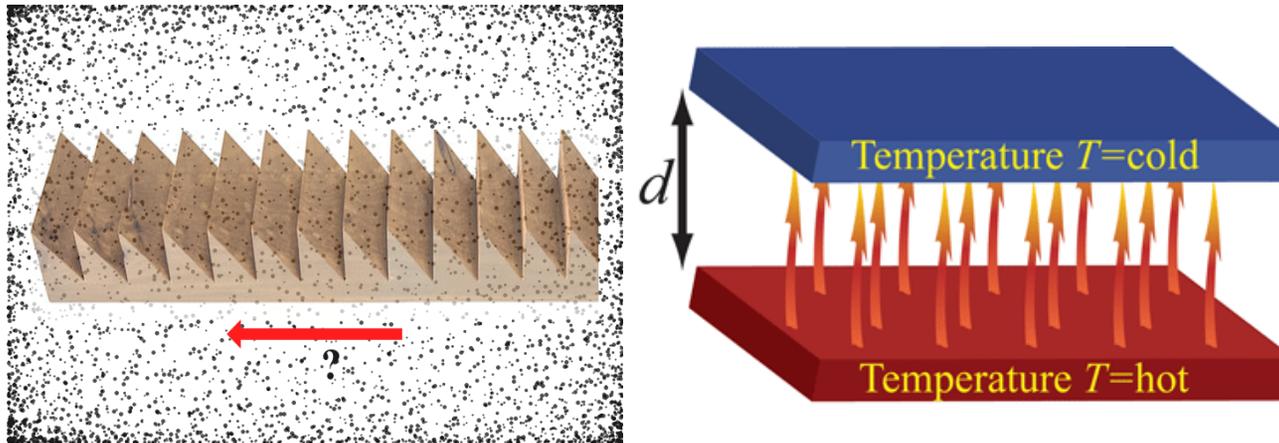


Casimir force heat engine



David Gelwaser, Noah Graham, Matthias Krüger

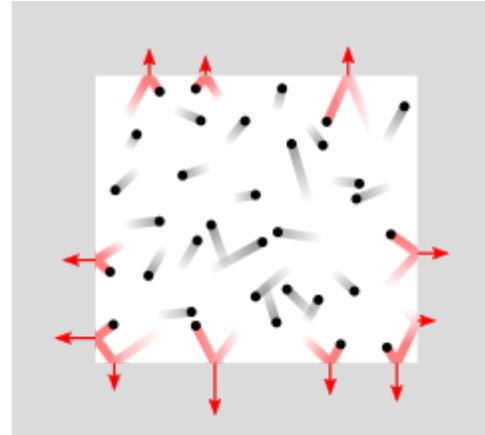
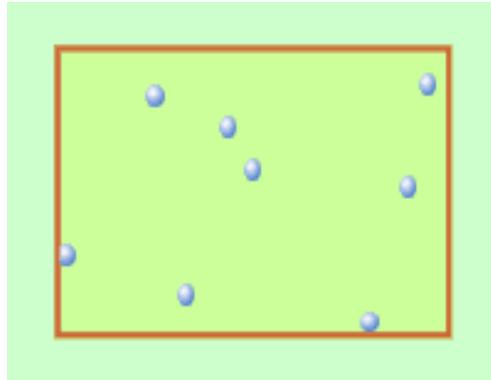
Outline

- I. [Force](#): Casimir force, normal and lateral
- II. [Motion](#): Ratchets, and propulsion
- III. [Heat](#): Energy transfer and non-equilibrium force in the near field
- IV. [Reciprocity](#): Constraints on propulsive force
- V. [Power](#): Work, motion, Onsager, friction, and efficiency
- VI. [Summary](#)

Force from Equilibrium Fluctuation

(from classical to quantum and back!)

● **Pressure** is a force from restriction of particle fluctuations



$$P = k_B T \frac{\partial \ln Z}{\partial V}, \quad Z = \int \prod_i d^3 q_i d^3 p_i e^{-\beta \mathcal{H}}$$

● Force per unit area is a bulk property (function of equilibrium state)

▶ independent of the shape of the container and potentials at the wall

▶ independent of the dynamics of the particles (momentum integrations)

● For a dilute gas: $P = n k_B T + \dots$ (non-universal corrections)

● **Radiation:** Photon density is temperature dependent:

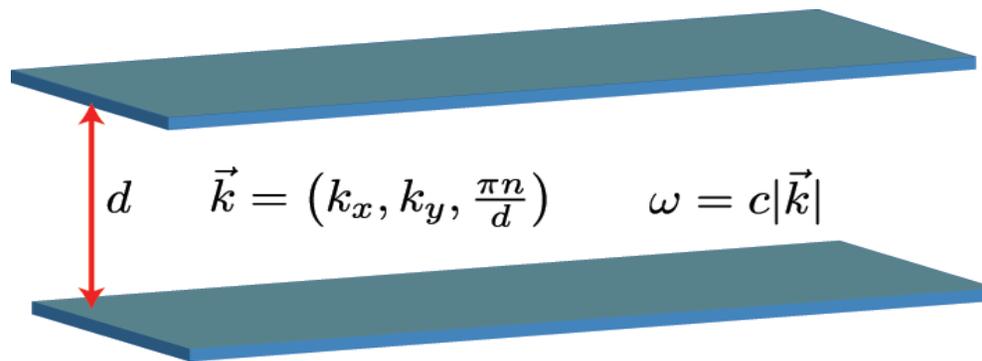
$$n(T) \propto \frac{1}{\lambda_T^3}, \text{ with } \lambda_T = \frac{\hbar c}{k_B T}$$

Stefan-Boltzmann law of black-body pressure:

$$P = \sigma' T^4$$

● **Casimir Force:** Virtual photons (QED fluctuations) at low temperatures: $\lambda_T > d$

● "On the attraction between two perfectly conducting plates," [H.B.G. Casimir, Proc. K. Ned. Akad. Wet. 51, 793 \(1948\)](#).



