



*Kavli Institute for Theoretical Physics*

The Physics of Higher Temperature  
Superconductors, August 20, 2009

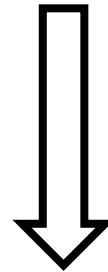
# **Superconductivity in the Heavy-Fermion Compounds and Organic Metals: Competing orders and Novel Phases**

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**Institut für Mathematische Physik  
Technische Universität Braunschweig**

# Theory supporting/guiding experiment

Electronic structure information  
Realistic quasiparticles in strongly correlated materials  
(heavy fermion systems, organic metals)



Experimental properties  
Superconducting properties  
Identification of unusual phases

# Outline

## **I: Heavy fermion systems**

- 1. Renormalized band method**
- 2. Heavy fermions in Ce-based compounds**
- 3. Instabilities of the Fermi liquid, unusual phases**

## **II. Organic superconductors: ET Salts**

- 1. Superconducting properties**
- 2. FFLO (LOFF) states**
- 3. Quasiclassical theory**
- 4. Results**
- 5. Summary and outlook**

# Heavy fermions: Lanthanide and actinide compounds

## Anomalous behaviour of f electrons:

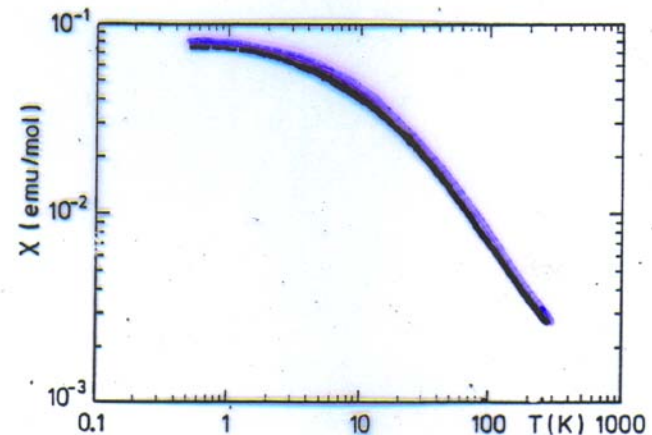
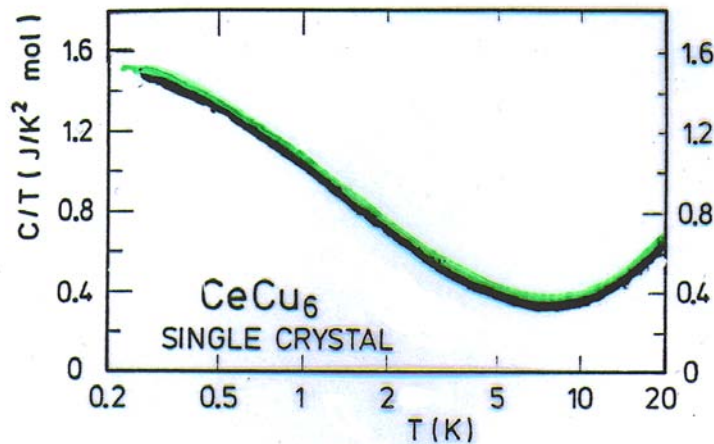
At low temperatures  $T < 10$  K

Specific heat

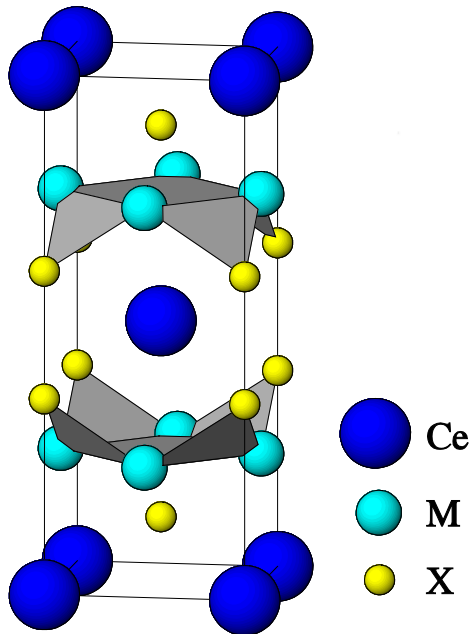
Susceptibility

$$C(T) \rightarrow \gamma T ; \gamma \sim 1 \text{ J / (mole K}^2)$$

$$\chi(T) \rightarrow \text{const}$$



# CeCu<sub>2</sub>Si<sub>2</sub>: “Mother” of Heavy Fermion Compounds



ThCr<sub>2</sub>Si<sub>2</sub> structure

$$\gamma \sim 700 \text{ mJ} / (\text{mole K}^2)$$

Superconductivity in the Presence of Strong Pauli Paramagnetism: CeCu<sub>2</sub>Si<sub>2</sub>

F. Steglich

*Institut für Festkörperphysik, Technische Hochschule Darmstadt, D-6100 Darmstadt, West Germany*

and

J. Aarts, C. D. Bredl, W. Lieke, D. Meschede, and W. Franz

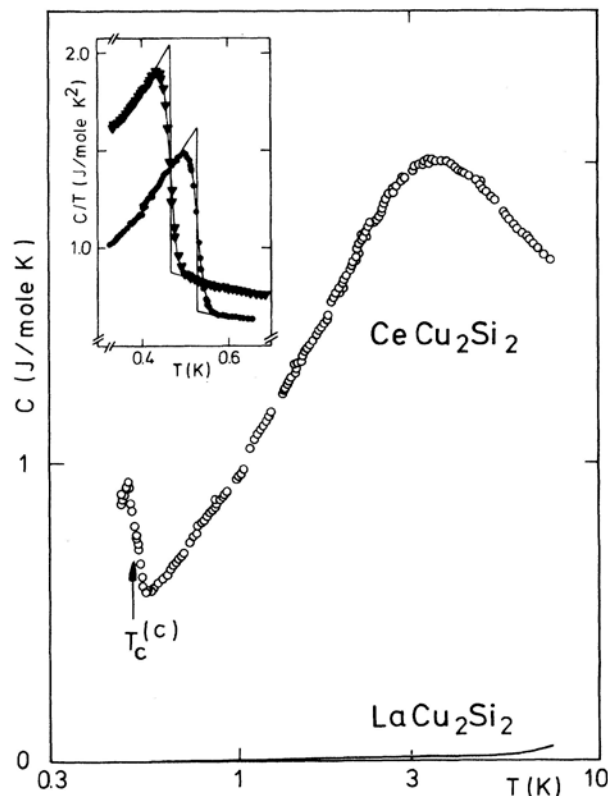
*II. Physikalisches Institut, Universität zu Köln, D-5000 Köln 41, West Germany*

and

H. Schäfer

*Eduard-Zintl-Institut, Technische Hochschule Darmstadt, D-6100 Darmstadt, West Germany*

(Received 10 August 1979; revised manuscript received 7 November 1979)

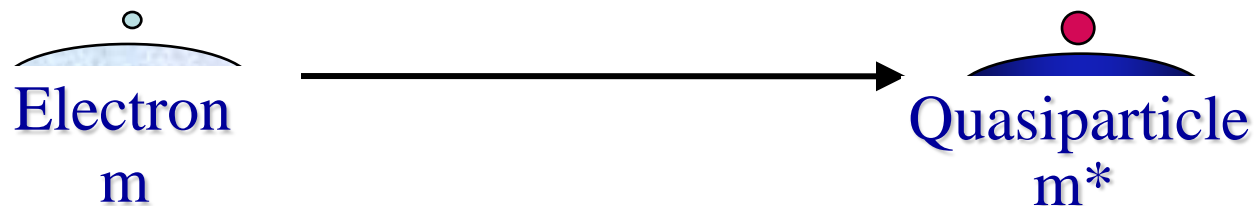


## Heavy fermions: Conjecture

Localized f-electrons behave like free electrons with high mass:  $m^* \approx 1000m_e$

Landau: Fermi liquid

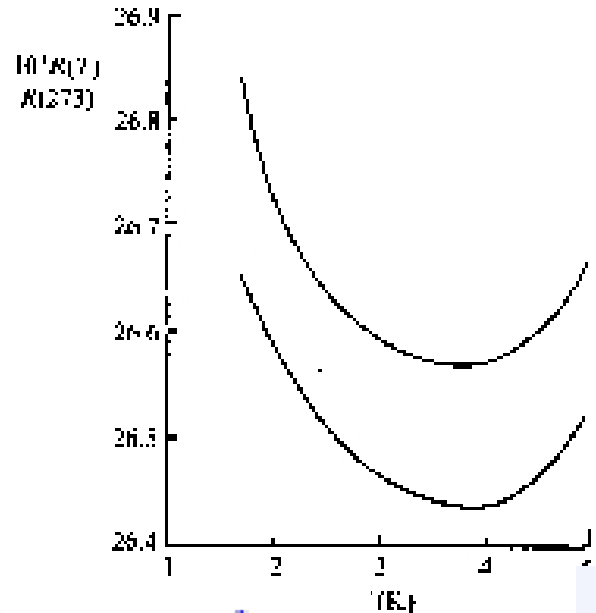
1-to-1 correspondence between excitations of interacting and free fermion systems implies  $C(T) \rightarrow \gamma T; \chi(T) \rightarrow const$



Problem: Calculation of quasiparticles

# Heavy fermions in 4f systems: Kondo effect

## “Confinement”

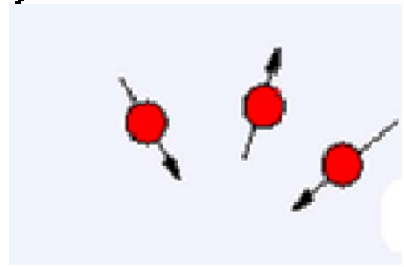


Minimum in electrical resistivity of dilute magnetic alloys

deHaas, deBoer, van den Berg (1934)



Low temperatures:  
Heavy Quasiparticles



High temperatures  
Free moments+conduction  
electrons

# Heavy quasiparticles in Ce compounds:

Conjecture:

## Low temperatures:

f-degrees of freedom itinerant coherent fermions

Heavy quasiparticles

Fermi surface: conduction electrons +f-electrons

Confirmed by deHaas-vanAlphen

## High temperatures:

4f electrons localized

Curie-Weiss behavior in  $\chi(T)$ ,

Crystalline Electric Field Excitations in  $C(T)$

Fermi surface: only conduction electrons?

