

5 July 2001  
R. Thomas

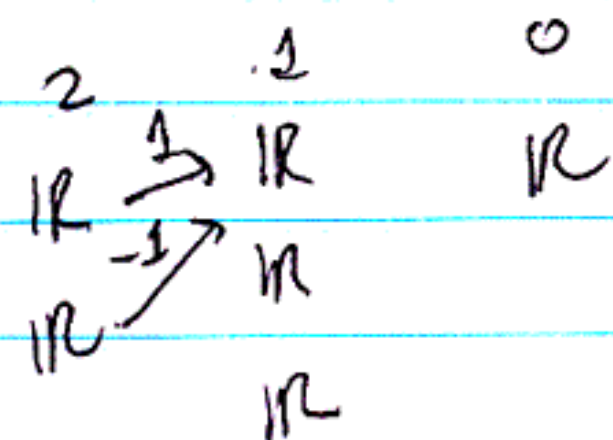
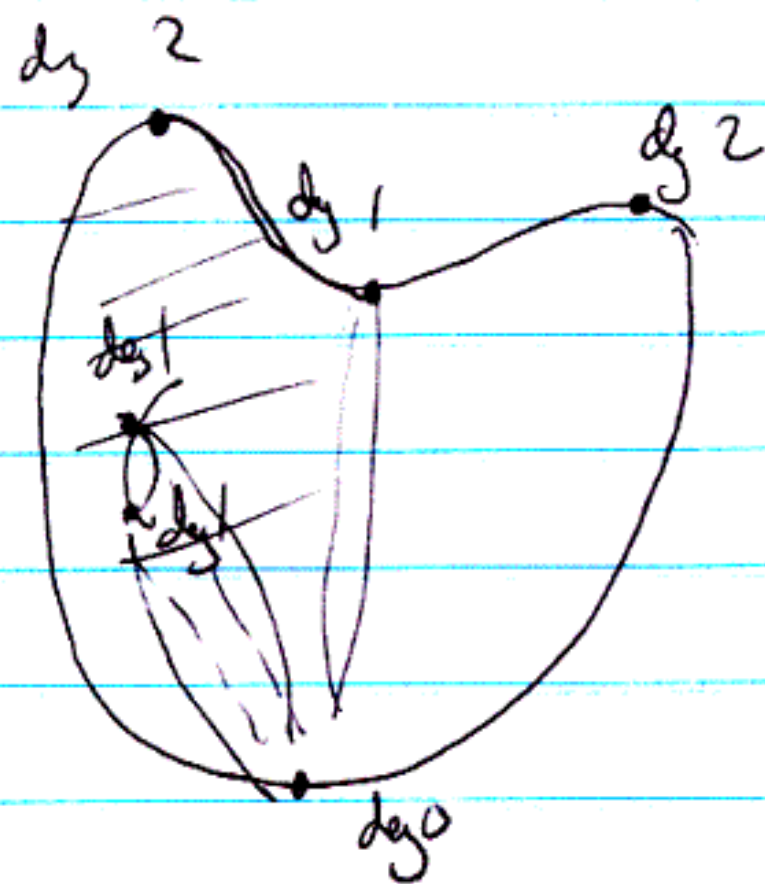
Intro to Floer homology

Morse theory  $f: X \rightarrow \mathbb{R}$

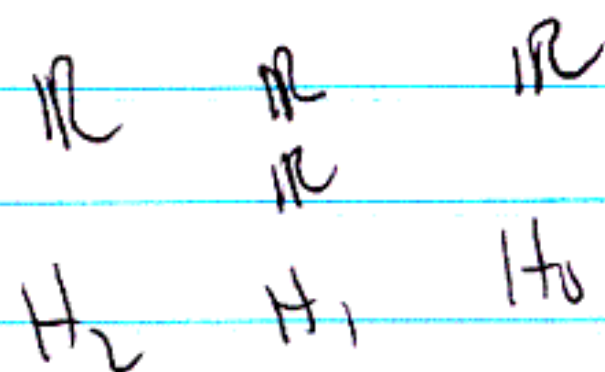
$\oplus$   $\mathbb{R}_i$   
 $P_i \in \text{Crit}(f)$   $\uparrow$   
 Grading degree  $\text{ind}_{P_i}(f)$

around  $P_i$ ,  $f = \sum_{i=1}^{n-p} x_i^2 - \sum_{i=p+1}^n x_i^2$

$\mathcal{D}: \text{deg}_i \rightarrow \text{deg}_{i-1}$  part  $\mathbb{R}$  counts gradient flow lines of  $f$  from  $\text{deg}_i$  crit pts to  $\text{deg}_{i-1}$ .



