

# Improved Chiral Lattice Fermion Actions



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with Rich Brower and Hartmut Neff

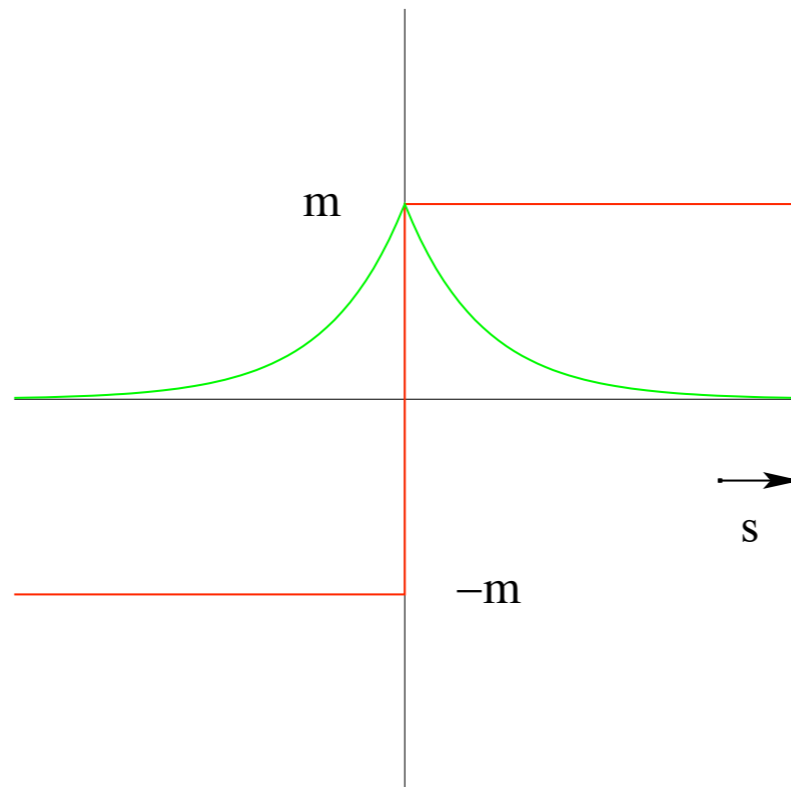
# Summary

- Introduction:
  - Lattice Fermions and Chiral symmetry
  - Domain Wall Fermions
  - Overlap
  - “Perfect” Fermions
- The Ginsparg-Wilson relation
- Implementations
- Improvements on the implementation
  - Gauge actions
  - Mobius fermions
  - Continued Fraction
- What is the best thing to do?
- Conclusions and future

# Kaplan's Fermions

Continuum 5D fermions:

$$\not\partial\psi(x, s) + \gamma_5\partial_5\psi(x, s) + m(s)\psi(x, s) = 0$$



The zero mode:

$$\psi_0 = \phi^\pm(s)u_\pm \quad \gamma_5 u_\pm = \pm u_\pm \quad [\pm\partial_5 + m(s)]\phi^\pm(s) = 0$$

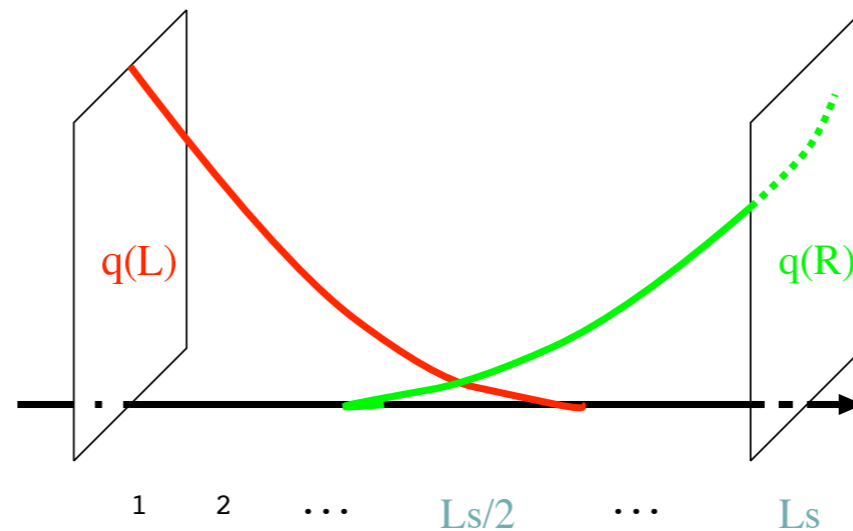
and

$$\phi^+(s) = e^{-m|s|}$$

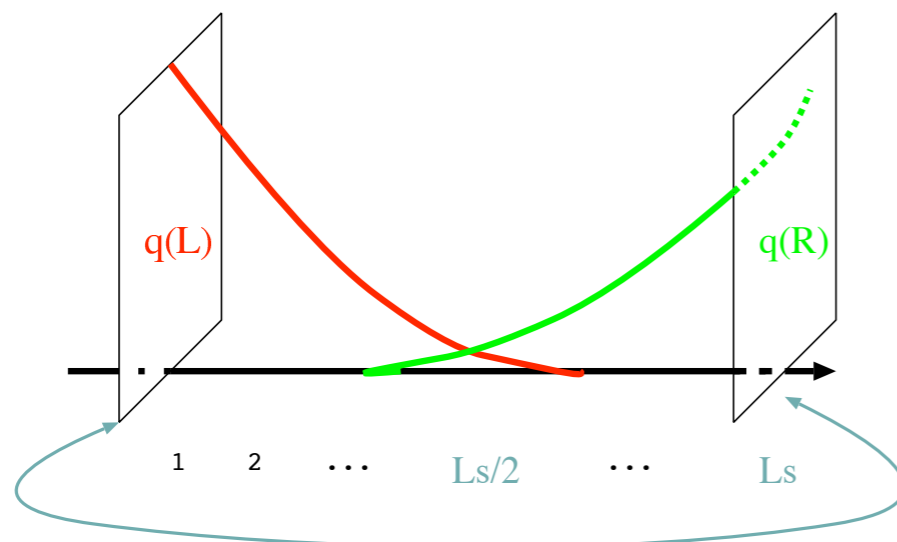
is the only normalizable state

# Domain Wall Fermions for QCD

Formulate the 5D Wilson fermions with mass  $M \neq 0$  in  $s \in [1, L_s]$



For  $-2 < M < 0$ , light chiral modes are bound on the walls.  
Only one Dirac fermion without doublers remains.



Fermion mass is introduced by explicitly coupling  $m_f$  of the walls.

[Shamir, Furman & Shamir]

# Ward Identity

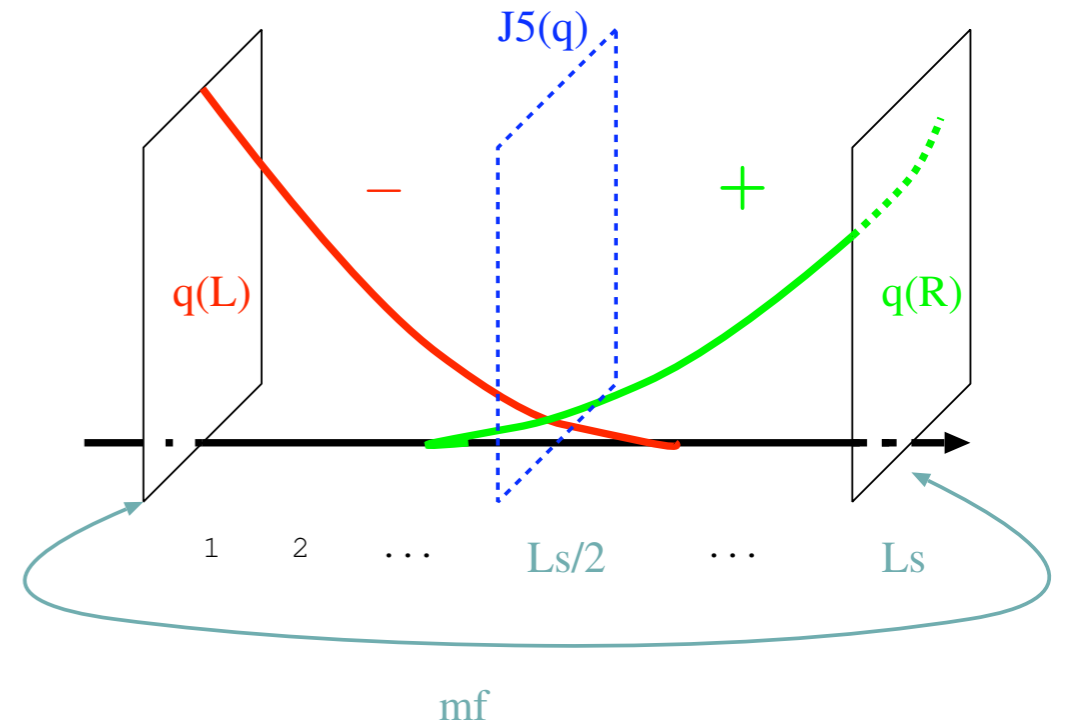
$$q(x) = P_L \psi(x, 0) + P_R \psi(x, L_s - 1)$$

$$\bar{q}(x) = \bar{\psi}(x, L_s - 1) P_L + \bar{\psi}(x, 0) P_R$$

$$q_{mp}(x) = P_L \psi(x, \frac{L_s}{2} + 1) + P_R \psi(x, \frac{L_s}{2})$$

$$\bar{q}_{mp}(x) = \bar{\psi}(x, \frac{L_s}{2}) P_L + \bar{\psi}(x, \frac{L_s}{2} + 1) P_R$$

$$P_{R/L} = \frac{1}{2}(1 \pm \gamma_5)$$



$$\Delta_\mu \langle \mathcal{A}_\mu^a(x) \mathcal{O} \rangle = 2 m_f \langle J_5^a(x) \mathcal{O} \rangle + 2 \langle J_{5q}^a(x) \mathcal{O} \rangle + i \langle \delta_x^a \mathcal{O} \rangle$$

$$J_5^a(x) = \bar{q}(x) \tau^a \gamma_5 q(x) \quad J_{5q}^a(x) = \bar{q}_{mp}(x) \tau^a \gamma_5 q_{mp}(x) \quad \mathcal{A}_\mu^a(x) = \sum_{s=0}^{L_s-1} \text{sign} \left( s - \frac{L_s - 1}{2} \right) j_\mu^a(x, s)$$

- $\lim_{L_s \rightarrow \infty} \langle J_{5q}^a(x) \mathcal{O} \rangle = 0$  : **Exact** chiral symmetry at finite lattice spacing

