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Topological properties and correlation effects in oxide heterostructures

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Outline

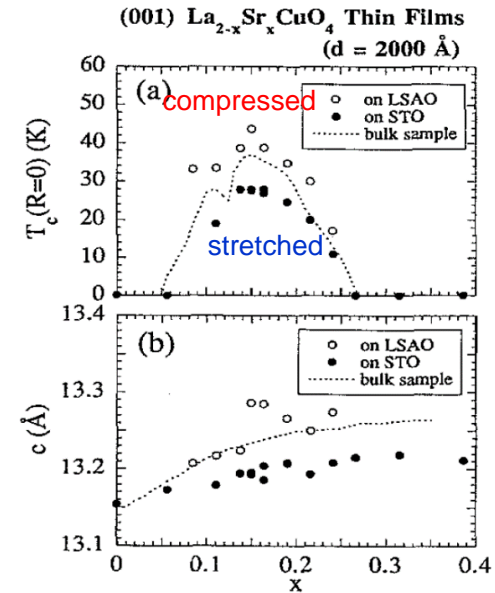
- **Oxide heterostructures**
- **Motivation**
- **Designing novel quantum states**
 - **Quantum spin Hall effects (DFT)**
 - **Correlation-induced Mott transition (DMFT)**
 - **Approach from Mott insulators (slave boson)**

Early work on “complex” oxide heterostructures

(Strain) control of bulk properties

Thin films of high- T_c cuprates

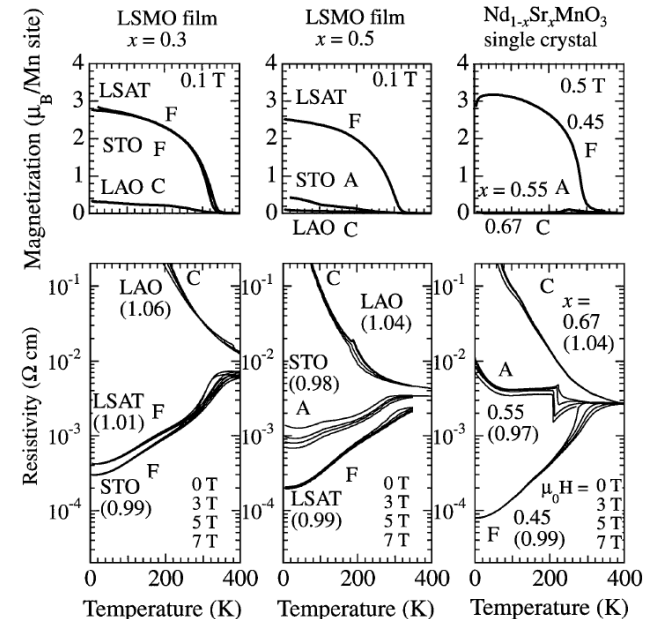
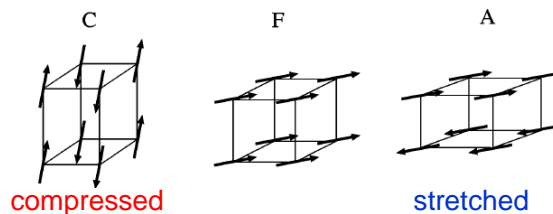
- I. Bozovic, *et al.* Atomic-layer engineering of cuprate superconductors, *J. Supercond.* **7**, 187 (1994).
- H. Sato and M. Naito, Increase in the superconducting transition temperature by anisotropic strain effect in (001) $\text{La}_{1.85}\text{Sr}_{0.15}\text{CuO}_4$ thin films on LaSrAlO_4 substrates, *Physica C* **274**, 221 (1997).



Sato & Naito

Thin films of CMR manganites

- M. Izumi, *et al.* Atomically defined epitaxy and physical properties of strained $\text{La}_{0.6}\text{Sr}_{0.4}\text{MnO}_3$ films, *Appl. Phys. Lett.* **73**, 2497 (1998).
- Y. Konishi, *et al.* Orbital-State-Mediated Phase-Control of Manganites, *J. Phys. Soc. Jpn.* **68**, 3790 (1999).



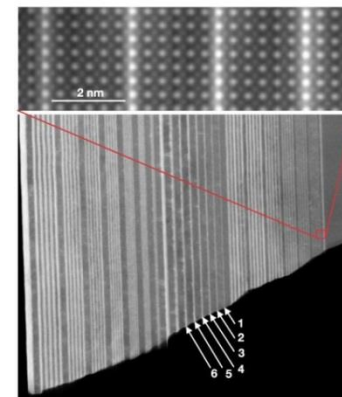
Konishi *et al.*

Work on oxide heterostructures

Exploring new electronic states: 21st century alchemy

Mott insulator (LaTiO₃)-band insulator (SrTiO₃) heterostructures

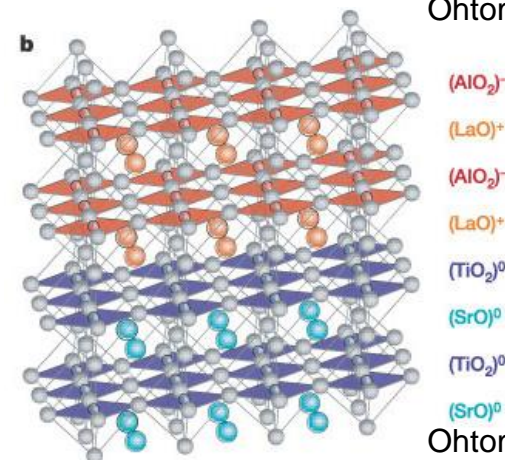
- A. Ohtomo, *et al.* Artificial charge-modulation in atomic-scale perovskite titanate superlattices, *Nature* **419**, 378 (2002).



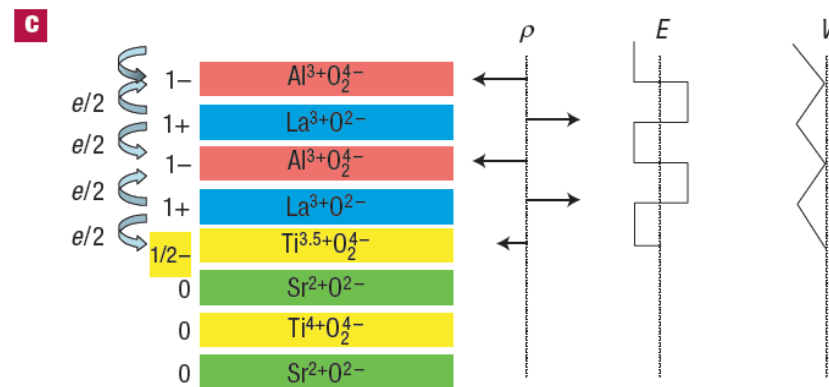
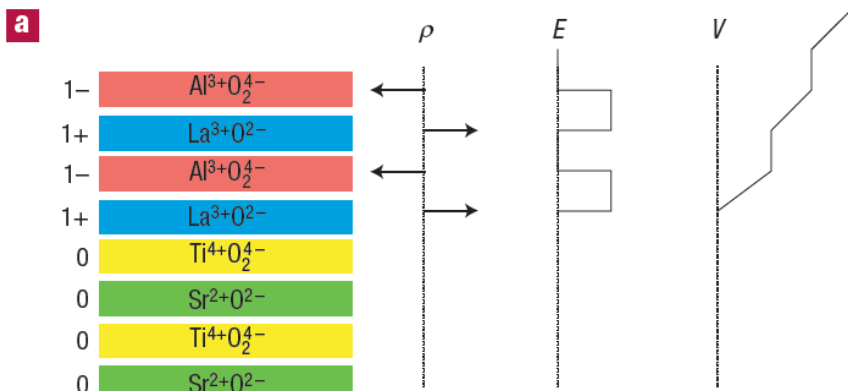
Ohtomo 2002

Band insulator² (LaAlO₃ and SrTiO₃) heterostructures

- A. Ohtomo and H. Y. Hwang, A high-mobility electron gas at the LaAlO₃/SrTiO₃ heterointerface, *Nature* **427**, 423 (2004).
- N. Nakagawa, *et al.* Why some interfaces cannot be sharp, *Nature Materials* **5**, 204 (2006).
- Metallic interfaces can become superconducting and magnetic (~400 papers by Web of Science from “LaAlO₃/SrTiO₃” and “2004-2014”).



Ohtomo 2004



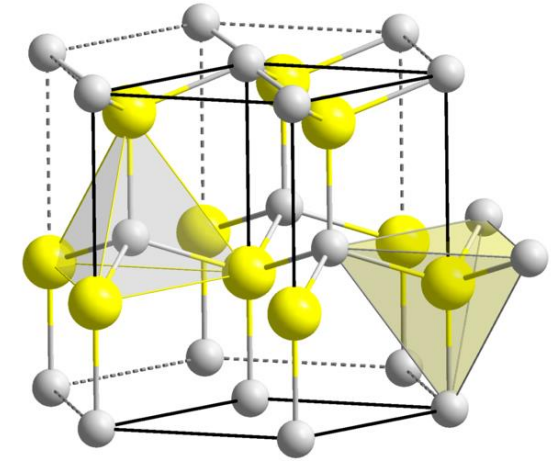
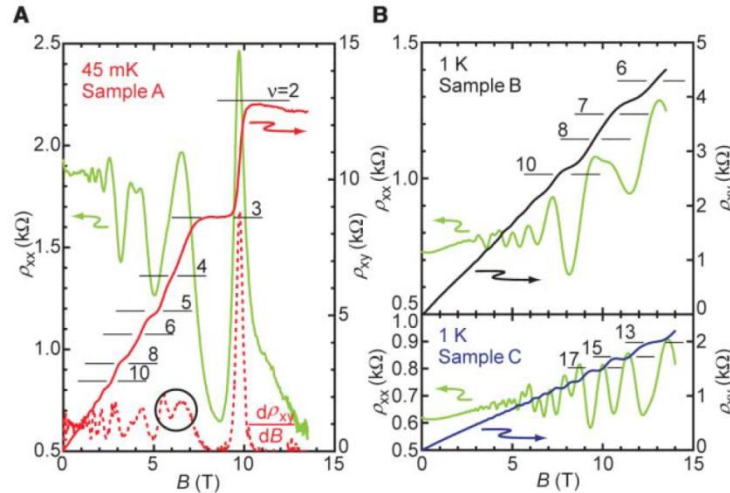
Nakagawa *et al.*

There are a lot of other heterostructures: manganites, nickelates, cuprates, *etc.*
and also ferroelectric materials

Recent work on ZnO heterostructures

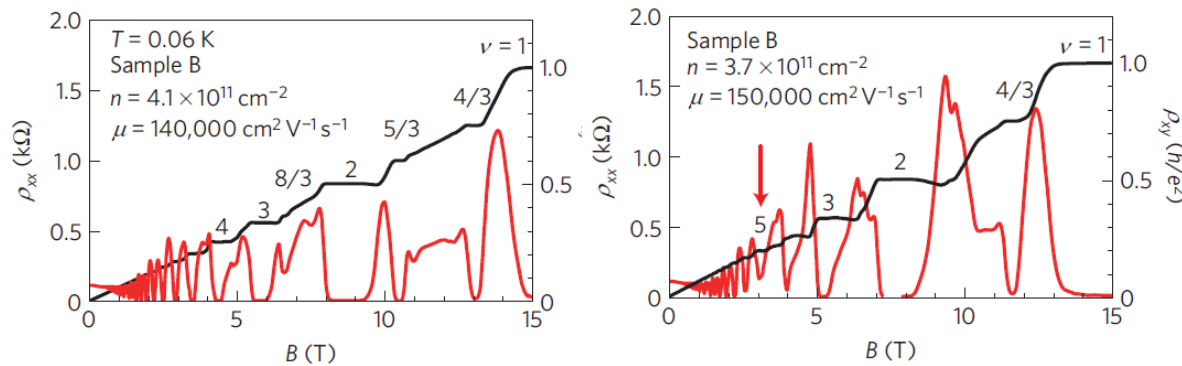
Quantum Hall effects

- A. Tsukazaki, *et al.* Quantum Hall effect in polar oxide heterostructures. *Science* **315**,1388 (2007).



ZnO with wurtzite structure

- A. Tsukazaki, *et al.* Observation of the fractional quantum Hall effect in an oxide, *Nature Materials* **9**, 889 (2010).



Quality of oxide heterostructures is becoming comparable with that of semiconductors. It is a right moment to explore novel phenomena using complex oxides.