● Quantum fluctuations of electromagnetic field in the vacuum between leads to an **attractive force** between perfect mirrors

$$F = -\frac{\partial E_0}{\partial d} = -\text{Area} \times \frac{\pi^2}{240} \times \frac{\hbar c}{d^4}$$

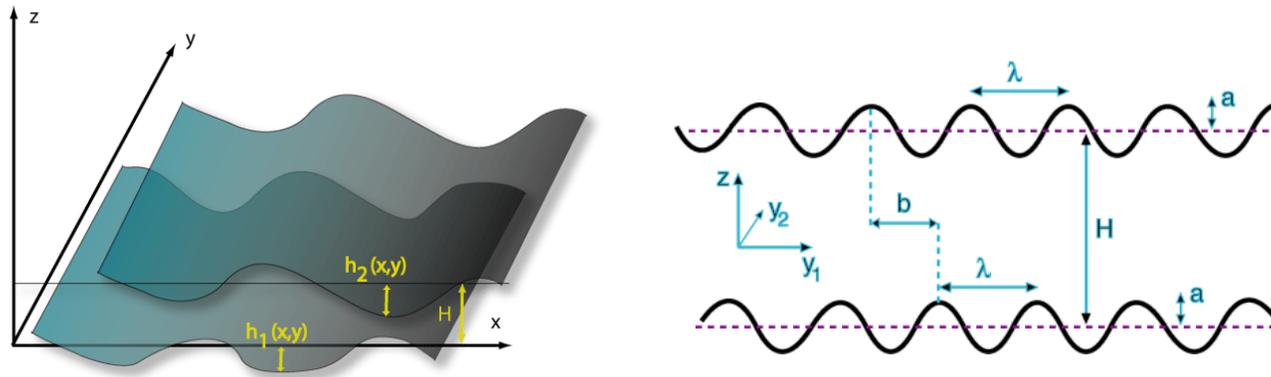
$$P = \frac{F}{\text{Area}} \approx -1.3 \times 10^{-5} \text{ atm.} \left(\frac{1 \mu\text{m}}{d} \right)^4$$

● "The Theory of Molecular Attractive Forces Between Solids," [E.M. Lifshitz, Soviet Physics 2, 73 \(1956\)](#),

[Generalizes](#) above by considering fluctuating current sources in the bodies, and at finite temperatures.

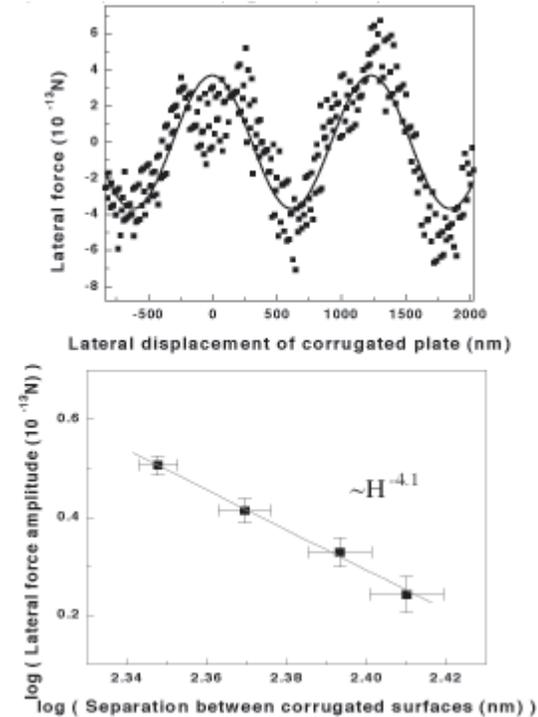
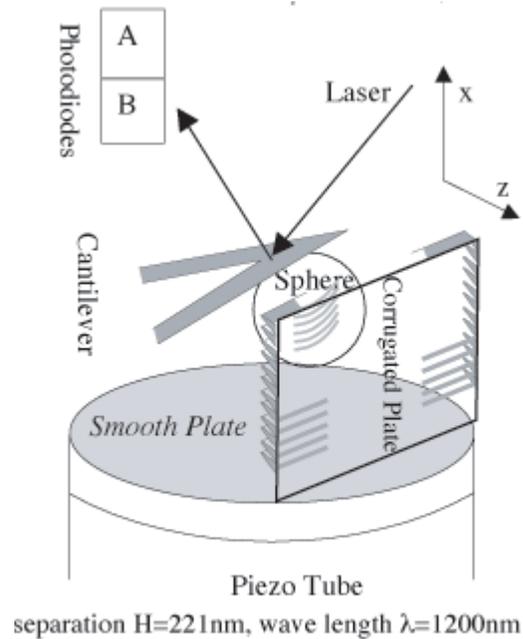
● Since 1995 confirmed in myriad experiments.

● **Lateral Casimir Force:** A sideways force to "align" corrugated plates into position of minimum energy:



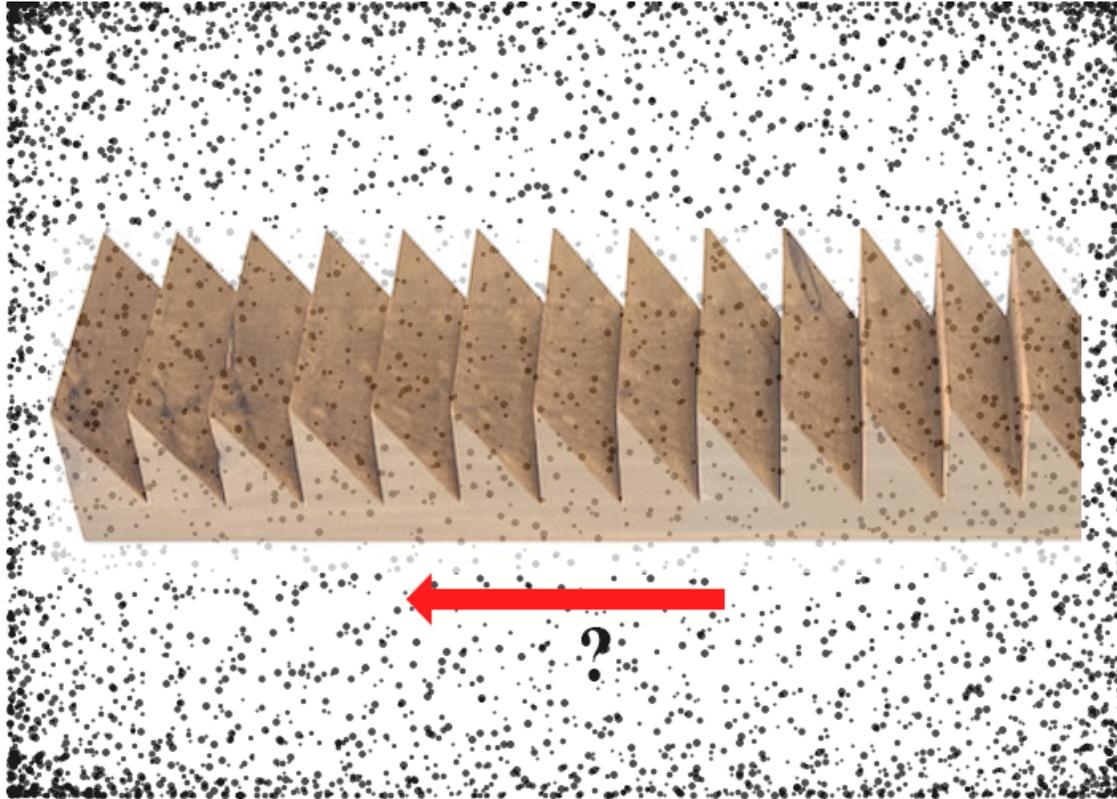
● "Mechanical Response of Vacuum," Golestanian, & Kardar, [Phys. Rev. Lett. 78, 3421 \(1997\)](#).

● "Demonstration of the Lateral Casimir Force," F. Chen, U. Mohideen, *et. al* , [Phys. Rev. Lett. 88, 101801 \(2002\)](#).

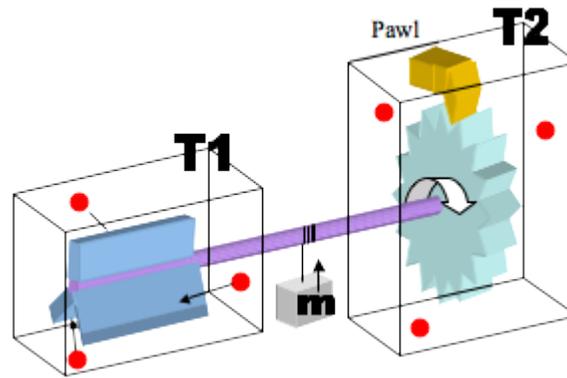


Motion of Ratchet

- **Asymmetry:** Can asymmetric boundaries rectify fluctuations to force and motion?

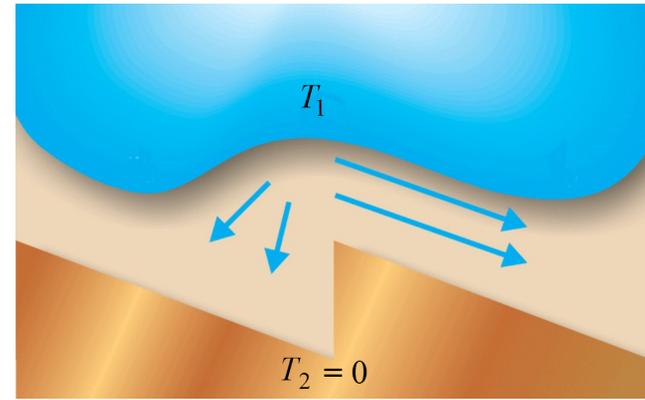
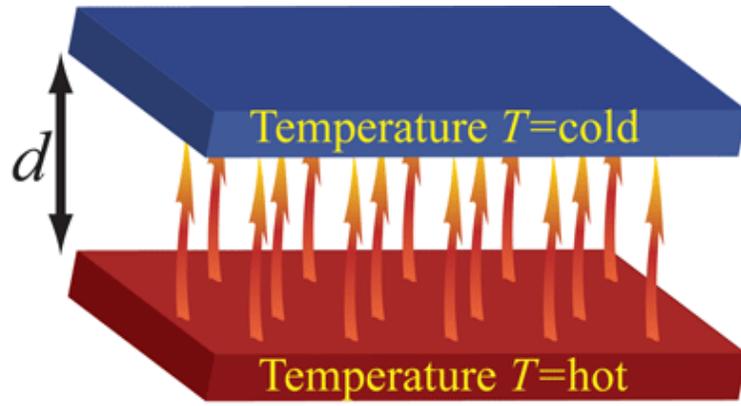


- This is clearly not possible in thermal equilibrium (time reversal symmetry).
- Non-equilibrium fluctuations can indeed generate force and motion
 - [Feynman's ratchet and pawl](#): ([youtube](#))



- Random motion of bacteria:

- **Nonequilibrium** fluctuations + asymmetry can potentially lead to motive force
- **Radiative heat transfer** as source of nonequilibrium fluctuations

