Coupled wire model of symmetric Majorana surfaces of topological superconductors

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COLLEGE OF ARTS & SCIENCES

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To appear soon

Outline

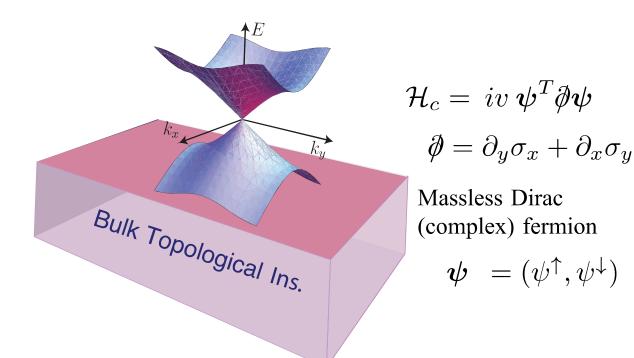
Introduction

- Surface States of 3D topological insulator and superconductors
- Coupled wire construction

Symmetric 4-fermion gapping terms

- so(N)1 current algebra and fractionalization
- Gapping terms for N even
- Gapping term for N odd: special case N=9 $so(9)_1 \supseteq so(3)_3 \times so(3)_3$
- Topological order
 - The abelian *Dn*-series, Ising-like *Bn*-series, and *SO*(*3*)₃-like states
 - 32-fold extension of the 16-fold *SO*(*N*)1-series
 - Comment on *E*⁸ extension of *so*(16)1 and connection to Z16 classification

Gapless surface states 3D Topological insulator (Z2 classified)

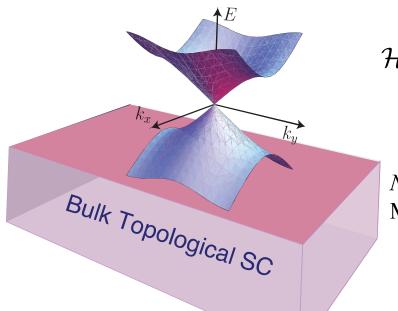


Surface Dirac cone protected by TRS (Kramers theorem)

• Time reversal symmetry (TRS): T anti-unitary, $T^2 = -1$ $TH(\mathbf{k})T^{-1} = H(-\mathbf{k})$

> Moore, Balent , 07 Fu, Mele, Kane, 07 Roy, 09

Gapless surface states 3D Topological superconductor (Z classified)



$$\mathcal{H}_c = \sum_{a=1}^N i v \, \boldsymbol{\psi}_a^T \partial \!\!\!/ \boldsymbol{\psi}_a$$

$$\partial = \partial_y \tau_x + \partial_x \tau_y$$

N - component massless Majorana (real) fermion

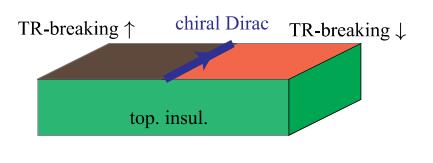
$$\boldsymbol{\psi}_a = (\psi_a^1, \psi_a^2)$$

Surface Majorana protected by TRS (Kramers theorem) and winding number

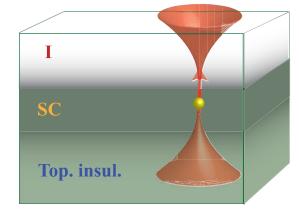
- Particle-hole symmetry (PHS): C anti-unitary, $C^2 = 1$ $CH_{\rm BdG}(\mathbf{k})C^{-1} = -H_{\rm BdG}(-\mathbf{k})$
- Time reversal symmetry (TRS): T anti-unitary, $T^2 = -1$ $TH_{BdG}(\mathbf{k})T^{-1} = H_{BdG}(-\mathbf{k})$ Schnyder, et.al., 08; Kitaev, 08; Qi et.al., 09

Gapped Top. Insul. Surfaces

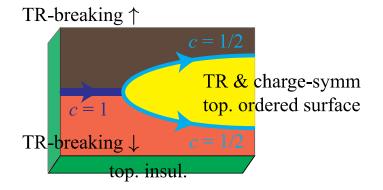
• TR-breaking surface



• Charge breaking surface hc/2e



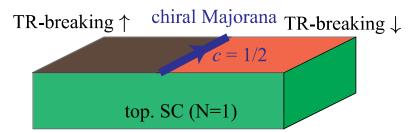
Symmetric surface with topological order



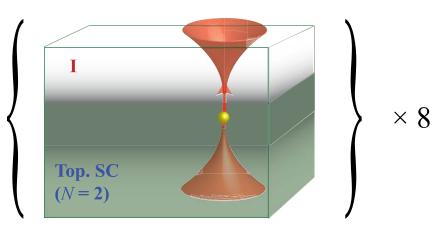
Wang, Potter, Senthil, 14; Metlitski, Kane, Fisher, 13; Chen, Fidkowski, Vishwanath, 14; Bonderson, Nayak, Qi, 14

