



Topological Strings and Black Holes

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based on:

A. Strominger, C. Vafa + H.O. [hep-th/0405146](https://arxiv.org/abs/hep-th/0405146)

M. Aganagic, N. Saulina, C. Vafa + H.O. [hep-th/0411280](https://arxiv.org/abs/hep-th/0411280)

C. Vafa, E. Verlinde + H.O. [hep-th/0502211](https://arxiv.org/abs/hep-th/0502211)

Santa Barbara, April 2005

Topological String Partition Function = Wave Function

Consider the topological B-model

moduli space \mathcal{M}_B : z^i complex structure
 λ topological string coupling

tangent space to $\mathcal{M}_B = H^3(CY_3, \mathbb{R})$

$$\dim_{\mathbb{C}} H^3 = h^{2,1} + 1$$

$\uparrow \delta z^i \qquad \qquad \uparrow \lambda$

For a given background, we can define the B-model.

F_g is also a holomorphic function of $H^3(CY_3)$.

$$F_g \sim \left\langle \int_{\mathcal{M}_g} (G_{\bar{i}})^{3g-3} (G_{\bar{z}})^{3g-3} e^{x^I \int_{\Sigma_g} \mathcal{O}_I} \right\rangle$$

$x^I \in H^3, I = 0, 1, \dots, h^{2,1}$

$$\psi_{top}(x^I; z^i, \bar{z}^i) = \exp\left(\sum_g F_g\right)$$

\uparrow
including λ

The holomorphic anomaly equations

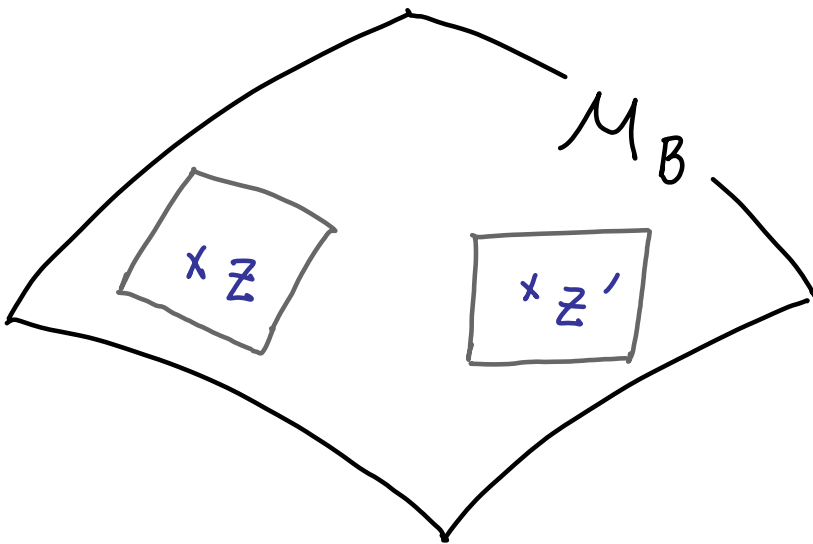
derived in BCOV,
 interpreted by Witten,
 refined by DVV

$$\frac{\partial}{\partial z^I} \psi_{top}(x; z, \bar{z}) = \left(\frac{\partial}{\partial x^I} + \dots \right) \psi_{top}$$

$$\frac{\partial}{\partial \bar{z}^I} \psi_{top}(x; z, \bar{z}) = \left(\bar{c}_I{}^{JK} \frac{\partial^2}{\partial x^J \partial x^K} + \dots \right) \psi_{top}$$

Interpretation:

- (1) On each tangent space, there is a Hilbert space.
- (2) The holomorphic anomaly equation is describing the parallel transport between tangent spaces at different points.



