

# Braid groups, their applications and connections

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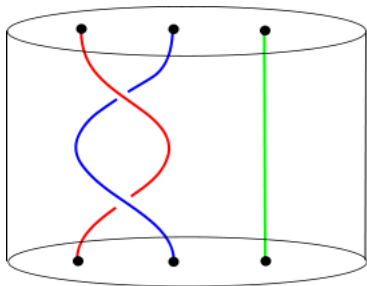
KITP Knotted Fields

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## Introduction:

- ▶ Artin's braid groups are at the confluence of several basic mathematical structures.
- ▶ The purpose of this lecture is to illustrate one such confluence by comparing “crude” motions of points in the plane to analogous motions of points in the two-dimensional sphere, and to give topological features of these motions, features to be made precise below.
- ▶ The focus here is on properties of spaces of braids and their fundamental groups rather than the geometry of individual braids.

A (crude) picture of a braid obtained from 3 particles moving through time in a plane:



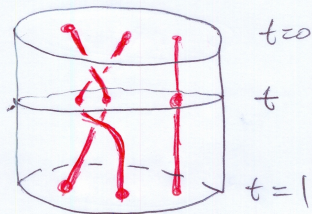
- ▶ Three particles mill about, but do not collide.

## Motivation:

- ▶ The main point of this lecture is that particles “milling about” in certain prescribed ways correspond to several different, related subjects.
- ▶ Classical “discrete” structures given by the homotopy groups of spheres as well as modular forms arise naturally arise by keeping track of these motions.
- ▶ The purpose of this lecture is to illustrate these two connections.

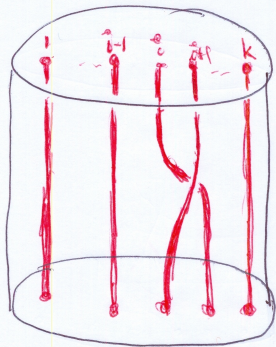
## Artin's braid groups and paths of distinct points in the plane:

- ▶ Artin's braid group can be thought of as paths of particles of points in the plane as the particles move from time  $t = 0$  to time  $t = 1$  for which the particles are not allowed to collide, that is the space of paths of particles in the configuration space.
- ▶ A picture returns:



# A picture of certain natural elements in Artin's braid group:

- ▶ Consider the braids  $\sigma_i$  for  $1 \leq i \leq k - 1$  pictured next.



## Artin's presentation of the braid group:

- ▶ The braid group on  $k$  strands denoted

$$Br_k = Br_k(\mathbb{R}^2)$$

is generated by elements

$$\sigma_i$$

for  $1 \leq i \leq k - 1$ ,

- ▶ with a complete set of relations given by

$$\sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1}$$

for  $1 \leq i \leq k - 2$ , and

$$\sigma_i \sigma_j = \sigma_j \sigma_i$$

for all  $|i - j| \geq 2$ .

## Configuration spaces:

- ▶ The braid group is the fundamental group of certain 'unordered' configuration spaces to be defined shortly.
- ▶ The configuration space of **ordered  $k$ -tuples of distinct points in a space  $M$**  is

$$\text{Conf}(M, k) = \{(m_1, \dots, m_k) \in M^k \mid m_i \neq m_j \text{ if } i \neq j\}.$$



## Configuration spaces continued:

- ▶ The symmetric group on  $k$ -letters

$$\Sigma_k$$

acts naturally on  $\text{Conf}(M, k)$  by permutation of coordinates.

- ▶ The orbit space

$$\text{Conf}(M, k)/\Sigma_k$$

is the “unordered” configuration space sometimes called ‘unlabeled’.

- ▶ In case  $M = \mathbb{C}$ , this last space would have been familiar to you in high school as we are about to see !