Gapped Top. SC Surfaces

• TR-breaking surface



• Trivial symmetric N = 16 surface



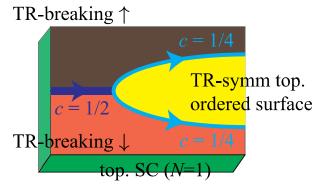
Z8 classification in 1D

Fidkowski, Kitaev, 10, 11; Turner, Pollmann, Berg, 11; Chen, Gu, Wen, 11 Z16 classification in 3D

Metlitski, Fidkowski, Chen, Vishwanath, 14; Wang, Senthil, 14; Kapustin, Thorngren, Turzillo, Wang, 14

• Top. symmetric N < 16 surface

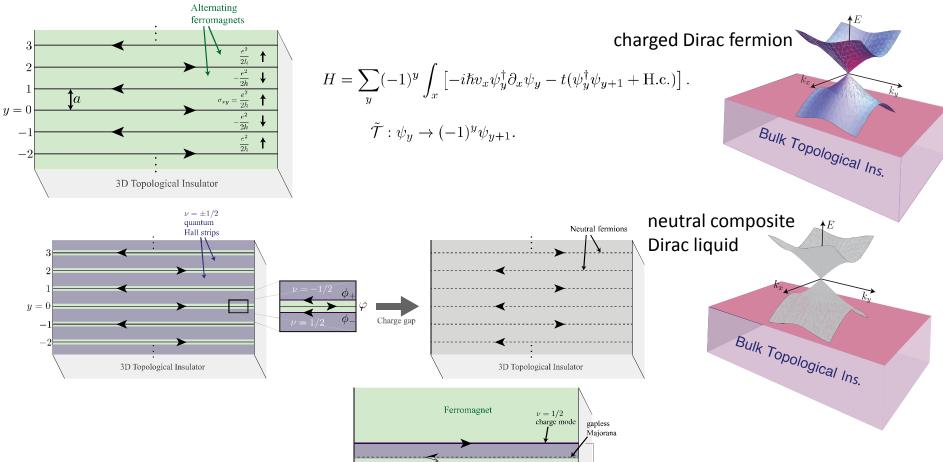
Fidkowski, Chen, Vishwanath, 13



What interaction?

Coupled wire model of top. insul. surface states

D. F. Mross, A. Essin, and J. Alicea, Phys. Rev. X 5, 011011 (2015)

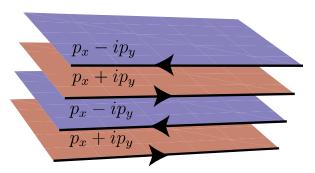


3D Topological Insulator

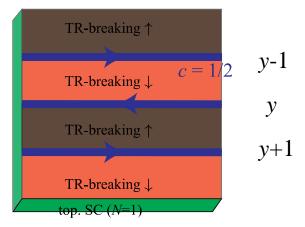
 $\gamma_{1,y+} \atop \gamma_{2,y}$

T-Pfaffian

Coupled wire model of a surface Majorana



Stack of p±ip or Kitaev honeycomb B phase

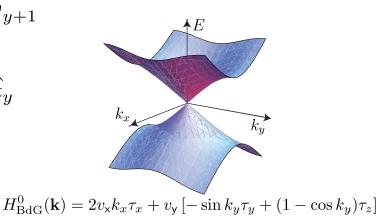


$$\mathcal{H}_0 = \sum_{y=-\infty}^{\infty} i v_{\mathsf{x}} (-1)^y \boldsymbol{\psi}_y^T \partial_x \boldsymbol{\psi}_y + i v_{\mathsf{y}} \boldsymbol{\psi}_y^T \boldsymbol{\psi}_{y+1}$$

$$\mathcal{T}: \psi_y \to (-1)^y \psi_{y+1} \qquad \mathcal{T}^2 = (-1)^F \hat{t}_y$$

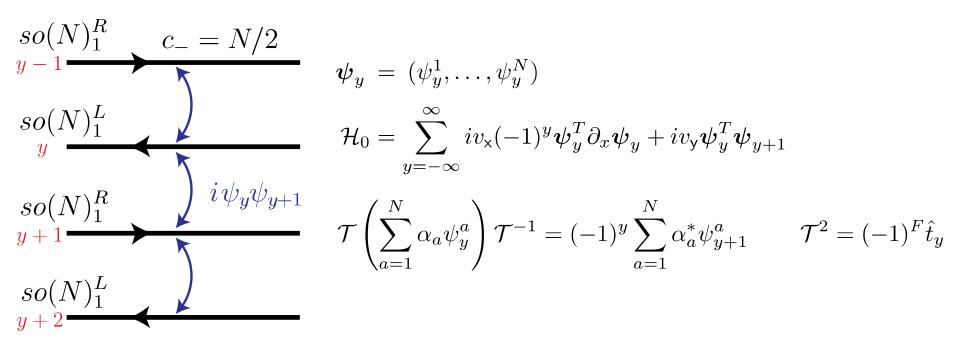
Antiferrormagnetic time reversal

Translation y to y+2



Protected by TRS (Kramers theorem)

