

# Minimal Flux Vacua

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Klebanov, Maldacena and N.S.

[hep-th/0309168](#)

N.S. and Shih

[hep-th/0312170](#)

Maldacena, Moore, N.S. and Shih

[hep-th/0408039](#)

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[hep-th/0412315](#)

# Motivation

**Minimal string theory** is a simple and tractable theory. It has a precise non-perturbative definition in terms of a dual **matrix model**.

It exhibits interesting phenomena like existence of **D-branes, open/closed duality and holography**.

**Type 0 minimal strings** can have in addition **RR flux (flux vacua)** and **charged branes**.

Here, we will focus on the **simplest type 0 string theory**.

# Perspectives

- **Target space** – physical interpretation is clear, but computations are impossible
- **Matrix model/Integrable hierarchies** – easy to calculate, but the target space physics is obscure
- **Worldsheet** – good for semiclassical limit (can include  $\alpha'$  corrections), but hard to study the quantum corrections

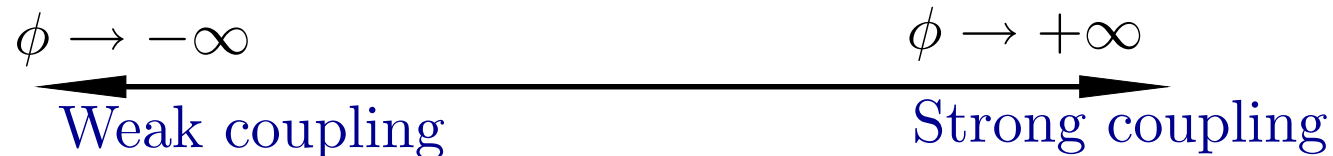
# Outline

- Target space description of the closed string theory (with RR flux)
- Exact (matrix model) description of the closed strings
- The charged branes
- Exact description of the charged branes
- Target space interpretation

# Target space description of closed string

Target space – one dimension,  $\phi$

Linear dilaton – string coupling  $g_s = e^\phi$



The **observables** of the theory correspond to changing the boundary conditions at the **weak coupling end**:  $\phi \rightarrow -\infty$ .

There can be **localized D-branes** (**ZZ branes**) at the **strong coupling end**:  $\phi \rightarrow +\infty$ .

## The target space fields

NS-NS: Closed string “tachyon” –  $\langle T(\phi) \rangle = \mu e^\phi$

RR scalar –  $C(\phi)$  with Lagrangian

$$\mathcal{L}_C = \frac{1}{2} e^{-2T} (\partial_\phi C)^2$$

Symmetries:

RR shift symmetry:  $C(\phi) \rightarrow C(\phi) + \text{const.}$

Charge conjugation:  $C(\phi) \rightarrow -C(\phi)$

Conserved current – flux

$$q = e^{-2T} \partial_\phi C$$

Solution of equation of motion with flux  $q$

$$\langle C(\phi) \rangle = q \int^\phi e^{2T} = q \int^\phi e^{2\mu e\phi}$$

$\mu > 0$  – diverges as  $\phi \rightarrow +\infty$  – this solution arises from a charged brane localized there

$\mu < 0$  – converges as  $\phi \rightarrow +\infty$  – no charged brane there.

The flux  $q$  is specified by boundary conditions as

$\phi \rightarrow -\infty$  (vertex operator in the worldsheet).

## Exact description – matrix model

This system is described by the Gross-Witten model

$$V(M) = -M^2 + gM^4$$

with  $M$  an  $N \times N$  hermitian matrix.

