

Chemical potential shifts in correlated electron systems: from titanates to cuprates

Atsushi FUJIMORI
University of Tokyo



H. Wadati, K. Ebata, M. Takizawa, Y. Ishida, W. Malaeb (U. of Tokyo)
H. Kumigashira, A. Maniwa, A. Chikakmatsu, I. Ohkubo,
M. Oshima (U. of Tokyo), M. Lippmaa (ISSP)
M. Kawasaki (Tohoku U.), H. Koinuma (U. Tokyo, JST)
Y. Tomioka (AIST), Y. Tokura (U. of Tokyo)
H. Eisaki (AIST), T. Kakeshita, S. Uchida (U. of Tokyo)
T. Sasagawa (TIT)
H. Ohta (Nagoya U.), H. Hosono (TIT)

Outline



- Introduction
- How to measure
- Dependence on carrier concentration
- Dependence on structural deformation
- Dependence on temperature
- Dependence on

Electron chemical potential

Electron chemical potential: $\mu \equiv F(N+1) - F(N) \approx F(N) - F(N-1)$

F : Helmholtz free energy

N : number of electrons

$$dF = \mu dN - SdT - pdV + fdD$$

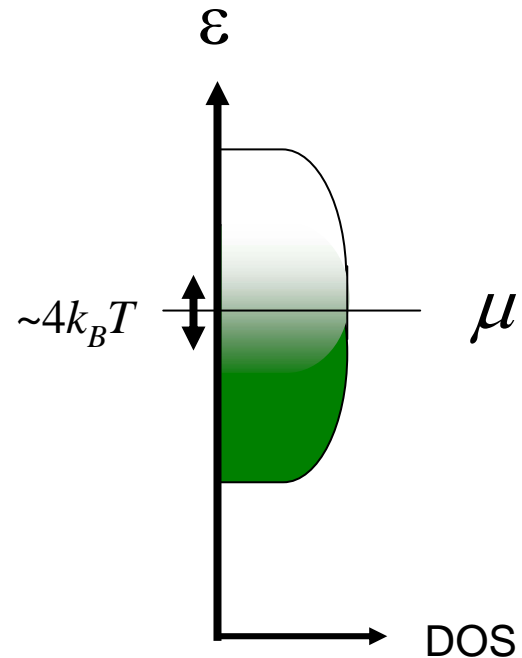
S : entropy

p : pressure

D : distortion

f : force/stress

$$\mu = \left. \frac{\partial F}{\partial N} \right|_{T,V,D}$$



Charge susceptibility - A basic physical quantity

Charge susceptibility: $\chi_c \equiv \frac{\partial n}{\partial \mu} = \left(\frac{\partial \mu}{\partial n} \right)^{-1}$

Chemical potential: $\delta\mu \longrightarrow$ Charge density: $\delta n = \delta n_{\uparrow} + \delta n_{\downarrow}$

n : electron density

n_{σ} : electron density of spin σ

cf: Magnetic susceptibility: $\chi \equiv 2\mu_B \frac{\partial m}{\partial H}$

Magnetic field: $\delta H \longrightarrow$ Spin density: $\delta m = \delta n_{\uparrow} - \delta n_{\downarrow}$

m : spin density

μ_B : Bohr magneton

Deformation dependence of chemical potential

$$dF = \mu dN - SdT - pdV + fdD$$

D : distortion
 f : force/stress

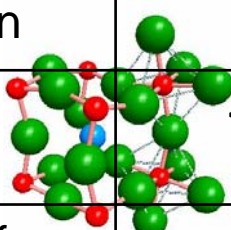
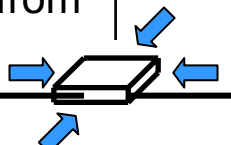
Uniform volume change:

$$-\frac{\partial^2 F}{\partial V \partial N} = -\frac{\partial \mu}{\partial V} \Big|_N = \frac{\partial p}{\partial N} \Big|_V$$

perturbation	V	P
Hydrostatic pressure	volume	electronic pressure

Other structural deformation:

$$\frac{\partial^2 F}{\partial D \partial N} = \frac{\partial \mu}{\partial D} \Big|_N = \frac{\partial f}{\partial N} \Big|_D$$

perturbation	D	f
Chemical pressure	 $\langle r_A \rangle$	electronic "force"
Epitaxial strain from substrate	 c/a	electronic "force"

Temperature dependence of chemical potential

$$dF = \mu dN - SdT - pdV - fdD$$

Temperature change:

$$\frac{\partial^2 F}{\partial N \partial T} = \frac{\partial \mu}{\partial T} \Big|_N = - \frac{\partial S}{\partial N} \Big|_T$$

perturbation	S	T
Heat	entropy	temperature

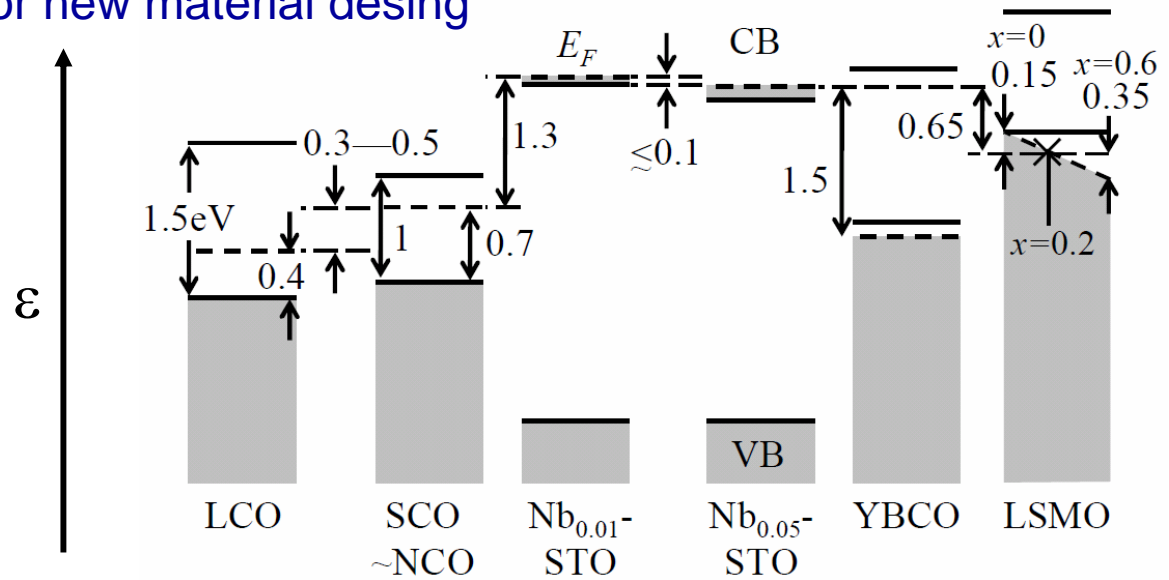
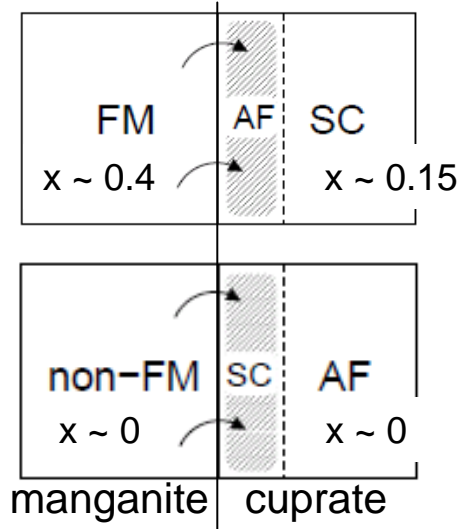
$$S \equiv \int_0^T \frac{d'Q}{T} = \int_0^T \frac{c}{T} dT$$

If $\frac{\partial \mu}{\partial T} > 0$, $-\frac{\partial S}{\partial N} > 0$: hole-like carriers

If $\frac{\partial \mu}{\partial T} < 0$, $\frac{\partial S}{\partial N} > 0$: electron-like carriers

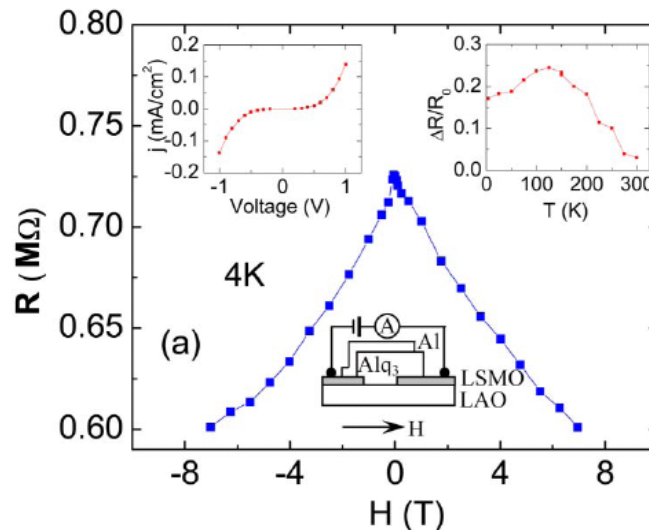
New functionalities of oxide hetero-junctions controlled through chemical potential tuning

Self-doping at interfaces for new material desing



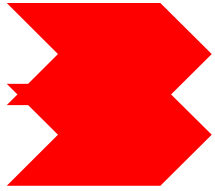
S. Yunoki et al., PRB, in press

Magnetic field-control of interfacial transport



D. Wu et al., PRL '05

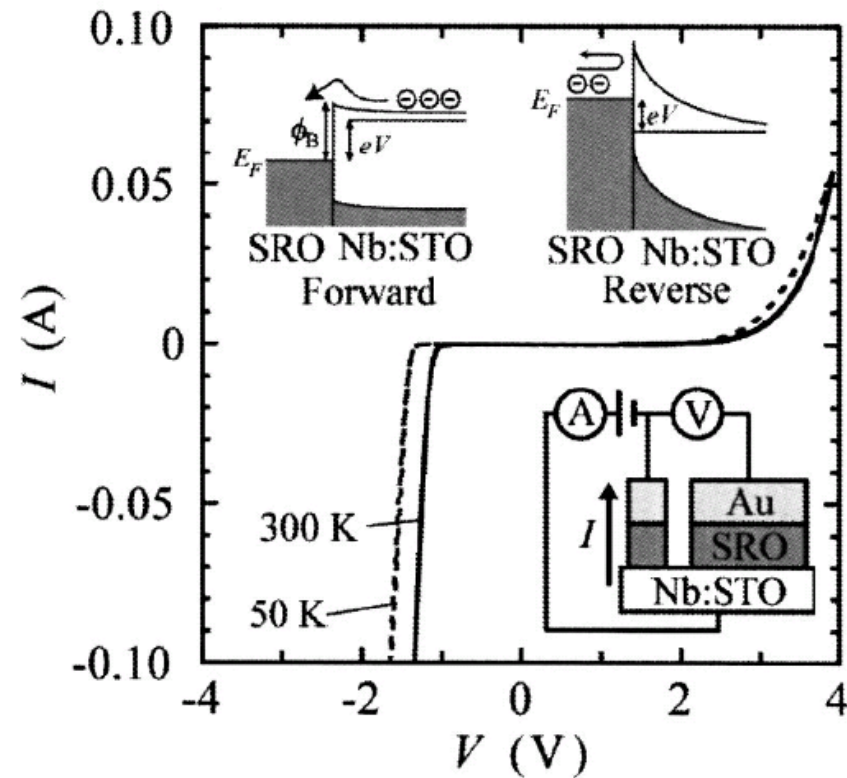
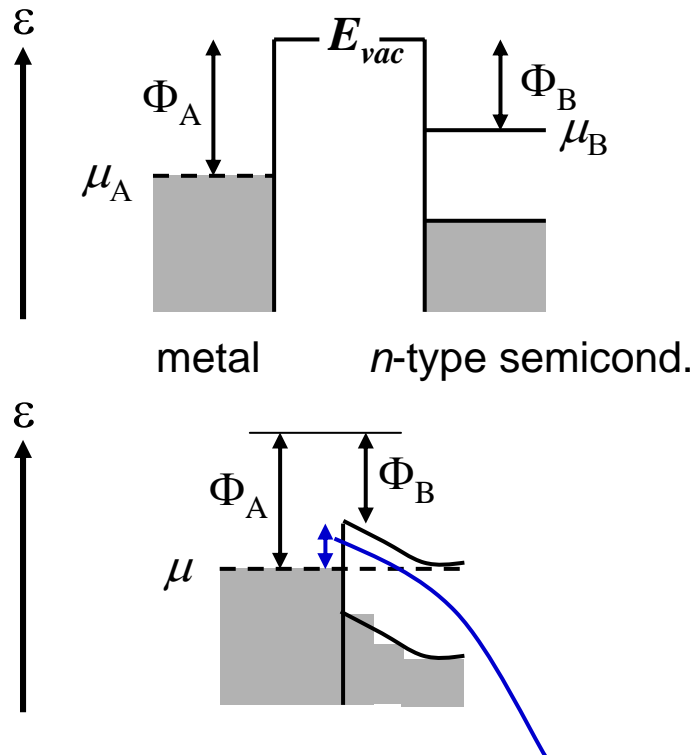
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To deduce chemical potential shift from I - V characteristics of junction

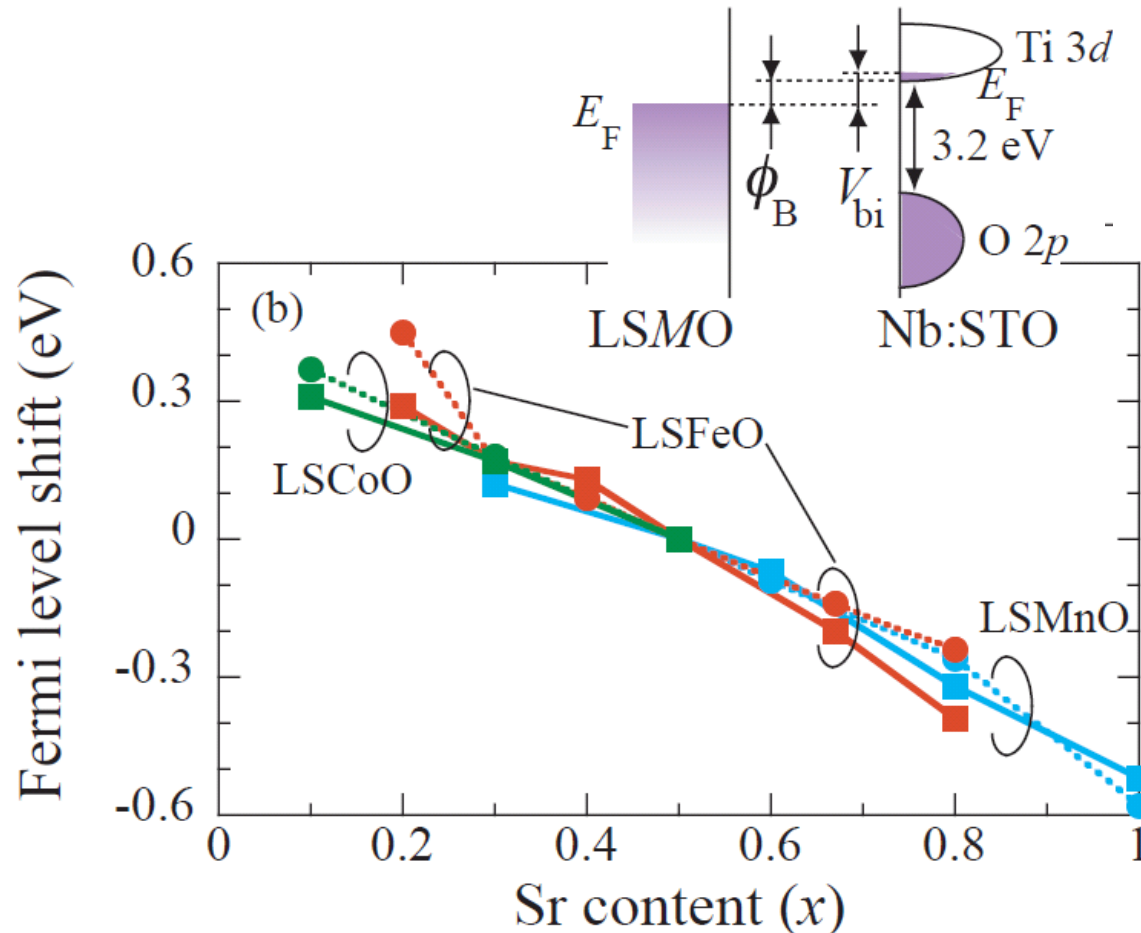
I - V characteristics of SrRuO₃/SrTiO₃



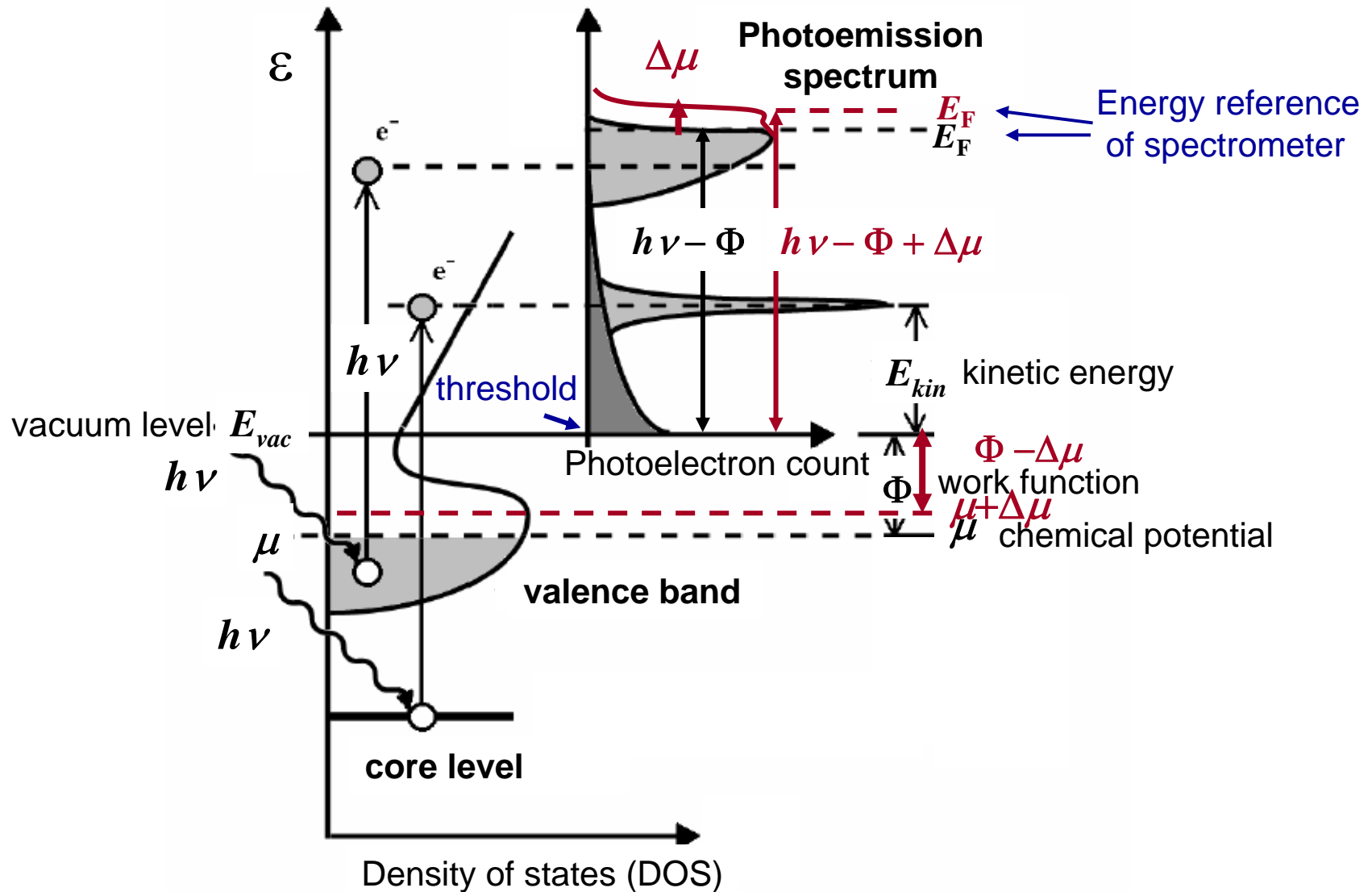
Schottky barrier height = $\Phi_A - \Phi_B = \mu_B - \mu_A$
(or built-in potential in p - n junction)

