

# Theory of efficient coding and inferential computation

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**REDWOOD CENTER**  
for Theoretical Neuroscience



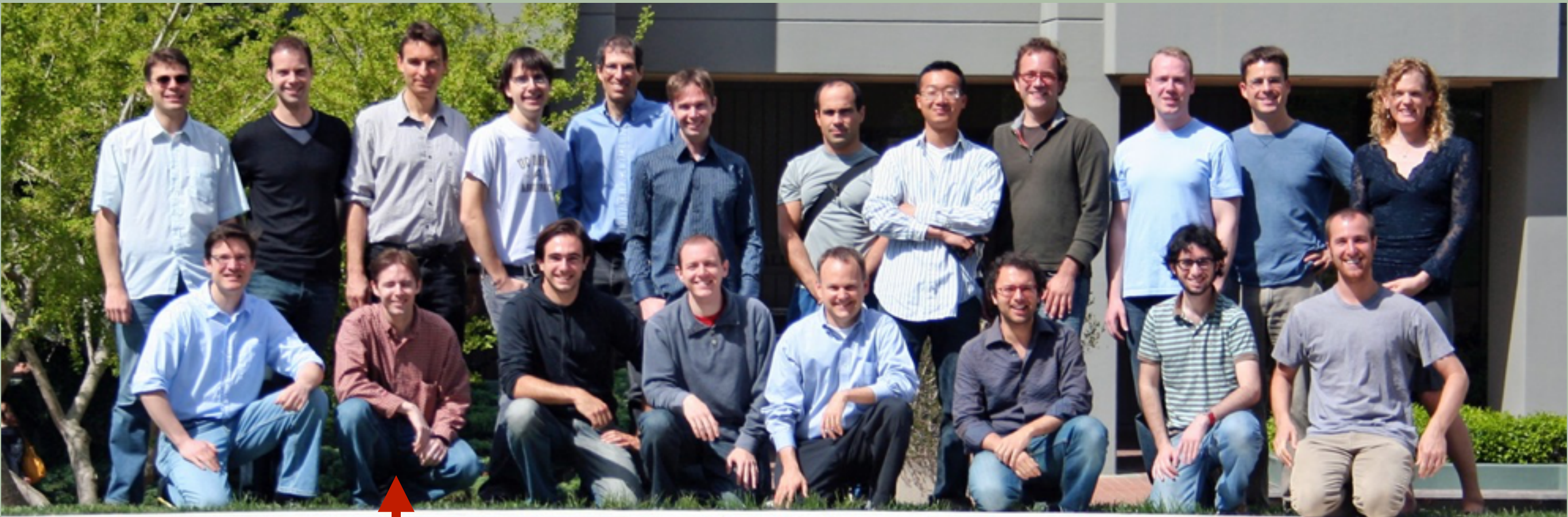




**Shariq Mobin**

**Redwood Center for Theoretical Neuroscience - April 2016**





**Chris Rozell**



**Redwood Center for Theoretical Neuroscience - April 2008**

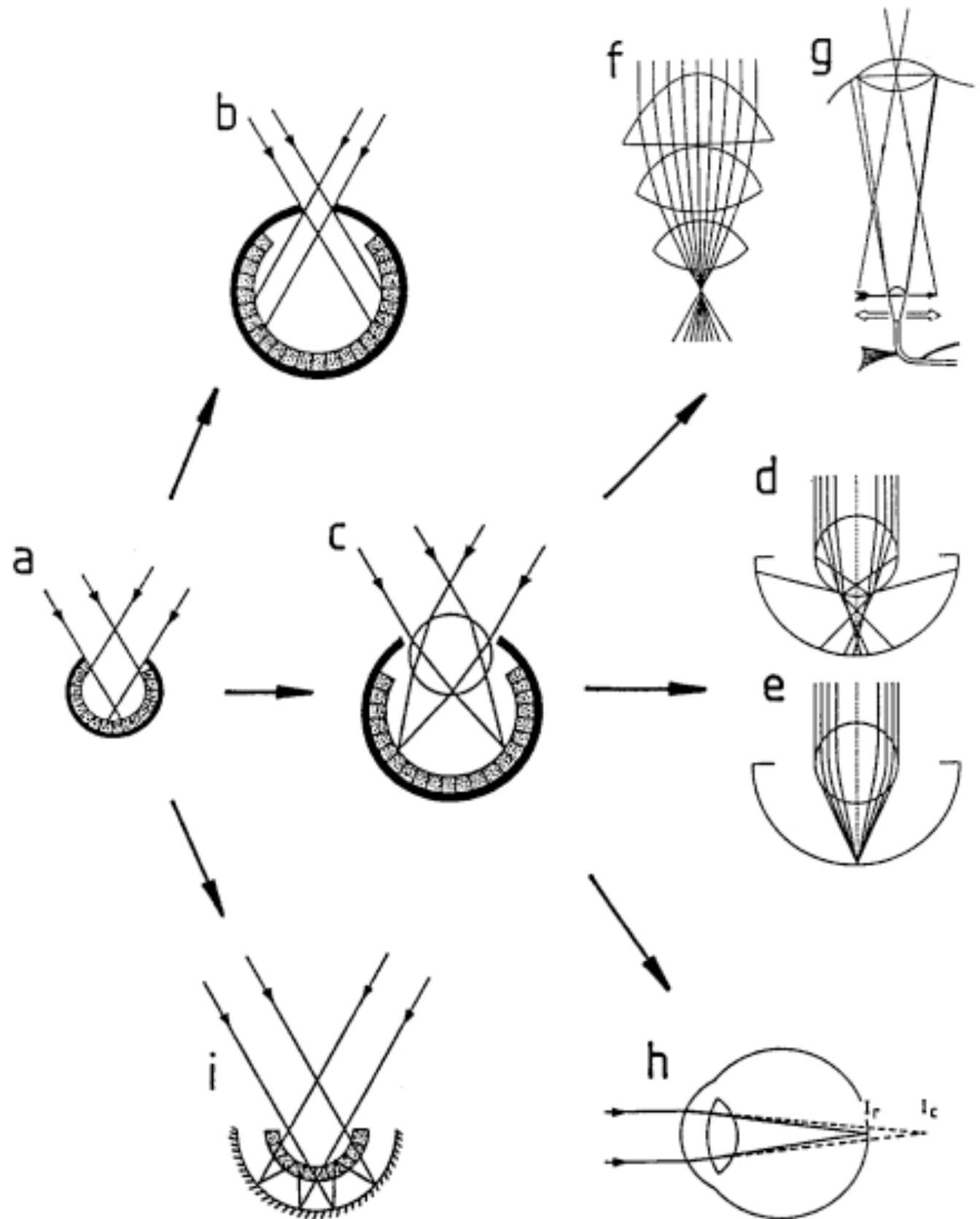


# THE EVOLUTION OF EYES

*Michael F. Land*

*Russell D. Fernald*

**Principles of optics  
govern the design  
of eyes**



# What principles govern the design of nervous systems?

- Efficient Coding (Barlow)
- Inferential Computation (Helmholtz)

**The efficient coding hypothesis  
(Barlow 1961; Attneave 1954)**

*Nervous systems should exploit the statistical dependencies  
contained in sensory signals*

# Redundancy Reduction as a Strategy for Unsupervised Learning

## A. Norman Redlich

alice was beginning to get very tired of sitting by her sister on the bank and of having nothing to do once or twice she had peeped into the book her sister was reading but it had no pictures or conversations in it and what is the use of a book thought alice without pictures or conversations so she was considering in her own mind as well as she could for the hot day made her feel very sleepy and stupid whether the pleasure of making a daisy chain would be worth the trouble of getting up and picking the daisies when suddenly a white rabbit with pink eyes ran close by her there was nothing so very remarkable in that nor did alice think it so very much out of the way to hear the rabbit say to itself oh dear oh dear

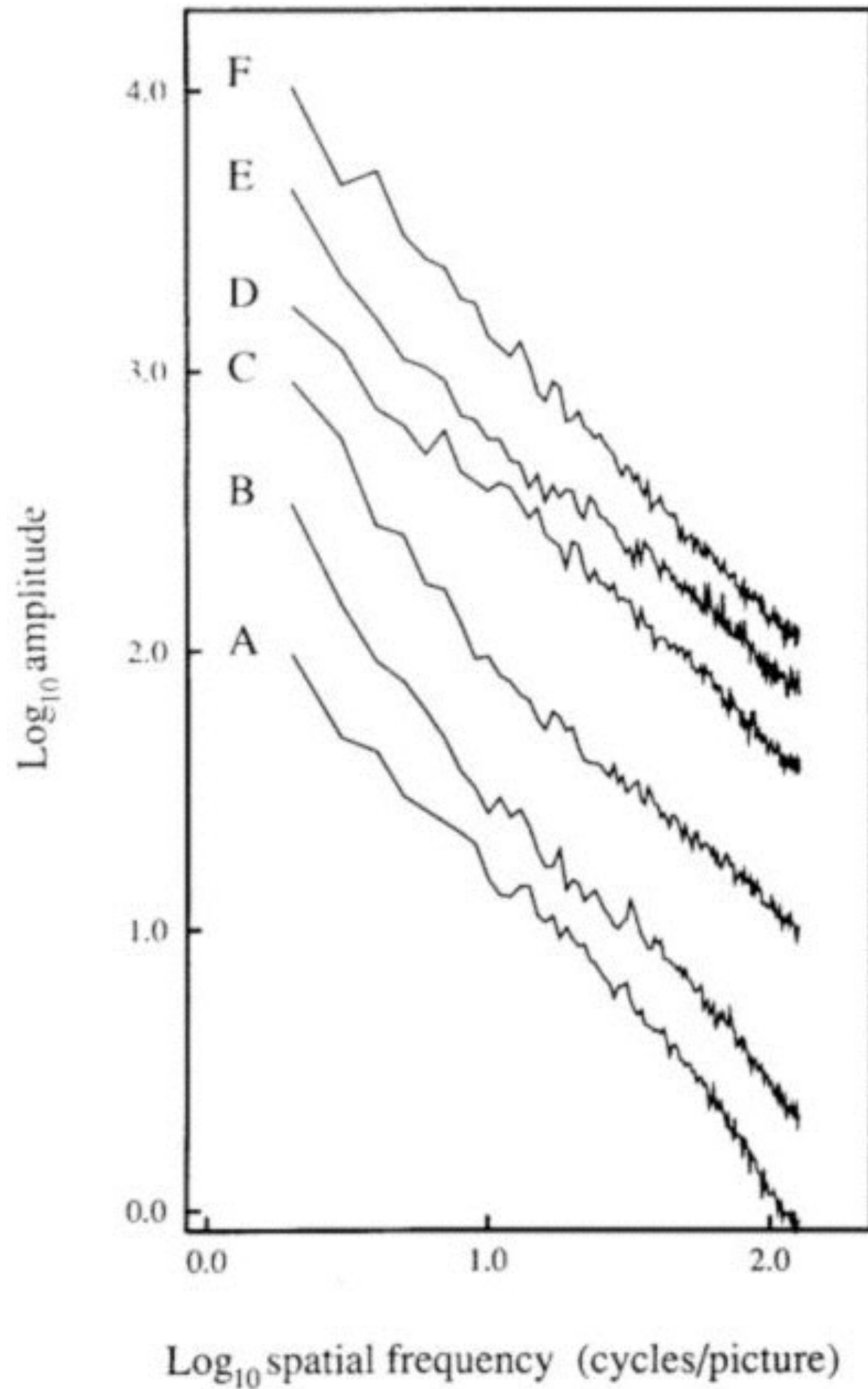
(Neural Computation, 1993)

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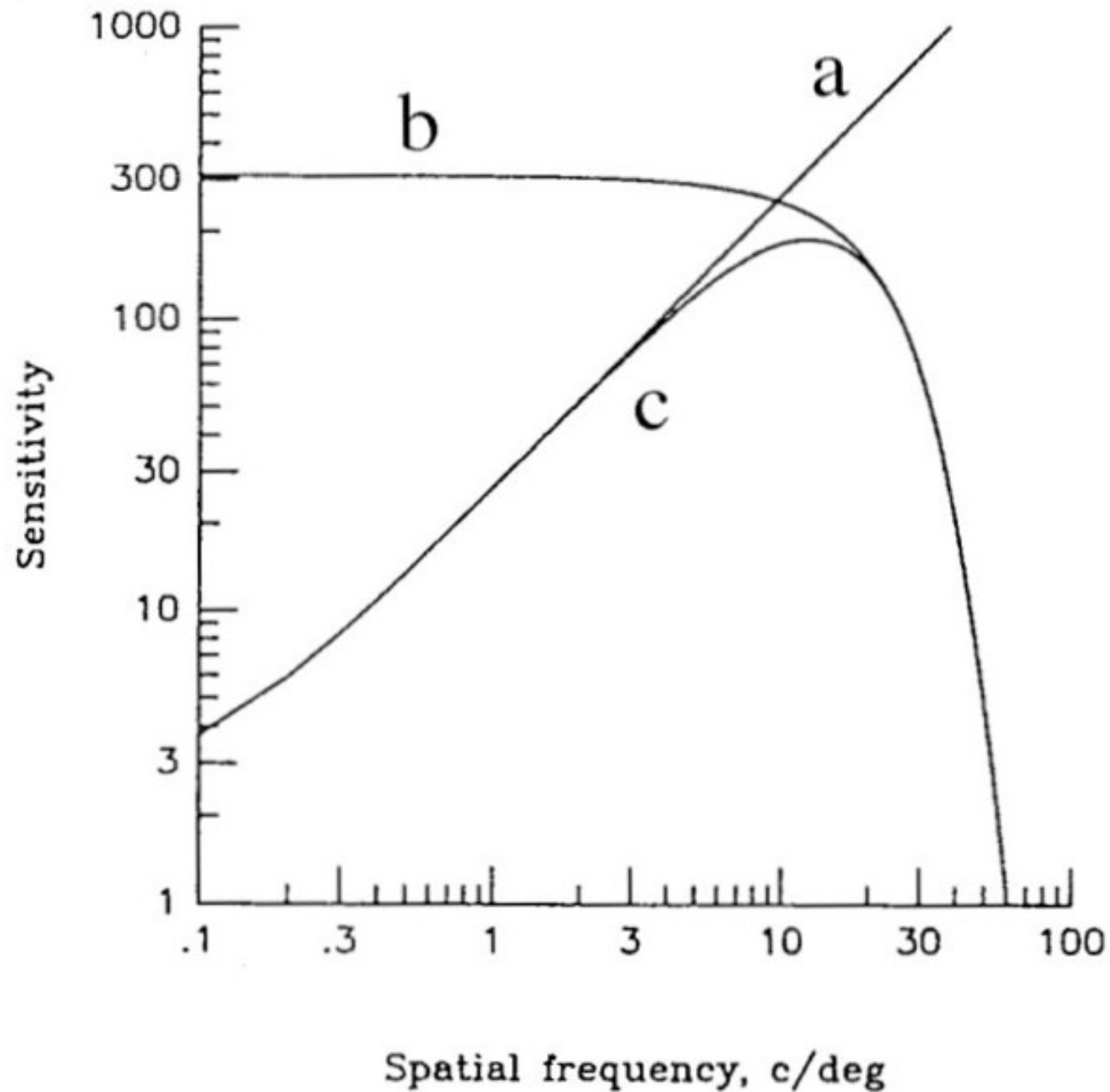
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oh dear oh dear