Study the partition function

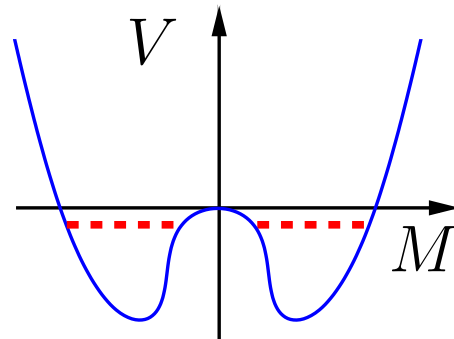
$$\mathcal{Z} = e^{-N^2 F} = \int dM e^{-N \text{Tr} V(M)}$$

in the  $N \rightarrow \infty$  limit

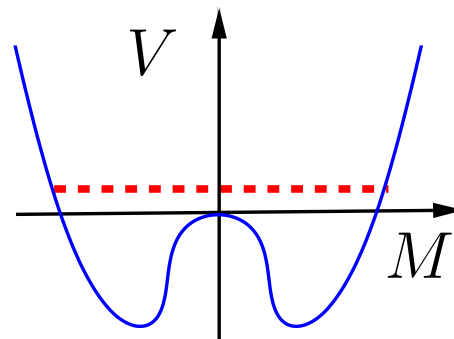


# Large $N$ phase transition

The eigenvalues of  $M$  are distributed along “cut(s).” As the parameters vary ( $g \approx g_c$ ): two cuts/one cut transition



$$\mu < 0$$



$$\mu > 0$$

The continuum/scaling limit focuses on the transition.

It is described by  $2 \times 2$  matrices of differential operators  $P$  and  $Q$  satisfying

$$[P, Q] = 1$$

$P$  and  $Q$  involve  $\partial_\mu$  and two functions of  $\mu$ :  $r$  and  $\beta$ .

The free energy  $F$  is

$$\partial_\mu^2 F = \frac{1}{2} r^2$$

[Periwal, Shevitz, Crnkovic, Douglas and Moore...]

$[P, Q] = 1$  leads to the closed string equations

$$\begin{aligned}\partial_\mu^2 r - \mu r - r^3 + (\partial_\mu \beta)^2 r &= 0 \\ r^2 \partial_\mu \beta &= q\end{aligned}$$

Here  $q$  appears as an integration constant. This is a modified version of the Painlevé II equation.

Symmetries:

RR shift symmetry:  $\beta \rightarrow \beta + \text{const.}$

Charge conjugation:  $\beta \rightarrow -\beta$

$q$  is RR flux. More below.

Because of the  $\beta$  dependence, the combinations

$$Z_{\pm}(\mu, q) = r(\mu, q)e^{\mp\beta(\mu, q)}$$

have RR charges  $\pm 1$ , while the free energy  $F$  is neutral

$$\partial_{\mu}^2 F = \frac{1}{2}r^2 = \frac{1}{2}Z_+Z_-$$

A surprising identity

$$Z_{\pm}(\mu, q) = e^{F(\mu, q) - F(\mu, q \pm 1)}$$

relates solutions with different  $q$ .

Hence,  $Z_{\pm}$  is interpreted as the expectation value of an operator which changes  $q \rightarrow q \pm 1$ .

Classical limit:  $|\mu| \rightarrow \infty$

$$F_{cl} = -\frac{\mu^3}{12} \quad \mu < 0$$
$$F_{cl} = 0 \quad \mu > 0$$

Third order transition at  $\mu = 0$

**But the exact answer, given by the differential equation, is smooth!**

## Semiclassical expansion

$$F = \sum_{h,r \geq 0} |\mu|^{3(1-h)} \left( \frac{q^2}{|\mu|^3} \right)^r \quad \mu < 0$$

$$F = \sum_{h \geq 0, b \geq 1} \mu^{3(1-h)} \left( \frac{|q|}{\mu^{\frac{3}{2}}} \right)^b \quad \mu > 0$$

(suppressed coefficients, when the power of  $\mu$  vanishes  
replace by  $\log \mu$ )

Worldsheet interpretation:

$h$  – number of handles

$b$  – number of boundaries

$2r$  – number of insertions of RR-flux vertex operator

$\mu < 0$  phase:  $q$  is pure RR flux

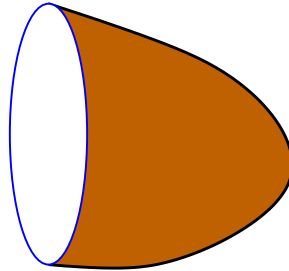
$\mu > 0$  phase: the flux  $q$  arises from  $|q|$  charged D-branes

This confirms the target space picture.