To deduce chemical potential shift from I - V characteristics of junction

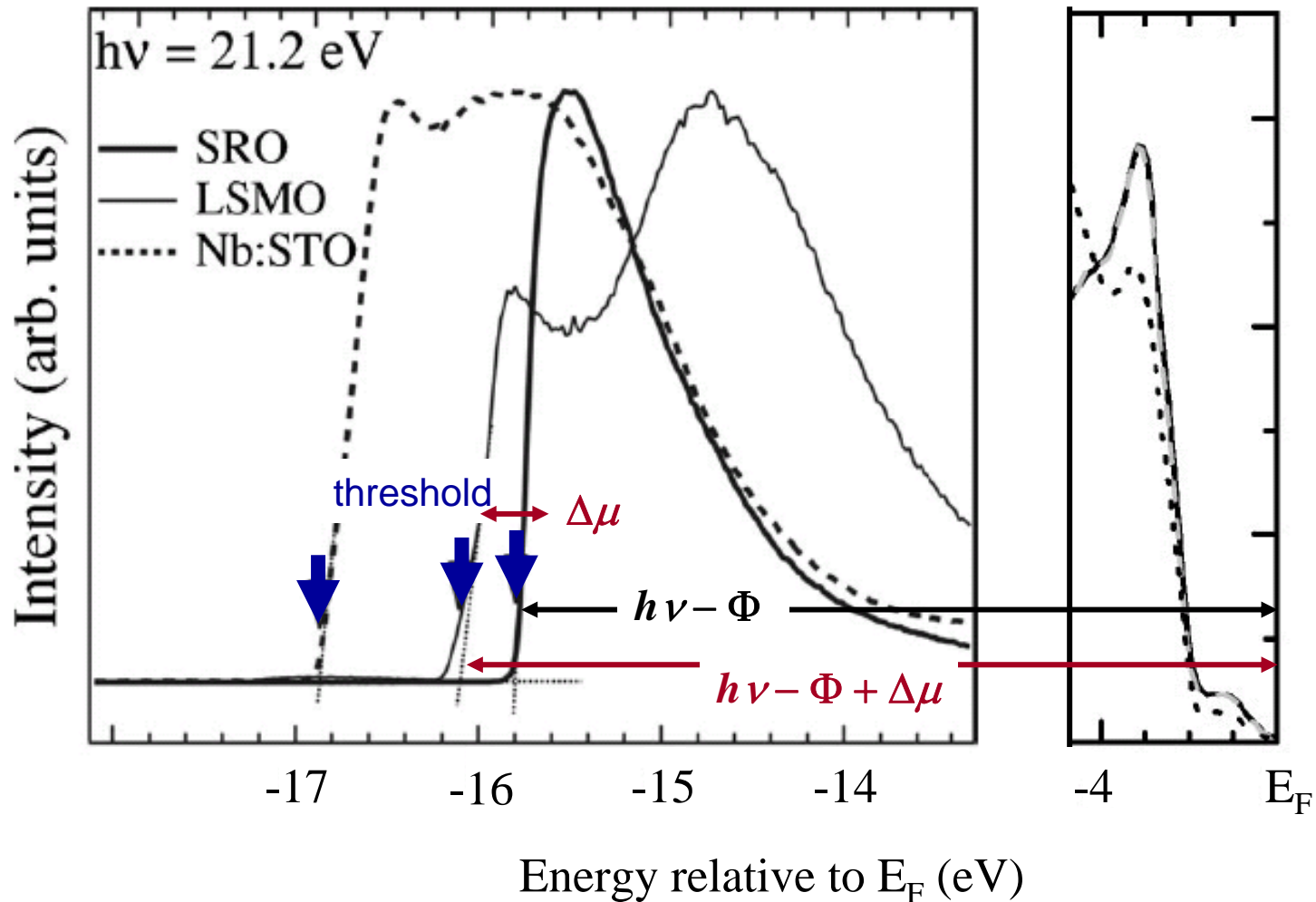
Chemical potential shift from the built-in potential of $\text{La}_{1-x}\text{Sr}_x\text{MO}_3/\text{SrTiO}_3$ p - n (Schottky) junction



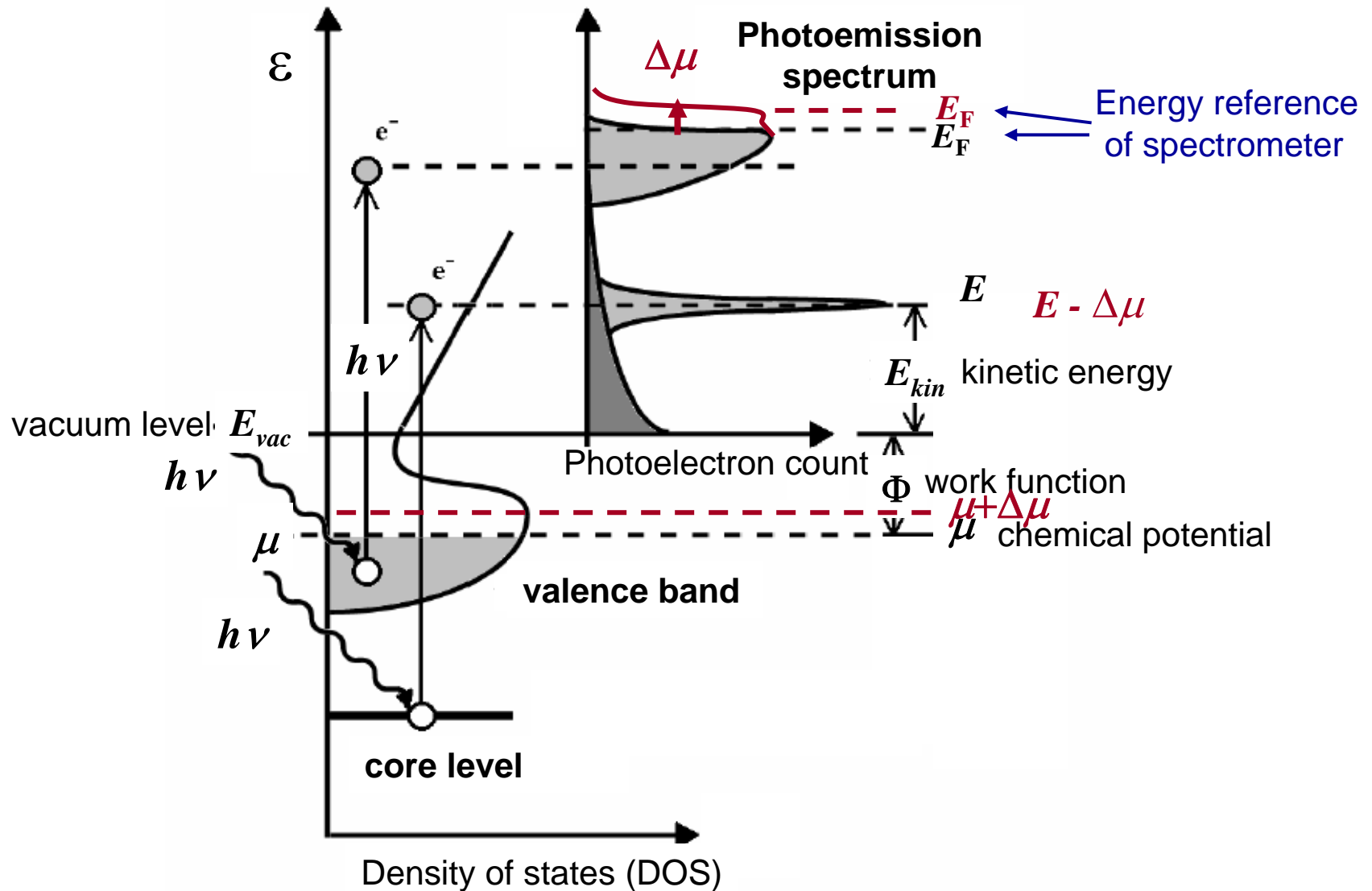
To deduce chemical potential shift from work function



To deduce chemical potential shift from work function

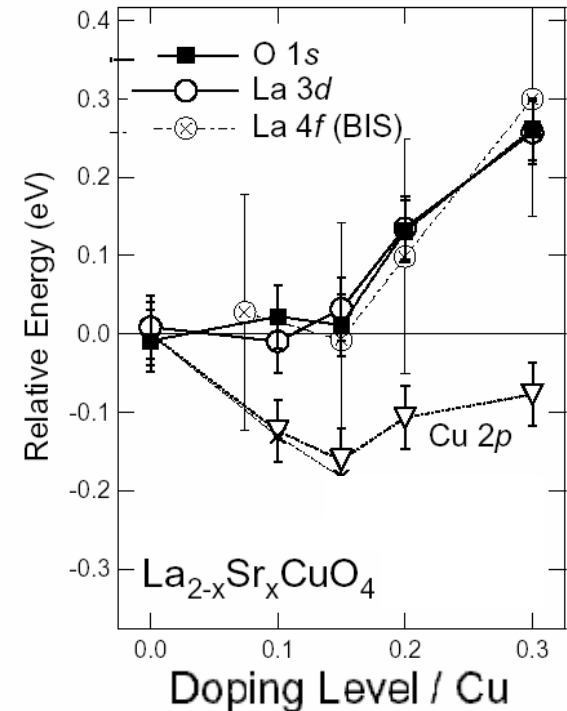
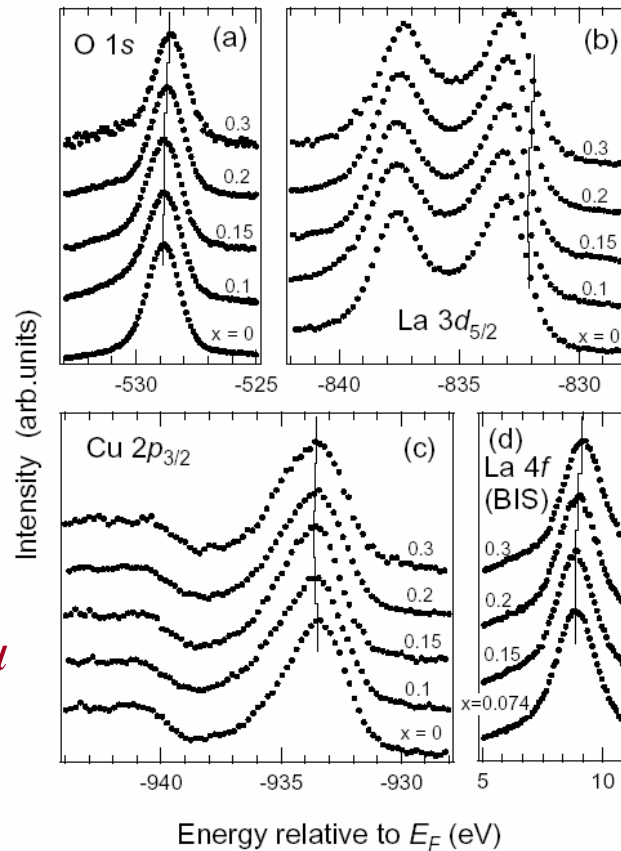
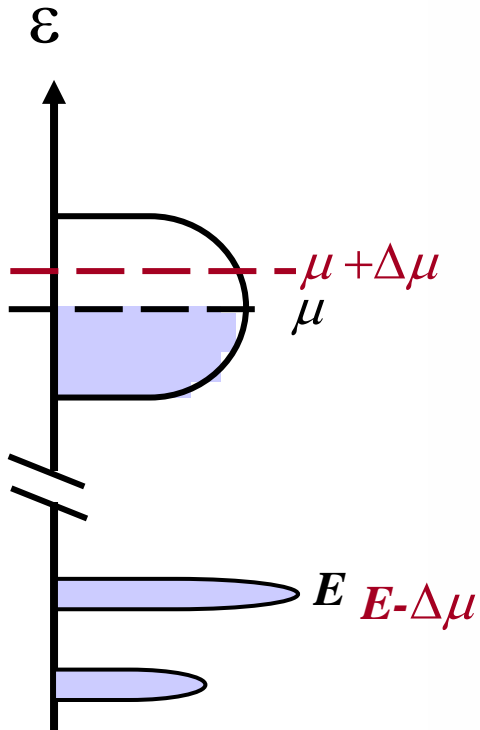


To deduce chemical potential shift from core-level photoemission



To deduce chemical potential shift from core-level photoemission

Core-level shifts



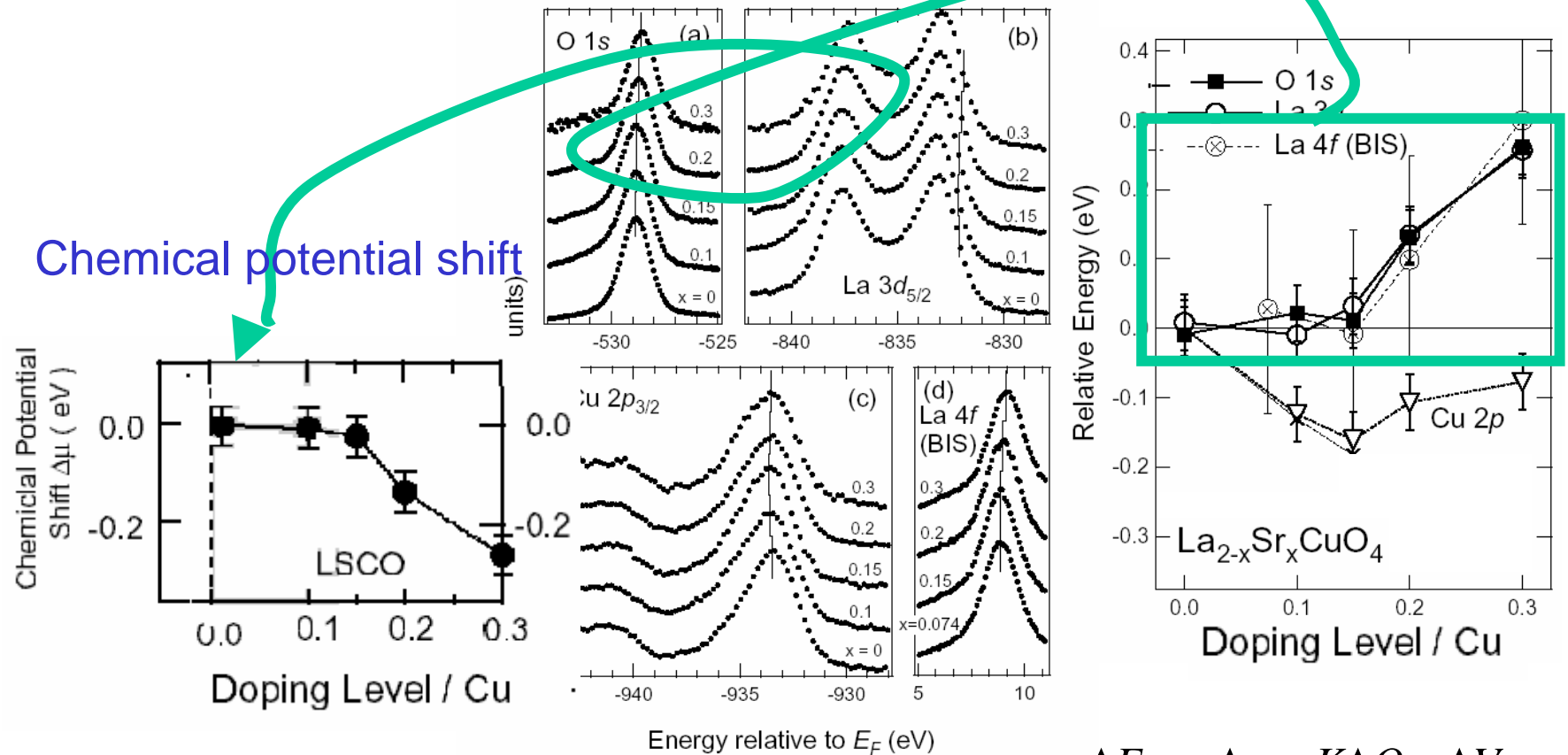
$$\Delta E = -\Delta\mu - K\Delta Q + \Delta V_M + \Delta E_R$$

S. Huefner, Photoelectron Spectroscopy
(Springer-Verlag, 1995)

To deduce chemical potential shift from core-level photoemission

Core-level shifts

Chemical potential shift

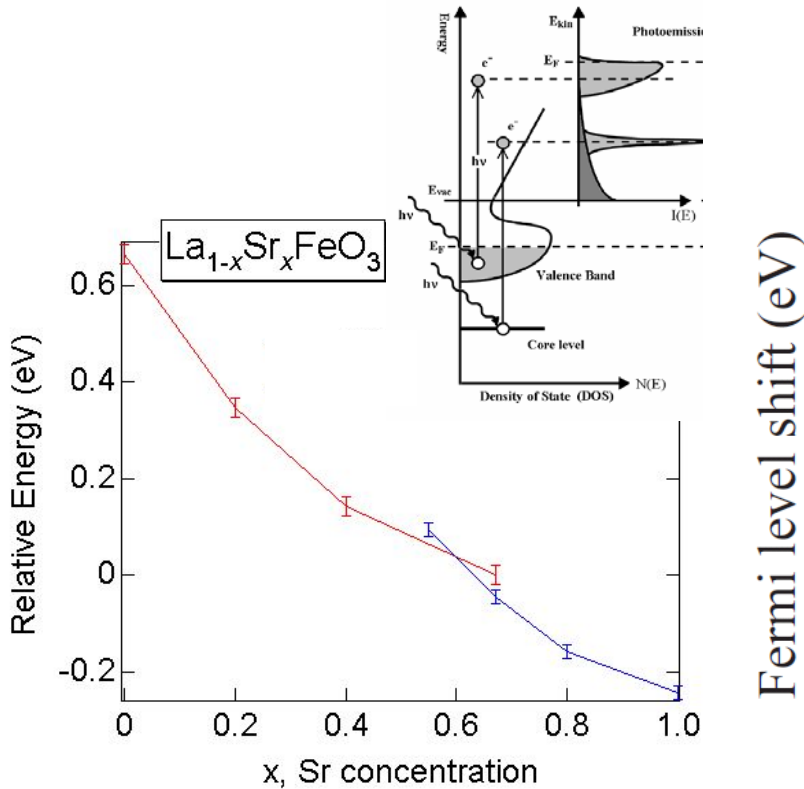


$$\Delta E = -\Delta\mu - K\Delta Q + \Delta V_M + \Delta E_R$$

S. Huefner, Photoelectron Spectroscopy
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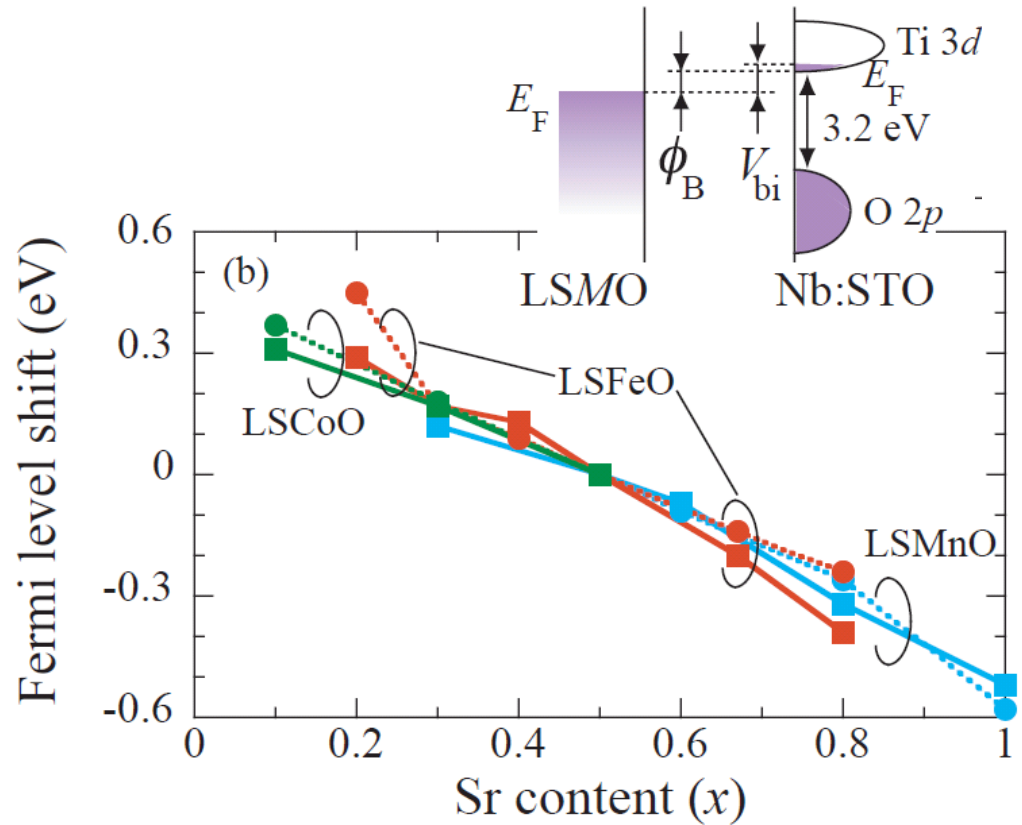
Consistency between different methods

Chemical potential shift from core-level photoemission



J. Matsuno et al., PRB '99

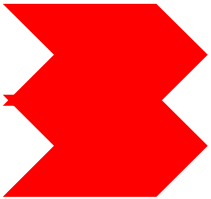
Chemical potential shift from junction I - V characteristics



A. Sawa et al., APL '07

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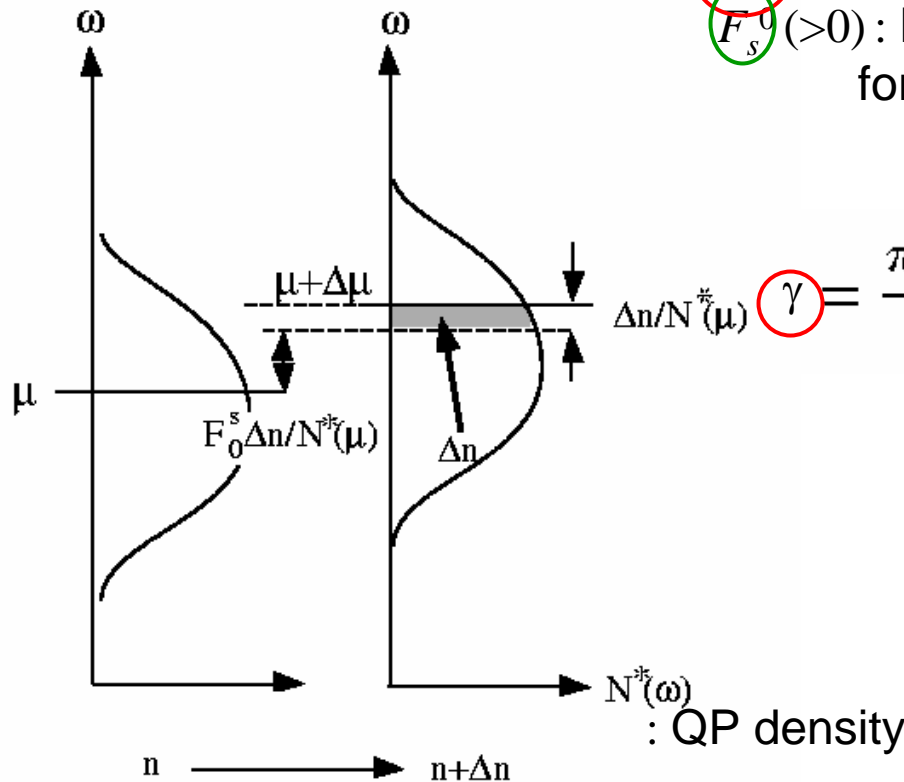


