

# Implications of charm mixing for New Physics



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- Introduction
- Experimental constraints and SM expectations
- New Physics contributions to charm mixing
  - $\Delta C=1$  operators
  - $\Delta C=2$  operators
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# Introduction: identifying New Physics



"Inverse  
LHC problem"

The LHC ring is 27km in circumference

How can KEK or other older machines help with New Physics searches?

# Introduction: charm and New Physics

## Charm transitions serve as excellent probes of New Physics

Unique access to up-quark sector

1. Processes forbidden in the Standard Model to all orders

Examples:  $D^0 \rightarrow p^+ \pi^- \nu$

2. Processes forbidden in the Standard Model at tree level

Examples:  $D^0 - \bar{D}^0$ ,  $D^0 \rightarrow X \gamma$ ,  $D \rightarrow X \nu \bar{\nu}$

3. Processes allowed in the Standard Model

Examples: relations, valid in the SM, but not necessarily in general

CKM triangle relations

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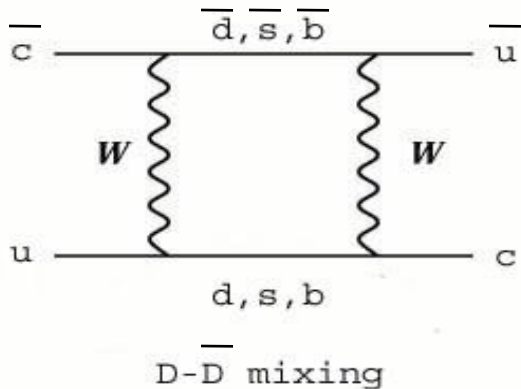
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# Introduction: mixing



$\Delta Q=2$ : only at one loop in the Standard Model:  
possible **new physics** particles in the loop

$\Delta Q=2$  interaction couples dynamics of  $D^0$  and  $\bar{D}^0$

$$|D(t)\rangle = \begin{pmatrix} a(t) \\ b(t) \end{pmatrix} = a(t)|D^0\rangle + b(t)|\bar{D}^0\rangle$$

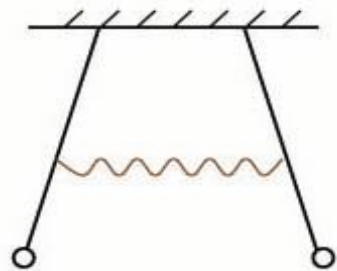
➤ Time-dependence: coupled Schrödinger equations

$$i\frac{\partial}{\partial t}|D(t)\rangle = \left( M - \frac{i}{2}\Gamma \right) |D(t)\rangle = \begin{bmatrix} A & p^2 \\ q^2 & A \end{bmatrix} |D(t)\rangle$$

➤ Diagonalize: mass eigenstates  $\neq$  flavor eigenstates

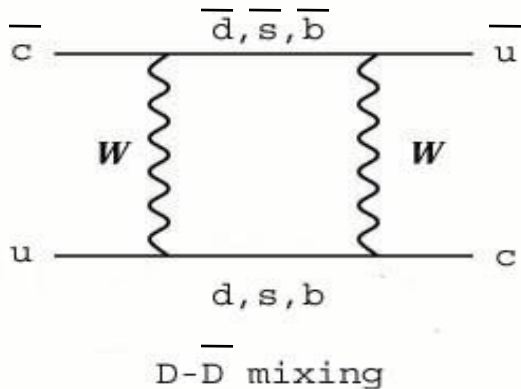
$$|D_{1,2}\rangle = p|D^0\rangle \pm q|\bar{D}^0\rangle$$

Mass and lifetime differences of mass eigenstates:  $x = \frac{M_2 - M_1}{\Gamma}, y = \frac{\Gamma_2 - \Gamma_1}{2\Gamma}$



Coupled oscillators

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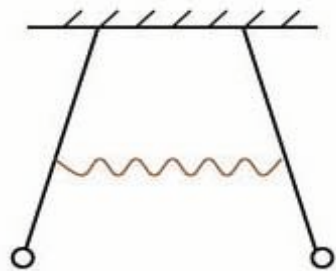
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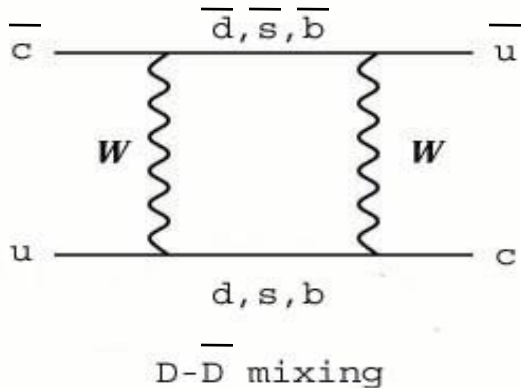


*Coupled oscillators*

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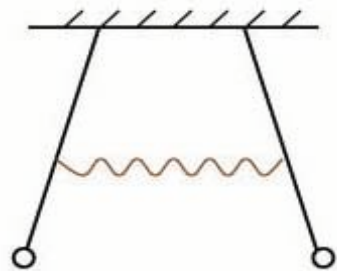
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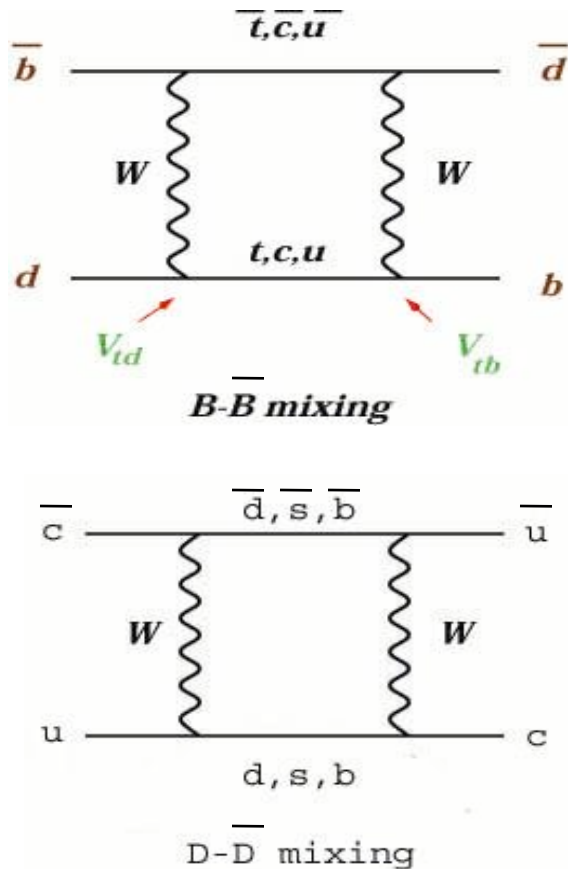
$$\text{No CPV: } |D_{1,2}\rangle \Rightarrow |D_{CP\pm}\rangle = \frac{1}{\sqrt{2}} \left[ |D^0\rangle \pm |\bar{D}^0\rangle \right]$$

Mass and lifetime differences of mass eigenstates:  $x = \frac{M_2 - M_1}{\Gamma}, y = \frac{\Gamma_2 - \Gamma_1}{2\Gamma}$



Coupled oscillators

# Introduction: why do we care?



$\bar{D}^0 - D^0$ mixing	$\bar{B}^0 - B^0$ mixing
<ul style="list-style-type: none"> <li>intermediate <b>down-type</b> quarks</li> <li>SM: b-quark contribution is <b>negligible</b> due to <math>V_{cd}V_{ub}^*</math></li> <li>rate <math>\propto f(m_s) - f(m_d)</math> (<b>zero</b> in the SU(3) limit)</li> </ul> <p>Falk, Grossman, Ligeti, and A.A.P. Phys.Rev. D65, 054034, 2002 2<sup>nd</sup> order effect!!!</p>	<ul style="list-style-type: none"> <li>intermediate <b>up-type</b> quarks</li> <li>SM: t-quark contribution is <b>dominant</b></li> <li>rate <math>\propto m_t^2</math> (expected to be large)</li> </ul>
<ol style="list-style-type: none"> <li>Sensitive to long distance QCD</li> <li><b>Small</b> in the SM: <b>New Physics!</b> (must know SM <math>x</math> and <math>y</math>)</li> </ol>	<ol style="list-style-type: none"> <li>Computable in QCD (*)</li> <li><b>Large</b> in the SM: <b>CKM!</b></li> </ol>

(\*) up to matrix elements of 4-quark operators



# Experimental constraints on mixing

Idea: look for a wrong-sign final state

1. Time-dependent or time-integrated semileptonic analysis

$$rate \propto x^2 + y^2$$

Quadratic in x,y: not so sensitive

2. Time-dependent  $D^0 \rightarrow K^+ K^-$  analysis (lifetime difference)

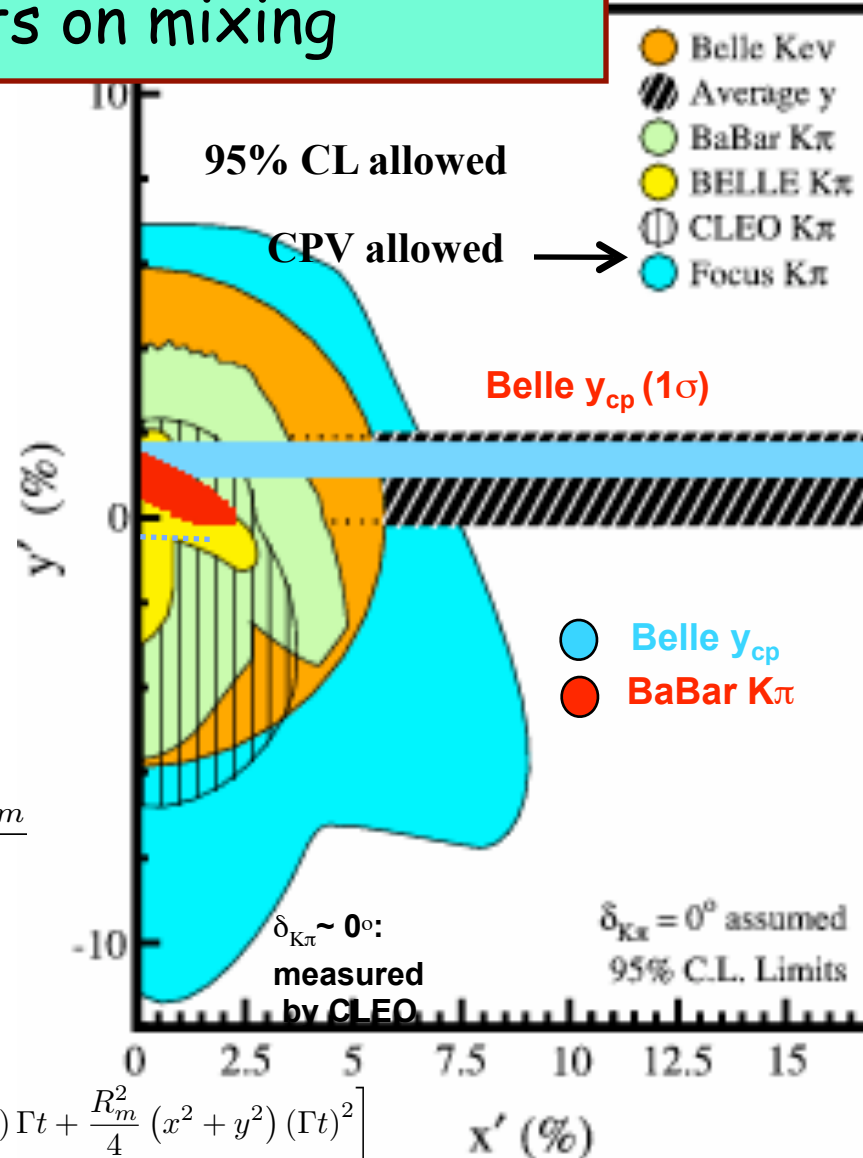
$$y_{CP} = \frac{\tau(D \rightarrow \pi^+ K^-)}{\tau(D \rightarrow K^+ K^-)} - 1 = y \cos \phi - x \sin \phi \frac{1 - R_m}{2}$$