Coupled wire model of N Majorana's

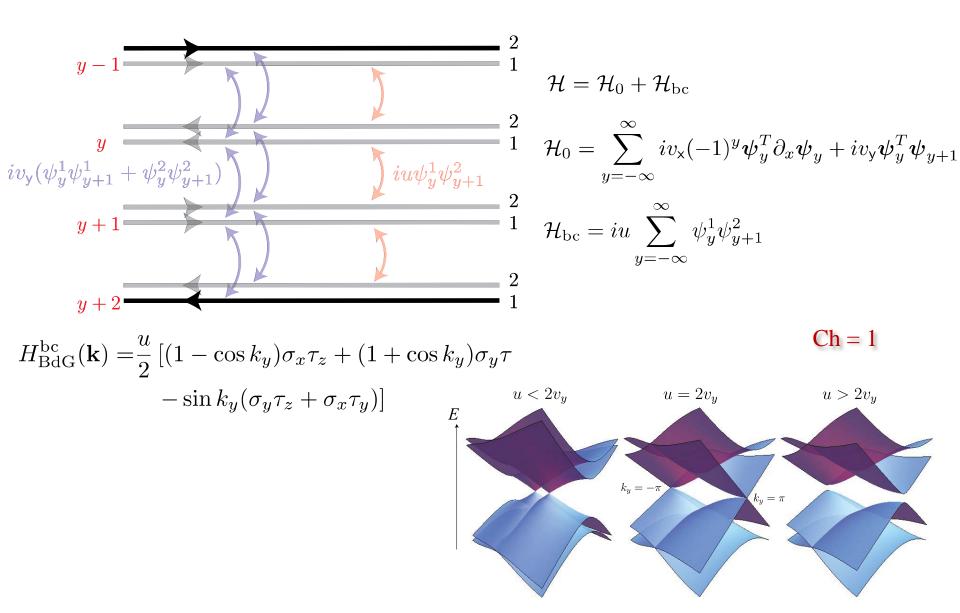


"Non-symmorphic" chiral symmetry

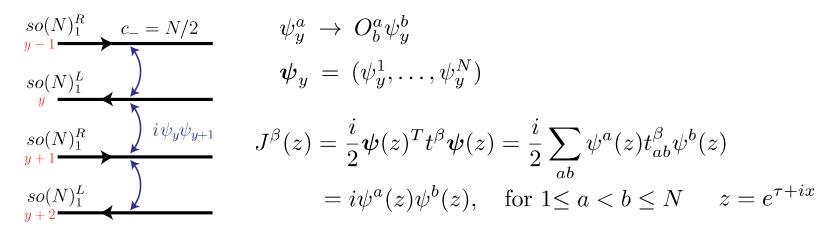
$$T_{\mathbf{k}}T_{-\mathbf{k}} = -e^{ik_y} \quad CT_{\mathbf{k}} = T_{-\mathbf{k}}C \qquad \Pi_{\mathbf{k}} = iCT_{\mathbf{k}}$$
$$\Pi_{\mathbf{k}}H_{\mathrm{BdG}}(\mathbf{k}) = -H_{\mathrm{BdG}}(\mathbf{k})\Pi_{\mathbf{k}} \qquad \Pi_{\mathbf{k}}^2 = e^{-ik_y}$$

• New classification: Z₂

Trivial gapping term for N = 2



so(*N*)₁ WZW CFT (Review) *SO*(*N*) symmetry and Current operators



• Current algebra and energy momentum $\psi^{a}(z)\psi^{b}(w) = \frac{\delta^{ab}}{z-w} + \dots$ $J^{\beta}(z)J^{\gamma}(w) = \frac{\delta^{\beta\gamma}}{(z-w)^{2}} + \sum_{s} \frac{if_{\beta\gamma\delta}}{z-w}J^{\delta}(w) + \dots \qquad so(N) \text{ at level } 1$

$$T(z) = \frac{1}{2(N-1)} \mathbf{J}(z) \cdot \mathbf{J}(z) = -\frac{1}{2} \boldsymbol{\psi}(z)^T \partial_z \boldsymbol{\psi}(z)$$
$$T(z)T(w) = \frac{c_-/2}{(z-w)^4} + \frac{2T(w)}{(z-w)^2} + \frac{\partial_w T(w)}{z-w} + \dots$$

$$I_T \approx c_- \frac{\pi^2 k_B^2}{6h} T^2$$

c = N/2

$so(N)_1$ WZW CFT (Review)

• Primary field content

$$J^{\beta}(z)V_{\lambda}^{\alpha}(w) = -\sum_{b=1}^{N} \frac{(tt_{\lambda a}^{\beta})rs}{z-w} V_{\lambda}^{b}(w) + \dots \qquad \lambda = \text{irreducible representation of } so(N)$$
$$T(z)V_{\lambda}(w) = \frac{h_{\lambda}}{(z-w)^{2}}V_{\lambda}(w) + \frac{\partial_{w}V_{\lambda}(w)}{z-w} + \dots \quad h_{\lambda} = \text{conformal (scaling) dimension}$$

