

fixed points and asymptotic safety of gauge theories

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**Lattice Gauge Theory for the LHC and Beyond
KITP, UC Santa Barbara
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standard model

local QFT for fundamental interactions

strong nuclear force

weak force

electromagnetic force

degrees of freedom

spin 0 (the **Higgs** has finally arrived)

spin 1/2 (quite a few)

spin 1

perturbatively renormalisable & **predictive**

standard model

local QFT for fundamental interactions

strong nuclear force

weak force

electromagnetic force

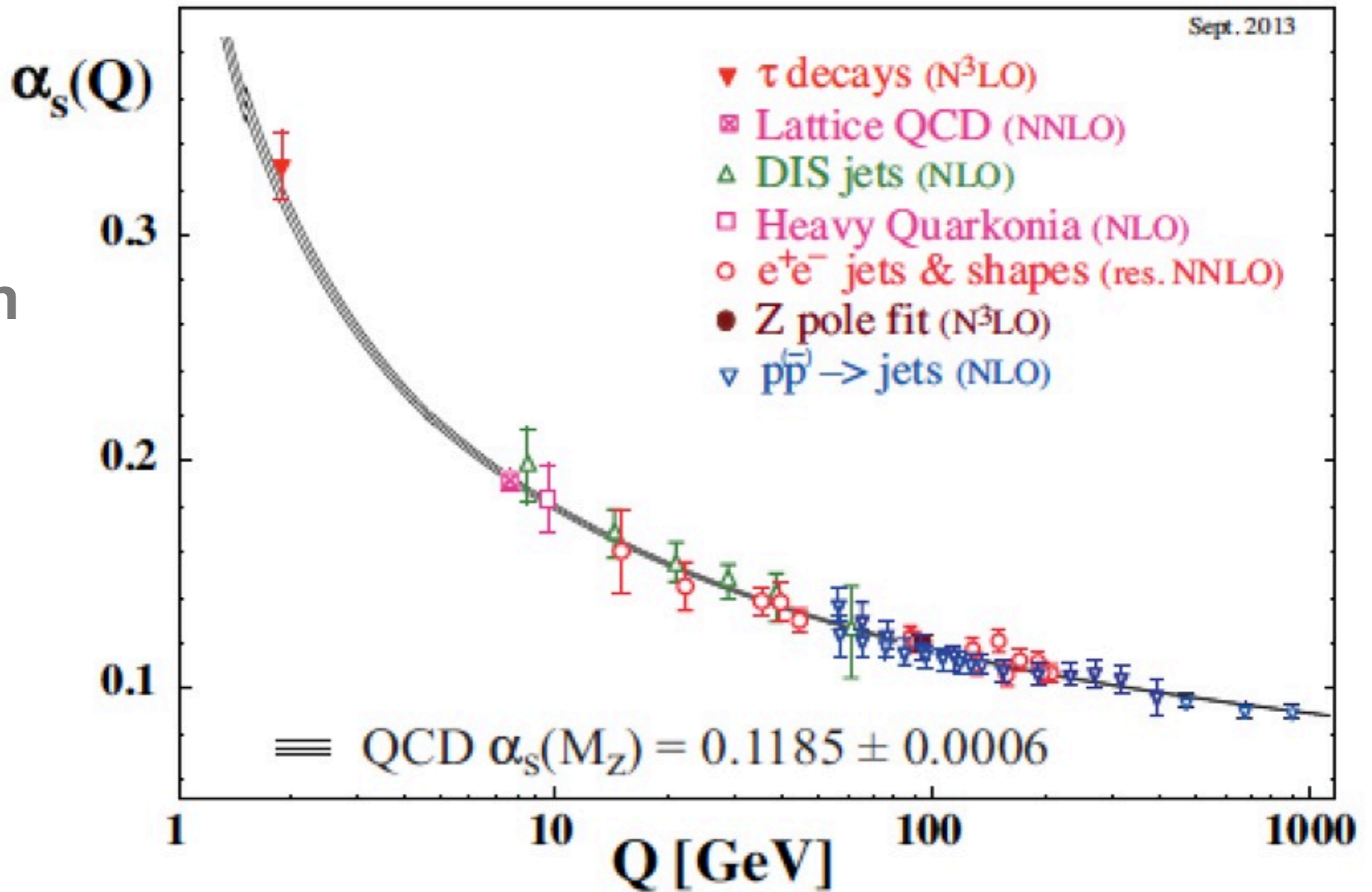
challenges

Higgs, U(1): maximal UV extension?
how does **gravity** fit in?

