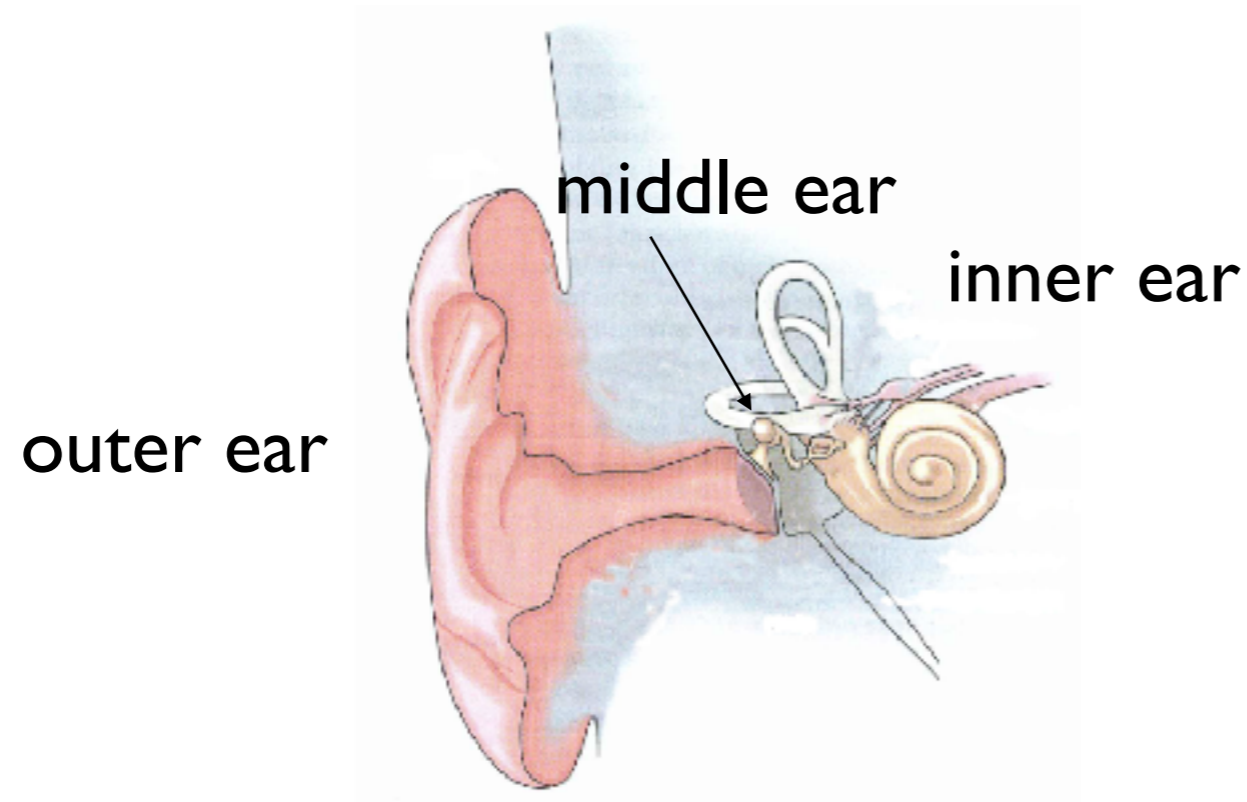
# Acknowledgements

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# The cochlea



cochlea

Signal detector



# Biophysics of hearing

physical basis of sound detection

19. century  
von Helmholtz

resonant oscillators



1950  
von Békésy

wave mechanics



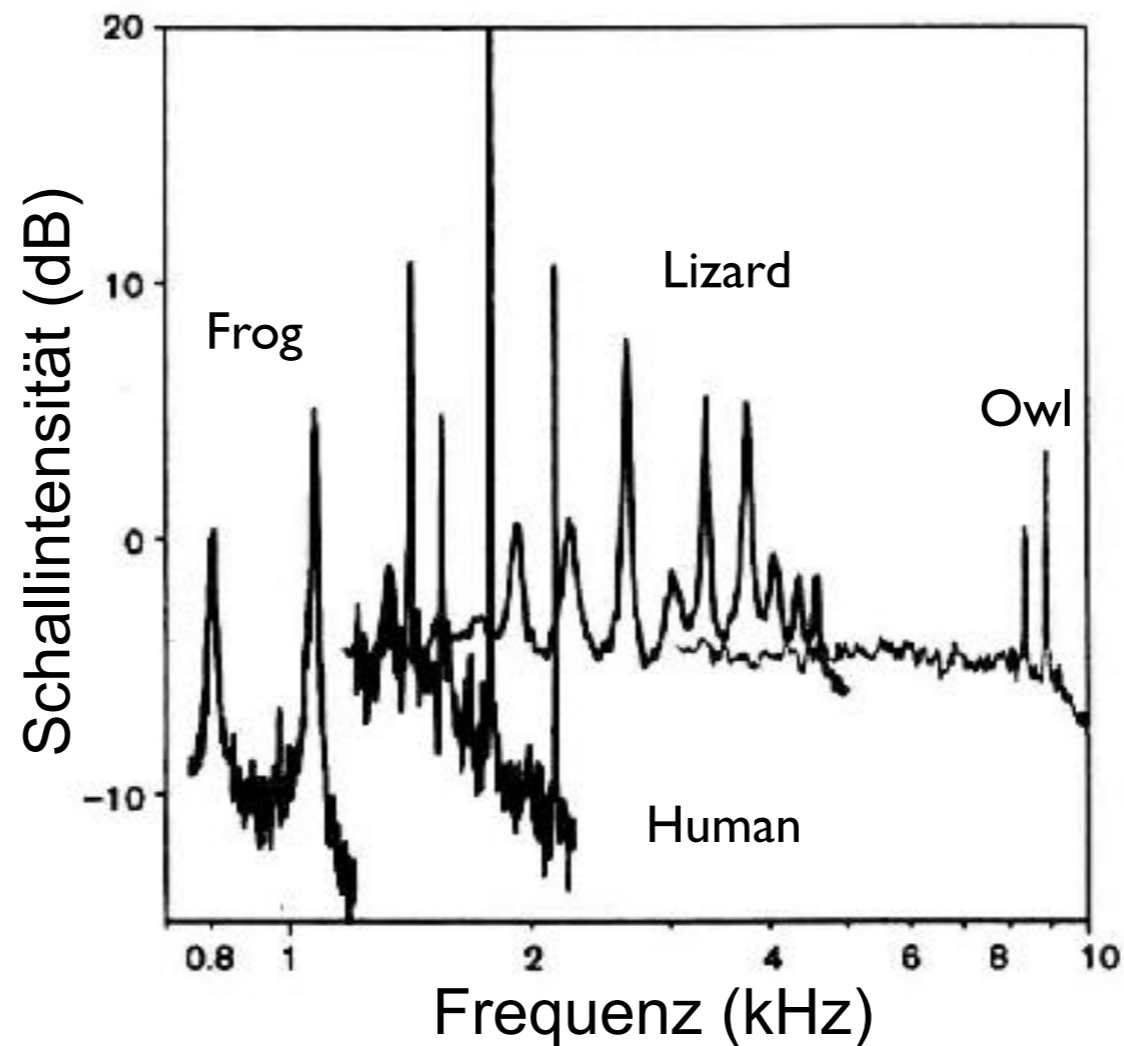
1980  
Gold 1948

active amplification



# Otoacoustic emissions

Spontaneous oto-acoustic emissions (S.O.A.E)



G.A. Manley and C. Köppl, 1998

D. Kemp, 1979

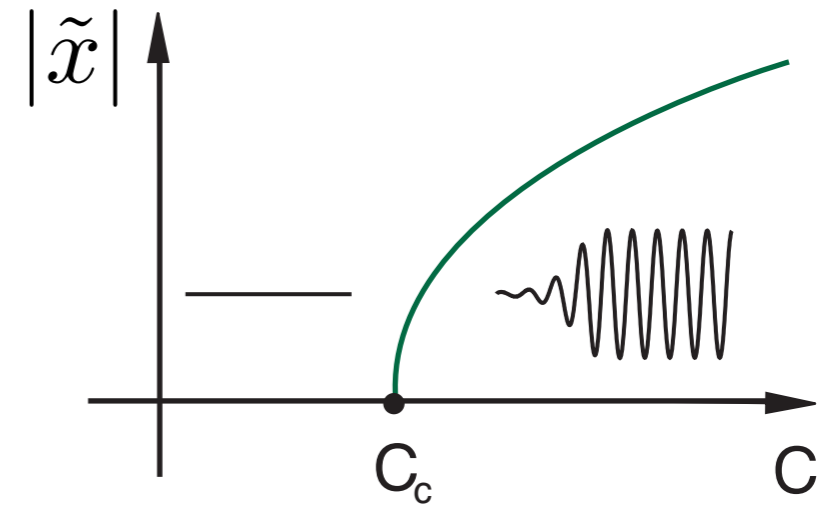
# Signatures of active processes in the ear

compressive nonlinearity

nonlinear combination tones

oto-acoustic emissions

sharp frequency selectivity



evidence for nonlinear and active oscillators

These signatures require the intact life organ

Nonlinearity linked to the active process

# Today's talk

compressive nonlinearity

nonlinear combination tones

oto-acoustic emissions

sharp frequency selectivity

How can these features  
be implemented using  
noisy dynamic cellular processes?

1.) Emergence of oscillations via a non-equilibrium phase transition

“Hopf bifurcation”

2.) Active and nonlinear wave phenomena in the cochlea

“Active traveling wave”

# Nonlinear oscillators

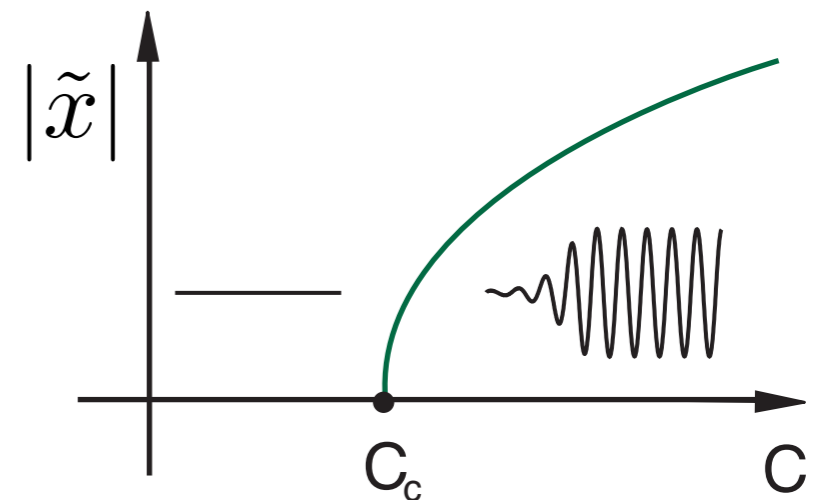
Spontaneous oscillations via a non-equilibrium phase transition

Hopf bifurcation in nonlinear dynamics

Generic oscillator normal form

$$A(\omega)\tilde{x} + B|\tilde{x}|^2\tilde{x} \simeq \tilde{f}$$

$$\tilde{x} = \int x(t)e^{-i\omega t} dt \quad f(t) = \tilde{f}e^{i\omega t} + \tilde{f}^*e^{-i\omega t}$$





# Nonlinear response

Single displacement variable (no noise)

$$x(t) = \sum_{n=-\infty}^{\infty} \tilde{x}_n e^{in\omega t}$$

$$\tilde{x}_n^* = \tilde{x}_{-n}$$

External force

$$f(t) = \sum_{n=-\infty}^{\infty} \tilde{f}_n e^{in\omega t}$$

$$\tilde{f}_n^* = \tilde{f}_{-n}$$

displacement-force relation

$$\tilde{x}_n = G_n^{(1)} \tilde{f}_n + \sum_k G_{nk}^{(2)} \tilde{f}_{n-k} \tilde{f}_k + \sum_{kl} G_{nkl}^{(3)} \tilde{f}_{n-k-l} \tilde{f}_k \tilde{f}_l + O(\tilde{f}^4)$$

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$$e^{in\omega t} \quad e^{i(n-k)\omega t} e^{ik\omega t} \quad e^{i(n-k-l)\omega t} e^{ik\omega t} e^{il\omega t}$$

# Nonlinear response

Single displacement variable (no noise)

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 linear response

what happens when  $G_n^{(1)}$  becomes large?

# Inverse expansion

inverse exists in a vicinity of the region where  $F_n^{(1)}$  is small

$$F_n^{(1)} = \frac{1}{G_n^{(1)}}$$

force-displacement relation

$$\tilde{f}_n = F_n^{(1)} \tilde{x}_n + \sum_k F_{nk}^{(2)} \tilde{x}_{n-k} \tilde{x}_k + \sum_{kl} F_{nkl}^{(3)} \tilde{x}_{n-k-l} \tilde{x}_k \tilde{x}_l + O(\tilde{x}^4)$$

displacement-force relation

$$\tilde{x}_n = G_n^{(1)} \tilde{f}_n + \sum_k G_{nk}^{(2)} \tilde{f}_{n-k} \tilde{f}_k + \sum_{kl} G_{nkl}^{(3)} \tilde{f}_{n-k-l} \tilde{f}_k \tilde{f}_l + O(\tilde{f}^4)$$

 linear response

what happens when  $G_n^{(1)}$  becomes large?

# Critical point

When  $F_n^{(1)}$  vanishes, a critical point occurs

$$F_n^{(1)} = \frac{1}{G_n^{(1)}} \quad F_1^{(1)}(\omega_c) = 0$$

force-displacement relation

$G_1^{(1)}(\omega_c)$  response function diverges

$$\tilde{f}_n = F_n^{(1)} \tilde{x}_n + \sum_k F_{nk}^{(2)} \tilde{x}_{n-k} \tilde{x}_k + \sum_{kl} F_{nkl}^{(3)} \tilde{x}_{n-k-l} \tilde{x}_k \tilde{x}_l + O(\tilde{x}^4)$$

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small spontaneous motion in response to a resonant stimulus  $f(t) = \tilde{f}_1 e^{i\omega t} + c.c.$

$$\tilde{f}_1 \simeq F_1^{(1)} \tilde{x}_1 + (F_{1,2}^{(2)} + F_{1,-1}^{(2)}) \tilde{x}_{-1} \tilde{x}_2 + (F_{1,1,1}^{(3)} + 2F_{1,-1,1}^{(3)}) \tilde{x}_1^2 \tilde{x}_{-1}$$

$$0 \simeq F_2^{(1)} \tilde{x}_2 + F_{2,1}^{(2)} \tilde{x}_1^2$$

$$|\tilde{x}_n| \sim |\tilde{x}_1|^n$$

# Critical point

When  $F_n^{(1)}$  vanishes, a critical point occurs

$$F_n^{(1)} = \frac{1}{G_n^{(1)}} \quad F_1^{(1)}(\omega_c) = 0$$

force-displacement relation

$G_1^{(1)}(\omega_c)$  response function diverges

$$\tilde{f}_n = F_n^{(1)} \tilde{x}_n + \sum_k F_{nk}^{(2)} \tilde{x}_{n-k} \tilde{x}_k + \sum_{kl} F_{nkl}^{(3)} \tilde{x}_{n-k-l} \tilde{x}_k \tilde{x}_l + O(\tilde{x}^4)$$

small spontaneous motion in response to a resonant stimulus

$$\tilde{f}_1 \simeq F_1^{(1)} \tilde{x}_1 + (F_{1,2}^{(2)} + F_{1,-1}^{(2)}) \tilde{x}_{-1} \tilde{x}_2 + (F_{1,1,1}^{(3)} + 2F_{1,-1,1}^{(3)}) \tilde{x}_1^2 \tilde{x}_{-1}$$

$$0 \simeq F_2^{(1)} \tilde{x}_2 + F_{2,1}^{(2)} \tilde{x}_1^2$$

$$\tilde{x}_2 \simeq -\frac{F_{2,1}^{(2)}}{F_2^{(1)}} \tilde{x}_1^2$$

# Critical point

When  $F_n^{(1)}$  vanishes, a critical point occurs

$$F_n^{(1)} = \frac{1}{G_n^{(1)}} \quad F_1^{(1)}(\omega_c) = 0$$

force-displacement relation

$G_1^{(1)}(\omega_c)$  response function diverges

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small spontaneous motion in response to a resonant stimulus

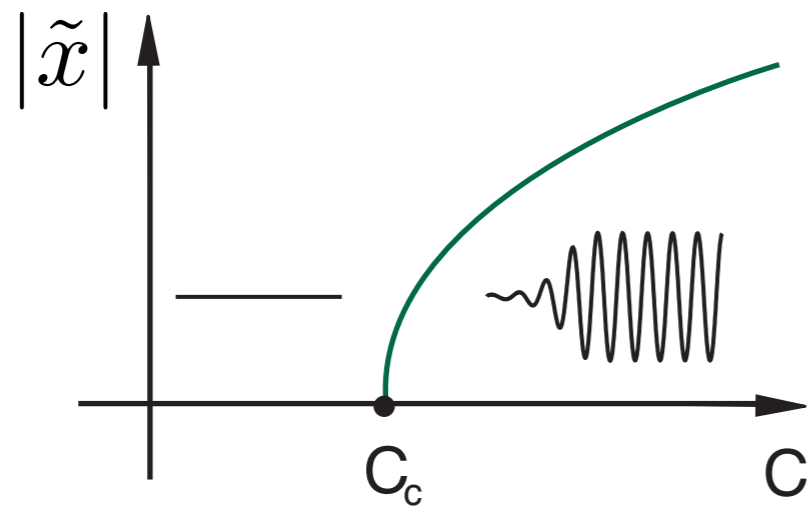
$$\tilde{f}_1 \simeq A \tilde{x}_1 + B |\tilde{x}_1|^2 \tilde{x}_1 + ..$$

$$A = F_1^{(1)}$$

$$B = -(F_{2,1}^{(2)} / F_2^{(1)}) (F_{1,2}^{(2)} + F_{1,-1}^{(2)}) + F_{1,1,1}^{(3)} + 2F_{1,-1,1}^{(3)}$$



# Spontaneous oscillations



$$|\tilde{x}_1|^2 = -\frac{A(\omega^*)}{B(\omega^*)}$$

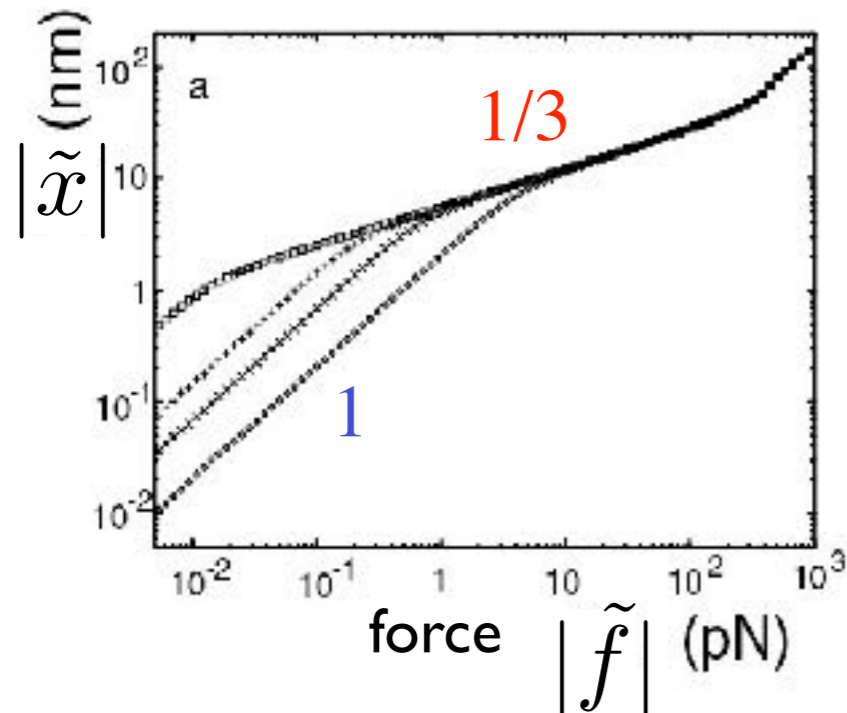
small spontaneous motion in response to a resonant stimulus

$$\tilde{f}_1 \simeq A\tilde{x}_1 + B|\tilde{x}_1|^2\tilde{x}_1 + \dots$$

$$A(\omega, C) = \alpha(\omega - \omega_c) + \beta(C - C_c) + \dots$$

$\alpha, \beta$  complex

# Signal amplification by nonlinear oscillators



$$\tilde{f}_1 \simeq A\tilde{x}_1 + B|\tilde{x}_1|^2\tilde{x}_1 + ..$$

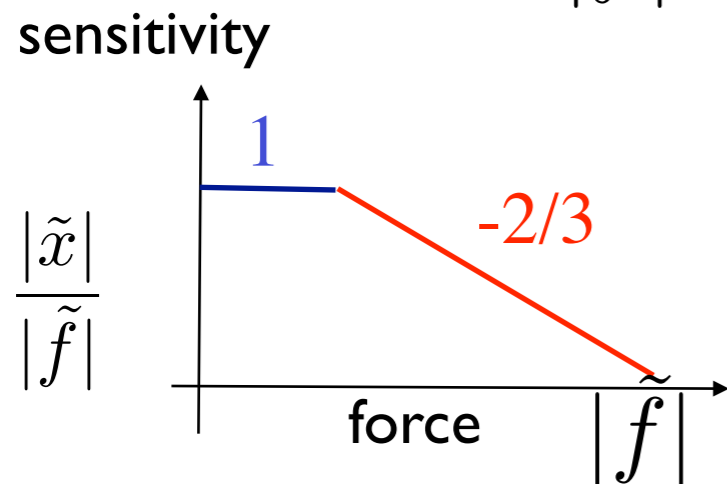
**Hopf bifurcation:**  $A(\omega_c) = 0$

nonlinear  
response

$$|\tilde{x}_1| \sim |\tilde{f}_1|^{1/3}$$

sensitivity

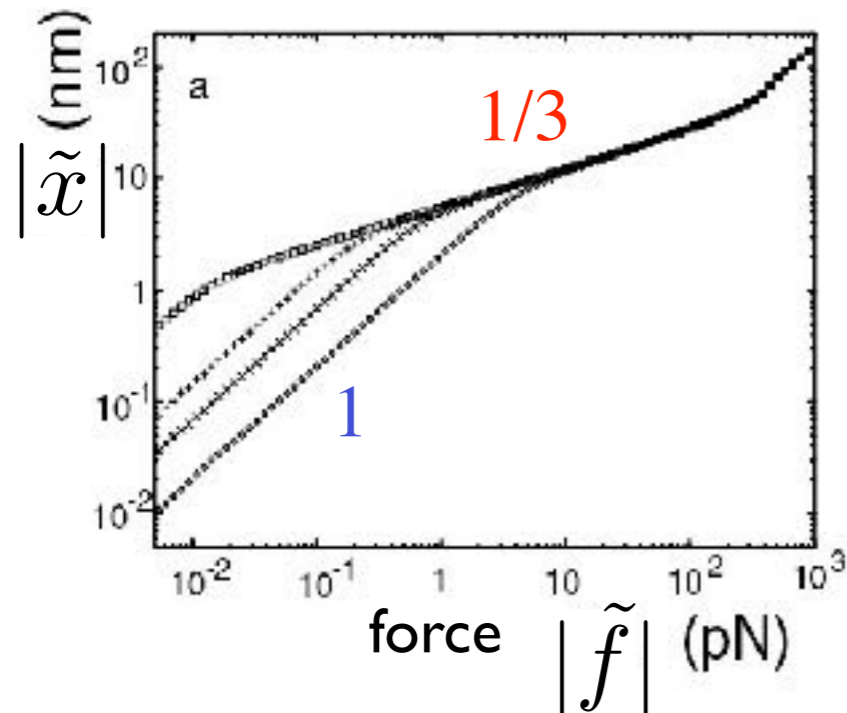
$$\frac{|\tilde{x}_1|}{|\tilde{f}_1|} \sim |\tilde{f}_1|^{-2/3}$$