Chemical potential shift in rigid-band Fermi-liquid systems

Charge susceptibility: $\chi_c \equiv \partial n / \partial \mu$

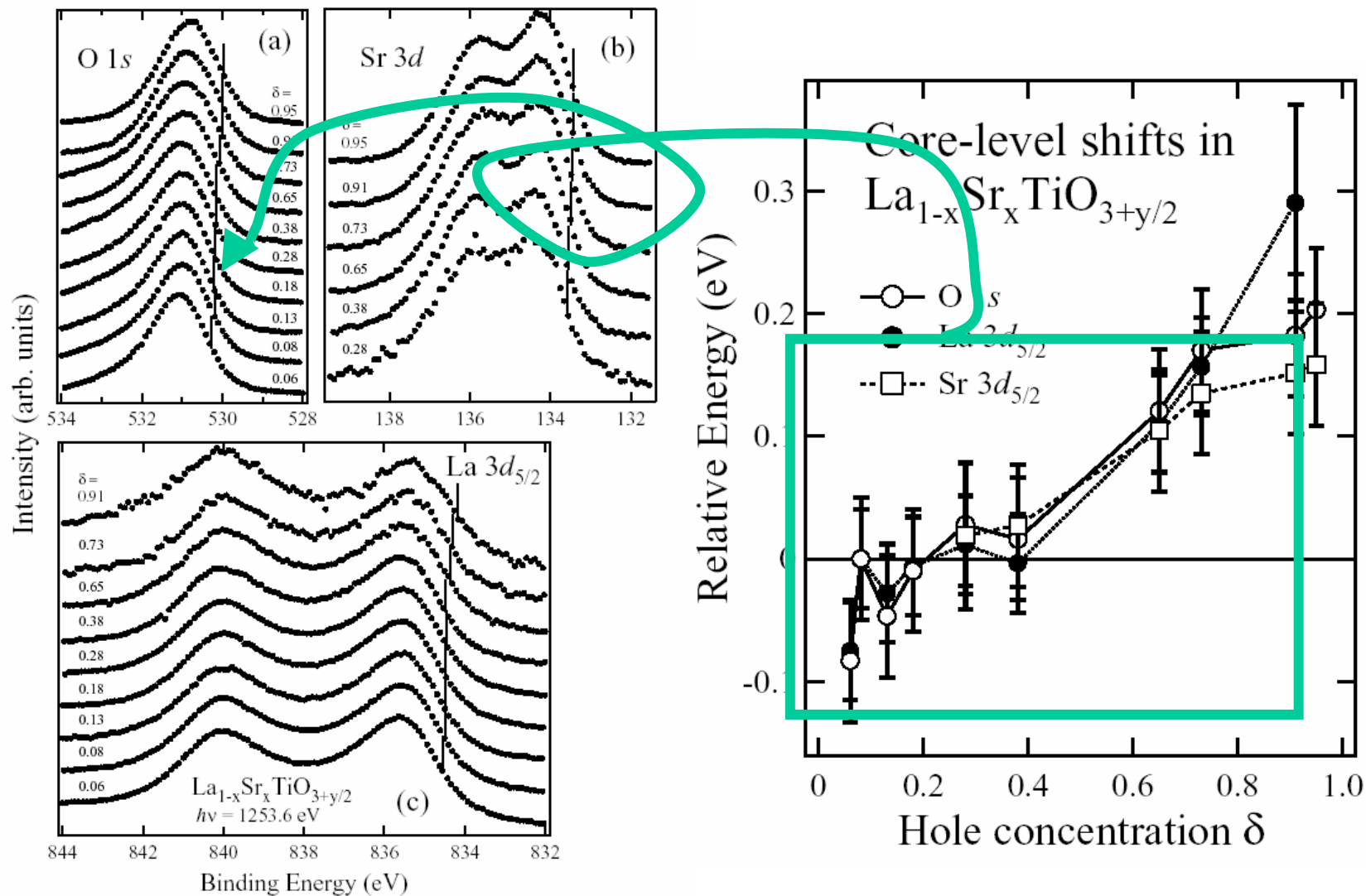
$$\frac{\partial \mu}{\partial n} = \frac{1 + F_s^0}{N^*(\mu)} \equiv \left(\frac{m_b}{m^*} \right) \frac{1 + F_s^0}{N_b(\mu)}$$

- $N^*(\mu)$: QP density at μ
- m^* : effective mass
- $F_s^0 (>0)$: Landau parameter for e-e repulsion

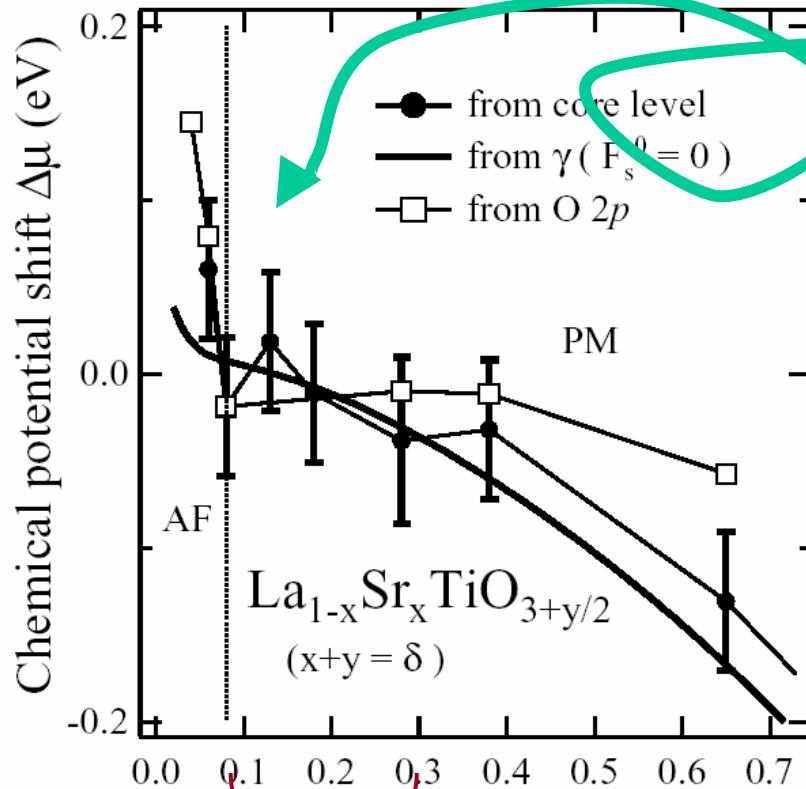


$$\Delta n / N^*(\mu) \quad \gamma = \frac{\pi^2 k_B^2}{3} N^*(\mu) : \text{electronic specific heat coefficient}$$

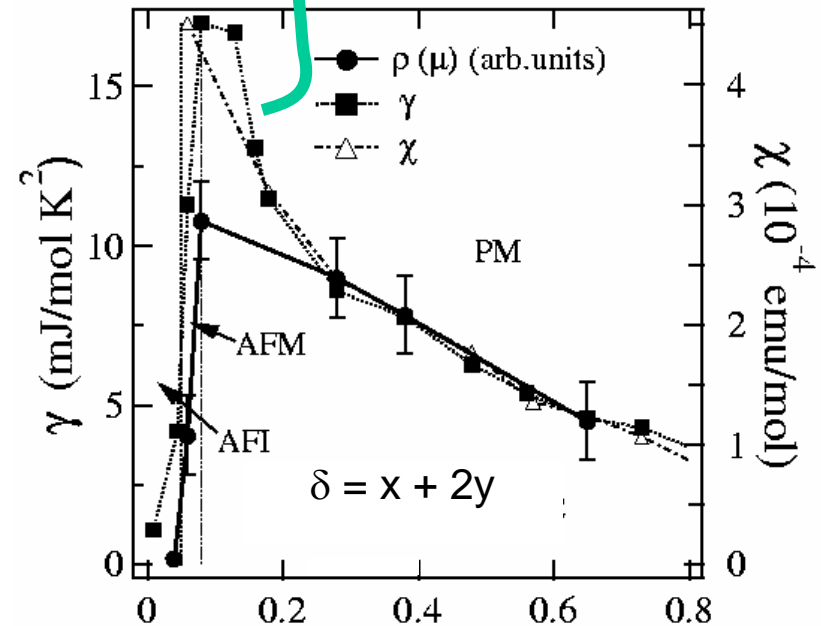
Core-level shifts in Fermi-liquid system



Chemical potential shift in Fermi-liquid system



γ : electronic specific heat coefficient
 χ : Pauli susceptibility
 $\rho(\mu)$: photoemission intensity at E_F

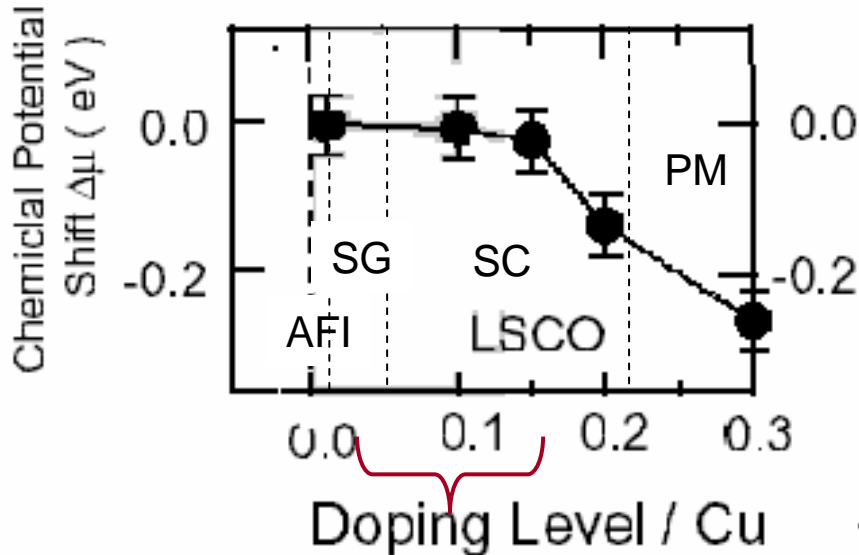


Hole concentration δ
 Mass enhancement

AF gap/pseudogap opening
 Mass enhancement

$$\text{AF gap/pseudogap opening} \quad \frac{\partial \mu}{\partial n} = \frac{1 + F_s^0}{N^*(\mu)} \equiv \left(\frac{m_b}{m^*}\right) \frac{1 + F_s^0}{N_b(\mu)}$$

Non-rigid-band behavior in underdoped cuprates $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$



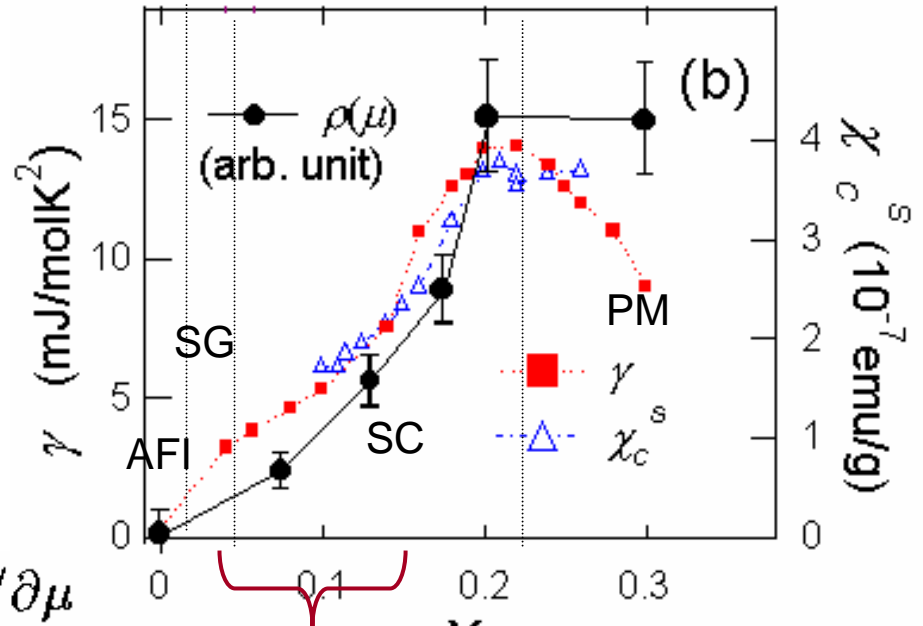
Enhanced charge susceptibility

charge susceptibility: $\chi_c \equiv \partial n / \partial \mu$

~~Rigid-band Fermi liquid~~

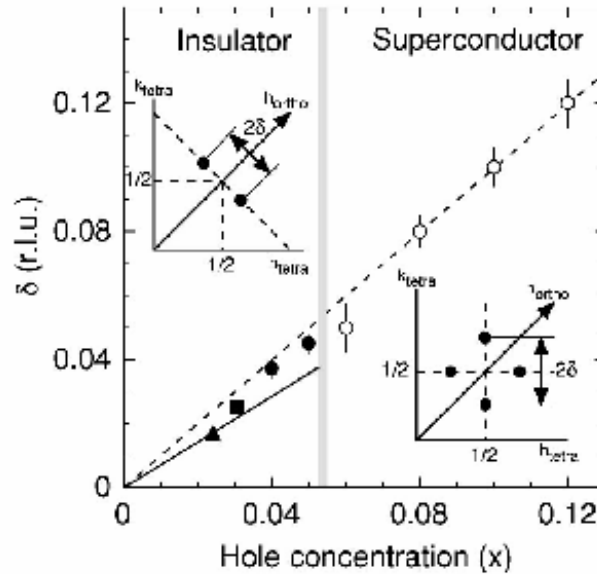
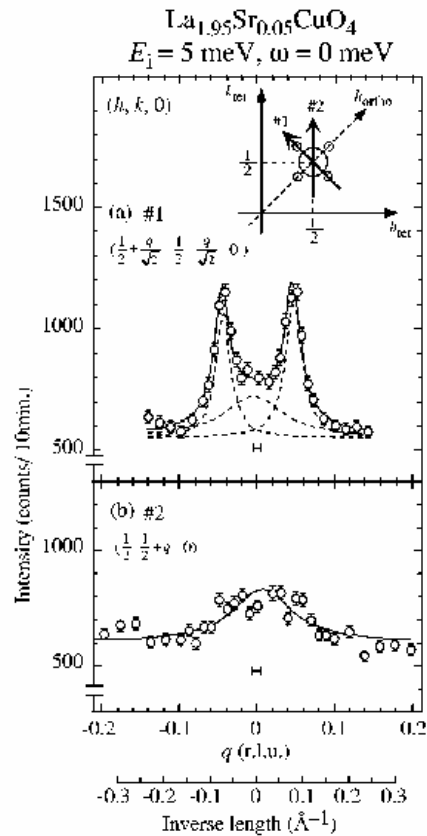
~~$$\frac{\partial \mu}{\partial n} = \frac{1 + F_s^0}{N^*(\mu)} \equiv \left(\frac{m_b}{m^*} \right) \frac{1 + F_s^0}{N_b(\mu)}$$~~

γ : electronic specific heat coefficient
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 $\rho(\mu)$: photoemission intensity at E_F



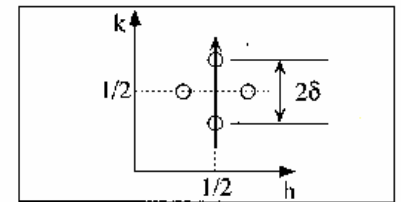
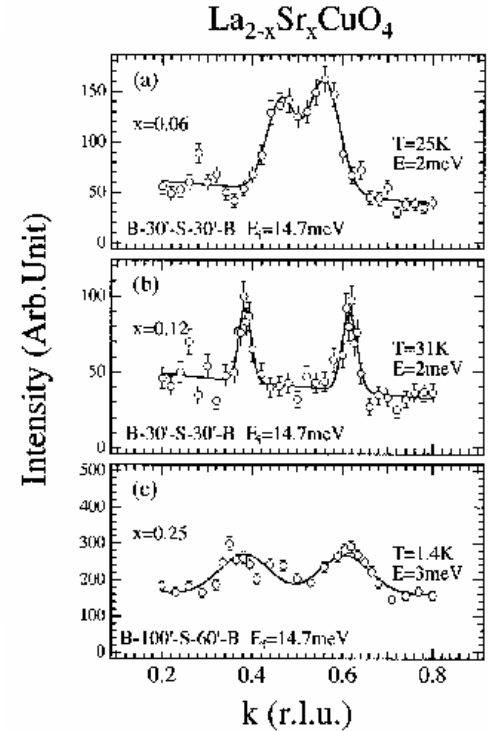
Depressed DOS

Incommensurate spin (and charge) fluctuations in $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$



← diagonal “stripes” [static] | → vertical “stripes” [dynamical]

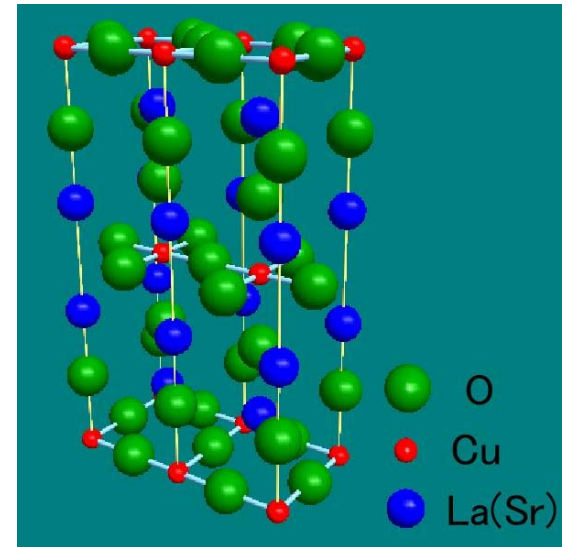
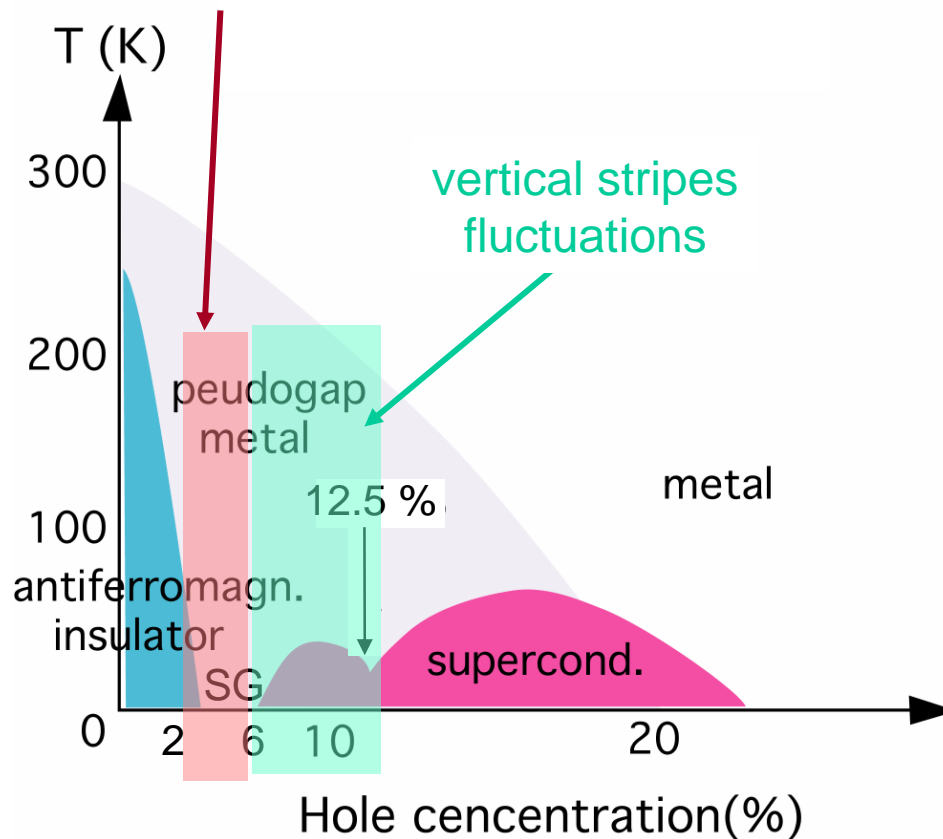
Nd subst.
 ↓
 static



