

# Physical renormalization condition for the quark-mixing matrix (QMM)

Markus Roth  
Max-Planck-Institut, Munich

3. April 2004

in collaboration with A. Denner and E. Kraus

- Introduction
- Restrictions on counterterms from symmetries
- Physical renormalization condition for QMM
- Summary and conclusions

## Introduction

### Motivation

- Precise measurement at the B factories Babar and Belle
- Renormalization effects of QMM in SM are **small**

$$W \text{ decay into quark pair: } \frac{\delta\sigma}{\sigma} = \mathcal{O}\left(\frac{\alpha}{\pi} \frac{m_b^2}{M_W^2}\right) \approx 10^{-5}$$

- Renormalization of QMM **conceptually interesting**
- Possible guideline for other mixing matrices in extensions of the SM

### Desirable properties of a renormalization condition for the QMM

- QMM counterterms cancels all UV divergences in physical matrix elements
- Gauge independence of the renormalized QMM
- Unitarity of the renormalized QMM
- Physically motivated renormalization condition, where all quark generations should be treated on equal footing.

## QMM in the Standard Model (SM)

Appearance of QMM in SM:

$$\underbrace{\bar{Q}_i^{\prime L} G_{ij}^d \Phi d_j^{\prime R}}_{\text{Yukawa interaction}} \rightarrow -m_d^2 (\bar{d}_i^L d_i^R + \bar{d}_i^R d_i^L) - \frac{e}{\sqrt{2} M_{W\text{SW}}} (\bar{u}_i^L V_{ij}^+ \phi^+ m_{d,j} d_j^R),$$

$$\underbrace{i \bar{Q}_i^{\prime L} \not{D}_{ij} Q_j^{\prime L}}_{\text{Kinetic term}} \rightarrow -i \frac{e}{\sqrt{2} s_W} (\bar{u}_i^L V_{ij}^+ W^+ d_j^L + \bar{d}_i^L V_{ij}^{\dagger} W^- u_j^L).$$

Natural choice for renormalization condition:

$$W^+ \rightarrow u_i \bar{d}_j \quad \text{or} \quad \bar{u}_i \rightarrow W^- \bar{d}_j \quad (\text{for top quark})$$

Unstable particles: Unsolved problem, will be ignored in the following.

Unitarity of the QMM:  $V = V^\dagger$

Physical parameters: 3 angles and 1 CP-violating phase

## W-boson decay $W^+ \rightarrow u_i \bar{d}_j$

Matrix element to  $W^+ \rightarrow u_i \bar{d}_j$ :

$$\mathcal{M}_{ij} = \sum_{a=1}^2 \sum_{\sigma=\pm} F_{a,ij}^\sigma \mathcal{M}_{a,ij}^\sigma \quad \mathcal{M}_{1,ij}^- = -\frac{e}{\sqrt{2}s_W} \bar{u}(p_{u,i}) \not{\epsilon}(p_W) \omega_{-} v(p_{d,j}),$$

$$F_1^- = V + \delta F_{\text{loop},1}^- + \delta F_{\text{ct}} + \delta V.$$

Explicit 1-loop calculation in dimensional regularization:

$\Rightarrow$  Divergences in  $\delta F_{\text{loop},1}^- V =$  **hermitian**

Unitarity of QMM yields  $\delta V V =$  **anti-hermitian**

Decompose counterterms in a hermitian and an anti-hermitian part:

$$\delta F_{\text{ct}} V = \underbrace{\left( \delta Z_W + \frac{\delta e}{e} - \frac{\delta s_W}{s_W} \right) + \frac{1}{2} \left\{ [\delta Z^{u,Lt} + \delta Z^{u,L}] + V [\delta Z^{d,L} + \delta Z^{d,Lt}] V \right\}}_{\text{hermitian}} + \underbrace{\frac{1}{2} \left\{ [\delta Z^{u,Lt} - \delta Z^{u,L}] + V [\delta Z^{d,L} - \delta Z^{d,Lt}] V \right\}}_{\text{anti-hermitian}}.$$

## Proposals for renormalization conditions

- Denner, Sack'90:  
Counterterm for QMM guided by UV divergences:

$$\delta V^{\text{DS}} \doteq -\frac{1}{2} \left\{ [(\delta Z^{u,L})^\dagger - \delta Z^{u,L}] V + V [\delta Z^{d,L} - (\delta Z^{d,L})^\dagger] \right\}.$$

Problem:  $\delta V$  and matrix element for  $W^+ \rightarrow u_i \bar{d}_j$  is gauge dependent at 1 loop!