# Power spectrum of natural images (Field 1987)



# 'Whitening' (Atick & Redlich, 1990)



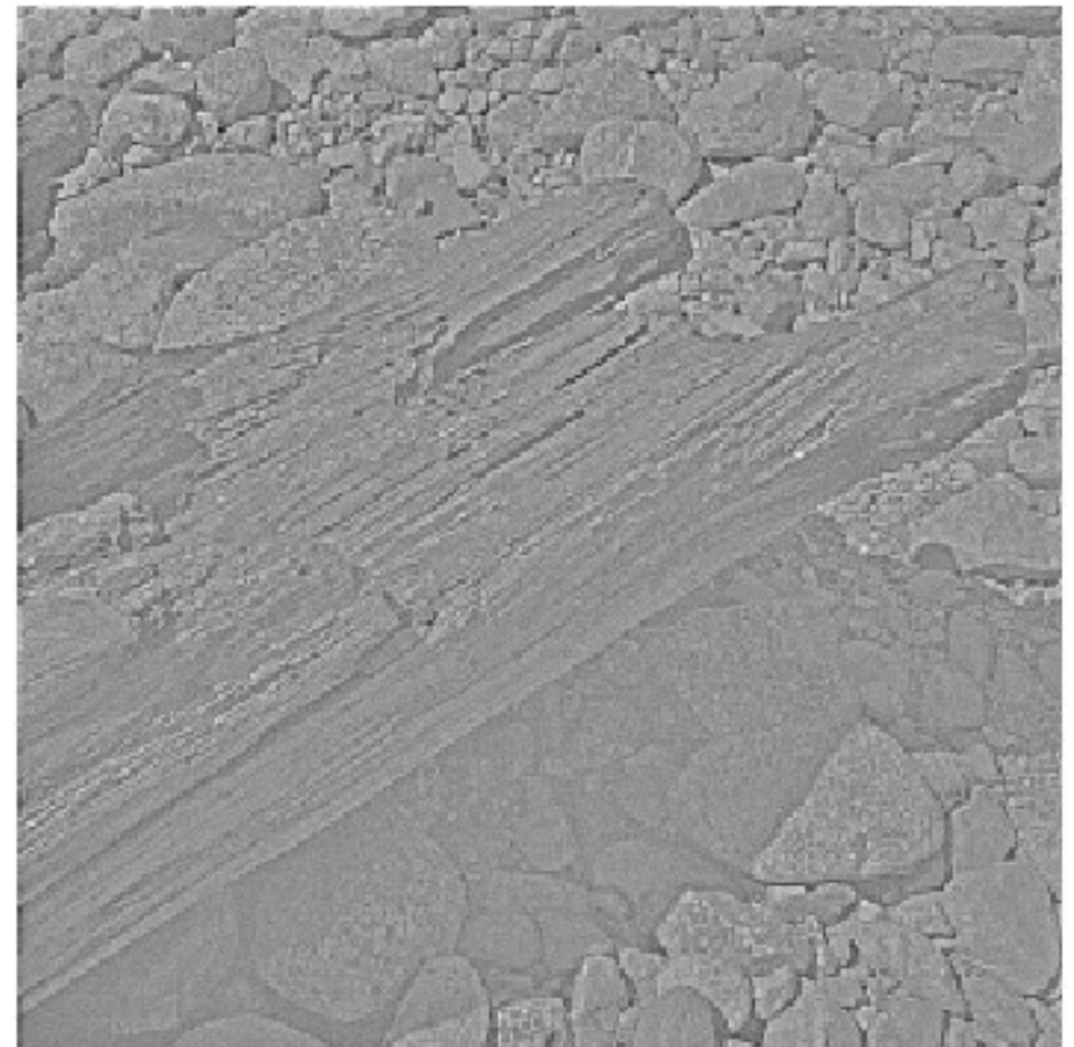


# Whitening

before

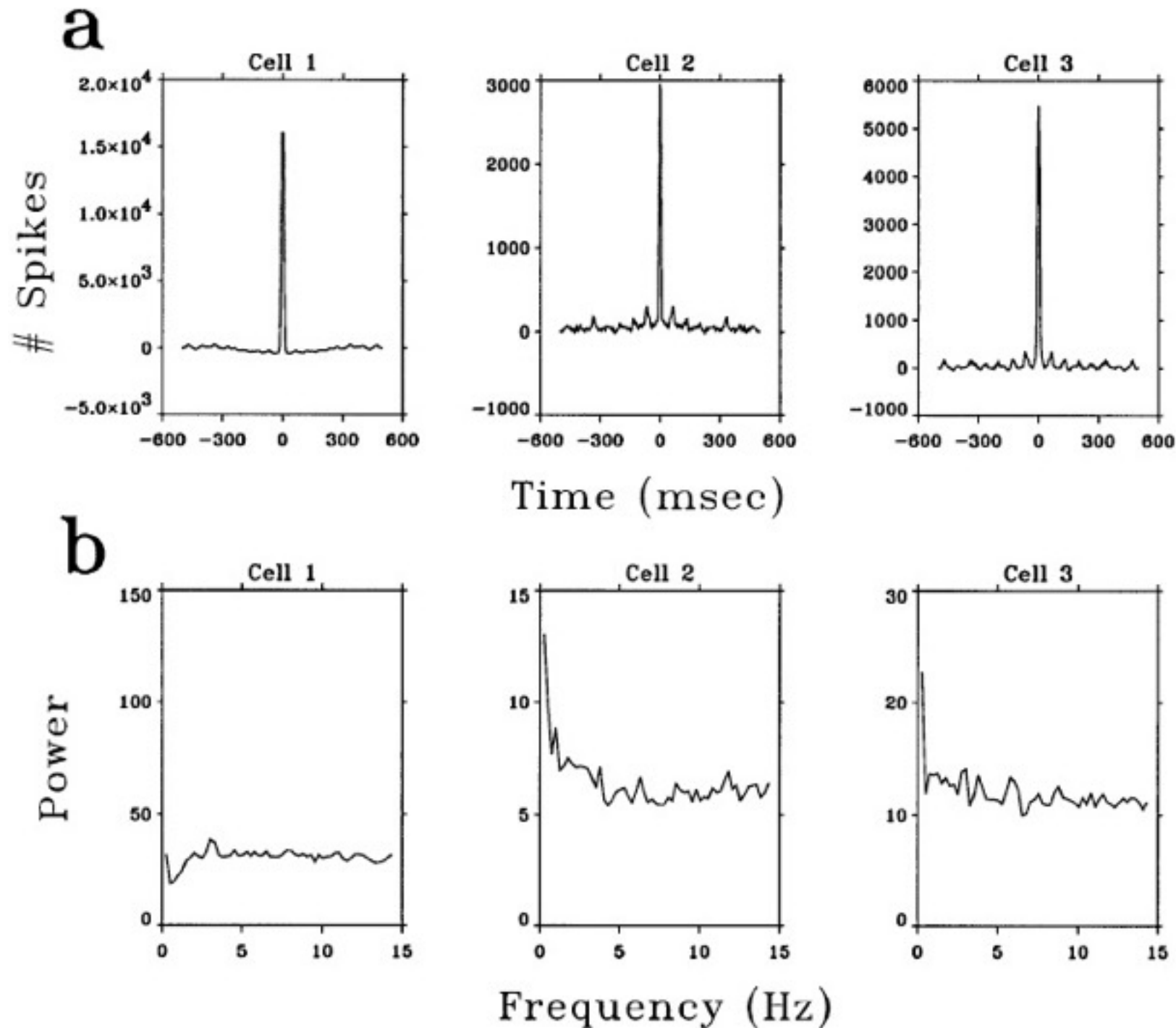


after



# Evidence for whitening in the LGN

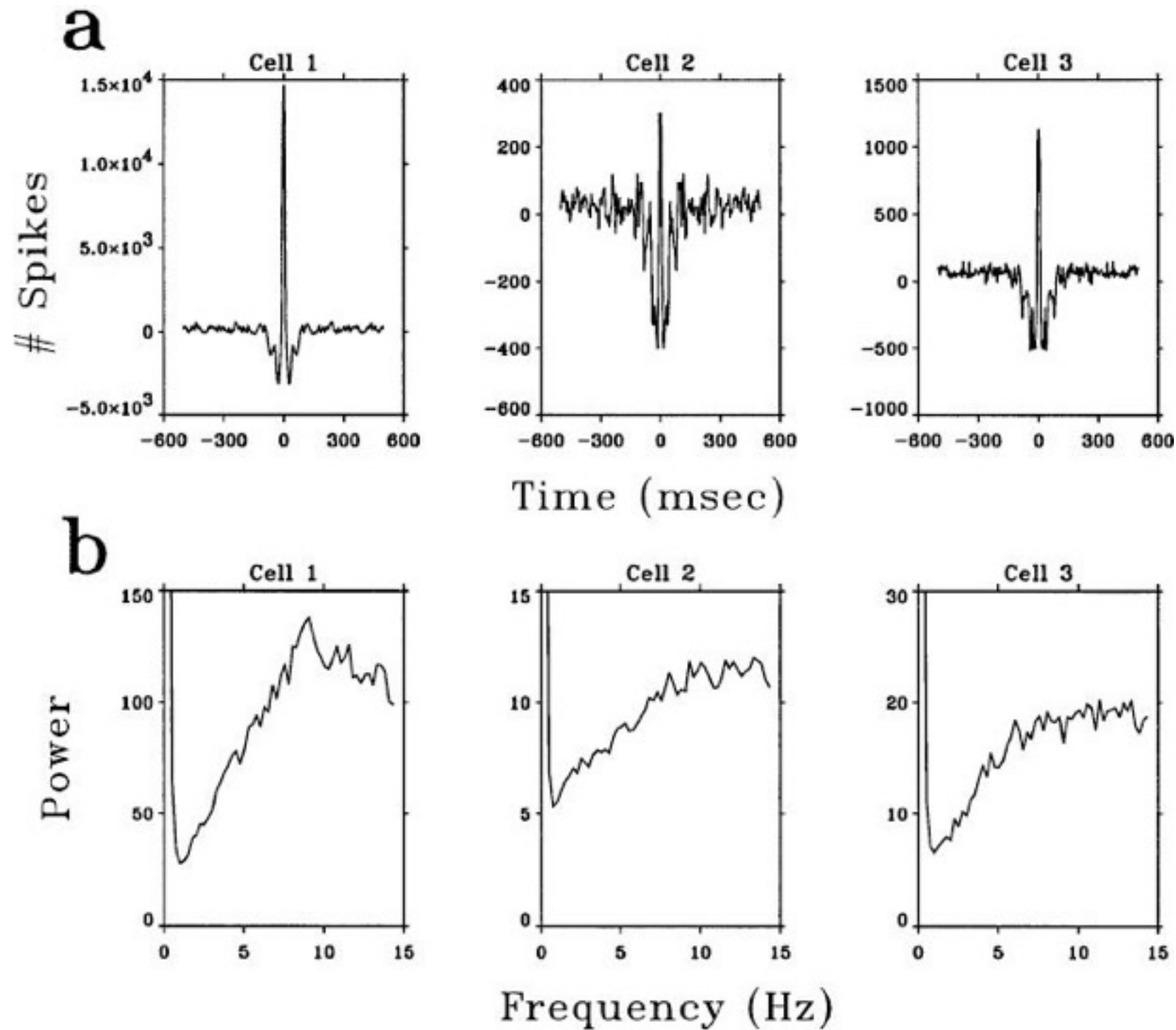
(Dan et al., 1996)





# Evidence for whitening in the LGN

(Dan et al., 1996)



# Redundancy reduction revisited

**Horace Barlow**

Physiological Laboratory, Downing Site, Cambridge CB2 3EG, UK

E-mail: hbb10@cam.ac.uk

Received 31 November 2000

## **Abstract**

Soon after Shannon defined the concept of redundancy it was suggested that it gave insight into mechanisms of sensory processing, perception, intelligence

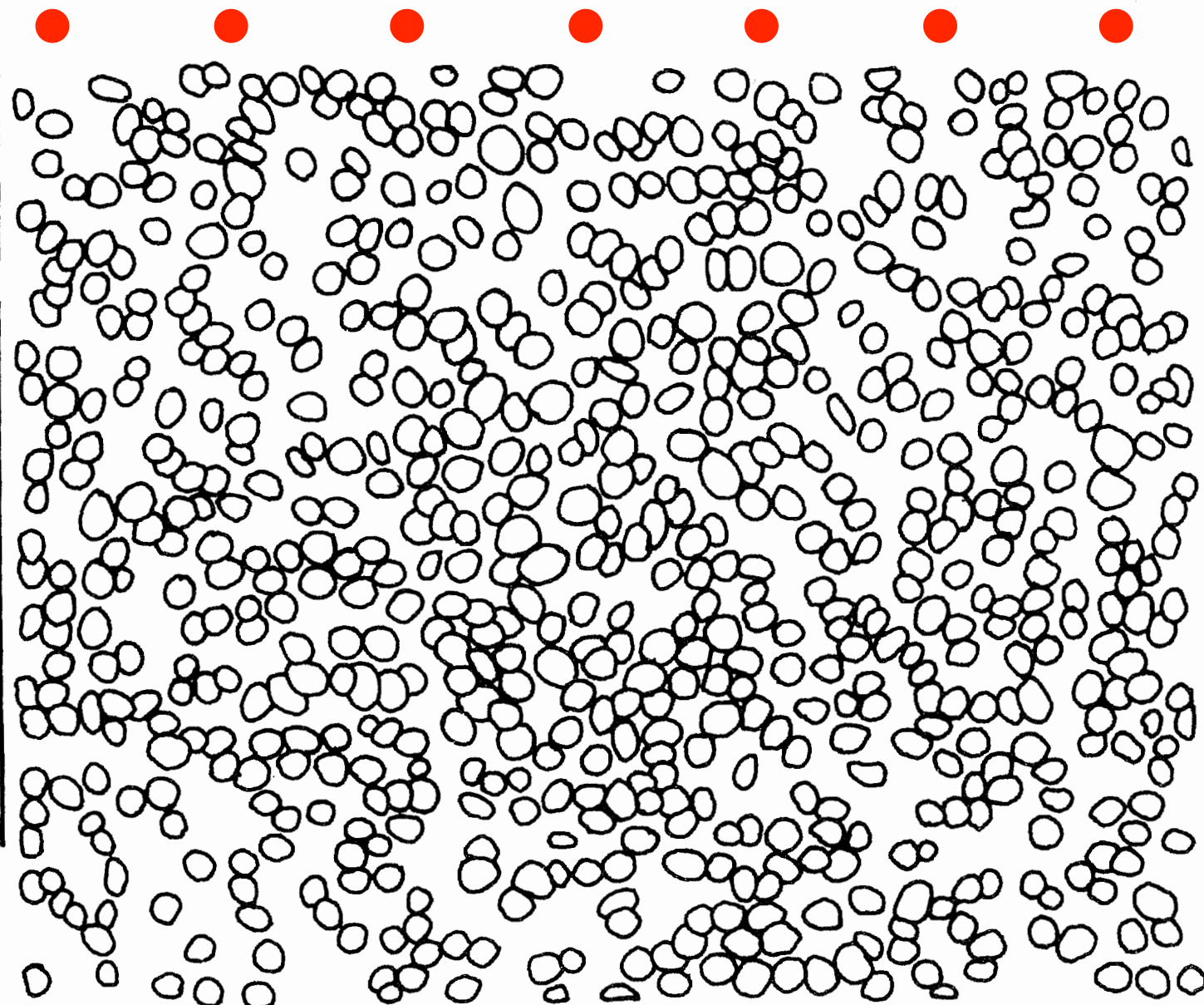
This paper argues that the original hypothesis was wrong in over-emphasizing the role of compressive coding and economy in neuron numbers, but right in drawing attention to the importance of redundancy.

non-random probabilities and interdependences of objects and events signalled by sensory messages. These are particularly relevant for Bayesian calculations of the optimum course of action. Instead of thinking of neural representations as transformations of stimulus energies, we should regard them as approximate estimates of the probable truths of hypotheses about the current environment, for these are the quantities required by a probabilistic brain working on Bayesian principles.



# VI is highly overcomplete

LGN  
afferents



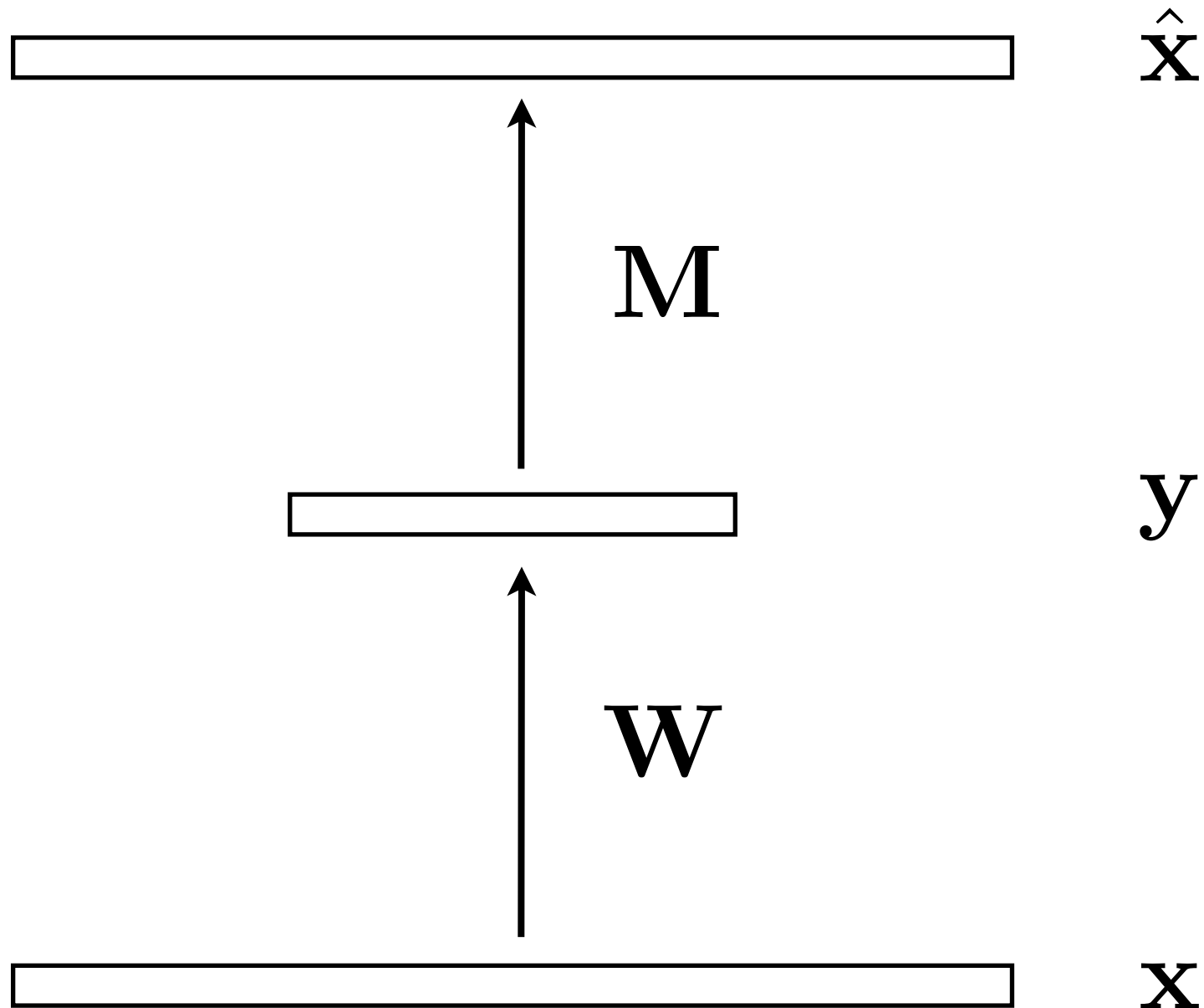
layer 4  
cortex

0.1 mm

Barlow (1981)