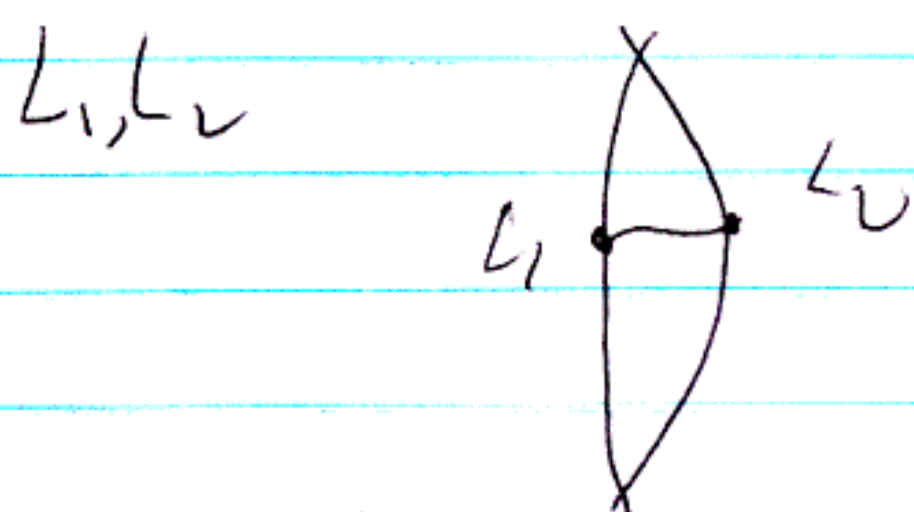
homology



Inf. dim  $\Rightarrow$  Floer (1985?) homology for Lagrangian intersection

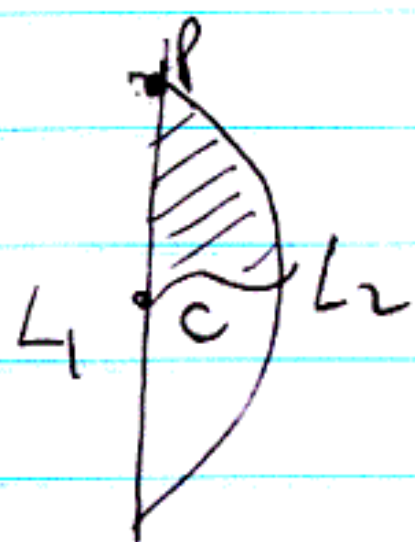
$(X^n, \omega)$  symplectic manifold.

$L^n \subseteq X$  is Lagrangian  $\Leftrightarrow \omega|_L \equiv 0$ .



$M = \{ \text{curves in } X, \text{ one boundary on } L_1, \text{ one boundary on } L_2 \}$

Symplectic action functional or symplectic area



$$f(C) = \int_p^c \omega$$

Gradient flow lines Choose a metric on  $X$ , which gives almost complex structure  $J$ .

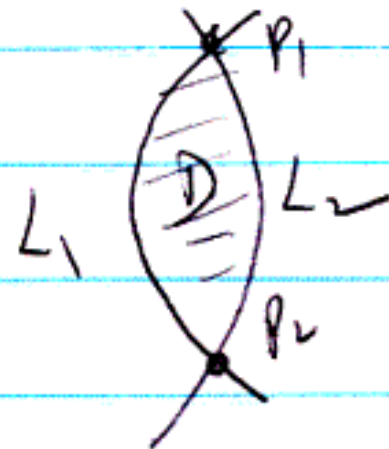
Gradient flow lines are holomorphic discs.

Critical points of  $f$ : constant curves  $C$  i.e. intersection points of  $L_1 \cap L_2$ .

Relative indices

Fix index  $P_1 \in L_1 \cap L_2$  to be zero

Define index  $P_2$



(given a ~~transverse~~ disc  $D$ )

$$TX|_D \text{ trivial} \Rightarrow \text{trivialization of } KX|_{\partial D} \\ \parallel \\ \Lambda^n_{\mathbb{C}} TX$$

$$\Lambda^n_{\mathbb{R}} TL_1 \in \Lambda^n_{\mathbb{C}} TX \text{ winding no.} = \text{Maslov class.} \\ = \text{v. dim. of } \mathcal{M}_{\text{holo discs}}(P_1, P_2) \\ (\text{vol. winds})$$

"Count" hol. disc in moduli space of dim 0 to get boundary op between crit. pts. whose indices differ by 1.