Motivation of our study: Graphene

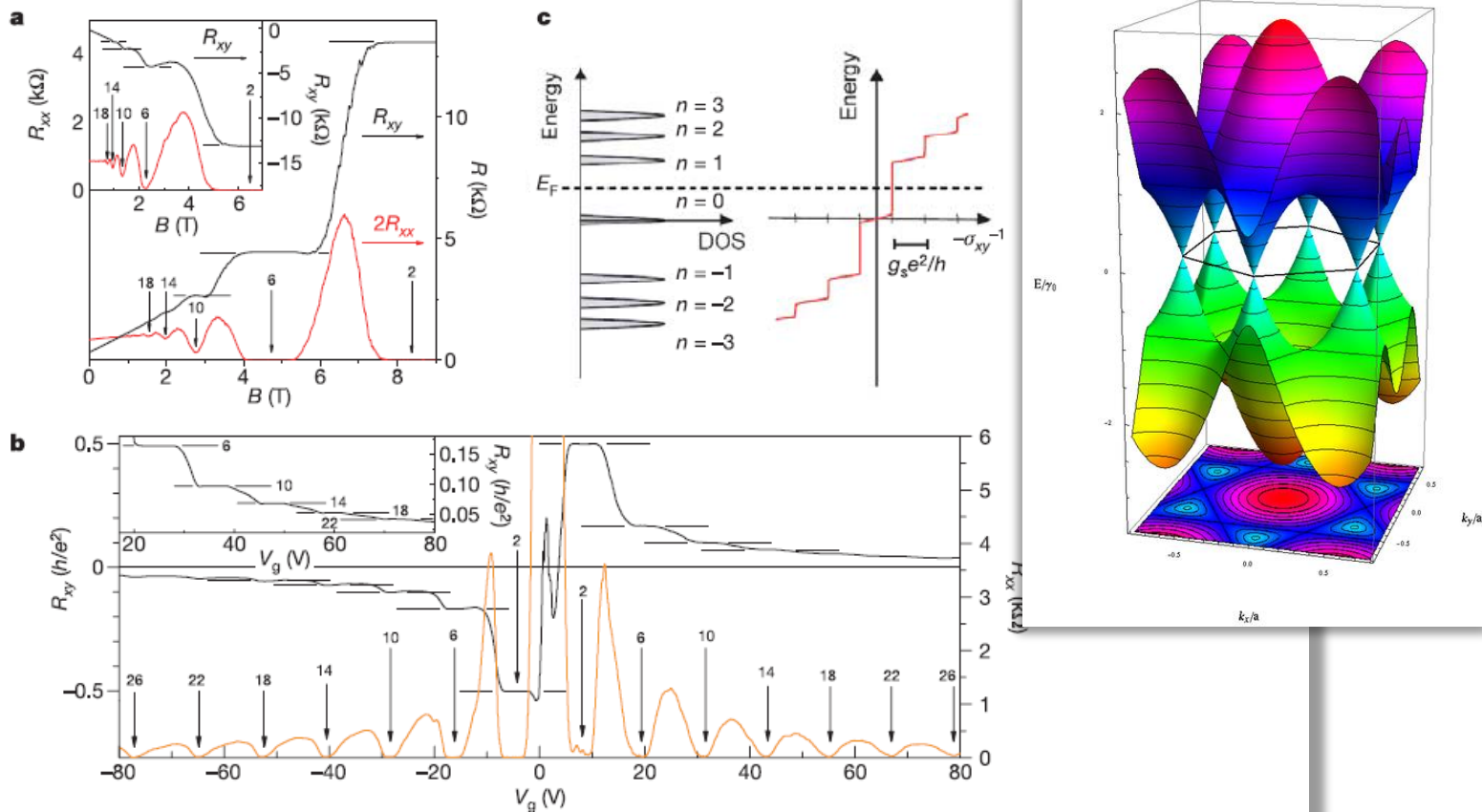


Figure 2 | Quantized magnetoresistance and Hall resistance of a graphene device. **a**, Hall resistance (black) and magnetoresistance (red) measured in the device in Fig. 1 at $T = 30$ mK and $V_g = 15$ V. The vertical arrows and the numbers on them indicate the values of B and the corresponding filling factor ν of the quantum Hall states. The horizontal lines correspond to $h/e^2\nu$ values. The QHE in the electron gas is shown by at least two quantized plateaux in R_{xy} , with vanishing R_{xx} in the corresponding magnetic field regime. The inset shows the QHE for a hole gas at $V_g = -4$ V, measured at 1.6 K. The quantized plateau for filling factor $\nu = 2$ is well defined, and the second and third plateaux with $\nu = 6$ and $\nu = 10$ are also resolved. **b**, Hall

resistance (black) and magnetoresistance (orange) as a function of gate voltage at fixed magnetic field $B = 9$ T, measured at 1.6 K. The same convention as in **a** is used here. The upper inset shows a detailed view of high-filling-factor plateaux measured at 30 mK. **c**, A schematic diagram of the Landau level density of states (DOS) and corresponding quantum Hall conductance (σ_{xy}) as a function of energy. Note that, in the quantum Hall states, $\sigma_{xy} = -R_{xy}^{-1}$. The LL index n is shown next to the DOS peak. In our experiment the Fermi energy E_F can be adjusted by the gate voltage, and R_{xy}^{-1} changes by an amount $g_s e^2/h$ as E_F crosses a LL.

Zhang, *et al.*, Nature **438**, 201 (2005).

Quantum Hall effects with massless Dirac fermions

Even more exotic phenomena are anticipated in interacting electron models on honeycomb lattice

Model for a Quantum Hall Effect without Landau Levels: Condensed-Matter Realization of the “Parity Anomaly”

F. D. M. Haldane PRL **61**, 2015 (1988)

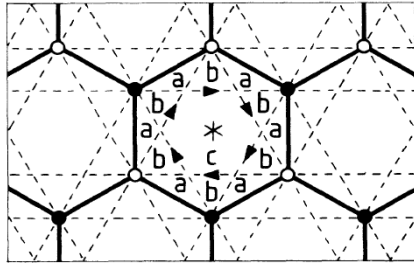


FIG. 1. The honeycomb-net model (“2D graphite”) showing nearest-neighbor bonds (solid lines) and second-neighbor bonds (dashed lines). Open and solid points, respectively, mark the *A* and *B* sublattice sites. The Wigner-Seitz unit cell is conveniently centered on the point of sixfold rotation symmetry (marked “*”) and is then bounded by the hexagon of nearest-neighbor bonds. Arrows on second-neighbor bonds mark the directions of positive phase hopping in the state with broken time-reversal invariance.

Z_2 Topological Order and the Quantum Spin Hall Effect

C. L. Kane and E. J. Mele PRL **95**, 146802 (2005)

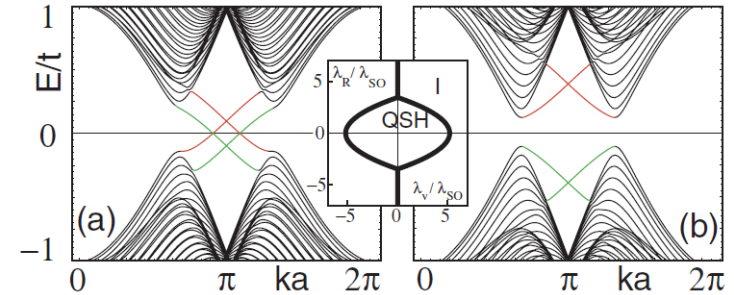


FIG. 1 (color online). Energy bands for a one-dimensional “zigzag” strip in the (a) QSH phase $\lambda_v = 0.1t$ and (b) the insulating phase $\lambda_v = 0.4t$. In both cases $\lambda_{SO} = .06t$ and $\lambda_R = .05t$. The edge states on a given edge cross at $ka = \pi$. The inset shows the phase diagram as a function of λ_v and λ_R for $0 < \lambda_{SO} \ll t$.

Topological Mott Insulators

S. Raghu,¹ Xiao-Liang Qi,¹ C. Honerkamp,² and Shou-Cheng Zhang¹ PRL**100**, 156401 (2008)

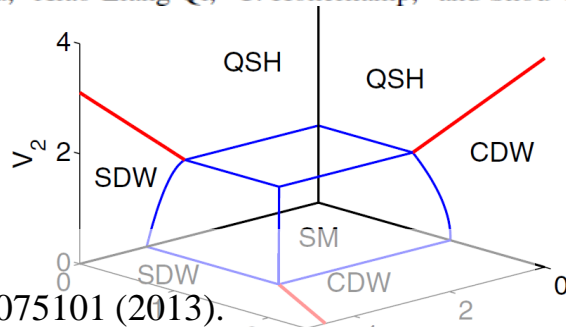


FIG. 3 (color online). Complete mean-field phase diagram for the spinful model. The transitions from the semimetal (SM) to the insulating phases are continuous, whereas transitions between any two insulating phases are first order.

Still under debate

Jia, *et al.*, PRB **88**, 075101 (2013).

Daghofer and Hohenadler, PRB **89**, 035103 (2014).

Scherer, Scherer, and Honerkamp,¹ arXiv:1507.08123.

Leading to one of the hottest areas in condensed matter physics: Topological insulators

Question: Can we explore such novel physics using complex (perovskite) oxides?

Merit using perovskite oxides

- In principle, electron-electron interactions and spin-orbit coupling can be controlled.
- Different exotic phases can be combined in heterostructures, such as SC, magnetism & Mott insulators.

Outline

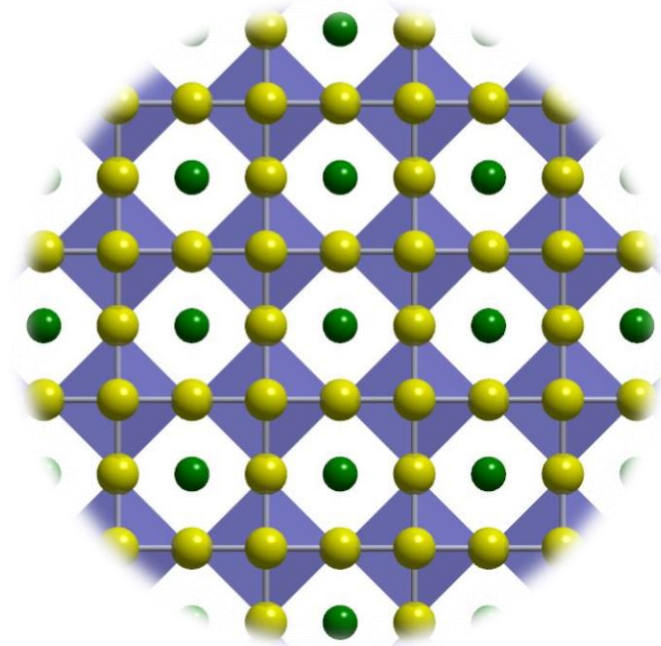
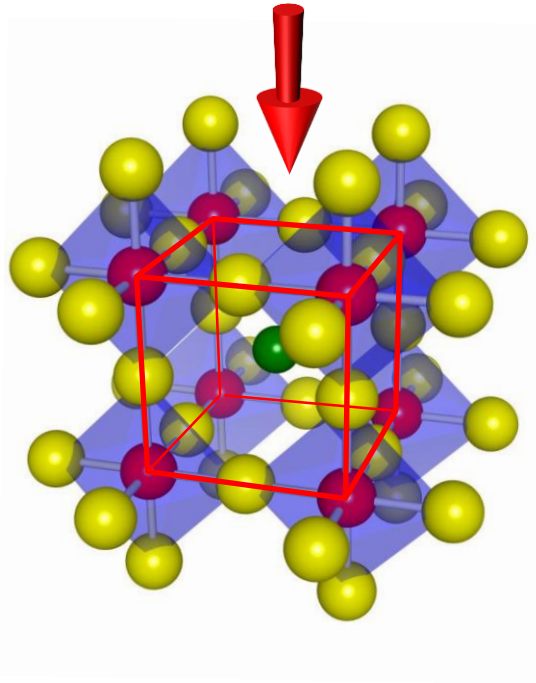
- Oxide heterostructures
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- **Designing novel quantum states**
 - Quantum spin Hall effects
 - Correlation-induced Mott transition
 - Approach from Mott insulators

Poor man's strategy

1. Mimic graphene (create honeycomb lattice)
2. Locate the Fermi level at Dirac points
3. Spin-orbit coupling would create 2D TI states

How can we realize honeycomb lattice?

Perovskite structure from the [001] direction



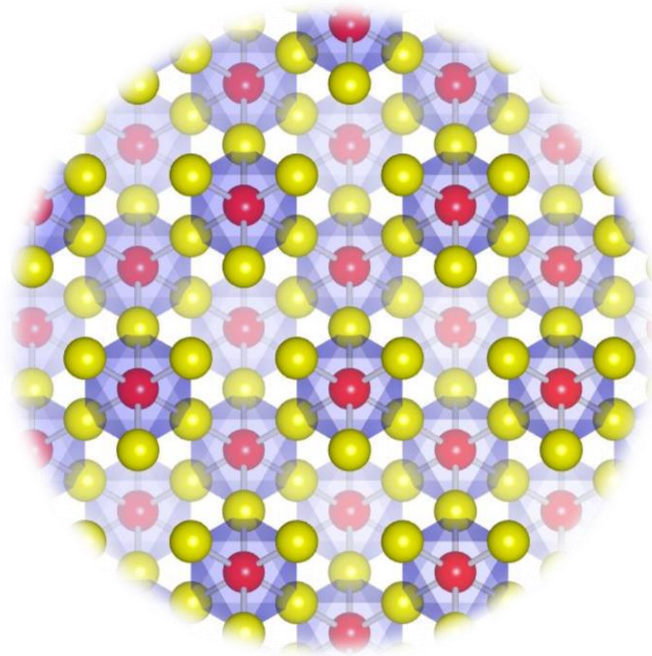
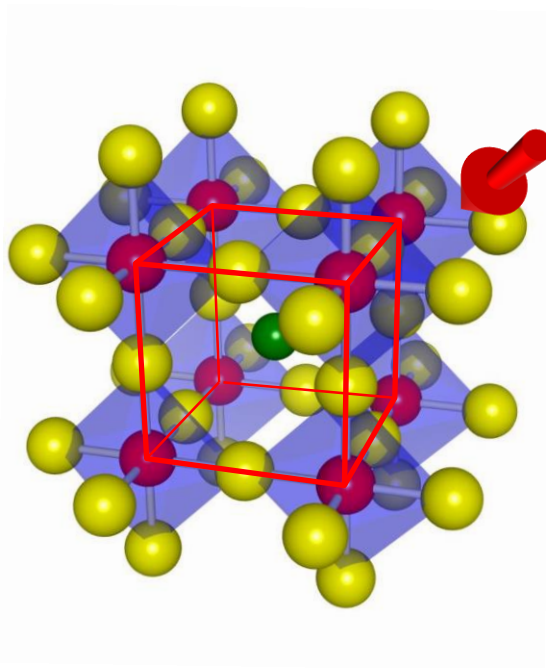
Square lattice

Poor man's strategy

1. Mimic graphene (create honeycomb lattice)
2. Locate the Fermi level at Dirac points
3. Spin-orbit coupling would create 2D TI states

How can we realize honeycomb lattice?