More on (1):

- $H^3(CY_3, \mathbb{R})$ has a symplectic structure.
- Topological string uses a holomorphic polarization.

$$\underbrace{H^{3,0} \oplus H^{2,1} \oplus H^{2,1} \oplus H^{0,3}}_{\chi^I}$$

More on (2):

- The polarization depends on (z^i, \bar{z}^i)

⇒ Wave-functions are related by Fourier transformation. 4/23

topological string partition function $Z_{top} = \exp\left(\sum_g F_g\right)$

= wave function $\Psi_{top}(x; z, \bar{z})$

for quantization of $H^3(CY_3, \mathbb{R})$

(H^3 = tangent space to the moduli space of CY3)

*On the other hand,
the topological string partition function gives
superpotential terms for CY3 compactification
of type II superstring. (BCOV)*

Can we interpret the topological string partition function
as a physical wave function in type II superstring?

Black Hole Entropy

Consider type IIB superstring on $CY_3 \times \mathbb{R}^{3,1}$

RR 4-form \Rightarrow gauge field A_μ^I in 4d.

$$I = 0, 1, \dots, h^{2,1}$$

D branes wrapping on 3 cycles in CY_3

q_I times on A_I

$$A_I, B^I \in H_3(CY_3)$$

p^I times on B^I

$$A_I \cap A_J = B^I \cap B^J = 0$$

$$A_I \cap B^J = \delta_I^J$$

= BPS black hole in four dimensions

with electric charges q_I , magnetic charges p^I

Conjecture (Strominger, Vafa + H.O.)

$$Z_{BH} \equiv \sum_{\mathfrak{g}} \Omega(p, \mathfrak{g}) e^{-\mathfrak{g}\phi}$$

$$= |\Psi_{top}(x)|^2$$

$$\text{where } \chi^I = p^I + \frac{i}{\pi} \phi^I$$

Black hole partition function:

$$Z_{BH} = \sum_{\mathfrak{g}} \Omega(p, \mathfrak{g}) e^{-\mathfrak{g} \cdot \phi}$$

p : magnetic charges of the black hole

ϕ : chemical potentials for electric charges

Perturbative topological string partition function:

$$\Psi_{top}^{(pert.)} = \exp \left[\sum_g F_g(X) \right]$$

$\lambda = 4\pi i / \chi^0$: string coupling constant

χ^i / χ^0 : complex structure of CY_3

Black Hole Charges \Leftrightarrow Calabi-Yau Moduli.

$$X^I = p^I + \frac{i}{\pi} \phi^I \quad (I = 0, 1, \dots, h^{2,1})$$

$$Z_{BH}(p, \phi) = |\Psi_{top}(X)|^2$$

Why?

The perturbative topological string amplitudes give low energy effective action terms.

BCOV/1994

It turns out that these are the terms that are relevant in computing perturbative string corrections to the Bekenstein-Hawking entropy formula.

Lopez-Cardoso, de Wit + Mohaupt/1998-99

Define

$$\mathcal{F} = \log | \Psi_{top}^{(pert)}(X) |^2$$

(X^I : $\mathcal{N}=2$ chiral superfield)

Black Hole Attractor Equations:

$$X^I = p^I + \frac{i}{\pi} \phi^I, \quad g_I = \frac{\partial}{\partial \phi^I} \mathcal{F}(p, \phi)$$

Black Hole Entropy (all order in string perturbation):

$$S_{BH}(p, \phi) = g_I \phi^I + \mathcal{F}(p, \phi)$$

This is the Legendre transformation:

$$g \longleftrightarrow \phi$$

Entropy and Wave Function

The OSV conjecture can be inverted as:

$$\Omega(p, q) = \int d\phi |\psi_{p, q}(\phi)|^2$$

where

$$\psi_{p, q}(\phi) \equiv e^{-\frac{1}{2} q_I \phi^I - \frac{1}{2} p^I \tilde{\phi}_I} \psi_{top}(\phi)$$

$$\left(\tilde{\phi}_I = -\frac{2i}{\pi} \frac{\partial}{\partial \phi^I} \right)$$

$$e^{-\frac{1}{2} q_I \phi^I - \frac{1}{2} p^I \tilde{\phi}_I} : \text{flux changing operator}$$

$$\psi_{top}(\phi) : \text{topological string partition function}$$

One can interpret Ω as

$$(1) \quad \Omega(p, q) = \text{Tr}_{H_{p, q}} (-1)^F \lambda^2 e^{-\beta H}$$

AND over BH Hilbert space

(2) type IIB string partition function on AdS2 x S2 x CY3, euclideanized & periodically identified.