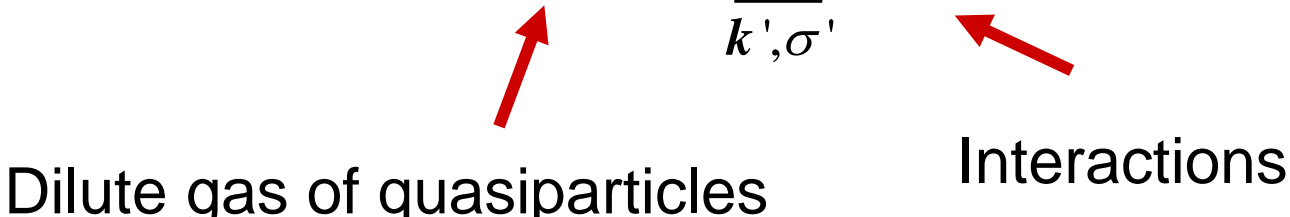


# Heavy fermions: Fermi liquid

## Assumption:

1-to-1 correspondence between low-energy excitations of interacting and noninteracting Fermi system

Quasiparticles  $\tilde{E}_\sigma(\mathbf{k}) = E(\mathbf{k}) + \sum_{k',\sigma'} f_{k\sigma,k'\sigma'} \delta n_{k\sigma}$



Dilute gas of quasiparticles

Interactions

$$E(\mathbf{k}) = v_F(\hat{k}) \cdot (\mathbf{k} - \mathbf{k}_F)$$

Isotropic system: Parametrization by effective mass  $m^*$  and interaction parameters;  $k_F$  fixed by particle number

Anisotropic crystal:  
Renormalized bands

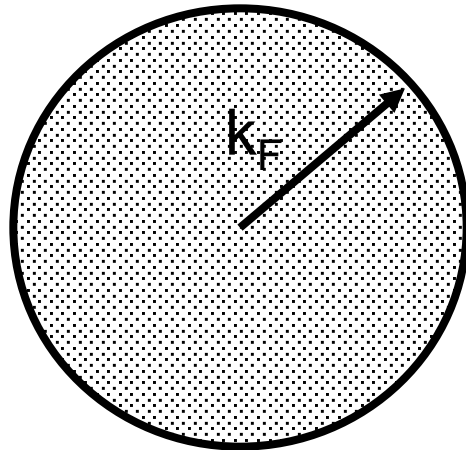
# Heavy fermions: Fermi liquid

## Parametrization:

Proceed in close analogy to 3He

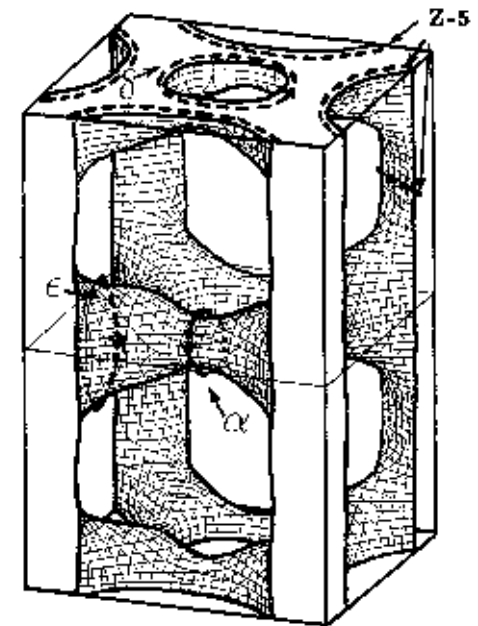
## Isotropic system:

Parametrization by effective mass  $m^*$  and interaction parameters;  $k_F$  fixed by particle number



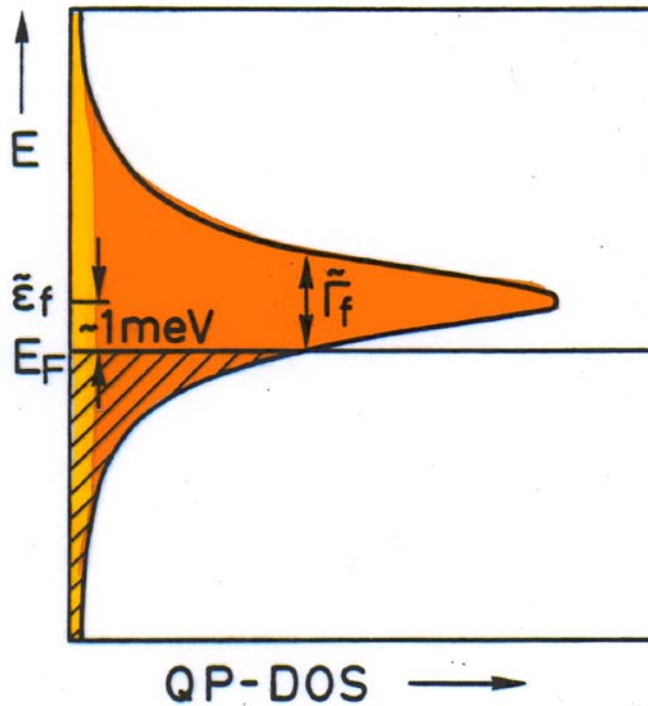
## Anisotropic crystal:

Renormalized bands



# Renormalized band method

## Quasiparticle bands



Single parameter  $\tilde{\Gamma}_f$  : adjusted to specific heat

**Phase shift:**

$$\tilde{\eta}_f = \arctan \frac{\tilde{\Gamma}_f}{\tilde{\epsilon}_f - E}$$

Condition:

No re-distribution of charge  $\Rightarrow \tilde{\epsilon}_f$

# Renormalised band method

## Calculational scheme:

Selfconsistent LDA band structure calculation starting from atomic potentials and lattice structure



Selfconsistent potentials



Dispersion of conduction states

Heavy Masses



Renormalized Bands

## What Were Solved and What Should Be Solved in Heavy Fermion Systems

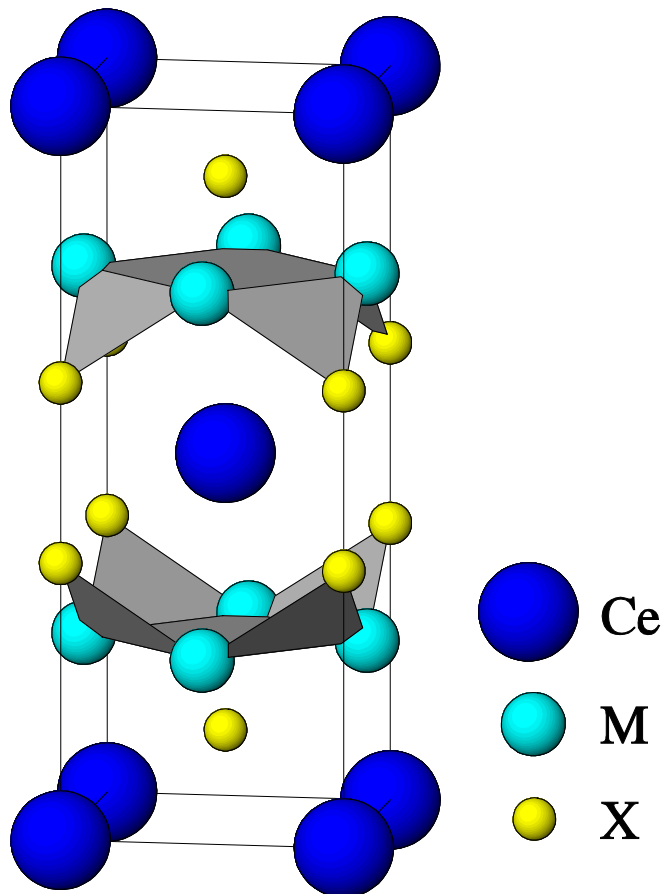
Tadao KASUYA

*Department of Physics, Tohoku University, Sendai 980*

(Received November 19, 1991)

Someone made a bold conjecture based on the above fact that the renormalized  $4f$  band model is applicable even to the Kondo regime and furthermore to the localized regime.<sup>13)</sup>

# Heavy fermions in Ce compounds

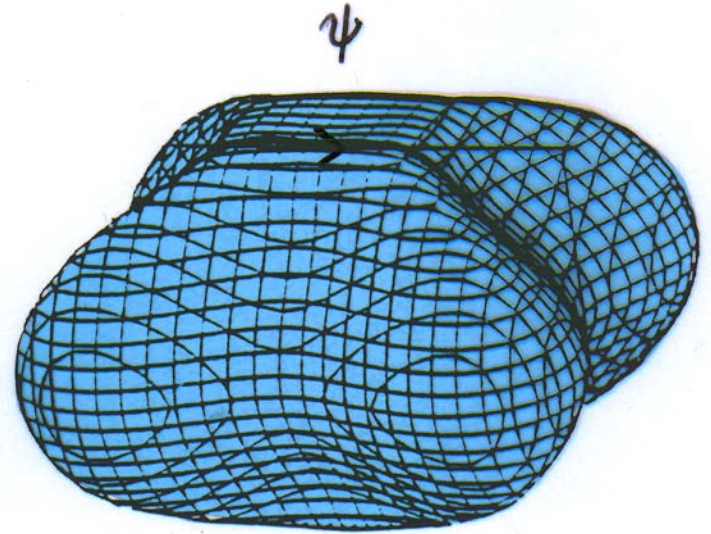


$$\gamma \sim 350 \text{ mJ / (mole K}^2)$$

# Heavy Fermions in Ce compounds

## Confirmation of the quasiparticle model

Fermi surface for Heavy Fermions in  $CeRu_2Si_2$   
(GZ, E. Runge, N. E. Christensen,  
Physica B 163, 97 (1990))



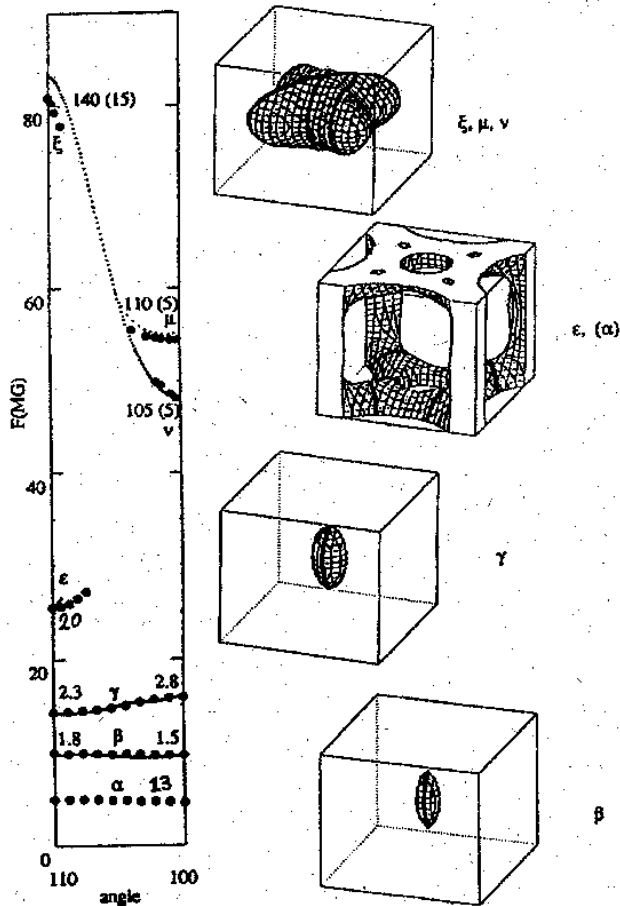
Experimentally  
confirmed

Aoki et al., PRL 72,797 (1992)

# Heavy fermions in Ce compounds

Confirmation of the quasiparticle model :  $CeRu_2Si_2$

Effective dHvA masses are consistent with specific heat



$$\gamma_{quasiparticle} \sim 260 \pm 50 \text{ mJ / (mole K}^2\text{)}$$

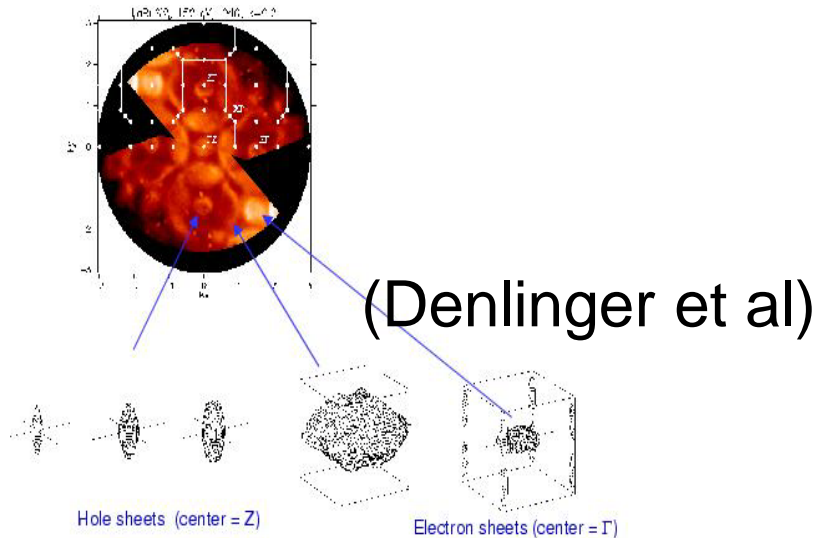
Exp.: Tautz et al., 1995



# Heavy fermions: Change in Fermi surface

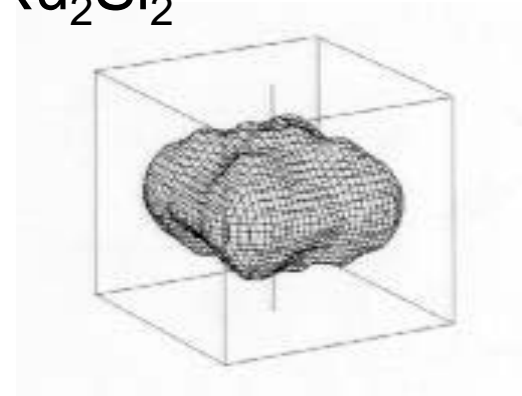
**f states localized**

Fermi surface of  $\text{LaRu}_2\text{Si}_2$



**f states itinerant (low T)**

Fermi surface of  $\text{CeRu}_2\text{Si}_2$

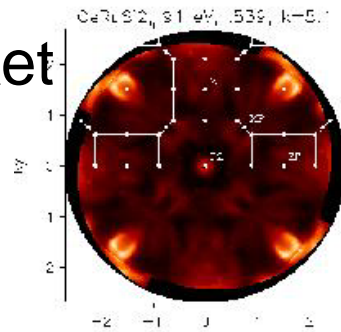


(Tautz et al)