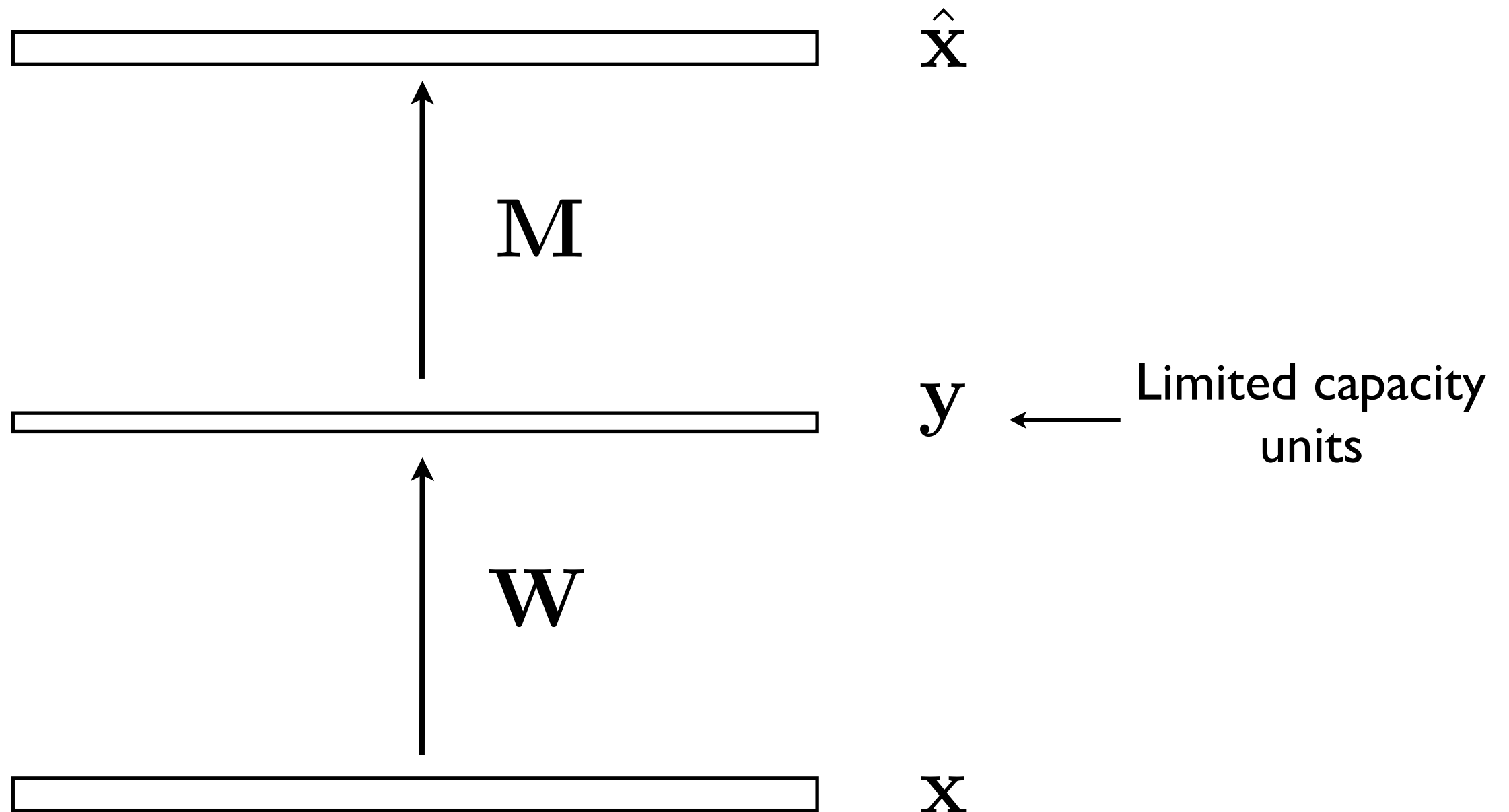
# Autoencoder networks

$$\min_{\mathbf{W}, \mathbf{M}} |\mathbf{x} - \hat{\mathbf{x}}|^2$$

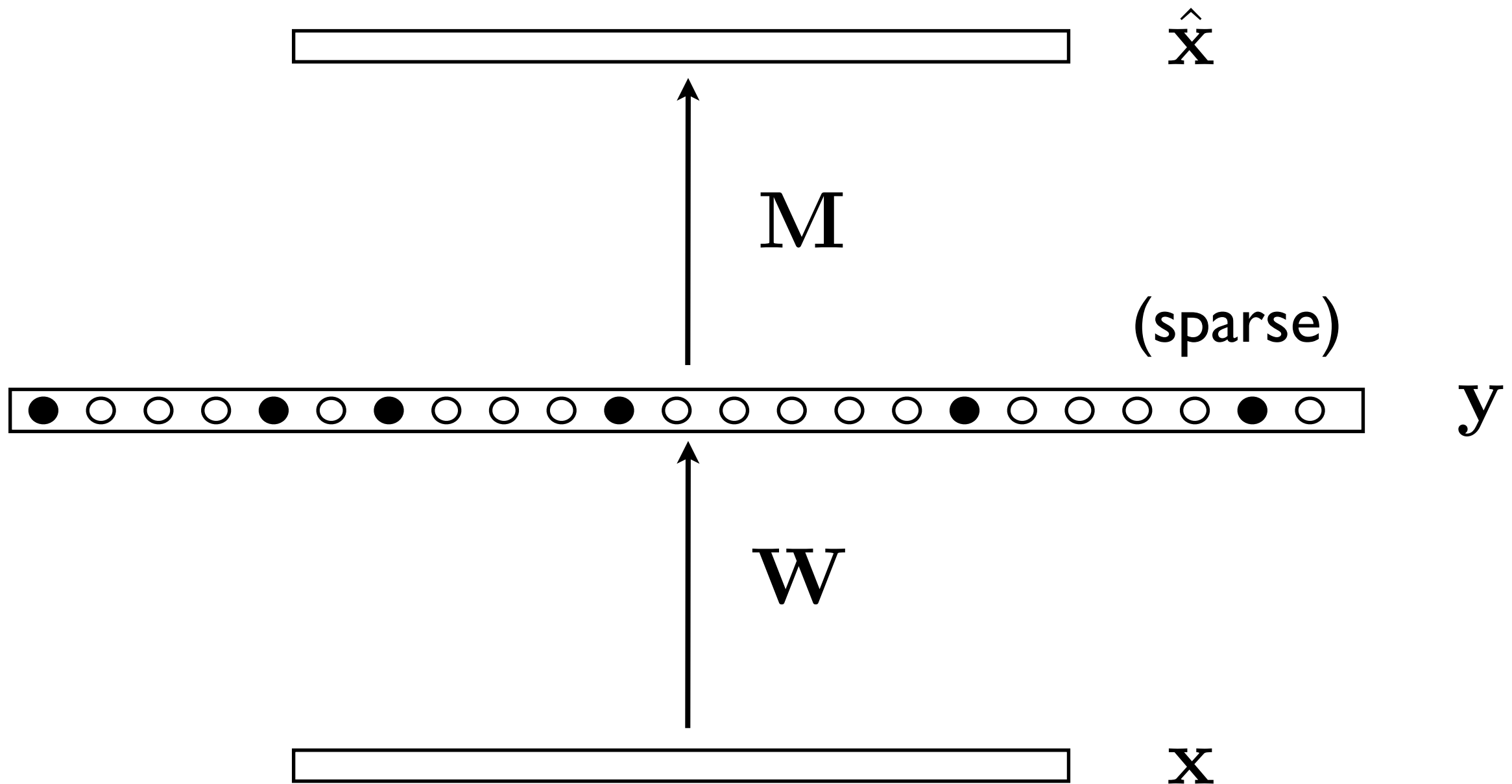




Bottleneck may also be in the form of limited capacity units.  
Optimal strategy in this case is to whiten.



Sparse codes impose a different type of bottleneck by limiting the number of active units



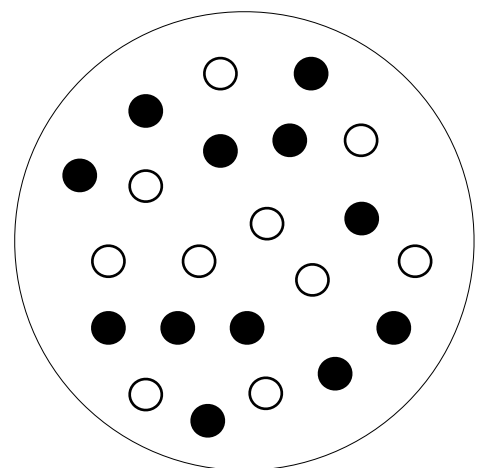
# Dense codes

(e.g., ascii)

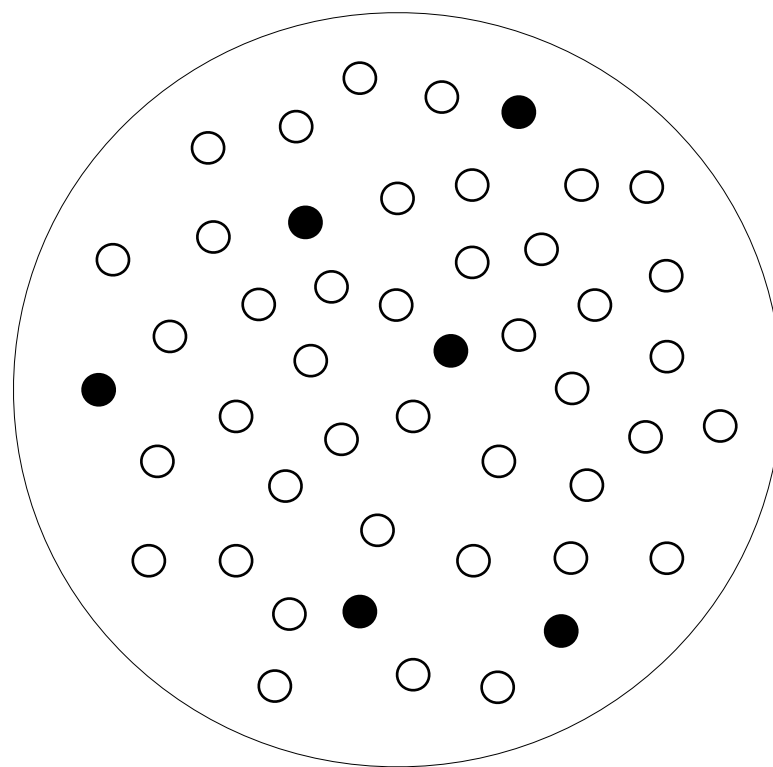
# Sparse, distributed codes

# Local codes

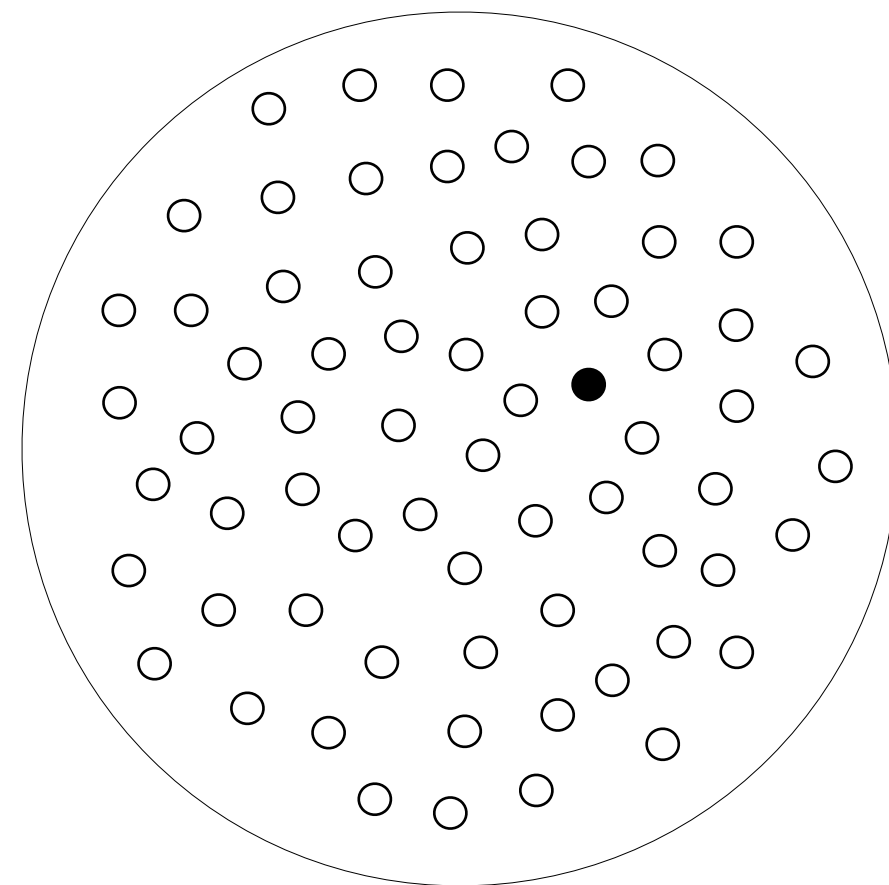
(e.g., grandmother cells)



...



...



