Phenomenology of Fermion Production During Inflation

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Based on:

P. Adshead, L. Pearce, M. Peloso, M. Roberts, L. Sorbo, JCAP 1910 (2019) no.10, 018 arXiv:1904.10483

P. Adshead, L. Pearce, M. Peloso, M. Roberts, L. Sorbo, JCAP 1806 (2018) no.06, 020 arXiv:1803.04501

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- \bullet Backreact on the inflaton, sourcing inflaton perturbations $\delta\phi$

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- What good is producing particles during inflation? Aren't they diluted away?
- $\bullet\,$ Backreact on the inflaton, sourcing inflaton perturbations $\delta\phi$
- Source metric perturbations as well

- CMB power spectrum measurements \leftrightarrow two-point correlation function $\left<\delta\phi^2\right>$



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- Limits on non-gaussianity \leftrightarrow three-point function $\left< \delta \phi^3 \right>$



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- Limits on non-gaussianity \leftrightarrow three-point function $\left< \delta \phi^3 \right>$
- Tensor-to-scalar ratio \leftrightarrow two-point function $\langle\gamma\gamma\rangle$



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K. Freese, et. al. Phys. Rev. Lett. 65 (1990) 3233

Axion (Psuedoscalar) Inflation

• Inflaton is an axion field

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W. D. Garretson, et. al., Phys. Rev. D46 (1992) 5346-5351 hep-ph/9209238

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P. Adshead & E. I. Sfakianakis JCAP 1511 (2015) 021 Phys.Rev.Lett. 116 (2016) no.9, 091301

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- What about fermions?

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- But in axion inflation, we have a new scale: $\partial_t \phi/f$...

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Lagrangian $\mathcal{L} = \frac{1}{2}a^2\eta^{\mu\nu}\partial_{\mu}\phi\partial_{\nu}\phi - a^4V(\phi) + \bar{Y}[i\gamma^{\mu}\partial_{\mu} - ma]Y - \frac{1}{f}\partial_{\mu}\phi\,\bar{Y}\gamma^{\mu}\gamma^5Y$ ϕ : Inflaton field

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 $\phi: \text{ Inflaton field}$
 $Y: \text{ Dirac fermion field}$
Coupling between them

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Lagrangian $\mathcal{L} = \frac{1}{2}a^2\eta^{\mu\nu}\partial_{\mu}\phi\partial_{\nu}\phi - a^4V(\phi) + \bar{Y}\left[i\gamma^{\mu}\partial_{\mu} - ma\right]Y - \frac{1}{f}\partial_{\mu}\phi \ \bar{Y}\gamma^{\mu}\gamma^5Y$ $\phi: \text{ Inflaton field}$ Y: Dirac fermion fieldNotation: $\mu \equiv m/H, \xi \equiv (\partial_t \phi_0)/2Hf$,

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Start in vacuum state at time t = 0

$$\left\langle \psi | a^{\dagger}(t=0) a(t=0) | \psi \right\rangle = 0$$

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As inflaton VEV evolves, creation and annihilation operators evolve into a superposition of t = 0 creation and annihilation operators



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At a later time, the "vacuum" state is no longer empty!



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Time-dependent creation & annihilation operators found by diagonalizing the free (quadratic) Hamiltonian

• Elements of diagonal free fermionic Hamiltonian:

$$\omega_r = k \sqrt{\frac{\mu^2}{x^2} + \left(1 + r\frac{2\xi}{x}\right)^2}$$

$$r = \pm 1$$
 helicity
 $\mu = m/H$
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- Expansion is not under perturbative control for small fermion mass

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• New Lagrangian:

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Some inflaton field terms

Same inflaton field terms

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Fermion kinetic energy

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$$-am \bar{\psi} \left[\cos \left(\frac{2\phi}{f} \right) - i \gamma^5 \sin \left(\frac{2\phi}{f} \right) \right] \psi$$
Coupling explicitly decouples as $m \to 0$

New Basis

Repeat the calculation in new basis

• Because our change of basis is non-linear, terms that were quadratic in Y are not in $\psi \rightarrow$ different quadratic (free) Hamiltonian

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- Diagonal elements: $\pm \omega$ with:

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• No problems with validity of perturbative expansion