Complex "counting" homology of space of open strings.

2 probs

• analysis - monotone mflds, Fano's...

\ CY mflds: can be defined iff a certain obstruction

$$\in H^2_{\mathbb{R}}(L) \text{ vanishes}$$

(Superpotential is critical)

• grading - different homology classes of disc give different indices.

$$\Rightarrow H_{\text{odd}} \text{ is } \mathbb{Z}/N \text{ graded instead of } \mathbb{Z} \text{ graded.}$$

CY case - use homology class of  $D$  to trivialize  $K_X$ ;  
 in CY case this is canonically trivialized by  $\Omega$ .

$\Omega$  for Lagrangians of Maslov class zero, HF is  $\mathbb{Z}$ -graded.

$$\Omega|_L = e^{i\theta} \text{vol}_L$$

$(d\theta) \in H^1(L; \mathbb{Z} \oplus \mathbb{Z})$  is the Maslov class

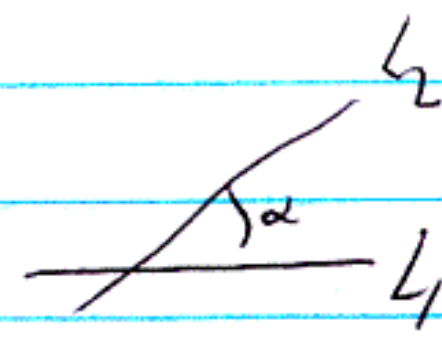
Graded Lagrangian in  $(L, \theta)$ ,  $\theta: L \rightarrow \mathbb{R}$  and  $e^{i\theta} \text{vol}_L = \Omega|_L$ .

$$(L_1, \theta_1), (L_2, \theta_2), p \in L_1 \cap L_2$$

$T_p X$  choose coords st.  
 $x_i, y_i$   
 $\Omega = dx_1 \dots dx_n$

$$L_1 = \{y = 0\}$$

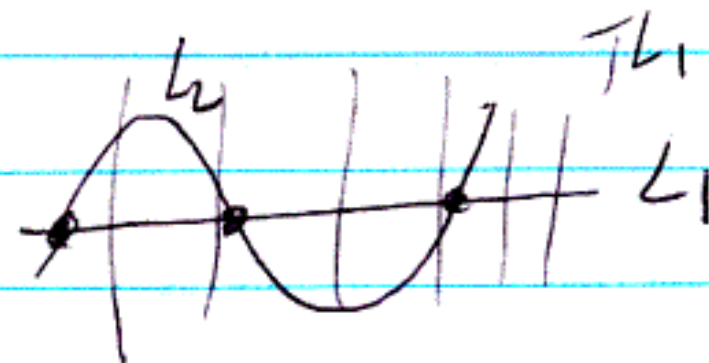
$$L_2 = \{y_i = (\tan \alpha) x_i\}$$



$$\theta_2 - \theta_1 = \sum \alpha_i + N\pi \quad N \in \mathbb{Z}$$

$$\text{def } N = \text{ind}_p((L_1, \theta_1), (L_2, \theta_2))$$

$$L_1 \subseteq T^*L_1 \quad L_2 = \text{graph } df$$



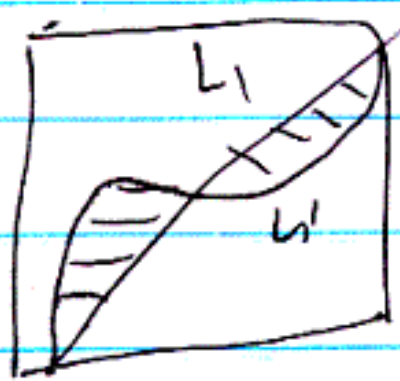
Index = Morse Index ( $f$ )

Holo discs  $\leftrightarrow$  gradient flow of  $f$

$$(Oh) \quad HF_{\mathbb{R}}^*(L_1, L_2) = H_{\mathbb{R}}^*(L)$$

Globally  $\exists$  Oh's spectral sequence  $H^*(L, \mathbb{R}) \Rightarrow HF^*(L_1, L_2)$

