



Imperial College
London

Active mechanics and fluid dynamics of the inner ear

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Imperial College London*

Physics of Hearing:
From Neurobiology to Information Theory and Back

KITP, June 06 2017



Outline

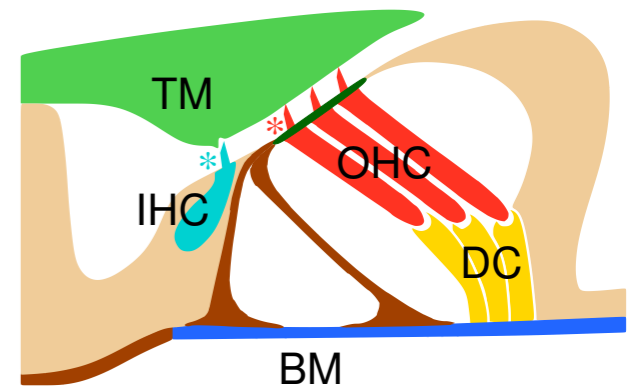
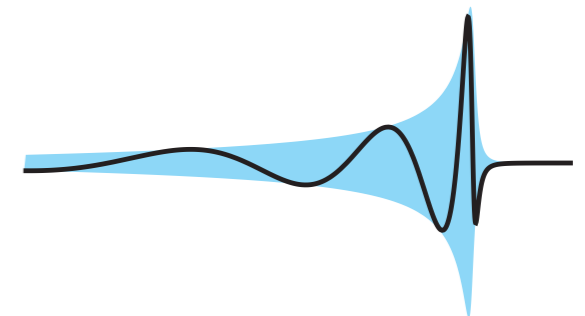
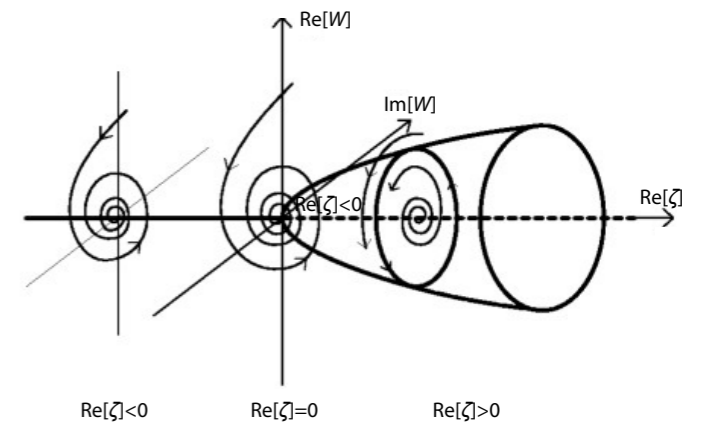
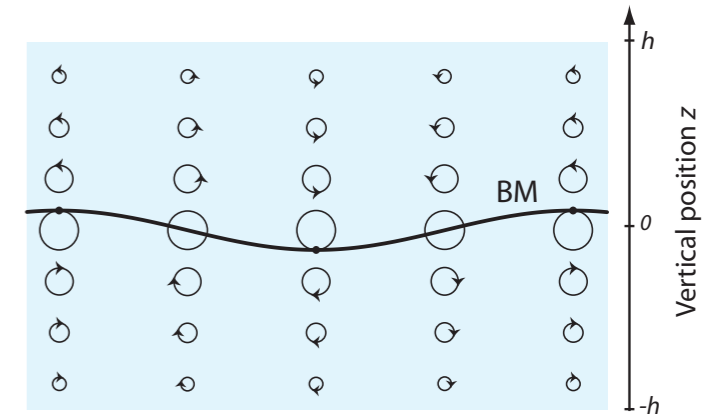
1. Waves on the basilar membrane and in the cochlear fluid

2. Passive and active resonance; Hopf bifurcation

3. Critical layer absorption and WKB approximation

4. Micromechanics of the organ of Corti at low frequencies

5. Otoacoustic emissions



I. Waves on the basilar membrane and in the fluid

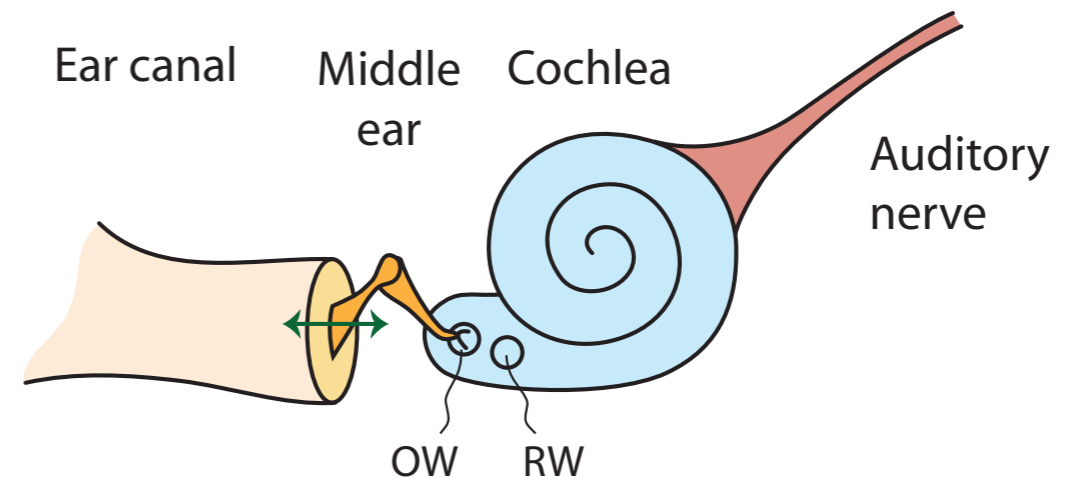
Geometry of the cochlea

Three fluid-filled chambers:

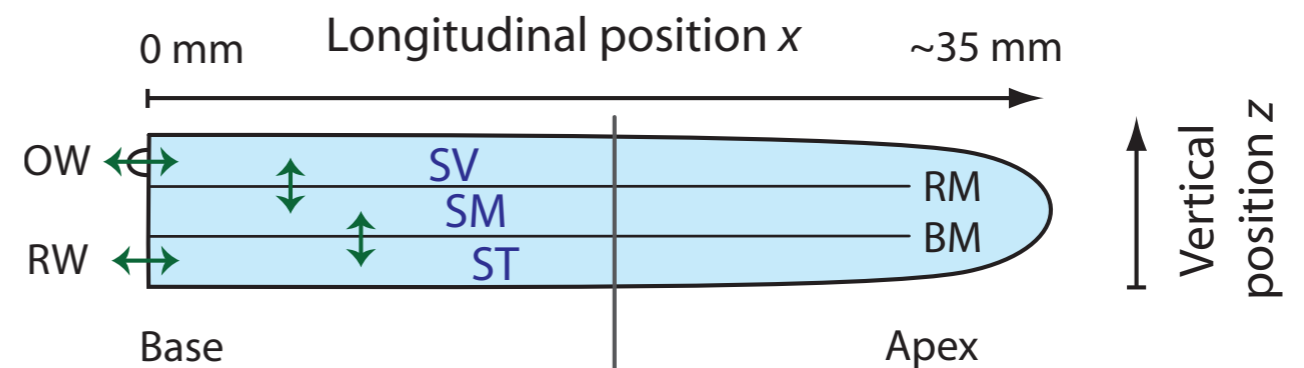
scala vestibuli

scala media

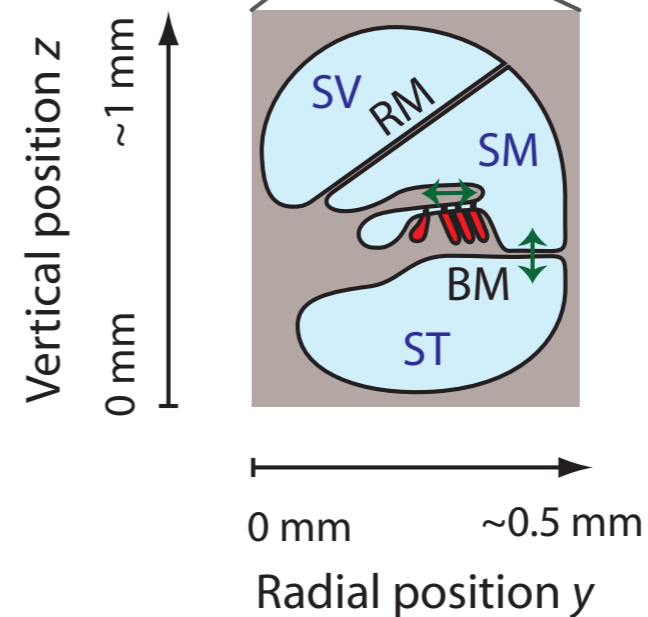
scala tympani



longitudinal
section

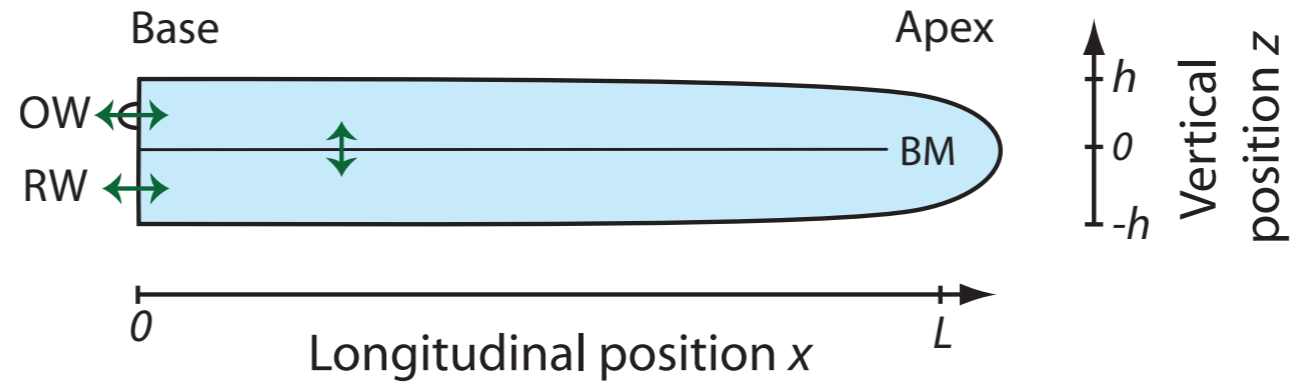


transverse
section

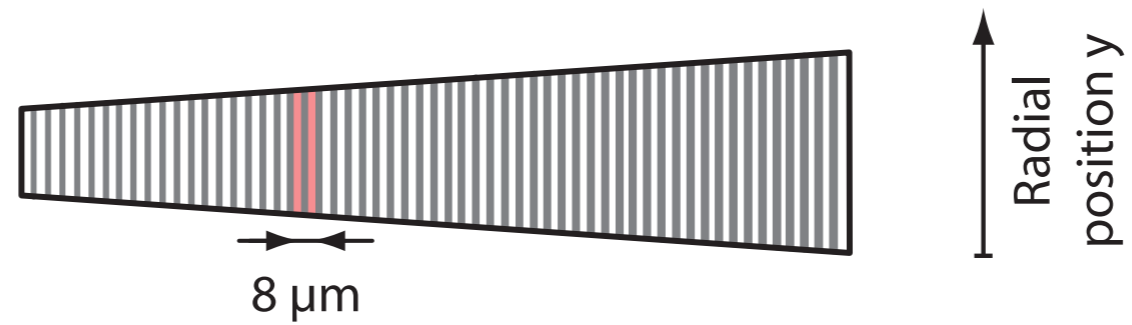


Waves in the cochlea

longitudinal section

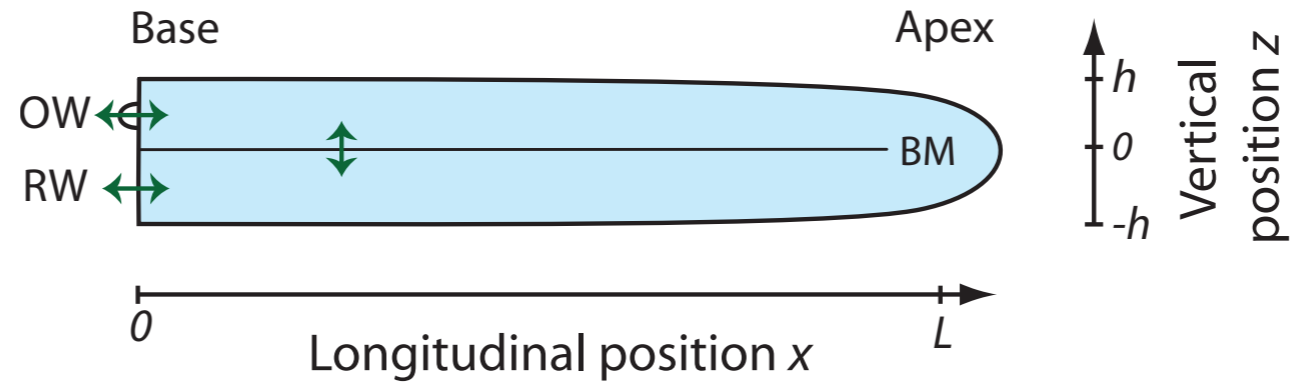


basilar membrane

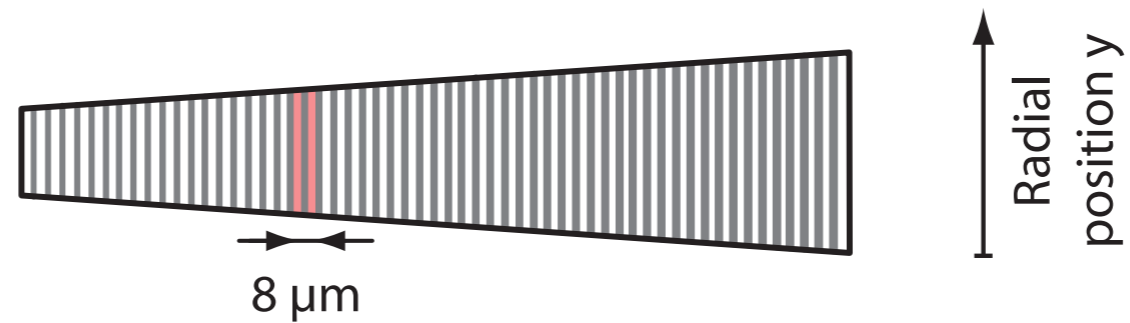


Waves in the cochlea

longitudinal section



basilar membrane



Consider oscillating pressures in chambers 1 and 2:

$$p^{(1,2)} = \tilde{p}^{(1,2)} e^{i\omega t} + c.c.$$

single angular frequency ω

Waves in the cochlea

Wave equation: $\Delta \tilde{p}^{(1,2)} = -\omega^2 \rho_0 \kappa \tilde{p}^{(1,2)}$

fluid density

fluid compressibility

Boundary condition at the membrane:

$$\tilde{v} = \frac{1}{Z} (\tilde{p}^{(2)} - \tilde{p}^{(1)}) \Big|_{z=0}$$

membrane velocity

membrane impedance

Waves in the cochlea

Wave equation: $\Delta \tilde{p}^{(1,2)} = -\omega^2 \rho_0 \kappa \tilde{p}^{(1,2)}$

fluid density

fluid compressibility

Boundary condition at the membrane:

$$\tilde{V} = \frac{1}{Z} (\tilde{p}^{(2)} - \tilde{p}^{(1)}) \Big|_{z=0}$$

membrane velocity

membrane impedance

Two solutions:

1. Symmetric:

$$\tilde{p}^{(1)} = \tilde{p}^{(2)}$$

=> no membrane displacement,
'fast' sound wave

2. Antisymmetric:

$$\tilde{p}^{(1)} = -\tilde{p}^{(2)}$$

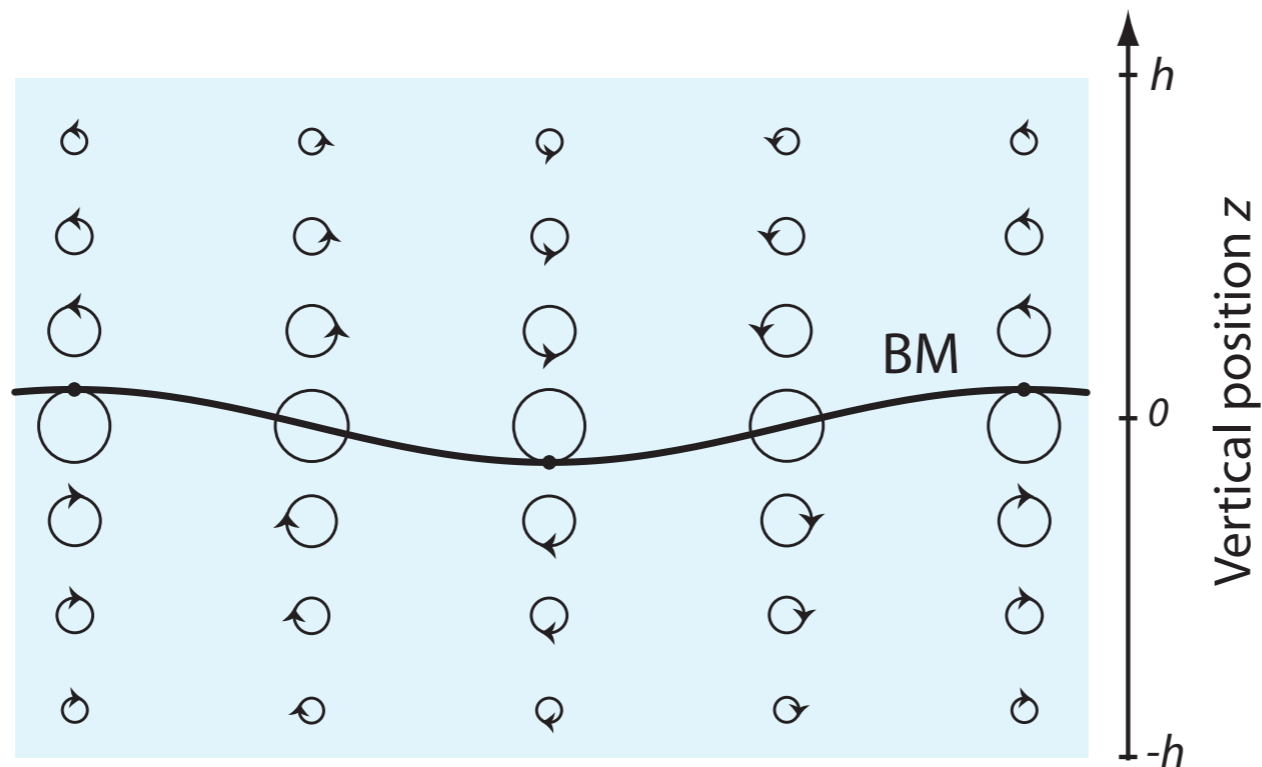
$$\tilde{p}^{(1)} = \hat{p} \cosh[k(z-h)] e^{-ikx} + c.c.$$

$$k \tanh[kh] = -2i\rho_0\omega / Z$$

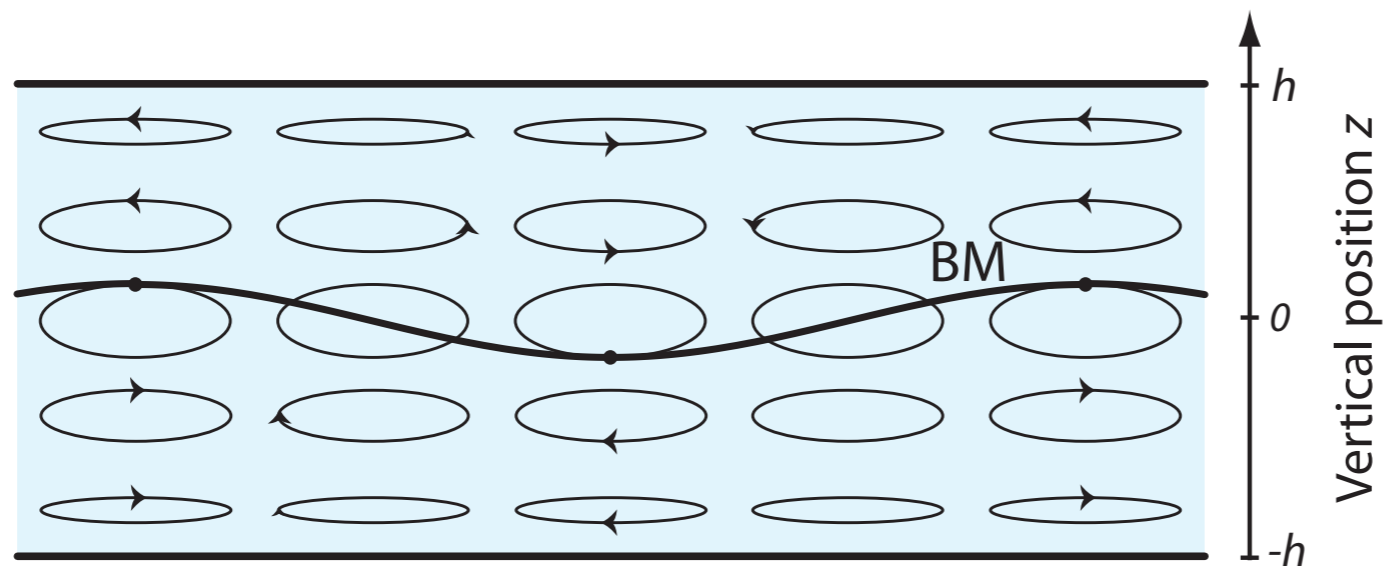
=> 'slow' wave

The 'slow' wave: particle motion

Deep chambers:



Shallow chambers:



Waves on a water surface

Digression: monster waves



23 October 2000

Physics Letters A 275 (2000) 386–393

PHYSICS LETTERS A

www.elsevier.nl/locate/pla

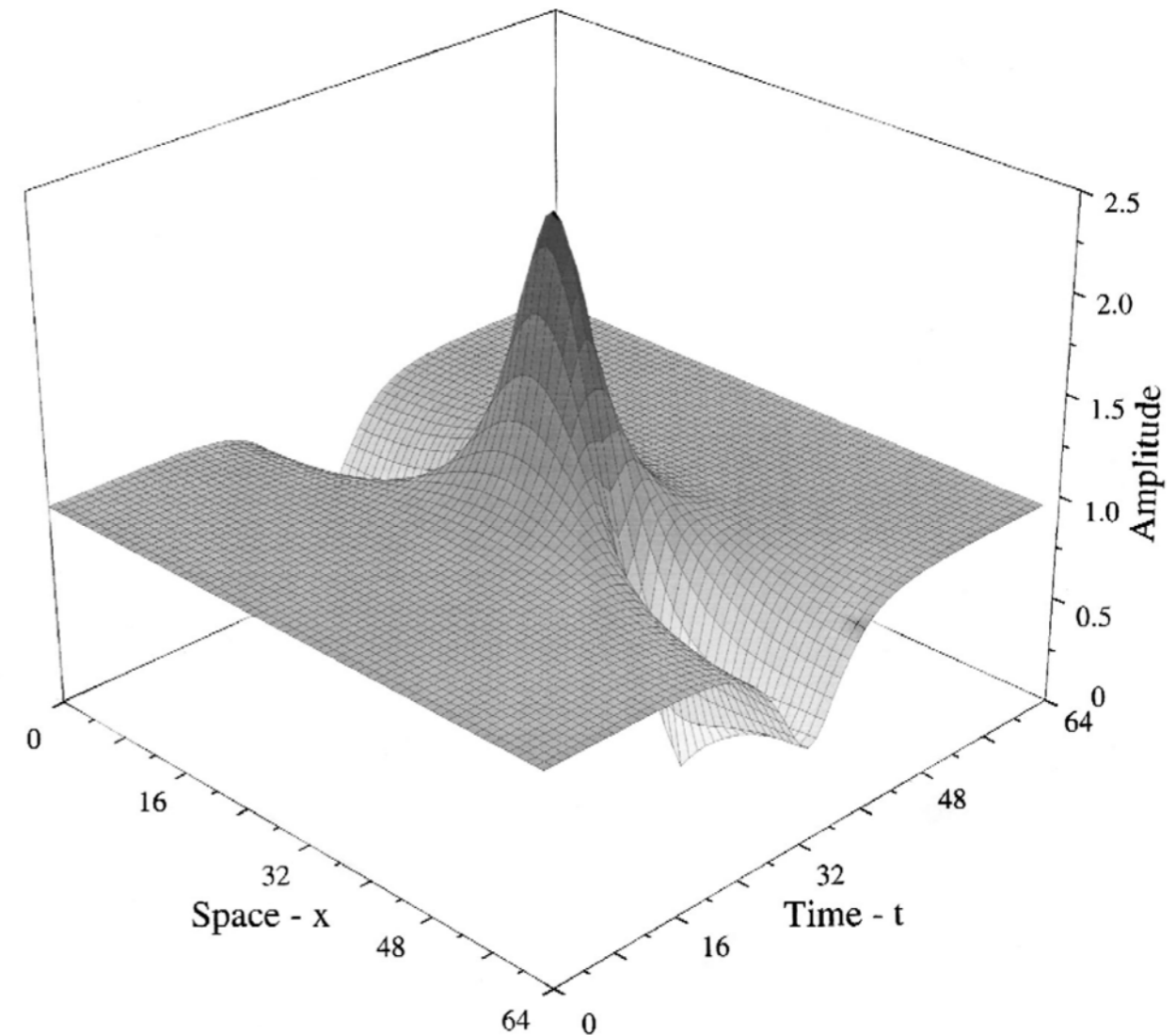
The nonlinear dynamics of rogue waves and holes in deep-water gravity wave trains

Alfred R. Osborne^{a,2}, Miguel Onorato^{a,1,2}, Marina Serio^{a,2}

^a *Dipartimento di Fisica Generale, Università di Torino, 10126 Torino, Italy*

Received 21 August 2000; accepted 28 August 2000

Communicated by A.R. Bishop



Digression: monster waves



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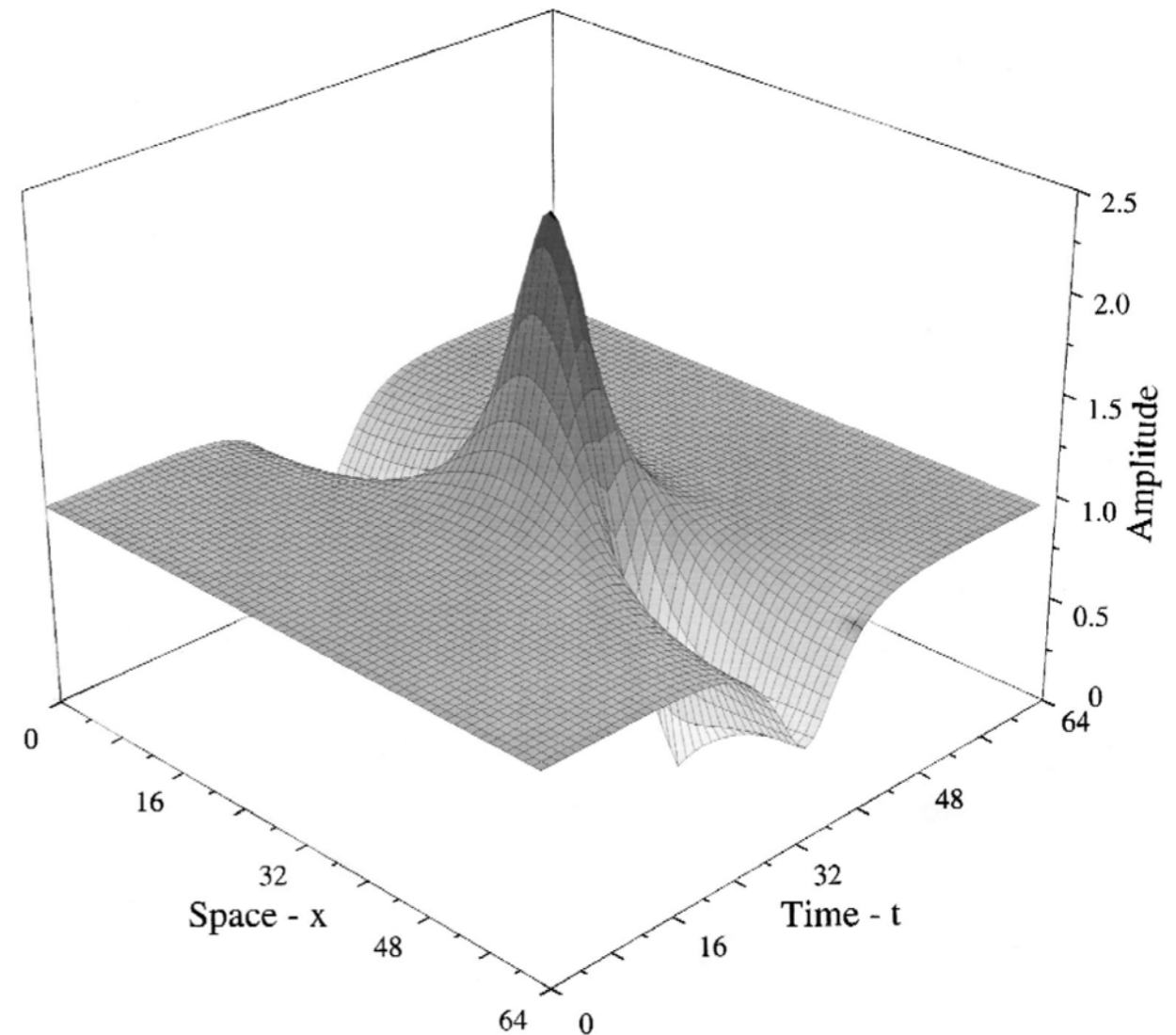
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"I'm beginning to see last week's visit from the scuba diving club in a new light."