Camalet, Duke, Jülicher, Prost, PNAS 97, 3183 (2000)

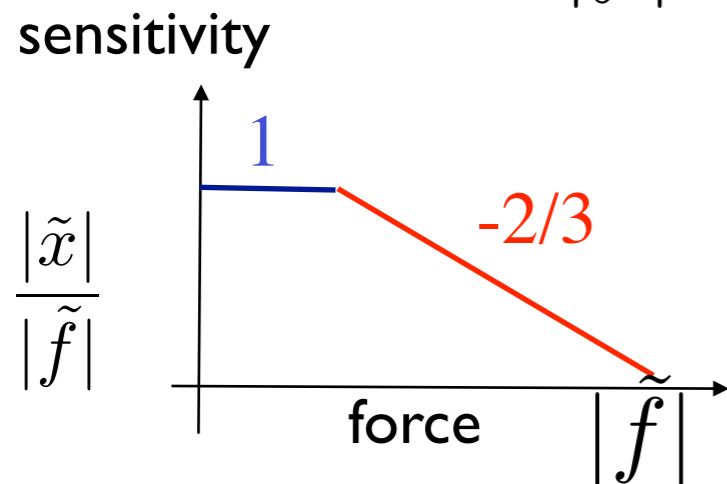
Eguiluz, Ospek, Choe, Hudspeth, Magnasco, PRL 84, 5232 (2000)

# Signal amplification by nonlinear oscillators



$$\tilde{f}_1 \simeq A\tilde{x}_1 + B|\tilde{x}_1|^2\tilde{x}_1 + \dots$$

$$A(\omega, C) = \alpha(\omega - \omega_c) + \beta(C - C_c) + \dots$$



nonlinear  
response

$$|\tilde{x}_1| \sim |\tilde{f}_1|^{1/3}$$

for

$$|\tilde{f}_1| > \frac{|\alpha(C - C_c)|^{3/2}}{|B|^{1/2}}$$

Camalet, Duke, Jülicher, Prost, PNAS 97, 3183 (2000)

Eguiluz, Ospek, Choe, Hudspeth, Magnasco, PRL 84, 5232 (2000)

# Normal form

$$Z(t) \simeq \tilde{x}_1(t)e^{i\omega t} \quad r \sim (C - C_c)$$

$$\frac{dZ}{dt} = (i\omega_c - r)Z + B|Z|^2Z + \frac{e^{i\theta}}{\Lambda}f(t)$$

forcing

$$\tilde{f}_1 \simeq A\tilde{x}_1 + B|\tilde{x}_1|^2\tilde{x}_1 + ..$$

$$A(\omega, C) = \alpha(\omega - \omega_c) + \beta(C - C_c) + \dots$$

$\alpha, \beta$  complex

# Coupled oscillators as a critical phenomenon

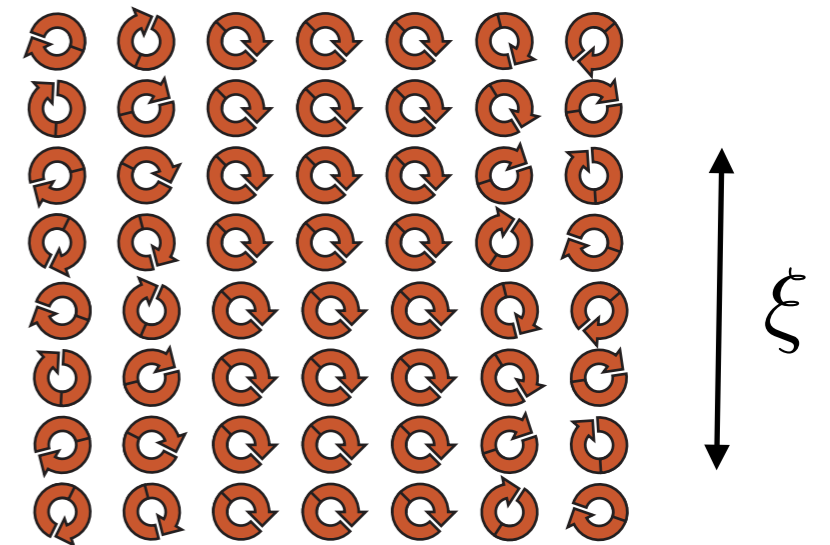
oscillators on a lattics

synchronization  
Hopf Bifurkation  
out of equilibrium

$$Z_n = \tilde{x}_n e^{i\omega t}$$

$$\xi \sim (C - C_c)^{-\nu}$$

$$\tilde{x} \sim \tilde{f}^{1/\delta}$$



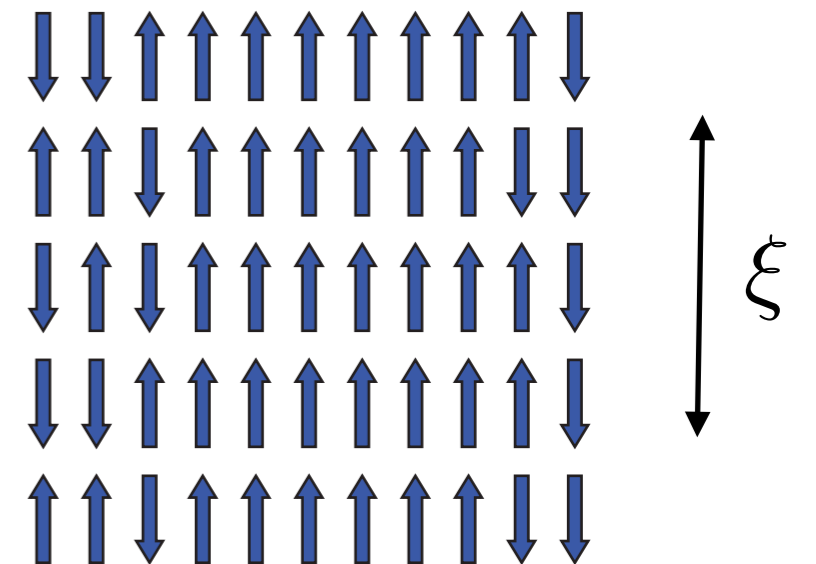
Ising model

magnetization  
critical point  
equilibrium

$$S_n = \pm 1$$

$$\xi \sim (T - T_c)^{-\nu}$$

$$M \sim H^{1/\delta}$$

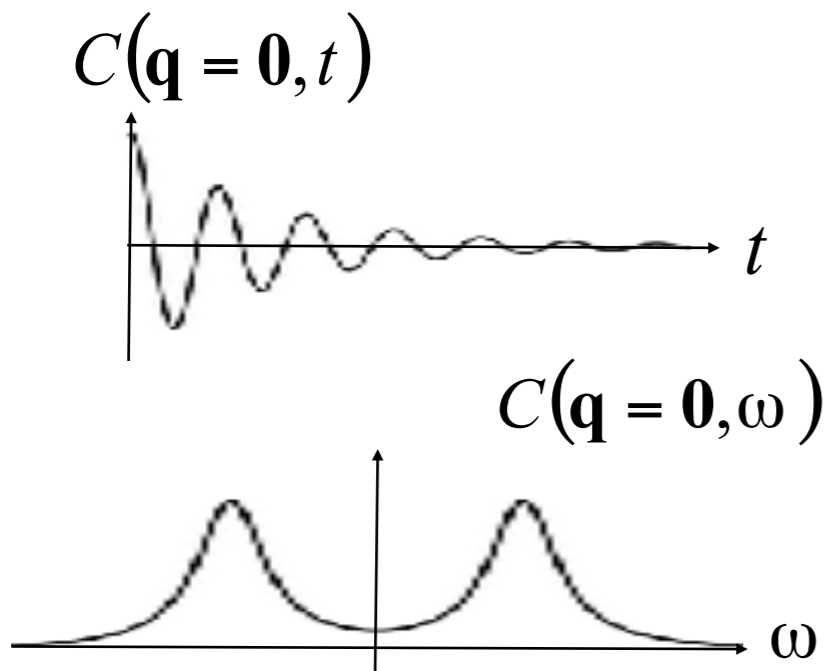


# Critical oscillations as a Phase transition

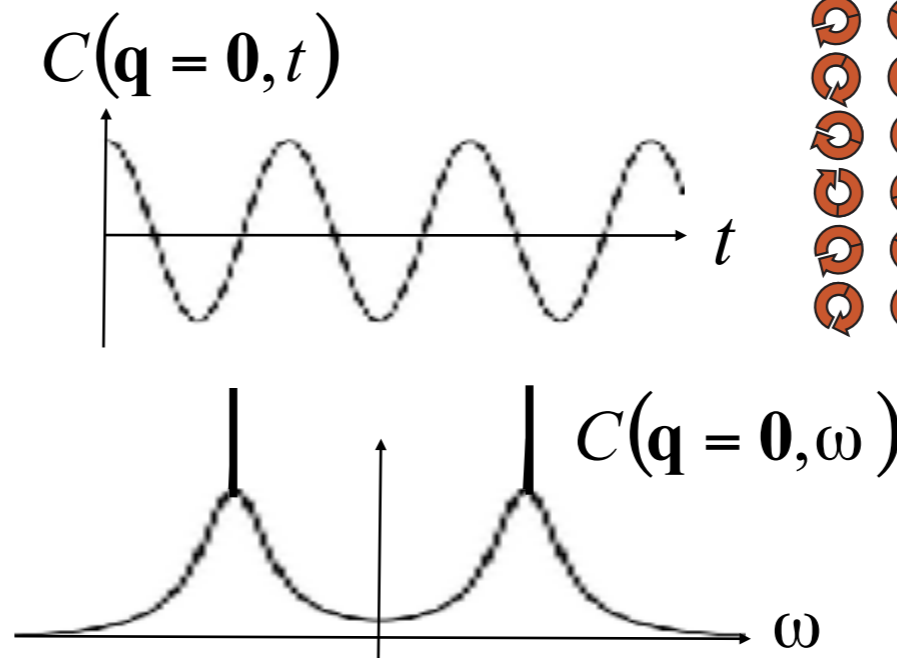
Lattice of  $N$  coupled noisy oscillators  $N \rightarrow \infty$

Synchronization transition

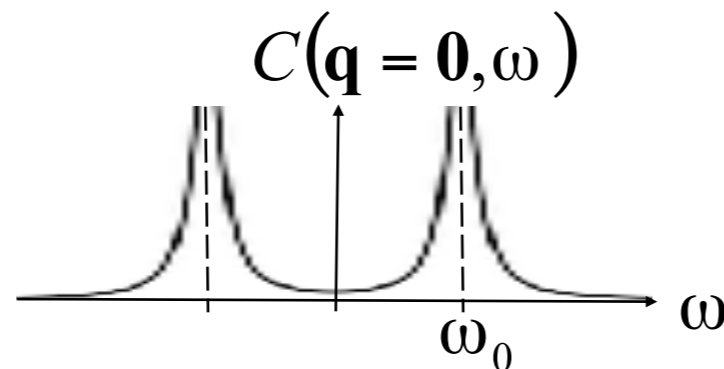
Stable state



Oscillating state



Critical point



Emergence of coherent oscillations

$$\tilde{x} \sim \tilde{f}^{1/\delta}$$

$$C(\omega) \sim 1/|\omega - \omega_0|^\sigma$$

Signal-to-noise ratio peaks at resonance frequency

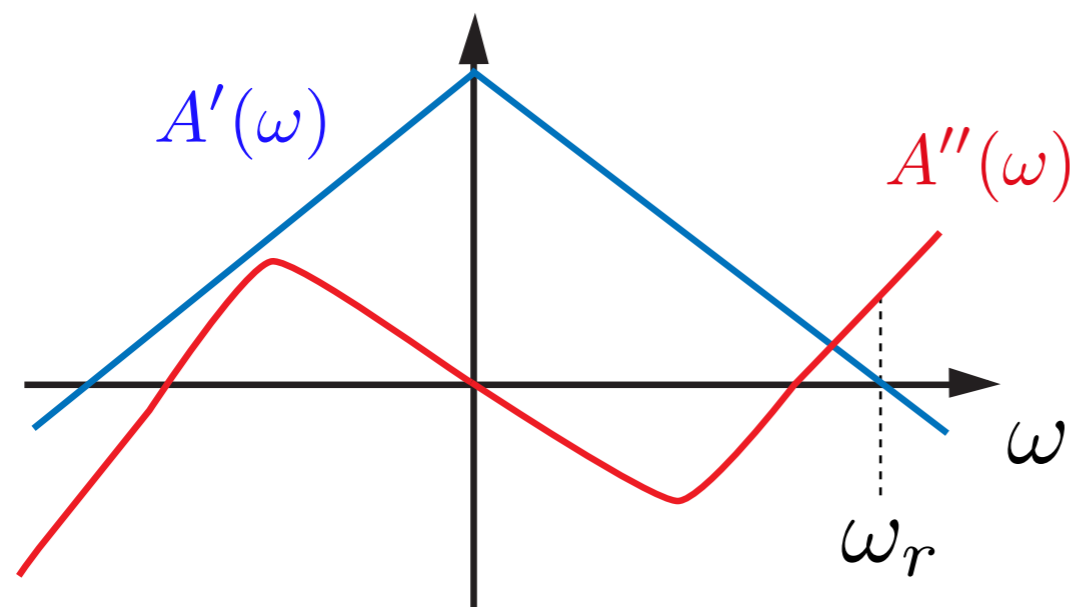
# Linear response functions

$A(\omega, C) = F_1^{(1)}(\omega, C)$  describes memory effects to linear order

stable region  $C < C_c$

$$|A(\omega)| > 0$$

$$A(\omega) = A'(\omega) + iA''(\omega)$$



$$\tilde{f}_1 \simeq A(\omega, C)\tilde{x}_1 + B|\tilde{x}_1|^2\tilde{x}_1 + ..$$

$$A(-\omega) = A^*(\omega)$$

$A'(\omega)$  symmetric  
reversible

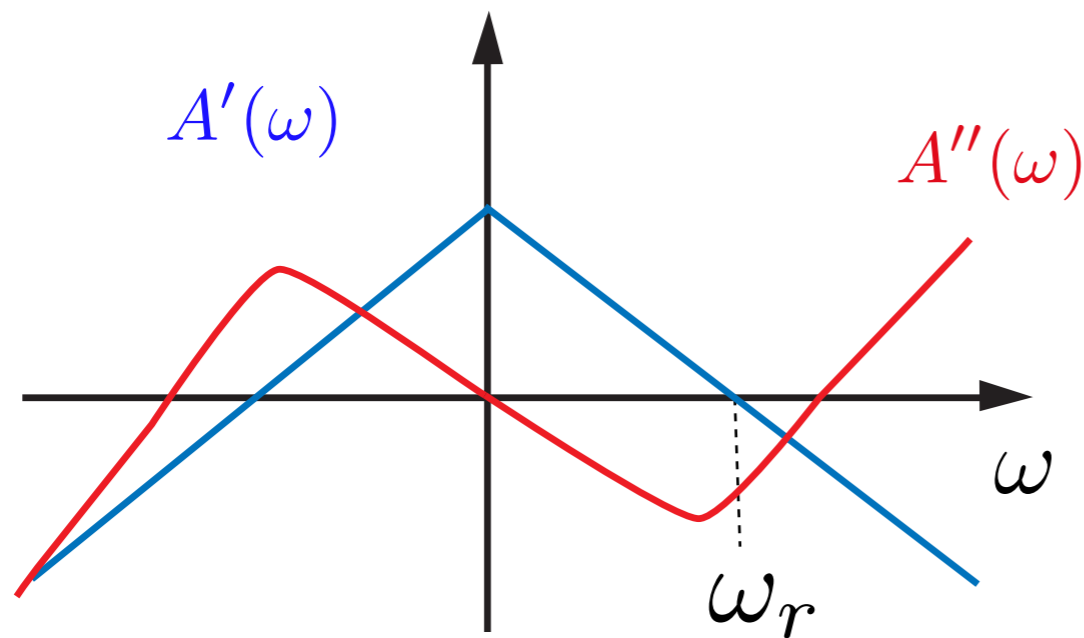
$iA''(\omega)$  antisymmetric  
dissipative

# Spontaneous oscillator: linear response

unstable region  $C > C_c$

$$|A(\omega)| > 0$$

$$A(\omega) = A'(\omega) + iA''(\omega)$$



$$\tilde{f} \simeq A(\omega, C)\tilde{x} + B|\tilde{x}^2|\tilde{x}$$

$$A(-\omega) = A^*(\omega)$$

$A'(\omega)$  symmetric  
reversible

$iA''(\omega)$  antisymmetric  
dissipative



# Critical oscillator: linear response

Critical point

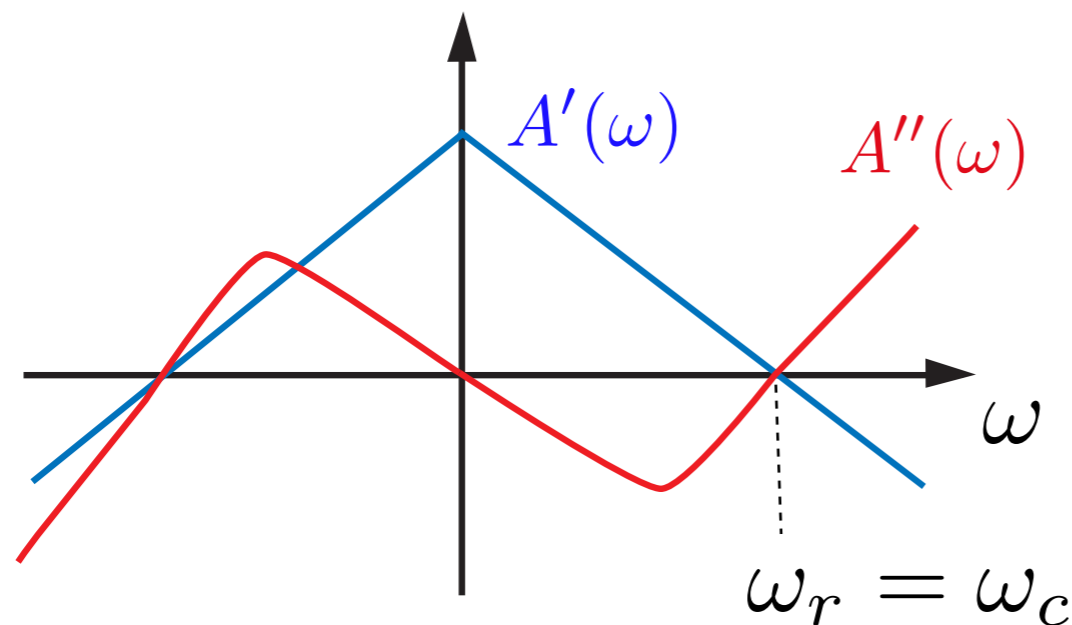
$$C = C_c$$

$$\tilde{f} \simeq A(\omega, C)\tilde{x} + B|\tilde{x}^2|\tilde{x}$$

$$A(\omega_r, C_c) = 0$$

$$A(\omega) = A'(\omega) + iA''(\omega)$$

$$A(-\omega) = A^*(\omega)$$



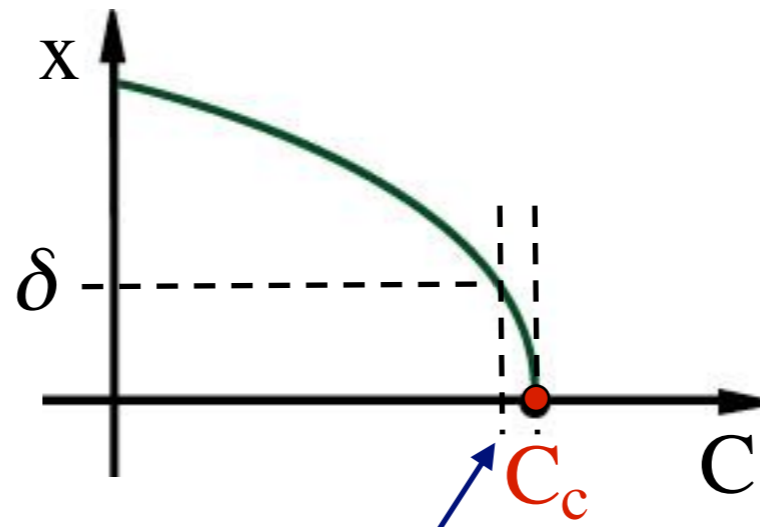
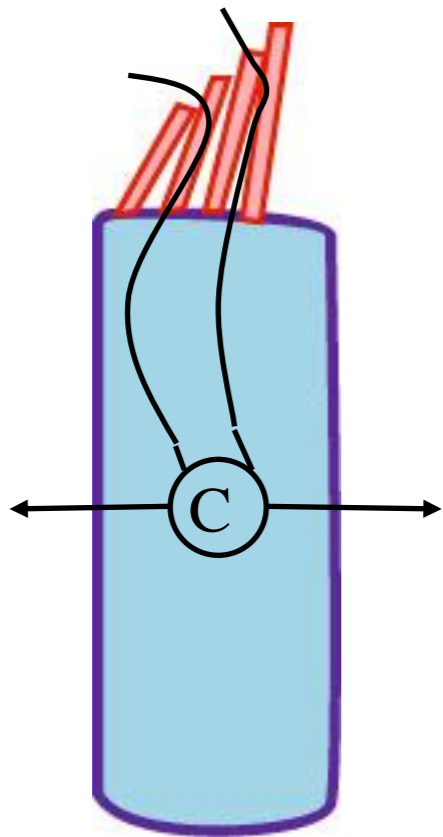
$$A'(\omega)$$