**Fermi surface of  $\text{CeRu}_2\text{Si}_2$  at intermediate T**

$T \sim 6T_K$ :

Large Z hole pocket  
like in La

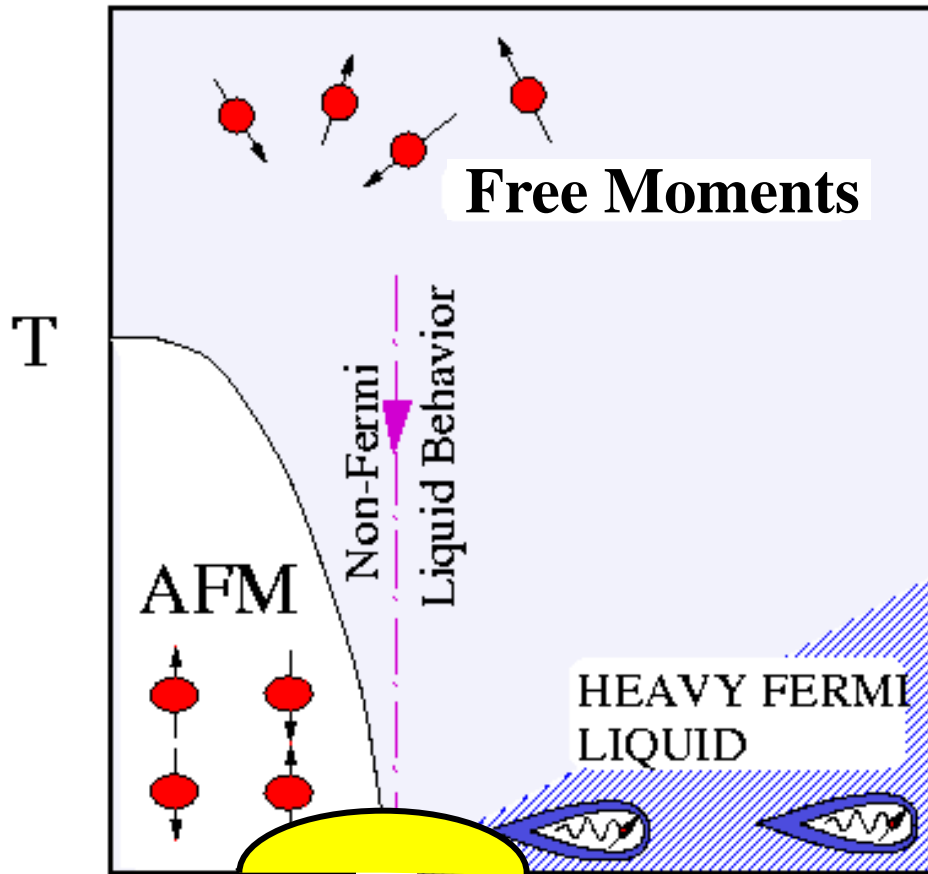


Hypothesis confirmed:  
local f character at  $E_F$   
no (coherent)  
quasiparticles

# Instabilities of the heavy Fermi liquid

-Quantum critical points

Antiferromagnetism  $\longleftrightarrow$  competition Fermi liquid

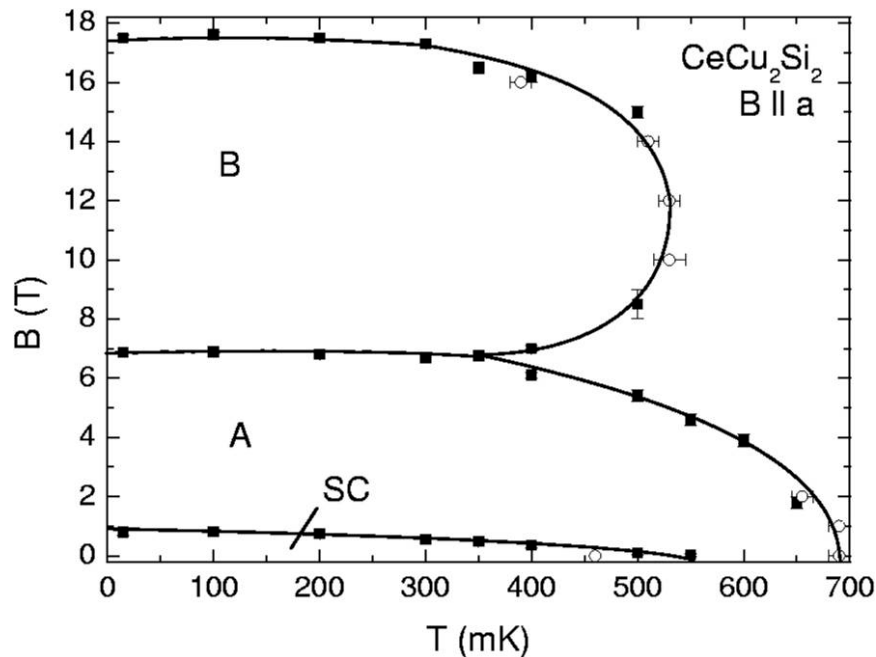


Superconductivity?

# Instabilities of the heavy Fermi liquid

## Unusual long-range order in $\text{CeCu}_2\text{Si}_2$

Pathologies in thermodynamic and transport properties



magnetic  
correlations  
small magnetic  
moments

Bruls et al (1990), Weickert et al (2003)  
Nature of the A- and the B-phase?

# Instabilities of the heavy Fermi liquid

## Interacting Fermi liquid

$$H = H_0 + H_{\text{int}}$$

Non-interacting  
quasiparticles  
(Renormalized  
bands)

Interaction

Short-range repulsion

Spin density wave

$$H_{\text{int}} \rightarrow H_{SDW} = - \sum_{\vec{k}, \sigma} \sigma \frac{1}{2} \sum_{\vec{Q}_j} \left( h(\vec{Q}_j) c_{\vec{k}\sigma}^\dagger c_{\vec{k}\sigma} + h.c. \right)$$

# Instabilities of the heavy Fermi liquid

## Interacting Fermi liquid

Spin density wave instability: Maxima in the static susceptibility  $\chi_0$  of non-interacting quasiparticles

Calculate Lindhard function for heavy quasiparticle band

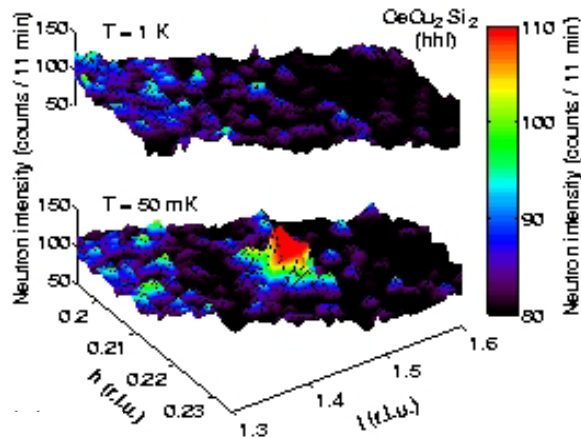
$$\chi_0(\vec{q}) = -\sum_{\vec{k}} \frac{f(E_{\vec{k}+\vec{q}}) - f(E_{\vec{k}})}{E_{\vec{k}+\vec{q}} - E_{\vec{k}}}$$

# Instabilities of the heavy Fermi liquid

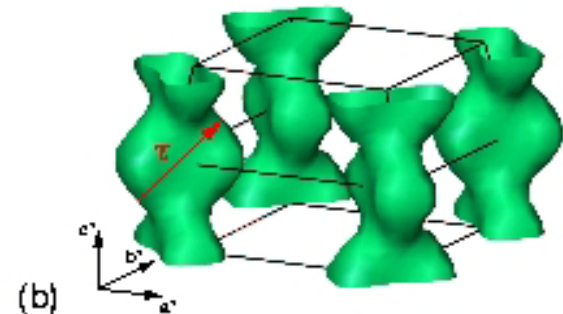
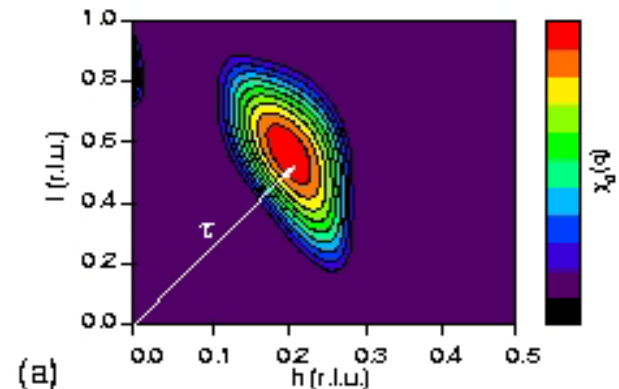
## Spin density wave of heavy fermions in $\text{CeCu}_2\text{Si}_2$

(E. Faulhaber et al PRL **92**, 136401(2004))

Inelastic neutron scattering



Calculated susceptibility of the heavy quasiparticles



# Instabilities of the heavy Fermi liquid

## Resonance peak in superconducting

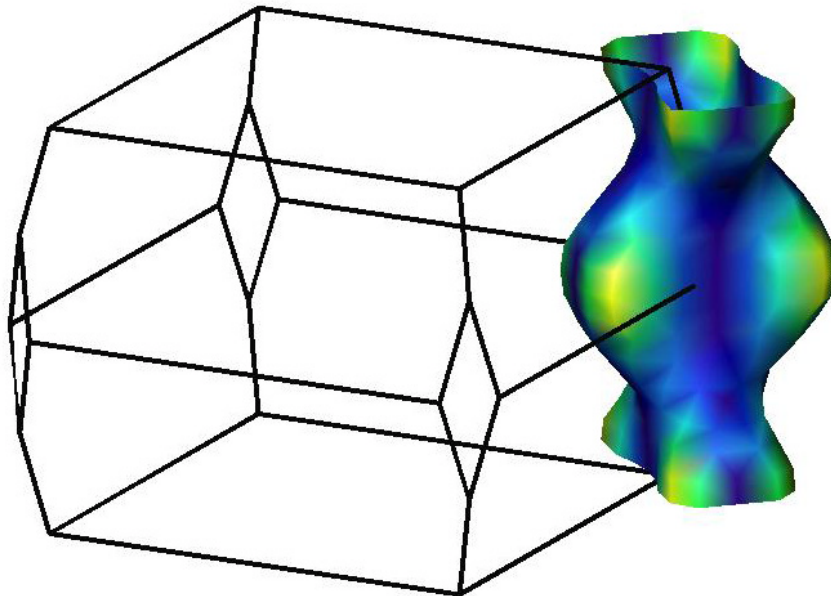
### $\text{CeCu}_2\text{Si}_2$

(I. Eremin et al PRL **101**, (2008))

Symmetry of the superconducting order parameter?

