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Inelastic Studies of Complex Ground  
States:  
Spin, Charge, Lattice and Orbital  
Excitations in Novel Materials

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# Collaborators

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## SmFeAs(O,F)

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T. Forrest (UCL)

D. McMorro (UCL)

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D. Casa (APS)

J. Kim (APS)

M.H. Upton (APS)

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M. Sfeir (BNL)

## (La,Sr)<sub>2</sub>NiO<sub>4</sub>

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R. Kraus (IFW-Dresden)

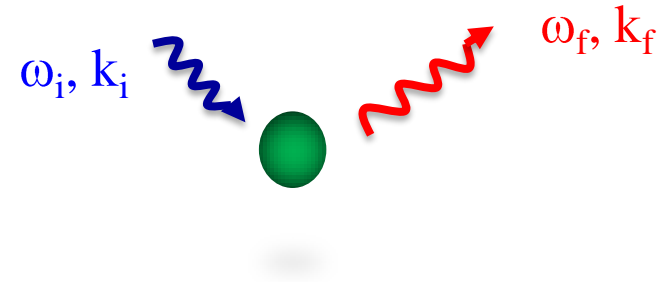
M. Knupfer (IFW-Dresden)

A. Boothroyd (U. Oxford)

# Outline

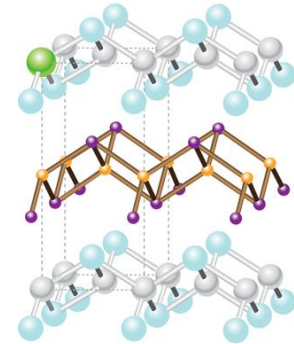
## 1. Introduction

- Excitations
- Inelastic x-ray scattering: Cross-section



## 2. Lattice Excitations

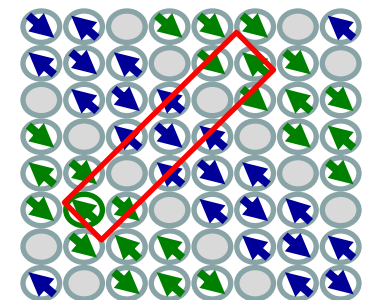
- Anomalous phonons in  $\text{SmFeAsO}_{1-x}\text{F}_x$



## 3. Magnetic Excitations

## 4. Orbital and Charge Excitations

- Dispersive and non-dispersive excitations in  $\text{La}_{2-x}\text{Sr}_x(\text{Mn,Ni})\text{O}_4$



# Ground State and Excitations

A complete picture of a system requires knowledge of both its **ground state** and its **excitations**.

*(in high-energy physics parlance: Vacuum and particles)*

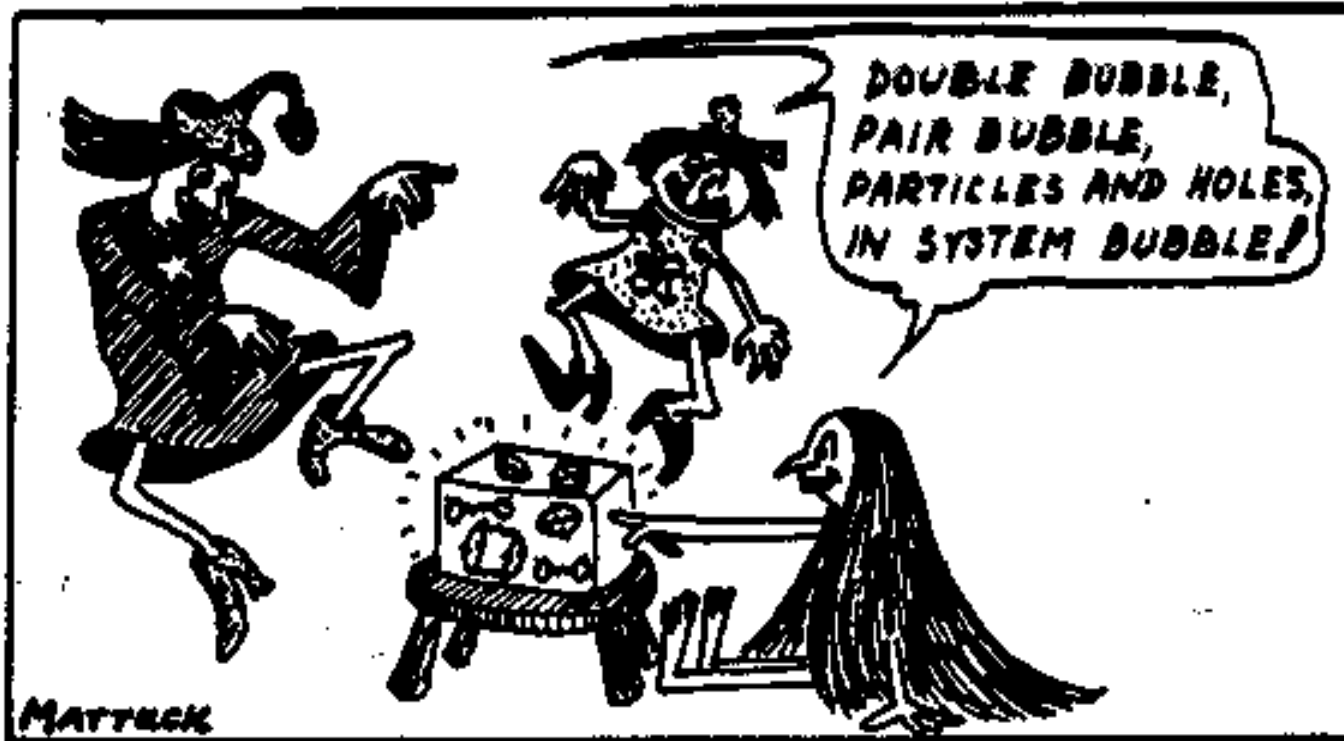
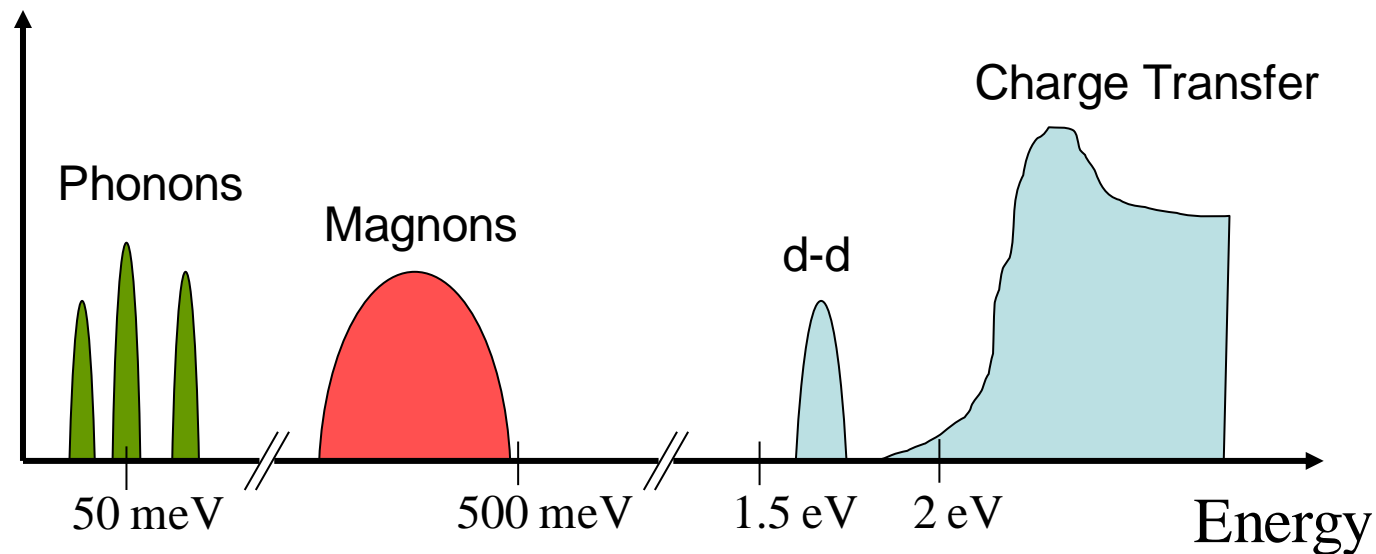


Fig. 1.5 *Modern View of a Many-body System in its Ground State*

# Excitations in Condensed Matter

- 1) Excitation spectrum determines the dynamic response of these materials.
- 2) Excitation spectra provide stringent test of theory.
- 3) “High-energy physics” of systems often controls their behavior:

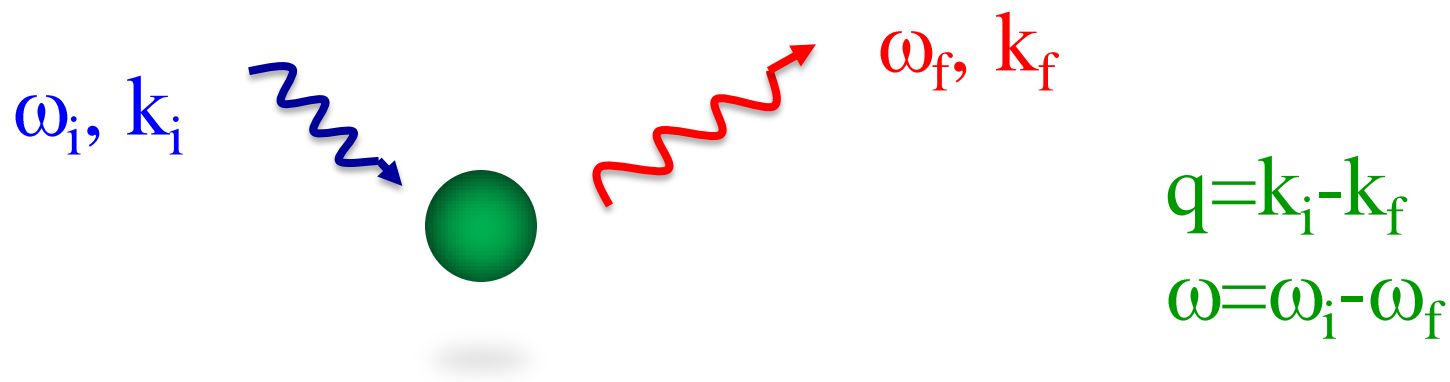
$$t \sim 1 \text{ eV}, U \sim 8 \text{ eV}, \Delta \sim 2 \text{ eV}$$