symmetric  
reversible

$$iA''(\omega)$$

antisymmetric  
dissipative

# Self-regulation to the proximity of the critical point

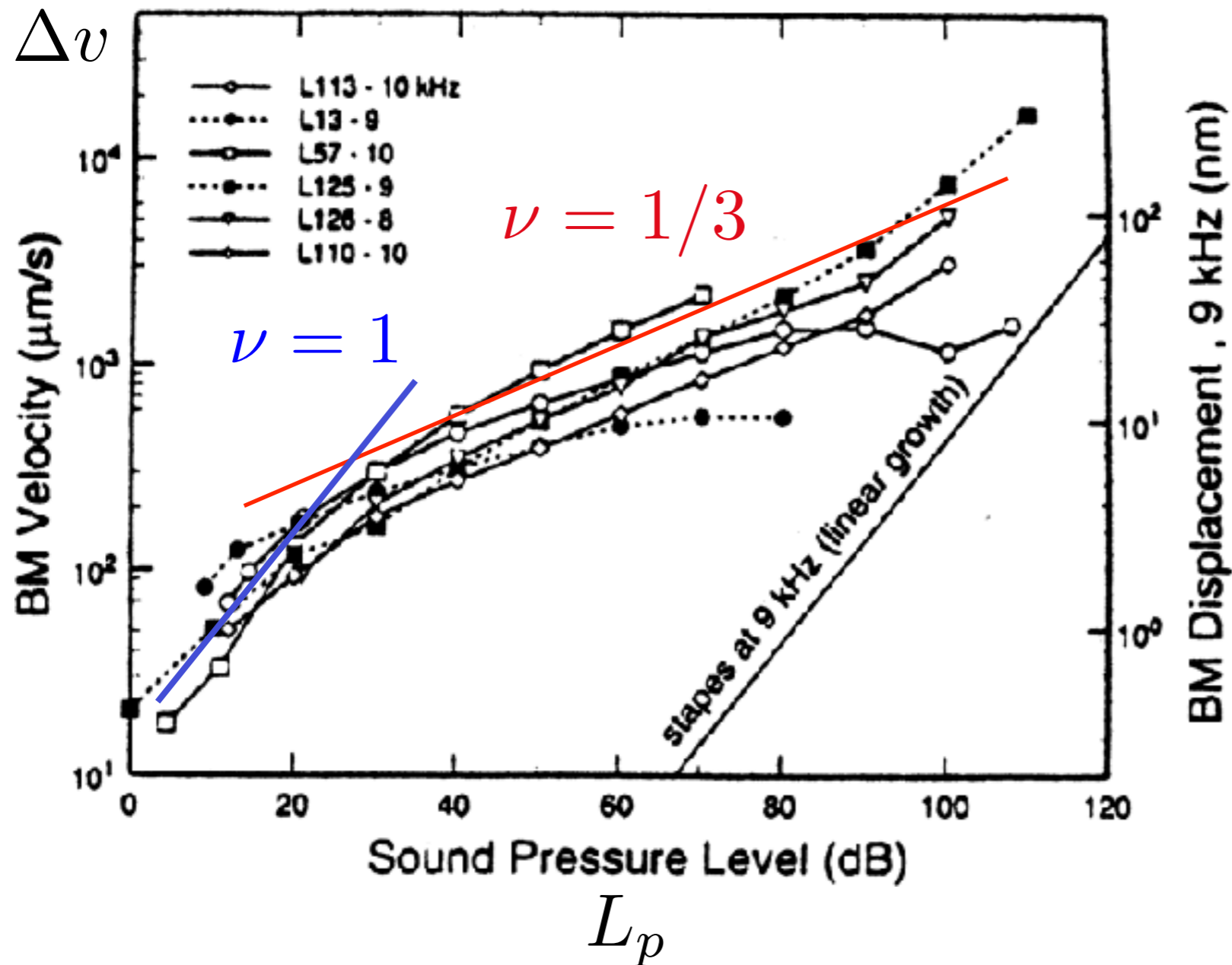


Operating point  $C_o$   $\frac{C_o - C_c}{C_c} \simeq 10^{-5}$

$$\frac{dC}{dt} = -\frac{C}{\tau} + JP_o(x)$$

A graph showing the function  $P_o$  (y-axis) versus  $x$  (x-axis). The function is zero for  $x < \delta$  and jumps to a constant value of 1 for  $x \geq \delta$ . The y-axis has a tick mark at 1, and the x-axis has a tick mark at  $\delta$ .

# Compressive nonlinearity



$$h \sim P^{1/3}$$

$$v \sim P^{1/3}$$

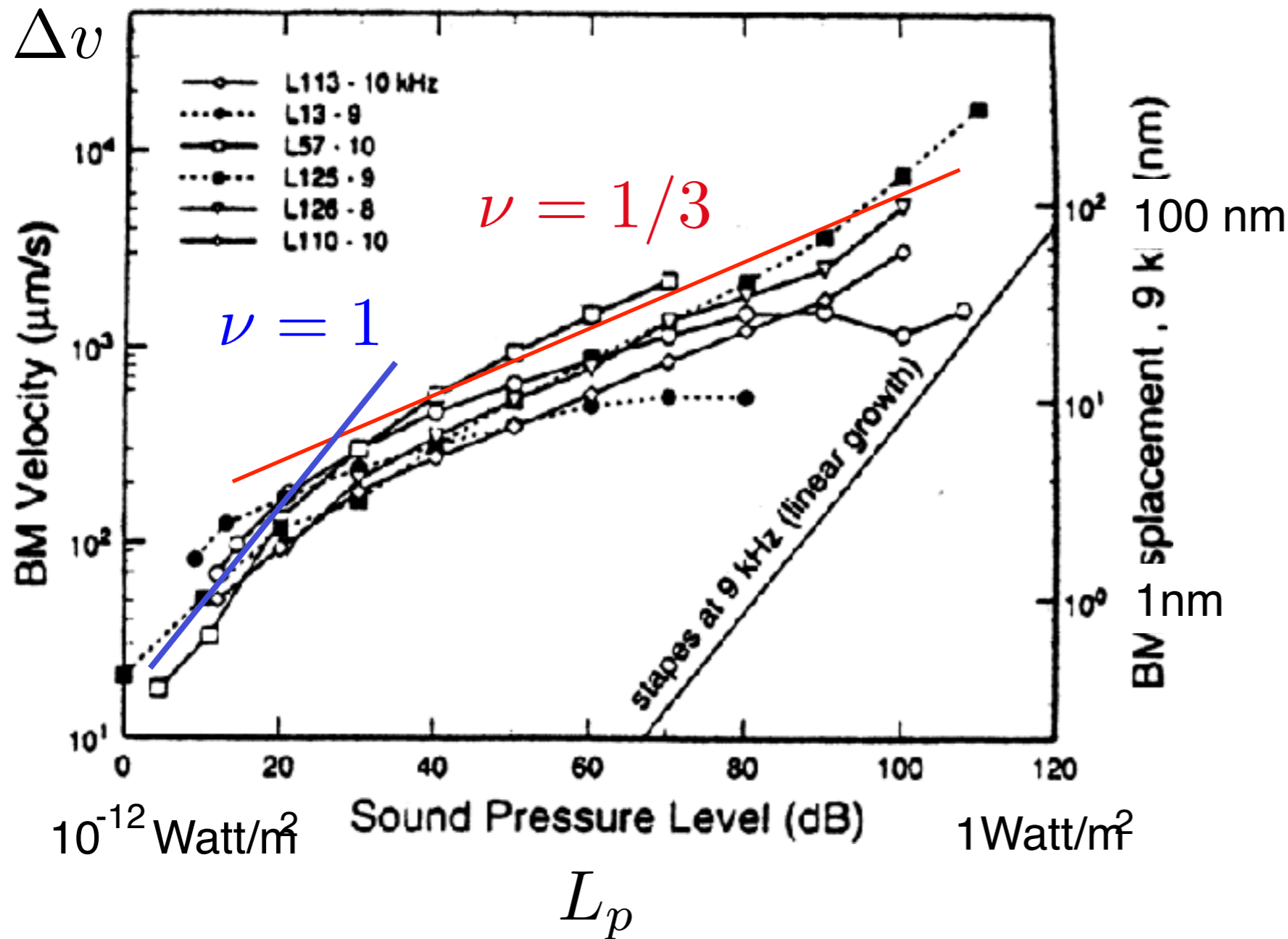
Sound Pressure Level:

$$L_p = 20 \log \frac{\Delta P}{P_0}$$

$$P_0 = 20 \mu\text{Pa}$$

(Chinchilla)

# Compressive nonlinearity



$$h \sim P^{1/3}$$

$$v \sim P^{1/3}$$

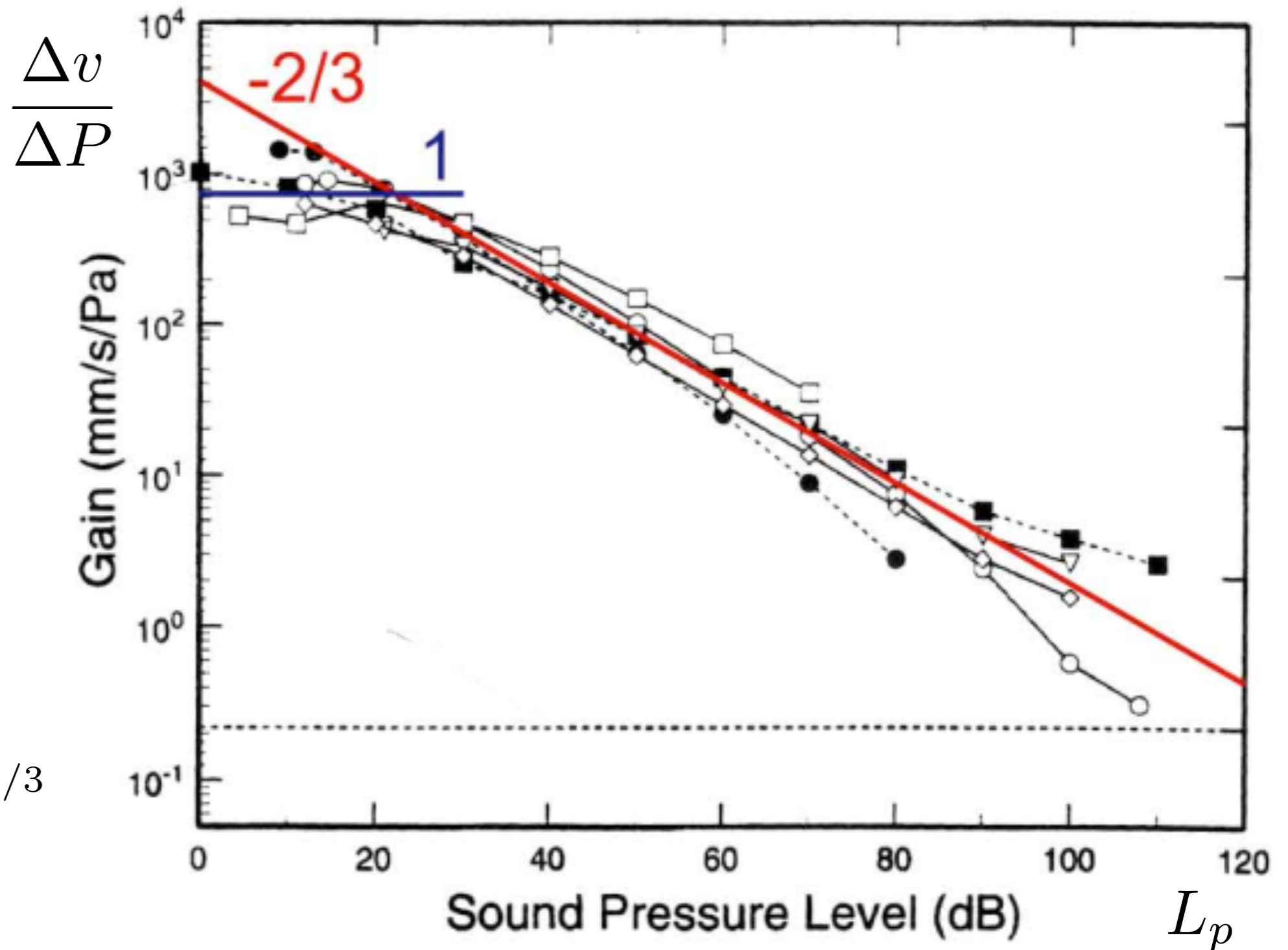
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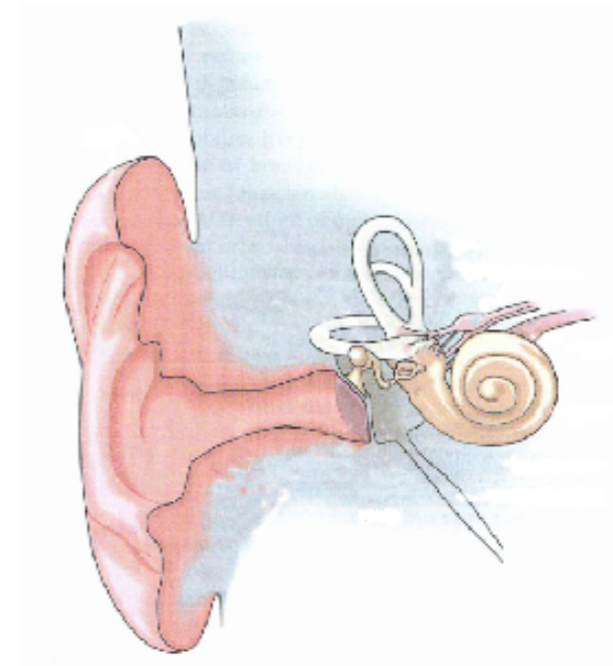
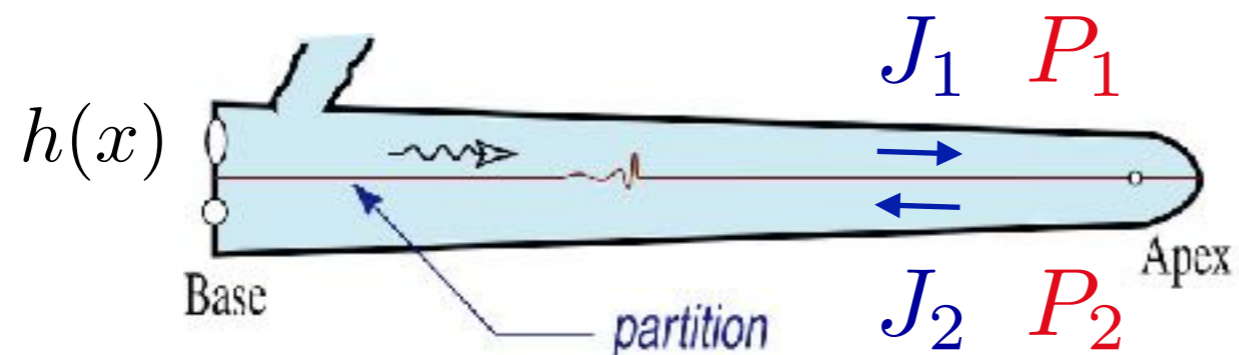
# Nonlinear amplification



# Wave propagation on the basilar membrane

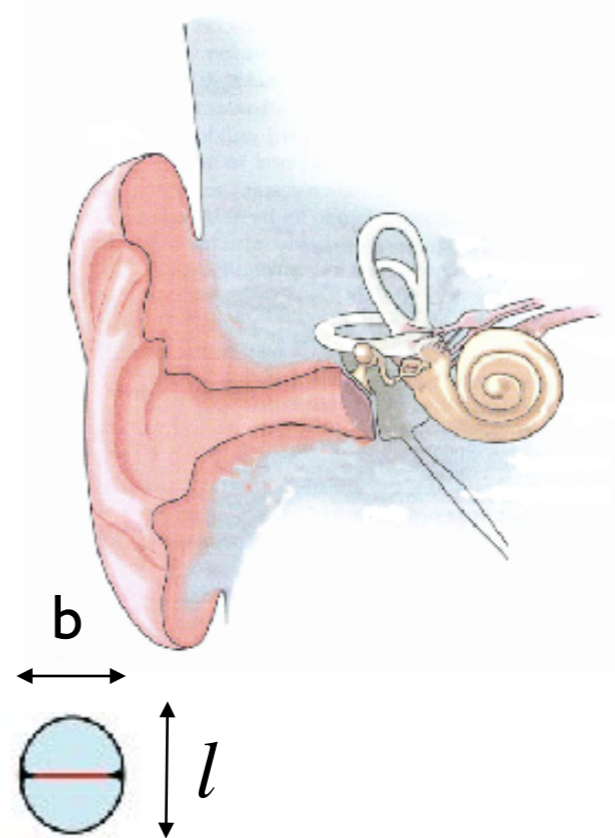
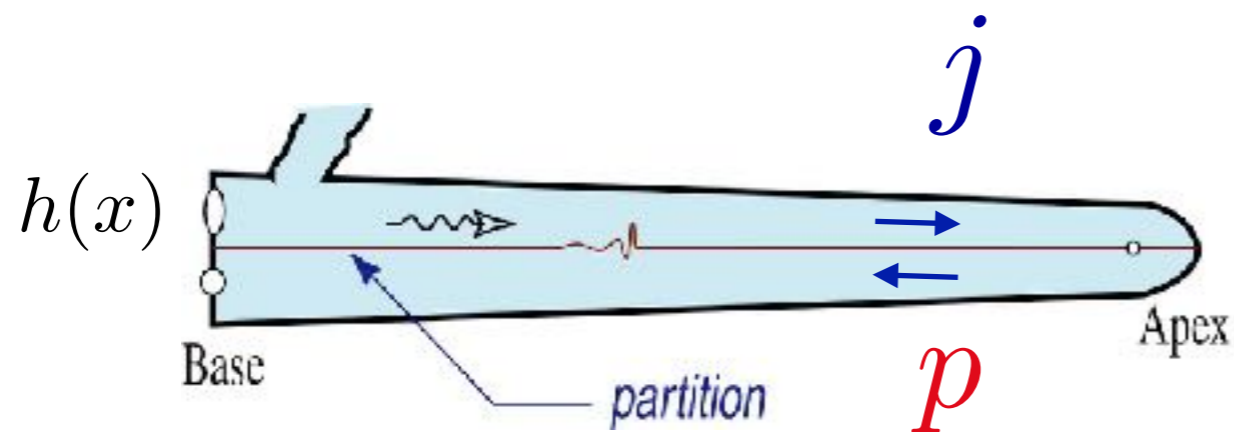
$$p = P_1 - P_2$$

$$j = J_1 - J_2$$



# Wave propagation on the basilar membrane

$$2\rho\partial_t^2 h = l\partial_x^2 p$$

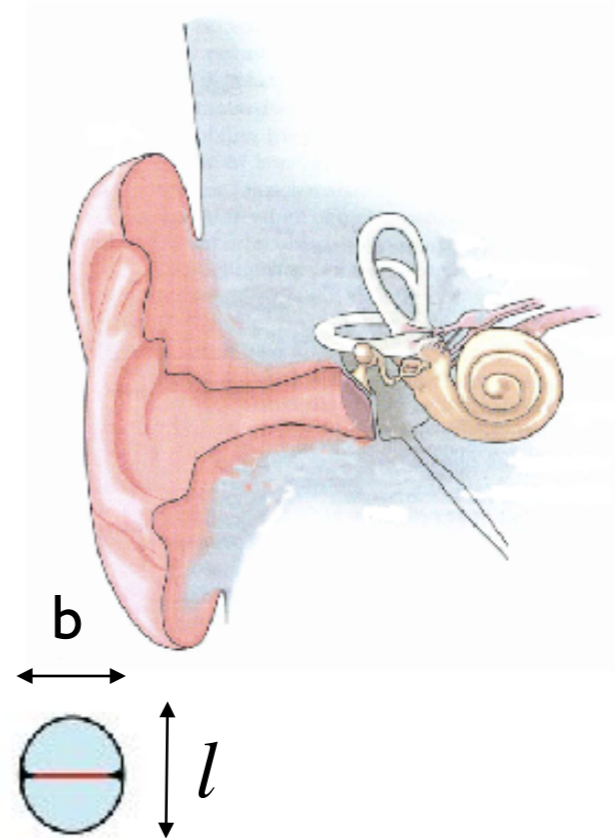
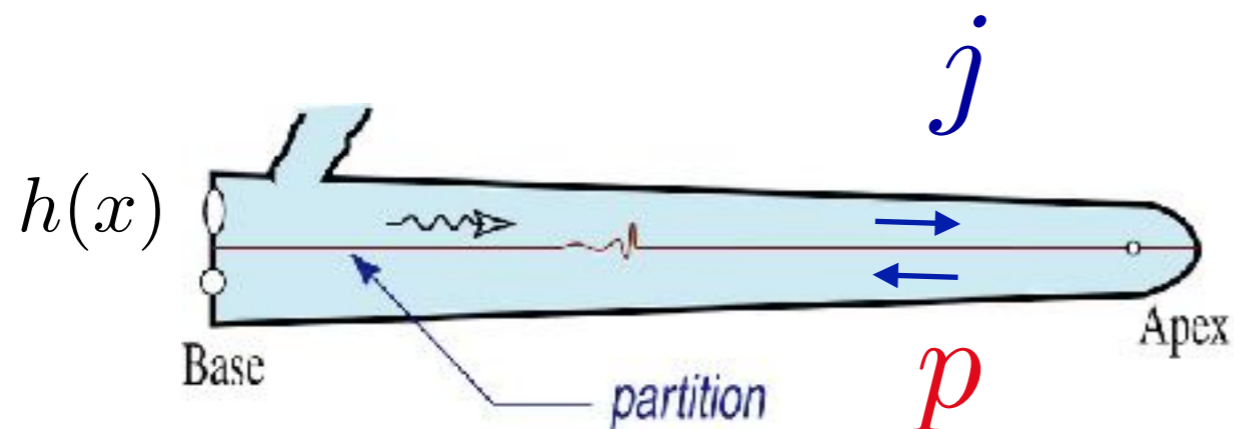


