

# Topological superconductivity in doped nodal-line semimetals

Yuxuan Wang

University of Illinois at Urbana-Champaign

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Intertwined program, KITP



YW and Nandkishore, Phys. Rev. B 95, 060506(R)

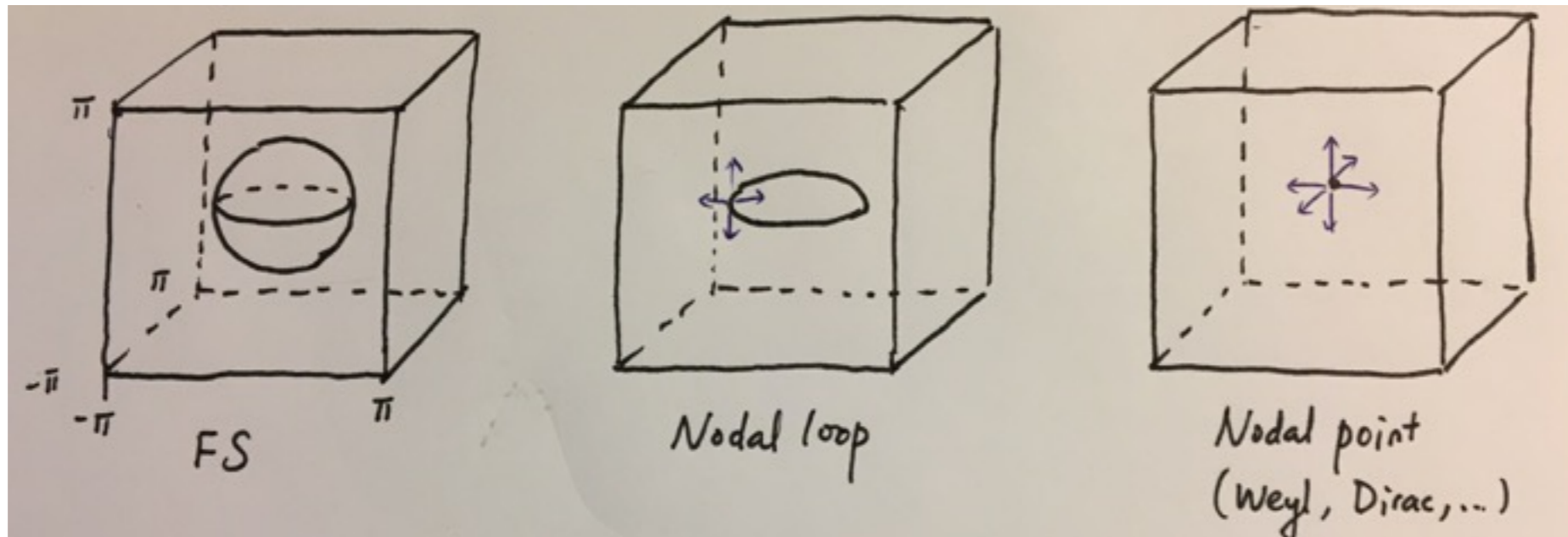
Shapourian, YW and Ryu, to appear

# Outline

- **Nodal-line semimetals/metals**
- SC orders in the bulk and on the surface
- Odd parity pairing and its topology

# Nodal-line semimetals

- Low energy fermions in 3D band structures

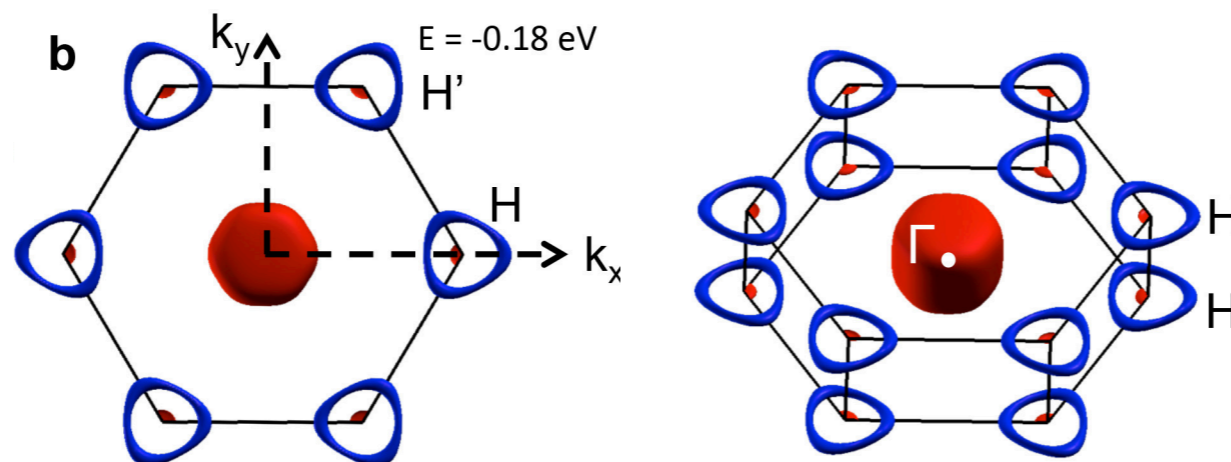


Co-dimension = 1

Co-dimension = 2

Co-dimension = 3

- Nodal lines, with FS co-dimension 2, proposed in  $\text{Ca}_3\text{P}_2$ ,  $\text{CaAgP}$ ,  $\text{CaAgAs}$ , and  $\text{TlTaSe}_2$ . [Burkov, Hook, and Balents \(2013\)](#) [H.Weng, C. Fang, Z. Fang, B.A. Bernevig, and X. Dai \(2015\)](#), Cava group (2015), Takenaka group (2016),



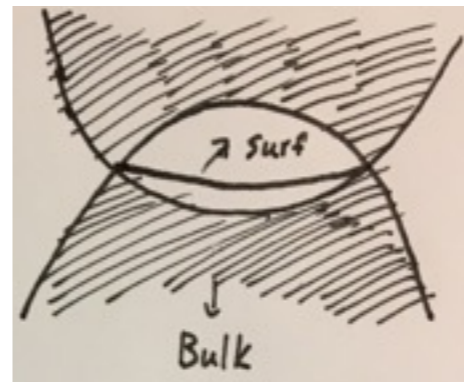
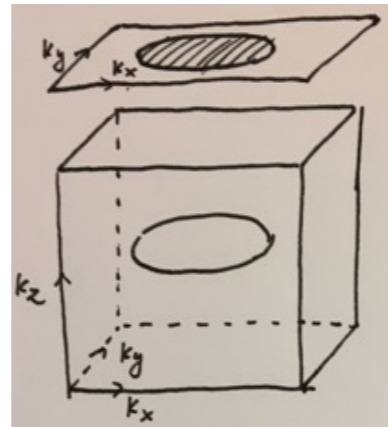
$\text{TlTaSe}_2$  (Proposed)  
G. Bian et al, PRB (2016)

# Nodal-line semimetals

- A minimal model is a two-band model ('Weyl loop')

$$\mathcal{H} = \sigma^x (k_x^2 + k_y^2 - k_F^2) + \sigma^y k_z$$

- We can use a mirror symmetry along z direction protects the nodal line, which is given by  $M_z = \sigma^x$
- On the surface, *within* the momentum range of the projection of the line node, there exist bound states with  $\sigma^z = \pm 1$