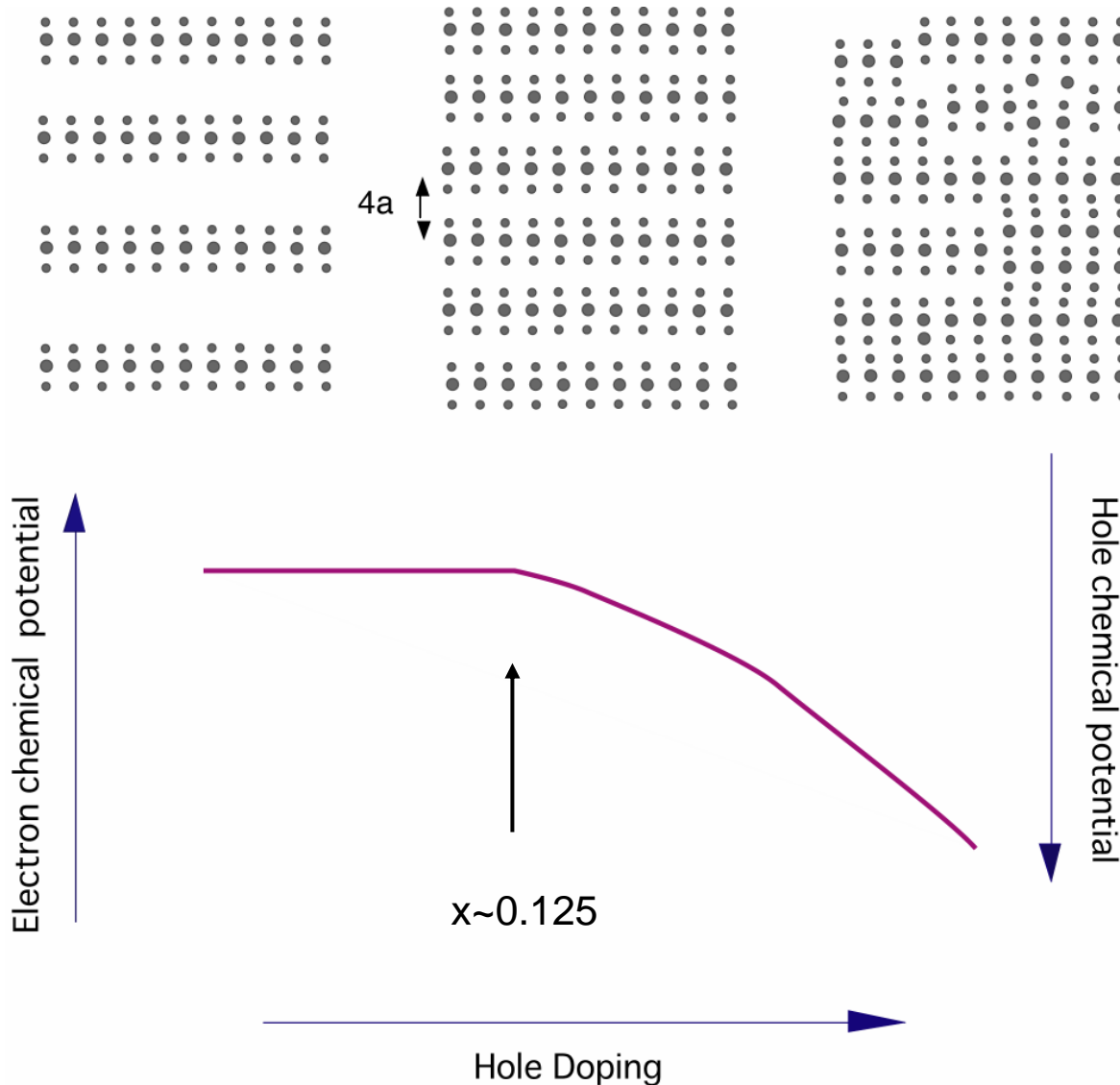
K. Yamada et al., PRB '97
 S. Wakimoto et al., PRB '99, '00
 M. Matsuda et al., PRB '00

Incommensurate spin (and charge) fluctuations in $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$

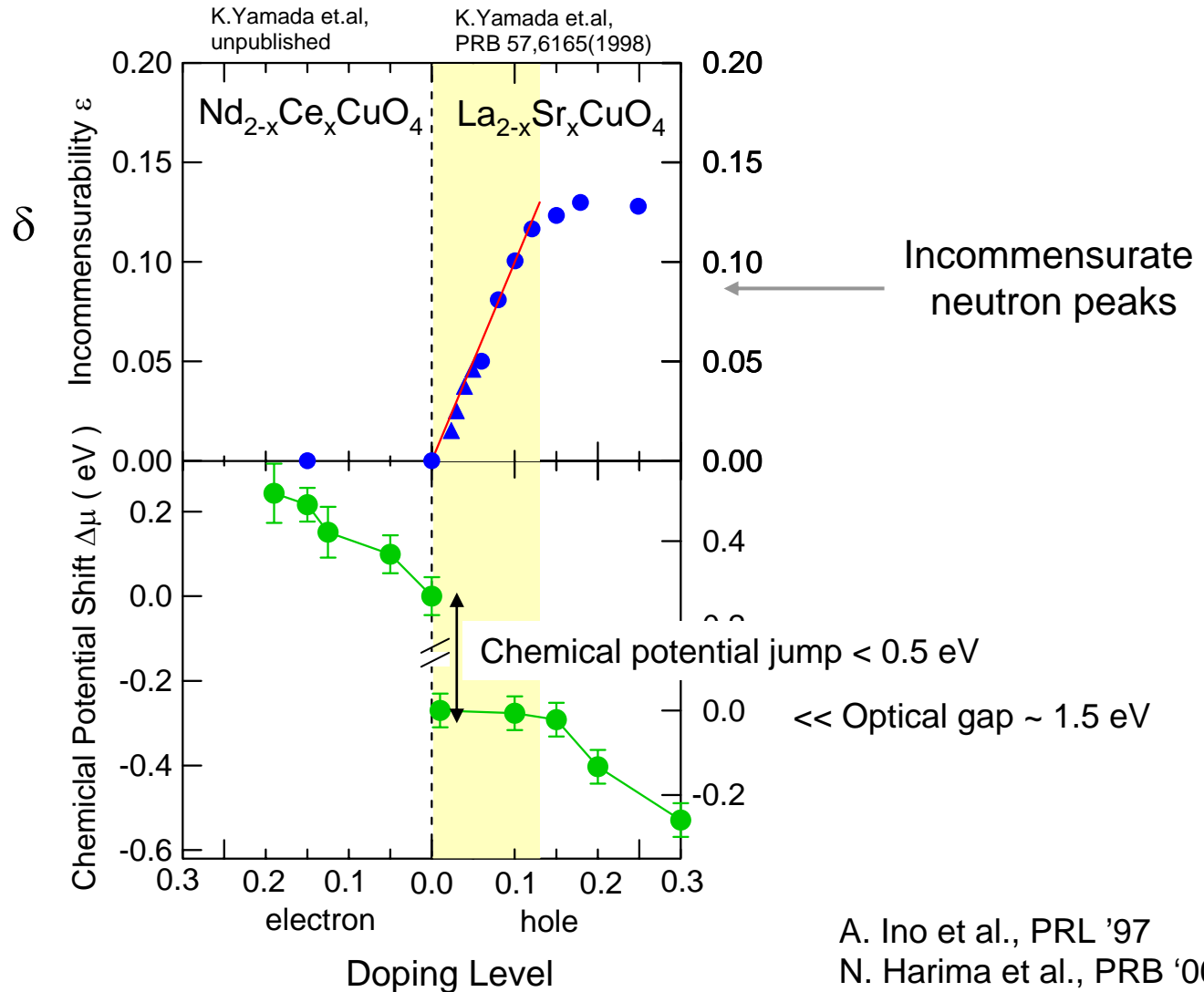
diagonal
"stripe" order



Chemical potential pinning in cuprates by incommensurate spin/charge fluctuations

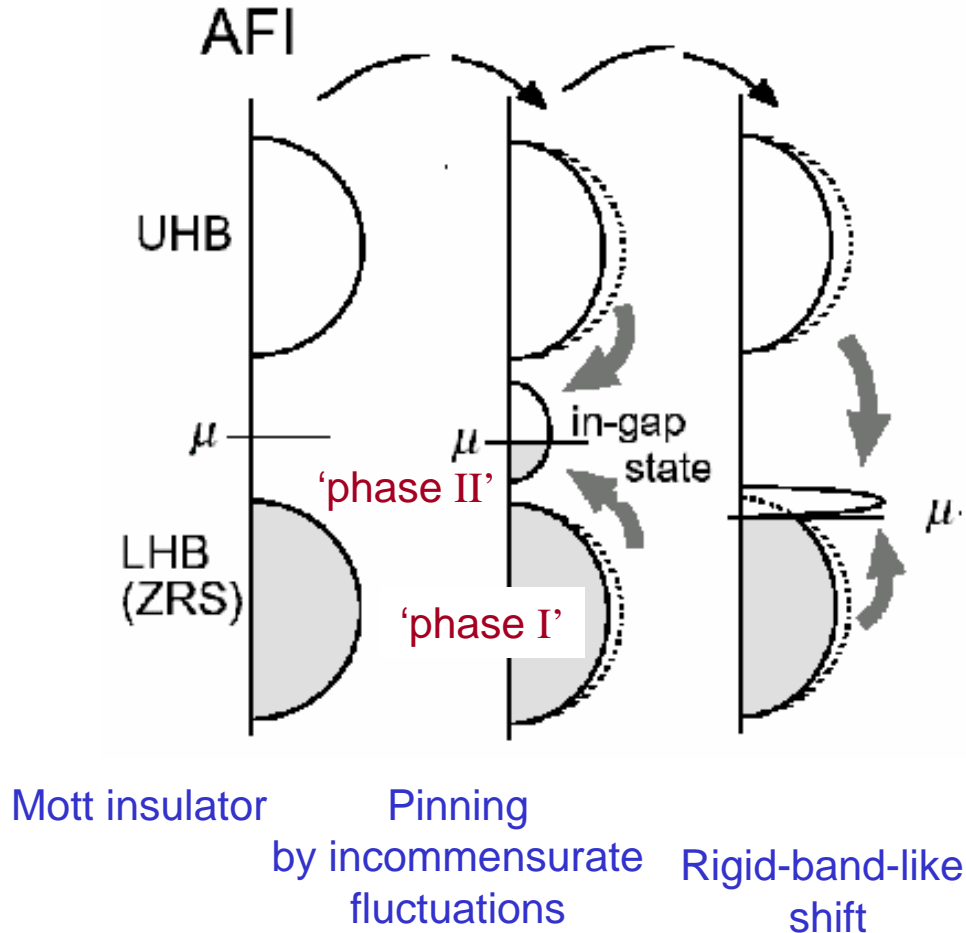


Chemical potential pinning in cuprates by incommensurate spin/charge fluctuations

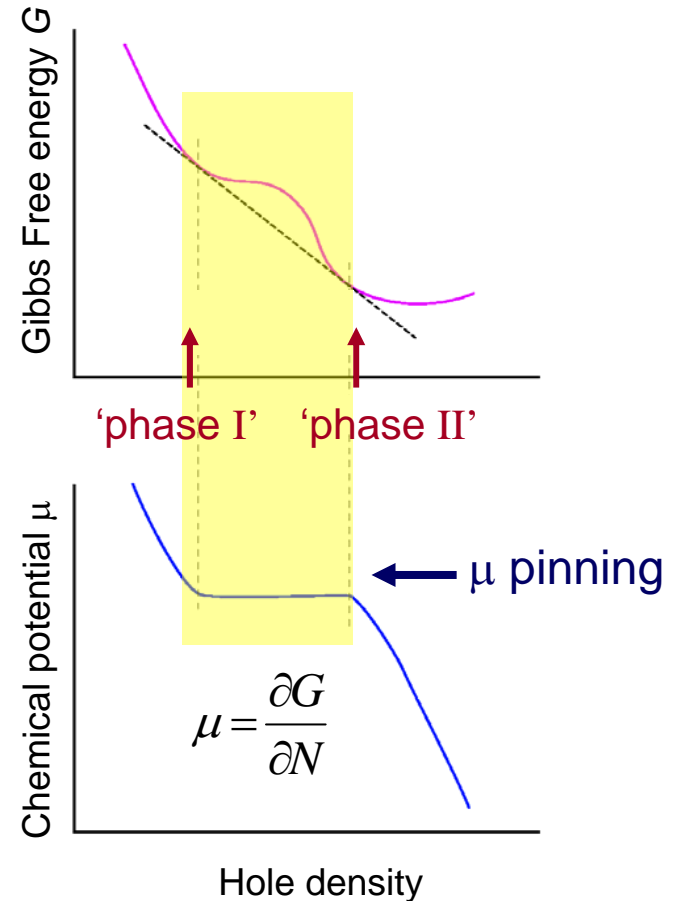


Incommensurate spin/charge fluctuations \simeq microscopic 'phase separation'

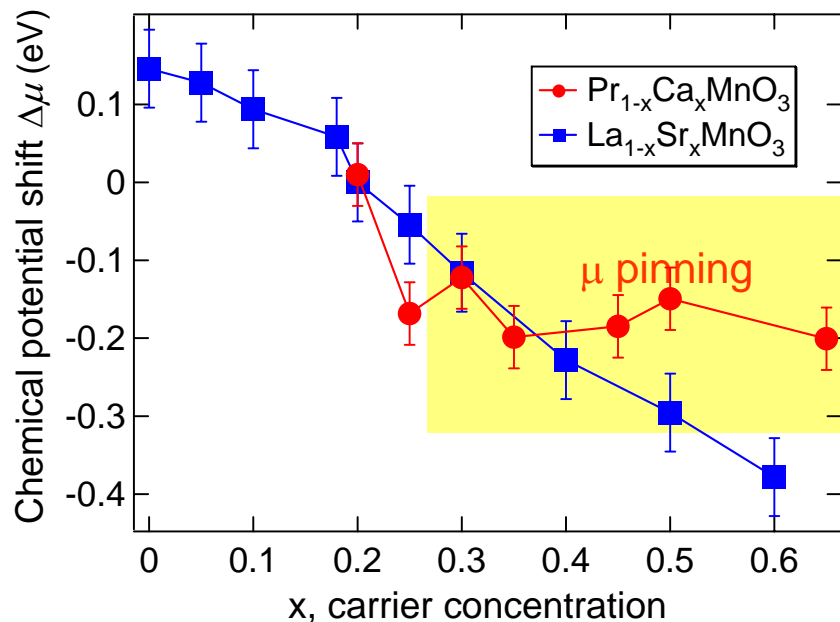
Chemical potential shift in high- T_c cuprates



"Phase separation" on a microscopic level



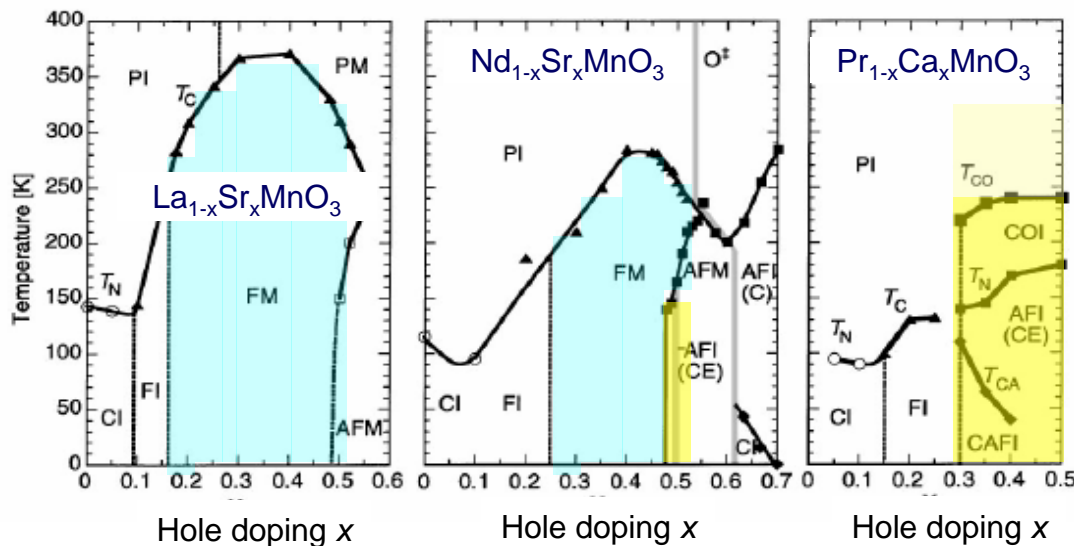
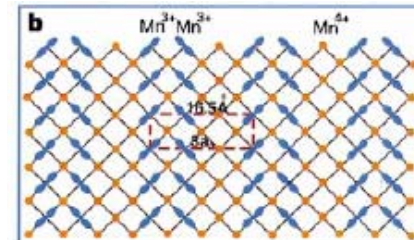
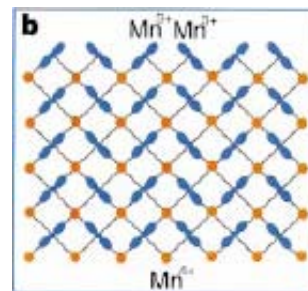
Chemical potential pinning in manganites by incommensurate spin/charge fluctuations



Stripe formation in $\text{La}_{1-x}\text{Ca}_x\text{MnO}_3$

$x = 0.5$

$x = 0.67$

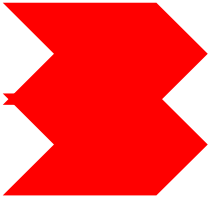


S.Mori et al., Nature '98

K. Ebata et al., PRB '06

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Deformation dependence of chemical potential

$$dF = \mu dN - SdT - pdV + fdD$$

D : distortion
 f : force/stress

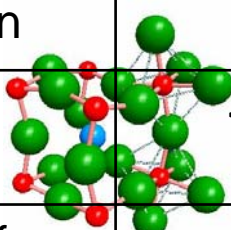
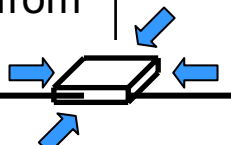
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$$-\frac{\partial^2 F}{\partial V \partial N} = -\left. \frac{\partial \mu}{\partial V} \right|_N = \left. \frac{\partial p}{\partial N} \right|_V$$

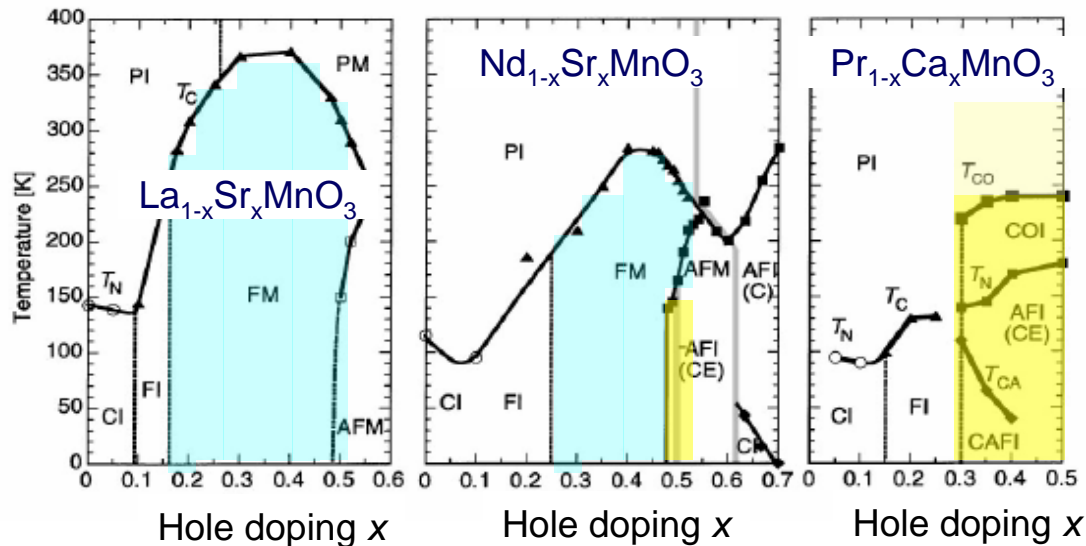
perturbation	V	P
Hydrostatic pressure	volume	electronic pressure

Other structural deformation:

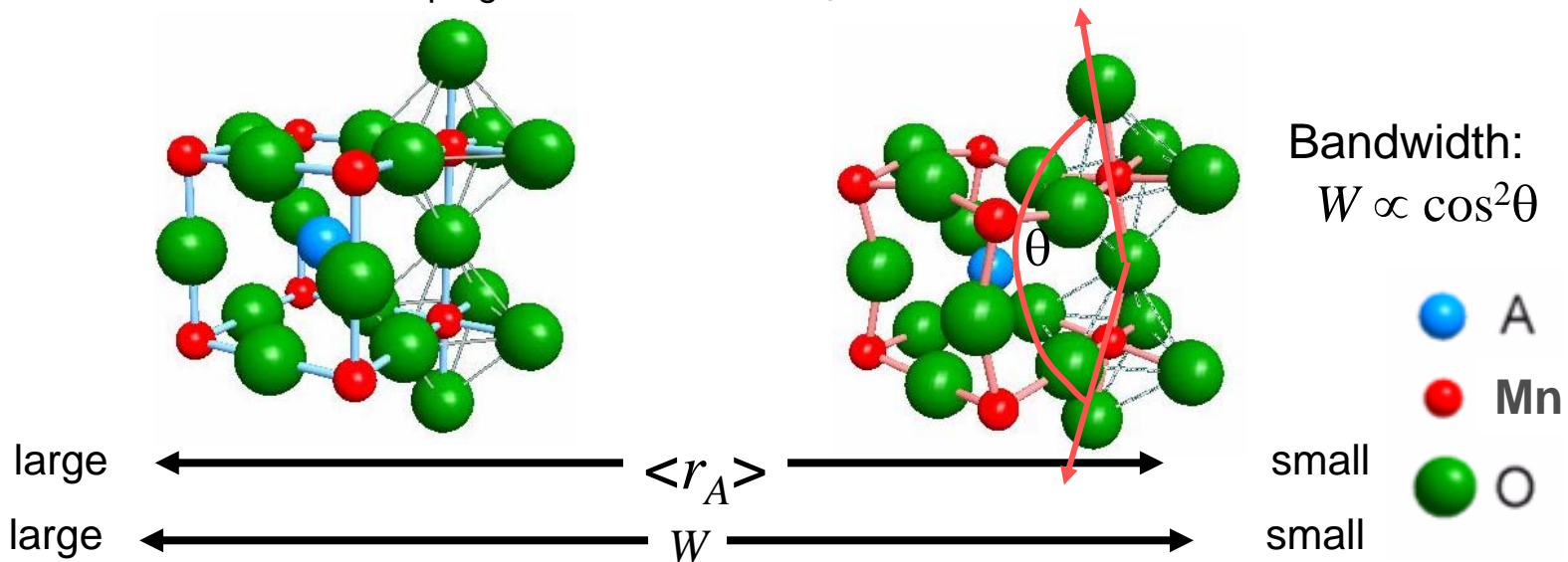
$$\frac{\partial^2 F}{\partial D \partial N} = \left. \frac{\partial \mu}{\partial D} \right|_N = \left. \frac{\partial f}{\partial N} \right|_D$$

perturbation	D	f
Chemical pressure	 $\langle r_A \rangle$	electronic "force"
Epitaxial strain from substrate	 c/a	electronic "force"