## A basic example:

- ▶ Consider the space of all monic, complex polynomials of degree  $k$

$$f(z) = z^k + a_{k-1}z^{k-1} + \cdots + a_1z + a_0 = (z - r_1) \cdots (z - r_k)$$

denoted

$$\text{Polynomials}_{\mathbf{k}}(z) = \{f(z)\}.$$

## A basic example continued:

- ▶ By identifying a polynomial  $f(z)$  with its coefficients, the space of polynomials

$$\text{Polynomials}_k(z)$$

is homeomorphic to complex  $k$ -dimensional space

$$\mathbb{C}^k.$$

- ▶ By identifying a polynomial with its' roots, the space  $\text{Polynomials}_k(z)$  is homeomorphic to the  $k$ -fold symmetric product

$$\text{Sym}^k(\mathbb{C}) = (\mathbb{C}^k)/\Sigma_k$$

the space of *unordered* roots of the polynomials.

## A basic example continued:

- ▶ Thus the space  $\text{Polynomials}_k(z)$  is homeomorphic to both  $\mathbb{C}^k$  and to  $(\mathbb{C}^k)/\Sigma_k$  via the homeomorphism

$$\text{roots} : (\mathbb{C}^k)/\Sigma_k \rightarrow \mathbb{C}^k$$

which sends the roots of a polynomial to the coefficients, the elementary symmetric functions of the roots (up to sign).

## A basic example continued:

- ▶ A homework problem of Galois was to see how the homeomorphism

$$\text{roots} : (\mathbb{C}^k)/\Sigma_k \rightarrow \mathbb{C}^k$$

can be inverted !

## A basic example continued:

- ▶ Restrict to

$$\text{Conf}(\mathbb{C}, k)/\Sigma_k \subset (\mathbb{C}^k)/\Sigma_k$$

to see that this configuration space

$$\text{Conf}(\mathbb{C}, k)/\Sigma_k$$

is *the space of monic, complex polynomials of degree  $k$  with  $k$  distinct roots.*

## Braid groups for other surfaces:

- ▶ A braid can be thought of as the graph of a loop in the configuration space

$$\text{Conf}(\mathbf{S}, k)/\Sigma_k$$

for any surface  $\mathbf{S}$ .

- ▶ The  $k$ -stranded braid group of a surface  $\mathbf{S}$

$$Br_k(\mathbf{S})$$

is the fundamental group of the 'unordered' configuration space  $\text{Conf}(\mathbf{S}, k)/\Sigma_k$ .

## Braids on the Riemann sphere:

- ▶ The next few sections compare the braid groups for the complex numbers  $\mathbb{C}$  or the Riemann sphere

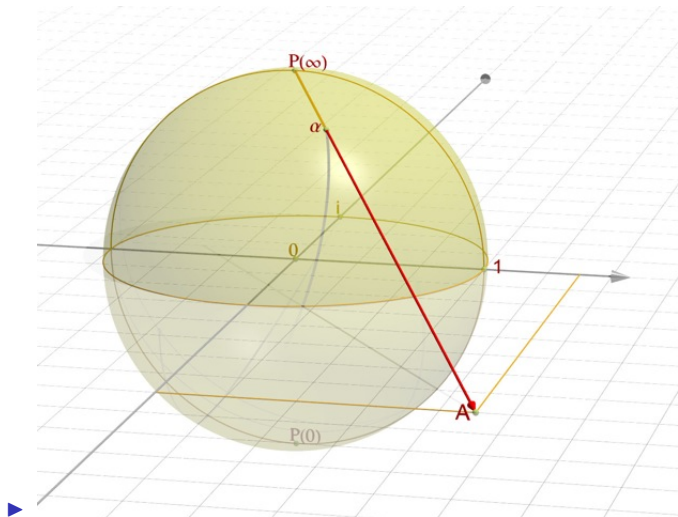
$$\mathbb{C} \cup \{\infty\} = S^2.$$

- ▶ The Riemann sphere is also identified as the space of complex lines through the origin in  $\mathbb{C}^2$

$$\mathbb{C}P^1.$$



# The Riemann sphere:



# Configurations in the Riemann sphere:

- ▶ The configuration space

$$\text{Conf}(S^2, 3)$$

is a disguise for

$$PSL(2, \mathbb{C})$$

dating back to classical work of Poincaré.