# Overlap Fermions

Narayanan - Neuberger

- Develop Kaplan's idea

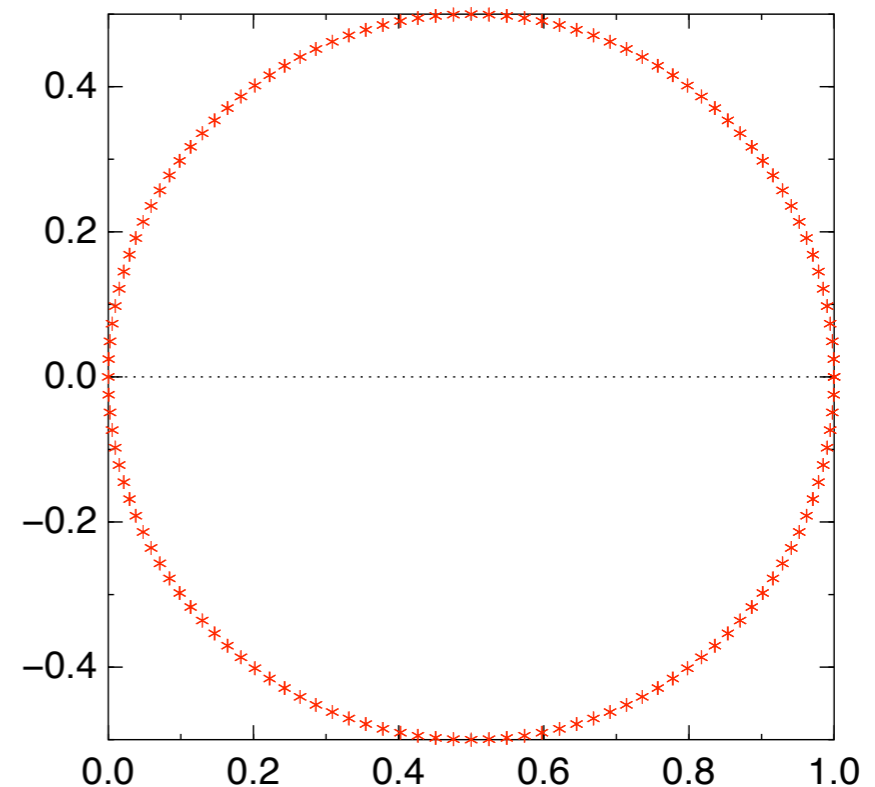
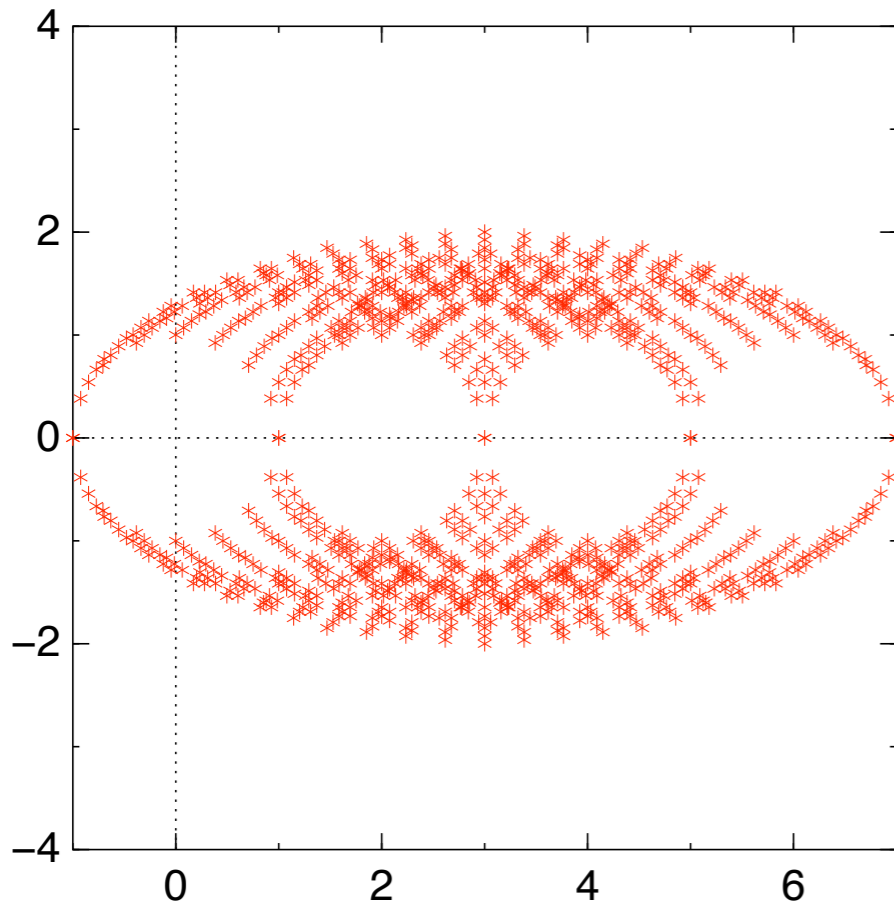
$$e^{-S(U)} = \langle 0_- | 0_+ \rangle$$

- Derive the 4D effective action

- The overlap formula

$$D_{ov}^0 = \frac{1}{2} + \frac{1}{2} \gamma_5 \mathcal{E}[\gamma_5 D(M_5)] \quad \text{and} \quad M_5 < 0$$

$$D_{xy}^{Wilson}(M_5) = (4 + M_5) \delta_{x,y} - \frac{1}{2} [(1 - \gamma_\mu) U_\mu(x) \delta_{x+\mu,y} + (1 + \gamma_\mu) U_\mu^\dagger(y) \delta_{x,y+\mu}],$$



# Ginsparg-Wilson Relation

Renormalization group transformation:

$$e^{-S'[\Phi]} = \int \mathcal{D}\phi e^{-S[\phi] - \mathcal{T}[\Phi; \phi]},$$

$\Psi \bullet$	$\Psi \bullet$	$\Psi \bullet$
$\Psi \bullet$	$\Psi \bullet$	$\Psi \bullet$
$\Psi \bullet$	$\Psi \bullet$	$\Psi \bullet$

The fixed point operator satisfies (massless case):

$$\gamma_5 D + D \gamma_5 = 2 D \gamma_5 D$$

Luscher symmetry:

$$\delta\Psi = \gamma_5(1 - 2D)\Psi$$

$$\delta\bar{\Psi} = \bar{\Psi}\gamma_5$$

- The overlap satisfies the GW relation
- What about the DWF?

# Is the GW relation enough?

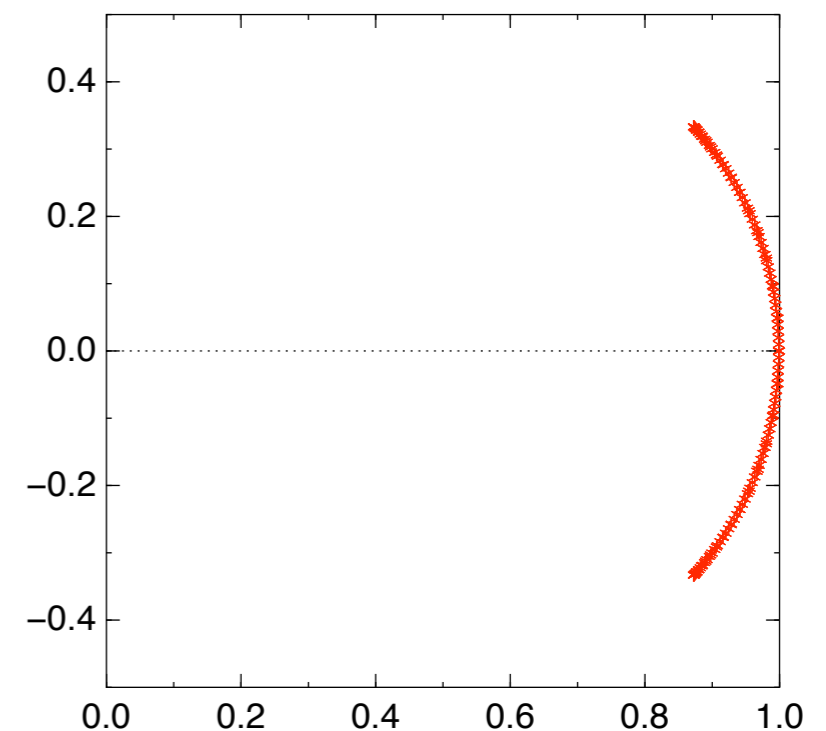
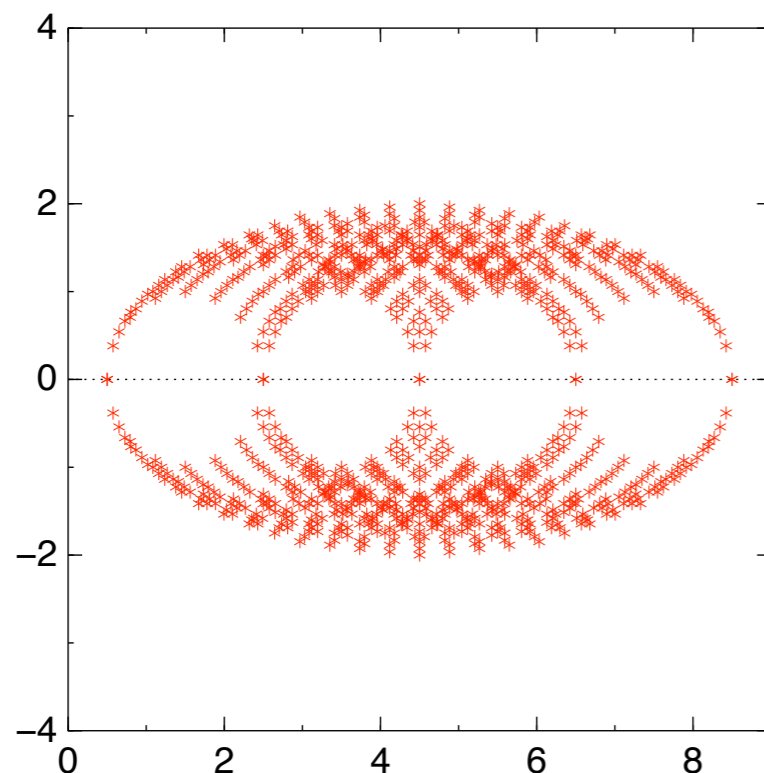
- Take the overlap formula with  $M_5 > 0$

$$D_{ov}^0 = \frac{1}{2} + \frac{1}{2} \gamma_5 \mathcal{E}[\gamma_5 D(M_5)]$$

- It satisfies the GW relation

$$\gamma_5 D + D \gamma_5 = \gamma_5 + \frac{1}{2} \mathcal{E}[\gamma_5 D(M_5)] + \frac{1}{2} \gamma_5 \mathcal{E}[\gamma_5 D(M_5)] \gamma_5 = 2 D \gamma_5 D$$

- It does not have chiral modes!