Gambino, Grassi, Madricardo'98

- Gambino, Grassi, Madricardo'98:  
Same  $\delta V$  as in Denner-Sack scheme but with field renormalization constants  $\delta Z^{u/d,L}$  fixed at zero momenta via

$$\Gamma_{\bar{u}_i u_j}(0) = 0, \quad \frac{\partial}{\partial \not{p}} \Gamma_{\bar{u}_i u_j}^L(0) = 0.$$

- Gauge independence of QMM shown **only at 1-loop level**.

Remark: Appearance of terms  $\propto 1/(m_{q,i}^2 - m_{q,j}^2)$ .

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Balzereit, Mannel, Plümper '99; Pilaftsis '02

- **$\overline{\text{MS}}$  scheme:**

$$\delta V^{\overline{\text{MS}}} \doteq -\frac{1}{2} \left\{ \left[ (\delta Z^{u,L,\overline{\text{MS}}})^\dagger - \delta Z^{u,L,\overline{\text{MS}}} \right] V + V \left[ \delta Z^{d,L,\overline{\text{MS}}} - (\delta Z^{d,L,\overline{\text{MS}}})^\dagger \right] \right\}.$$

- Fully consistent, yields gauge-independent results.
  - Results depend on unphysical renormalization scale.
- Remark: Physical matrix elements include terms  $\propto 1/(m_{q,i}^2 - m_{q,j}^2)$ .

- **Diener, Kniehl'01:**

- QMM counterterms from difference to reference theories with no mixing; restore unitarity of QMM in a second step
- Renormalization procedure worked out **only at 1 loop**.

- **Zhou'03:**

Renormalization condition for QMM:

$$\delta V = -\delta F_{\text{ct}} - \text{corresponding loop contributions.}$$

Restore unitarity in a second step.

- **Only proposal, leaves many questions open**

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- **Denner, Roth, Kraus'04:**

- Physical renormalization condition for QMM

- **Fully consistent and fulfills all desired properties**
- Renormalization condition equivalent to the one of Zhou
- Detail discussion of restrictions of symmetries on counterterms

## Gauge-parameter dependence

Kluberg-Stern, Zuber '75  
Piguët, Sibold '85

Extending BRS symmetry by BRS-varying gauge parameter  $\xi$ :

$$s_{\text{BRS}}\xi = \chi, \quad s_{\text{BRS}}\chi = 0, \quad \chi = \text{constant ghost field.}$$

$\chi$  is a constant ghost

Requirement for BRS-invariant counterterms:

$$s_{\text{BRS}}\Gamma_{\text{ct}} = 0$$

Parameters of QMM have to be gauge independent:

$$0 \stackrel{!}{=} s_{\text{BRS}} \left( \delta\theta_n \frac{\partial}{\partial\theta_n} \Gamma_{\text{cl}} \right) = (s_{\text{BRS}}\delta\theta_n) \frac{\partial}{\partial\theta_n} \Gamma_{\text{cl}} + \delta\theta_n \frac{\partial}{\partial\theta_n} \underbrace{(s_{\text{BRS}}\Gamma_{\text{cl}})}_{=0} = \chi (\partial_\xi \delta\theta_n) \frac{\partial}{\partial\theta_n} \Gamma_{\text{cl}}.$$

$\Rightarrow$  Parameters of QMM are gauge independent ( $\partial_\xi \delta\theta_n = 0$ ).

Remark: Slavnov-Taylor operator required for a detailed proof  
since BRS transformations that are non-linear in propagating fields  
receive in general ( $\xi$ -dependent) corrections!



## Restrictions from $SU(2)_L$ gauge symmetry

Global (broken)  $SU(2)_L$  invariance:

$$\begin{aligned} \delta_+^{\text{rig}} u_i^L &= \frac{i}{\sqrt{2}} V_{ij} d_j^L, & \delta_+^{\text{rig}} \bar{u}_i^L &= 0, \\ \delta_+^{\text{rig}} d_i^L &= 0, & \delta_+^{\text{rig}} \bar{d}_i^L &= -\frac{i}{\sqrt{2}} \bar{u}_j^L V_{ji}, \end{aligned} \quad \text{etc.}$$

Invariant counterterms:

$$\delta_{\text{BRS}} \Gamma_{\text{ct}} = 0, \quad \delta_a^{\text{rig}} \Gamma_{\text{ct}} = 0 \quad a = +, -, 3.$$