$$\tilde{p} = K^{-1}\tilde{h}$$



# Wave propagation on the basilar membrane

$$-2\rho\omega^2\tilde{h} = l\partial_x^2\tilde{p}$$

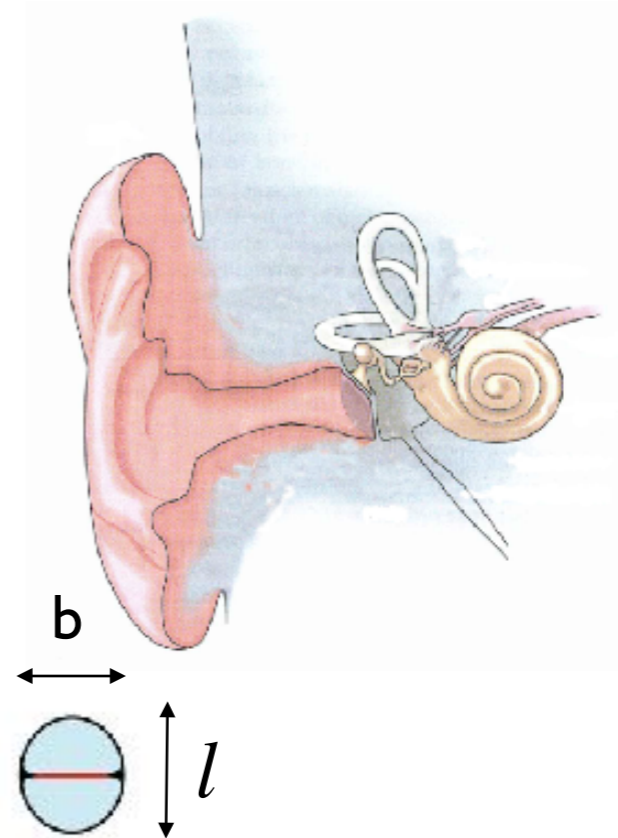
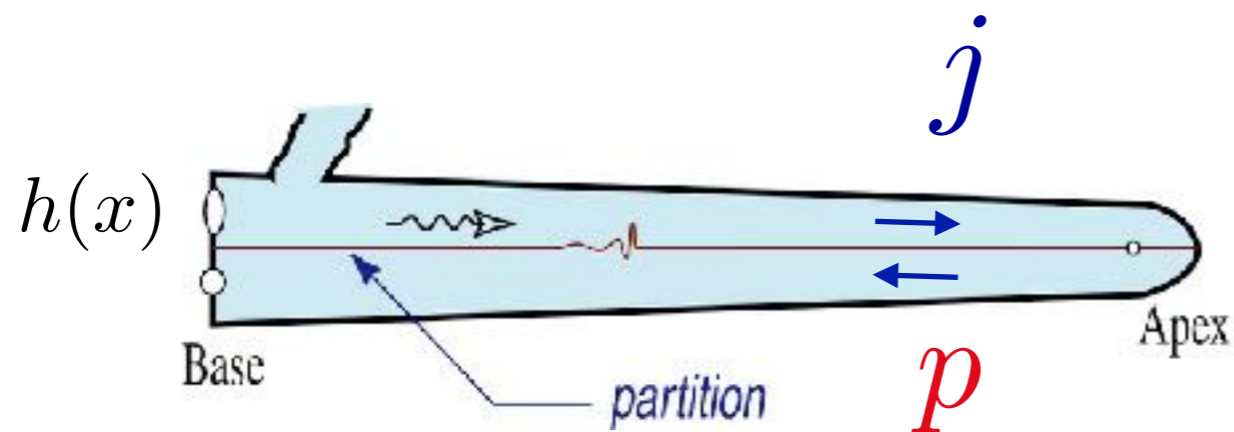


$$\tilde{p} = K^{-1}\tilde{h}$$



# Linear array of critical oscillators: nonlinear waves

$$-2\rho\omega^2\tilde{h} = l\partial_x^2\tilde{p}$$

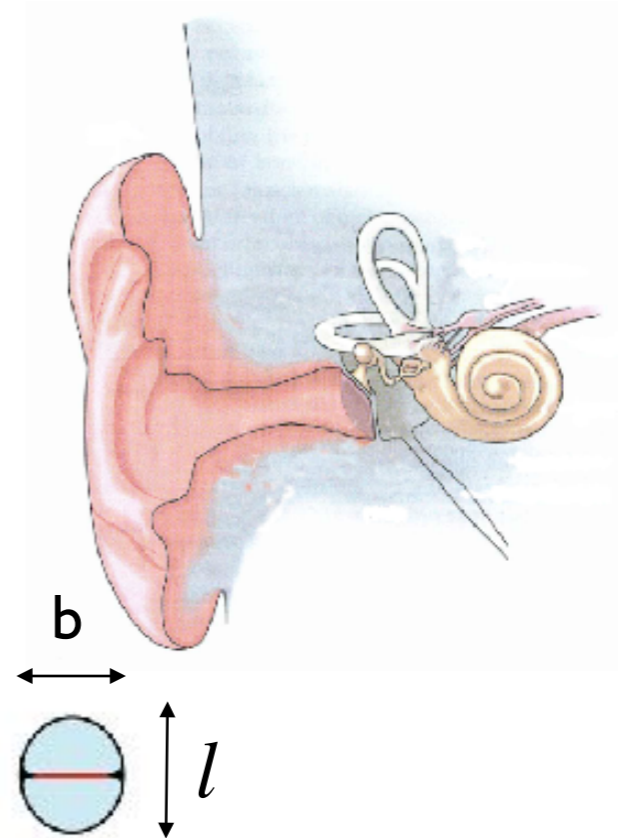
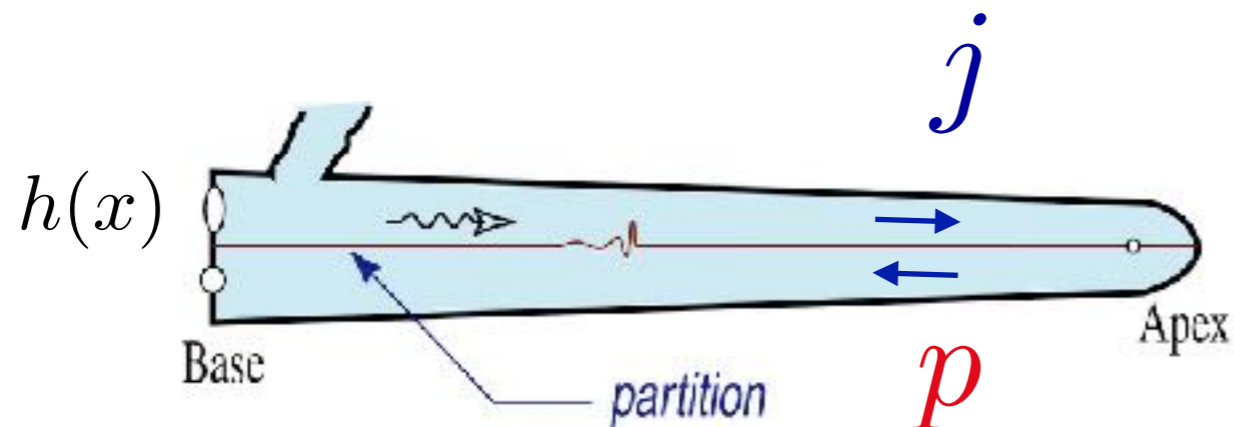


$$\tilde{p} = A(\omega, x)\tilde{h} + B|\tilde{h}|^2\tilde{h}$$

# Linear array of critical oscillators: nonlinear waves

$$\omega_r(x) = \omega_0 e^{-x/d}$$

$$A(x, \omega) = \alpha(\omega_r(x) - \omega)$$



$$-2\rho\omega^2\tilde{h} = l\partial_x^2 \left[ A(x, \omega)\tilde{h} + B|\tilde{h}|^2\tilde{h} \right]$$

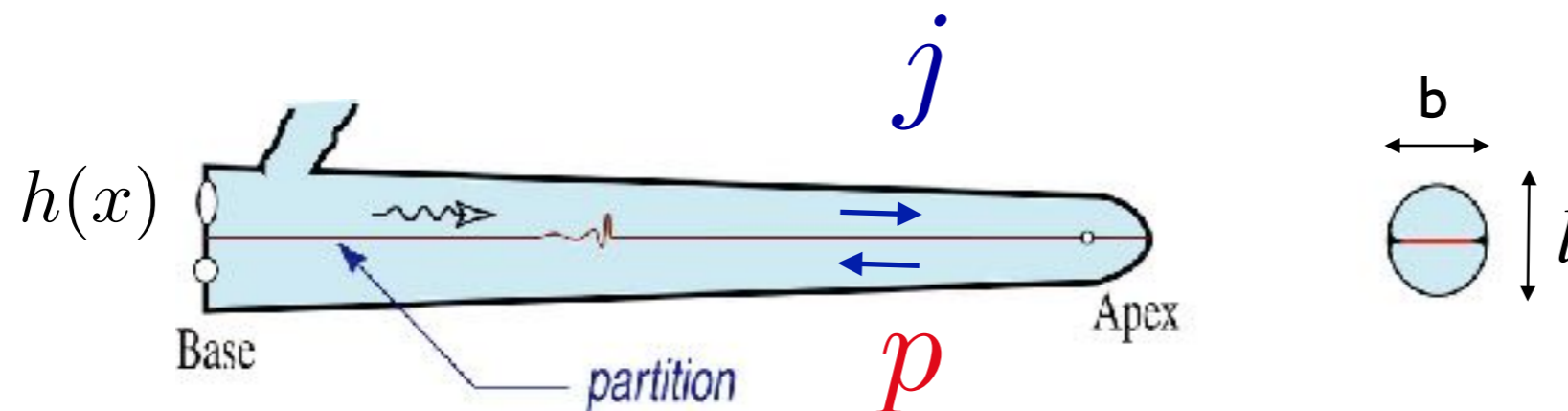
# Linear array of critical oscillators: nonlinear waves

$$\omega_r(x) = \omega_0 e^{-x/d}$$

passive stiffness

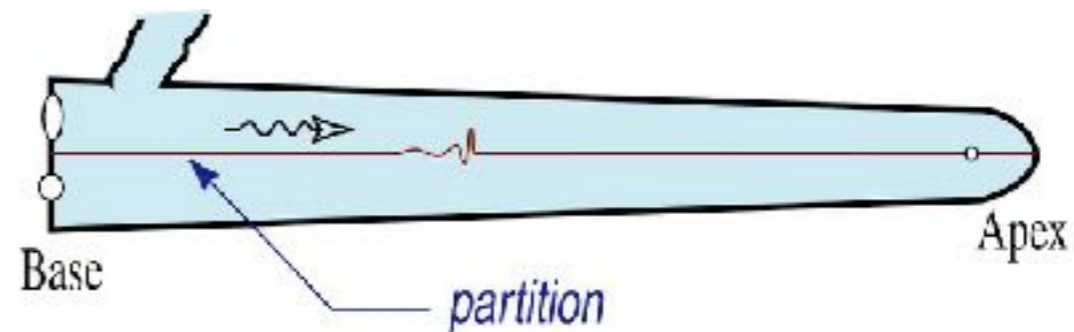
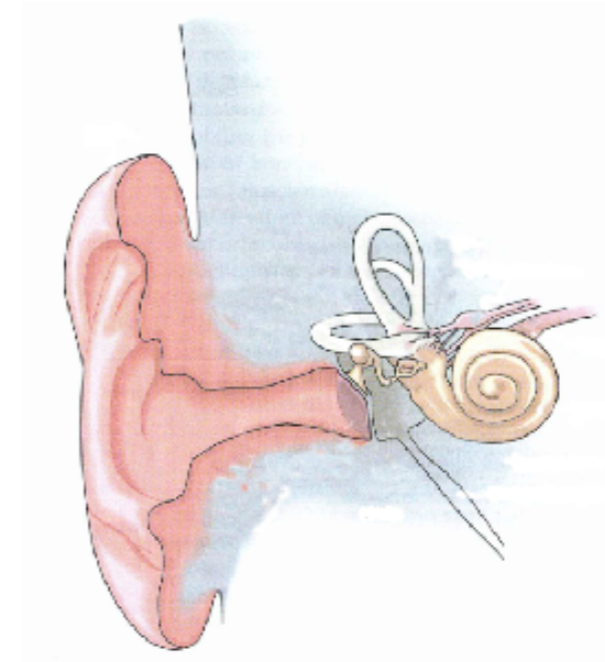
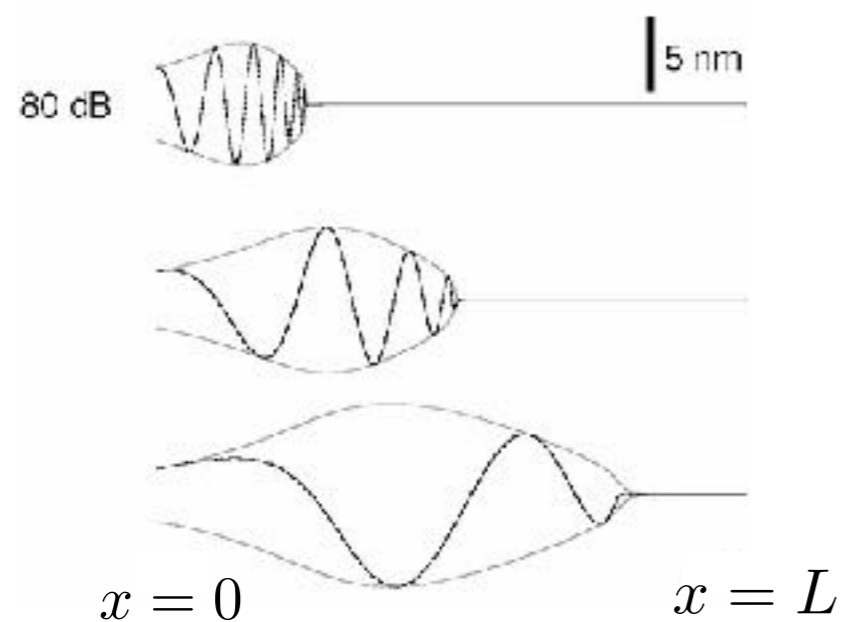
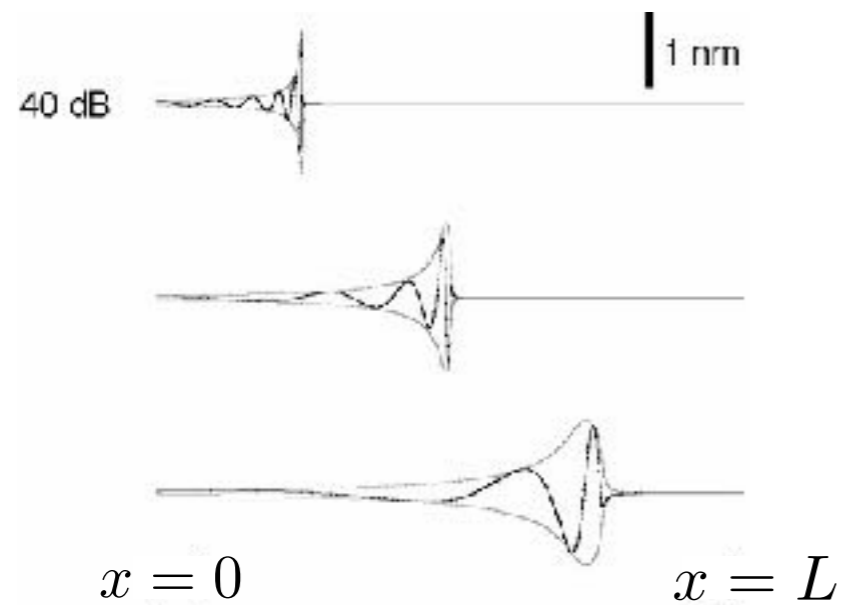
$$A(x, \omega) = \alpha(\omega_r(x) - \omega)$$

$$K(x) \simeq \alpha\omega_r(x)$$

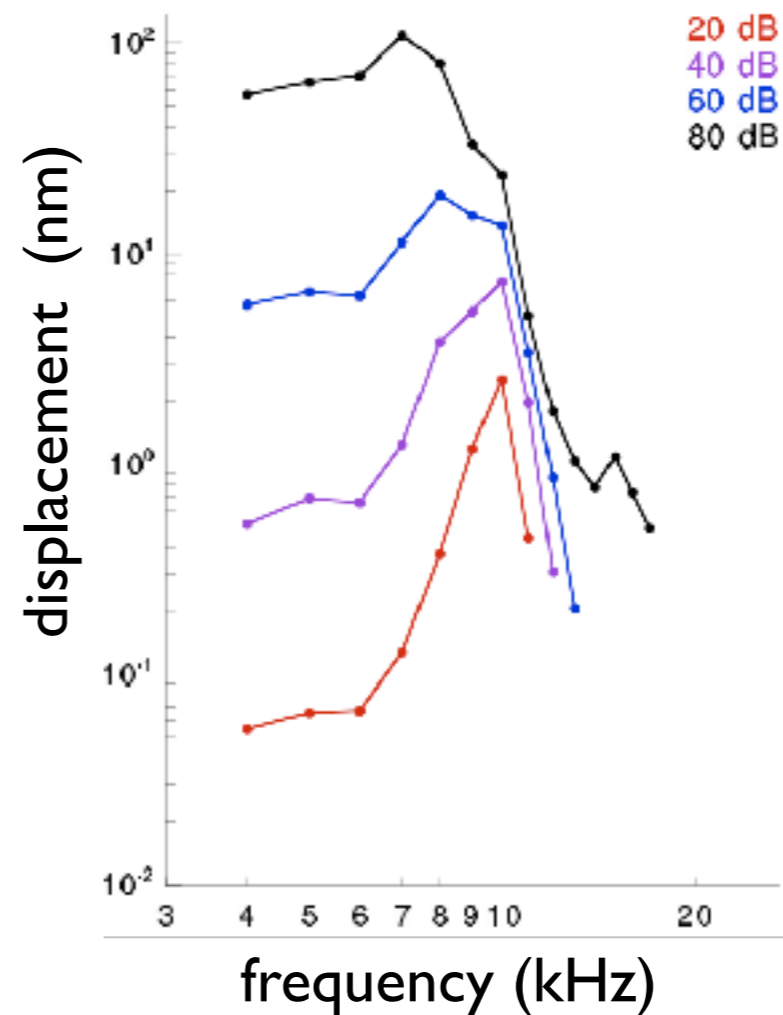
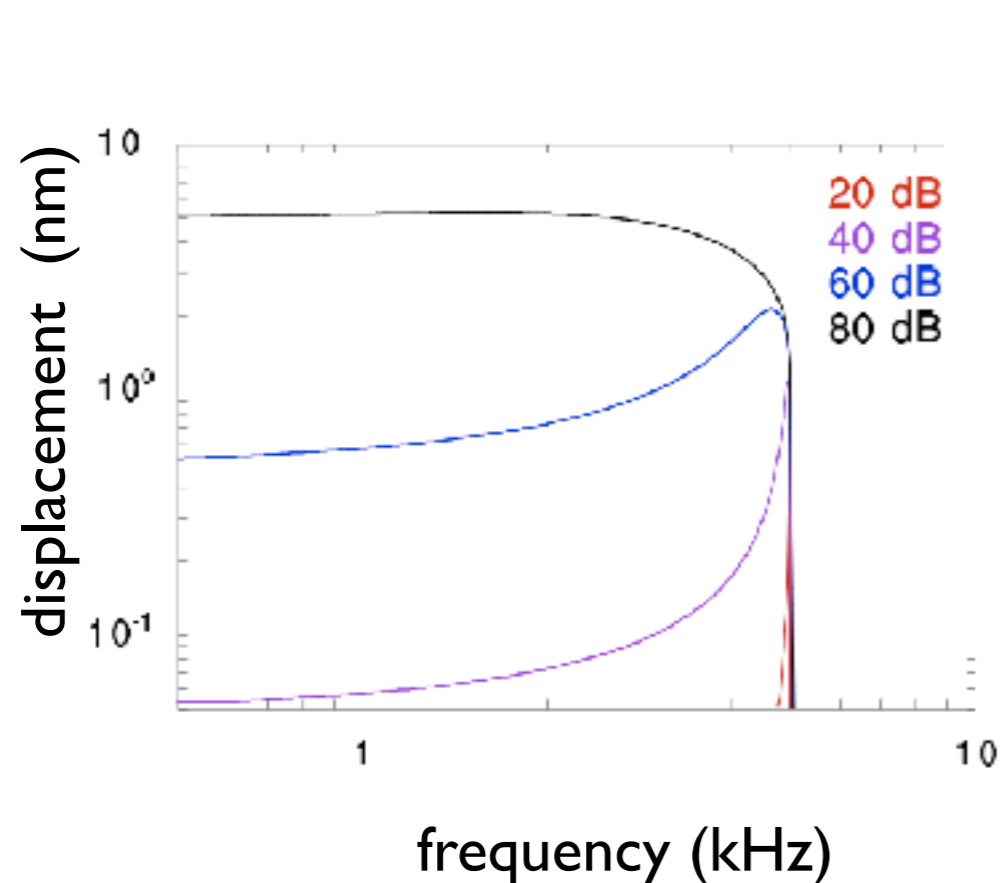


$$-2\rho\omega^2\tilde{h} = l\partial_x^2 \left[ A(x, \omega)\tilde{h} + B|\tilde{h}|^2\tilde{h} \right]$$