Near Horizon

Near Horizon Geometry of the Black Hole

$$AdS_2 \times S^2 \times CY_3$$

RR 4-form \Rightarrow 4d gauge field A_μ^I
on $AdS_2 \times S^2$

electric charge q_I , magnetic charge p^I

\Rightarrow 5-form flux F_5

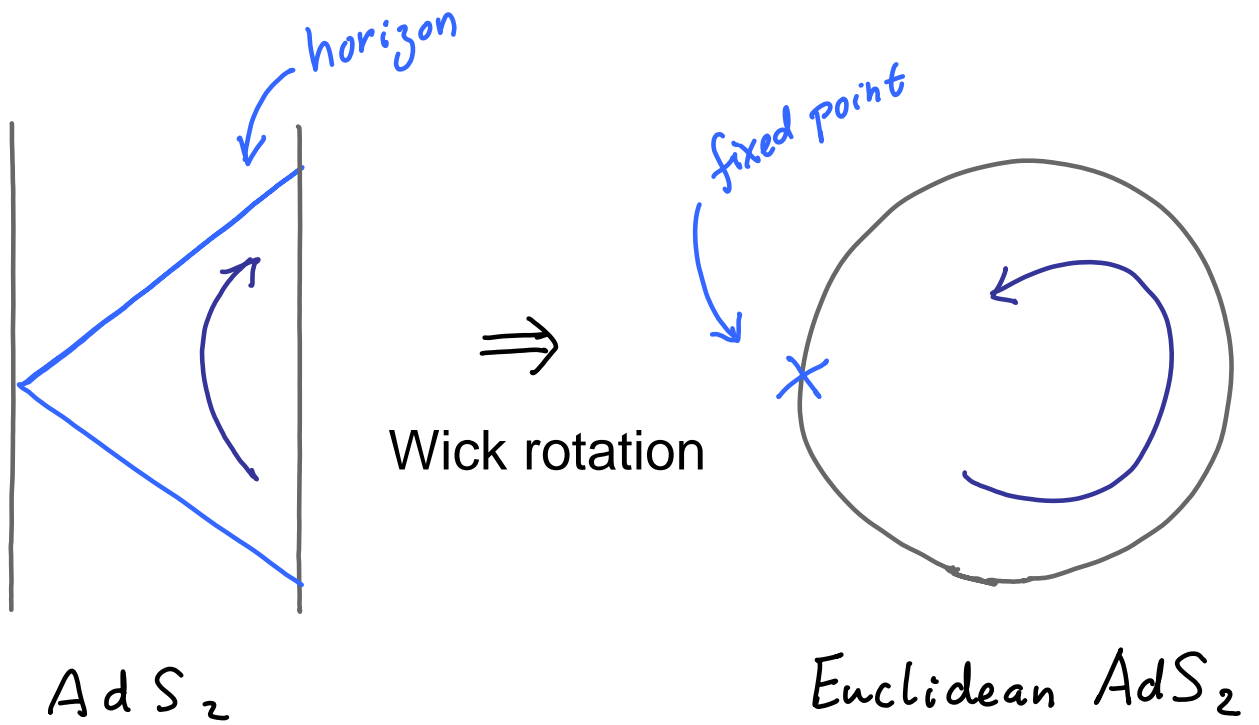
Flux Compactification on $S^2 \times CY_3$