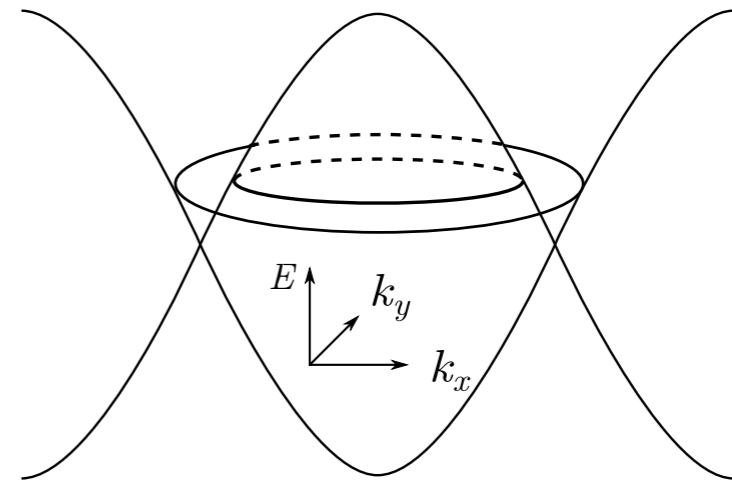
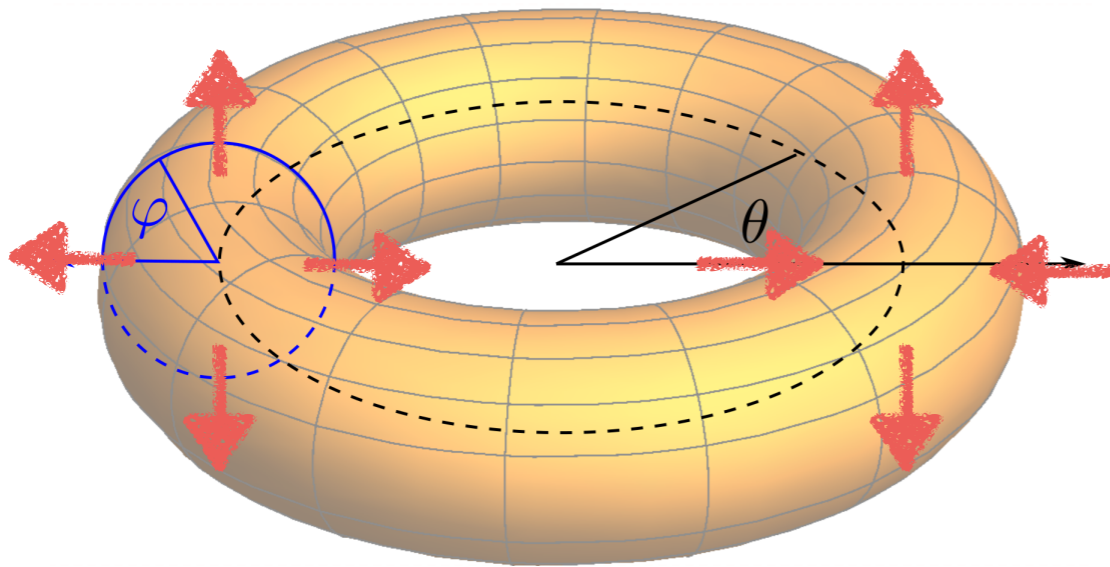


These so-called drumhead bands can lead to a topological polarization. [Ramamurthy and Hughes 2015](#) Also its large density of states can lead to interesting correlation effects.

# Nodal-line metals

- Today we focus on a Fermi surface obtained by ‘doping a nodal line’.

$$\mathcal{H} = \sigma^x (k_x^2 + k_y^2 - k_F^2) + \sigma^y k_z - \mu$$



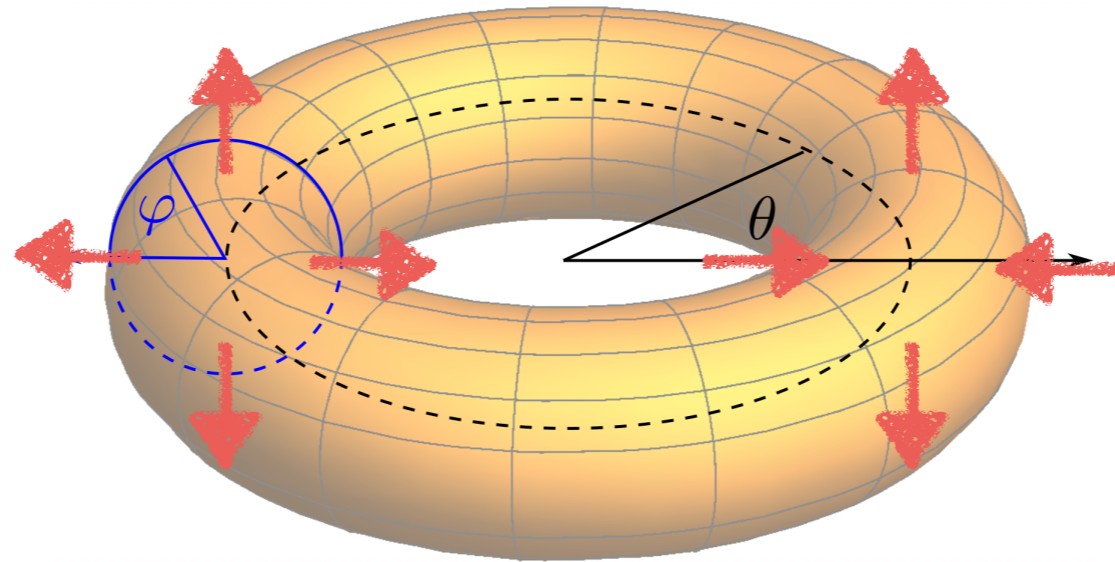
- The nontrivial properties of the nodal line now has been ‘passed on’ to the (pseudo)spin-textured Fermi surface.
- The torus-shaped FS naturally hosts superconducting instabilities.

# Outline

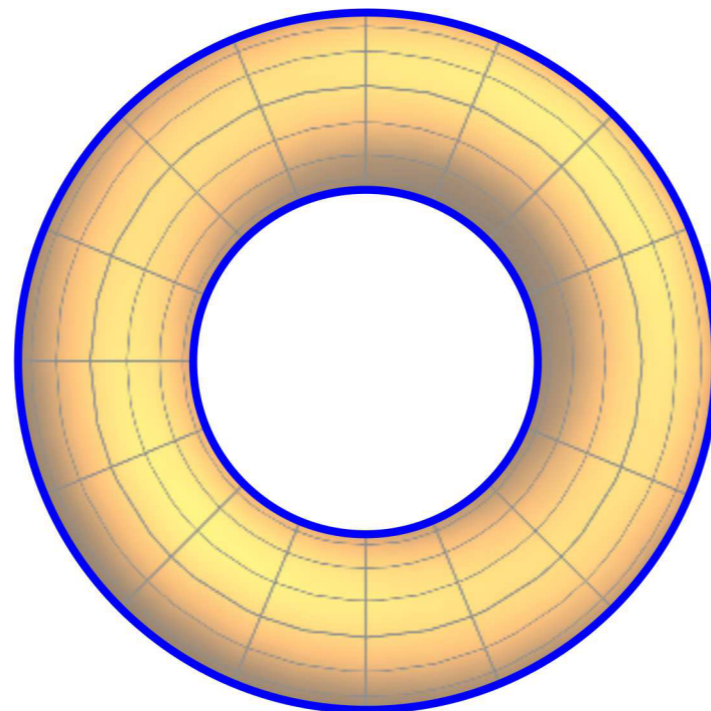
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# s-wave SC order

- The Fermi surface is spin-textured



- When projected to the Fermi surface, even s-wave order parameter can obtain nontrivial form factors (nodal lines).