History: Bekesy and Lighthill

THE JOURNAL OF THE ACOUSTICAL SOCIETY OF AMERICA

VOLUME 19, NUMBER 3

MAY, 1947

The Variation of Phase Along the Basilar Membrane with Sinusoidal Vibrations*

GEORG V. BÉKÉSY
Budapest, Hungary

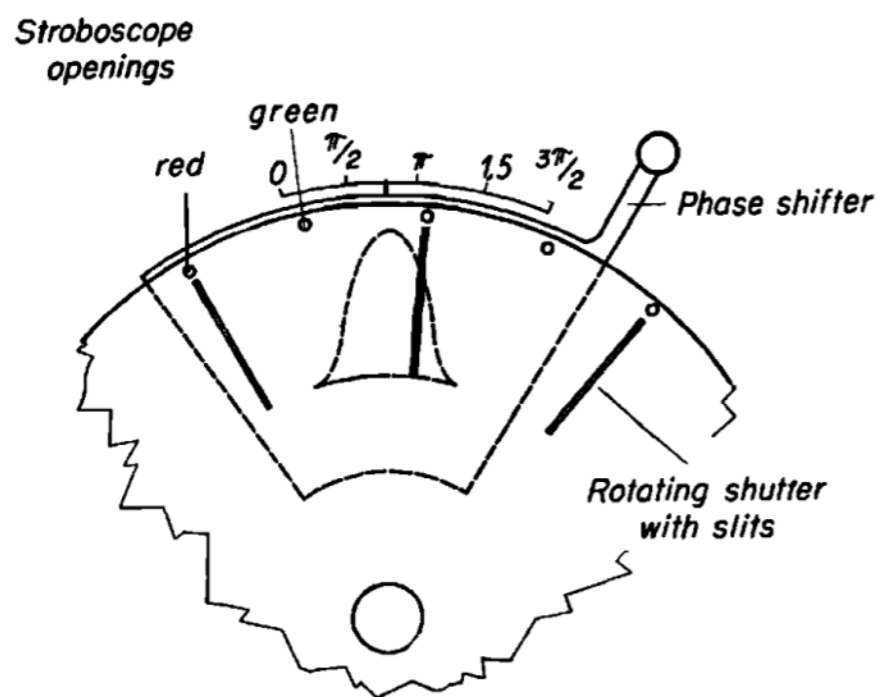


FIG. 3. Rotating shutter and diaphragm of the phase stroboscope. Slits in the rotating shutter pass over the sine-shaped opening. Phase angle can be adjusted by moving the phase shifter with the sine-shaped opening. For $3\pi/2$ read 2π ; for 1.5 read $3\pi/2$.

J. Fluid Mech. (1981), vol. 106, pp. 149–213

Printed in Great Britain

Energy flow in the cochlea

By JAMES LIGHTHILL

University College London

With moderate acoustic stimuli, measurements of basilar-membrane vibrations (especially, those using a Mössbauer source attached to the membrane) demonstrate

(i) a high degree of *asymmetry*, in that the response to a pure tone falls off sharply above the characteristic frequency, although much more gradually below it;

(ii) a substantial phase-lag in that response, and one which increases markedly up to the characteristic frequency;

(iii) a response to a 'click' in the form of a delayed 'ringing' oscillation at the characteristic frequency, which persists for around 20 cycles.

This paper uses energy-flow considerations to identify which features of a mathematical model of cochlear mechanics are necessary if it is to reproduce the experimental findings.

The response (iii) demands a travelling-wave model which incorporates a *lightly* damped resonance. Admittedly, waveguide systems including membranes are described in classical applied physics. However, a classical waveguide does not reflect a travelling wave, thus converting it into a standing wave device.

2. Passive and active resonance; Hopf bifurcation

Mechanical oscillator

response of a membrane segment (displacement X) to forcing (pressure p):

$$m\partial_t^2 X + \xi\partial_t X + KX = Ap$$

mass damping stiffness area

Resonance at
 $\omega_0 = \sqrt{K / m}$

Thus, for example, it is known that the largest church-bells may be set in motion by a man, or even a boy, who pulls the ropes attached to them at proper and regular intervals, even when their weight of metal is so great that the strongest man could scarcely move them sensibly, if he did not apply his strength in determinate periodical intervals. When such a bell is once set in motion, it continues, like a struck pendulum, to oscillate for some time, until it gradually returns to rest, even if it is left quite by itself, and no force is employed to arrest its motion. The motion diminishes gradually, as we know, because the friction on the axis and the resistance of the air at every swing destroy a portion of the existing moving force.

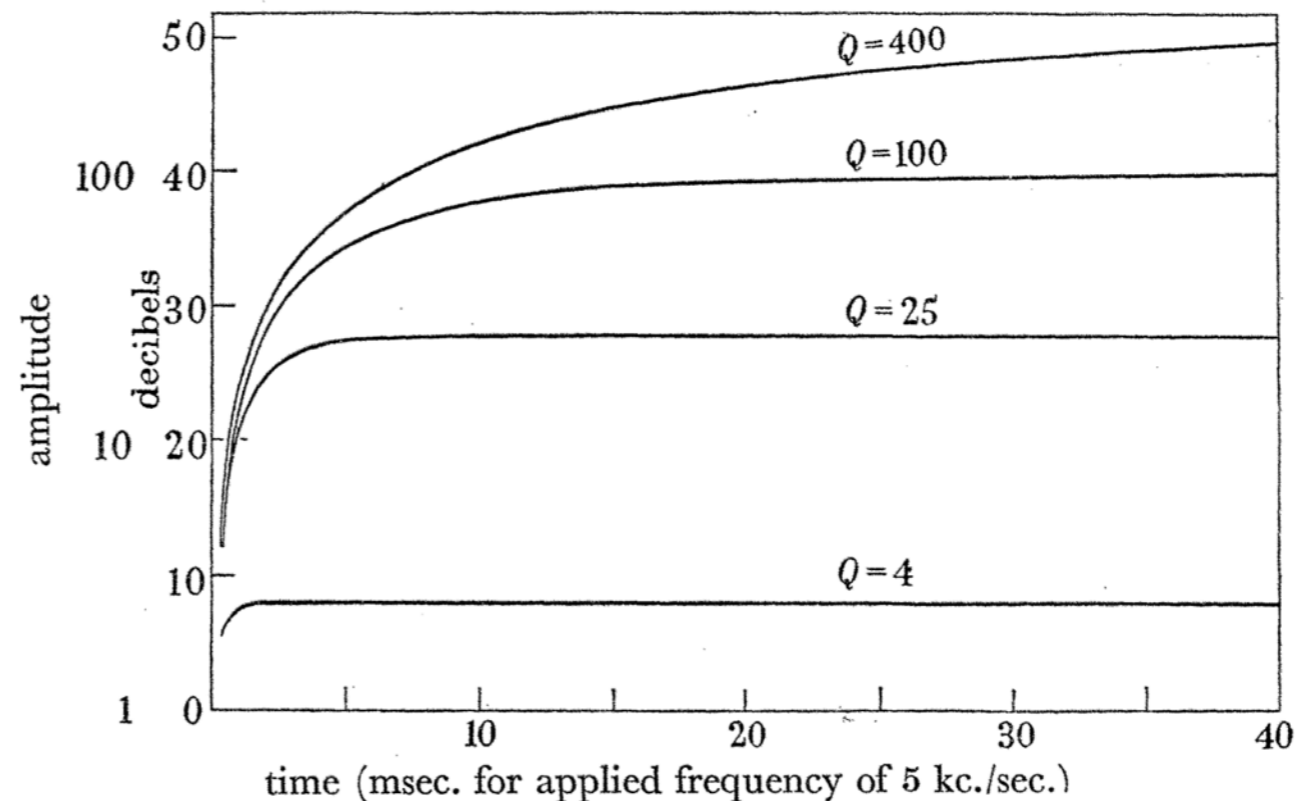
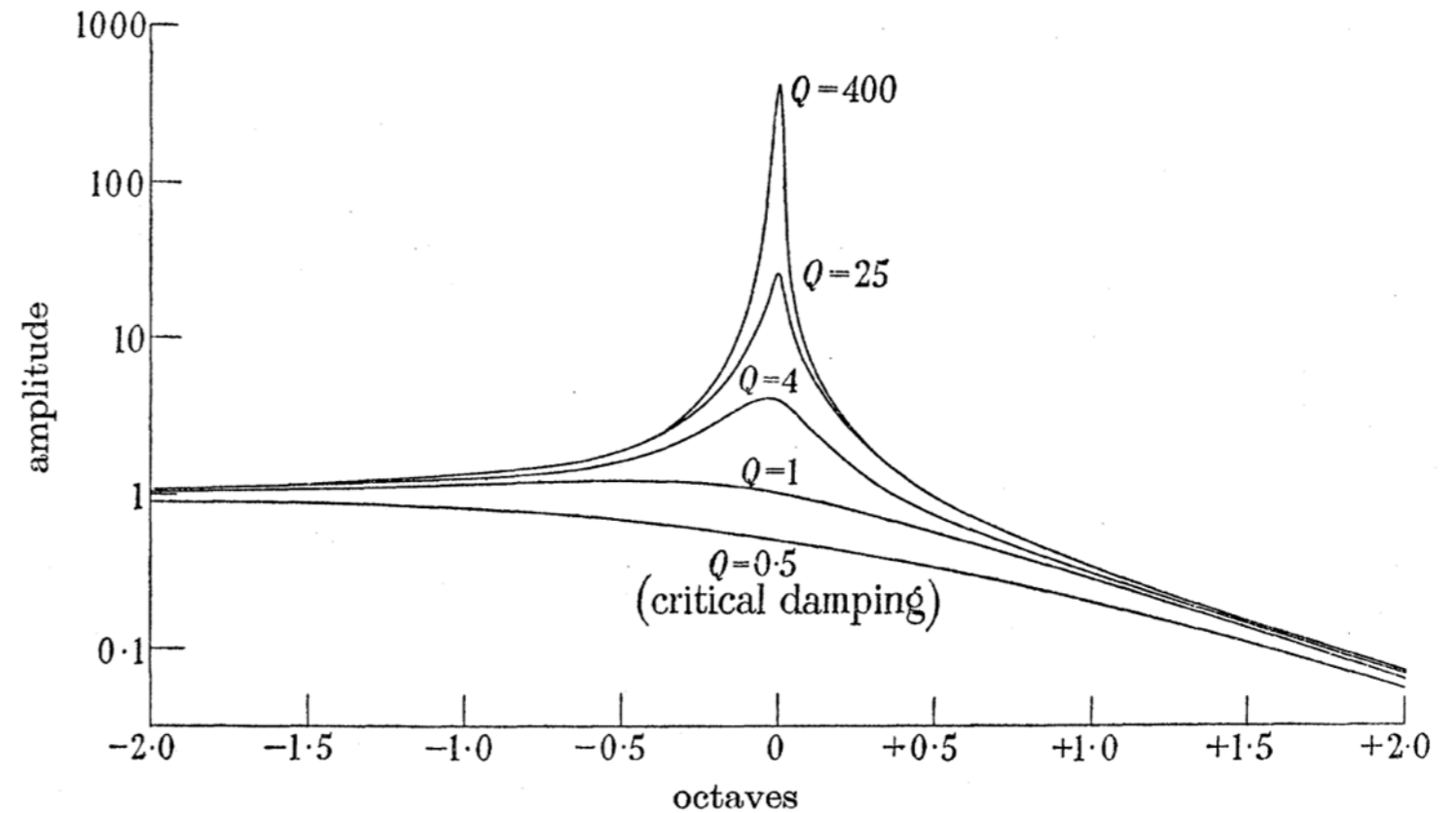
Damping, quality factor and temporal response

Sharpness of resonance
measured by quality factor Q :

$$Q = \sqrt{Km} / \xi$$

Oscillator responds slower to
forcing for higher value of Q

Gold and Pumphrey (1948)
Proc. Roy. Soc. Lond. B

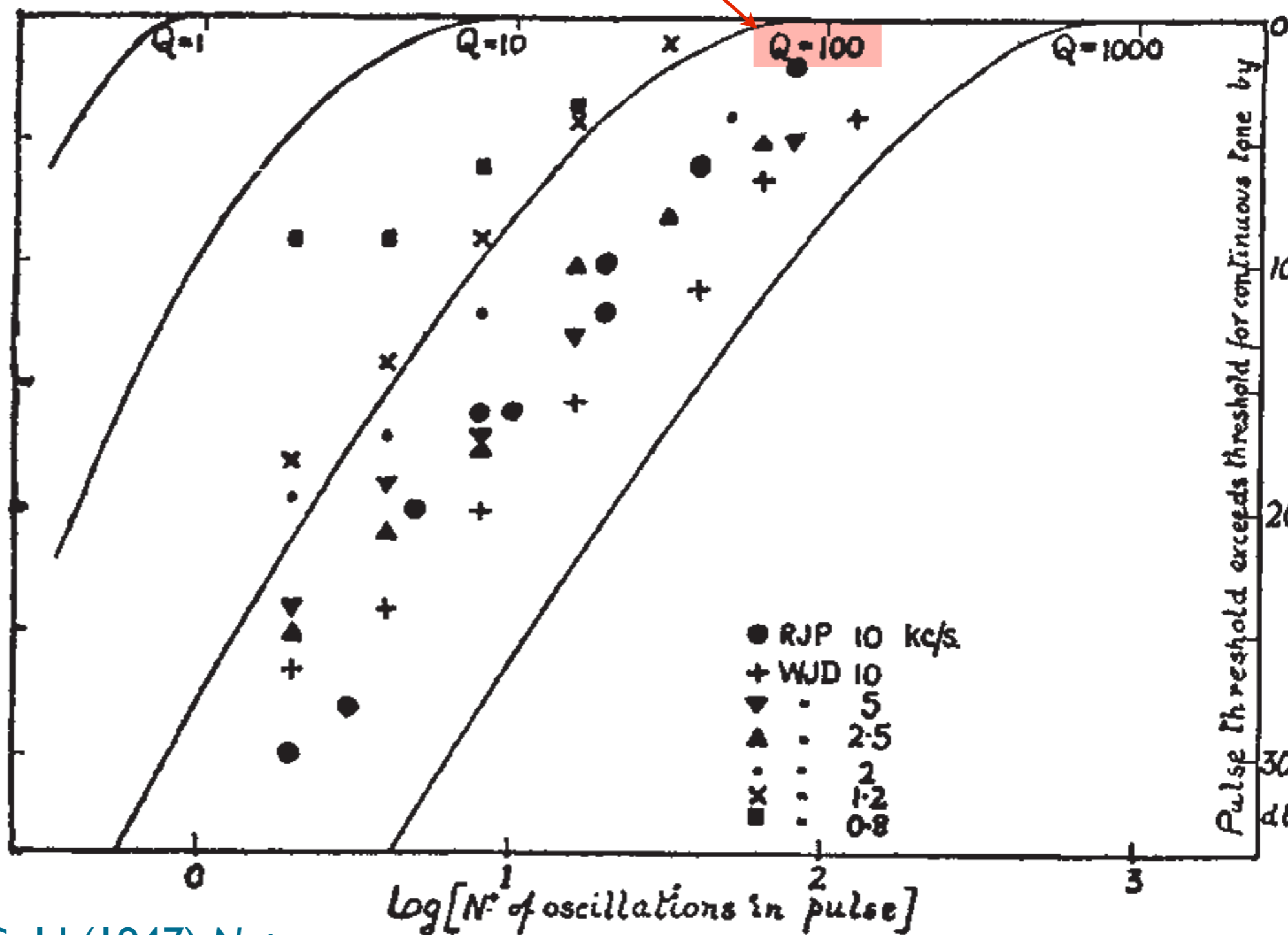


Active oscillator?

hard to get from a passive oscillator under water!

Psychacoustic experiment:

Threshold for detection of short tone pulses



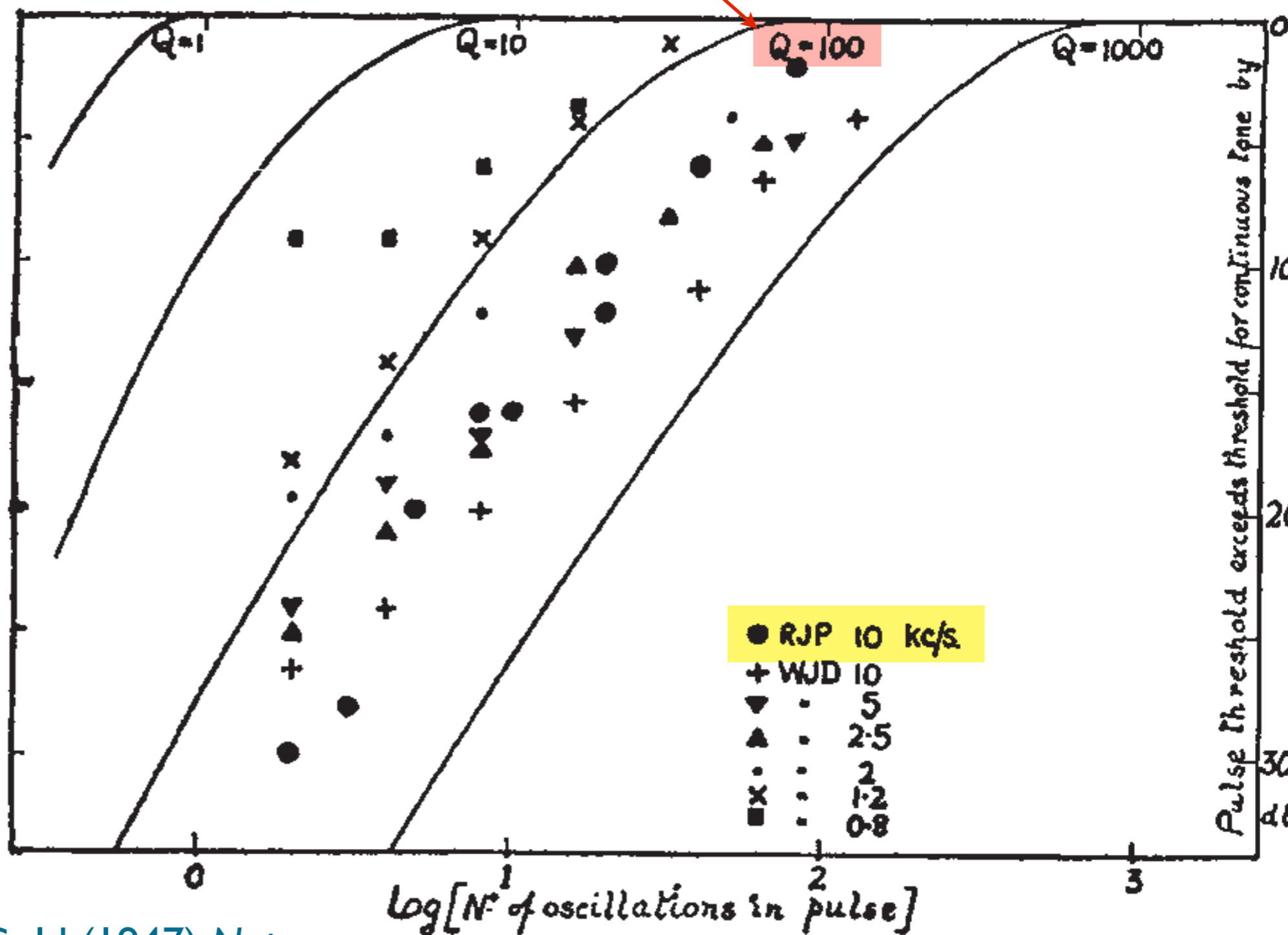
R. J. Pumphrey and T. Gold (1947) *Nature*

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R. J. Pumphrey and T. Gold (1947) *Nature*

Hopf bifurcation

nonlinear response

mechanical oscillator: $m\partial_t^2 X + \xi\partial_t X + KX + h_{\text{nonlinear}}(X, \partial_t X) = Ap$

Hopf bifurcation

nonlinear response

mechanical oscillator: $m\partial_t^2 X + \xi\partial_t X + KX + h_{nonlinear}(X, \partial_t X) = Ap$

can be written as $\partial_t \begin{pmatrix} X \\ V \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ -K/m & -\xi/m \end{pmatrix} \begin{pmatrix} X \\ V \end{pmatrix} + g_{nonlinear}(X, V) + \begin{pmatrix} 0 \\ Ap/m \end{pmatrix}$

with velocity V : $V = \partial_t X$

Hopf bifurcation

nonlinear response

mechanical oscillator: $m\partial_t^2 X + \xi\partial_t X + KX + h_{\text{nonlinear}}(X, \partial_t X) = Ap$

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with velocity V : $V = \partial_t X$

Theorem: can be transformed to $\partial_t W = (\zeta_r + i\omega_*)W - (v + i\sigma)|W|^2 W + P$

Normal form of the Hopf bifurcation!

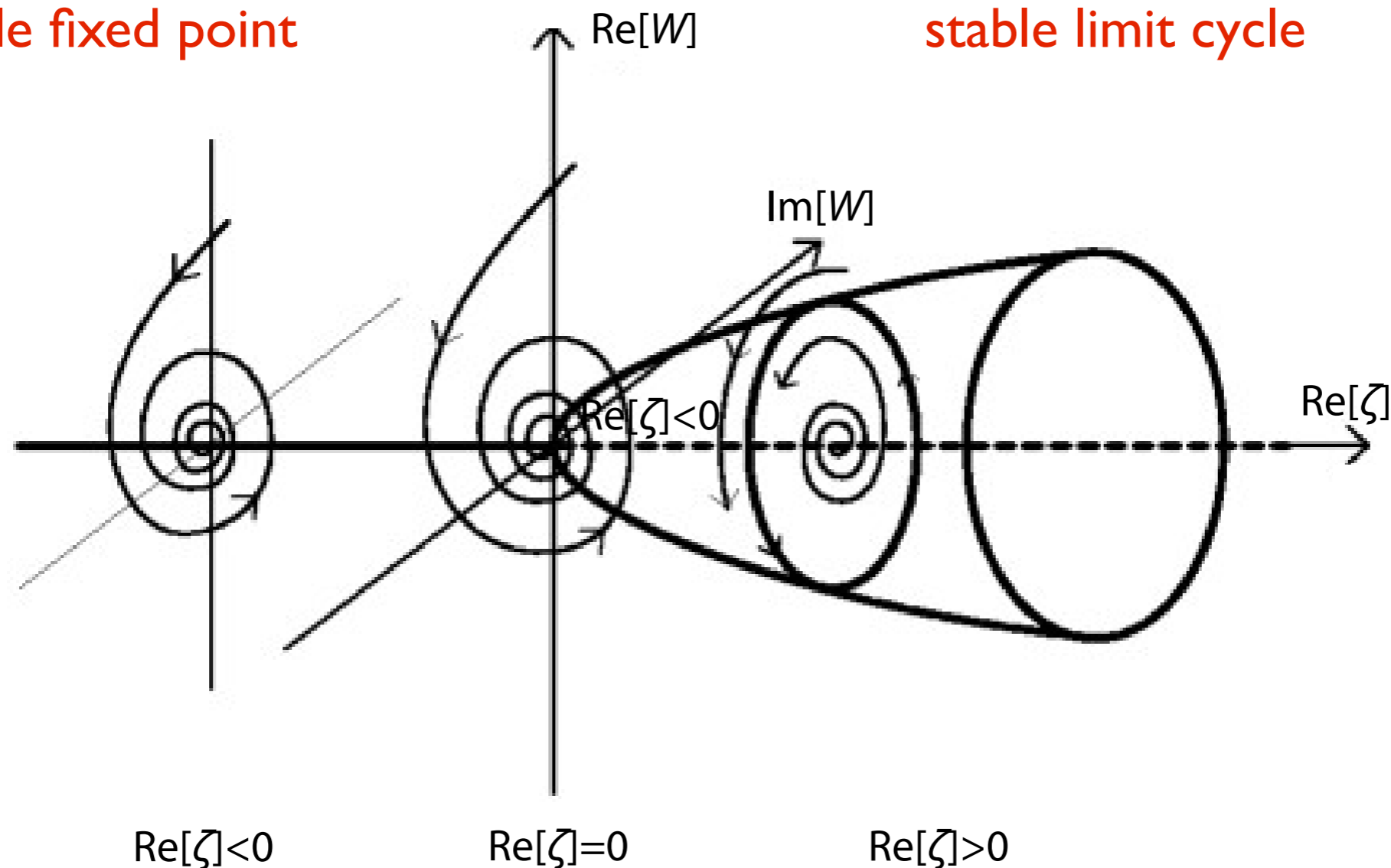
Hopf bifurcation

$$\partial_t W = (\zeta + i\omega_*)W - (\nu + i\sigma)|W|^2 W$$

normal form of the Hopf bifurcation

stable fixed point

stable limit cycle

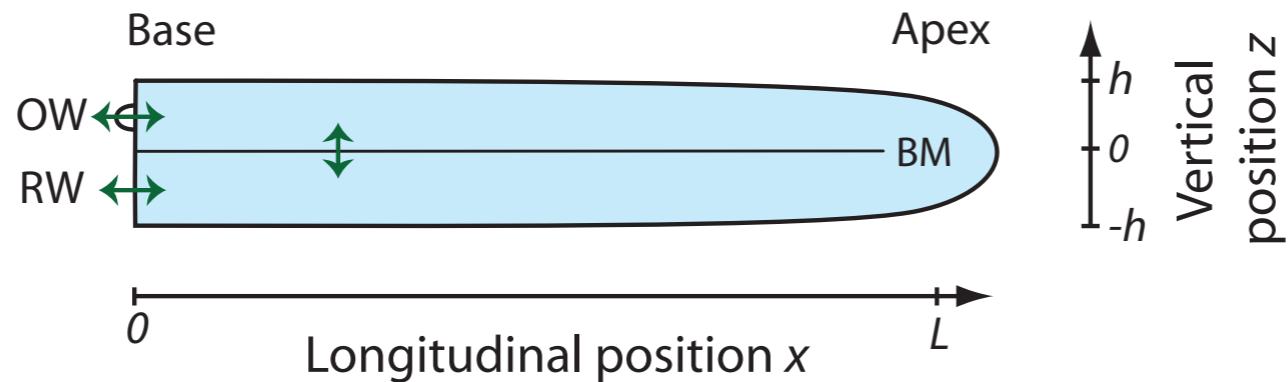


Choe, Magnasco, Hudspeth (1998) *Proc. Natl. Acad. Sci. USA*; Camalet, Duke, Jülicher Prost (2000) *Proc. Natl. Acad. Sci. USA*; Eguiluz, Ospeck, Choe Hudspeth, Magnasco (2000) *Phys. Rev. Lett.*; Duke and Jülicher (2003) *Phys. Rev. Lett.*; Kern, Stoop (2003) *Phys. Rev. Lett.*; Magnasco (2003) *Phys. Rev. Lett.*

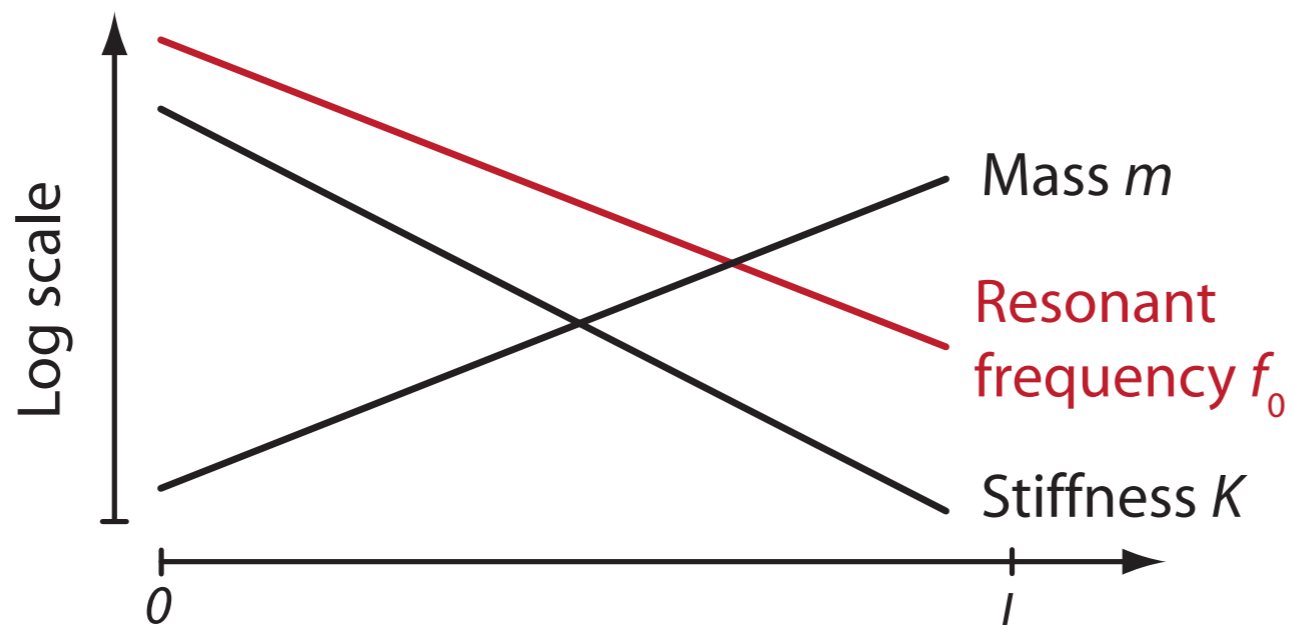
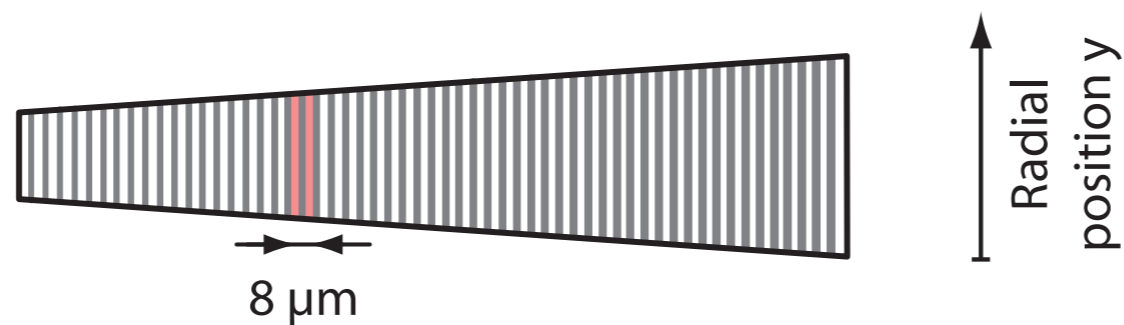
3. Critical layer absorption and WKB approximation

Local resonances in the cochlea

longitudinal section



basilar membrane



How do the local resonances shape the traveling wave?