Need a momentum and energy resolved probe  $\rightarrow$  IXS

# Inelastic X-ray Scattering

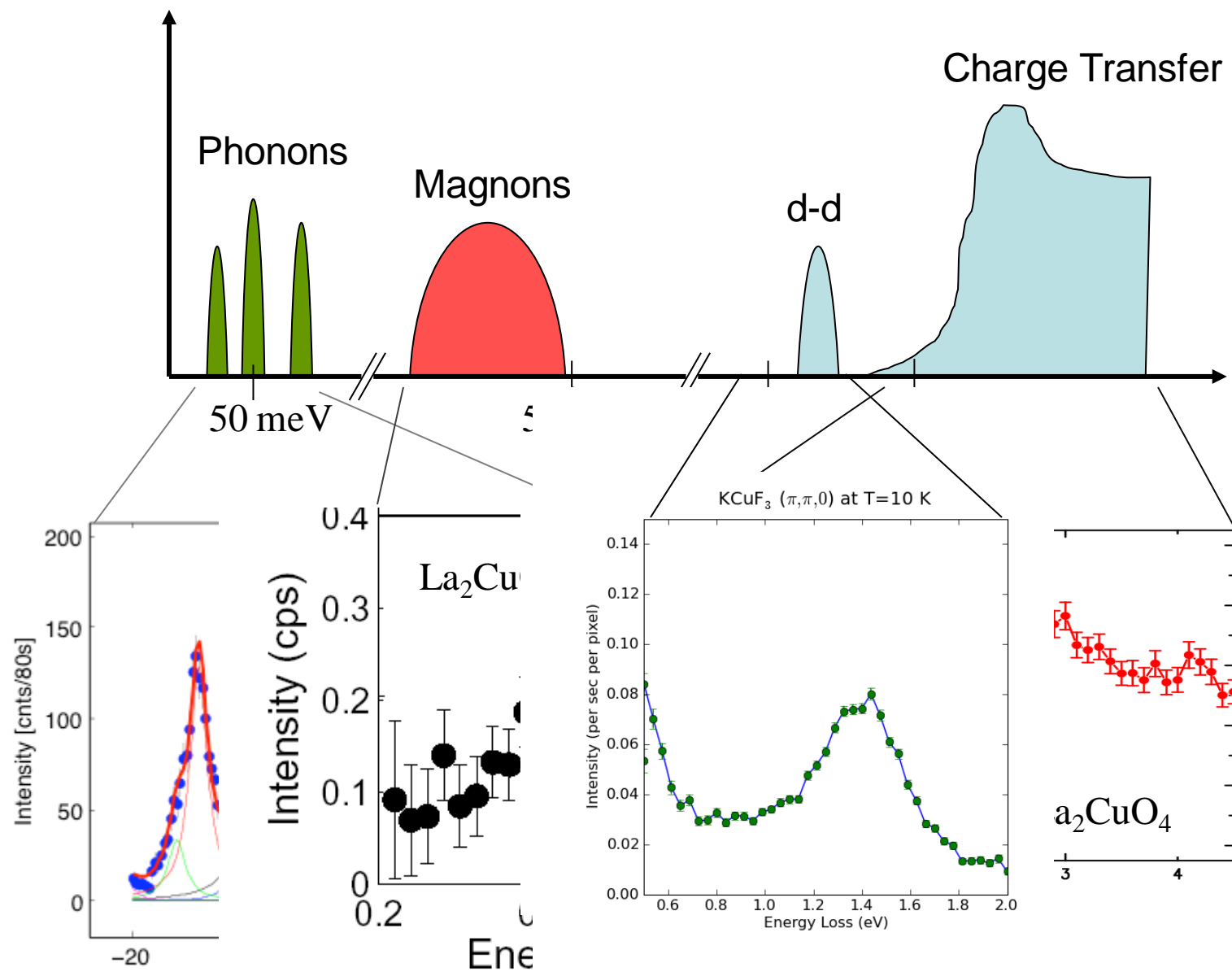
## Inelastic X-ray Scattering



**Elastic** scattering ( $\omega = 0$ ) gives static properties.

**Inelastic** scattering ( $\omega \neq 0$ ) gives dynamic properties.

# Inelastic X-ray Scattering



# Cross-Section

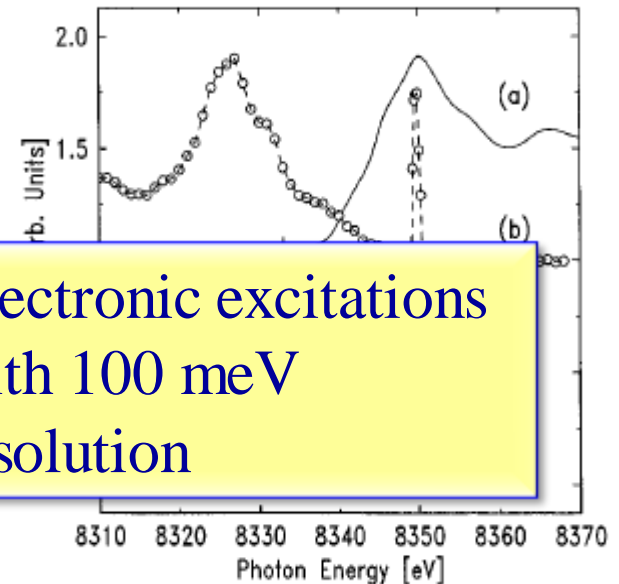
$$\frac{d^2\sigma}{d\Omega d\omega} \propto \left| \langle f | H_{\text{int}} | i \rangle + \sum_{|n\rangle} \frac{\langle f | H_{\text{int}} | n \rangle \langle n | H_{\text{int}} | i \rangle}{E_i - E_n + i\Gamma} \right|^2$$

Non-resonant scattering  
(weak)

Phonons with  
few meV  
resolution

Electronic excitations  
with 100 meV  
resolution

Kao et al, PRB 1996,



Resonant IXS is  $> 100 \times$  Non-Resonant IXS!



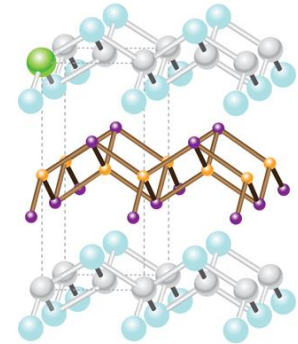
# 1. Introduction

## 2. Lattice Excitations

- Anomalous phonons in  $\text{SmFeAsO}_{1-x}\text{F}_x$

## 3. Magnetic Excitations

## 4. Orbital and Charge Excitations



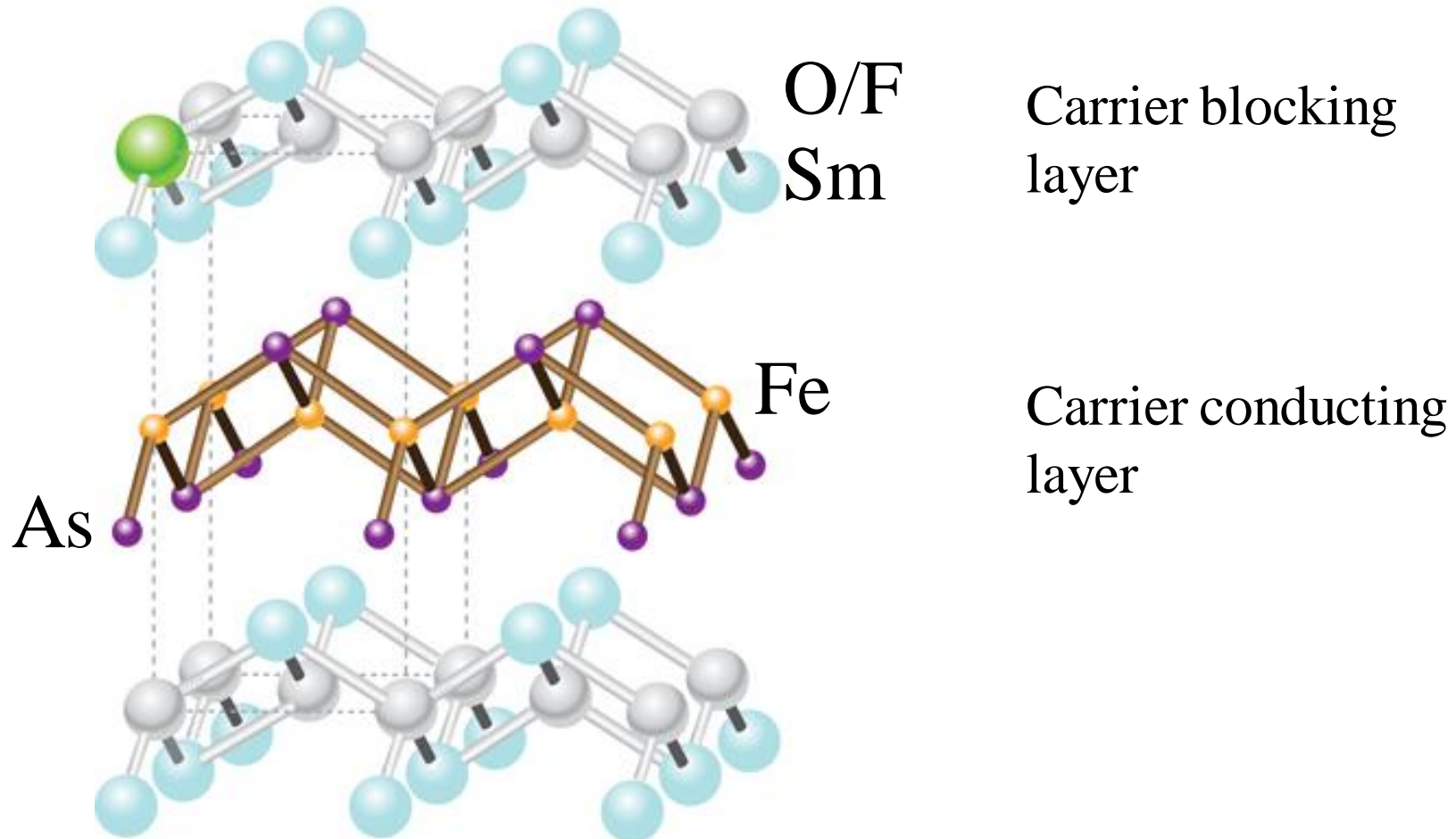
# “Iron Age” of Superconductivity

Discovery of a new class of iron-based superconductors

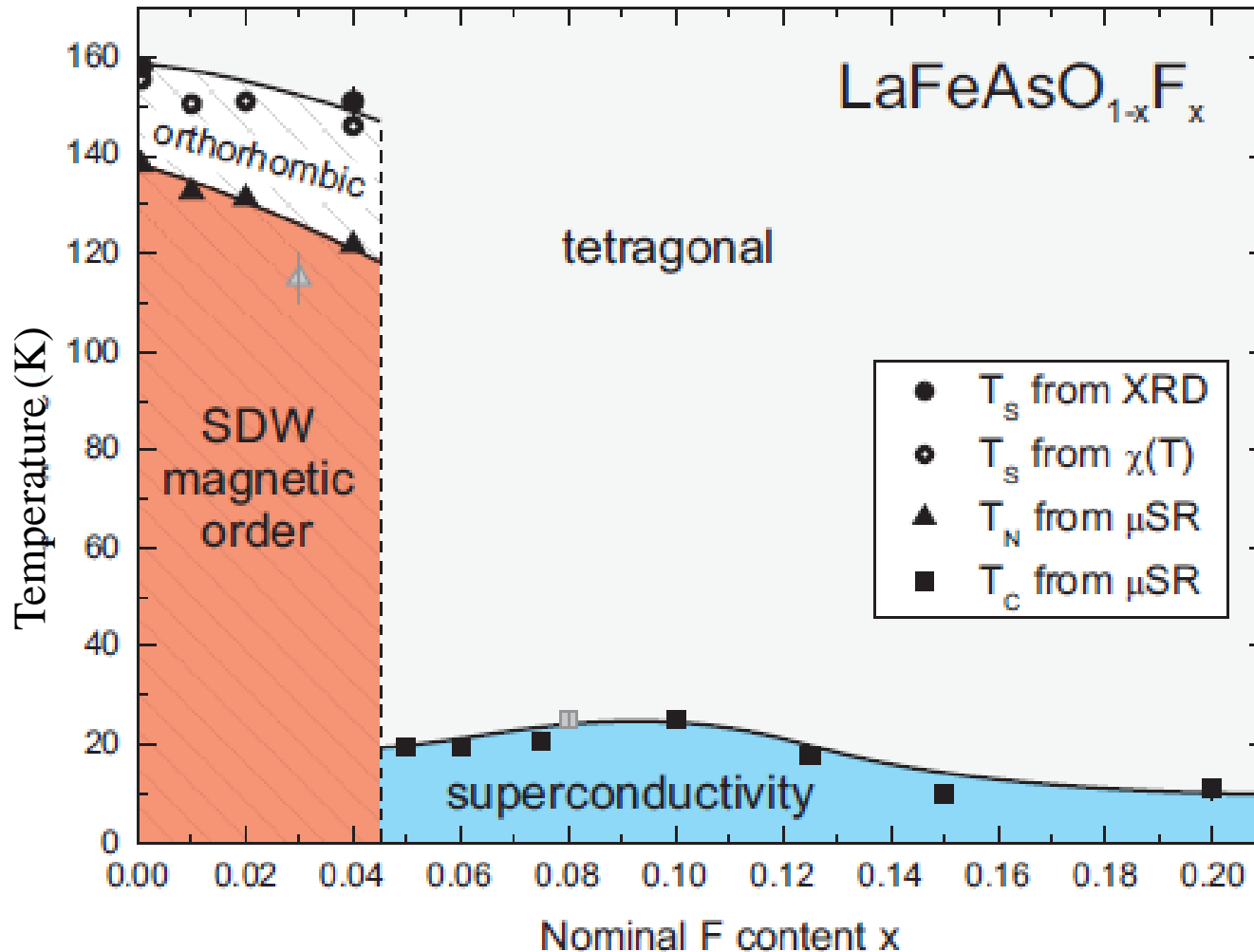
- Large variety of “families” of materials
  - “1111” LaFeAsO, CeFeAsO, SmFeAsO...
  - “122” BaFe<sub>2</sub>As<sub>2</sub>, CoFe<sub>2</sub>As<sub>2</sub>, ....
  - “111” LiFeAs, ...
  - “11” FeSe, FeTe. ...
  - + ....?
- Highest  $T_c=55$  K in SmFeAsO<sub>1-x</sub>F<sub>x</sub>
- Metallic parent compounds, with SDW antiferromagnetism
- LDA seems to work reasonably well (small U)
- s-wave superconductors