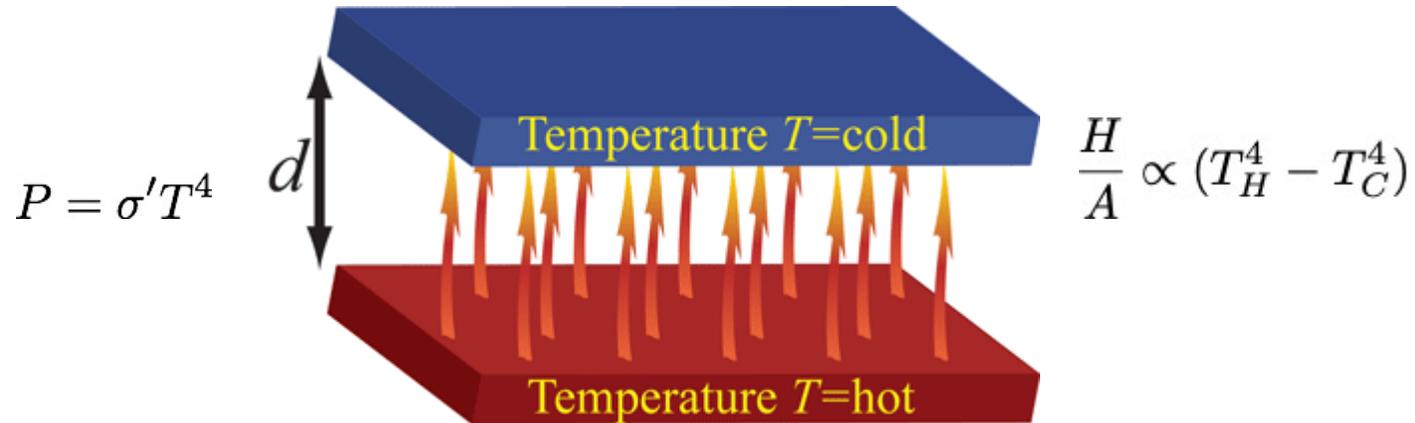


Motion

Heat

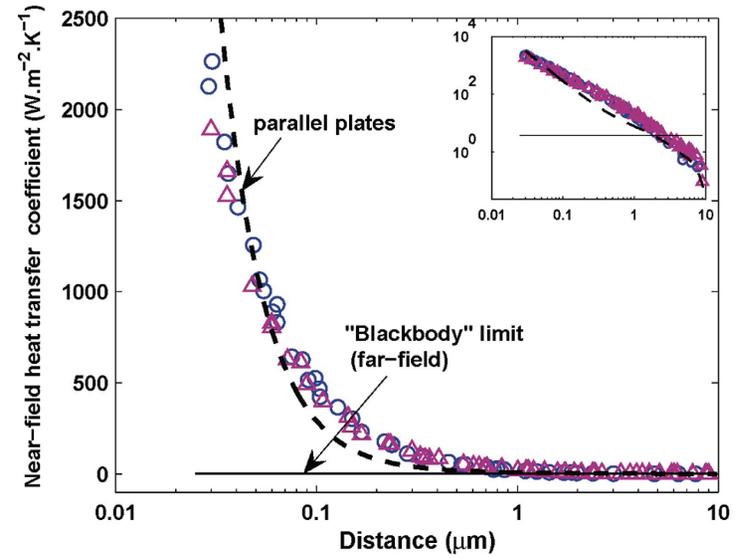
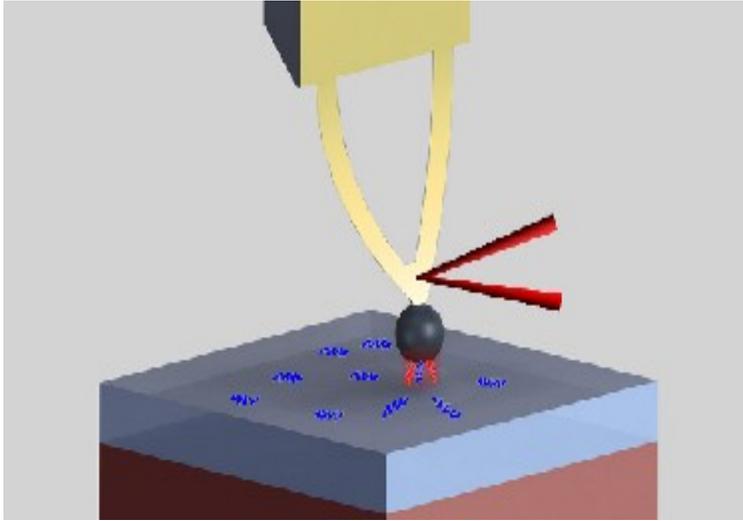
(... transport in non-equilibrium QED)

● **Radiation Pressure** is a feature of non-equilibrium *steady states* with different temperatures



- At short scales "**near-field effects**" due to **evanescent waves** modify classical "[Stefan-Boltzmann](#)" law:
- "[Surface Phonon Polaritons Mediated Energy Transfer between Nanoscale Gaps](#)," S. Shen, A. Narayanaswamy, & G. Chen,

[Nano Lett. 9, 2909 \(2009\). Breaking the law, at the nanoscale \(MIT news, July 29, 2009\)](#)

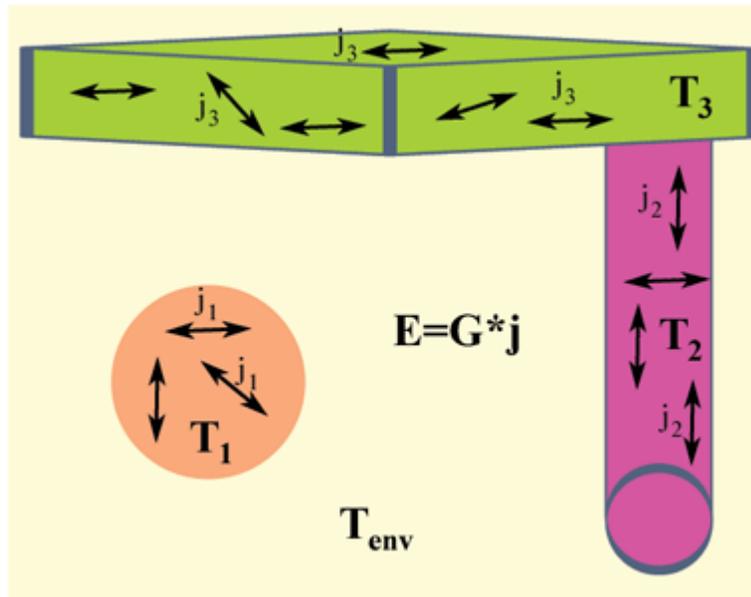


● A generalized approach for computation of Casimir forces, as well as radiation and heat transfer.