# DWF and the GW relation

- DWF are at hart the same as the overlap
- It's easy to show that

$$D_{ov}(m) = \frac{1+m}{2} + \frac{1-m}{2} \gamma_5 \mathcal{E}_{L_s}[\gamma_5 D(M_5)]$$

$$D(M_5) = \frac{a_5 D^W(M_5)}{2 + a_5 D^W(M_5)}$$

- The physical quark propagator is  $\frac{1}{D_{eff}} \equiv \langle q\bar{q} \rangle = \frac{1}{1-m} \left( \frac{1}{D_{ov}} - 1 \right)$
- This is just a particular approximation of the sign function

$$\mathcal{E}_{L_s}(x) = \frac{\prod_s^{L_s}(1+x) - \prod_s^{L_s}(1-x)}{\prod_s^{L_s}(1+x) + \prod_s^{L_s}(1-x)}$$

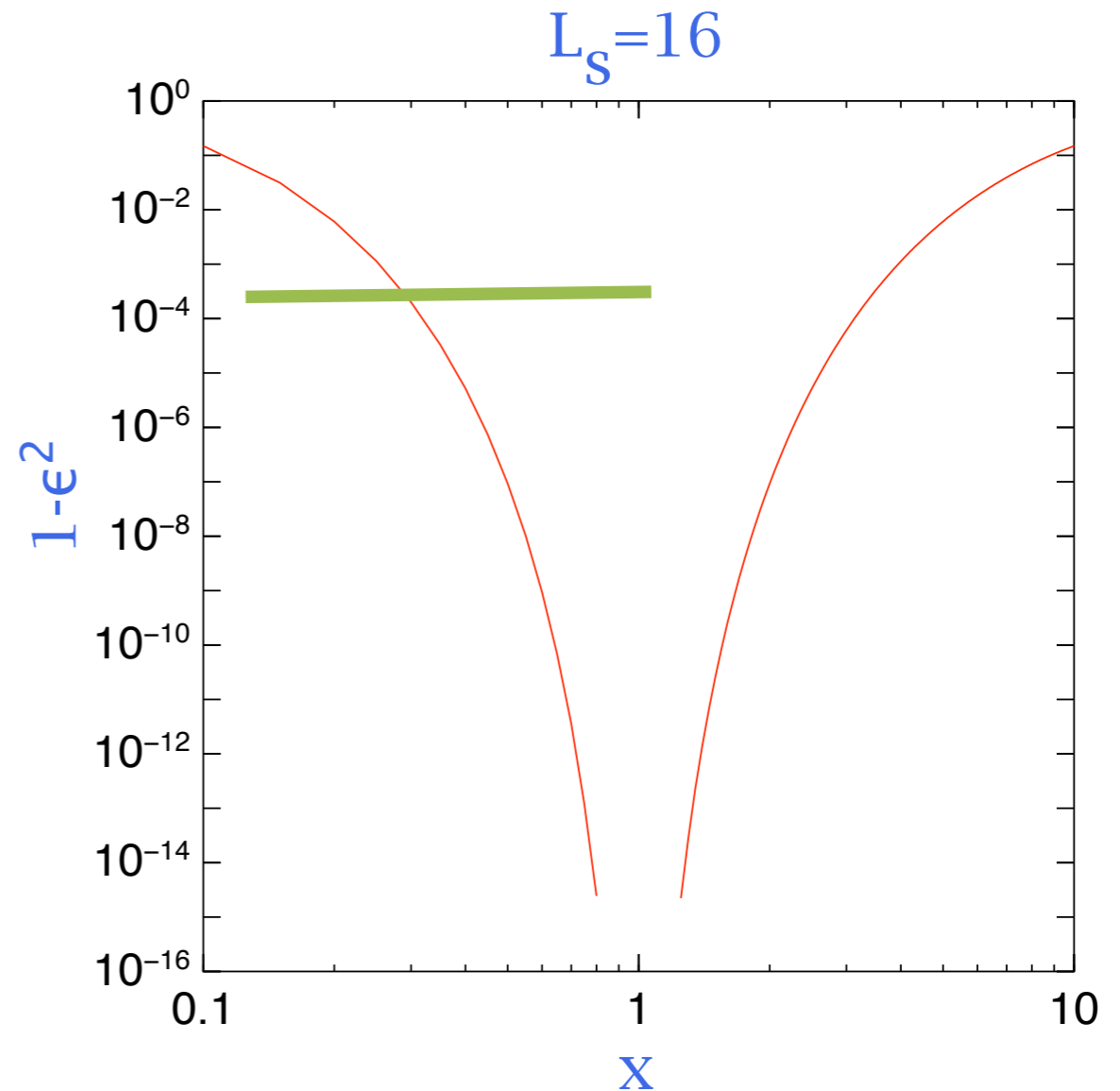
- The GW relation

$$2\gamma_5 \Delta_L \equiv \gamma_5 \frac{1}{2} [1 - \mathcal{E}_{L_s}^2] = \gamma_5 D_{ov}^0 + D_{ov}^0 \gamma_5 - 2D_{ov}^0 \gamma_5 D_{ov}^0$$

- The violation is positive for  $L_s$  even

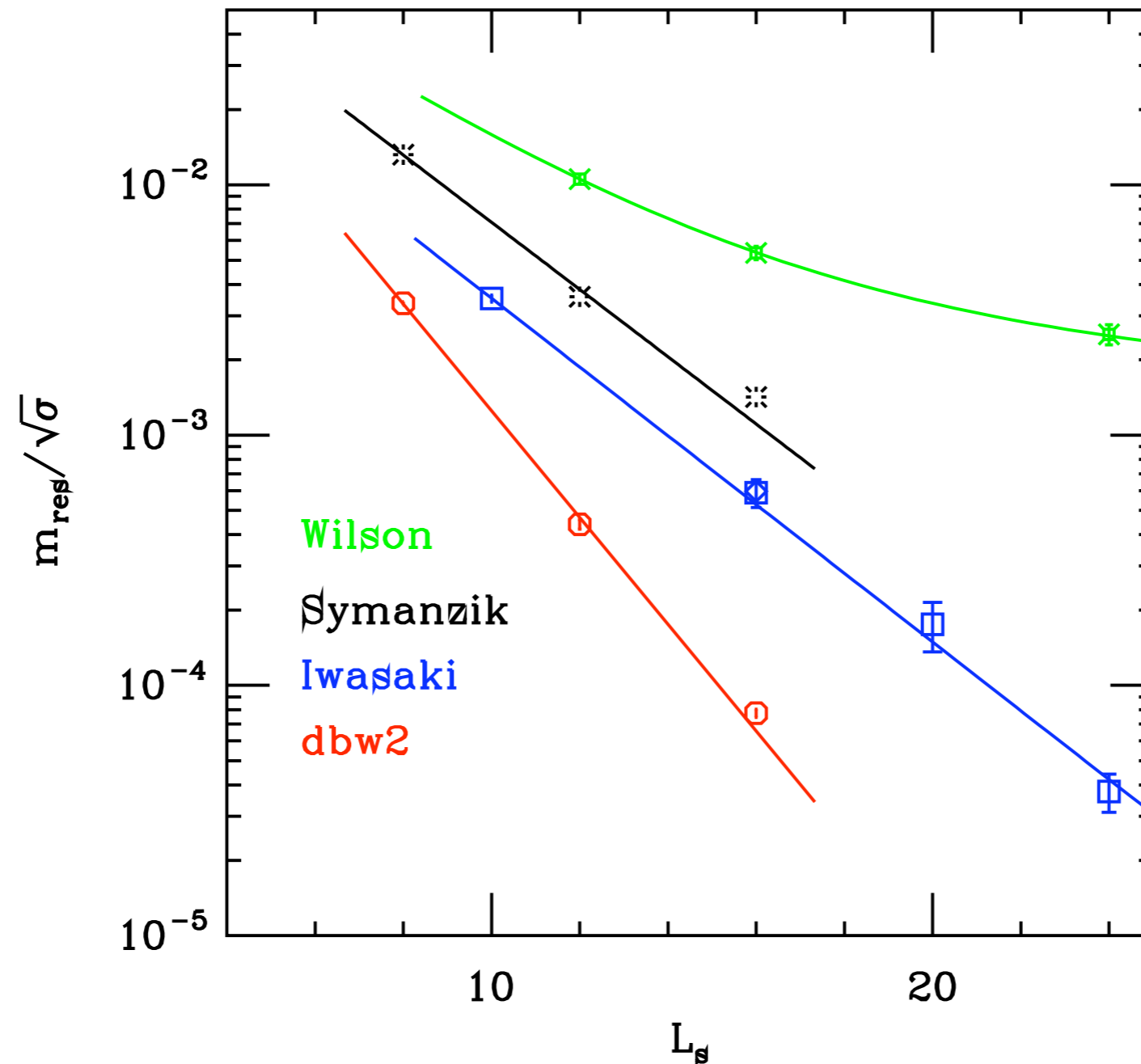
# The DWF approximation

$$\mathcal{E}_{L_S}(x) = \frac{\prod_S^{L_S}(1+x) - \prod_S^{L_S}(1-x)}{\prod_S^{L_S}(1+x) + \prod_S^{L_S}(1-x)}$$



- No flexibility in the approximation
- Only  $L_S$  can be changed and hope for the best....

# Changing the gauge action



- DBW2 gauge action works for quenched (2GeV cutoff)
- Dynamical with 1.7GeV cutoff only a factor of 2 better

# Why do we still work with DWF?

- For the overlap it seems there are a lot of tricks one can play (Zolotarev, continued fractions, double pass, Nested iteration prec. etc.)
- The physical picture is compelling for DWF (Axial current)
- The 5D action is local. New algorithms can exploit this feature.
- Dynamical: Easy force computation. (other 5D methods have this feature too)
- Computing the inverse is easier than computing the matrix and then inverting!
- A little flexibility would not hurt!

# The Mobius Fermions

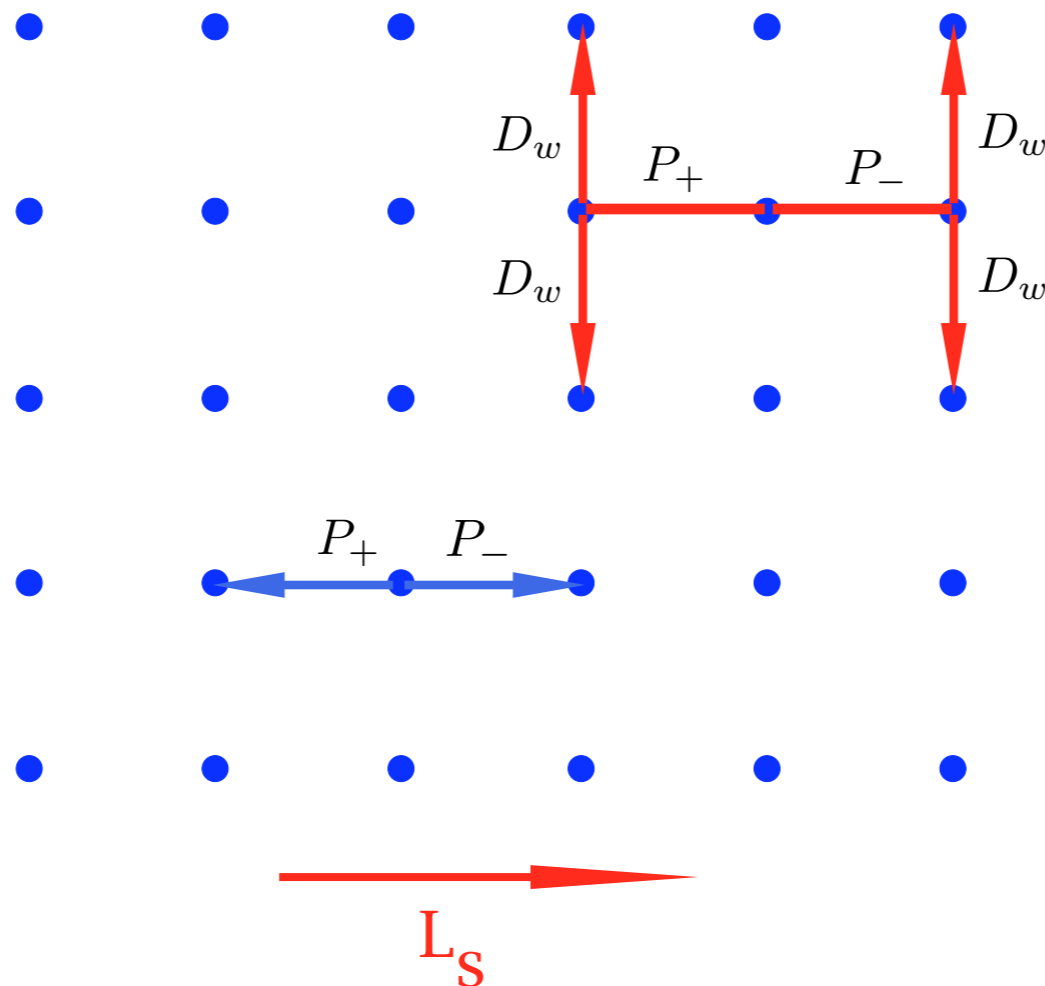
$$D_{dwf}^{(5)} = \begin{bmatrix} D_+ \\ -D_- P_+ \\ D_{dwf}^{(0)} \\ \vdots \\ m D_- P_- \end{bmatrix} = \begin{bmatrix} -D_+ P_- & P_- & 0 & 0 & \dots & \dots & 0 & m D_- P_+ \\ -D_+ & D_+ & D_- & P_- & 0 & 0 & \dots & 0 \\ -D_- & P_+ & P_+ & D_+ & -D_- & P_- & 0 & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ m D_- & P_- & 0 & \dots & \dots & \dots & -P_+ & D_- & P_+ \end{bmatrix} \begin{bmatrix} 0 \\ \dots \\ \dots \\ \dots \\ D_+ \end{bmatrix}$$