# $\text{SmFeAsO}_{1-x}\text{F}_x$

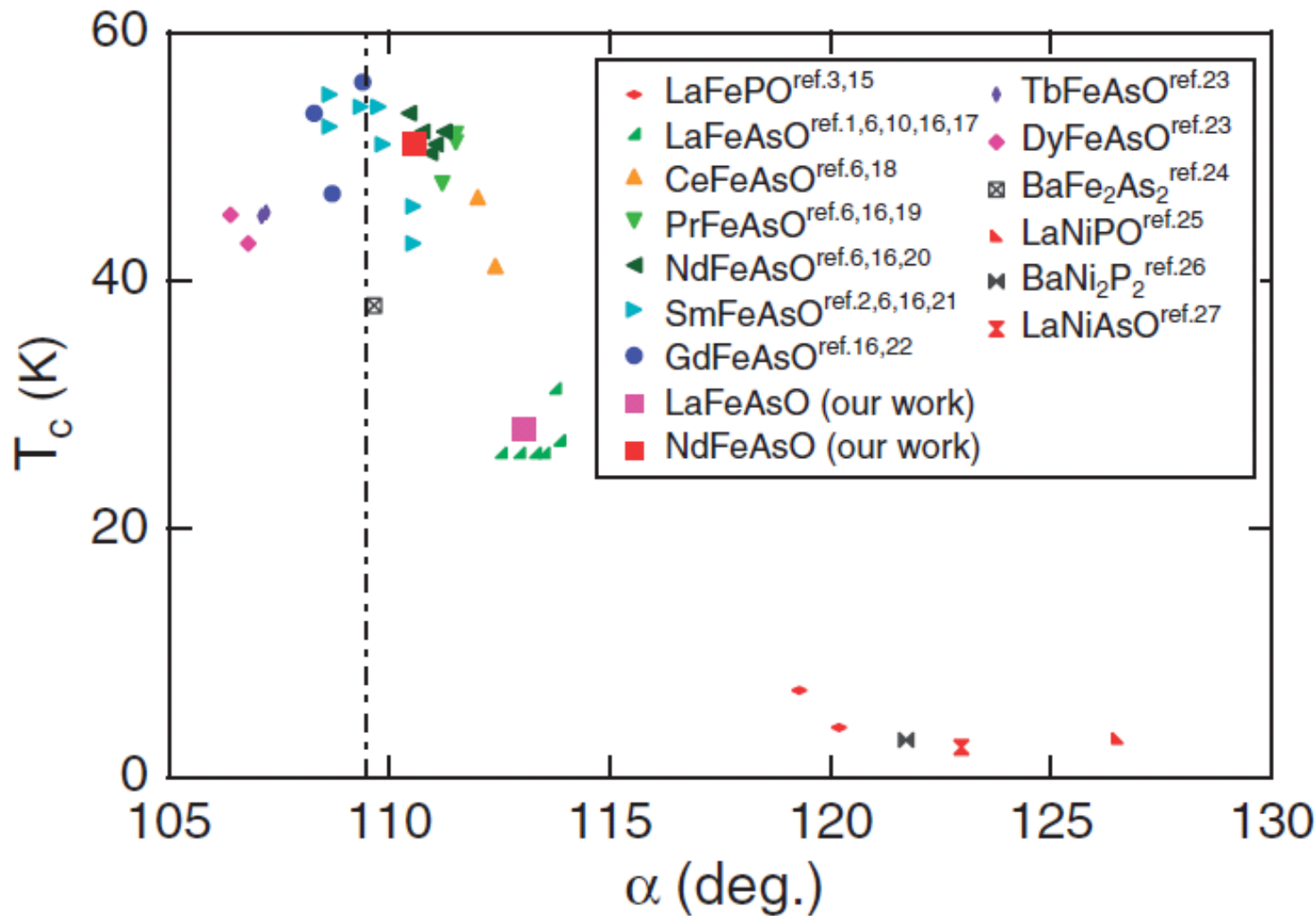
## Crystal Structure



# Phase Diagram



# Pairing Mechanism

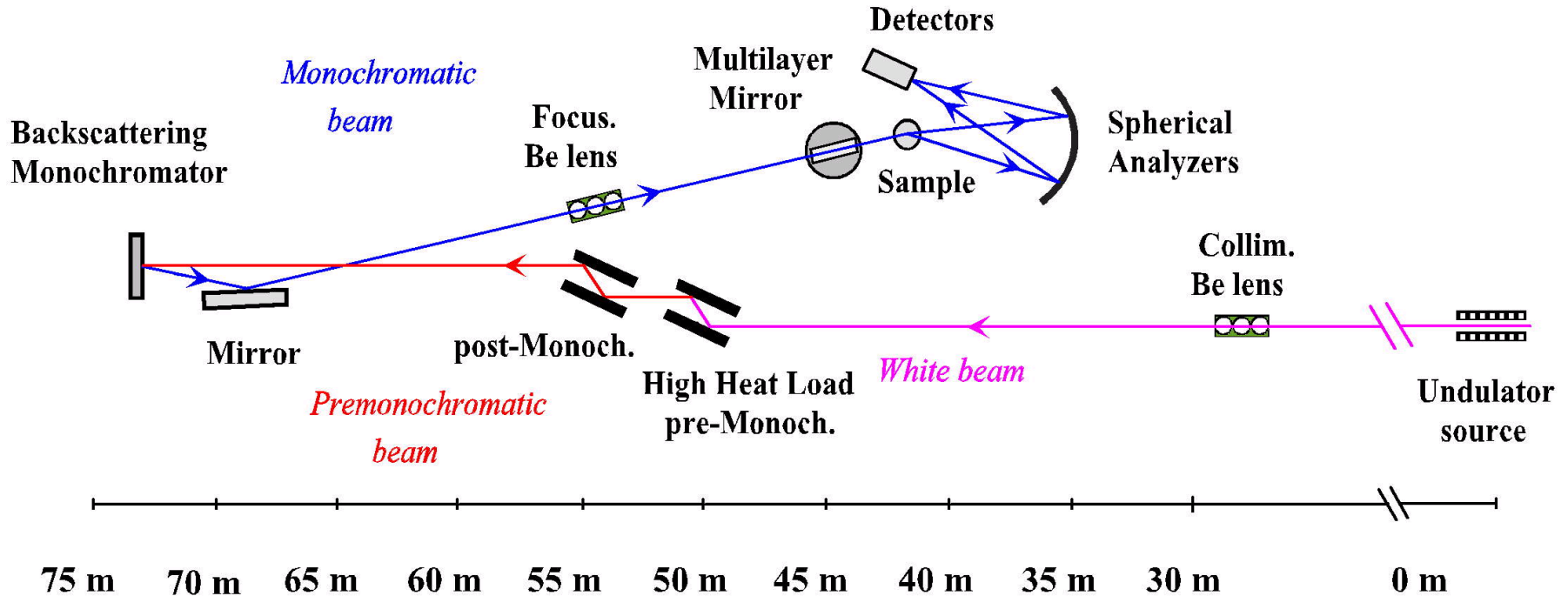


Lee et al. *J. Phys. Soc Jpn* (2008)



Need measurements of the phonons

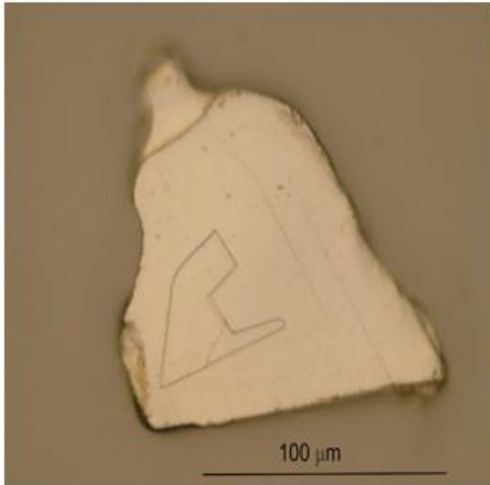
# High Resolution Inelastic Scattering



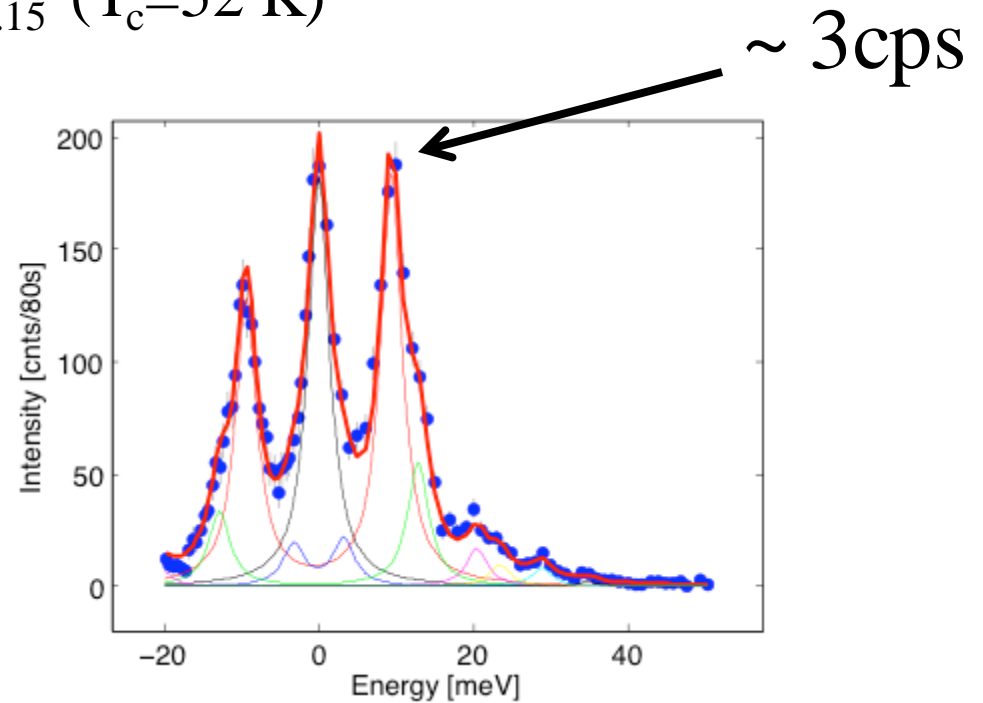
ID 28 at the ESRF

# Inelastic X-Ray Scattering

Single crystal  $\text{SmFeAsO}_{0.85}\text{F}_{0.15}$  ( $T_c=52$  K)



Volume  $\sim 100 \times 100 \times 5 \mu\text{m}^3$



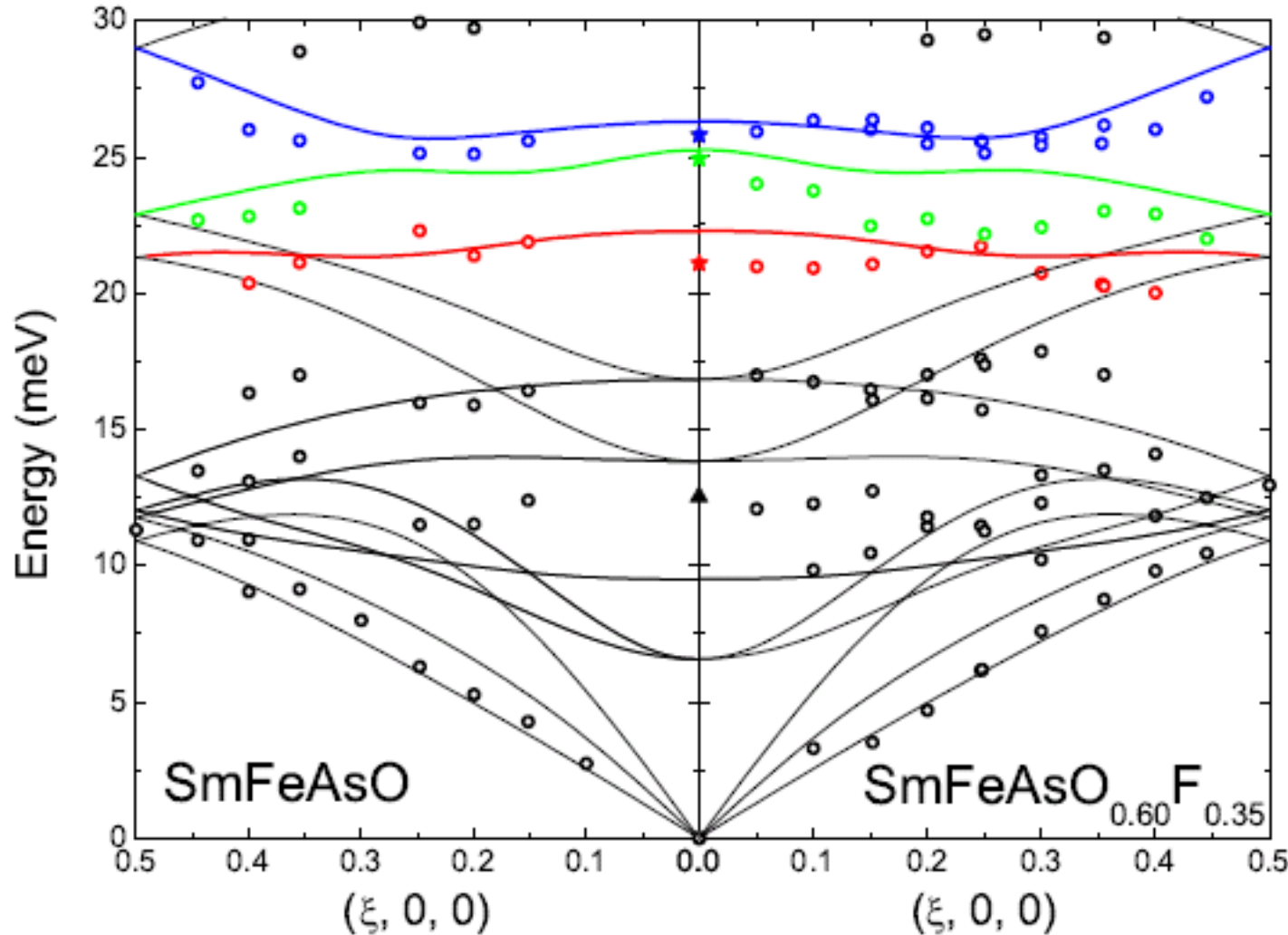
ID28, ESRF,  $\Delta E = 3$  meV

LeTacon, *et al.*, PRB (2009)