Co-existence with SDW

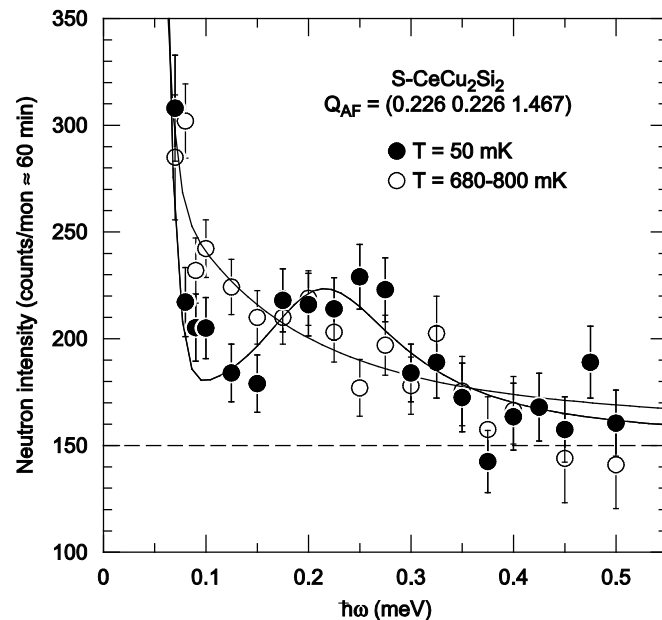
$$\Delta(\mathbf{k}) = \cos k_x - \cos k_y$$



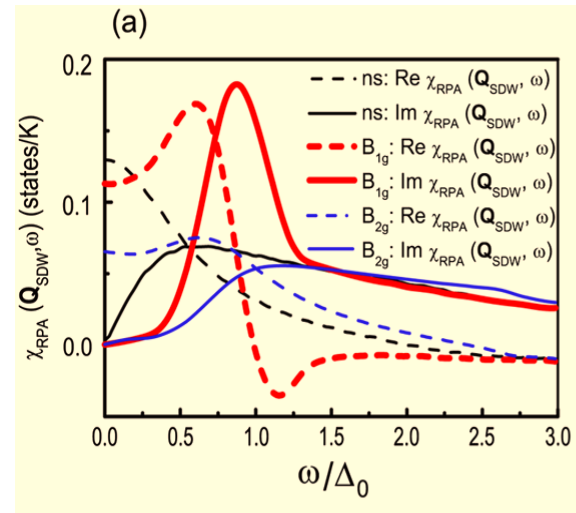
# Instabilities of the heavy Fermi liquid Resonance peak in superconducting $\text{CeCu}_2\text{Si}_2$

(I. Eremin et al PRL **101**, (2008))

Identification of the superconducting order parameter?



$$\Delta(\mathbf{k}) = \cos k_x - \cos k_y$$



Expt: O. Stockert et al, 2008)



## •Summary I: Heavy fermions

- Heavy fermions :
  - f-degrees of freedom form narrow bands
  - Contribute to Fermi surface at low temperatures
- Standard methods of electronic structure calculation cannot be applied
- Renormalized bands:
  - Realistic quasiparticle bands by combining material-specific ab-initio calculations and semi-phenomenological description of strong correlations
- Quantum phase transitions and cooperative phenomena of heavy quasiparticles

# Novel Phases in Quasi-2D-Organic Superconductors

## Collaboration with:

R. Lortz

Y. Wang

A. Demuer

P. H. M. Böttger

B. Bergk

Y. Nakazawa

J. Wosnitza

PRL **99**, 187002 (2007)



**UNIVERSITÉ  
DE GENÈVE**



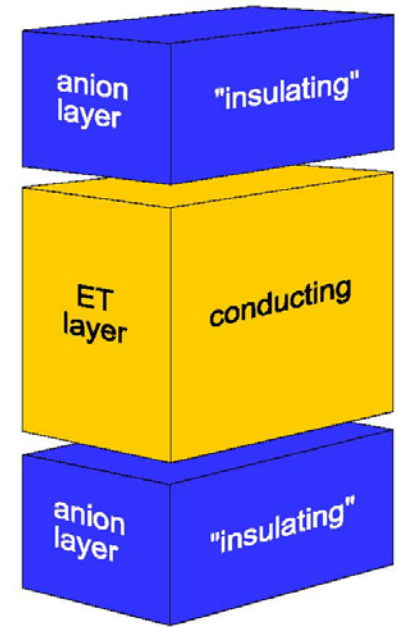
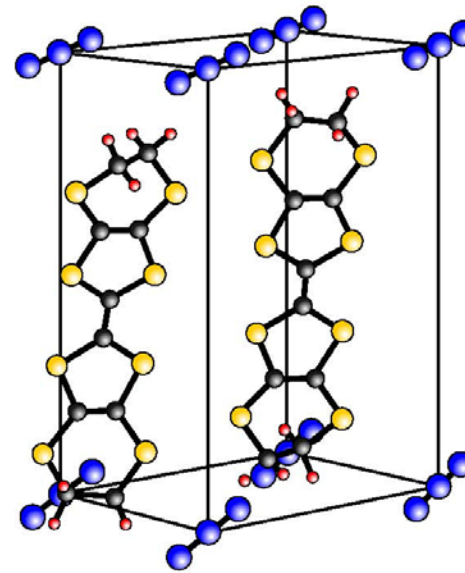
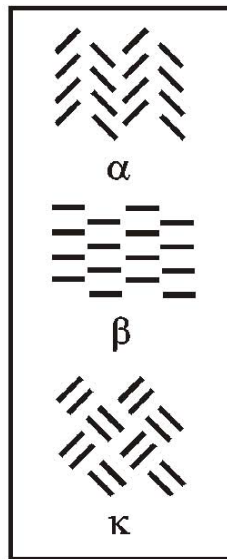
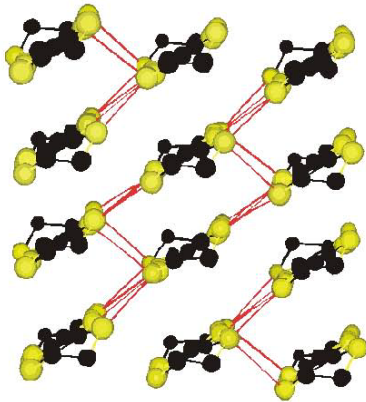
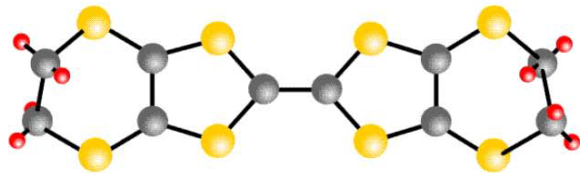
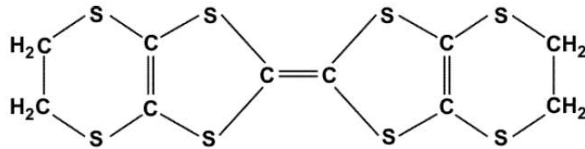
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SCIENCE AND TECHNOLOGY



# ET-Salts: Quasi-2D-Organic Superconductors

## BEDT-TTF = ET

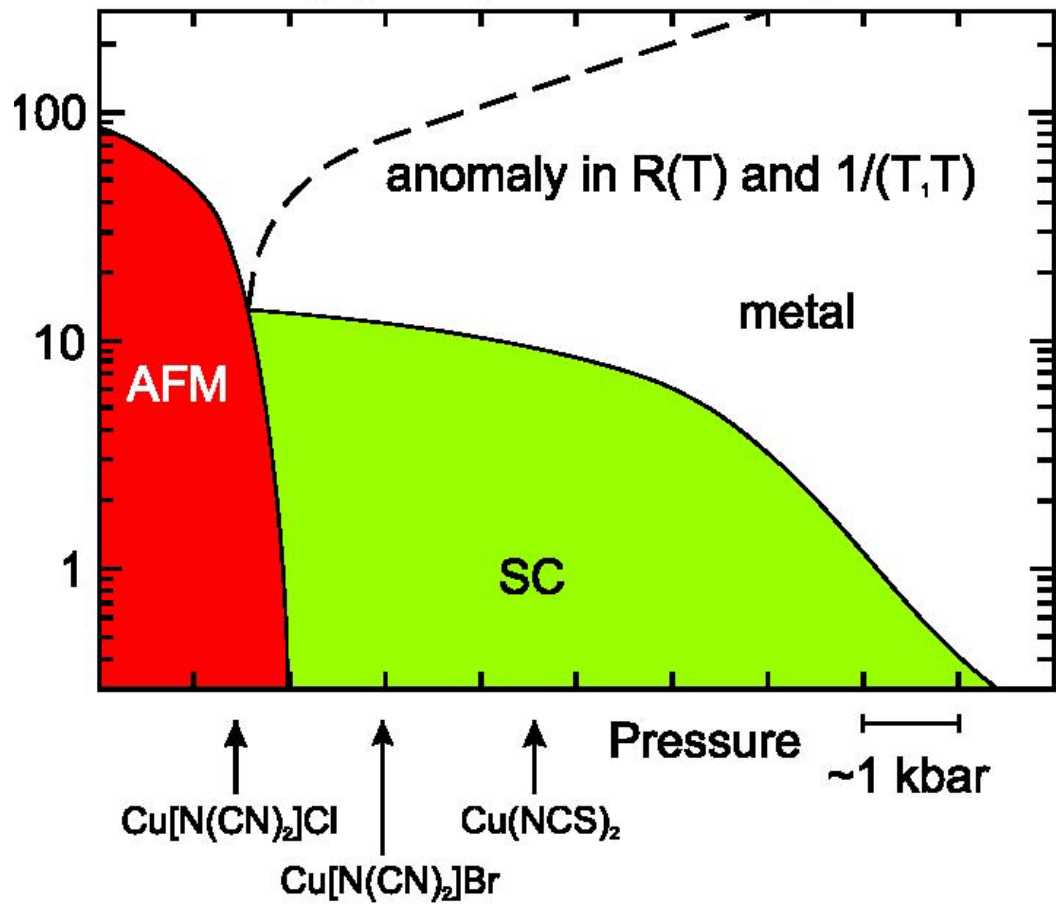
Bisethylenedithio-tetrathiafulvalen



~ 100 organic superconductors  
of which ~ 50 are based on ET

$\kappa$ -(ET)<sub>2</sub>Cu[N(CN)<sub>2</sub>]Cl:  $T_c \approx 13$  K  
In-plane ET structure: greekletters

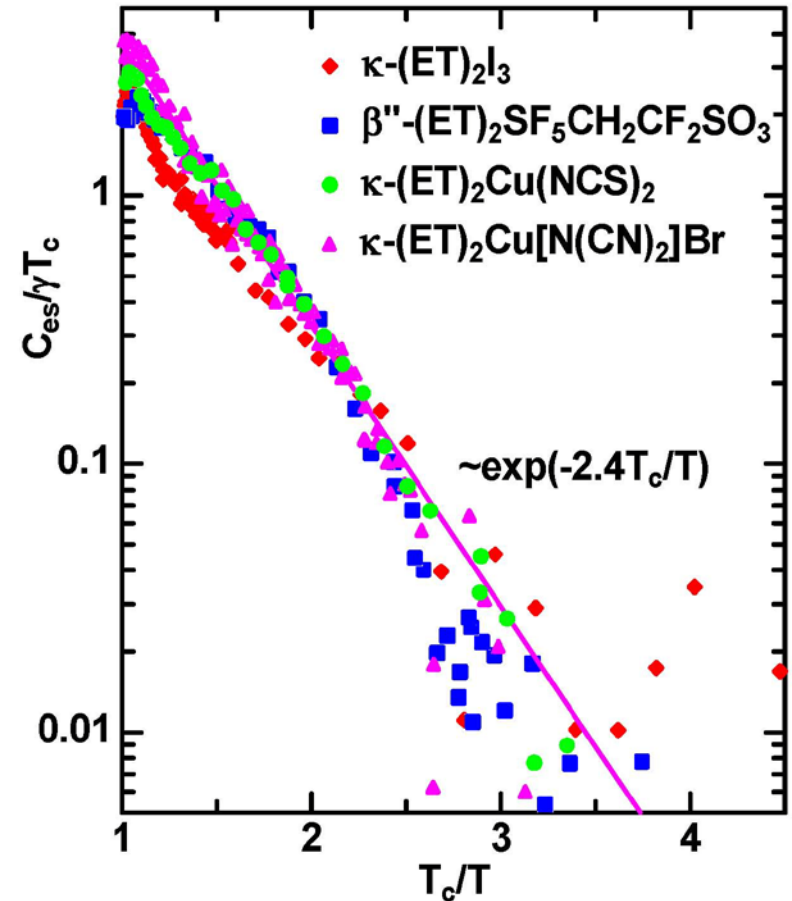
# ET-Salts: Schematic phase diagram of $\kappa$ -(BEDT-TTF)<sub>2</sub>X



# ET Salts: Symmetry of the superconducting order parameter?

## Highly controversial

- Knight shift
- Tunnelling/point contact spectroscopy
- Angular dependences in magnetic field
- ...