## Configurations in the Riemann sphere:

- ▶ That is, consider the configuration given by

$$(0, 1, \infty) \in \text{Conf}(S^2, 3).$$

- ▶ The map which sends a point

$$\rho \in PSL(2, \mathbb{C})$$

to

$$(\rho(0), \rho(1), \rho(\infty))$$

gives a homeomorphism

$$PSL(2, \mathbb{C}) \rightarrow \text{Conf}(S^2, 3).$$

# Configurations in the Riemann sphere:

Thus there are homeomorphisms



$$PSL(2, \mathbb{C})/SO(3) \rightarrow \text{Conf}(S^2, 3)/SO(3)$$

where  $SO(3)$  is regarded as the maximal compact subgroup,



$$PSL(2, \mathbb{C})/SO(3) \rightarrow \mathbb{H}^3$$

one sheet of hyperbolic three space.

# Configurations in the Riemann sphere:

The notation

$$\mathbb{H}^3$$

means one sheet of hyperbolic three space given by

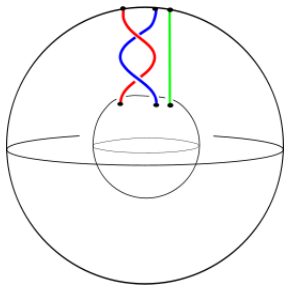
$$\{(t, x, y, z) \in \mathbb{R}^4 \mid t > 0, t^2 - (x^2 + y^2 + z^2) = 1\}.$$

## Configurations in the Riemann sphere:

- ▶ Furthermore, if  $k \geq 3$ , there are homeomorphisms

$$\mathrm{Conf}(S^2, k)/SO(3) \rightarrow \mathbb{H} \times \mathrm{Conf}(\mathbb{C} - \{0, 1\}, k - 3).$$

A three-stranded braid for the Riemann sphere:

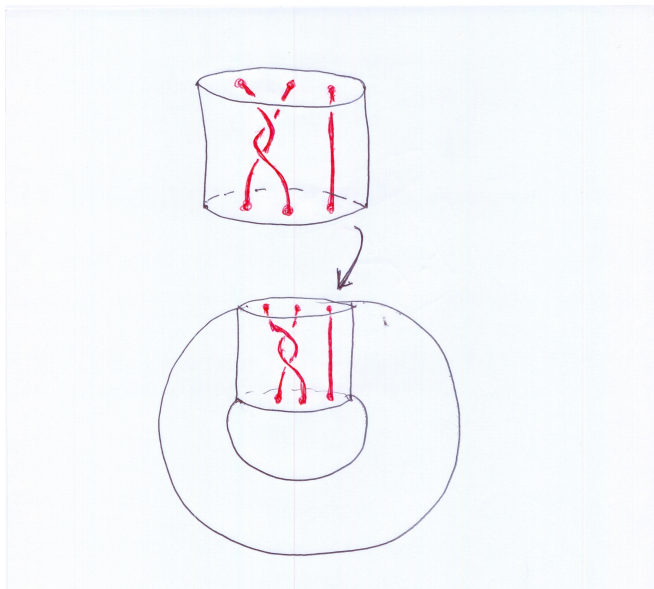


# The map from the braid group for the plane to the braid group for the Riemann sphere:

One goal of this lecture is to compare braids for the plane  $\mathbb{C}$  to those for the Riemann sphere  $S^2$ .



A picture of the map from the braid group for the plane to the braid group for the Riemann sphere:



The map from the braid group for the plane to the braid group for the Riemann sphere again:

- ▶ The homomorphism

$$Br_k(\mathbb{R}^2) \rightarrow Br_k(S^2)$$

has a kernel in case  $k \geq 3$ .

- ▶ How and why is this interesting ?
- ▶ The next slide is the starting point of how these features 'fit' together.