3. Time-dependent  $D^0(t) \rightarrow K^+ \pi^-$  analysis

$$\Gamma[D^0(t) \rightarrow K^+ \pi^-] = e^{-\Gamma t} |A_{K^+ \pi^-}|^2 \left[ R + \sqrt{R} R_m (y' \cos \phi - x' \sin \phi) \Gamma t + \frac{R_m^2}{4} (x^2 + y^2) (\Gamma t)^2 \right]$$

$$R_m^2 = \left| \frac{q}{p} \right|^2, \quad x' = x \cos \delta + y \sin \delta, \quad y' = y \cos \delta - x \sin \delta$$

Sensitive to DCS/CF strong phase  $\delta$

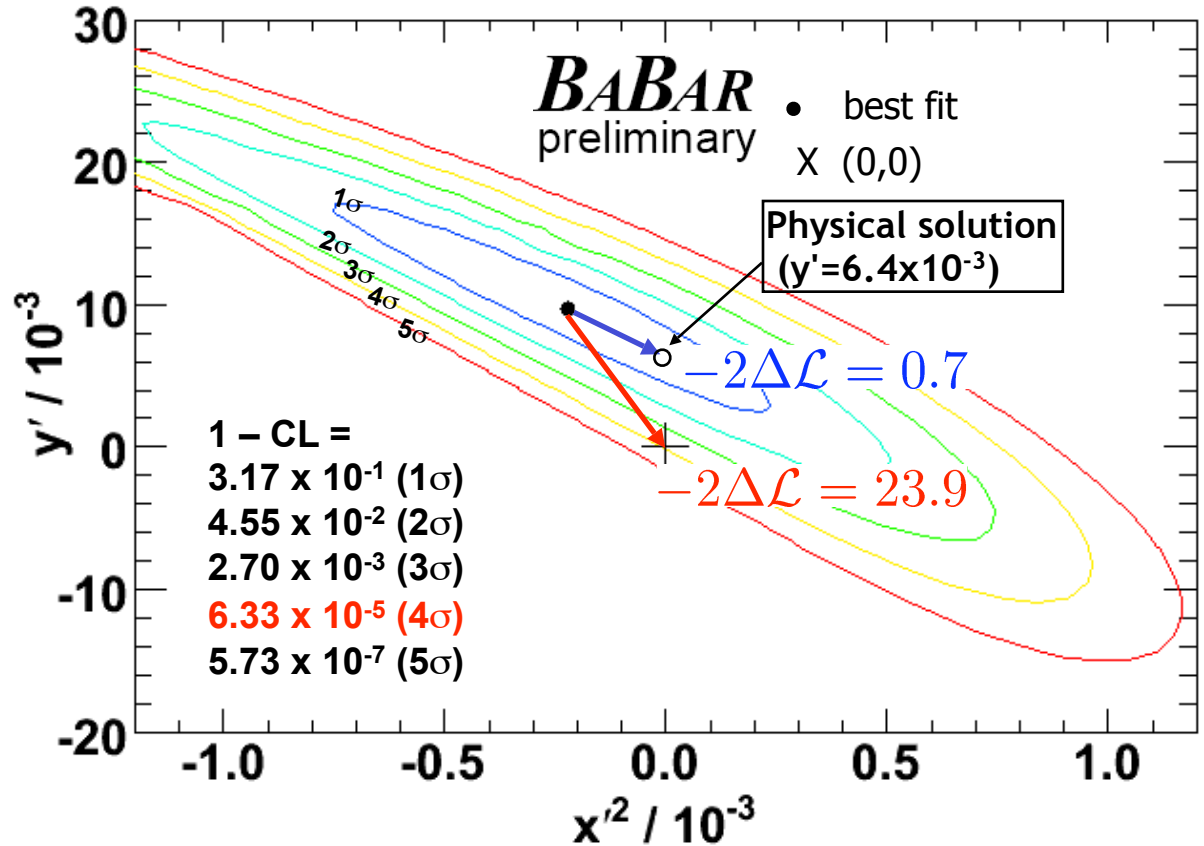


# Recent results from BaBar

- Time-dependent  $D \rightarrow K\pi$  analysis

$$\Gamma_{ws}(t) = e^{-\Gamma t} \left( R_D + y' \sqrt{R_D} (\Gamma t) + \left( \frac{x'^2 + y'^2}{4} \right) (\Gamma t)^2 \right)$$

- No evidence for CP-violation
- Accounting for systematic errors, the no-mixing point is at 3.9-sigma contour



Evidence for  $D\bar{D}$  mixing!

$$R_D: (3.03 \pm 0.16 \pm 0.10) \times 10^{-3}$$

$$x'^2: (-0.22 \pm 0.30 \pm 0.21) \times 10^{-3}$$

$$y': (9.7 \pm 4.4 \pm 3.1) \times 10^{-3}$$

# Recent results from Belle

- Time-dependent  $D \rightarrow KK/\pi\pi$  analysis

$$y_{CP} \equiv \frac{\tau(K^-\pi^+)}{\tau(K^-K^+)} - 1 \stackrel{\text{no CPV}}{=} y = \frac{\Delta\Gamma}{2\Gamma}$$

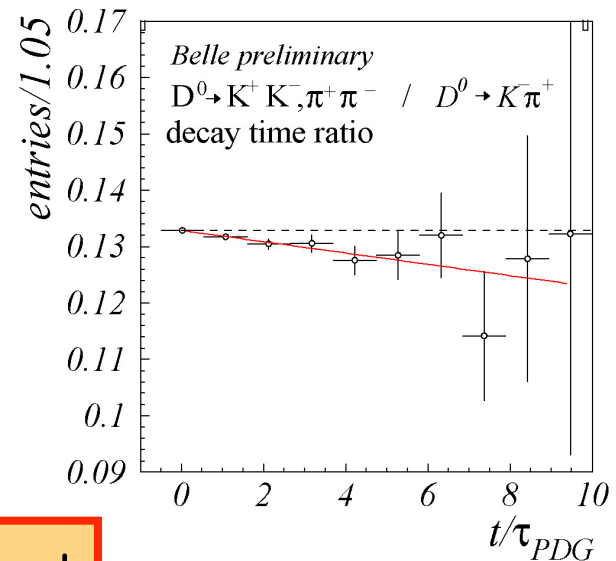
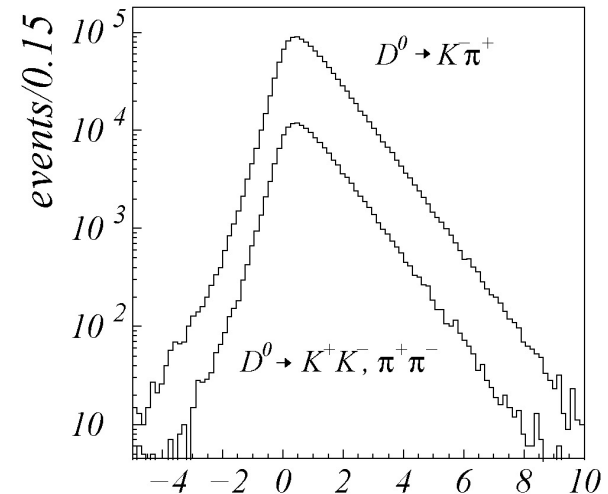
$$CPV : A_\Gamma = \frac{\Gamma(D^0 \rightarrow K^-K^+) - \Gamma(\bar{D}^0 \rightarrow K^-K^+)}{\Gamma(D^0 \rightarrow K^-K^+) + \Gamma(\bar{D}^0 \rightarrow K^-K^+)}$$

	$y_{CP}$ (%)	$A_\Gamma$ (%)
$KK$	$1.25 \pm 0.39 \pm 0.28$	$0.15 \pm 0.34 \pm 0.16$
$\pi\pi$	$1.44 \pm 0.57 \pm 0.42$	$-0.28 \pm 0.52 \pm 0.30$
$KK + \pi\pi$	$1.31 \pm 0.32 \pm 0.25$	$0.01 \pm 0.30 \pm 0.15$

$$y_{CP} = 1.31 \pm 0.32 \pm 0.25 \%$$

- No evidence for CP-violation

Evidence for  $D\bar{D}$  mixing!