New Basis

Total number

$$d^3k N(k) \approx 52 H^3 \mu^2 \xi^2, \qquad 1, \mu \ll \xi$$

can be large when new scale $\xi \gg 1!$



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In-In Formalism

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Interactions

$$\begin{aligned} H_{\rm int} \supset &-\frac{2am}{f} \int d^3 x \, \bar{\psi} \left[\sin\left(2r \, \frac{\phi_0}{f}\right) + i \, \gamma^5 \cos\left(2 \, \frac{\phi_0}{f}\right) \right] \psi \, \delta \phi \qquad \text{cubic} \\ &-\frac{2am}{f^2} \int d^3 x \, \bar{\psi} \left[\cos\left(2 \, \frac{\phi_0}{f}\right) - i \, \gamma^5 \sin\left(2 \, \frac{\phi_0}{f}\right) \right] \psi \, \delta \phi^2 \qquad \text{quartic} \\ &+ \dots \end{aligned}$$

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Fermions

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Fermions

- Solve for mode functions:
- Solutions are sums of Whittaker functions:

$$s_r(x) = e^{-\pi r\xi} W_{\frac{1}{2} + 2ir\xi, i\sqrt{\mu^2 + 4\xi^2}}(-2ix)$$

$$d_r(x) = -i\mu e^{-\pi r\xi} W_{-\frac{1}{2} + 2ir\xi, i\sqrt{\mu^2 + 4\xi^2}}(-2ix)$$

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Power Spectrum

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Power Spectrum

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$${\cal P}^{(0)}_\zeta = {\cal H}^4/4\pi^2 \dot{\phi}_0^2$$
 (Standard)

 $\delta\phi$

Power Spectrum

• Zeroth order in the interaction:

$$P^{(0)}_{\zeta} = H^4/4\pi^2 \dot{\phi}_0^2$$
 (Standard)

 $\delta \phi$

• Lowest order in the interaction ($\sim 1/f^2$):

Power Spectrum

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Cubic:

Power Spectrum

• Zeroth order in the interaction:

$$P^{(0)}_\zeta = H^4/4\pi^2 \dot{\phi}_0^2$$
 (Standard)

Lowest order in the interaction (~ 1/f²):
 Quartic:

Can evaluate exactly analytically!

Cubic: Evaluate with approximations



 $\delta\phi$

 $\delta\phi$

 $\delta \phi$

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Power Spectrum

• Zeroth order in the interaction:

$$P^{(0)}_\zeta = H^4/4\pi^2 \dot{\phi}_0^2$$
 (Standard)

• Lowest order in the interaction ($\sim 1/f^2$):

Quartic: Can evaluate exactly analytically!

Cubic: Evaluate with approximations Subdominant



 $\delta \phi$





Renormalization

Lauren Pearce (PSU-NK)

Analytic Results



Renormalization

$$rac{\delta P_{\zeta}\left(au,\,k
ight)}{P_{\zeta}^{\left(0
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 \bullet Idea: Infinitesimally slow expansion of the universe \rightarrow nothing should happen

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$$\frac{\delta P_{\zeta}(\tau, k)}{P_{\zeta}^{(0)}} \propto \int dx_{p} x_{p} \sum_{r} \operatorname{Re} \left[d_{r}^{*}(x_{p}) s_{r}(x_{p}) - d_{\operatorname{ad},r}^{*}(x_{p}) s_{\operatorname{ad},r}(x_{p}) \right] (x_{p} = -p\tau_{1})$$

Adiabatic regularization:

- \bullet Idea: Infinitesimally slow expansion of the universe \rightarrow nothing should happen
- Concretely: Subtract off adiabatic limit mode-by-mode

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Adiabatic regularization:

- UV divergence cancels
- Where do other terms come from?
- "Physical" contribution: $\mu\xi^2 \ln(\xi)$
- Adiabatic contribution: $\mu\xi^2$
- Adiabatic regularization ok if $\xi \gg 1$

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Three-Point Function

• To leading order ($\sim 1/f^3$):



Three-Point Function

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• LHS: $\sim m^4 \xi/f^3$

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Can evaluate exactly analytically!