N even

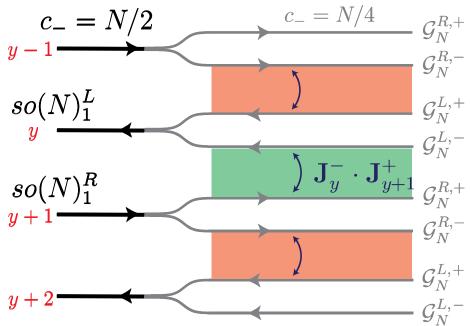
λ	1	ψ	s_+	<i>S</i>	
irred. rep.	trivial	vector	even spinor	odd spinor	
scal. dim. h_{λ}	0	1/2	N/16	N/16	$s_{\pm} \times \psi = s_{\mp}$
quant. dim. d_{λ}	1	1	1	1	± / 1

N odd

λ	$\mid 1$	ψ	σ
irred. rep.	trivial	vector	spinor
scal. dim. h_{λ}	0	1/2	N/16
quant. dim. d_{λ}	1	1	$\sqrt{2}$

 $\sigma\times\sigma=1+\psi$

General gapping scheme



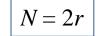
• Fractionalization (conformal embedding)

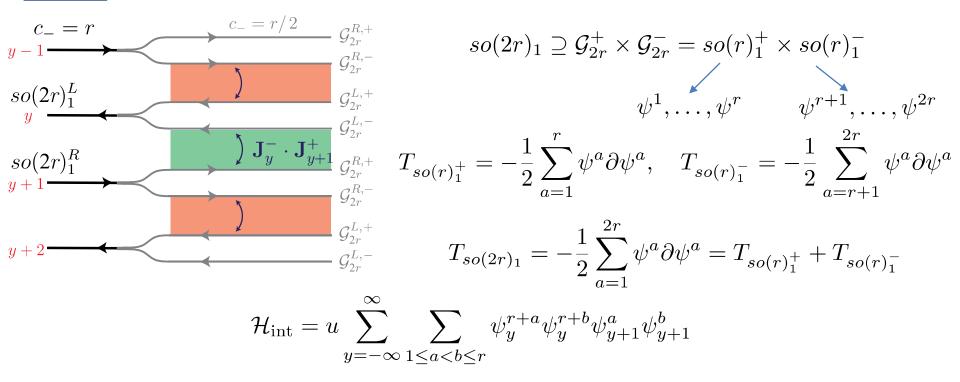
$$so(N)_1 \supseteq \mathcal{G}_N \times \mathcal{G}_N$$
 $T_{so(N)_1} = T_{\mathcal{G}_N^+} + T_{\mathcal{G}_N^-}$

• Gapping 4-fermion interaction

2-fermion backscattering

$$\mathcal{H}_{\text{int}} = u \sum_{y=-\infty}^{\infty} \mathbf{J}_{\mathcal{G}_N^-}^y \cdot \mathbf{J}_{\mathcal{G}_N^+}^{y+1}$$



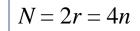


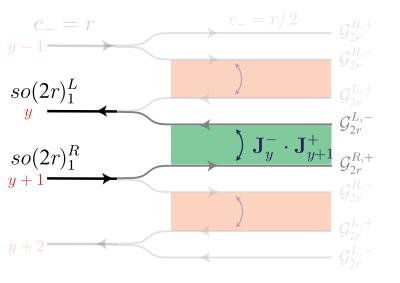
• Symmetric under antiferrormagnetic time reversal

$$\mathcal{T}: \psi_y \to (-1)^y \psi_{y+1}$$

• Marginally relevant

$$\frac{du}{dl} = +4\pi(r-2)u^2$$





$$O(r)$$
 Gross-Neveu (GN) model
 $\mathcal{H}_{GN} = -\frac{u}{2} (\boldsymbol{\psi}_R \cdot \boldsymbol{\psi}_L)^2$
 $\psi_y^{r+a} = \psi_R^a \text{ and } \psi_{y+1}^a = \psi_L^a, \text{ for } a = 1, \dots, r.$

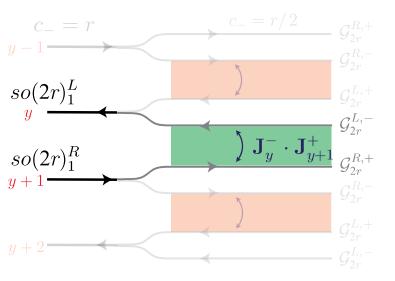
Bosonization $c_{R/L}^{j} = (\psi_{R/L}^{2j-1} + i\psi_{R/L}^{2j})/\sqrt{2} \sim e^{i\widetilde{\phi}_{R/L}^{j}}$ $j = 1, \dots, n$

$$\mathcal{H}_{GN} \sim u \sum_{j=1}^{n} \partial_x \widetilde{\phi}_R^j \partial_x \widetilde{\phi}_L^j - u \sum_{j_1 \neq j_2} \sum_{\pm} \cos\left(2\Theta^{j_1} \pm 2\Theta^{j_2}\right)$$
$$= u \sum_{j=1}^{n} \partial_x \widetilde{\phi}_R^j \partial_x \widetilde{\phi}_L^j - u \sum_{\boldsymbol{\alpha} \in \Delta} \cos\left(\boldsymbol{\alpha} \cdot 2\boldsymbol{\Theta}\right)$$

where $2\Theta = (2\Theta^1, \dots, 2\Theta^n)$ and $2\Theta^j = \widetilde{\phi}_R^j - \widetilde{\phi}_L^j$

$$\left\langle 2\Theta^j(x)\right\rangle = \pi m_{\psi}^j, \quad m_{\psi}^j \in \mathbb{Z}.$$