$$D_+ = 1 + b_5 D_w$$

$$D_- = 1 - c_5 D_w$$

$$P_+ = \frac{1 + \gamma_5}{2}$$

$$P_- = \frac{1 - \gamma_5}{2}$$



# The Mobius overlap

With a little high school algebra we get

$$\mathcal{P}^{-1} \frac{1}{D_{dwf}(1)} D_{dwf}(m) \mathcal{P} = \begin{bmatrix} D_{ov}(m) & 0 & 0 & \dots & \dots & \dots & 0 \\ -(1-m)T^{-L_s/2+1} \frac{1}{T^{-L_s/2}+T^{L_s/2}} & 1 & 0 & 0 & \dots & \dots & 0 \\ -(1-m)T^{-L_s/2+2} \frac{1}{T^{-L_s/2}+T^{L_s/2}} & 0 & 1 & 0 & \dots & \dots & 0 \\ & & & \vdots & \ddots & \ddots & \vdots \\ & -(1-m) \frac{1}{T^{-L_s/2}+T^{L_s/2}} & 0 & \dots & \dots & 1 & 0 & \dots \\ & & & \vdots & \ddots & \ddots & \ddots & \vdots \\ -(1-m)T^{L_s/2-1} \frac{1}{T^{-L_s/2}+T^{L_s/2}} & 0 & \dots & \dots & \dots & 0 & 1 \end{bmatrix}$$

$$\mathcal{P} = \begin{bmatrix} P_- & P_+ & \dots & 0 \\ 0 & P_- & P_+ & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & \dots & P_+ & \\ P_+ & 0 & \dots & P_- & \end{bmatrix}$$

$$L = \begin{bmatrix} 1 & 0 & 0 & \dots & 0 \\ -T^{-L_s+1}M_+ & 1 & 0 & 0 & \dots \\ -T^{-L_s+2}M_+ & 0 & 1 & \ddots & \vdots \\ \vdots & \vdots & \ddots & \ddots & 0 \\ -T^{-1}M_+ & 0 & \dots & 0 & 1 \end{bmatrix}$$

$$M_- = P_- - mP_+$$

$$M_+ = P_+ - mP_-$$

$$T^{-1} = \frac{1+H_T}{1-H_T}$$

$$H_T = \gamma_5 D$$

$$D_{ov}(m) = \frac{1+m}{2} + \frac{1-m}{2} \gamma_5 \mathcal{E}_{L_s}[\gamma_5 D(M_5)]$$

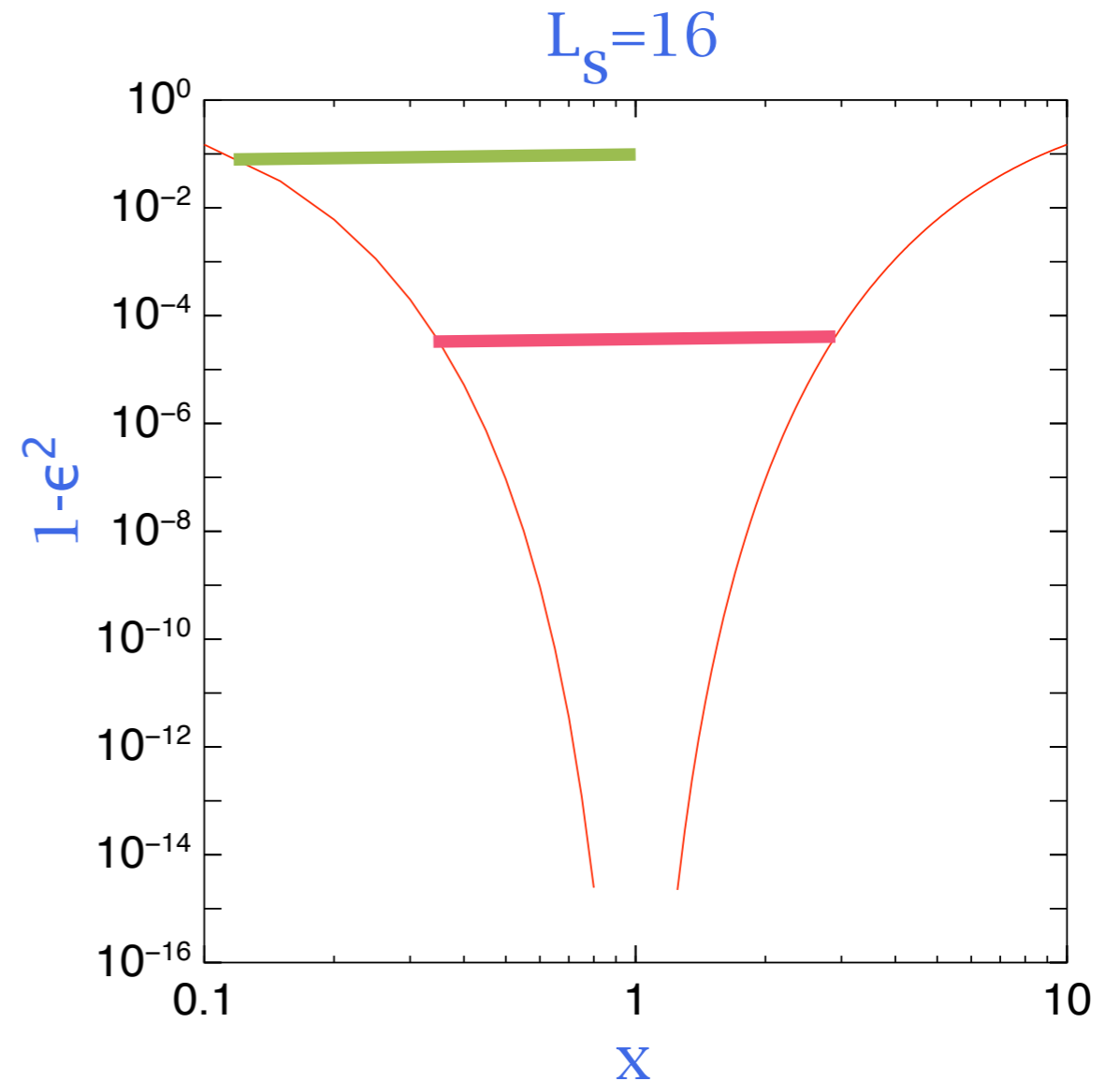
$$\mathcal{E}_{L_s} = \frac{T^{-L_s} - 1}{T^{-L_s} + 1} = \frac{(1+H_T)^{L_s} - (1-H_T)^{L_s}}{(1+H_T)^{L_s} + (1-H_T)^{L_s}}$$

$$D = (b_5 + c_5) \frac{D_w}{2 + (b_5 - c_5)D_w} = \alpha \frac{D_w}{2 + a_5 D_w}$$

- Overlap:  $\alpha=2, a_5=0$  (Borici)
- DWF:  $\alpha=1, a_5=1$  (Shamir)

# What do we gain?

- Keep  $a_5$  fixed
- Tune the scale  $\alpha$
- Shift the eigenvalues to better fit the approximation window



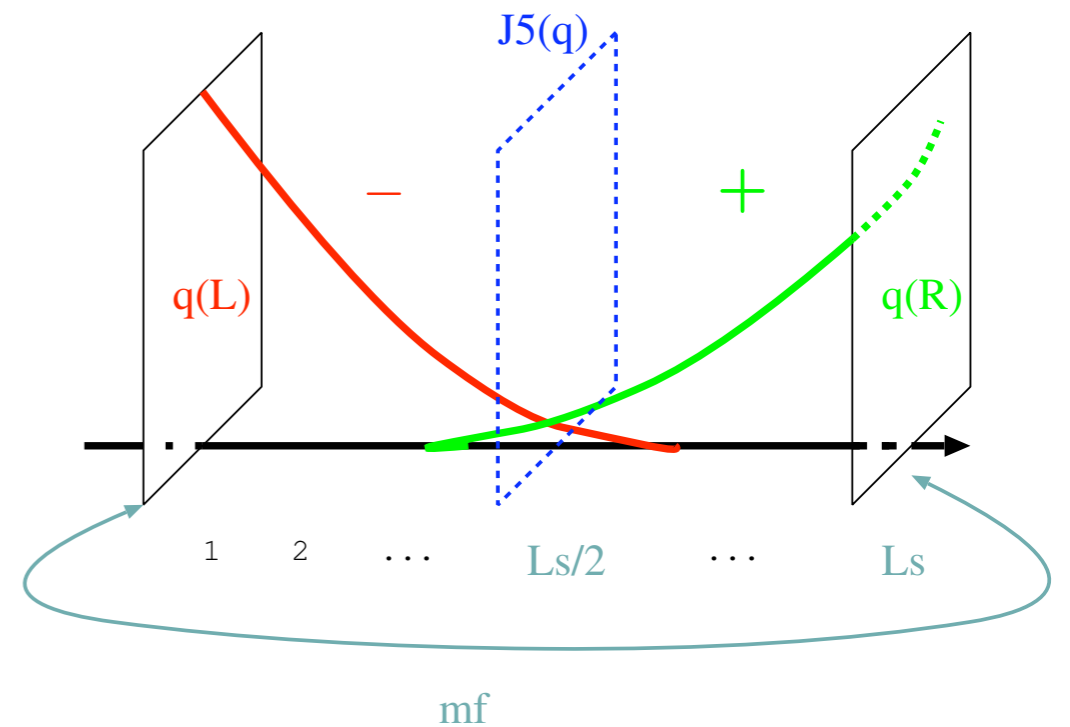
# Ward Identity

$$q(x) = P_- \psi(x, 0) + P_+ \psi(x, L_s - 1)$$

$$\bar{q}(x) = \bar{\psi}(x, L_s - 1) D_- P_- + \bar{\psi}(x, 0) D_- P_+$$

$$q_{mp}(x) = P_- \psi(x, \frac{L_s}{2} + 1) + P_+ \psi(x, \frac{L_s}{2})$$

$$\bar{q}_{mp}(x) = \bar{\psi}(x, \frac{L_s}{2}) D_- P_- + \bar{\psi}(x, \frac{L_s}{2} + 1) D_- P_+$$