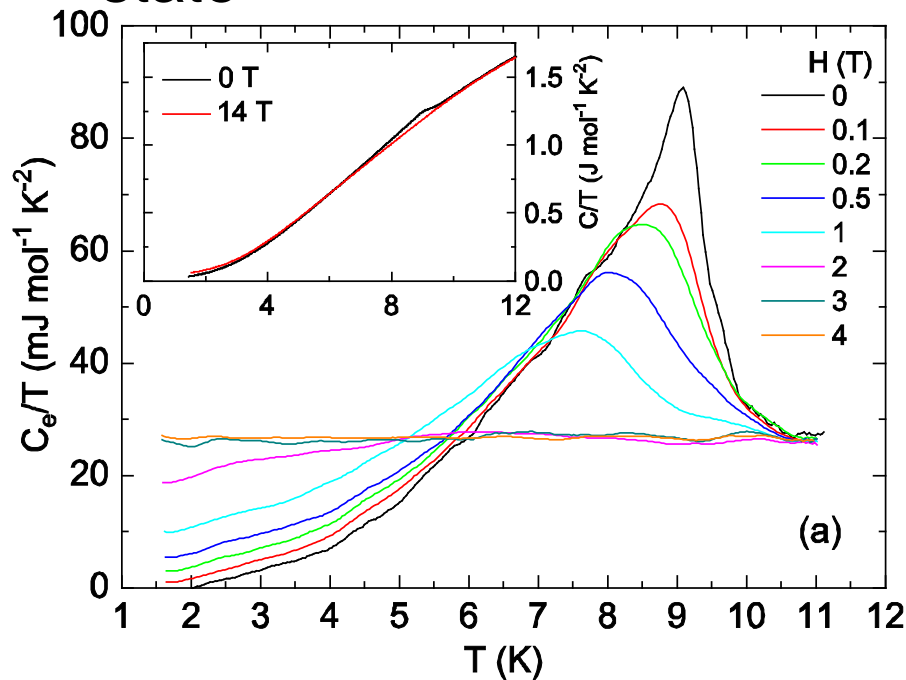


Specific heat

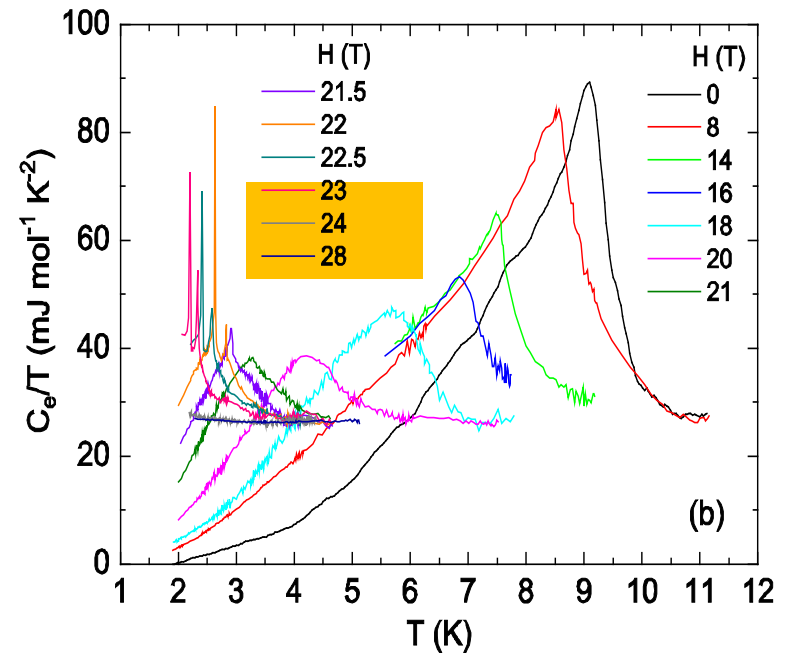
# ET-Salts: Superconducting phase transitions in high magnetic fields

No orbital pairbreaking for magnetic fields perpendicular to the superconducting layers

In addition: Phase transitions within the superconducting state



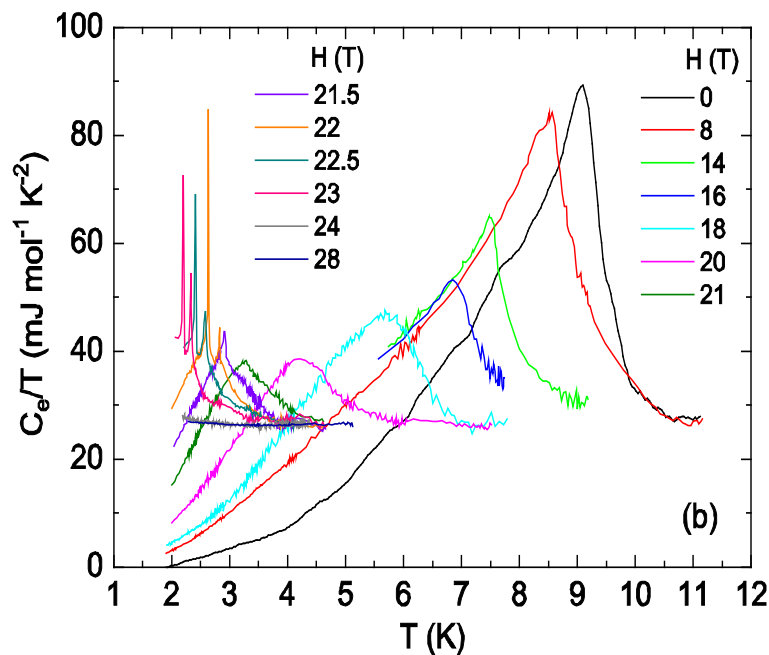
(a) H perpendicular



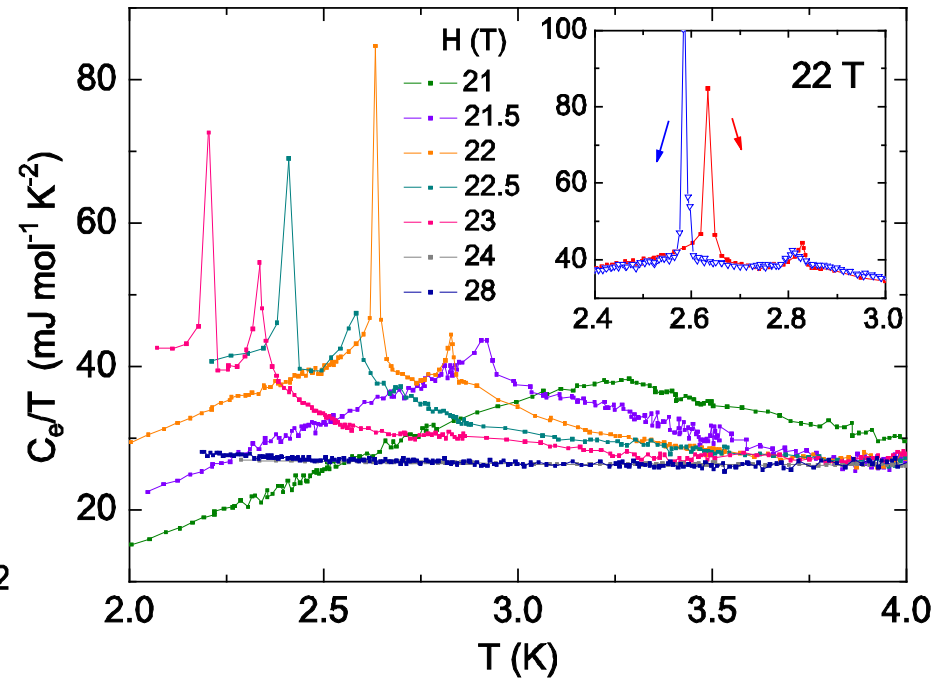
(b) H parallel

# ET Salts: Superconducting phase transitions in high magnetic fields

Electronic specific heat contribution for magnetic fields perpendicular to the superconducting layers

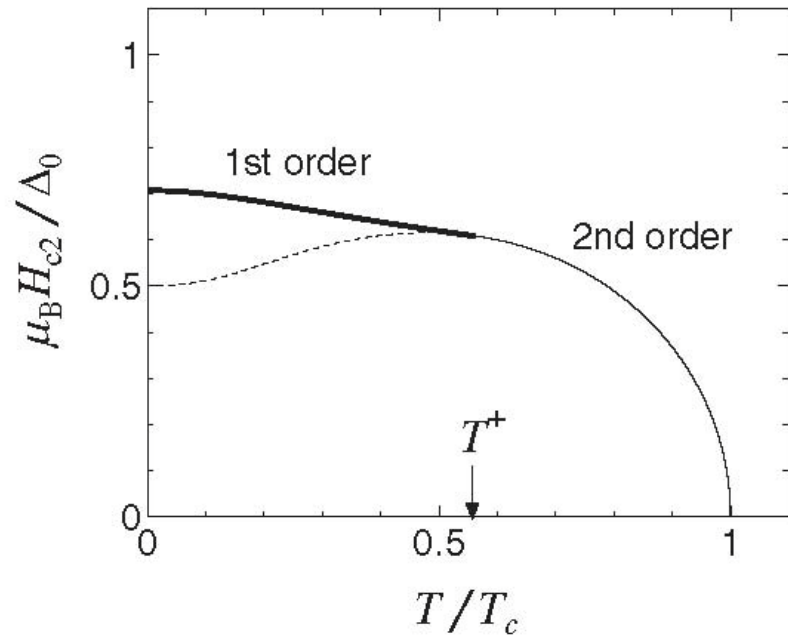
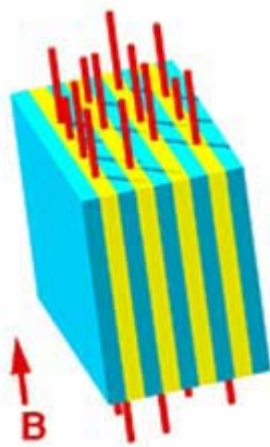
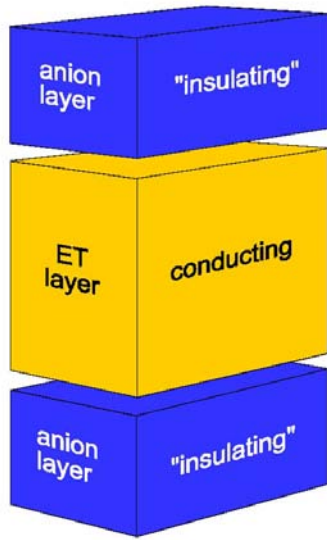


