

Kinetics and Anisotropy in Nanoparticle Assembly (from the perspective of a simulator)

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Self-Organization Processes

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CRC 1411

DESIGN OF
PARTICULATE
PRODUCTS

Materials from nanocrystals or colloids

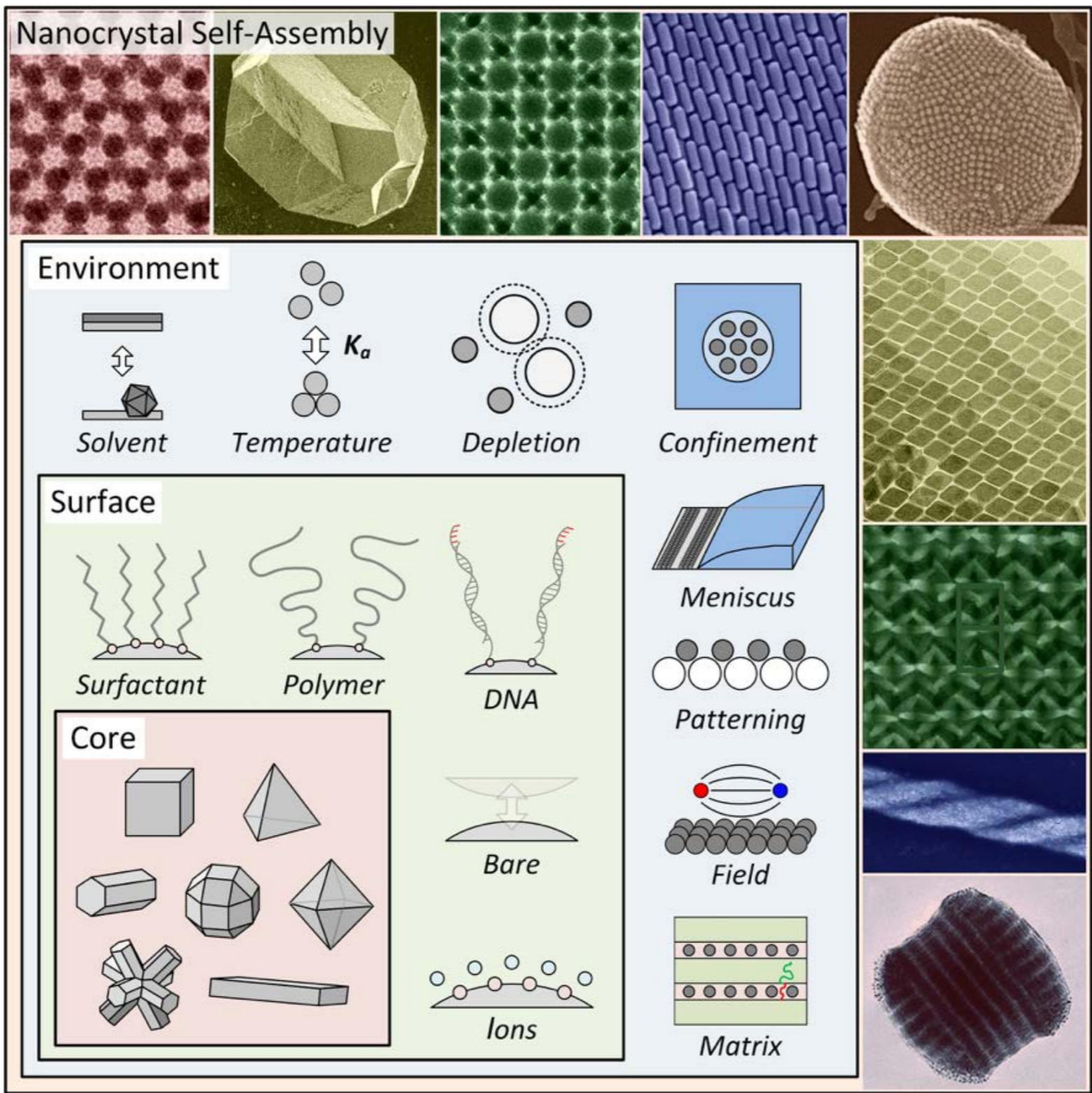
Characteristic length scale

10 μm - 10 mm
assembly
the final product

100 nm - 10 μm
environment
controls the process

1-10 nm
surface
often dominates interaction

5-100 nm
core
the actual particle



Characteristic time scale

minutes - hours - days

milliseconds - seconds

nanoseconds

> microsecond

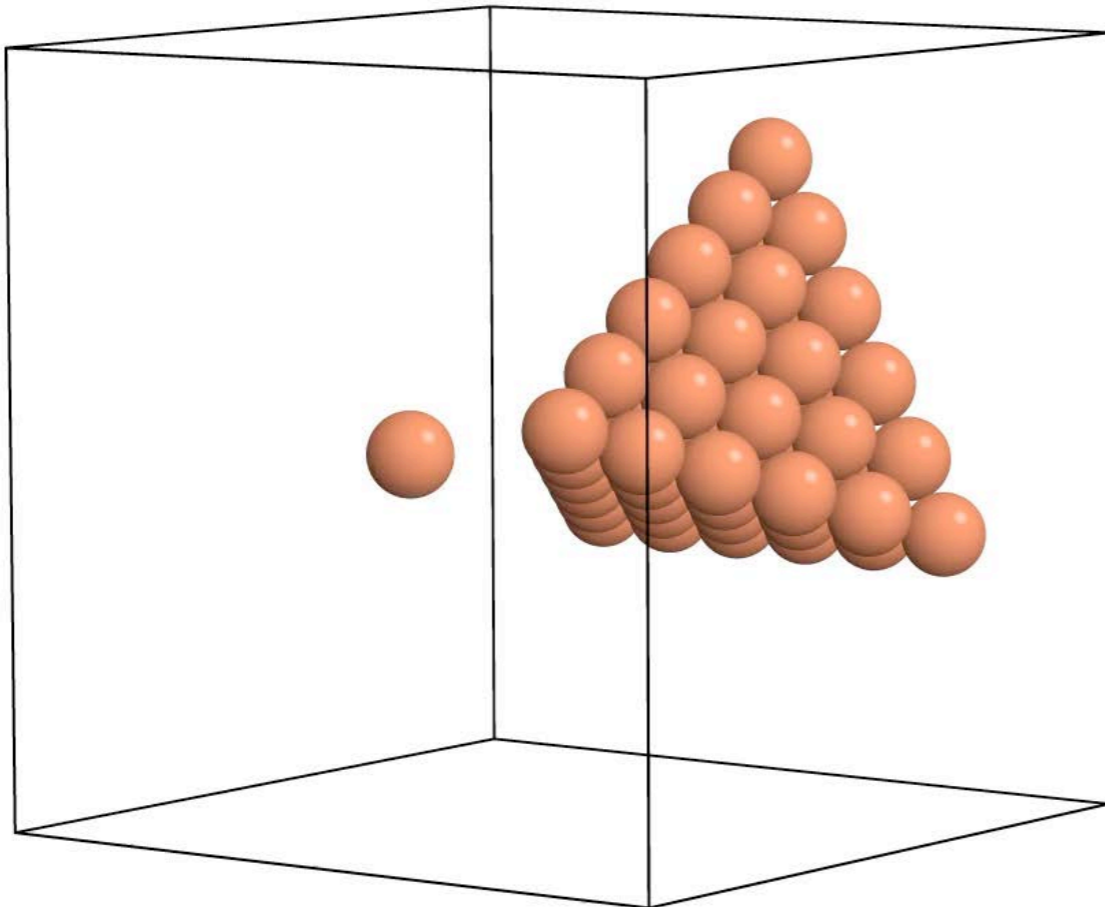
Outline

- **Self-assembly of complex crystals on the computer**
- Relationship between structure and formation pathways
- Finite magic number clusters
- Role of polydispersity
- Modeling anisotropic patchy interactions
- Simulating crystal growth (incomplete)

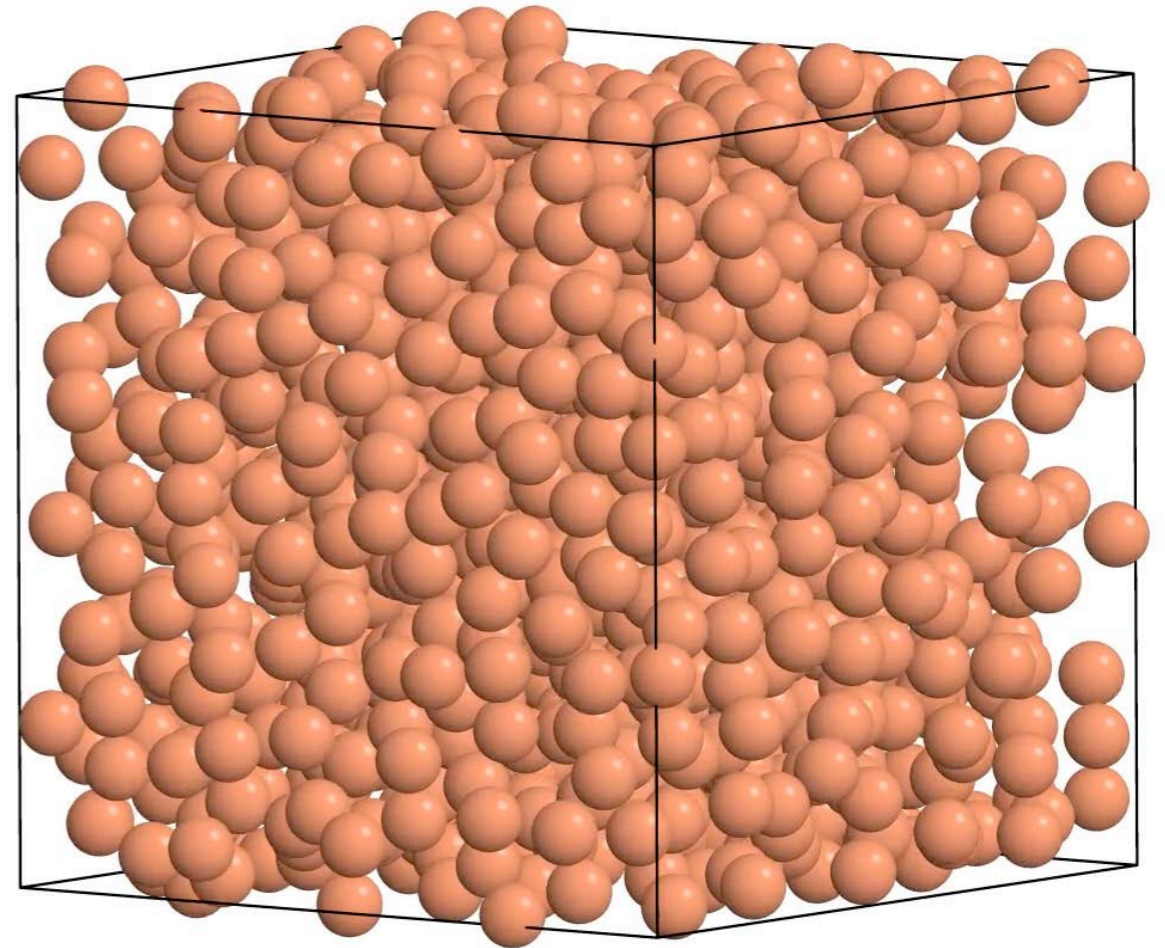
3d billiard in simulation

event-driven molecular dynamics

57 balls (spheres)



1000 spheres
volume fraction $\phi = 30\%$



Volume fraction:

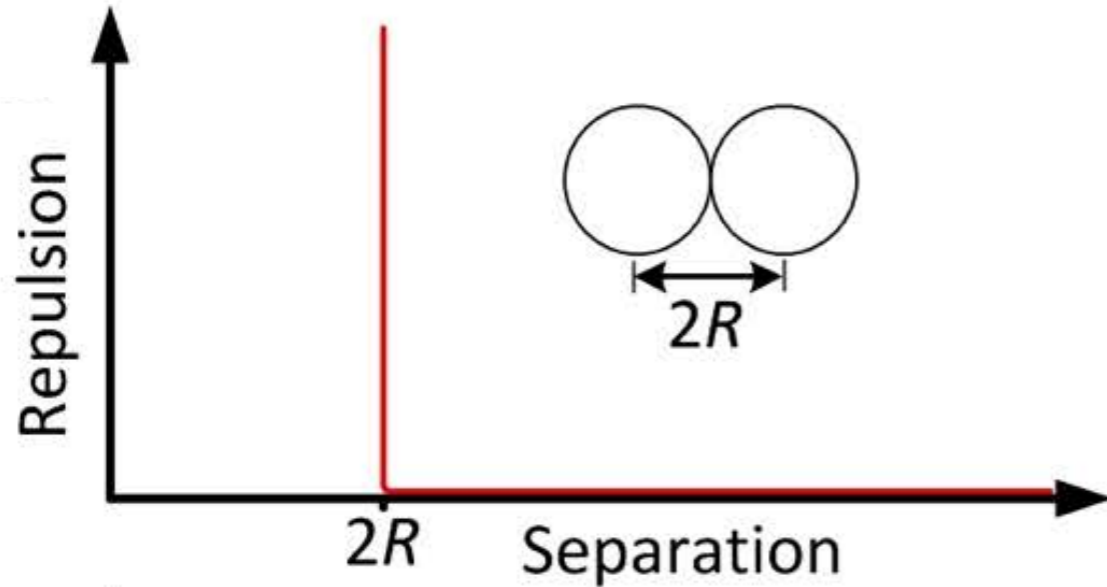
$$\phi = NV_0/V$$

particle
number

particle
volume

system
volume

Crystallization in the hard sphere model system

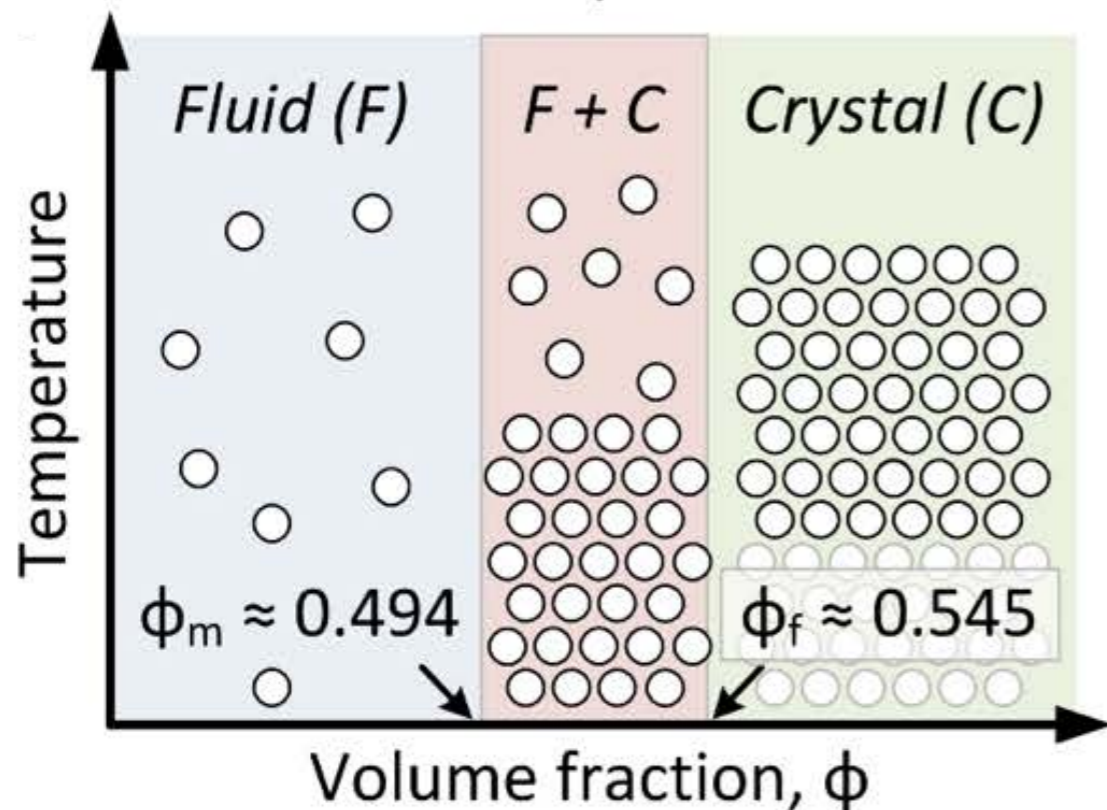


Theoretical prediction:

- Kirkwood, J. Chem. Phys. 1939

Computer simulation:

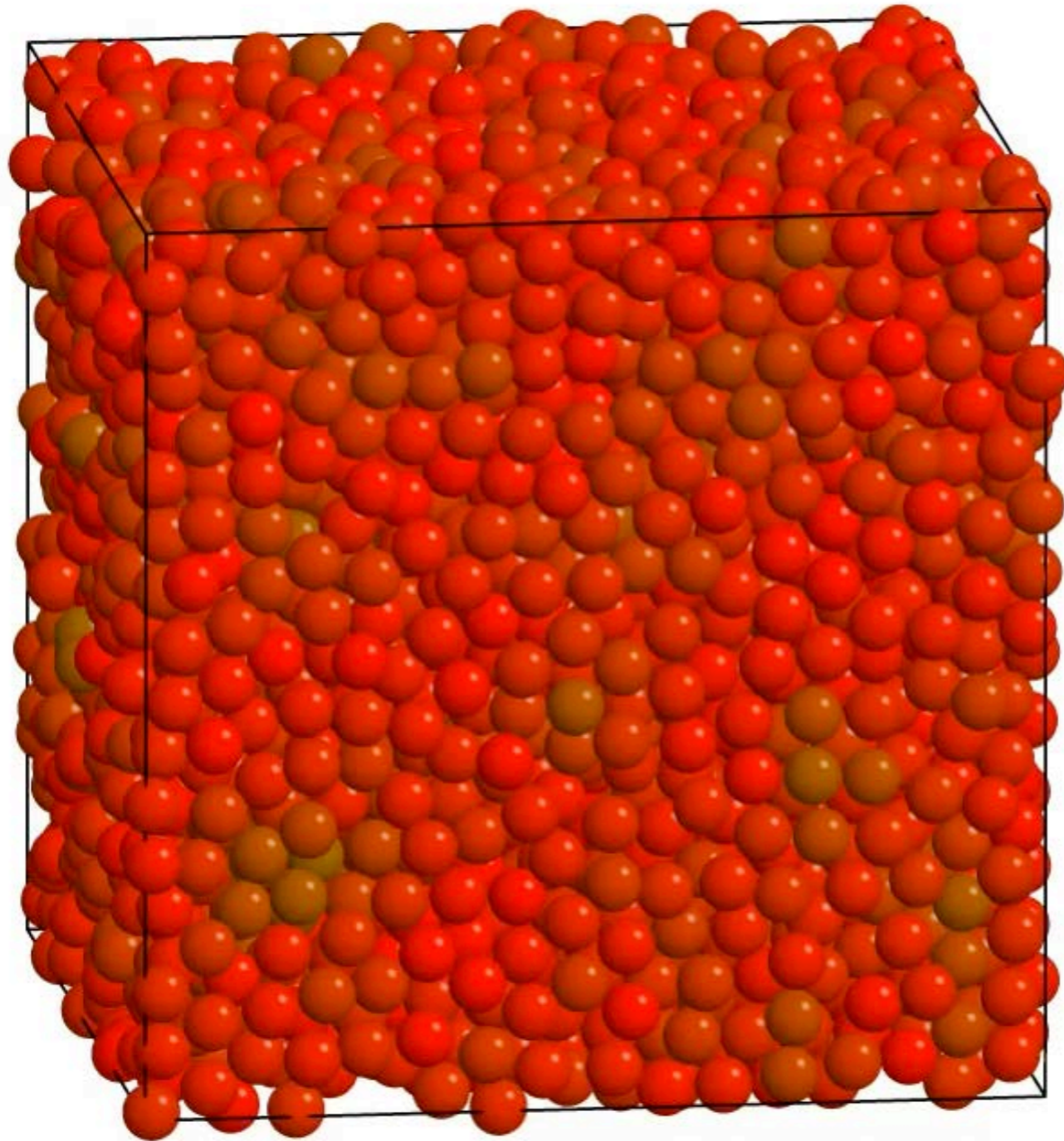
- Alder, Wainwright, J. Chem. Phys. 1957
- *UNIVAC, 32 particles, 300 collisions/hour*
- Wood, Jacobson, J. Chem. Phys. 1957



Phase diagram

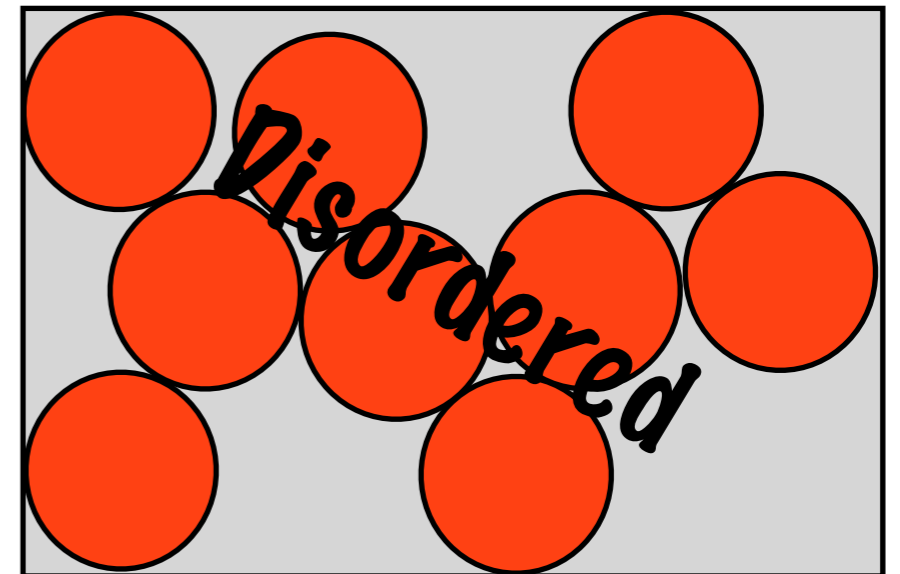
- fluid phase at low density, $\phi < 0.494$
- solid phase at high density, $\phi > 0.545$

Self-assembly simulation of the hard sphere system

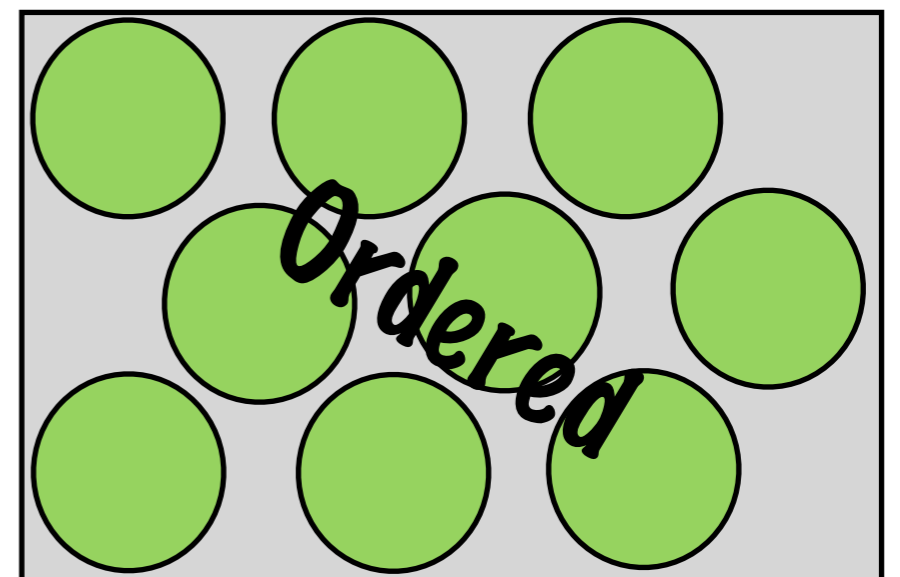


Hard sphere phase transition (1957)

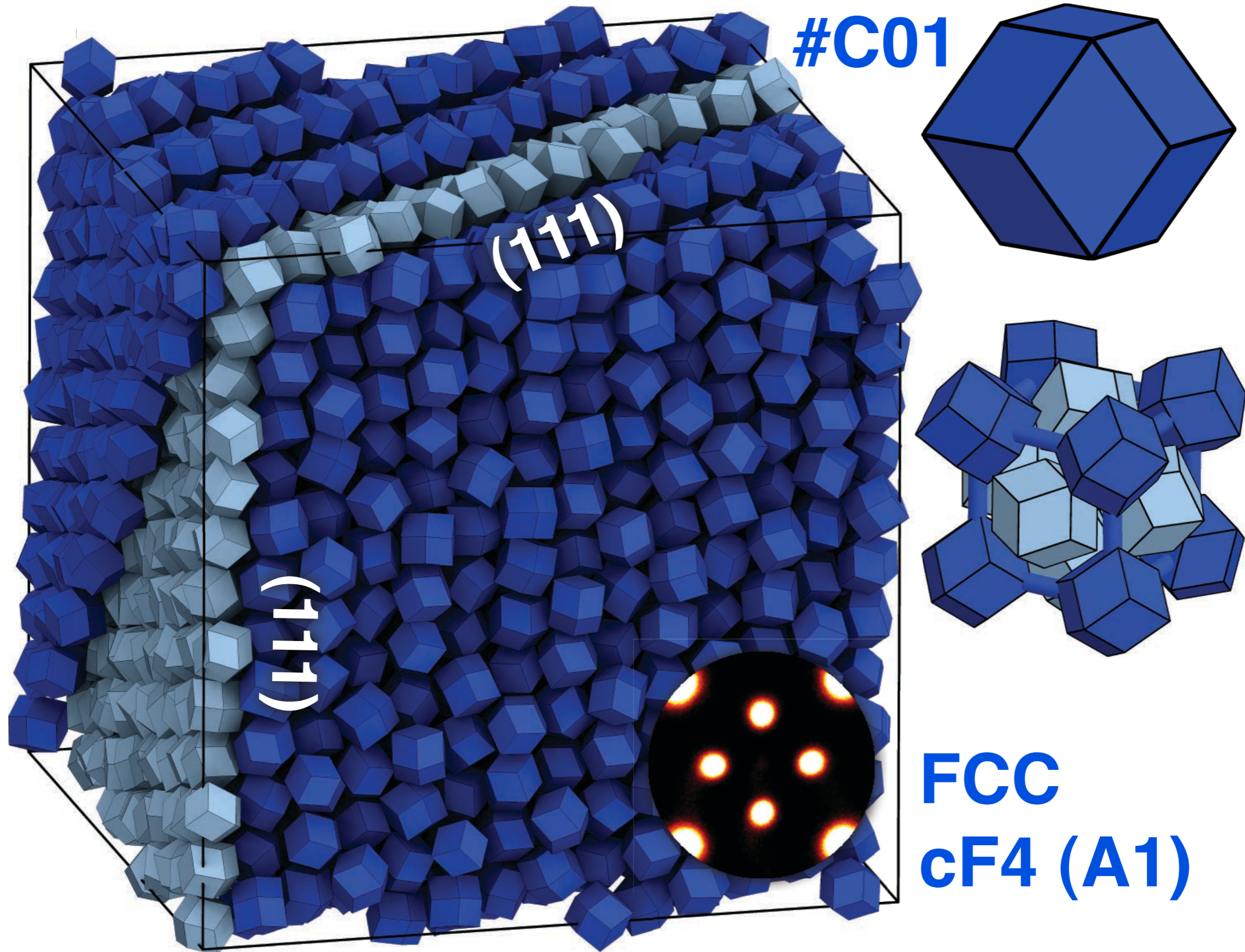
Local bond orientational
order parameter:



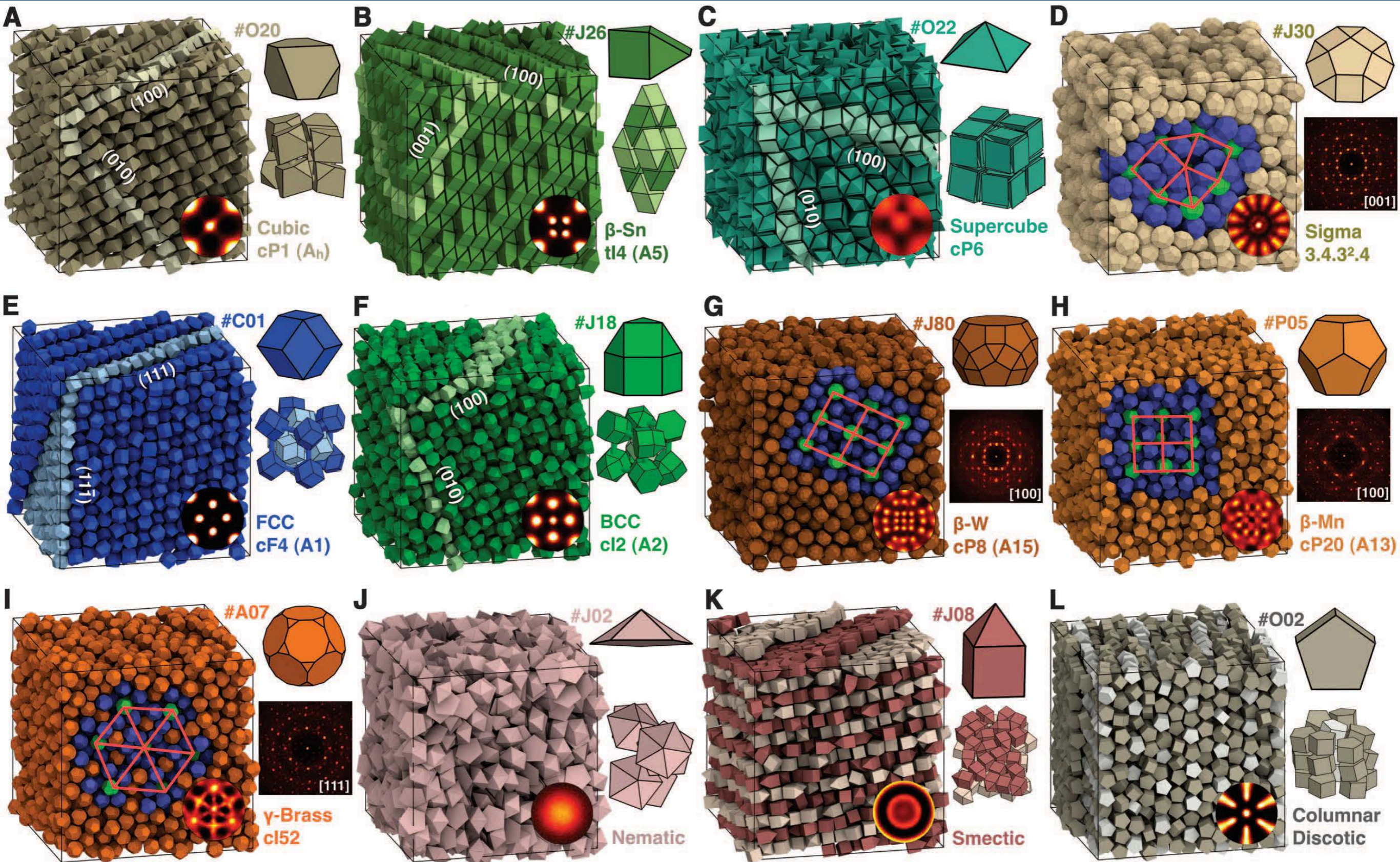
versus



Rhombic dodecahedra (FCC plastic crystal)