$$\Delta_\mu \langle \mathcal{A}_\mu^a(x) \mathcal{O} \rangle = 2 m_f \langle J_5^a(x) \mathcal{O} \rangle + 2 \langle J_{5q}^a(x) \mathcal{O} \rangle + i \langle \delta_x^a \mathcal{O} \rangle$$

$$J_5^a(x) = \bar{q}(x) \tau^a \gamma_5 q(x)$$

$$J_{5q}^a(x) = \bar{q}_{mp}(x) \tau^a \gamma_5 q_{mp}(x)$$

- The axial current is now more complicated



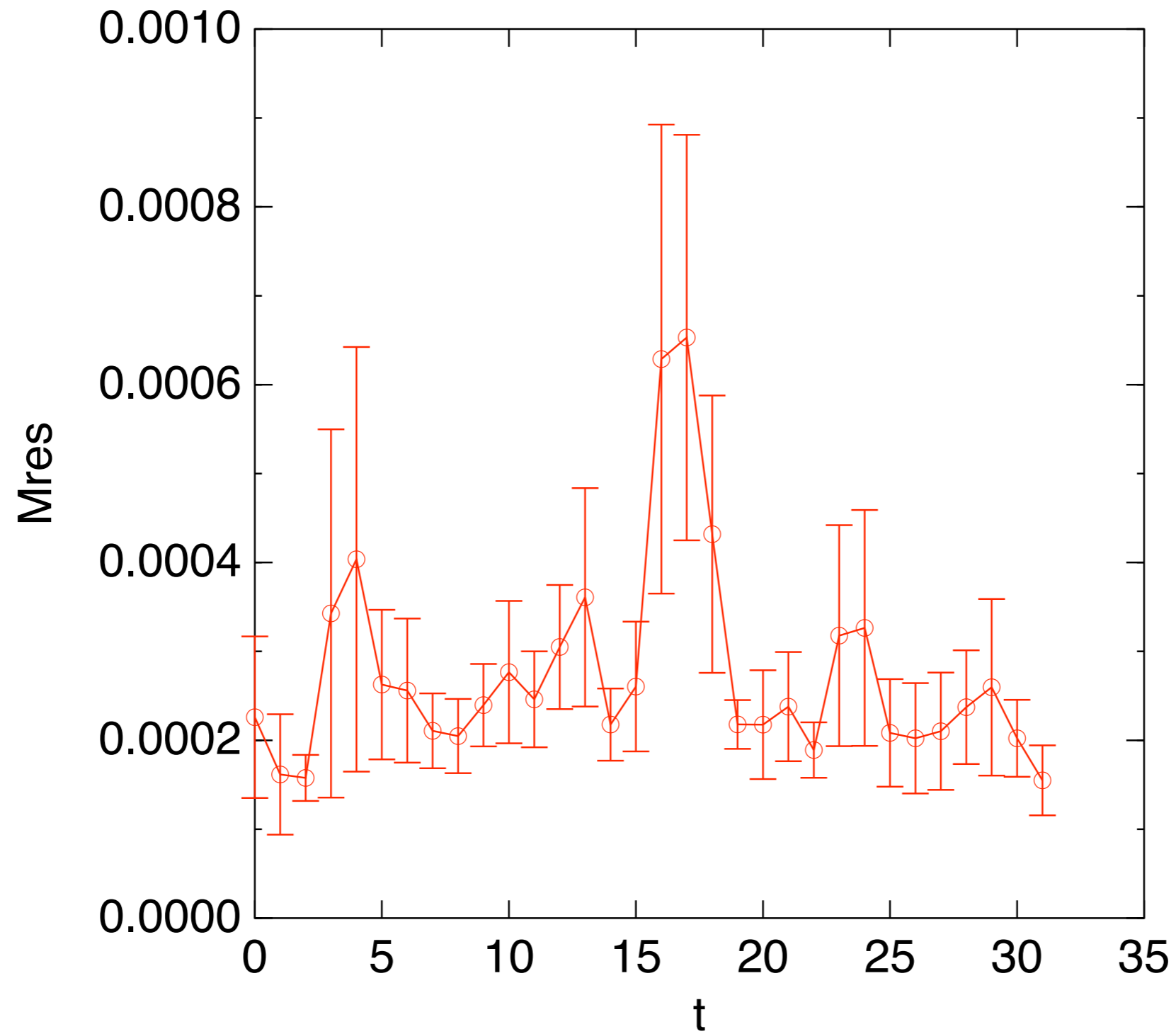
# Chiral symmetry breaking

$$\Delta_\mu \langle \mathcal{A}_\mu^a(x) \mathcal{O} \rangle = 2 m_f \langle J_5^a(x) \mathcal{O} \rangle + 2 \langle J_{5q}^a(x) \mathcal{O} \rangle + i \langle \delta_x^a \mathcal{O} \rangle$$

- The size of  $\langle J_{5q}^a(x) \mathcal{O} \rangle$  measures chiral symmetry breaking
- Let's use for the operator  $\mathcal{O} = J_5^a(0)$
- Assume at long distances  $J_{5q}^a \sim J_5^a$
- The proportionality constant is the residual mass

$$M_{\text{res}} = \frac{\sum_{x,y} \langle J_{5q}^a(y,t) J_5^a(x,0) \rangle}{\sum_{x,y} \langle J_5^a(y,t) J_5^a(x,0) \rangle} \Big|_{t \geq t_{\text{min}}}$$

# Residual Mass vs time



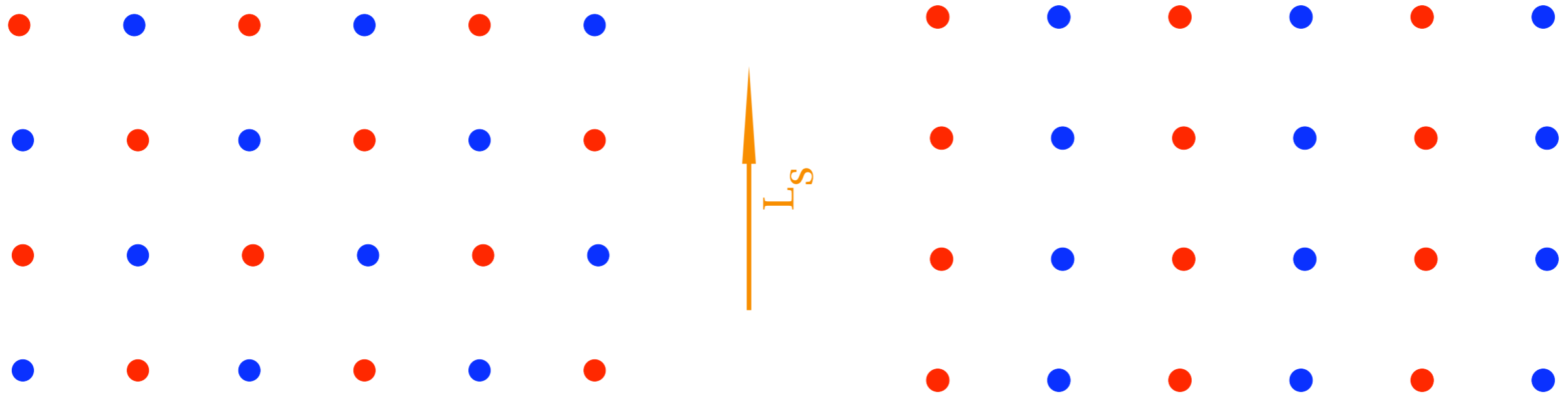
# Residual mass and the GW

$$2\gamma_5\Delta_L \equiv \gamma_5\frac{1}{2}\left[1 - \varepsilon_{L_s}^2\right] = \gamma_5 D_{ov}^0 + D_{ov}^0\gamma_5 - 2D_{ov}^0\gamma_5 D_{ov}^0$$

- The violation of the GW relation is related to the residual mass

$$M_{\text{res}} = \frac{\sum_{x,y} \langle J_{5q}^a(y) J_5^a(x) \rangle}{\sum_{x,y} \langle J_5^a(y) J_5^a(x) \rangle} = \frac{\text{Tr} \Delta_L \frac{1}{D_{ov}^\dagger D_{ov}}}{\text{Tr} G_\pi}$$