# Nonlinear waves patterns



# Nonlinear traveling wave

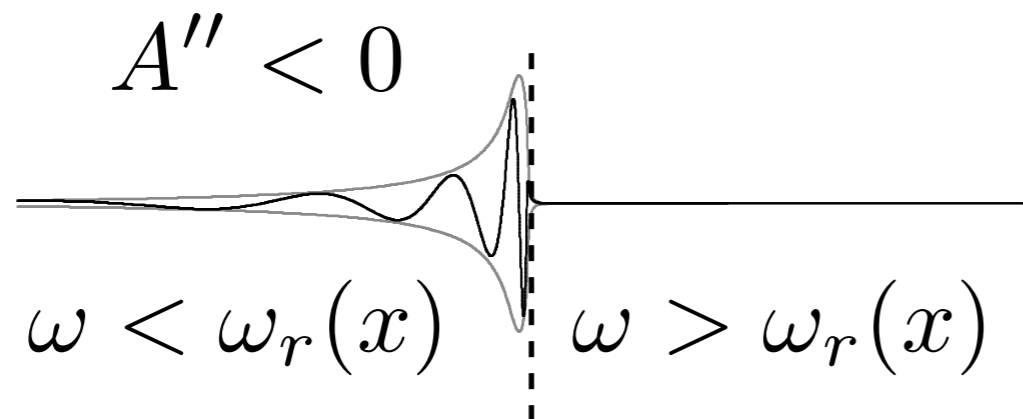


BM displacement as a function of frequency

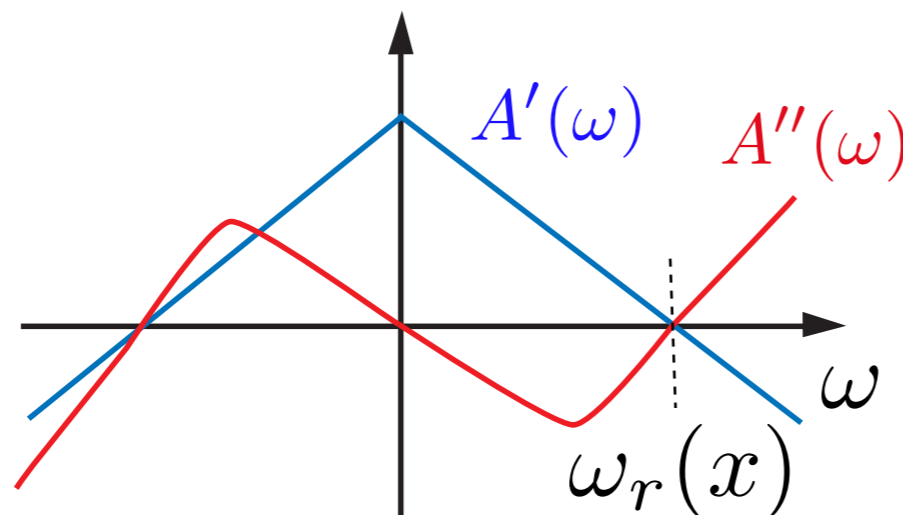
Ruggero et al, JASA 101 (1997)

T. Duke and F. Jülicher, Phys. Rev. Lett. 90, 158101 (2003)

# Pumping of the wave



Energy is supplied to the wave by the oscillators

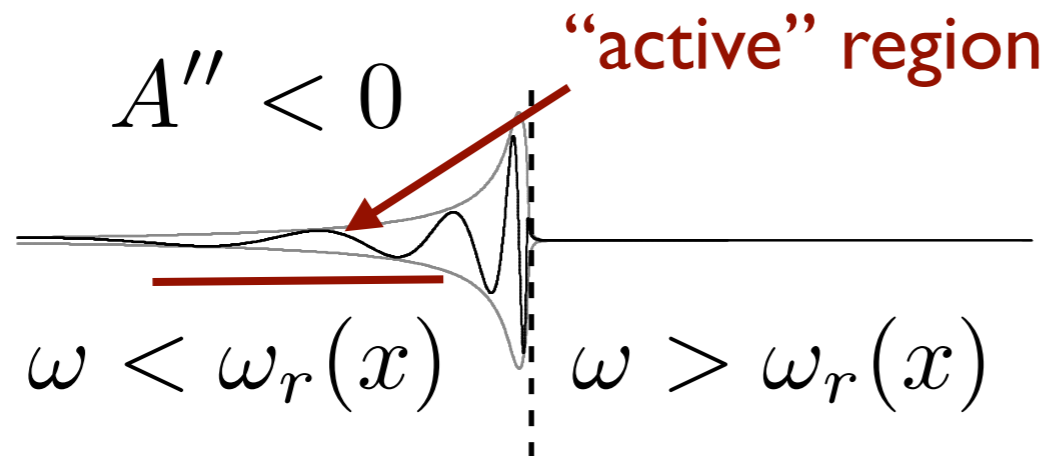


$$\omega_r(x) = \omega_0 e^{-x/d}$$

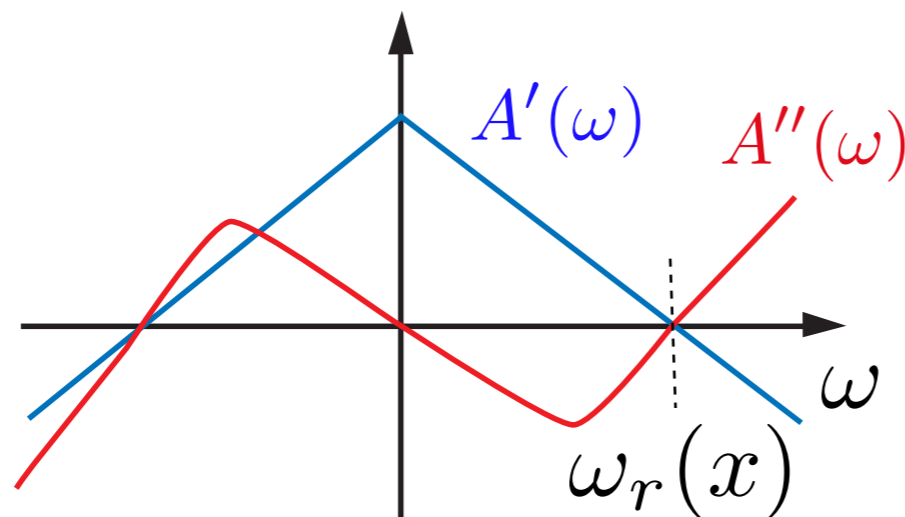
Wave equation for BM displacement

$$-2\rho\omega^2 \tilde{h} = l\partial_x^2 \left[ A(x, \omega)\tilde{h} + B|\tilde{h}|^2\tilde{h} \right]$$

# Pumping of the wave



Energy is supplied to the wave by the oscillators



$$\omega_r(x) = \omega_0 e^{-x/d}$$

Wave equation for BM displacement

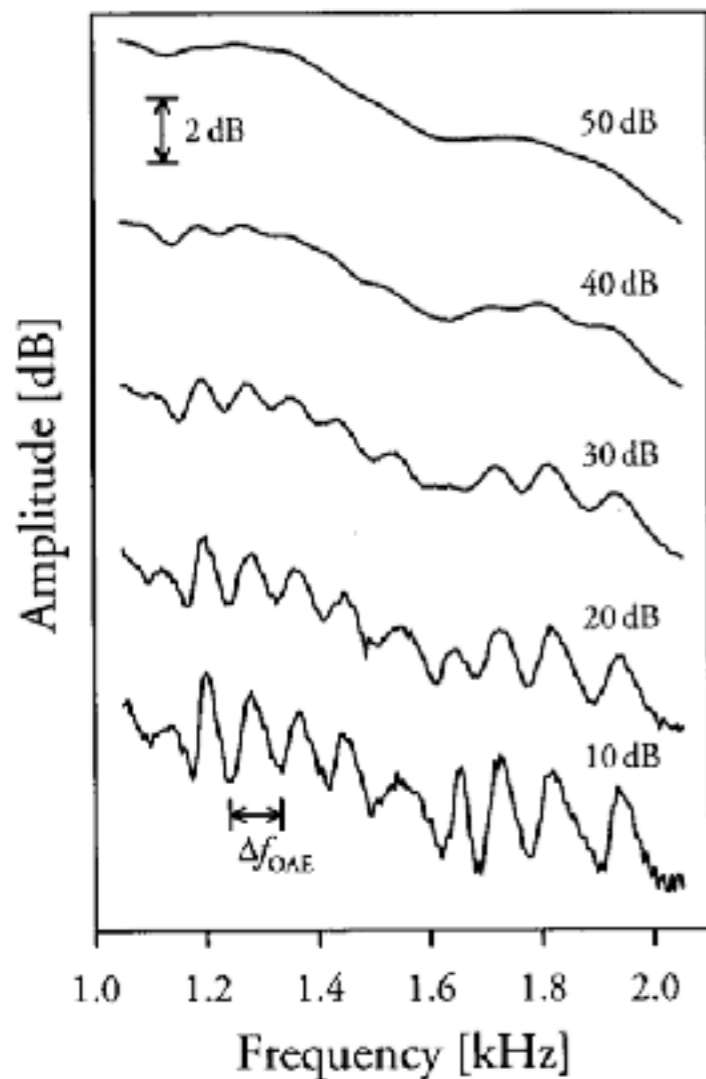
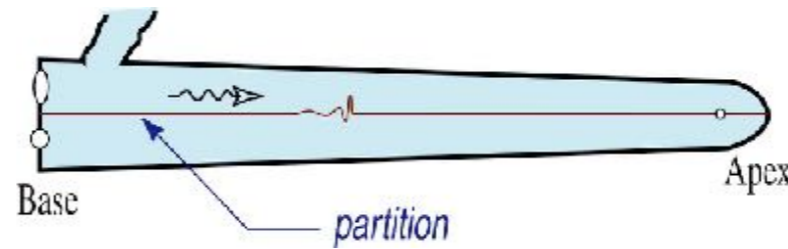
$$-2\rho\omega^2\tilde{h} = l\partial_x^2 \left[ A(x, \omega)\tilde{h} + B|\tilde{h}|^2\tilde{h} \right]$$

Localization of “active” region is automatically confined to the basal side of the characteristic place

# Stimulus frequency otoacoustic emissions (SFOAE)

$$p_{\text{out}} e^{i(-kx - \omega t)}$$

$$p_{\text{in}} e^{i(kx - \omega t)}$$

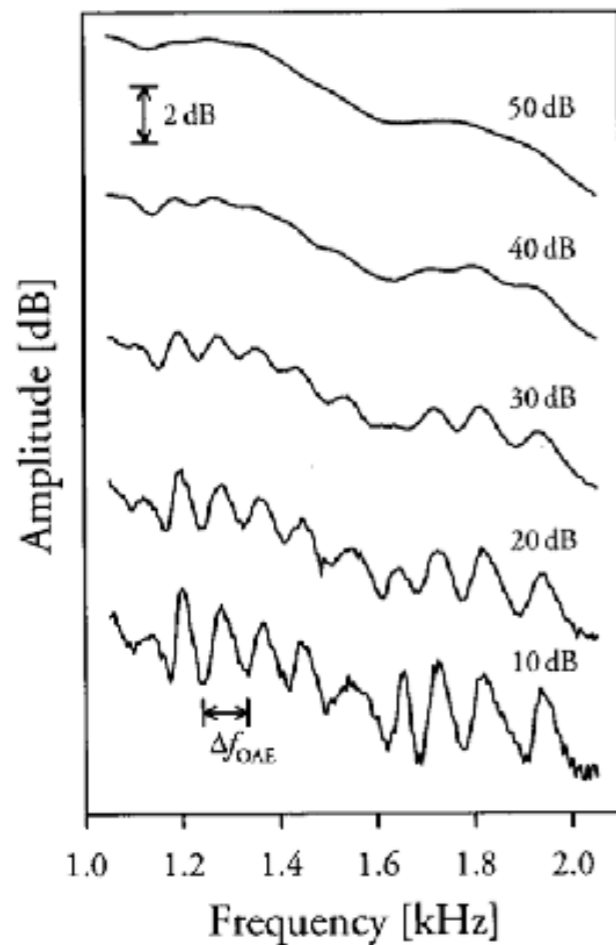
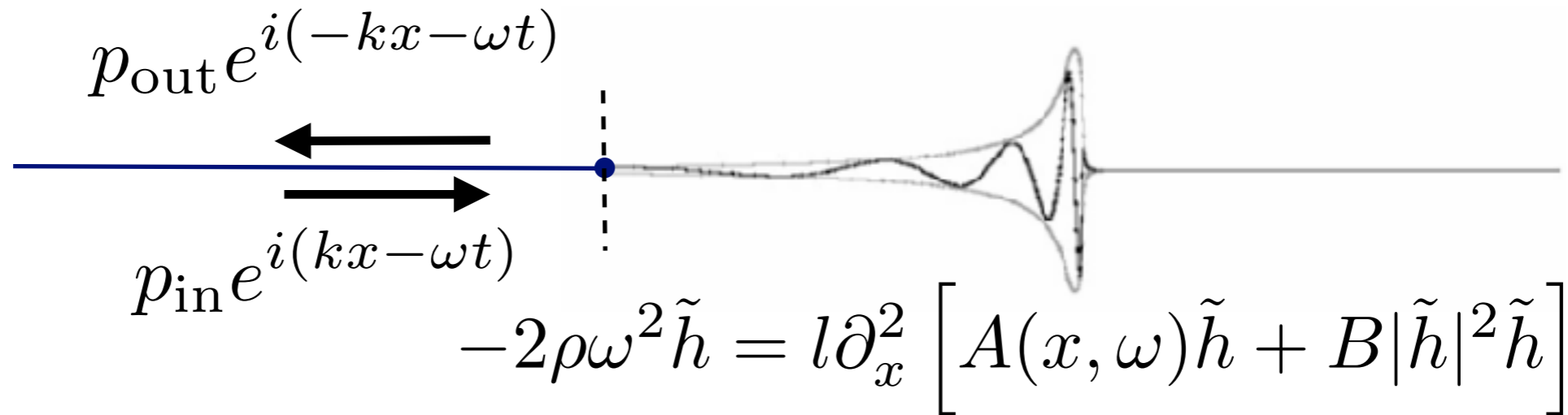


$$R_{\text{SFOAE}} = \frac{p_{\text{out}}}{p_{\text{in}}}$$

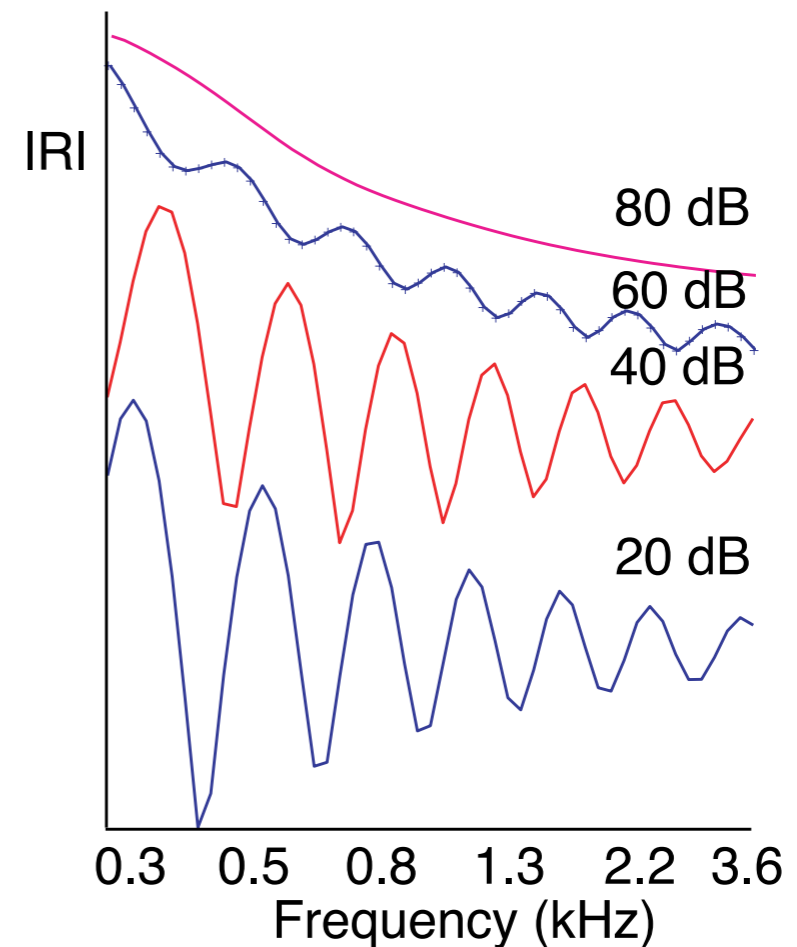
C. Shera, G. Zweig, JASA 98 (1995)  
 C. Shera, J. Guinan, JASA 105 (1999)



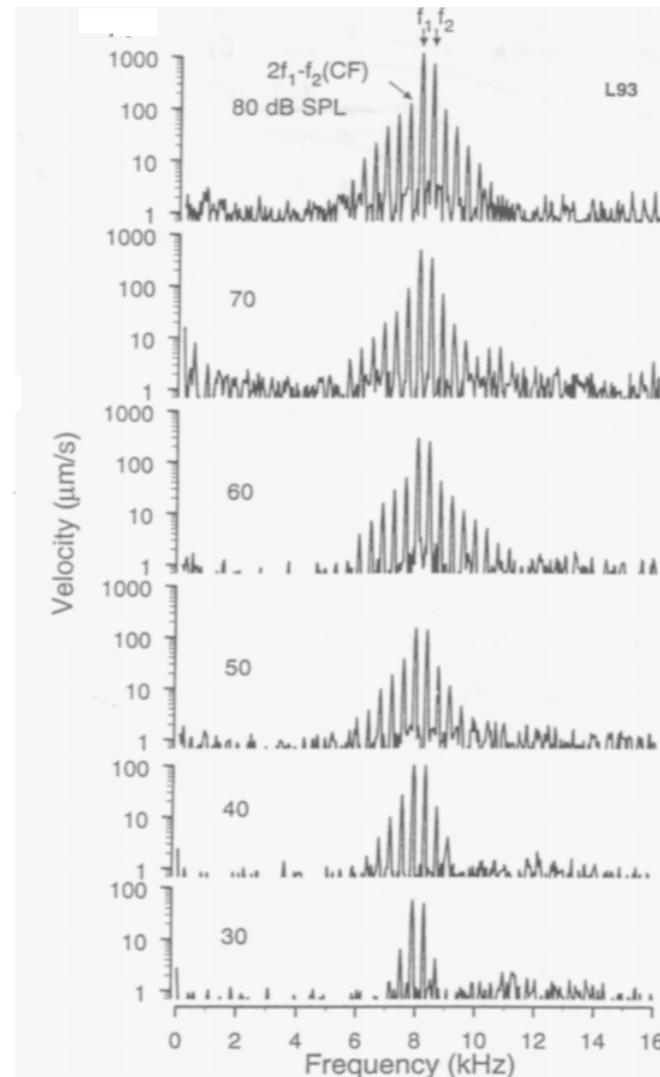
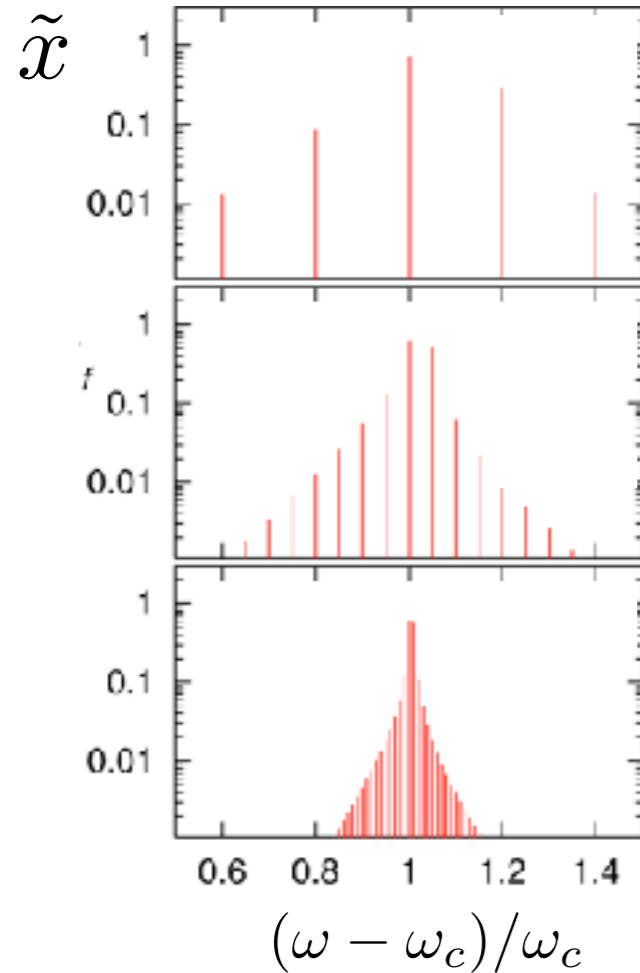
# Nonlinear wave reflection



$$R_{\text{SFOAE}} = \frac{p_{\text{out}}}{p_{\text{in}}}$$



# Combination tones



$$f(t) = \tilde{f}_1 e^{i\omega_1 t} + \tilde{f}_2 e^{i\omega_2 t}$$

$$x(t) \quad n\omega_1 + m\omega_2$$

Coupling of frequency modes by nonlinearities

$$|X_k| \sim e^{-\lambda k}$$

Robles, Ruggero & Rich '97

Jülicher, Andor and Duke, PNAS 98, 9080 (2001)

# Nonlinear coupling of frequencies

Two-tone interference

$$h(t) \simeq \tilde{h}_1 e^{i\omega_1 t} + \tilde{h}_2 e^{i\omega_2 t}$$

$$-2\rho\omega^2 \tilde{h}_1 = l\partial_x^2 \left[ A\tilde{h}_1 + B|\tilde{h}_1|^2 \tilde{h}_1 + \bar{B}|\tilde{h}_2|^2 \tilde{h}_1 \right]$$

$$-2\rho\omega^2 \tilde{h}_2 = l\partial_x^2 \left[ A\tilde{h}_2 + B|\tilde{h}_2|^2 \tilde{h}_2 + \bar{B}|\tilde{h}_1|^2 \tilde{h}_2 \right]$$

# Nonlinear coupling of frequencies

Two-tone interference

Distortion product generation

$$h(t) \simeq \tilde{h}_1 e^{i\omega_1 t} + \tilde{h}_2 e^{i\omega_2 t} + \tilde{h}_{2,-1} e^{i(2\omega_2 - \omega_1)t}$$

$$-2\rho\omega^2 \tilde{h}_1 = l\partial_x^2 \left[ A\tilde{h}_1 + B|\tilde{h}_1|^2 \tilde{h}_1 + \bar{B}|\tilde{h}_2|^2 \tilde{h}_1 + \hat{B}\tilde{h}_{2,-1}^* h_2^2 \right]$$

$$-2\rho\omega^2 \tilde{h}_2 = l\partial_x^2 \left[ A\tilde{h}_2 + B|\tilde{h}_2|^2 \tilde{h}_2 + \bar{B}|\tilde{h}_1|^2 \tilde{h}_2 + \hat{B}\tilde{h}_{2,-1} h_2^* h_1 \right]$$