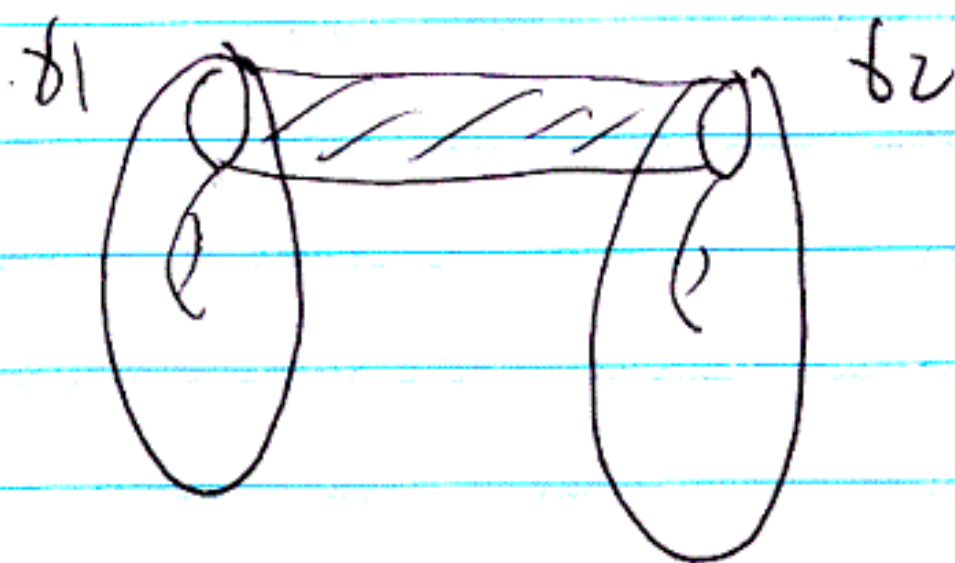
$HF^*(L_1, L_2)$  indep. of Hamiltonian debs of  $L_i$



$$\int_{L_1}^{L_2} \omega = 0.$$

(cotangent case  $\leftrightarrow$  closed 1-form is exact)

~~HF(L, L)~~



$$\int_{\delta_1}^{\delta_2} \omega = 0 \quad \forall \delta_i \in L_1$$

$E_1$     $E_2$    vector bundles in  $(Y)$   
 HYM   HYM



(poly) stable v. bundles

$$H^1(E_2^* \otimes E_1) = \text{Ext}^1(E_2, E_1)$$

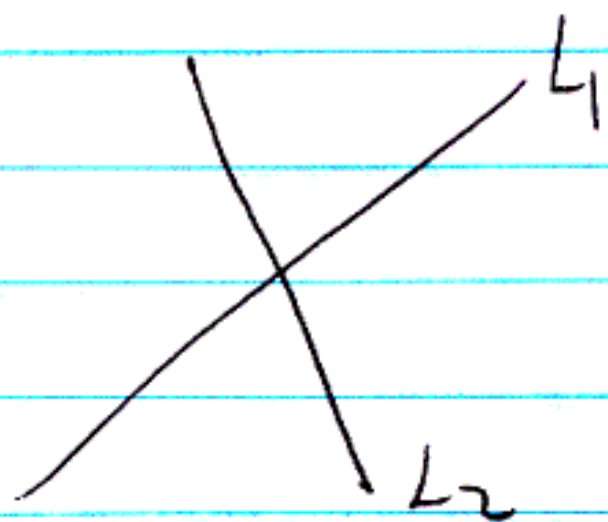
$$0 \rightarrow E_1 \rightarrow E \rightarrow E_2 \rightarrow 0$$

Conn.  $\begin{pmatrix} A_1 & e \\ 0 & A_2 \end{pmatrix}$     $e \in \Omega^1(\text{Hom}(E_2, E_1))$

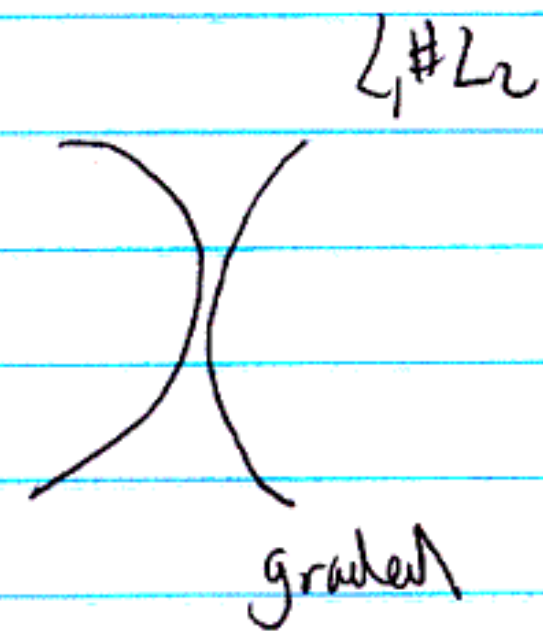
$E$  stable  $\Rightarrow \exists$  gauge transform to HYM.