# Even-odd preconditioning



Even - Odd preconditioning

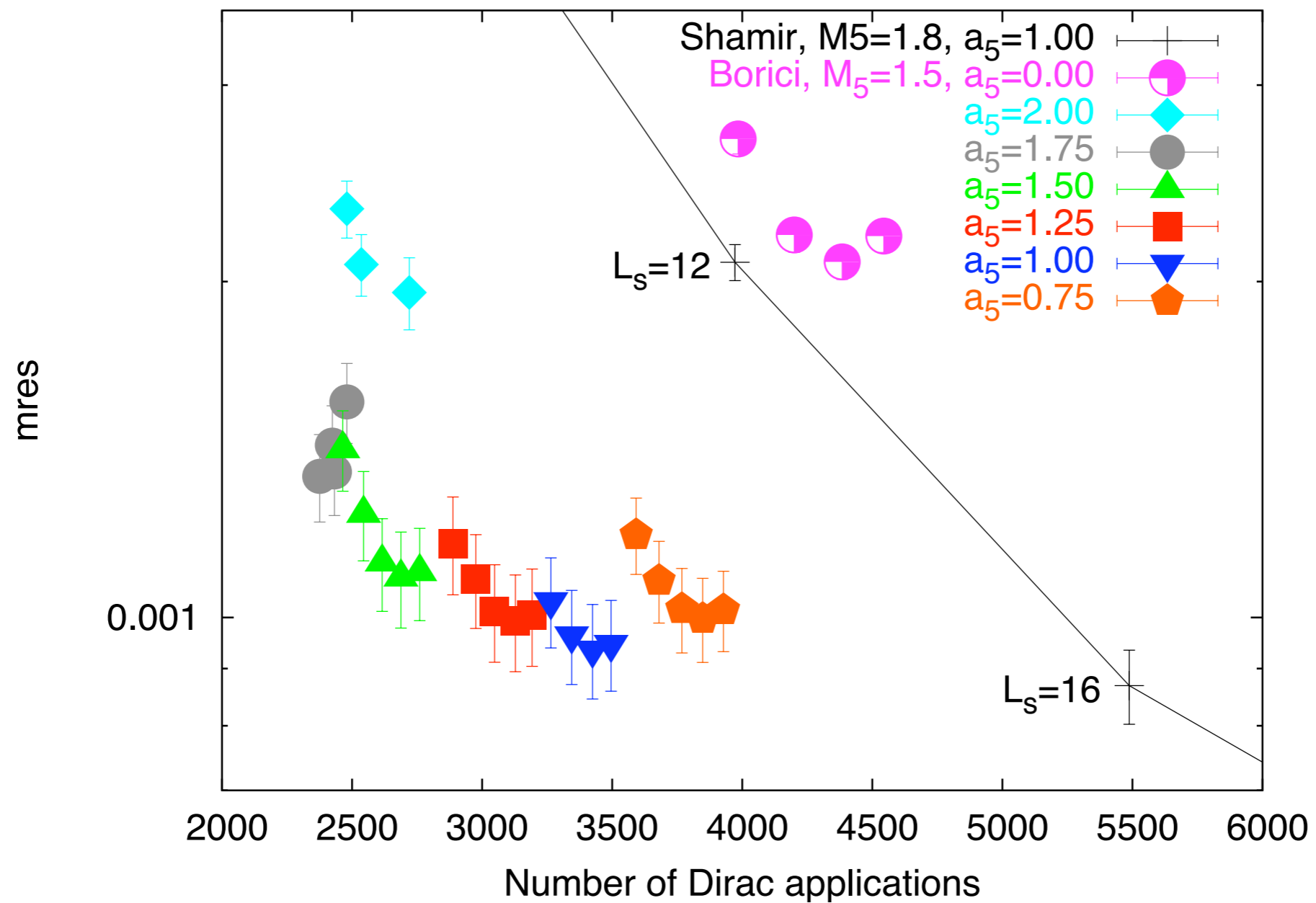
4D Even - Odd preconditioning

$$\mathbf{Q}_{DWF} = \begin{pmatrix} \mathbf{Q}_{ee} & \mathbf{Q}_{eo} \\ \mathbf{Q}_{oe} & \mathbf{Q}_{oo} \end{pmatrix} = \begin{pmatrix} \mathbf{Q}_{ee} & 0 \\ 0 & \mathbf{Q}_{oo} \end{pmatrix} \times \begin{pmatrix} 1 & 0 \\ \mathbf{Q}_{oo}^{-1} \mathbf{Q}_{oe} & 1 \end{pmatrix} \times \begin{pmatrix} 1 & 0 \\ 0 & 1 - \mathbf{Q}_{oo}^{-1} \mathbf{Q}_{oe} \mathbf{Q}_{ee}^{-1} \mathbf{Q}_{eo} \end{pmatrix} \times \begin{pmatrix} 1 & \mathbf{Q}_{ee}^{-1} \mathbf{Q}_{eo} \\ 0 & 1 \end{pmatrix}$$

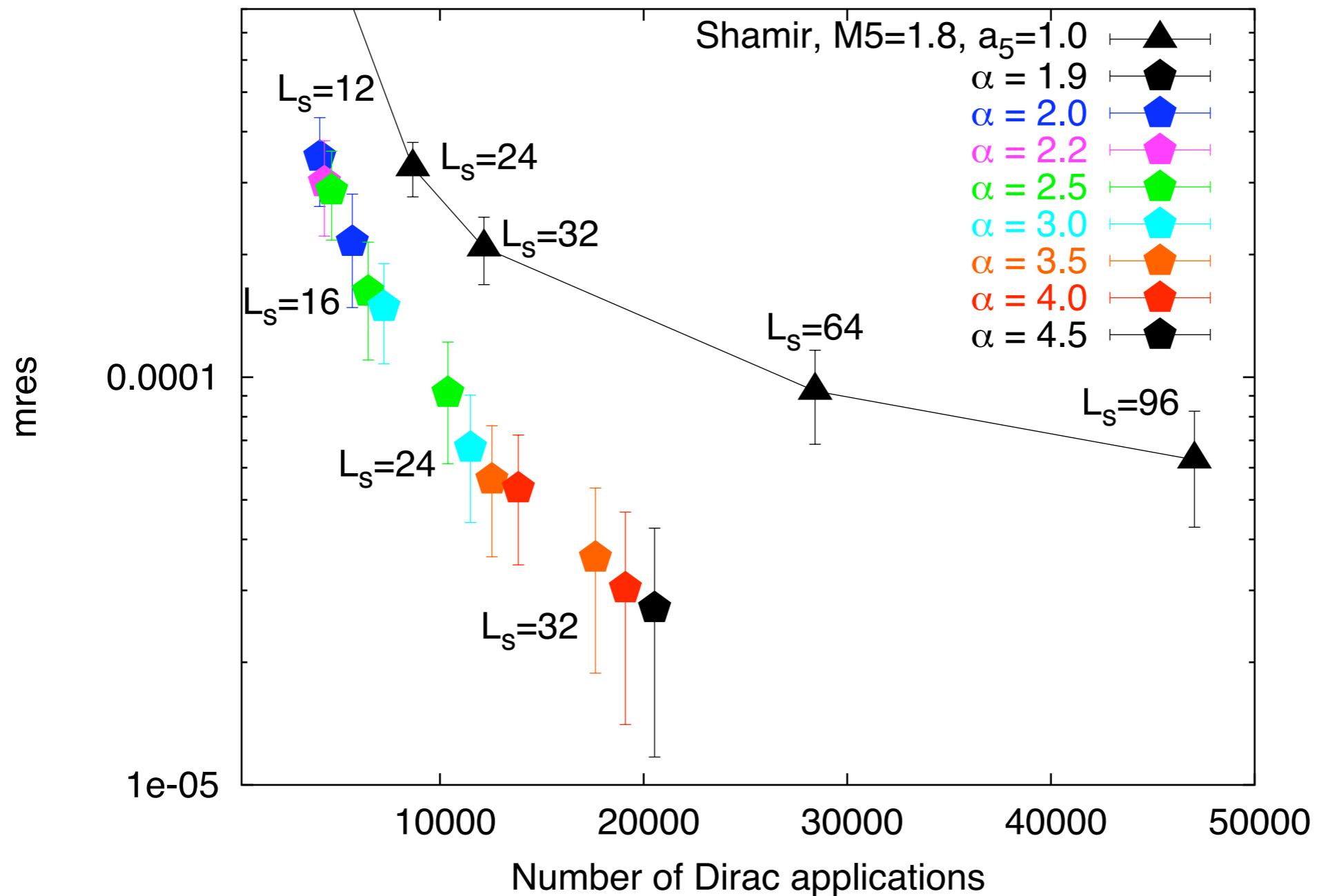
- The mobius extra terms do not allow 5d even-odd preconditioning

For the 4d preconditioning the even-even and odd-odd are non-trivial they do not depend on the gauge fields and can be inverted with few extra flops

# Residual Mass: Quenched



- Quenched 2GeV cutoff
- Almost 40% speedup



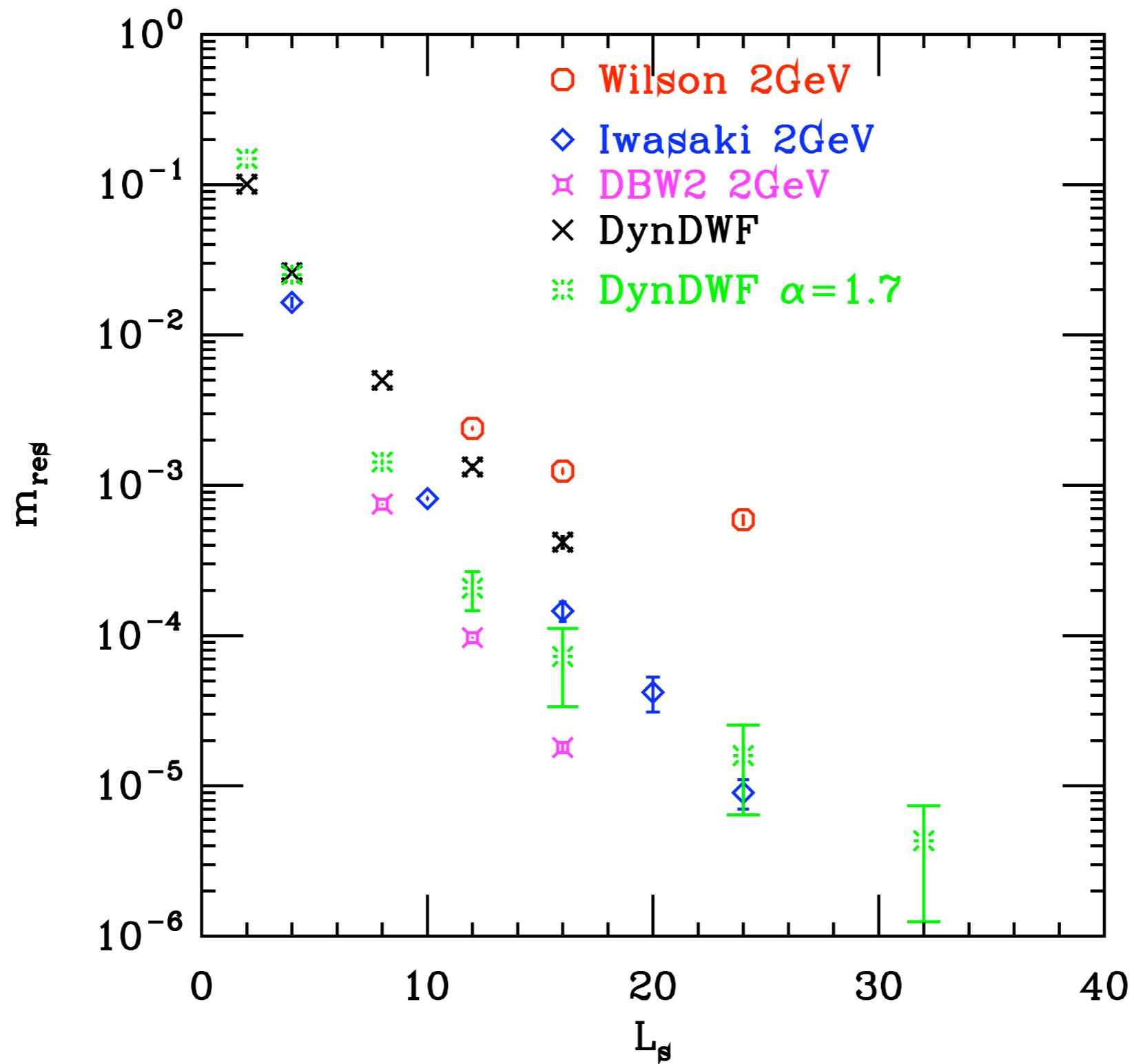
- Change the scale as we change  $L_s$
- Speed up approaches a factor of **infinity!**