(courtesy of A. Rahimi)

# Recent experimental results

- BaBar, Belle and CDF results

$$y'_D = (0.97 \pm 0.44 \pm 0.31) \cdot 10^{-2} \quad (\text{BaBar}) ,$$

$$y_D^{(\text{CP})} = (1.31 \pm 0.32 \pm 0.25) \cdot 10^{-2} \quad (\text{Belle}) .$$

$$y'_D = (0.85 \pm 0.76) \cdot 10^{-2} \quad (\text{CDF})$$

- Belle Dalitz plot result ( $D^0 \rightarrow K_S \pi^+ \pi^-$ )

$$x_D = (0.80 \pm 0.29 \pm 0.17) \cdot 10^{-2} ,$$

$$y_D = (0.33 \pm 0.24 \pm 0.15) \cdot 10^{-2} .$$

- Preliminary HFAG numbers

$$x_D = 8.5_{-3.1}^{+3.2} \cdot 10^{-3} ,$$

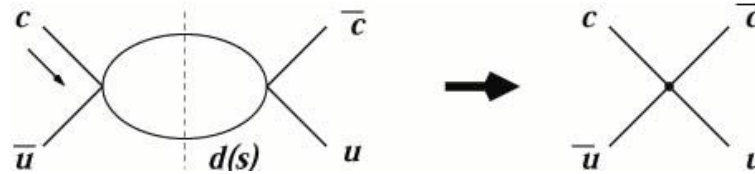
$$y_D = 7.1_{-2.1}^{+2.0} \cdot 10^{-3} \quad (\cos \delta_{K\pi} = 1.09 \pm 0.66)$$

What about theoretical predictions?

# Theoretical estimates I

## A. Short distance gives a tiny contribution

$m_c$  IS large !!!



$$z = \frac{m_s^2}{m_c^2}$$

... as can be seen from a "straightforward computation"...

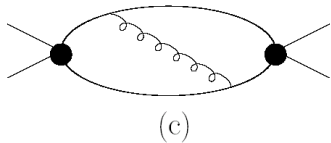
$$\rightarrow y_{LO}^{(z^3)} = \frac{G_F^2 m_c^2 f_D^2 M_D}{3\pi\Gamma_D} \xi_s^2 z^3 (C_2^2 - 2C_1C_2 - 3C_1^2) \left[ B_D - \frac{5}{2}\overline{B}_D^{(S)} \right] \propto m_s^6 \Lambda^{-6}$$

$$x_{LO}^{(z^2)} = \frac{G_F^2 m_c^2 f_D^2 M_D}{3\pi^2\Gamma_D} \xi_s^2 z^2 \left[ C_2^2 B_D - \frac{5}{4}(C_2^2 - 2C_1C_2 - 3C_1^2)\overline{B}_D^{(S)} \right] \propto m_s^4 \Lambda^{-4}$$

...  $x_{LO} \gg y_{LO}$  !!!

$$\text{with } \langle D^0 | \bar{u}\Gamma_\mu c \bar{u}\Gamma^\mu c | D^0 \rangle = \frac{1+N_C}{N_C} \frac{4F_D^2 m_D^2}{2m_D} B_D, \text{ etc.}$$

Notice, however, that at NLO in QCD ( $x_{NLO}, y_{NLO}$ )  $\gg$  ( $x_{LO}, y_{LO}$ ):



Example of NLO contribution

$$y_{NLO}^{(2)} = \frac{G_F^2 m_c^2 f_D^2 M_D}{3\pi\Gamma_D} \xi_s^2 \frac{\alpha_s}{4\pi} z^2 \left( B_D \left[ -\left(\frac{77}{6} - \frac{8\pi^2}{9}\right) C_2^2 + 14 C_1C_2 + 8 C_1^2 \right] - \frac{5}{2}\overline{B}_D^{(S)} \left[ \left(\frac{8\pi^2}{9} - \frac{25}{3}\right) C_2^2 + 20 C_1C_2 + 32 C_1^2 \right] \right), \quad x_{NLO} \sim y_{NLO}!$$

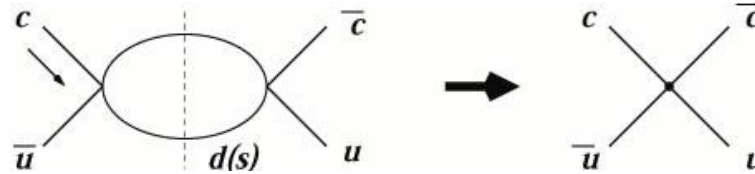
Similar for x (trust me!)

E. Golowich and A.A.P.  
Phys. Lett. B625 (2005) 53

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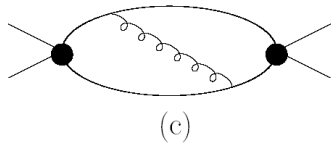
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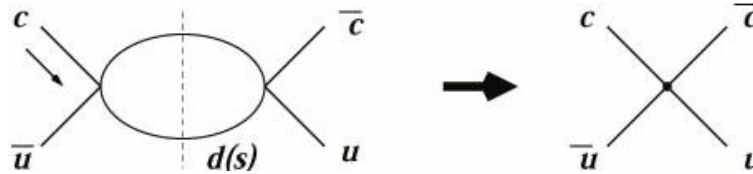
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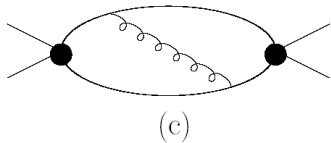
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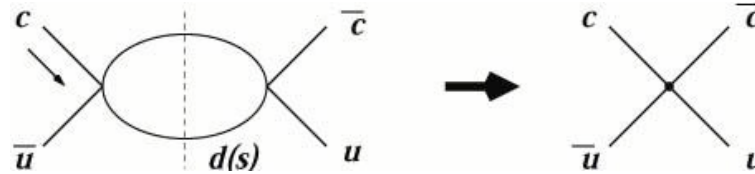
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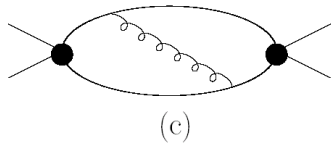
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# Theoretical estimates I

A. Short distance + "subleading corrections" (in  $\{m_s, 1/m_c\}$  expansion):

$$y_{sd}^{(6)} \propto \frac{(m_s^2 - m_d^2)^2}{m_c^2} \frac{m_s^2 + m_d^2}{m_c^2} \mu_{had}^{-2} \propto m_s^6 \Lambda^{-6}$$

$$x_{sd}^{(6)} \propto \frac{(m_s^2 - m_d^2)^2}{m_c^2} \mu_{had}^{-2} \propto m_s^4 \Lambda^{-4}$$

4 unknown matrix elements

...subleading effects?

$$y_{sd}^{(9)} \propto m_s^3 \Lambda^{-3}$$

$$x_{sd}^{(9)} \propto m_s^3 \Lambda^{-3}$$

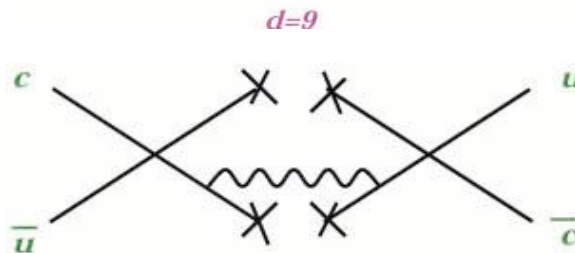


15 unknown matrix elements

H. Georgi, ...  
I. Bigi, N. Uraltsev

$$y_{sd}^{(12)} \propto \beta_0 \alpha_s^2(\mu) m_s^2 \Lambda^{-2}$$

$$x_{sd}^{(12)} \propto \alpha_s(\mu) m_s^2 \Lambda^{-2}$$



Twenty-something unknown matrix elements

↳ **Leading contribution!!!**

Guestimate:  $x \sim y \sim 10^{-3}$ ?

**Resume:** model-independent computation  
with model-dependent result

# Theoretical estimates II

B. Long distance physics dominates the dynamics...

$m_c$  is NOT large !!!

$$y = \frac{1}{2\Gamma} \sum_n \rho_n \left[ \langle D^0 | H_W^{\Delta C=1} | n \rangle \langle n | H_W^{\Delta C=1} | \bar{D}^0 \rangle + \langle \bar{D}^0 | H_W^{\Delta C=1} | n \rangle \langle n | H_W^{\Delta C=1} | D^0 \rangle \right]$$

... with  $n$  being all states to which  $D^0$  and  $\bar{D}^0$  can decay. Consider  $\pi\pi$ ,  $\pi K$ ,  $KK$  intermediate states as an example...

$$\begin{aligned} y_2 &= Br(D^0 \rightarrow K^+ K^-) + Br(D^0 \rightarrow \pi^+ \pi^-) \\ &\quad - 2 \cos \delta \sqrt{Br(D^0 \rightarrow K^+ \pi^-) Br(D^0 \rightarrow \pi^+ K^-)} \end{aligned}$$

J. Donoghue et. al.  
P. Colangelo et. al.

If every Br is known up to  $O(1\%)$   $\rightarrow$  the result is expected to be  $O(1\%)!$

The result here is a series of large numbers with alternating signs, SU(3) forces 0

$x = ?$  Extremely hard...



Need to “repackage” the analysis: look at the complete multiplet contribution

# Theoretical estimates II

B. Long distance physics dominates the dynamics...

$m_c$  is NOT large !!!

$$y = \frac{1}{2\Gamma} \sum_n \rho_n \left[ \langle D^0 | H_W^{\Delta C=1} | n \rangle \langle n | H_W^{\Delta C=1} | \bar{D}^0 \rangle + \langle \bar{D}^0 | H_W^{\Delta C=1} | n \rangle \langle n | H_W^{\Delta C=1} | D^0 \rangle \right]$$

... with  $n$  being all states to which  $D^0$  and  $\bar{D}^0$  can decay. Consider  $\pi\pi$ ,  $\pi K$ ,  $KK$  intermediate states as an example...

$$y_2 = Br(D^0 \rightarrow K^+ K^-) + Br(D^0 \rightarrow \pi^+ \pi^-) \\ \ominus 2 \cos \delta \sqrt{Br(D^0 \rightarrow K^+ \pi^-) Br(D^0 \rightarrow \pi^+ K^-)}$$

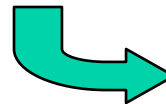
J. Donoghue et. al.  
P. Colangelo et. al.

cancellation expected!

If every Br is known up to  $O(1\%)$   $\rightarrow$  the result is expected to be  $O(1\%)!$

The result here is a series of large numbers with alternating signs, SU(3) forces 0

$x = ?$  Extremely hard...