$$2^N$$

$$\binom{N}{k}$$

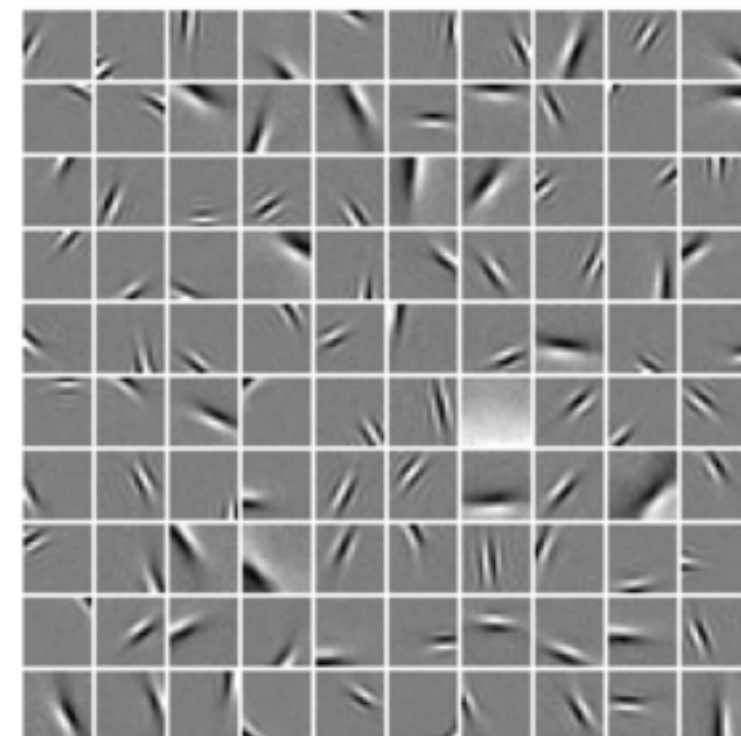
$$N$$



natural images



sparse coding/ICA

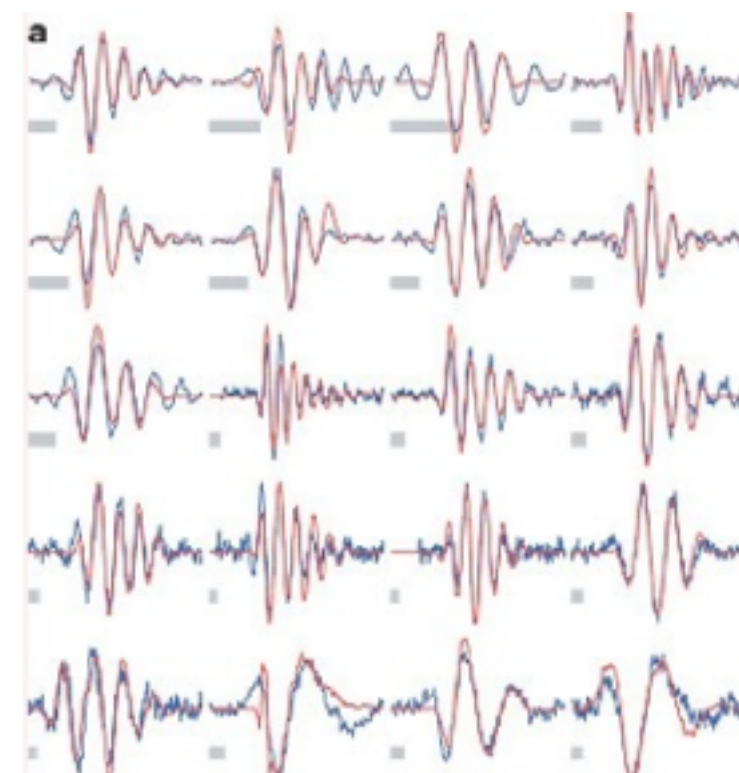


V1 receptive fields

natural sounds



sparse coding/ICA

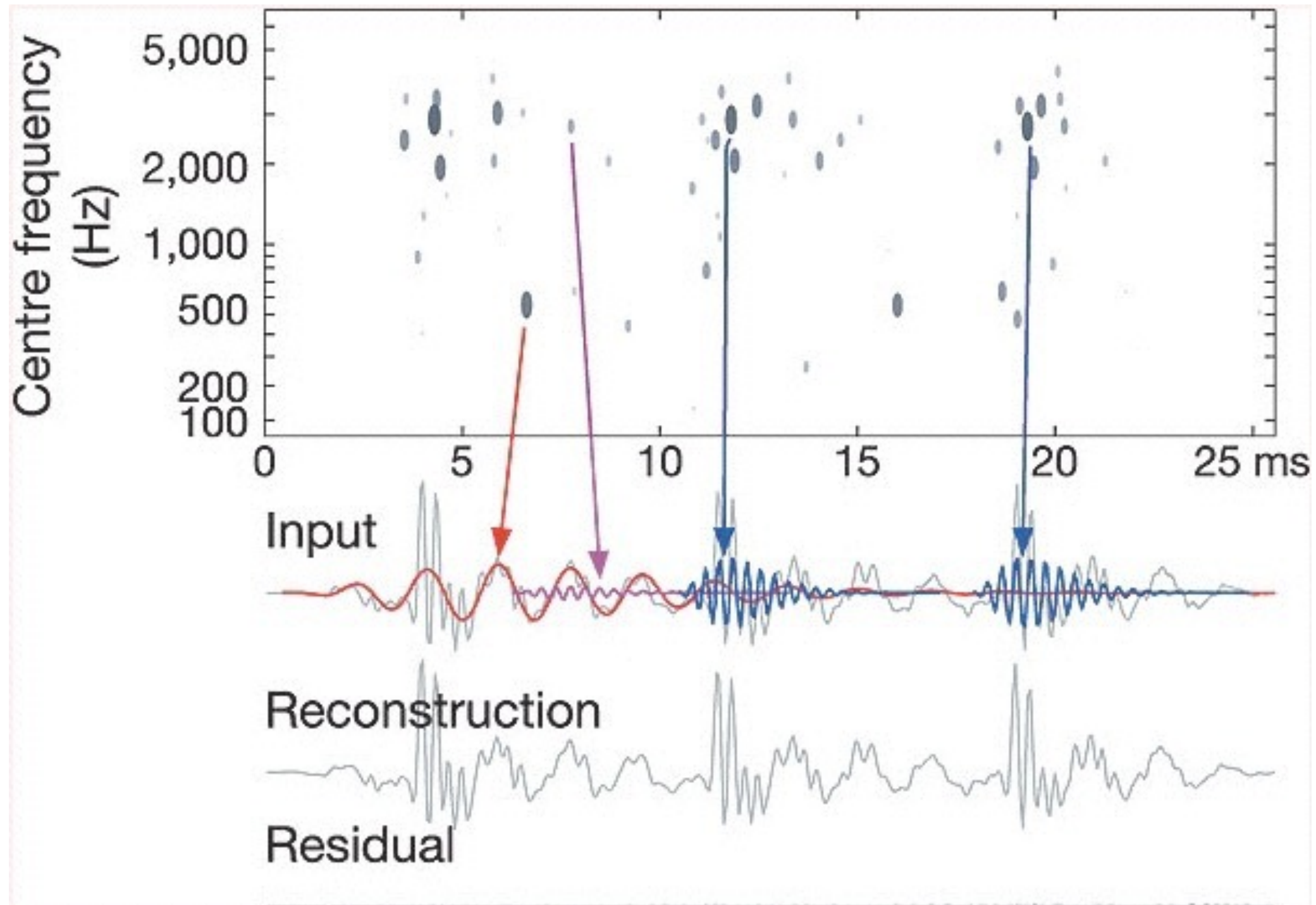


auditory nerve filters

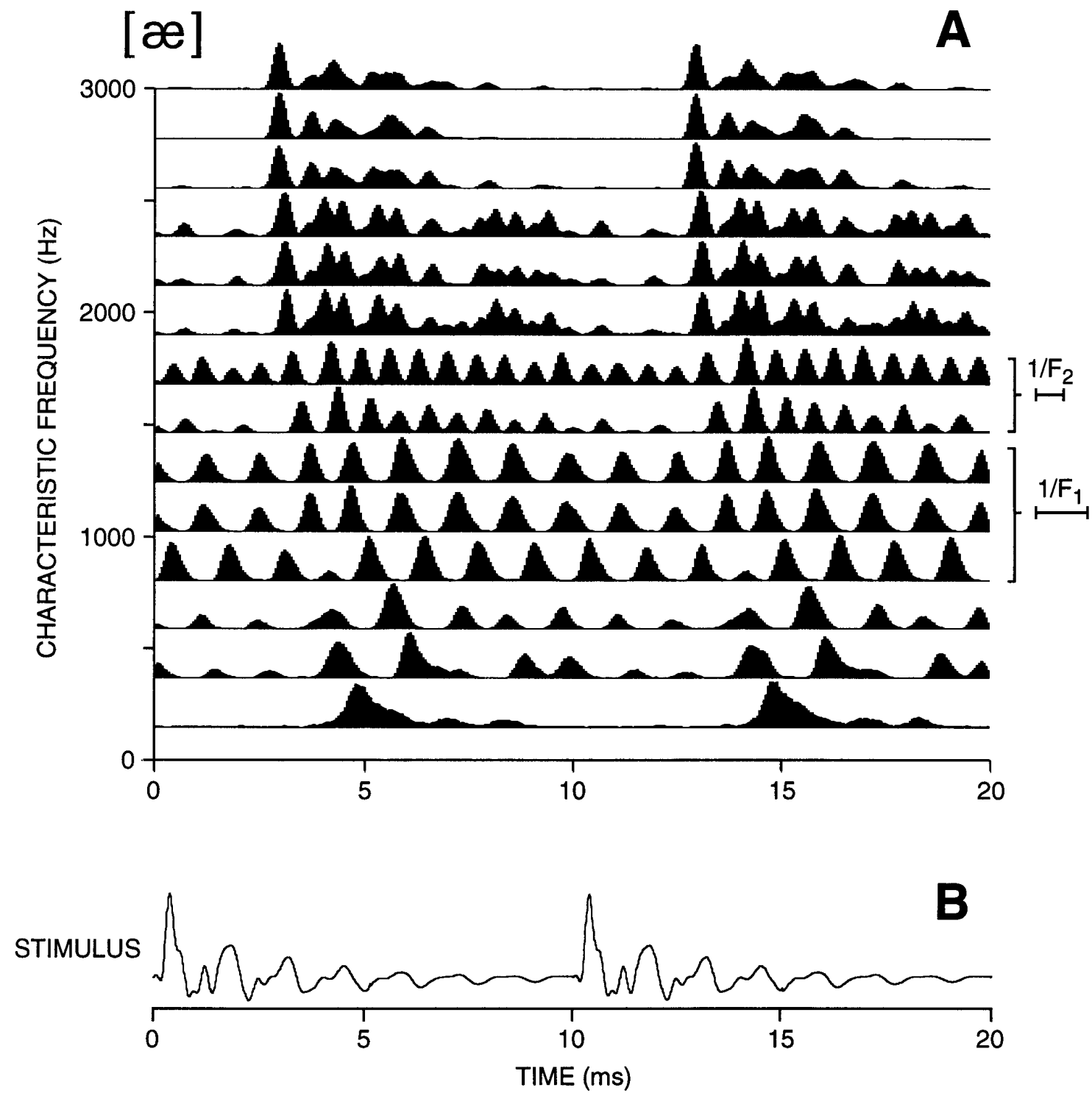
# Sparse coding of natural sounds

(Smith & Lewicki 2006)

$a_i(t)$



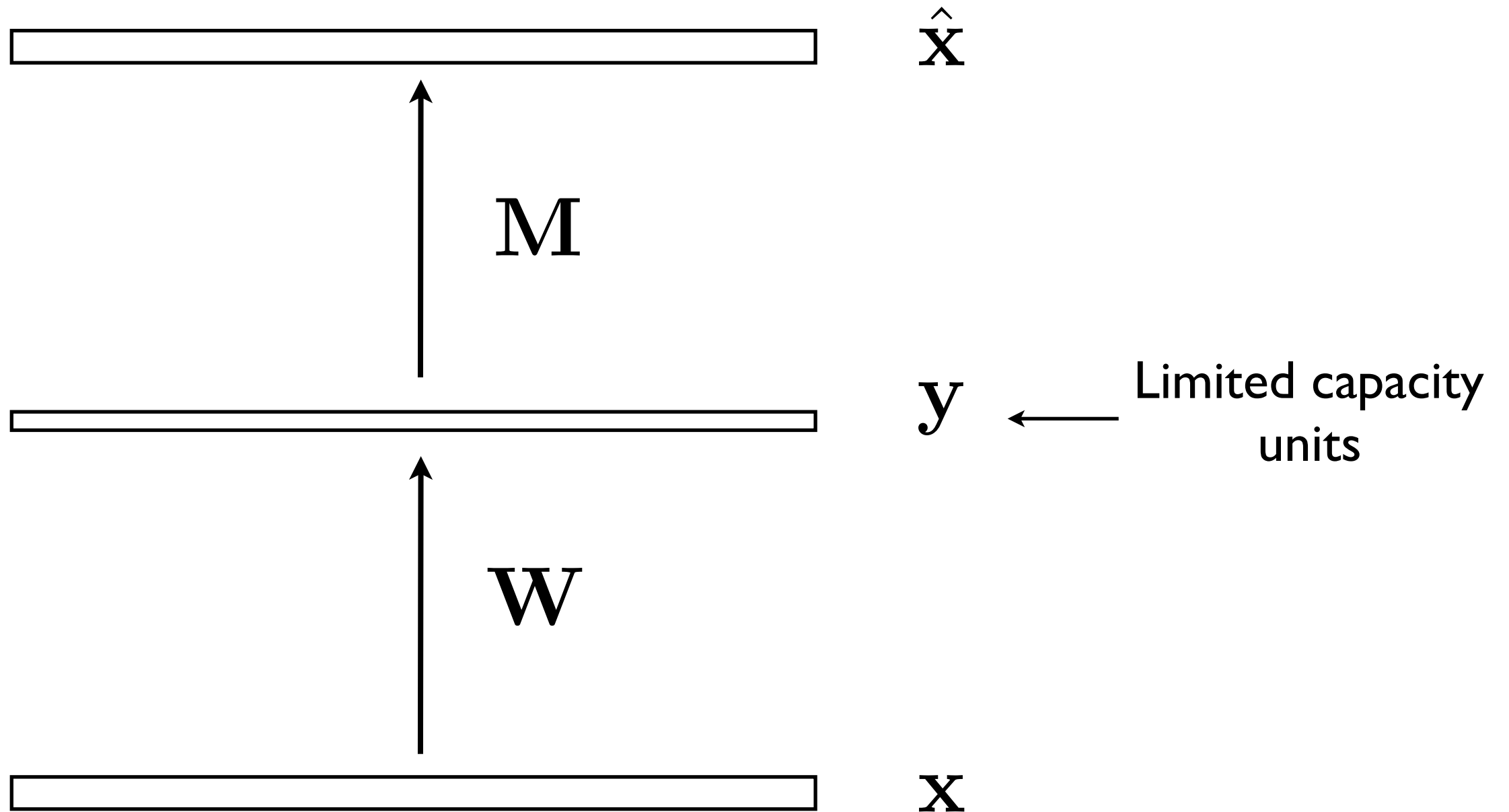
But this doesn't really look like sparse coding



Delgutte (1997)



Let's go back to that bottleneck idea...



From: D.J.C. MacKay, *Information Theory, Inference, and Learning Algorithms*

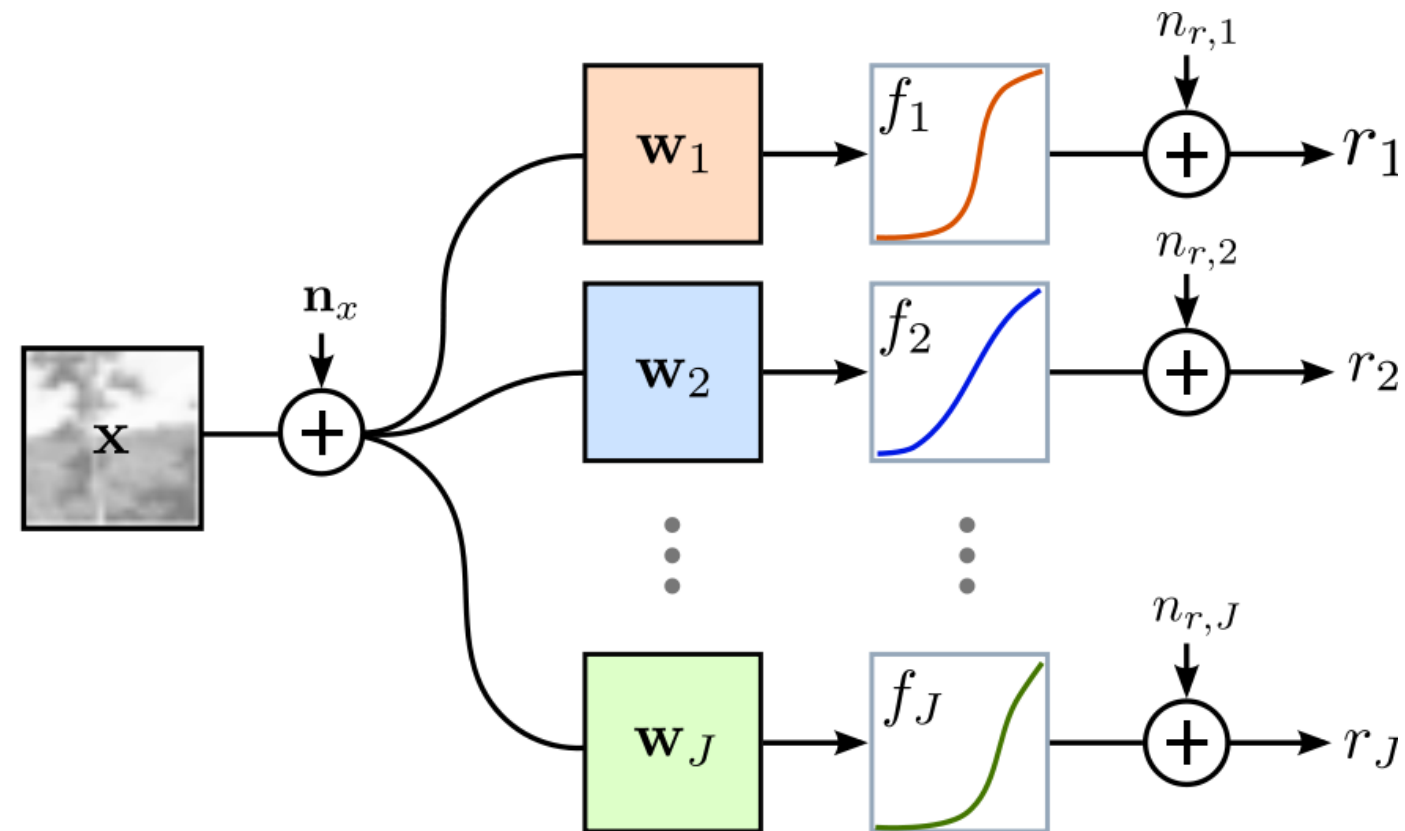


Exercise 4.1.<sup>[2, p.69]</sup> – *Please work on this problem before reading Chapter 4.*

You are given 12 balls, all equal in weight except for one that is either heavier or lighter. You are also given a two-pan balance to use. In each use of the balance you may put any number of the 12 balls on the left pan, and the same number on the right pan, and push a button to initiate the weighing; there are three possible outcomes: either the weights are equal, or the balls on the left are heavier, or the balls on the left are lighter. Your task is to design a strategy to determine which is the odd ball *and* whether it is heavier or lighter than the others *in as few uses of the balance as possible.*

# Efficient coding model of retina

(Karklin & Simoncelli 2012)