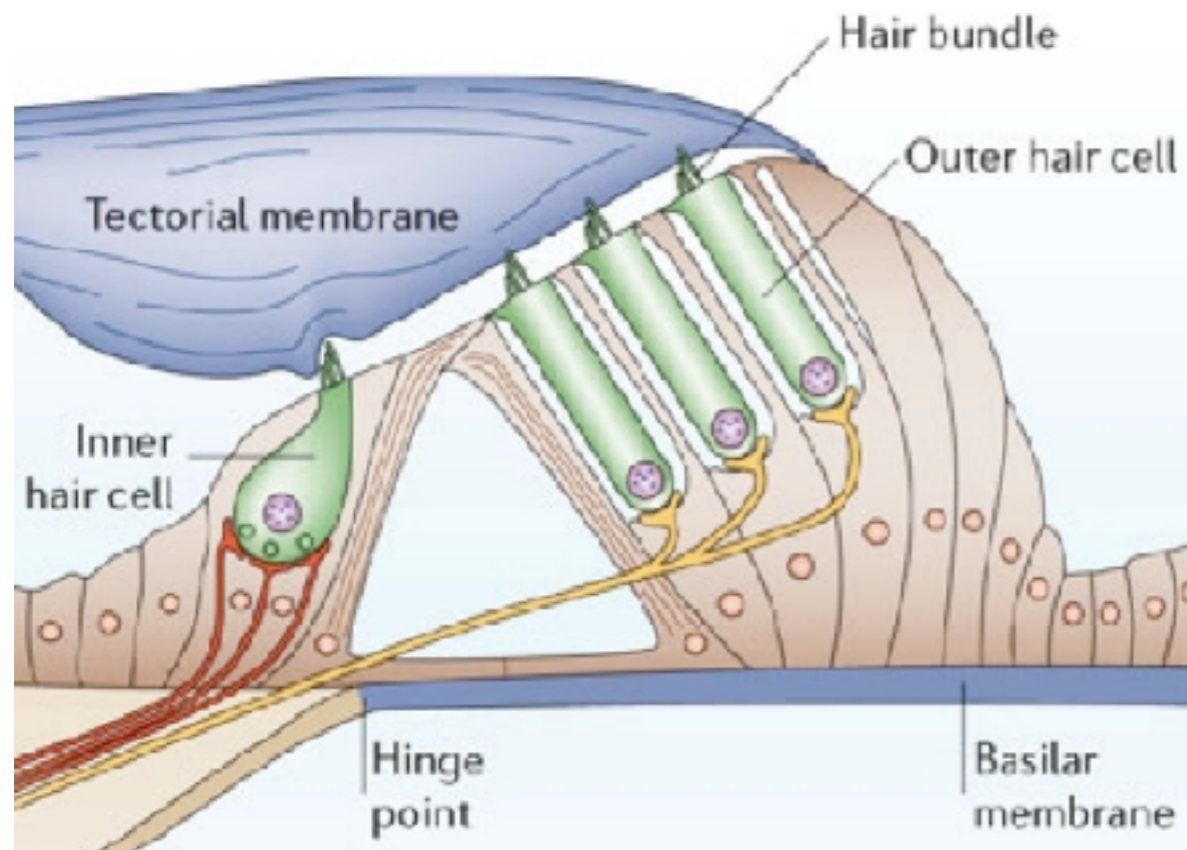
$$-2\rho\omega^2 \tilde{h}_{2,-1} = l\partial_x^2 \left[ A\tilde{h}_{2,-1} + B|\tilde{h}_{2,-1}|^2 \tilde{h}_{2,-1} + \hat{B}h_2^2 h_1^* \right]$$

# The cochlear amplifier

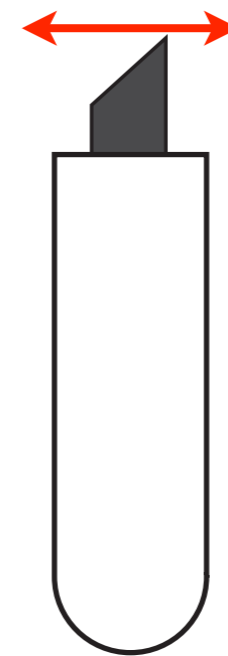
Amplification by coupled hair bundles

Interplay of hair bundle motility and electromotility in the cochlea

Hair cells in the organ of Corti



active hair bundle



hair bundle  
ocillations

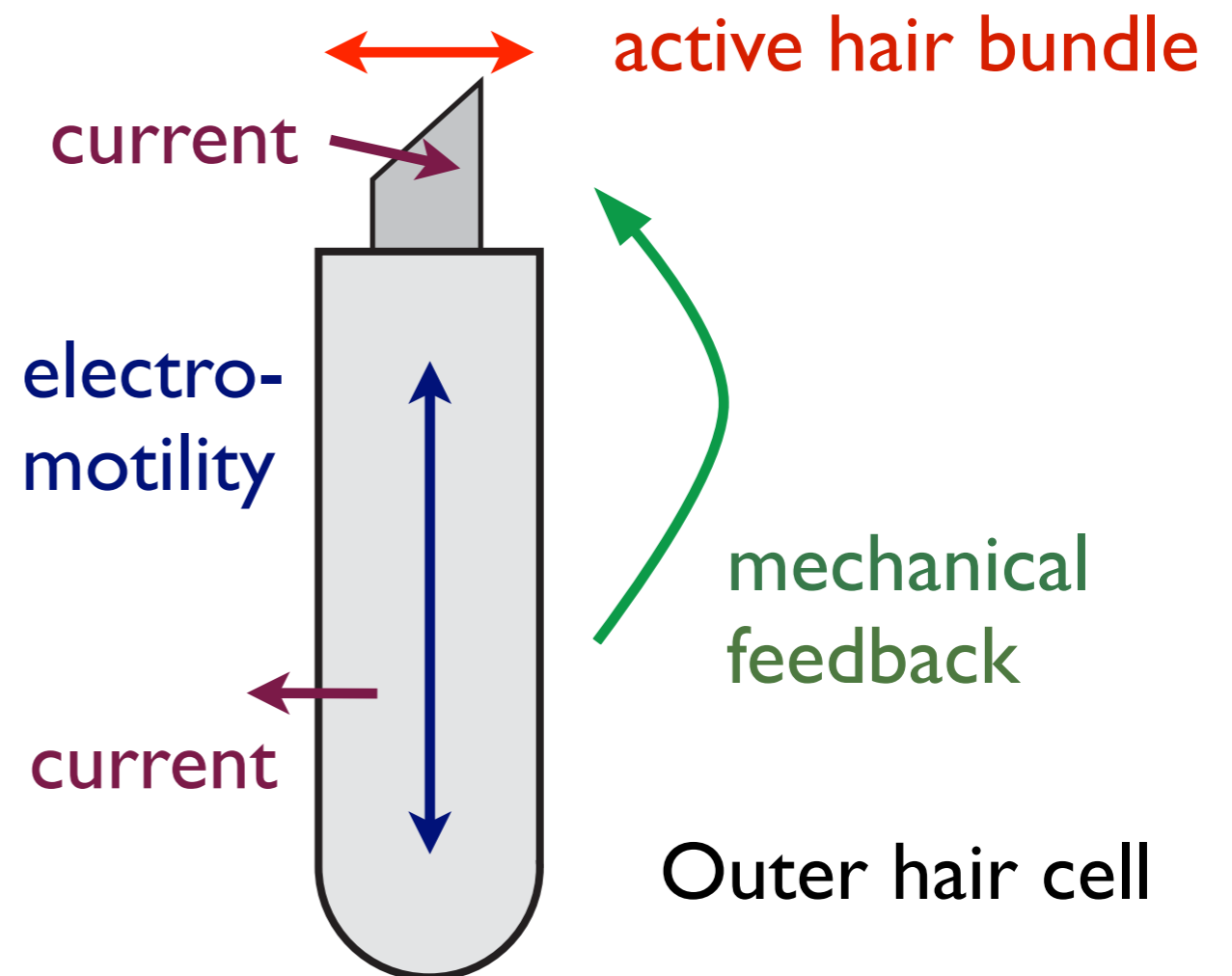
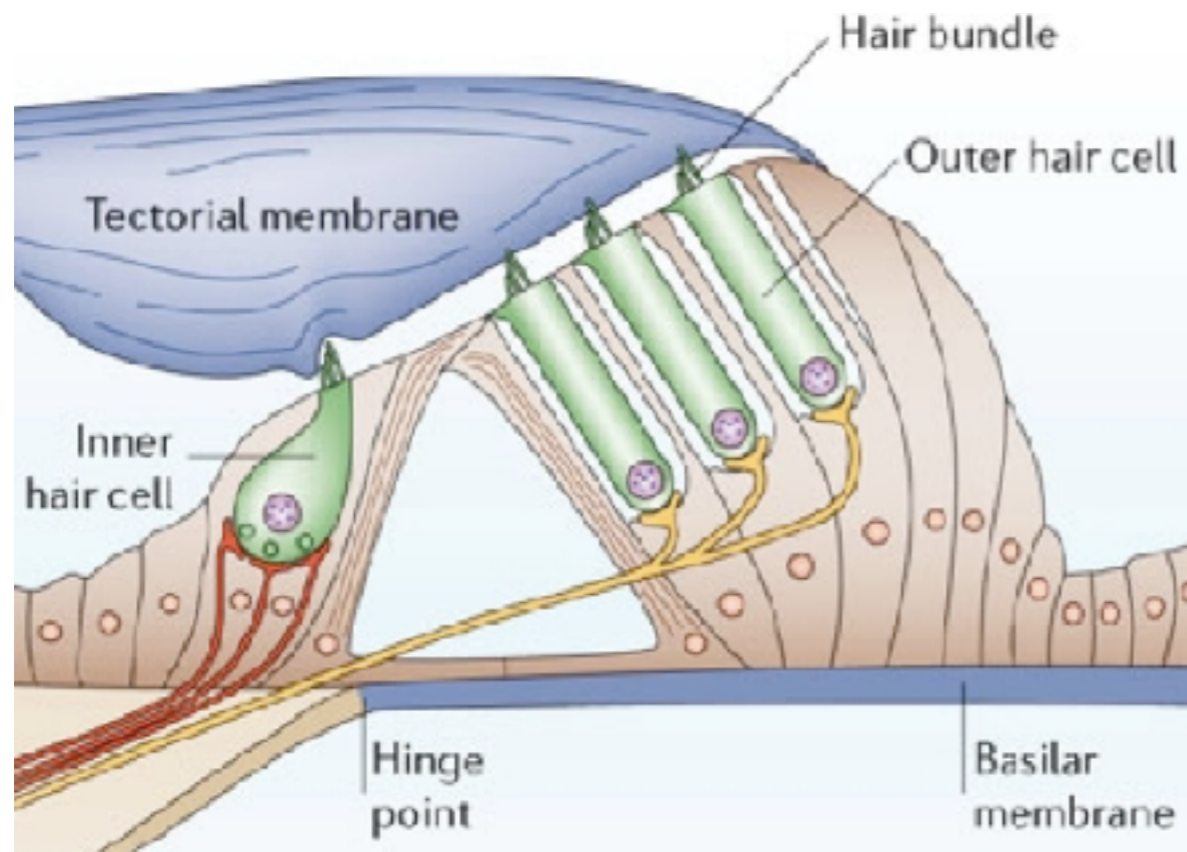
System of elastically  
coupled hair bundles

# The cochlear amplifier

Amplification by coupled hair bundles

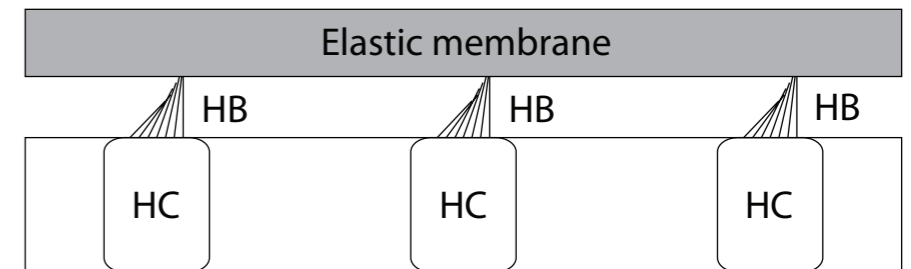
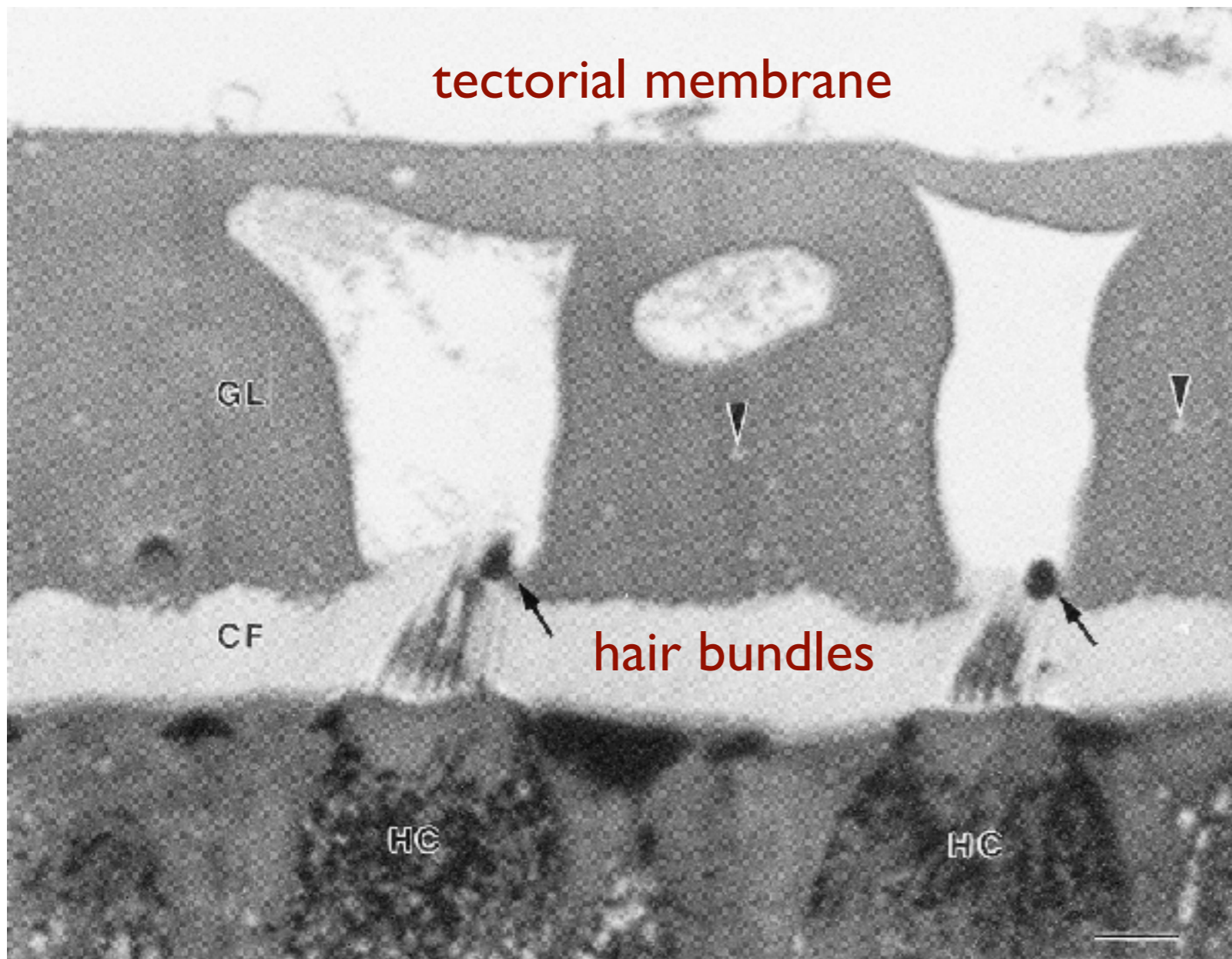
Interplay of hair bundle motility and electromotility in the cochlea

Hair cells in the organ of Corti

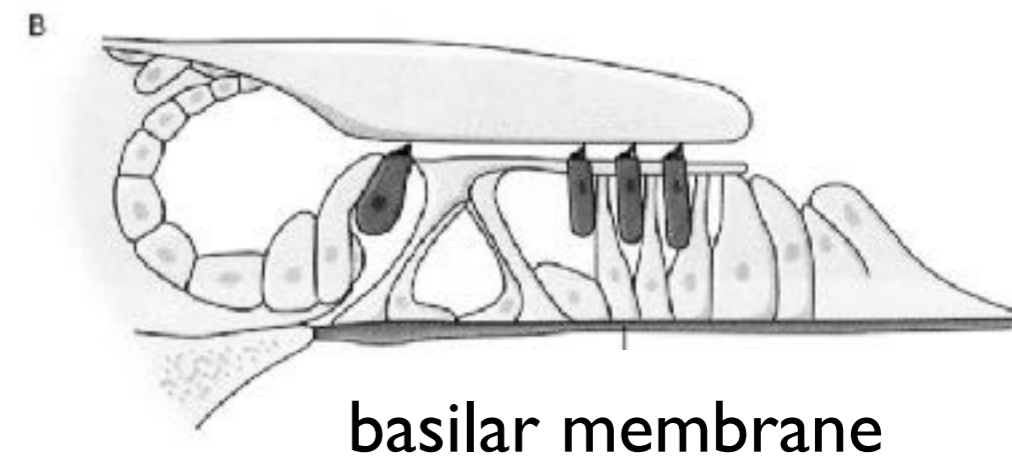




# Mechanical coupling of hair cells



tectorial membrane

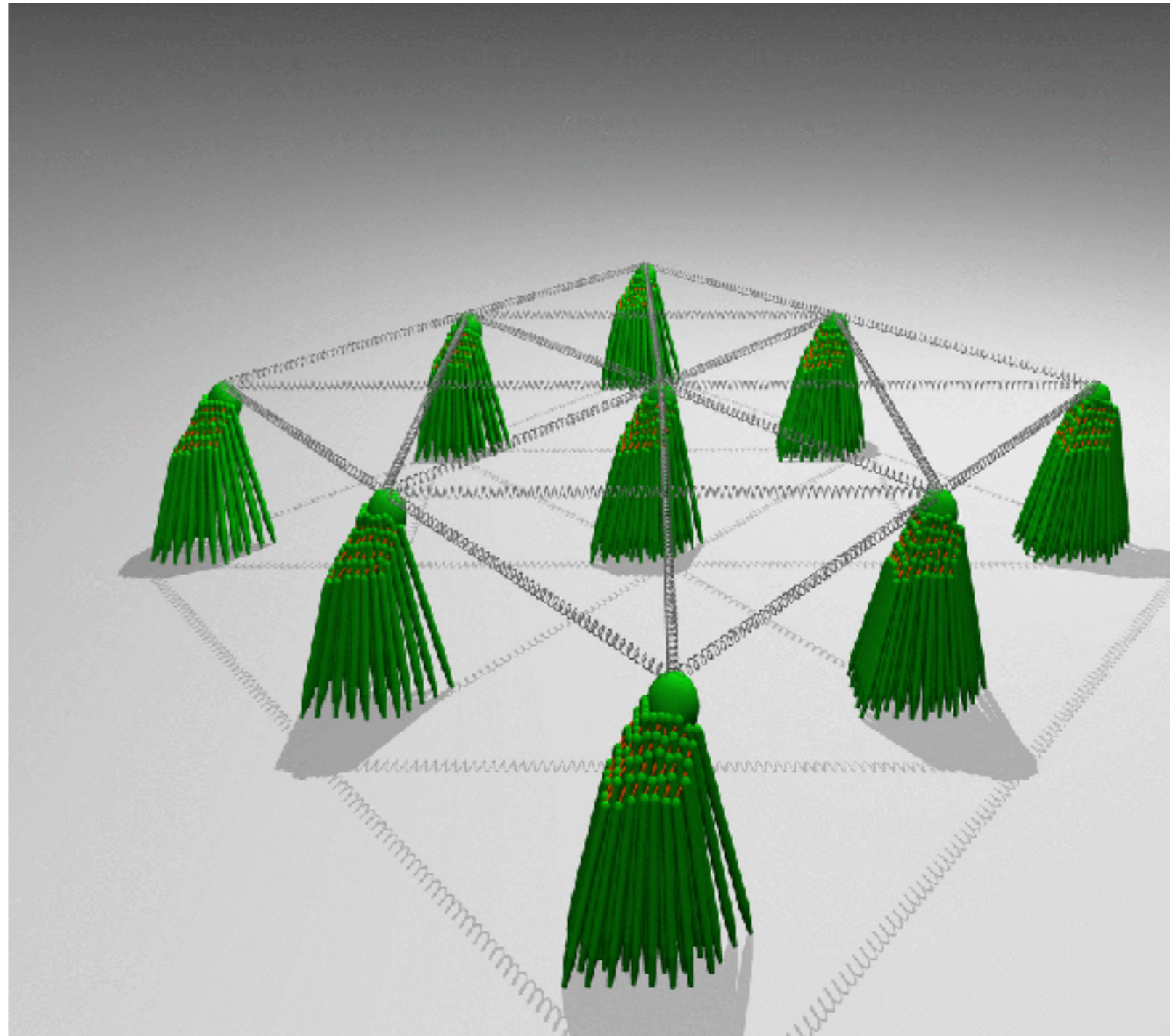


# Mechanical coupling of hair cells

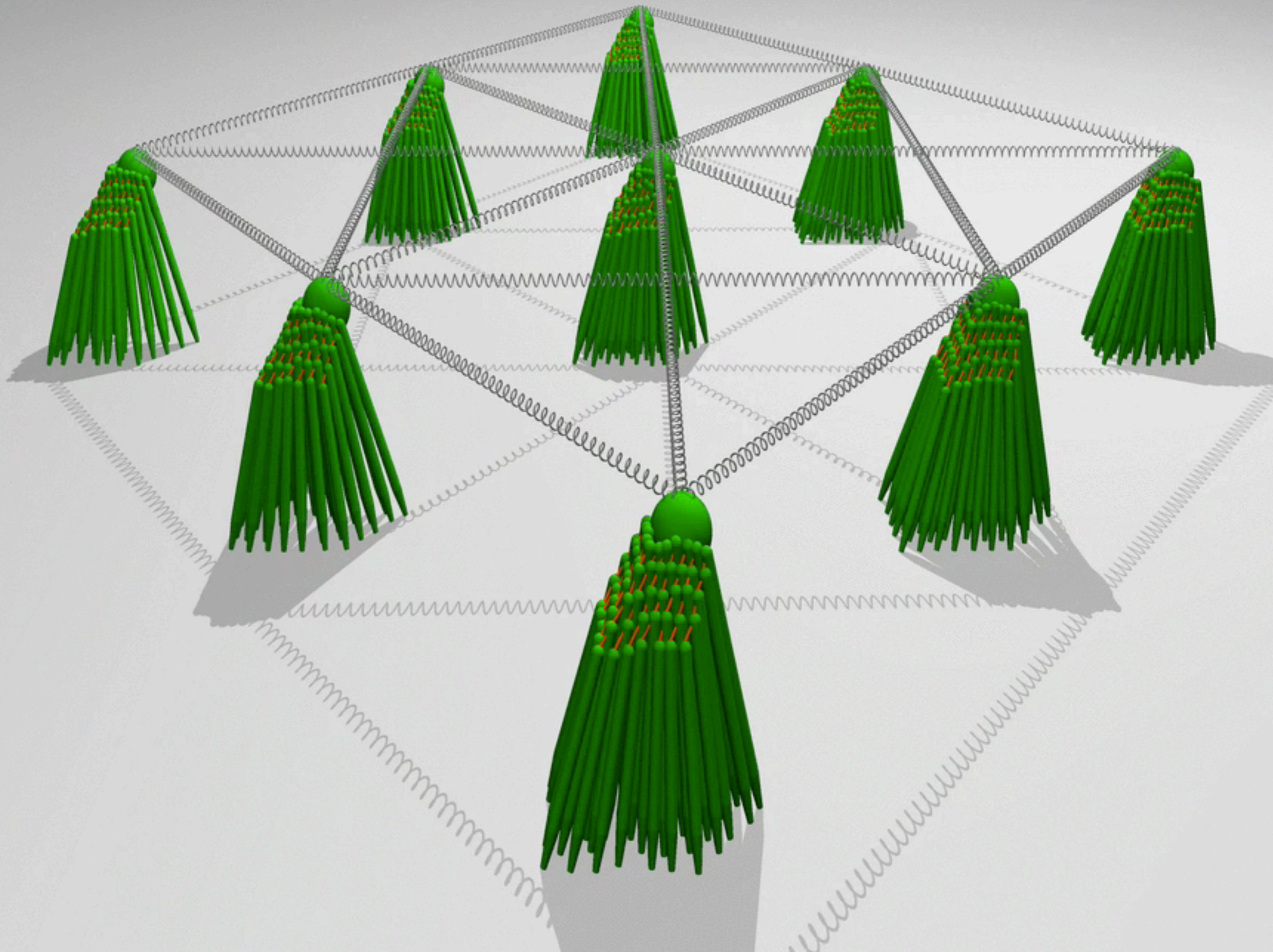
Mechanical coupling of  
hair bundles by elastic springs