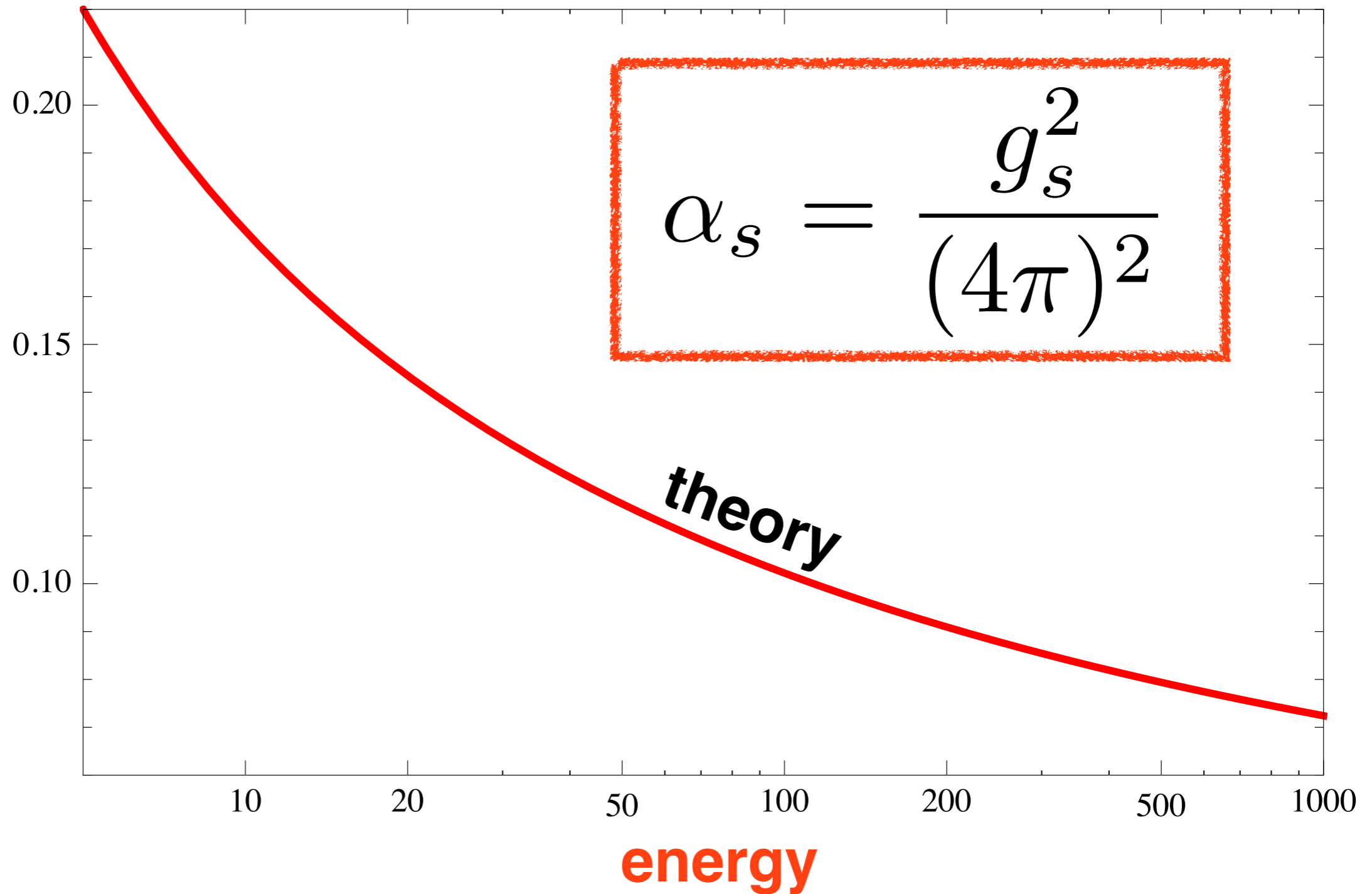
(interacting) UV fixed points

asymptotic freedom

triumph
of QFT



asymptotic freedom



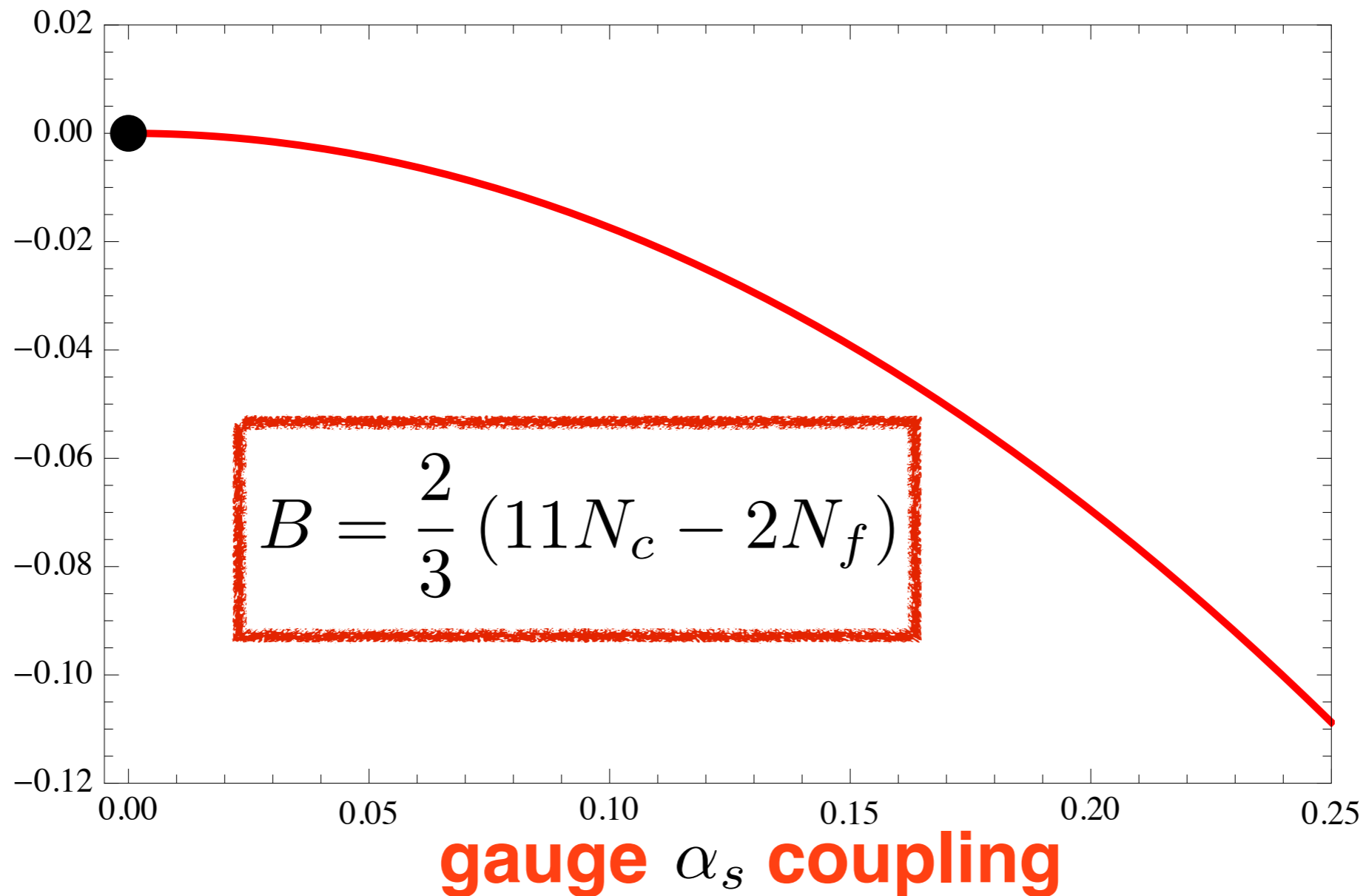
triumph
of QFT

Gross, Wilczek '74
Politzer '74

asymptotic freedom

$$\mu \frac{d\alpha_s}{d\mu} = -B\alpha_s^2 < 0$$

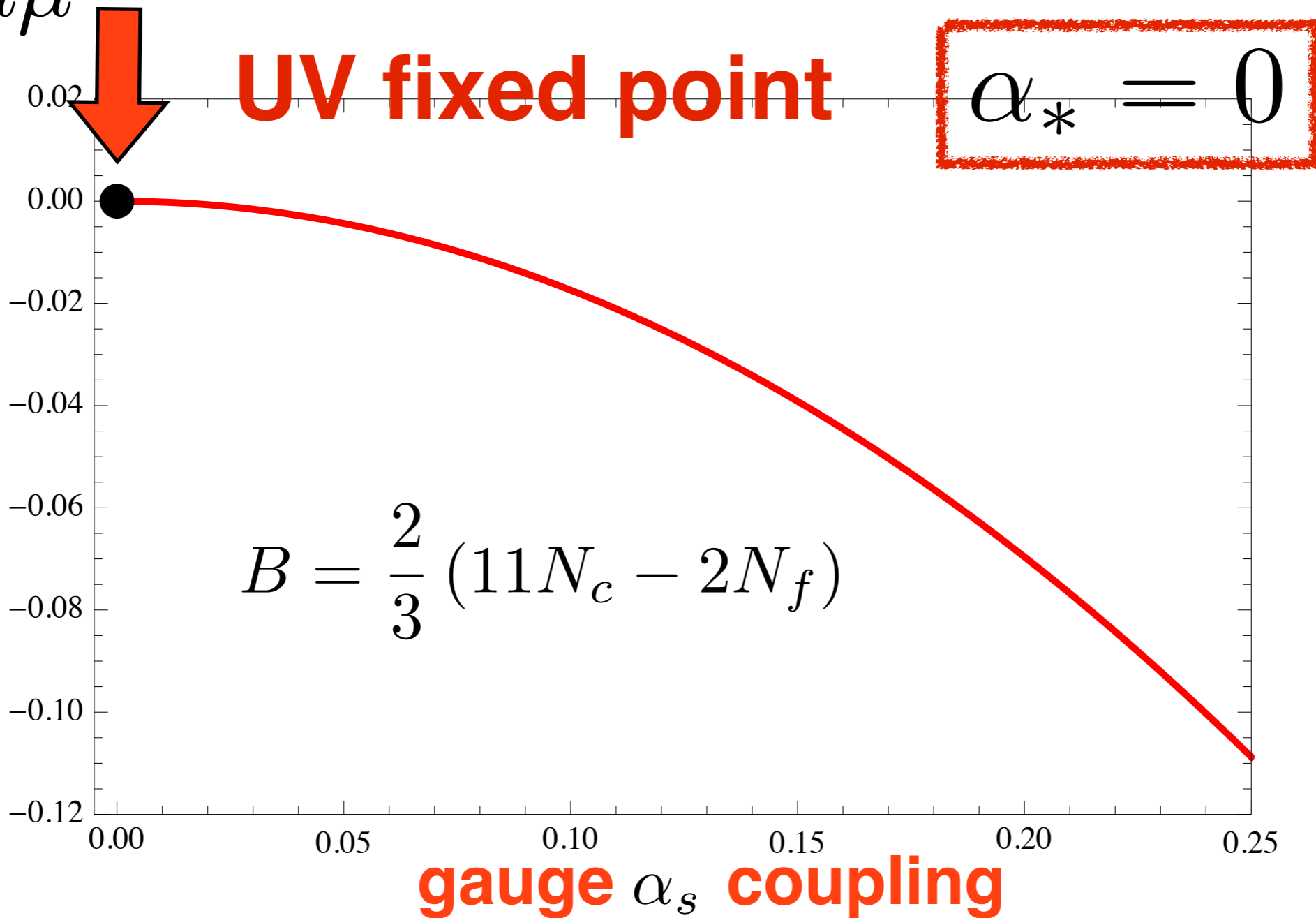
QCD beta function
(1-loop)



asymptotic freedom

$$\mu \frac{d\alpha_s}{d\mu} = -B\alpha_s^2 < 0$$

QCD beta function



asymptotic freedom

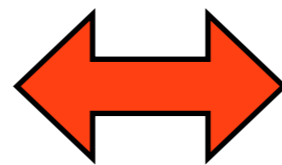
$$\mu \frac{d\alpha_s}{d\mu} = -B\alpha_s^2 < 0$$

QCD beta function

UV fixed point

$$\alpha_* = 0$$

fundamental
definition of QFT



UV fixed point

Wilson '71

asymptotic freedom

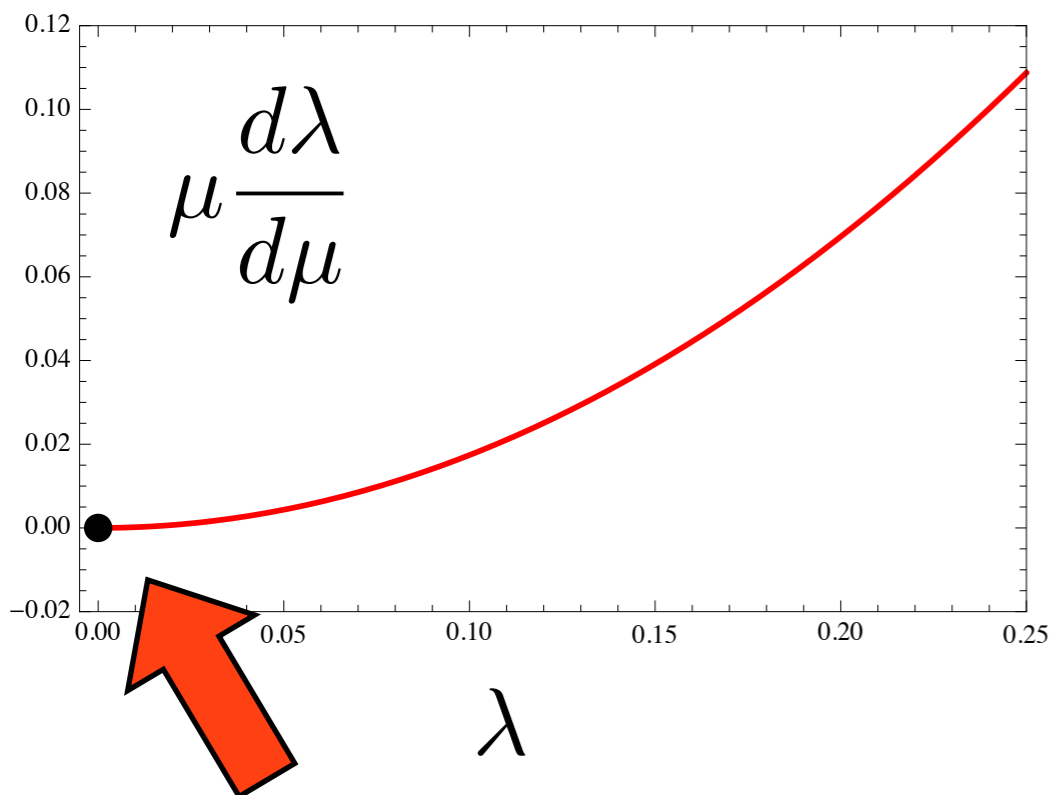
$$\mu \frac{d\alpha_{e.m.}}{d\mu} \propto \alpha_{e.m.}^2 > 0$$

$$\mu \frac{d\lambda}{d\mu} \propto \lambda^2 > 0$$

QED beta function

Higgs self-coupling

Yukawa couplings



IR fixed point

**... but no
UV fixed point**

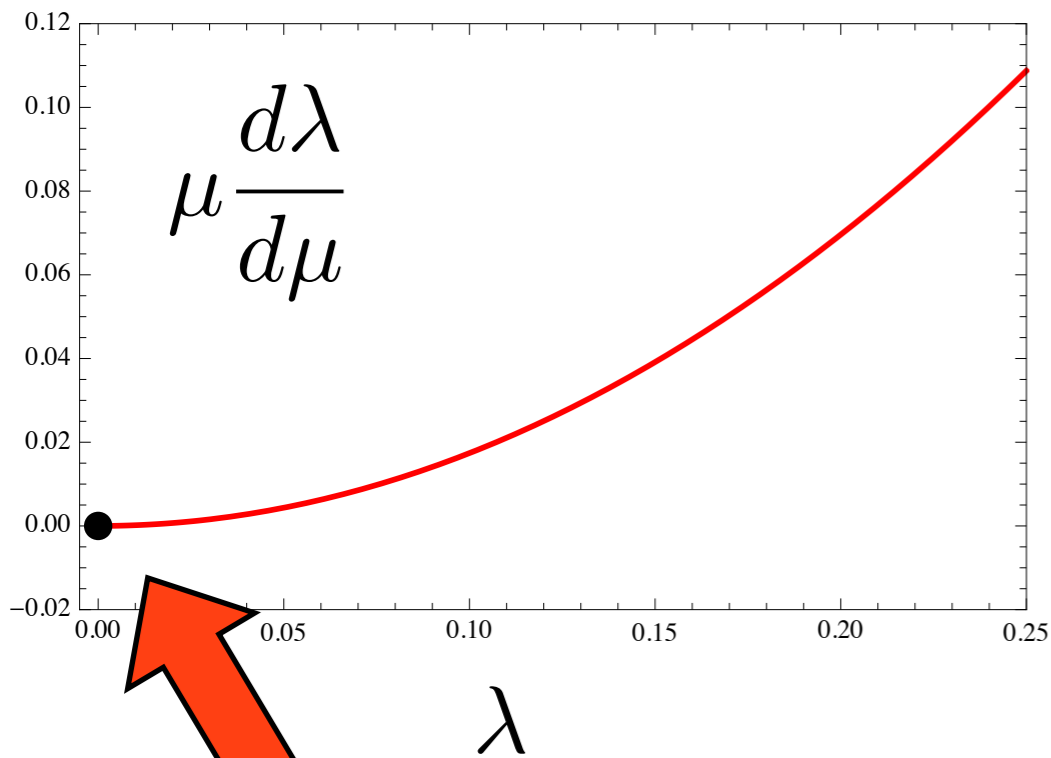
asymptotic freedom

$$\mu \frac{d\alpha_{e.m.}}{d\mu} \propto \alpha_{e.m.}^2 > 0$$

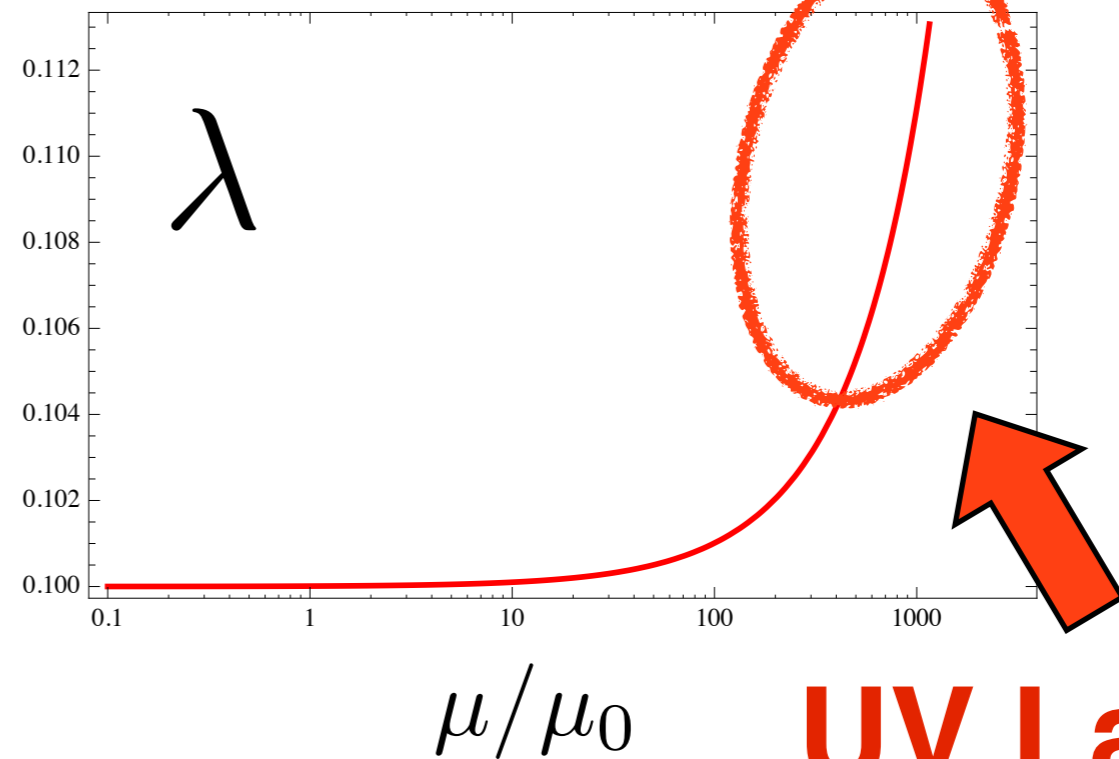
$$\mu \frac{d\lambda}{d\mu} \propto \lambda^2 > 0$$

QED beta function

Higgs self-coupling
Yukawa couplings



IR fixed point



UV Landau pole

asymptotic freedom

complete asymptotic freedom in 4D:

all couplings achieve **non-interacting** UV fixed point

fields	cAF?
scalars	no
scalars with fermions	no
non-Abelian gauge fields	yes
non-Abelian fields with fermions	yes*
non-Abelian fields, fermions, scalars	yes*

*) provided certain conditions hold true

asymptotic safety

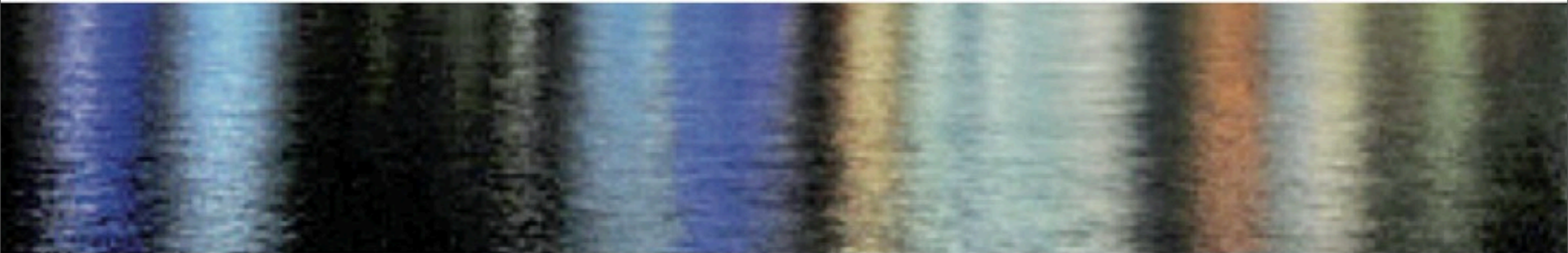
asymptotic safety in 4D:

couplings achieve **interacting** UV fixed point

fields	AS?
scalars	no
scalars with fermions	no
gauge fields	no
gauge fields with fermions	no
non-Abelian fields, fermions, scalars	yes*