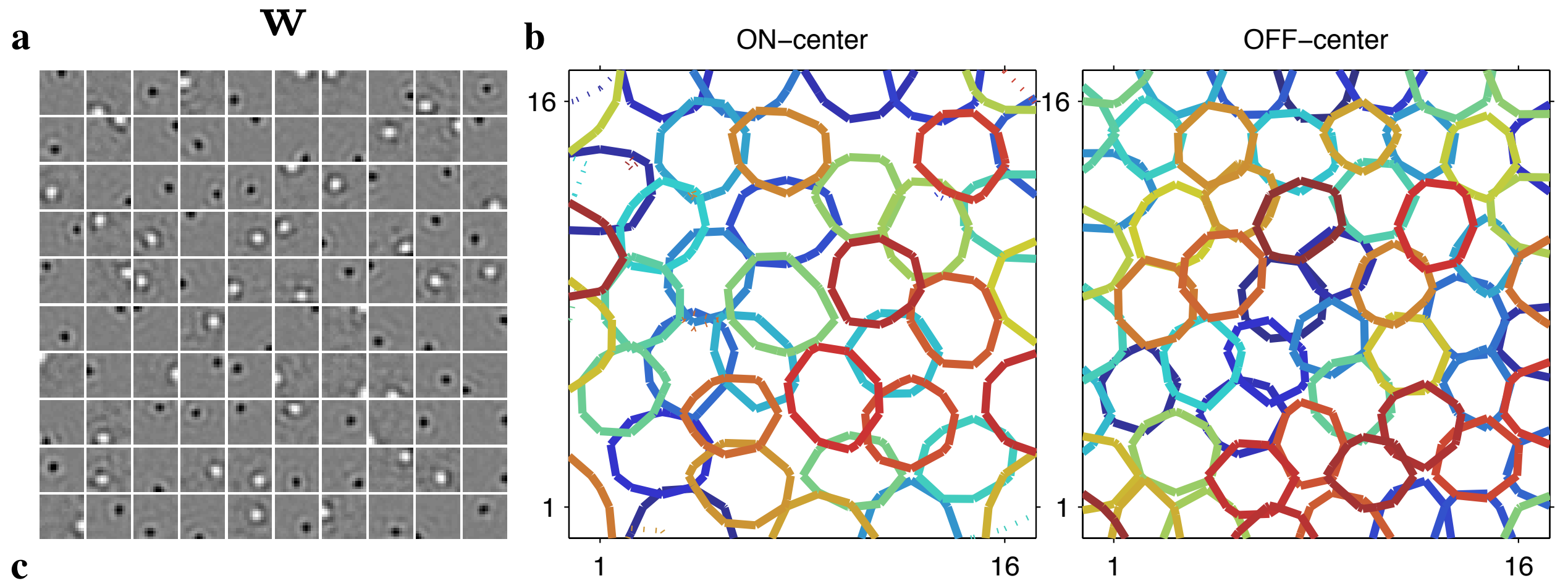


Objective function: 
$$I(X; R) - \sum_j \lambda_j \langle r_j \rangle$$



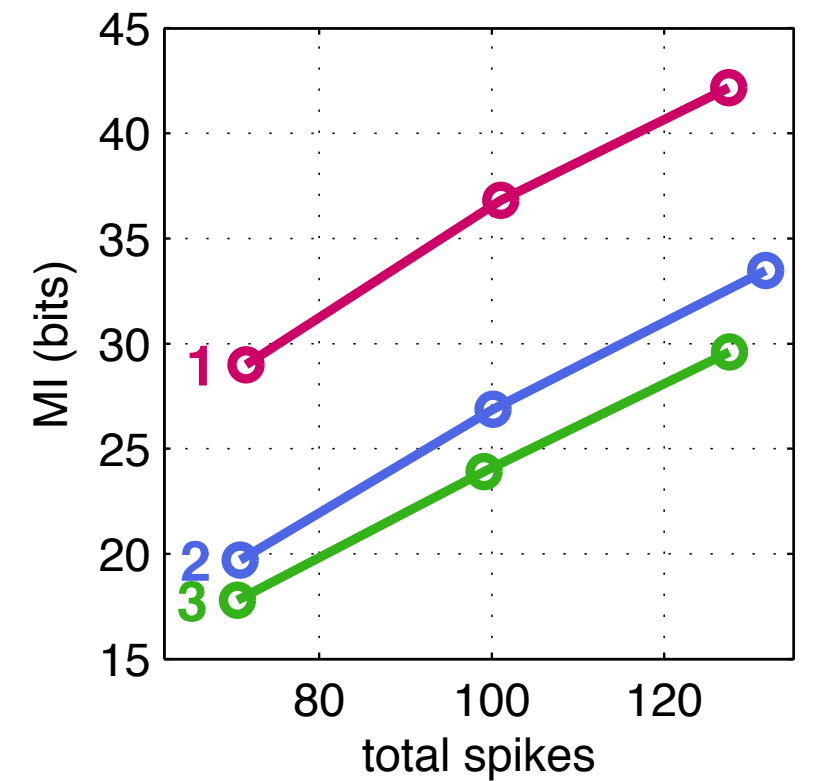
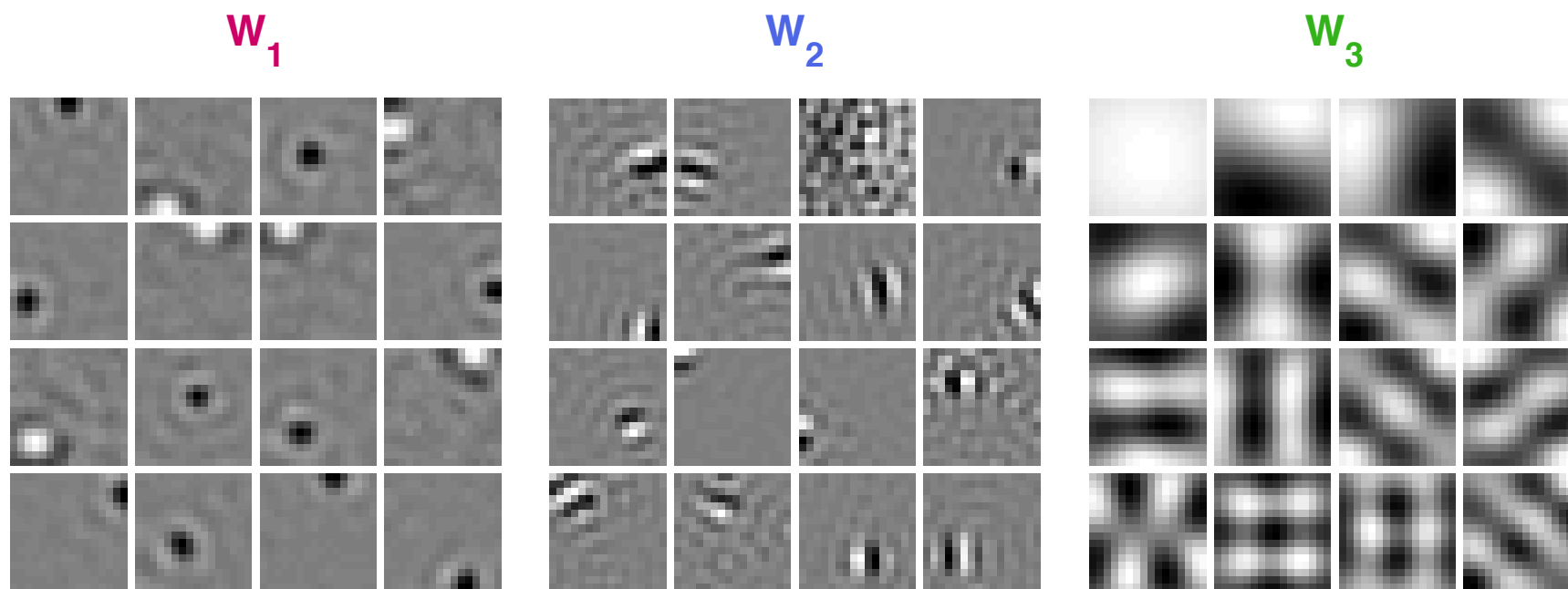
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## Information in the Zero Crossings of Bandpass Signals

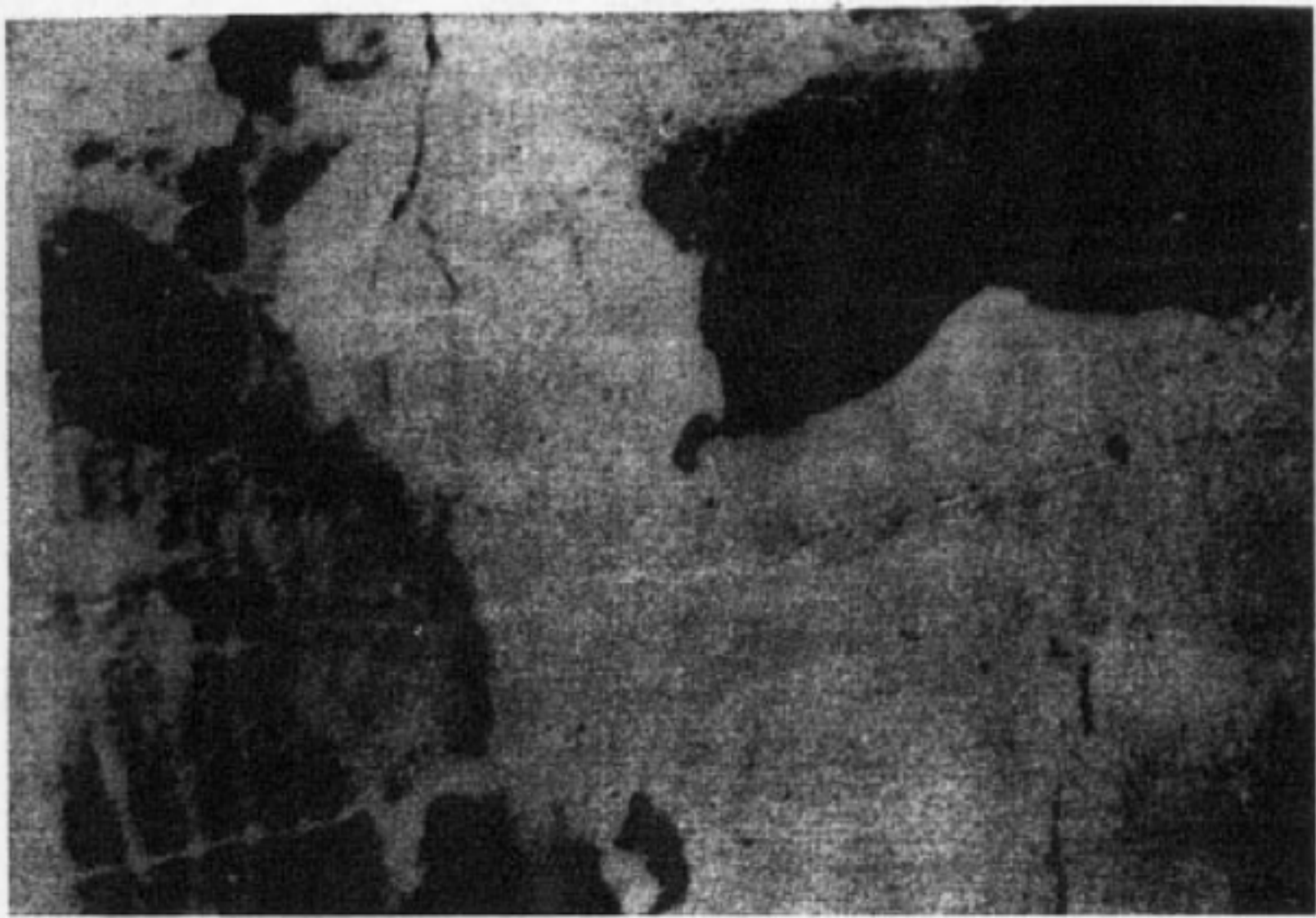
By B. F. LOGAN, JR.

(Manuscript received October 4, 1976)

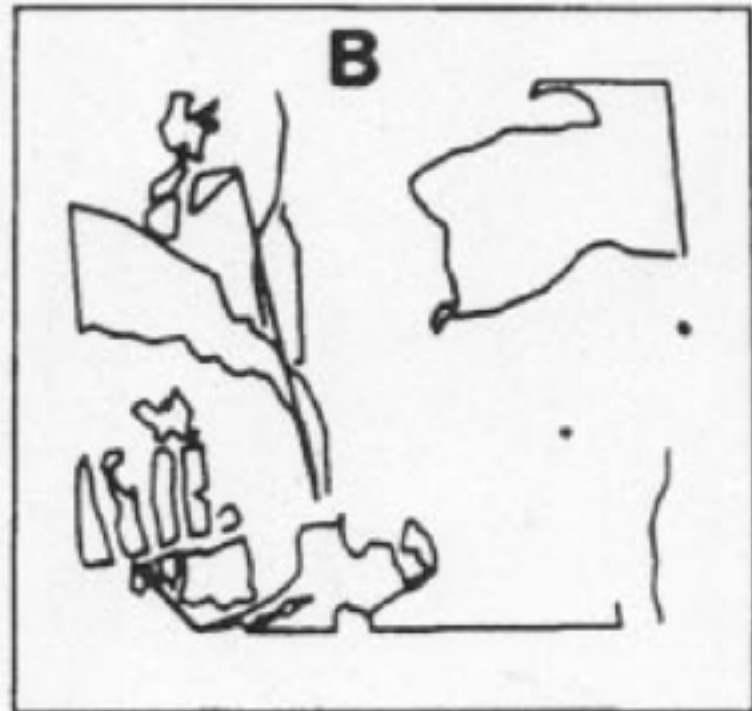
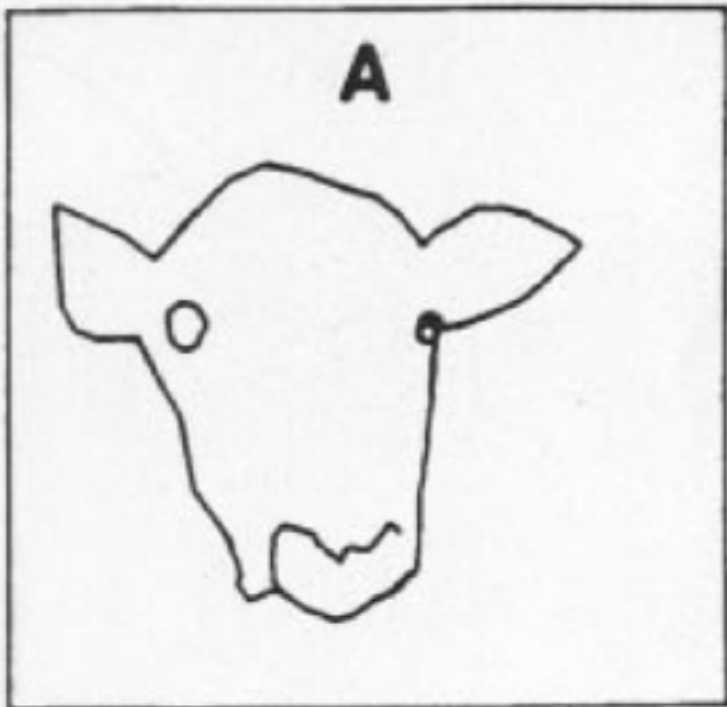
*An interesting subclass of bandpass signals  $\{h\}$  is described wherein the zero crossings of  $h$  determine  $h$  within a multiplicative constant. The members may have complex zeros, but it is necessary that  $h$  should have no zeros in common with its Hilbert transform  $\hat{h}$  other than real simple zeros. It is then sufficient that the band be less than an octave in width. The subclass is shown to include full-carrier upper-sideband signals (of less than an octave bandwidth). Also it is shown that full-carrier lower-sideband signals have only real simple zeros (for any ratio of upper and lower frequencies) and, hence, are readily identified by their zero crossings. However, under the most general conditions for uniqueness, the problem of actually recovering  $h$  from its sign changes appears to be very difficult and impractical.*