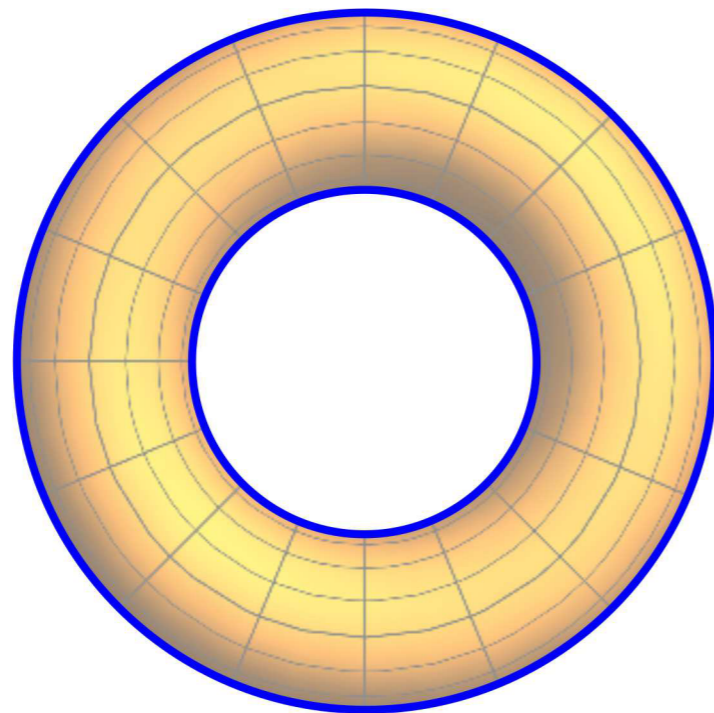


# Surface states of s-wave SC order

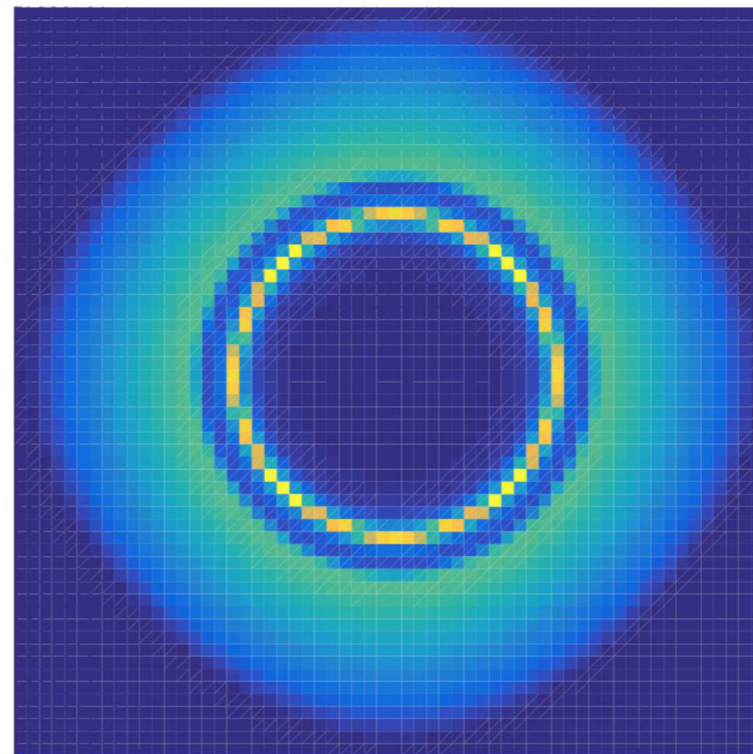
- The s-wave SC order also gets projected to the surface. However, the surface drumhead states are fully spin polarized, and hence is immune to s-wave pairing.

## SC bulk + Metallic surface!

- The 'new' nodal lines create their own surface states within the range of their surface projection. Due to a particle-hole symmetry they have strictly zero energy (Majorana flat band).



(a)



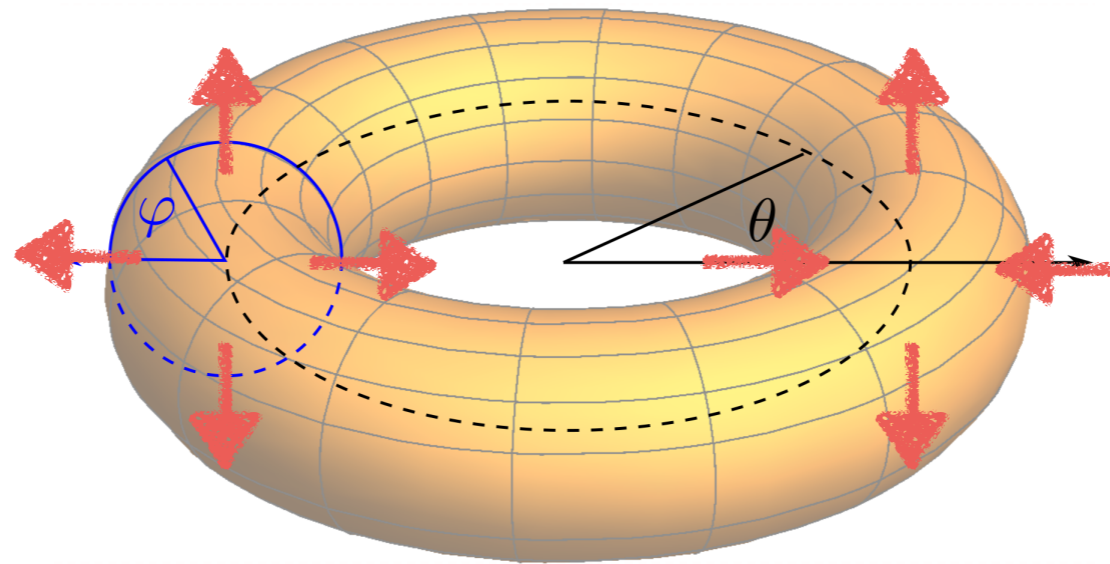
(b)



# *p*-wave SC orders

- The torus-shaped FS with spin polarization is a natural host of a *p*-wave orders.

$$\mathcal{H}_p = e^{i\theta} c_{\mathbf{k}}^\dagger (\mathbf{d} \cdot \vec{\sigma}) (i\sigma^y) c_{-\mathbf{k}}^\dagger$$



- Again, the bulk and the surface can behave differently.

$\mathbf{d}=\mathbf{d}_x$	metallic bulk	gapped surface
$\mathbf{d}=\mathbf{d}_y$	fully gapped bulk	fully gapped surface
$\mathbf{d}=\mathbf{d}_z$	bulk line-nodal SC	metallic surface

leading instability from a repulsive interaction!

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# Topology of the fully-gapped SC state

- Fully gapped SC (both bulk and surface) with  $\mathbf{d}=\mathbf{d}_y$ .

$$\mathcal{H}_p = e^{i\theta} c_{\mathbf{k}}^\dagger (\mathbf{d} \cdot \vec{\sigma}) (i\sigma^y) c_{-\mathbf{k}}^\dagger$$

- Found to be leading instability with short-range repulsion.  
[Sur and Nandkishore \(2016\)](#)
- What about its topology?

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Sur and Nandkishore (2016)

- What about its topology?