Perovskite structure from the $[111]$ direction



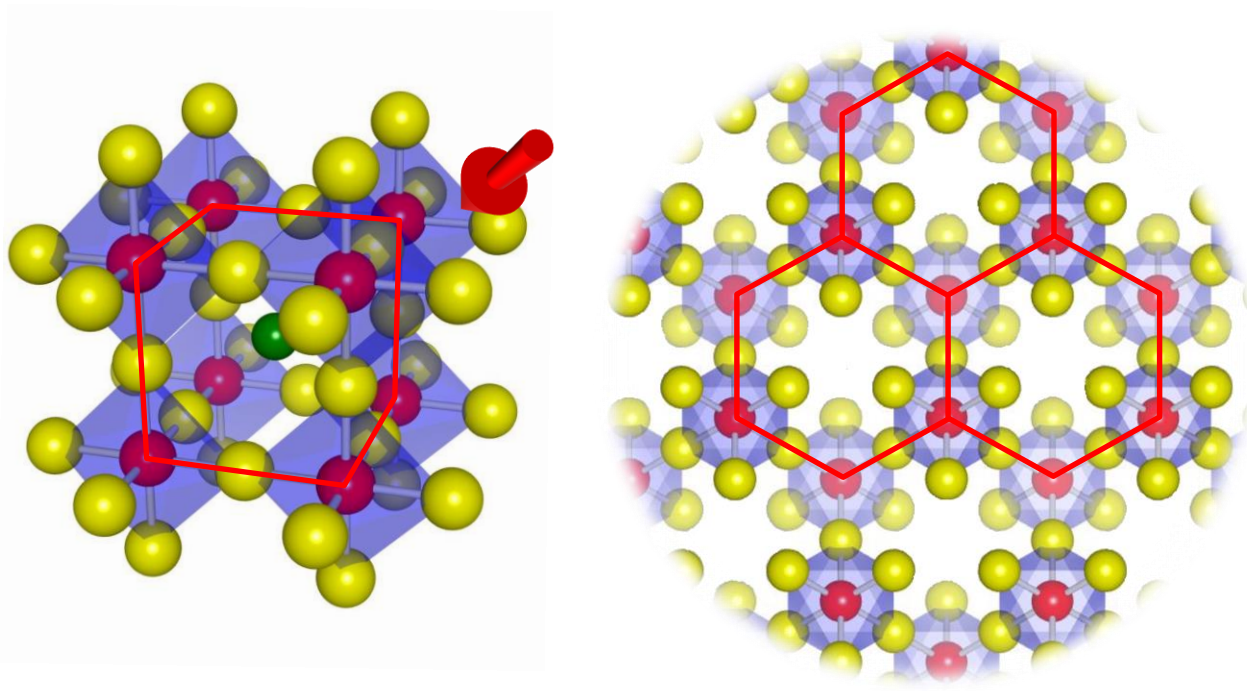
Trigonal symmetry

Poor man's strategy

1. Mimic graphene (create honeycomb lattice)
2. Locate the Fermi level at Dirac points
3. Spin-orbit coupling would create 2D TI states

How can we realize honeycomb lattice?

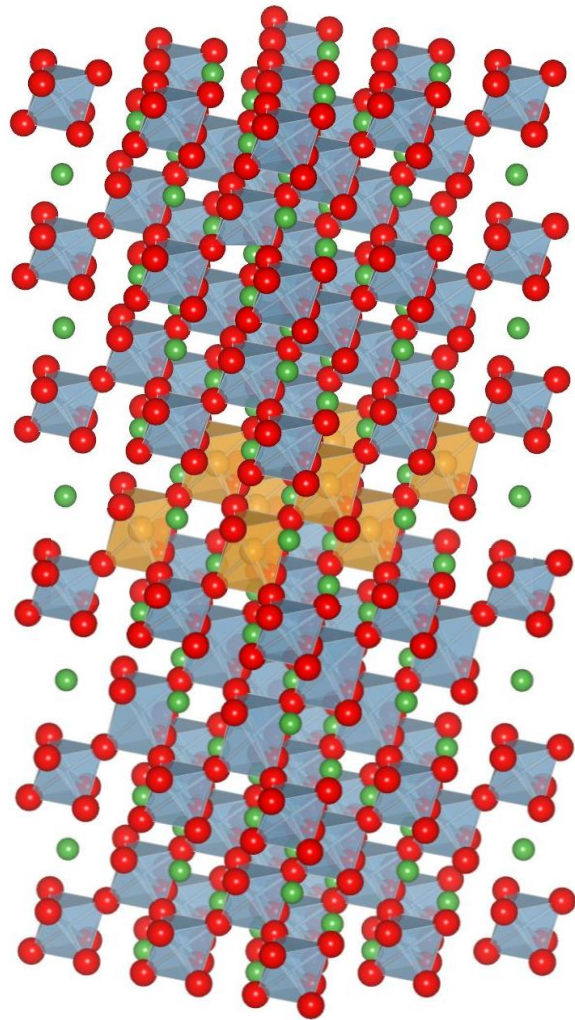
Perovskite structure from the [111] direction confined in “bilayer”



“Buckled” honeycomb lattice

How to stabilize (111) bilayer of perovskite ABO_3

Pulsed Laser Deposition or molecular beam “hetero” epitaxy



$AB'O_3$ capping layers

← 2nd ABO_3 block } ABO_3 (111)
← 1st ABO_3 block } bilayer
← AO_3 layer } $AB'O_3$ unit
← B' layer }

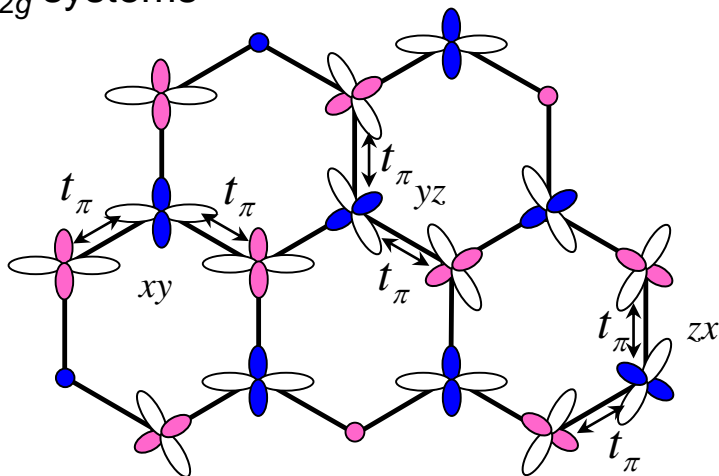
$AB'O_3$ substrate
with [111] surface



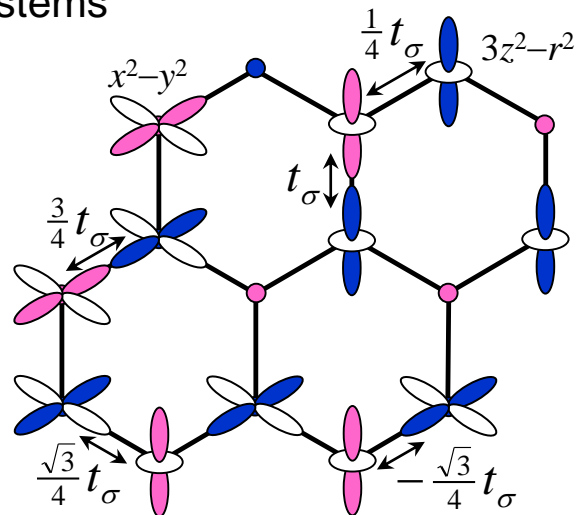
Inside of a PLD chamber
by courtesy of H.-N. Lee@ORNL

Tight binding models

t_{2g} systems



e_g systems



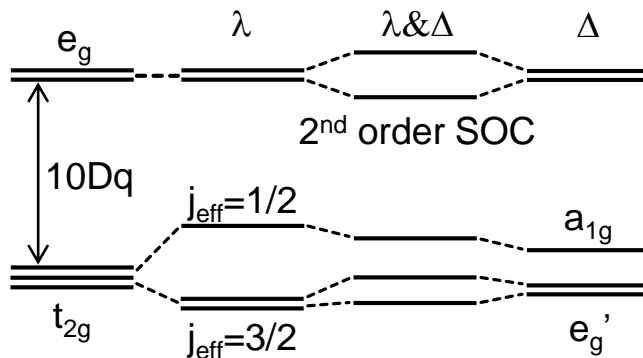
Spin-orbit coupling $\lambda \vec{l}_i \cdot \vec{s}_i \Rightarrow -\lambda \vec{l}_i \cdot \vec{s}_i$
 $l=2$ $l=1$
 projected on the t_{2g} multiplet

$$\sum_{\tau \neq e_g} \frac{\langle l | \lambda \vec{l}_i \cdot \vec{s}_i | \tau \rangle \langle \tau | \lambda \vec{l}_i \cdot \vec{s}_i | m \rangle}{E_{e_g} - E_{\tau}} = -\tilde{\lambda} \sum_{\epsilon \epsilon' \sigma} c_{i\epsilon\sigma}^{\dagger} \tau_{\epsilon\epsilon'}^y \tau_{\sigma\sigma'}^z c_{i\epsilon'\sigma}$$

Vallin, PRB **2**, 2390 (1970).
 Chen, Balents, Schnyder, PRL **102**, 096406 (2009).

Trigonal crystal field $\Delta \sum_{\alpha \neq \beta \in t_{2g}} \alpha_i^{\dagger} \beta_j$

Single-particle energy level



Tight binding dispersion

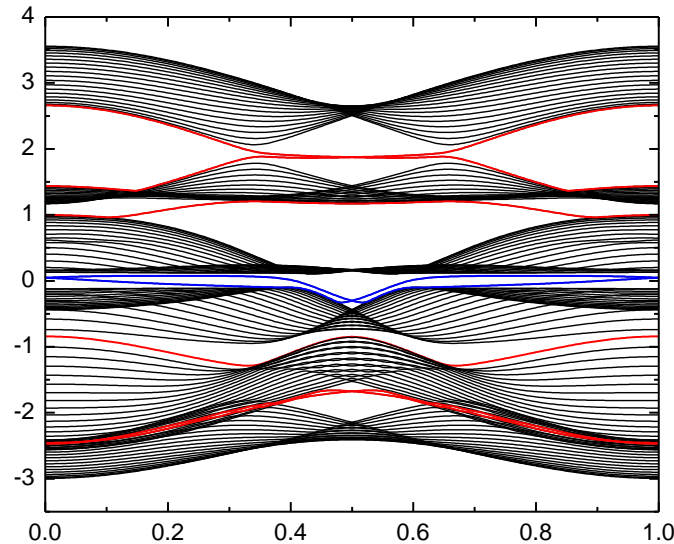
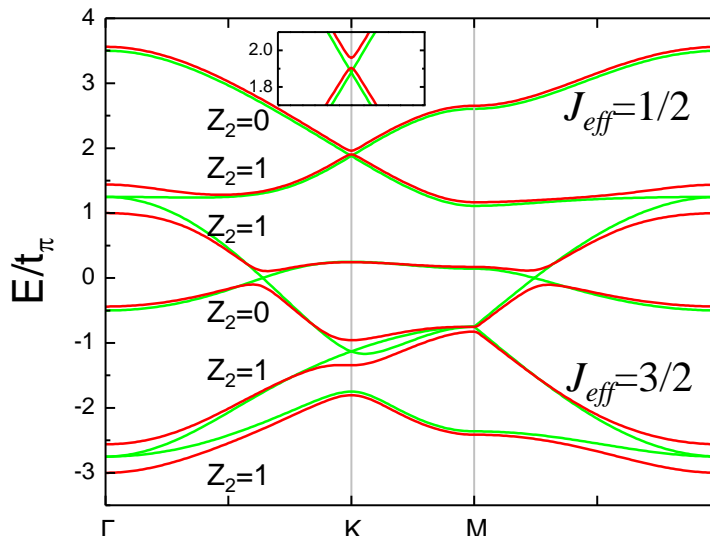
Bulk

Zigzag slab

t_{2g} systems

Possible candidates for 2D TIs:

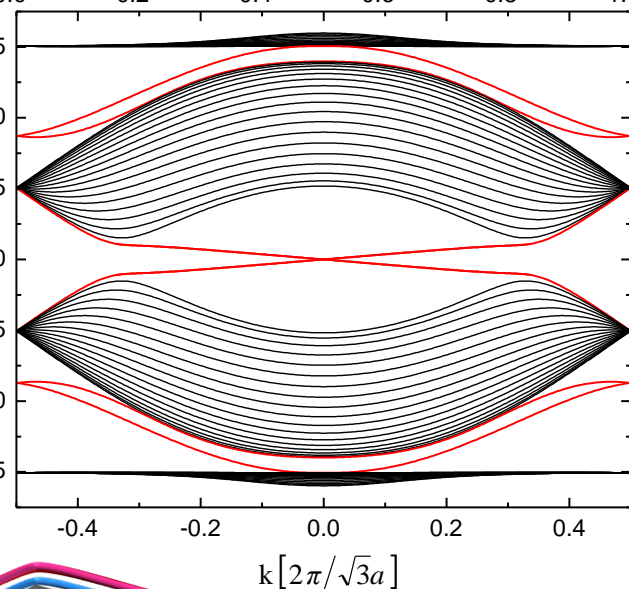
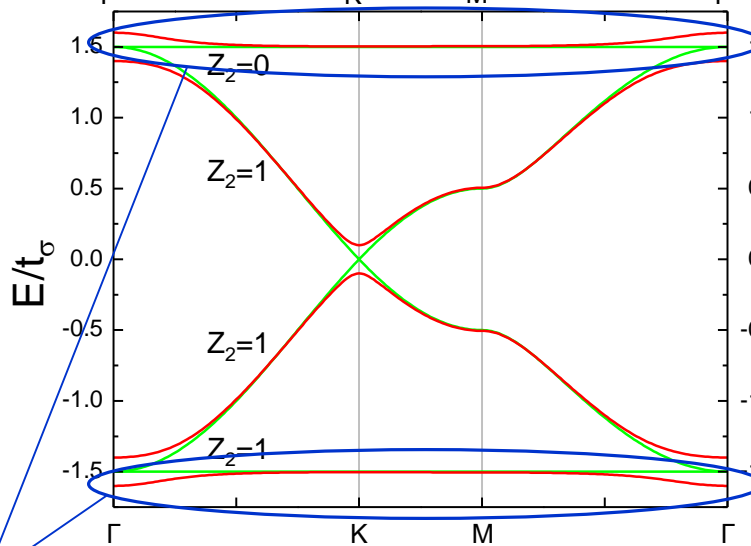
$t_{2g}^1, t_{2g}^2, t_{2g}^4, t_{2g}^5$



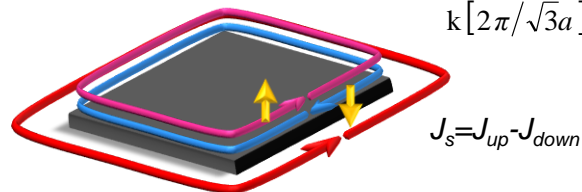
e_g systems

Possible candidates for 2D TIs:

e_g^1, e_g^2, e_g^3



Flat bands/quadratic band crossing w/o SOC
Even more exotic phenomena?