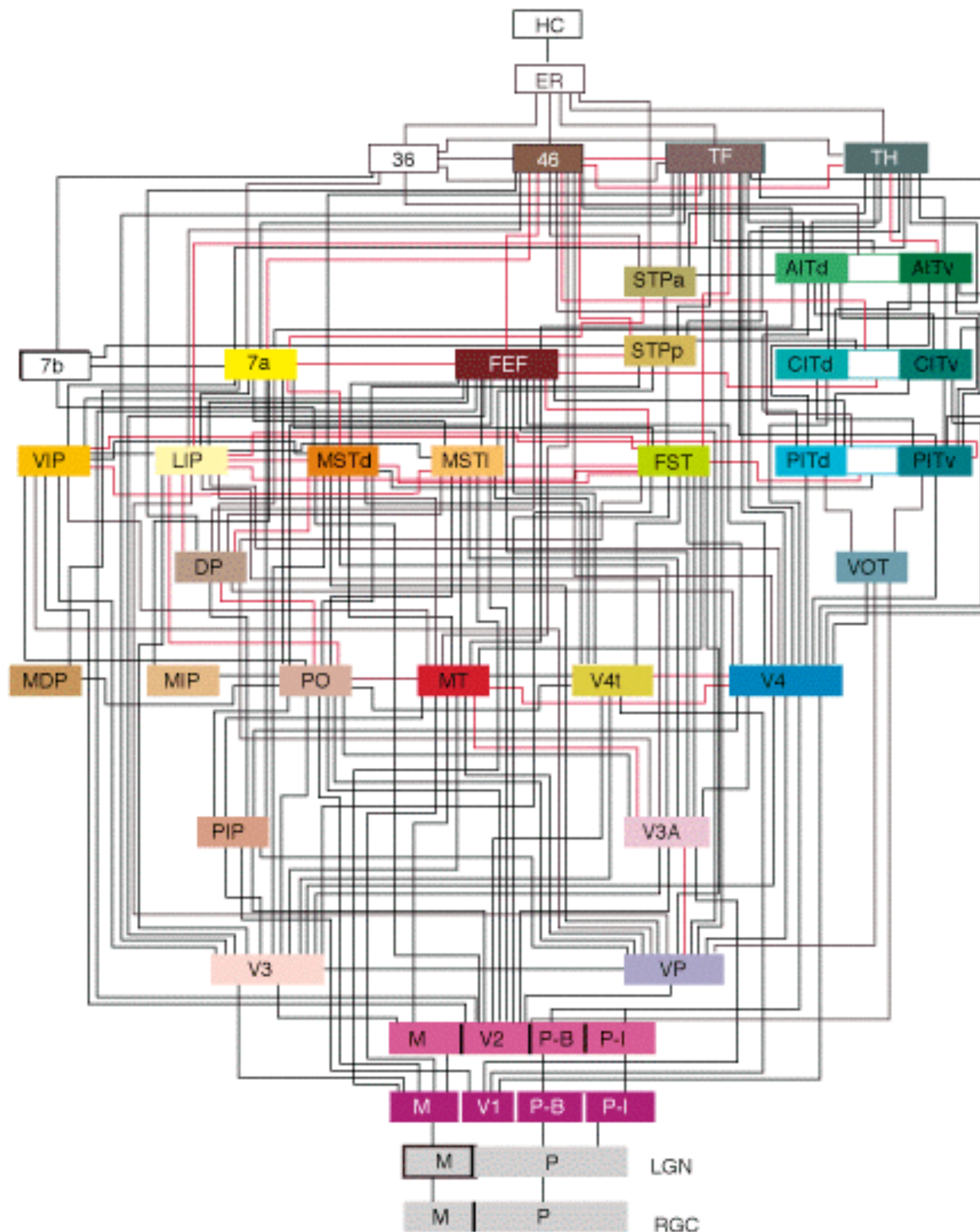
# **Perception as inference**





*b*





place cells  
grid cells

·  
·

face cells

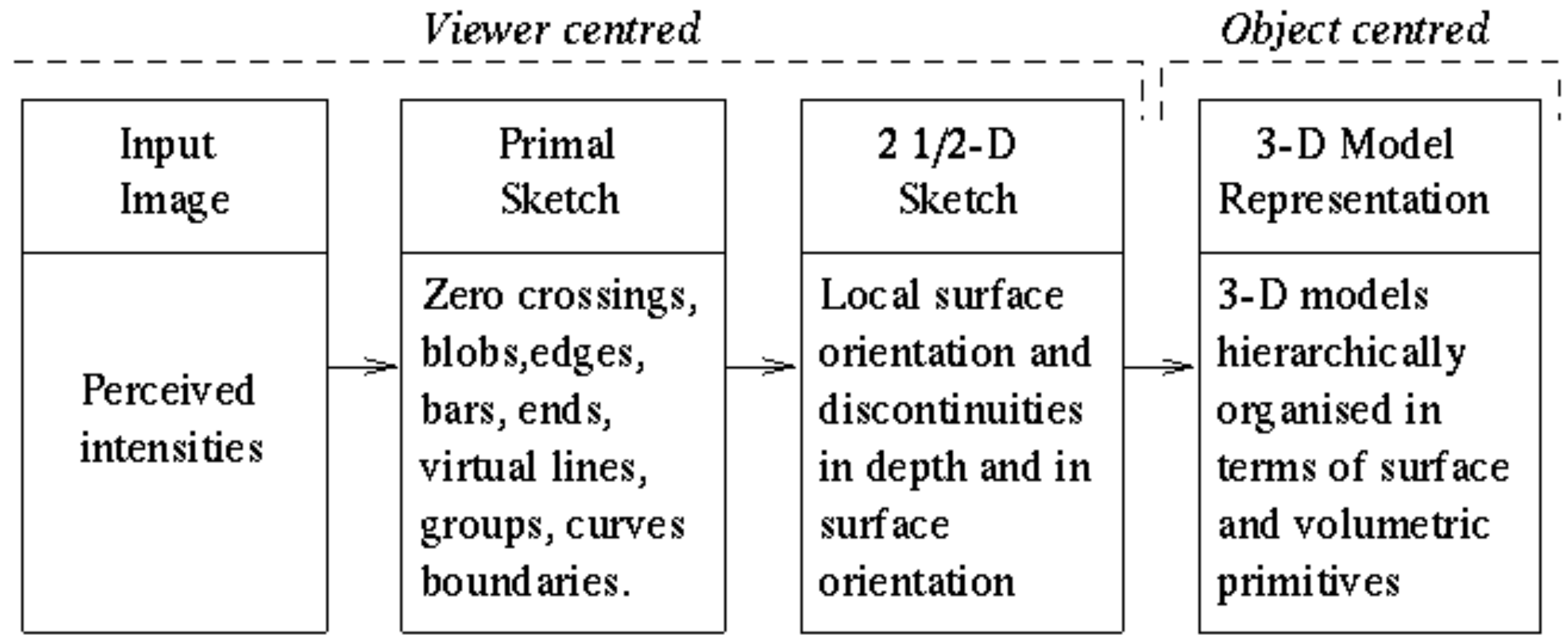
·

invariant repr.  
complex motion

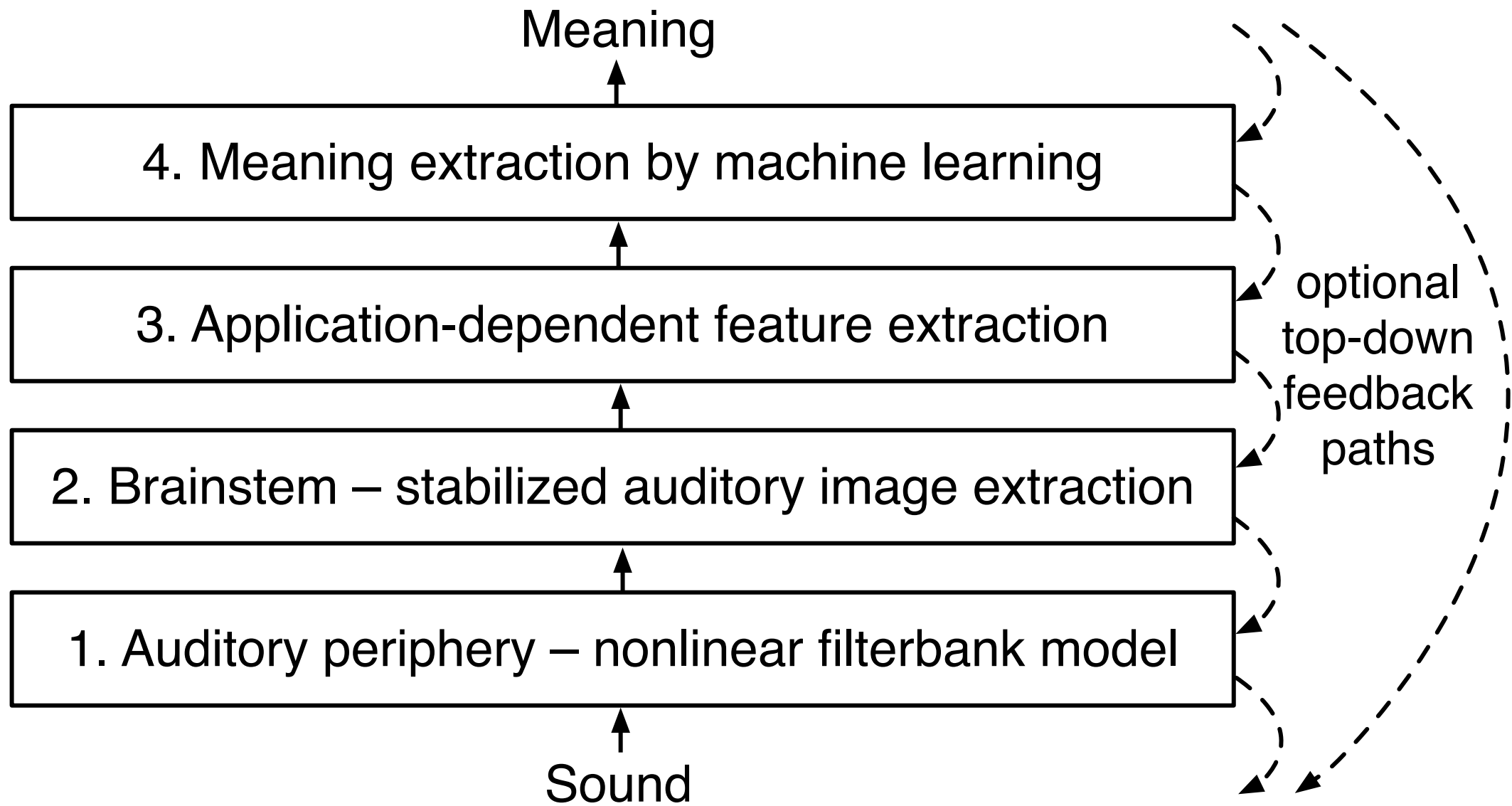
·  
·  
?  
·  
·

‘Gabor filters’

# The approach of David Marr



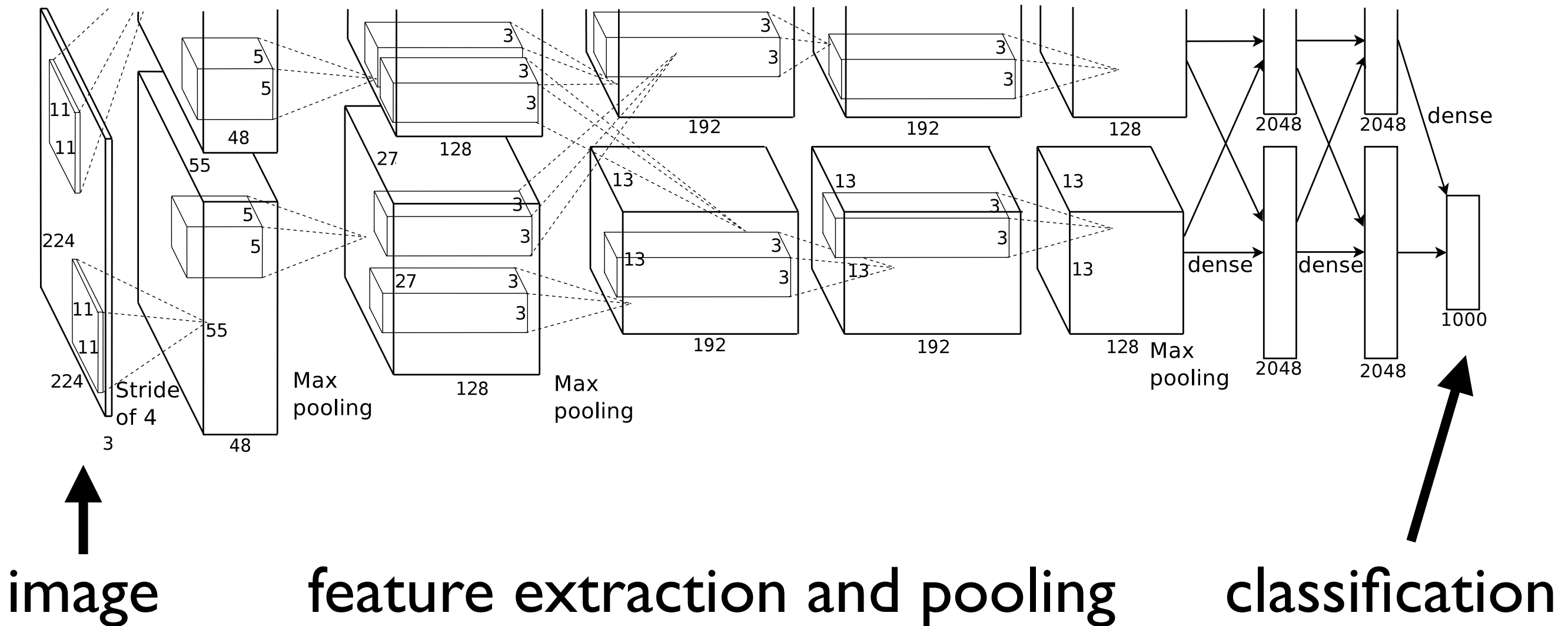
# The approach of Dick Lyon



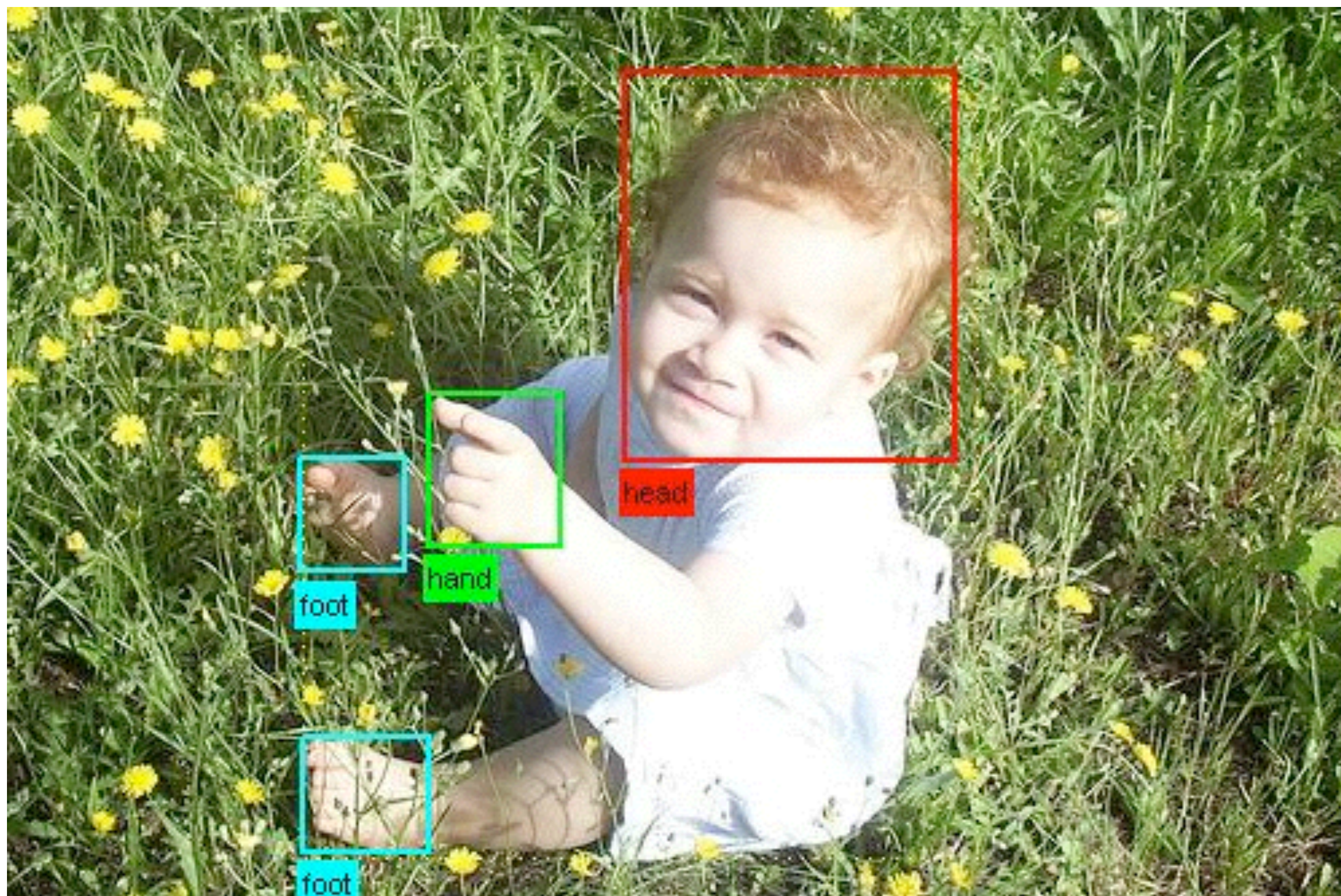


# 'Deep learning'

(Hinton, Ng, Bengio, Lecun, Google brain, etc.)