Need to “repackage” the analysis: look at the complete multiplet contribution

# SU(3) and phase space

- “Repackage” the analysis: look at the complete multiplet contribution

$$y = \sum_{F_R} y_{F,R} Br(D^0 \rightarrow F_R) = \sum_{F_R} y_{F,R} \frac{1}{\Gamma} \sum_{n \in F_R} \Gamma(D^0 \rightarrow n)$$

y for each SU(3) multiplet

Each is **0** in SU(3)

- Does it help? If only phase space is taken into account: no (mild) model dependence

$$y_{F,R} = \frac{\sum_{n \in F_R} \langle \bar{D}^0 | H_W | n \rangle \rho_n \langle n | H_W | D^0 \rangle}{\sum_{n \in F_R} \Gamma(D^0 \rightarrow n)}$$

$$= \frac{\sum_{n \in F_R} \langle \bar{D}^0 | H_W | n \rangle \rho_n \langle n | H_W | D^0 \rangle}{\sum_{n \in F_R} \langle D^0 | H_W | n \rangle \rho_n \langle n | H_W | D^0 \rangle}$$

Can consistently compute

## Example: PP intermediate states

- $n=PP$  transforms as  $(8 \times 8)_S = 27 + 8 + 1$ , take 8 as an example:

**Numerator:**

$$A_{N,8} = |A_0|^2 s_1^2 \left[ \frac{1}{2} \Phi(\eta, \eta) + \frac{1}{2} \Phi(\pi^0, \pi^0) + \frac{1}{3} \Phi(\eta, \pi^0) + \Phi(\pi^+, \pi^-) - \Phi(\bar{K}^0, \pi^0) \right. \\ \left. + \Phi(K^+, K^-) - \frac{1}{6} \Phi(\eta, K^0) - \frac{1}{6} \Phi(\eta, \bar{K}^0) - \Phi(K^+, \pi^-) - \Phi(K^-, \pi^+) \right]$$

**Denominator:**

$$A_{D,8} = |A_0|^2 \left[ \frac{1}{6} \Phi(\eta, K^0) + \Phi(K^+, \pi^-) + \frac{1}{2} \Phi(K^0, \pi^0) + O(s_1^2) \right]$$

phase space function

- This gives a calculable effect!

$$y_{2,8} = \frac{A_{N,8}}{A_{D,8}} = -0.038 s_1^2 = -1.8 \times 10^{-4}$$

1. Repeat for other states
2. Multiply by  $\text{Br}_{\text{Fr}}$  to get  $y$

# Results

Final state representation	$y_{F,R}/s_1^2$	$y_{F,R}$ (%)
<i>PP</i>	8	-0.0038
	27	-0.0071
<i>PV</i>	8 <sub>S</sub>	0.031
	8 <sub>A</sub>	0.032
	10	0.020
	$\overline{10}$	0.016
	27	0.040
<i>(VV)</i> <sub>s-wave</sub>	8	-0.081
	27	-0.061
<i>(VV)</i> <sub>p-wave</sub>	8	-0.10
	27	-0.14
<i>(VV)</i> <sub>d-wave</sub>	8	0.51
	27	0.57

Final state representation	$y_{F,R}/s_1^2$	$y_{F,R}$ (%)
<i>(3P)</i> <sub>s-wave</sub>	8	-0.48
	27	-0.11
<i>(3P)</i> <sub>p-wave</sub>	8	-1.13
	27	-0.07
<i>(3P)</i> <sub>form-factor</sub>	8	-0.44
	27	-0.13
<i>4P</i>	8	3.3
	27	2.2
	27'	1.9

- Product is naturally  $O(1\%)$
- No (symmetry-enforced) cancellations
- Disp relation: compute  $x$  (model-dependence)

naturally implies that  $x, y \sim 1\%$  is expected in the Standard Model

Final state	fraction
<i>PP</i>	5%
<i>PV</i>	10%
<i>(VV)</i> <sub>s-wave</sub>	5%
<i>(VV)</i> <sub>d-wave</sub>	5%
<i>3P</i>	5%
<i>4P</i>	10%

A.F., Y.G., Z.L., Y.N. and A.A.P.  
Phys.Rev. D69, 114021, 2004

E.Golowich and A.A.P.  
Phys.Lett. B427, 172, 1998



**Resume:** a contribution to  $x$  and  $y$  of the order of 1% is natural in the SM

What about New Physics?

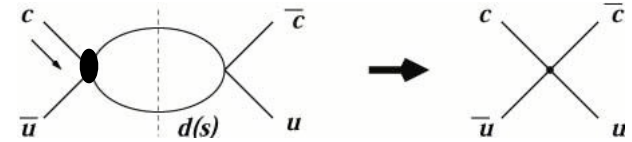
# How New Physics affects $x$ and $y$

- Local  $\Delta C=2$  piece of the mass matrix affects  $x$ :

$$\left( M - \frac{i}{2} \Gamma \right)_{ij} = m_D^{(0)} \delta_{ij} + \frac{1}{2m_D} \langle D_i^0 | H_W^{\Delta C=2} | D_j^0 \rangle + \frac{1}{2m_D} \sum_I \frac{\langle D_i^0 | H_W^{\Delta C=1} | I \rangle \langle I | H_W^{\Delta C=1} | D_j^0 \rangle}{m_D^2 - m_I^2 + i\epsilon}$$


- Double insertion of  $\Delta C=1$  affects  $x$  and  $y$ :

Amplitude  $A_n = \langle D^0 | (H_{SM}^{\Delta C=1} + H_{NP}^{\Delta C=1}) | n \rangle \equiv A_n^{SM} + A_n^{NP}$



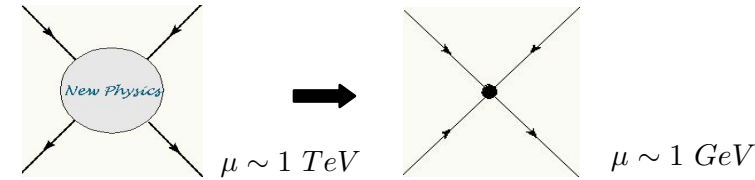
Suppose  $|A_n^{NP}| / |A_n^{SM}| : O(\text{exp. uncertainty}) \leq 10\%$

Example:  $y = \frac{1}{2\Gamma} \sum_n \rho_n \left( \bar{A}_n^{SM} + \bar{A}_n^{NP} \right) \left( A_n^{SM} + A_n^{NP} \right) \approx \frac{1}{2\Gamma} \sum_n \rho_n \bar{A}_n^{SM} A_n^{SM} + \frac{1}{2\Gamma} \sum_n \rho_n \left( \bar{A}_n^{SM} A_n^{NP} + \bar{A}_n^{NP} A_n^{SM} \right)$


  
 phase space

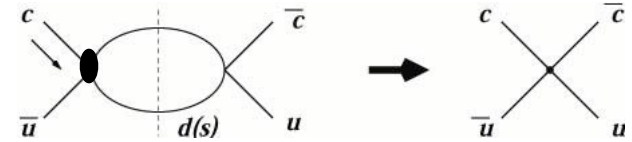
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phase space

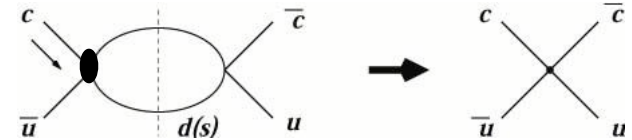
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↗  
phase space

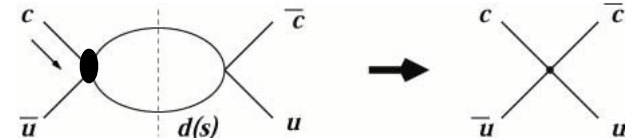
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phase space

**Zero** in the SU(3) limit

Falk, Grossman, Ligeti, and A.A.P.

Phys.Rev. D65, 054034, 2002

2<sup>nd</sup> order effect!!!

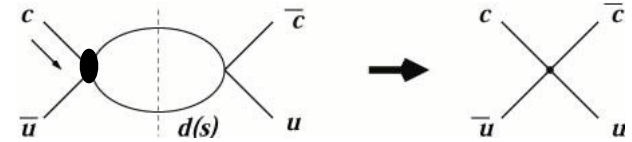
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phase space

Zero in the SU(3) limit

Can be significant!!!

Falk, Grossman, Ligeti, and A.A.P.  
Phys.Rev. D65, 054034, 2002  
2<sup>nd</sup> order effect!!!

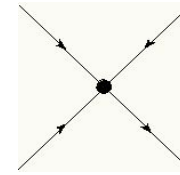
# Global Analysis of New Physics: $\Delta C=1$

E. Golowich, S. Pakvasa, A.A.P.  
Phys. Rev. Lett. 98, 181801, 2007

➤ Let's write the most general  $\Delta C=1$  Hamiltonian

$$\mathcal{H}_{\text{NP}}^{\Delta C=-1} = \sum_{q,q'} D_{qq'} [\bar{C}_1(\mu) Q_1 + \bar{C}_2(\mu) Q_2],$$

$$Q_1 = \bar{u}_i \bar{\Gamma}_1 q'_j \bar{q}_j \bar{\Gamma}_2 c_i, \quad Q_2 = \bar{u}_i \bar{\Gamma}_1 q'_i \bar{q}_j \bar{\Gamma}_2 c_j,$$



$\mu \leq 1 \text{ TeV}$

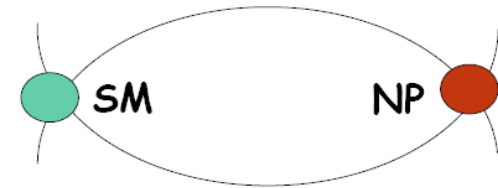
Only light on-shell (propagating) quarks affect  $\Delta\Gamma$ :

$$y = -\frac{4\sqrt{2}G_F}{M_D \Gamma_D} \sum_{q,q'} \mathbf{V}_{cq'}^* \mathbf{V}_{uq} D_{qq'} (K_1 \delta_{ik} \delta_{j\ell} + K_2 \delta_{i\ell} \delta_{jk})$$

$$\times \sum_{\alpha=1}^5 I_{\alpha}(x, x') \langle \bar{D}^0 | \mathcal{O}_{\alpha}^{ijkl} | D^0 \rangle,$$

with  $K_1 = [c_1 \bar{c}_1 N_c + (c_1 \bar{c}_2 + \bar{c}_1 c_2)]$ ,  $K_2 = c_2 \bar{c}_2$  and

This is the master formula for NP contribution to lifetime differences in heavy mesons



$$\mathcal{O}_1^{ijkl} = \bar{u}_k \Gamma_{\mu} \gamma_{\nu} \bar{\Gamma}_2 c_j \bar{u}_{\ell} \bar{\Gamma}_1 \gamma^{\nu} \Gamma^{\mu} c_i$$

$$\mathcal{O}_2^{ijkl} = \bar{u}_k \Gamma_{\mu} \not{p}_c \bar{\Gamma}_2 c_j \bar{u}_{\ell} \bar{\Gamma}_1 \not{p}_c \Gamma^{\mu} c_i$$

$$\mathcal{O}_3^{ijkl} = \bar{u}_k \Gamma_{\mu} \bar{\Gamma}_2 c_j \bar{u}_{\ell} \bar{\Gamma}_1 \not{p}_c \Gamma^{\mu} c_i$$

$$\mathcal{O}_4^{ijkl} = \bar{u}_k \Gamma_{\mu} \not{p}_c \bar{\Gamma}_2 c_j \bar{u}_{\ell} \bar{\Gamma}_1 \Gamma^{\mu} c_i$$

$$\mathcal{O}_5^{ijkl} = \bar{u}_k \Gamma_{\mu} \bar{\Gamma}_2 c_j \bar{u}_{\ell} \bar{\Gamma}_1 \Gamma^{\mu} c_i$$