AZ	Symmetry			Dimension							
	T	C	S	1	2	3	4	5	6	7	8
A	0	0	0	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$
AIII	0	0	1	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0
AI	1	0	0	0	0	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$
BDI	1	1	1	$\mathbb{Z}$	0	0	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$
D	0	1	0	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$
DIII	-1	1	1	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$\mathbb{Z}$	0
AII	-1	0	0	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$\mathbb{Z}$
CII	-1	-1	1	$\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0
C	0	-1	0	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0
CI	1	-1	1	0	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0

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Sur and Nandkishore (2016)

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# Topology of the fully-gapped SC state

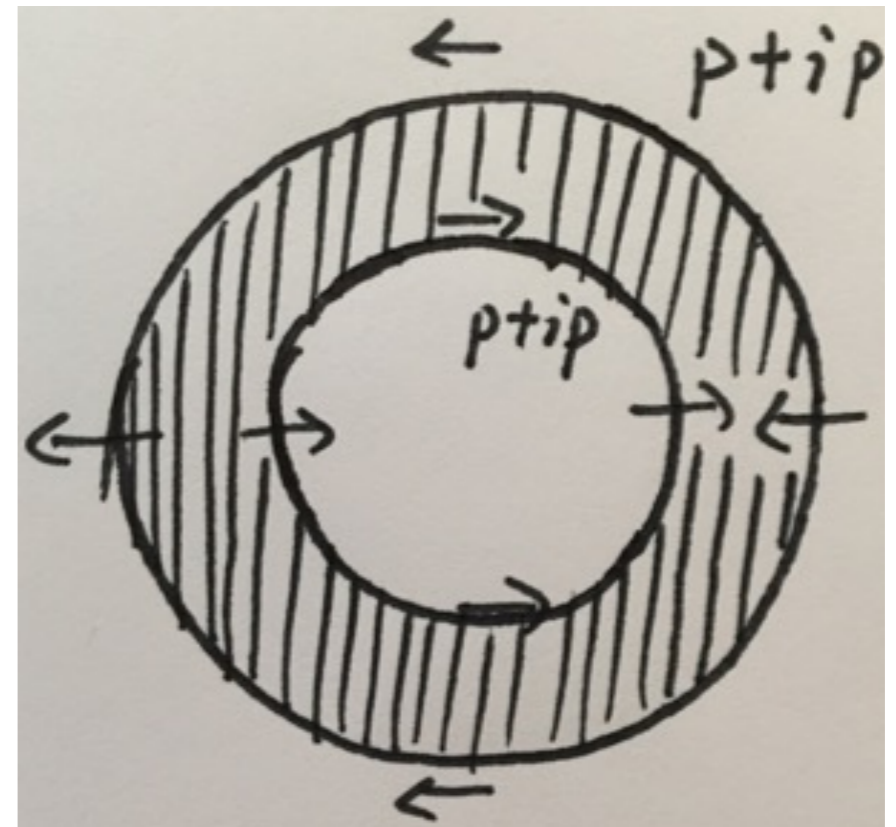
- Fully gapped SC (both bulk and surface) with  $\mathbf{d}=\mathbf{d}_y$ .

$$\mathcal{H}_p = e^{i\theta} c_{\mathbf{k}}^\dagger (\mathbf{d} \cdot \vec{\sigma}) (i\sigma^y) c_{-\mathbf{k}}^\dagger$$

- Found to be leading instability with short-range repulsion.

[Sur and Nandkishore \(2016\)](#)

- **What about its topology?**
- At a given  $k_z$ , the Fermi surface slice is composed of two circles. One is an electron-like FS, and the other is a hole-like FS. Both of them are subject to  $p+ip$  pairing. The Chern numbers on the FS's are  $\pm 1$ , and cancel each other.



# Topology of the fully-gapped SC state

- Mirror symmetry given by  $M_z = \sigma^1$ , which protects the nodal line in the normal state and is intact with SC.

$$h(\mathbf{k}) = \sigma_1 \tau_z (6 - t_1 - 2 \cos k_x - 2 \cos k_y - 2 \cos k_z)$$

$$+ 2t_2 \sigma_2 \tau_z \sin k_z - \mu \sigma_0 \tau_z + \Delta \sigma_0 (\tau_x \sin k_x + \tau_y \sin k_y),$$

- It turns out that our system is a topological *crystalline* superconductor in Class ‘D+Reflection’\*, characterized by a mirror Chern number  $C_M = 1$ .

\*Needs  $M_z^2 = 1$

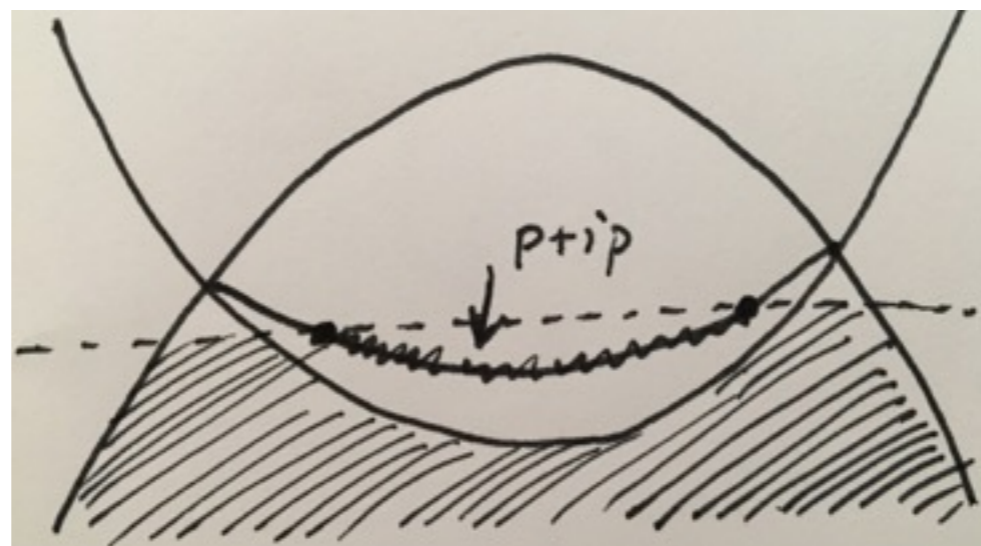
AZ Class	T	C	S	R operator	MSC	d = 1	d = 2	d = 3	d = 4	d = 5	d = 6	d = 7	d = 8
AIII	0	0	1	$R_+$	AIII <sup>2</sup>	0	MZ	0	MZ	0	MZ	0	MZ
				$R_-$	A	$\mathbb{Z}^1$	0	$\mathbb{Z}^1$	0	$\mathbb{Z}^1$	0	$\mathbb{Z}^1$	0
A	0	0	0	R	A <sup>2</sup>	MZ	0	MZ	0	MZ	0	MZ	0
AI	+	0	0	$R_+^c$	AI <sup>2</sup>	MZ	0	0	0	2MZ	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$
				$R_-$	A	0	0	2MZ	0	0	$\mathbb{Z}_2$	MZ	0
BDI	+	+	1	$R_{++}^c$	BDI <sup>2</sup>	$\mathbb{Z}_2$	MZ	0	0	0	2MZ	0	$\mathbb{Z}_2$
				$R_{--}$	AIII	0	0	0	2MZ	0	0	$\mathbb{Z}_2$	MZ
				$R_{+-}$	AI	$2\mathbb{Z}^1$	0	0	0	$\mathbb{Z}^1$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$
				$R_{-+}$	D	$2\mathbb{Z}$	0	2MZ	0	$2\mathbb{Z}$	0	2MZ	0
D	0	+	0	$R_+^c$	D <sup>2</sup>	$\mathbb{Z}_2$	$\mathbb{Z}_2$	MZ	0	0	0	2MZ	0
				$R_-^d$	A	MZ	0	0	0	2MZ	0	0	$\mathbb{Z}_2$

Chiu-Yao-Ryu 2013

- The mirror Chern number protects the gapless yz and xz surfaces. What about xy surface that breaks mirror?