***) provided certain conditions hold true**

interacting UV fixed points from perturbation theory



exact asymptotic safety

theory with coupling α :

$$t = \ln \mu / \Lambda$$

$$\partial_t \alpha = A \alpha - B \alpha^2$$

$$\alpha_* \ll 1$$

perturbative non-renormalisability: $A > 0$

exact asymptotic safety

theory with coupling α :

$$t = \ln \mu / \Lambda$$

$$\partial_t \alpha = A \alpha - B \alpha^2$$

$$\alpha_* \ll 1$$

fixed points

$$\alpha_* = 0$$

$$\alpha_* = A/B$$

exact asymptotic safety

theory with coupling α :

$$t = \ln \mu / \Lambda$$

$$\partial_t \alpha = A \alpha - B \alpha^2$$

$$\alpha_* \ll 1$$

fixed points
if $A > 0, B > 0$:

$$\alpha_* = 0$$

IR

$$\alpha_* = A/B$$

UV

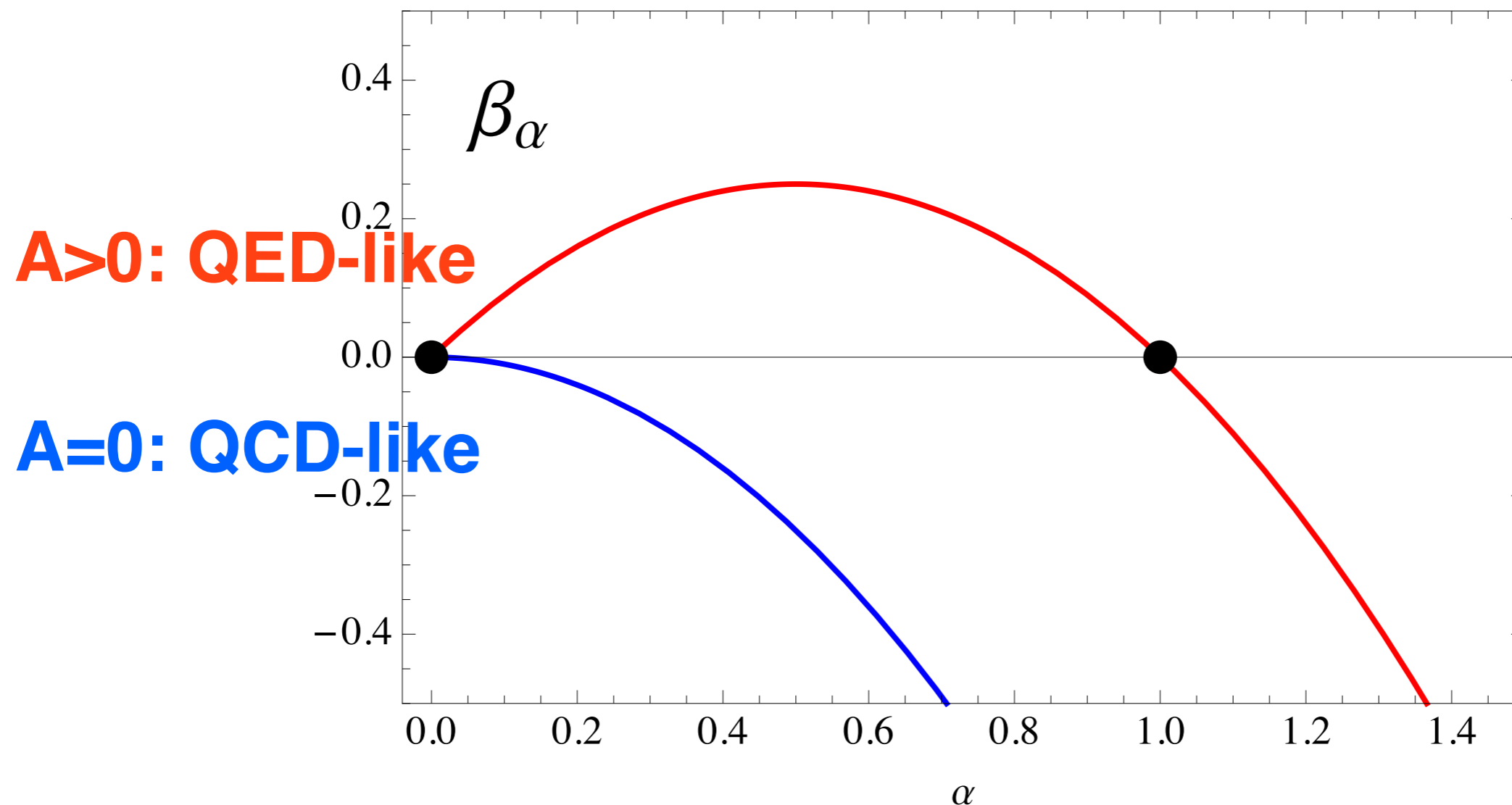
exact asymptotic safety

theory with coupling α :

$$t = \ln \mu / \Lambda$$

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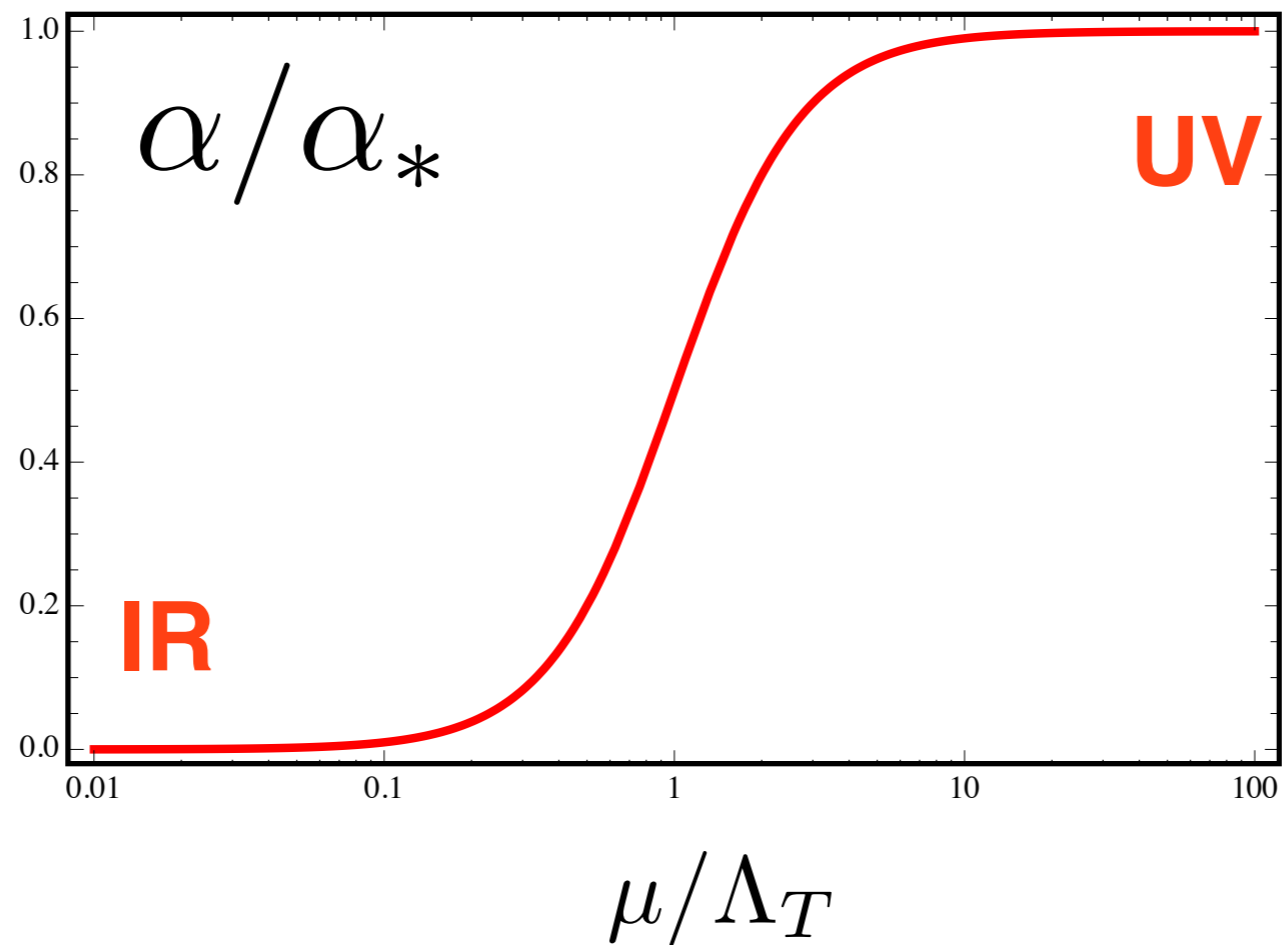
exact asymptotic safety

theory with coupling α :

$$t = \ln \mu / \Lambda$$

$$\partial_t \alpha = A \alpha - B \alpha^2$$

$$\alpha_* \ll 1$$



exact asymptotic safety

how is this predictive?

UV: interactions are **softened by fluctuations**

UV behaviour characterised by

relevant, **marginal**, **irrelevant** invariants

predictivity  **finitely many** relevant invariants

exact asymptotic safety

when is this reliable?

need $\alpha_* = A/B \ll 1$

epsilon expansion: $\epsilon = D - D_c$
large-N expansion: many fields

perturbation theory applicable

exact asymptotic safety

theory with coupling α :

$$t = \ln \mu / \Lambda$$

$$\partial_t \alpha = A \alpha - B \alpha^2$$

$$\alpha_* \ll 1$$

gravitons

$$D = 2 + \epsilon : \quad \alpha = G_N(\mu) \mu^{D-2}$$

Gastmans et al '78
Weinberg '79
Kawai et al '90

fermions

$$D = 2 + \epsilon : \quad \alpha = g_{\text{GN}}(\mu) \mu^{2-D}$$

Gawedzki, Kupiainen '85
de Calan et al '91

gluons

$$D = 4 + \epsilon : \quad \alpha = g_{\text{YM}}^2(\mu) \mu^{4-D}$$

Peskin '80

scalars

$$D = 2 + \epsilon : \quad \alpha = g_{\text{NL}}(\mu) \mu^{D-2}$$

Brezin, Zinn-Justin '76
Bardeen, Lee, Shrock '76

**non-perturbative
renormalisability**

$$A = \epsilon \ll 1, \quad B = \mathcal{O}(1) > 0$$

lesson 1

- UV fixed points exist in PT, at least formally, and **above critical dimension** D_c
 - **small parameter** is required,
e.g. $\epsilon = D - D_c$
 - extension to $\epsilon = 1, 2$
requires **more work**

exact asymptotic safety of 4D gauge-Yukawa theories

Litim, Sannino 1406.2337



gauge theory + fermions

SU(**NC**) YM with **NF** fermions: $\alpha_g = \frac{g^2 N_c}{(4\pi)^2}$ $t = \ln \mu/\Lambda$

$$\partial_t \alpha_g = -B \alpha_g^2 \quad \alpha_* \ll 1$$

gauge theory + fermions

SU(**NC**) YM with **NF** fermions: $\alpha_g = \frac{g^2 N_c}{(4\pi)^2}$ $t = \ln \mu/\Lambda$

$$\partial_t \alpha_g = -B \alpha_g^2 \quad \alpha_* \ll 1$$

$B > 0$: asymptotic freedom
UV fixed point

$$\alpha_* = 0$$

$B < 0$: no asymptotic freedom
UV fixed point?

$$\alpha_* \neq 0$$

gauge theory + fermions

SU(**NC**) YM with **NF** fermions:

$$\alpha_g = \frac{g^2 N_c}{(4\pi)^2}$$

$$t = \ln \mu / \Lambda$$

$$\partial_t \alpha_g = -B \alpha_g^2 + C \alpha_g^3$$

$$\alpha_* \ll 1$$



2-loop

gauge theory + fermions

SU(**NC**) YM with **NF** fermions:

$$\alpha_g = \frac{g^2 N_c}{(4\pi)^2}$$

$$t = \ln \mu/\Lambda$$

$$\partial_t \alpha_g = -B \alpha_g^2 + C \alpha_g^3$$

$$\alpha_* \ll 1$$



$$\alpha_* = 0$$

$$\alpha_g^* = B/C$$

gauge theory + fermions

SU(**NC**) YM with **NF** fermions: $\alpha_g = \frac{g^2 N_c}{(4\pi)^2}$ $t = \ln \mu/\Lambda$

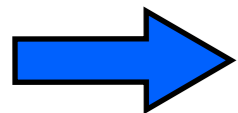
$$\partial_t \alpha_g = -B \alpha_g^2 + C \alpha_g^3 \quad \alpha_* \ll 1$$



$$\alpha_* = 0$$

$$\alpha_g^* = B/C$$

when is this reliable?