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• Sourced power spectrum: For $\mu \lesssim 1$, $\xi \gg 1$: $\frac{\delta P_{\zeta}^{\text{quar}}(k)}{P_{\zeta}^{(0)}} = \frac{32 \, m^2 \xi^2 \, \log \xi}{3 \pi^2 f^2} \, \log(H/k)$

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$$f_{NL}^{eq} = \frac{\frac{160 H^2 \mu^2 \xi^3}{9 \pi f^2} \log(H/k)}{\left(1 + \frac{32 H^2 \mu^2 \xi^2 \log \xi}{3 \pi^2 f^2} \log(H/k)\right)^2}$$

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 log(H/k): Regulated by finite number of e-foldings between when mode left horizon and end of inflation



 Red region: EFT for interaction term breaks down or strong backreaction



- Red region: EFT for interaction term breaks down or strong backreaction
- Grey region: Other diagrams contribute to f_{NL} (O(1) uncertainty)



Dot-dashed line:

- Left: Vacuum perturbations dominate power spectrum
- Right: Sourced perturbations dominate power spectrum

Plank Collaboration Astron.Astrophys. 594 (2016) A17 arXiv:1502.01592



Dot-dashed line:

- Left: Vacuum perturbations dominate power spectrum
- Right: Sourced perturbations dominate power spectrum
- Consistent with Planck non-gaussianity limit ($f_{NL} = -4 \pm 43$) Lauren Pearce (PSU-NK)



Unlike bosonic production:

Lauren Pearce (PSU-NK)

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Unlike bosonic production:

 $\bullet\,$ Exponential growth of a single mode $\rightarrow\,$ large non-gaussianity



Consider fixing μ and increasing ξ :



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• At first, populate more modes with non-gaussian perturbations \rightarrow increasing f_{NL}



Consider fixing μ and increasing ξ :

• But f_{NL} depends on the sum of these modes, which are uncorrelated



Consider fixing μ and increasing ξ :

- But f_{NL} depends on the sum of these modes, which are uncorrelated
- As number of modes increases, sum becomes gaussian (by central limit theorem) \rightarrow decrease in f_{NL}

How can we know if CMB perturbations are vacuum fluctuations or sourced fluctuations?

• Not non-gaussianity

- Not non-gaussianity
- Not spectral tilt

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- Tensor-to-scalar ratio?



- Not non-gaussianity
- Not spectral tilt
- Tensor-to-scalar ratio?
 Perhaps chiral gravitational waves?



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Cubic:



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Quartic: Seven quartic vertices generated Can evaluate all of them exactly analytically!



Cubic: One cubic vertex generated



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- Use ADM decomposition of metric to find interaction vertices:

Quartic: Seven quartic vertices generated Can evaluate all of them exactly analytically!



Cubic: One cubic vertex generated Additional approximations necessary

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Ratio of sourced tensor power spectrum to vacuum:

$$\frac{\delta \mathcal{P}_t}{\mathcal{P}_t^{\text{vac}}} \approx \mathcal{O}(0.01) \frac{H^4}{M_{Pl}^4} \mu^2 \xi^3 \ln(H/k)$$

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- Sourced contribution cannot dominate
- Tensor-to-scalar ratio cannot distinguish this model (with foreseeable observations)

Conclusions

• The lore is wrong: fermion production during inflation *can* have interesting phenomenology!

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- But calculations are subtle:
 - Need to work in basis where perturbation theory remains valid
 - Unresolved questions about regularization in parts of parameter space

Thank you! Questions?

Fermions Are Messy!

• Expand fermion in terms of mode functions:

$$\psi = \int \frac{d^{3}k}{(2\pi)^{\frac{3}{2}}} e^{i\mathbf{k}\cdot\mathbf{x}} \sum_{r=\pm} \left[U_{r,\mathbf{k}}(\tau) a_{r,\mathbf{k}} + V_{r,-\mathbf{k}}(\tau) b_{r,-\mathbf{k}}^{\dagger} \right]$$
$$U_{r,\mathbf{k}}(\tau) = \frac{1}{\sqrt{2}} \begin{bmatrix} u_{r,\mathbf{k}}(\tau)\chi_{r}(\mathbf{k}) \\ rv_{r,\mathbf{k}}(\tau)\chi_{r}(\mathbf{k}) \end{bmatrix}, \qquad V_{r,\mathbf{k}}(\tau) = \frac{1}{\sqrt{2}} \begin{bmatrix} v_{r,\mathbf{k}}^{*}(\tau)\chi_{-r}(\mathbf{k}) \\ -ru_{r,\mathbf{k}}^{*}(\tau)\chi_{-r}(\mathbf{k}) \end{bmatrix}$$