Since no phase transition, **smooth interpolation  
between branes and flux!**

Similar phenomenon in critical/topological string.

# Extended branes (a.k.a macroscopic loops or FZZT branes)



Extended branes are described by worldsheet boundaries with boundary interaction depending on the open string “tachyon”

$$T_{open}(\phi) = \mu_B e^{\phi/2}$$

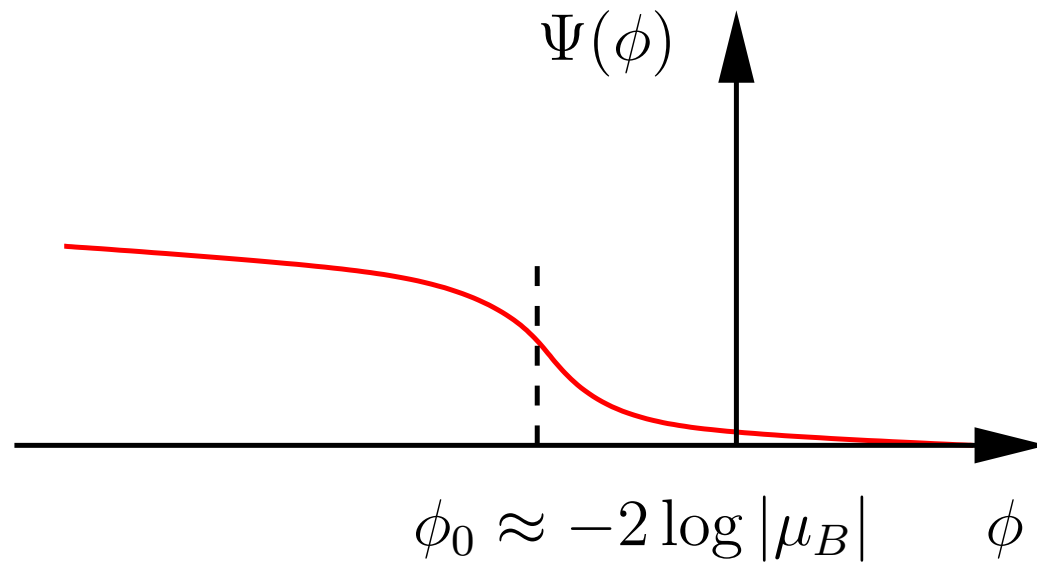
The branes are labelled by  $\mu_B$ , which can be taken to be either real or complex.



## Minisuperspace wavefunction

$$\Psi(\phi) = e^{-(T_{open})^2} = e^{-\mu_B^2 e^\phi}$$

The brane comes from infinity and dissolves at  $\phi_0 \approx -2 \log |\mu_B|$ .



Motivated by the **unstable branes** of the critical string, the effective Lagrangian on the brane includes the term

$$C(\phi)G(T_{open}(\phi)) \approx \frac{C}{2} \text{sign}(T_{open}) \delta(\phi - \phi_0)$$

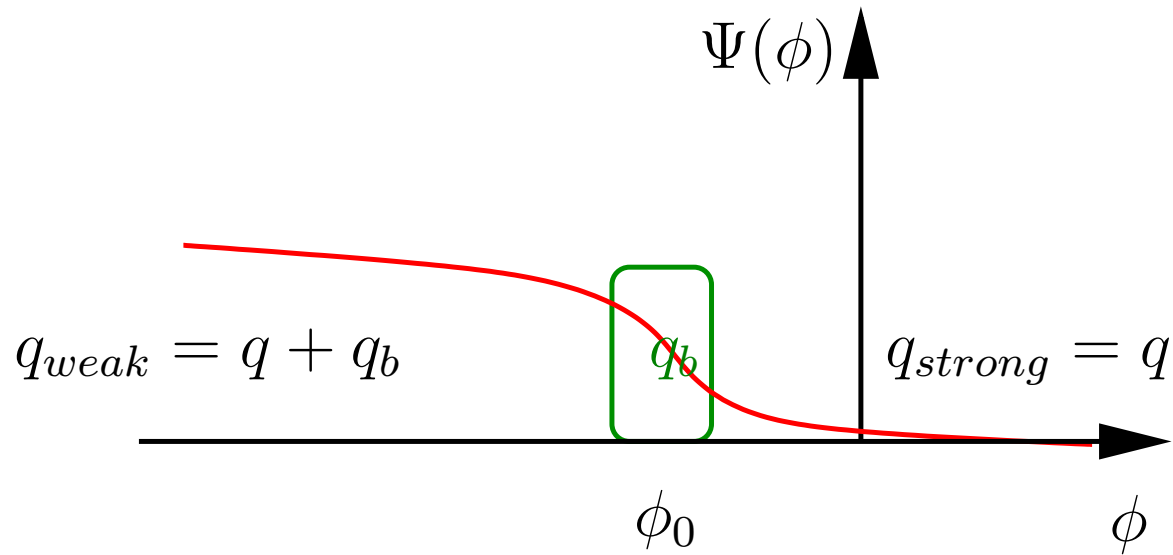
( $C$  and  $T_{open}$  are charge conjugation odd).