Spring stiffness  $K$

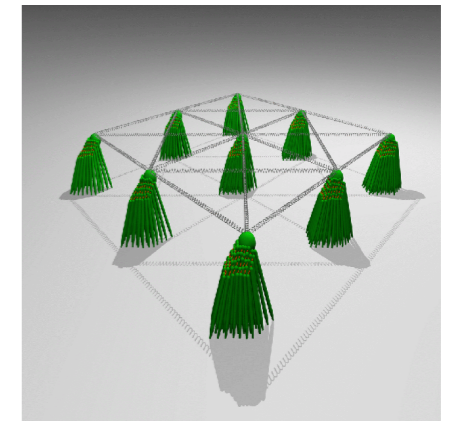
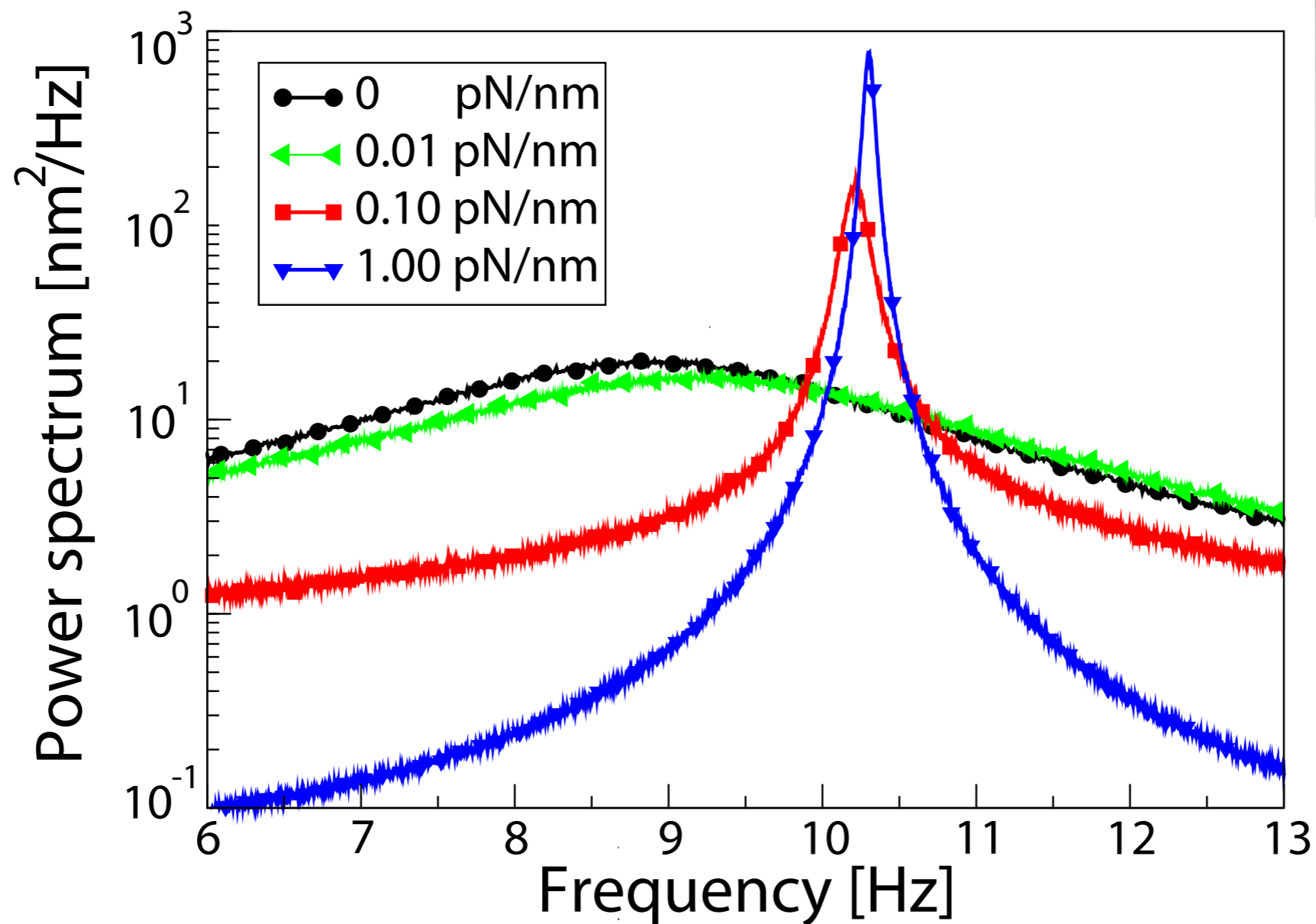
Dierkes, Lindner, Jülicher  
PNAS 105, 18669 (2008)







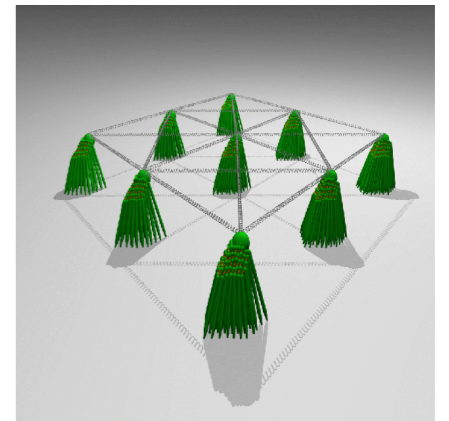
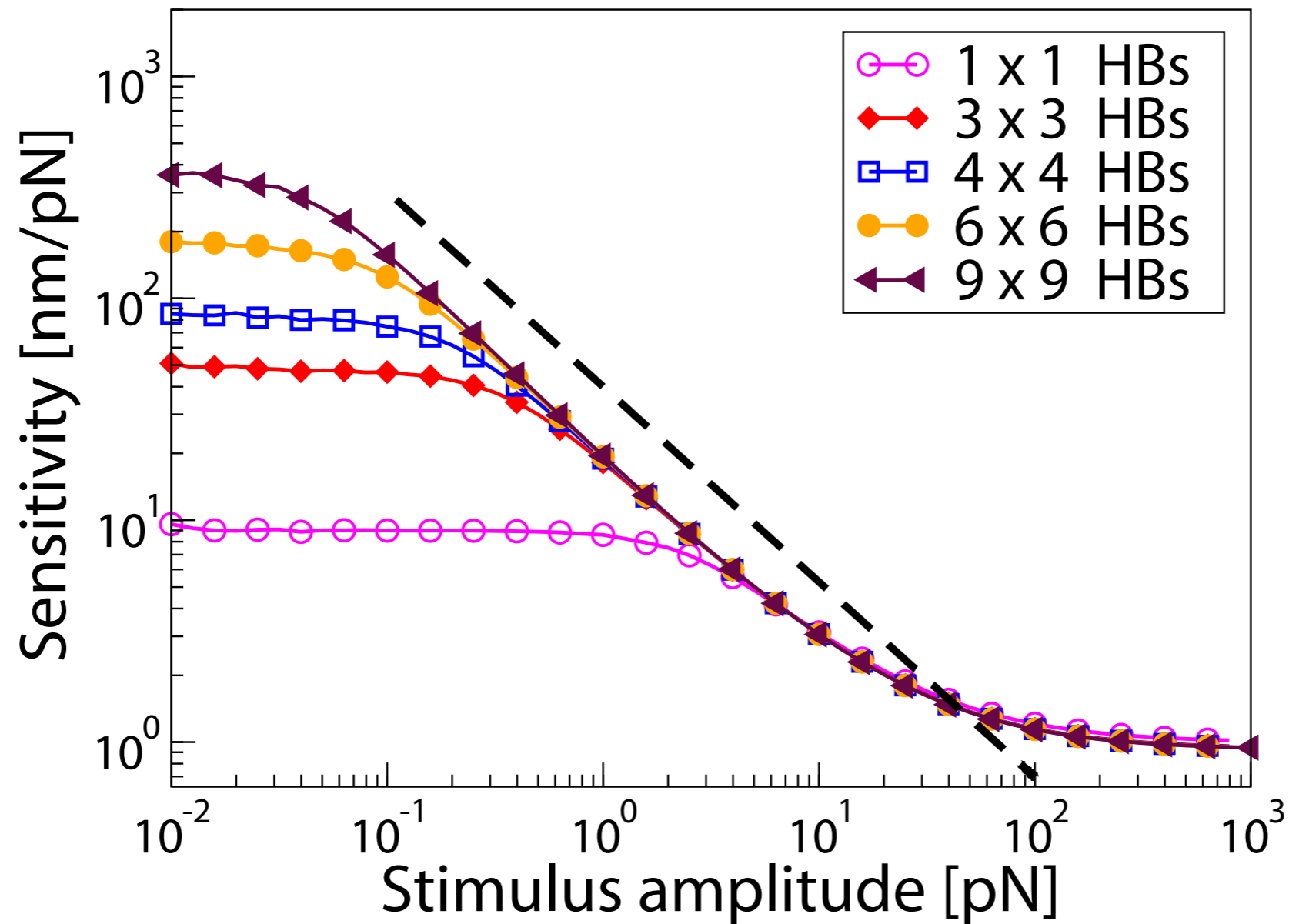
# Oscillation spectra



9x9 hair bundles



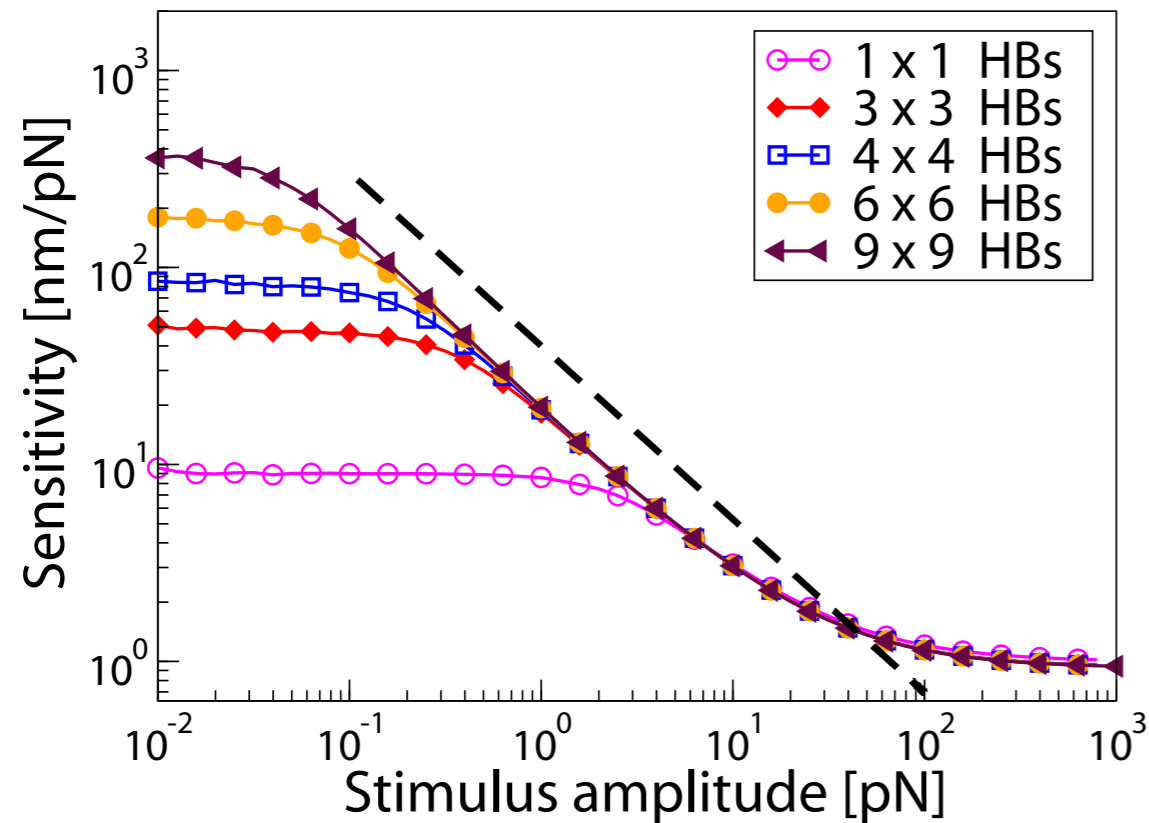
# Compressive nonlinearity



optimal coupling strength

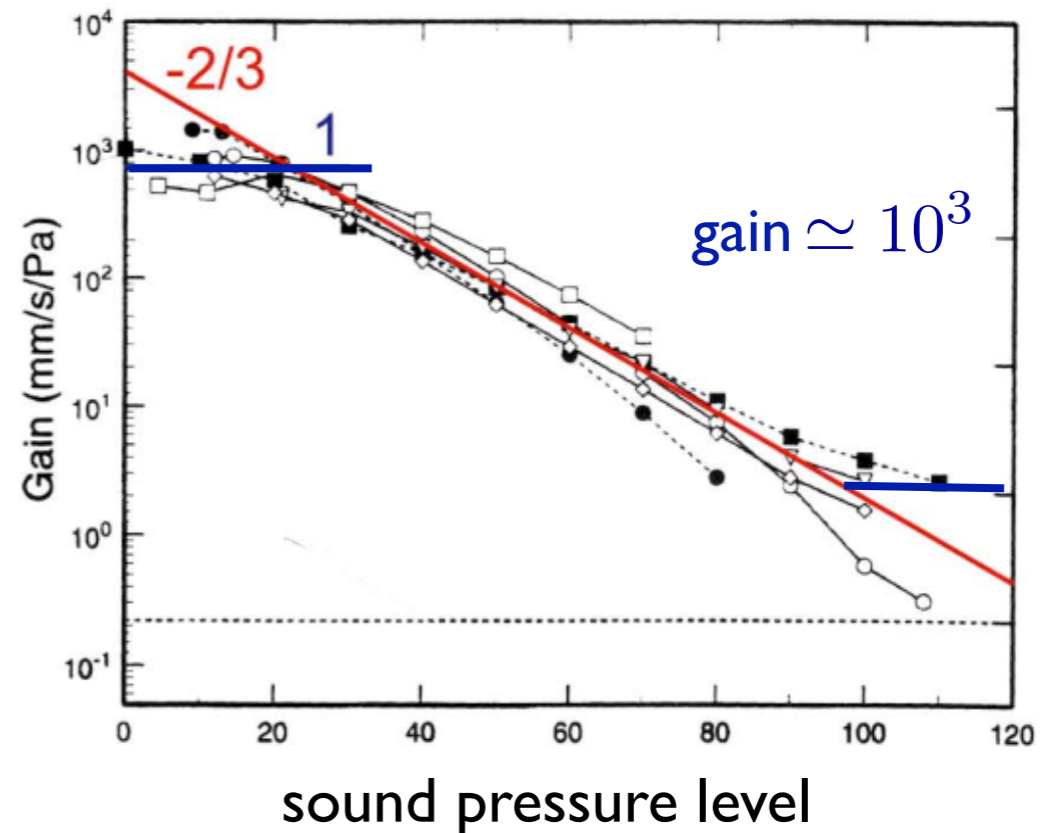
$$K \simeq K_{sp}$$

# Dynamic range of compressive nonlinearity



array of coupled hair bundles

Dierkes, Lindner, Jülicher  
PNAS (2008)

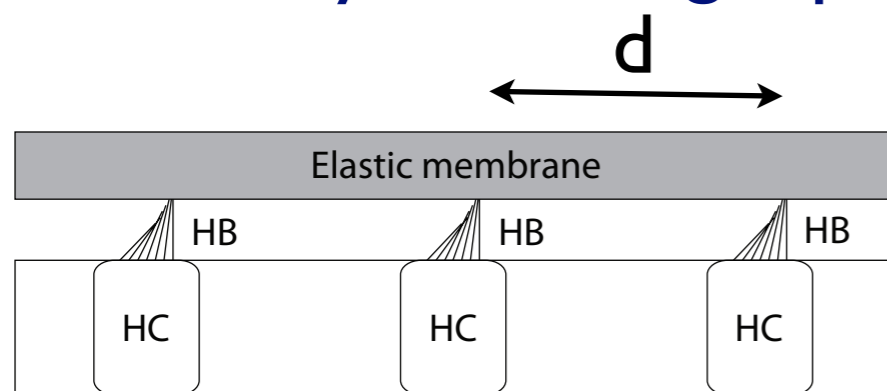


cochlea (Chinchilla)

Robles and Ruggero  
Physiol. Rev. 81, 1305 (2001)

# Implication for the cochlea

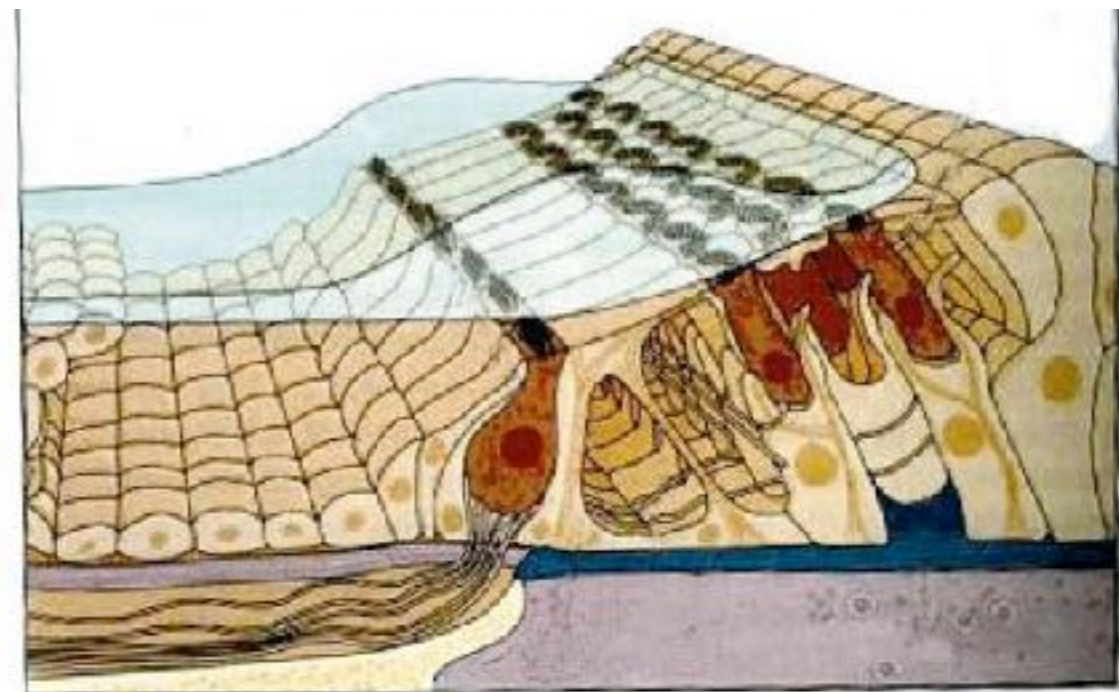
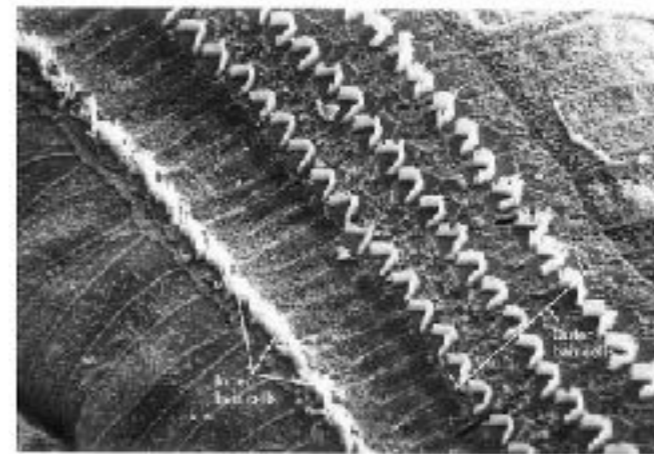
Matching gradient of tectorial membrane elasticity enhances sensitivity of small groups of HB's