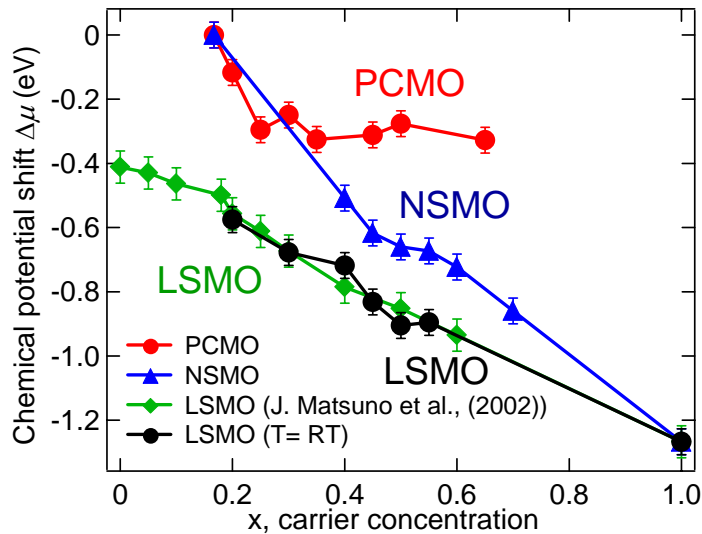
Band-width control in manganites



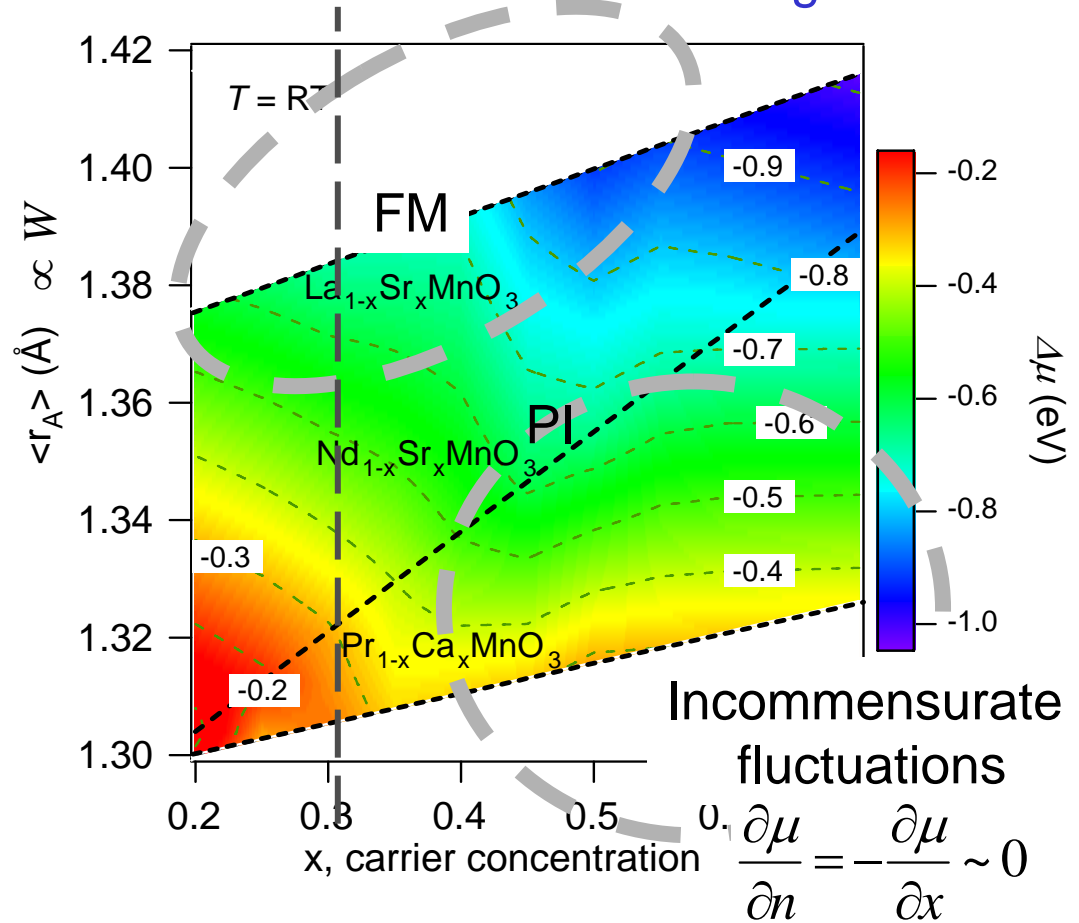
Y. Tomioka and Y. Tokura



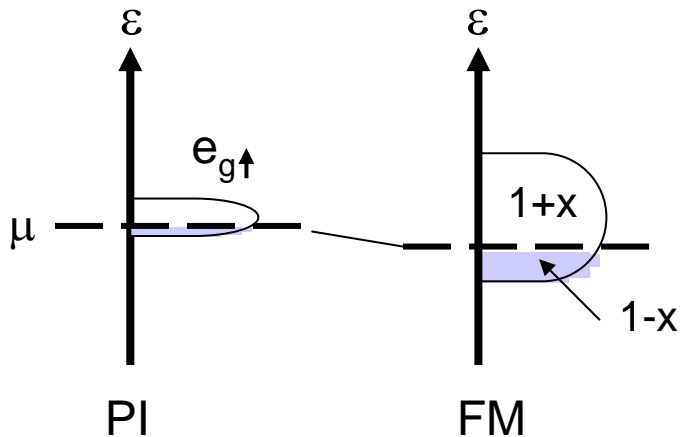
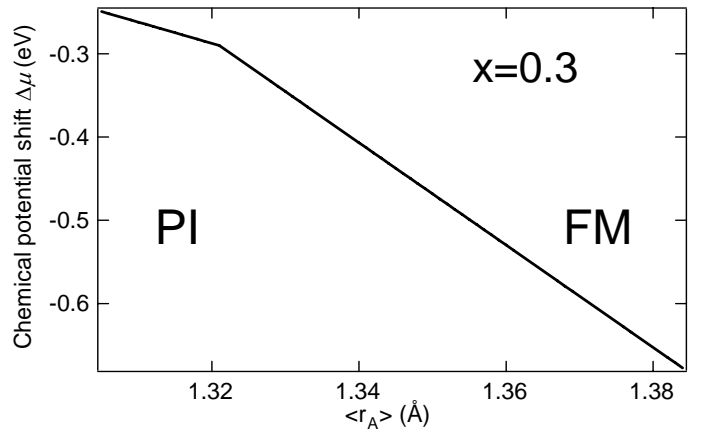
Bandwidth-dependent chemical potential shift in manganites



Chemical potential shift against bandwidth and band filling

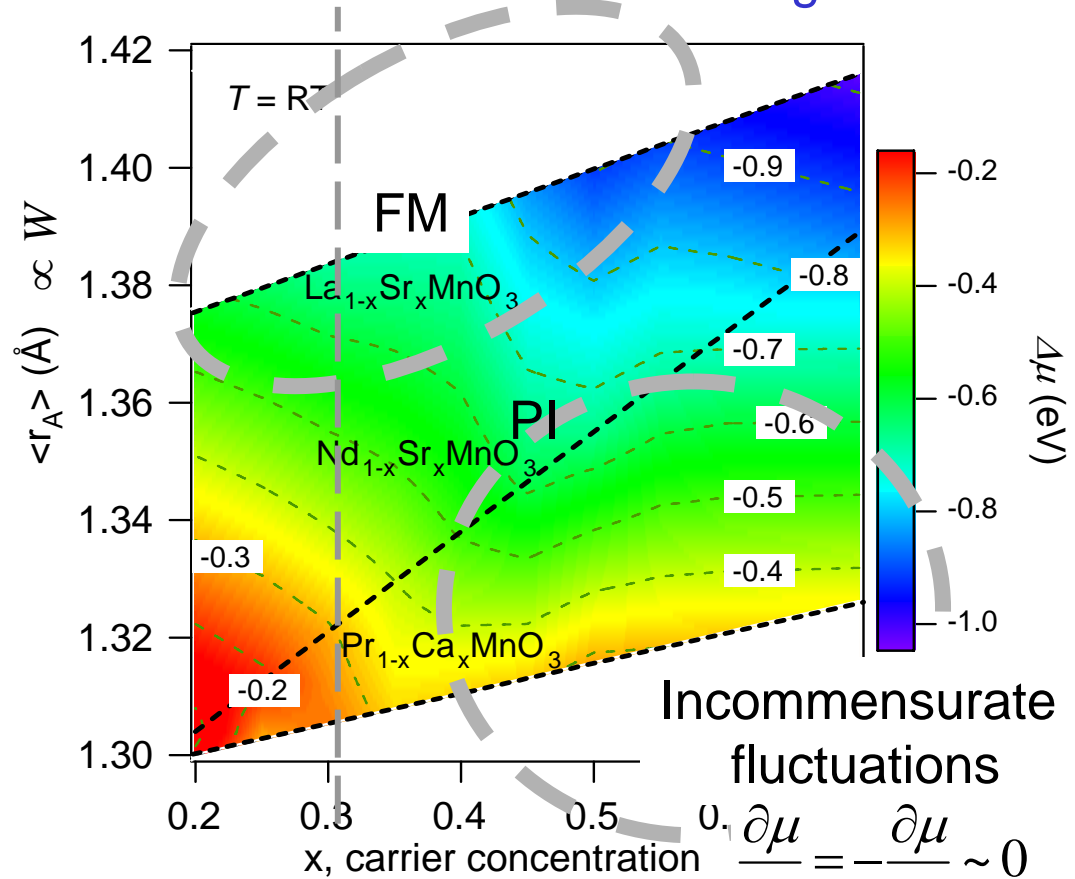


Bandwidth-dependent chemical potential shift in manganites



Theory: N. Furukawa, JPSJ '97

Chemical potential shift against bandwidth and band filling



Incommensurate fluctuations

$$\frac{\partial \mu}{\partial n} = -\frac{\partial \mu}{\partial x} \sim 0$$

K. Ebata et al.

Deformation dependence of chemical potential

$$dF = \mu dN - SdT - pdV + fdD$$

D : distortion
 f : force/stress

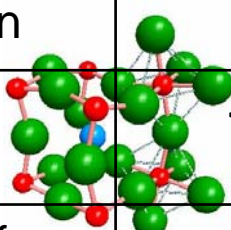
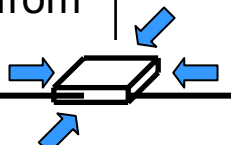
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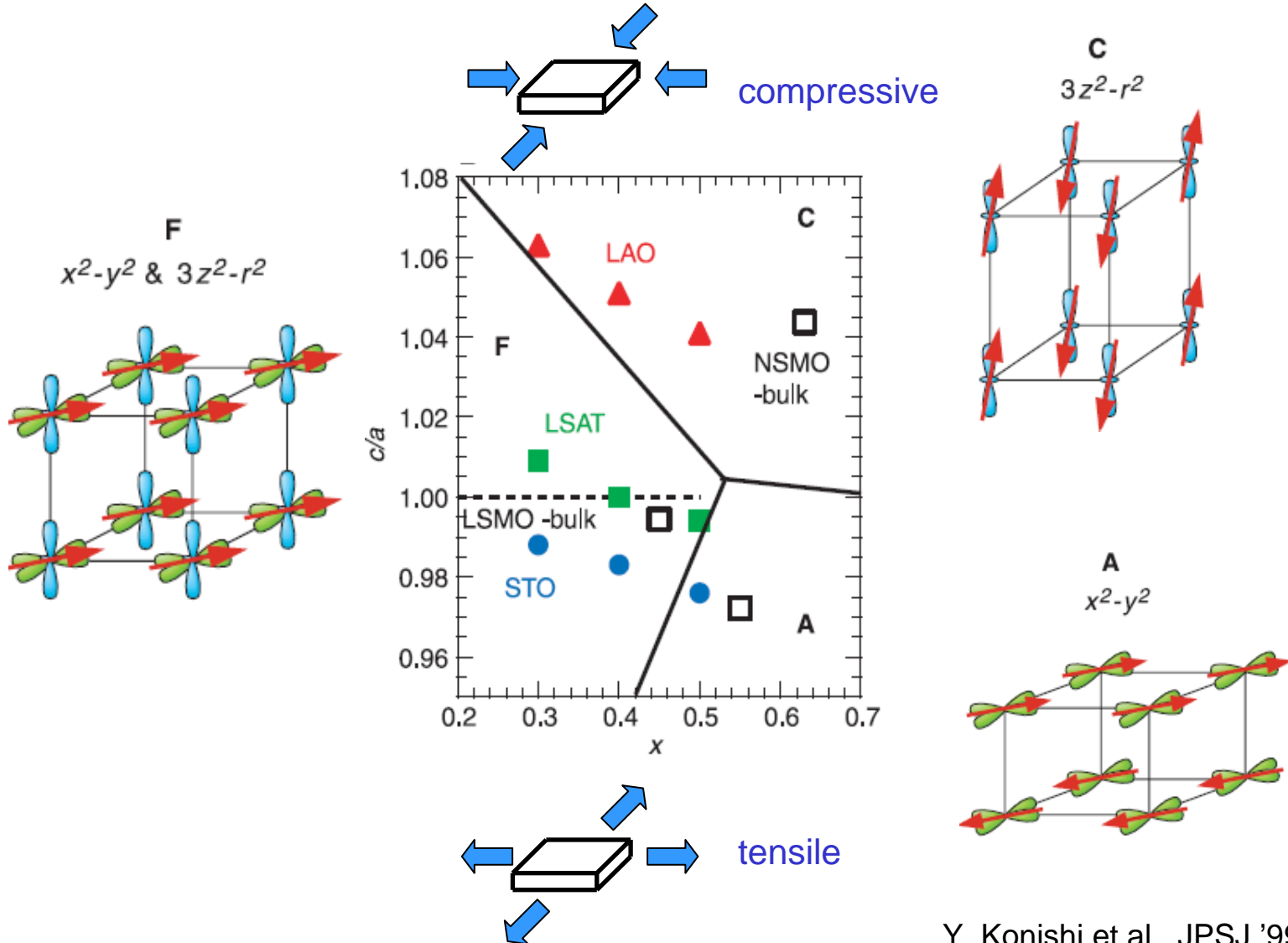
perturbation	V	P
Hydrostatic pressure	volume	electronic pressure

Other structural deformation:

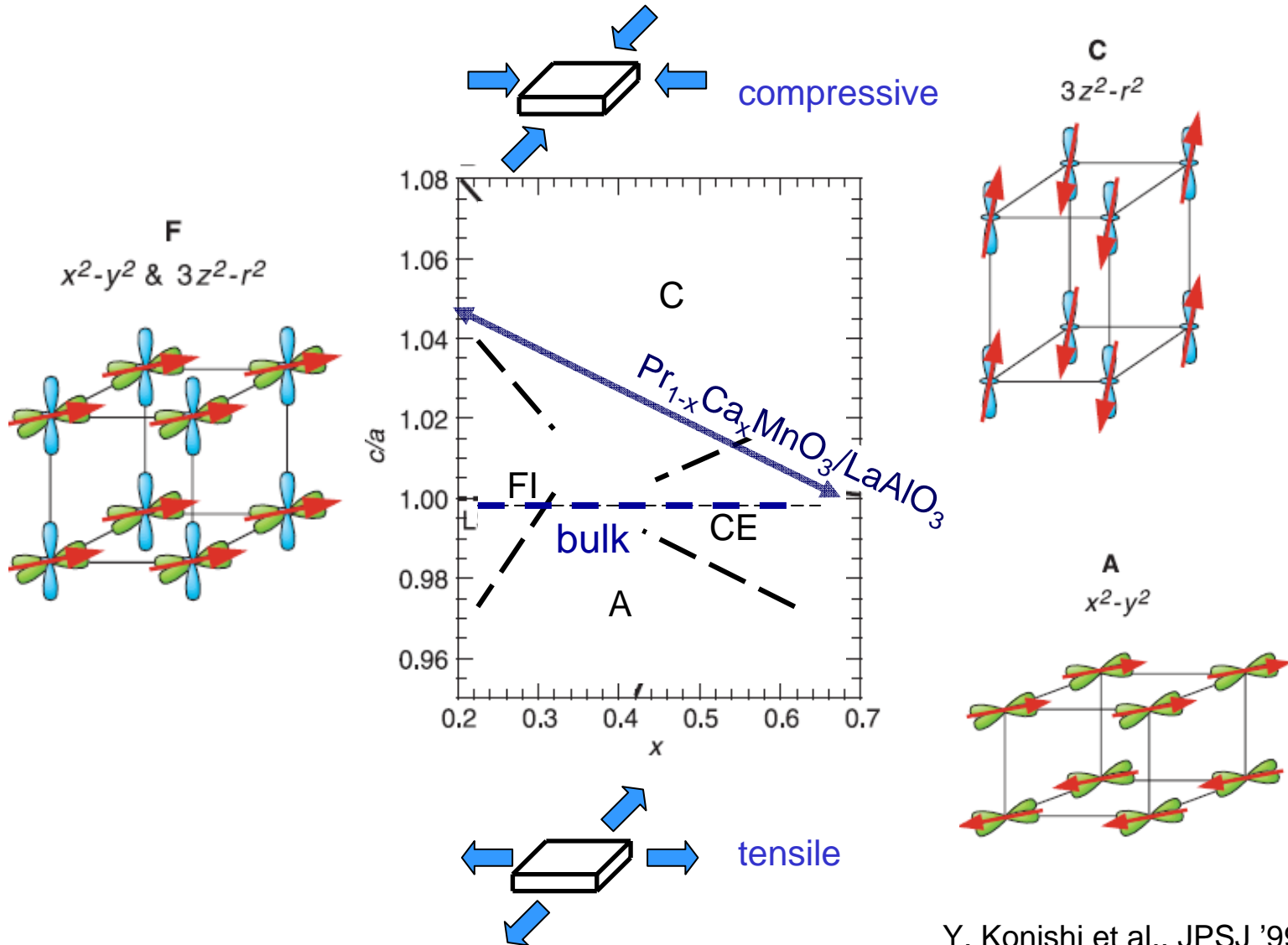
$$\frac{\partial^2 F}{\partial D \partial N} = \left. \frac{\partial \mu}{\partial D} \right|_N = \left. \frac{\partial f}{\partial N} \right|_D$$

perturbation	D	f
Chemical pressure	 $\langle r_A \rangle$	electronic "force"
Epitaxial strain from substrate	 c/a	electronic "force"

La_{1-x}Sr_xMnO₃ under epitaxial strain

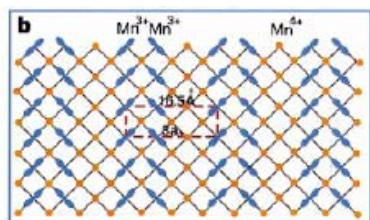
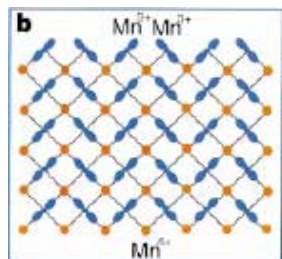
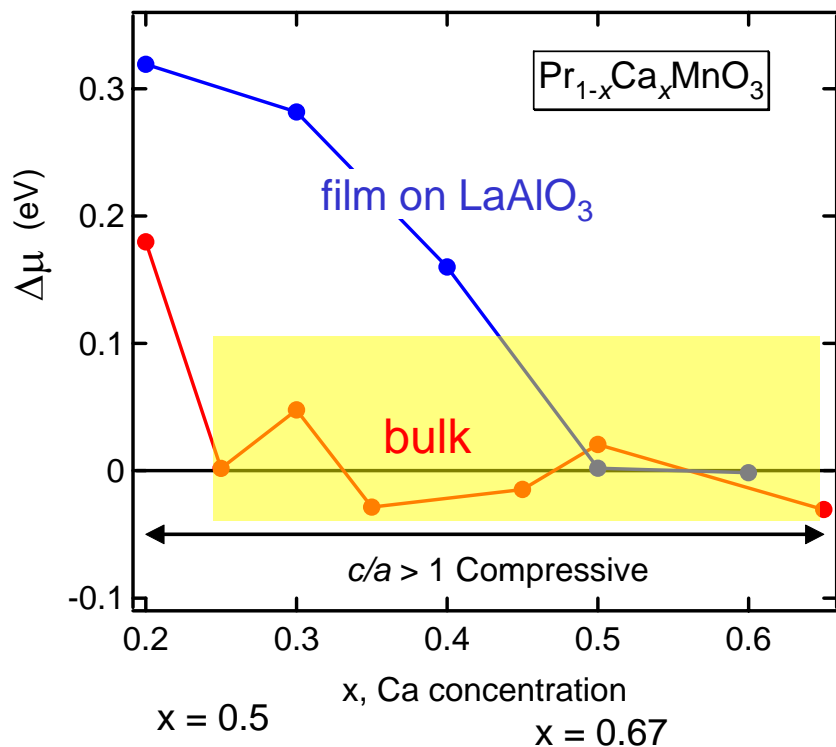