Materials search

PERIODIC TABLE OF THE ELEMENTS

1 H Hydrogen 1.008																	2 He Helium 4.002602	
3 Li Lithium 6.94	4 Be Beryllium 9.0121...																	10 Ne Neon 20.1797
11 Na Sodium 22.989...	12 Mg Magnesium 24.305																	18 Ar Argon 39.948
19 K Potassium 39.0983	20 Ca Calcium 40.078	21 Sc Scandium 44.955...	22 Ti Titanium 47.867	23 V Vanadium 50.9415	24 Cr Chromium 51.9961	25 Mn Manganese 54.938...	26 Fe Iron 55.845	27 Co Cobalt 58.933...	28 Ni Nickel 58.6934	29 Cu Copper 63.546	30 Zn Zinc 65.38	31 Ga Gallium 69.723	32 Ge Germanium 72.63	33 As Arsenic 74.921...	34 Se Selenium 78.971	35 Br Bromine 79.904	36 Kr Krypton 83.798	
37 Rb Rubidium 85.4678	38 Sr Strontium 87.62	39 Y Yttrium 88.90584	40 Zr Zirconium 91.224	41 Nb Niobium 92.90637	42 Mo Molybdenum 95.95	43 Tc Technetium (98)	44 Ru Ruthenium 101.07	45 Rh Rhodium 102.90...	46 Pd Palladium 106.42	47 Ag Silver 107.8682	48 Cd Cadmium 112.414	49 In Indium 114.818	50 Sn Tin 118.710	51 Sb Antimony 121.760	52 Te Tellurium 127.60	53 I Iodine 126.90...	54 Xe Xenon 131.293	
55 Cs Caesium 132.90...	56 Ba Barium 137.327	57-71	72 Hf Hafnium 178.49	73 Ta Tantalum 180.94...	74 W Tungsten 183.84	75 Re Rhenium 186.207	76 Os Osmium 190.23	77 Ir Iridium 192.227	78 Pt Platinum 195.084	79 Au Gold 196.96...	80 Hg Mercury 200.59	81 Tl Thallium 204.38	82 Pb Lead 207.2	83 Bi Bismuth 208.98...	84 Po Polonium (209)	85 At Astatine (210)	86 Rn Radon (222)	
87 Fr Francium (223)	88 Ra Radium (226)	89-103	104 Rf Rutherfordium (267)	105 Db Dubnium (268)	106 Sg Seaborgium (271)	107 Bh Bohrium (272)	108 Hs Hassium (270)	109 Mt Meitnerium (276)	110 Ds Darmstadtium (281)	111 Rg Roentgenium (280)	112 Cn Copernicium (285)	113 Uut Ununtrium (284)	114 Ff Flerovium (289)	115 Uup Ununpentium (288)	116 Lv Livermorium (293)	117 Uus Ununseptium (294)	118 Uuo Ununoctium (294)	

Transition metals

d^5 in $SrMO_3$ ↓

d^8 in $LaMO_3$ ↓

For elements with no stable isotopes, the mass number of the isotope with the longest half-life is in parentheses.

Periodic Table Design & Interface Copyright © 1997 Michael Dayah. Ptable.com Last updated Sep 20, 2014

57 La Lanthanum 138.90...	58 Ce Cerium 140.116	59 Pr Praseodymium 140.90...	60 Nd Neodymium 144.242	61 Pm Promethium (145)	62 Sm Samarium 150.36	63 Eu Europium 151.964	64 Gd Gadolinium 157.25	65 Tb Terbium 158.92...	66 Dy Dysprosium 162.500	67 Ho Holmium 164.93...	68 Er Erbium 167.259	69 Tm Thulium 168.93	70 Yb Ytterbium 173.054	71 Lu Lutetium 174.9668
89 Ac Actinium (227)	90 Th Thorium 232.0377	91 Pa Protactinium 231.03...	92 U Uranium 238.02...	93 Np Neptunium (237)	94 Pu Plutonium (244)	95 Am Americium (243)	96 Cm Curium (247)	97 Bk Berkelium (247)	98 Cf Californium (251)	99 Es Einsteinium (252)	100 Fm Fermium (257)	101 Md Mendelevium (258)	102 No Nobelium (259)	103 Lr Lawrencium (262)

Candidate materials

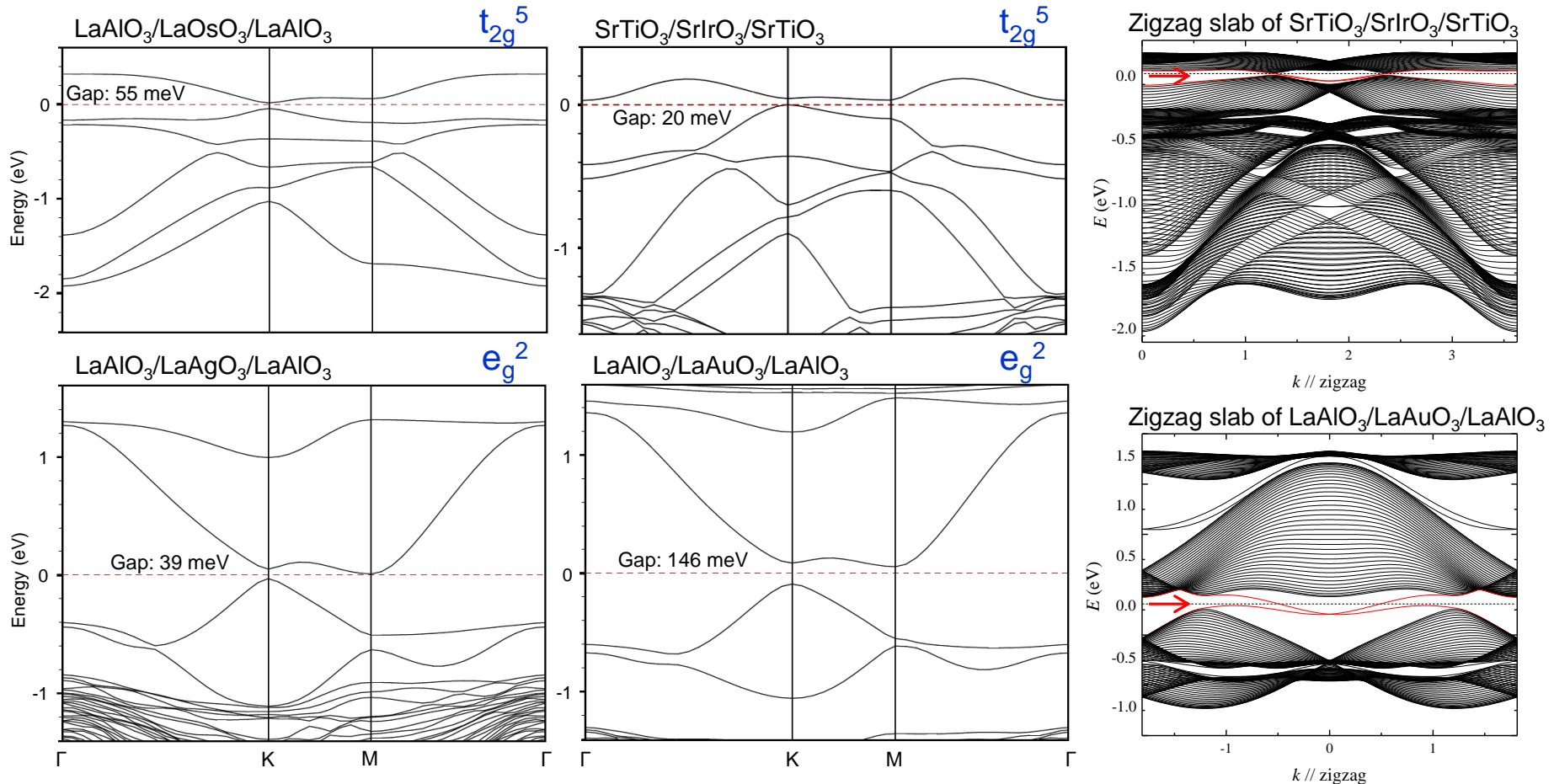
	Configuration	Bulk	Superlattice
LaReO ₃	t_{2g}^4	—	—
LaRuO ₃	t_{2g}^5	Metallic ²⁷	—
SrRhO ₃	t_{2g}^5	Metallic ²⁸	(Ref. 29)
SrIrO ₃	t_{2g}^5	Metallic ^{30,31}	Metallic ³²
LaOsO ₃	t_{2g}^5	—	—
LaAgO ₃	e_g^2	Metallic (band calculation) ³³	—
LaAuO ₃	e_g^2	Not perovskite ^{34,35}	—

References

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- Sumi, A. *et al.* MOCVD growth of epitaxial SrIrO₃ films on (111)SrTiO₃ substrates. *Thin Solid Films* **486**, 182–185 (2005).
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- Guruswamy, V., Keillor, P., Campbell, G. L. & Bockris, J.O'M. The photoelectrochemical response of the lanthanides of chromium, rhodium, vanadium and gold on a titanium base. *Solar Energy Materials* **4**, 11–30 (1980).
- Ralle, M. & Jansen, M. Synthesis and crystal structure determination of LaAuO₃. *J. Solid State Chem.* **105**, 378–384 (1993).

DFT results

Tight-binding modeling: $t_{2g}^{1,2,4,5}$ and $e_g^{1,2,3}$ systems could become 2D TIs.



DFT confirmation: (111) bilayers of LaOsO_3 , SrIrO_3 , LaAgO_3 , and LaAuO_3 are 2D TIs.

LaReO_3 , LaRuO_3 and SrRhO_3 are topological semimetals, and LaCuO_3 is an AF trivial insulator.

How about correlation effects??

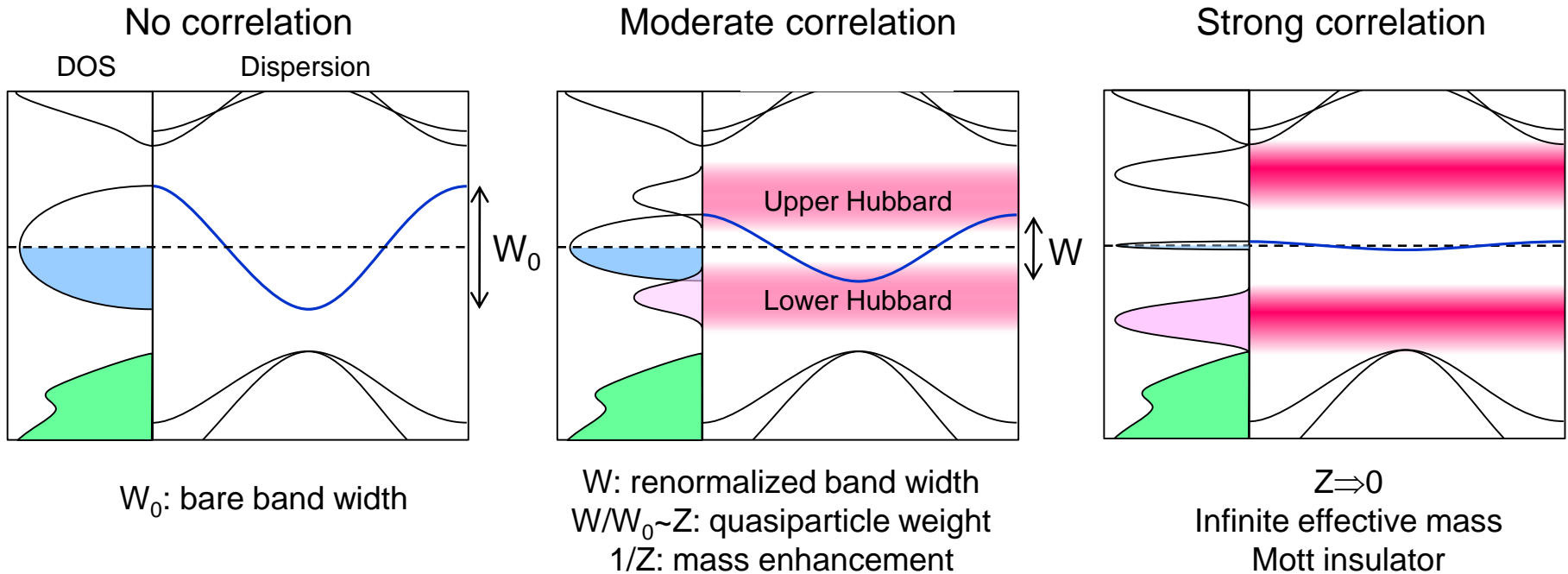
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 - **Correlation-induced Mott transition**
 - Approach from Mott insulators

Correlation effects

1. Symmetry breaking (spin, orbital, charge, etc)

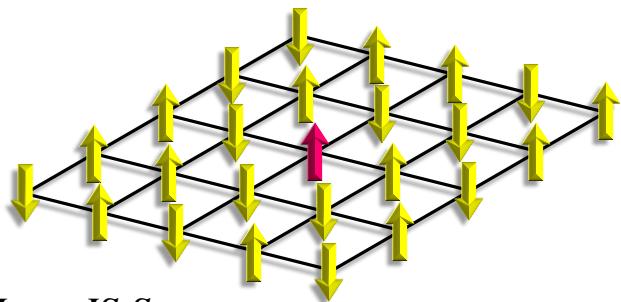
2. Mott “metal”-insulator transition



Dynamical mean-field theory [Georges (previous talk), Kotliar]:
A methodology that can deal with correlation effects beyond a static mean-field approximation

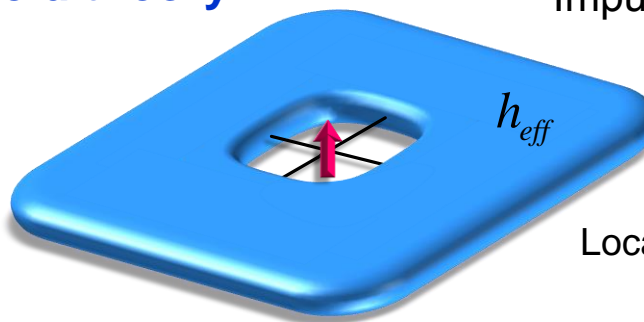
Static mean-field theory vs. dynamical mean-field theory A. Georges, et al. RMP **68**, 13 (1996).