Therefore, the brane has charge

$$q_b = \frac{1}{2} \text{sign}(\mu_B)$$

It is localized around  $\phi_0$ .

The charge changes the RR-flux in the weak coupling region



Note: the closed string background parameter  $q$  is the flux in the **strong coupling region** – not in the weak coupling asymptotic region (more below).

Similar to *unstable branes* in critical string, where a *kink of open tachyons* is charged.

Except:

- Our branes are stable because  $T_{open}$  is *massive*
- Since  $T_{open}$  varies from  $0$  to  $\pm\infty$ , the brane is like “*half a kink*”, and hence its charge is  $\pm\frac{1}{2}$ .

Recall that the charge of the brane is

$$q_b = \frac{1}{2} \text{sign}(\mu_B)$$

Semiclassically, there are two branes:

- Start with  $\mu_B > 0$ , and hence  $q_b = +\frac{1}{2}$ , and analytically continue to  $\mu_B < 0$
- Start with  $\mu_B < 0$ , and hence  $q_b = -\frac{1}{2}$ , and analytically continue to  $\mu_B > 0$

Explicit worldsheet calculations (not done here) confirm this semiclassical expectation.

# Preview

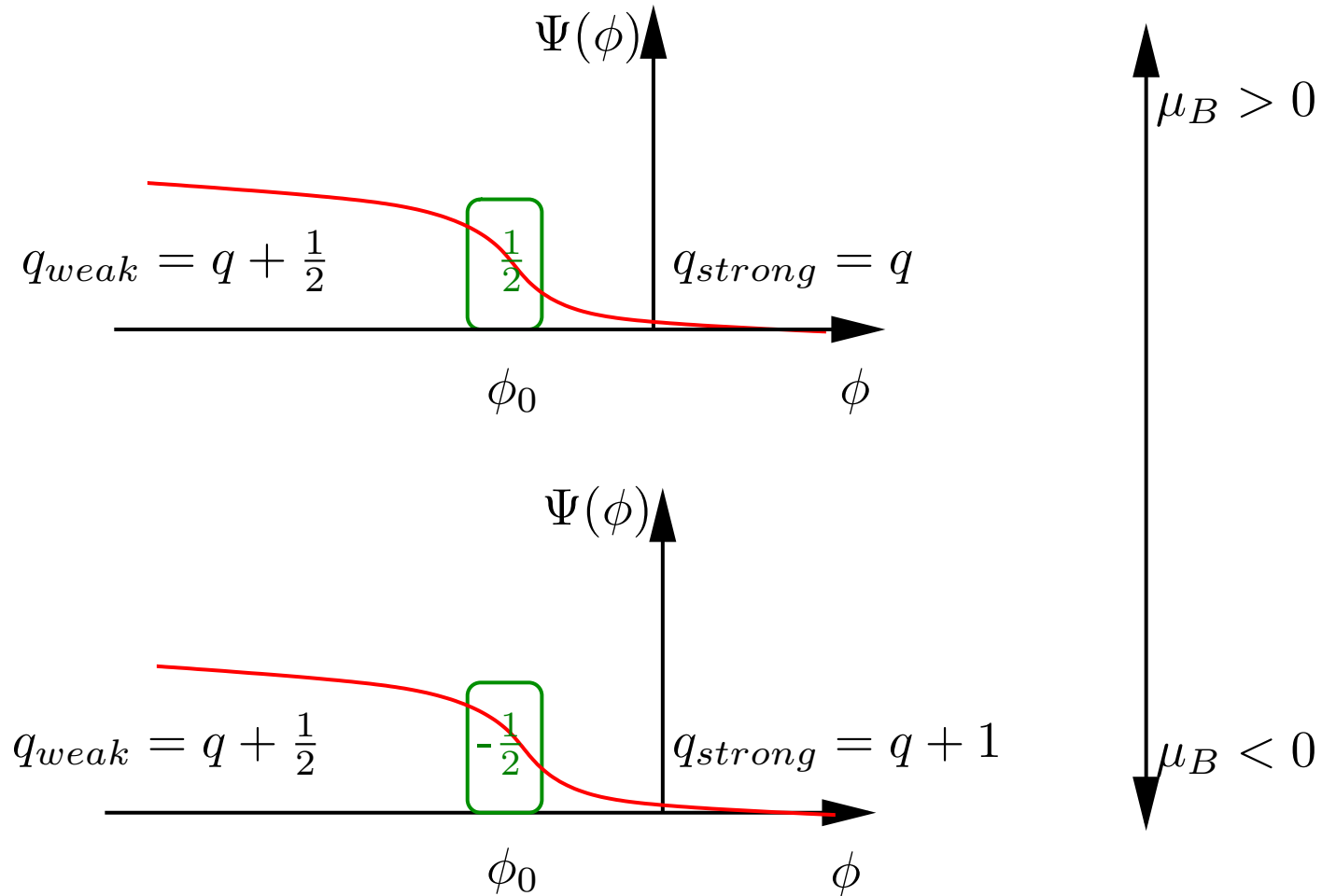
We will show that this is **not true in the exact theory!**