$L_1, L_2$     $\cap$  pt degree 1   HF $^1$

$\exists$  "Lagrangian #"  
 $L_1 \# L_2$

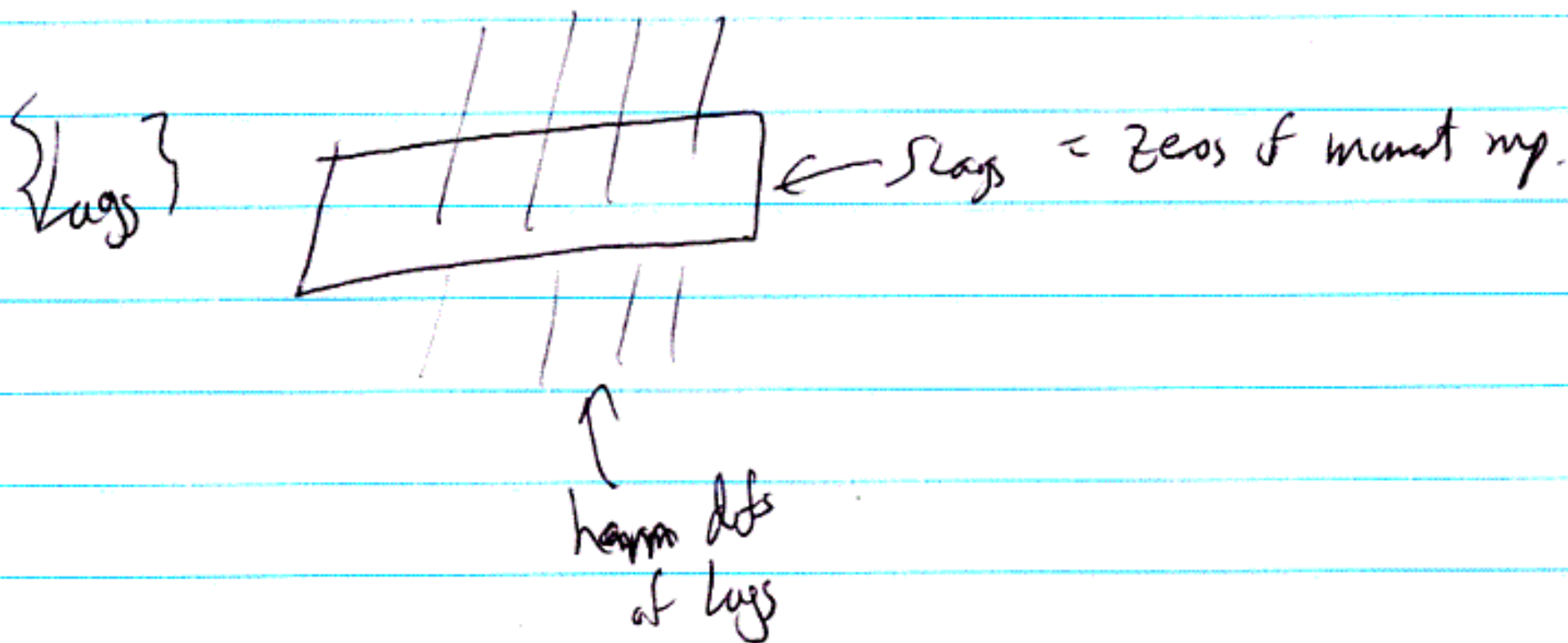
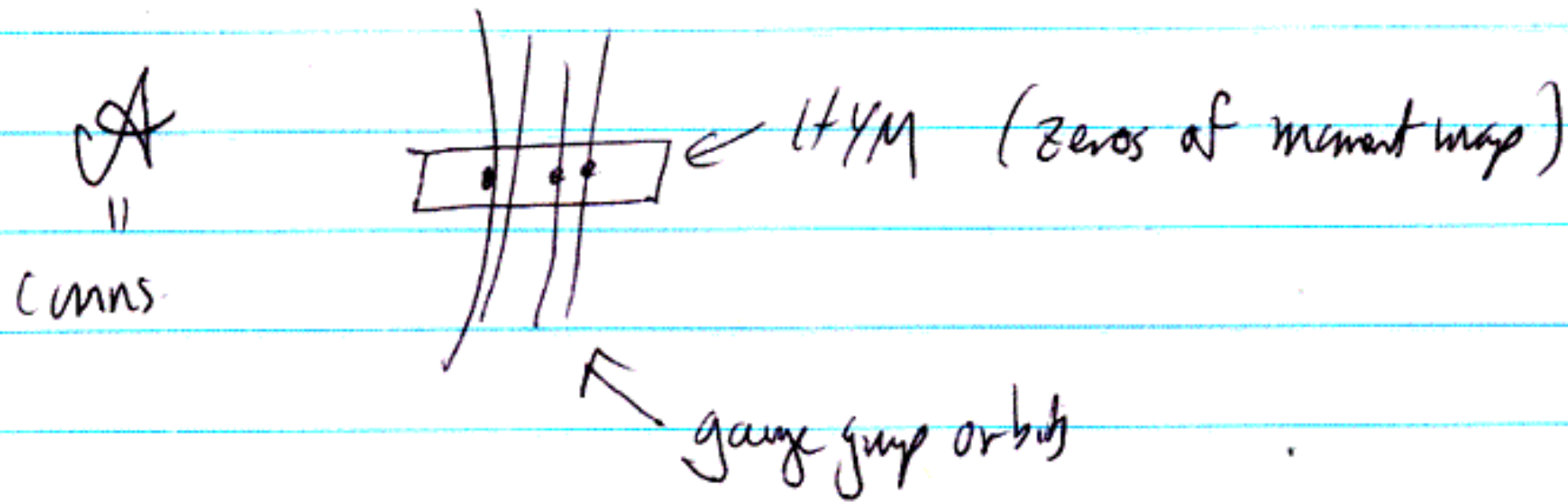