N = 2r = 4n + 2



$$O(r)$$
 Gross-Neveu (GN) model
 $\mathcal{H}_{\text{GN}} = -\frac{u}{2} \left(\psi_R \cdot \psi_L \right)^2$
 $\psi_y^{r+a} = \psi_R^a \text{ and } \psi_{y+1}^a = \psi_L^a, \text{ for } a = 1, \dots, r.$

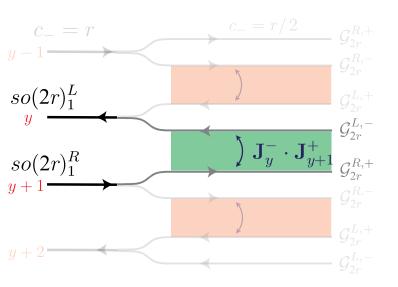
Bosonization

$$c_{R/L}^{j} = (\psi_{R/L}^{2j-1} + i\psi_{R/L}^{2j})/\sqrt{2} \sim e^{i\widetilde{\phi}_{R/L}^{j}}$$

$$j = 1, \dots, n$$

$$\mathcal{H}_{\rm GN} \sim -u \sum_{\boldsymbol{\alpha} \in \Delta_{so(2n)}} \cos\left(\boldsymbol{\alpha} \cdot 2\boldsymbol{\Theta}\right)$$
$$-u \left[\sum_{j=1}^{n} \cos\left(2\Theta^{j}\right)\right] i\psi_{R}^{r}\psi_{L}^{r}$$
where $2\boldsymbol{\Theta} = (2\Theta^{1}, \dots, 2\Theta^{n})$ and $2\Theta^{j} = \widetilde{\phi}_{R}^{j}$

$$\begin{split} \left\langle 2\Theta^{j}(x)\right\rangle &= \pi m_{\psi}^{j}, \quad m_{\psi}^{j} \in \mathbb{Z}. \\ \mathcal{H}_{\rm GN} &\sim -2n(n-1)u - nu(-1)^{m_{\psi}} i\psi_{R}^{r}\psi_{L}^{r} \\ &- \widetilde{\phi}_{L}^{j} \end{split}$$



Special case: N = 2r = 4

O(2) Gross-Neveu model is gapless

Alternative decomposition: $so(4)_1 = su(2)_1^+ \times su(2)_1^$ $c_y^1 = (\psi_y^1 + i\psi_y^2)/\sqrt{2} = e^{i\tilde{\phi}_y^1}$ $\tilde{\phi}^1 = \phi^+ - \phi^$ $c_y^2 = (\psi_y^3 + i\psi_y^4)/\sqrt{2} = e^{i\tilde{\phi}_y^2}$ $\tilde{\phi}^2 = \phi^+ + \phi^-$

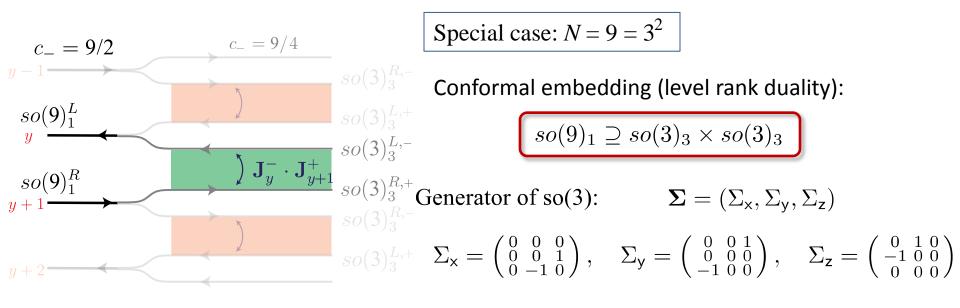
The $su(2)_1$ current generators:

$$S_{z}^{I}(z) = i\sqrt{2}\partial\phi^{I}(z)$$
 $S_{\pm}^{I}(z) = (S_{x}^{I} \pm iS_{y}^{I})/\sqrt{2} = e^{i2\phi^{I}(z)}$

$$S_{\mathbf{i}}^{I}(z)S_{\mathbf{j}}^{I}(w) = \frac{\delta_{\mathbf{ij}}}{(z-w)^{2}} + \frac{i\sqrt{2}\varepsilon_{\mathbf{ijk}}}{z-w}S_{\mathbf{k}}^{I}(w) + \dots$$