The mistake in the semiclassical reasoning is in the analytic continuation to the other sign of  $\mu_B$ .

Instead, as expected from the target space picture and from the analogy with the unstable brane, there is only one brane for every  $\mu_B$ .

**The charge of the brane changes as  $\mu_B$  is varied!**

# Continued preview: a transition in the charge of the brane



## Exact analysis – matrix model

In the matrix model the branes are constructed using the observable  $\det(M - i\mu_B)$  (exponentiated macroscopic loop).

Recall that in the scaling limit the closed string sector is controlled by  $2 \times 2$  matrices of differential operators in  $\mu$  satisfying

$$[P, Q] = 1$$



Given  $[P, Q] = 1$ , it is natural to consider the (Baker-Akhiezer) functions  $\psi_{\pm}(\mu, q, \mu_B)$  satisfying

$$Q \begin{pmatrix} \psi_+ \\ \psi_- \end{pmatrix} = \mu_B \begin{pmatrix} \psi_+ \\ \psi_- \end{pmatrix}$$

$$P \begin{pmatrix} \psi_+ \\ \psi_- \end{pmatrix} = -\partial_{\mu_B} \begin{pmatrix} \psi_+ \\ \psi_- \end{pmatrix}$$

( $\psi_+ \pm \psi_- \sim \lim_{N \rightarrow \infty} \langle \det(M - i\mu_B) \rangle$  with  $N$  even or odd.)