WKB approximation and energy flow

ansatz for pressure at the membrane: $\tilde{p} = \hat{p}(x) \exp\left[-i \int_0^x dx' k(x')\right]$

WKB approximation:

assume length scale at which impedance changes is long compared to wavelength
=> wave vector follows from local impedance:

$$k^2(x) = -2i\omega\rho_0 / [Z(x)h]$$

=> wavelength: $\lambda \sim \sqrt{Z}$

speed: $c \sim \sqrt{Z}$

amplitude: $\hat{p} \sim \sqrt[4]{Z}$

WKB approximation and energy flow

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$$k^2(x) = -2i\omega\rho_0 / [Z(x)h]$$

=> wavelength: $\lambda \sim \sqrt{Z}$ speed: $c \sim \sqrt{Z}$

amplitude: $\hat{p} \sim \sqrt[4]{Z}$

=> energy flow is conserved:

energy flow $\sim pVc$ with $V = p/Z$

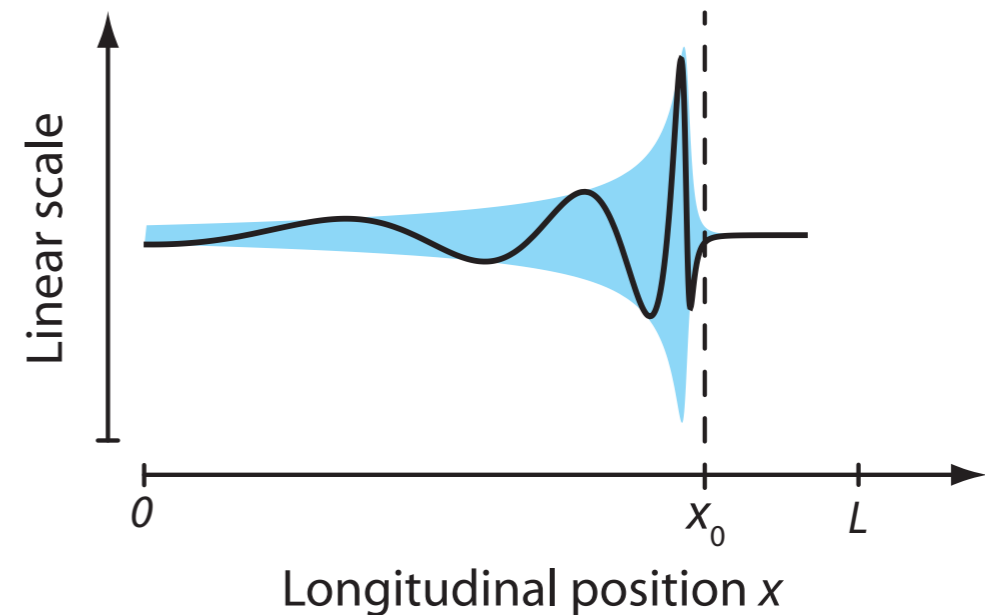
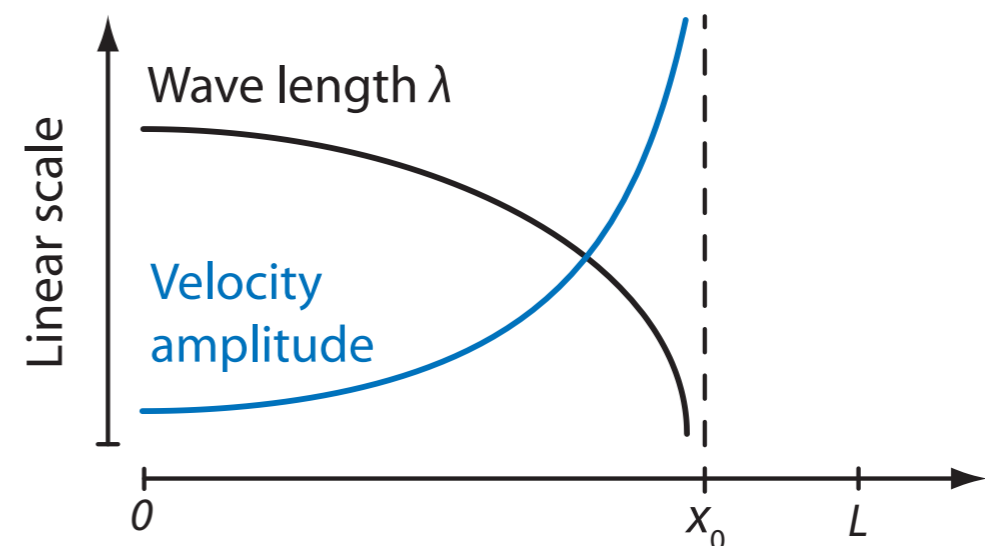
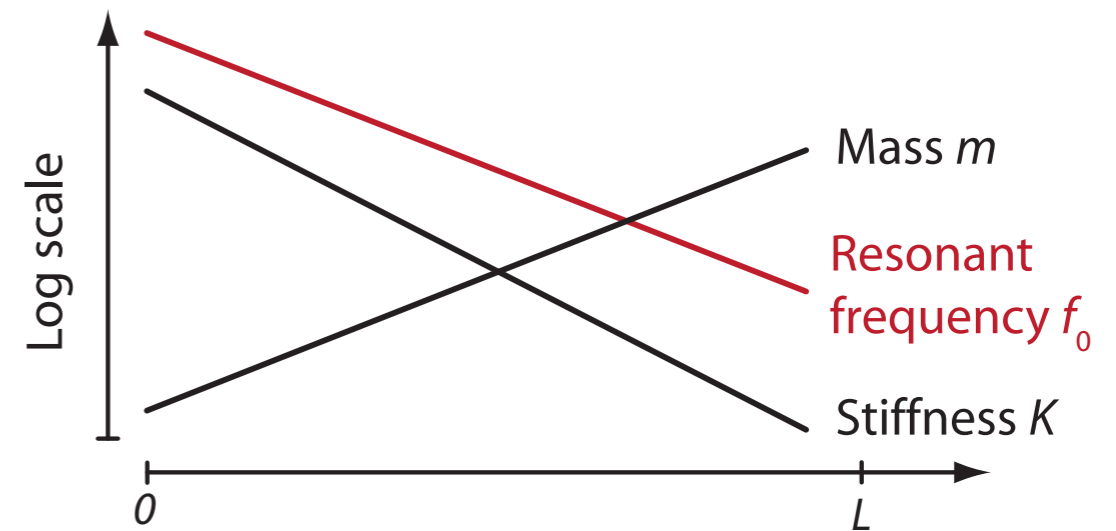
=> energy flow $\sim p^2 c \sim \sqrt{Z} \sqrt{Z} / Z \sim 1$

Critical-layer absorption

Basilar-membrane vibration slows upon approaching resonant position and peaks near it

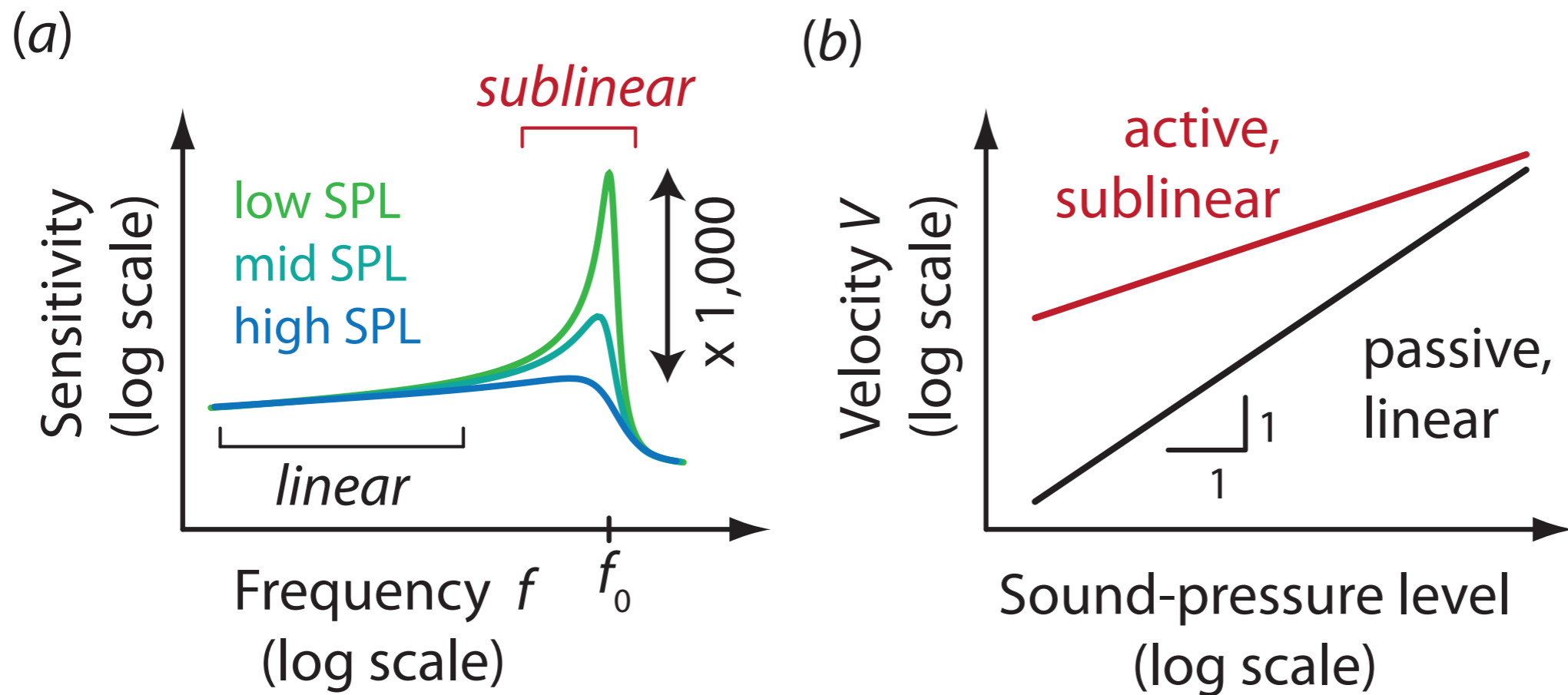
Energy dissipation mostly in this 'critical layer'

traveling wave
(displacement/velocity)



Active wave

Combine traveling wave with nonlinear response of the membrane near the resonance

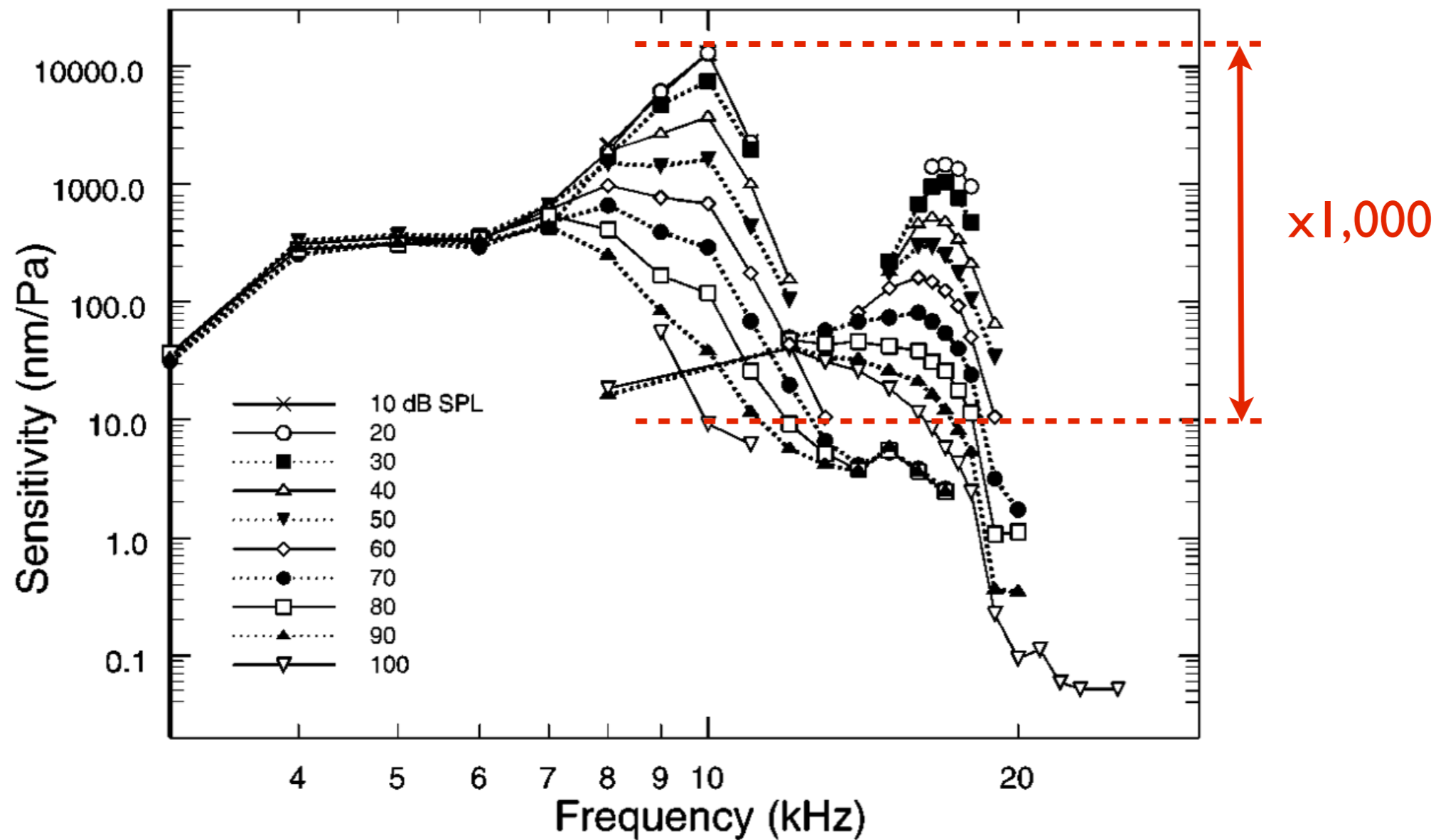


Duke and Jülicher (2003) *Phys. Rev. Lett.*

Kern, Stoop (2003) *Phys. Rev. Lett.*

Magnasco (2003) *Phys. Rev. Lett.*

Amplification in the cochlea



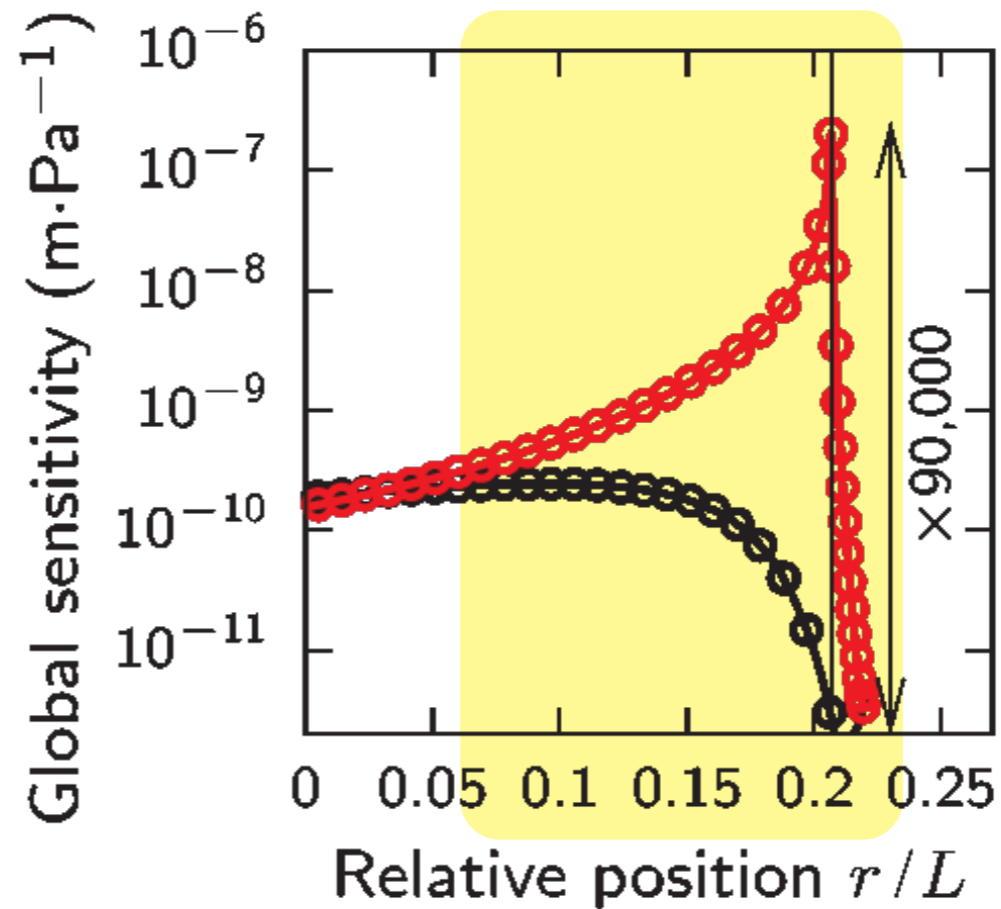
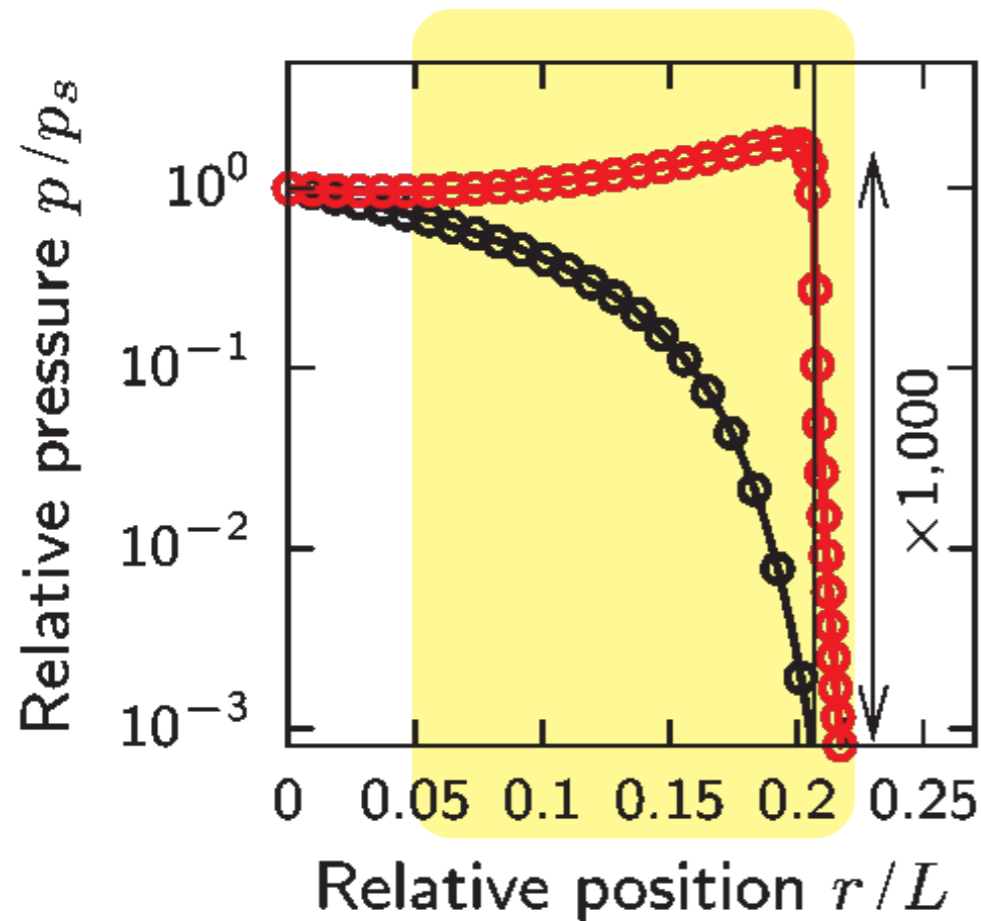
How can such a large gain results from *local* amplification?

Accumulation of amplification

red: active

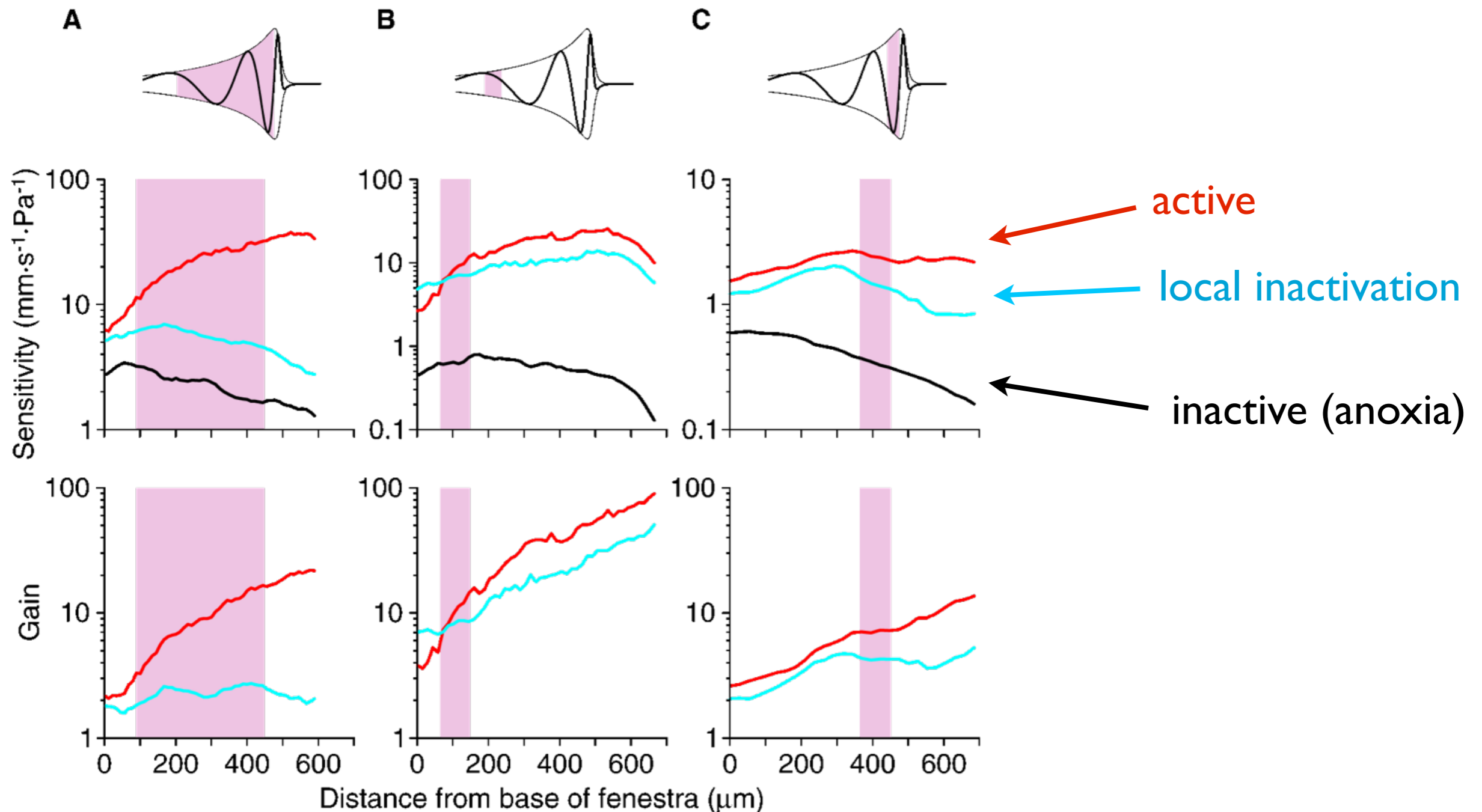
black: passive

Amplification *accumulates* along the wave!



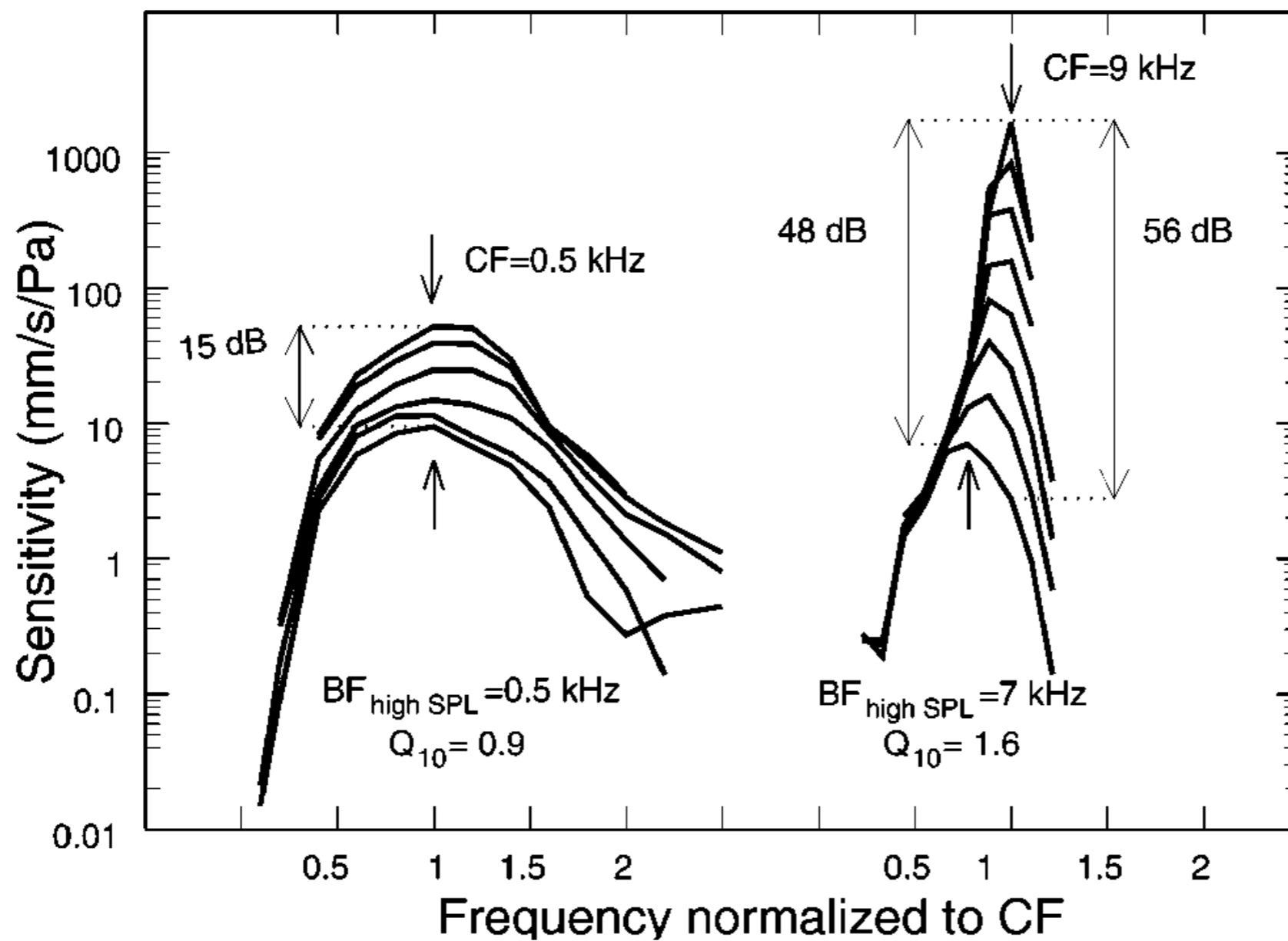
Experimental test of gain accumulation

Photo-Inactivation of the active process in specific cochlear regions in a chinchilla through 4-acidosalicylate