Lattice model



$$H = -JS_i S_j$$

Static mean-field theory



“Impurity” model

$$H_{eff} = -h_{eff} S_0$$

$$h_{eff} = zJ \langle S_i \rangle$$

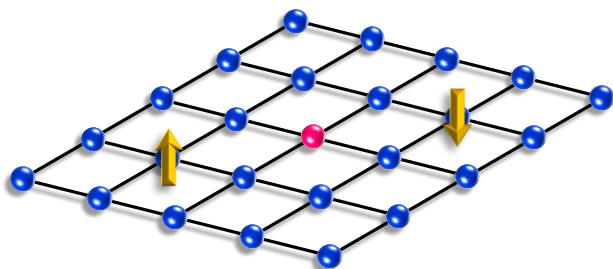
Local observable

$$\langle S_0 \rangle$$

Self consistency

$$\langle S_i \rangle = \langle S_0 \rangle$$

Dynamical mean-field theory

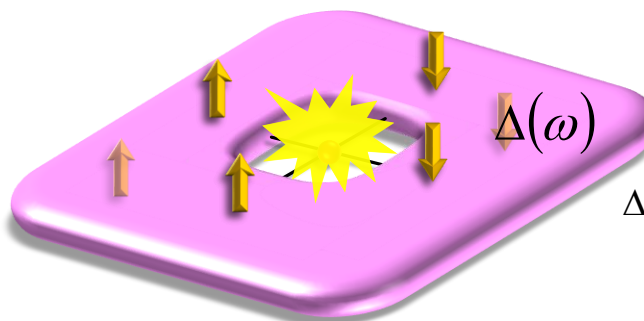


$$H = t_{ij}^{\alpha\beta} d_{i\alpha}^\dagger d_{j\beta} + H_{int}$$

$$= \varepsilon_k^{\alpha\beta} d_{k\alpha}^\dagger d_{k\beta} + H_{int}$$

$$G_{\alpha\beta}^{loc}(i\omega_n) = \int \frac{d^d k}{(2\pi)^d} \left[(i\omega_n + \mu) \hat{1} - \hat{\varepsilon}_k - \hat{\Sigma}(i\omega_n) \right]_{\alpha\beta}^{-1}$$

Self consistency $G_{\alpha\beta}^{loc}(i\omega_n) = G_{\alpha\beta}(i\omega_n)$



$$\Delta_{\alpha\beta}(\omega) = \sum_k \frac{V_{k\alpha}^* V_{k\beta}}{\omega - \tilde{\varepsilon}_k}$$

$$H_{eff} = t_{00}^{\alpha\beta} d_{0\alpha}^\dagger d_{0\beta} + H_{int,0} + \sum_k (\tilde{\varepsilon}_k c_k^\dagger c_k + V_{k\alpha} c_k^\dagger d_{0\alpha} + h.c.)$$

Local observable: electron Green's function

$$G_{\alpha\beta}(i\omega_n) = \left[(i\omega_n + \mu) \hat{1} - \hat{t}_{00} - \hat{\Sigma}(i\omega_n) - \hat{\Delta}(i\omega_n) \right]_{\alpha\beta}^{-1}$$

* Finite temperature exact diagonalization impurity solver
(work on other systems in progress using CT-QMC)

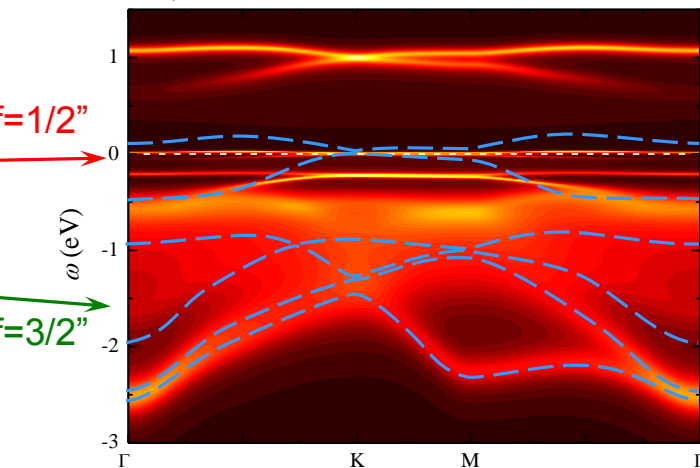
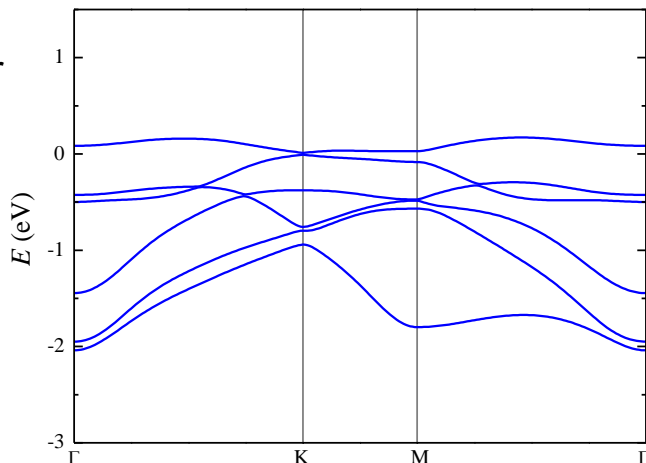
M. Caffarel and W. Krauth, PRL **72**, 1545 (1994).
C. A. Perroni, et al. PRB **75**, 045125 (2007).
M. Capone, et al. PRB **76**, 245116 (2007).

Comparison between DFT (Wannier) band structure and DMFT spectral function

DFT (Wannier) band structure

Typical DMFT spectral function, $U=2, J=0.2$ eV

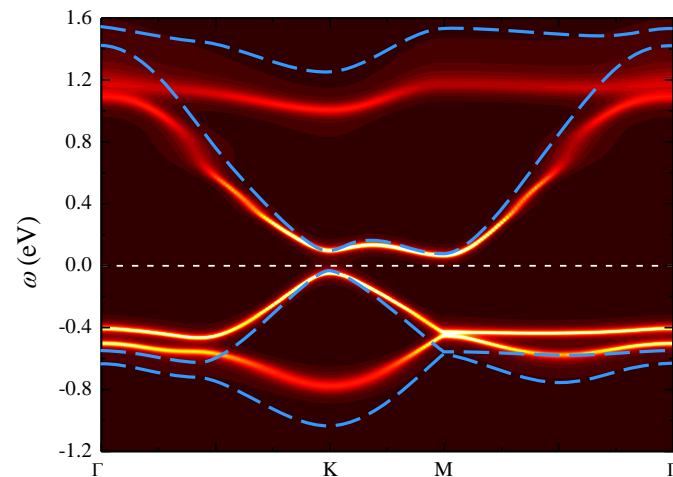
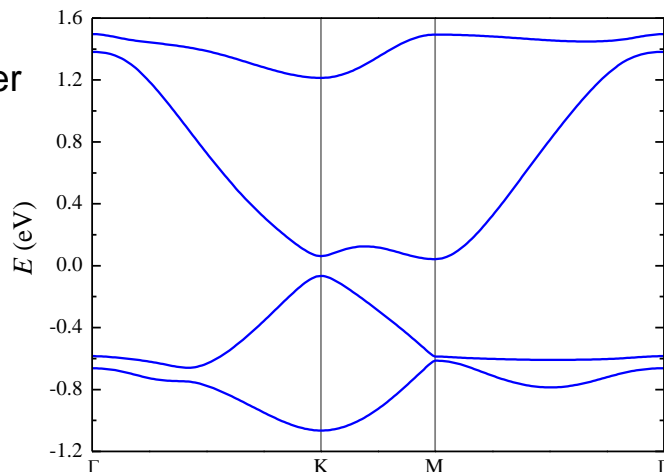
SrIrO₃
(111) bilayer



"Jeff=1/2"

"Jeff=3/2"

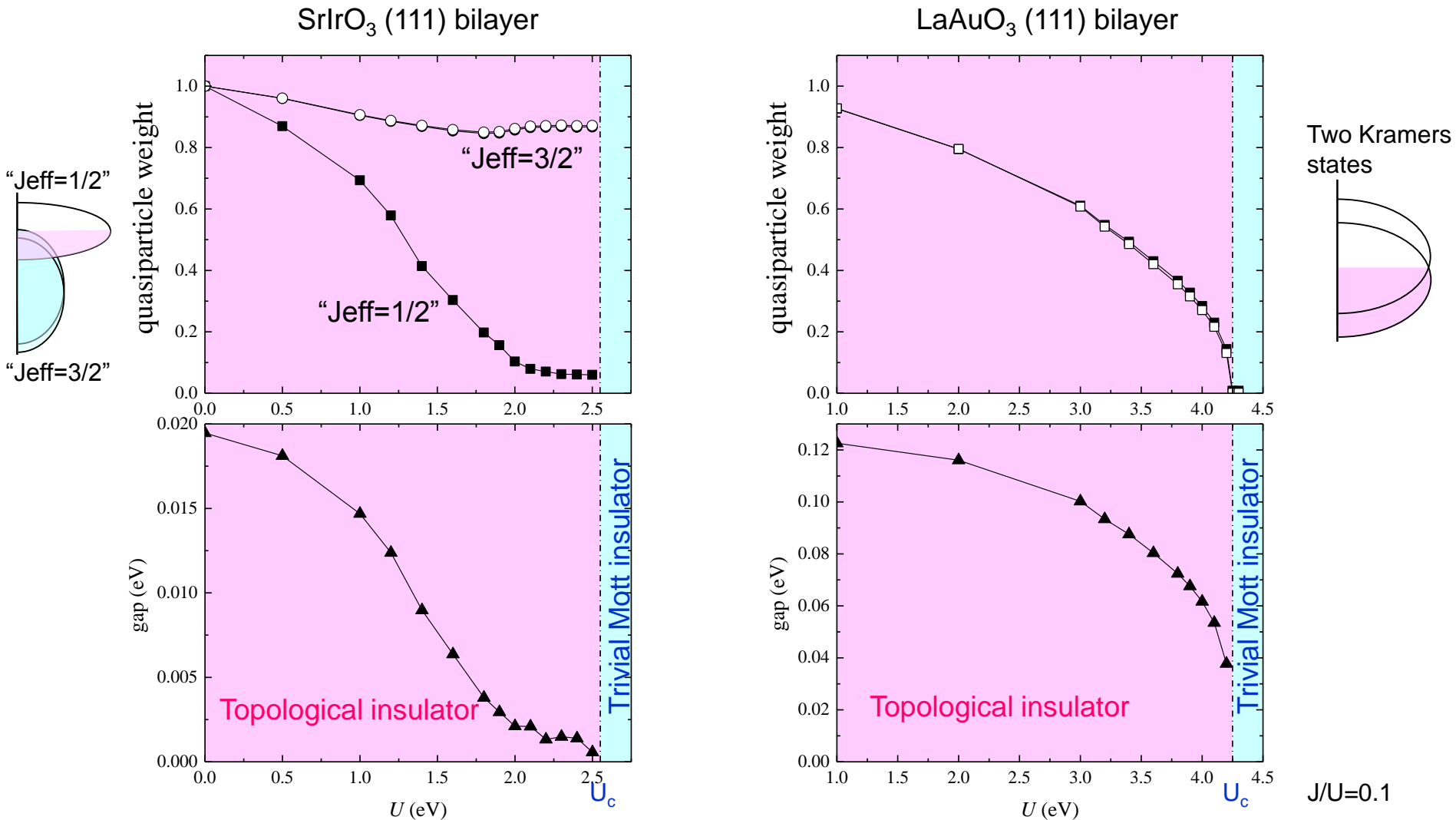
LaAuO₃
(111) bilayer



Two Kramer
states

- Correlation effects are strong in SrIrO₃ bilayer, especially near the Fermi-level regime with strong " $J_{\text{eff}}=1/2$ " character (the separation between $J_{\text{eff}}=1/2$ and $J_{\text{eff}}=3/2$ is not complete).
- Moderate band renormalization in LaAuO₃ bilayer.