● "Nonequilibrium Fluctuational QED: Heat Radiation, Heat Transfer and Force,"

G. Bimonte, T. Emig, M. Kardar, and M. Krüger, [Annual Review of Condensed Matter Physics 8, 119 \(2017\)](#).

Rytov (1959):



"Fluctuational QED"

- Fluctuating currents in each object are related to its temperature by a *fluctuation-dissipation* condition:

$$\langle j_\alpha(\mathbf{r}, \omega) j_\beta(\mathbf{r}', \omega') \rangle = \hbar \omega^2 \left(\frac{1}{2} + \frac{1}{e^{\hbar\omega/k_B T} - 1} \right) \Im \epsilon(\mathbf{r}, \omega) \delta_{\alpha\beta} \delta(\mathbf{r} - \mathbf{r}') \delta(\omega - \omega')$$

- The EM field due to *thermal fluctuations* of *one object* is related to overall Green's function by:

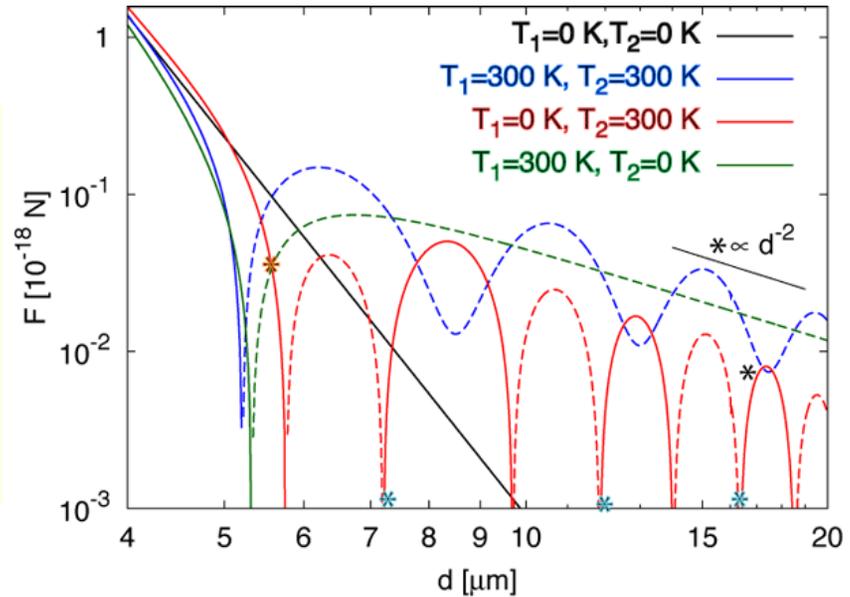
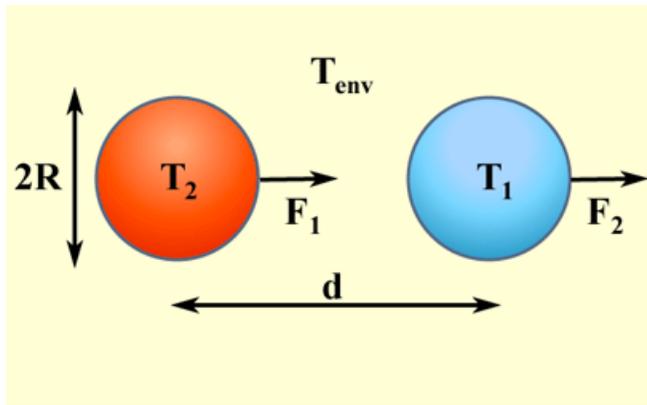
$$C_\alpha^{sc}(T) \equiv \langle E_i(\mathbf{r}, \omega) E_j^*(\mathbf{r}', \omega') \rangle = \frac{8\pi \hbar \omega^4}{c^4} \coth \left(\frac{\hbar\omega}{2k_B T} \right) \delta(\omega - \omega') \\ \times \int d^3 \mathbf{r}'' \mathcal{G}_{ik}(\omega; \mathbf{r}, \mathbf{r}'') \Im \epsilon(\omega, \mathbf{r}'') \mathcal{G}_{kj}^*(\omega; \mathbf{r}'', \mathbf{r}')$$

- The overall fluctuations with many objects at different temperatures is then given by:

$$C^{neq}(T_{env}, \{T_\alpha\}) = C^{eq}(T_{env}) + \sum_\alpha [C_\alpha^{sc}(T_\alpha) - C_\alpha^{sc}(T_{env})]$$

- From EM correlations follow the stress tensor and the Poynting vector, hence forces and radiation.

- As an example with asymmetry, consider forces between two micro-spheres at different temperatures:



● "Non-equilibrium Casimir forces: Spheres and sphere-plate,"