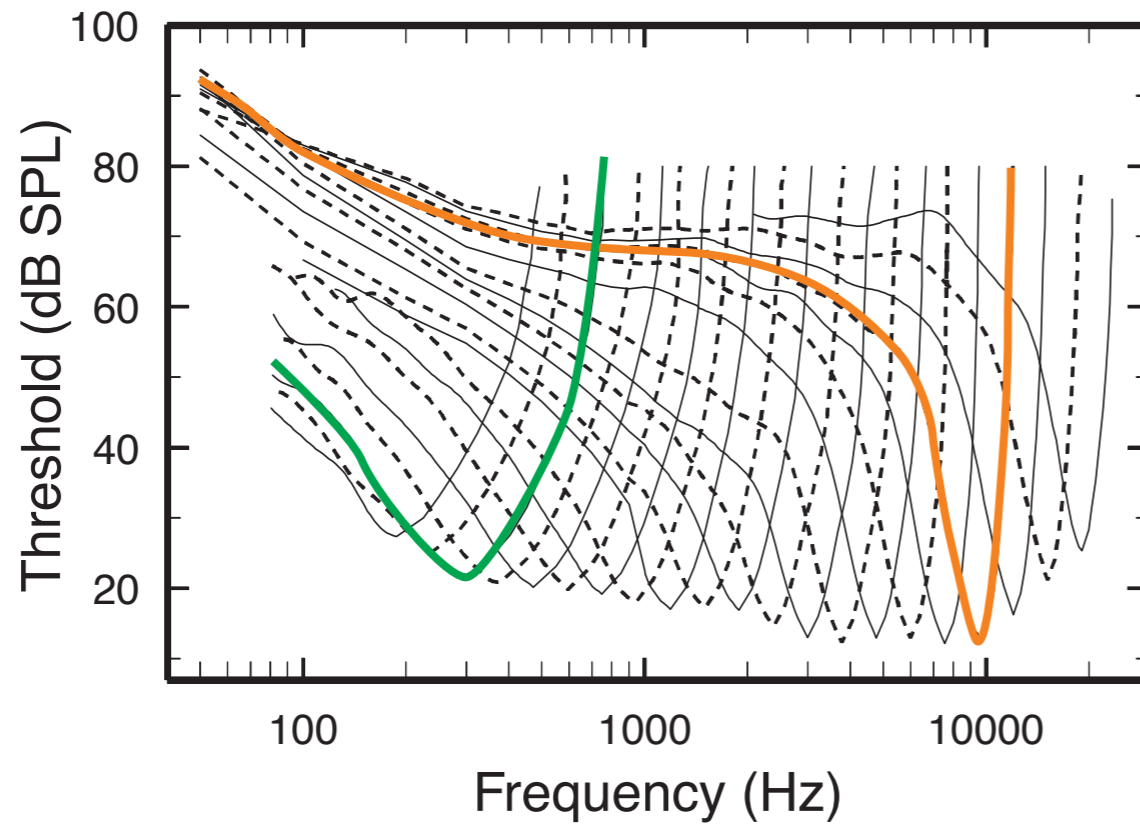
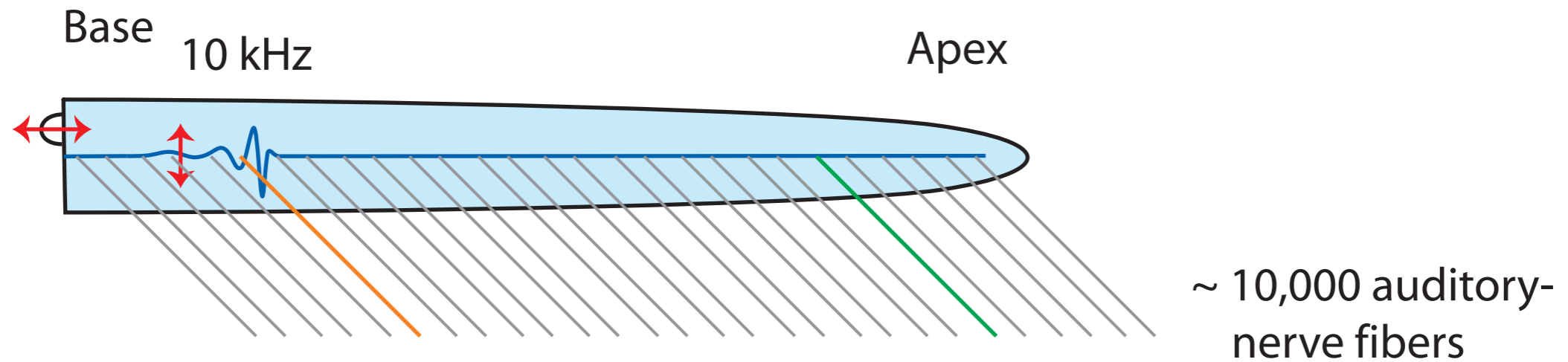
4. Micromechanics of the organ of Corti at low frequencies

Cochlear mechanics at high and at low frequencies



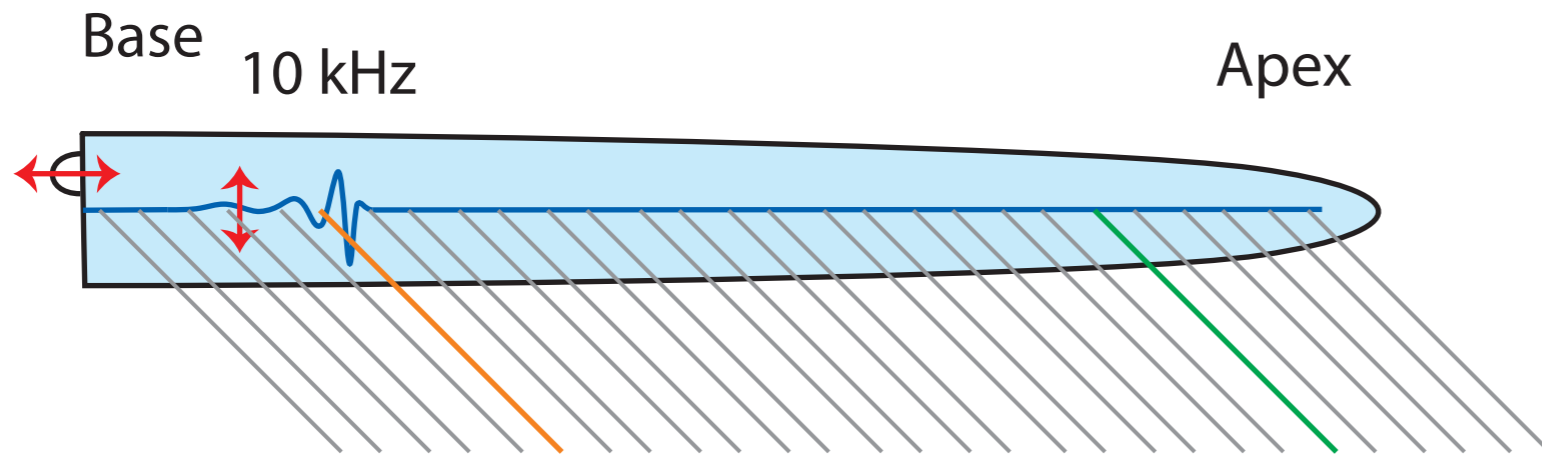
Much less gain and broader resonance at low frequencies!

Tuning curves of auditory nerve fibers

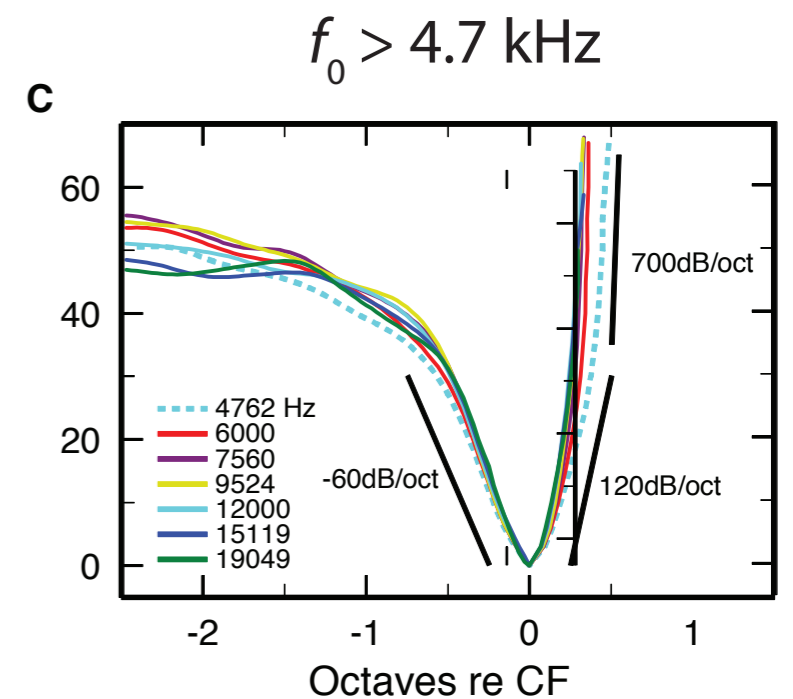
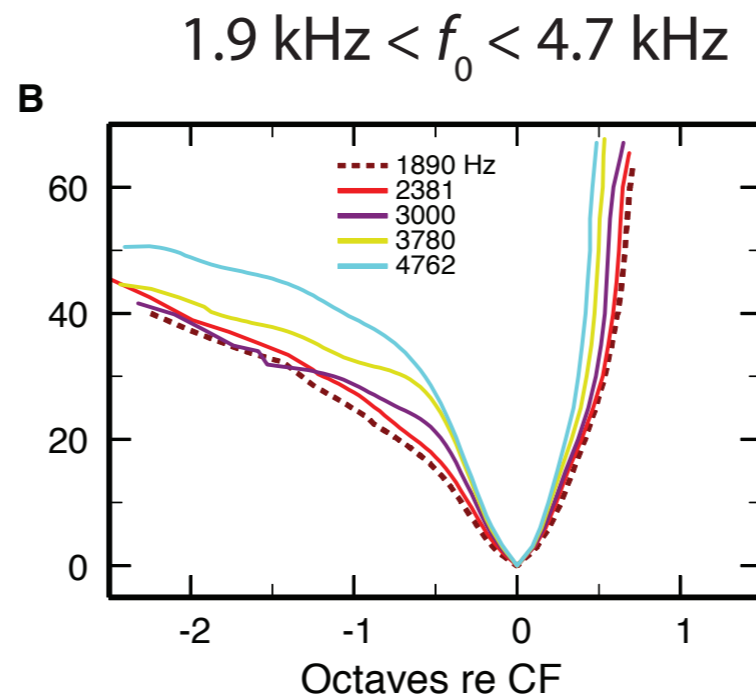
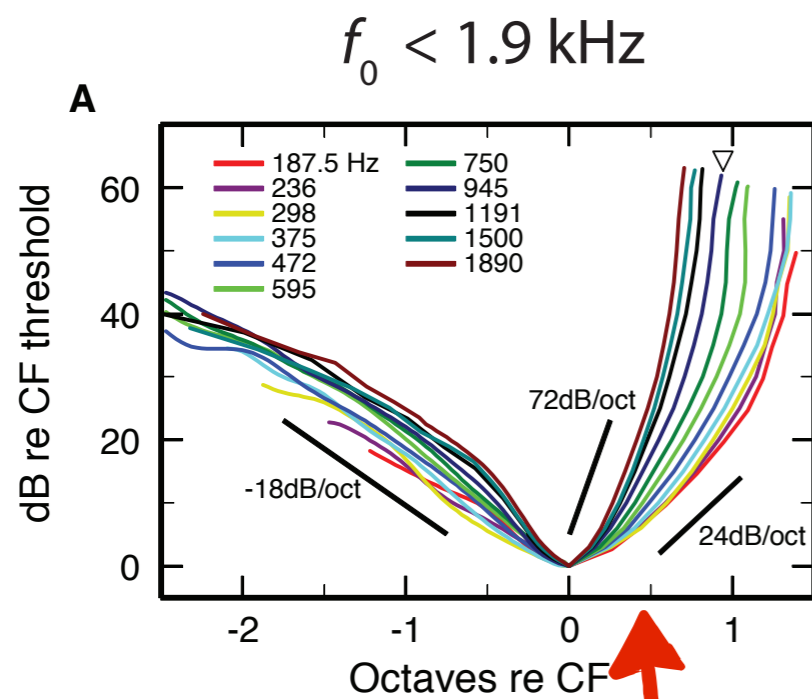


Temchin, Rich, Ruggero,
J. Neurophysiol. (2008)

Tuning curves of auditory nerve fibers



~ 10,000 auditory-
nerve fibers

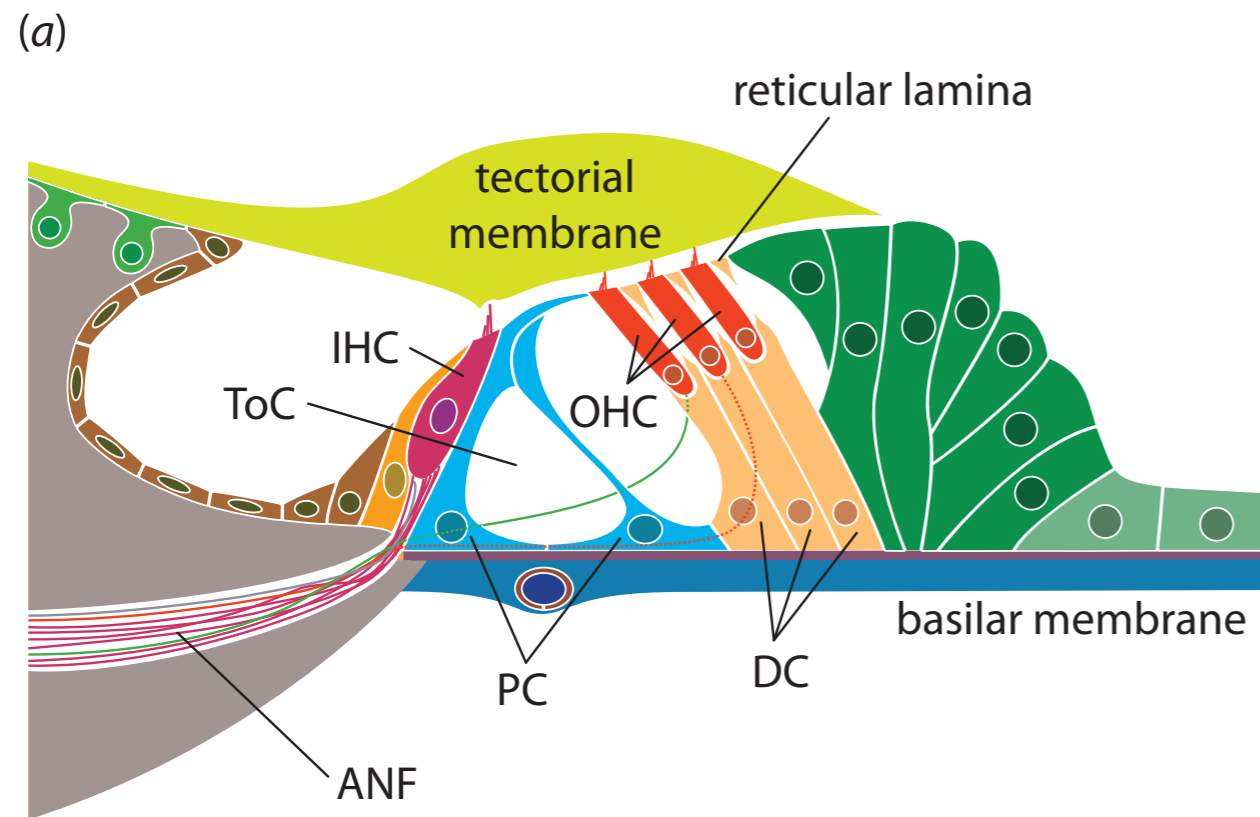


Critical-layer absorption violated!

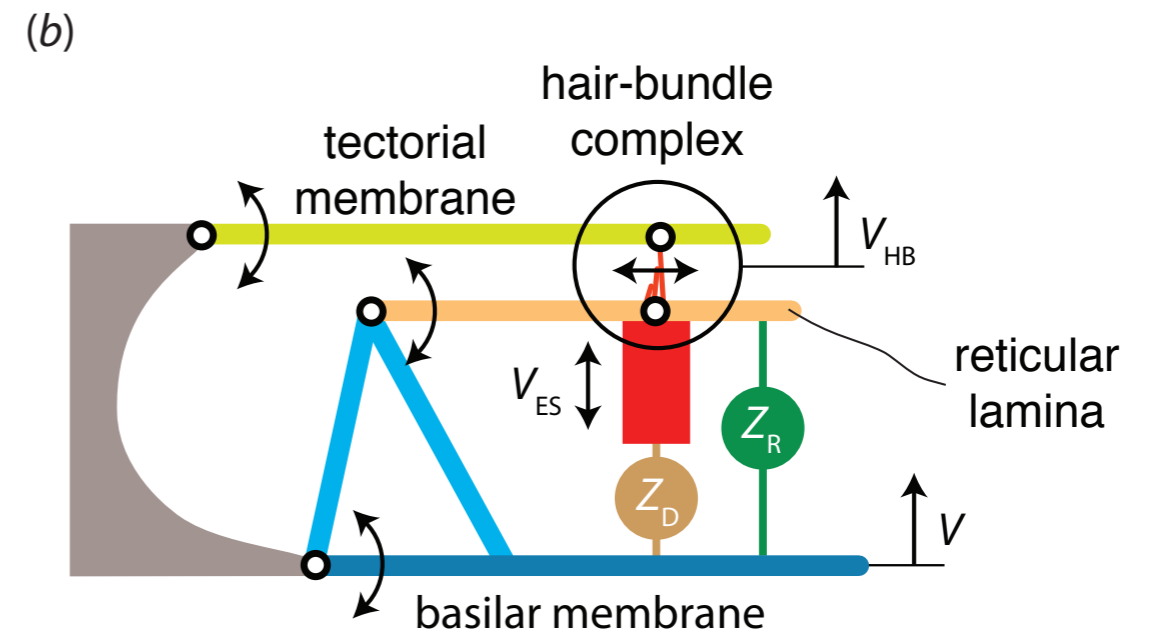
Temchin, Rich, Ruggero,
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Micromechanics of the Organ of Corti

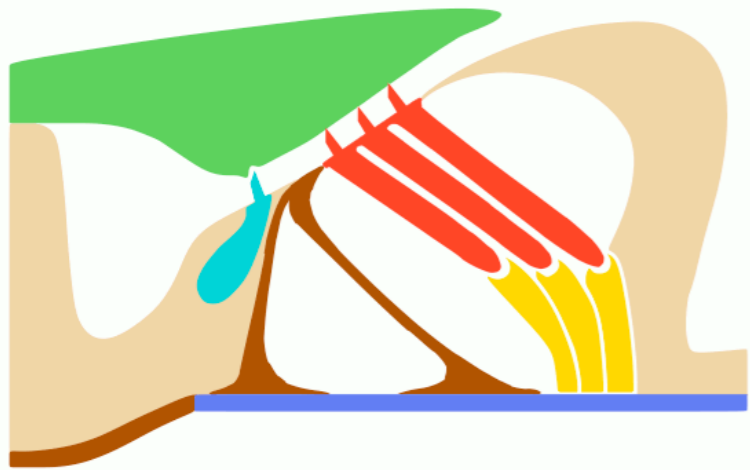
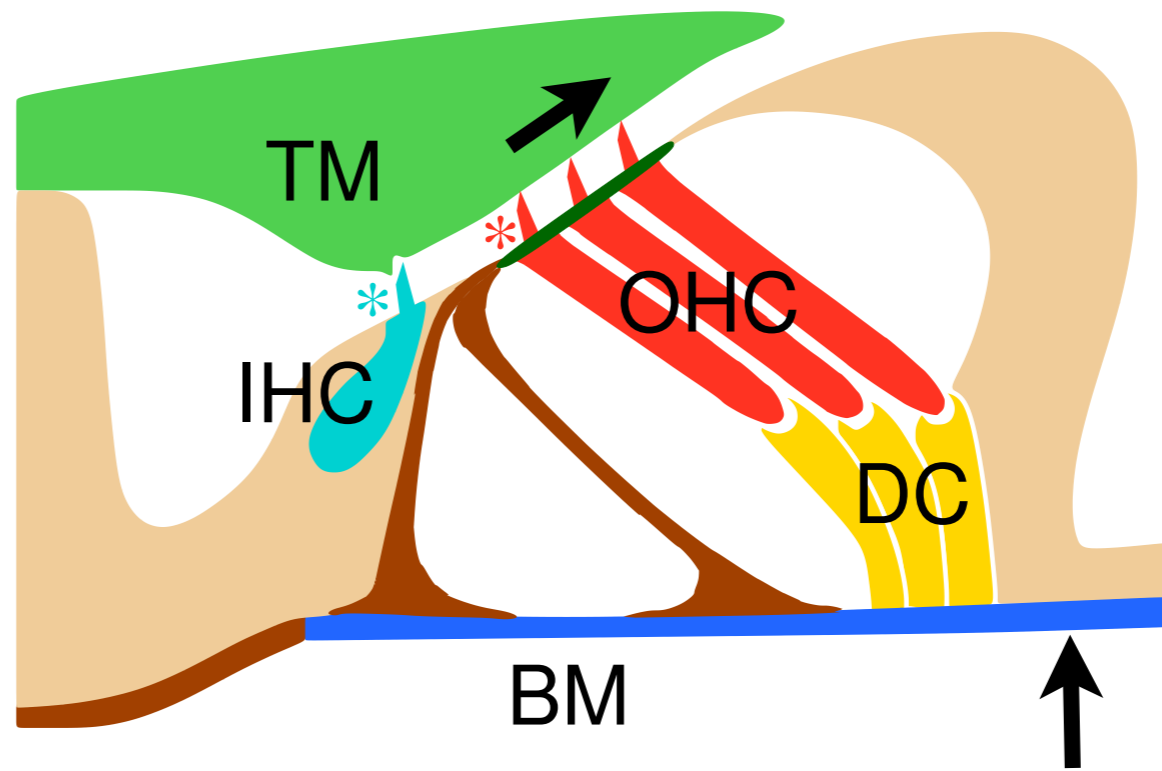
Cross-section of the organ of Corti



Micromechanical model



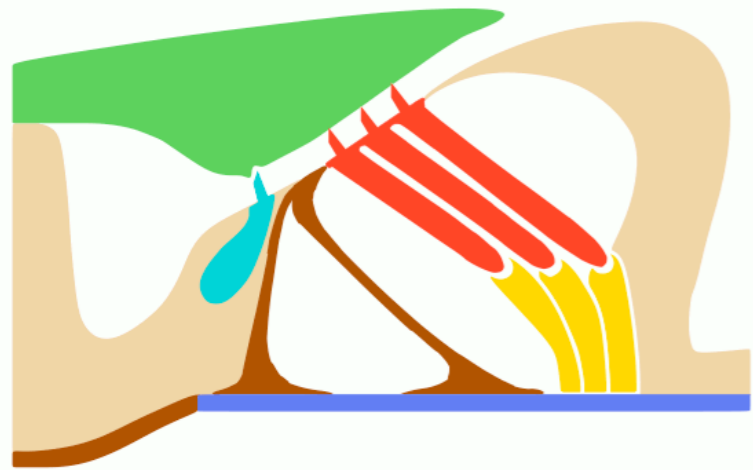
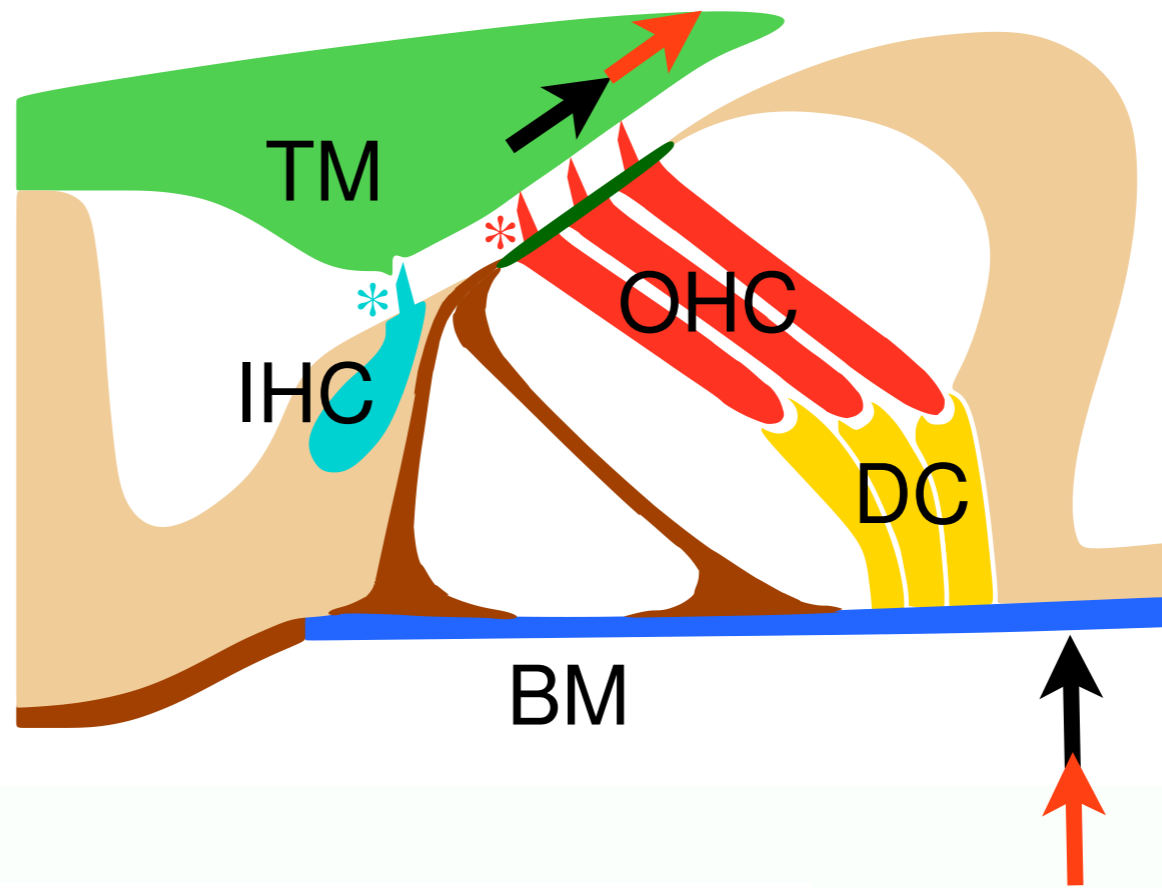
Amplification mechanisms



passive

Amplification mechanisms

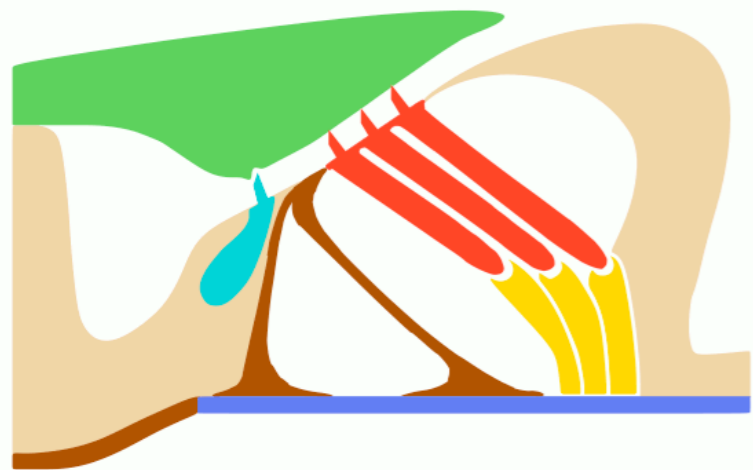
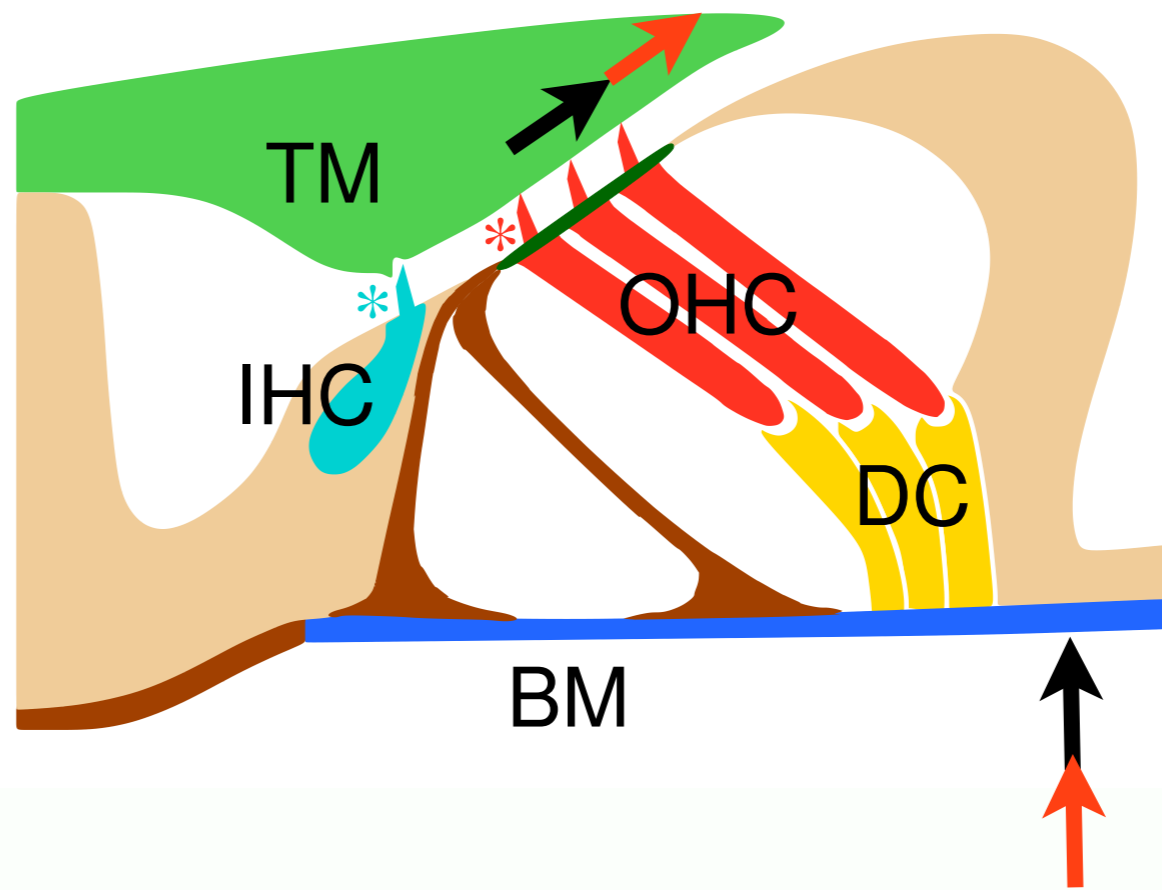
Active hair-bundle motility