large-NF,NC (Veneziano) limit:
 ϵ continuous

$$\epsilon = \frac{N_f}{N_c} - \frac{11}{2}$$

Veneziano '79

we consider


$$0 < -B \equiv -B(\epsilon) \ll 1$$

gauge theory + fermions

SU(**NC**) YM with **NF** fermions: $\alpha_g = \frac{g^2 N_c}{(4\pi)^2}$ $t = \ln \mu/\Lambda$

$$\partial_t \alpha_g = -B \alpha_g^2 + C \alpha_g^3 \quad \alpha_* \ll 1$$

however: ~~$\alpha_g^* = B/C$~~



no perturbative UV fixed point in gauge theories
with fermionic matter ($C > 0$)

gauge theory + fermions

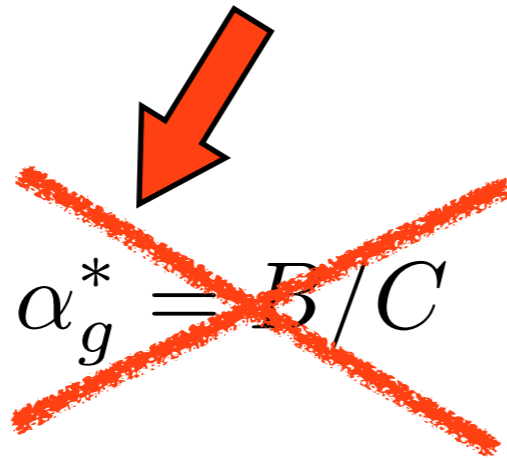
SU(**NC**) YM with **NF** fermions:

$$\alpha_g = \frac{g^2 N_c}{(4\pi)^2}$$

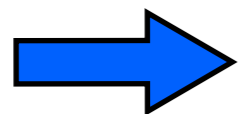
$$t = \ln \mu / \Lambda$$

$$\partial_t \alpha_g = -B \alpha_g^2 + C \alpha_g^3$$

$$\alpha_* \ll 1$$



~~$$\alpha_g^* = B/C$$~~



scalar fields & Yukawa couplings required

gauge-Yukawa theory

$$\alpha_y = \frac{y^2 N_c}{(4\pi)^2}$$

$$\alpha_g = \frac{g^2 N_c}{(4\pi)^2}$$

$$t = \ln \mu / \Lambda$$

$$\alpha_* \ll 1$$


$$\partial_t \alpha_g = -B \alpha_g^2 + C \alpha_g^3 - D \alpha_g^2 \alpha_y$$


gauge-Yukawa theory

$$\alpha_y = \frac{y^2 N_c}{(4\pi)^2} \quad \alpha_g = \frac{g^2 N_c}{(4\pi)^2}$$

$$t = \ln \mu / \Lambda$$

$$\alpha_* \ll 1$$


$$\partial_t \alpha_g = -B \alpha_g^2 + C \alpha_g^3 - D \alpha_g^2 \alpha_y$$


$$\partial_t \alpha_y = E \alpha_y^2 - F \alpha_g \alpha_y$$

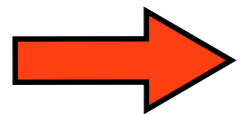
gauge-Yukawa theory

$$\alpha_y = \frac{y^2 N_c}{(4\pi)^2} \quad \alpha_g = \frac{g^2 N_c}{(4\pi)^2} \quad t = \ln \mu/\Lambda$$

$$\alpha_* \ll 1$$

$$\partial_t \alpha_g = -B \alpha_g^2 + C \alpha_g^3 - D \alpha_g^2 \alpha_y$$

$$\partial_t \alpha_y = E \alpha_y^2 - F \alpha_g \alpha_y$$



sensible interacting UV fixed point

$$D F - C E > 0$$

exact asymptotic safety: a gauge-Yukawa template

Litim, Sannino 1406.2337



gauge-Yukawa theory

Lagrangian

$$L_{\text{YM}} = -\frac{1}{2} \text{Tr} F^{\mu\nu} F_{\mu\nu}$$

$$L_F = \text{Tr} (\bar{Q} i \not{D} Q)$$

$$L_Y = y \text{Tr} (\bar{Q} H Q)$$

$$L_H = \text{Tr} (\partial_\mu H^\dagger \partial^\mu H)$$

$$L_U = -u \text{Tr} (H^\dagger H)^2$$

$$L_V = -v (\text{Tr} H^\dagger H)^2.$$

gauge

Nc colours

Yukawa

Nf flavours

Higgs

Nf times Nf

gauge-Yukawa theory

Lagrangian

$$L_{\text{YM}} = -\frac{1}{2} \text{Tr} F^{\mu\nu} F_{\mu\nu}$$

$$L_F = \text{Tr} (\bar{Q} i \not{D} Q)$$

$$L_Y = y \text{Tr} (\bar{Q} H Q)$$

$$L_H = \text{Tr} (\partial_\mu H^\dagger \partial^\mu H)$$

$$L_U = -u \text{Tr} (H^\dagger H)^2$$

$$L_V = -v (\text{Tr} H^\dagger H)^2.$$

couplings

$$\alpha_g = \frac{g^2 N_C}{(4\pi)^2}$$

$$\alpha_y = \frac{y^2 N_C}{(4\pi)^2}$$

$$\alpha_h = \frac{u N_F}{(4\pi)^2}$$

$$\alpha_v = \frac{v N_F^2}{(4\pi)^2}.$$

small parameter:

$$0 < \epsilon \ll 1 \quad \epsilon = \frac{N_f}{N_c} - \frac{11}{2}$$

no asymptotic freedom

gauge-Yukawa theory

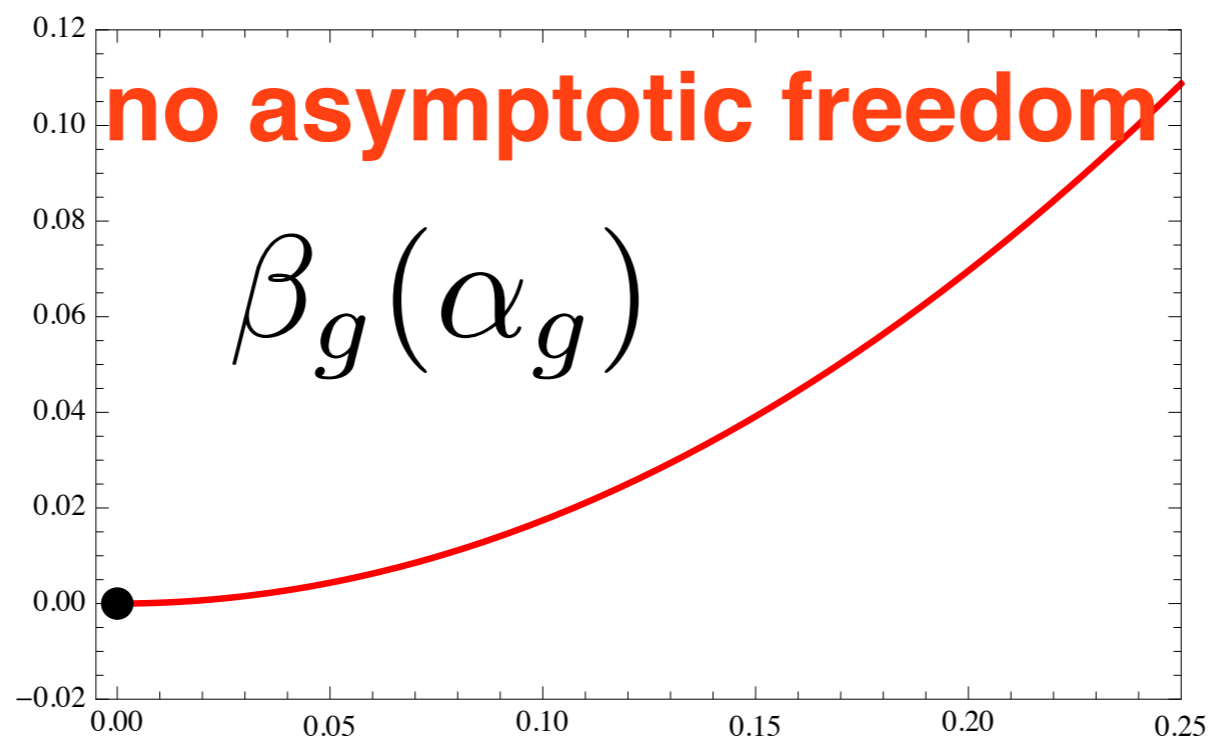
$$\beta_g = \alpha_g^2 \left\{ \frac{4}{3}\epsilon + \left(25 + \frac{26}{3}\epsilon\right) \alpha_g - 2 \left(\frac{11}{2} + \epsilon\right)^2 \alpha_y \right\} \quad \text{gauge}$$

$$\beta_y = \alpha_y \left\{ (13 + 2\epsilon) \alpha_y - 6 \alpha_g \right\}. \quad \text{Yukawa}$$

$$\beta_h = -(11 + 2\epsilon) \alpha_y^2 + 4\alpha_h(\alpha_y + 2\alpha_h)$$

$$\beta_v = 12\alpha_h^2 + 4\alpha_v(\alpha_v + 4\alpha_h + \alpha_y).$$

Higgs



gauge-Yukawa theory

$$\beta_g = \alpha_g^2 \left\{ \frac{4}{3}\epsilon + \left(25 + \frac{26}{3}\epsilon\right) \alpha_g - 2 \left(\frac{11}{2} + \epsilon\right)^2 \alpha_y \right\} \quad \text{gauge}$$

$$\beta_y = \alpha_y \left\{ (13 + 2\epsilon) \alpha_y - 6 \alpha_g \right\} \quad \text{Yukawa}$$

$$\beta_h = -(11 + 2\epsilon) \alpha_y^2 + 4\alpha_h(\alpha_y + 2\alpha_h) \quad \text{Higgs}$$

$$\beta_v = 12\alpha_h^2 + 4\alpha_v(\alpha_v + 4\alpha_h + \alpha_y) \quad \text{Higgs}$$

gauge-Yukawa theory

$$\beta_g = \alpha_g^2 \left\{ \frac{4}{3}\epsilon + \left(25 + \frac{26}{3}\epsilon\right) \alpha_g - 2 \left(\frac{11}{2} + \epsilon\right)^2 \alpha_y \right\} \quad \text{gauge}$$

$$\beta_y = \alpha_y \left\{ (13 + 2\epsilon) \alpha_y - 6 \alpha_g \right\}. \quad \text{Yukawa}$$

$$\beta_h = -(11 + 2\epsilon) \alpha_y^2 + 4\alpha_h(\alpha_y + 2\alpha_h)$$

$$\beta_v = 12\alpha_h^2 + 4\alpha_v(\alpha_v + 4\alpha_h + \alpha_y).$$

Higgs

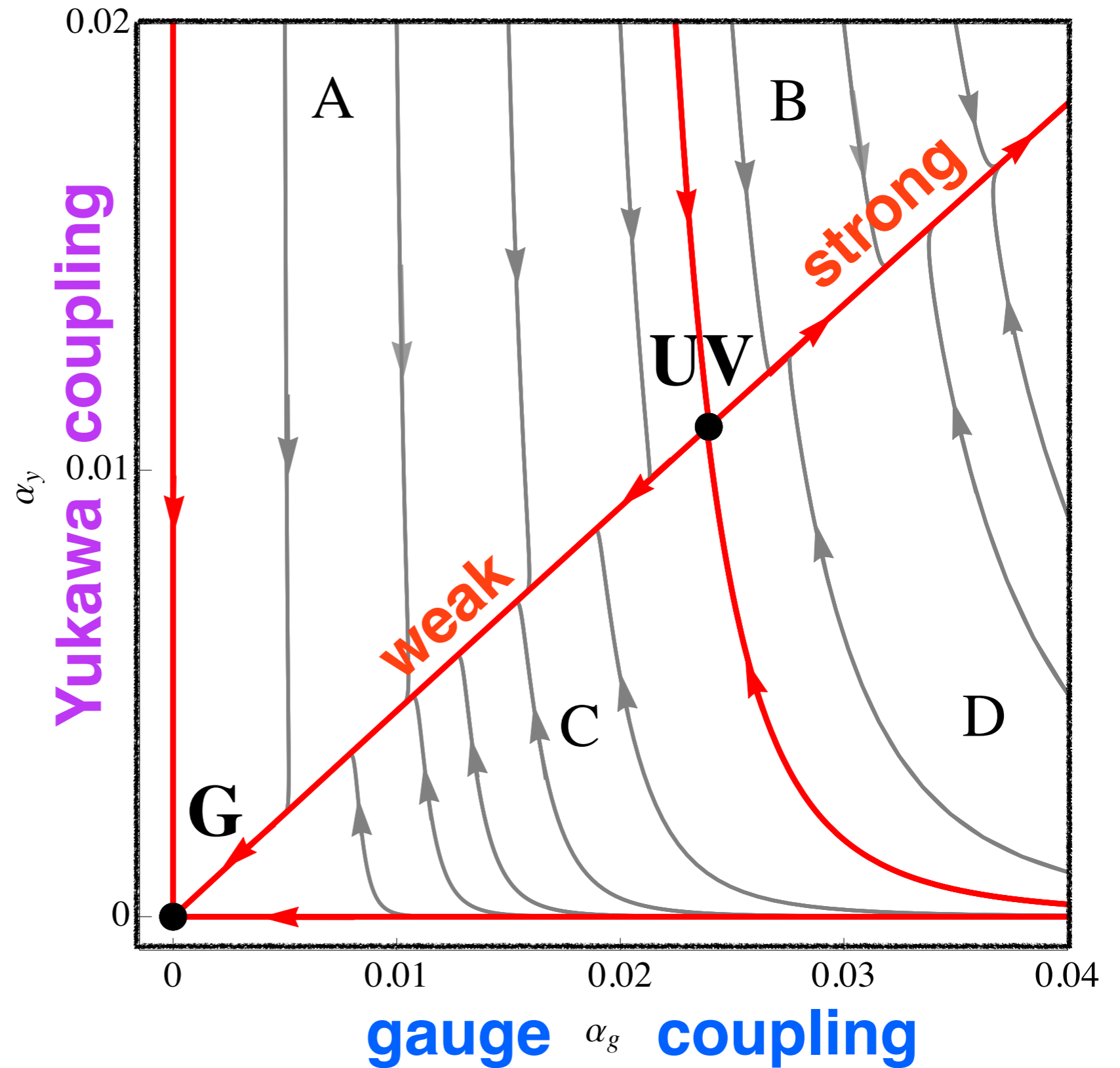


exact
UV fixed point

$$\begin{aligned} \alpha_g^* &= 0.4561 \epsilon + 0.7808 \epsilon^2 + \mathcal{O}(\epsilon^3) \\ \alpha_y^* &= 0.2105 \epsilon + 0.5082 \epsilon^2 + \mathcal{O}(\epsilon^3) \\ \alpha_h^* &= 0.1998 \epsilon + 0.5042 \epsilon^2 + \mathcal{O}(\epsilon^3) \\ \alpha_v^* &= -0.1373 \epsilon + \mathcal{O}(\epsilon^2) \end{aligned}$$