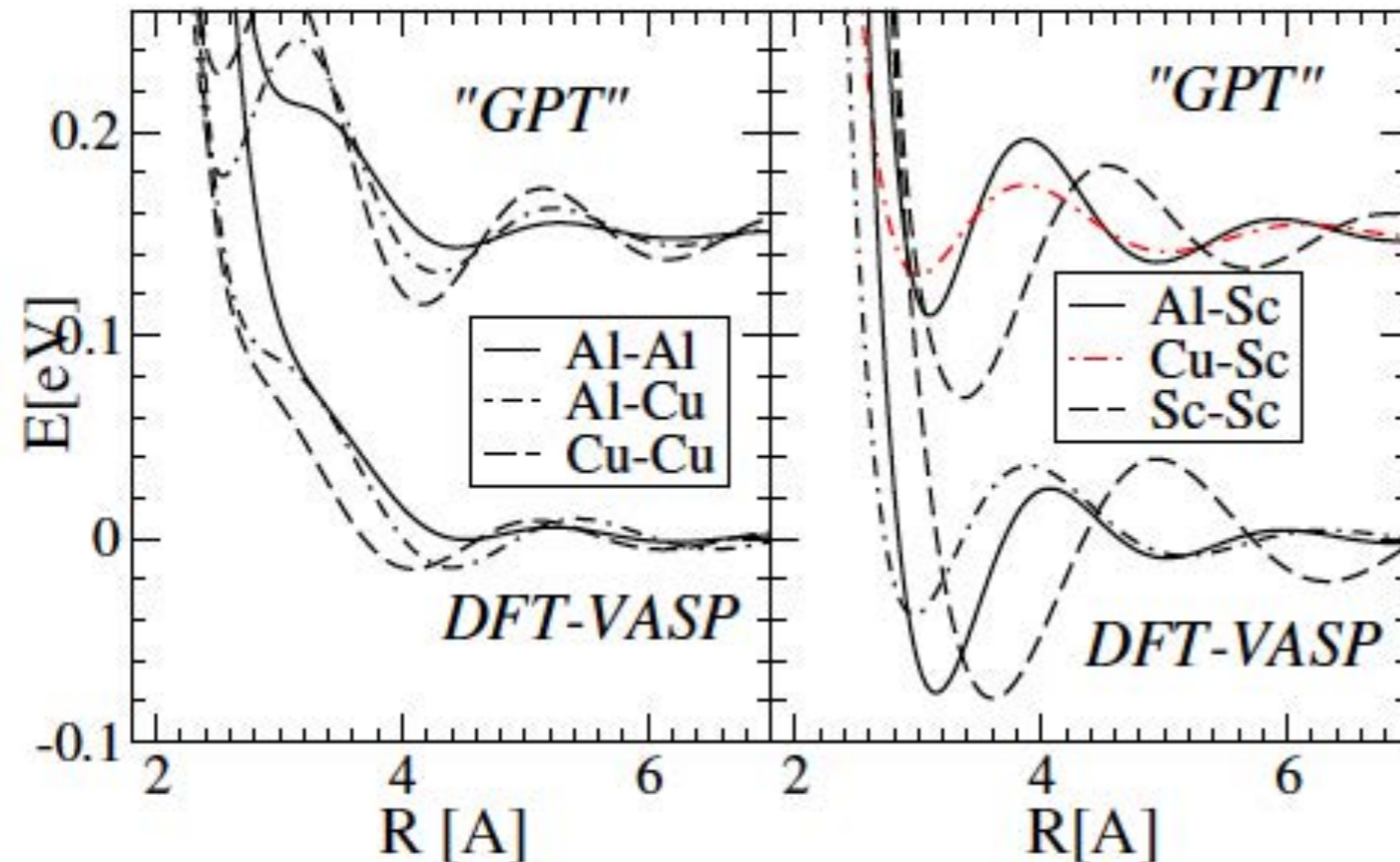
Self-assembly of polyhedra (“Polyhedron Zoo”)



Oscillatory pair potential

Fitting to VASP (ab-initio QM)

- effective pseudo-potentials for intermetallics
- Mihalkovic, Henley, PRB 85, 09210 (2012)



Characteristics:

1. short-range repulsion
2. medium-range Friedel oscillations

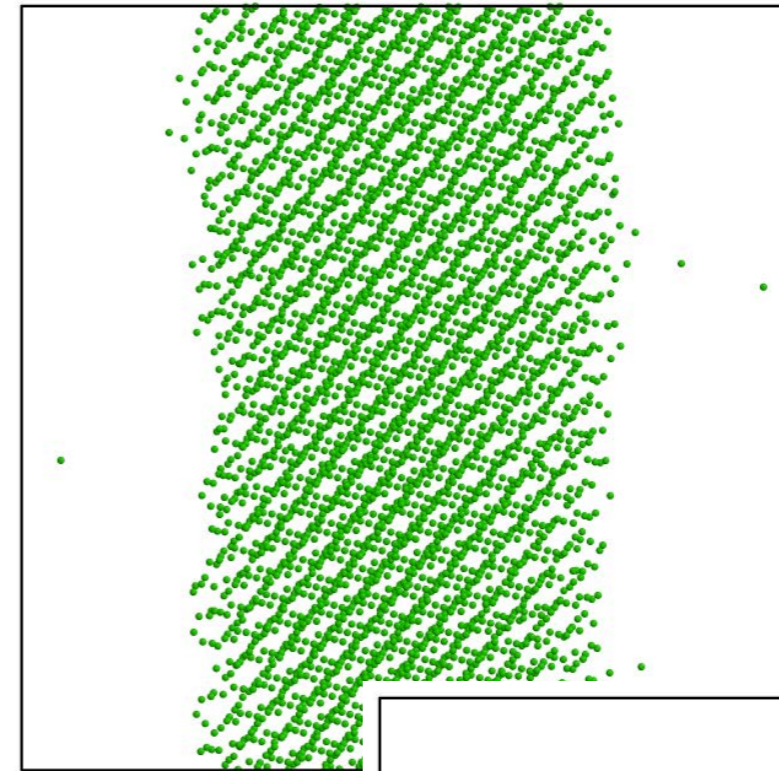
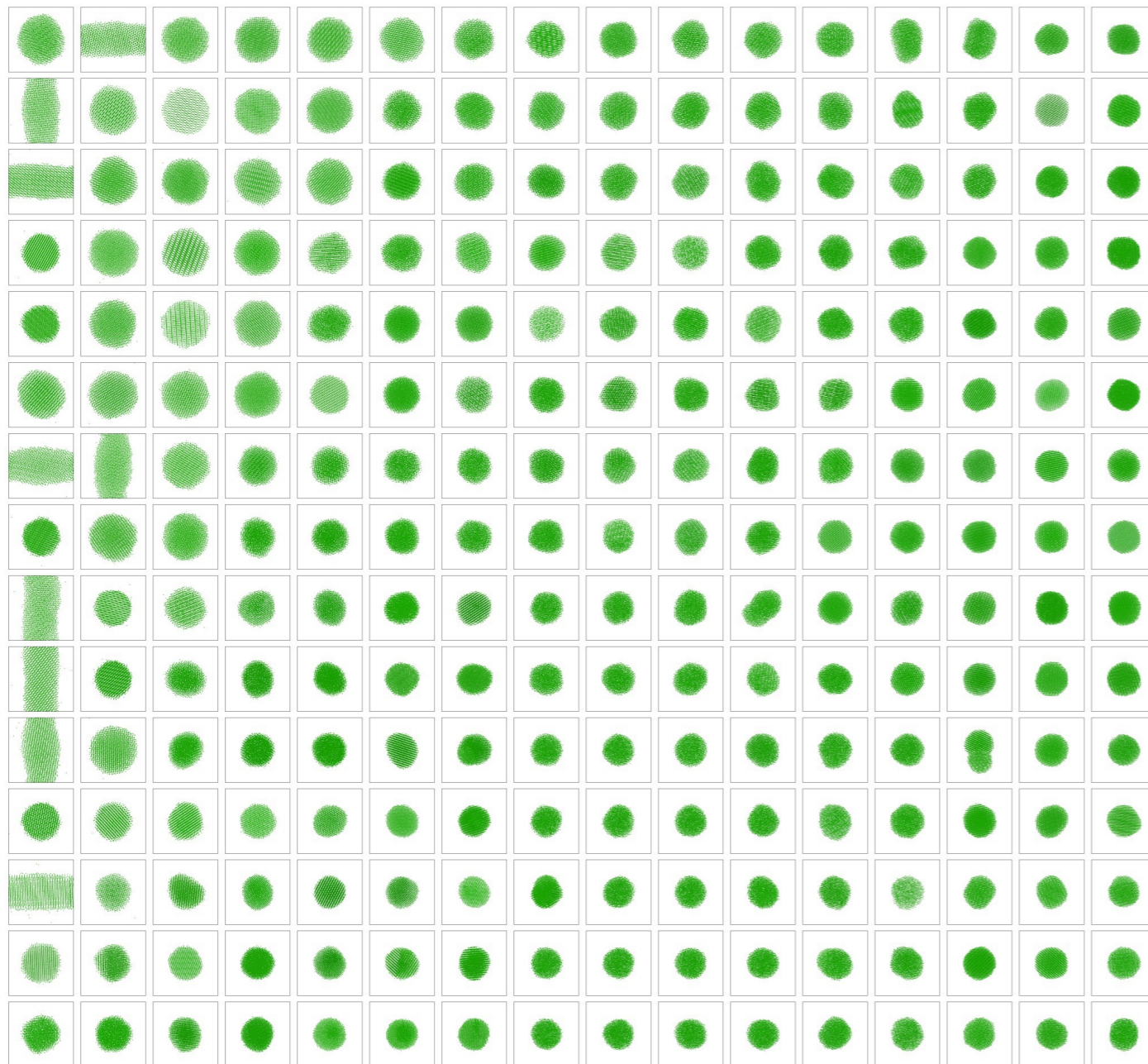
A *good guess* is the Mihalkovic-Henley oscillating pair potential (OPP):

$$V(r) = \frac{C_1}{r^{\eta_1}} + \frac{C_2}{r^{\eta_2}} \cos(kr + \phi)$$

High-throughput simulations

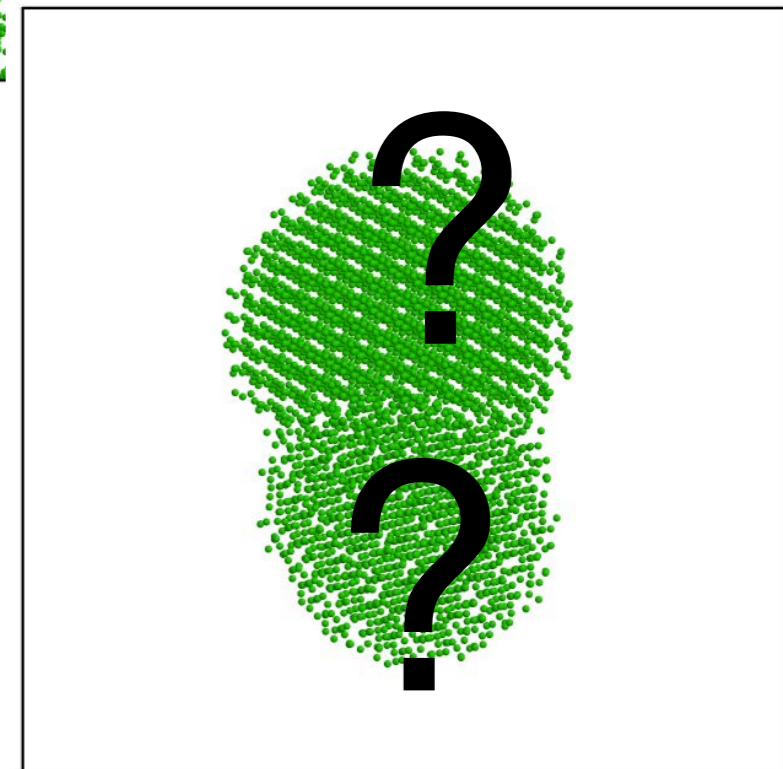
Numerical brute-force phase diagram determination

Parameter 1



columnar growth

crystal intergrowth

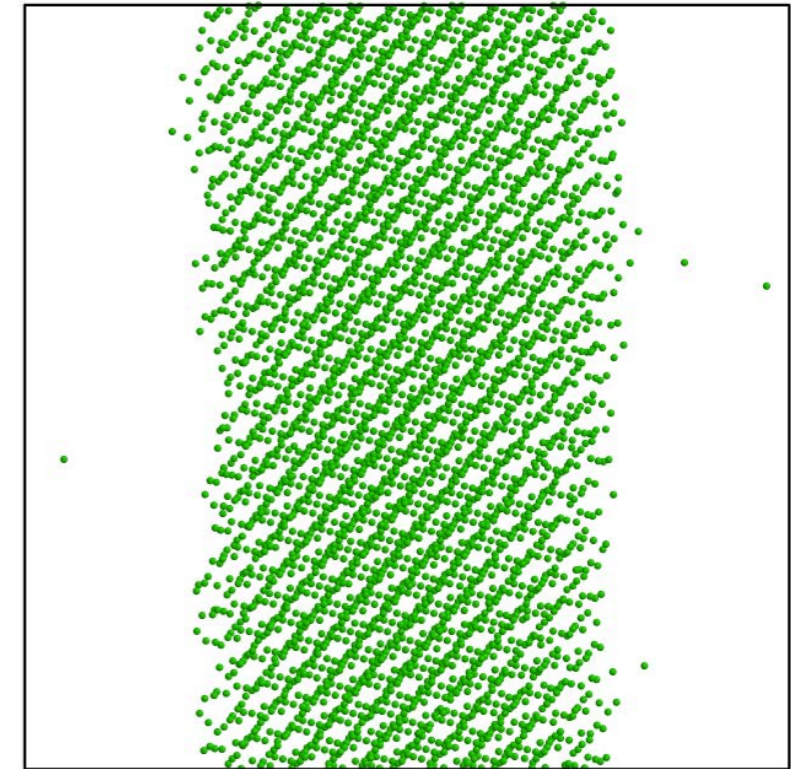


Parameter 2

Machine learning approach

Start with test configuration

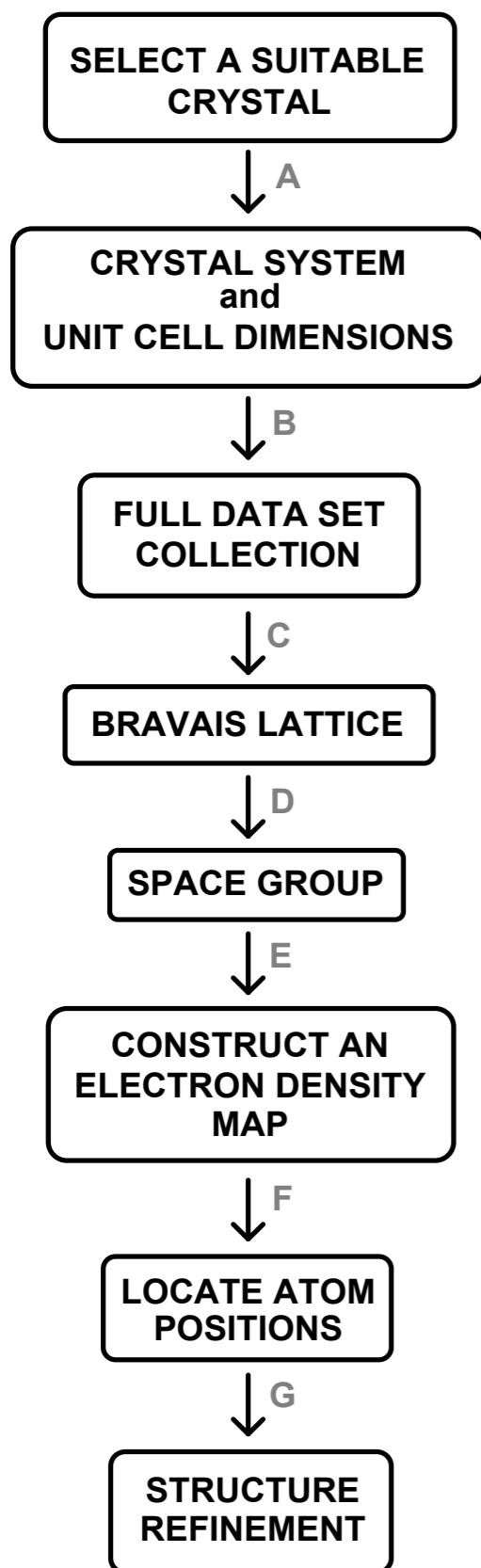
- 1) Select order parameter
- 2) Generate list of reference configurations
- 3) Measure order parameter
 - possibly train neural network
 - include defects? interfaces?
- 4) Compare test configuration