passive

Amplification mechanisms

Active hair-bundle motility



passive

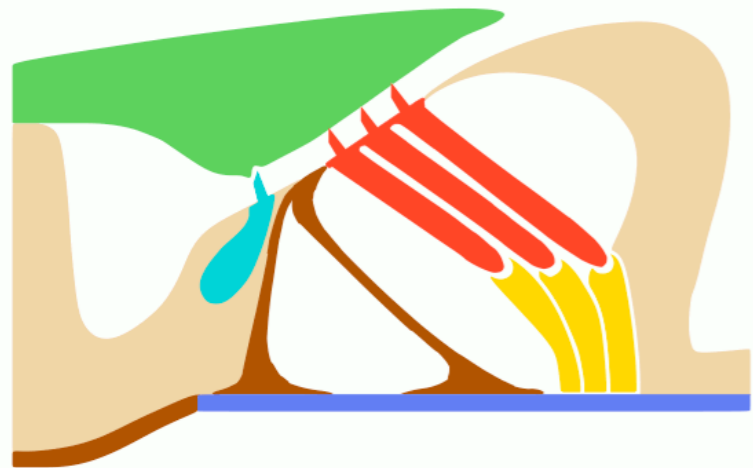
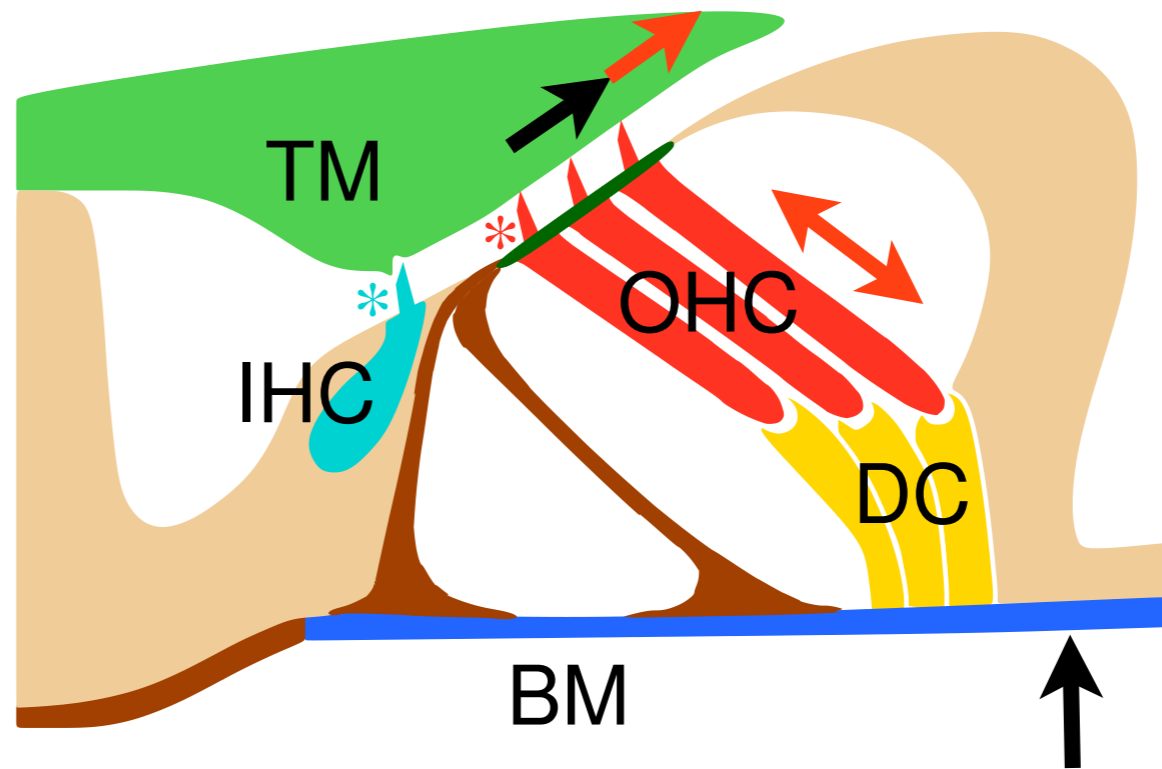


active hair-bundle motility

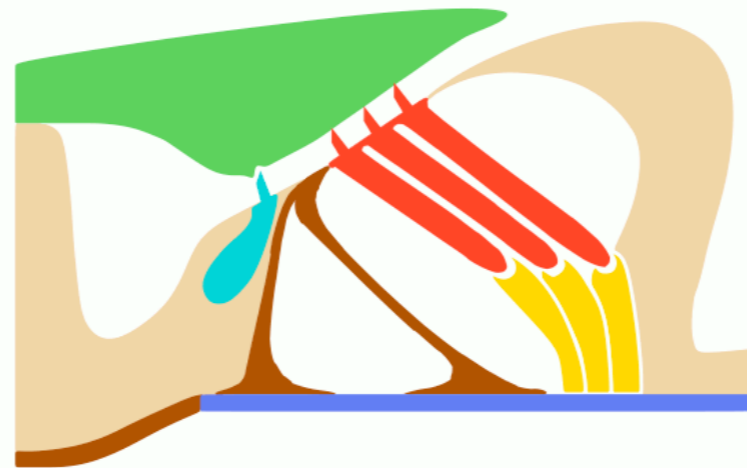
Amplification mechanisms

Active hair-bundle motility

Electromotility



passive

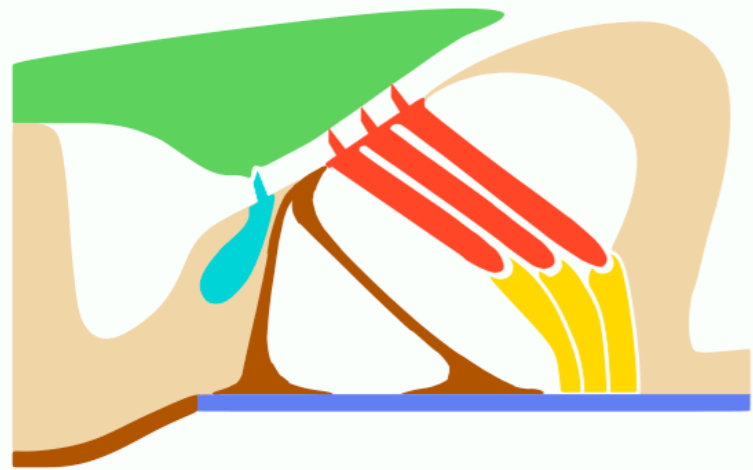
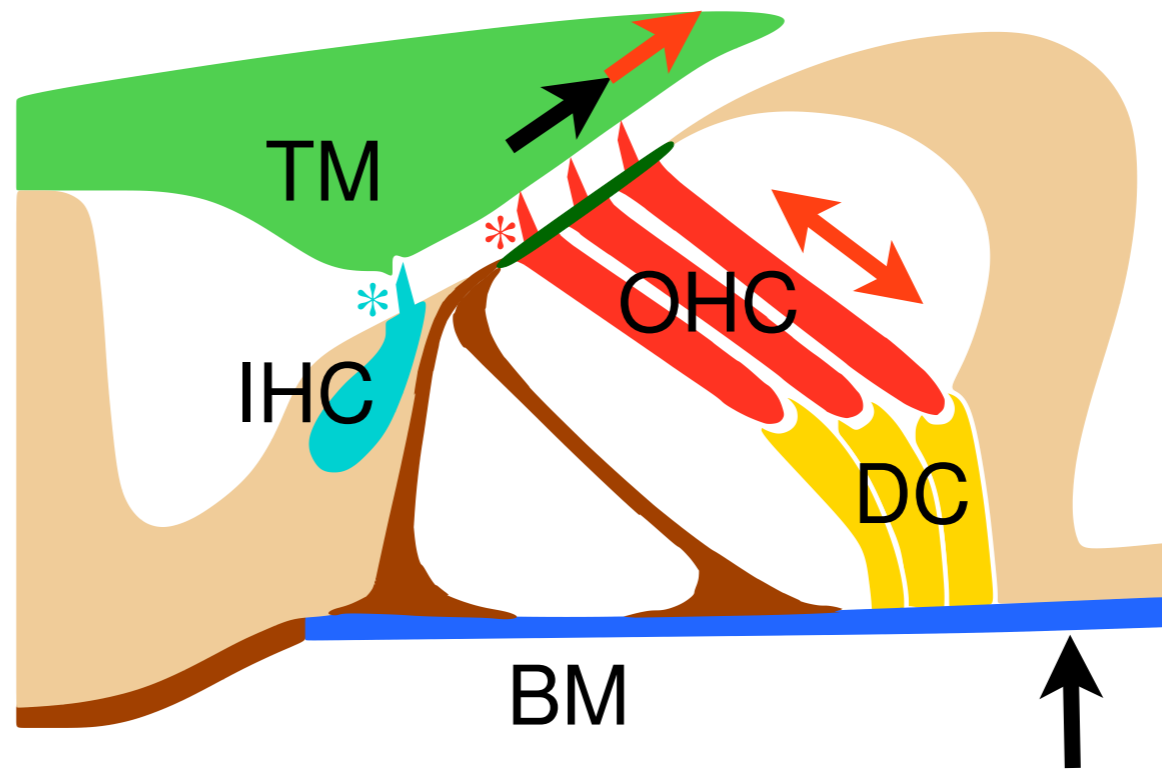


active hair-bundle motility

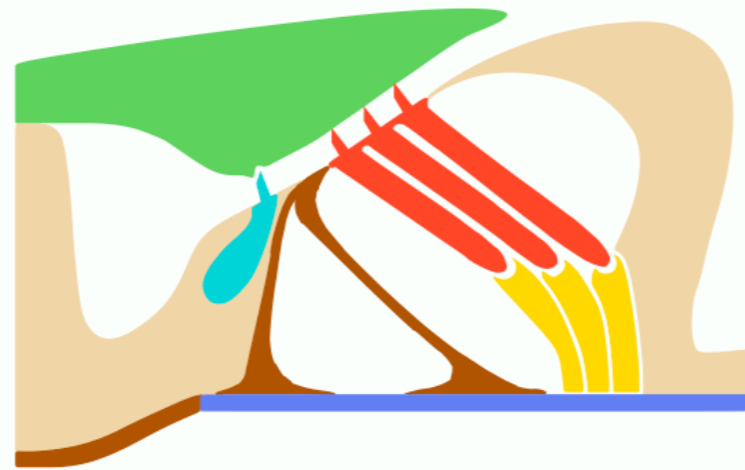
Amplification mechanisms

Active hair-bundle motility

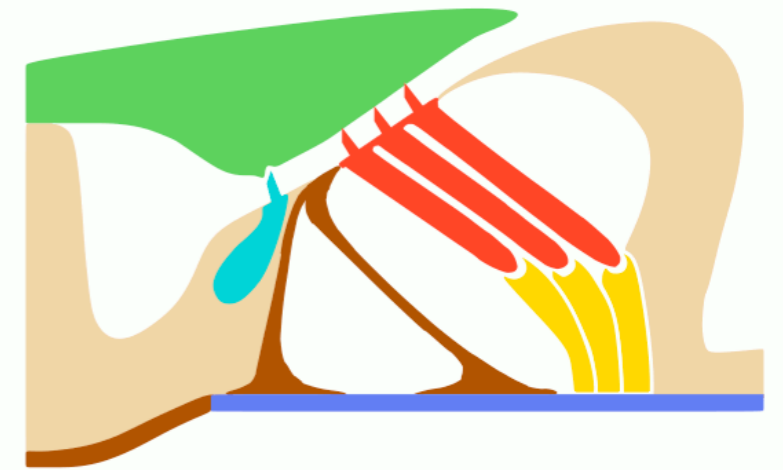
Electromotility



passive

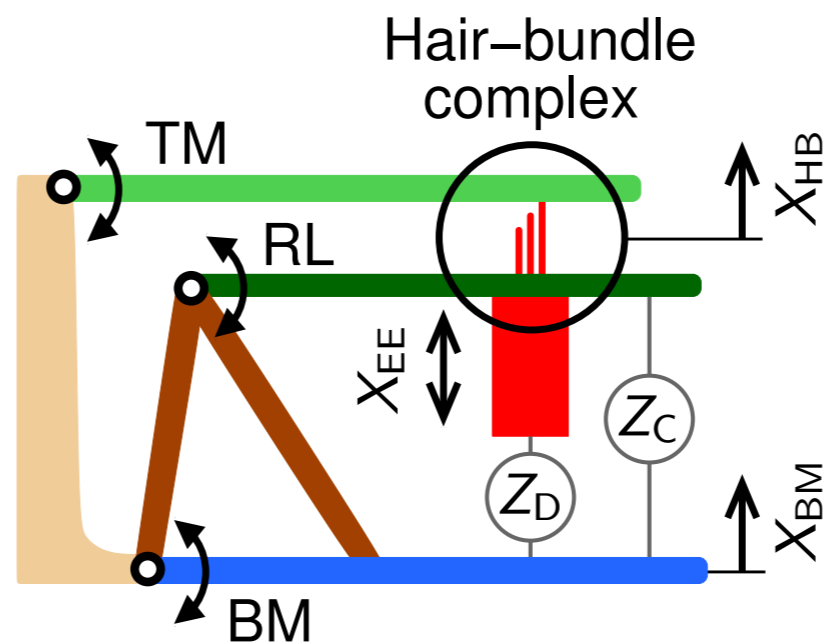
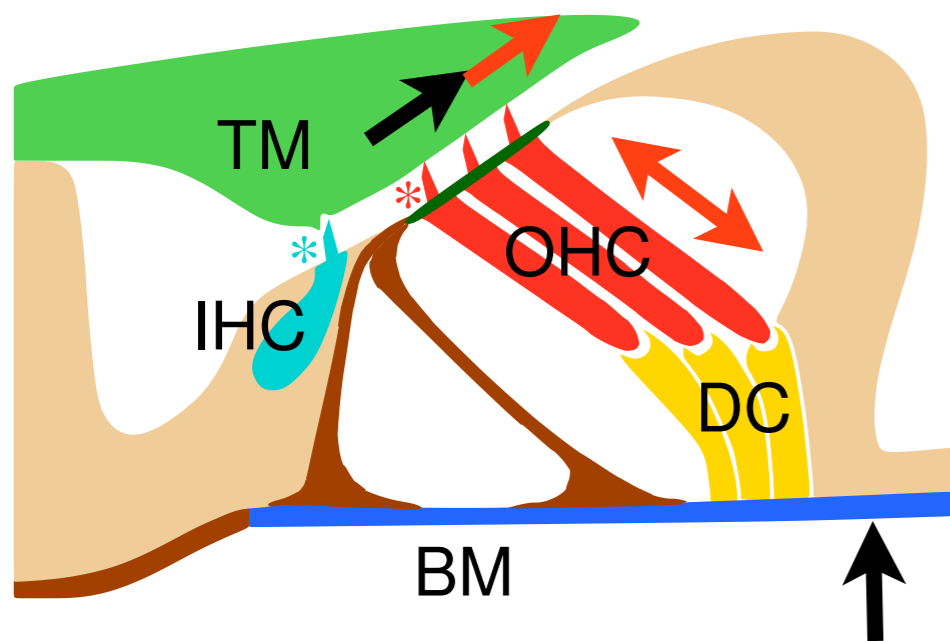


active hair-bundle motility

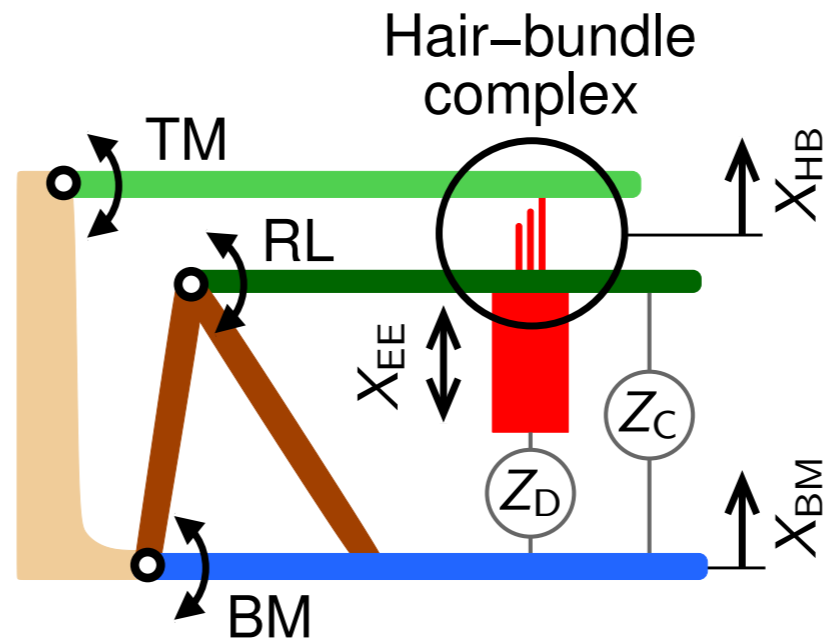
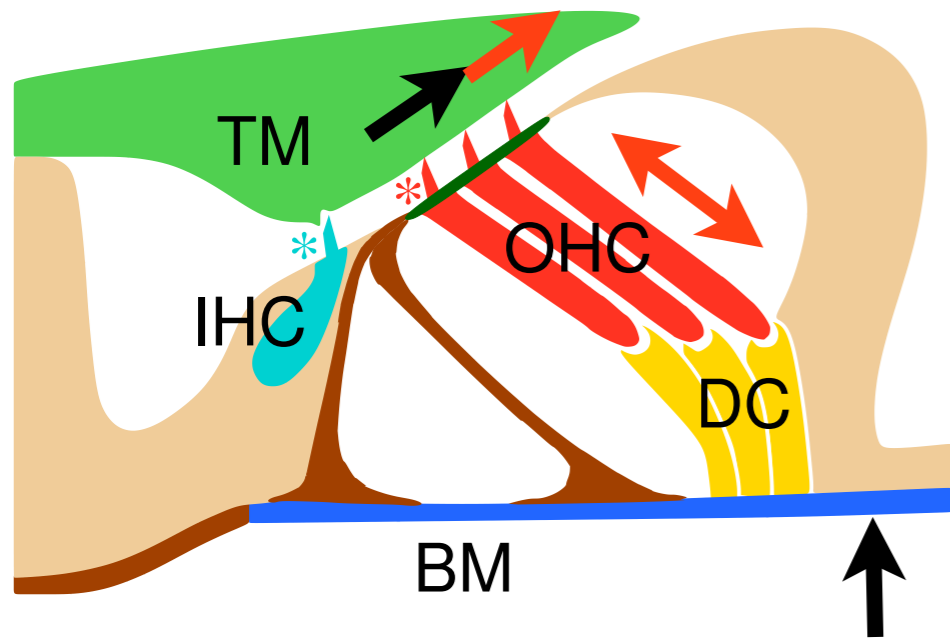


unidirectional amplification

Model for unidirectional amplification

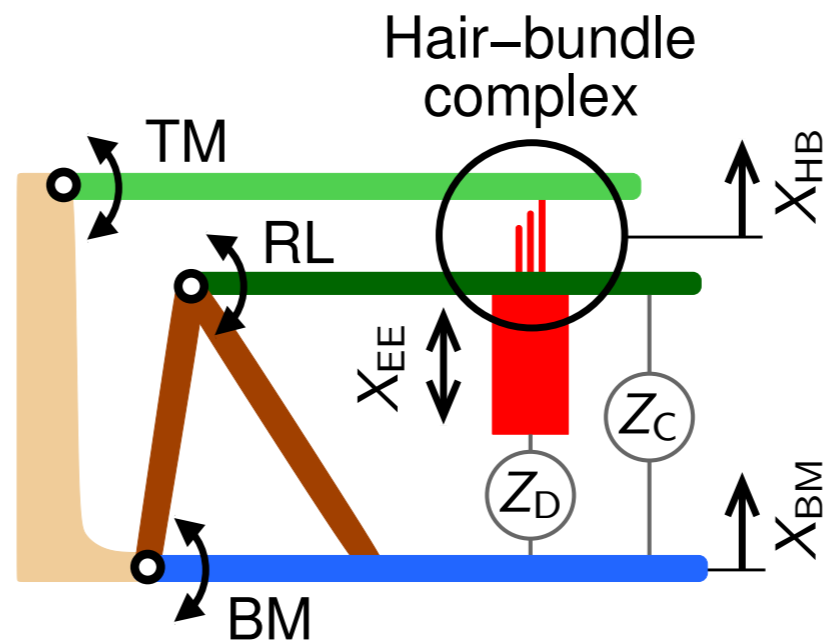
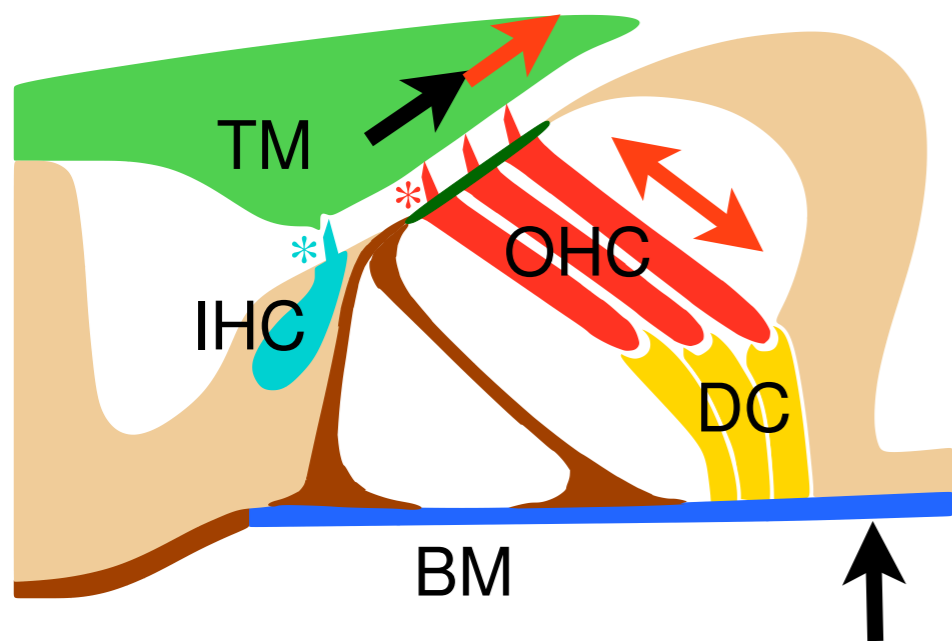


Model for unidirectional amplification



$$\tilde{X}_{EE} = -\alpha \tilde{X}_{HB}$$

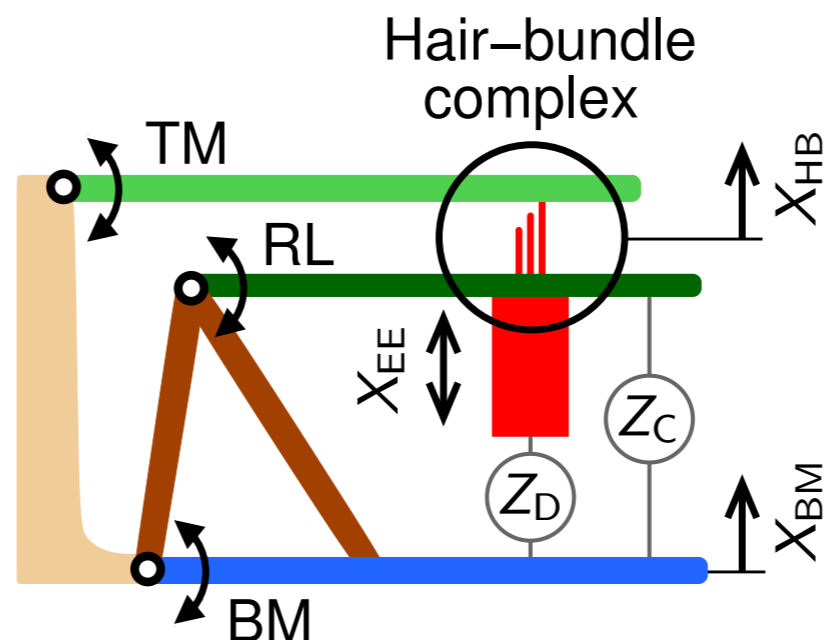
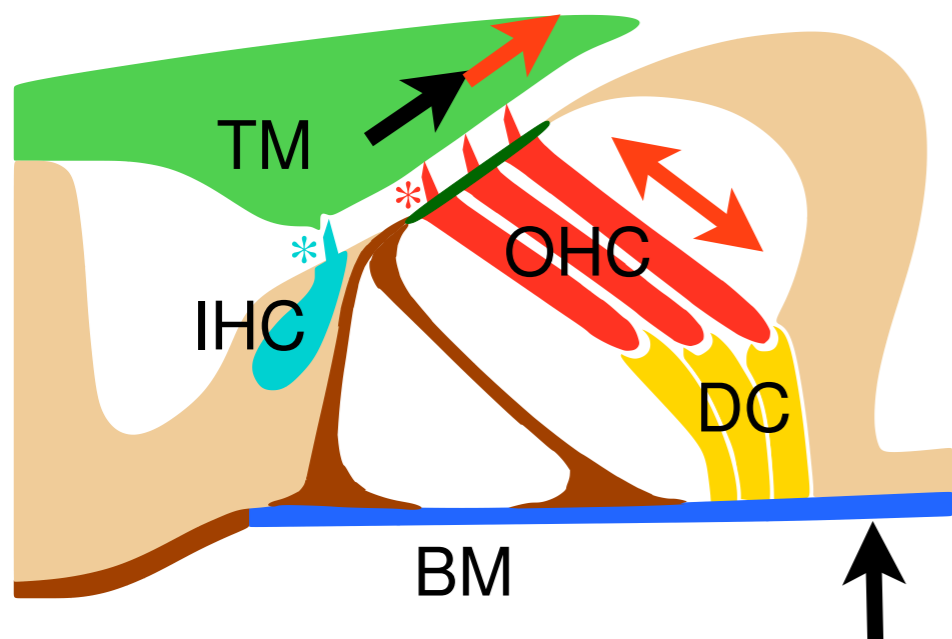
Model for unidirectional amplification



$$\tilde{X}_{EE} = -\alpha \tilde{X}_{HB} \quad A \begin{pmatrix} \tilde{X}_{HB} \\ \tilde{X}_{BM} \end{pmatrix} = \frac{1}{2\pi i f} \begin{pmatrix} \tilde{F}_{int} \\ \tilde{F}_{ext} \end{pmatrix}$$

$$A = \begin{pmatrix} Z_{HB} + (1 + \alpha)Z_D + Z_C & -Z_D - Z_C \\ -(1 + \alpha)Z_D - Z_C & Z_{BM} + Z_D + Z_C \end{pmatrix}$$

Model for unidirectional amplification

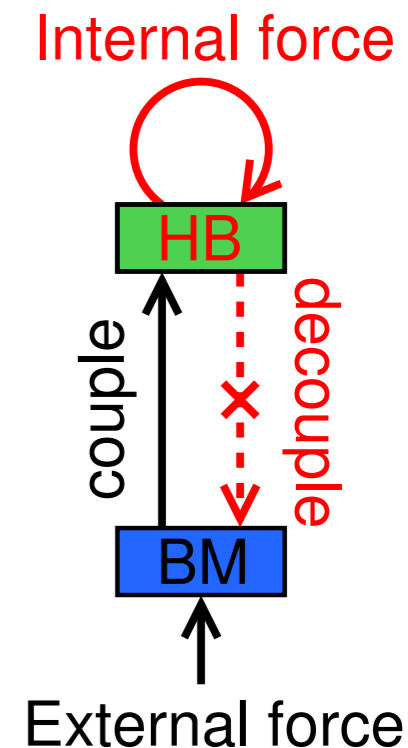
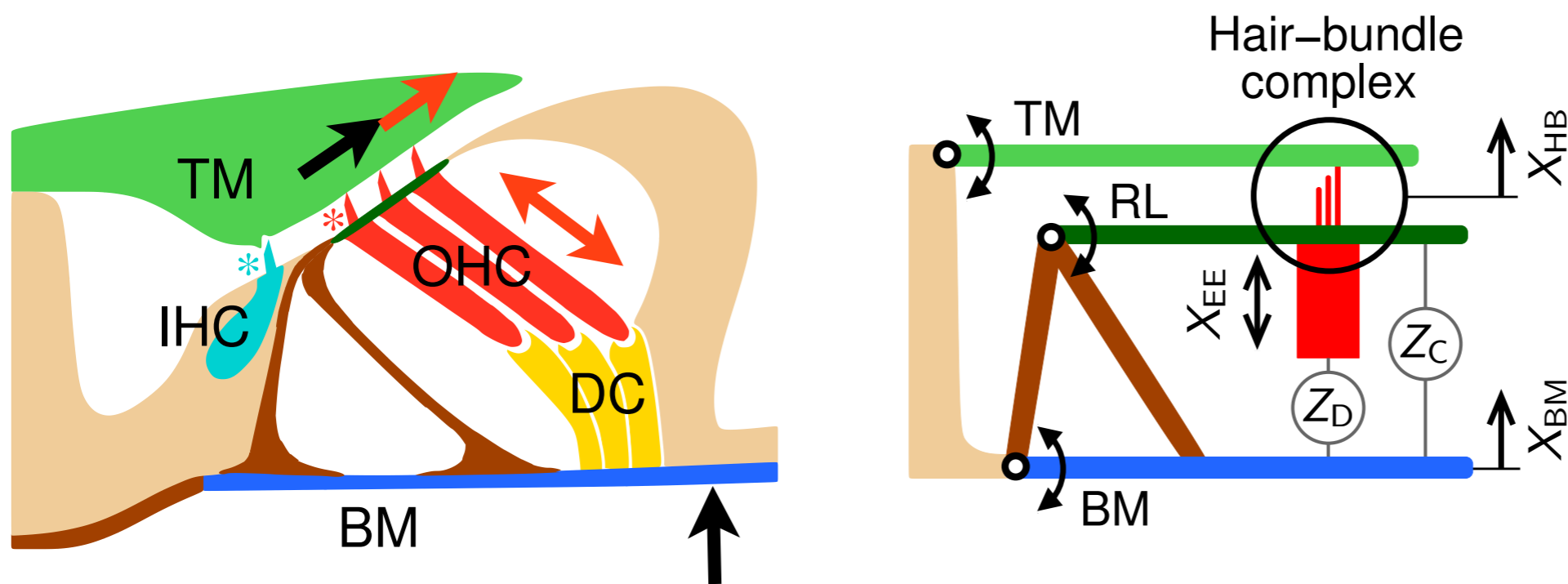