Physical interpretation:

There are two branes  $B_{\pm}(\mu_B)$  with partition functions

$$\langle B_{\pm}(\mu_B) \rangle_{\mu, q} = \psi_{\pm}(\mu, q, \mu_B) e^{-F(\mu, q)}$$

The functions  $\psi_{\pm}$  are the brane partition functions normalized with the closed string partition function

$$\mathcal{Z} = e^{-F(\mu, q)}$$

The equations for the branes can be written as

$$\partial_\mu \begin{pmatrix} \psi_+ \\ \psi_- \end{pmatrix} = \begin{pmatrix} \mu_B & \frac{Z_+}{\sqrt{2}} \\ \frac{Z_-}{\sqrt{2}} & -\mu_B \end{pmatrix} \begin{pmatrix} \psi_+ \\ \psi_- \end{pmatrix}$$

$$\partial_{\mu_B} \begin{pmatrix} \psi_+ \\ \psi_- \end{pmatrix} = \begin{pmatrix} -4\mu_B^2 + r^2 + \mu & -\sqrt{2}(2\mu_B Z_+ + Z'_+) \\ -\sqrt{2}(\mu_B Z_- - Z'_-) & 4\mu_B^2 - r^2 - \mu \end{pmatrix} \begin{pmatrix} \psi_+ \\ \psi_- \end{pmatrix}$$

Recall that  $Z_\pm(\mu, q) = r(\mu, q)e^{\mp\beta(\mu, q)}$  are determined by the closed string equations.

**(The details are not important!)**

From the structure of the equations:

- $B_{\pm}$  and  $\psi_{\pm} = \frac{\langle B_{\pm} \rangle}{e^{-F}}$  have charges  $\pm\frac{1}{2}$  (recall that  $Z_{\pm}(\mu, q)$  have charges  $\pm 1$ )
- The exact solutions of these differential equations are smooth functions of  $\mu$  and  $\mu_B$ !

Naively, this agrees with the semiclassical picture: two branes for each  $\mu_B$ .

But, explicit worldsheet calculations (not done here) agree with the semiclassical limit of  $\psi_+(\mu_B)$  ( $\psi_-(\mu_B)$ ) **only** for  $\mu_B > 0$  ( $\mu_B < 0$ ). This will be explained soon.

## An interesting identity

Using the charge  $\pm 1$  objects

$$Z_{\pm}(\mu, q) = r(\mu, q)e^{\mp\beta(\mu, q)} = e^{F(\mu, q) - F(\mu, q \pm 1)}$$

there is a surprising identity

$$\psi_+(\mu, q, \mu_B) = Z_+(\mu, q)\psi_-(\mu, q + 1, \mu_B)$$

which means

$$\langle B_+(\mu_B) \rangle_{\mu, q} = \langle B_-(\mu_B) \rangle_{\mu, q+1}$$

i.e. there are only half as many independent flux/brane configurations – the counting agrees with the target space picture.

## Interpreting the exact answers for $\langle B_{\pm} \rangle$

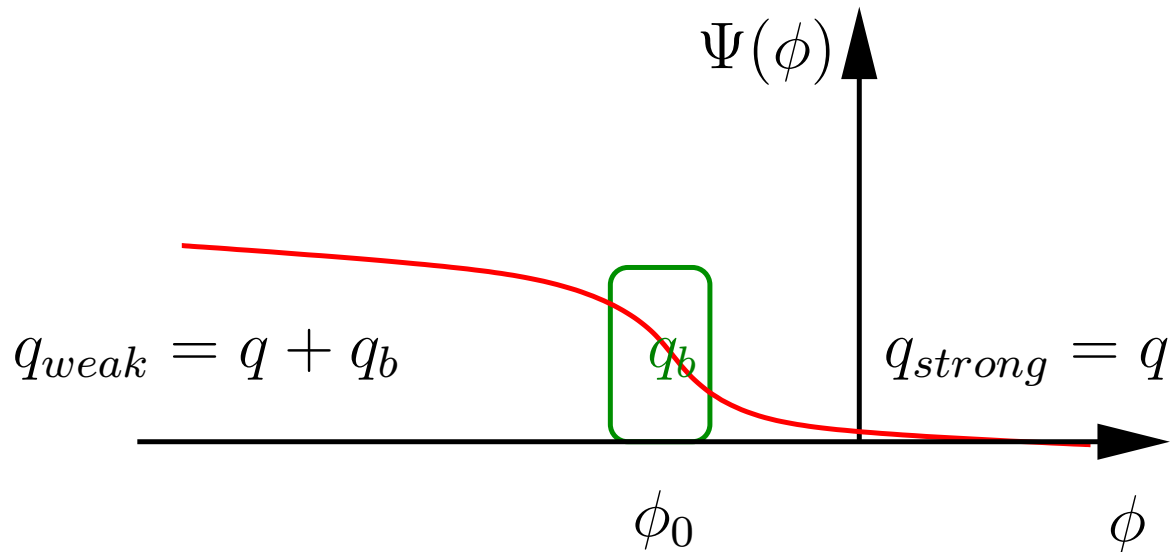
The equations with the parameter  $q$  describe branes  $B_{\pm}$  with  $q_{weak} = q \pm \frac{1}{2}$ .