But:

- Is the list of reference configurations complete?
- What are good order parameters?
- How can we quantify order and symmetry, not just classify?

Structure solution at the atomic scale ... in experiment



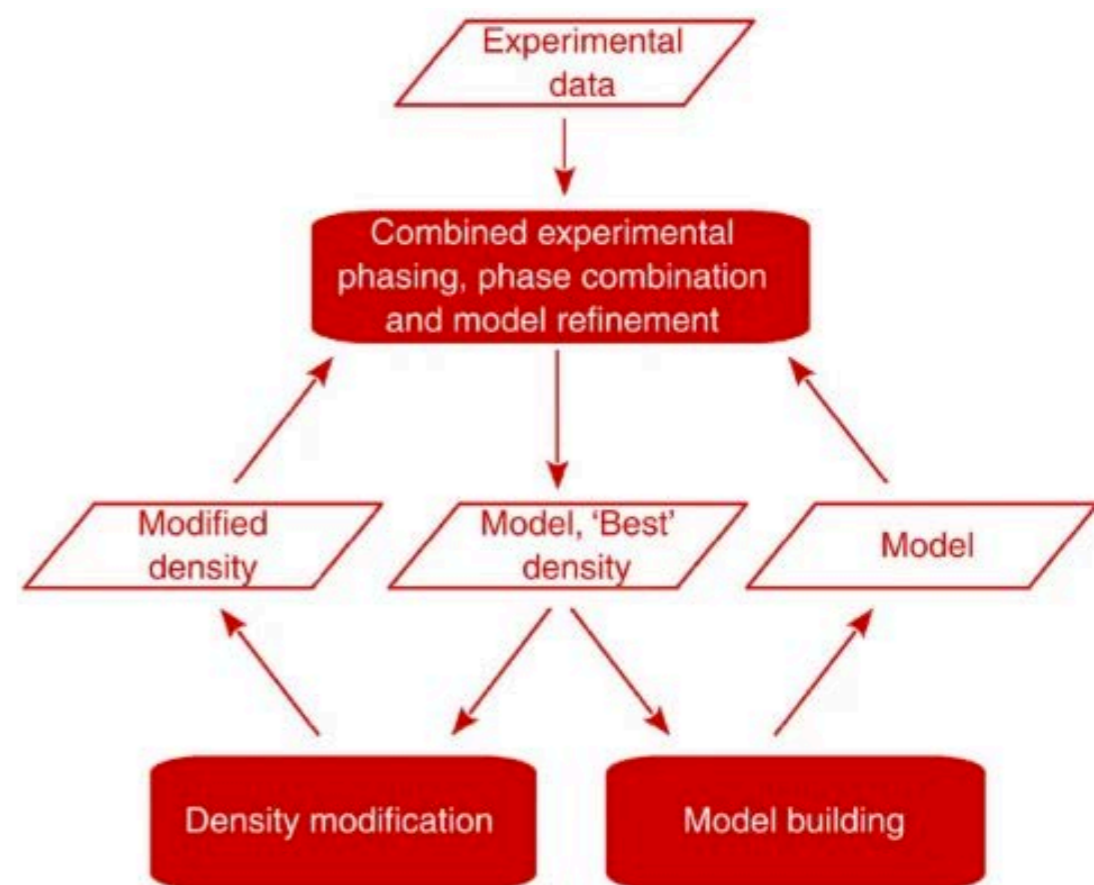
Why not do the same in simulation?

- smaller systems
- less equilibrated

Our advantage:

- we know the positions of all particles
- can avoid detour via reciprocal space
- phase problem is irrelevant

Phase problem



Nature Commun. 4, 2777 (2013)

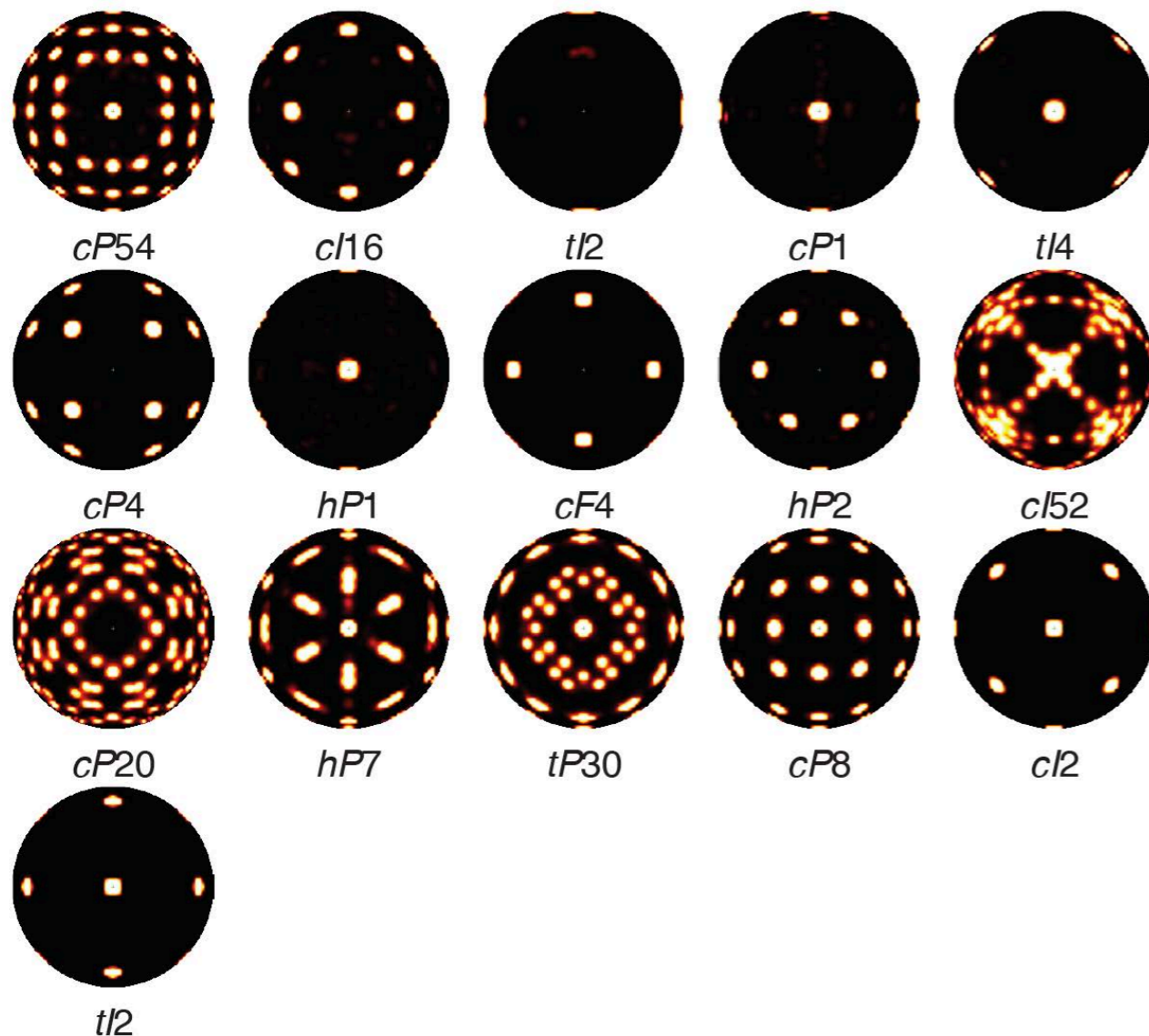
Is structure solution from simulation data necessary?

Bond-orientational order diagrams as “fingerprints”

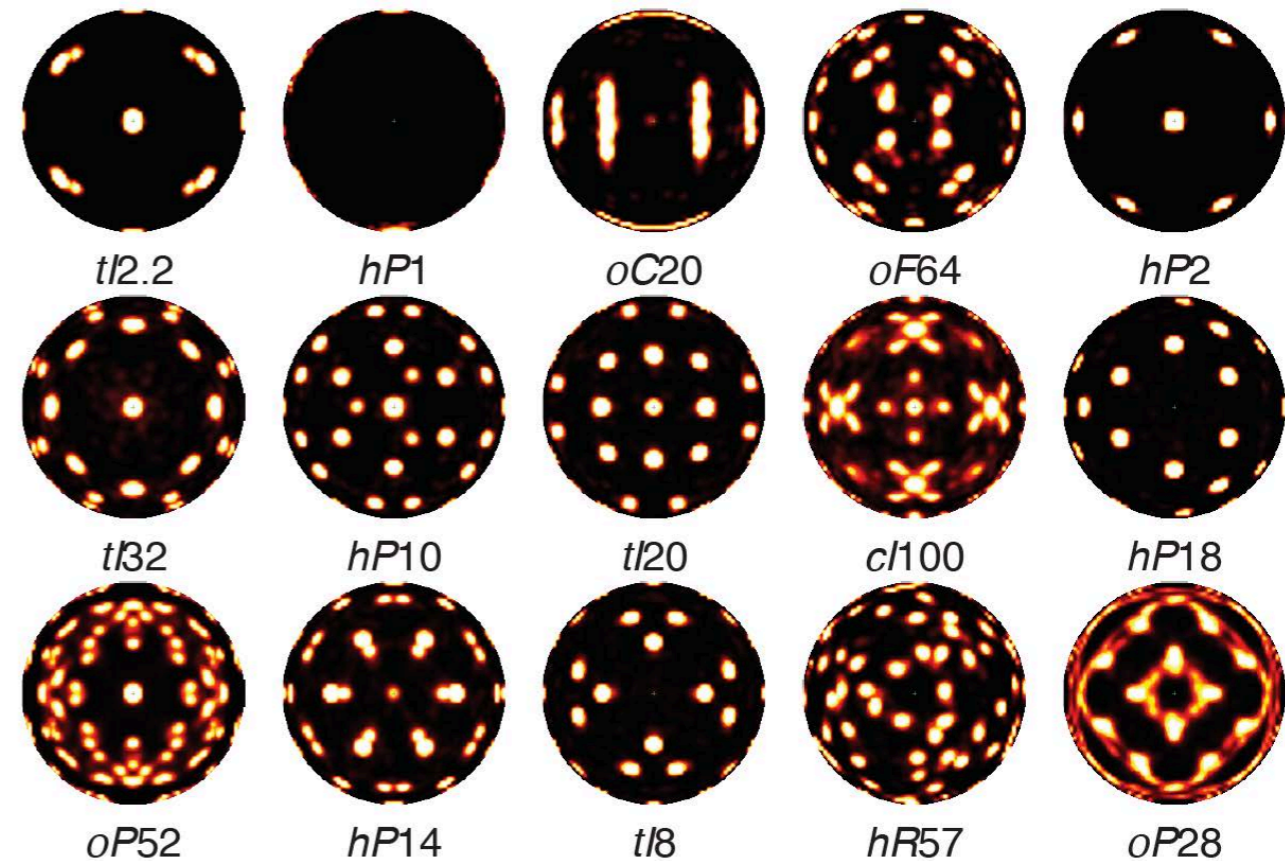
Crystallography on simulation data

- M. Engel, “Point Group Analysis in Particle Simulation Data”
<https://arxiv.org/abs/2106.14846>
- Web applet for particle simulation data
early glimpse: <https://dev.engellab.de/PGquant.html>