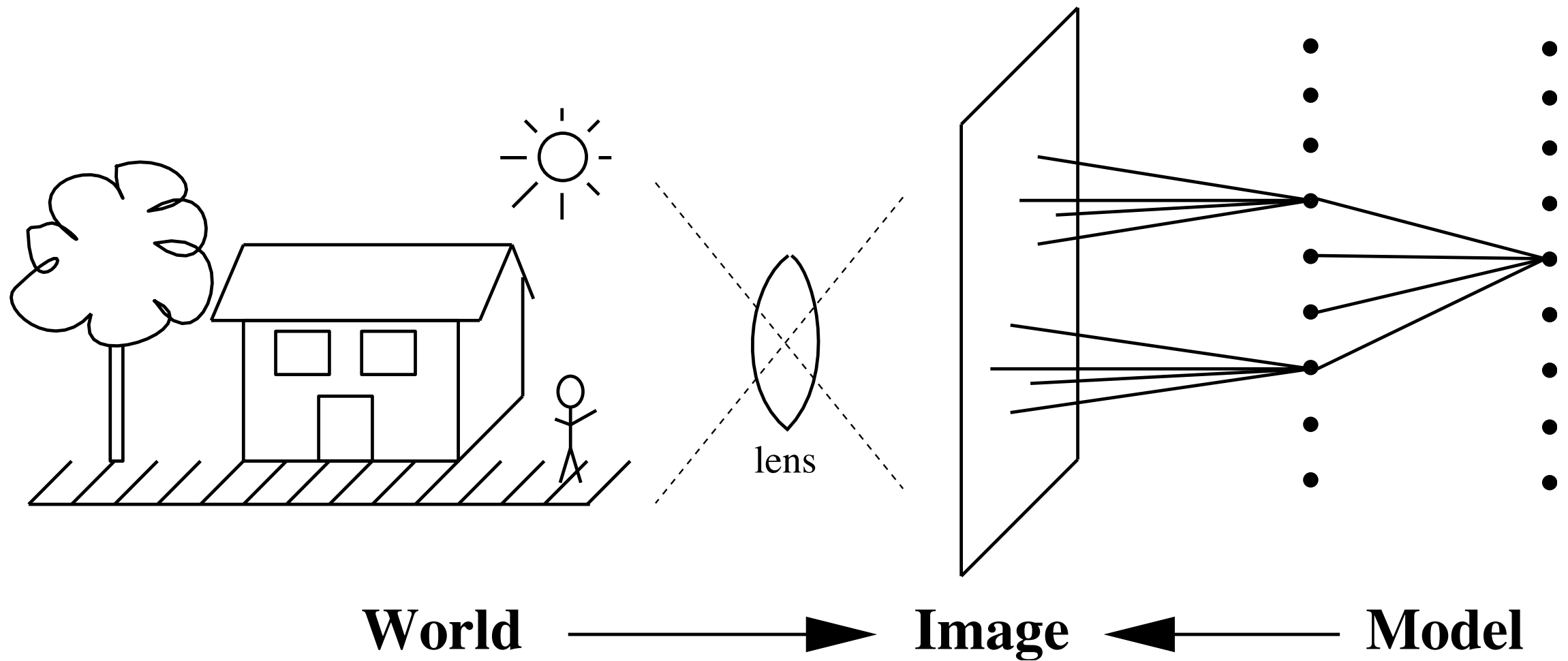


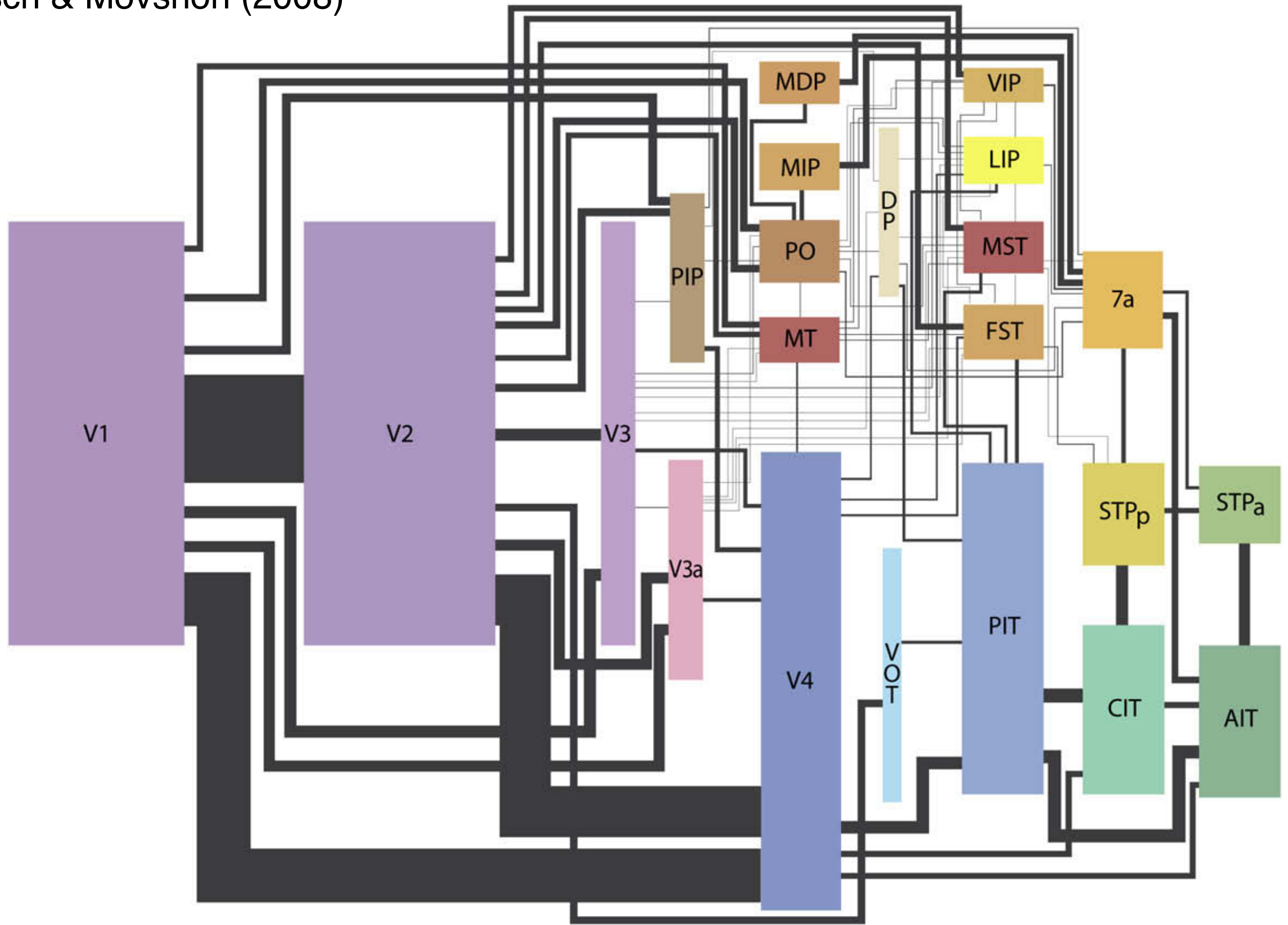


**Is this perception?**

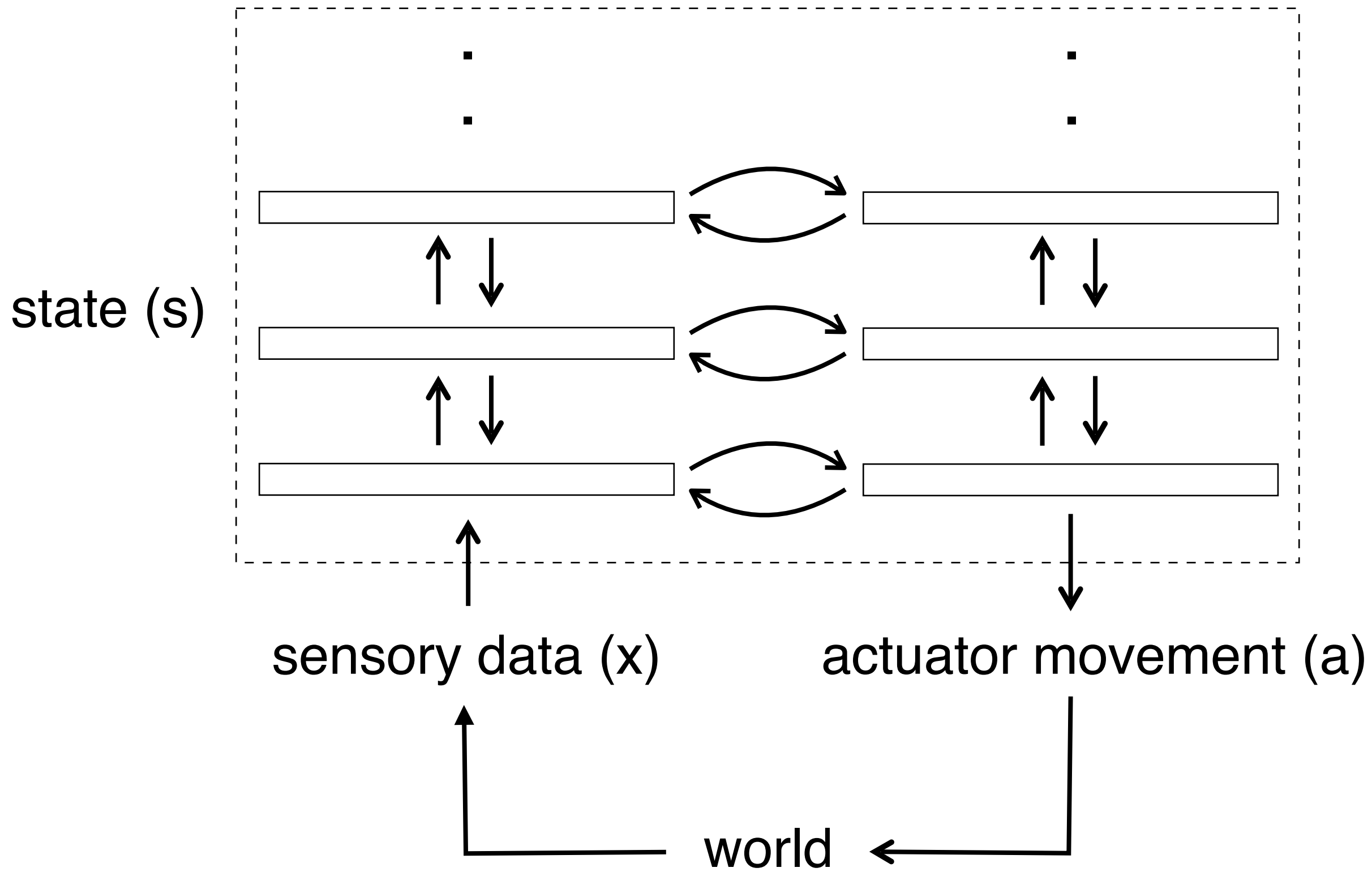


# Vision as inference





‘Gabor filters’ . . . ? . . . objects . . . faces





# Bayes' rule

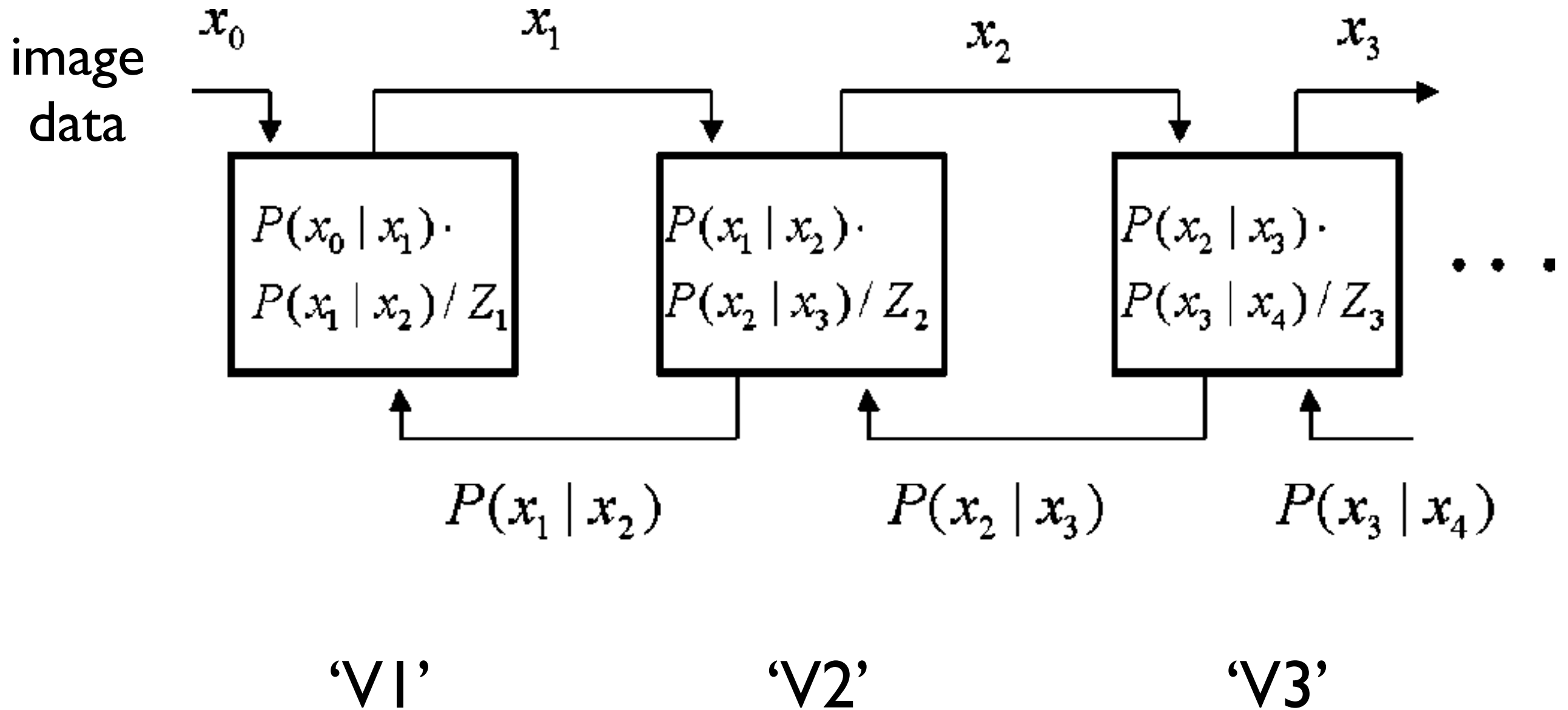
$$P(E|D) \propto \underbrace{P(D|E)}_{\substack{\text{how data is} \\ \text{generated by} \\ \text{the environment}}} \times \underbrace{P(E)}_{\substack{\text{prior beliefs} \\ \text{about the} \\ \text{environment}}}$$

$E$  = the actual state of the environment

$D$  = data about the environment

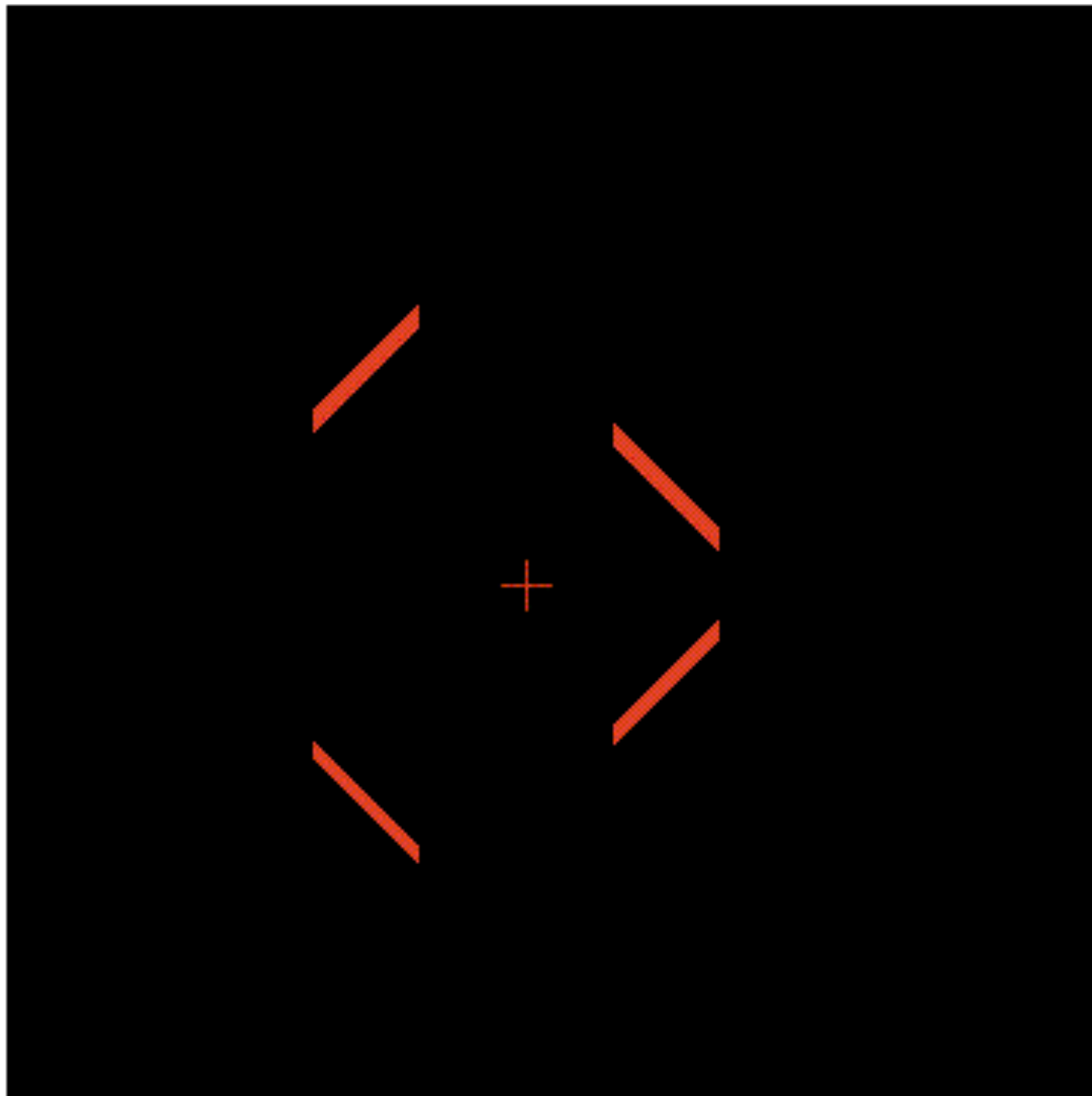
# Hierarchical Bayesian inference in visual cortex