# What's best for Dynamical

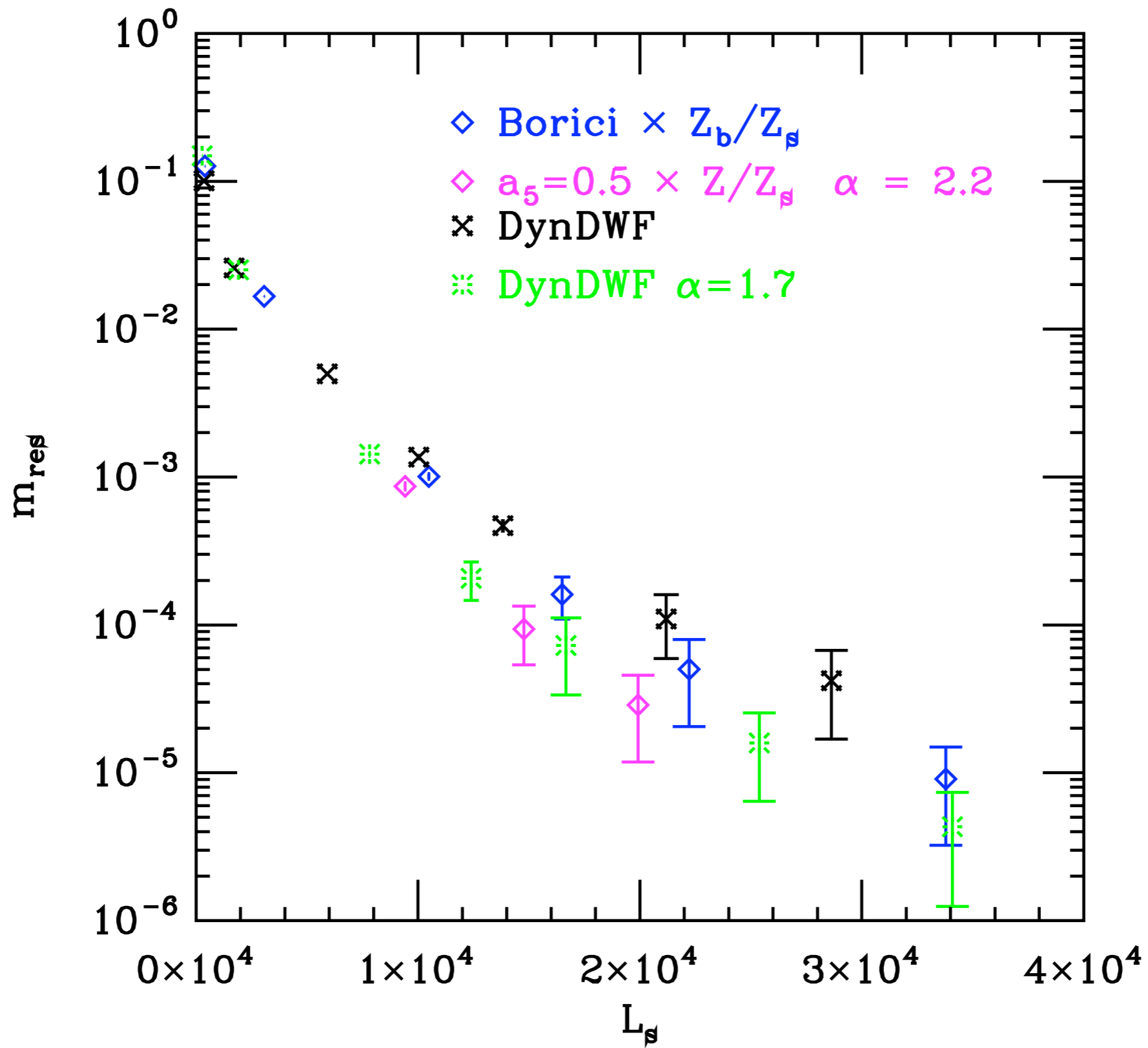
with R. Brower, R. Edwards, B. Joo, T. Kennedy, H. Neff and U. Wenger

- Define a metric for efficiency
- Ask: What is the cost for given residual mass
- Tested Mobius and Continued Fraction 5D algorithms
- Use RBC dynamical light mass configurations  
(25cnfs  $M_{\pi} \sim 500\text{MeV}$ )
- Open question: How small chiral symmetry can we tolerate?
- We do not have to have exact chiral symmetry to do QCD