16 known crystal structures



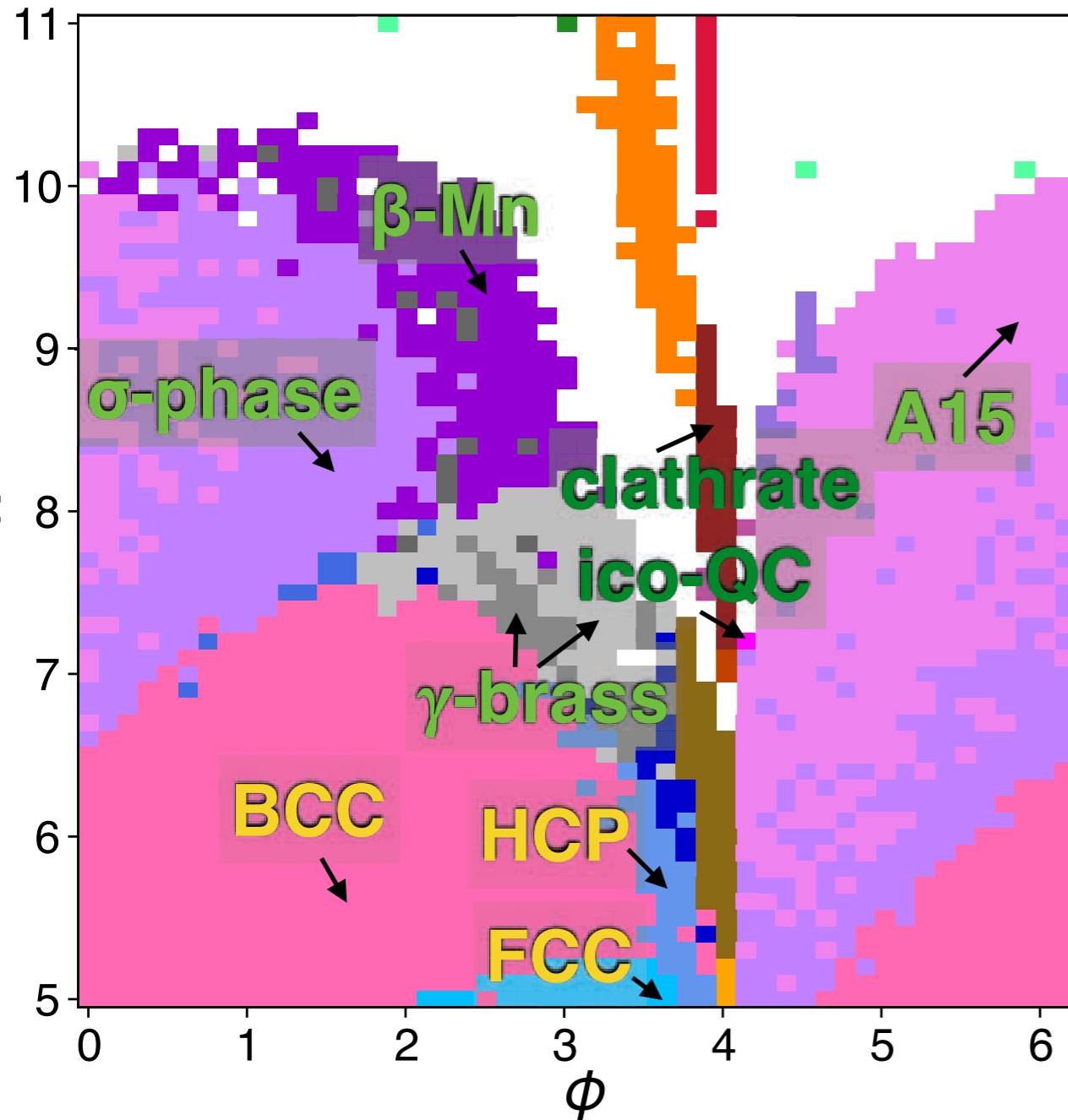
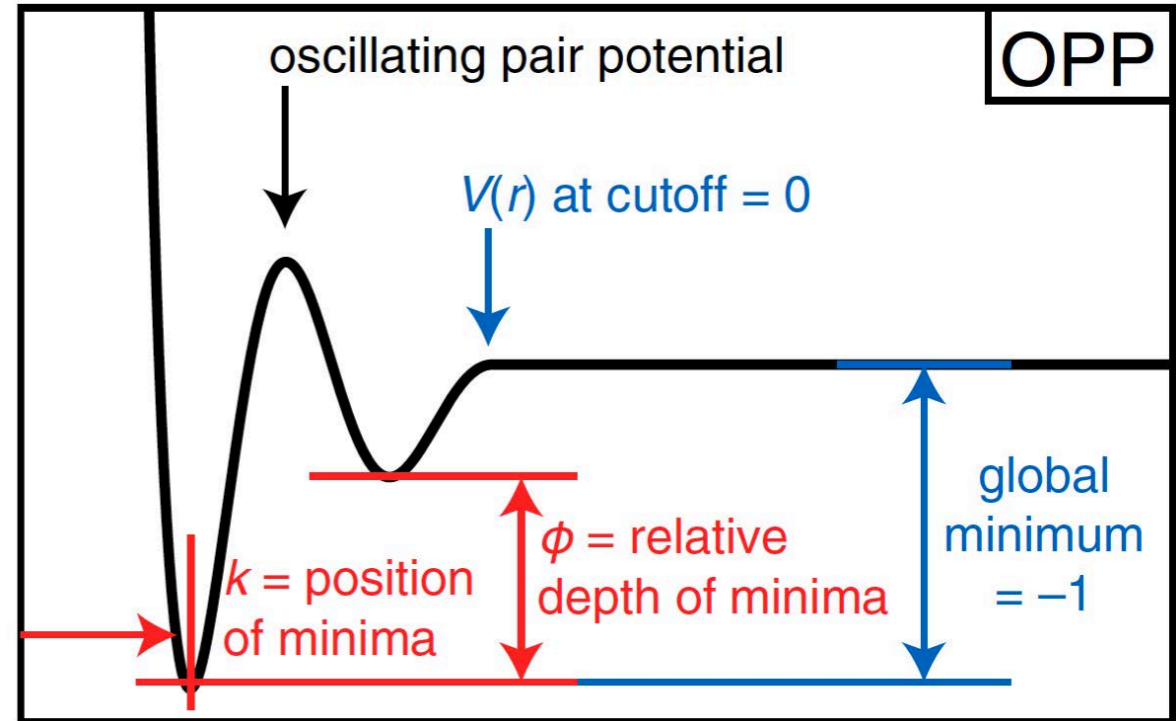
15 “unknown” crystal structures



Crystal structure discovery (“Potential Zoo”)

Isotropic multiwell pair potential

$$V_{\text{OPP}}(r) = \frac{1}{r^{15}} + \frac{\cos(k(r-1) + \phi)}{r^3}$$



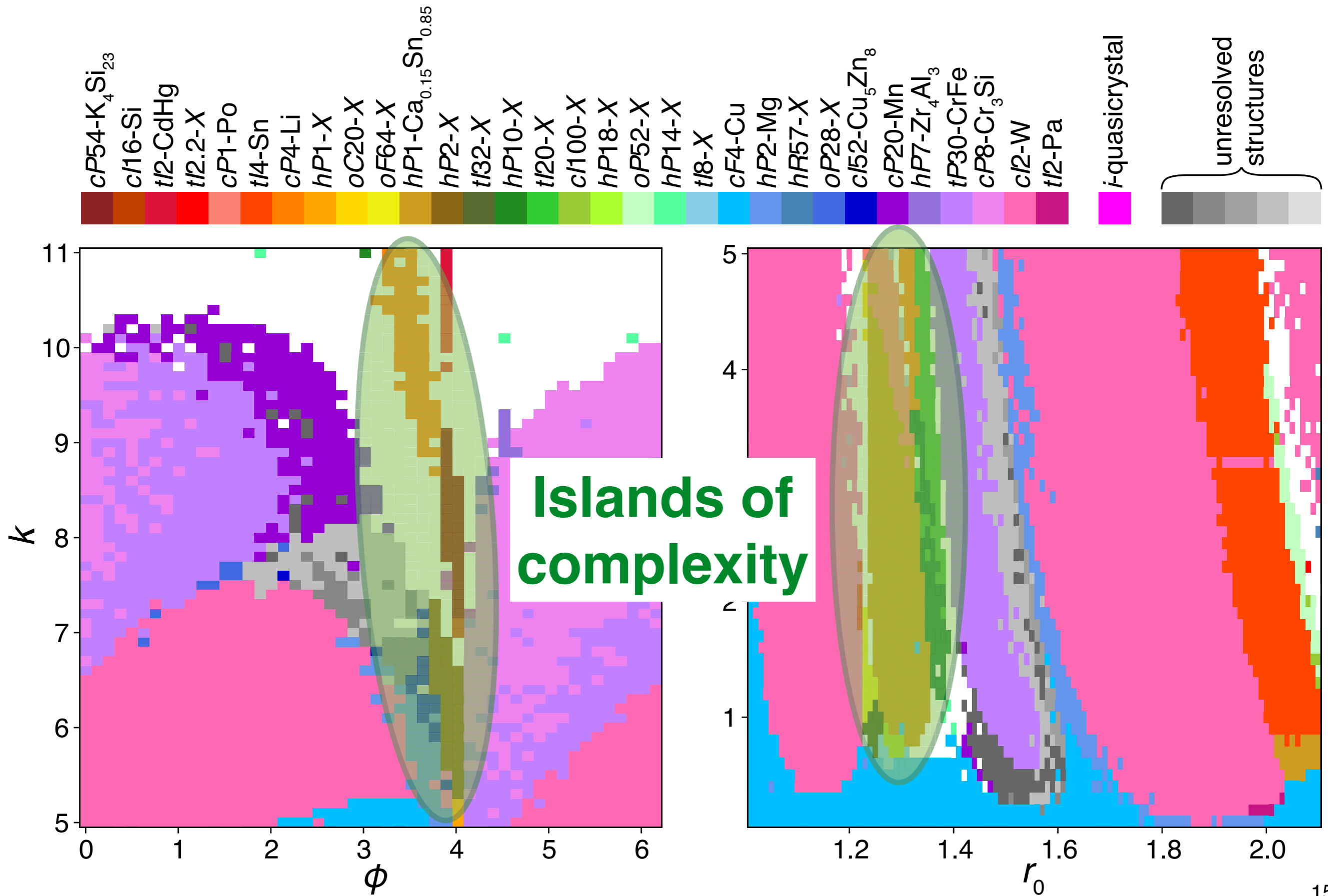
Highlights:

- 20 000+ simulations
- 16 known crystal structures
- 15+ previously unknown crystal structures
- first icosahedral quasicrystal (sim)
- most complex crystal structure (sim)

[1] ME, P.F. Damasceno, C.L. Phillips, S.C. Glotzer, *Nature Mater.* **2015**, 14, 109

[2] J. Dshemuchadse, P.F. Damasceno, C.L. Phillips, ME, S.C. Glotzer, *Proc. Natl. Acad. Sci. U. S. A.* **2021**, 118, e2024034118

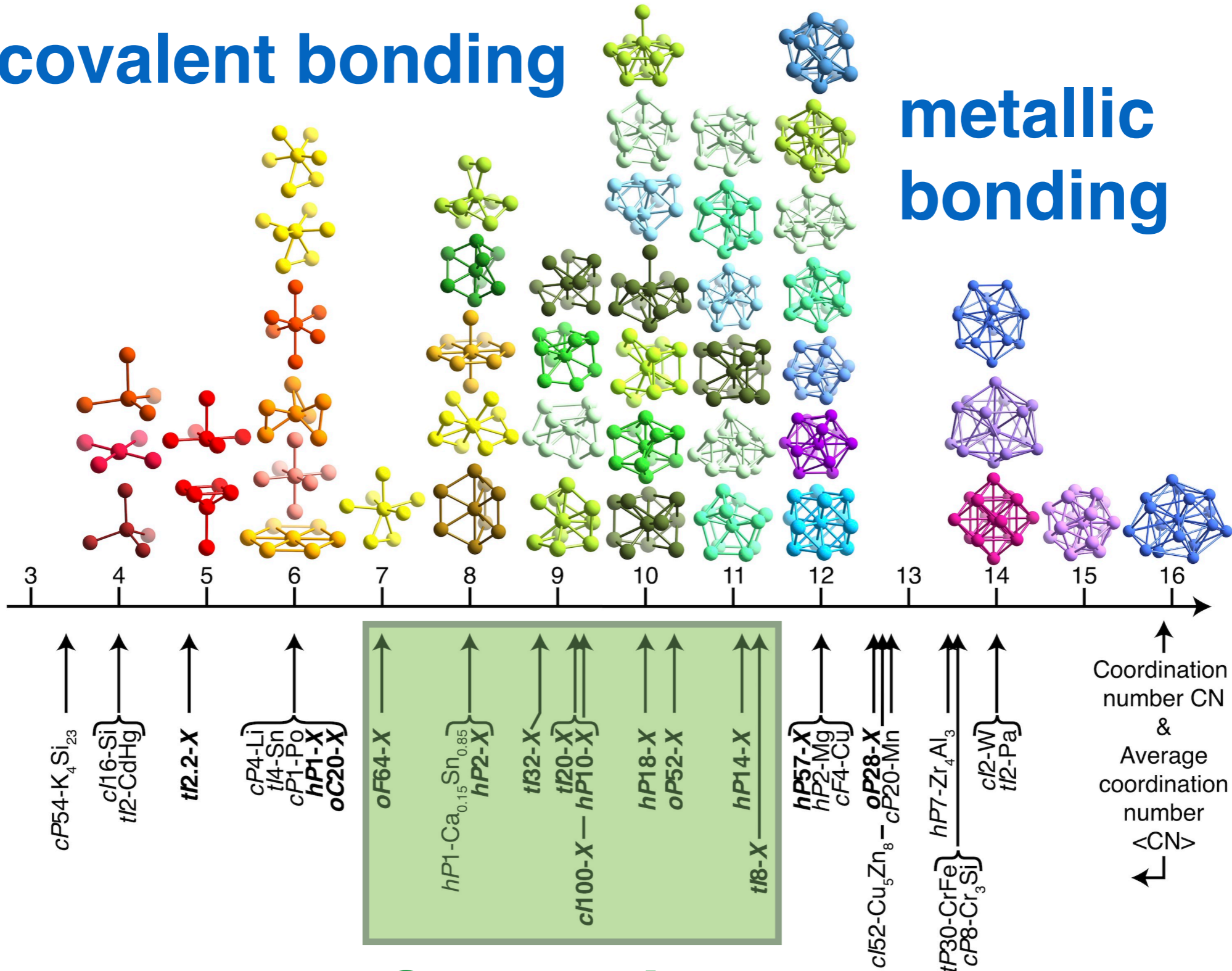
Phase diagrams (>20000 simulations,)



Overcoming the constraints of chemistry

covalent bonding

metallic bonding



Constraints of chemistry

Outline

- Self-assembly of complex crystals on the computer
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- Finite magic number clusters
- Role of polydispersity
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Building block → Structure → Pathway

Building block → Structure

hard spheres (noble metals) form FCC/HCP

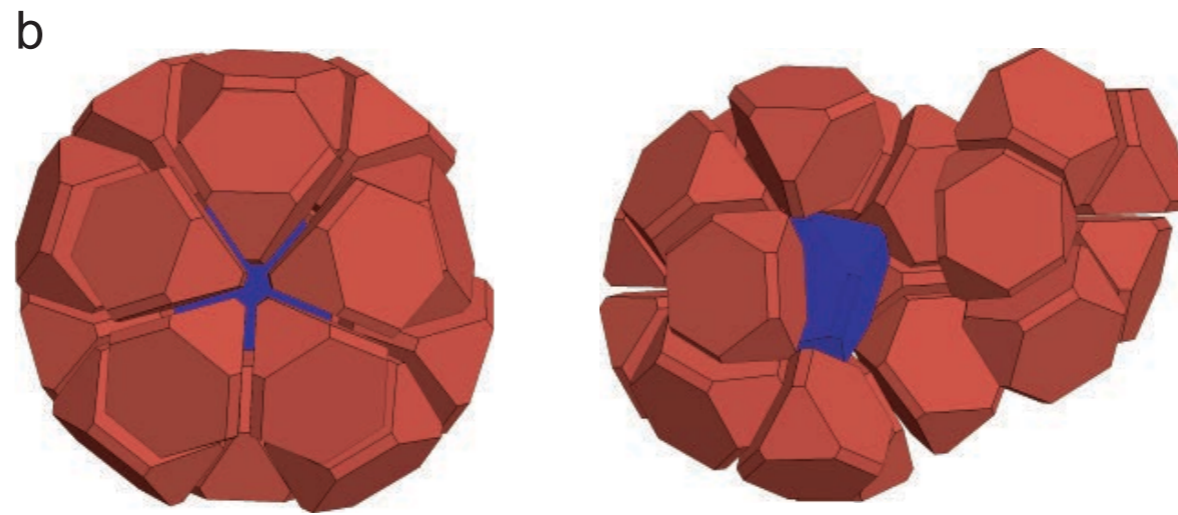
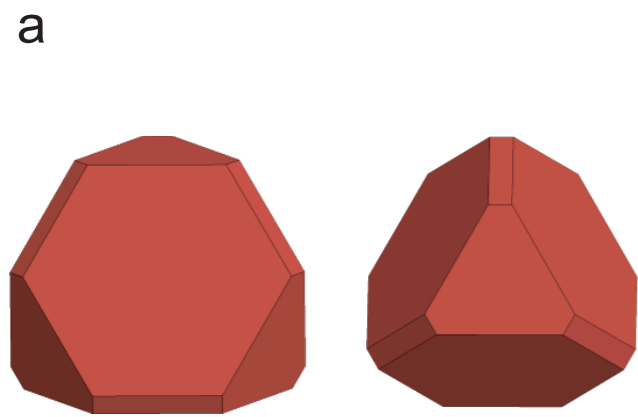
soft spheres (micelles, DNA-ligand NPs) form BCC / A15