# Single Crystal Phonon Dispersions

Parent

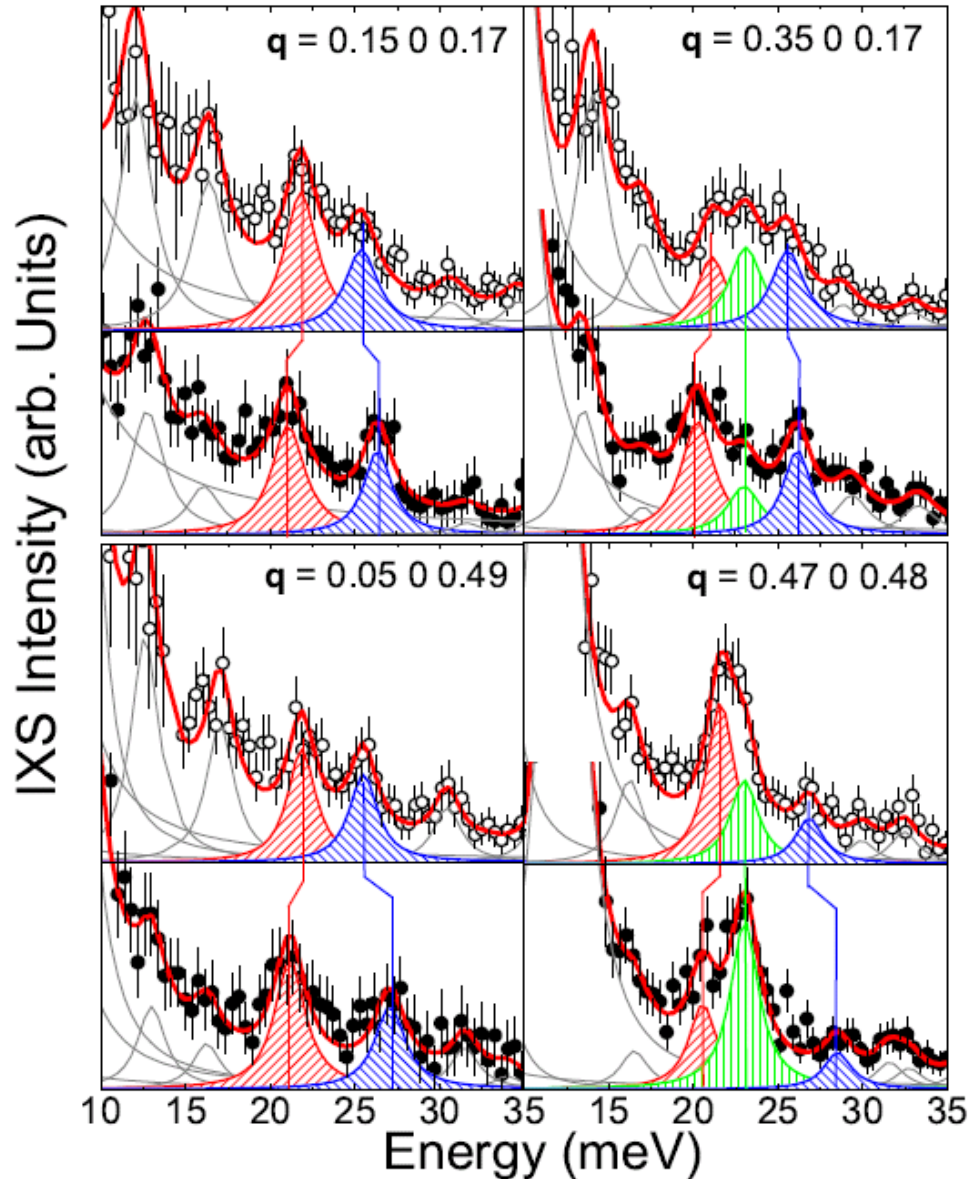
Superconductor



Deviations  
are seen  
from DFT  
calculations



# Doping Dependence



Parent

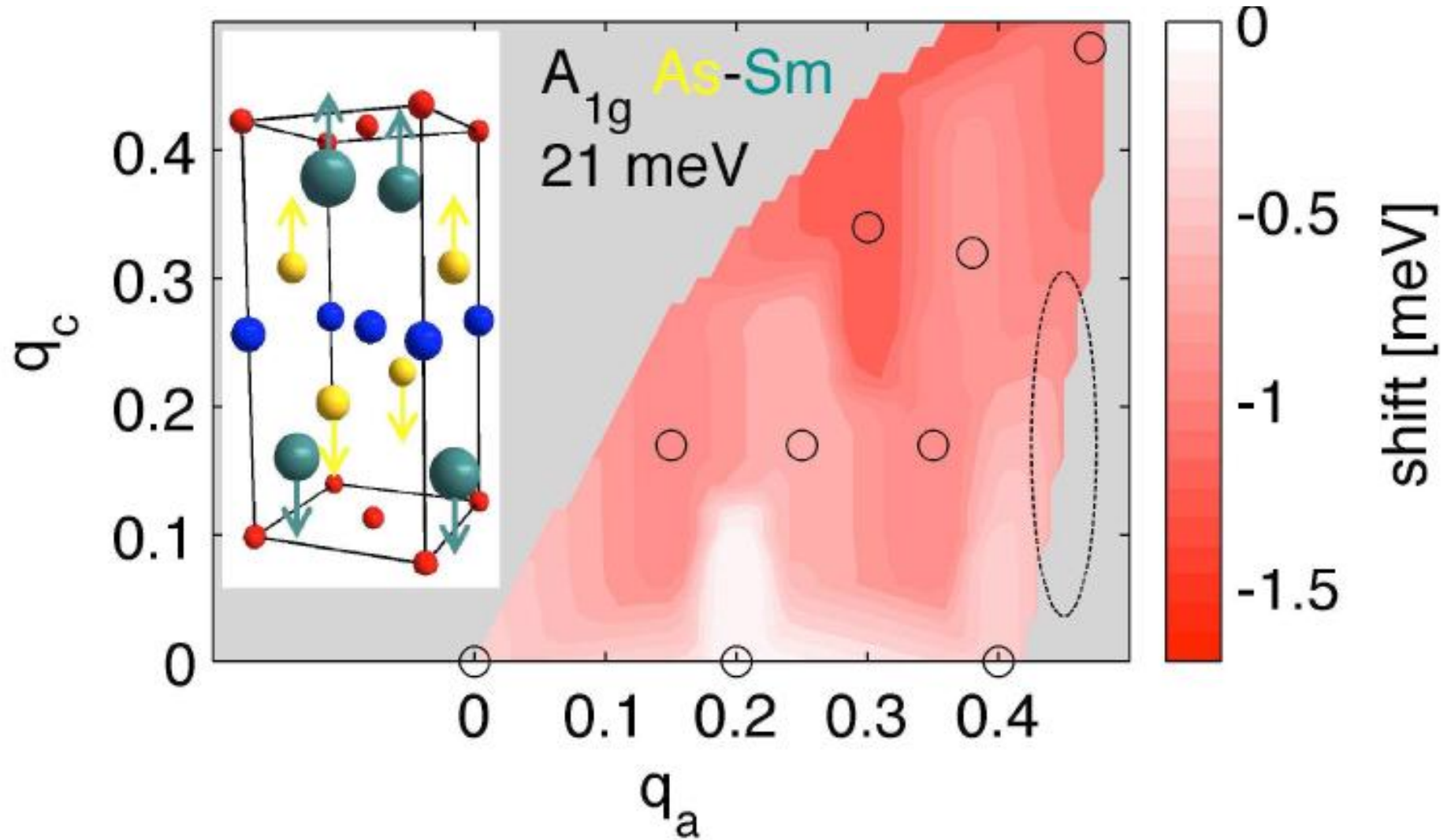
Super-conductor

Parent

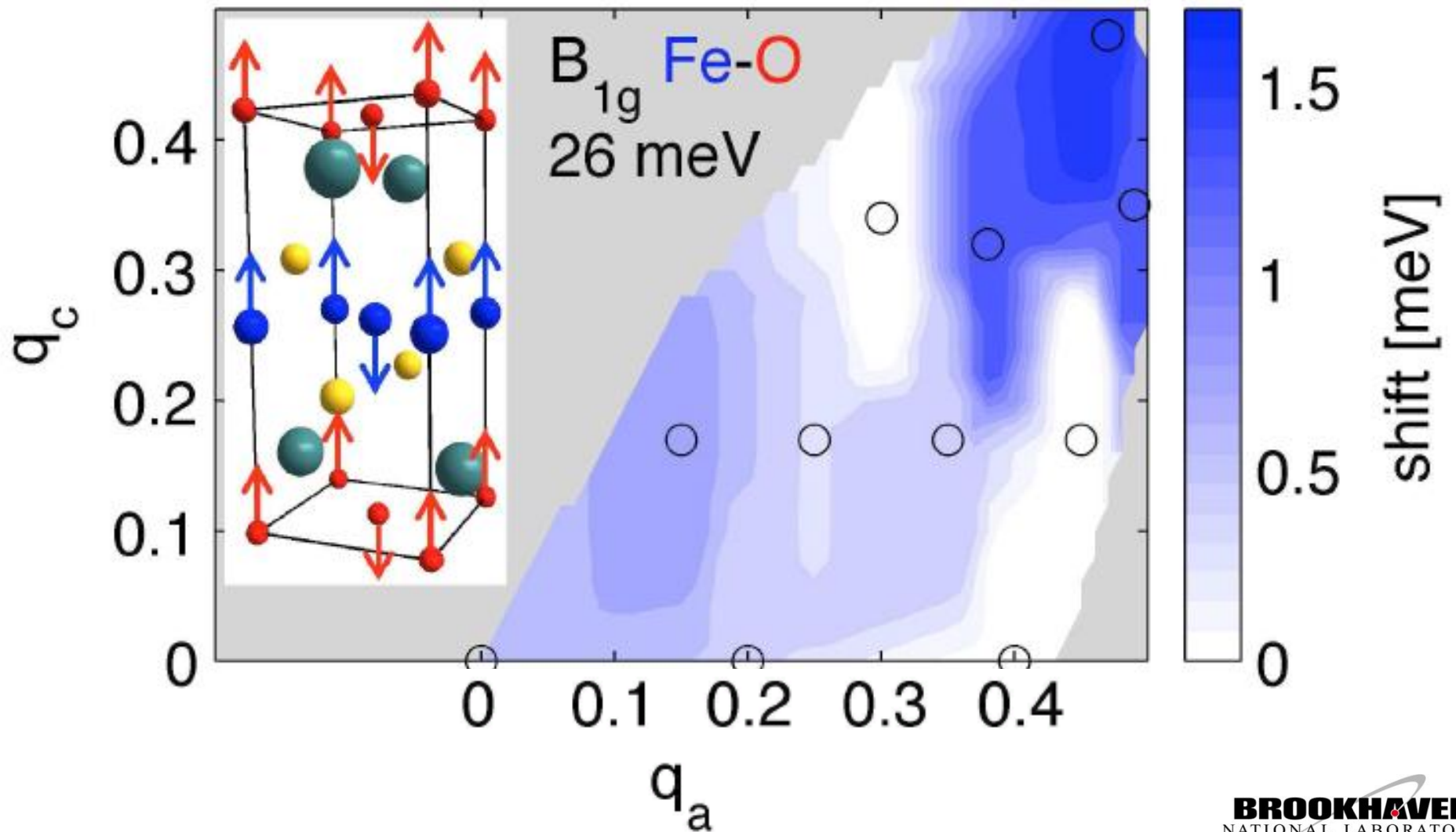
Super-conductor

Certain phonons around 23 meV show unusual shifts on doping

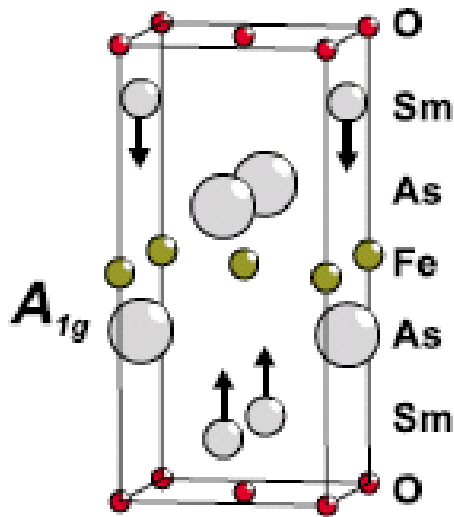
# 21 meV Mode



# 26 meV Mode

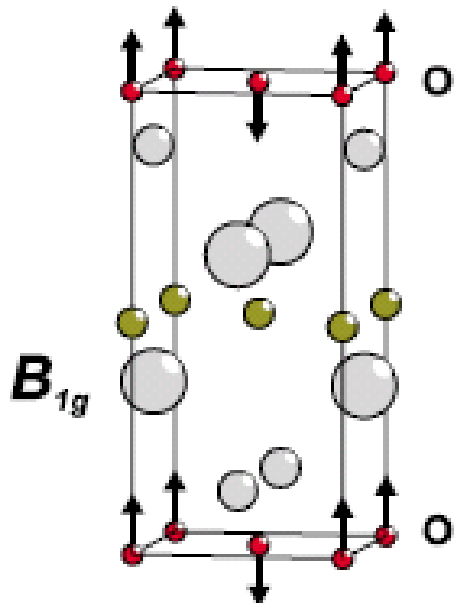


# Other Nearby Phonon Modes



Sm + As mode  $\sim 25$  meV

To first order, these don't couple to the FeAs tetrahedra – and therefore do not couple to the Fe electronic degrees of freedom