Pr_{1-x}Ca_xMnO₃ under epitaxial strain

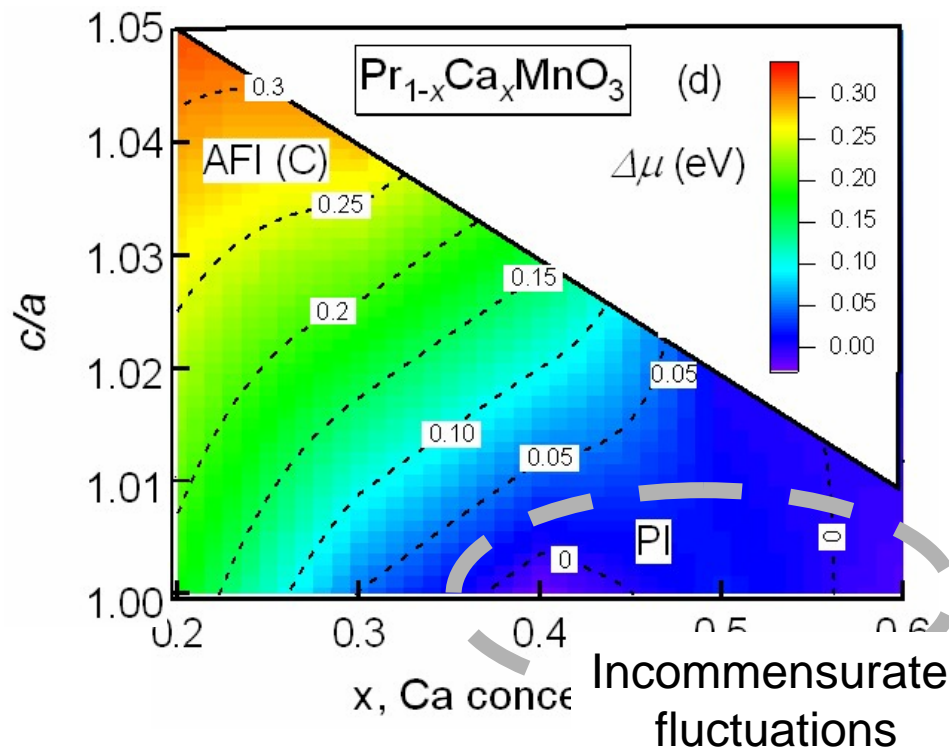


Chemical potential shift in $\text{Pr}_{1-x}\text{Ca}_x\text{MnO}_3$ under epitaxial strain

Chemical potential shifts as functions of hole concentration x

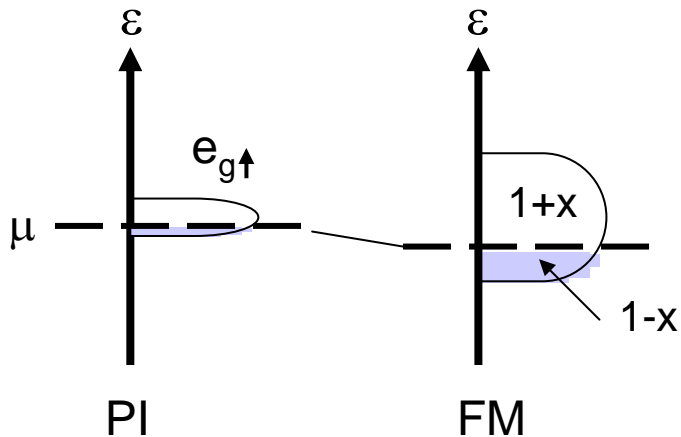
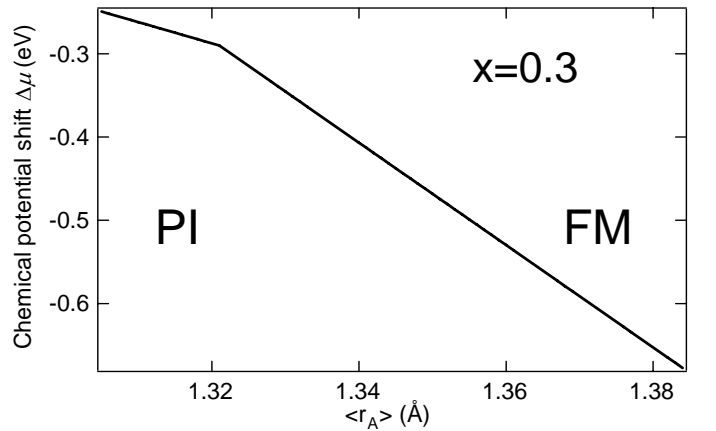


Chemical potential shift in x - c/a plane

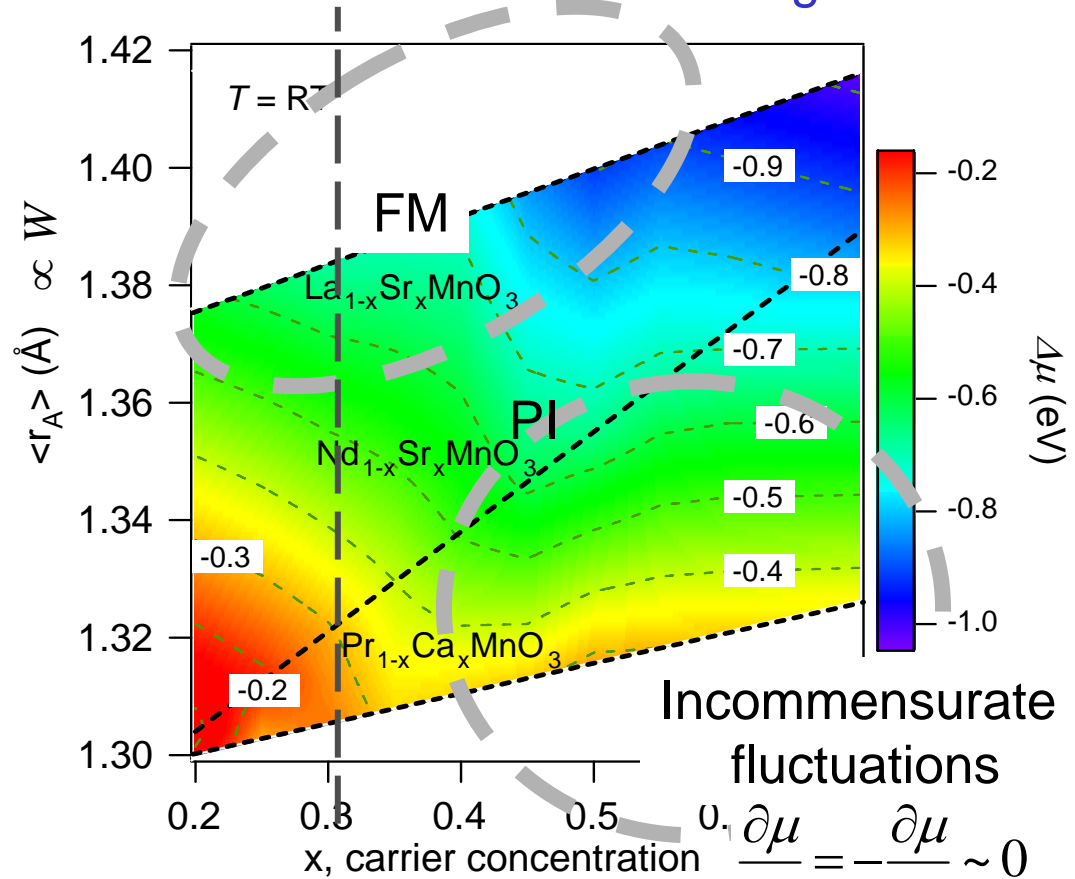


$$\frac{\partial \mu}{\partial n} = -\frac{\partial \mu}{\partial x} \sim 0$$

Bandwidth-dependent chemical potential shift in manganites



Chemical potential shift against bandwidth and band filling

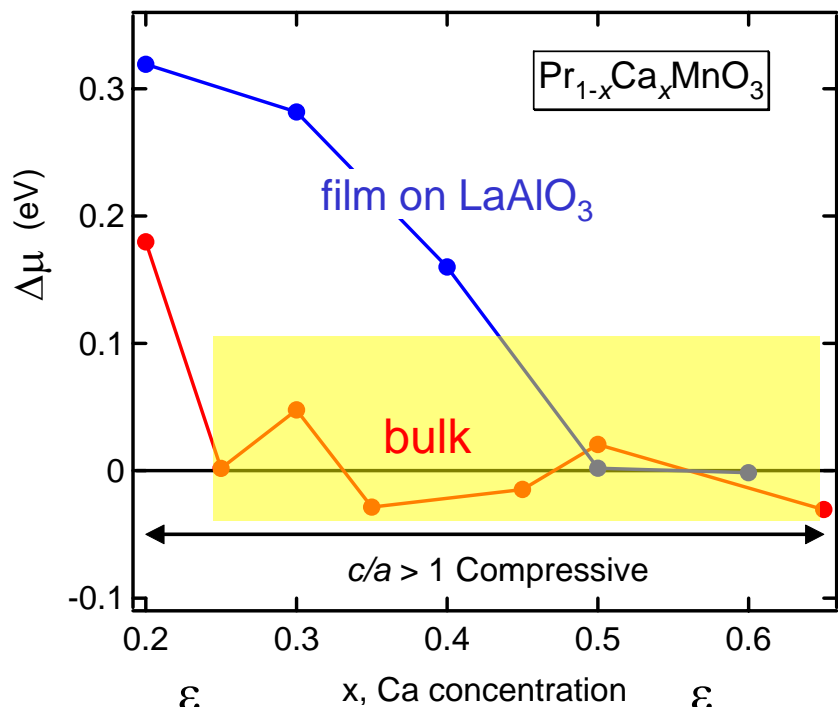


Incommensurate fluctuations

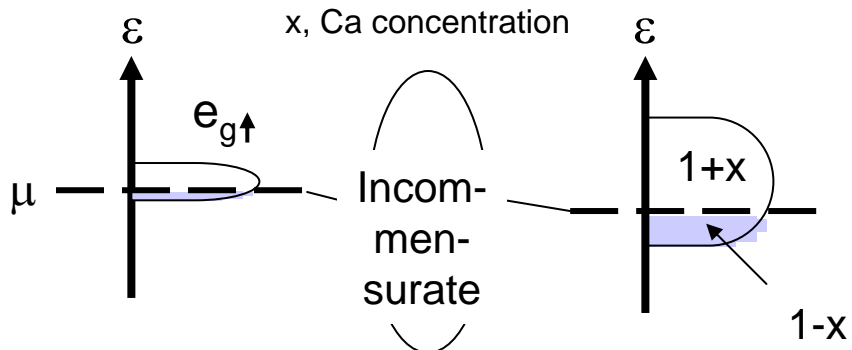
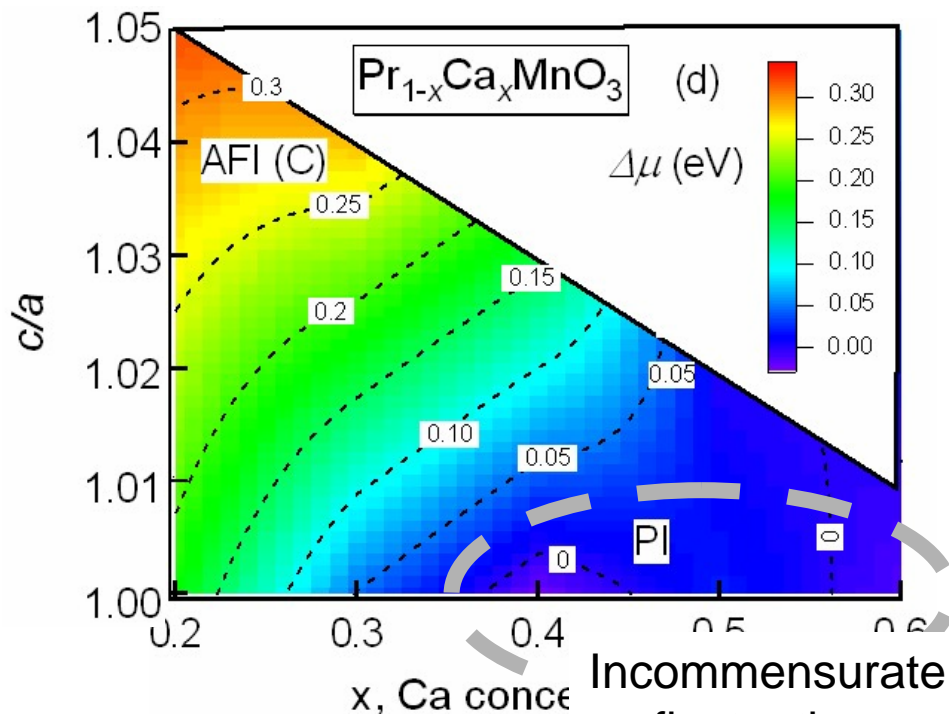
$$\left. \frac{\partial \mu}{\partial n} \right|_0 = - \left. \frac{\partial \mu}{\partial x} \right|_0 \sim 0$$

Chemical potential shift in $\text{Pr}_{1-x}\text{Ca}_x\text{MnO}_3$ under epitaxial strain

Chemical potential shifts as functions of hole concentration x



Chemical potential shift in x - c/a plane

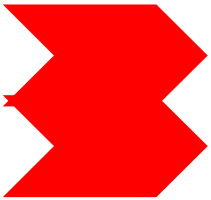


Incommensurate fluctuations

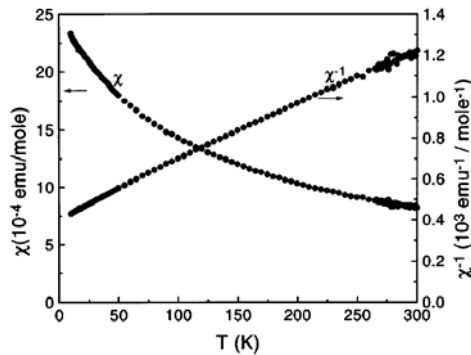
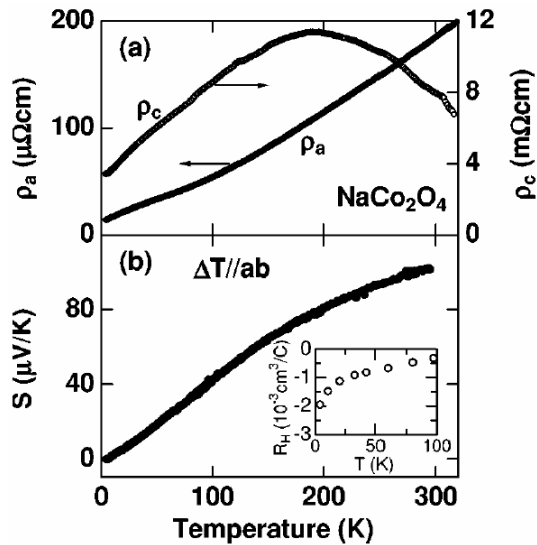
$$\frac{\partial \mu}{\partial n} = -\frac{\partial \mu}{\partial x} \sim 0$$

Outline

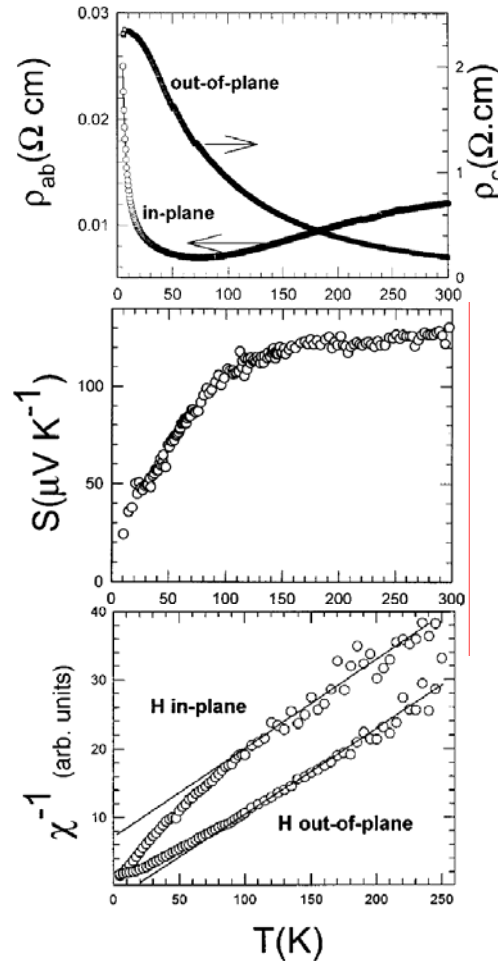
- Introduction
- How to measure
- Dependence on carrier concentration
- Dependence on structural distortion
- Dependence on temperature
- Dependence on



Large thermopower and metallicity in layered triangular Co oxides

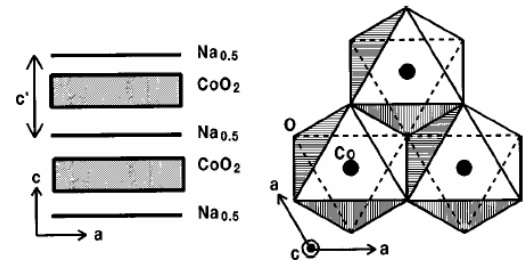


I. Terasaki et al., PRB '97

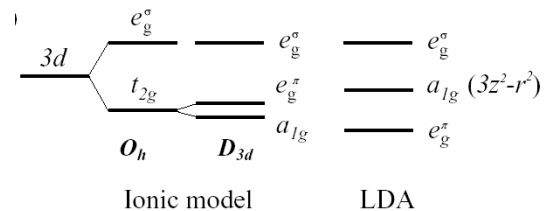
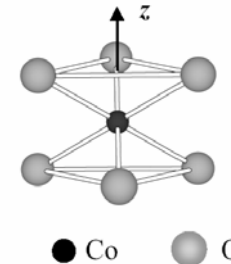


A.C. Masset et al., PRB '00.

layered triangular lattice



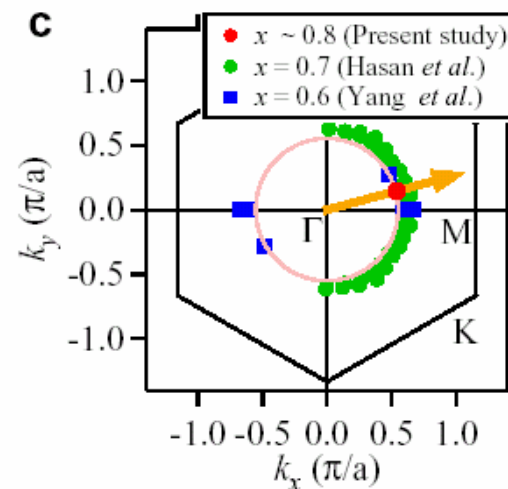
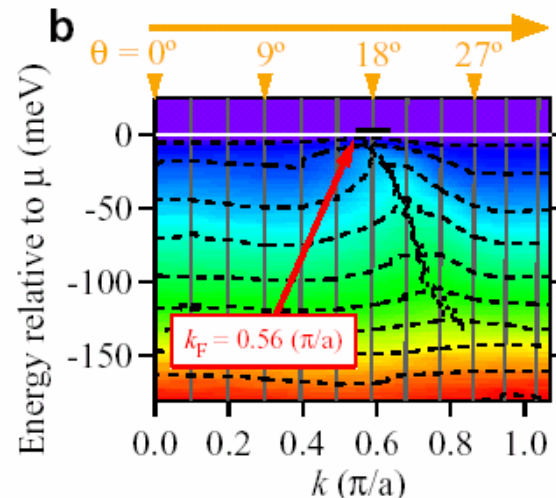
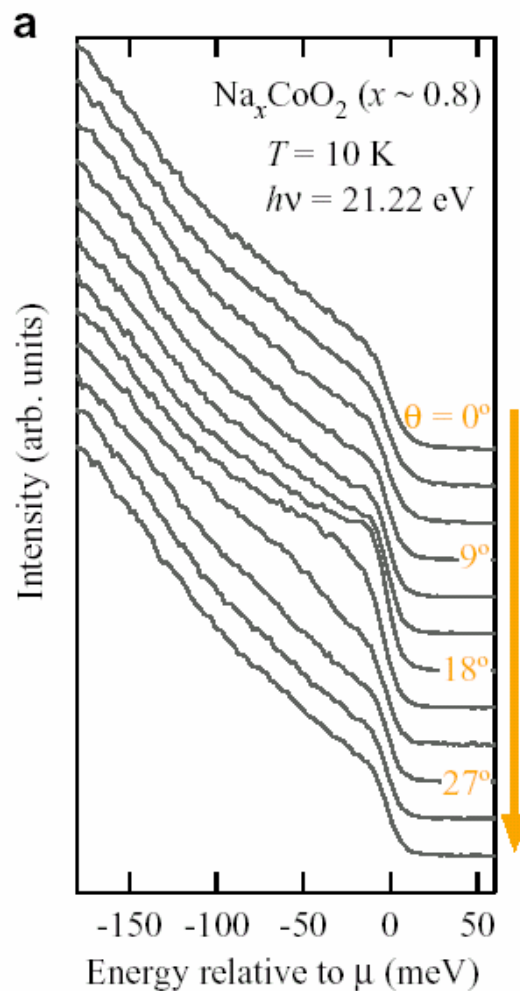
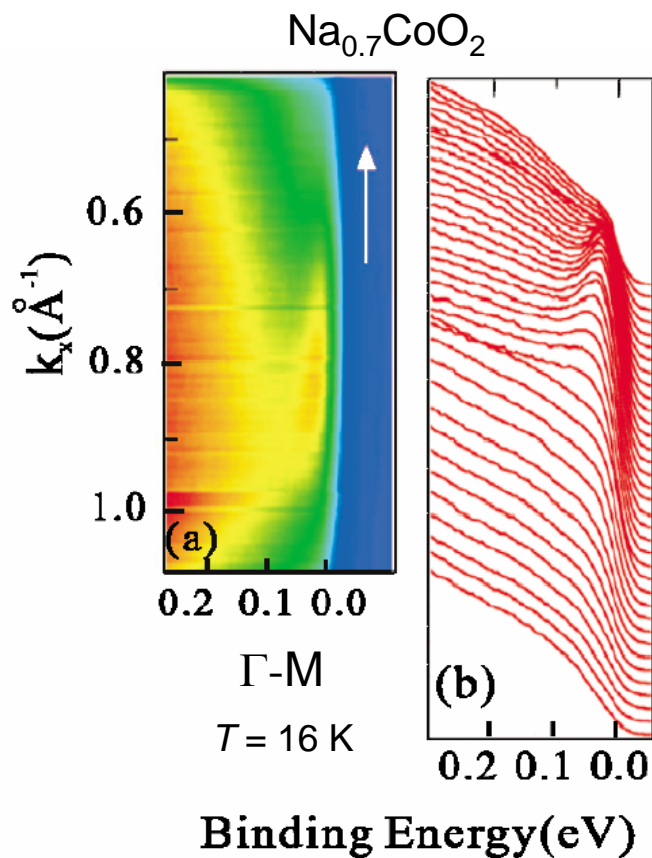
distorted octahedron