Building block → Pathway Structure → Pathway

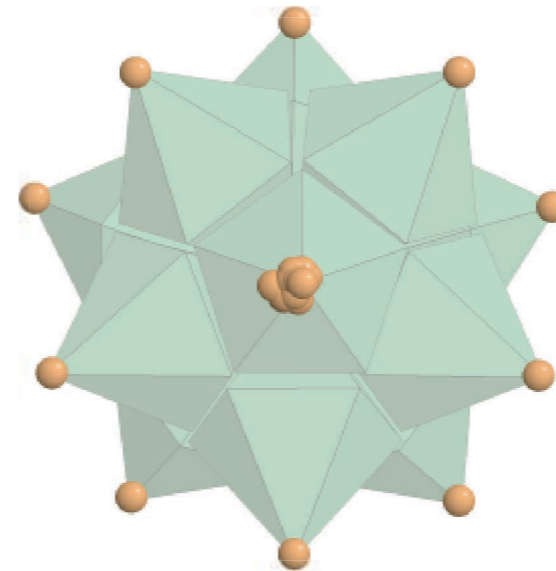
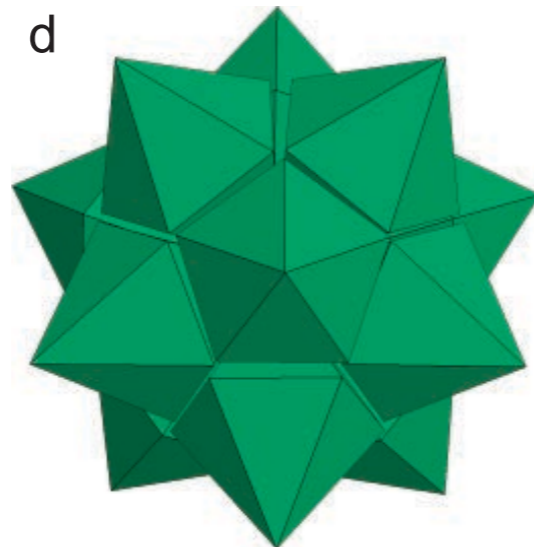
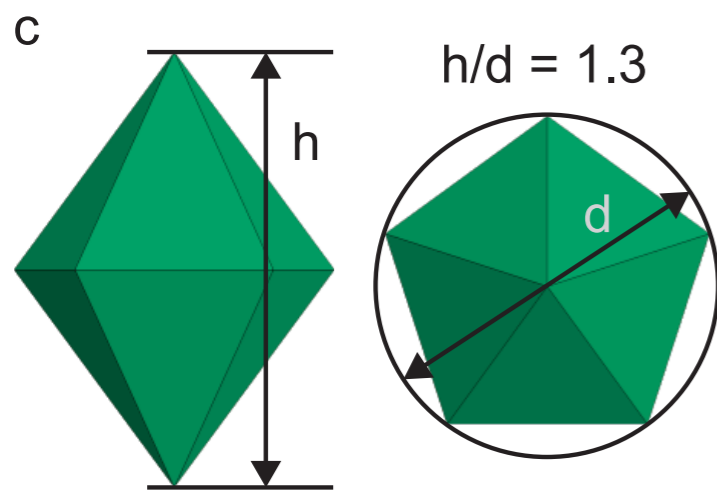
icosahedral order (alloys) prefers high-symmetry clusters

tetrahedral order (water, Si) tends to favor multistep nucleation

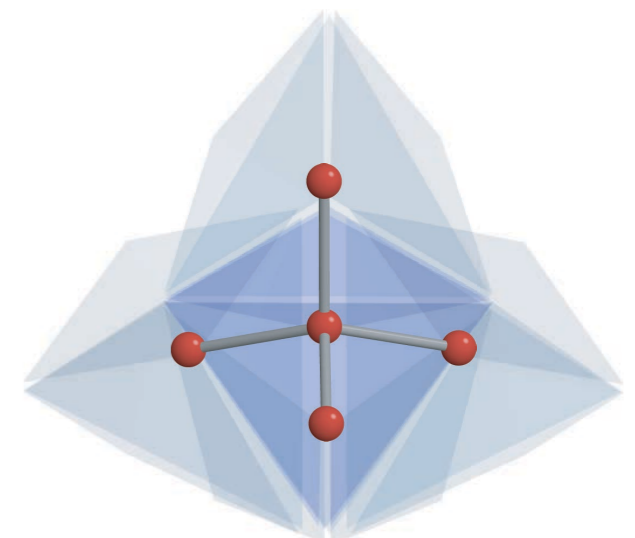
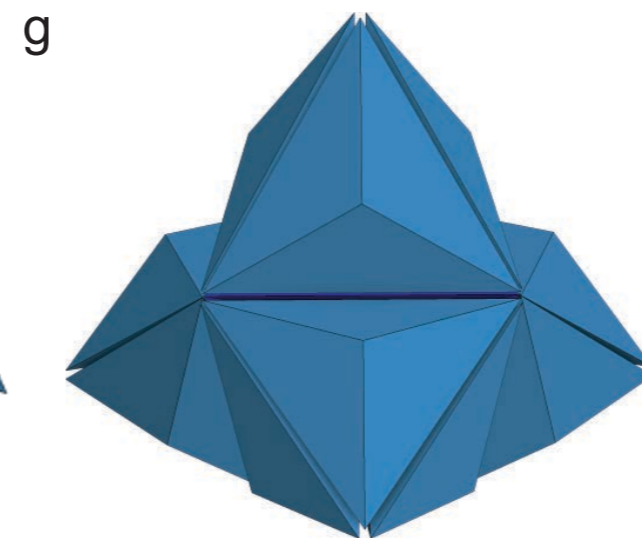
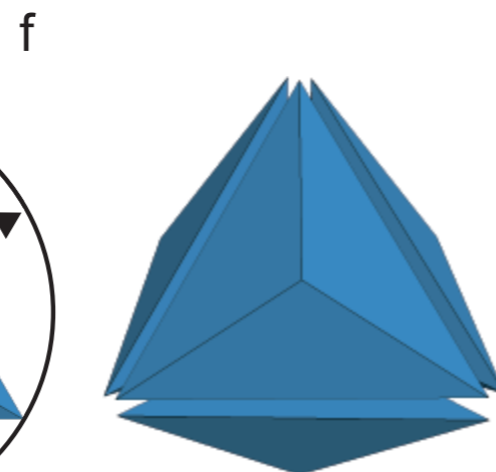
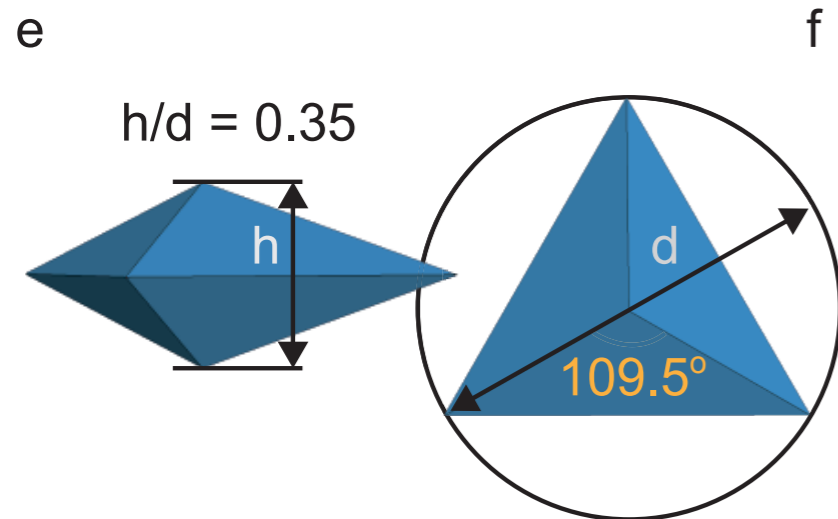
Hard polyhedra with good clustering behavior



**truncated
tetrahedron
(TT)**



**pentagonal
bipyramid
(PBP)**

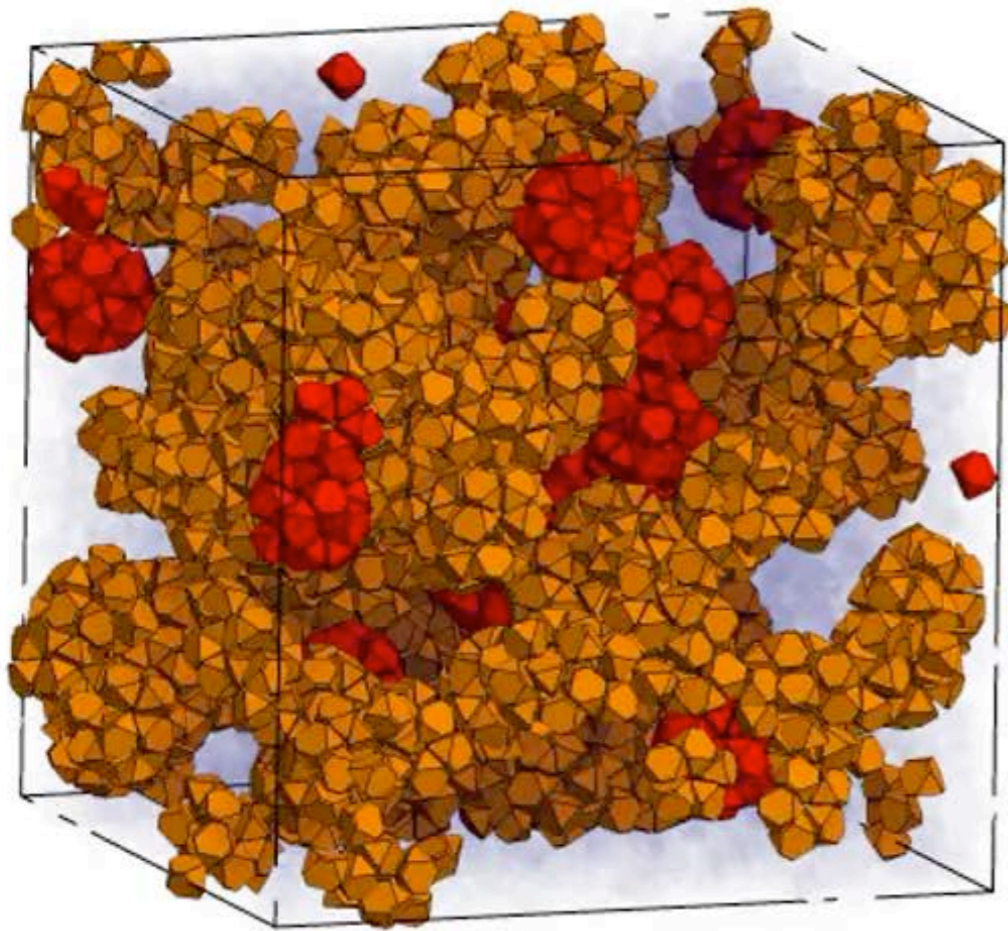


triangular bipyramid (TBP)

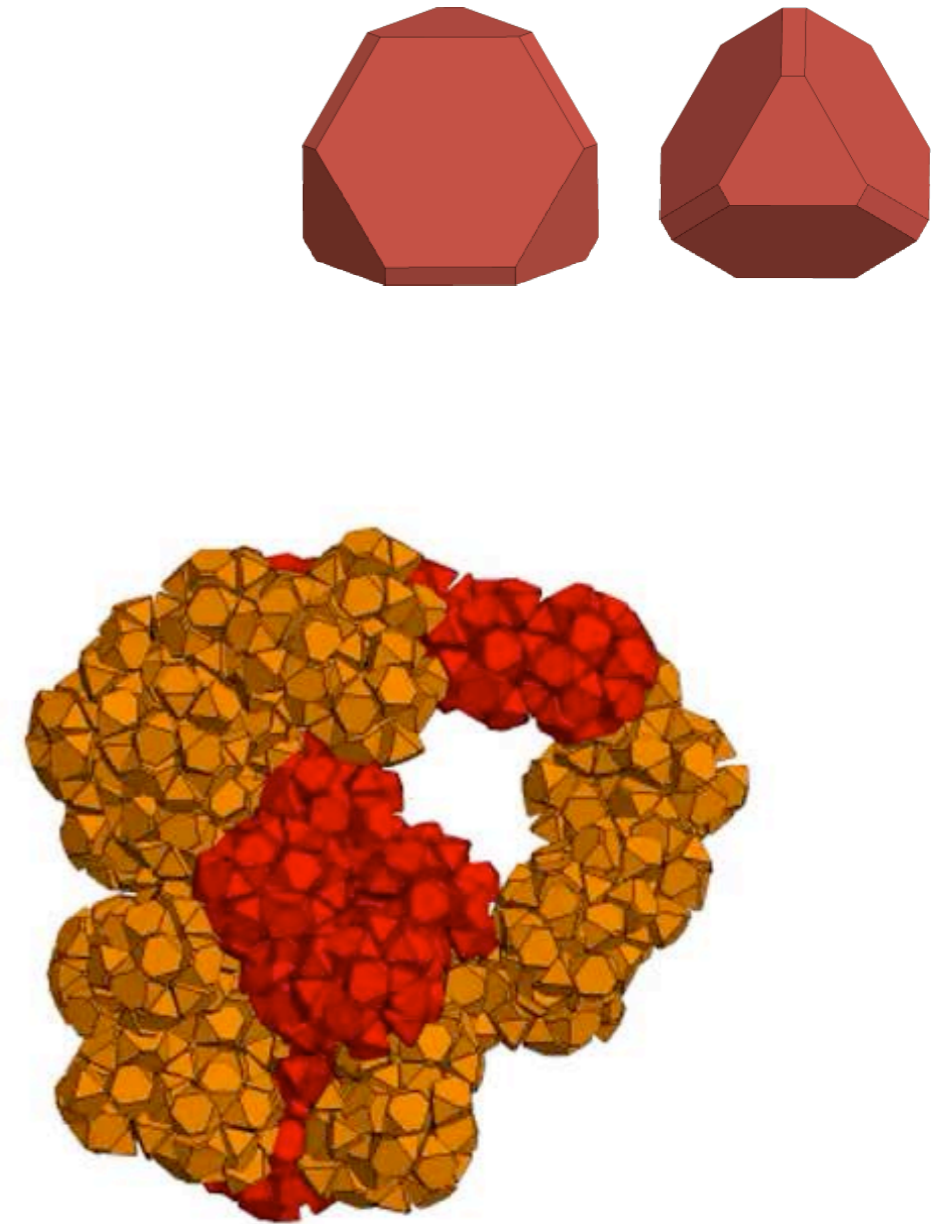
Prenucleation clusters forming in the TT system

Icosahedral 20-particle clusters form first, and later convert into a **highly complex crystal**.