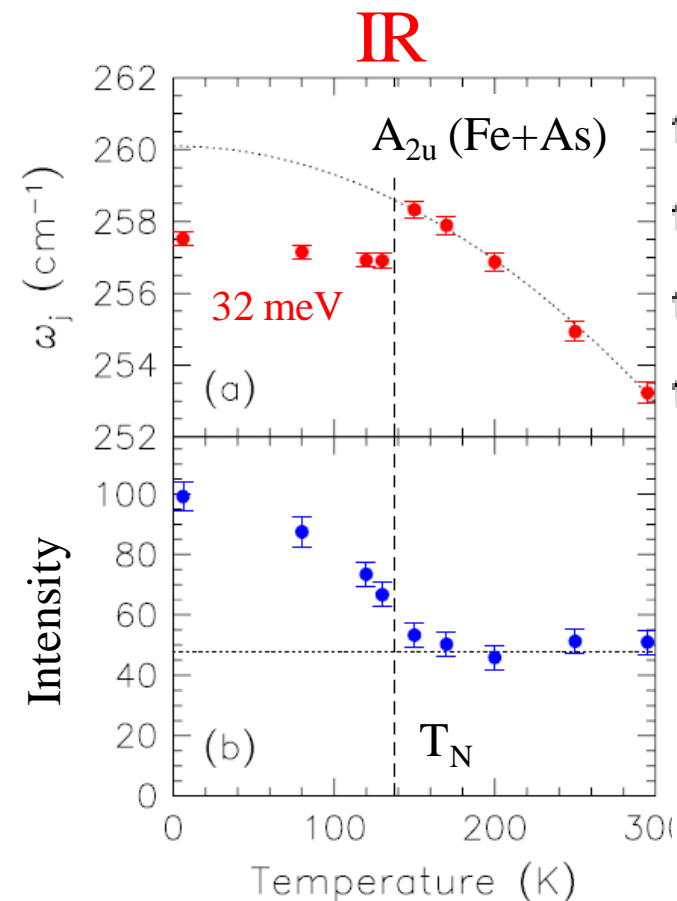


Oxygen mode  $\sim 43$  meV

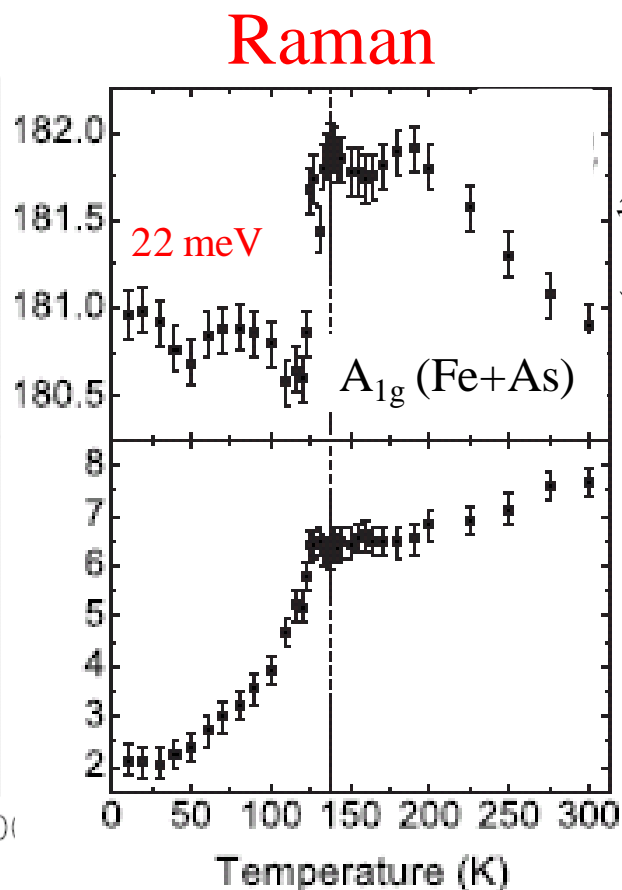
From Hadjiev *et al.* PRB (2008)

# Spin/Orbital-phonon Coupling

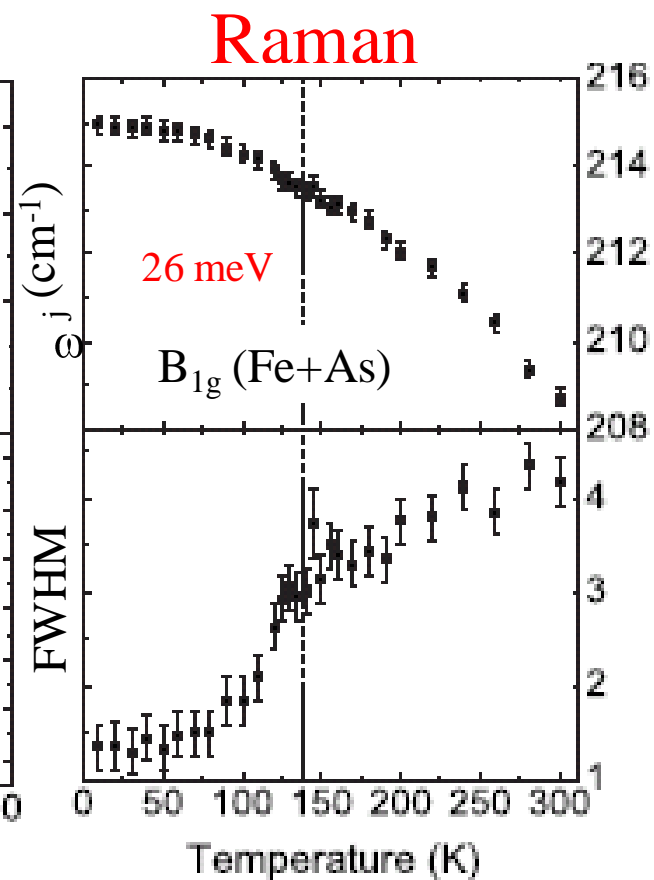
BaFe<sub>2</sub>As<sub>2</sub>



Akrap *et al.* PRB (2009)



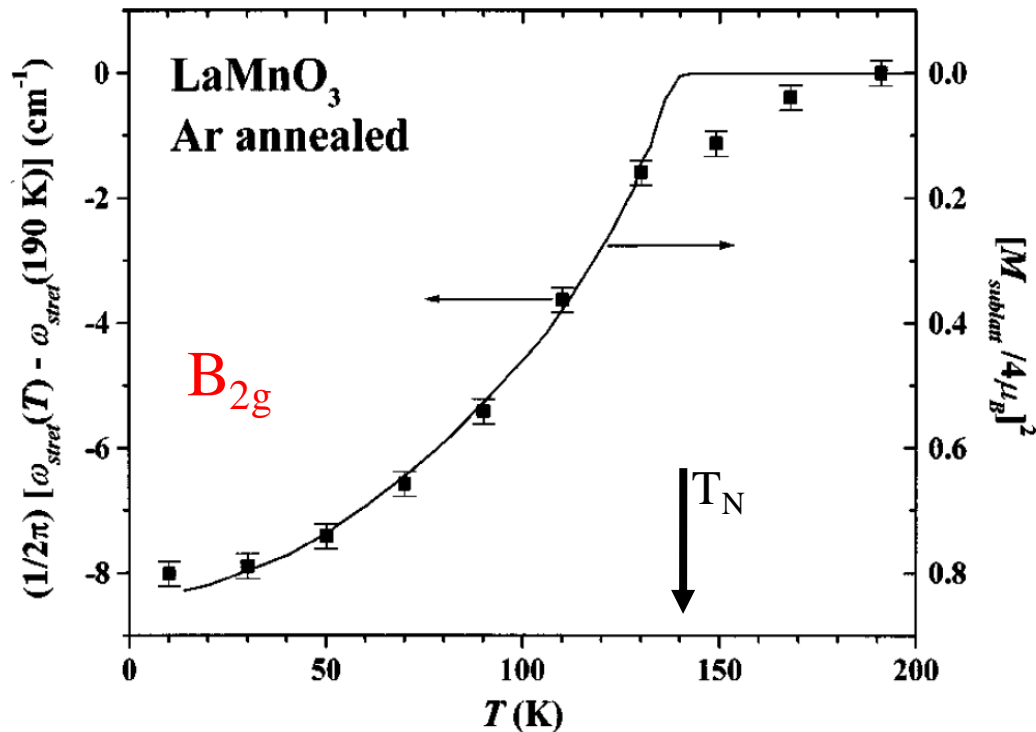
Rhalenbeck *et al.* PRB (2009)



# Other Systems

LaMnO<sub>3</sub>

Raman



Grandon *et al.* PRB (1999)

Classic example of spin-phonon coupling. See softening of phonon proportional to magnetic order parameter.

This is not seen in the pnictides.

# Iron-pnictides

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- It is possible to carry out high-quality phonon measurements on small volume single crystals with IXS. Limitation is number of instruments!
- Certain c-axis modes are anomalously renormalized on doping and with temperature. These shifts have unusual momentum dependence – signature of electron-phonon coupling.
- The affected modes are magnetically active. Suggests spin or orbital fluctuations may be important.

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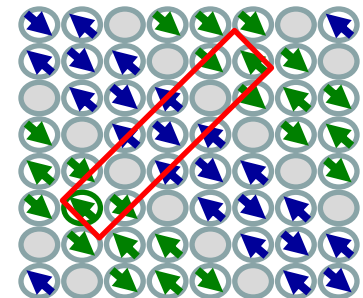
# 1. Introduction

## 2. Lattice Excitations

## 3. Magnetic Excitations

## 4. Orbital and Charge Excitations

- Dispersive and non-dispersive excitations in  $\text{La}_{2-x}\text{Sr}_x(\text{Mn,Ni})\text{O}_4$

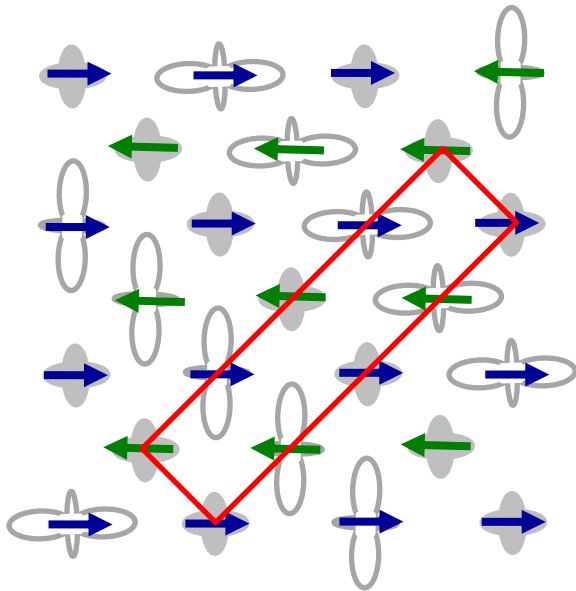




# Electronic Ground States

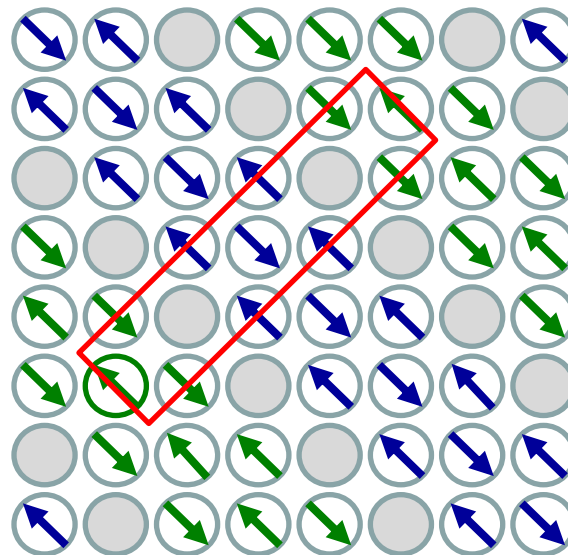
Transition metal oxides exhibit a range of charge, spin and orbitally ordered ground states (new *vacua*). What are the excitations (new *particles*) associated with these states?