gradient of  $K_{sp}$

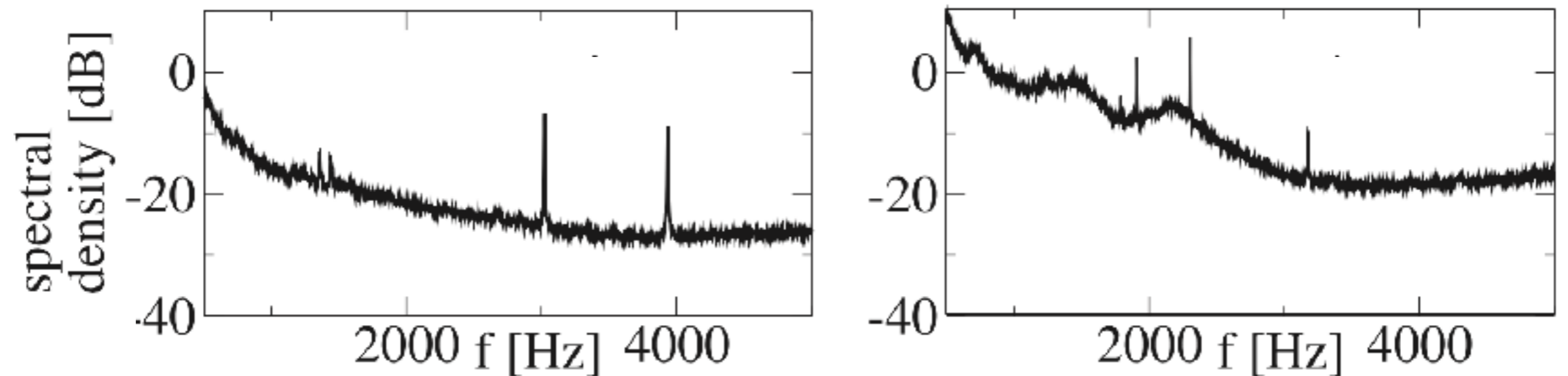
$$K \simeq Ed$$

Youngs modulus  $E$



# Statistics of spontaneous oto-acoustic emissions

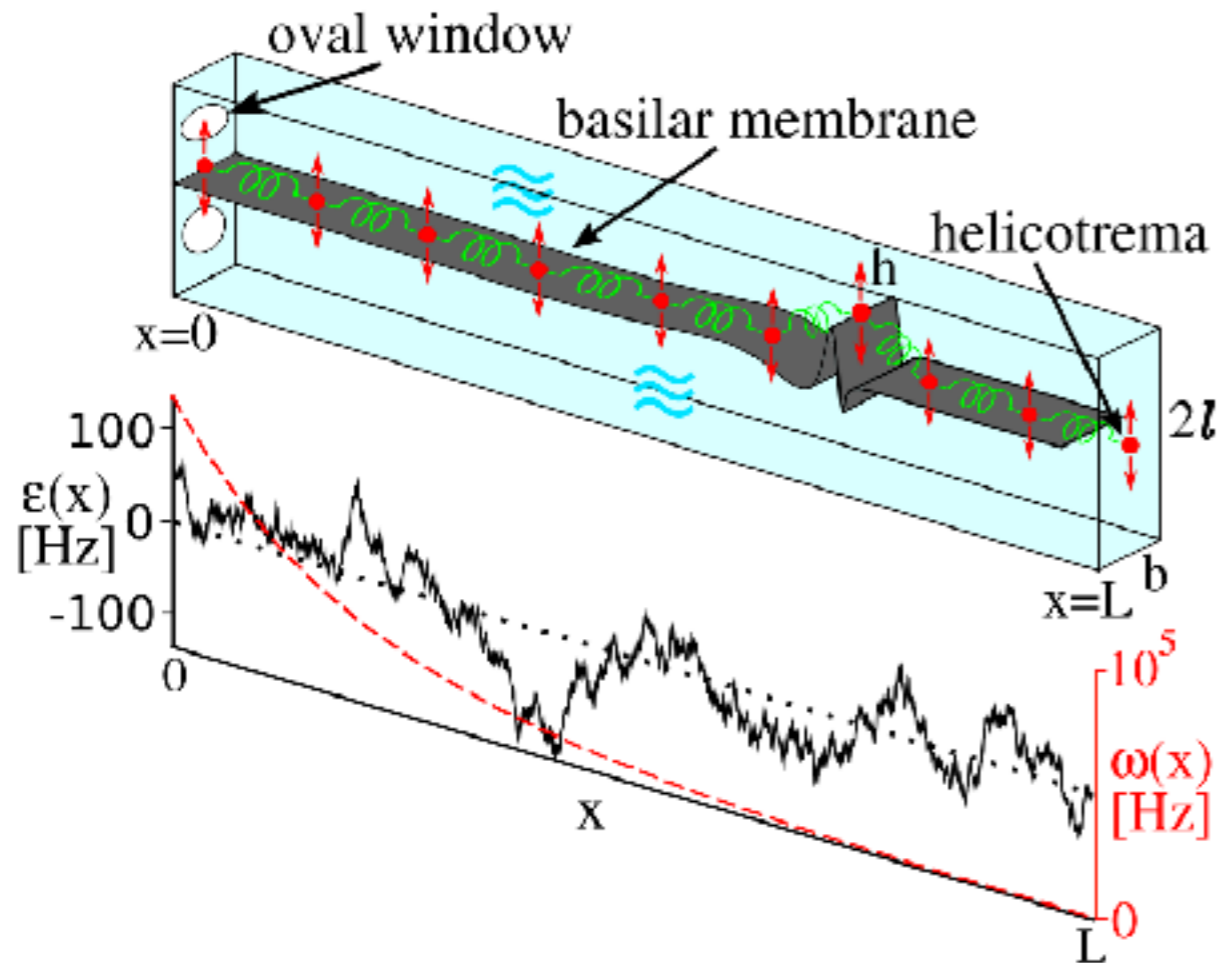
examples of emission spectra of two individuals



origin of variability?

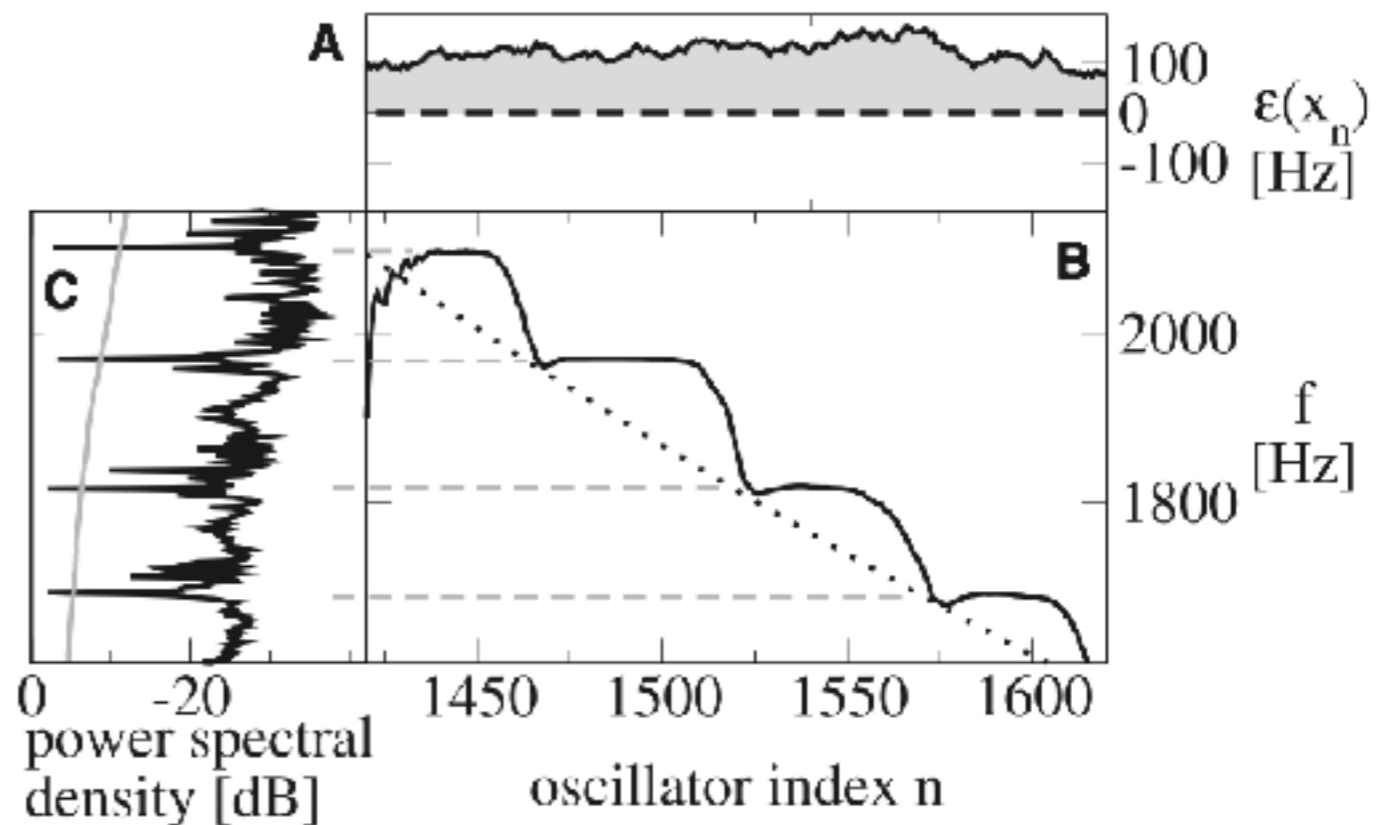
Talmdage et al , Hearing Research 1993

# Cochlear model with disorder



- Cochlear model
- linear array of active oscillators
- elastic coupling of hair bundles

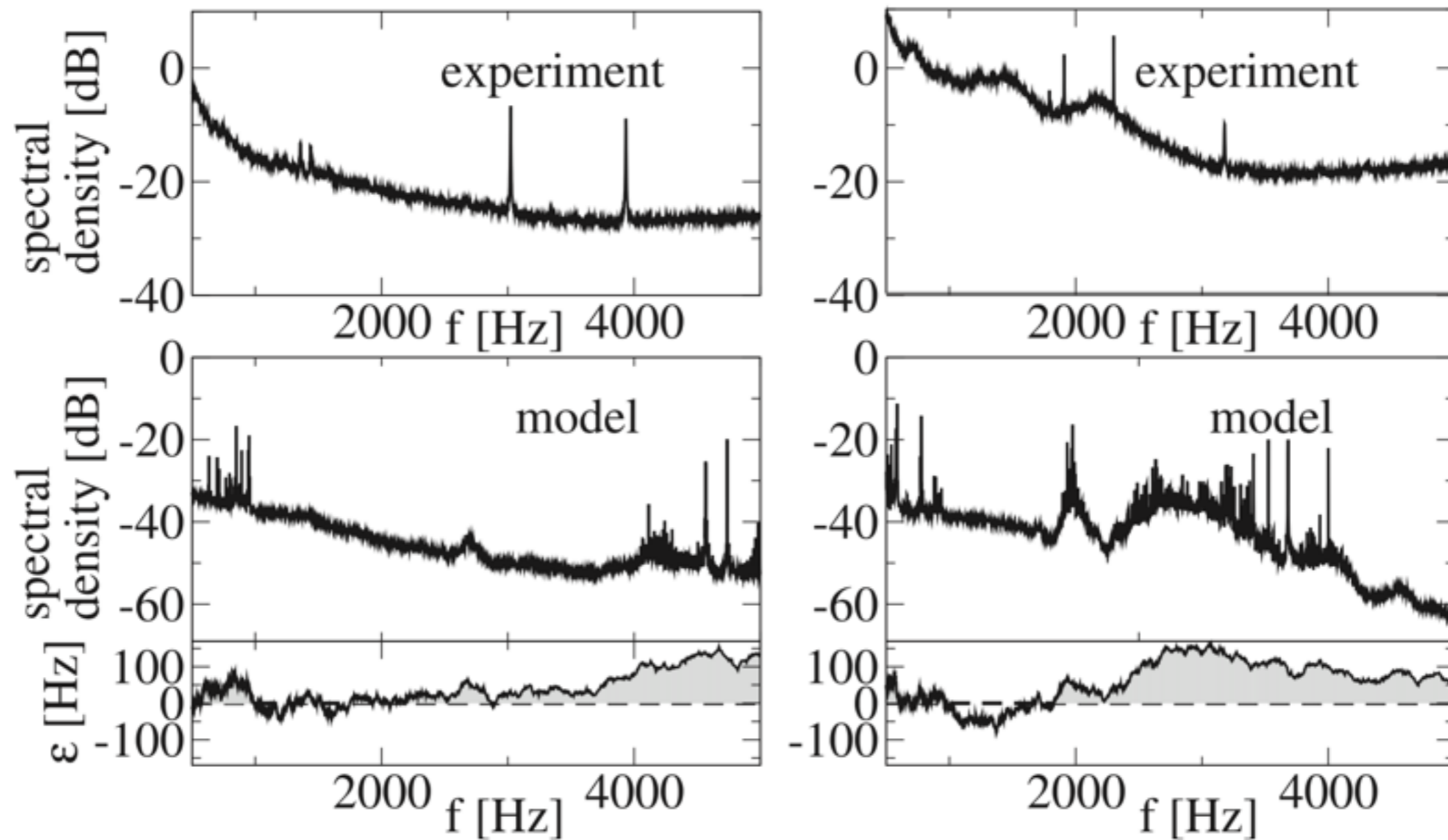
- Frozen disorder: detuning from criticality
- noise



Fruth, Jülicher, Lindner Biophys. J. (2014)

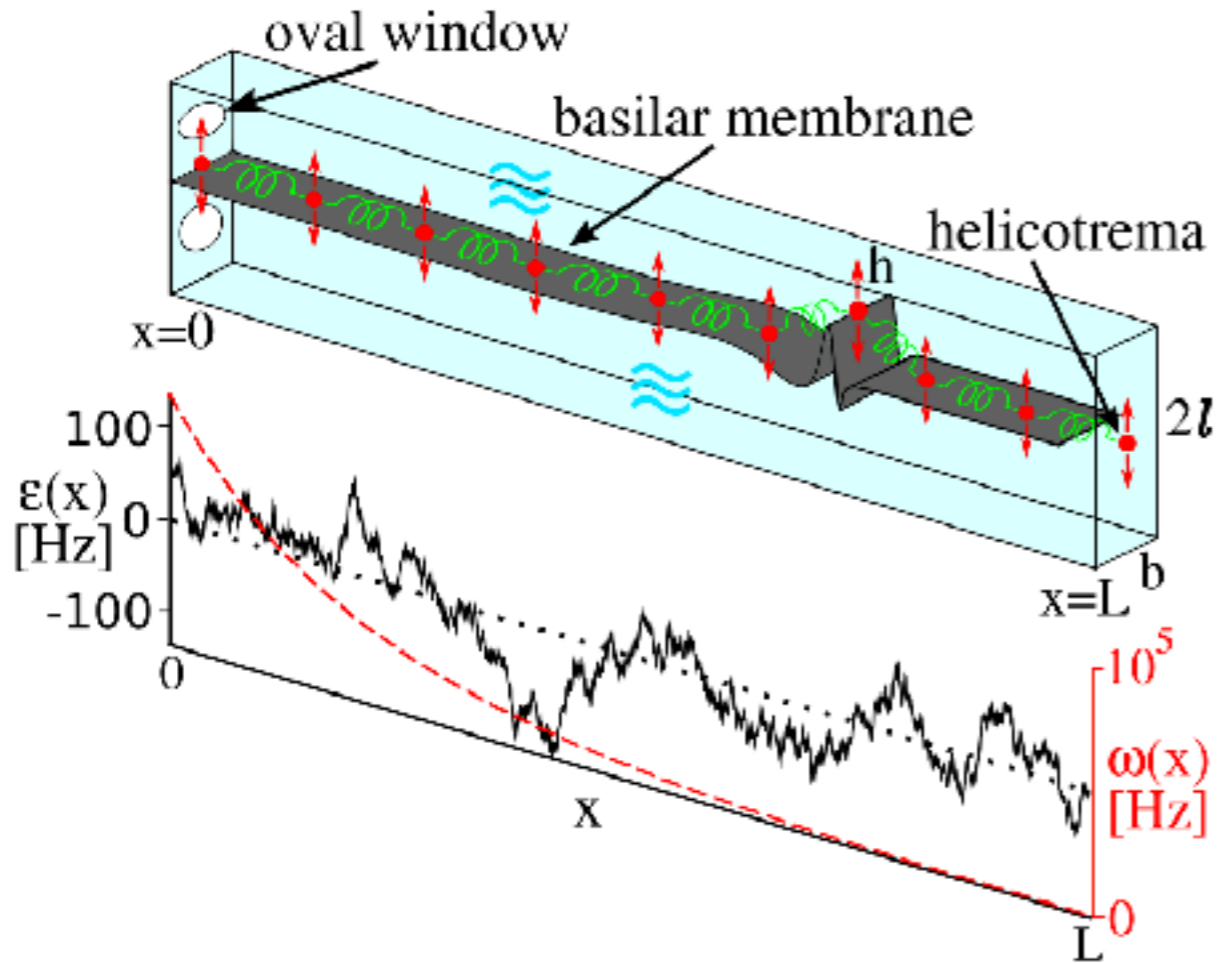


# Otoacoustic emissions

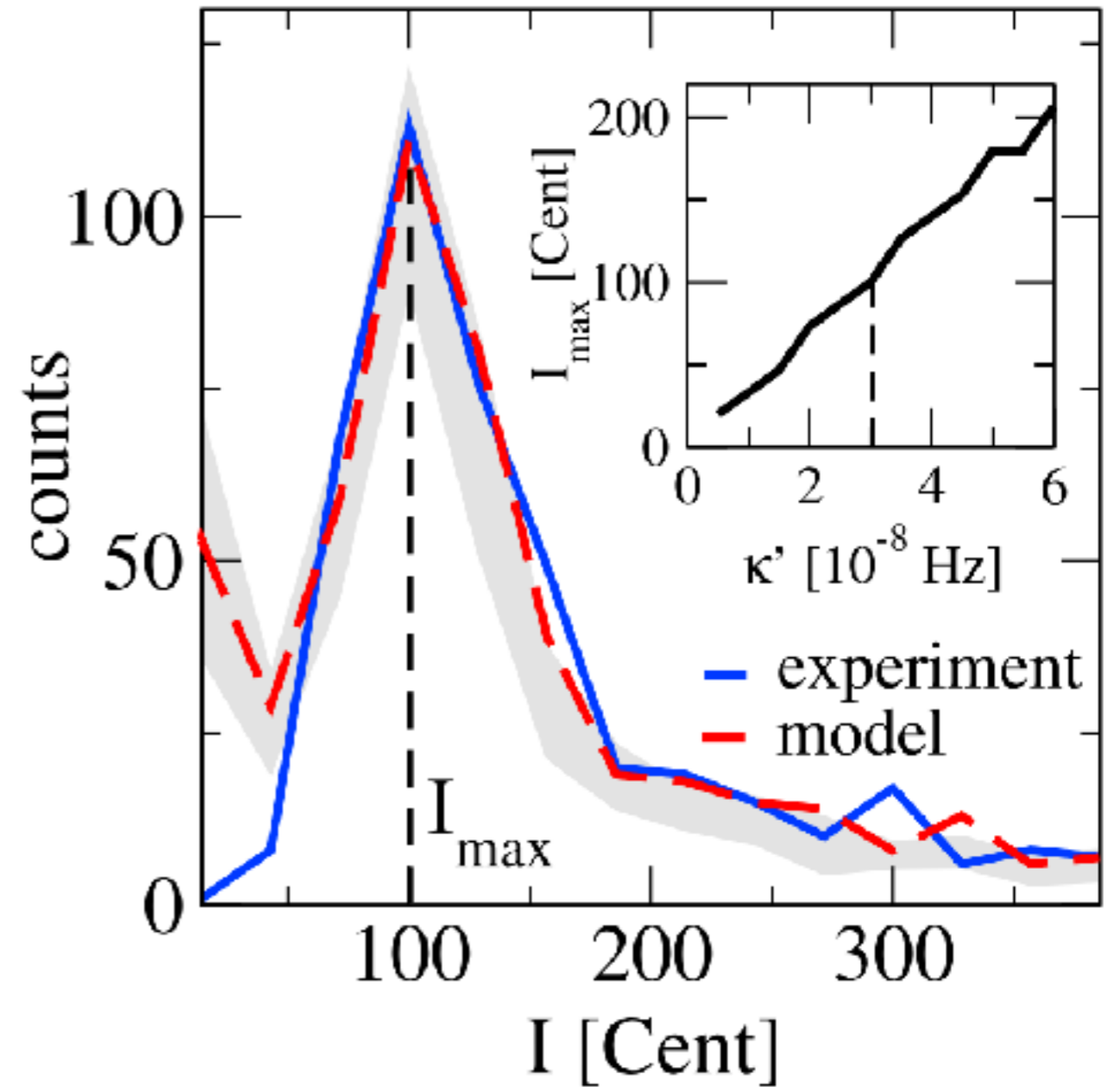




# Otoacoustic emissions



## Statistics of emission frequency intervals



$$I = 1200 \log_2(f_2/f_1)$$

one semitone = 100 cent

# Signal amplification by nonlinear oscillators

General principle for frequency selective nonlinear signal detection

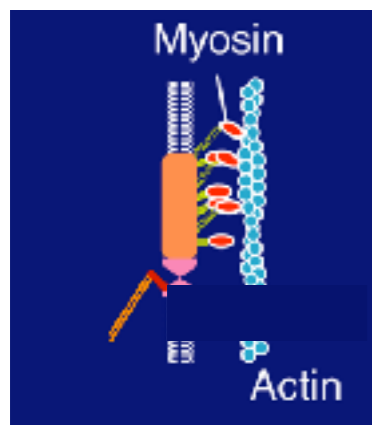
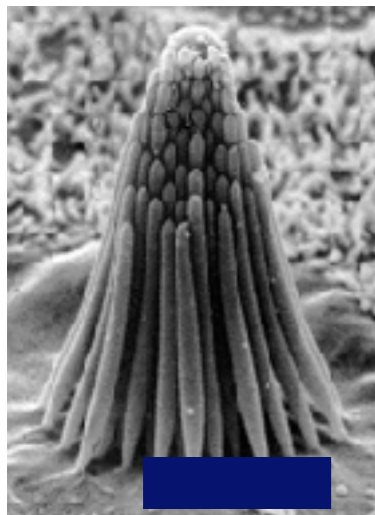
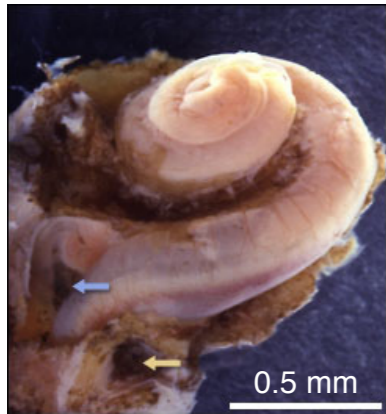
large dynamic range

compressive nonlinearity

highest sensitivity and frequency discrimination for weak stimuli

Combination tones and frequency coupling

Applications to other sensory systems?



# Acknowledgements

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Aditi Simha  
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J.-F. Joanny

T. Duke

D. Andor