GVW superpotential

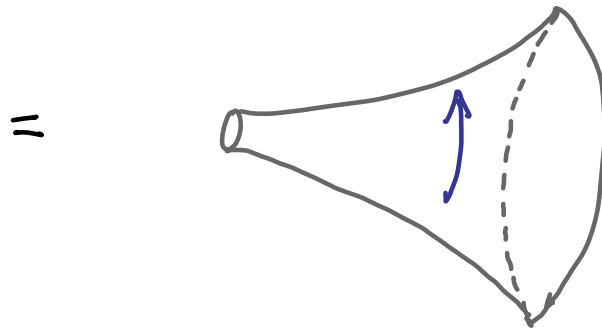
$$W = \int_{S^2 \times CY_3} F_5 \wedge \Omega \quad \leftarrow \begin{array}{l} \text{holomorphic 3 form} \\ \text{on } CY_3 \end{array}$$

$$= q_I X^I - p^I \frac{\partial}{\partial X^I} F_0(X)$$

$$dW = 0 \quad \Rightarrow \quad \begin{array}{l} \text{Re } X^I = p^I \\ \text{Re } \partial_I F_0 = q^I \end{array} \quad \begin{array}{l} \text{Classical} \\ \text{Attractor} \end{array}$$

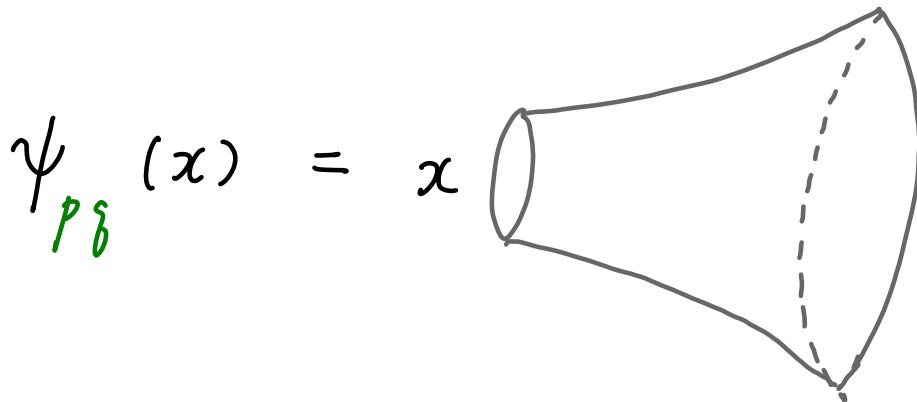


$$\Omega(p, \mathfrak{g}) = \text{Tr}_{H_{p\mathfrak{g}}} (-1)^F \lambda^2 e^{-\beta H}$$



$$= \int d\phi |\psi_{p\mathfrak{g}}(\phi)|^2$$

This suggests that the topological string partition function is the Hartle-Hawking type wave-function for AdS_2 , Euclideanized and periodically identified.



This is similar in spirit to the earlier suggestion by de Boer, H. Verlinde, and V. Verlinde on the relation between the Wheeler-de Witt equation and the renormalization group equation in the context of the AdS/CFT correspondence.

One of the new features is that our wave-function takes into account quantum corrections to all orders in the string perturbation theory.

Can we compare vacua
with different amount of fluxes?

$$\mathbb{R}^{10} \rightarrow CY_3 \times \mathbb{R}^4$$

vector multiplets : X^I, A_μ^I

$$\rightarrow CY_3 \times S^1 \times \mathbb{R}^3$$

$$X^I, \phi^I, \tilde{\phi}_I$$

$$\parallel \quad \parallel$$

$$\oint_{S^1} A^I \quad \oint_{S^1} \tilde{A}_I$$

A natural wave-function is a function of :

$$X^I, \phi^I, \tilde{\phi}_I$$

$$\psi(p^I, q_I)(X)$$

conjugate

This means that we have a wave-function over the entire landscape of string vacua.

$$\psi_{p, q}(x) \longleftrightarrow \tilde{\psi}(x, \phi, \tilde{\phi})$$

Vacua with different amount of fluxes are weighted by:

$$\int |\psi_{p, q}|^2 = \Omega(p, q)$$

Entropic Principle

So far, the story has been at the level of the string perturbation theory.