• where
$$(x = -k\tau)$$
:
 $u_r(x) = \frac{1}{\sqrt{2x}} \left[e^{ir\phi_0(x)/f} s_r(x) + e^{-ir\phi_0(x)/f} d_r(x) \right]$
 $v_r(x) = \frac{1}{\sqrt{2x}} \left[e^{ir\phi_0(x)/f} s_r(x) - e^{-ir\phi_0(x)/f} d_r(x) \right]$
 $s_r(x) = e^{-\pi r\xi} W_{\frac{1}{2} + 2ir\xi, i\sqrt{\mu^2 + 4\xi^2}}(-2ix) \qquad W_{\mu,\lambda}(z)$:
 $d_r(x) = -i\mu e^{-\pi r\xi} W_{-\frac{1}{2} + 2ir\xi, i\sqrt{\mu^2 + 4\xi^2}}(-2ix) \qquad Whittaker W function$

Power Spectrum

- Quartic loop (exact): For $\mu \lesssim 1$, $\xi \gg 1$: $\frac{\delta P_{\zeta}^{\text{quar}}(k)}{P_{\zeta}^{(0)}} = \frac{32 m^2 \xi^2 \log \xi}{3\pi^2 f^2} \log(H/k)$
- Cubic loop (further approximations):

$$rac{\delta P_\zeta^{
m cub}(k)}{P_\zeta^{(0)}} \propto rac{m^2}{f^2}\,\mu^2\,\sqrt{\xi}\,|\log(k/H)|$$

• For $\mu \lesssim$ 1, $\xi \gg$ 1, the quartic contribution dominates the cubic contribution

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- Quartic loop (exact): For $\mu \lesssim 1$, $\xi \gg 1$: $\frac{\delta P_{\zeta}^{\text{quar}}(k)}{P_{\zeta}^{(0)}} = \frac{32 m^2 \xi^2 \log \xi}{3\pi^2 f^2} \log(H/k)$
- log(H/k) dependence: Fermion energy density continues sourcing inflaton perturbations, even outside horizon
- Regulated by finite number of e-foldings between when mode left horizon and end of inflation

Non-gaussianity parameter:

$$f_{NL}^{eq} = \frac{\frac{160 H^2 \mu^2 \xi^3}{9 \pi f^2} \log(H/k)}{\left(1 + \frac{32 H^2 \mu^2 \xi^2 \log \xi}{3 \pi^2 f^2} \log(H/k)\right)^2}$$

- The analytic calculation is good for all μ , ξ , but consider regime $\mu \lesssim 1$, $\xi \gg 1$ (other diagrams subdominant & regularization good)
- Fixing observed power spectrum $P_{\zeta}=2.2 \times 10^{-9}$ leaves two free parameters: m/f and ξ

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- Slow roll: Assume each mode evolves with constant H, $\dot{\phi}$ & take account of time-dependence when comparing modes
- In regime where sourced contribution dominates power spectrum:

$$n_s - 1 = -3\epsilon - \frac{1}{N} + \frac{2\epsilon - \eta}{\log(\xi)}$$

N: number of e-foldings

• Get $n_s \approx 0.97$ for reasonable slow roll parameters

Gravitational Wave Power Spectrum

• Dominant quartic contribution:

$$\delta \mathcal{P}_t^{\mathrm{quar}} = rac{4H^4}{9\pi^3 M_{Pl}^4} \mu^2 \xi^3 \ln(H/k)$$

• Chiral contribution $(\lambda = \pm 1)$:

$$\delta \mathcal{P}_t^{\mathrm{parity-odd}} = \lambda rac{H^4}{6\pi M_{Pl}^4} \mu^2 \xi^2$$

• Cubic contribution:

$$\delta \mathcal{P}_t^{ ext{cub}} \sim \mathcal{O}(0.1) rac{H^4}{M_{Pl}^4} \mu^2 \xi^3$$

• No regime in which quartic dominates cubic

CMB to Correlators

Power Spectrum and f_{NL}

- ζ : Spatial curvature of hypersurfaces of constant energy density
- Power spectrum:

$$P_{\zeta} = \frac{k^3}{2\pi^2} \left< \zeta \zeta \right>'$$

• f_{NL,eq}:

$$\langle \zeta \zeta \zeta \rangle' = \frac{P_{\zeta}}{k^6} \cdot \frac{9}{10} (2\pi)^{5/2} \cdot f_{NL,eq}$$

• ζ connected to inflaton perturbations: $\zeta = -H \, \delta \phi / \dot{\phi}_0$

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