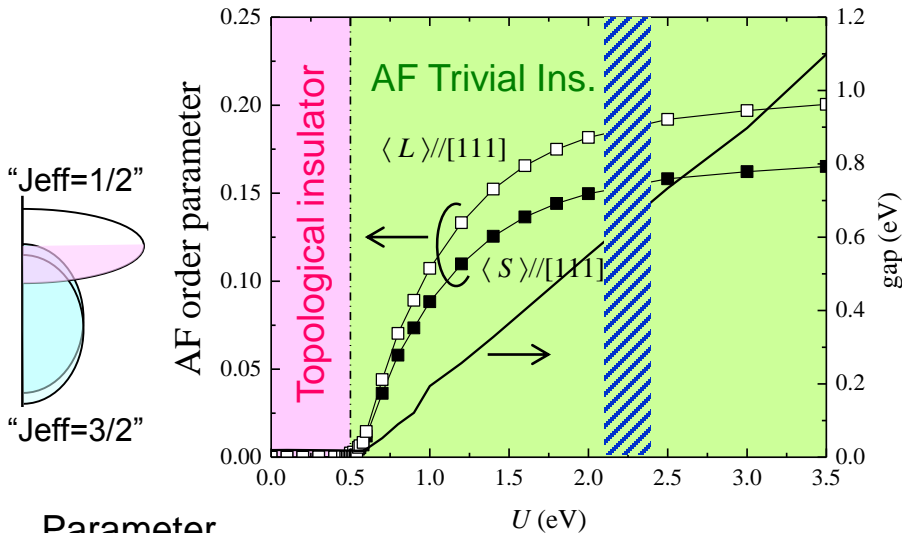
DMFT results: Mott metal-insulator transition without magnetic ordering



- At the metal-insulator transition of SrIrO₃ bilayer, only “J_{eff}=1/2” states have strong mass renormalization: *orbital-selective topological Mott transition*.
- For LaAuO₃ bilayer, two Kramers states show nearly identical mass renormalization.

DMFT results: Transition to Néel antiferromagnetic phases

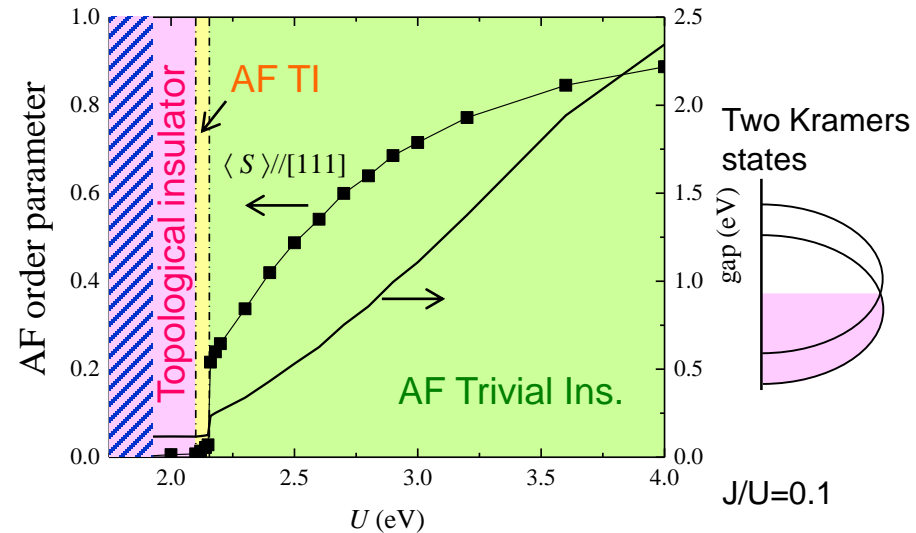
SrIrO₃ (111) bilayer



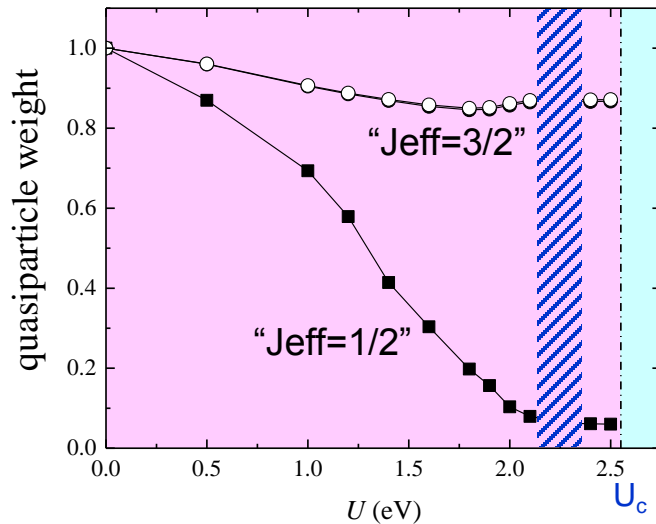
Parameter estimation by cRPA:

$U=2.232, J=0.202$ eV
[Arita *et al.*, PRL, **108**, 086403 (2012)]

LaAuO₃ (111) bilayer



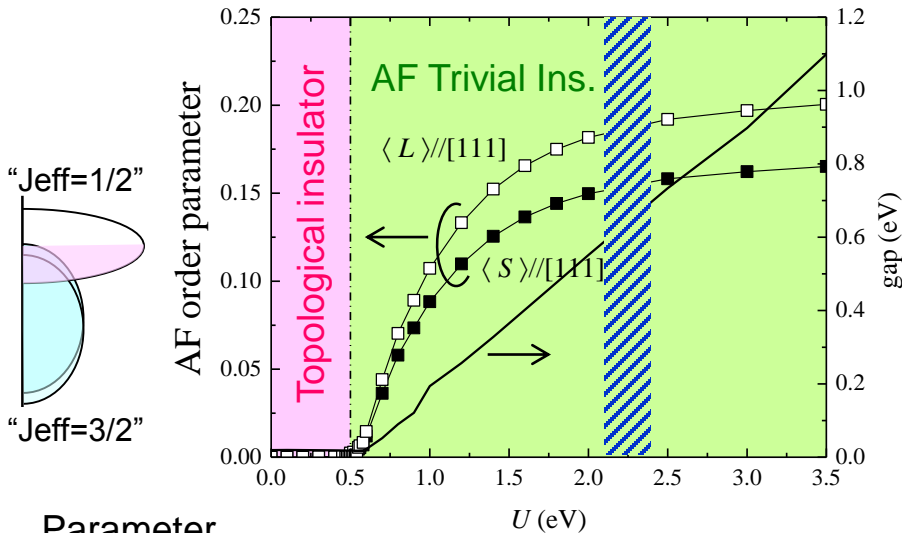
$U=1.80, J=0.225$ eV
[present work by Nomura, Arita]



- LaAuO₃ (111) bilayer is in a TI regime.
- The critical U for an AF ordering is small ~ 0.5 eV for SrIrO₃ (111) bilayer \leftarrow narrow band width of " $J_{\text{eff}}=1/2$ state".
- SrIrO₃ (111) bilayer is an AF trivial insulator.
- AF ordering is essential for the insulating nature, similar to Sr₂IrO₄ [Arita, Imada *et al.*, PRL **108**, 086403 (2012), Zhang, Haule, Vanderbilt, PRL **111**, 246402 (2013)].

DMFT results: Transition to Néel antiferromagnetic phases

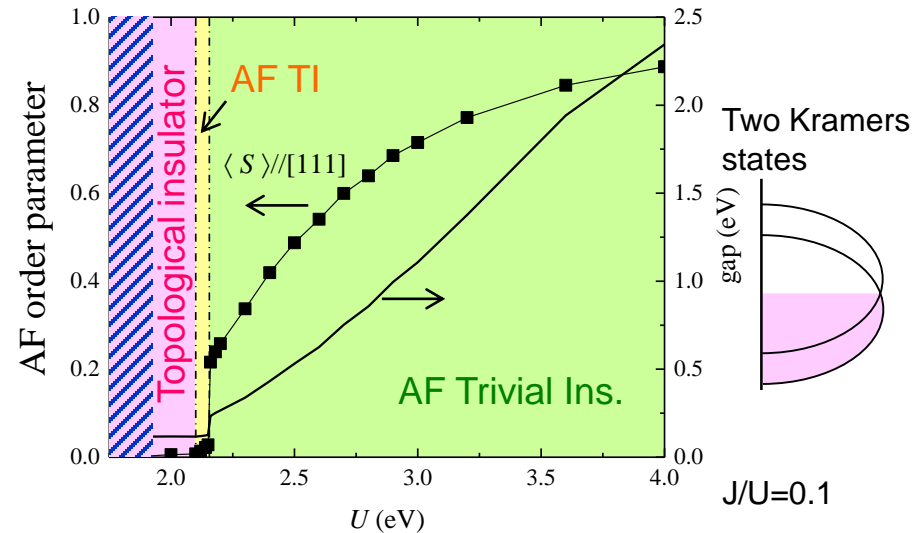
SrIrO₃ (111) bilayer



Parameter estimation by cRPA:

$U=2.232, J=0.202$ eV
[Arita *et al.*, PRL, **108**, 086403 (2012)]

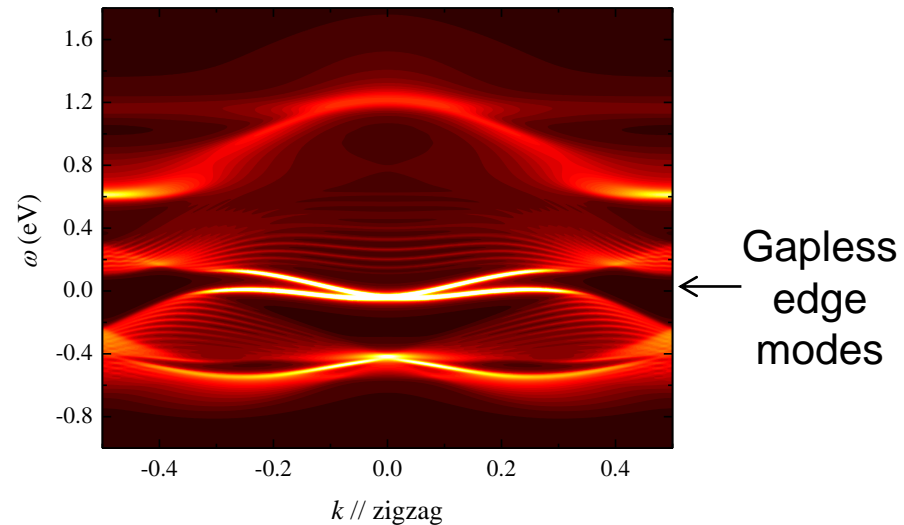
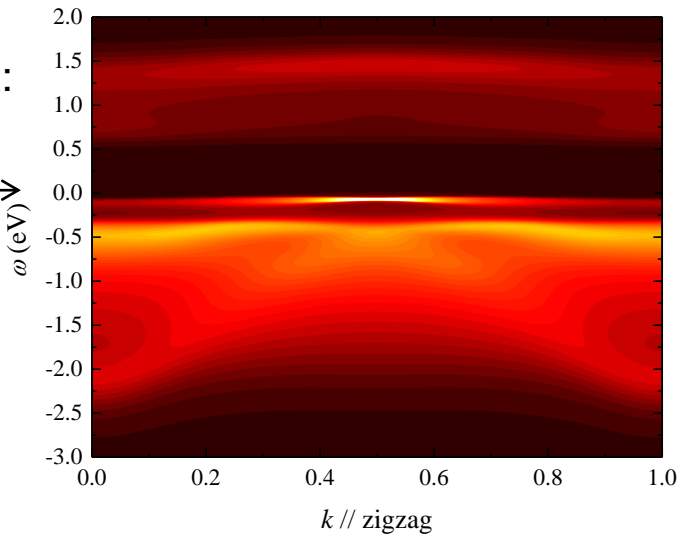
LaAuO₃ (111) bilayer



$U=1.80, J=0.225$ eV
[present work by Nomura, Arita]

Edge spectra:

No gapless edge mode

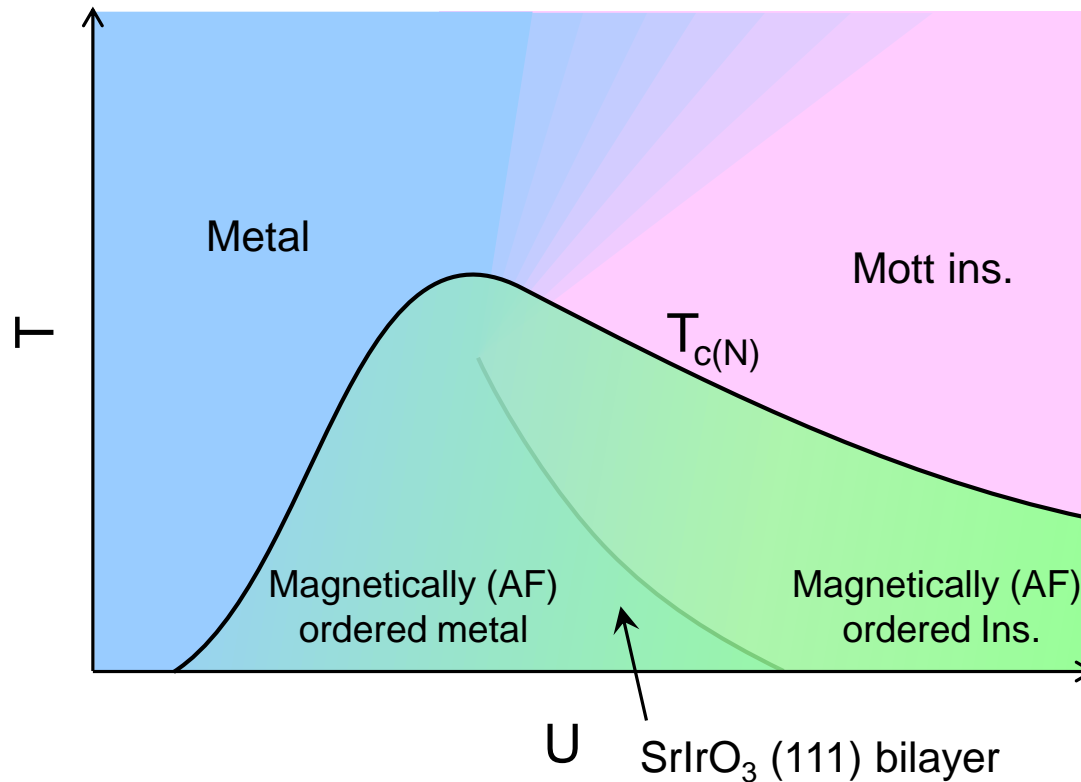


- SrIrO₃ (111) bilayer is an AF trivial insulator, while LaAuO₃ (111) bilayer is a TI.