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$$= 0 \text{ at } \alpha_* = -1 - Z_C/Z_D$$

Model for unidirectional amplification

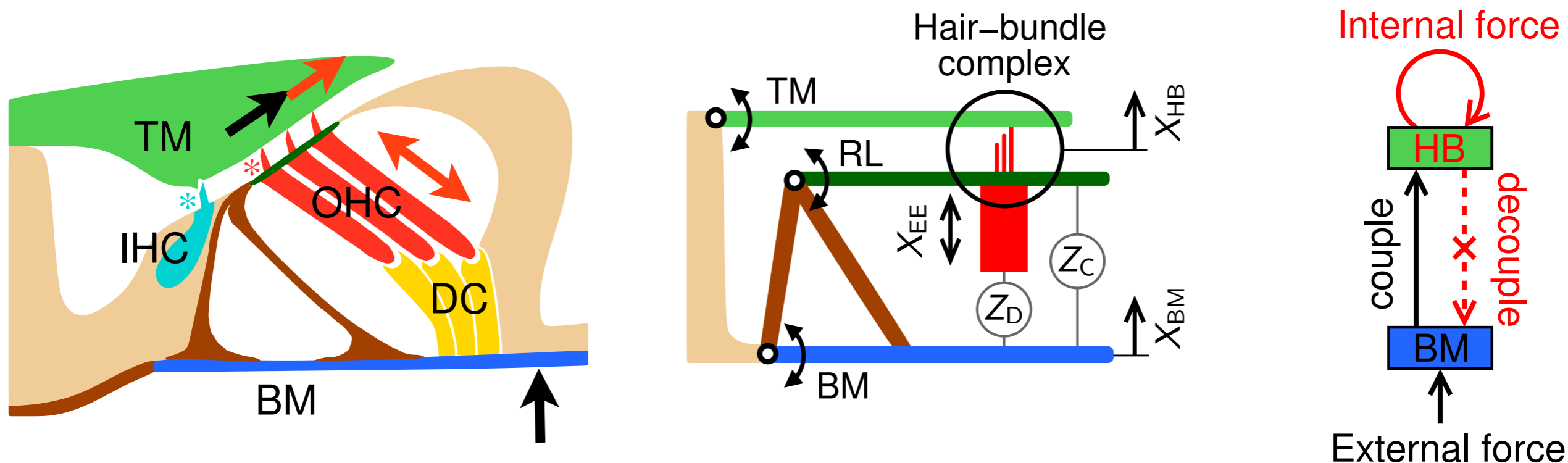


$$\tilde{X}_{EE} = -\alpha \tilde{X}_{HB} \quad A \begin{pmatrix} \tilde{X}_{HB} \\ \tilde{X}_{BM} \end{pmatrix} = \frac{1}{2\pi i f} \begin{pmatrix} \tilde{F}_{int} \\ \tilde{F}_{ext} \end{pmatrix}$$

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$$= 0 \text{ at } \alpha_* = -1 - Z_C/Z_D$$

Model for unidirectional amplification



$$\tilde{X}_{EE} = -\alpha \tilde{X}_{HB}$$

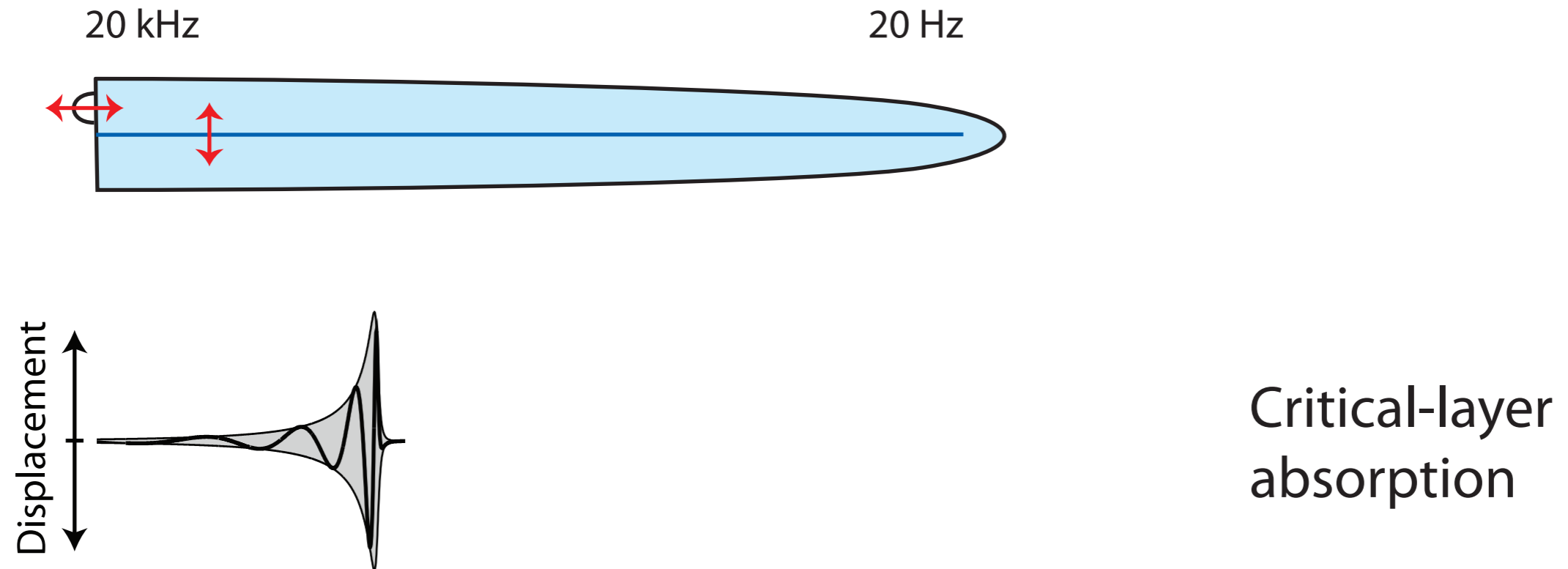
$$A \begin{pmatrix} \tilde{X}_{HB} \\ \tilde{X}_{BM} \end{pmatrix} = \frac{1}{2\pi i f} \begin{pmatrix} \tilde{F}_{int} \\ \tilde{F}_{ext} \end{pmatrix}$$

depends nonlinearly on X_{HB} !

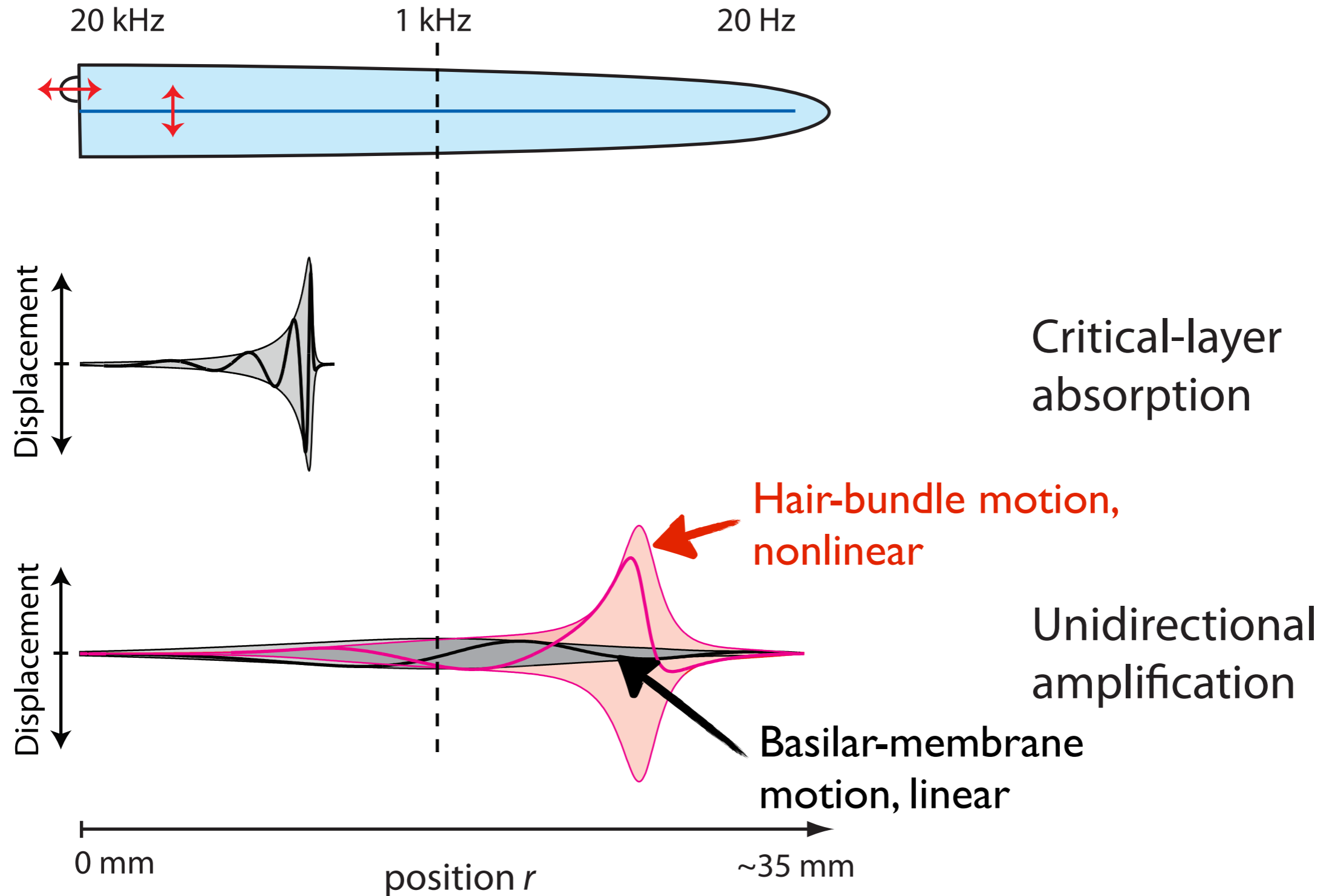
$$A = \begin{pmatrix} Z_{HB} + (1 + \alpha)Z_D + Z_C & -Z_D - Z_C \\ -(1 + \alpha)Z_D - Z_C & Z_{BM} + Z_D + Z_C \end{pmatrix}$$

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Cochlear mechanics

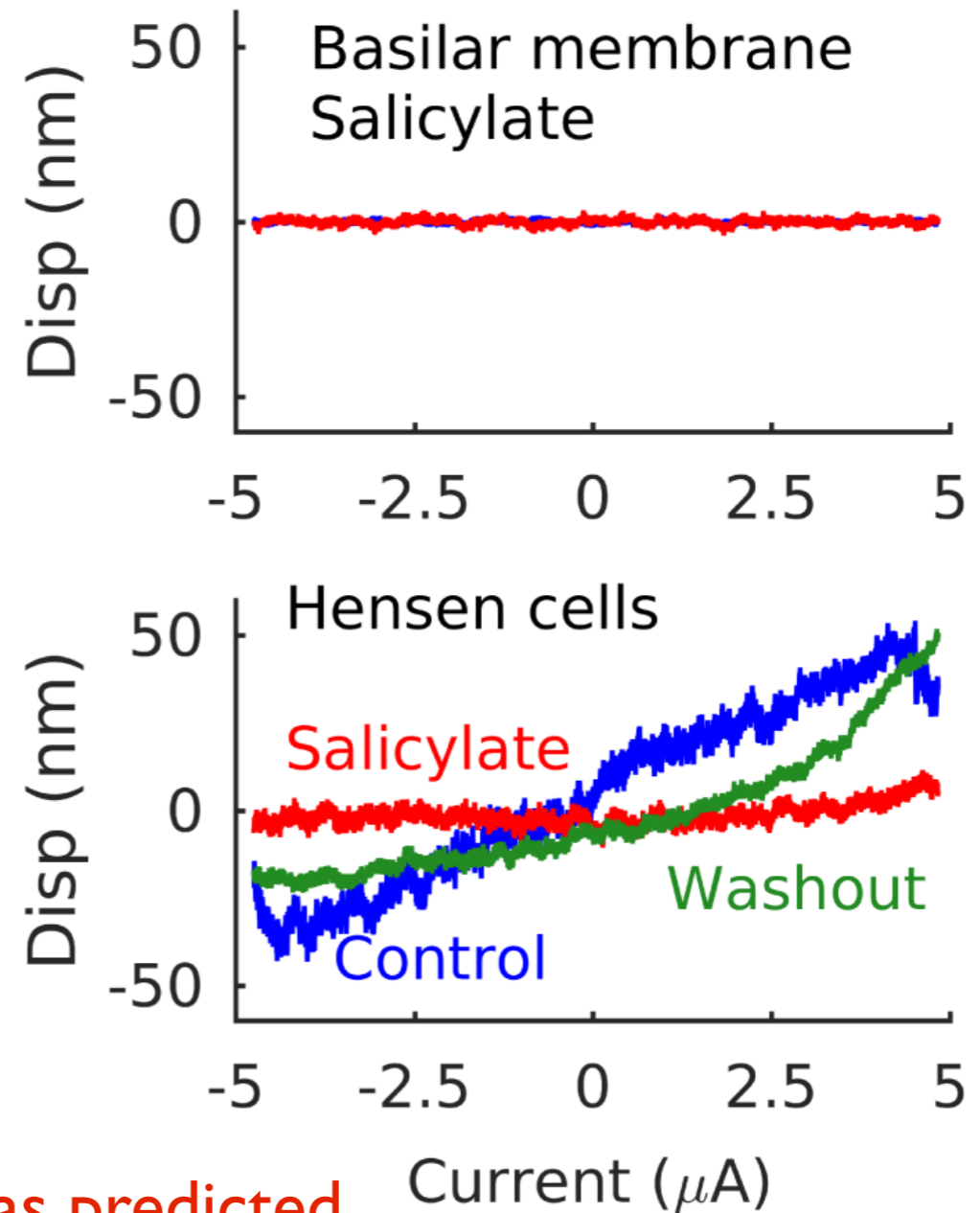
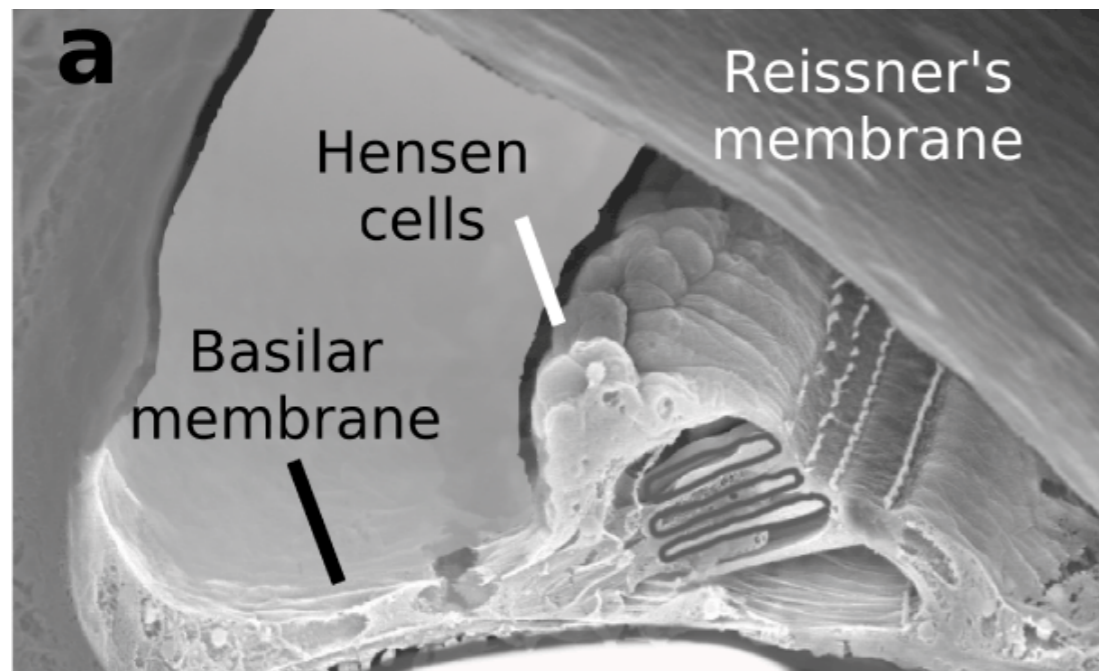


Cochlear mechanics



Experimental results from the cochlear apex

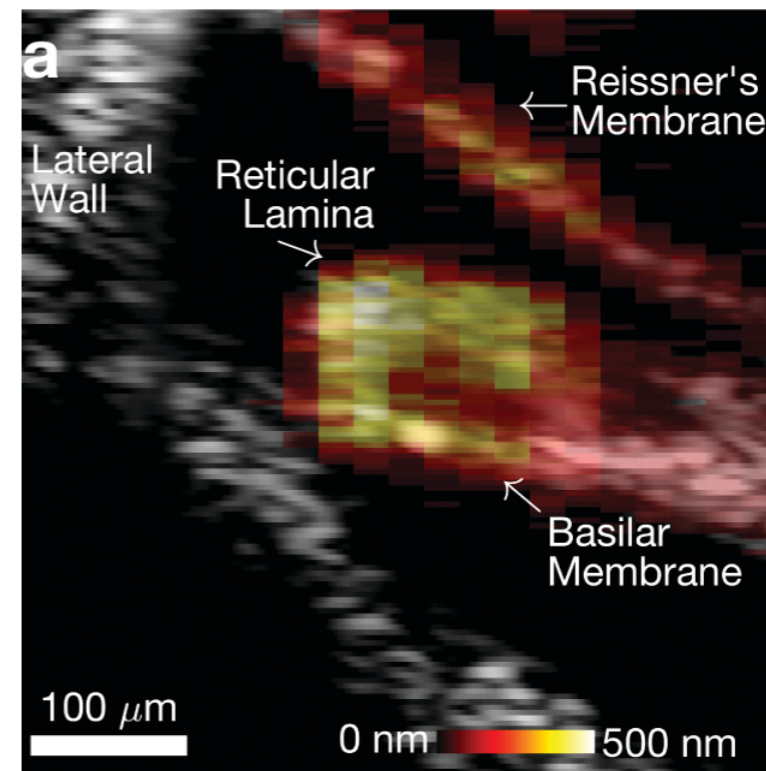
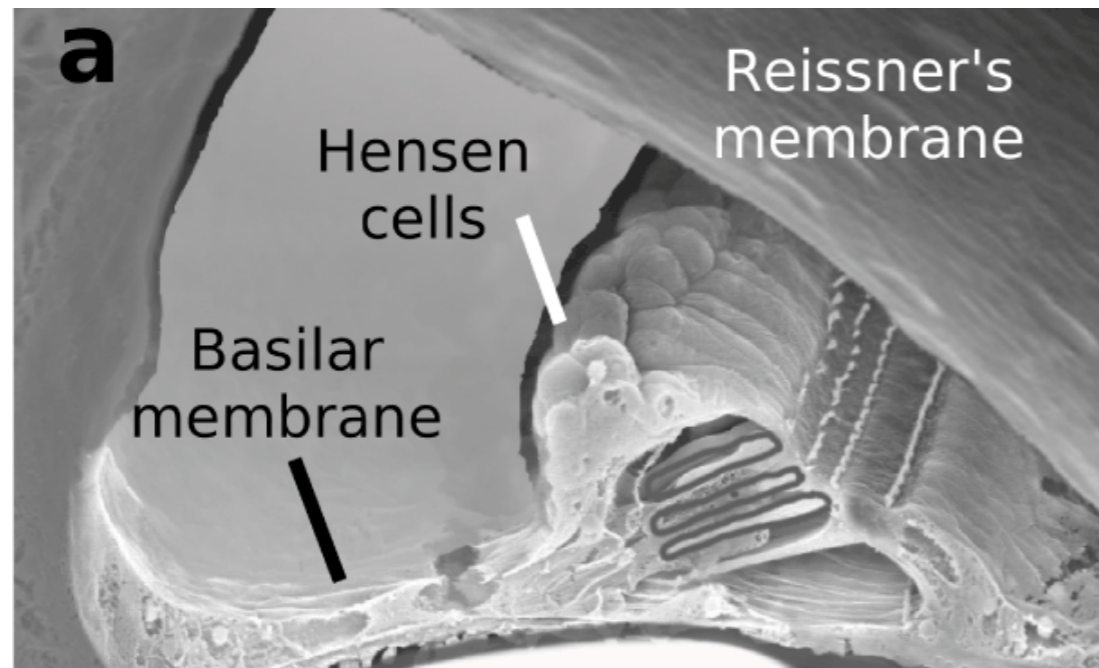
Laser interferometry during electrical stimulation:



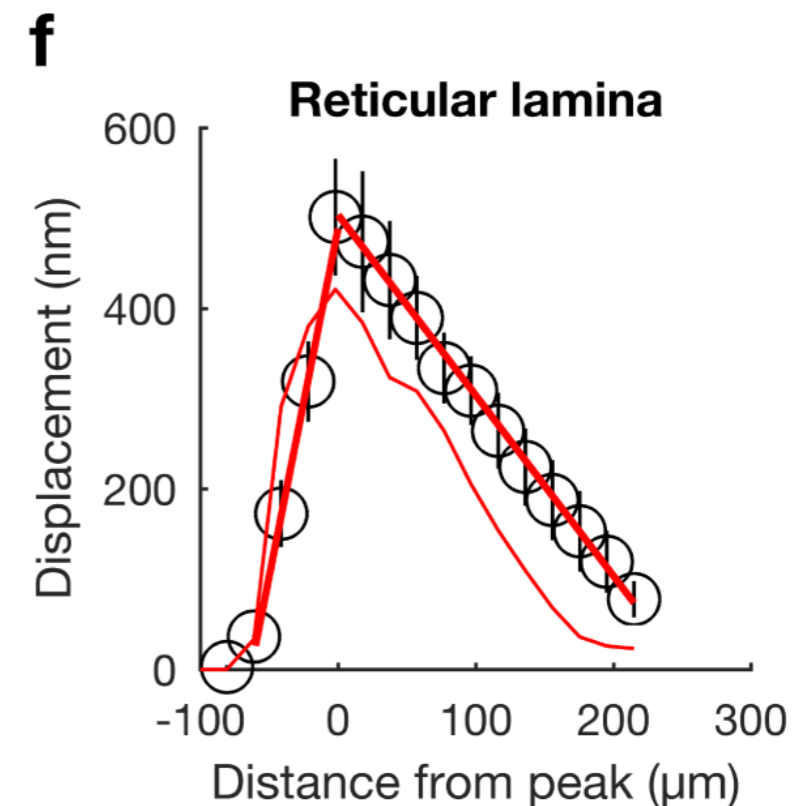
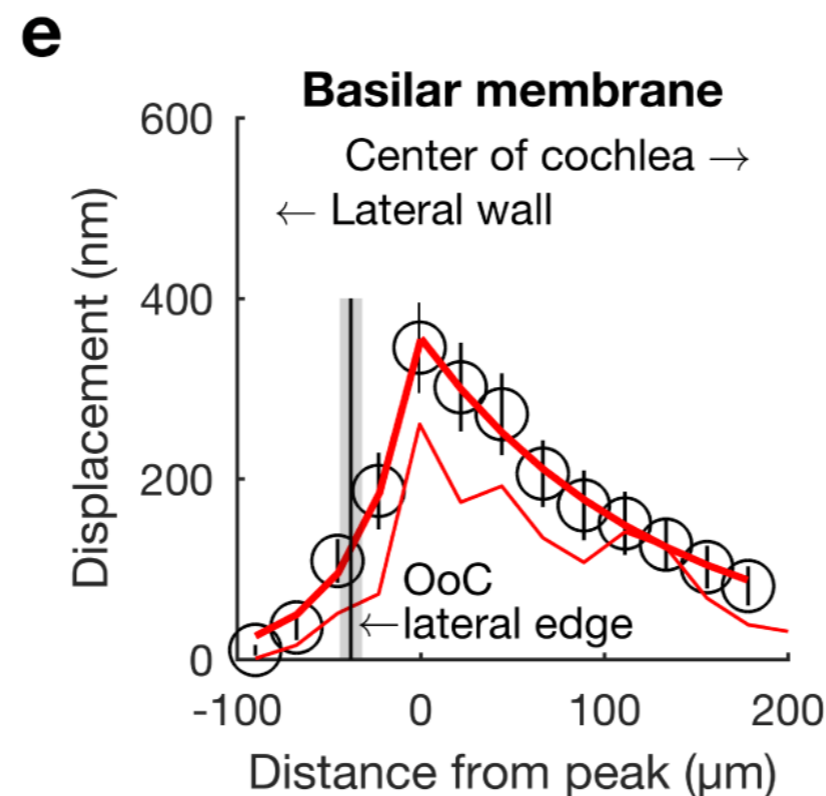
Much larger motion at the Hensen cells as predicted by unidirectional amplification!