(a)



(b)

# ET-Salts: Pauli limiting field in homogeneous superconductors



Estimated Clogston limit for  $\kappa$ -(BEDT-TTF)<sub>2</sub>Cu(NCS)<sub>2</sub>  
~23T

First order transition at low T



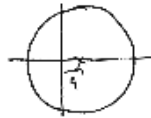
# Conjecture: Novel phases – spatially nonuniform superconducting states

## Fulde-Ferrell-Larkin-Ovchinnikov st (FFLO or LOFF)

(1) Current only



$$E_p = \sqrt{c_p^2 + \Delta_0^2}$$

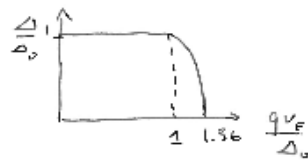
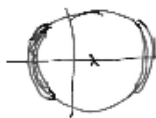


$$E_p = \sqrt{c_p^2 + \Delta_0^2} - \frac{q p}{m}$$

$$q v_F > \Delta_0$$

unstable

for  $q v_F > \Delta_0 \Rightarrow E_p$  can become negative



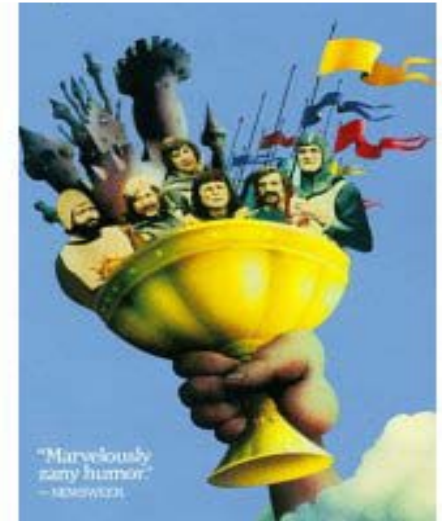
(2) Zee-man term:

$$H = H_{BCS} + \sum_i \mu_B \sigma_i H$$

$$E_{ps} = \sqrt{c_p^2 + \Delta_0^2} - \mu_B \sigma H$$

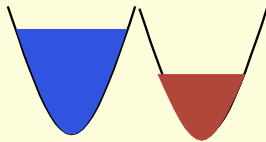
$$E_{ps} < 0 \quad \text{for} \quad \frac{\mu_B H}{\Delta_0} = 1$$

$$\sigma = \pm 1$$



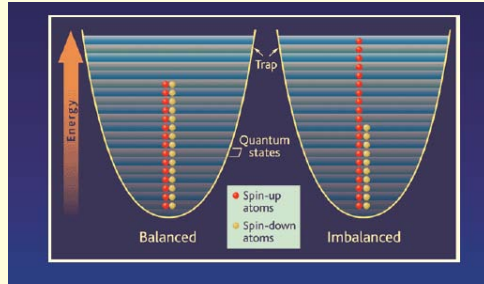
# FFLO: Spontaneous breaking of translational symmetry

Paired states with imbalance => crystalline superconductivity

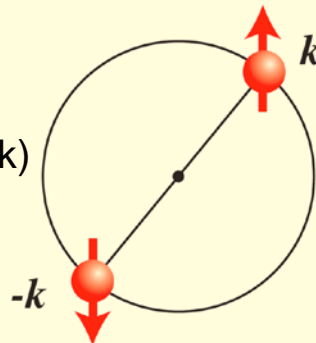


Many examples in nature

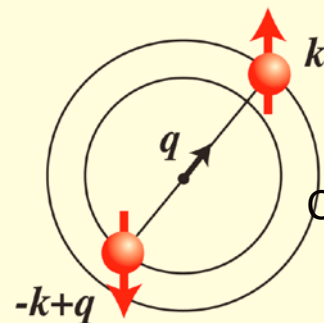
- Electrons in magnetic fields
- Ultra-cold atoms
- Neutron-proton pairs in neutron stars
- Color superconductivity in quark systems



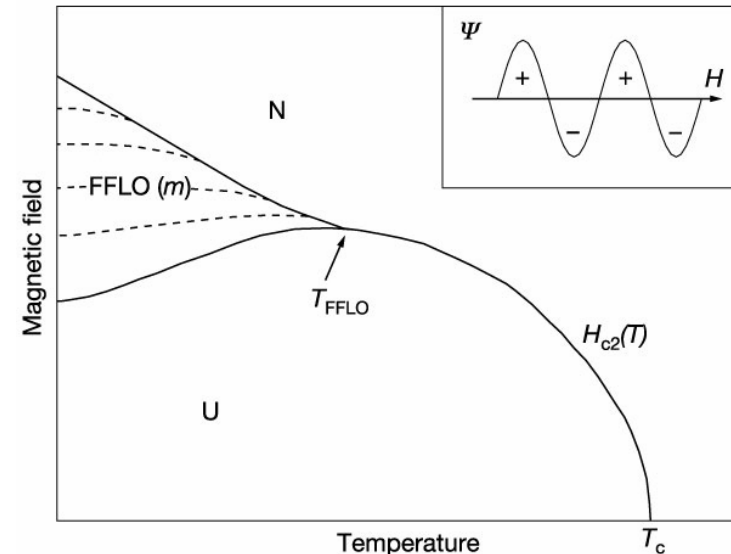
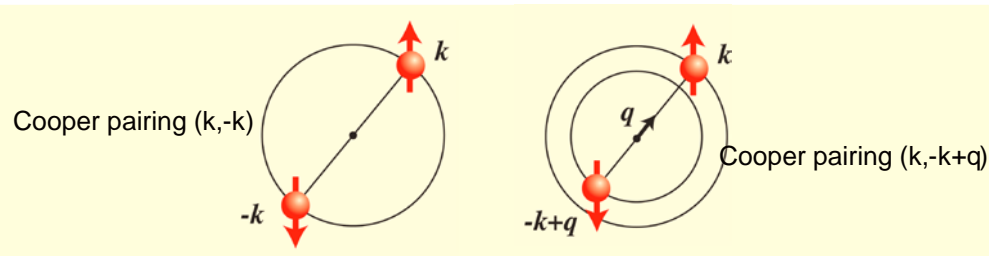
Cooper pairing  $(k, -k)$



Cooper pairing  $(k, -k+q)$



# FFLO: Spontaneous breaking of translational symmetry

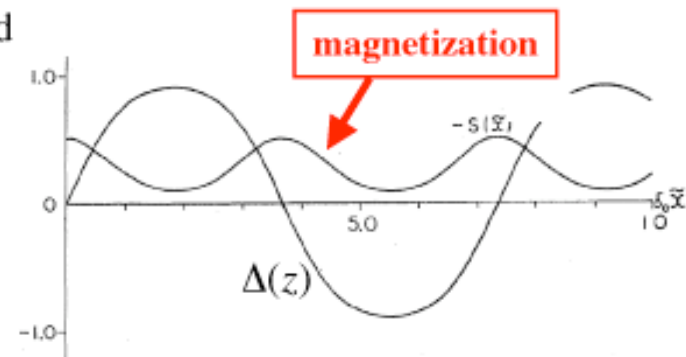


Degeneracy with respect to  $\mathbf{q} \Rightarrow$  combinations,  
Complicated spatial structures, phase transitions

$(k \uparrow, -k+q \downarrow)$ : spatially inhomogeneous pairing field

$$\Delta(z) \sim \exp(iqz) \quad \text{FF state}$$

$$\Delta(z) \sim \sin(qz) \quad \text{LO state}$$



Strong influence of Fermi surface geometry

# FFLO in $\kappa$ -(BEDT-TTF)<sub>2</sub>Cu(NCS)<sub>2</sub> ?

System fulfils requirements for formation of FFLO

- Strongly type II superconductor  $\kappa=100-200$
- Maki parameter  $\alpha = \sqrt{2}H_{orb} / H_P \approx 8$
- Long mean-free path

$$\xi_0 \gg l ; l \sim 100nm ; \xi_0 \sim 9nm$$

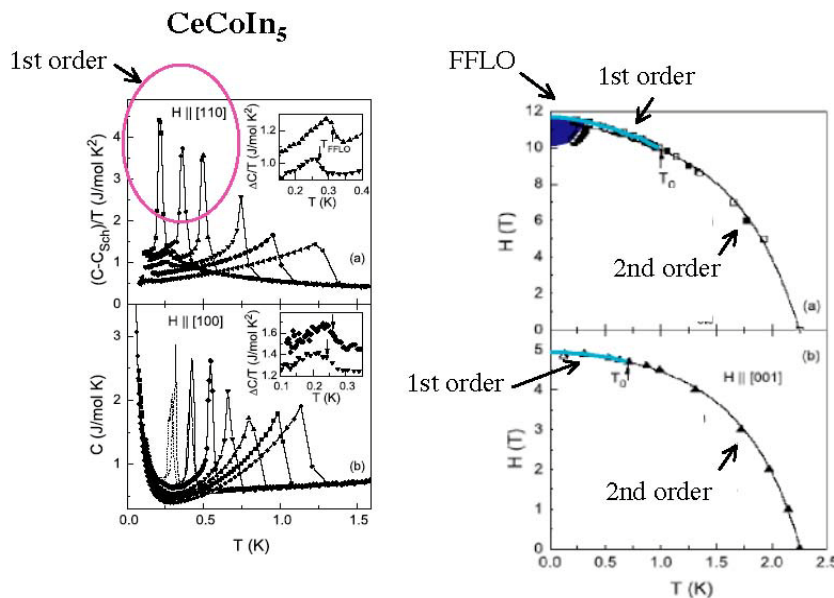
# Identification of FFLO states

## Characteristic feature:

Modulated state with wave length  $\sim \xi_0 \gg a$

Wave vector and structure dependent on magnetic field

$\Rightarrow$  Criterion rules out heavy fermion superconductor  $\text{CeCoIn}_5$  as candidate for FFLO state



# Identification of FFLO states

## Comparison with theoretical predictions

However: Phase diagram, order of transitions etc depend sensitively on detailed electronic structure  
Candidates are not „free“ electron gas =>

Need flexible method + detailed information about the normal state

Extend work of Burkhardt and Rainer (1994) and Vorontsov and Graf (2006)

# Theoretical ansatz:

## Normal state

Detailed deHaas-vanAlphen experiments show

- Fermi liquid state
- Elementary excitations are weakly interacting fermionic quasiparticles characterized by wave vector  $\mathbf{k}$  and spin  $\sigma$
- Energies  $\varepsilon(\mathbf{k}, \sigma)$  quasi-2D-dispersion
- Extremely long mean-free path  $\Rightarrow$  pure system

Use realistic quasiparticle dispersion

Include quasiparticle interactions as parametrized by the Landau-parameter  $F_0^a$

# Theoretical ansatz:

## Superconducting state:

BCS-Ansatz (pairing hypothesis)

Use Nambu-Gorkov formalism

4-spinor accounting for coherence in particle-hole and spin-space

$$\Psi_{\vec{k}} = \begin{pmatrix} c_{\vec{k}\uparrow} \\ c_{\vec{k}\downarrow} \\ c_{-\vec{k}\downarrow}^\dagger \\ c_{-\vec{k}\uparrow}^\dagger \end{pmatrix} ; \quad \Psi_{\vec{k}}^\dagger = \left( c_{\vec{k}\uparrow}^\dagger \quad c_{\vec{k}\downarrow}^\dagger \quad c_{-\vec{k}\downarrow} \quad c_{-\vec{k}\uparrow} \right)$$



## Theoretical ansatz:

**Separation of length and energy scales:**

$$\xi_0 \gg a ; k_B T_c \gg E_F$$

Focus on low-energy and long-wavelength phenomena in inhomogeneous systems

Integrate out high-energy / short-wavelength contributions

=> Quasiclassical theory of superconductivity  
(Eilenberger, Larkin-Ovchinnikov-Eliashberg)

# Quasiclassical theory:

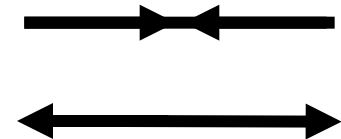
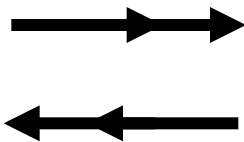
## Quasiclassical propagator:

$$\hat{g}(\hat{\mathbf{k}}, \mathbf{R}; \varepsilon) = \frac{1}{Z(\hat{\mathbf{k}})} \int d\xi_k \int d^3\mathbf{r} e^{-i\mathbf{k}\cdot\mathbf{r}} \hat{G}\left(\mathbf{R} + \frac{\mathbf{r}}{2}, \mathbf{R} - \frac{\mathbf{r}}{2}; \varepsilon\right)$$

Relative variable  
(s-,p-,d-wave etc)

Center of gravity variable  
(spatial modulation of order parameter etc)

4x4 matrix propagator with normal (diagonal) and anomalous (off-diagonal) propagators



# Quasiclassical theory:

(Eilenberger-Larkin-Ovchinnikov-Eliashberg)

## Transport-like equation:

$$\left[ \varepsilon \hat{\tau}_3 - (\hat{\sigma} + \hat{v}), \hat{g} \right] + i\hbar \vec{v}_F \cdot \nabla_{\vec{R}} \hat{g} = 0$$

## Normalization condition:

$$\hat{g}^2 = -\pi^2 \hat{1}$$

## Selfconsistency equation:

$$\hat{\sigma} \{ \hat{g} \}$$

Order parameter, impurities, Fermi liquid corrections,...