# Topology of the surface states

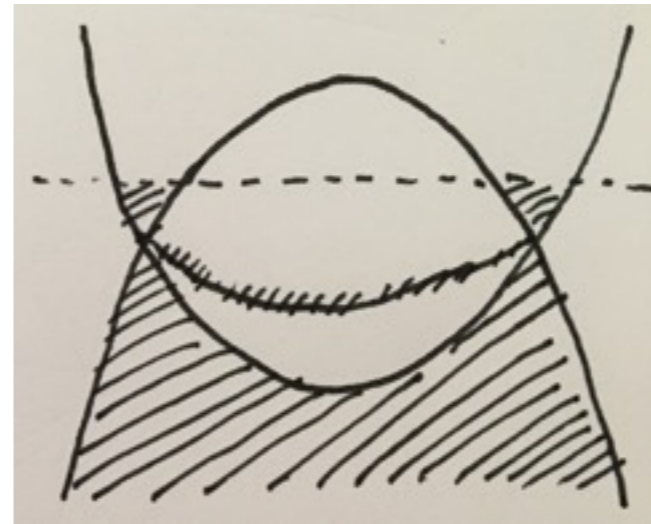
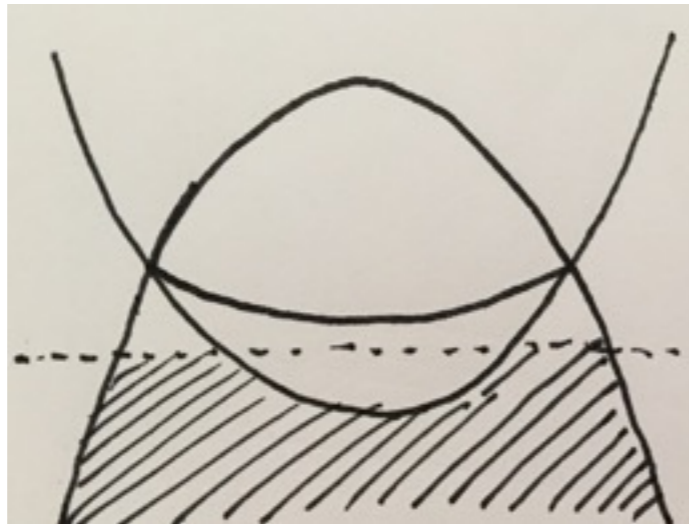
- What about the  $xy$  surface states in a slab geometry?
- First, suppose we allow a dispersion to the surface bands; they can have a 'surface Fermi surface'.
- The surface drumhead bands are subject to a  $p+ip$  pairing, and thus mimics a two-dimensional topological superconductor.
- **Guess:** Vortex lines in  $z$ -direction may trap Majorana modes



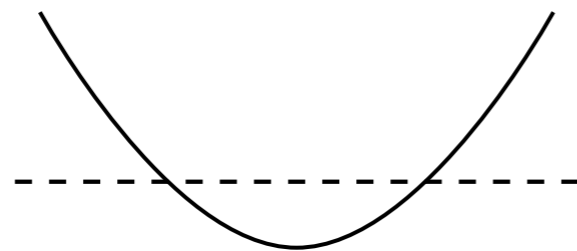


# Topology of the surface states

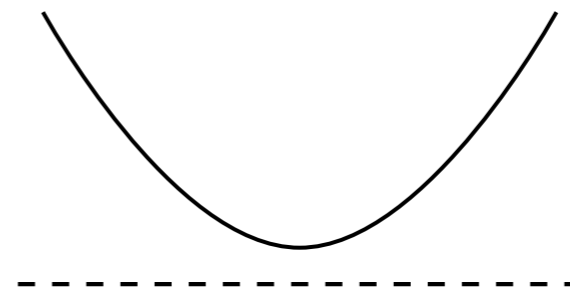
- But what about the following cases?



- These states don't have a 'surface Fermi surface'. (Let's call these 'strong' phases, for the lack of a better name)
- For the 2D  $p+ip$  state, there are two phases, a trivial phase and a topological phase. [Moore-Read 1991](#)