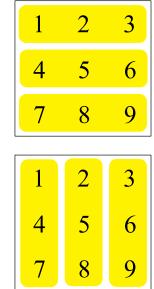
The gapping Hamiltonian is

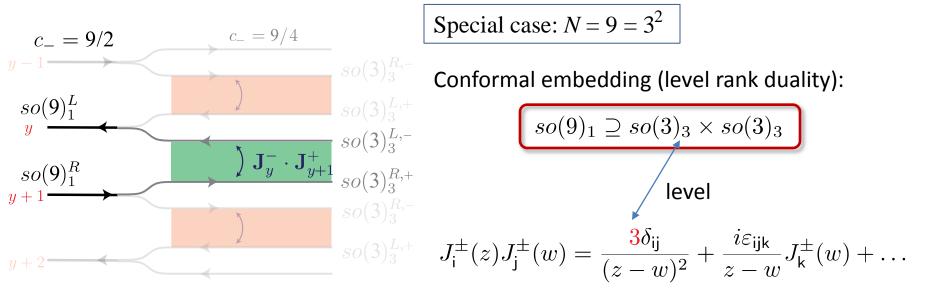
$$\mathcal{H}_{\text{int}} = u \sum_{y=-\infty}^{\infty} \mathbf{S}_{y}^{2} \cdot \mathbf{S}_{y+1}^{1} = 2u \sum_{y=-\infty}^{\infty} \partial_{x} \phi_{y}^{2} \partial_{x} \phi_{y+1}^{1} - 2\cos\left(4\Theta_{y+1/2}\right),$$
$$4\Theta_{y+1/2} = 2\phi_{y+1}^{+} - 2\phi_{y}^{-} = \widetilde{\phi}_{y+1}^{1} + \widetilde{\phi}_{y+1}^{2} + \widetilde{\phi}_{y}^{1} - \widetilde{\phi}_{y}^{2}.$$



Embedding:

g: $\Sigma^+ = \Sigma \otimes \mathbb{1}_3, \quad \Sigma^- = \mathbb{1}_3 \otimes \Sigma.$ $\mathbf{J}_{so(3)_3^{\pm}}(z) = \frac{i}{2} \psi^a(z) \Sigma^{\pm}_{ab} \psi^b(z)$



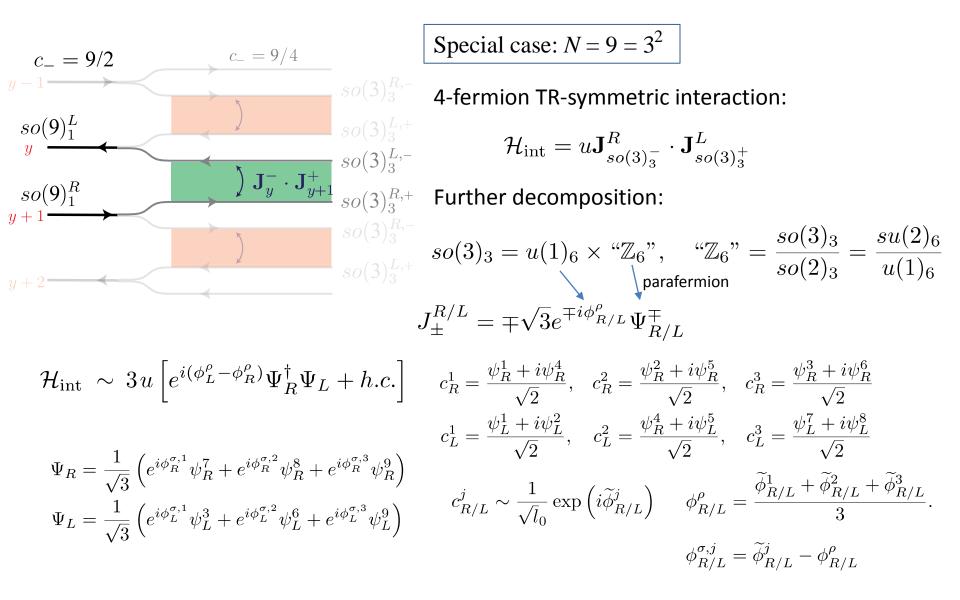


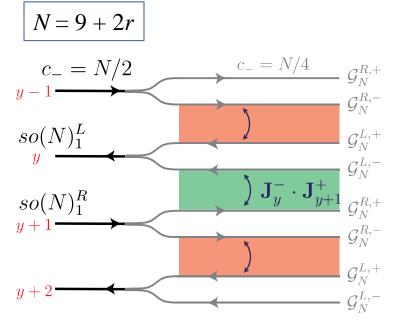
$$T_{so(3)_{3}^{\pm}}(z) = \frac{1}{8} \mathbf{J}_{so(3)_{3}^{\pm}}(z) \cdot \mathbf{J}_{so(3)_{3}^{\pm}}(z).$$

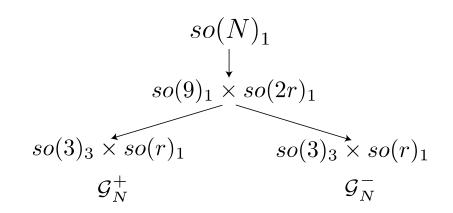
$$= -\frac{1}{4} \sum_{a=1}^{9} \psi^{a}(z) \partial \psi^{a}(z) \mp \frac{1}{4} \mathcal{O}_{\psi}(z)$$

$$\mathcal{O}_{\psi}(z) = \psi^{1245} + \psi^{1278} + \psi^{4578} + \psi^{1346} + \psi^{1379} + \psi^{4679} + \psi^{2356} + \psi^{2389} + \psi^{5689}$$

$$T_{so(9)_1} = -\frac{1}{2} \sum_{a=1}^{9} \psi^a \partial \psi^a = T_{so(3)_3^+} + T_{so(3)_3^-}. \qquad c_{so(3)_3^\pm} = 9/4.$$