- Relates field renormalization of left-handed quarks:
 
$$u_i^L \rightarrow \left( \mathbf{1} + \delta Z_{\text{inv}}^{u,L} \right)_{ij} u_j^L, \quad d_i^L \rightarrow \left( \mathbf{1} + V^\dagger \delta Z_{\text{inv}}^{u,L} V \right)_{ij} d_j^L, \quad \text{etc.}$$
- Requires extension of  $\delta\theta_n$  by field renormalizations:
 
$$\delta_a^{\text{rig}} \delta\theta_n \frac{\partial}{\partial\theta_n} \Gamma_{\text{cl}} \neq 0,$$

$$\Gamma_{\text{ct}} = \delta\theta_n \frac{\partial}{\partial\theta_n} \Gamma_{\text{cl}} + \frac{1}{2} \int d^4x \left[ u_i^L \frac{\delta}{\delta u_j^L} \frac{\partial V_{ik} V_{kj}^\dagger}{\partial\theta_n} + \text{etc} \right] \Gamma_{\text{cl}}.$$

## Invariant counterterms

Invariant counterterms:

$$S_{\text{BRS}}\Gamma_{\text{ct}} = 0, \quad \delta_a^{\text{rig}}\Gamma_{\text{ct}} = 0.$$

Available invariant counterterms:

$$\begin{aligned} V_{ij} &\rightarrow V_{ij} + \delta\theta_n \partial_{\theta_n} V_{ij} \quad (\partial_\xi \delta\theta_n = 0), \\ w_i^L &\rightarrow Z_{ij}^{u,L} w_j^L = \left( \mathbf{1} + \delta Z_{\text{inv}}^{u,L}(\xi) + \frac{1}{2} \delta\theta_n (\partial_{\theta_n} V) V^\dagger \right)_{ij} w_j^L, \\ d_i^L &\rightarrow Z_{ij}^{d,L} d_j^L = \left( \mathbf{1} + V^\dagger \delta Z_{\text{inv}}^{u,L}(\xi) V - \frac{1}{2} \delta\theta_n V^\dagger (\partial_{\theta_n} V) \right)_{ij} d_j^L, \end{aligned}$$

etc.

resulting in the Denner-Sack prescription

$$\Rightarrow \delta V \equiv \delta\theta_n \partial_{\theta_n} V = -\frac{1}{2} \left\{ [(\delta Z^{u,L})^\dagger - \delta Z^{u,L}] V + V [\delta Z^{d,L} - (\delta Z^{d,L})^\dagger] \right\}.$$

Need full freedom in field renormalization for on-shell conditions:

⇒ Not enough freedom in field renormalization

Extended BRS symmetry &  $SU(2)_L$  symmetry in its classical form & complete on-shell conditions are in general not possible at the same time!

## Field redefinitions

Introduction of field redefinitions:

$$d_i^L \rightarrow (\delta_{ij} + \delta R_{ij}^{\text{fin}}) d_j^L.$$

done everywhere, in effective action, BRS and  $SU(2)_L$  symmetry.

- Does not spoil symmetries
- $\delta R^{\text{fin}}$  appear explicitly in  $SU(2)_L$  transformations  
 $\Rightarrow SU(2)_L$  symmetry is renormalized!

Available parameters for on-shell conditions:

$$\begin{aligned} V_{ij} &\rightarrow V_{ij} + \delta\theta_n \partial_{\theta_n} V_{ij}, \\ u_i^L &\rightarrow Z_{ij}^{u,L} u_j^L = \left( \mathbf{1} + \delta Z_{\text{inv}}^{u,L}(\xi) + \frac{1}{2} \delta\theta_n (\partial_{\theta_n} V) V^\dagger \right)_{ij} u_j^L, \\ d_i^L &\rightarrow Z_{ij}^{d,L} d_j^L = \left( \mathbf{1} + V^\dagger \delta Z_{\text{inv}}^{u,L}(\xi) V - \frac{1}{2} \delta\theta_n V^\dagger (\partial_{\theta_n} V) + \delta R^{\text{fin}}(\xi) \right)_{ij} d_j^L, \end{aligned}$$

etc.

$\Rightarrow$  Enough parameters to fulfill on-shell conditions!