**External field(s):** Zeeman term  $\hat{v}$

# Quasiclassical theory:

## Free energy:

$$\Omega = \int d^3\mathbf{R} \Omega(\mathbf{R})$$

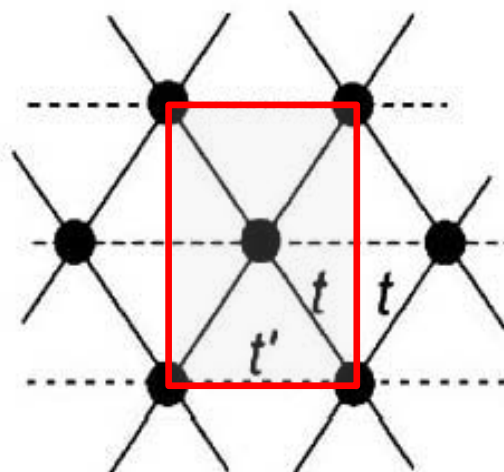
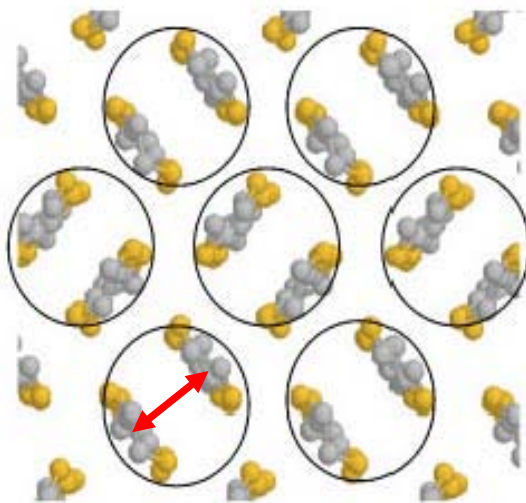
$$\Omega(\mathbf{R}) = \Omega_{qp}(\mathbf{R}) - \left\{ \begin{array}{l} \text{corrections for double counting} \\ \text{of interaction energies in quasiparticle contributions} \end{array} \right\}$$



Selfconsistency equations for superconducting order parameter and magnetization

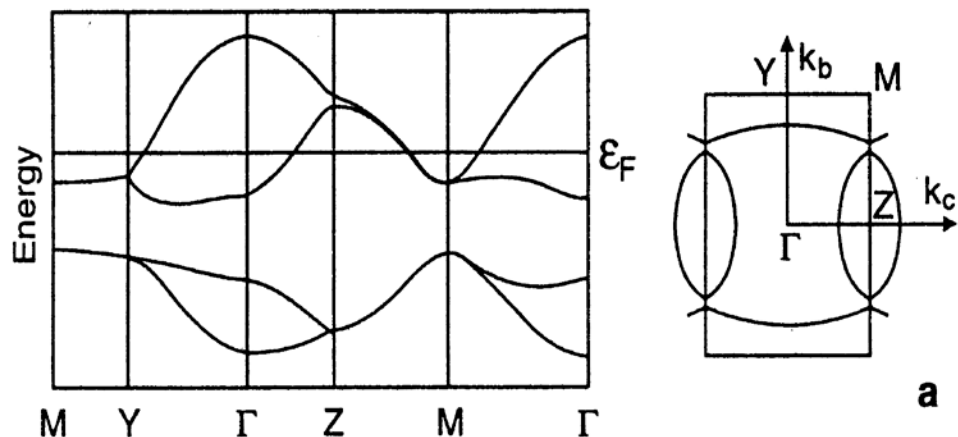
# Comparison with experiment: Electronic properties of $\kappa$ -(BEDT-TTF)<sub>2</sub>Cu(NCS)<sub>2</sub>

Strongly anisotropic (quasi-2D) system



Bonding-  
anti-bonding bands

# Comparison with experiment: Electronic properties of $\kappa$ -(BEDT-TTF)<sub>2</sub>Cu(NCS)<sub>2</sub>



- Strongly anisotropic (quasi-2D) system
- Effective masses are strongly anisotropic
- Nesting features
- Strongly interacting quasiparticles: pronounced electron-phonon- and electron-electron interactions
- Superconducting  $T_c \sim 9-11$  K

# Comparison with experiment: Strategy

- **First step:** Determine model for normal state (quasiparticle interactions)

Consider upper critical field for the transition from the normal to the superconducting phase

Semi-quantitative analysis: assume second order transition

- **Second step:** Detailed analysis of the superconducting phases (work in progress)

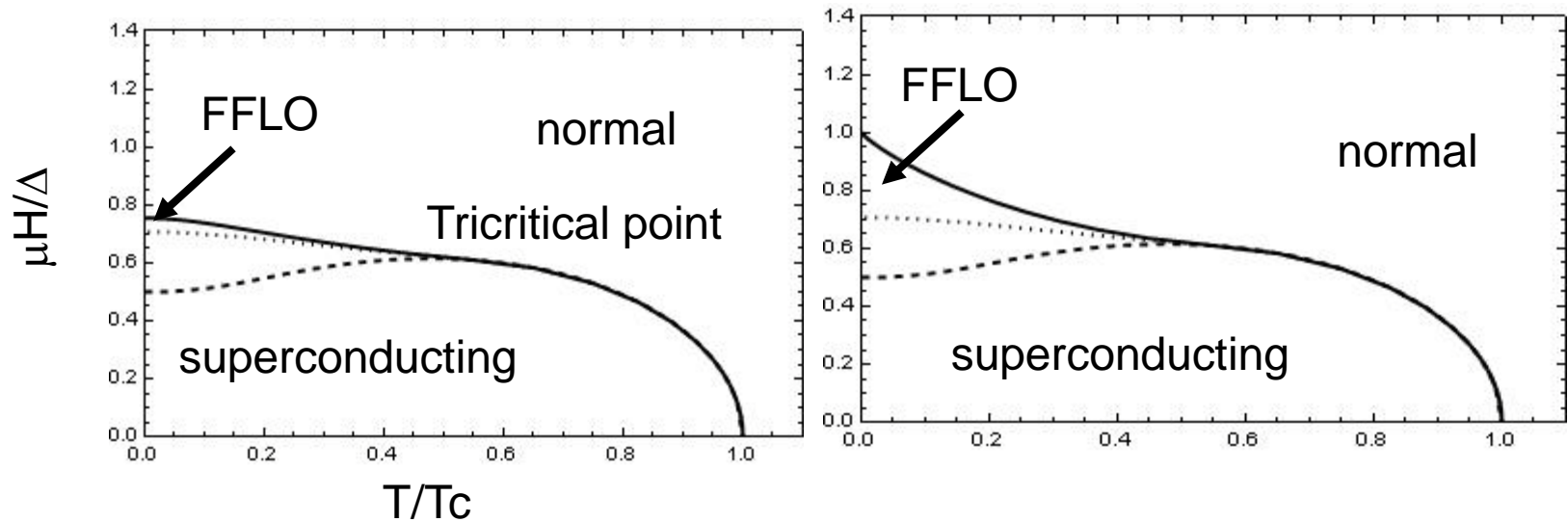
# B-T phase diagram

Isotropic system, s-wave order parameter,  
no Fermi liquid interactions

3dD

vs

2D

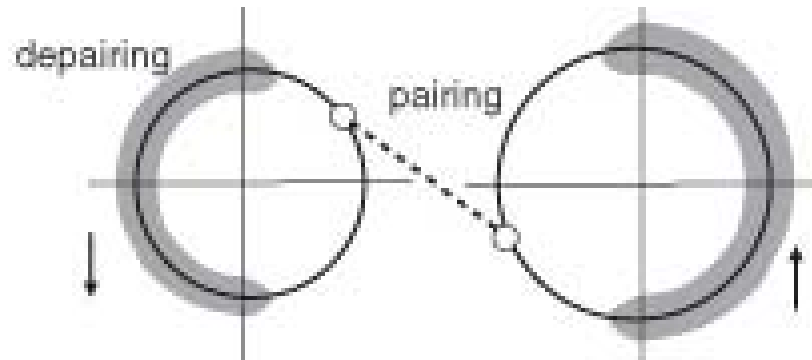


- Transition normal – superconducting
- ..... 1st order transition into normal state
- - - - - Supercooling field