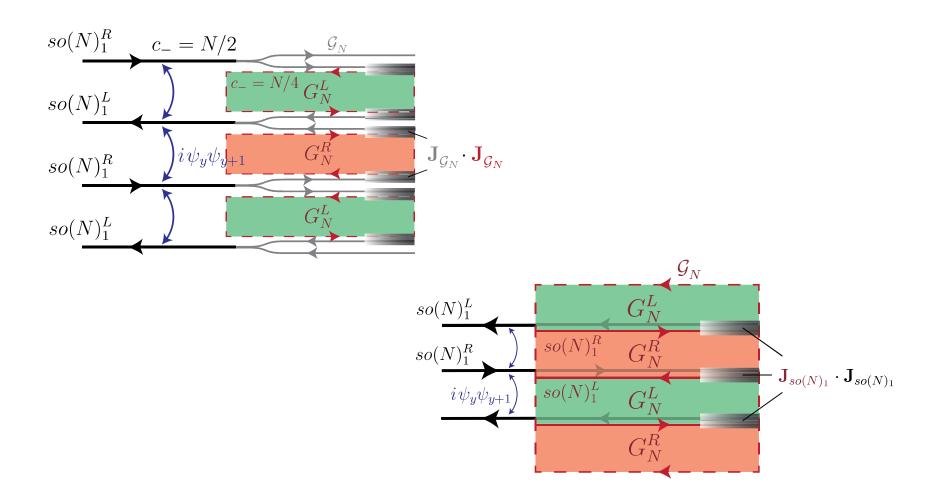




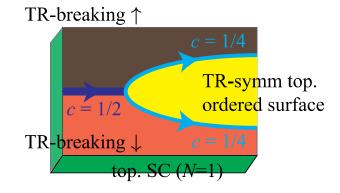
Negative r = reverse propagating channels

$$\mathcal{H}_{\text{int}} = u \mathbf{J}_{\mathcal{G}_N^+} \cdot \mathbf{J}_{\mathcal{G}_N^-}$$
$$= u \mathbf{J}_{so(3)_3^+} \cdot \mathbf{J}_{so(3)_3^-} + u \mathbf{J}_{so(r)_1^+} \cdot \mathbf{J}_{so(r)_1^-}$$

Gapping by Quantum Hall stripes

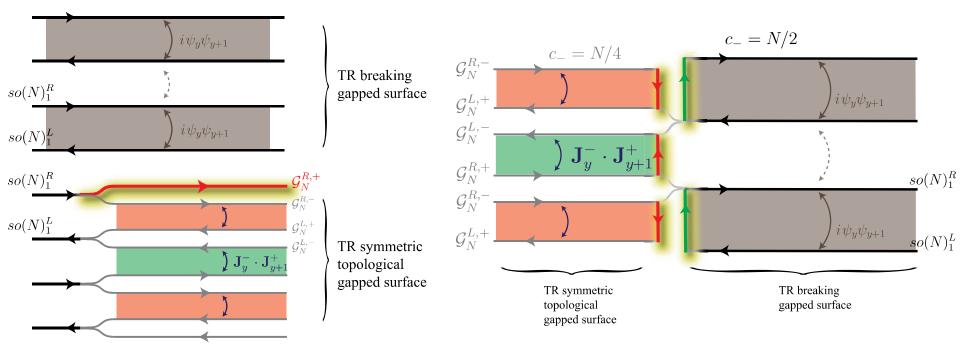


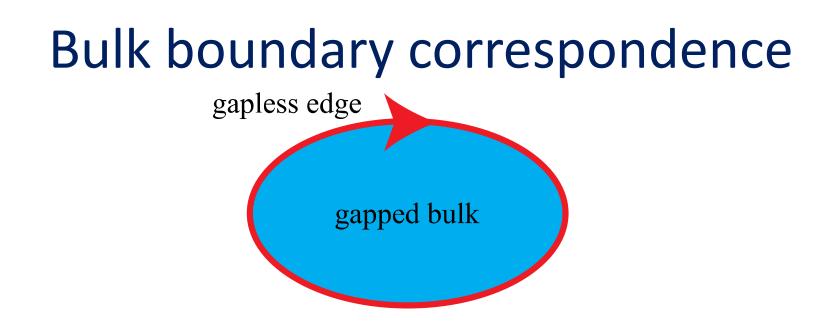
Time reversal breaking interface



Interface CFT:

$$\mathcal{G}_N = \begin{cases} so(N/2)_1 & \text{for } N \text{ even} \\ so(3)_3 \times so\left(\frac{N-9}{2}\right)_1 & \text{for } N \text{ odd} \end{cases}$$