Borromean “creatures” (usually ‘Borromean rings’:)

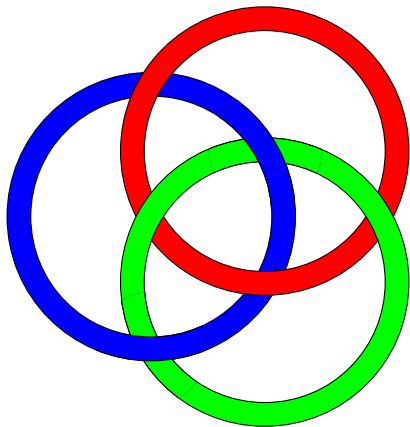


Figure: The Borromean rings

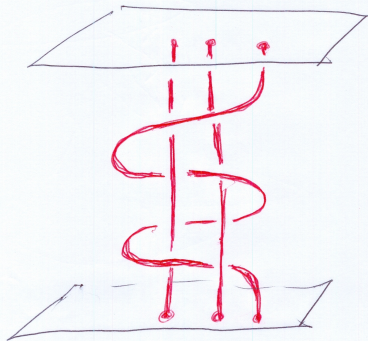
## Borromean braids for the plane and for the Riemann sphere:

- ▶ One property of the Borromean rings is that if any circle is removed, the remaining pair of circles is unlinked.
- ▶ Define the Borromean  $k$ -stranded braids for a surface  $S$  as those  $k$ -stranded braids which “become trivial” if any strand is deleted.
- ▶ The group of Borromean  $k$ -stranded braids is

$$\text{Borr}_k(S).$$

,

An example of a Borromean braid for the plane:



## Borromean braids for the plane and for the sphere continued:

- ▶ The group of Borromean braids

$$\text{Borr}_k(\mathbf{S})$$

is usually a countably infinitely generated free group.

- ▶ One “small example” is

$$\text{Borr}_4(S^2)$$

which is also the principal congruence subgroup of level 4 in  $SL(2, \mathbb{Z})$ .

## Borrromean braids for the plane and for the sphere continued:

- ▶ The first homework problem is to compare

$$\text{Borr}_k(\mathbb{C}) \rightarrow \text{Borr}_k(S^2).$$

induced by the natural inclusion

$$\mathbb{C} \rightarrow S^2 = \mathbb{C} \cup \infty,$$

and

$$\text{Conf}(\mathbb{C}, k) \rightarrow \text{Conf}(S^2, k).$$

- ▶ These maps are the subject of joint work with J. Berrick, Y. Wong, J. Wu and the speaker which are discussed next.

# A theorem about Borromean braids in the plane and in the sphere:

Assume that  $k \geq 5$ .

- ▶ The image of

$$\text{Borr}_k(\mathbb{C}) \rightarrow \text{Borr}_k(S^2).$$

induced by the natural inclusion

$$\mathbb{C} \rightarrow S^2 = \mathbb{C} \cup \infty.$$

is a normal subgroup with quotient group given by

$$\pi_{k-1}S^2$$

the  $(k-1)$ -st homotopy group of the two sphere.



## Some background:

Assume that  $k \geq 5$ .

- ▶ The homotopy groups of spheres are currently not well understood, and have been the subject of much work by many people.
- ▶ One theorem of Serre (in his thesis) gives that

$$\pi_{k-1}S^2$$

is a finite abelian group for all  $(k-1) > 3$ . Thus the image of  $\text{Borr}_k(\mathbb{C})$  in  $\text{Borr}_k(S^2)$  is a finite index subgroup.

- ▶ **Homework:** Identify a more direct way to see this fact or to identify the size of  $\pi_{k-1}S^2$  arising from geometric properties of braids.

## Some background continued:

Assume that  $k \geq 5$ .

- ▶ Write

$$\mathrm{Borr}_k(S^2)/\mathrm{Borr}_k(\mathbb{C})$$

for the quotient of  $\mathrm{Borr}_k(S^2)$  modulo the image of  $\mathrm{Borr}_k(\mathbb{C})$ .

- ▶ Thus the groups  $\mathrm{Borr}_k(S^2)/\mathrm{Borr}_k(\mathbb{C})$  are finite abelian.
- ▶ In addition, these finite abelian groups have no 2-torsion elements of order  $\geq 8$  by work of I. M. James or  $p$ -torsion of order  $p^2$  for  $p$  an odd prime by results of either P. Selick, or Moore, Neisendorfer, and the speaker.

# A theorem about Borromean braids in the plane and in the sphere continued:

Assume that  $k \geq 5$ .