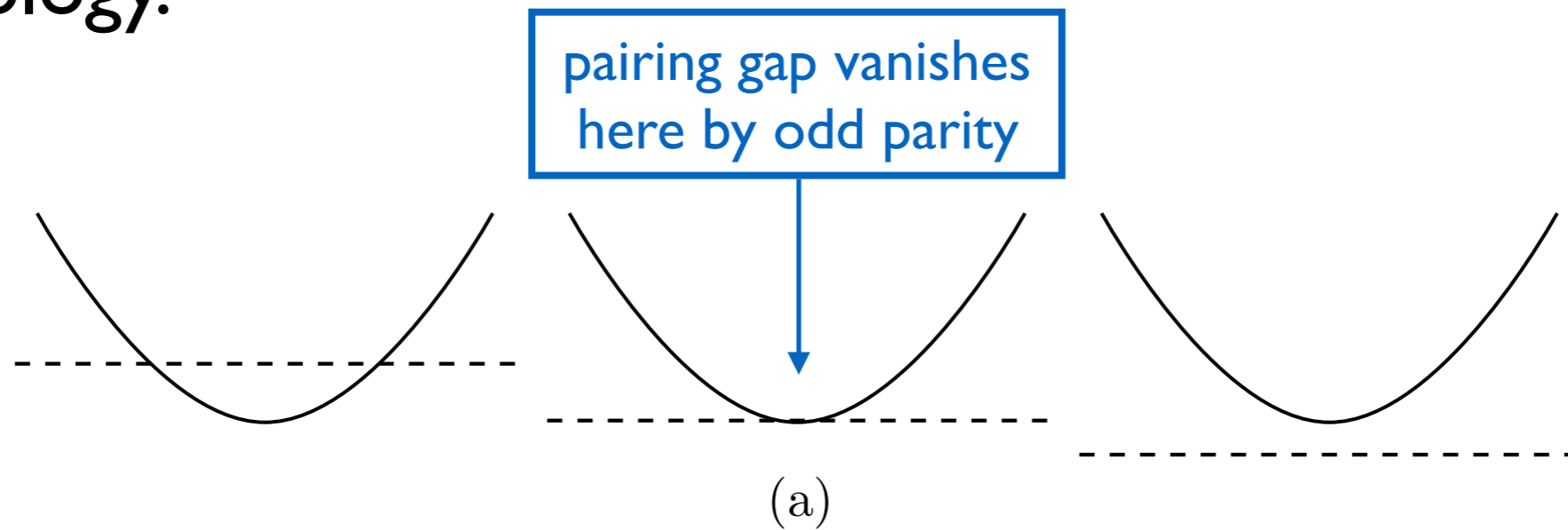
'weak' pairing phase, topological



'strong' pairing phase, trivial

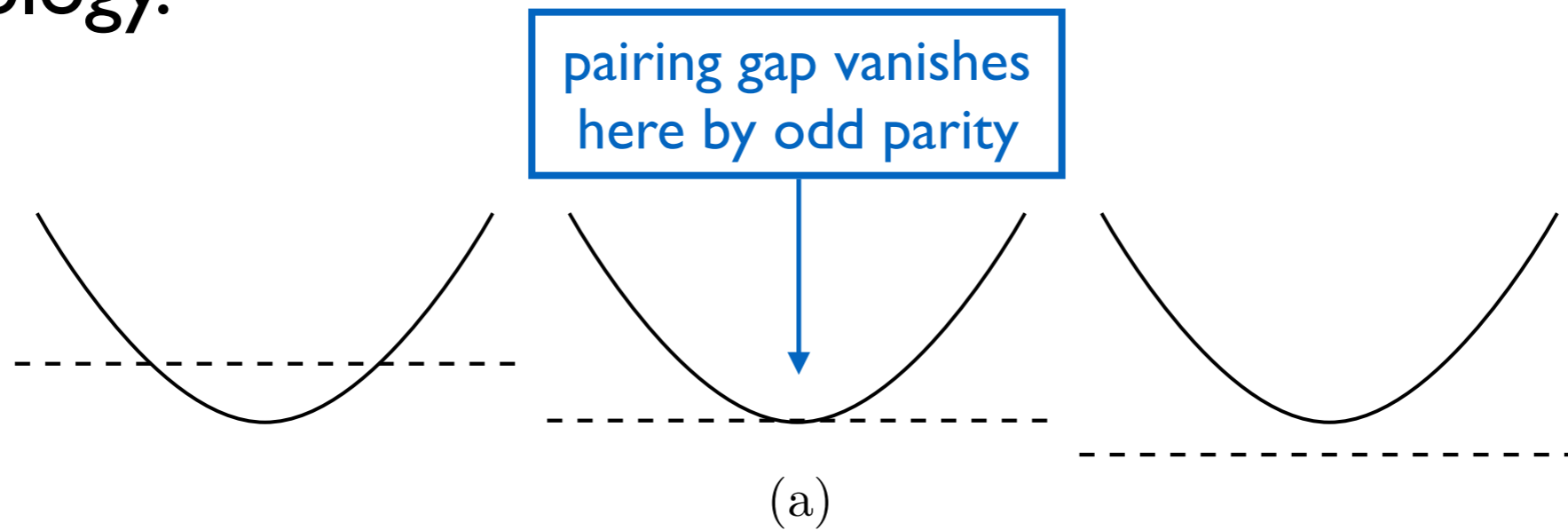
# Gap closing argument

- For a 2D  $p+ip$  state, going from weak to strong pairing phase, the bulk gap has to close, signifying a change in topology.

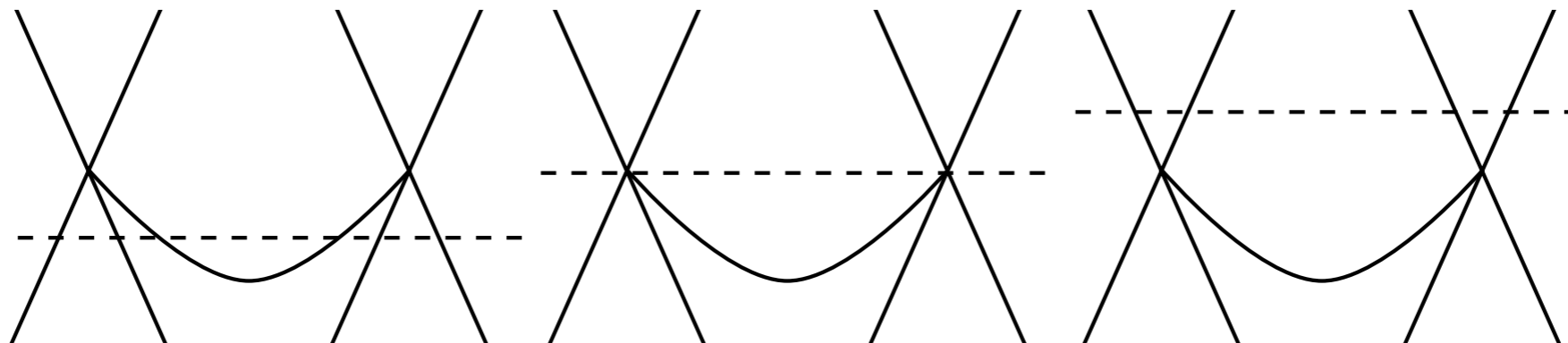


# Gap closing argument

- For a 2D  $p+ip$  state, going from weak to strong pairing phase, the bulk gap has to close, signifying a change in topology.



- For the drumhead bands, going from 'weak' to 'strong' case doesn't require such a gap closing! (Both are topo.?)



# Layer-resolved Chern number

- The two surfaces are *not* stand-alone 2D systems, and one cannot use a homotopy to define a quantized Chern number.
- One can nevertheless define a layer-resolved Chern number [Essin-Moore-Vanderbilt 2009](#)

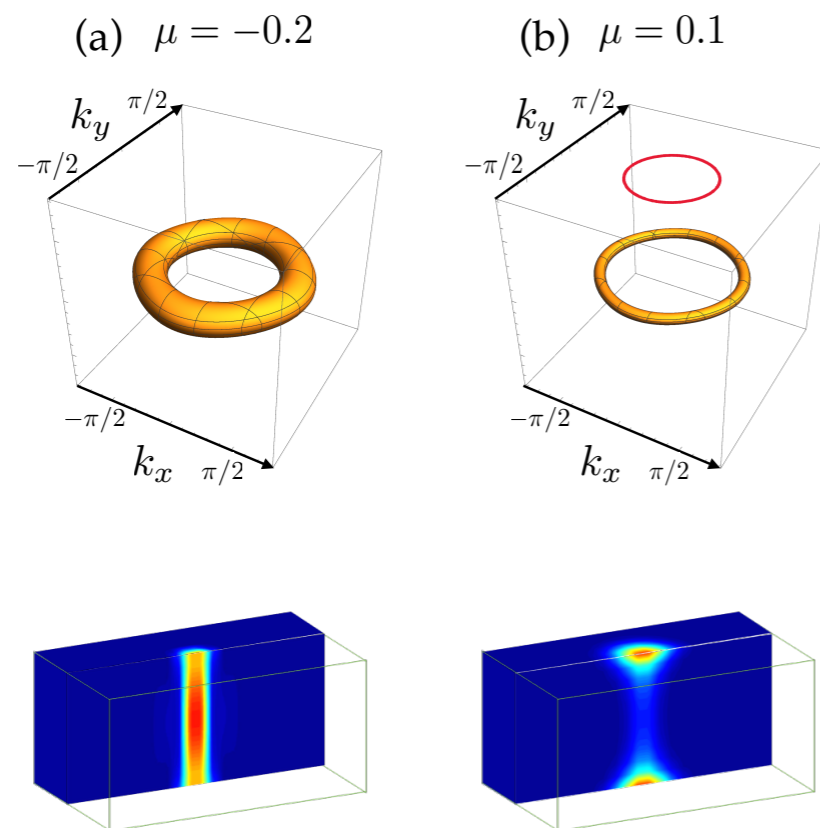
$$C = \frac{2\pi}{iL^2} \sum_{\mathbf{k}} \text{Tr} [\mathcal{P}_{\mathbf{k}} \epsilon_{ij} (\partial_i \mathcal{P}_{\mathbf{k}}) (\partial_j \mathcal{P}_{\mathbf{k}})]$$

$$C_z = \frac{2\pi}{iL^2} \sum_{\mathbf{k}} \text{Tr} [\mathcal{P}_{\mathbf{k}} \epsilon_{ij} (\partial_i \mathcal{P}_{\mathbf{k}}) |z\rangle \langle z| (\partial_j \mathcal{P}_{\mathbf{k}})]$$