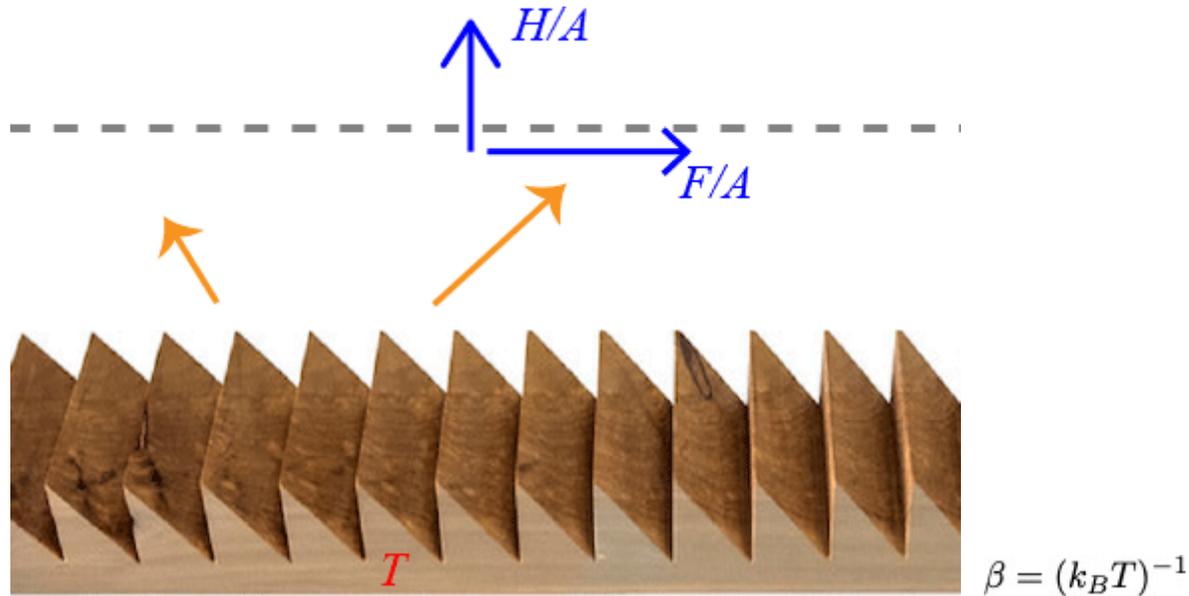
M. Krüger, T. Emig, G. Bimonte and M. Kardar, [Europhys. Lett. 95, 21002 \(2011\)](#) (1 micron Si O2 spheres, force on #2)

- Whereas the *equilibrium* force (attractive) falls off as $1/d^6$, the *non-equilibrium* force decays as $1/d^2$. (*)
- The non-equilibrium force can be attractive and repulsive. (*)
- Unlike in thermal equilibrium, there are points of stable levitation. (*)
- Forces are not equal and opposite, with points of equal force in the same direction! (*)

► Near field effects strongly modify force and heat transfer.

Reciprocity in EM

- **Radiation** from a heated ratchet:



$$\frac{H}{A} = \int_0^\infty d\omega \frac{\hbar\omega}{e^{\beta\hbar\omega} - 1} \int d^2 k_{\parallel} \mathcal{S}_\omega(\vec{k}_{\parallel})$$
$$\frac{\vec{F}}{A} = \int_0^\infty d\omega \frac{1}{e^{\beta\hbar\omega} - 1} \int d^2 k_{\parallel} \hbar\vec{k}_{\parallel} \mathcal{S}_\omega(\vec{k}_{\parallel})$$

- "Near Field Propulsion Forces from Nonreciprocal Media," D. Gelbwaser-Klimovsky, N. Graham, M. Kardar, and Matthias Krüger,

[Phys. Rev. Lett. **126**, 170401 \(2021\).](#)

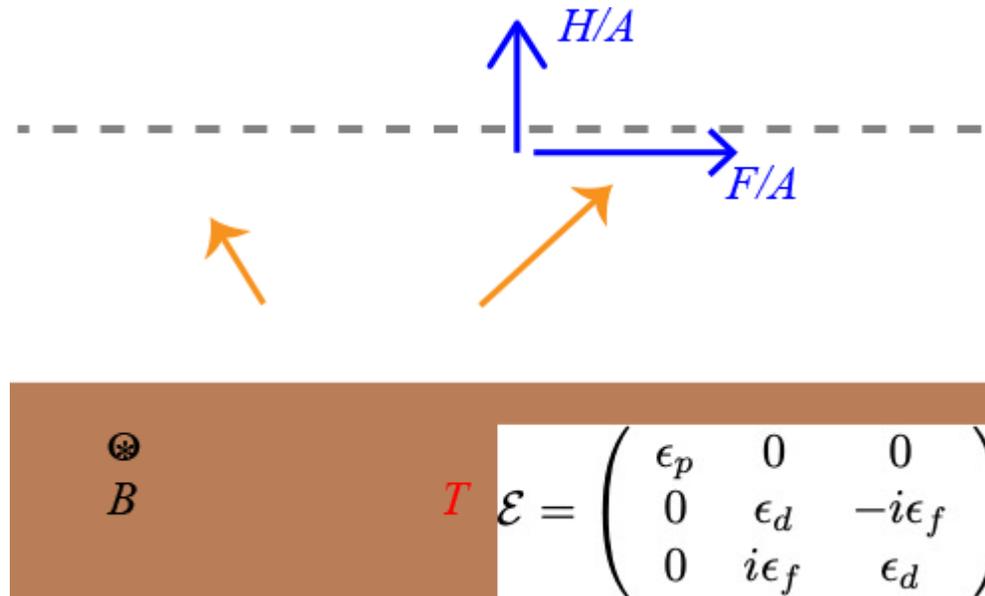
► Dispersion relation of photons (relevant at far fields):

$$\omega = c\sqrt{k_{\perp}^2 + k_{\parallel}^2} \implies |\vec{F}| < H/c$$

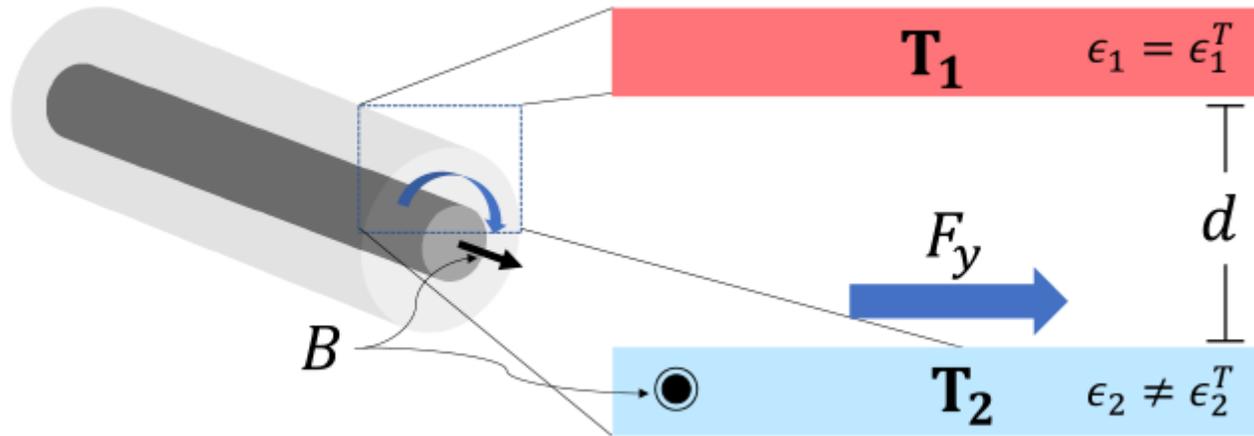
► Reciprocity of electromagnetic field equations: $\mathcal{S}_{\omega}(\vec{k}_{\parallel}) = \mathcal{S}_{\omega}(-\vec{k}_{\parallel}) \implies \vec{F} = 0$