results

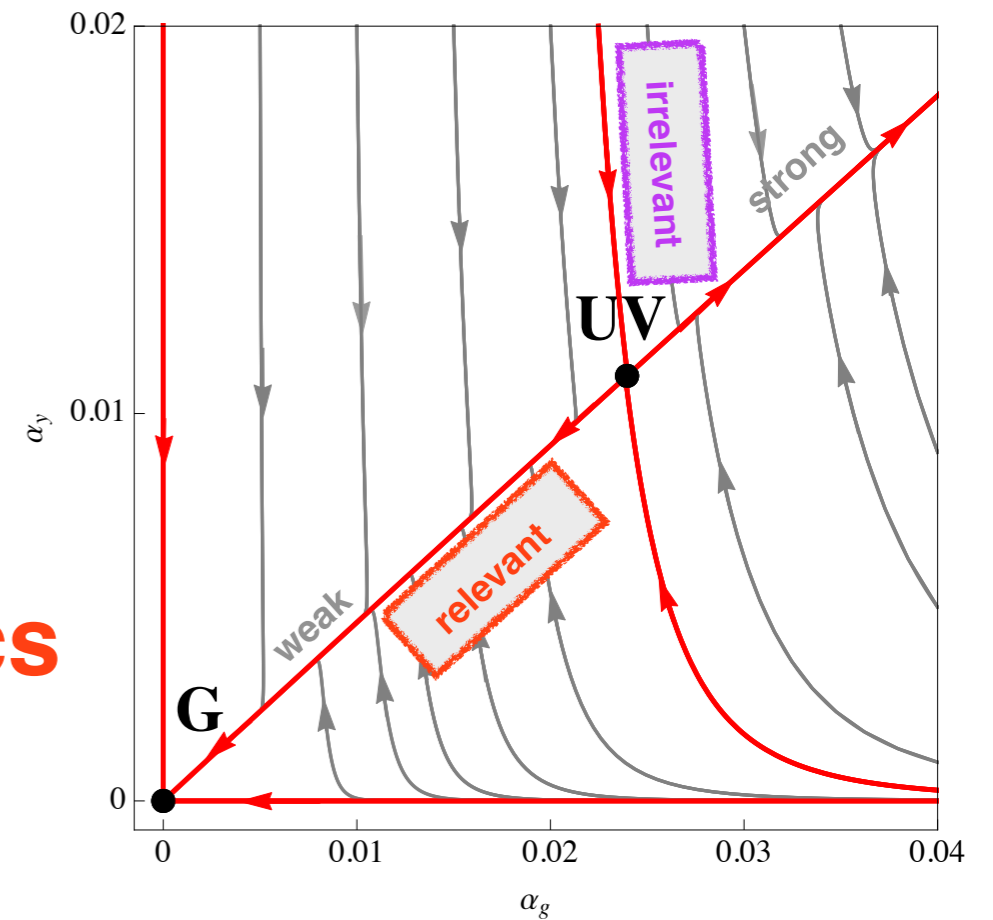
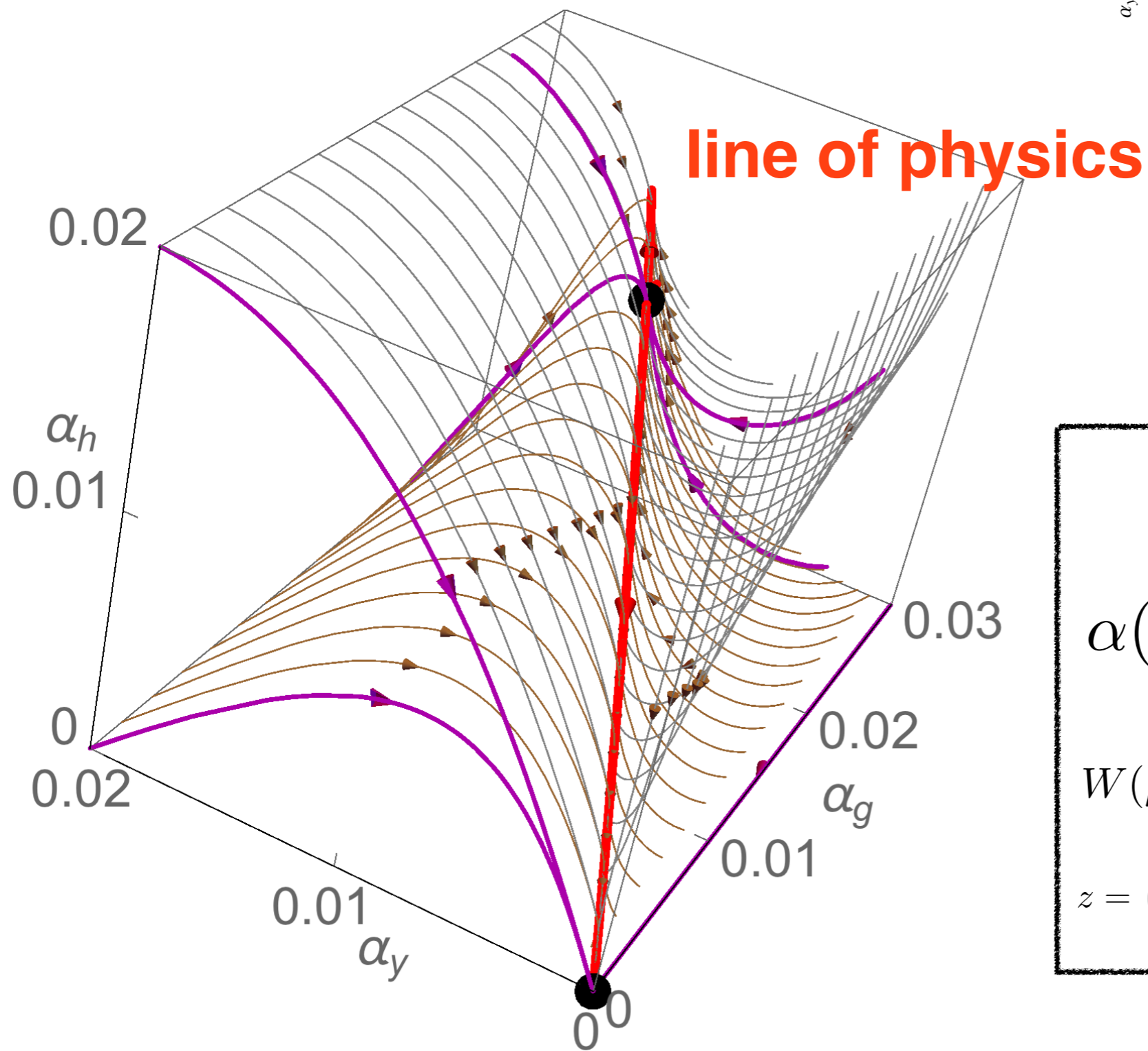
phase diagram



exact UV FP

strict perturbative control

phase diagram



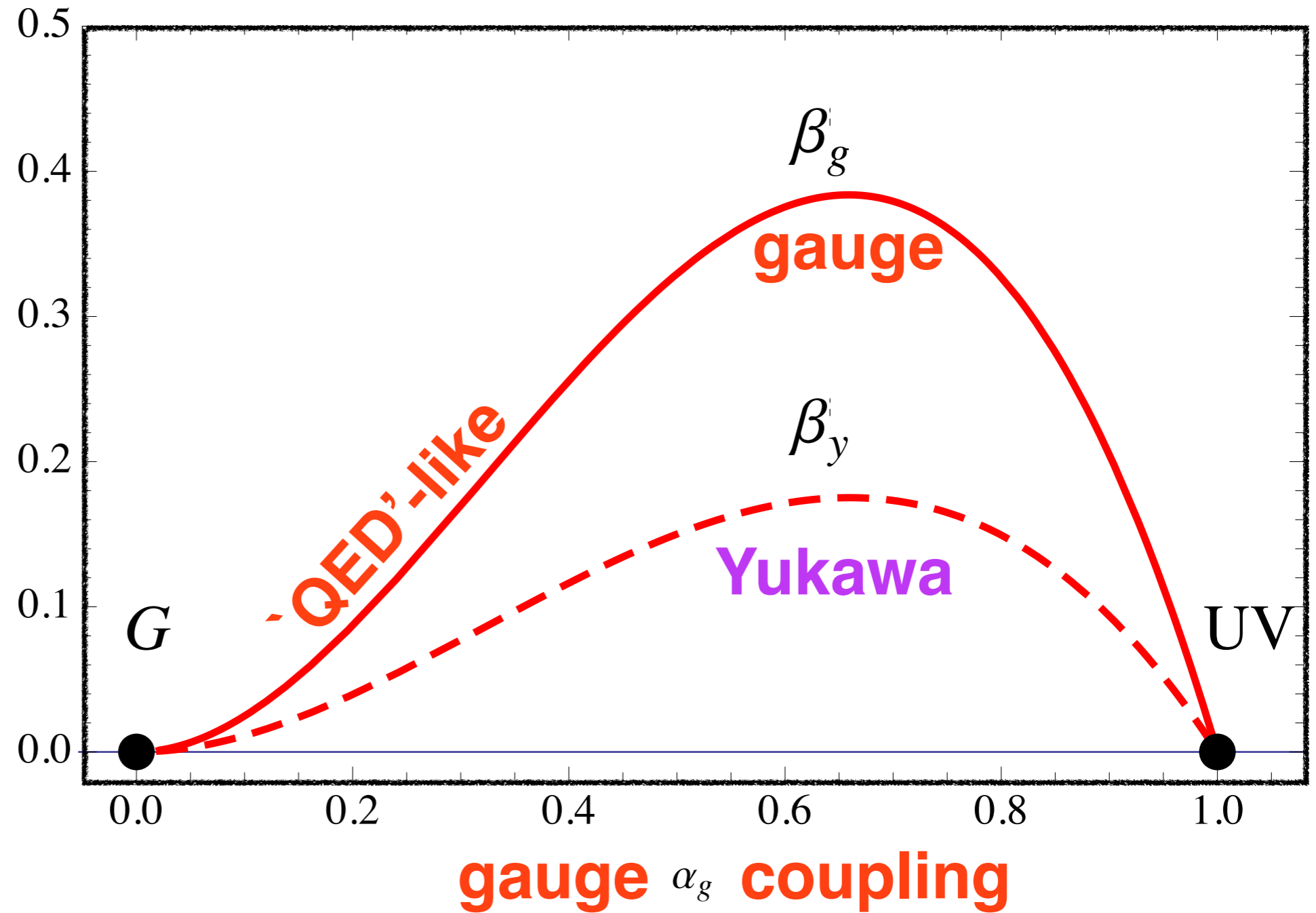
leading order

$$\alpha(\mu) = \frac{\alpha_*}{1 + W(\mu)}$$

$$W(\mu) = W_{\text{Lambert}}[z(\mu)]$$

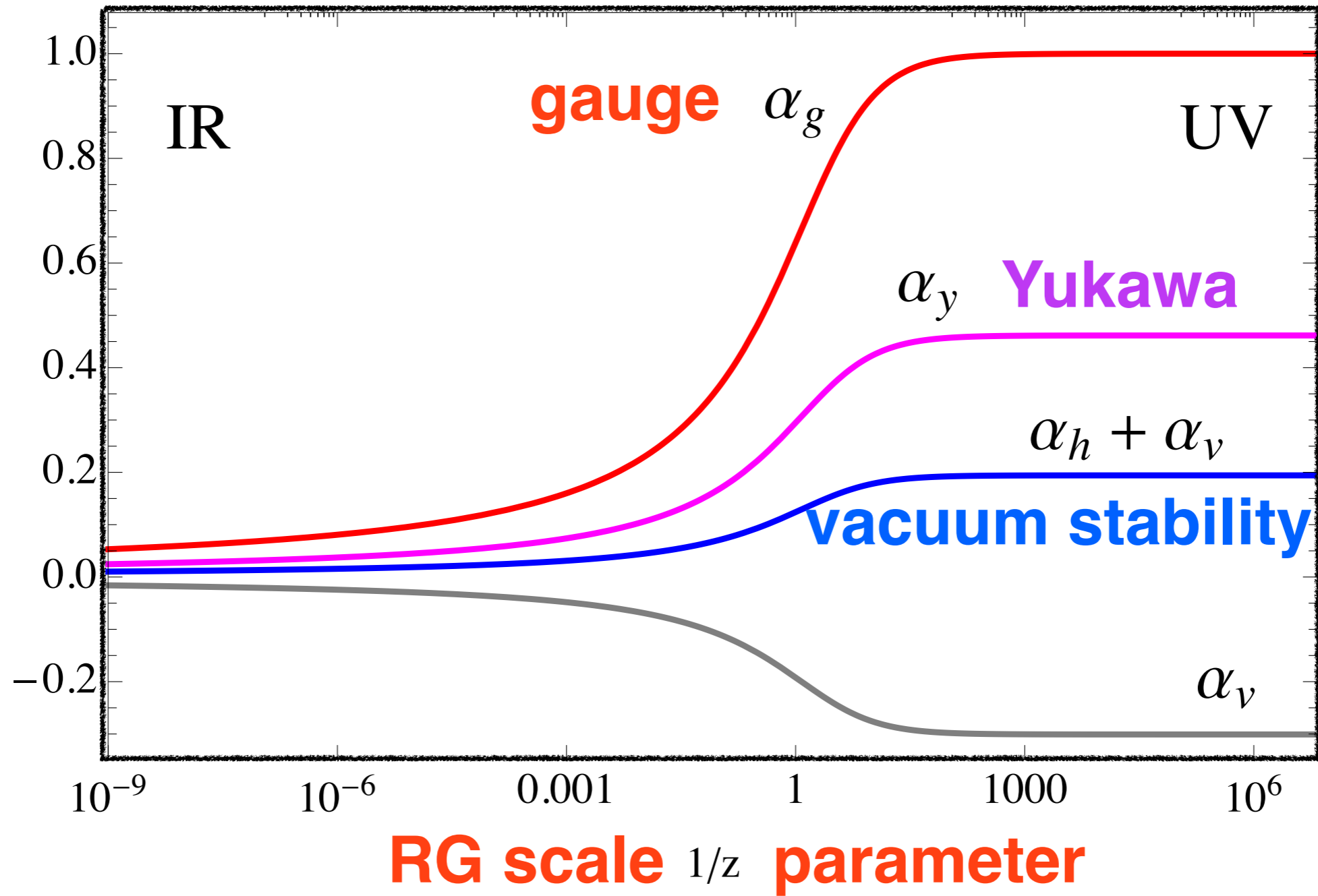
$$z = \left(\frac{\mu_0}{\mu}\right)^{-B \cdot \alpha_*} \left(\frac{\alpha_*}{\alpha_0} - 1\right) \exp\left(\frac{\alpha_*}{\alpha_0} - 1\right).$$