The subscript in  $B_{\pm}$  determines the flux at infinity and not “the charge of the brane.”

$B_+$  has its natural charge ( $q_b = +\frac{1}{2}$ ) for  $\mu_B > 0$ .

$B_-$  has its natural charge ( $q_b = -\frac{1}{2}$ ) for  $\mu_B < 0$ .

In these two situations the flux in the strong coupling region is  $q_{strong} = q$ .



Note, the parameter  $q$  in the equations is not the flux in the asymptotic weak coupling region.

Analytically continuing  $B_{\pm}$  to the other sign of  $\mu_B$  changes their charges  $q_b \rightarrow -q_b$  and  $q_{strong} \rightarrow q_{strong} \pm 1$ , while preserving  $q_{weak}$ .

The surprising identity

$$\langle B_+(\mu_B) \rangle_{\mu, q} = \langle B_-(\mu_B) \rangle_{\mu, q+1}$$

equates two branes with the same  $q_{weak} = q + \frac{1}{2}$ .

Consider the identity for  $\mu_B > 0$ .

The brane  $B_+$  in the LHS has its natural charge  $q_b = +\frac{1}{2}$  and flux  $q_{strong} = q$ .

The brane  $B_-$  in the RHS is analytically continued from negative  $\mu_B$ , where it has its natural charge  $q_b = -\frac{1}{2}$  and flux  $q_{strong} = q + 1$ .

Conclude: the distinct flux/brane configurations are labelled by  $q_{weak}$  and  $\mu_B$  (including its sign).