Outline

- Oxide heterostructures
- Motivation
- **Designing novel quantum states**
 - Quantum spin Hall effects
 - Correlation-induced Mott transition
 - **Approach from Mott insulators**

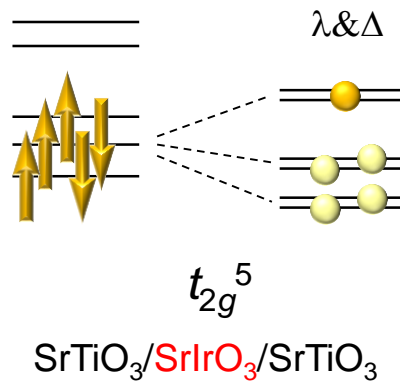
Generic phase diagram of integer-filling correlated systems



- AF insulator phases at small U (Slater AF insulator) and large U (Mott insulator with AF ordering) are adiabatically connected.
- SrIrO₃ (111) bilayer is indeed very strongly correlated, and approach from the Mott insulator side may be also valid to discuss magnetic ground states, excitations, and doping effects.

What is the effect of strong correlations in Mott insulating states with the strong spin-orbit coupling as in (111) bilayer of SrIrO₃?

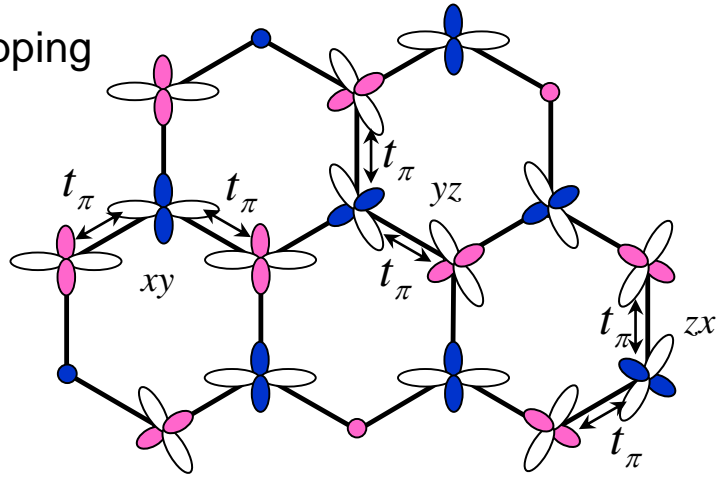
Low-energy Hamiltonians for such systems (t_{2g}^5 electronic systems) could be described in terms of “ $J_{\text{eff}}=1/2$ ”.



Novel phenomena???

Models

Hopping



Rotationally symmetric Coulomb interactions

$${}^3T_2 : U - 3J : |\alpha_\sigma \beta_\sigma\rangle, \frac{1}{\sqrt{2}} \{ |\alpha_\sigma \beta_{\bar{\sigma}}\rangle + |\alpha_{\bar{\sigma}} \beta_\sigma\rangle \}$$

$${}^3T_1 : U - J : \frac{1}{\sqrt{2}} \{ |\alpha_\sigma \beta_{\bar{\sigma}}\rangle - |\alpha_{\bar{\sigma}} \beta_\sigma\rangle \}$$

$${}^1T_2 : U - J : \begin{cases} \frac{1}{\sqrt{2}} \{ |a_\uparrow a_\downarrow\rangle - |b_\uparrow b_\downarrow\rangle \} \\ \frac{1}{\sqrt{6}} \{ |a_\uparrow a_\downarrow\rangle + |b_\uparrow b_\downarrow\rangle - 2|c_\uparrow c_\downarrow\rangle \} \end{cases}$$

$${}^1T_1 : U + 2J : \frac{1}{\sqrt{3}} \{ |a_\uparrow a_\downarrow\rangle + |b_\uparrow b_\downarrow\rangle + |c_\uparrow c_\downarrow\rangle \}$$

Spin-orbit coupling $-\lambda \vec{l}_i \cdot \vec{s}_i$

For the minimum excitation energy become positive, $U > 3J$

~~Trigonal crystal field $\Delta \sum_{\substack{\alpha \neq \beta \\ \in t_{2g}}} \alpha_i^\dagger \beta_j$~~

DFT estimates:

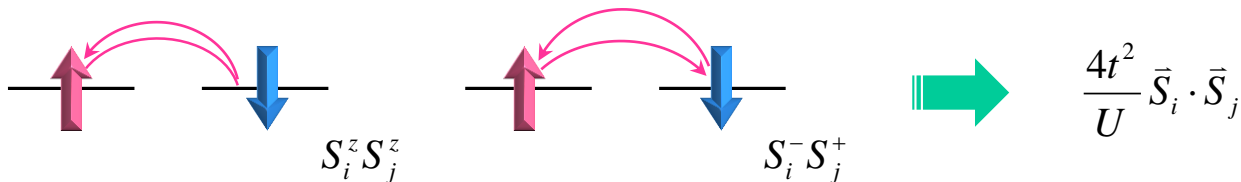
$$\lambda \sim 0.1 \text{ eV}$$

$$\Delta \sim 0.01 \text{ eV}$$

Derivation of effective interactions

Second-order perturbation with respect to hopping term

c.f. deriving Heisenberg interaction from single-band Hubbard model



Effective Hamiltonian

- t_{2g}^5 AFM Kitaev-AFM Heisenberg model

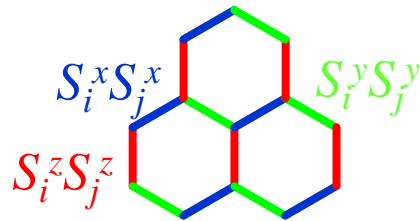
$$H = J_K \sum_{\langle ij \rangle} S_i^\gamma S_j^\gamma + J_H \sum_{\langle ij \rangle} \vec{S}_i \cdot \vec{S}_j$$

Leading terms

$$J_K = \frac{4t_\pi^2}{9(U-3J)} \quad J_H = \frac{8t_\pi^2}{9(U-3J)}$$

Jackeli and Khaliullin, PRL **101**, 216804 (2008)

Kitaev model
(1st term)



“gapless Z_2 spin liquid”

Kitaev, Ann. Phys. **321**, 2 (2006).

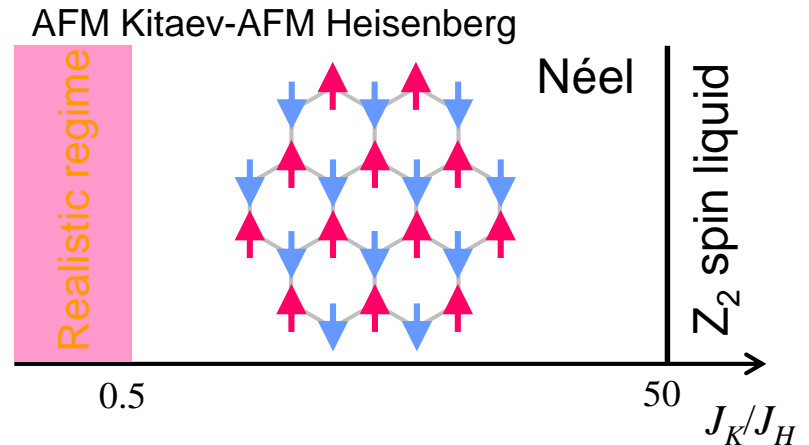
- Néel AF state is indeed the ground state, consistent with our DMFT results.**
- A novel spin liquid phase is too far...**

How about carrier doping??

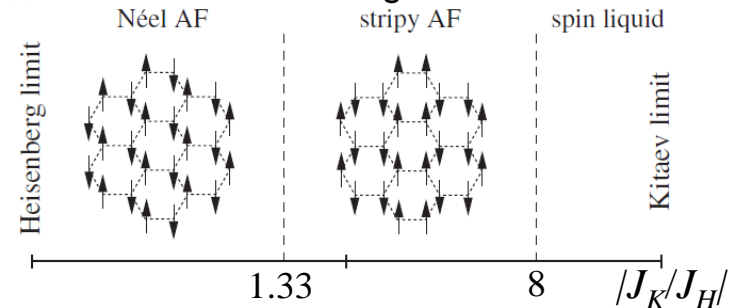
Let's try slave boson mean field calculation

Lee, Nagaosa, and Wen, RMP **78**, 17 (2006).

Exact diagonalization results on 24-site clusters



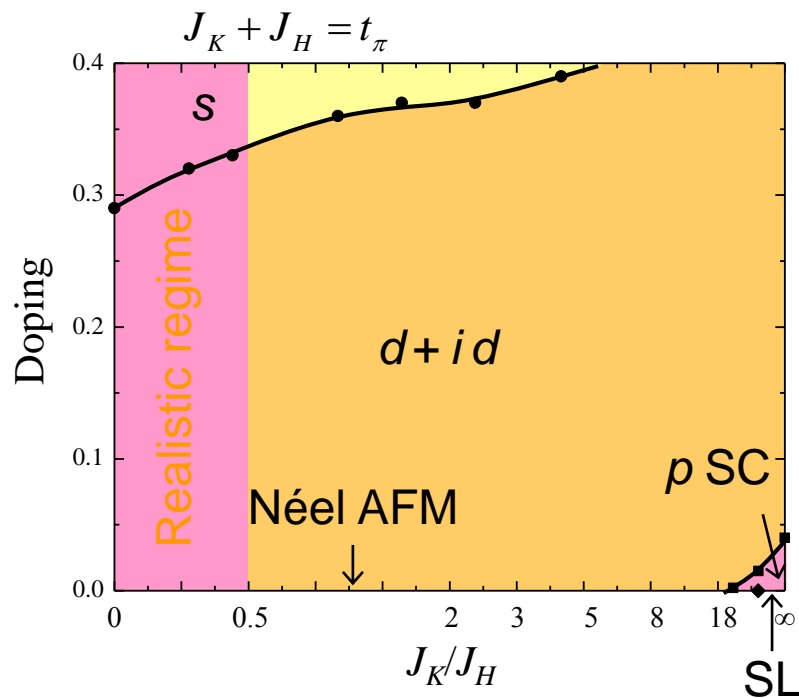
FM Kitaev-AFM Heisenberg



Chaloupka *et al.*, PRL **105**, 027204 (2010).

$SU(2)$ slave-boson mean-field phase diagram

t_{2g}^5 AFM Kitaev-AFM Heisenberg model



C.f., $d(x^2-y^2)$ -wave SC in doped Sr2IrO4;
Wang and Senthil, PRL **106**, 136402 (2011),
Watanabe et al., PRL **110**, 027002 (2013),
Meng, Kim, Kee, PRL **113**, 177003 (2014).

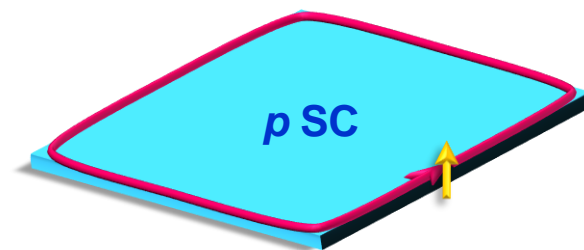
- Broken time-reversal symmetry in $d+id$ SC, p SC

$d+id$:

$$e^{i\frac{2}{3}\pi} \begin{matrix} | \\ | \\ | \end{matrix} 1 e^{-i\frac{2}{3}\pi} = \begin{matrix} x^2-y^2 \\ \text{orbital} \end{matrix} + i \begin{matrix} xy \\ \text{orbital} \end{matrix}$$

As in tJ model on honeycomb lattice

Black-Schaffer and Doniach, PRB **75**, 134512 (2007).
Talk by Le Hur (July 24).



Similar p SC in a doped FM Kitaev model by You, Kimchi, and Vishwanath, PRB **86**, 085145 (2012).

Spin-charge-Chern liquid at $n=1/4$, Jiang, Mesaros, Ran, PRX **4**, 031040 (2014); Talk by Y. Ran (July 21).

- Realistic regimes are very similar to the doped tJ model on a honeycomb lattice.
- Other systems may show even more exotic phases (not fully explored).