a

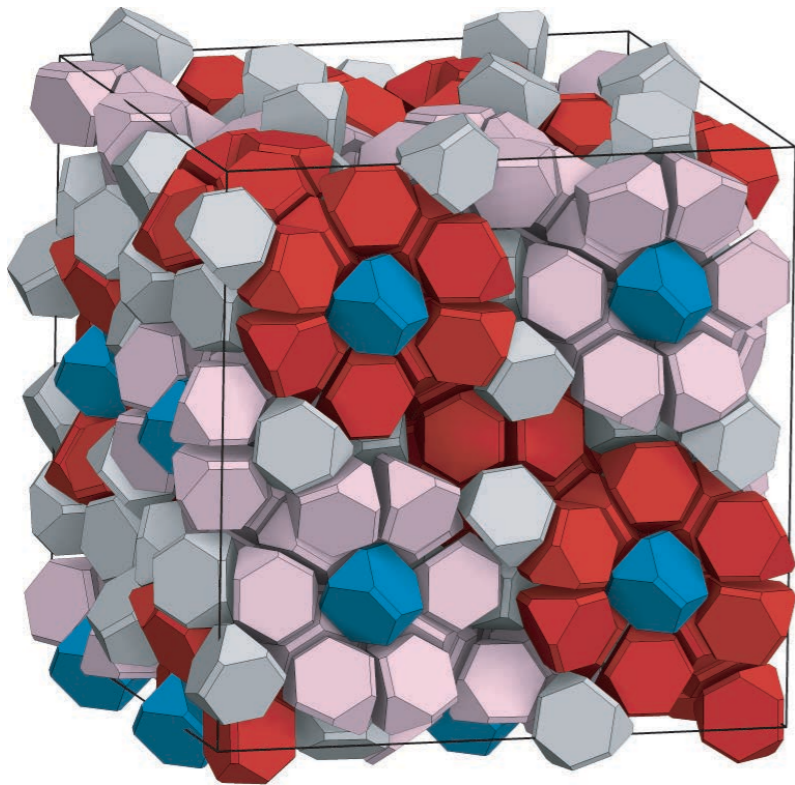


b



Crystal structure of the cF432 crystal

c



particles in cluster:

- $1 + 20 + 32 = 53$

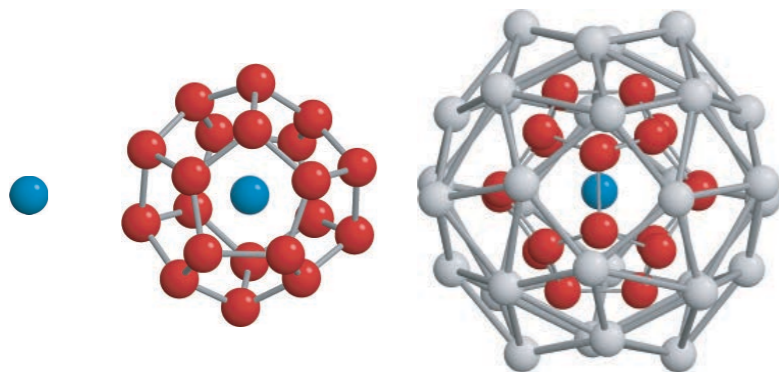
gray particles:

- 1

particles in unit cell:

- $8 \times (53 + 1) = 432$

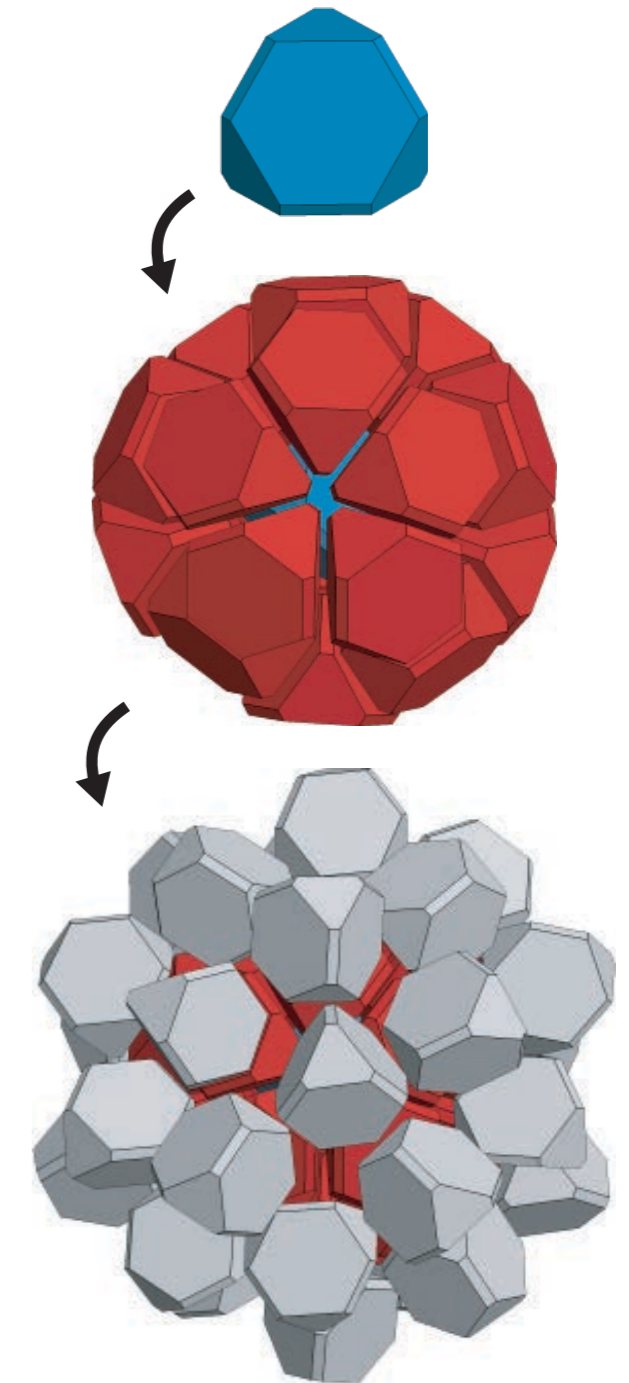
f



Similar to intermetallic alloys

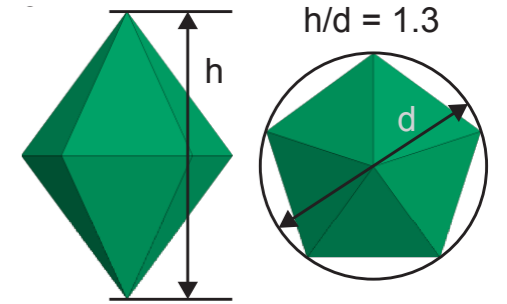
- e.g.: Bergman phase $\text{Mg}_{32}(\text{Al},\text{Zn})_{49}$

Cluster hierarchy

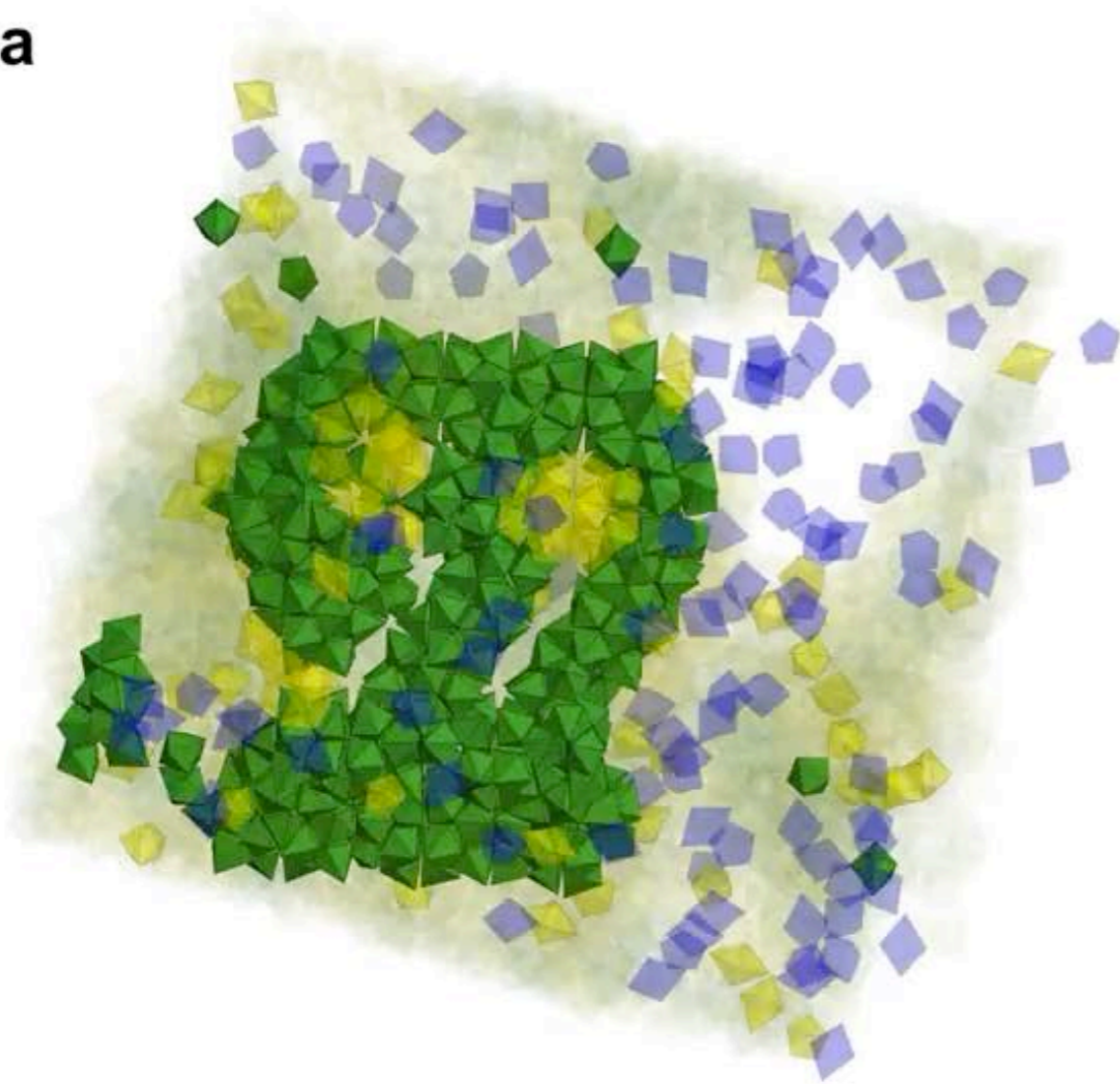


Anisotropic growth of the PBP crystal

The **low-density fluid** converts to a coexistence of **fiber-like motifs** separated by **weakly ordered dense region**.

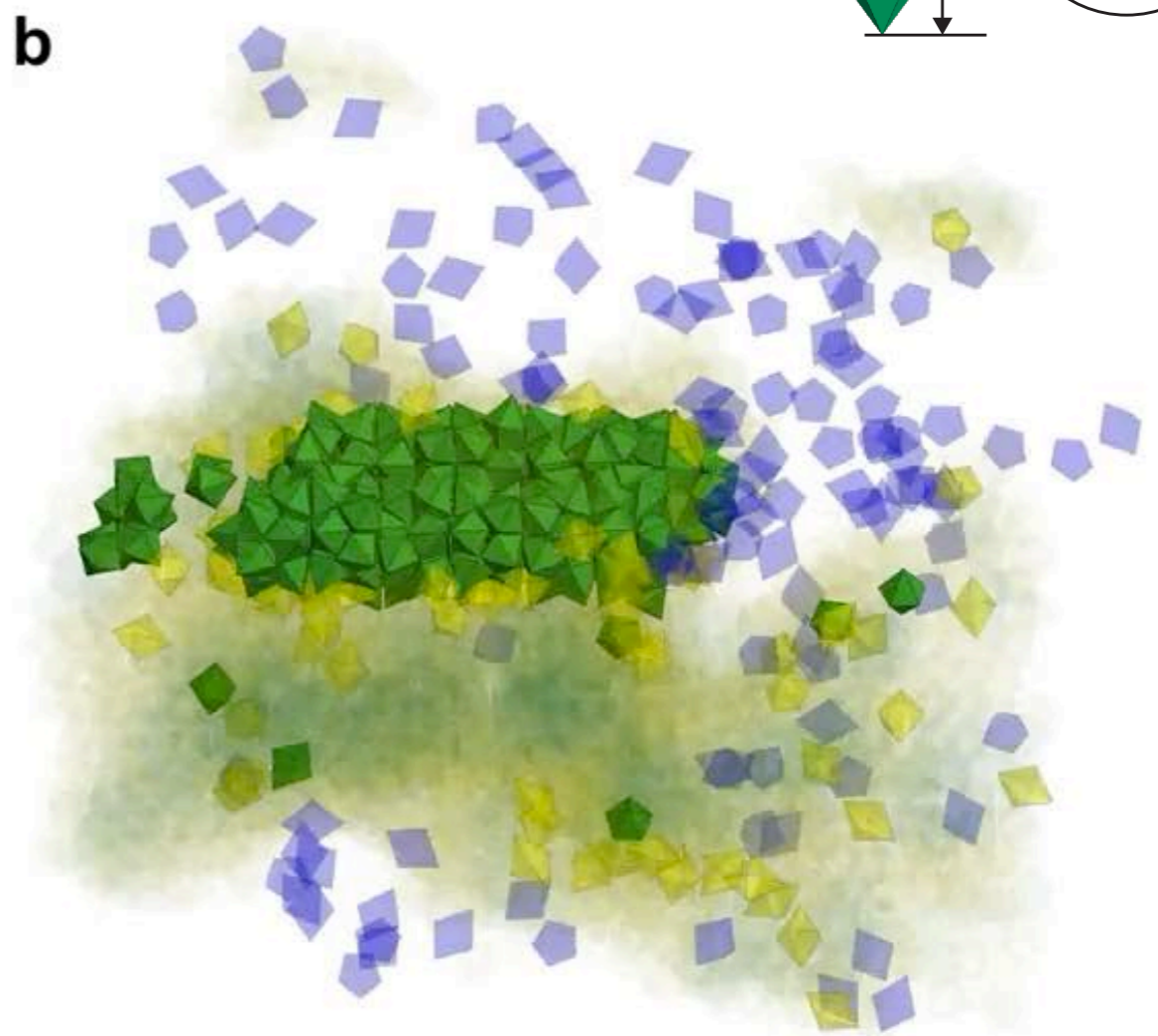


a



Top view

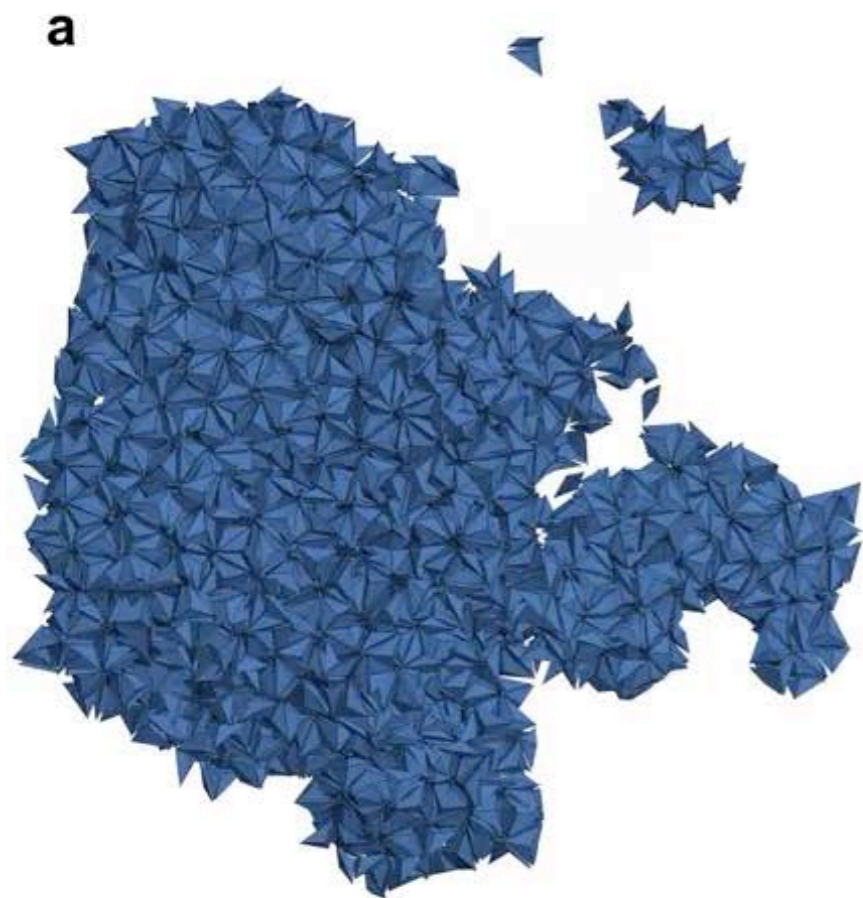
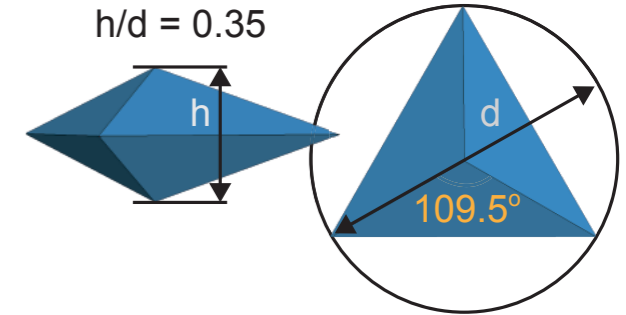
b