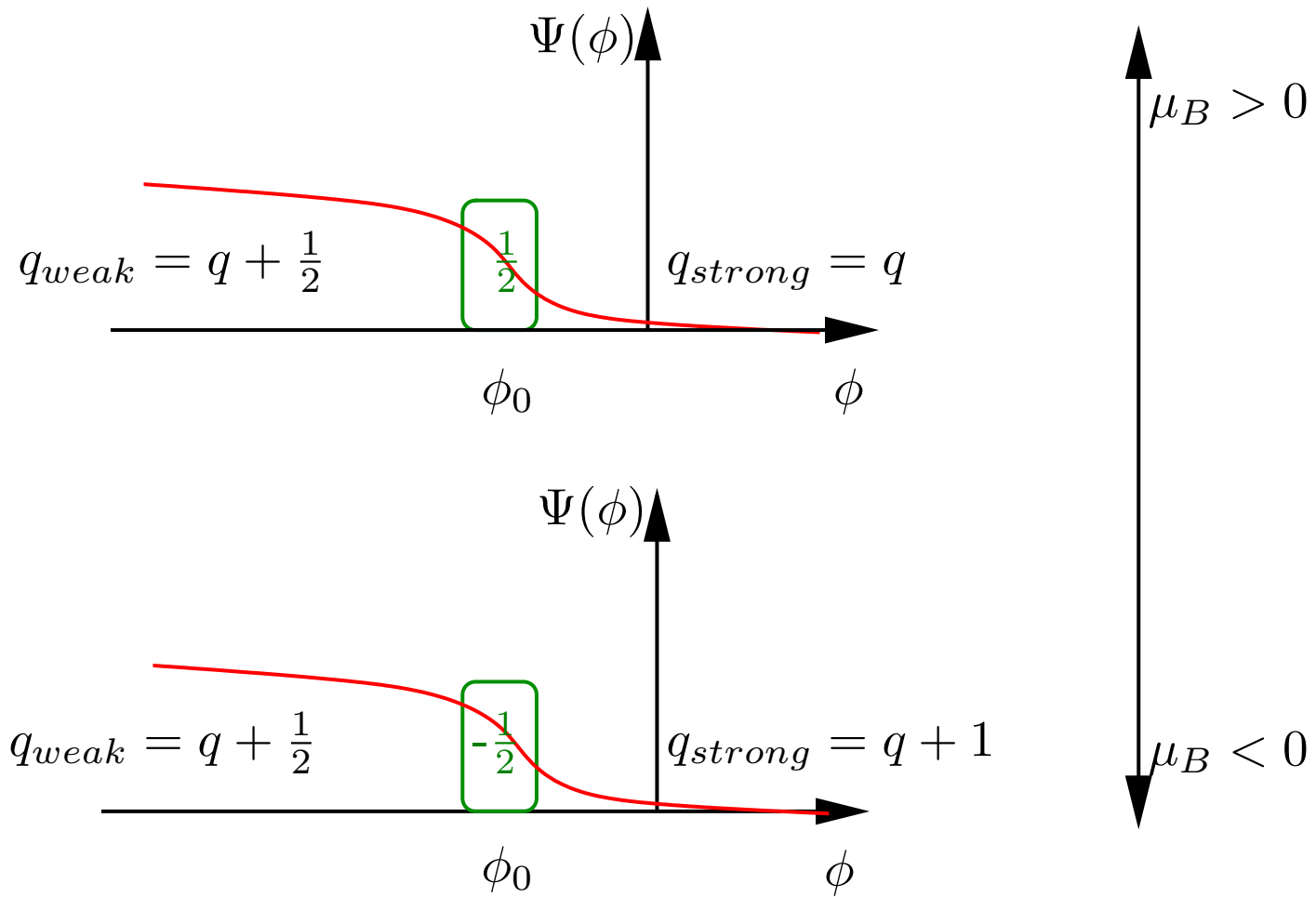


This agrees with the target space picture.

It also resolves the discrepancy with the semiclassical worldsheet computations – they are correct only before the transition; i.e. when  $B_{\pm}$  have their natural charges  $q_b = \pm\frac{1}{2}$  and  $q_{strong} = q$  (Stokes' phenomenon).

The main surprise is that the transition which changes the charge is smooth.

# Physical picture of the transition



## Comments about the transition

- $q_{weak}$  is well defined and does not fluctuate
  - The weak coupling region has infinite volume.
- $q_b$  and  $q_{strong}$  are not meaningful but fluctuate. This allows smooth transitions changing  $q_b$  and  $q_{strong}$ .
  - The strong coupling region effectively has finite volume.
  - For  $\mu_B \rightarrow \pm\infty$  the volume of the strong coupling region becomes large, and suppresses the fluctuations.  $q_b$  and  $q_{strong}$  become well defined.

# Lessons

- We discussed a **solvable model** with RR flux  $q$  and charged branes.
- For  $\mu > 0$  the flux is generated by charged D-branes in the strong coupling region  $\phi = +\infty$ .
- For  $\mu < 0$  there are no such branes, but the flux still exists.
- There is a **smooth transition** between two semiclassical limits:  $\mu \rightarrow \pm\infty$ . It converts **charged D-branes**  $\longleftrightarrow$  **flux**.

- Extended D-branes dissolve at  $\phi_0 \approx -2 \log(|\mu_B|)$ , with localized charge near  $\phi_0$ . Semiclassically, i.e. as  $\mu_B \rightarrow \pm\infty$ , it is  $q_b = \frac{1}{2} \text{sign}(\mu_B)$ .
- The classically meaningful charge  $q_b$  and flux in the strong coupling region  $q_{strong}$  fluctuate in the quantum theory. Only the flux in the weak coupling region  $q_{weak}$  is meaningful.
- There is a smooth transition as  $\mu_B$  varies. It changes  $q_b \longleftrightarrow -q_b$ . Semiclassically, the brane picks up charge at  $\phi = +\infty$  as it passes through  $\mu_B = 0$ .