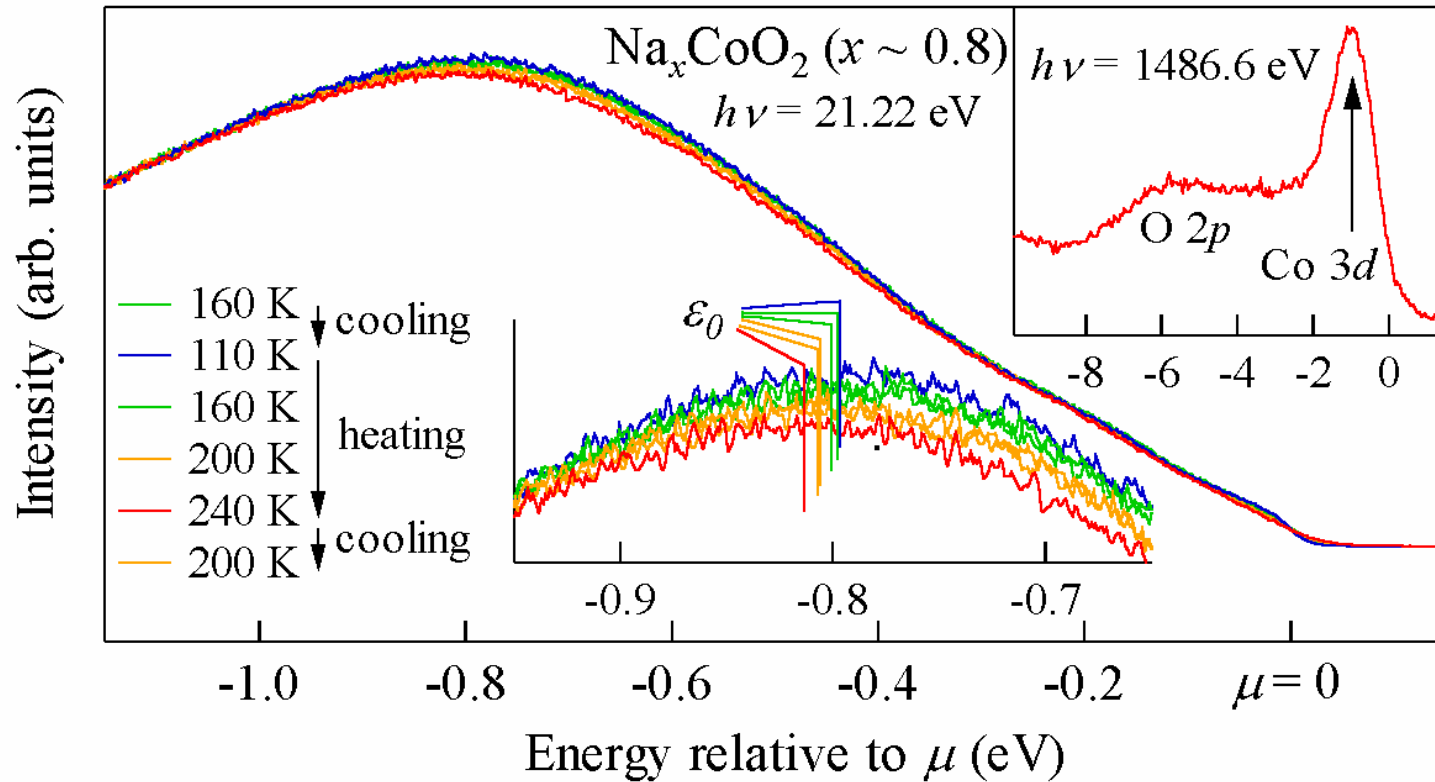
Ionic model

LDA

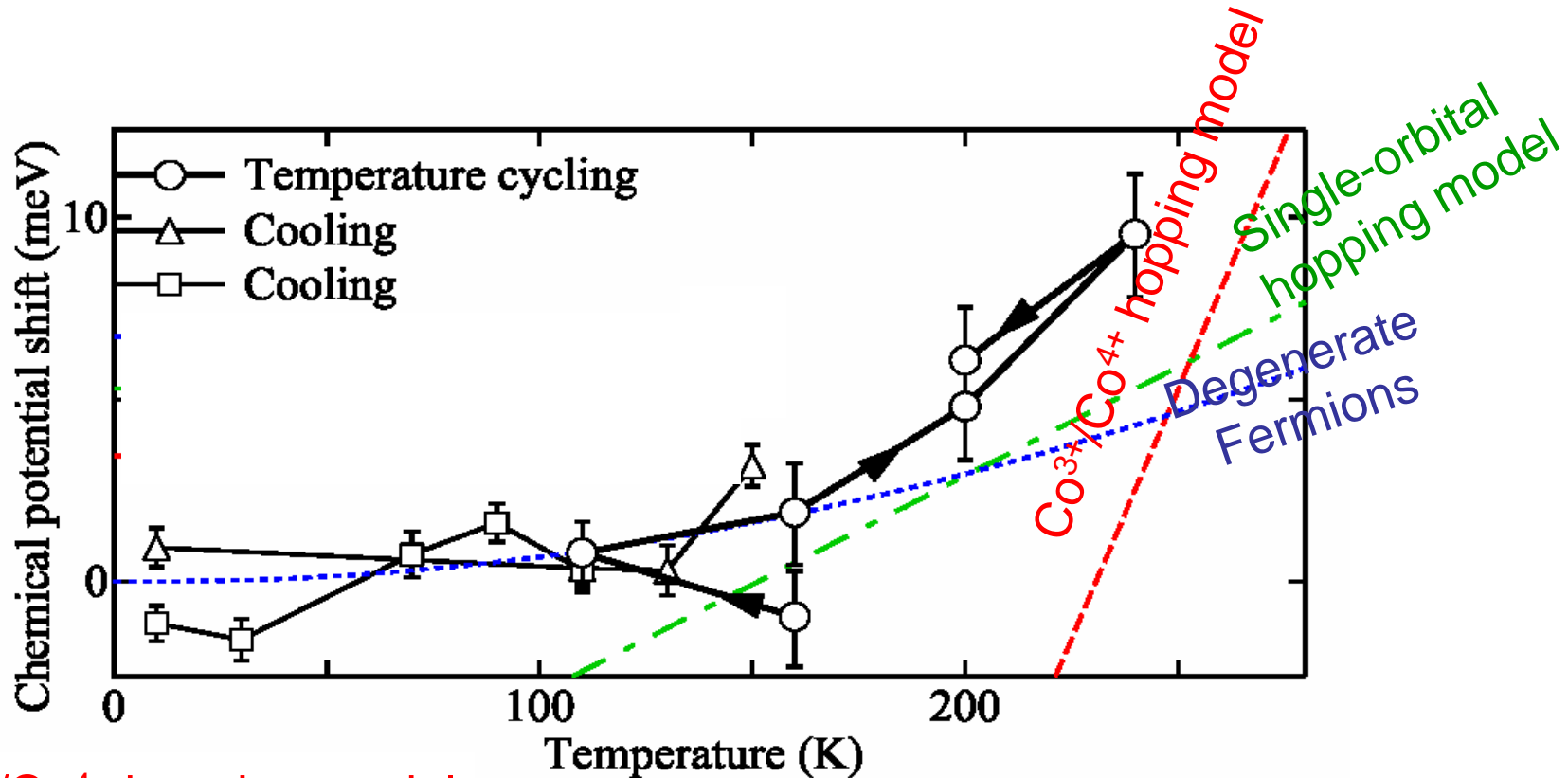
Angle-resolved photoemission spectroscopy (ARPES) of Na_xCoO_2



Temperature dependent shift of Co 3d peak



Cross-over from degenerate Fermions to ionic hopping in Na_xCoO_2



Co³⁺/Co⁴⁺ hopping model

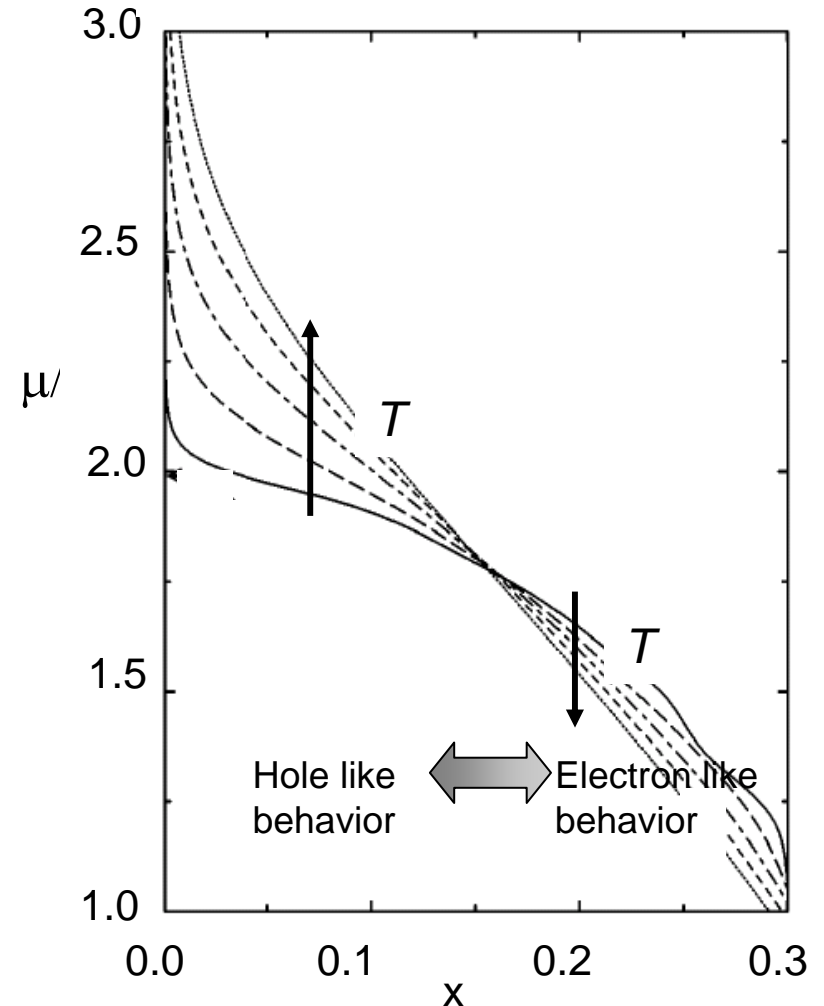
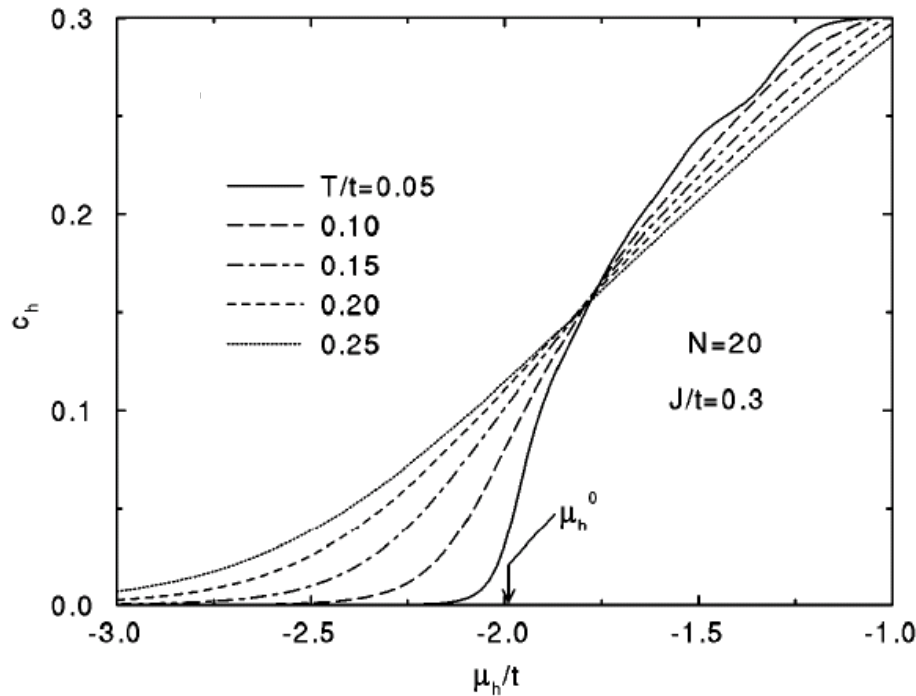
$$\frac{\partial \mu}{\partial T} = -k_B \ln \left(\frac{g_3}{g_4} \frac{1-x}{x} \right)$$

$$g_3 = 6 \text{ for LS Co}^{4+}$$

$$g_4 = 1 \text{ for LS Co}^{3+}$$

Temperature-dependence of chemical potential in cuprates

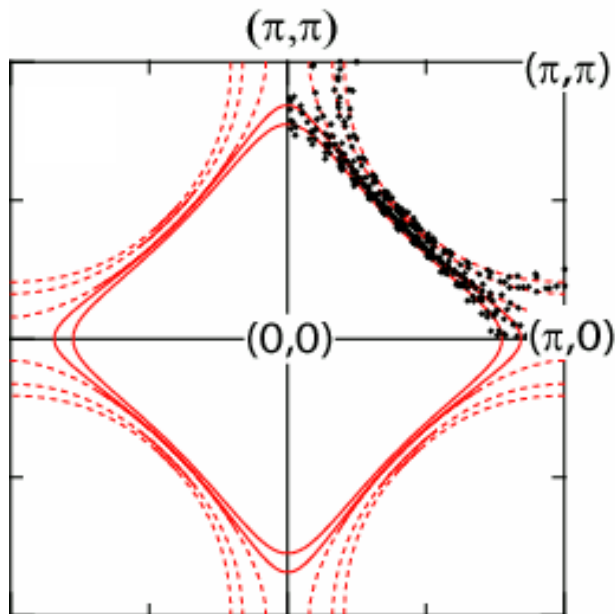
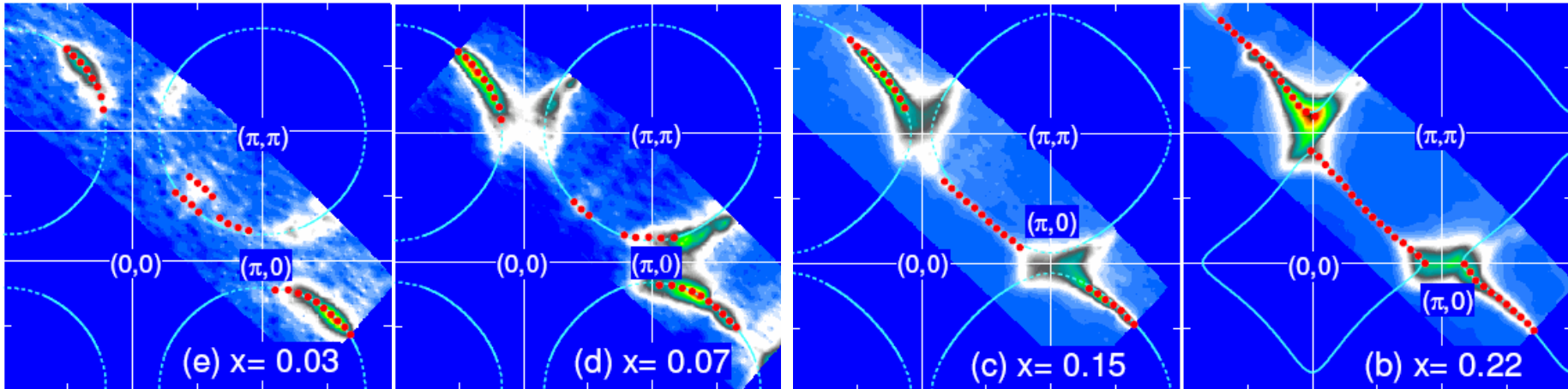
t - J model calculation



Fermi surface in $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$

Hole-like ←

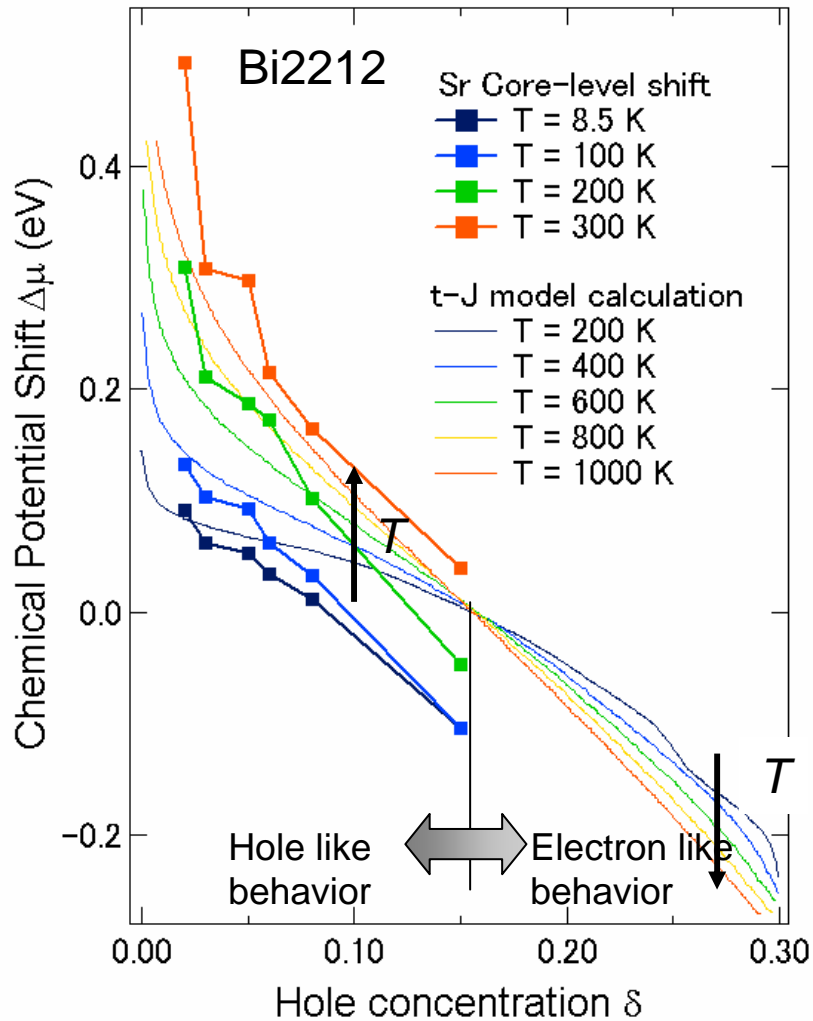
→ Electron-like



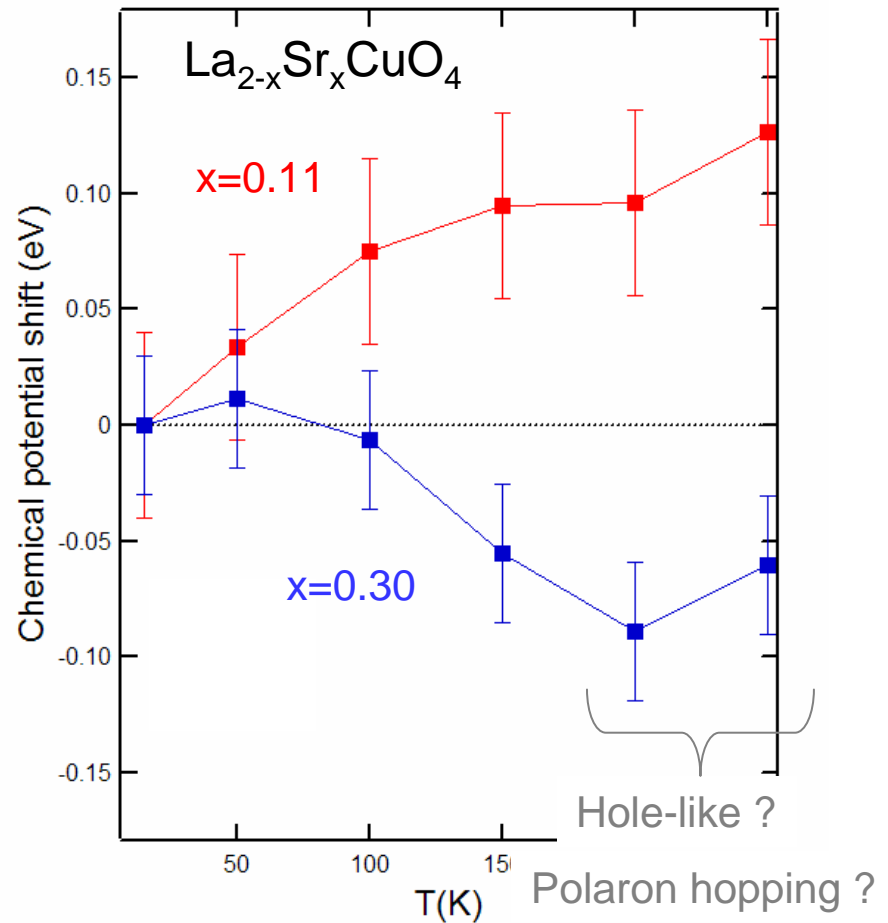
Tight binding fit: $E(\mathbf{k}) = -2t(\cos k_x a + \cos k_y a) - 4t' \cos k_x a \cos k_y a - 2t''(\cos 2k_x a + \cos 2k_y a)$