results



interacting UV fixed point
entirely due to 'fluctuations'

results



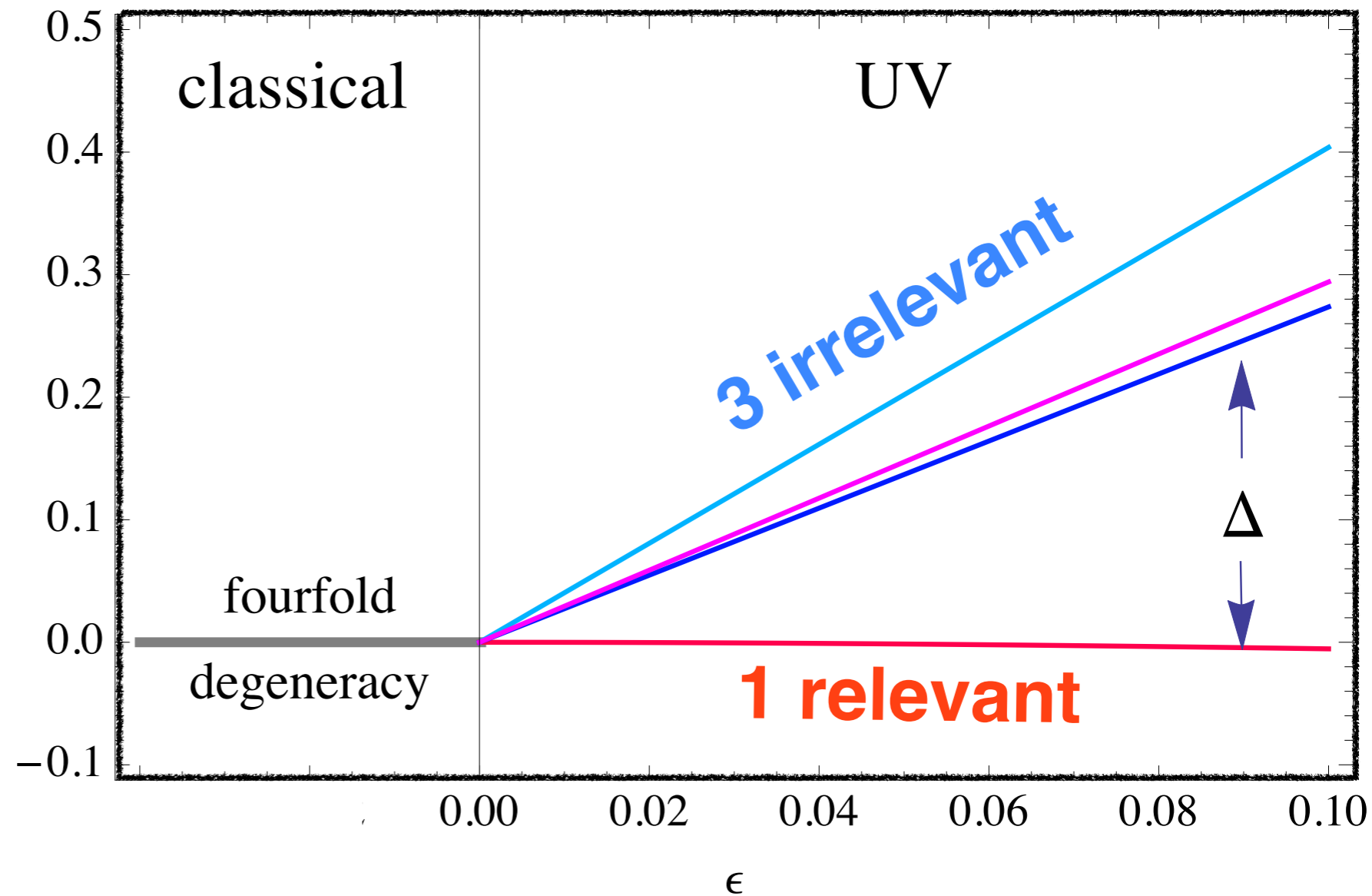
results

UV scaling exponents

$$\vartheta_1 < 0 < \vartheta_2 < \vartheta_4 < \vartheta_3$$

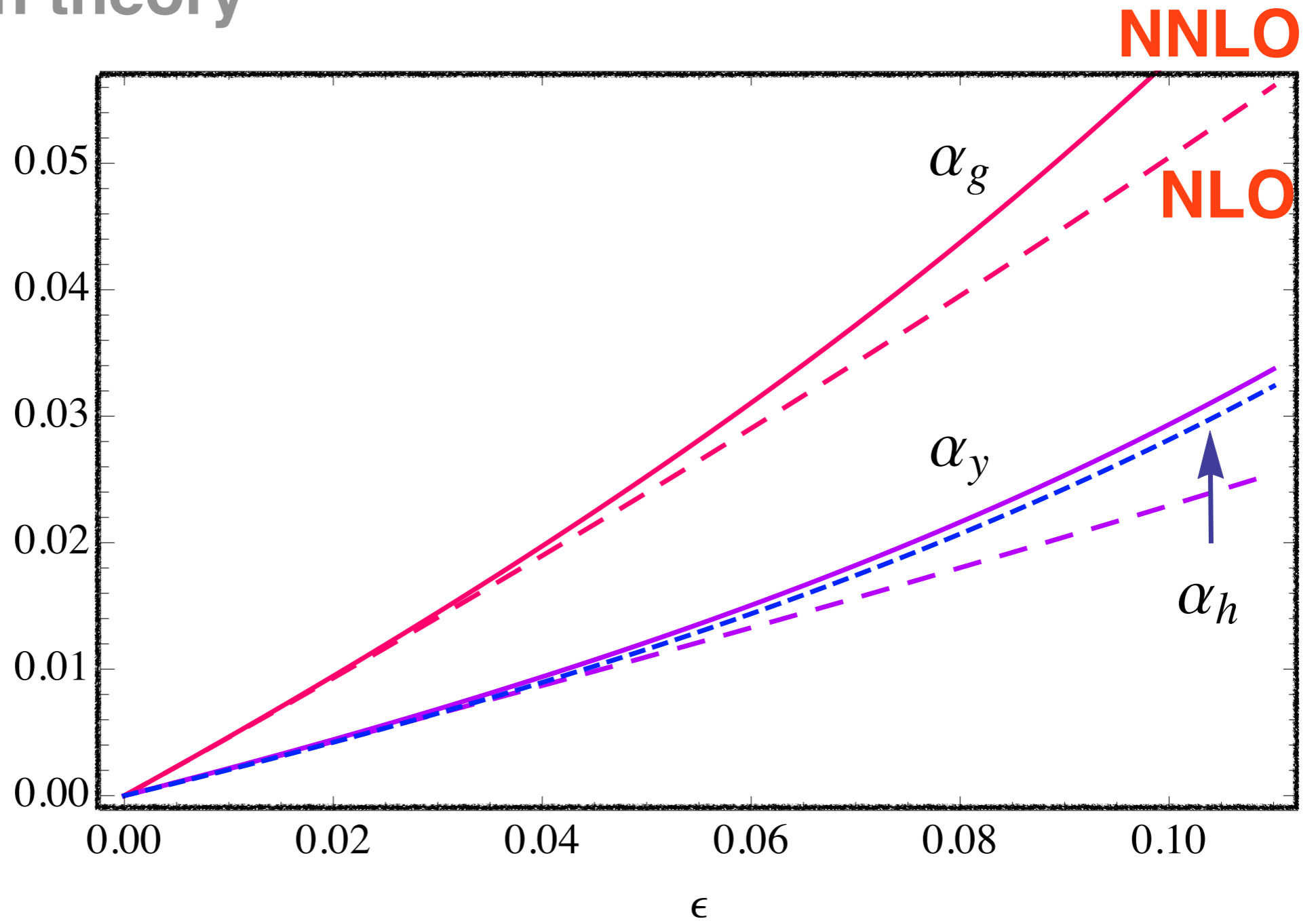
ϑ

ϑ_1	=	$-0.608 \epsilon^2 + \mathcal{O}(\epsilon^3)$
ϑ_2	=	$2.737 \epsilon + \mathcal{O}(\epsilon^2)$
ϑ_3	=	$4.039 \epsilon + \mathcal{O}(\epsilon^2)$
ϑ_4	=	$2.941 \epsilon + \mathcal{O}(\epsilon^2)$