Warren, Ramamoorthy, Ciganovic, Zhang, Wilson, Reichenbach, Nuttall, Fridberger, PNAS (2016)

Experimental results from the cochlear apex



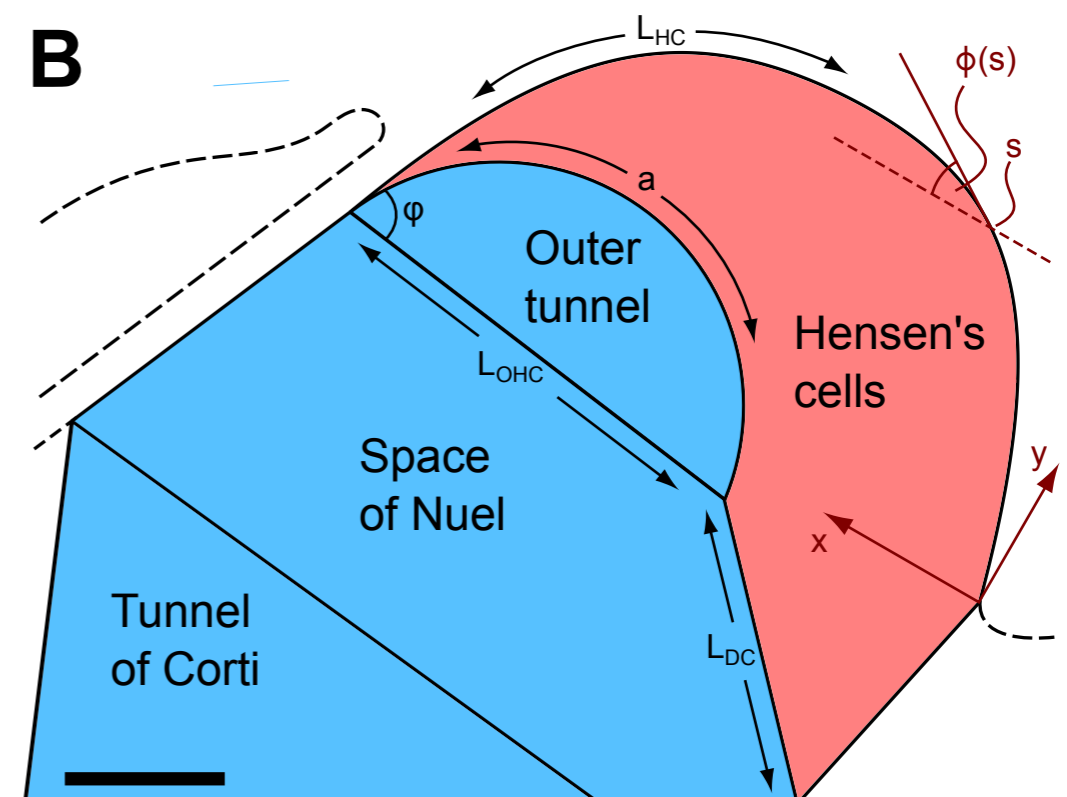
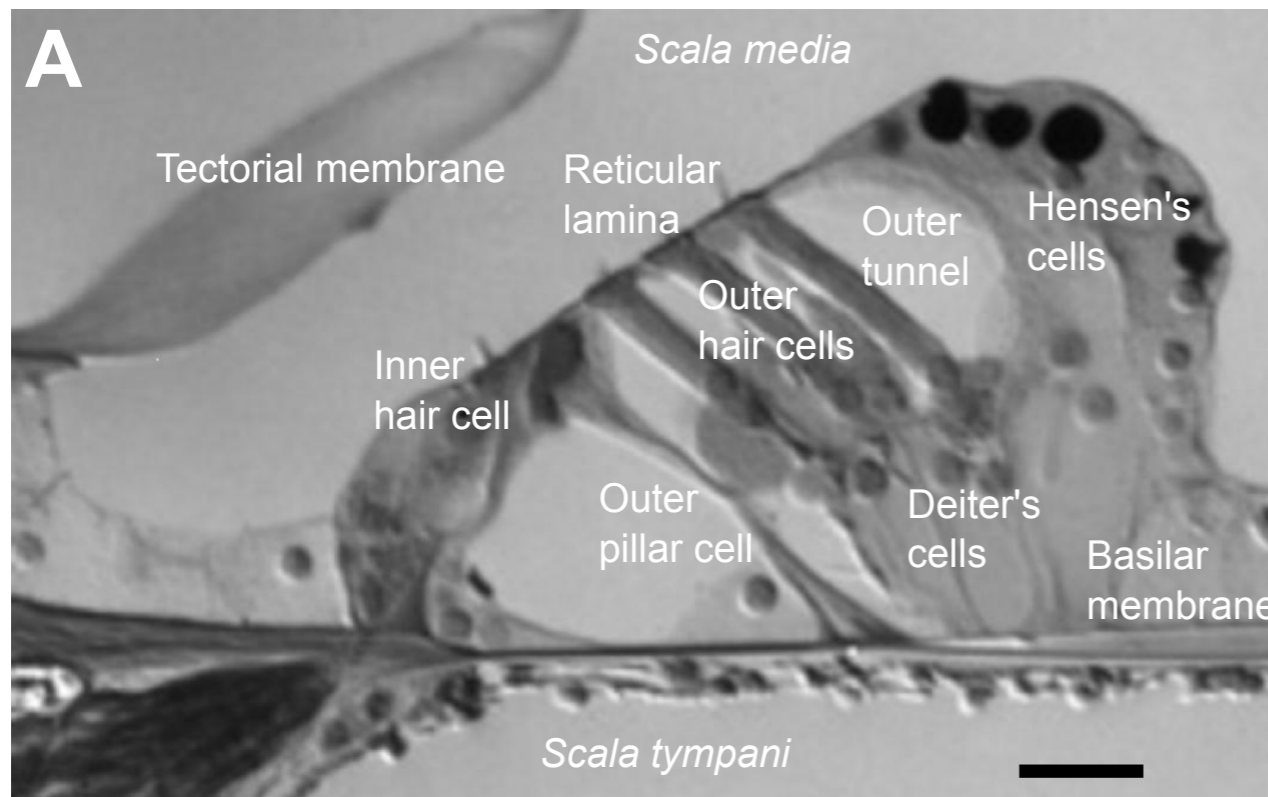
Optical
coherence
tomography:



Warren, Ramamoorthy, Ciganovic, Zhang, Wilson, Reichenbach, Nuttall, Fridberger, PNAS (2016)

Nonlinear deformation of the organ of Corti at the apex

- Experimental data from Prof. A Fridberger, University of Linköping
- Development of a geometric model for the deformation of the organ
- Central assumption: cross-section remains constant

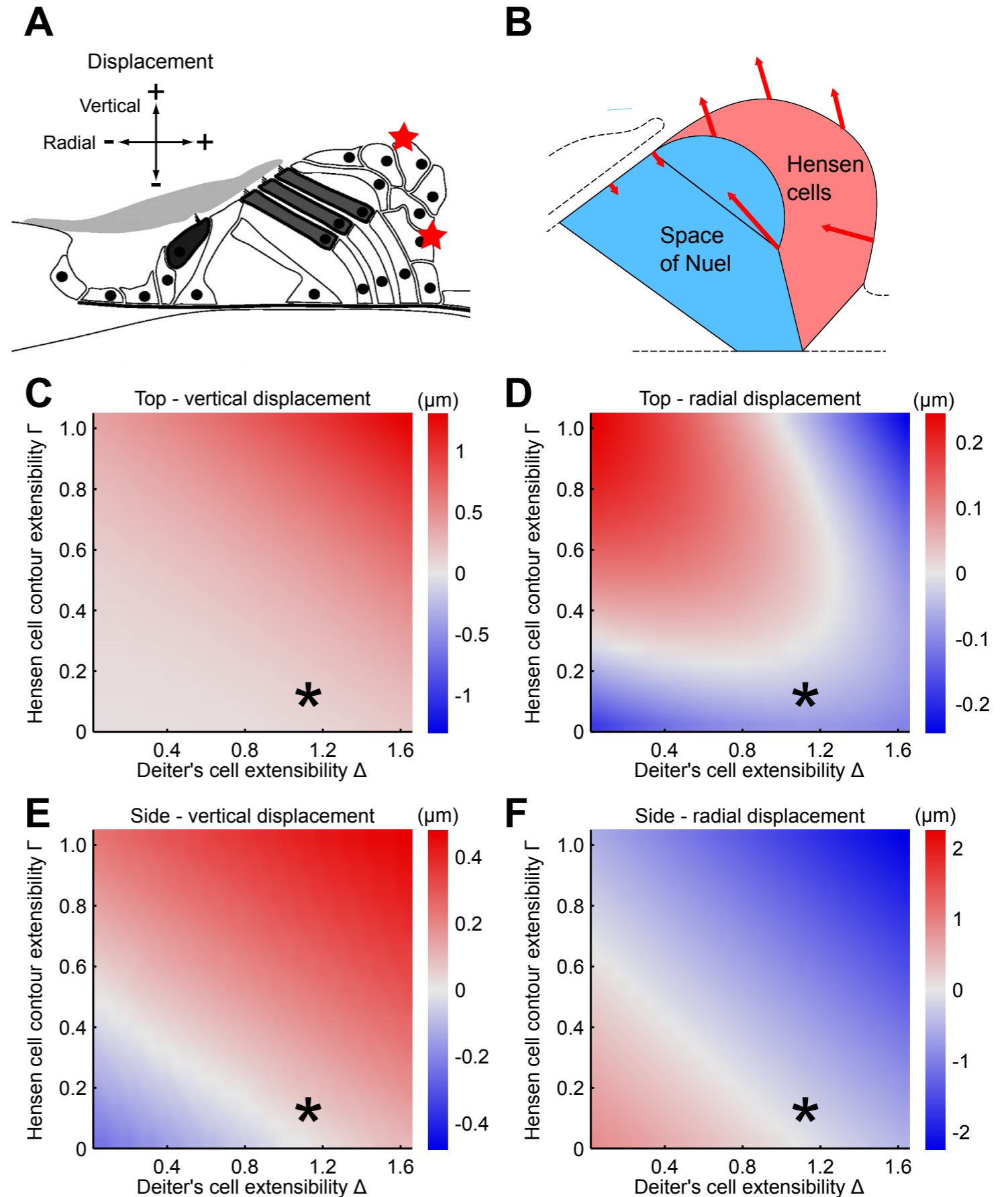


Determining model parameters

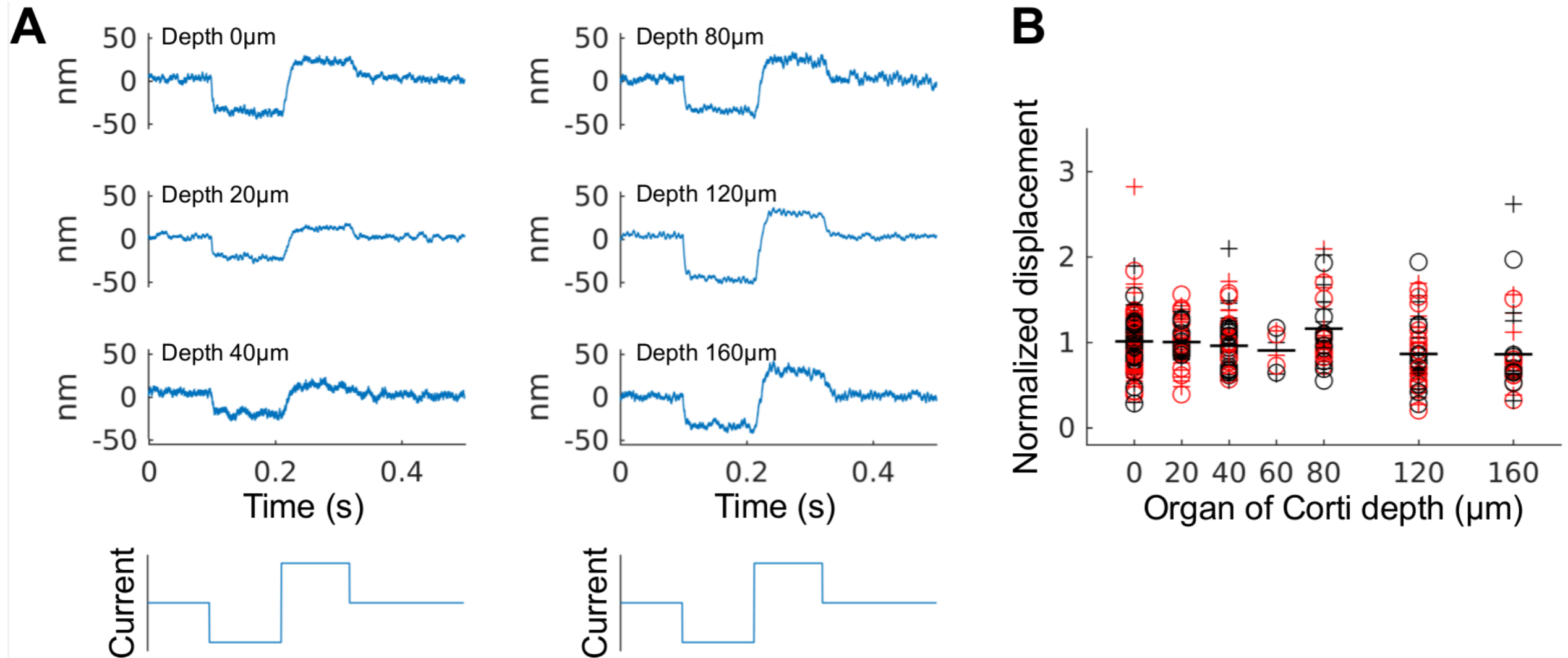
- Model contains only two parameters

- Model parameters can be fixed through comparison with experimental results

- Hensen cells move upward when the outer hair cells contract!

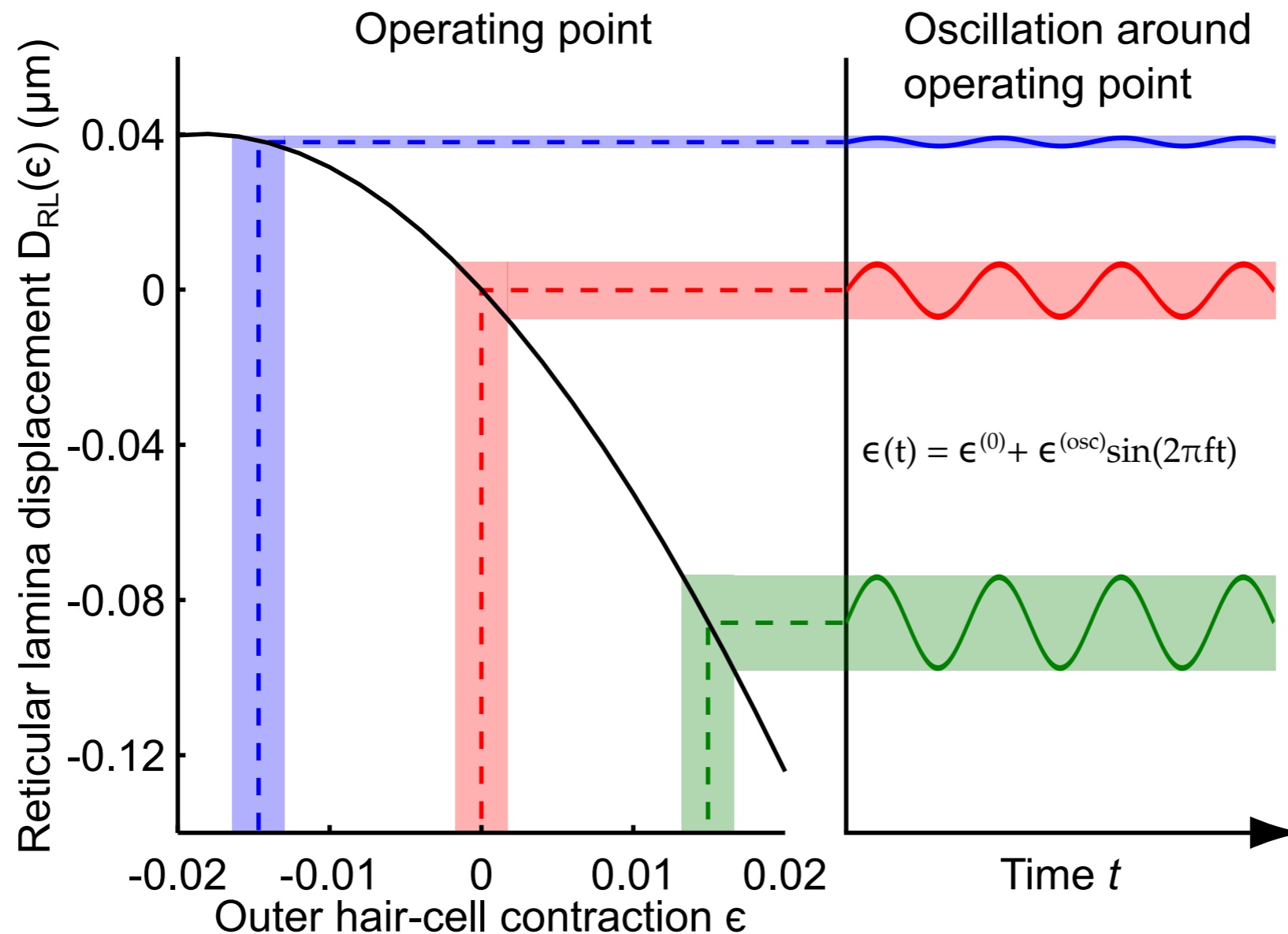


Correct model predictions of deformation behavior



Displacements keep similar amplitude and polarity
'under' the arc of Hensen cells

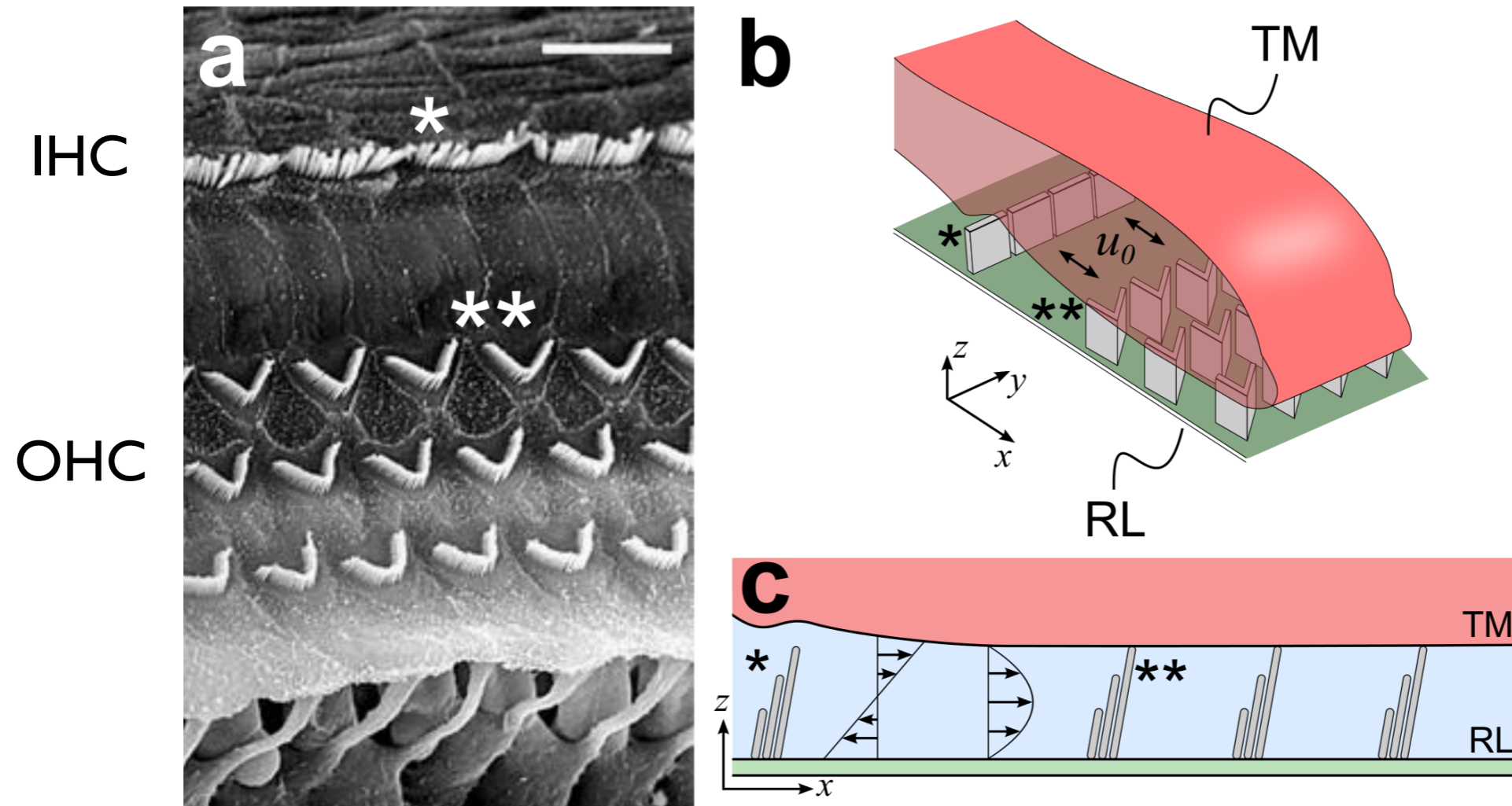
Nonlinearity in the hair-bundle's amplitude



=> organ of Corti acts as an electromechanical transistor!

=> opens route for efferent nerve fibers to influence sound transmission by regulating the length of the outer hair cells!

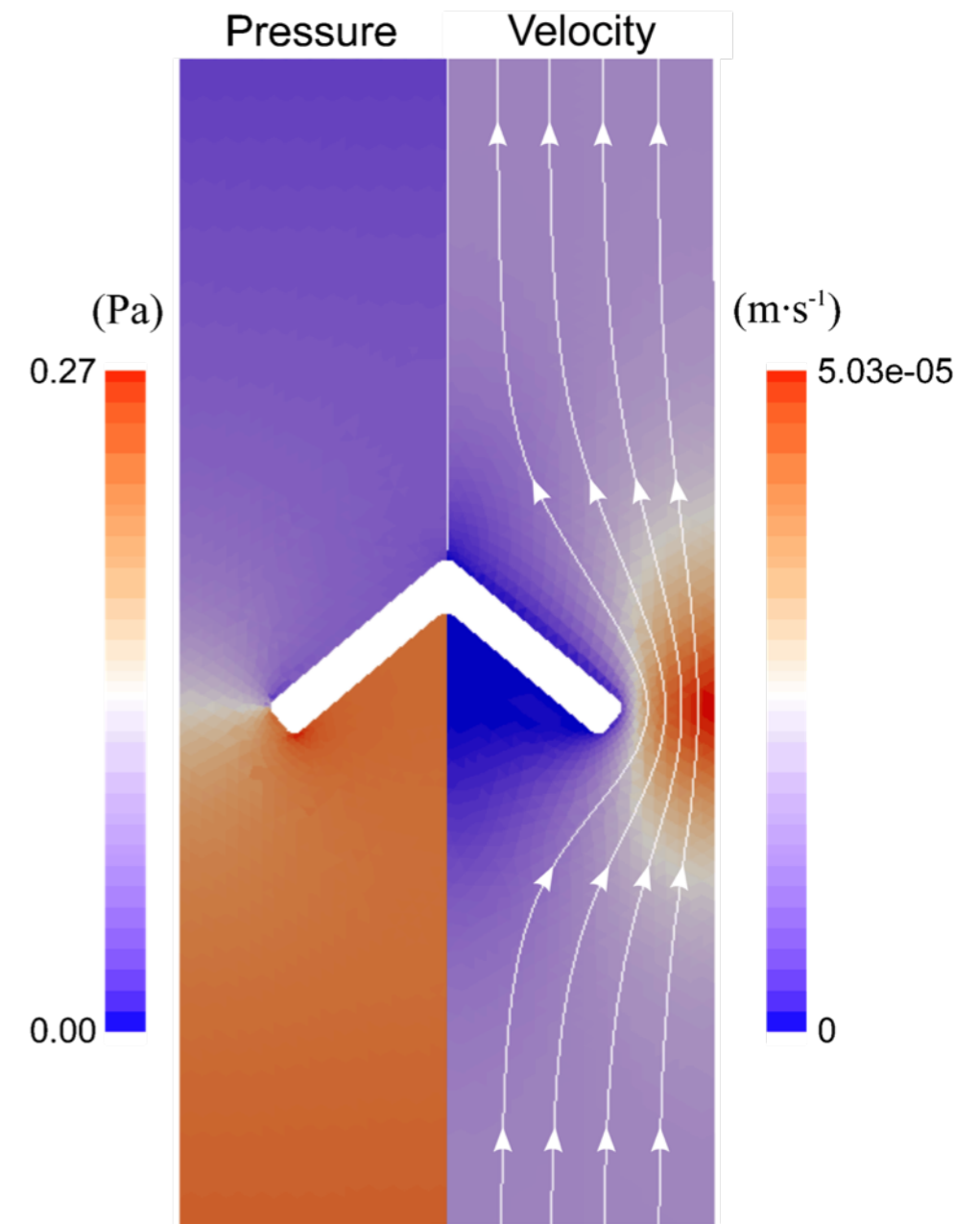
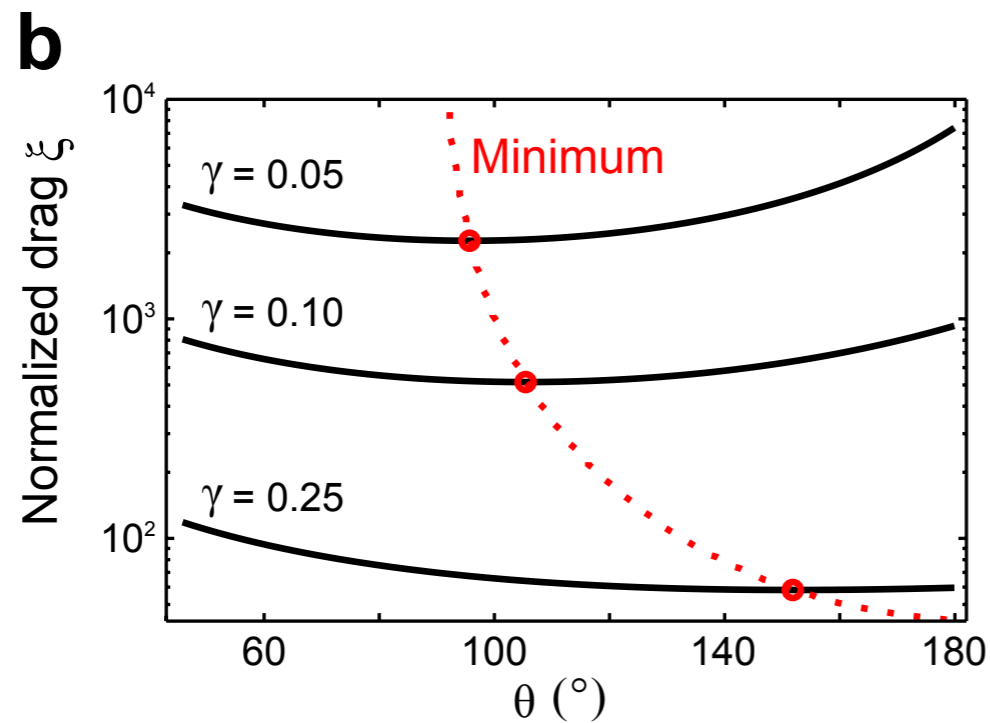
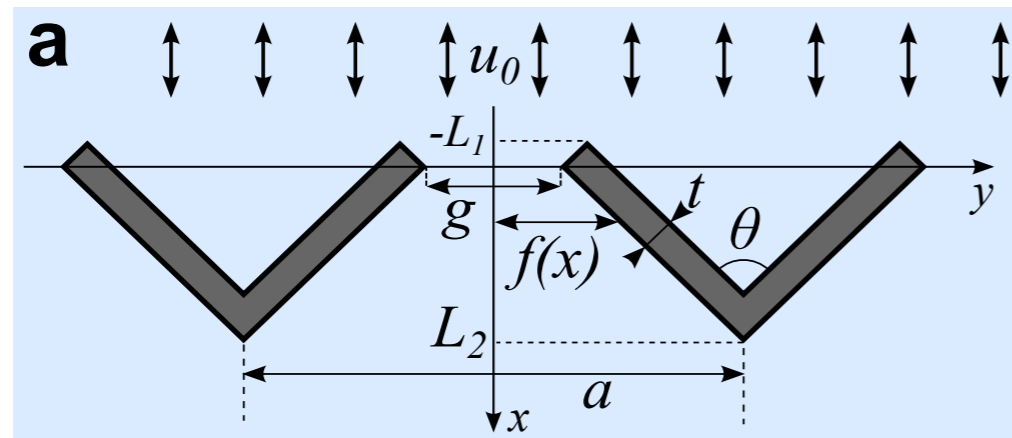
Fluid flow in the subtectorial space



Evidence for net fluid flow between tectorial membrane and reticular lamina

Specialization in hair-bundle shape

Lubrication theory and computational fluid dynamics for flow across a lattice of hair bundles

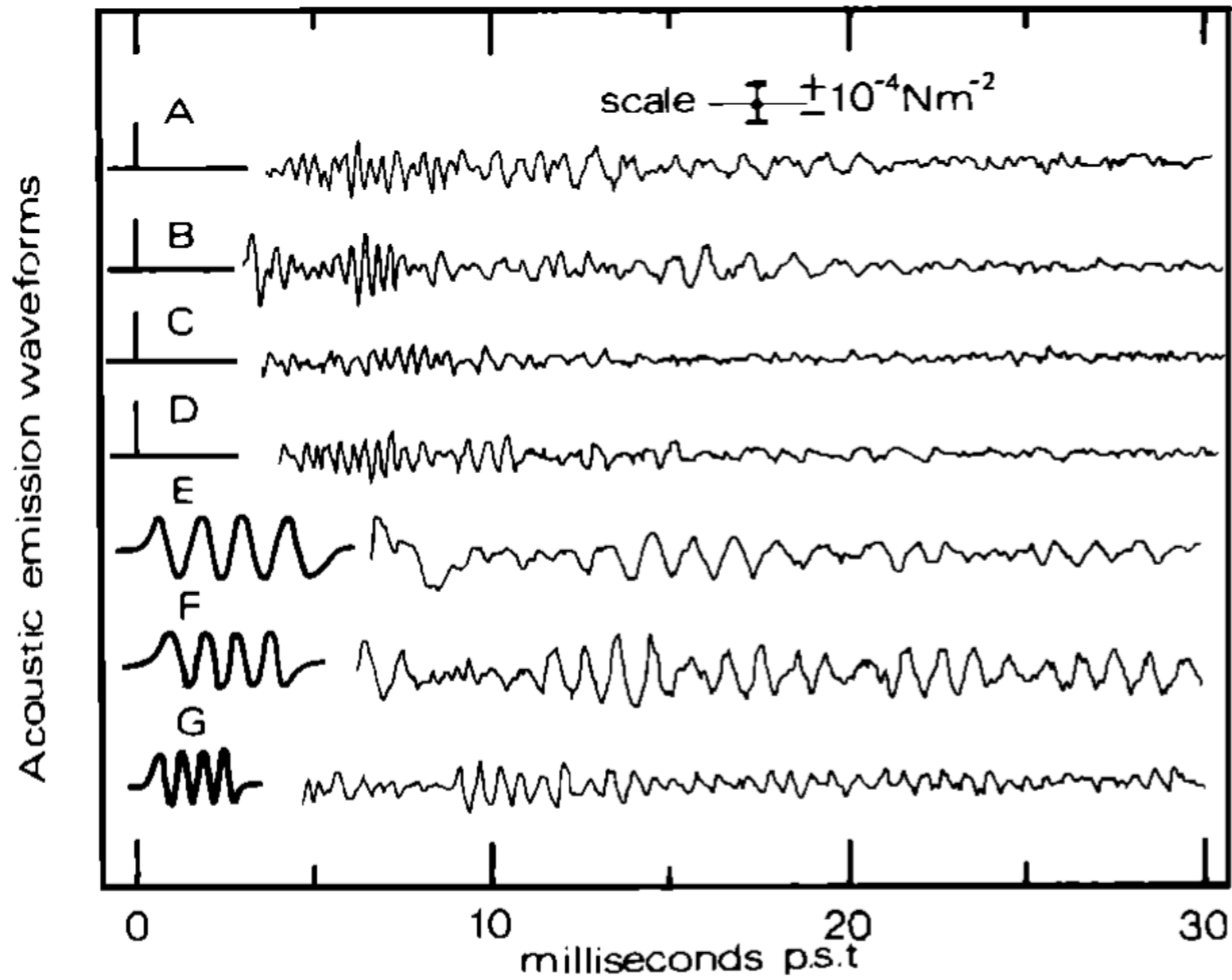


Resistance minimal for opening angle of ca. 100°!