- It turns out layers from each surface contributes to a layer Chern number 1/2, which comes from drumhead bands subject to  $p+ip$  pairing, **for both “strong” and “weak” cases**
- Total Chern number = 1, meaning *one* Majorana mode for a vortex line. **Different from a Fu-Kane SC where there are two.**

# Wave function of Majorana zero modes

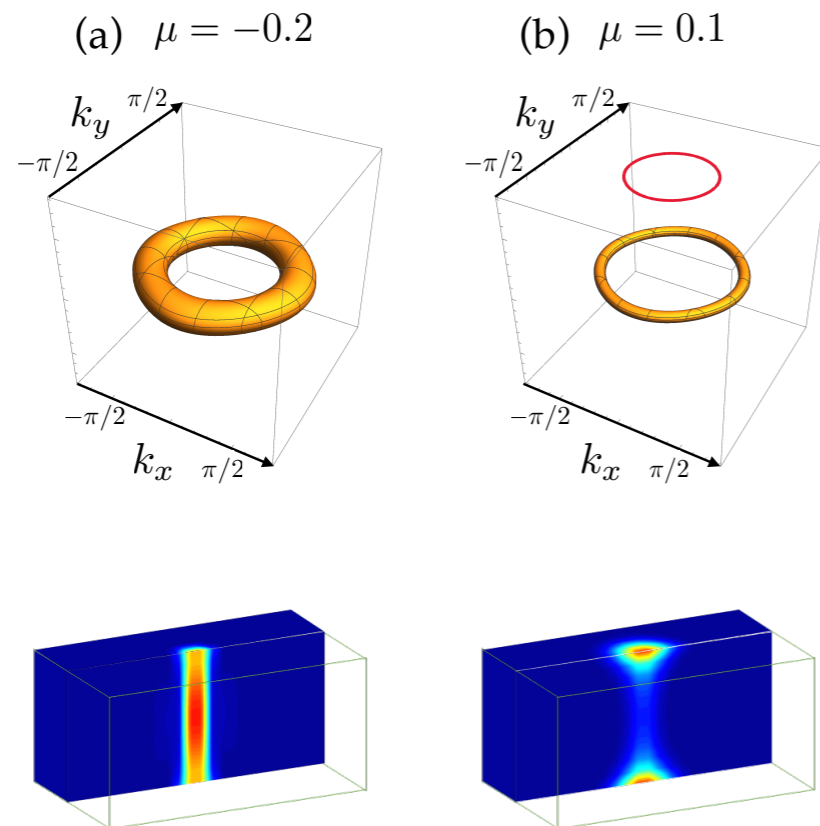
- Numerically, the wave function profile for strong and weak cases are different, but in both cases there is only one Majorana zero mode.



- For weak case, despite the resemblance with two  $p+ip$  SC's, the wave function of the Majorana mode *cannot* be split into two.

# Wave function of Majorana zero modes

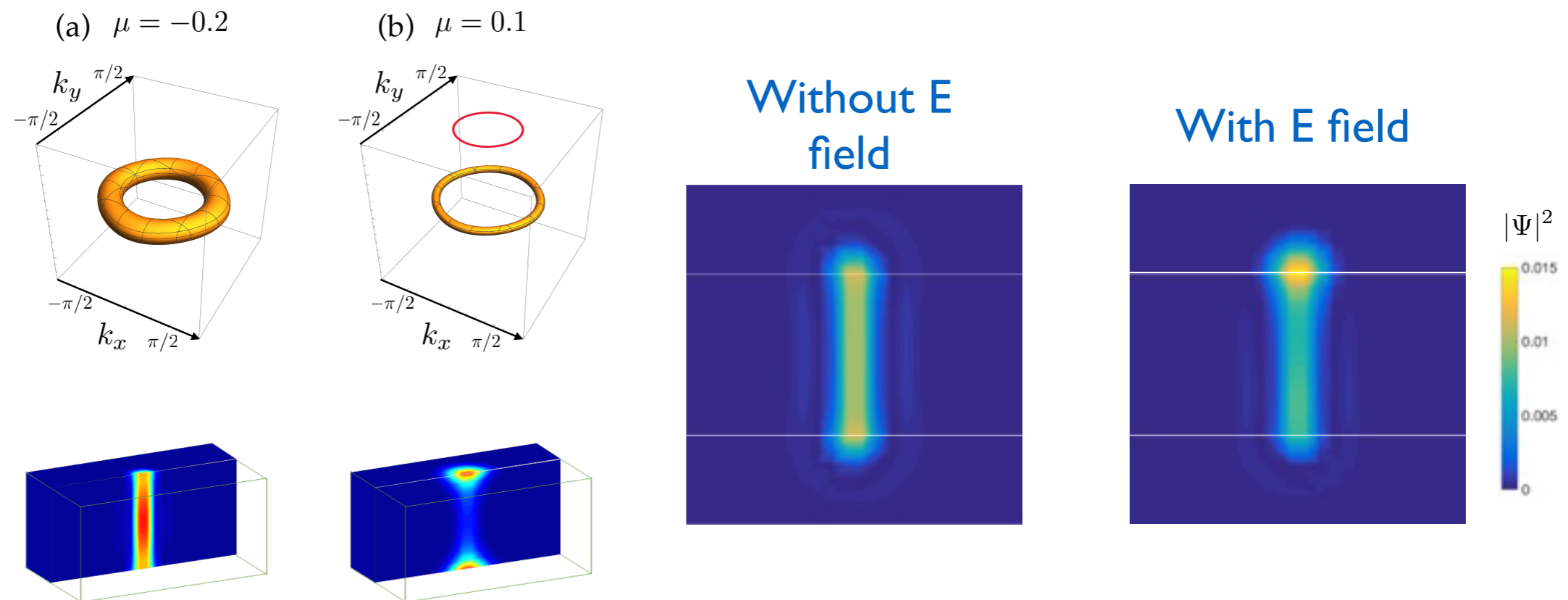
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- The MZM is localized on surface if mirror is broken.