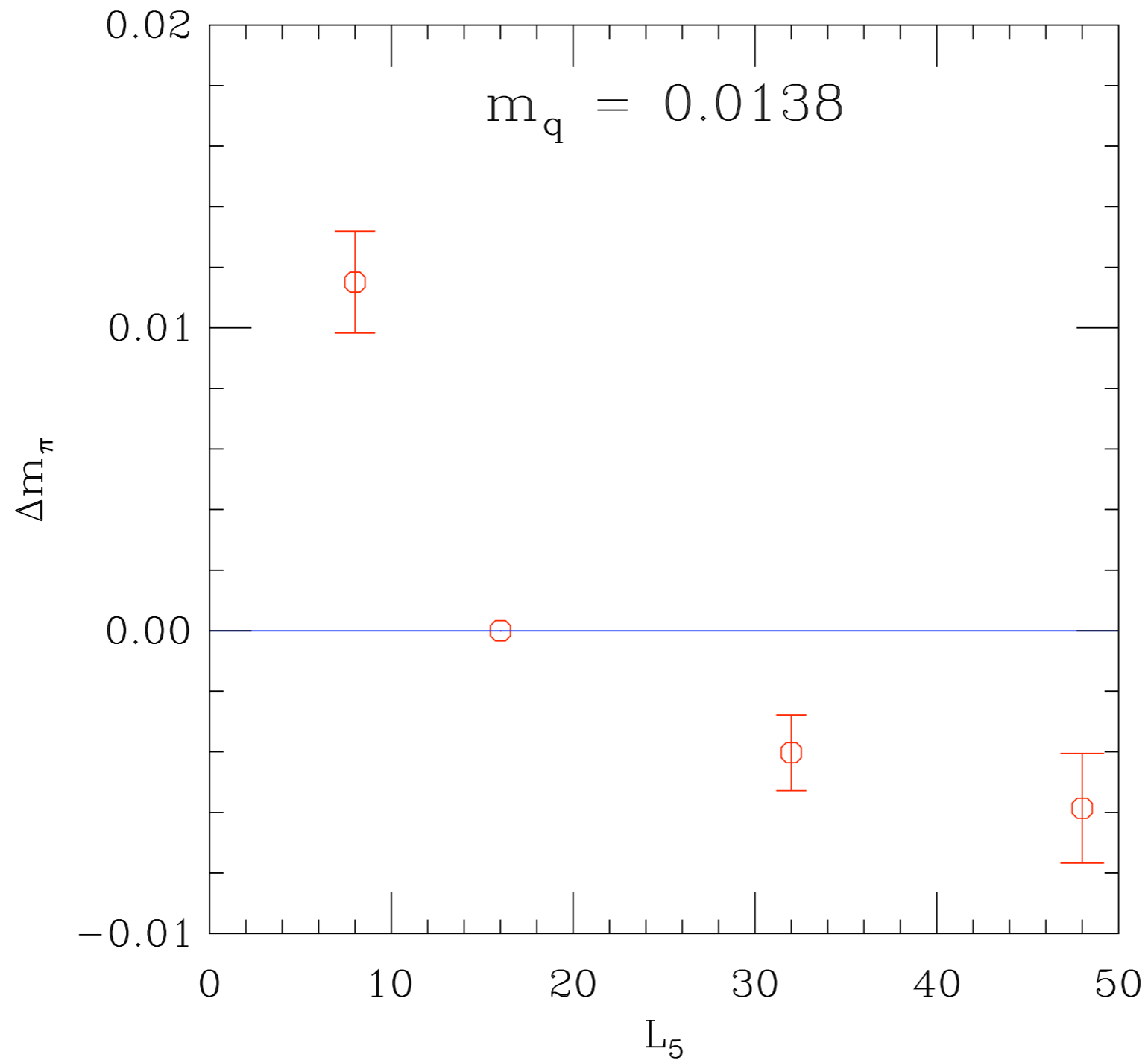
# Residual Mass: dynamical



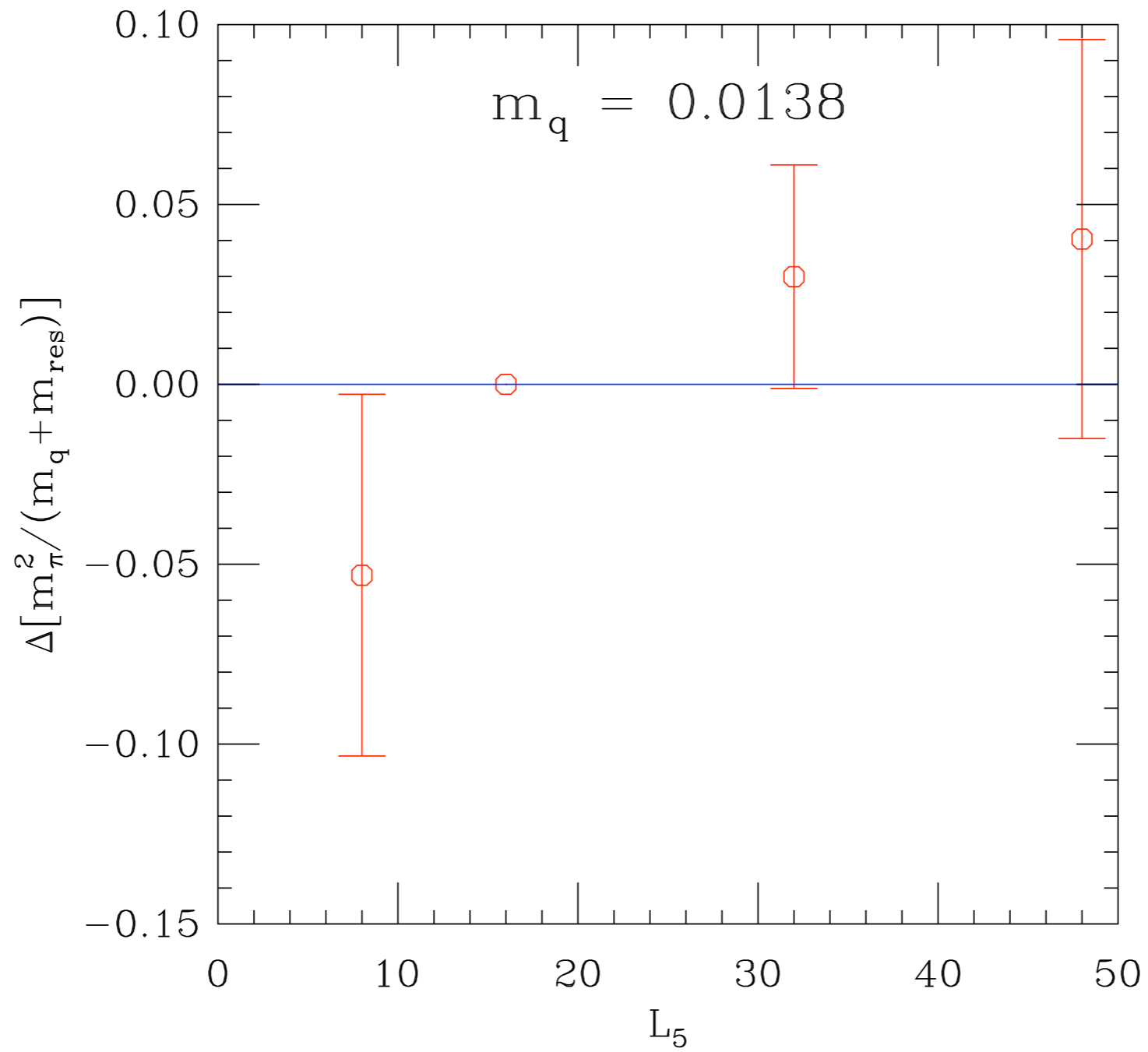




# Dependence on $L_5$



LHPC data on MILC lattices



- Checks if  $m_\pi^2 = C(m_q + m_{\text{res}})$

# Mobius and Zolotarev

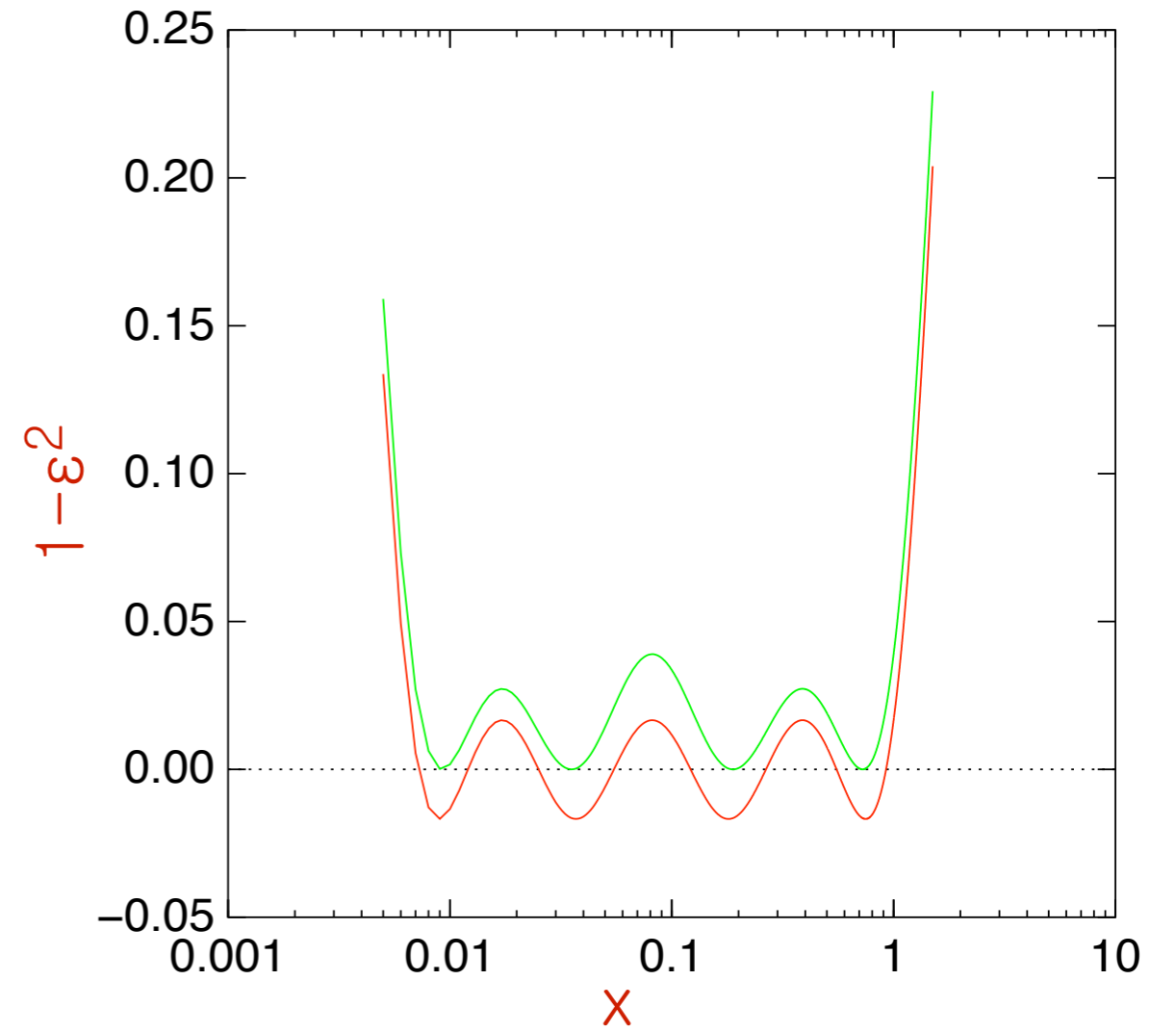
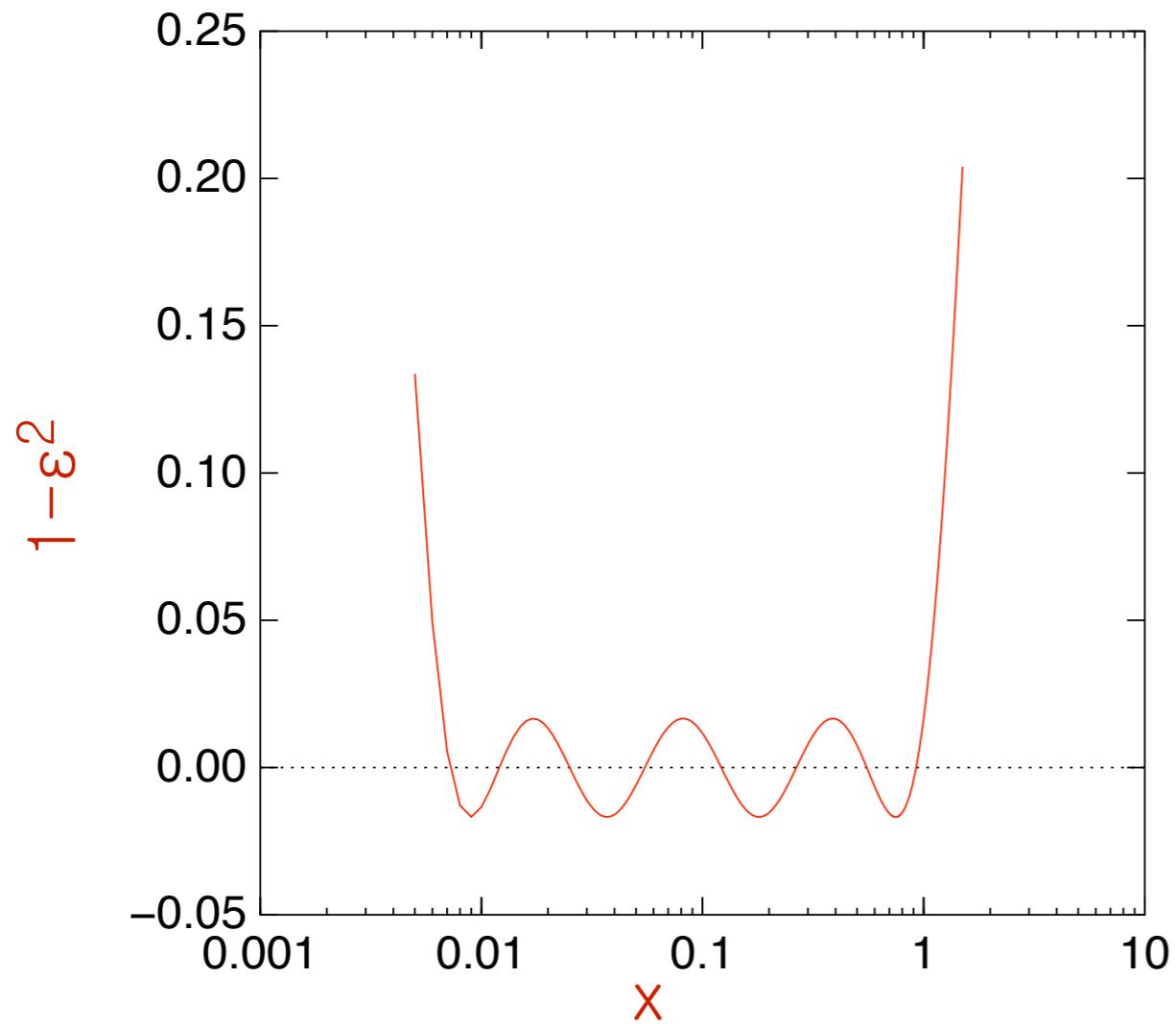
- An other approximation to the sign function

$$\varepsilon_{L_s}(x) = \frac{\prod_s^{L_s}(1 + \alpha_s x) - \prod_s^{L_s}(1 - \alpha_s x)}{\prod_s^{L_s}(1 + \alpha_s x) + \prod_s^{L_s}(1 - \alpha_s x)}$$

- The error in the approximation

$$\frac{1}{4}[1 - \varepsilon_{L_s}^2(x)] = \frac{\prod_s^{L_s}(1 + \alpha_s x) \prod_s^{L_s}(1 - \alpha_s x)}{[\prod_s^{L_s}(1 + \alpha_s x) + \prod_s^{L_s}(1 - \alpha_s x)]^2}$$

- The error is zero for  $x = \mp 1/\alpha_s$
- Zolotarev: Find  $\alpha_s$  so that the approximation is optimal in a given interval



- Single zeros produce negative error.
- The residual mass is not positive!
- Possibility of exceptional configurations for  $m > 0$
- The problem can be fixed: Use double zeros

# No free lunch theorem

- The Zolotarev Mobius operator is badly conditioned
- The cost of the calculation explodes if any of the  $\alpha_s > 5$
- Zolotarev is impractical for Mobius
- We need to find a preconditioner that solves the problem
- We do have some ideas we are exploring....

# Improved HMC for DWF

Two objectives:

- Achieve good accuracy of **chiral symmetry**
  - Increasing  $L_S$  also causes acceptance problems
  - HMC scales with  $V_{5d}^{\frac{5}{4}}$
- Avoid **critical slowing** down as we approach the chiral limit
  - This seems most important

# Current algorithms

What has been done?

- Fleming - Vranas and RBC (old)

$$\det D_{ov}^\dagger D_{ov} = \int d\phi_0 \cdots d\phi_{L_s-1} d\phi_0^{pv} \cdots d\phi_{L_s-1}^{pv} e^{-\phi^\dagger \mathcal{P}^\dagger \frac{1}{D_{dwf}^\dagger} \frac{1}{D_{dwf}} \mathcal{P} \phi - \phi^{pv\dagger} \mathcal{P}^\dagger D_{pv}^\dagger D_{pv} \mathcal{P} \phi^{pv}}$$

- Dawson and RBC (new)

$$\det D_{ov}^\dagger D_{ov} = \int d\phi_0 \cdots d\phi_{L_s-1} e^{-\phi^\dagger \mathcal{P}^\dagger D_{pv}^\dagger \frac{1}{D_{dwf}^\dagger} \frac{1}{D_{dwf}} D_{pv} \mathcal{P} \phi}$$



# New HMC algorithms

Try to accelerate the approach to the chiral limit

$$\det[M^\dagger M] = \det[M_p^\dagger M_p] \times \det \left[ M^\dagger \frac{1}{M_p^\dagger} \frac{1}{M_p} M \right] = \int \mathcal{D}\phi \mathcal{D}\psi e^{-\phi^\dagger \frac{1}{M_p^\dagger} \frac{1}{M_p} \phi - \psi^\dagger M_p \frac{1}{M^\dagger} \frac{1}{M} M_p \psi}$$

- Luscher SAP algorithm
- Hasenbusch algorithm
- Hopefully new DWF preconditioners can be found...

# Conclusions

- Dynamical chiral fermions with good chiral symmetry require enormous resources
- We need every possible algorithmic trick we can get

**H. Nueberger:** My main message in this paper is that in the context of dynamical fermion simulations there are many alternatives and tricks that have not been yet explored, and it might be a waste to exclusively focus on the most literal numerical implementations of the recent theoretical advances on the topic of chiral symmetry on the lattice.

- We are working on improving HMC and preconditioners for the DWF operators
- Future looks promising!