# Global Analysis of New Physics: $\Delta C=1$

➤ Some examples of New Physics contributions

Model	$y_D$	Comment
RPV-SUSY	$6 \cdot 10^{-6}$	Squark Exch.
	$-4 \cdot 10^{-2}$	Slepton Exch.
Left-right	$-5 \cdot 10^{-6}$	'Manifest'.
	$-8.8 \cdot 10^{-5}$	'Nonmanifest'.
Multi-Higgs	$2 \cdot 10^{-10}$	Charged Higgs
Extra Quarks	$10^{-8}$	Not Little Higgs

E. Golowich, S. Pakvasa, A.A.P.  
Phys. Rev. Lett. 98, 181801, 2007

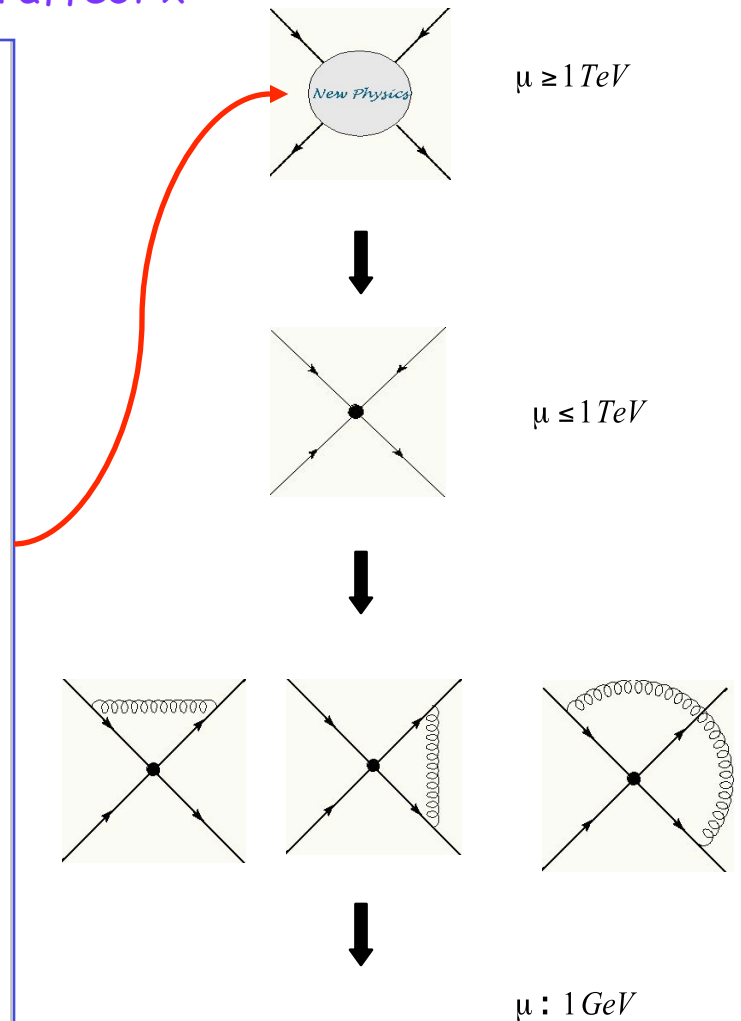
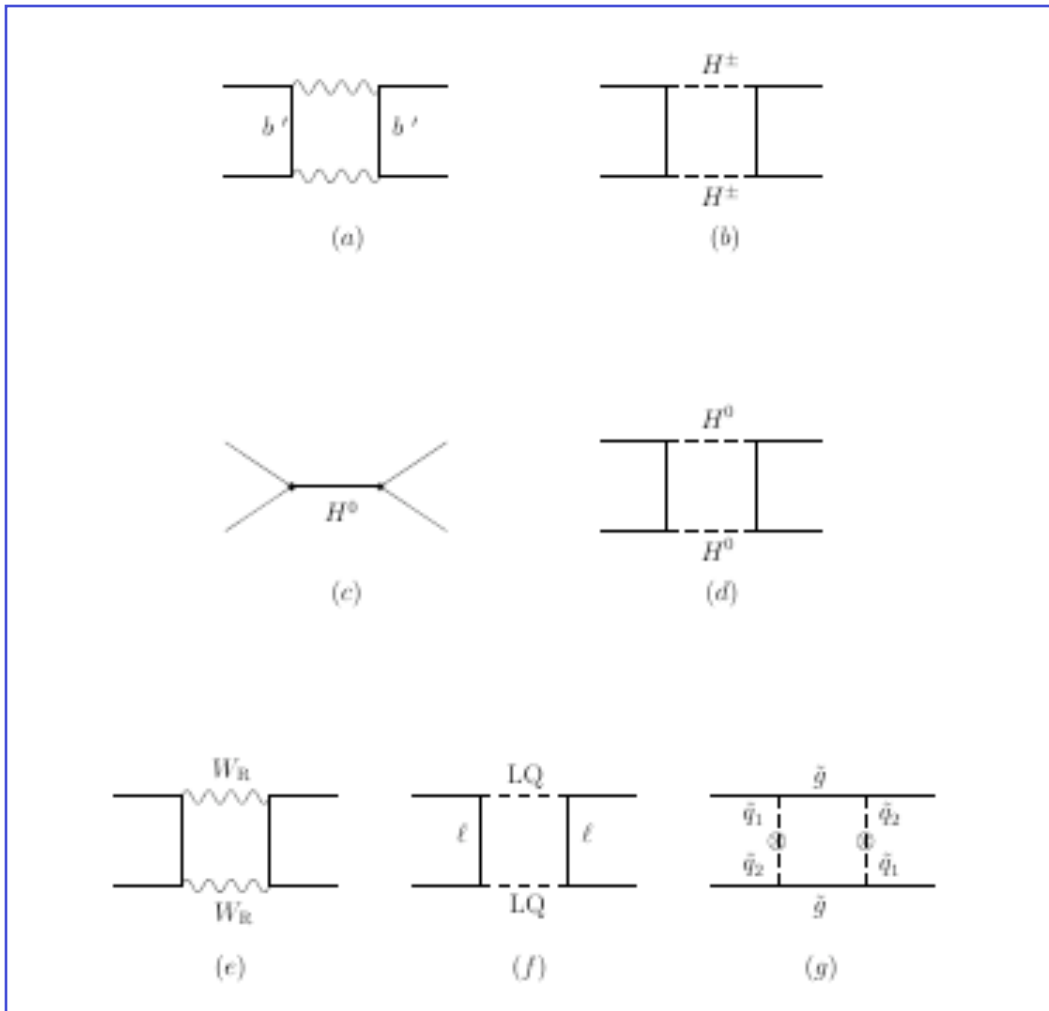
A.A.P. and G. Yeghiyan  
Phys. Rev. D77, 034018 (2008)

For considered models, the results are smaller than observed mixing rates



# Global Analysis of New Physics: $\Delta C=2$

➤ Multitude of various models of New Physics can affect  $x$



# Global Analysis of New Physics: $\Delta C=2$

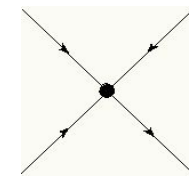
E.Golowich, J. Hewett, S. Pakvasa and A.A.P.  
Phys. Rev. D76:095009, 2007

➤ Let's write the most general  $\Delta C=2$  Hamiltonian

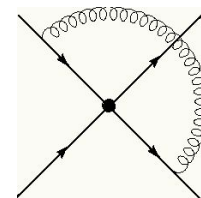
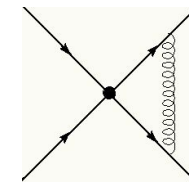
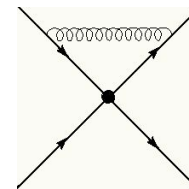
$$\langle f | \mathcal{H}_{NP} | i \rangle = G \sum_{i=1} C_i(\mu) \langle f | Q_i | i \rangle(\mu)$$

... with the following set of 8 independent operators...

$$\begin{aligned} Q_1 &= (\bar{u}_L \gamma_\mu c_L) (\bar{u}_L \gamma^\mu c_L), & Q_5 &= (\bar{u}_R \sigma_{\mu\nu} c_L) (\bar{u}_R \sigma^{\mu\nu} c_L), \\ Q_2 &= (\bar{u}_L \gamma_\mu c_L) (\bar{u}_R \gamma^\mu c_R), & Q_6 &= (\bar{u}_R \gamma_\mu c_R) (\bar{u}_R \gamma^\mu c_R), \\ Q_3 &= (\bar{u}_L c_R) (\bar{u}_R c_L), & Q_7 &= (\bar{u}_L c_R) (\bar{u}_L c_R), \\ Q_4 &= (\bar{u}_R c_L) (\bar{u}_R c_L), & Q_8 &= (\bar{u}_L \sigma_{\mu\nu} c_R) (\bar{u}_L \sigma^{\mu\nu} c_R). \end{aligned}$$



$\mu \leq 1 \text{ TeV}$



$\mu : 1 \text{ GeV}$

RG-running relate  $C_i(m)$  at NP scale to the scale of  $m \sim 1 \text{ GeV}$ , where ME are computed (on the lattice)

$$\frac{d}{d \log \mu} \vec{C}(\mu) = \hat{\gamma}^T(\mu) \vec{C}(\mu)$$

Each model of New Physics provides unique matching condition for  $C_i(L_{NP})$

# New Physics in $x$ : lots of extras

E.Golowich, J. Hewett, S. Pakvasa and A.A.P.  
Phys. Rev. D76:095009, 2007

New Physics contributions do not suffer from QCD uncertainties as much as SM contributions since they are short-distance dominated.

- Extra gauge bosons

Left-right models, horizontal symmetries, etc.

- Extra scalars

Two-Higgs doublet models, leptoquarks, Higgsless, etc.

- Extra fermions

4<sup>th</sup> generation, vector-like quarks, little Higgs, etc.

- Extra dimensions

Universal extra dimensions, split fermions, warped ED, etc.

- Extra symmetries

SUSY: MSSM, alignment models, split SUSY, etc.