Ciganovic, Wolde-Kidan and Reichenbach (2017) *Sci. Rep.*

5. Otoacoustic emissions

Echoes from the ear



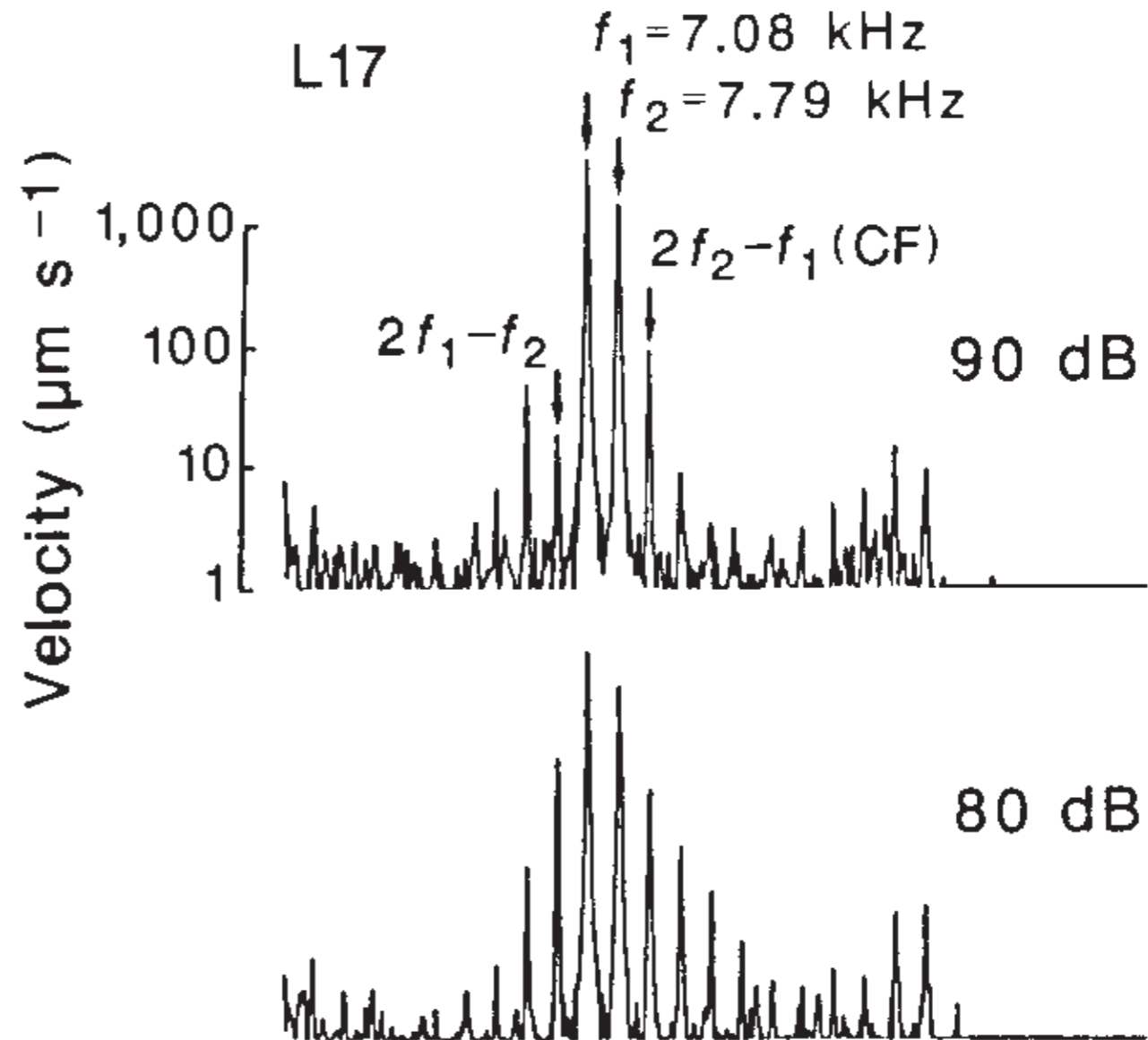
Kemp (1978) *J. Acoust. Soc. Am.*

Distortion-Product Otoacoustic Emissions (DPOAEs)

Stimulation of the inner ear
at two frequencies f_1 and f_2

=> Nonlinear response
yields combination tones
(distortion)

in particular at
 $2f_1 - f_2$ and $2f_2 - f_1$



Generation and propagation of OAEs in the cochlea

nonlinear wave equation: $\partial_x^2 \tilde{V} - \frac{2i\omega\rho_0}{Zh} \tilde{V} = -\alpha \tilde{V} * \tilde{V} * \tilde{V}$ **cubic nonlinearity**

Compute Green's function $\tilde{V}^{(G,x_0)}$ that satisfies $\partial_x^2 \tilde{V}^{(G,x_0)} - \frac{2i\omega\rho_0}{Zh} \tilde{V}^{(G,x_0)} = \delta(x - x_0)$

Generation and propagation of OAEs in the cochlea

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Ansatz $\tilde{V}^{(G,x_0)} = \int_{-\infty}^{\infty} dk g(k) e^{-ik(x-x_0)}$ yields $g(k) = \frac{1}{2\pi L(k)}$ with

$L(k) = [k^2 + 2i\omega\rho_0 / (Zh)]$; $L(k) = 0$ is the dispersion relation

Generation and propagation of OAEs in the cochlea

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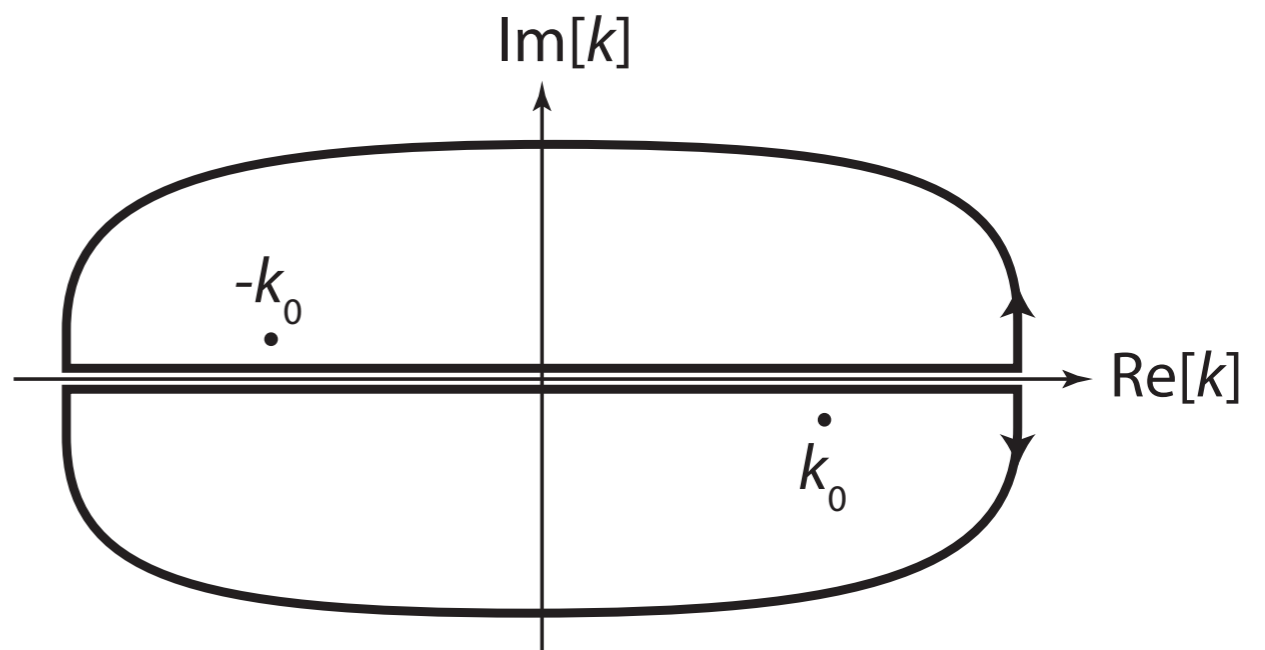
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Ansatz $\tilde{V}^{(G,x_0)} = \int_{-\infty}^{\infty} dk g(k) e^{-ik(x-x_0)}$ yields $g(k) = \frac{1}{2\pi L(k)}$ with

$L(k) = [k^2 + 2i\omega\rho_0 / (Zh)]$; $L(k) = 0$ is the dispersion relation

Integral can be solved by shifting the poles away from the real axis (damping!) and complex integration

=> 'Feynman propagator'



Generation and propagation of OAEs in the cochlea

Solution to the nonlinear wave equation:

$$\tilde{V}(x) = -\alpha \int dx_0 \tilde{V}^{(G, x_0)}(x) (\tilde{V} * \tilde{V} * \tilde{V})(x_0)$$

Green's function cubic nonlinearity

Generation and propagation of OAEs in the cochlea

Solution to the nonlinear wave equation:

$$\tilde{V}(x) = -\alpha \int dx_0 \tilde{V}^{(G,x_0)}(x) (\tilde{V} * \tilde{V} * \tilde{V})(x_0)$$

Green's function cubic nonlinearity

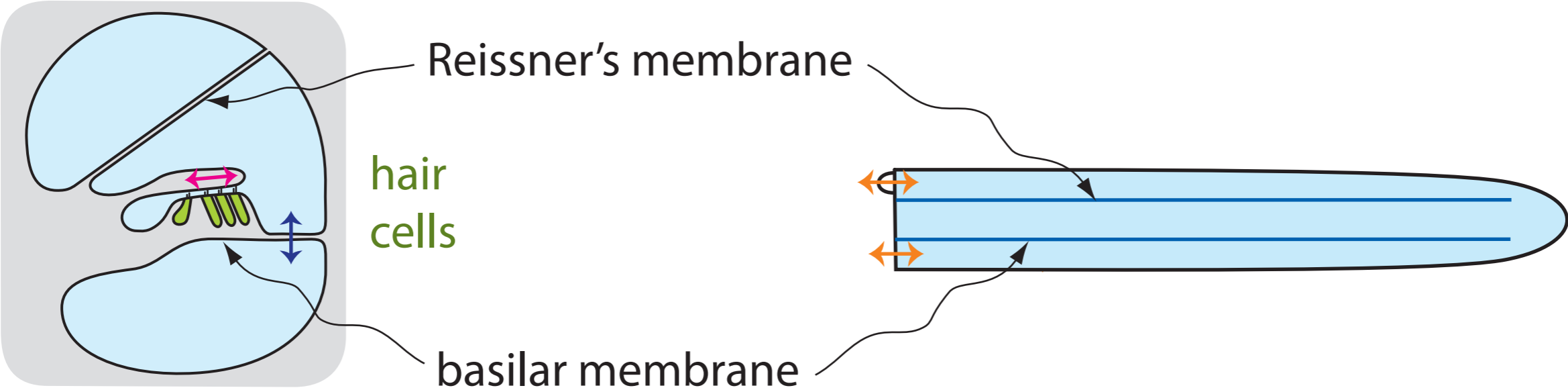
Problem: implicit equation for the velocity!

Born approximation: $\tilde{V}_1(x) = -\alpha \int dx_0 \tilde{V}^{(G,x_0)}(x) (\tilde{V}_0 * \tilde{V}_0 * \tilde{V}_0)(x_0)$

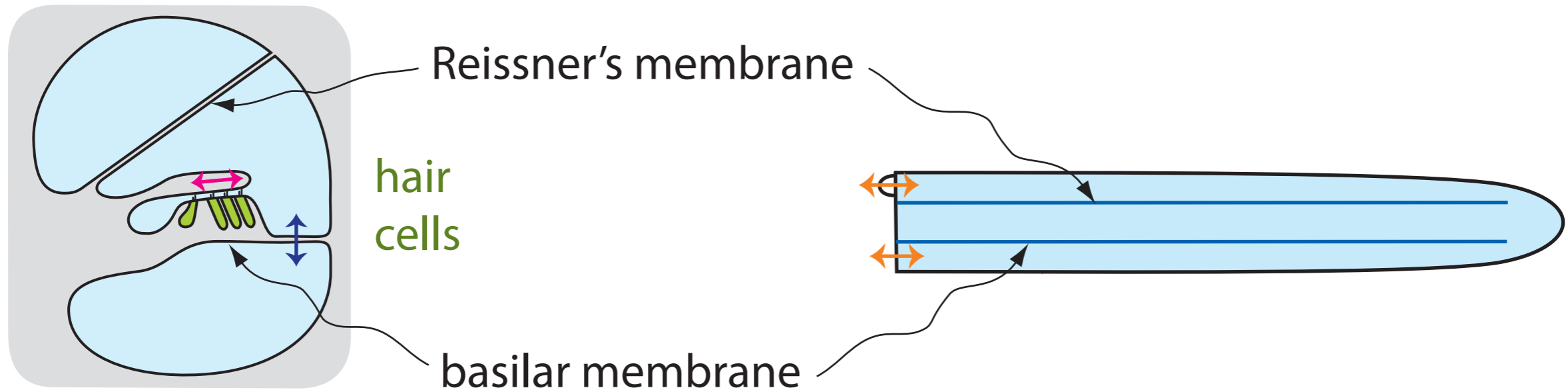
with V_0 solution to linear (passive) wave equation

Full solution: $\tilde{V} = \tilde{V}_0 + \tilde{V}_1$

Waves on Reissner's membrane



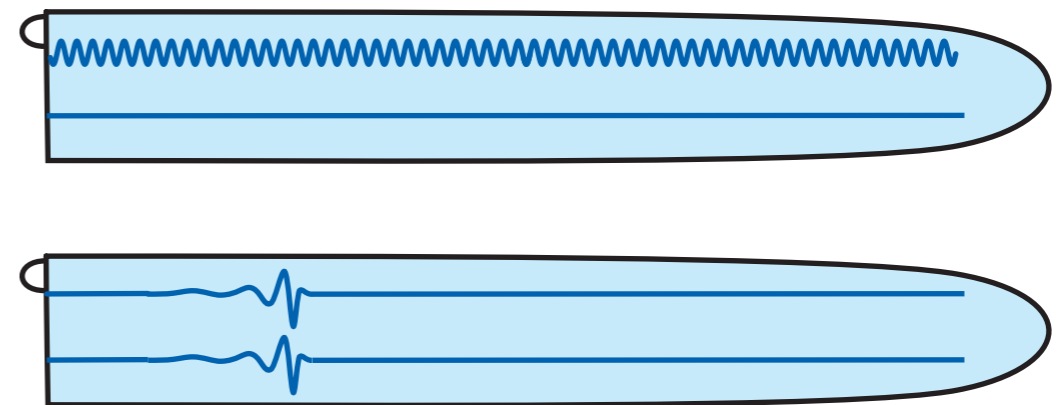
Waves on Reissner's membrane



Theory:

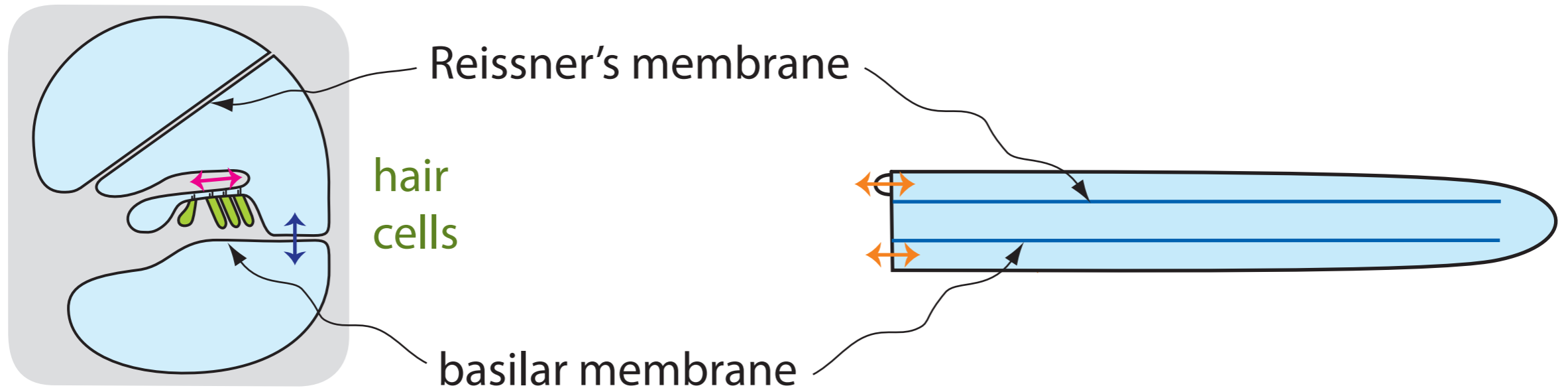
two wave modes on the two parallel membranes

play a role in *otoacoustic emission*



T. Reichenbach, A. Stefanovic, F. Nin & A. J. Hudspeth, *Cell Reports* (2012)

Waves on Reissner's membrane

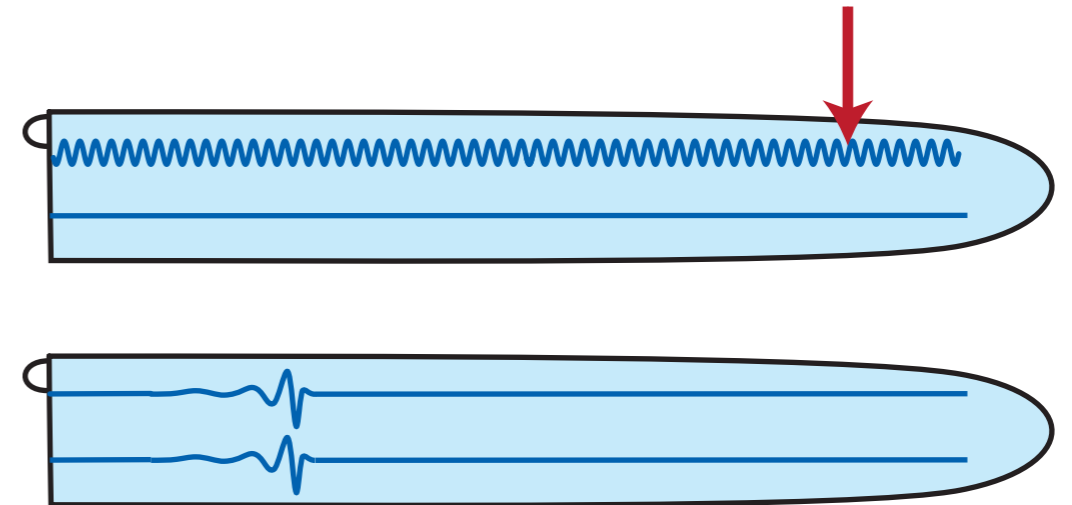


Theory:

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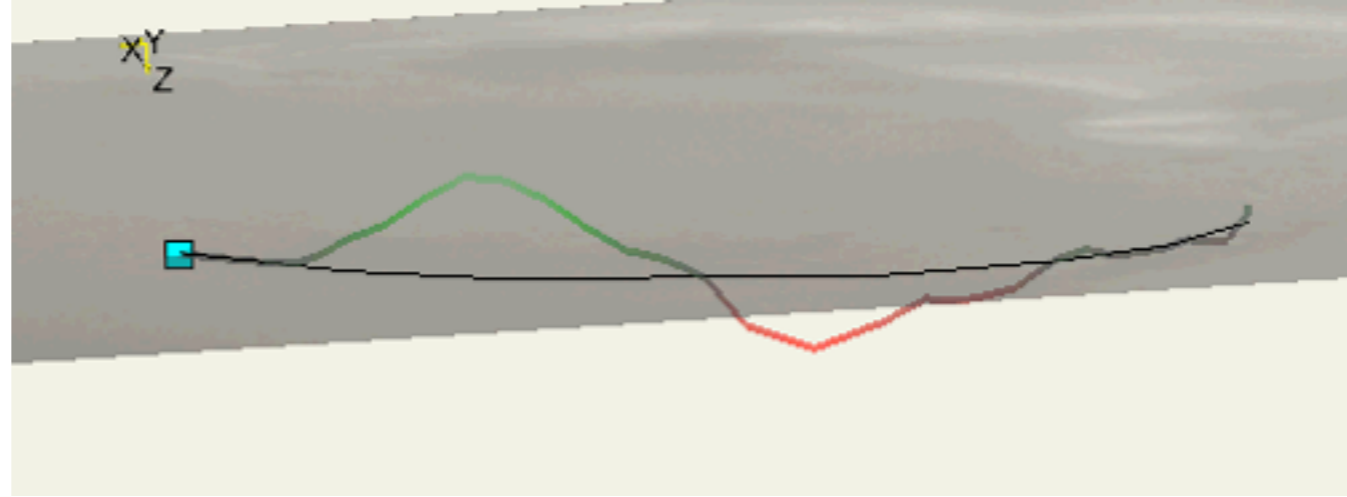
play a role in *otoacoustic emission*

Experiment: Laser-interferometric measurement

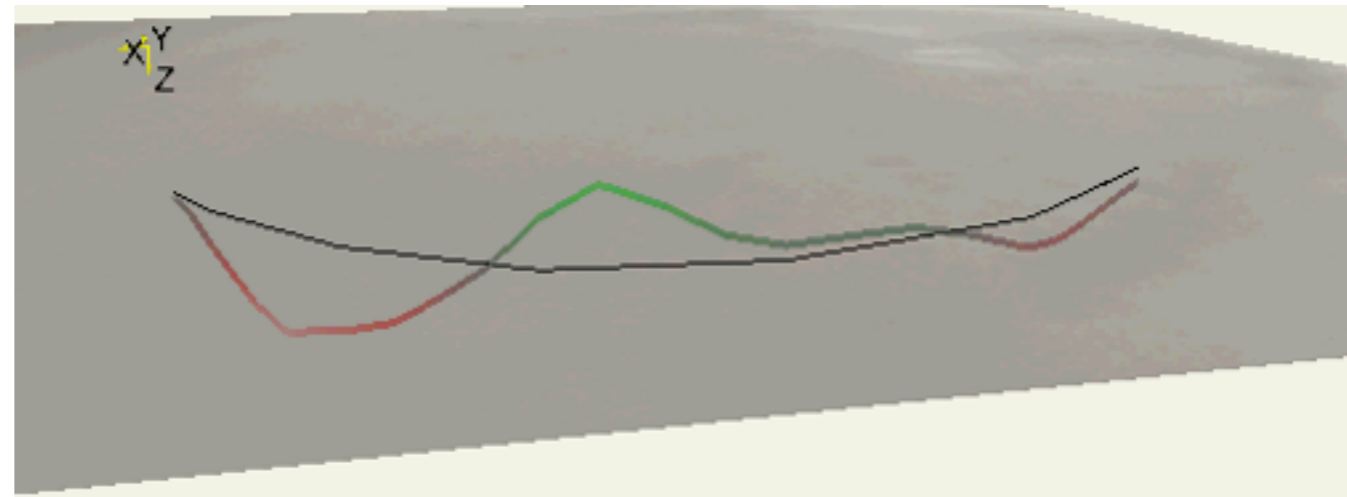


T. Reichenbach, A. Stefanovic, F. Nin & A. J. Hudspeth, *Cell Reports* (2012)

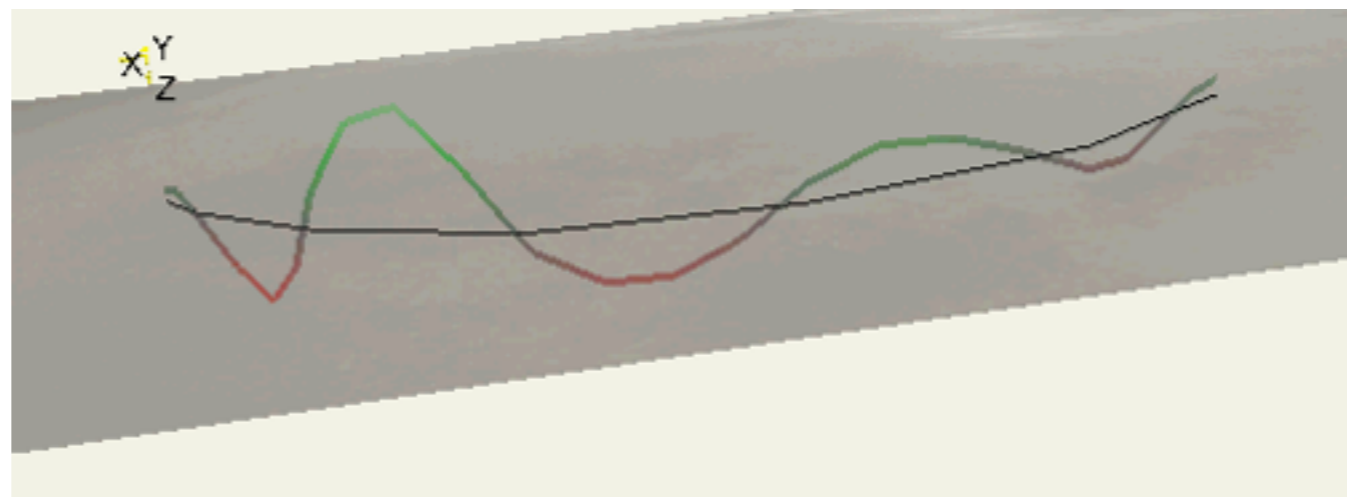
$f=1$ kHz:



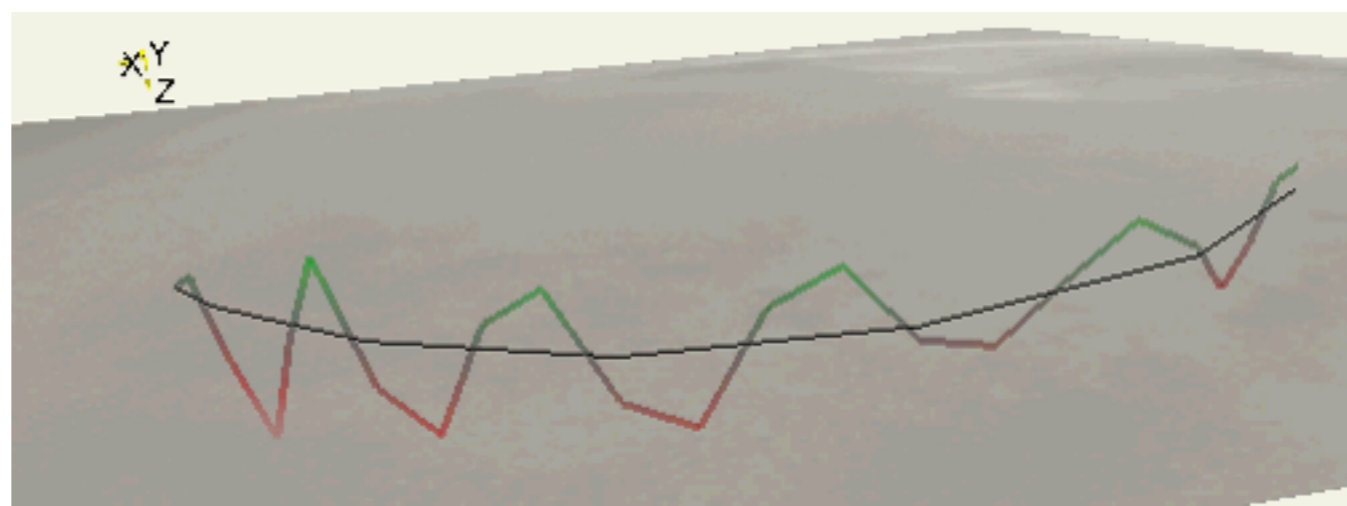
$f=2$ kHz:



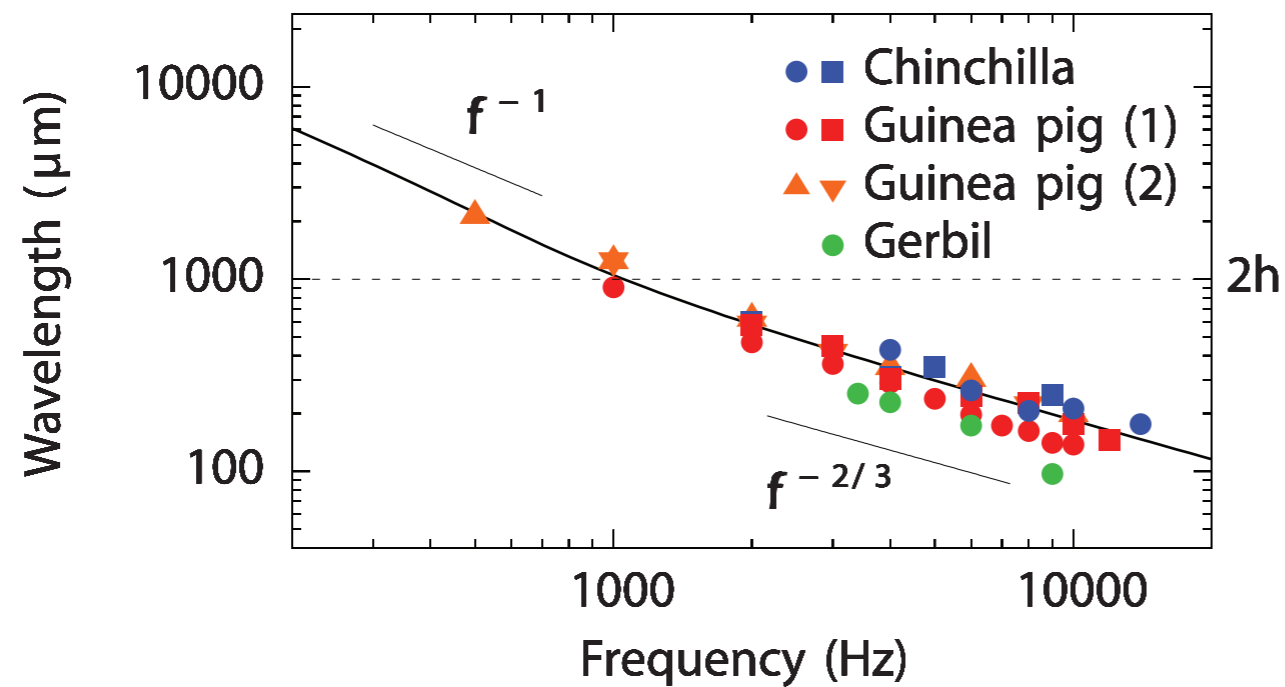
$f=4$ kHz:



$f=8$ kHz:



Dispersion relation



Conclusions

- Critical-layer absorption and nonlinear response can explain high-frequency cochlear mechanics
- Traveling waves can accumulate gain
- Unidirectional amplification may occur at low frequencies
- Shape of hair bundles of outer hair cells may be specialized for reducing resistance to cross-flow
- Generation and propagation of otoacoustic emissions can be described by ‘classicized’ methods developed in quantum field theory
- Reissner’s membrane may play a role in back-propagation of otoacoustic emissions