results

UV fixed point from
perturbation theory

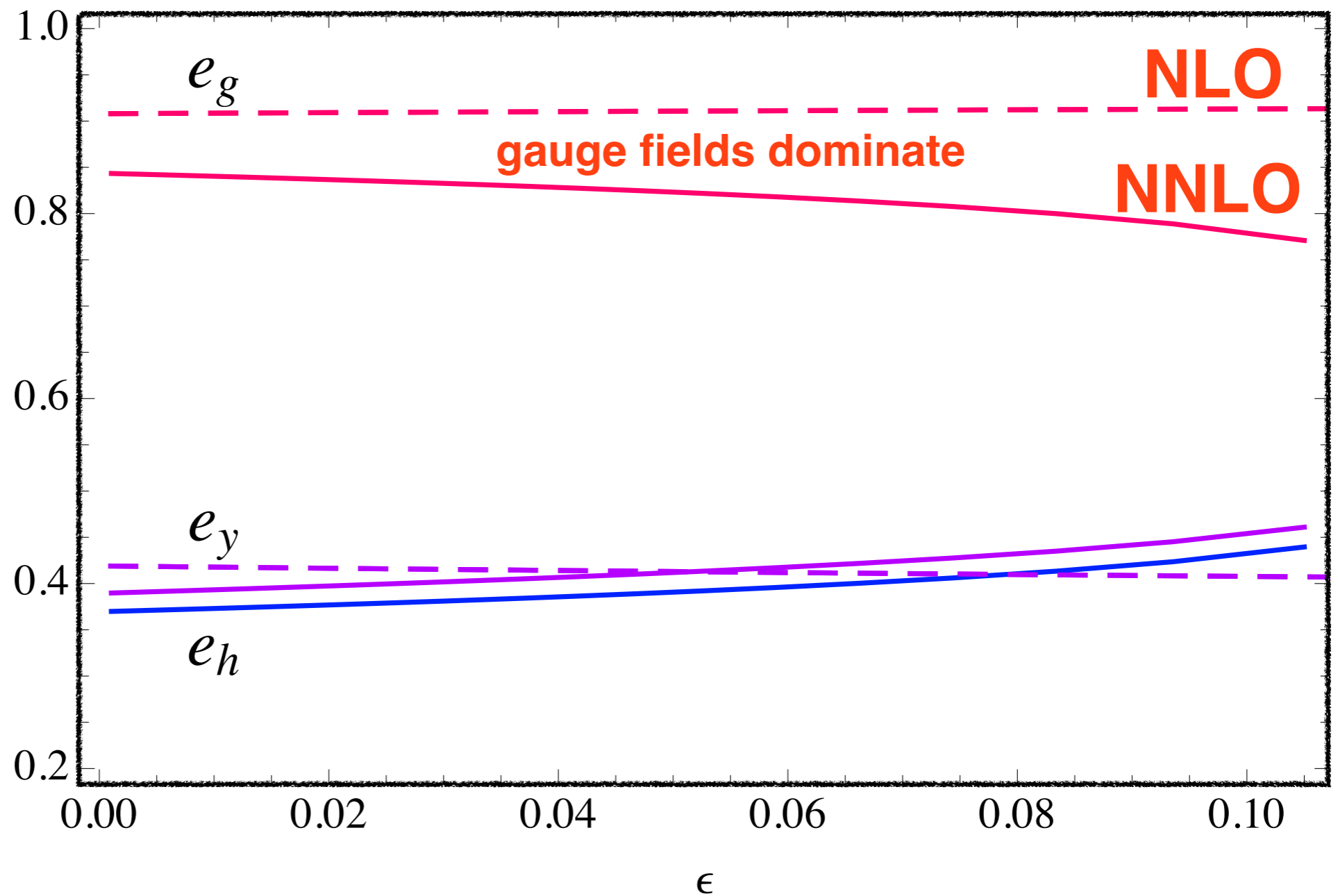


results

UV-relevant
eigendirection

gauge

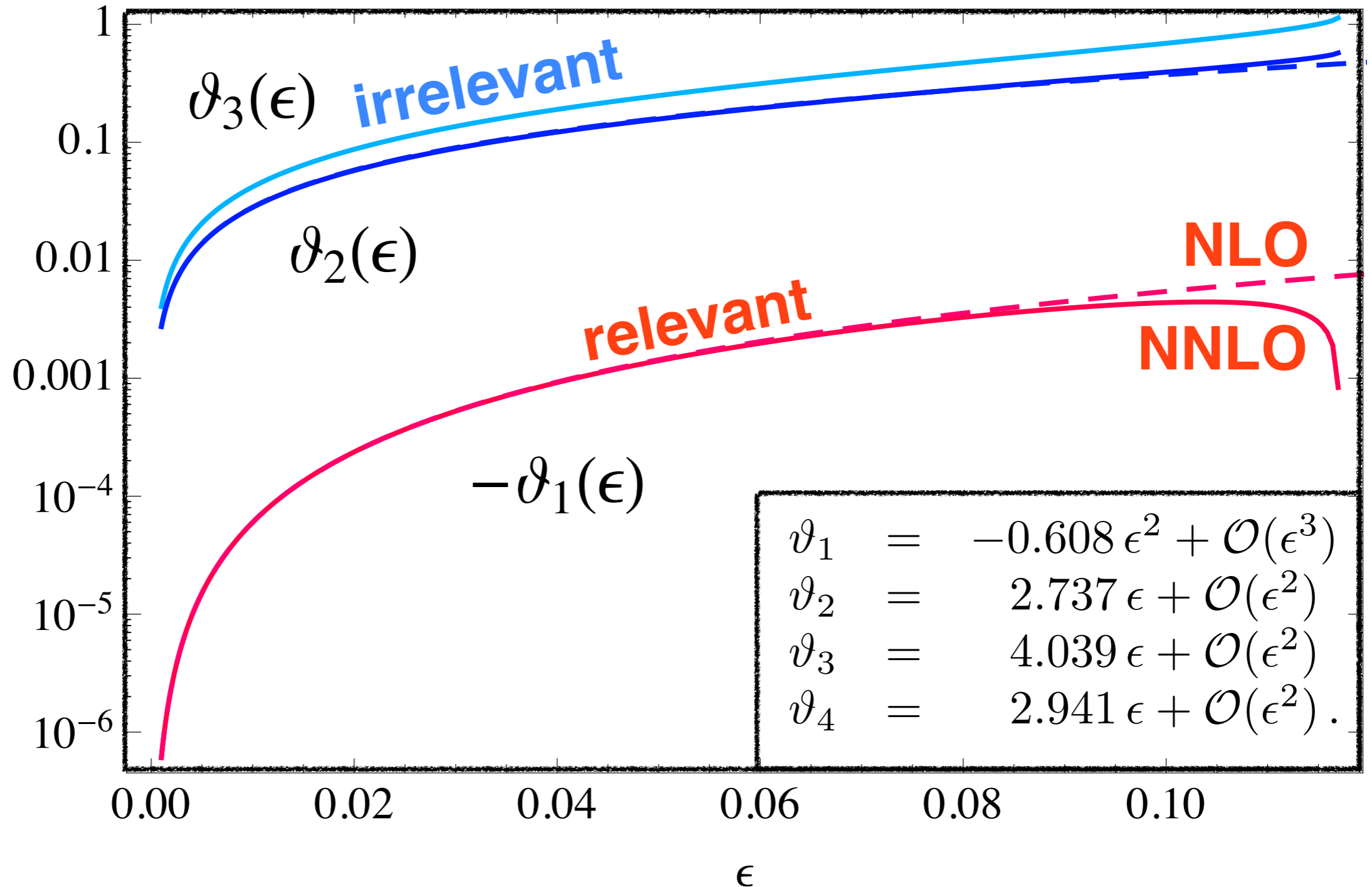
Yukawa
Higgs



results

UV scaling exponents

$$\vartheta_1 < 0 < \vartheta_2 < \vartheta_4 < \vartheta_3$$



vacuum stability

Mojaza, Litim, Sannino
1501.03061

vacuum must be stable classically
and quantum-mechanically

$$V \propto \alpha_v \text{Tr}(H^\dagger H)^2 + \alpha_h (\text{Tr} H^\dagger H)^2$$

stability

$$\alpha_h > 0 \quad \text{and} \quad \alpha_h + \alpha_v \geq 0 \quad H_c \propto \delta_{ij}$$

$$\alpha_h < 0 \quad \text{and} \quad \alpha_h + \alpha_v/N_F \geq 0 \quad H_c \propto \delta_{i1}$$

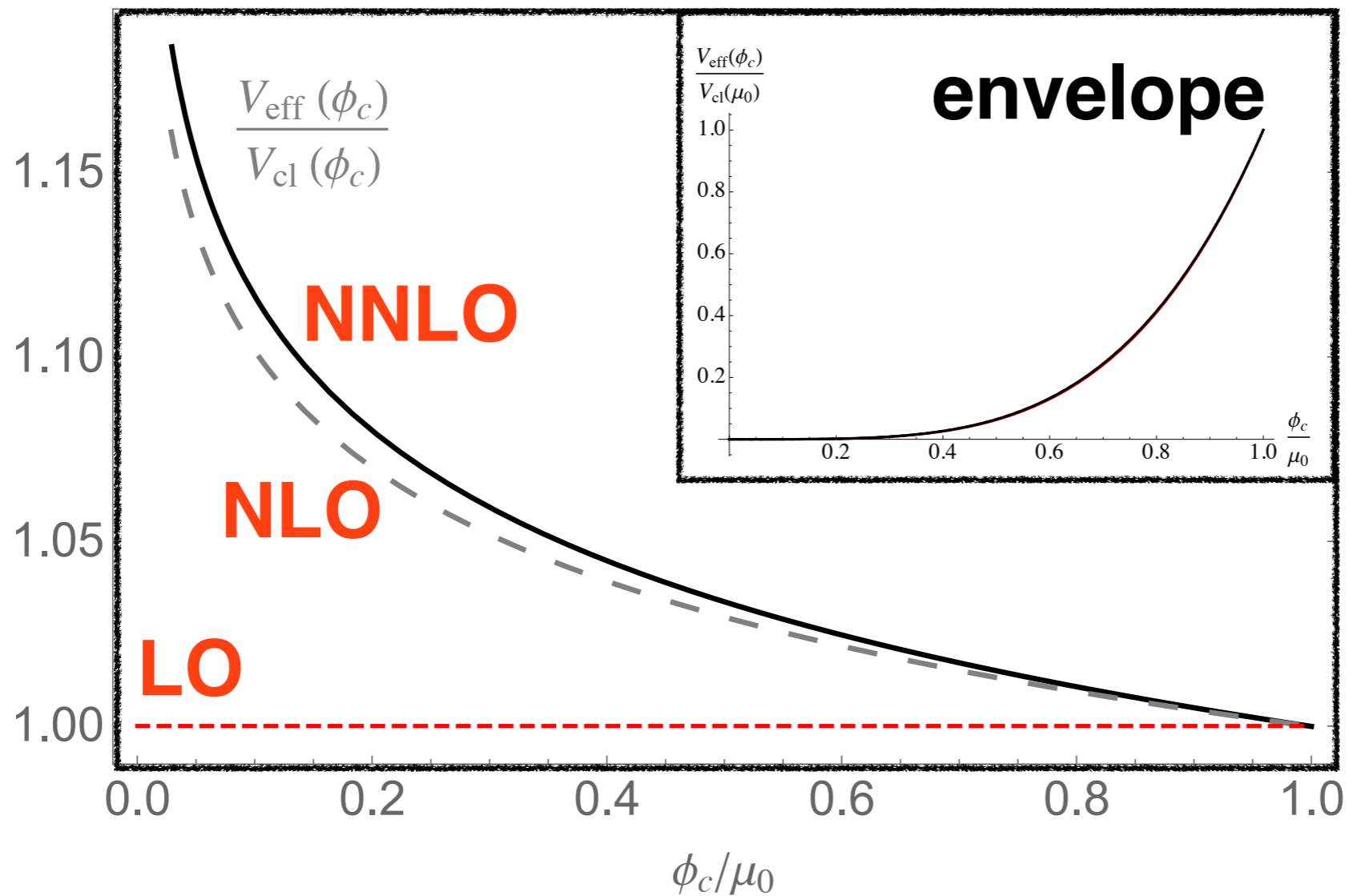
UV FP:

$$0 < \alpha_h^* + \alpha_v^* \quad \text{ok}$$

vacuum stability

quantum stability: Coleman-Weinberg type resummation of logs

$$\left(\mu_0 \frac{\partial}{\partial \mu_0} - \gamma(\alpha_j) \phi_c \frac{\partial}{\partial \phi_c} + \sum_i \beta_i(\alpha_j) \frac{\partial}{\partial \alpha_i} \right) V_{\text{eff}}(\phi_c, \mu_0, \alpha_j) = 0$$



effective potential well-defined for all scales

asymptotic safety

asymptotic safety in 4D:

couplings achieve **interacting** UV fixed point

fields	AS?
scalars	no
scalars with fermions	no
gauge fields	no
gauge fields with fermions	no
non-Abelian fields, fermions, scalars	yes*

***) provided certain conditions hold true**

lesson 2

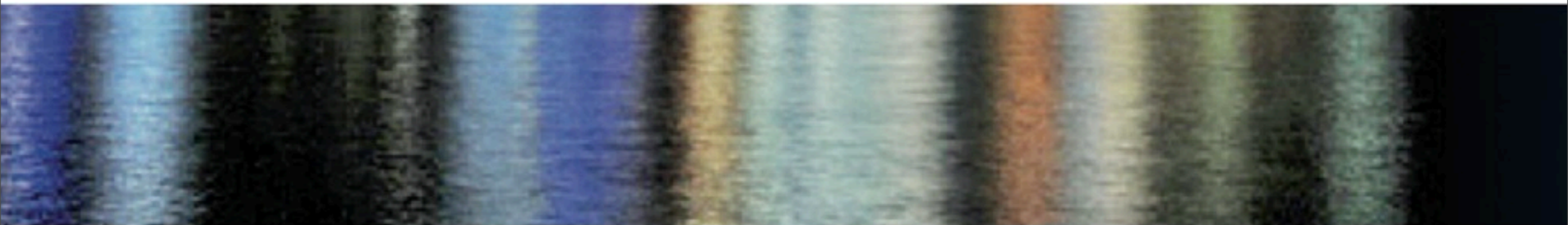
interacting UV fixed point exist
in **4D gauge-Yukawa theories**

FP **guaranteed** by perturbation theory
and large-N expansion

scalar sector **necessary** within PT
quartic becomes **asymptotically safe**

UV FP entails
enhanced predictivity

**outlook:
asymptotic safety of
quantum gravity**



running coupling

$$g(k) = G_N(k) k^{D-2}$$

$$t = \ln k / \Lambda_c$$

$$\partial_t g = (D - 2 + \eta_N) g$$



$$g_* \neq 0$$

UV



$$g_* = 0$$

IR

fixed points

4D:

large anomalous dimension

$$\eta_N = \eta_N(g, \text{all other couplings})$$

large UV scaling exponents

$$\vartheta \approx \mathcal{O}(1)$$

strong coupling effects

$$g_* \approx \mathcal{O}(1)$$

relevant vs **irrelevant**

invariants not known a priori

computational methods

4D quantum gravity:

expect large couplings

canonical scaling not applicable

non-perturbative tools mandatory

continuum: non-perturbative renormalisation group

lattice: Monte Carlo simulations

simplicial gravity

dynamical triangulations

gravitation

Einstein-Hilbert (Souma '99, Reuter, Lauscher '01, DL '03)

higher dimensions, dimensional reduction (DL '03, Fischer, DL '05)

f(R), polynomials in R (Lauscher, Reuter, '02, Codello, Percacci, Rahmede '08, Machado, Saueressig '09
Benedetti, Caravelli '12, Dietz, Morris '12, Falls, DL, Nikolakopoulos, Rahmede '13)

local potential approximation (Benedetti, Caravelli '12, Dietz, Morris, '12, Demmel, Saueressig,
Zanusso '12, Falls, DL, Nikolakopoulos, Rahmede '13,
Benedetti '13, Benedetti, Guarnieri '13)

higher-derivative gravity (Codello, Percacci '05)
(Benedetti, Saueressig, Machado '09, Niedermaier '09)

conformally reduced gravity (DL, Rahmede, in prep.)
(Reuter, Weyer '09, Machado, Percacci '10, DL, Satz '12)

Holst action + Immirzi parameter (Daum, Reuter '10, Benedetti, Speciale '11)

signature effects (Manrique, Rechenberger, Saueressig '11)

gravitation + matter

matter (Percacci '05, Perini, Percacci '05, Narain, Percacci '09, Narain, Rahmede '09, Codello '11)

Yang-Mills gravity

1-loop: (Robinson, Wilzcek '05, Pietrokowski, '06, Toms '07, Ebett, Plefka, Rodigast '08)

beyond: (Manrique, Reuter, Saueressig '09, Folkerts, DL, Pawlowski, '11, Harst, Reuter '11)

f(R)