## Physical renormalization condition

Use matrix element of  $W\bar{u}_i d_j$  vertex:

$$\mathcal{M}_{ij} = \sum_{a=1}^2 \sum_{\sigma=\pm} F_{a,ij}^\sigma \mathcal{M}_{a,ij}^\sigma, \quad \mathcal{M}_{1,ij}^- = -\frac{e}{\sqrt{2}s_W} \bar{u}(p_{u,i}) \not{p}(p_W) \omega_- v(p_{d,j}),$$

$$F_1^- = V + \delta F_{\text{loop},1}^- + \delta F_{\text{ct}} + \delta V.$$

Decompose  $F_1^-$  into an unitary and hermitian part:

$$F_1^- = HY, \quad H^\dagger = H, \quad Y^\dagger Y = \mathbf{1}.$$

Physical renormalization condition:

$$Y \stackrel{!}{=} V \quad \text{or} \quad F_1^- \stackrel{!}{=} HV$$

The unitary part of the form factor  $F_1^-$  does not receive quantum corrections!

This renormalization condition leads to

$$\delta V \stackrel{!}{=} -\frac{1}{2} \left\{ [(\delta Z^{u,L})^\dagger - \delta Z^{u,L}] V + V [\delta Z^{d,L} - (\delta Z^{d,L})^\dagger] \right\} \\ -\frac{1}{2} \left[ \delta F_{\text{loop},1}^- - V (\delta F_{\text{loop},1}^-)^\dagger V \right].$$

Renormalization condition fixes  $N^2 = 9$  parameters of a general unitary matrix, but QMM has only  $(N - 1)^2 = 4$  physical parameters.

Need in addition the  $2N - 1 = 5$  unphysical phases for field renormalization of the quark fields which are not fixed by on-shell conditions

## Available parameters to fix $\delta V$

Not all parameters of field renormalization fixed by on-shell conditions:

$$q_i^{L/R} \rightarrow Z_{ij}^{q,L/R} q_j^{L/R}, \quad Z^{q,L/R} = \underbrace{U^{q,L/R} H^{q,L/R}}_{\text{unitary hermitian}}.$$

Quark mass diagonalization:

$$\Gamma_{\text{bil}} = \int d^4x \left\{ \underbrace{i\bar{u}^L (H^{u,L})^\dagger H^{u,L} \phi u^L}_{\text{fixes hermitian part}} + \underbrace{\bar{u}^L (H^{u,L})^\dagger (U^{u,L})^\dagger M_{\text{diag}}^u U^{u,R} H^{u,R} u_j^R + \dots}_{\text{fixes unitary part}} \right\}$$

up to unphysical phases

⇒ Unphysical phases from a common complex diagonal matrix in  $U^{u,L/R}$  and in  $U^{d,L/R}$  not fixed by on-shell conditions!

Unphysical phases from common complex diagonal matrix:

$$U_{ij}^{q,L/R} = \sum_{k=1}^N e^{i\tilde{\varphi}_i^q} \delta_{ik} \tilde{U}_{kj}^{q,L/R} = \left( e^{i\sum_{n=1}^{N-1} \varphi_n^q T_n^{\text{diag}}} e^{i\varphi_0^q T_0^{\text{diag}}} \right)_{ik} \tilde{U}_{kj}^{q,L/R}.$$

Freedom in the QMM:

$$V \rightarrow e^{-i(\varphi_0^u - \varphi_0^d) T_0^{\text{diag}}} e^{-i\sum_{n=1}^{N-1} \varphi_n^u T_n^{\text{diag}}} V e^{i\sum_{n=1}^{N-1} \varphi_n^d T_n^{\text{diag}}}.$$

$\Rightarrow 2N - 1 = 5$  unphysical phases available to adjust  $\delta V$

9 [=  $N^2$ ]

free parameters to fulfill renormalization condition for  $\delta V$

-5 [=  $2N - 1$ ]

unphysical phases from field renormalization

=4 [=  $(N - 1)^2$ ]

physical parameters of the QMM

$\Rightarrow$  Enough free parameters to fulfill renormalization condition for  $\delta V$ .

## Summary and conclusions

- Extended BRS symmetry rules gauge-parameter dependence of counterterms.  
 $\Rightarrow$  Counterterms to QMM are **gauge-parameter independent**.
- **Extended BRS symmetry & classical  $SU(2)_L$  symmetry & on-shell scheme at the same time in general not possible!**  
 $\Rightarrow$  Renormalization of  $SU(2)_L$  symmetry by **field redefinitions**
- **Physical renormalization condition** based on physical matrix elements to

$$W^+ \rightarrow u_i \bar{d}_j, \quad \bar{u}_i \rightarrow W^- \bar{d}_j \quad (\text{for top quark}).$$