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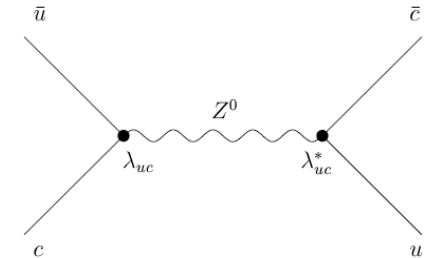
SUSY: MSSM, alignment models, split SUSY, etc.

**Total:** 21 models considered

# Dealing with New Physics-I

➤ Consider an example: FCNC  $Z^0$ -boson

appears in models with  
extra vector-like quarks  
little Higgs models



1. Integrate out Z: for  $\mu < M_Z$  get

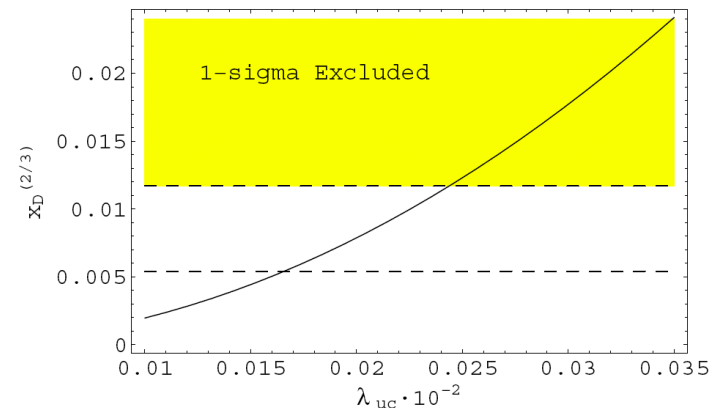
$$\mathcal{H}_{2/3} = \frac{g^2}{8 \cos^2 \theta_w M_Z^2} (\lambda_{uc})^2 \bar{u}_L \gamma_\mu c_L \bar{u}_L \gamma^\mu c_L$$

2. Perform RG running to  $\mu \sim m_c$  (in general: operator mixing)

$$\mathcal{H}_{2/3} = \frac{g^2}{8 \cos^2 \theta_w M_Z^2} (\lambda_{uc})^2 r_1(m_c, M_Z) Q_1$$

3. Compute relevant matrix elements and  $x_D$

$$x_D^{(2/3)} = \frac{2G_F f_D^2 M_D}{3\sqrt{2}\Gamma_D} B_D (\lambda_{uc})^2 r_1(m_c, M_Z)$$

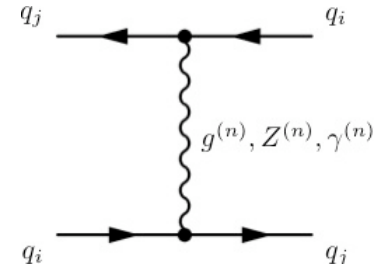


4. Assume no SM - get an upper bound on NP model parameters (coupling)

# Dealing with New Physics - II

➤ Consider another example: warped extra dimensions

FCNC couplings via KK gluons



1. Integrate out KK excitations, drop all but the lightest

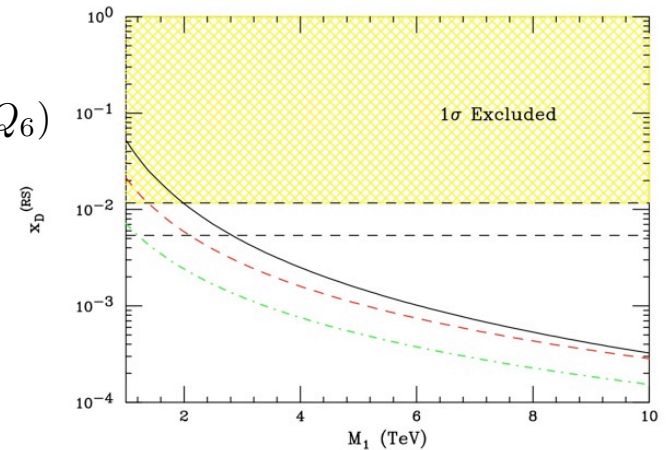
$$\mathcal{H}_{RS} = \frac{2\pi k r_c}{3M_1^2} g_s^2 (C_1(M_n)Q_1 + C_2(M_n)Q_2 + C_6(M_n)Q_6)$$

2. Perform RG running to  $\mu \sim m_c$

$$\mathcal{H}_{RS} = \frac{g_s^2}{3M_1^2} (C_1(m_c)Q_1 + C_2(m_c)Q_2 + C_3(m_c)Q_3 + C_6(m_c)Q_6)$$

3. Compute relevant matrix elements and  $x_D$

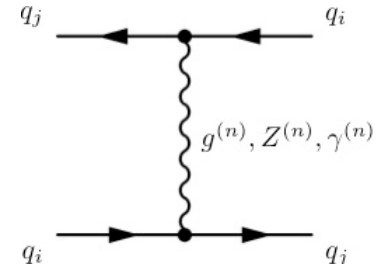
$$x_D^{(RS)} = \frac{g_s^2}{3M_1^2} \frac{f_D^2 B_D M_D}{\Gamma_D} \left( \frac{2}{3} [C_1(m_c) + C_6(m_c)] - \frac{1}{6} C_2(m_c) - \frac{5}{12} C_3(m_c) \right)$$



# Dealing with New Physics - II

➤ Consider another example: warped extra dimensions

FCNC couplings via KK gluons



1. Integrate out KK excitations, drop all but the lightest

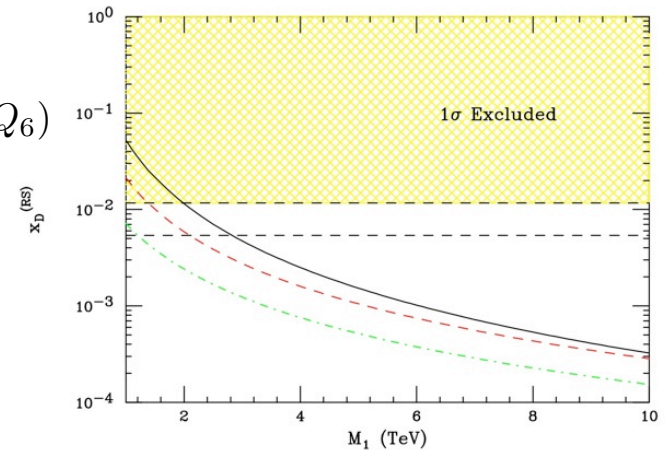
$$\mathcal{H}_{RS} = \frac{2\pi k r_c}{3M_1^2} g_s^2 (C_1(M_n)Q_1 + C_2(M_n)Q_2 + C_6(M_n)Q_6)$$

2. Perform RG running to  $\mu \sim m_c$

$$\mathcal{H}_{RS} = \frac{g_s^2}{3M_1^2} (C_1(m_c)Q_1 + C_2(m_c)Q_2 + C_3(m_c)Q_3 + C_6(m_c)Q_6)$$

3. Compute relevant matrix elements and  $x_D$

$$x_D^{(RS)} = \frac{g_s^2}{3M_1^2} \frac{f_D^2 B_D M_D}{\Gamma_D} \left( \frac{2}{3} [C_1(m_c) + C_6(m_c)] - \frac{1}{6} C_2(m_c) - \frac{5}{12} C_3(m_c) \right)$$

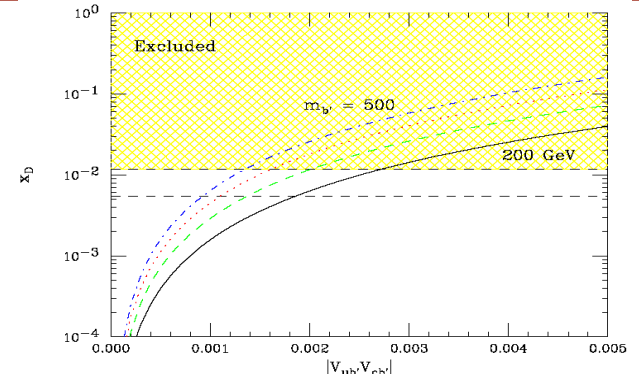


Implies:  $M_{1KKg} > 3.5 \text{ TeV!}$

# New Physics in x: extra fermions

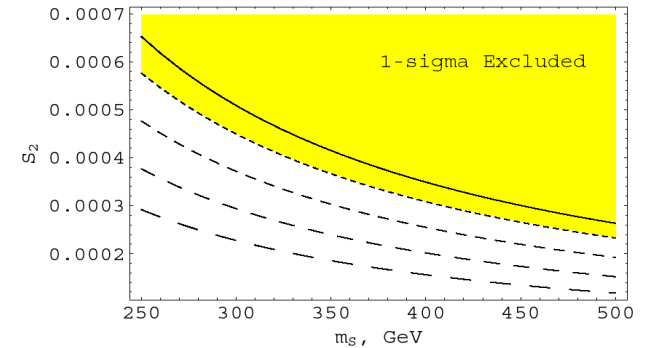
## ➤ Fourth generation

$$x_D^{(4^{th})} = \frac{G_F^2 M_W^2}{6\pi^2 \Gamma_D} f_D^2 M_D B_D \lambda_{b'}^2 S(x_{b'}, x_{b'}) r_1(m_c, M_W)$$



## ➤ Vector-like quarks (Q=+2/3)

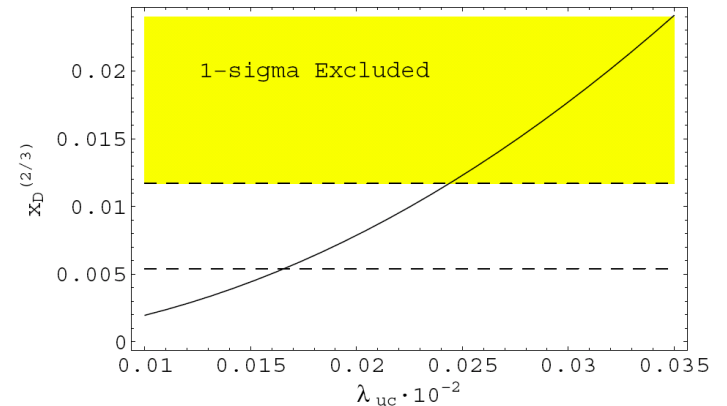
$$x_D^{(-1/3)} \simeq \frac{G_F^2}{6\pi^2 \Gamma_D} f_D^2 B_D r_1(m_c, M_W) M_D M_W^2 (V_{cS}^* V_{uS})^2 f(x_S)$$