- Tight-binding fit
- ⋯ “Remnant” Fermi surface
- Intensity peak in k-space

Temperature-dependence of chemical potential in cuprates

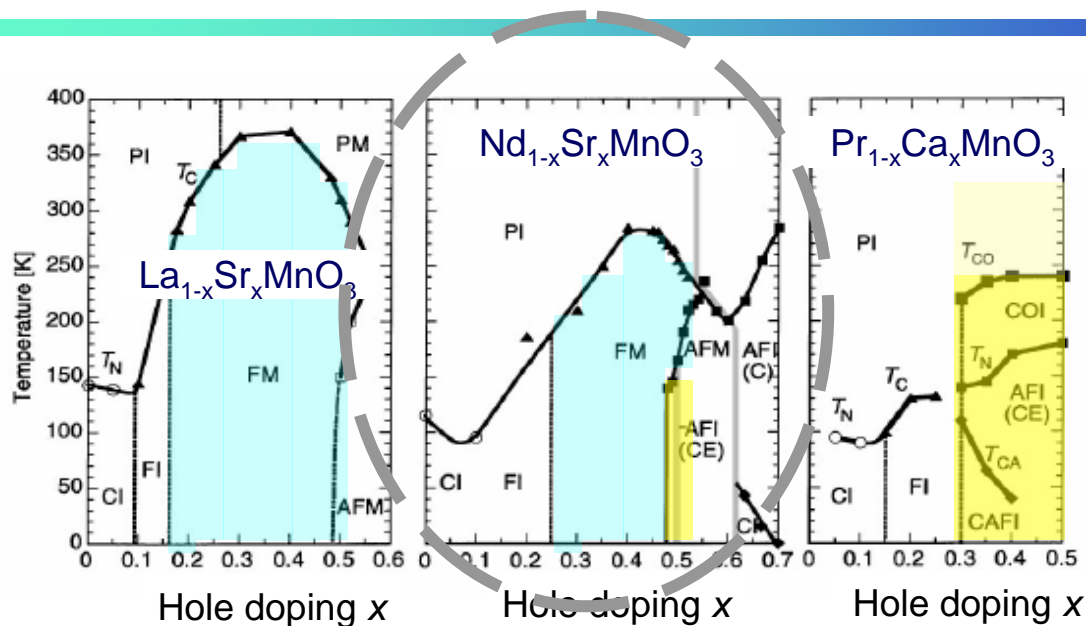


K. Tanaka et al., Thesis

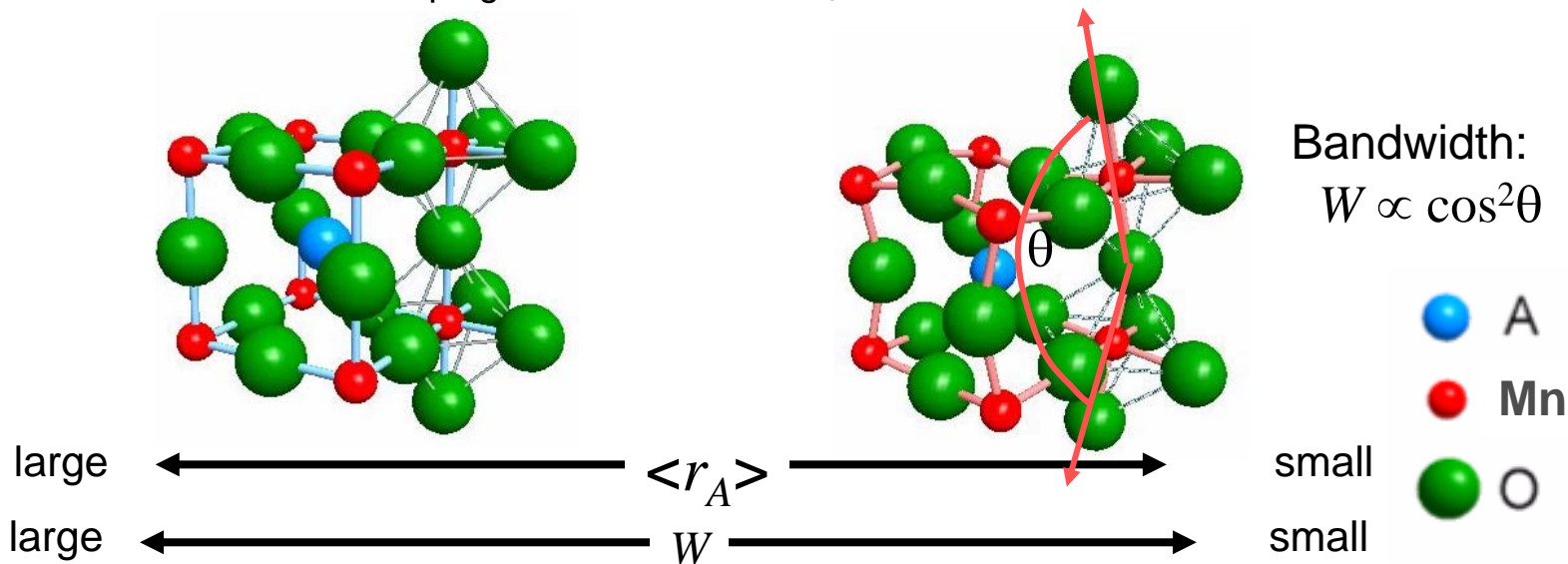


W. Malaeb et al

Band-width control in manganites

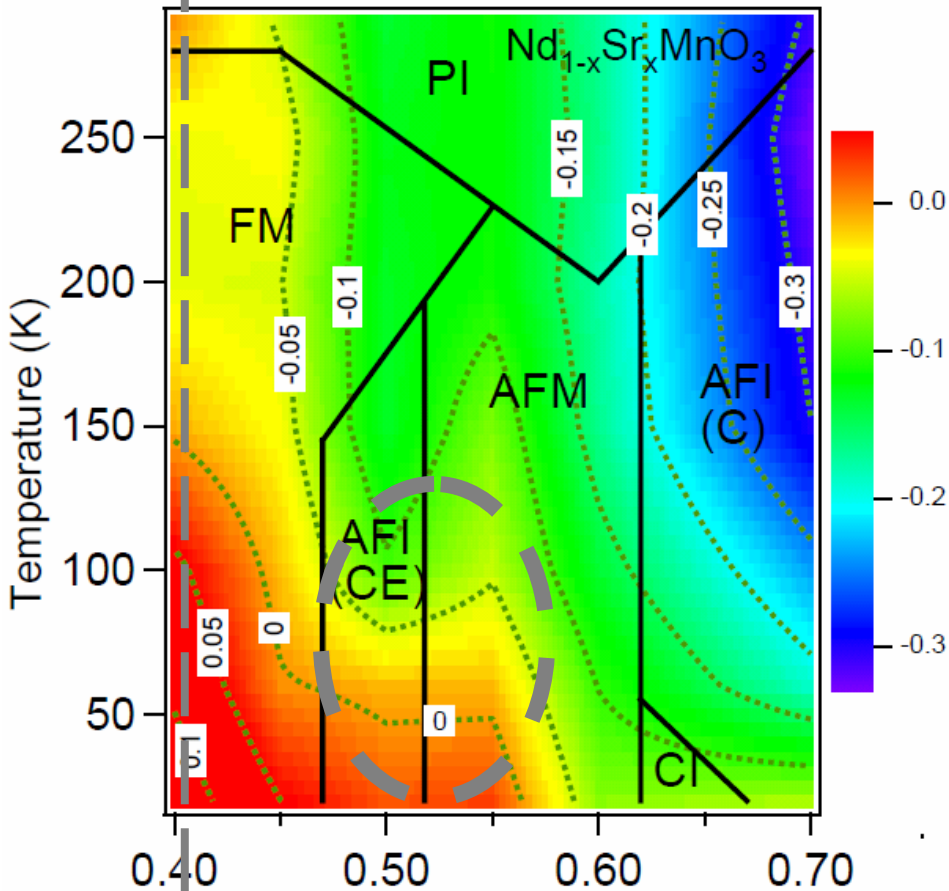


Y. Tomioka and Y. Tokura

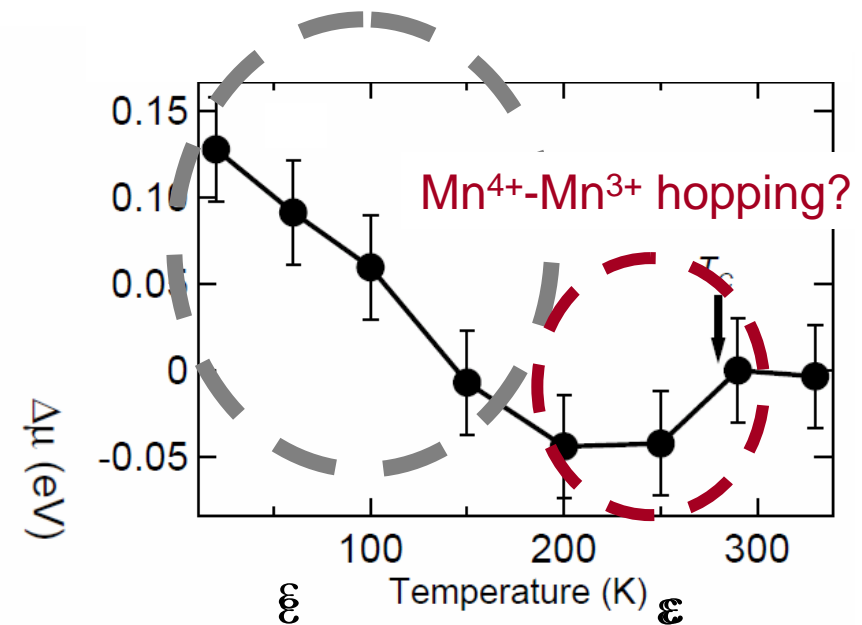


Temperature-dependent chemical potential shift due to double exchange?

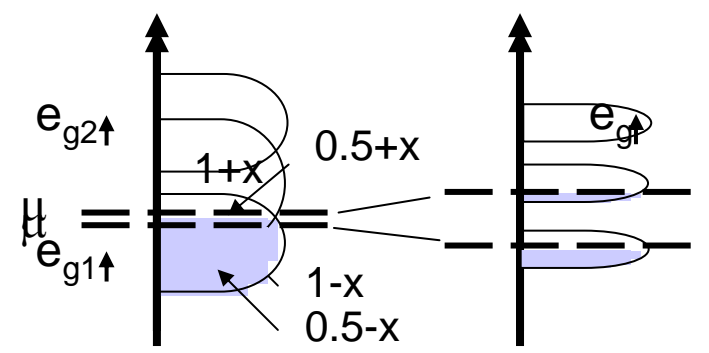
Chemical potential shift in x - T plane



Incommensurate fluctuations $\frac{\partial\mu}{\partial n} = -\frac{\partial\mu}{\partial x} \sim 0$

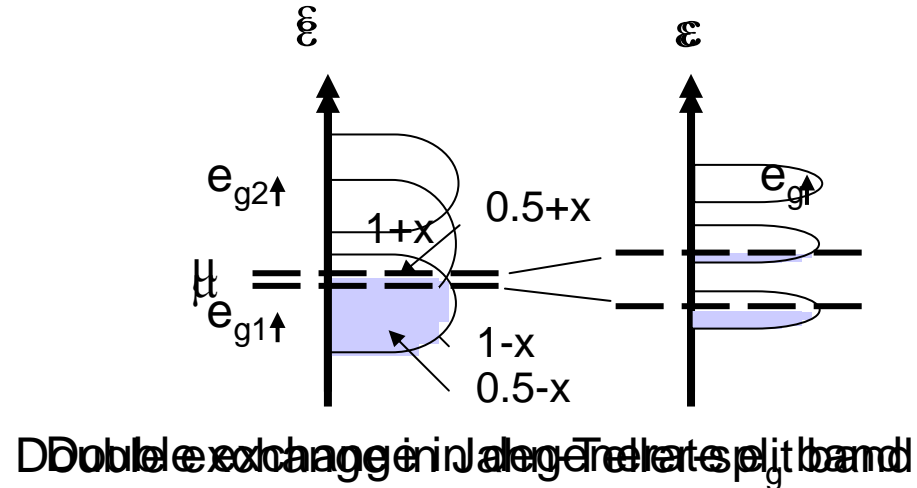
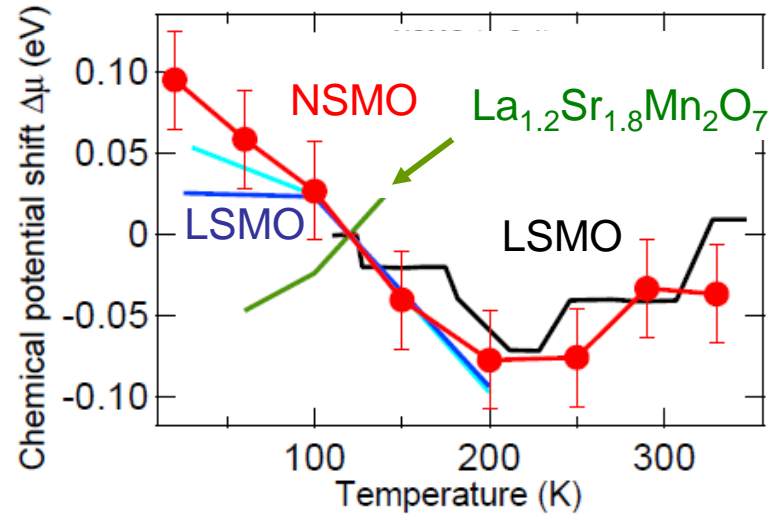
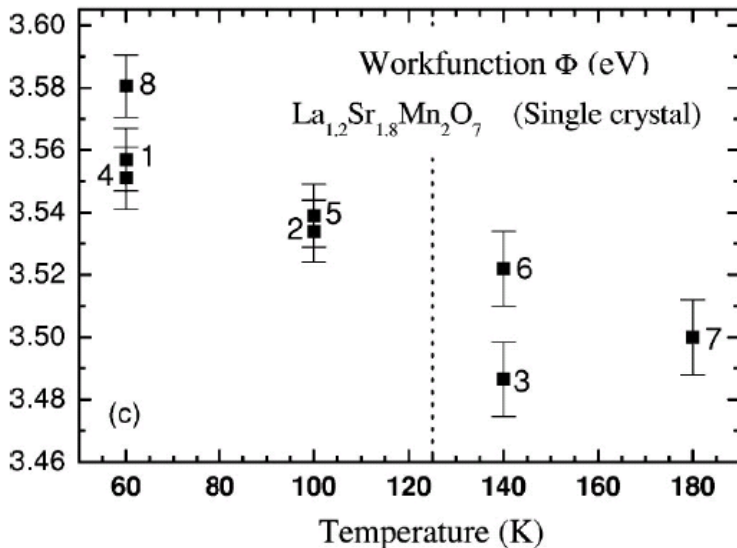
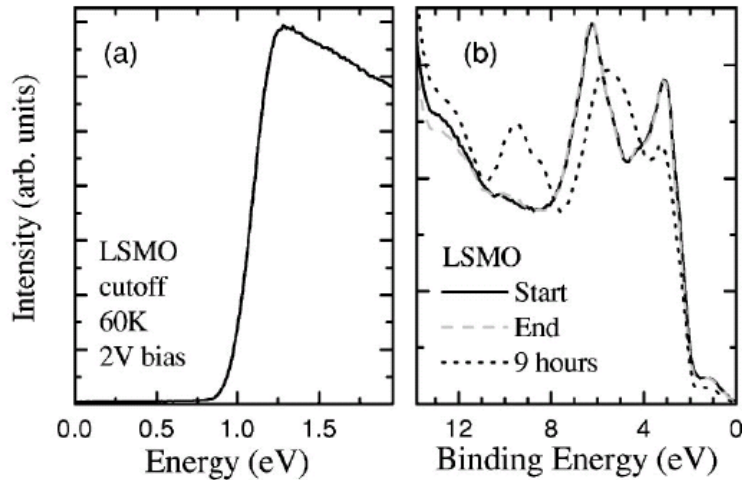


Double exchange in Jahn-Teller split band



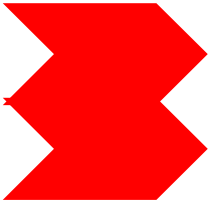
cf: pulsed neutron, EXAFS

Temperature-dependent chemical potential shift in layered manganite $\text{La}_{1.2}\text{Sr}_{1.8}\text{Mn}_2\text{O}_7$

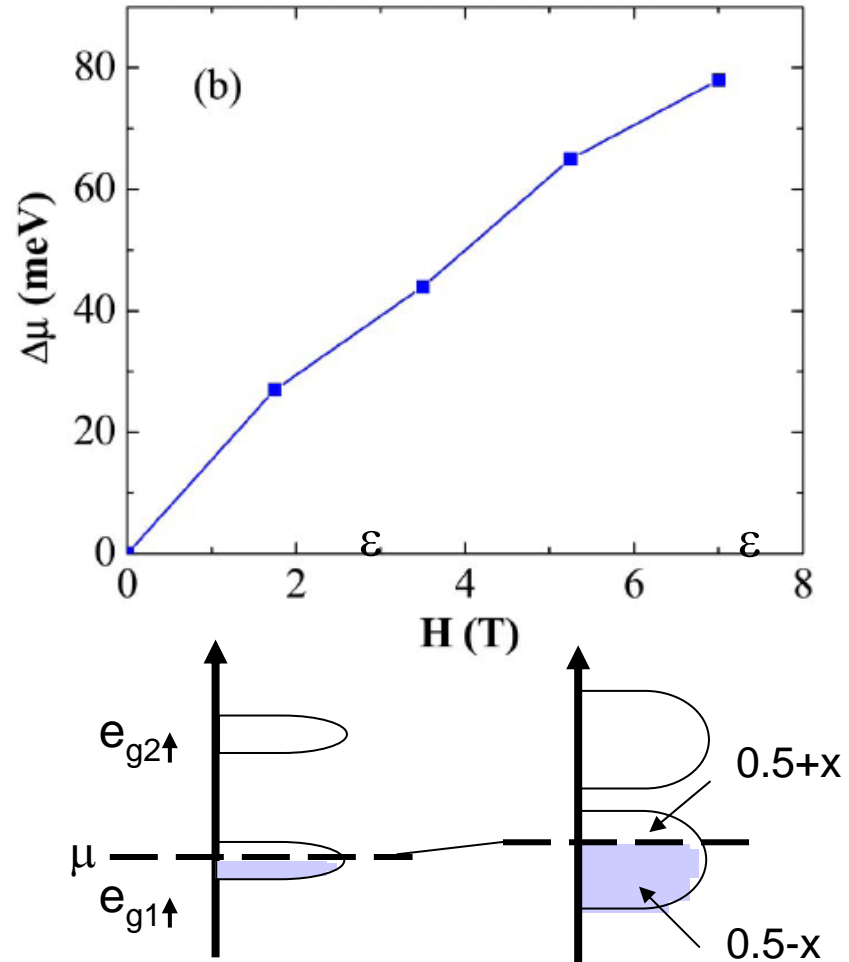
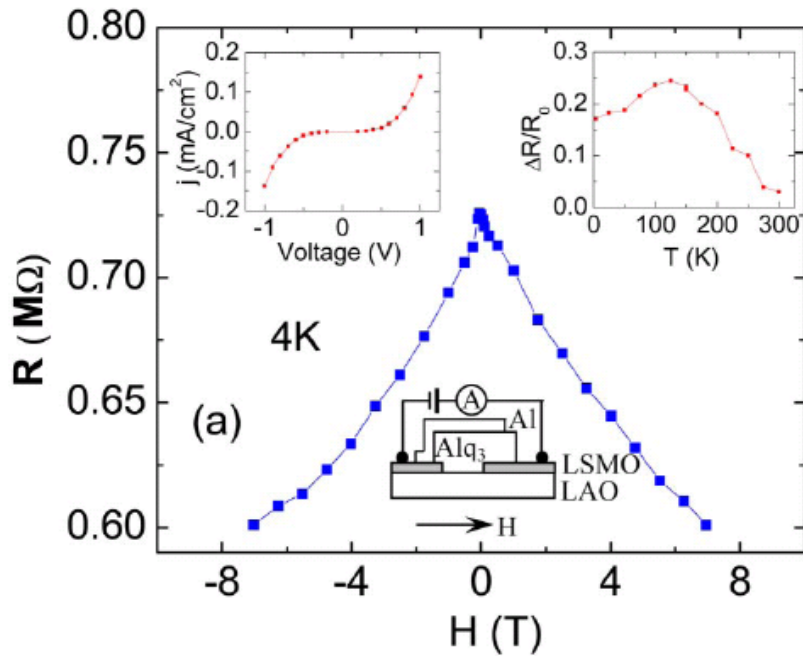


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- Dependence on structural distortion
- Dependence on temperature
- Dependence on

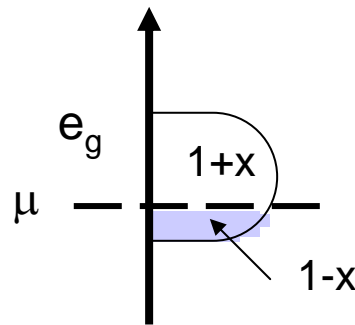


Magnetic field-induced chemical potential shift in $\text{La}_{2/3}\text{Sr}_{1/3}\text{MnO}_3$ /organic conductor junction

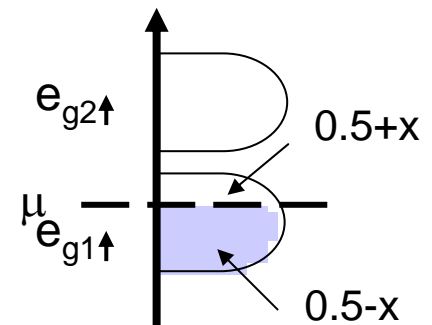


Expt vs double-exchange model from chemical potential shift in manganites

Degenerate $e_g \uparrow$ band
 ε



JT-split $e_g \uparrow$ band
 ε



Doping	OK	OK
Chemical pressure	OK	NG
Temperature		
cubic compound	NG	OK
layered compound	OK	NG
Magnetic field	NG	OK

Conclusion

- Chemical potential shift can be measured by several methods including photoemission spectroscopy and give the following unique information:
- Doping-dependent chemical potential shift
 - Fermi-liquid behavior
 - Magnitude of transport gap (< optical gap)
 - Incommensurate (“stripe”) fluctuation/order
- Pressure-dependent chemical potential shift
 - Double exchange in manganites?
- Temperature-dependent chemical potential shift
 - Degenerate Fermi liquid vs ionic hopping
 - Carrier sign and its change
 - Double exchange in manganites?