Manganites



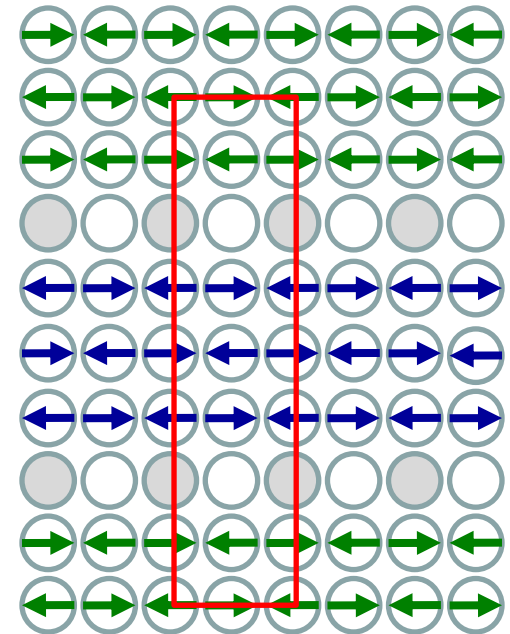
MnO<sub>2</sub> plane,  $n_h=0.5$

Nickelates



NiO<sub>2</sub> plane,  $n_h=0.25$

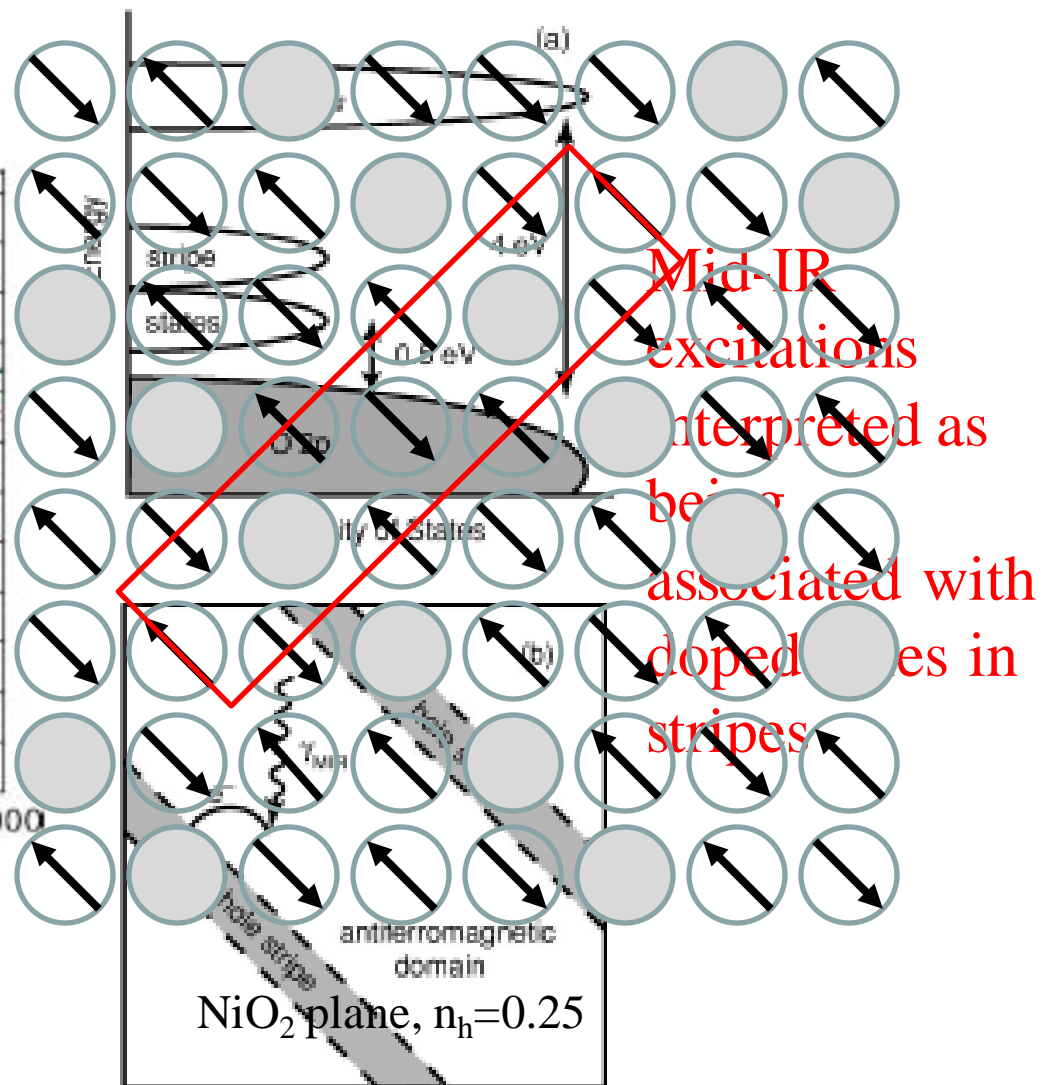
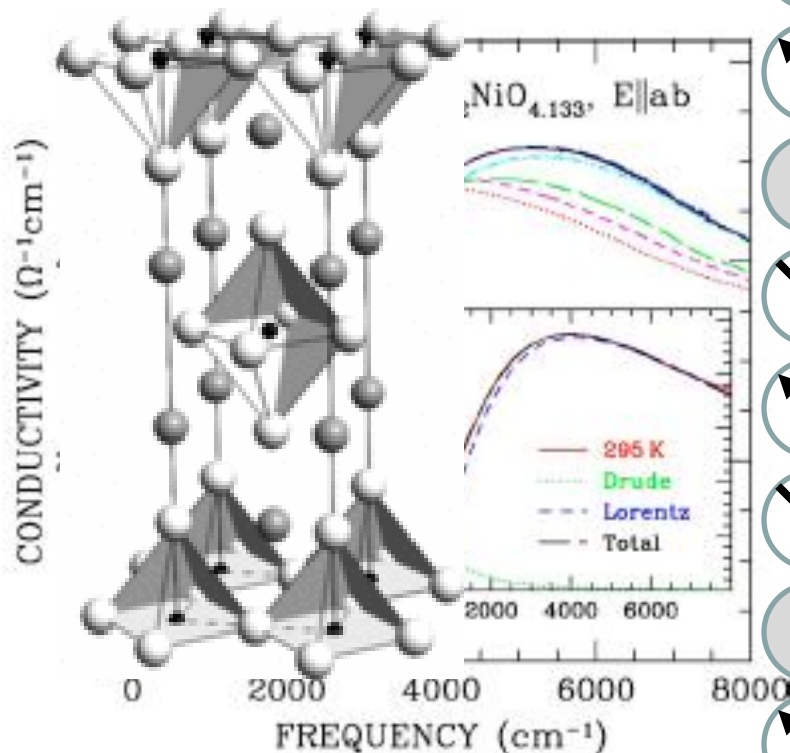
Cuprates



CuO<sub>2</sub> plane,  $n_h=0.125$

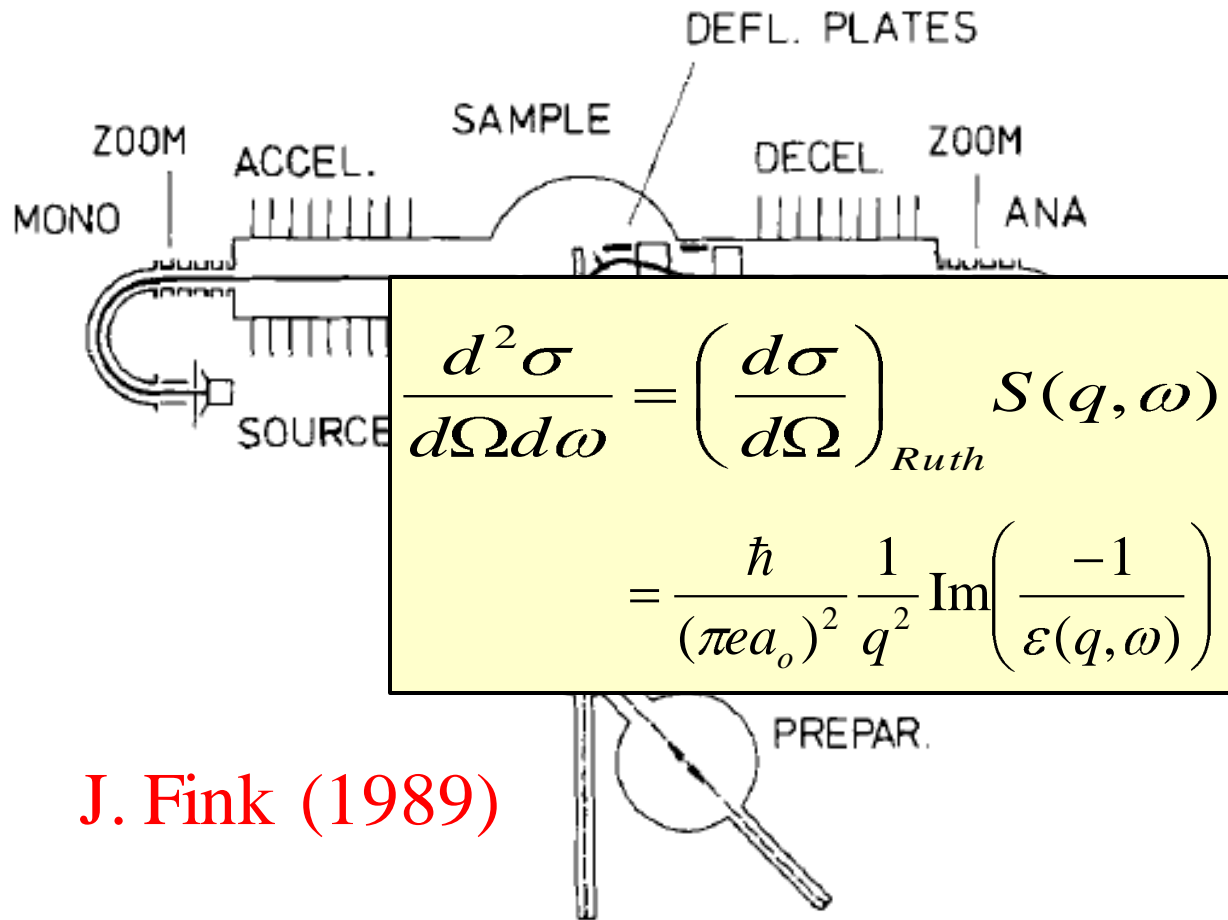
# La<sub>2-x</sub>Sr<sub>x</sub>NiO<sub>4</sub>: A Stripe Ordered System

## Optical Conductivity



Hramstad et al. PRB (2003) 1995

# Electron Energy Loss Spectroscopy

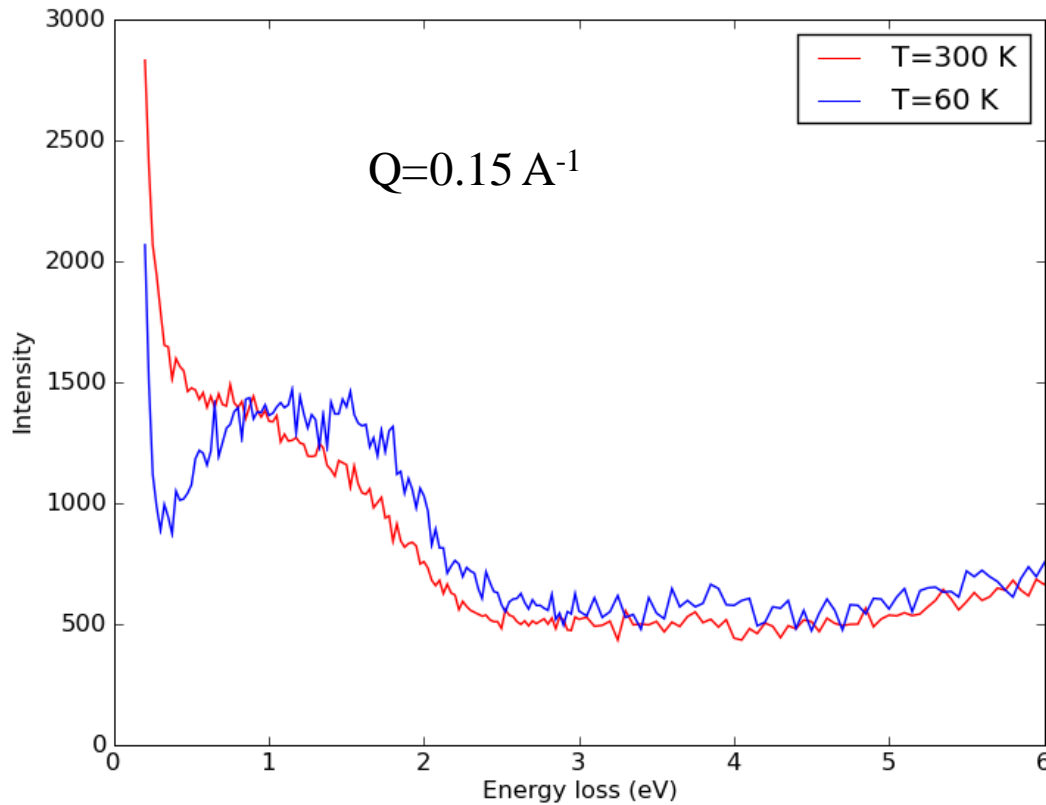
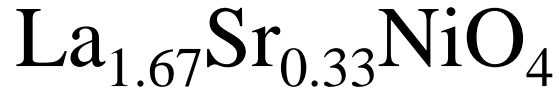


$$E_0 = 170 \text{ keV}$$
$$\Delta E = 70 \text{ meV}$$
$$\Delta q = 0.04 \text{ \AA}^{-1}$$