- Topological order
- Quasiparticles
- Fusion
- Exchange statistics
- Braiding

- Boundary CFT
- Primary fields
- Operator product expansion
- Scaling dimension
- Modular transformation

Topological order

$$S_{SO(r)_1} = \frac{1}{2} \begin{pmatrix} 1 & 1 & 1 & 1 \\ 1 & 1 & -1 & -1 \\ 1 & -1 & e^{i\pi r/4} & -e^{i\pi r/4} \\ 1 & -1 & -e^{i\pi r/4} & e^{i\pi r/4} \end{pmatrix}, \text{ for } r \text{ even}$$

x $d_{\mathbf{x}}$

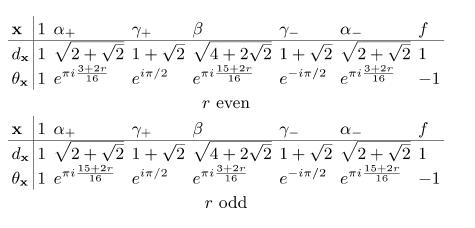
 $\theta_{\mathbf{x}}$

$$S_{SO(r)_1} = \frac{1}{2} \begin{pmatrix} 1 & 1 & \sqrt{2} \\ 1 & 1 & -\sqrt{2} \\ \sqrt{2} & -\sqrt{2} & 0 \end{pmatrix}, \text{ for } r \text{ odd}$$

Topological order

$$G_N = \begin{cases} SO(r)_1, & \text{for } N = 2r \\ SO(3)_3 \boxtimes_b SO(r)_1 & \text{for } N = 9 + 2r \end{cases}$$

$$N=9+2r$$



$$\begin{aligned} f \times f &= 1, \quad f \times \gamma_{\pm} = \gamma_{\mp}, \quad f \times \alpha_{\pm} = \alpha_{\mp}, \quad f \times \beta = \beta \\ \gamma_{\pm} \times \gamma_{\pm} &= 1 + \gamma_{+} + \gamma_{-}, \quad \alpha_{\pm} \times \beta = \gamma_{+} + \gamma_{-} \\ \beta \times \beta &= 1 + \gamma_{+} + \gamma_{-} + f, \quad \beta \times \gamma_{\pm} = \alpha_{+} + \alpha_{-} + \beta \end{aligned}$$

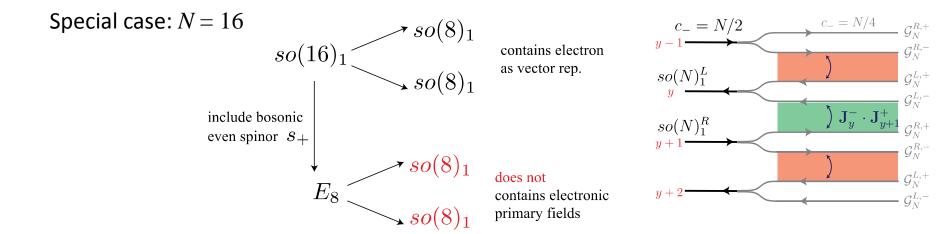
$$\alpha_{\pm} \times \alpha_{\pm} = \begin{cases} 1 + \gamma_{+}, & \text{for } r \equiv 0 \mod 4\\ f + \gamma_{+}, & \text{for } r \equiv 1 \mod 4\\ f + \gamma_{-}, & \text{for } r \equiv 2 \mod 4\\ 1 + \gamma_{-}, & \text{for } r \equiv 3 \mod 4\\ \alpha_{\pm} \times \gamma_{\pm} = \begin{cases} \alpha_{+} + \beta, & \text{for } r \text{ even}\\ \alpha_{-} + \beta, & \text{for } r \text{ odd} \end{cases}$$

Topological order

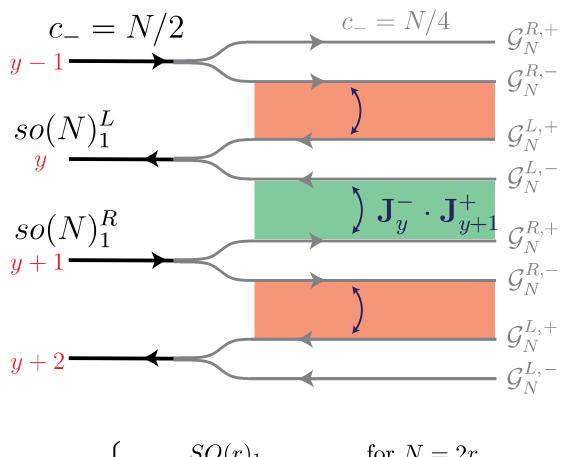
$$G_N = \begin{cases} SO(r)_1, & \text{for } N = 2r \\ SO(3)_3 \boxtimes_b SO(r)_1 & \text{for } N = 9 + 2r \end{cases}$$

32-fold periodicity

$$G_{N+32} \cong G_N$$
$$G_M \boxtimes_b G_N \cong G_{M+N}$$



Conclusion



 $G_N = \begin{cases} SO(r)_1, & \text{for } N = 2r \\ SO(3)_3 \boxtimes_b SO(r)_1 & \text{for } N = 9 + 2r \end{cases}$