## ➤ Vector-like quarks (Q=-1/3)

$$x_D^{(2/3)} = \frac{2G_F}{3\sqrt{2}\Gamma_D} (\lambda_{uc})^2 r_1(m_c, M_Z) f_D^2 M_D B_1$$

$$\lambda_{uc} \equiv -(V_{ud}^* V_{cd} + V_{us}^* V_{cs} + V_{ub}^* V_{cb})$$

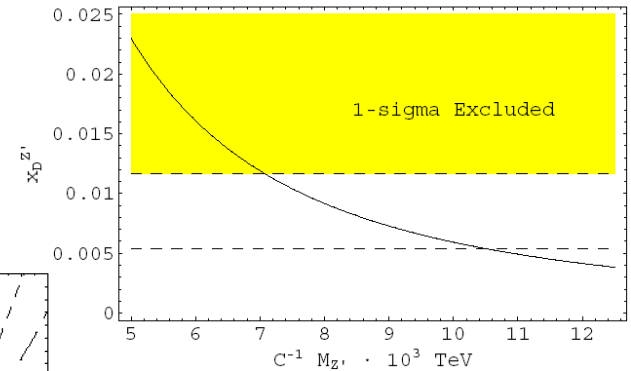




# New Physics in x: extra vector bosons

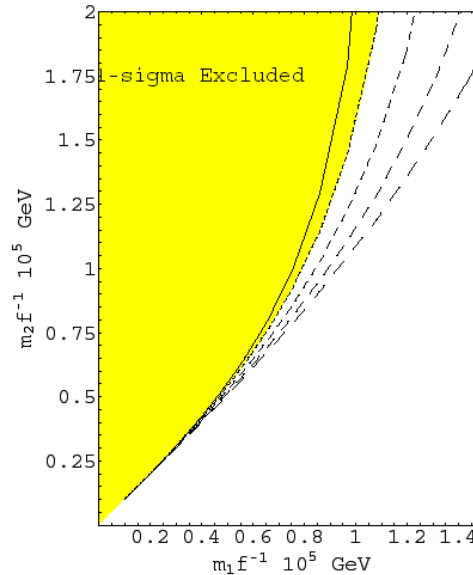
## ➤ Generic Z' models

$$x_D^{(Z')} = \frac{f_D^2 B_D M_D}{2\Gamma_D M_{Z'}^2} \left[ \frac{2}{3} (C_1(m_c) + C_6(m_c)) + C_2(m_c) \left( -\frac{1}{2} + \frac{\eta}{3} \right) + C_3(m_c) \left( \frac{1}{12} - \frac{\eta}{2} \right) \right]$$



## ➤ Family symmetry

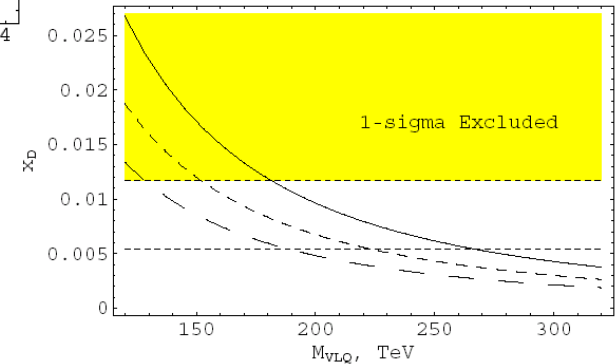
$$x_D^{(FS)} = \frac{2}{3\Gamma_D} r_1(m_c, M) \left( \frac{f^2}{m_1^2} - \frac{f^2}{m_2^2} \right) f_D^2 M_D B_D$$



## ➤ Vector leptoquarks

$$x_D^{(VLQ)} = -\frac{1}{8\pi^2 m_{LQ}^2 \Gamma_D M_D} \left[ (\lambda_L \langle Q_1 \rangle + \lambda_R \langle Q_6 \rangle) + \frac{10}{9} \frac{m_c^2}{m_{LQ}^2} (\lambda_L \langle Q_7 \rangle + \lambda_R \langle Q_4 \rangle) \right]$$

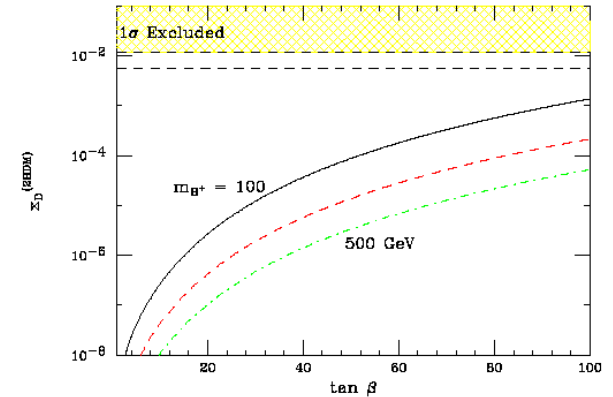
$$= -\frac{f_D^2 M_D B_D}{12\pi^2 m_{LQ}^2 \Gamma_D} (\lambda_L + \lambda_R) \left( 1 + \frac{5\eta}{3} \frac{m_c^2}{m_{LQ}^2} \right),$$



# New Physics in $x$ : extra scalars

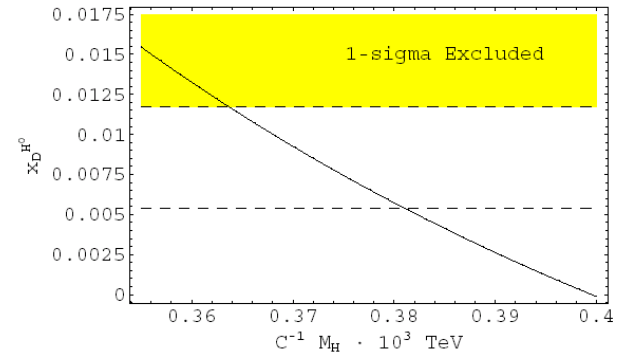
## ➤ 2-Higgs doublet model

$$x_D^{(2HDM)} = \frac{G_F^2 M_W^2}{6\pi^2 \Gamma_D} f_D^2 M_D B_D r_1(m_c, M_{H^\pm}) \times \sum_{i,j} \lambda_i \lambda_j \left[ \tan^4 \beta A_{HH}(x_i, x_j, x_H) + \tan^2 \beta A_{WH}(x_i, x_j, x_H) \right]$$



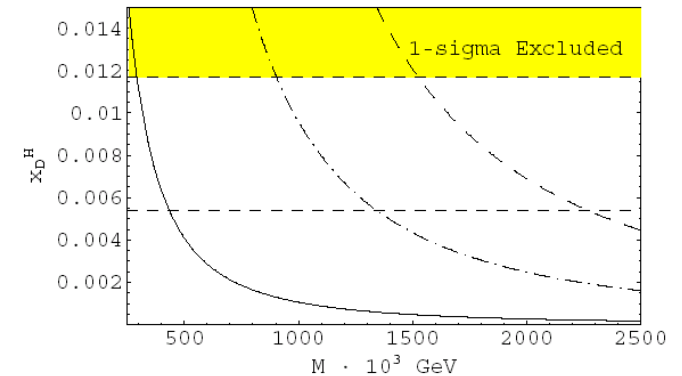
## ➤ Flavor-changing neutral Higgs

$$x_D^{(H)} = \frac{5f_D^2 M_D B_D}{24\Gamma_D M_H^2} \left[ \frac{1-6\eta}{5} C_3(m_c) + \eta (C_4(m_c) + C_7(m_c)) - \frac{12\eta}{5} (C_5(m_c) + C_8(m_c)) \right]$$



## ➤ Higgsless models

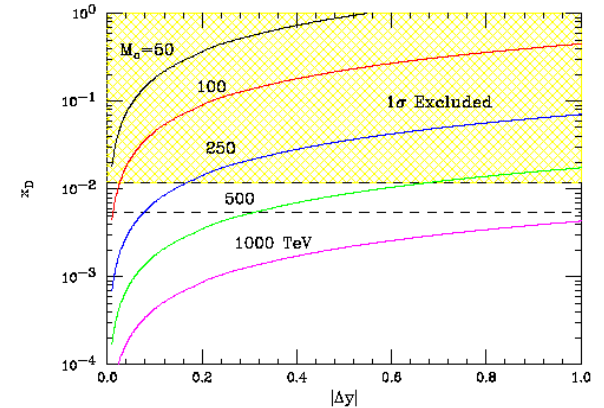
$$x_D^{(H)} = \frac{f_D^2 M_D B_D}{\Gamma_D} (e_L^c s_L^c)^2 \frac{g^2}{M^2} \left[ \frac{2}{3} (C_1(m_c) + C_6(m_c)) + C_2(m_c) \left( -\frac{1}{2} + \frac{\eta}{3} \right) + \frac{1}{12} C_3(m_c) (1 - 6\eta) \right]$$



# New Physics in x: extra dimensions

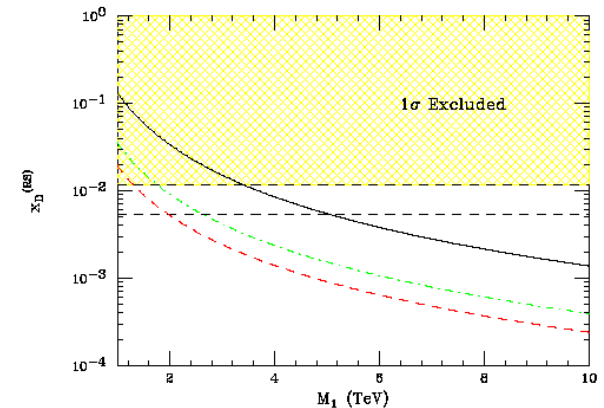
## ➤ Split fermion models

$$x_D^{(split)} = \frac{2}{9\Gamma_D} g_s^2 R_c^2 \pi^2 \Delta y r_1(m_c, M) |V_{L11}^u V_{L12}^{u*}|^2 f_D^2 M_D B_1$$



## ➤ Warped geometries

$$x_D^{(RS)} = \frac{g_s^2}{3M_1^2} \frac{f_D^2 B_D M_D}{\Gamma_D} \left( \frac{2}{3} [C_1(m_c) + C_6(m_c)] - \frac{1}{6} C_2(m_c) - \frac{5}{12} C_3(m_c) \right)$$



+ others...