"Nonreciprocal radiative heat transfer between two planar bodies," L. Fan, ..., C. Fan, [Phys. Rev. B **101**, 085407 \(2020\)](#).

● The reciprocity condition can be broken in a number of ways, e.g. by magneto-optical couplings

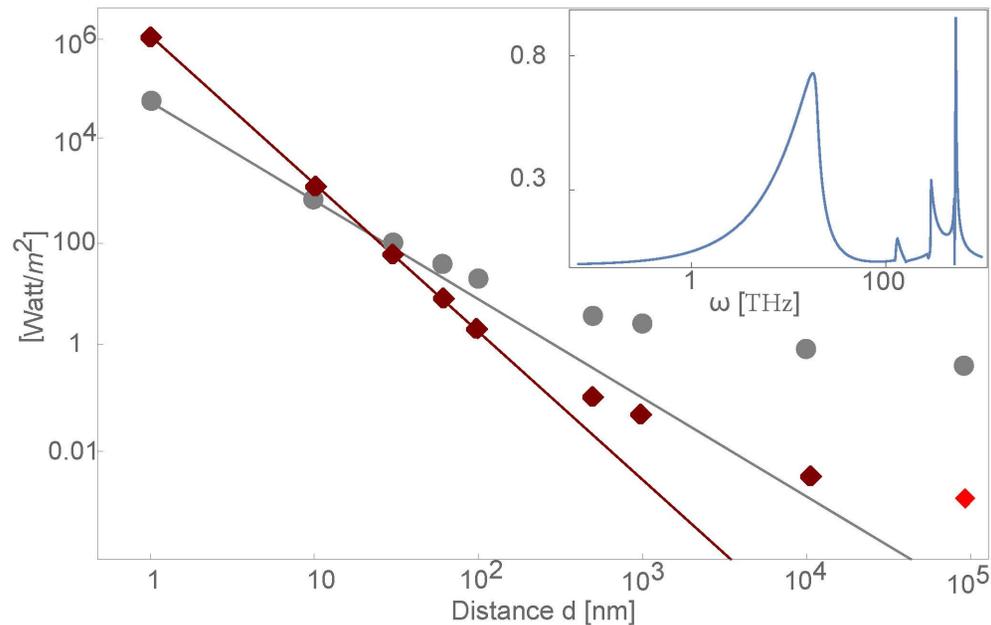


● There is a motive force with non-reciprocal media, which can be used to construct a heat engine



● As with heat transfer, the motive force is much larger in the near-field regime $d < \frac{\bar{\omega}}{c}$, where $\bar{\omega}$ is a characteristic material frequency.

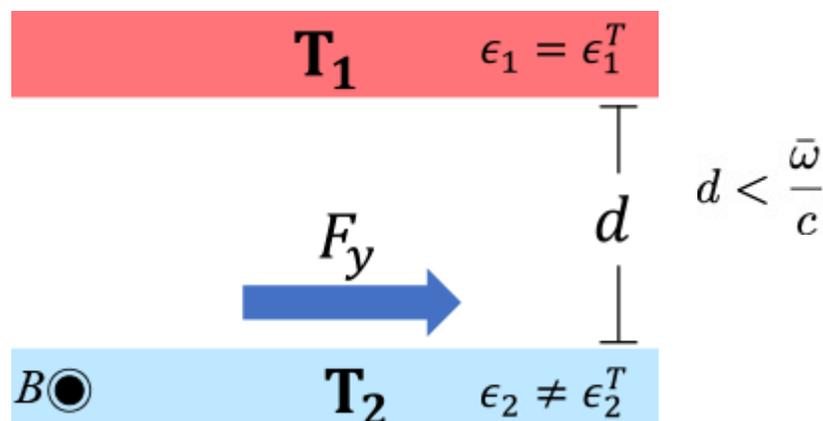
$$\frac{F}{H}c < 1 \quad d \ll c/\omega \quad \frac{f(\omega)}{h(\omega)}(d\omega) < 1$$



Scaled motive force F (red diamonds), and heat transfer H (gray circles) for a SiC plate and one of n-InSb subject to a magnetic field along the x axis. The dots correspond to numeric calculations and the continuous lines to the small d asymptotes. Note that the force changes sign from +y to -y (dark red to light red diamond) at large separation. Inset: $[f(\omega)/h(\omega)](\omega d)$ for $d=1\text{nm}$. Figures parameters: $B=10\text{T}$, $T1=300\text{K}$, $T2=270\text{K}$.