Large N asymptotic expansion
in the dual gauge theory.

What happens if we go beyond
the perturbative expansion?

in progress.....

In the following, I will show how the OSV conjecture works in an explicit example.

The example is based on the A-model, so we will take the mirror of the story.

Consider D branes in type IIA string theory wrapping 0, 2, 4, and 6 cycles of a Calabi-Yau 3-fold.

$g_0 = \#$ of D_0 branes

$g_i = \#$ of D_2 branes

$i = 1, 2, \dots, h^{1,1}$

$p^i = \#$ of D_4 branes

$p^0 = \#$ of D_6 branes

Classical attractor equations: $\text{Re } X^I = p^I$

$\text{Re } 2_I F_0 = g_I$

$\lambda = \frac{4\pi i}{\chi_0}$: topological string coupling

$t^i = \frac{\chi^i}{\chi_0}$: Kähler moduli

$$CY_3 : \mathcal{O}(-p) \oplus \mathcal{O}(p+2g-2) \rightarrow \Sigma_g$$

Two line bundles of degrees $-p$ and $p+2g-2$ over a genus g Riemann surface

The total space is a Calabi-Yau manifold.

$Z_{\text{pert.}}^{\text{top}}$

Topological string amplitudes on this CY was recently computed to all order in the perturbative expansion.

Bryan + Pandharipande/2004

Z_{BH}

Consider N D4 branes on the total space of the degree $-p$ bundle over the Riemann surface.

$$\mathcal{O}(-p) \rightarrow \Sigma_g$$

$$X^0 = \frac{4\pi i}{\lambda} \quad (\text{no } D_6 \text{ charge})$$

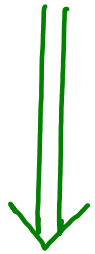
$$X^1 = \underbrace{(p+2g-2)N}_{D_4 \text{ charge}} + \frac{i}{\pi} \phi^1$$

We want to compute the partition function of the $N=4$ super Yang-Mills on this 4-manifold.

$\mathcal{N}=4$ SYM on $\mathcal{O}(-p) \rightarrow \Sigma_g$

$$\mathcal{S} = \frac{i}{2\lambda} \int \text{tr} \left(F \wedge F + 2\theta F \wedge k \right)$$

k : Kähler form on Σ_g



Equivariant reduction on the fiber
with respect to the $U(1)$ rotation.

2d YM with \mathfrak{g} -deformation

$$\mathcal{S}_{\mathfrak{g}YM} = \frac{i}{\lambda} \int_{\Sigma_g} \text{tr} \left(\Phi F + \theta \Phi + i \frac{p}{2} \Phi^2 \right)$$

\mathfrak{g} -deformed since Φ is periodic.

($e^{\Phi} = e^{\oint A}$ is a good variable.)

$$\mathfrak{g} = e^{-\lambda}$$

2d q-YM partition function

$$q = e^{-\lambda}$$

$$Z_{BH} = S^{2-2g} \sum_{\mathcal{R}} (\dim_q \mathcal{R})^{2-2g} q^{\frac{P}{2} C_2} e^{i\theta C_1}$$

$\mathcal{R} = [\mathcal{R}_1, \dots, \mathcal{R}_N]$: representation of $U(N)$

$$C_2 = \sum_i \mathcal{R}_i (\mathcal{R}_i - 2i + 1) + N \mathcal{R}_i$$

$$C_1 = \sum_i \mathcal{R}_i$$

$$\dim_q \mathcal{R} = \prod_{i < j} \frac{[\mathcal{R}_i - \mathcal{R}_j - i + j]_q}{[i - j]_q}$$

$$\left(\text{where } [m]_q = q^{m/2} - q^{-m/2} \right)$$

$$S = q^{\vec{P}^2} \prod_{i < j} [i - j]_q, \quad \vec{P} : \text{Weyl vector}$$