# Summary: New Physics

Model	Approximate Constraint
Fourth Generation (Fig. 2)	$ V_{ub}V_{cb}  \cdot m_W < 0.5 \text{ (GeV)}$
$Q = -1/3$ Singlet Quark (Fig. 4)	$s_2 \cdot m_S < 0.27 \text{ (GeV)}$
$Q = +2/3$ Singlet Quark (Fig. 6)	$ \lambda_{uc}  < 2.4 \cdot 10^{-4}$
Little Higgs	Tree: See entry for $Q = -1/3$ Singlet Quark Box: Region of parameter space can reach observed $x_p$
Generic $Z'$ (Fig. 7)	$M_{Z'}/C > 2.2 \cdot 10^3 \text{ TeV}$
Family Symmetries (Fig. 8)	$m_1/f > 1.2 \cdot 10^3 \text{ TeV}$ (with $m_1/m_2 = 0.5$ )
Left-Right Symmetric (Fig. 9)	No constraint
Alternate Left-Right Symmetric (Fig. 10)	$M_R > 1.2 \text{ TeV}$ ( $m_{D_1} = 0.5 \text{ TeV}$ ) $(\Delta m/m_{D_1})/M_R > 0.4 \text{ TeV}^{-1}$
Vector Leptoquark Bosons (Fig. 11)	$M_{VLQ} > 55(\lambda_{PP}/0.1) \text{ TeV}$
Flavor Conserving Two-Higgs-Doublet (Fig. 13)	No constraint
Flavor Changing Neutral Higgs (Fig. 15)	$m_H/C > 2.4 \cdot 10^3 \text{ TeV}$
FC Neutral Higgs (Cheng-Sher ansatz) (Fig. 16)	$m_H/ \Delta_{uc}  > 600 \text{ GeV}$
Scalar Leptoquark Bosons	See entry for RPV SUSY
Higgsless (Fig. 17)	$M > 100 \text{ TeV}$
Universal Extra Dimensions	No constraint
Split Fermion (Fig. 19)	$M/ \Delta y  > (6 \cdot 10^2 \text{ GeV})$
Warped Geometries (Fig. 21)	$M_1 > 3.5 \text{ TeV}$
Minimal Supersymmetric Standard (Fig. 23)	$ (\delta_{12}^q)_{LR,RL}  < 3.5 \cdot 10^{-2}$ for $\tilde{m} \sim 1 \text{ TeV}$ $ (\delta_{12}^q)_{LL,RR}  < .25$ for $\tilde{m} \sim 1 \text{ TeV}$
Supersymmetric Alignment	$\tilde{m} > 2 \text{ TeV}$
Supersymmetry with RPV (Fig. 27)	$\lambda'_{12k}\lambda'_{11k}/m_{\tilde{d}_{R,k}} < 1.8 \cdot 10^{-3}/100 \text{ GeV}$
Split Supersymmetry	No constraint

- ✓ Considered 21 well-established models
- ✓ Only 4 models yielded no useful constraints
- ✓ Consult paper for explicit constraints

**E. Golowich, J. Hewett, S. Pakvasa and A.A.P.**  
**Phys. Rev. D76:095009, 2007**

# Conclusions

- Indirect effects of New Physics at flavor factories help to distinguish among models possibly observed at the LHC
  - a combination of bottom/charm sector studies
  - don't forget measurements unique to tau-charm factories
- Charm provides great opportunities for New Physics studies
  - unique access to up-type quark sector
  - large available statistics
  - mixing:  $x, y = 0$  in the SU(3) limit (as  $V_{cb}^* V_{ub}$  is very small)
  - mixing is a **second** order effect in SU(3) breaking
  - it is conceivable that  $y \sim x \sim 1\%$  in the Standard Model
  - large contributions from **New Physics** are possible
  - **out of 21 models studied, 17 yielded competitive constraints**
  - **additional input to LHC inverse problem**
- Observation of CP-violation in the current round of experiments provide "smoking gun" signals for New Physics

# Meeting of the Division of Particles and Fields of the American Physical Society (DPF 2009)

*July 26-31, 2009, Detroit, Michigan*

The 2009 Meeting of the Division of Particles and Fields of the American Physical Society will be held on campus of [Wayne State University](http://www.wayne.edu) in Detroit, Michigan.

<http://www.dpf2009.wayne.edu/>

Please consider attending!!!

Additional slides

## Questions:

1. Can any model-independent statements be made for  $x$  or  $y$  ?

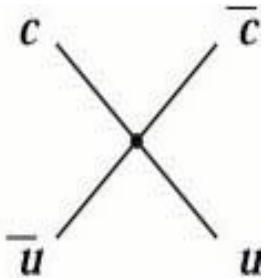
What is the order of SU(3) breaking?  
i.e. if  $x, y \propto m_s^n$  what is n?

2. Can one claim that  $y \sim 1\%$  is natural?



# Theoretical expectations

At which order in  $SU(3)_F$  breaking does the effect occur? Group theory?



$$\langle D^0 | H_W H_W | \bar{D}^0 \rangle \Rightarrow \langle 0 | D H_W H_W D | 0 \rangle$$

is a singlet with  $D \otimes D_i$  that belongs to  $\mathbf{3}$  of  $SU(3)_F$  (one light quark)

The  $\Delta C=1$  part of  $H_W$  is  $(\bar{q}_i c)(\bar{q}_j q_k)$  i.e.  $3 \times \bar{3} \times 3 = \bar{15} + 6 + \bar{3} + 3 \Rightarrow H_k^{ij}$

$$O_{\bar{15}} = (\bar{s}d)(\bar{u}d) + (\bar{u}c)(\bar{s}d) + s_1(\bar{d}c)(\bar{u}d) + s_1(\bar{u}c)(\bar{d}d) \\ - s_1(\bar{s}c)(\bar{u}s) - s_1(\bar{u}c)(\bar{s}s) - s_1^2(\bar{d}c)(\bar{u}s) - s_1^2(\bar{u}c)(\bar{d}s)$$

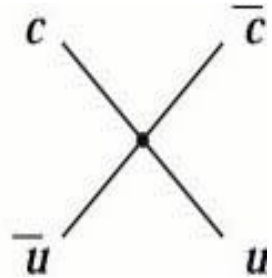


$$O_6 = (\bar{s}d)(\bar{u}d) - (\bar{u}c)(\bar{s}d) + s_1(\bar{d}c)(\bar{u}d) - s_1(\bar{u}c)(\bar{d}d) \\ - s_1(\bar{s}c)(\bar{u}s) + s_1(\bar{u}c)(\bar{s}s) - s_1^2(\bar{d}c)(\bar{u}s) + s_1^2(\bar{u}c)(\bar{d}s)$$

Introduce  $SU(3)$  breaking via the quark mass operator  $M_j^i = \text{diag}(m_u, m_d, m_s)$

All nonzero matrix elements built of  $D_i, H_k^{ij}, M_j^i$  must be  $SU(3)$  singlets

# Theoretical expectations



note that  $D_i D_j$  is symmetric  $\Rightarrow$  belongs to  $\mathbf{6}$  of  $SU(3)_F$

$$\langle D^0 | H_W H_W | \bar{D}^0 \rangle \Rightarrow \langle 0 | D H_W H_W D | 0 \rangle$$

Explicitly,

$$DD \Rightarrow D_6$$

$$H_W H_W \Rightarrow O_{60} + O_{42} + O_{15'}$$

1. No  $\bar{\mathbf{6}}$  in the decomposition of  $H_W H_W \Rightarrow$  no  $SU(3)$  singlet can be formed



D mixing is prohibited by  $SU(3)$  symmetry

2. Consider a single insertion of  $M_j^i \Rightarrow D_6 M$  transforms as  $6 \times 8 = 24 + \bar{15} + 6 + \bar{3} \Rightarrow$  still no  $SU(3)$  singlet can be formed



NO D mixing at first order in  $SU(3)$  breaking

3. Consider double insertion of  $M \Rightarrow DMM : 6 \times (8 \times 8)_S = (60 + \bar{42}) + 24 + \bar{15} + \bar{15} + 6 + (24 + 15 + 6 + \bar{3}) + 6$



D mixing occurs only at the second order in  $SU(3)$  breaking

A.F., Y.G., Z.L., and A.A.P.  
Phys.Rev. D65, 054034, 2002

# Quantum coherence: supporting measurements

Time-dependent  $D^0(t) \otimes K^+\pi^-$  analysis

$$\Gamma[D^0(t) \otimes K^+\pi^-] = e^{-\Gamma t} |A_{K^+\pi^-}|^2 \left[ R + \sqrt{R} R_m (y' \cos \phi - x' \sin \phi) \Gamma t + \frac{R_m^2}{4} (y^2 + x^2) (\Gamma t)^2 \right]$$

where  $R = \left| \frac{A_{K^+\pi^-}}{A_{K^+\pi^-}} \right|^2$  and  $x' = x \cos \delta + y \sin \delta$   
 $y' = y \cos \delta - x \sin \delta$

Strong phase  $\delta$  is zero in the SU(3) limit and strongly model-dependent

A. Falk, Y. Nir and A.A.P.,  
 JHEP 12 (1999) 019

Strong phase can be measured at CLEO-c!

$$\sqrt{2} A(D_{CP\pm} \otimes K^-\pi^+) = A(D^0 \otimes K^-\pi^+) \pm A(\bar{D}^0 \otimes K^-\pi^+)$$

$$\cos \delta = \frac{Br(D_{CP+} \otimes K^-\pi^+) - Br(D_{CP-} \otimes K^-\pi^+)}{2\sqrt{R} Br(D^0 \otimes K^-\pi^+)}$$

With  $3 \text{ fb}^{-1}$  of data  $\cos \delta$  can be determined to  $|\Delta \cos \delta| < 0.05!$

Silva, Soffer;  
 Gronau, Grossman, Rosner

# Theoretical expectations

- If SU(3) breaking enters perturbatively, it is a **second order effect**...

$$A_i = A_{SU(3)} + \delta_i$$

A. Falk, Y. Grossman,  
Z. Ligeti, and A.A.P.  
Phys.Rev. D65, 054034, 2002

- **Known counter-example:**

1. **Very narrow** light quark resonance with  $m_R \sim m_D$

$$x, y \sim \frac{g_{DR}^2}{m_D^2 - m_R^2} \sim \frac{g_{DR}^2}{m_D^2 - m_0^2 - 2m_0\delta_R}$$

Most probably don't exist...

see E.Golowich and A.A.P.  
Phys.Lett. B427, 172, 1998

- What happens if part of the multiplet is **kinematically forbidden**?

Example: both  $D^0 \rightarrow 4\pi$  and  $D^0 \rightarrow 4K$  are from the same multiplet, but the latter is **kinematically forbidden**

see A.F., Y.G., Z.L., and A.A.P.  
Phys.Rev. D65, 054034, 2002