(Lee & Mumford, 2003)



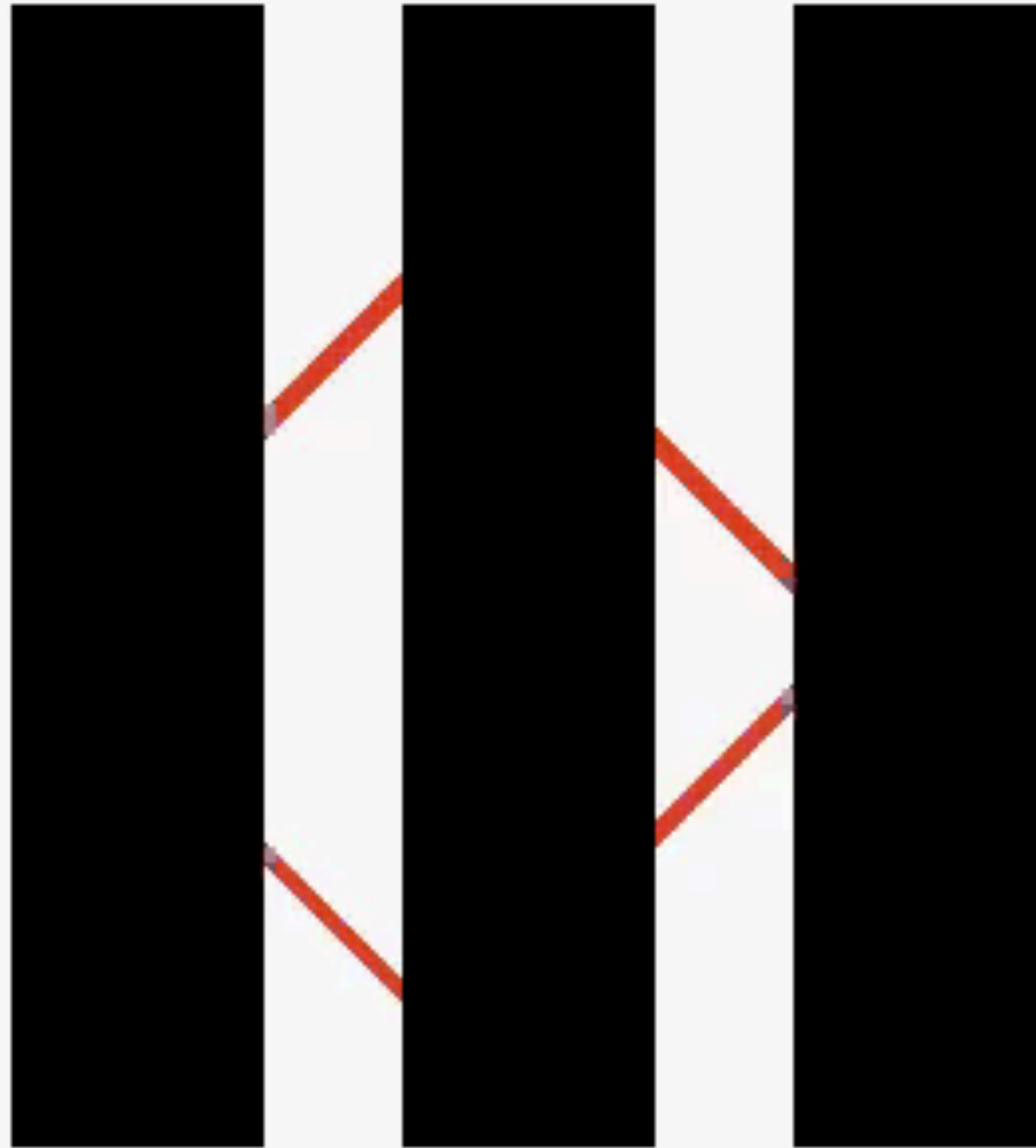
# What do you see?

How do neurons in V1 encode this?

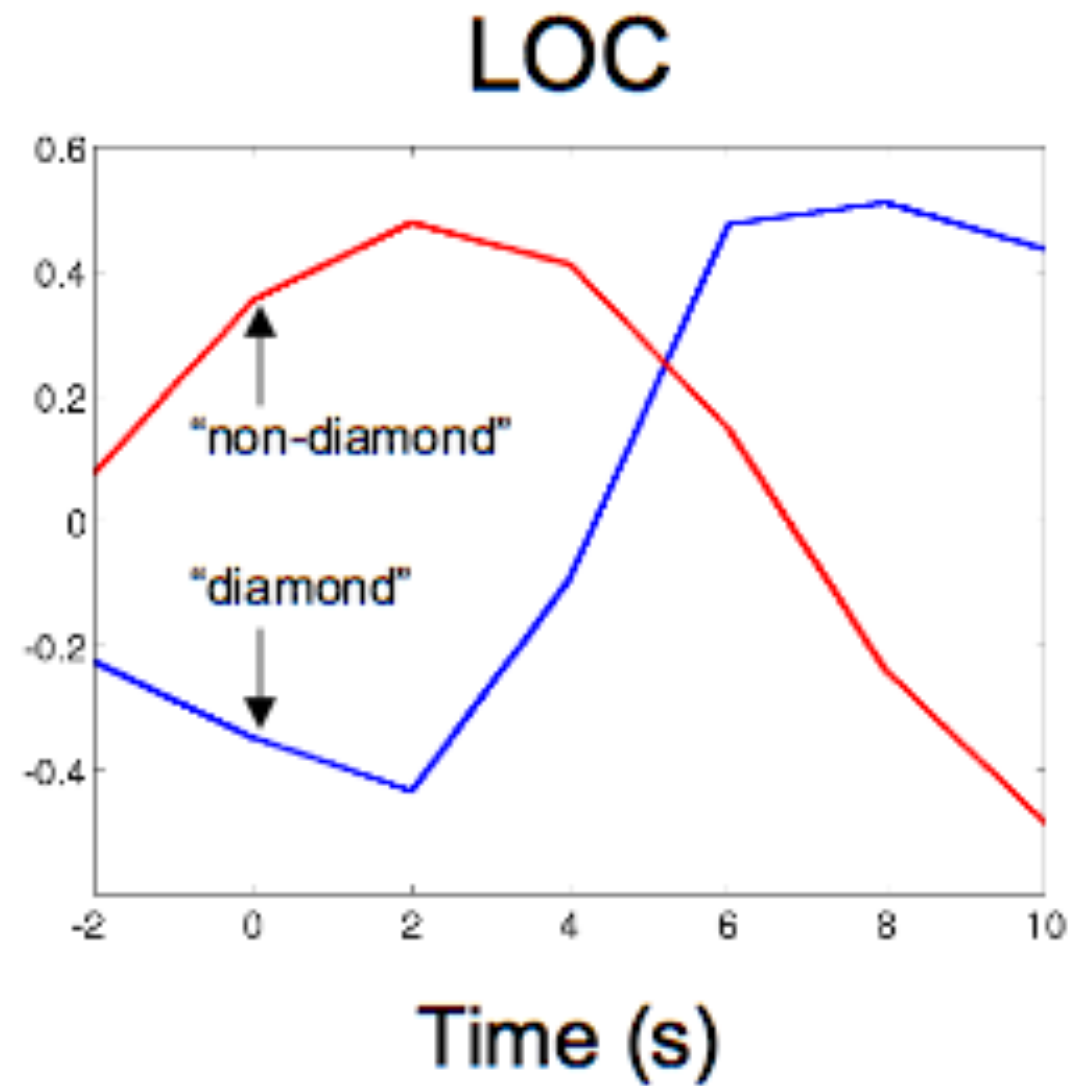
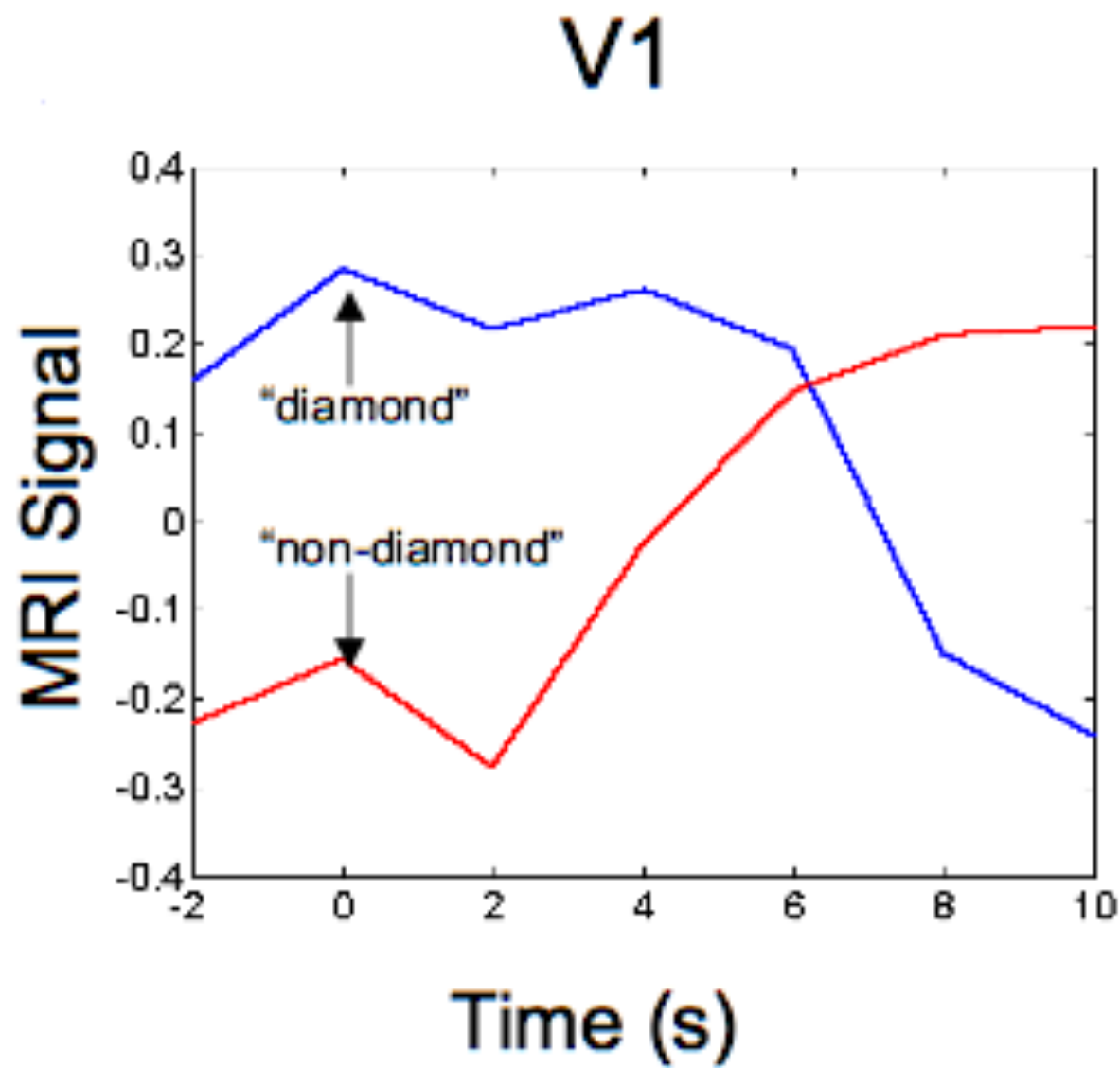


Murray, Kersten, Schrater, Olshausen, Woods, *PNAS* 2002.

(easy version)



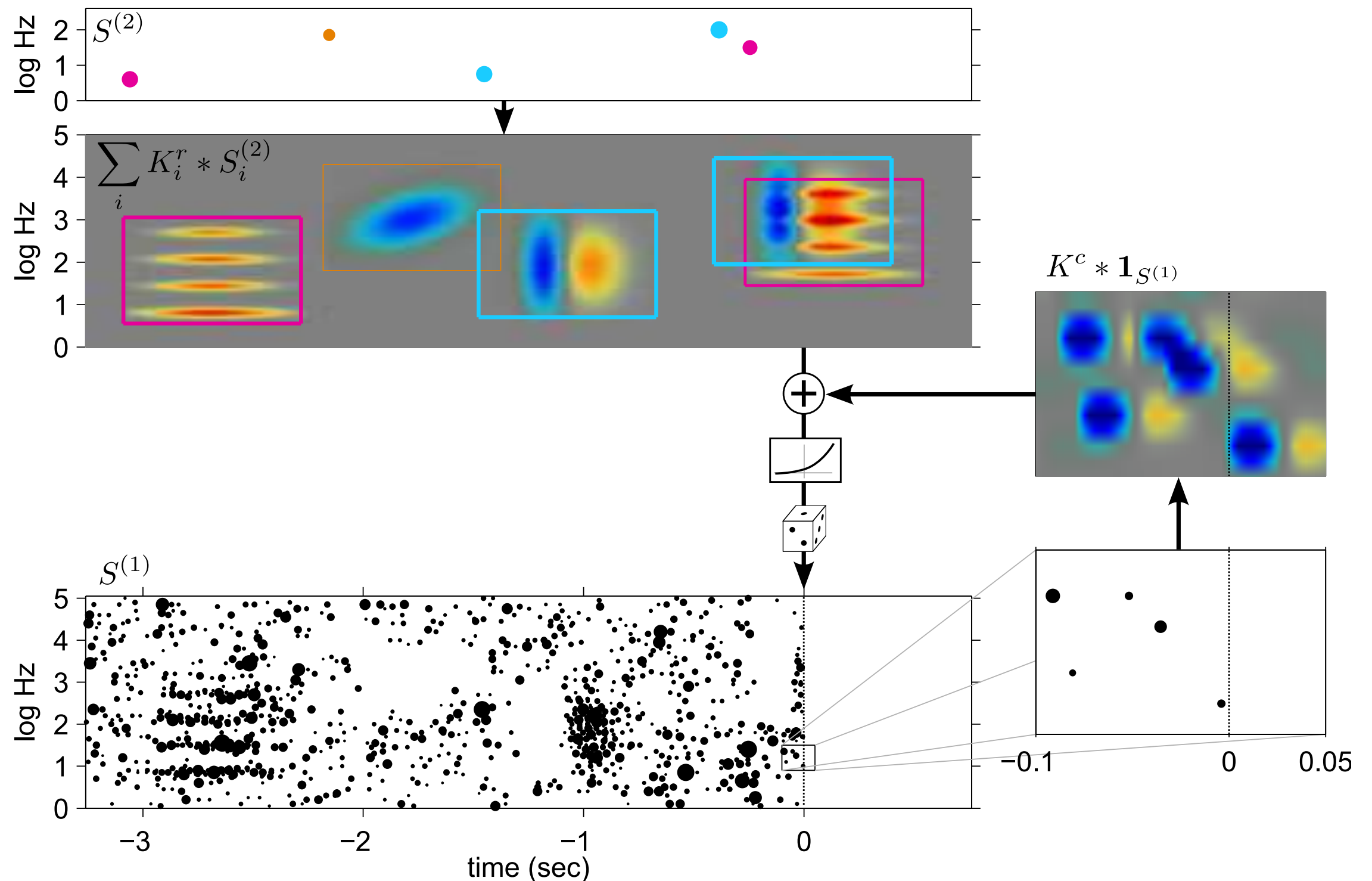
# BOLD signal in V1 and LOC





# Hierarchical spike coding of sound

(Karklin, Ekanadham & Simoncelli, 2012)



# Main points

- The theory of **efficient coding** has provided important insights about neural representations in the early visual pathway.
- Attempts to apply efficient coding models to the auditory pathway thus far are **incomplete** and need to be revisited.
- **Perception** is the problem of inferring a model of the world sufficient for guiding behavior - not well described as a simple input-output chain.
- **Hierarchical Bayesian inference** provides a promising framework for studying and understanding cortical information processing.