J. Fink (1989)

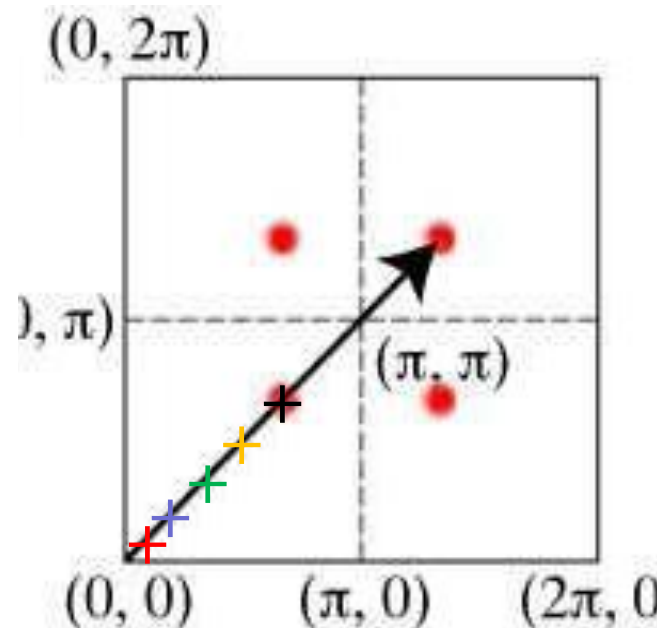
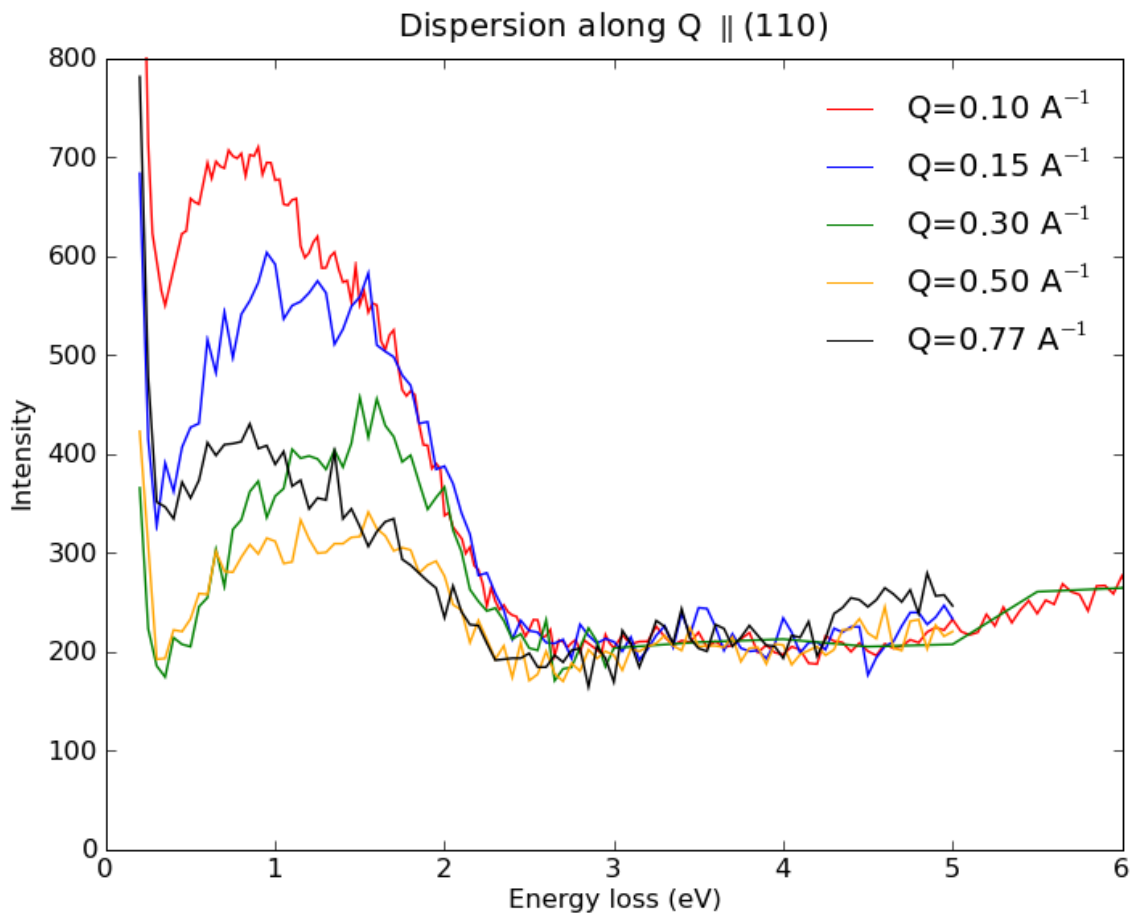
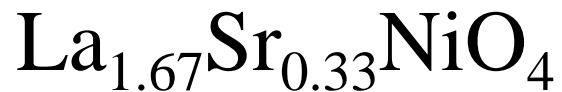
(Instrument now at IFW-Dresden: M. Knupfer)

# EELS Data



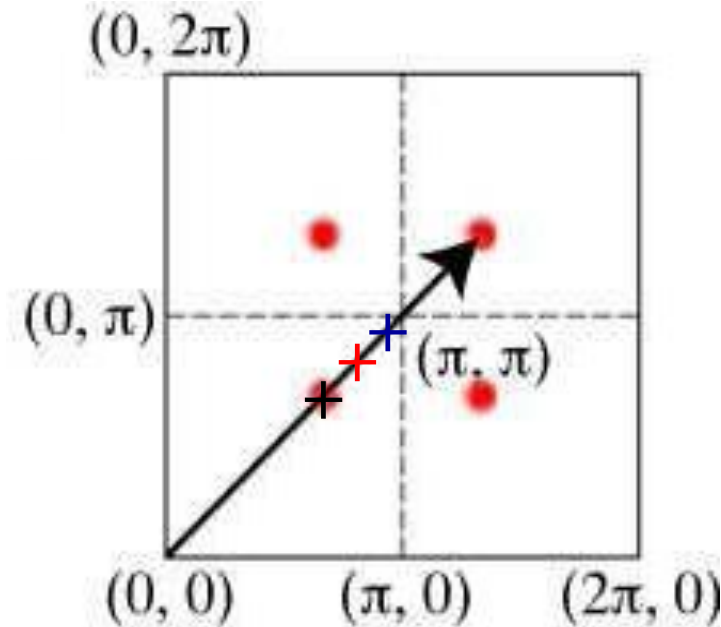
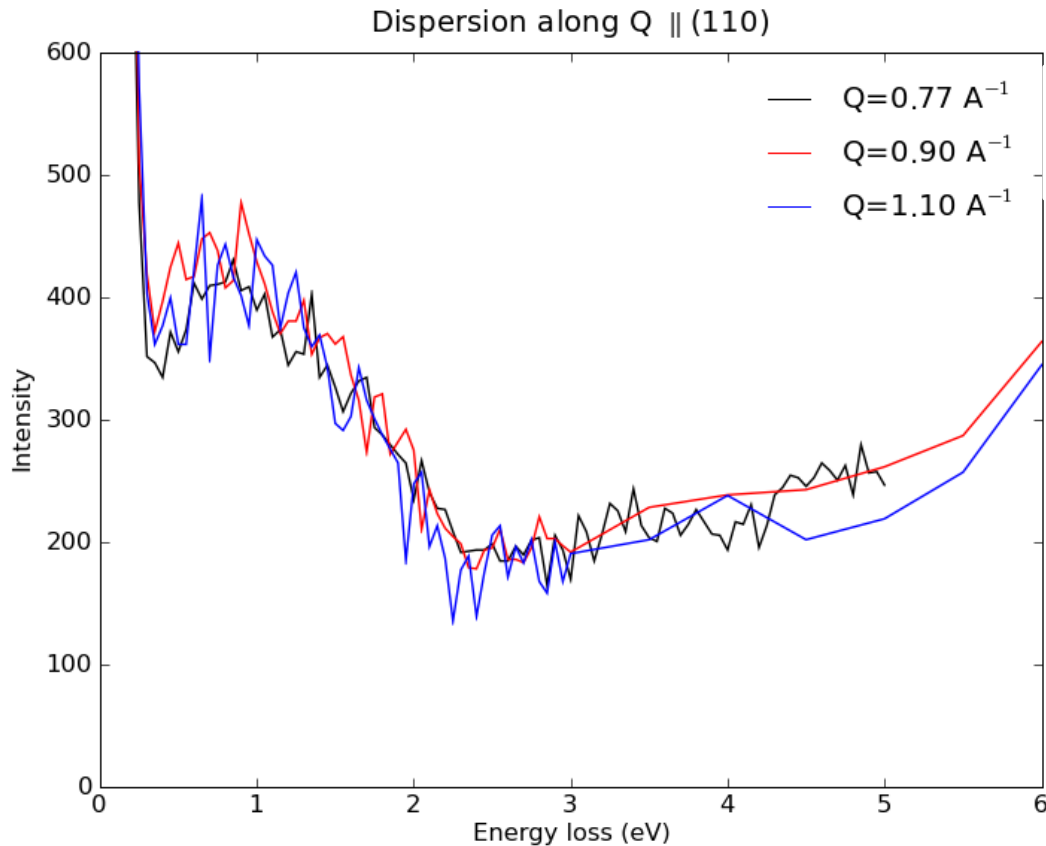
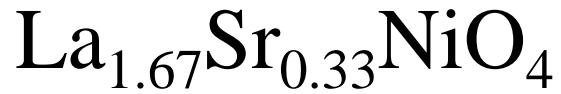
At small momentum transfers, EELS reproduces optical data – observe opening of gap in stripe phase