- ▶ There is an exact sequence

$$1 \rightarrow \text{Borr}_{k+1}(S^2) \rightarrow \text{Borr}_k(\mathbb{C}) \rightarrow \text{Borr}_k(S^2) \rightarrow \pi_{k-1}(S^2) \rightarrow 1.$$

## Remarks, homework, as well as **wild speculation**:

- ▶ Explicit computations of  $\pi_{k-1}S^2$  are similar in flavor to calculating values of the classical partition function (the number of ordered partitions of a fixed integer).
- ▶ This remark suggests forming the generating function

$$\Theta(t) = 1 + \sum_{t \geq 4} (1/k!) |\text{Borr}_k(S^2)/\text{Borr}_k(\mathbb{C})| t^k$$

where  $|\text{Borr}_k(S^2)/\text{Borr}_k(\mathbb{C})|$  denotes the order of the (finite abelian) group.

## Remarks, homework, as well as **wild speculation**:

- ▶ Is the function  $\Theta(t)$  analytic ?
- ▶ Do the actions of  $PSL(2, \mathbb{C})$  reflect non-trivial symmetry properties of the generating function  $\Theta(t)$  ?
- ▶ Can the coefficients of  $\Theta(t)$  be estimated via analytic methods as in the case of the classical partition function ?

## Other spaces:

- ▶ A mild variation of these methods gives an analogous picture for all spheres.
- ▶ **However, these methods have not been useful for concrete computations.**
- ▶ **Homework:** Identify methods to keep track of the size of these groups. For example, automorphisms of surfaces may give invariance properties as exemplified next.

## A final comment/accident:

- ▶ The braid groups have natural symplectic representations

$$Br_{2g+2}(\mathbb{R}^2) \rightarrow Sp(2g, \mathbb{Z})$$

which arise from diffeomorphisms of Riemann surfaces.

- ▶ A natural question is to ask about the cohomology of the braid group with coefficients in symmetric powers of this representation.

## Calculations:

- ▶ The first case arises with a quotient of  $B_3$  given by  $SL(2, \mathbb{Z})$ .
- ▶ There is a direct sum decomposition

$$H^*(SL(2, \mathbb{Z}); \mathbb{Z}[x, y]) = \mathcal{M} \oplus Tors$$

where

$$\mathcal{M}$$

is a free abelian group while

$$Tors$$

is a torsion group.

- ▶ This case in cohomology was first considered in the 1950's by G. Shimura, or at least **the torsion free summand** of the cohomology groups



## Calculations:

- ▶ The purpose of this section is to give the torsion in the cohomology groups

$$H^*(SL(2, \mathbb{Z}); \mathbb{Z}[x, y])$$

obtained in joint work with M. Salvetti, and F. Callegaro.

- ▶ To do so, first recall that there exist  $p$ -local fibrations for each odd prime  $p > 3$  given by

$$S^{2n-1} \rightarrow T_p(2n+1) \rightarrow \Omega(S^{2n+1})$$

in work of D. Anick as well as Gray-Theriault.

- ▶ In particular, there are spaces  $T_p(2n+1)$  which are total spaces of  $p$ -local fibrations,  $p > 3$ .

## Calculations:

- ▶ **Shimura's Theorem:** The graded abelian group

$$\mathcal{M} \otimes_{\mathbb{Z}} \mathbb{C}$$

is given by the classical ring of modular forms based on the  $SL(2, \mathbb{Z})$  action on the upper  $\frac{1}{2}$ -plane by fractional linear transformations.

# Calculations:

## Theorem 11

- ▶ If  $p$  is a prime with  $p > 3$ , then the  $p$ -torsion in

$$H^*(SL(2, \mathbb{Z}); \mathbb{Z}[x, y])$$

is given by the reduced cohomology of

$$T(2p + 3) \times T(2p^2 - 2p + 1).$$

- ▶ In case  $p = 2, 3$ , the answers are not quite as nice.

## Remarks:

- ▶ The spaces  $T_p(2n + 1)$  have long been conjectured to deloop the fibre of the classical double suspension map

$$S^{2n-1} \rightarrow \Omega^2(S^{2n+1}).$$

- ▶ **Homework:** Explain this accident.

# Thank you very much.

- ▶ Please remember to hand in the homework !