The chemical potentials are given by

$$\phi^0 = \frac{4\pi^2}{\lambda}, \quad \phi^1 = \frac{2\pi\theta}{\lambda}$$

The above expansion is not in the form expected for Z_{BH}

Z_{BH} can be brought into the form expected from the black hole state counting as well as from the instanton expansion of the N=4 SYM:

$$Z_{BH} = \sum_{\mathfrak{g}} \Omega(p, q) e^{-\mathfrak{g} \cdot \Phi}$$

by the S-duality transformation, $\lambda \rightarrow \frac{1}{\lambda}$.

The resulting expression reproduces mathematical facts about cohomologies on the moduli space of U(N) instantons on the 4-manifold: $\mathcal{O}(-p) \rightarrow \Sigma_g$

For $\mathcal{O}(-2) \rightarrow \mathbb{P}^1$,
the cohomologies of the moduli space of
of U(N) instantons make representations
of the affine SU(2) Lie algebra of level N. (Nakajima)

Thus, Z_{BH} should be expressed in terms
of the SU(2) affine Lie algebra characters
and indeed it does.

Z_{BH} also reproduce the blow-up formula
in the case of $\mathcal{O}(-1) \rightarrow \mathbb{P}^1$. (Yoshioka)

Large N Limit

$$\begin{aligned}
 Z_{BH} &= S^{2-2g} \sum_{\mathcal{R}} (\dim_{\mathfrak{g}} \mathcal{R})^{2-2g} \mathfrak{g}^{\frac{p}{2}} c_2 e^{i\theta c_1} \\
 &\sim \sum_{m=-\infty}^{\infty} \sum_{\mathcal{R}_i} Z_{\mathcal{R}_1 \dots \mathcal{R}_{2g-2}}(\lambda; t + p\lambda m) \\
 &\quad \times \sum_{\mathcal{R}_1 \dots \mathcal{R}_{2g-2}} \bar{Z}_{\mathcal{R}_1 \dots \mathcal{R}_{2g-2}}(\lambda; \bar{t} - \underbrace{p\lambda m}_{\text{RR flux}})
 \end{aligned}$$

$$\sum_{\mathcal{R}_1 \dots \mathcal{R}_{2g-2}}(\lambda; t) \quad g > 1 \text{ case}$$

$$\begin{aligned}
 &= C(t) \sum_{\mathcal{R}} \mathfrak{g}^{\frac{1}{2}(p+2g-2)K_{\mathcal{R}}} e^{-t|\mathcal{R}|} \\
 &\quad \times \frac{W_{\mathcal{R}\mathcal{R}_1} \dots W_{\mathcal{R}\mathcal{R}_{2g-2}}}{(W_{\mathcal{R}_0})^{4g-4}}
 \end{aligned}$$

where

$$W_{\mathcal{R}_1 \mathcal{R}_2}(\mathfrak{g}) = \lim_{N \rightarrow \infty} S_{\mathcal{R}_1 \mathcal{R}_2}(\mathfrak{g}, N)$$

↑
modular S-matrix
of the WZW model

The chiral components:

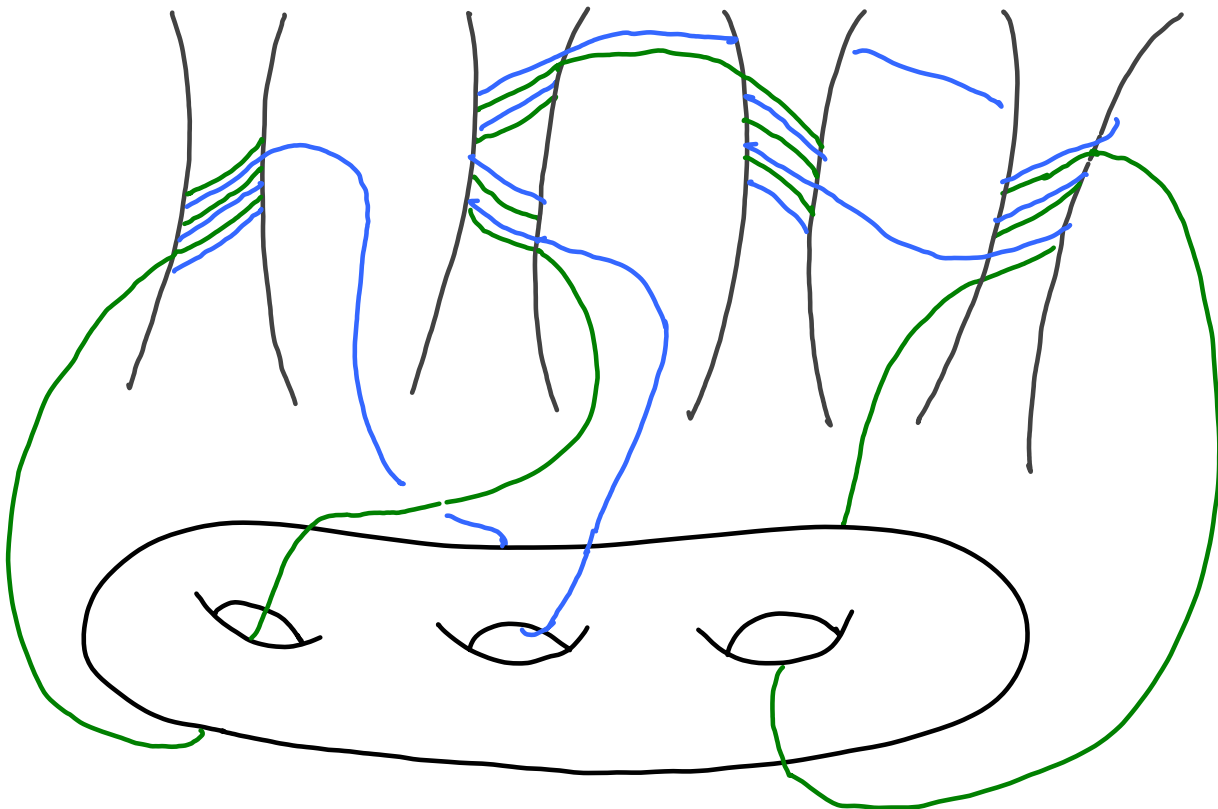
$$\mathcal{Z}(U_1, \dots, U_{2g-2})$$

$$= \sum_{R_1, \dots, R_{2g-2}} \mathcal{Z}_{R_1 \dots R_{2g-2}} \times \text{Tr}_{R_1} U_1 \dots \text{Tr}_{R_{2g-2}} U_{2g-2}$$

are topological string amplitudes for the Calabi-Yau with $(2g-2)$ D branes wrapping Lagrangian 3-cycles.

U_1, \dots, U_{2g-2} are holonomies on the D branes.

These D branes correspond to the Omega points in the ordinary (undeformed) 2d YM.



Summary

The mixed ensemble of BPS black holes gives a non-perturbative definition of topological string theory.

This is a large N duality relating the worldvolume theory of D3 branes to topological string theory.

The topological string partition function has a mathematical interpretation as a quantization of the third cohomology of a Calabi-Yau 3-fold.

The relation to the black hole entropy shows that it has a physical interpretation as the Hartle-Hawking wave-function, including all string loop corrections.

We studied the case when the Calabi-Yau manifold is the total space of the rank 2 vector bundle.

The worldvolume theory of D4 branes wrapping one of the line bundles is related to the q -deformed Yang-Milles theory on the base Riemann surface.

The large N limit of the gauge theory partition function is holomorphically factorized, and the chiral blocks are interpreted in terms of the perturbative topological string theory.

Fin