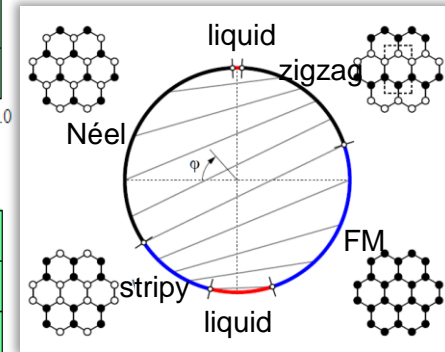
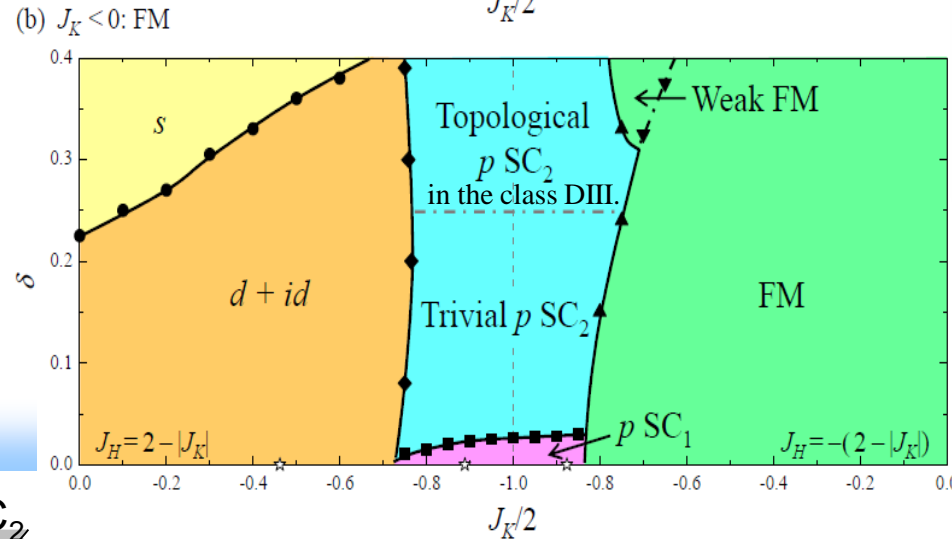
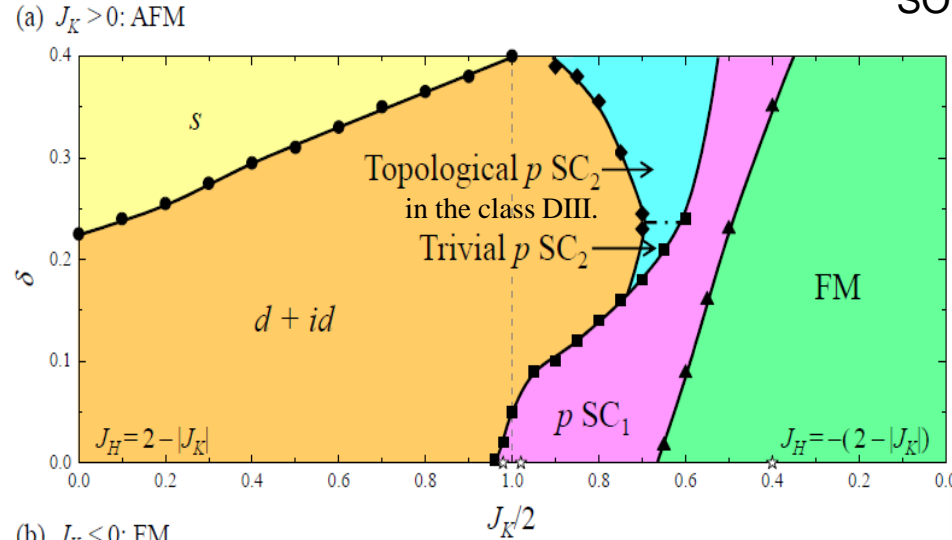
$SU(2)$ slave-boson mean-field phase diagram for Kitaev-Heisenberg model

SO, PRB **87**, 064508 (2013).

$$H = -t \sum_{\langle ij \rangle \sigma} (\tilde{c}_{i\sigma}^\dagger \tilde{c}_{j\sigma} + h.c.) + H_K + H_H$$

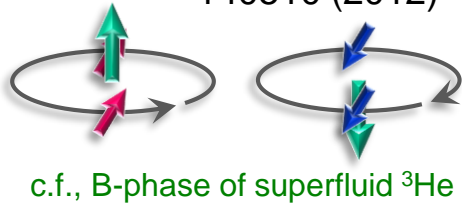
$$|J_K| + |J_H| = 2t = 2$$

- Broken time-reversal symmetry in p SC₁, $d+id$ SC, and FM

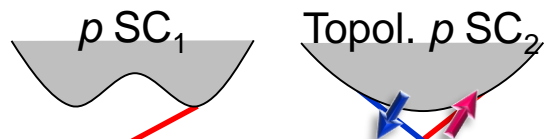


Chalopuka *et al.*, PRL **110**, 097204 (2013).

p SC₂: Hyart *et al.*, PRB **85**, 140510 (2012)



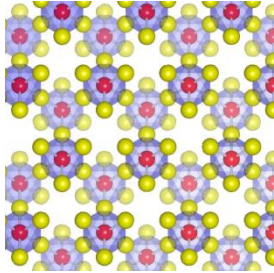
Edge of SC



FM Kitaev & AFM Kitaev models are quite different when carriers are doped.

- Possibility of exotic SC states
- Materials realization?

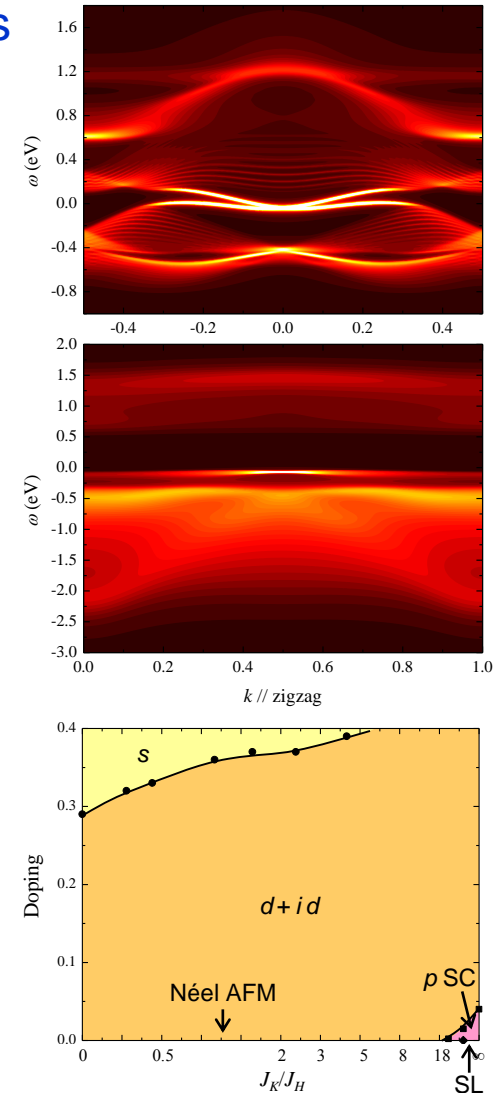
Summary



Theoretical proposal:
Designing novel quantum phenomena in (111)
bilayers of perovskite transition metal oxides

1. Mimic graphene
2. Locate the Fermi level at Dirac points
3. Turn on the spin-orbit coupling

- (111) bilayer of LaAuO_3 is a good candidate for a 2-dimensional topological insulator.
Nat. Commun. **2**, 596 (2011).
- (111) bilayer of SrIrO_3 is on the verge of a correlation-induced Mott transition, and it is most likely an AF trivial insulator.
Phys. Rev. B **89**, 195121 (2014)
- From strong coupling, low energy electronic states of SrIrO_3 bilayer are described by a Kitaev-Heisenberg model, and carrier doping may induce $d+id$ -wave superconductivity.
Phys. Rev. Lett. **110**, 066403 (2013).
- More interesting phenomena may be possible, topological Mott insulator, fractional QH, *etc*?
- Waiting for experimental realizations



Incomplete list of related theoretical work

LaNiO₃ systems

- A. Rüegg and G. A. Fiete, PRB **84**, 201103(R) (2011).
- K.-Y. Yang, W. Zhu, D. Xiao, S.O., Z. Wang, and Y. Ran, PRB **84**, 201104(R) (2011).
- A. Rüegg, C. Mitra, A. A. Demkov, and G. A. Fiete, PRB **85**, 245131 (2012); **88**, 115146 (2013).
- D. Doennig, W. E. Pickett, and R. Pentcheva, PRB **89**, 121110(R) (2014).

SrTiO₃ systems

- D. Doennig, W. E. Pickett, and R. Pentcheva, PRL **111**, 126804 (2013).

SrIrO₃ systems “Topological semimetal” not AF trivial ins.

- J. L. Lado, V. Pardo, and D. Baldomir, PRB **88**, 155119 (2013).

La(Ni,Co)O₃ systems

- B. Ye, A. Mesaros, and Y. Ran, PRB **89**, 201111 (2014).
- Y. Wang, Z. Wang, Z. Fang, and X. Dai, PRB **91**, 125139 (2015).

LaAuO₃/LaCrO₃ systems

- X. Hu, Adv. Matter. **24**, 294 (2012).
- Q.-F. Liang, L.-H. Wu, and X. Hu, NJP **15**, 063031 (2013).

Double perovskite Sr₂FeMoO₆

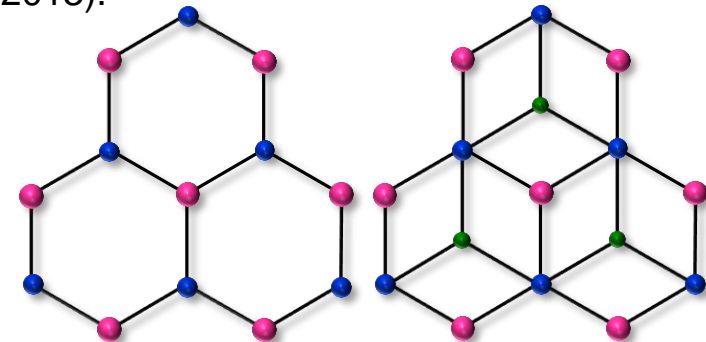
- A. M. Cook and A. Paramekanti, PRL **113**, 077203 (2014).

“Dice” lattice: (111) trilayer

- F. Wang and Y. Ran, PRB **84**, 241103(R) (2011).

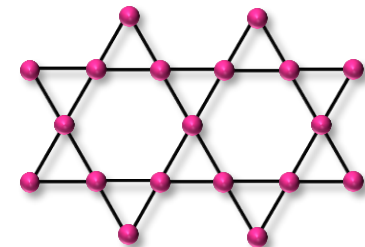
Pyrochlore oxides

- X. Hu, A. Rüegg, G. A. Fiete, PRB **86**, 235141 (2012).
- E. J. Bergholtz, Z. Liu, M. Trescher, R. Moessner, and M. Udagawa, PRL **114**, 016806 (2015).
- Chen, Hung, Hu, Fiete, arXiv:1504.03646.



Buckled honeycomb
(111) bilayer

Dice
(111) trilayer



Kagomé
(111) Monolayer of pyrochlore

(111) family

Very recent experimental result

Talk by Takagi-sensei

APL Materials **3**, 041508 (2015)

Fabrication of (111)-oriented $\text{Ca}_{0.5}\text{Sr}_{0.5}\text{IrO}_3/\text{SrTiO}_3$ superlattices—A designed playground for honeycomb physics

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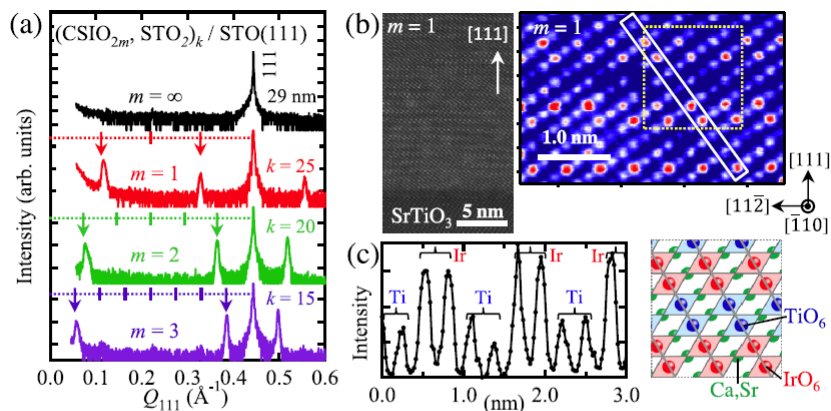


FIG. 3. (a) X-ray diffraction θ - 2θ scans for the superlattice $[(\text{Ca}_{0.5}\text{Sr}_{0.5}\text{IrO}_3)_{2m}(\text{SrTiO}_3)_k] / \text{SrTiO}_3(111)$ with $m = 1, 2, 3$, and ∞ . Superlattice reflections are indicated by arrows. (b) Atomically resolved HAADF-STEM image of the superlattice with $m = 1$ along the SrTiO_3 $[111]$ direction. (c) Left panel; intensity scan along the column indicated in the HAADF-STEM image shown in (b). Right panel; schematic illustration of stacking of IrO_6 and TiO_6 (111) bilayers in the dotted square in (b).

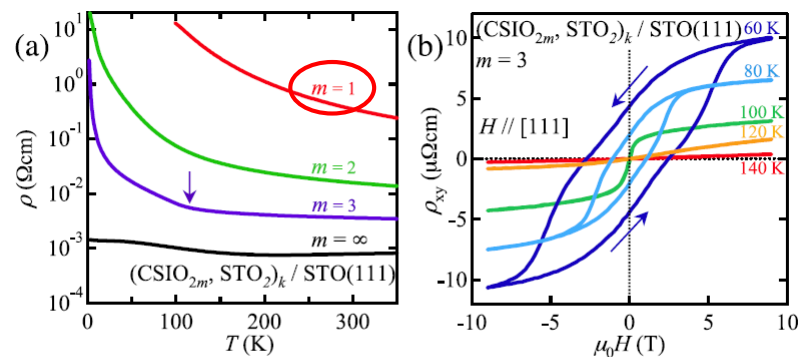


FIG. 4. (a) Temperature dependence of resistivity $\rho(T)$ for the superlattice $[(\text{Ca}_{0.5}\text{Sr}_{0.5}\text{IrO}_3)_{2m}(\text{SrTiO}_3)_k] / \text{SrTiO}_3(111)$ with $m = 1, 2, 3$, and ∞ . A kink in the resistivity curve for the $m = 3$ superlattice is indicated by an arrow. (b) Hall resistivity (ρ_{xy}) of the $m = 3$ superlattice at various temperatures is plotted as a function of applied field.

$\text{Ca}_{0.5}\text{Sr}_{0.5}\text{IrO}_3$ (111) bilayer is strongly insulating (with magnetic ordering).

(111) trilayer, quadralayer, etc, of SrIrO_3 and (111) bilayer of SrRhO_3 should be examined using DFT+DMFT?

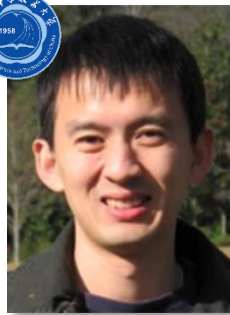
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RIKEN
University of Tokyo



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