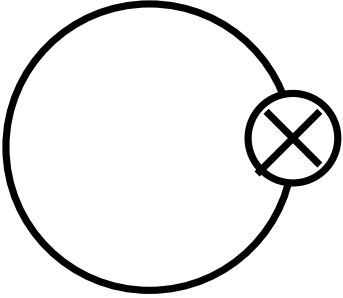
$$\Gamma_k \propto f(R)$$

Ricci scalars

$$\Gamma_k = \sum_{n=0}^{N-1} \lambda_n k^{d_n} \int d^4x \sqrt{g} R^n$$

effective action with invariants up to
mass dimension $D = 2(N - 1)$

technicalities: functional renormalisation

$$k \frac{d\Gamma_k}{dk} = \frac{1}{2} \text{Tr} \left[\left(\frac{\delta^2 \Gamma_k[\phi]}{\delta\phi \delta\phi} + R_k \right)^{-1} k \frac{dR_k}{dk} \right] = \frac{1}{2} \text{Tr} \left[\text{Tr} \left(\text{Tr} \left(\frac{\delta^2 \Gamma_k[\phi]}{\delta\phi \delta\phi} + R_k \right)^{-1} k \frac{dR_k}{dk} \right) \right]$$


here:

M Reuter hep-th/9605030

DL [hep-th/0103195](#)

[hep-th/0312114](#)

Falls, DL, Nikolakopoulos, Rahmede

Falls, DL, Nikolakopoulos, Rahmede

A Codello, R Percacci, C Rahmede 0705.1769, 0805.2909

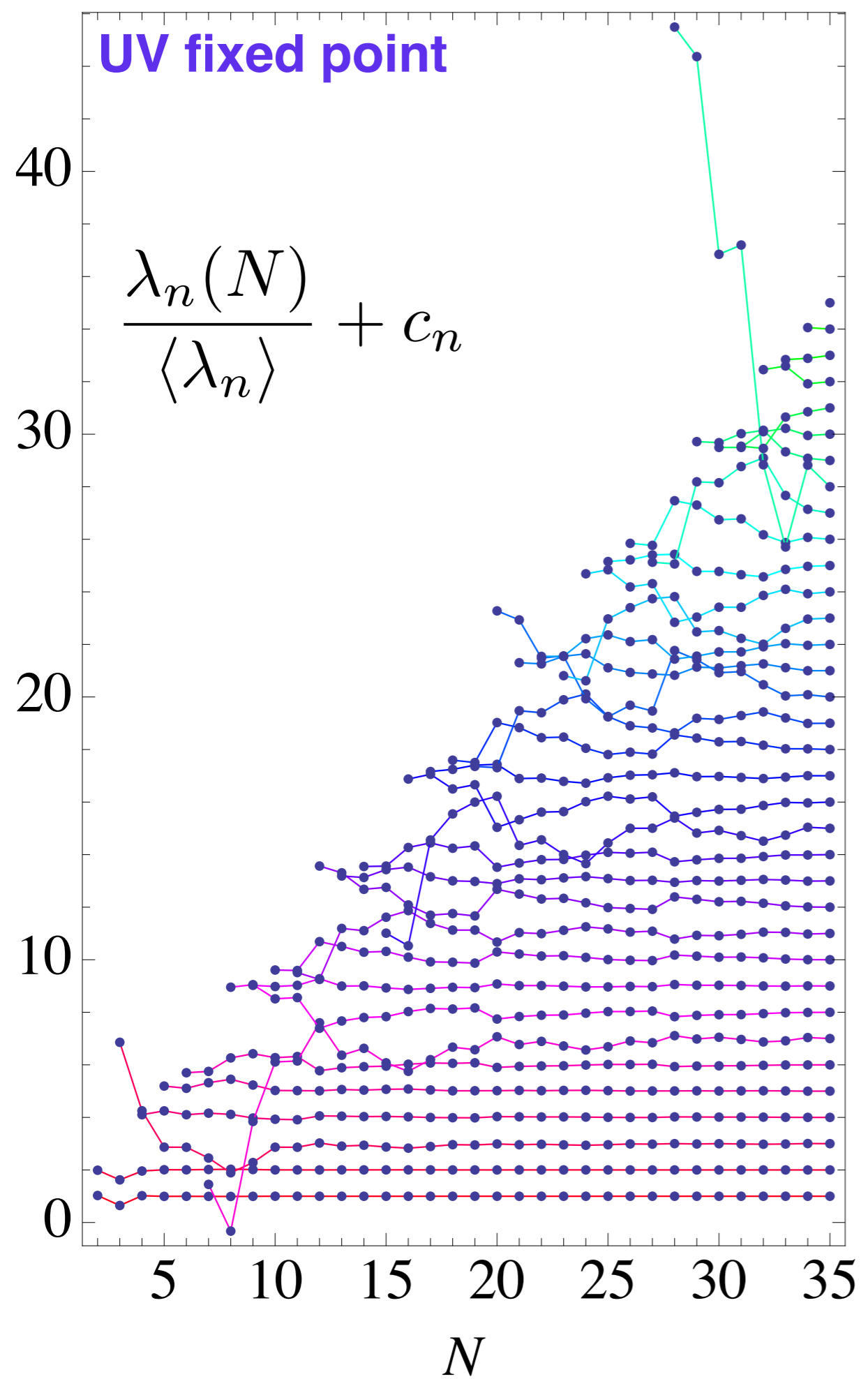
P Machado, F Saueressig 0712.0445

[1301.4191.pdf](#)

1410.4815

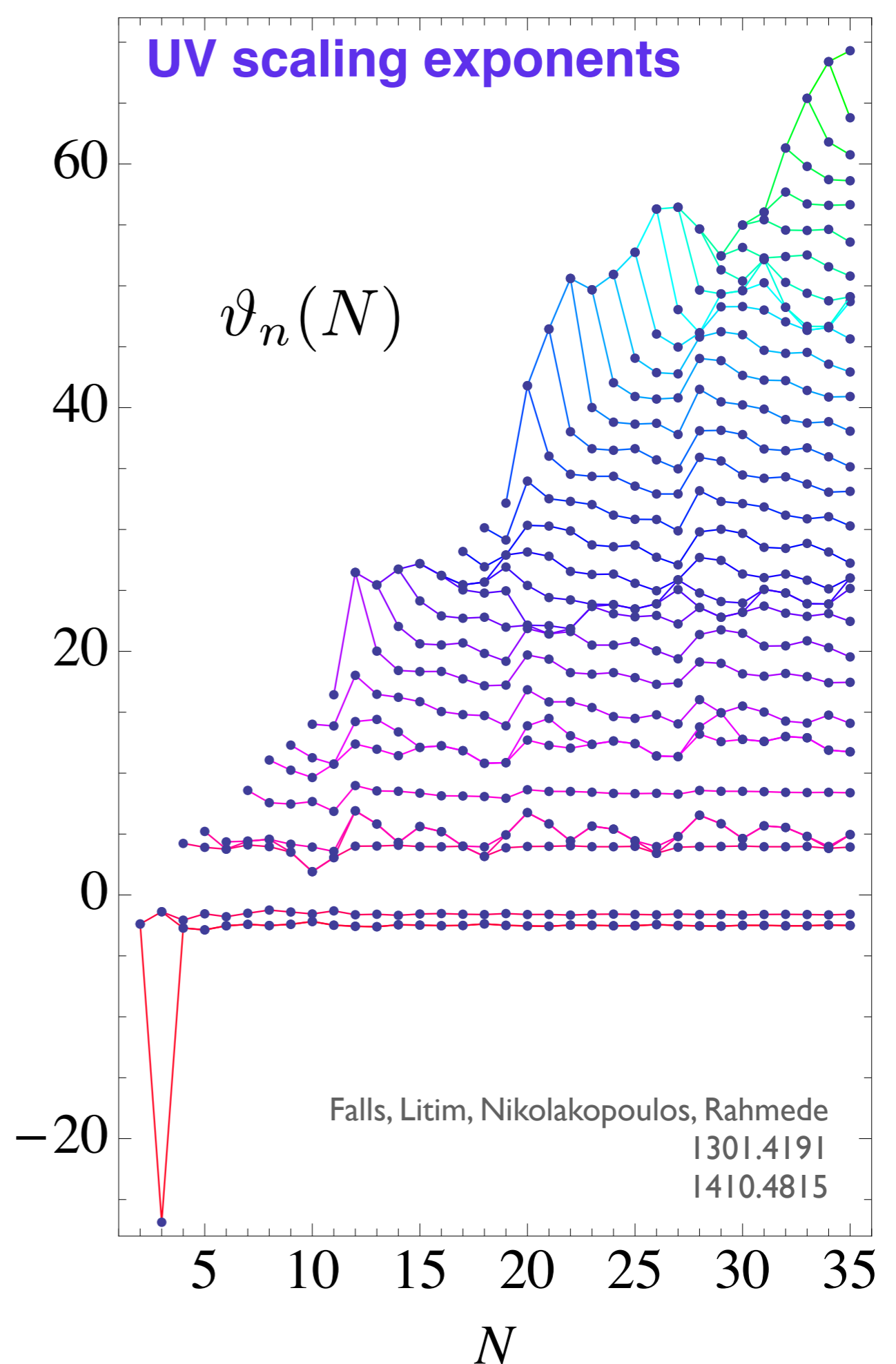
UV fixed point

$$\frac{\lambda_n(N)}{\langle \lambda_n \rangle} + c_n$$



UV scaling exponents

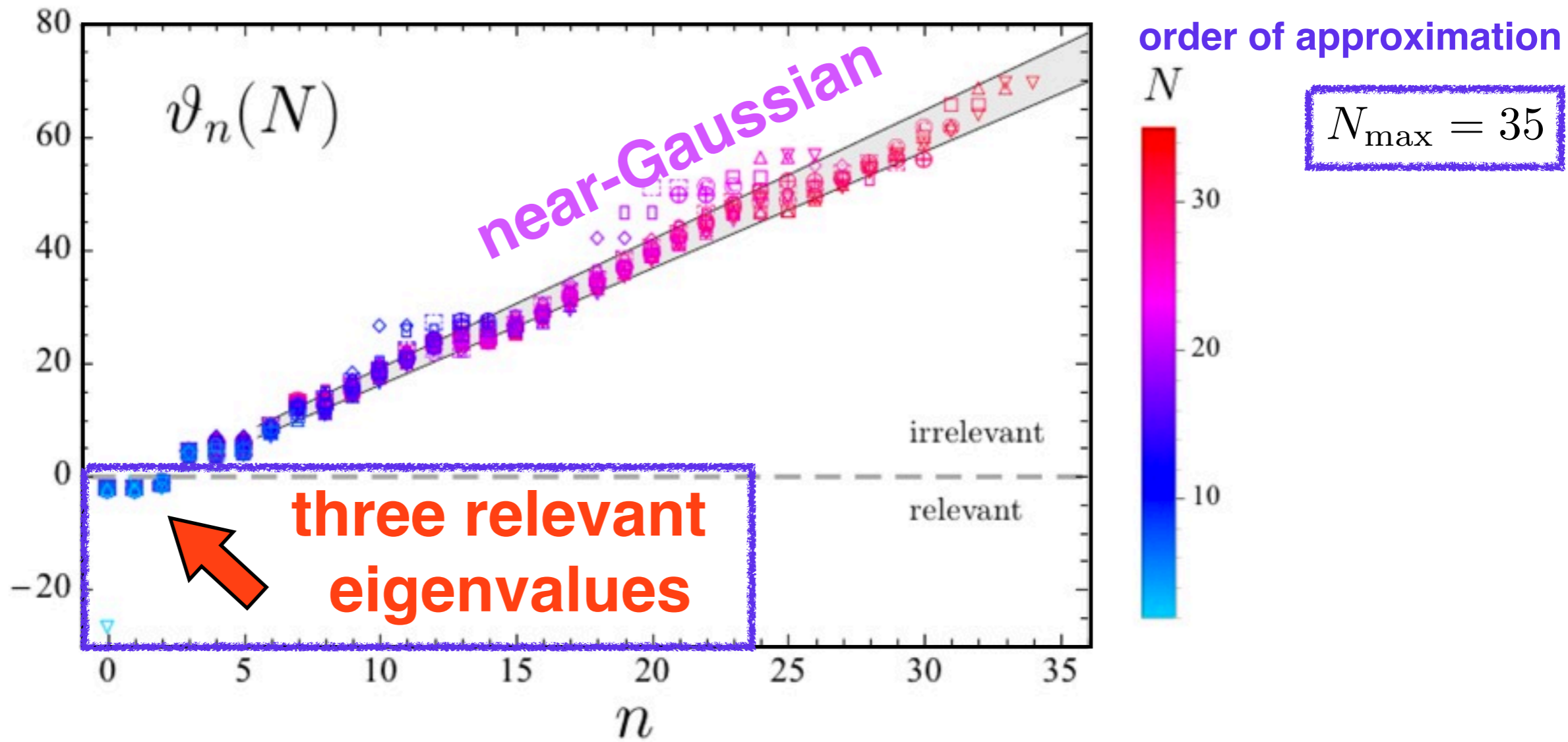
$$\vartheta_n(N)$$



scaling exponents

f(R)-type gravity

$$\Gamma_k = \sum_{n=0}^{N-1} \lambda_n k^{d_n} \int d^4x \sqrt{g} R^n$$



simplicial gravity

lattice fixed point in 4D

Hamber '00, '15

scaling exponent	lattice		RG	
ν	0.335(9)	Hamber '00	0.375	Litim '03
	0.335(4)	Hamber '15 as quoted in 1503.06233	0.3333	Falls 1503.06233

dynamical triangulations (casual vs euclidean)

lattice fixed point in 4D CDT

Ambjoern, Jordan, Jurkiewicz, Loll '11

spectral dimension	\mathcal{D}_s	CDT	EDT	RG	$\mathcal{D}_s = \frac{2D}{2 + \delta}$
		Ambjoern, Jurkiewicz, Loll '05	Laiho, Coumbe '11		
			Reuter, Saueressig, '11		

QFTs beyond asymptotic freedom

4D matter-gauge theories

exact proof of asymptotic safety

all types of fields required

sensible **UV finite theory**

no additional (super-)symmetry

4D quantum gravity

systematic **non-perturbative** search strategies

strong hints for interacting UV fixed point

intriguing **near Gaussianity**

opportunities for lattice QCD