glue in  
 local mod  $\rightarrow$

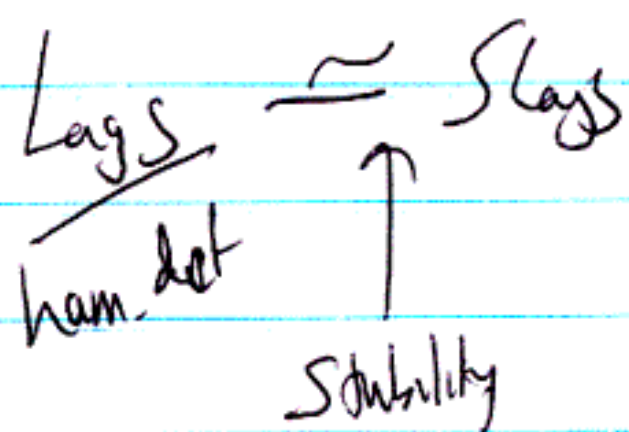


dim?  $\rightarrow$  SLag

HYM Moment map (Atiyah-Bott, Donaldson)



Thm If  $HF^*(L, L)$  is defined then  $\exists$  at most one Slag in its ham. det. orbit.



Pf  $L_1, L_2$  smooth Slags,  
ham. det. equiv.

$$HF^*(L_1, L_2) \leftarrow HF^*(L)$$

$$[F000] \quad H^0(L) \cong$$

$\exists$  a pt of  $L_1, L_2$   
 $f$  has a minim.

If transverse,  $dy \neq 0$  then locally  $L_2 = \text{graph } f \subseteq T^*L_1$ .  
Slag  $\Rightarrow d^*df = 0 \Rightarrow f$  does not have minim. by  
max. principle.

$L_1 \cap L_2$  small conn. cpt of  $\text{graph } f$  in  $L_1$

$$X \simeq T^*L_1$$

$$L_2 = \text{graph}(f)$$

$$d^*df = 0$$

$f$  has min. on boundary of neighborhood (max principle)

Morse theory / handle cancellation

paths  $f$  st.  $\#$  on int.  $\neq$  is Morse

ham. det. of  $L_2$  st. all  $\cap$  pts. with  $L_1$  have dyne  $\neq 0$ .

$$L_1 \neq L_2$$