# Momentum Dependence

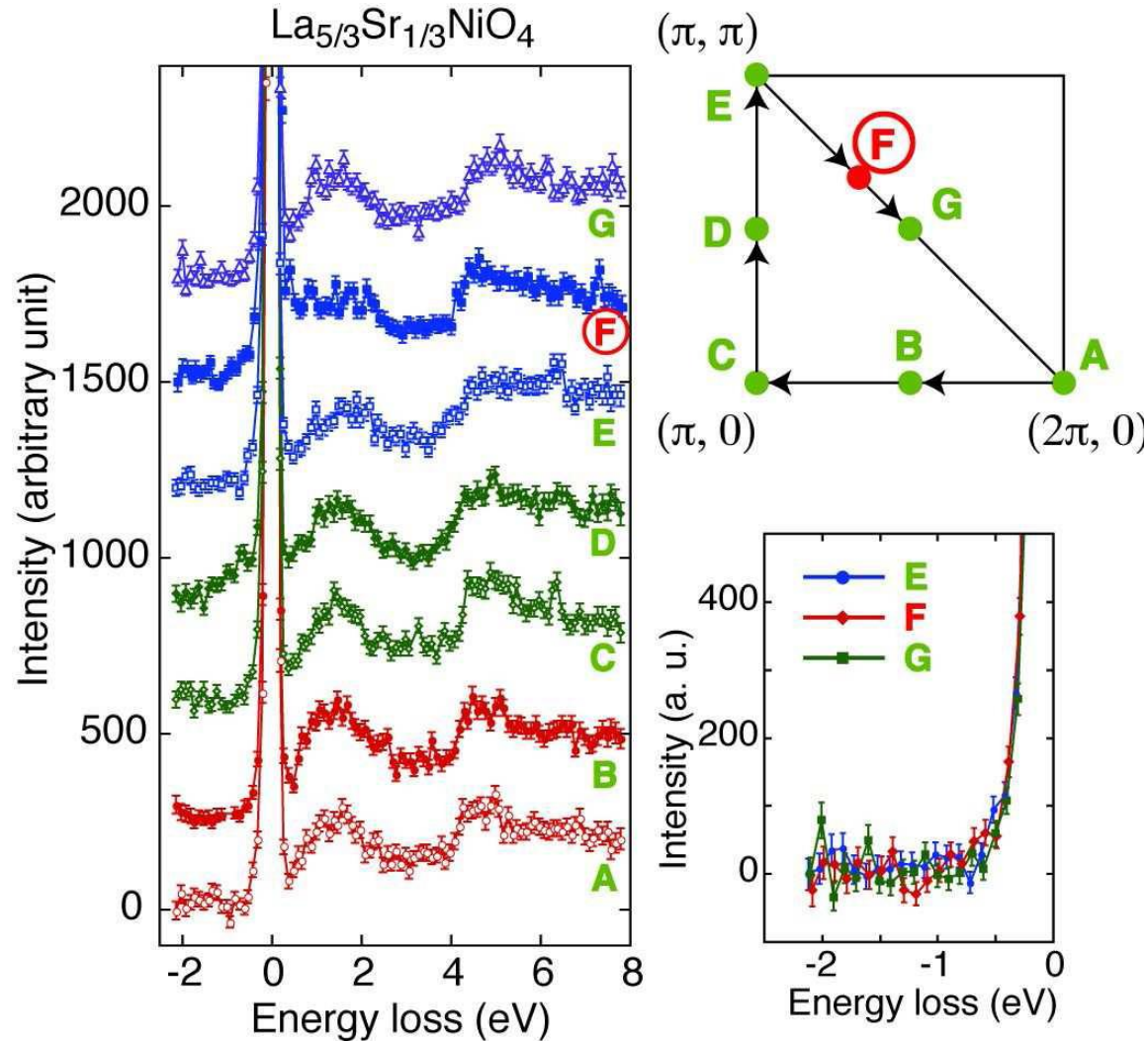


R. Kraus, J. Geck, M. Knupfer, B. Buchner and JPH, unpublished

# Momentum Dependence



# RIXS data

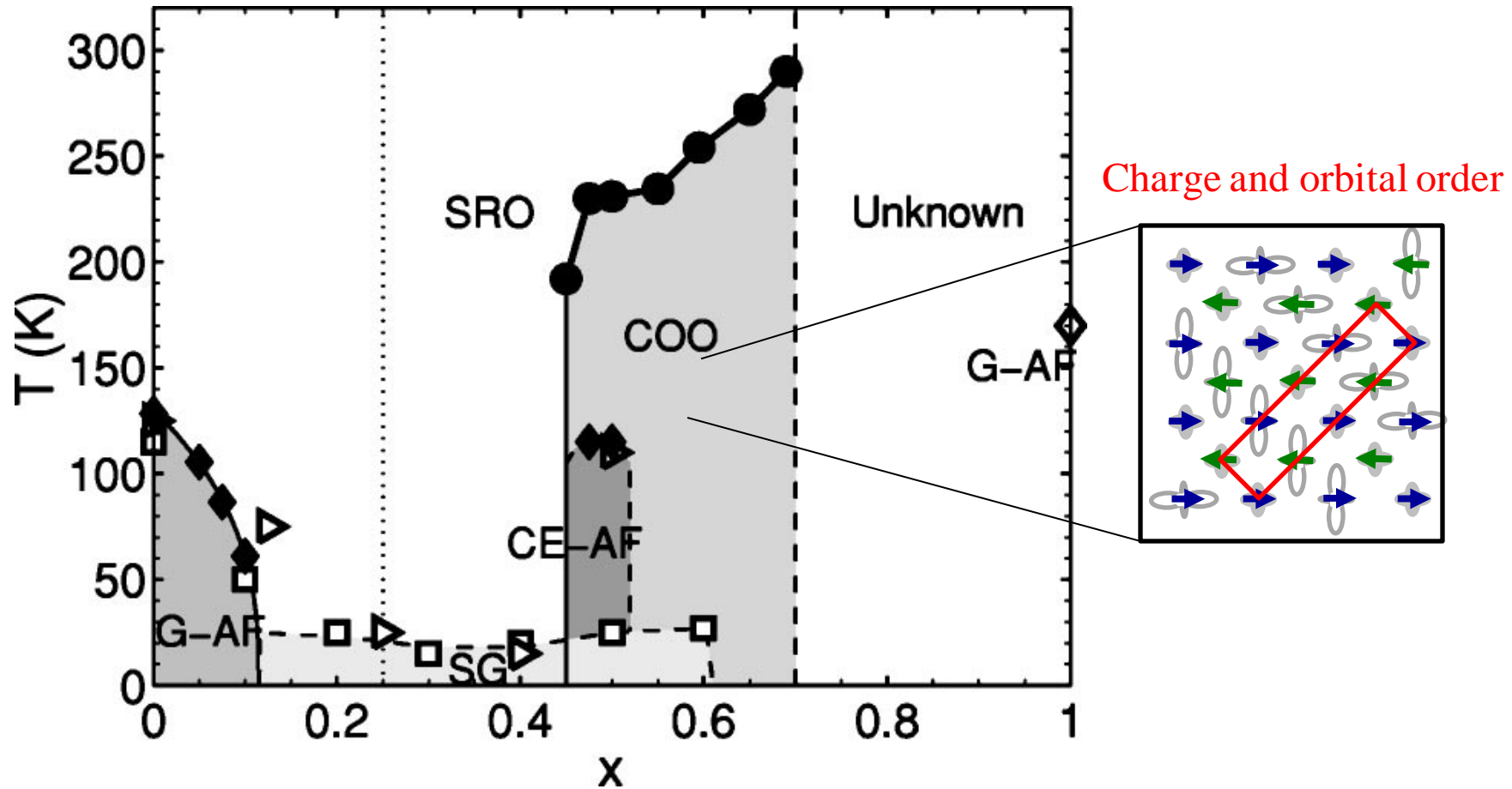


Wakimoto *et al*,  
PRL (2009)

$\Delta E = 150$  meV

MERIX, APS  
Ge(642)

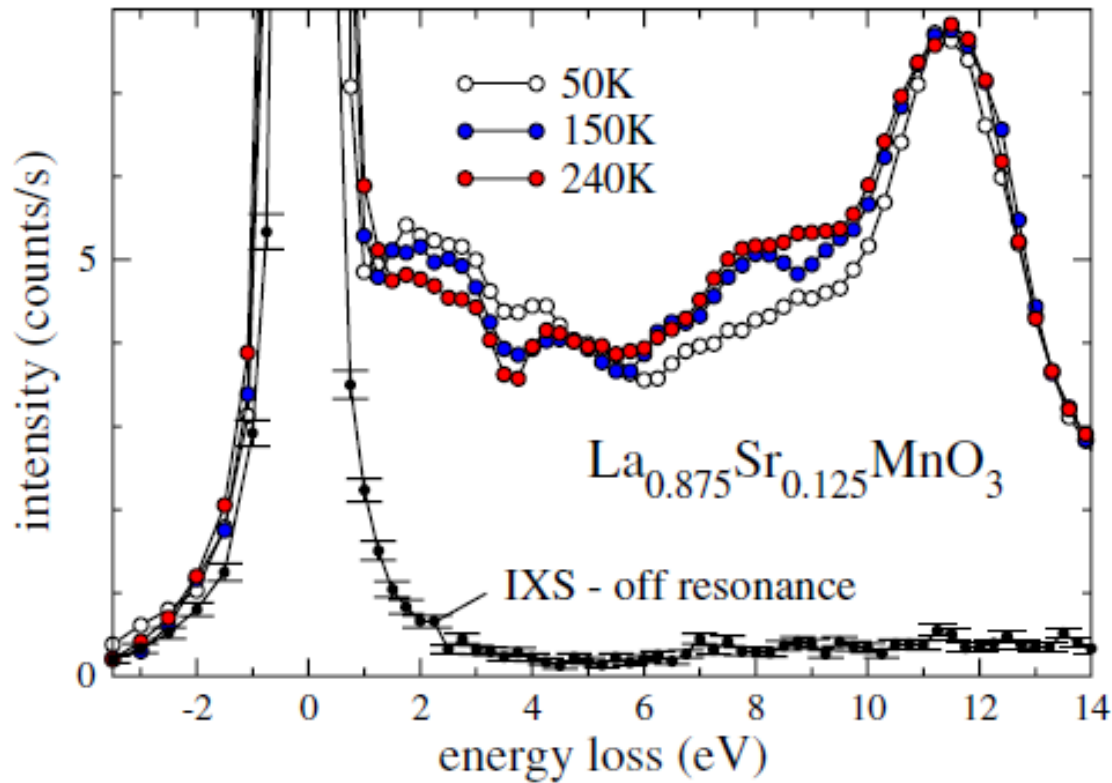
# $\text{La}_{1-x}\text{Sr}_x\text{MnO}_4$



Larochelle *et al.* PRB (2005)



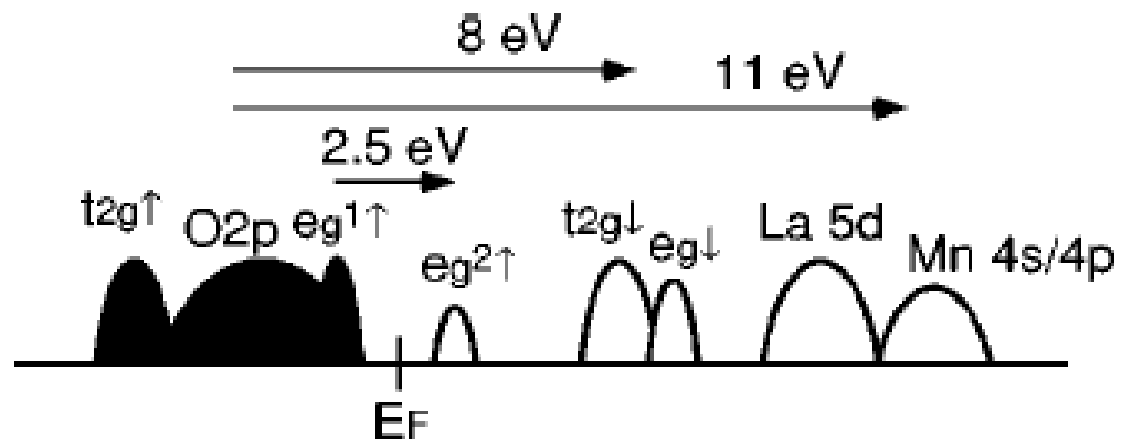
# Manganite K-edge RIXS



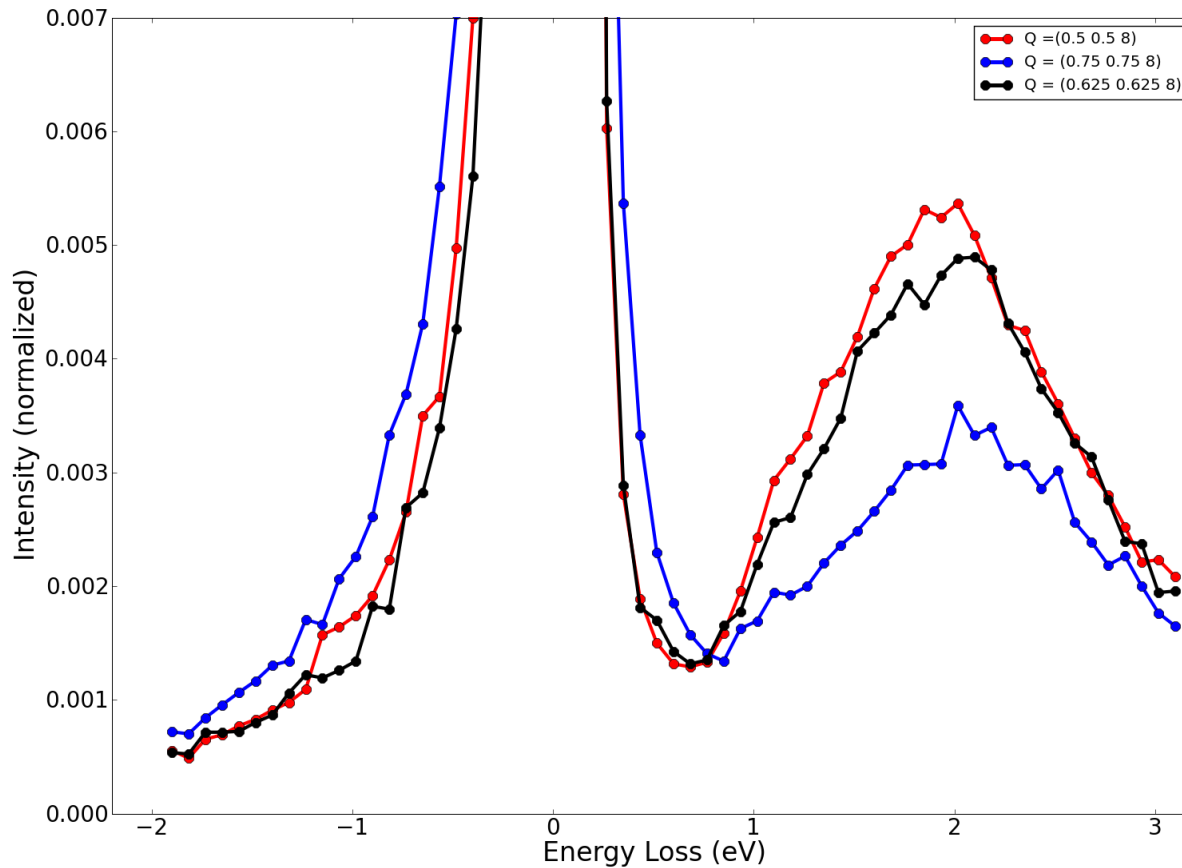
$9\text{ID } \Delta E = 300 \text{ meV}$

Grenier *et al.* PRL (2005)

Density of states



# Charge and Orbital Order



●  $Q=(0.625,0.625,8)$

●  $Q=(0.5,0.5,8)$  CO

●  $Q=(0.75,0.75,8)$  OO

$\Delta E=200$  meV

30ID, APS

# Summary

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Inelastic x-ray scattering can probe many of the relevant excitations in condensed matter over the important  $(q, \omega)$ .

- Anomalous renormalization seen in magnetically active phonons in  $\text{SmFeAs}(\text{O}, \text{F})$ .
- In stripe-ordered phase in  $\text{La}_{1.67}\text{Sr}_{0.33}\text{NiO}_4$ , a dispersive **charge** excitation is observed with EELS at small momentum transfers. Nothing new is seen at the stripe wave-vector (caveat: multiple scattering effects) – in contrast to RIXS results.
- In charge-ordered  $\text{La}_{1.5}\text{Sr}_{0.5}\text{MnO}_4$ ,. A non-dispersive **orbital** excitation is observed at 2 eV. No new excitation is observed with RIXS at the charge or orbital order wave-vectors.