Reciprocity

Power and efficiency in the near field



- For simplicity, the results will be expressed in terms of the **Carnot efficiency**:

$$\eta_c = \frac{T_H - T_C}{T_H} \approx \frac{\Delta T}{T}, \quad \text{for } T_H - T_C \ll T_H = T$$

- To extract power, the plate must move with some velocity v , leading to efficiency

$$\eta = \frac{F_y}{H} v + \mathcal{O}(v^2)$$

- A naive interpretation of the above formula is that the Carnot efficiency is reached at a velocity scale

$$v_c = \frac{H}{F_y} \eta_c$$

- Onsager's relations**, however, imply that if heat exchange drives motion, motion must modify heat exchange according to

$$\mathcal{H}(v) = H + \frac{T}{\Delta T} F_y v + \mathcal{O}(v^2) = H \left[1 + \frac{v}{v_c} + \mathcal{O}(v^2) \right]$$

while there are also frictional forces (even with a vacuum gap) reducing the propulsive force to

$$\mathcal{F}_y(v) = F_y - \Gamma v + \mathcal{O}(v^2)$$

● Focusing on a single material frequency $\bar{\omega}$, and expressing the degree of non-reciprocity in terms of a single dimensionless parameter α :

$$\frac{F_y}{A} = C \frac{\alpha \eta_c \hbar \bar{\omega}}{d^3}, \quad \frac{H}{A} = 2C \frac{\eta_c \hbar \bar{\omega}^2}{d^2}, \quad \frac{\Gamma}{A} = \frac{3}{2} C \frac{\hbar}{d^4}$$

(C is a dimensionless function of $\hbar\omega/kT$ and reflectivities of the two bodies)

This leads to a final expression for the efficiency of

$$\eta = \eta_c \frac{v}{v_c} \frac{1 - 3v/(\alpha^2 v_c) + \dots}{1 + v/v_c + \dots}$$

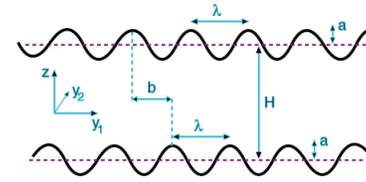
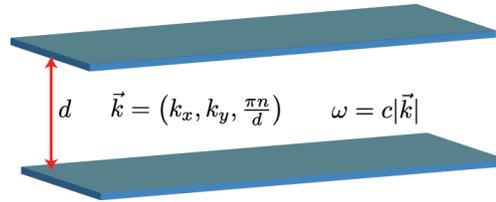
● For maximum power

$$v_{MP} = \frac{(\bar{\omega}d)}{3} \alpha \eta_c \quad \text{and} \quad \eta_{MP} = \eta_c \frac{\alpha^2}{2(6 + \alpha^2)}$$

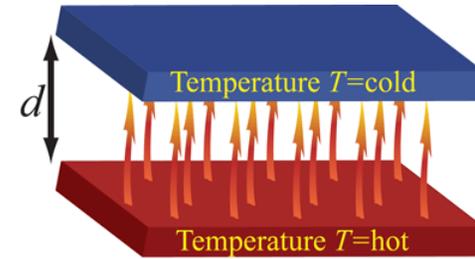
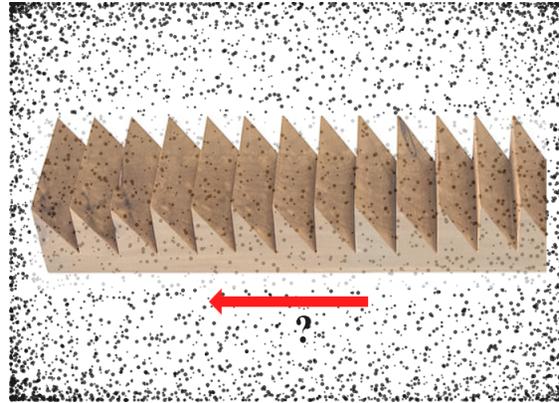
Efficiency ▼

Summary

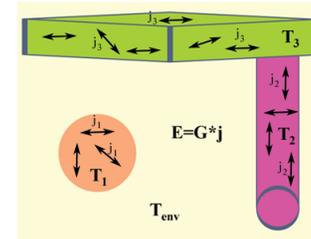
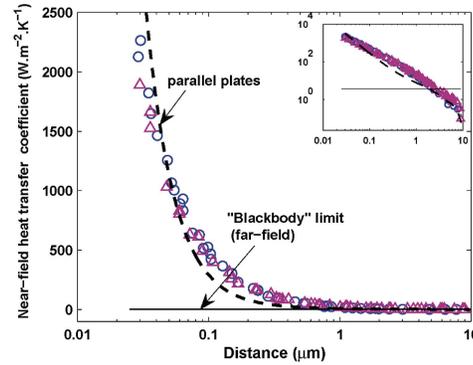
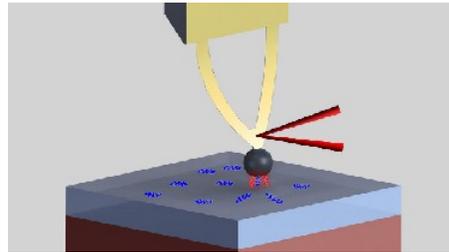
I. Force: Casimir force, normal and lateral



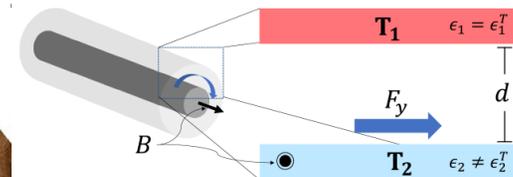
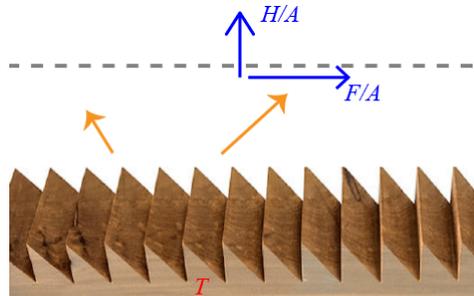
II. Motion: Ratchets, and propulsion



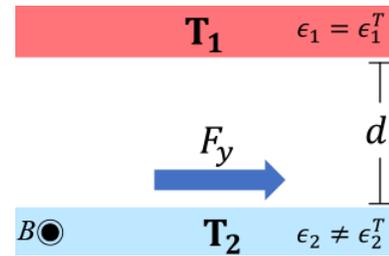
III. Heat/force in near field



IV. Reciprocity: Constraints on propulsive force



V. Power: Work, motion, Onsager, friction, and efficiency



VI. Summary.

Acknowledgments



David Gelbwaser, Noah Graham, Matthias Krüger

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G. Bimonte, T. Emig, R.L. Jaffe