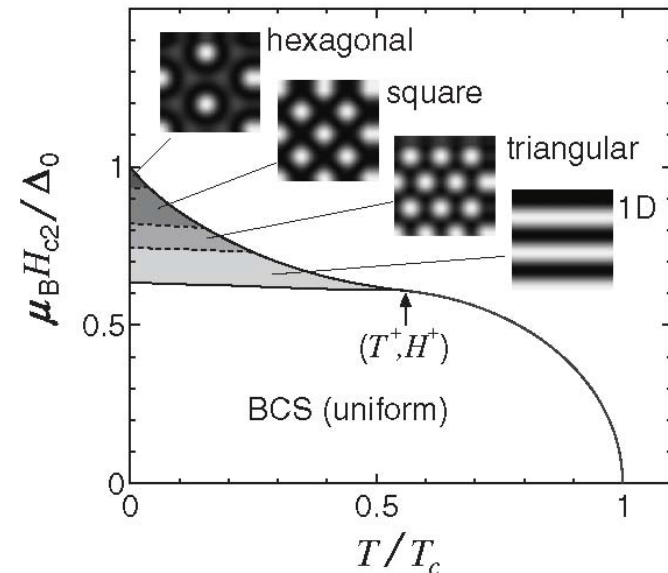


# B-T phase diagram: Dimensionality effect

Stability of FFLO states is enhanced in low dimensions

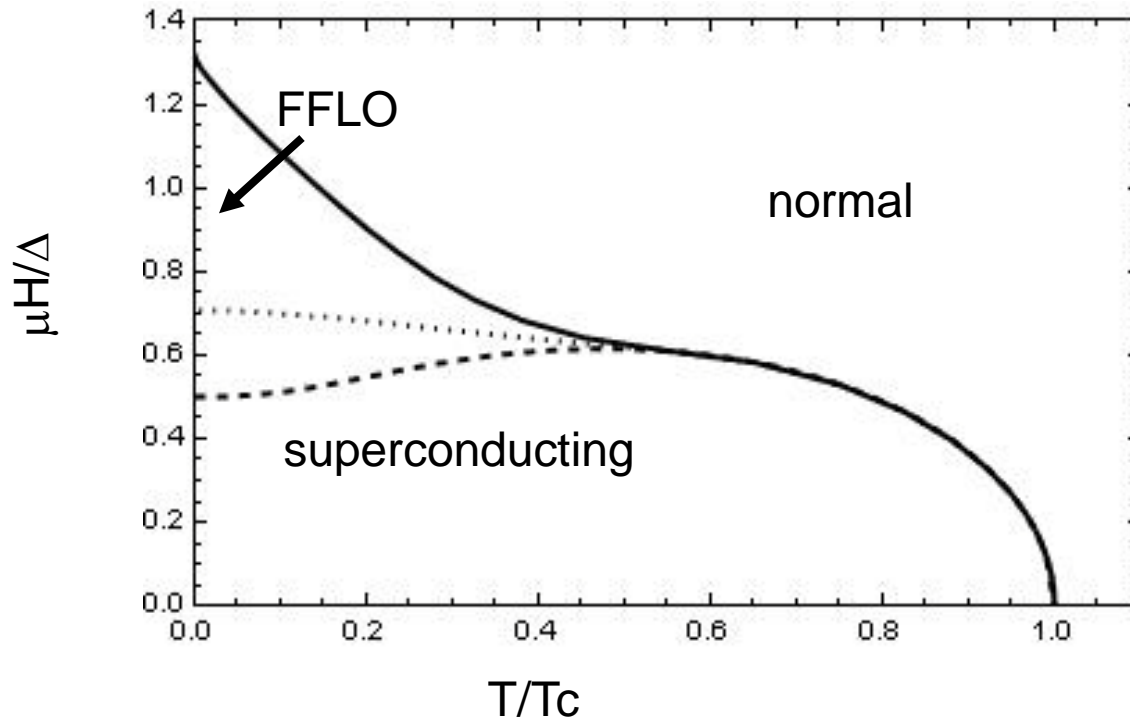


Complex phase diagram  
Cylindrical FS



# B-T phase diagram

Fermi surface and effective masses of  $\kappa$ -(BEDT-TTF)<sub>2</sub>Cu(NCS)<sub>2</sub>, weak-coupling approximation  
no interaction corrections  
s-wave (d-wave analogous)



# B-T phase diagram

## Low-T upper critical magnetic field:

Influence of Fermi surface

Cylindrical FS vs model for  $\kappa$ -(BEDT-TTF)<sub>2</sub>Cu(NCS)<sub>2</sub>,  
weak-coupling approximation

Strong enhancement by anisotropy in effective mass

$\frac{g}{2} \frac{\mu_B H}{\Delta(T=0)}$	s-wave	d-wave
Cylindrical FS	1.00	1.28
Model FS	1.32	1.85

# B-T phase diagram: Influence of Fermi surface

## Vicinity of tricritical point

Expand free energy (see Mora and Combescot)

Evaluate coefficients with quasiparticle dispersion

=> Transition stays second order in the absence of quasiparticle interactions

# B-T phase diagram: Comparison with experiment

Experimental Fermi surface and effective masses from deHaas-vanAlphen experiments

s-wave (and d-wave)

Account for strong electron-phonon coupling:

Solve Eliashberg-equations

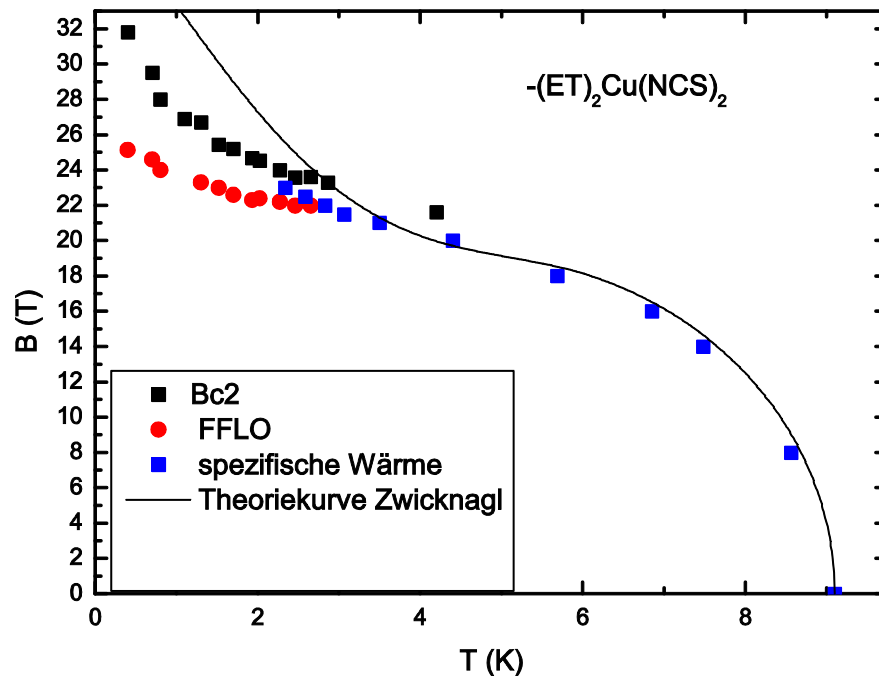
electron-phonon-spectral function chosen so as to reproduce strong-coupling corrections in gap ratio and specific heat discontinuity

Pure system

# B-T phase diagram: Preliminary result

Quasiparticle bands, s-wave superconductor, weak-coupling approximation

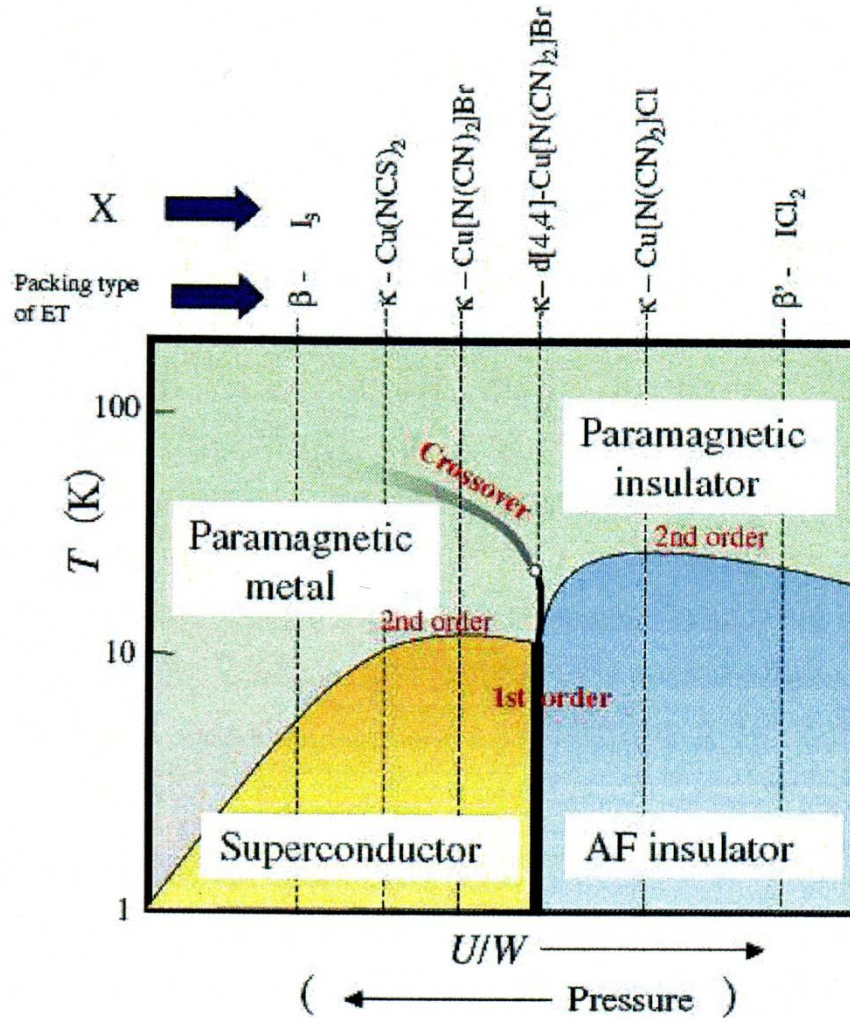
Additional pairbreaking at low T/ high fields (orbital effect?)



Phase diagram B. Bergk

# Work in progress: Quasiparticle interactions

## Schematic phase diagram of $\kappa$ -(BEDT-TTF) $_2$ X



# Work in progress: Magnetic quasiparticle interactions

Critical field for second order transition:  
Simple rescaling

$$\mu_B B_{c2}(T; F_0^a) = (1 + F_0^a) \mu_B B_{c2}(T; 0)$$

Pauli-limiting field at low T

$$\mu_B B_P(T; F_0^a) = \sqrt{1 + F_0^a} \mu_B B_P(T; 0)$$



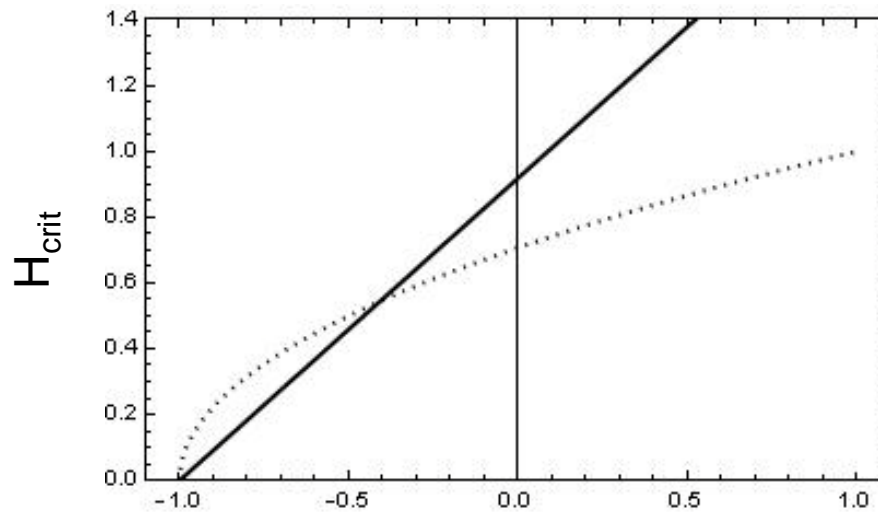
# Work in progress: Magnetic quasiparticle interactions

**B(T=0)** for model  $\kappa$ -(BEDT-TTF)<sub>2</sub>Cu(NCS)<sub>2</sub>:

Pronounced interaction effects

Ferromagnetic interactions destabilize FFLO

Antiferromagnetic interactions stabilize FFLO



—  $F_0^a$  FFLO

..... 1st order transition into normal state

# Work in progress: “Unbiased“ minimization of Free energy

$\Omega\{\hat{g}, \hat{\sigma}\}$  Stationarity with respect to variations in  $\hat{g}, \hat{\sigma}$

Turn  $\Omega$  into functional of self-energy  
(superfluid order parameter)

Minimize numerically:

Serene/Rainer

Simplifying assumptions:

Self-energies frequency-independent

Gradient expansion

=>Detailed analysis of interaction effects,  
structure of the inhomogeneous phases, orbital effect,  
vortex lattice ...

## Summary II: Organic superconductors

- Novel superconducting phases in layered organic superconductor  $\kappa$ -(BEDT-TTF)<sub>2</sub>Cu(NCS)<sub>2</sub>  
Inhomogeneous FFLO?
- Anisotropy in effective mass strongly enhances stability of inhomogeneous phase
- Quasiclassical theory for realistic model
- Experiment qualitatively reproduced
- Work in progress: Detailed analysis of interaction effects, structure of the inhomogeneous phases, orbital effect, vortex lattice ...