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# Where does the Chern number come from

- The lattice BdG Hamiltonian:

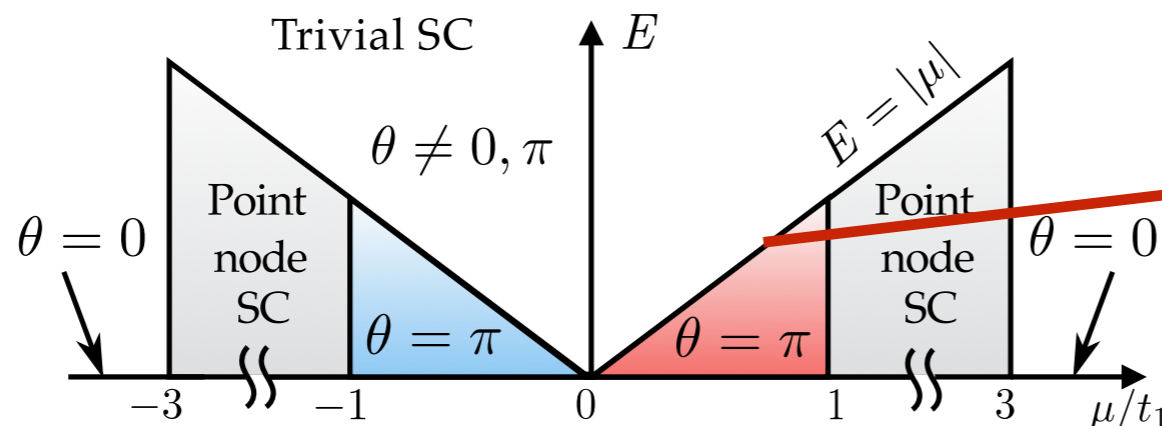
$$h(\mathbf{k}) = \sigma_1 \tau_z (6 - t_1 - 2 \cos k_x - 2 \cos k_y - 2 \cos k_z) \\ + 2t_2 \sigma_2 \tau_z \sin k_z - \mu \sigma_0 \tau_z + \Delta \sigma_0 (\tau_x \sin k_x + \tau_y \sin k_y),$$

- Viewed as an insulator, the EM response is given by:

$$\mathcal{S} = \frac{\theta}{8\pi^2} \int d^3x dt \epsilon^{\mu\nu\rho\sigma} F_{\mu\nu} F_{\rho\sigma}$$

where  $\theta = \pi$ . At the surface this action gives rise to the half (layer-resolved) Chern number.

- Here the quantization  $\theta = \pi$  is protected by mirror symmetry.  $\theta = \pi$  can even survive a small mirror breaking field, as long as the torus FS is intact.



The mirror breaking field eliminates the torus FS

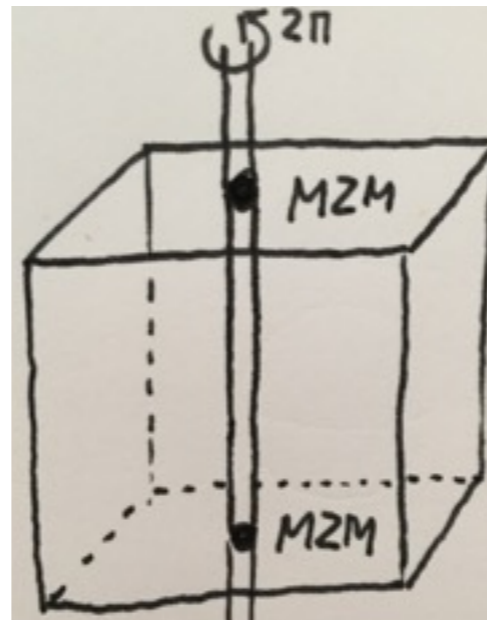


# Extension to Dirac loop case

- In real materials the nodal loop is four-fold degenerate, which, without spin-orbit coupling, can be viewed as the spin double of a Weyl loop. (Weyl loop is still desirable!)
- The same  $p$ -wave order can still be the leading instability for a repulsive interaction.
- It is a topological crystalline superconductor in the same class with  $C_M=2$ .
- Top and bottom surfaces combine to Chern number  $C=2$ . This typically does not lead to two vortex core Majorana modes, as they can gap out each other.

# Extension to Dirac loop case

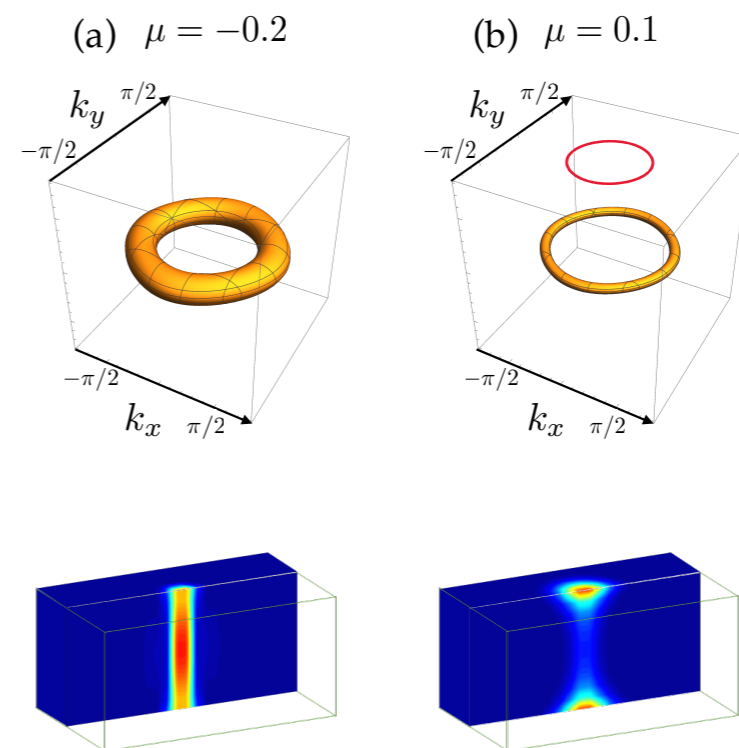
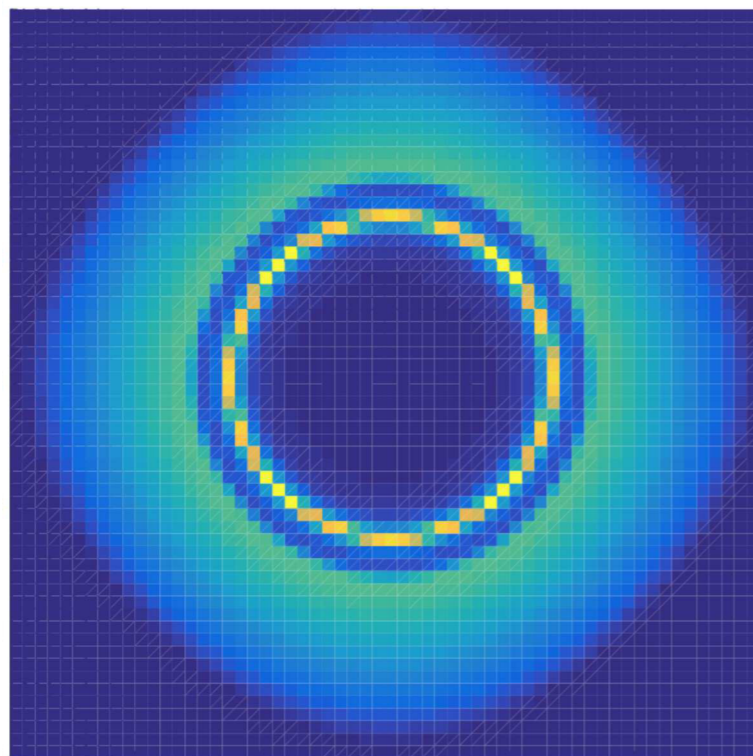
- However, consider a spin-orbit coupling term which couples like a  $+E$  field for spin up, and a  $-E$  field for spin down.
- With such a spin-orbit coupling the two Majorana modes can be spatially separated onto top and bottom surfaces and thus remain at zero energy.



- Spin-orbit coupling does exist in candidate materials such as  $\text{CaAgAs}$ .

# Summary

- The torus-shaped FS is a natural host of many unconventional SC.
- The  $s$ -wave state features metallic surface bands, Majorana flat bands, and bulk nodal-line superconductivity.
- The  $p$ -wave state is a topological crystalline superconductor hosting MZM's. This result can be extended to four-band Dirac loop case.



**Thank You!**