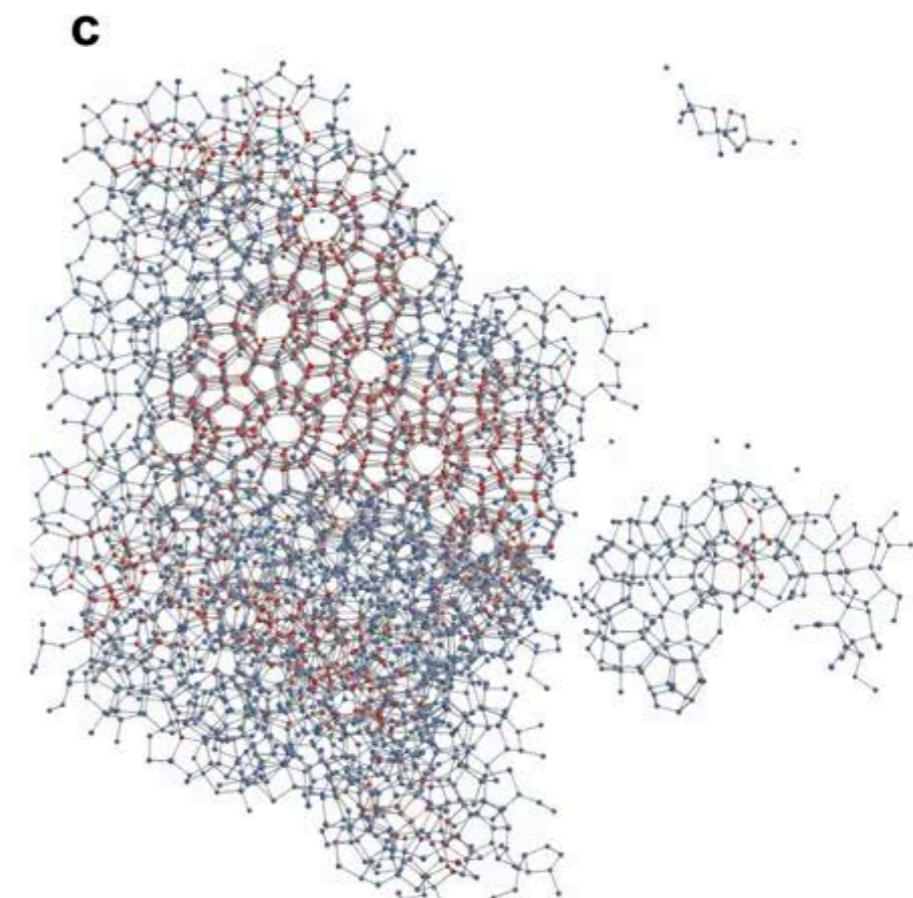
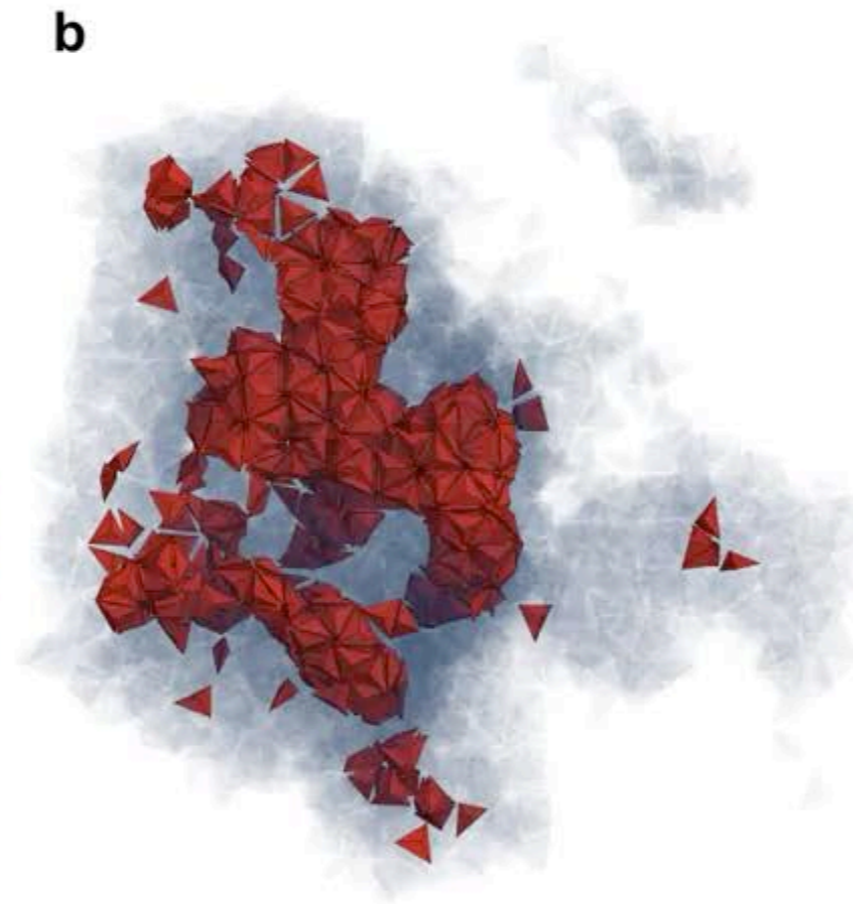
Side view

Two-step growth of the TBP crystal

Clathrate crystal from a low-density fluid via an intermediate amorphous fluid phase.

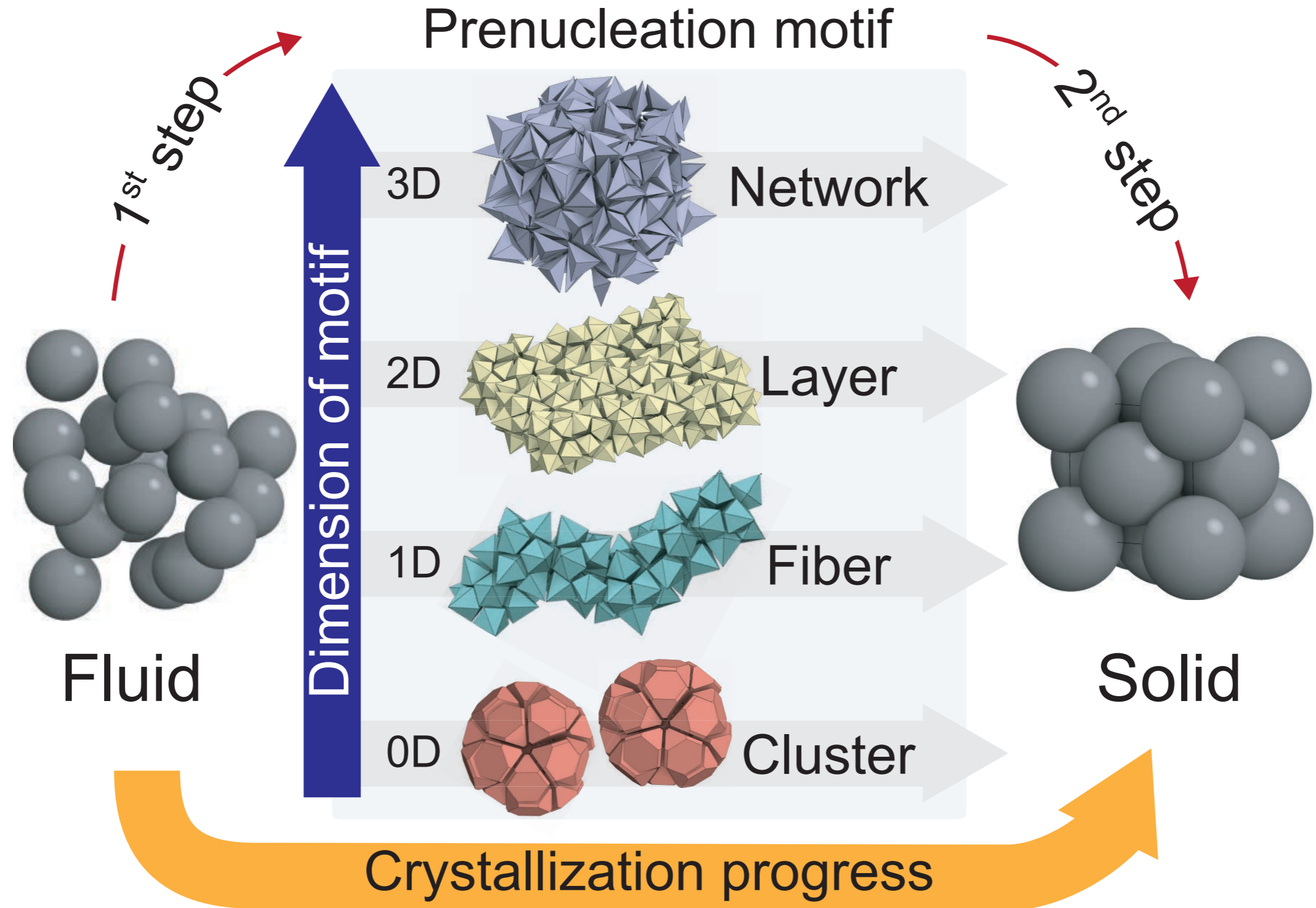


**Triangular Bipyramids (TBP)
Polyhedron representation**



**Tetramer centers
Tetrahedral network representation**

Organizing pathways by dimensionality



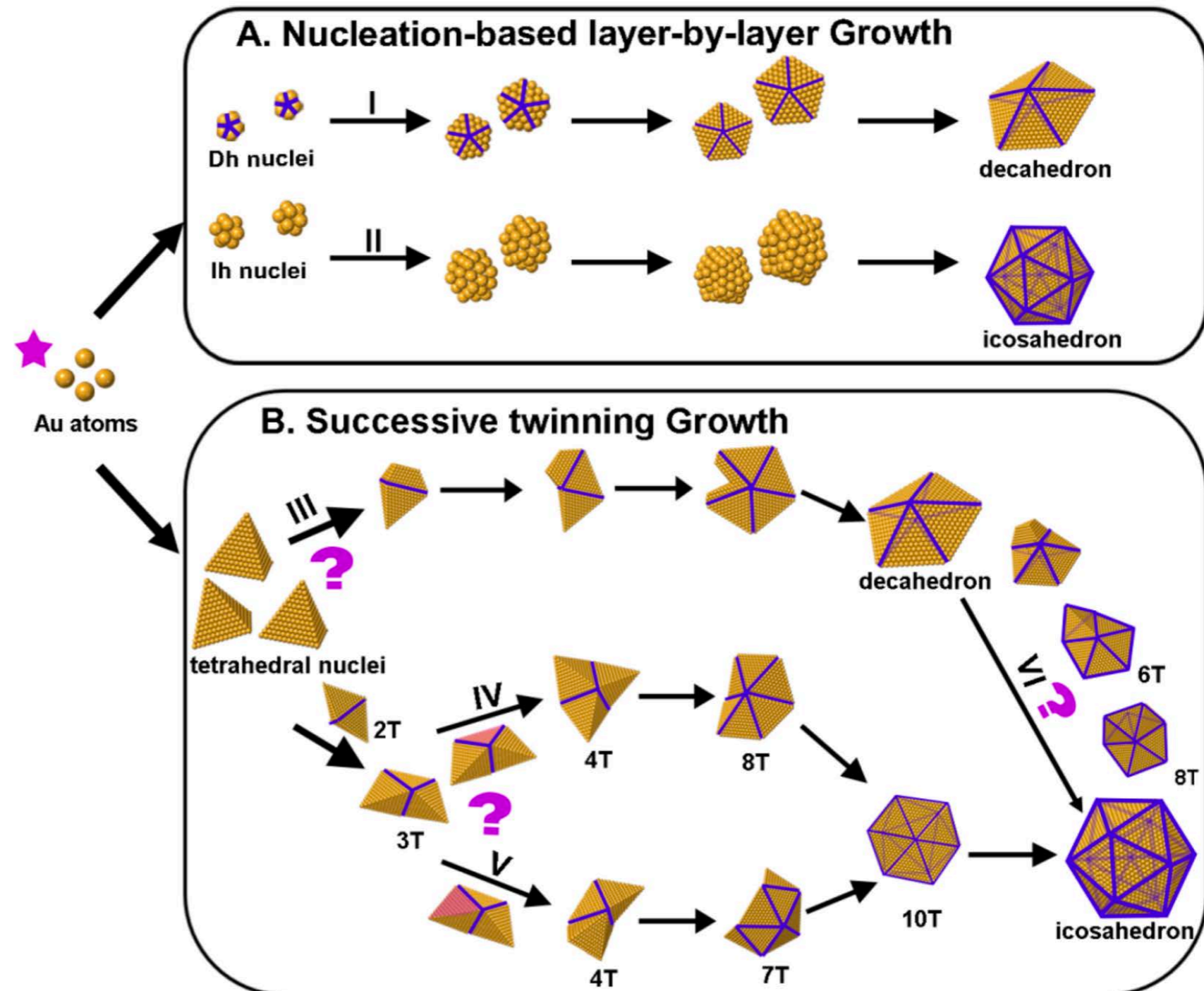
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Multiply-twinned nanoparticles

Long history, e.g.:

- X. Ma, F. Lin, X. Chen, C. Jin, *ACS Nano* **2020**, 14, 9594
- J.S. Du, W. Zhou, S.M. Rupich, C.A. Mirkin, *Angew. Chem.* **2021**, 60, 6858
- M. Sun, Z. Cheng, W. Chen, M. Jones, *ACS Nano* **2021**, 15, 15953

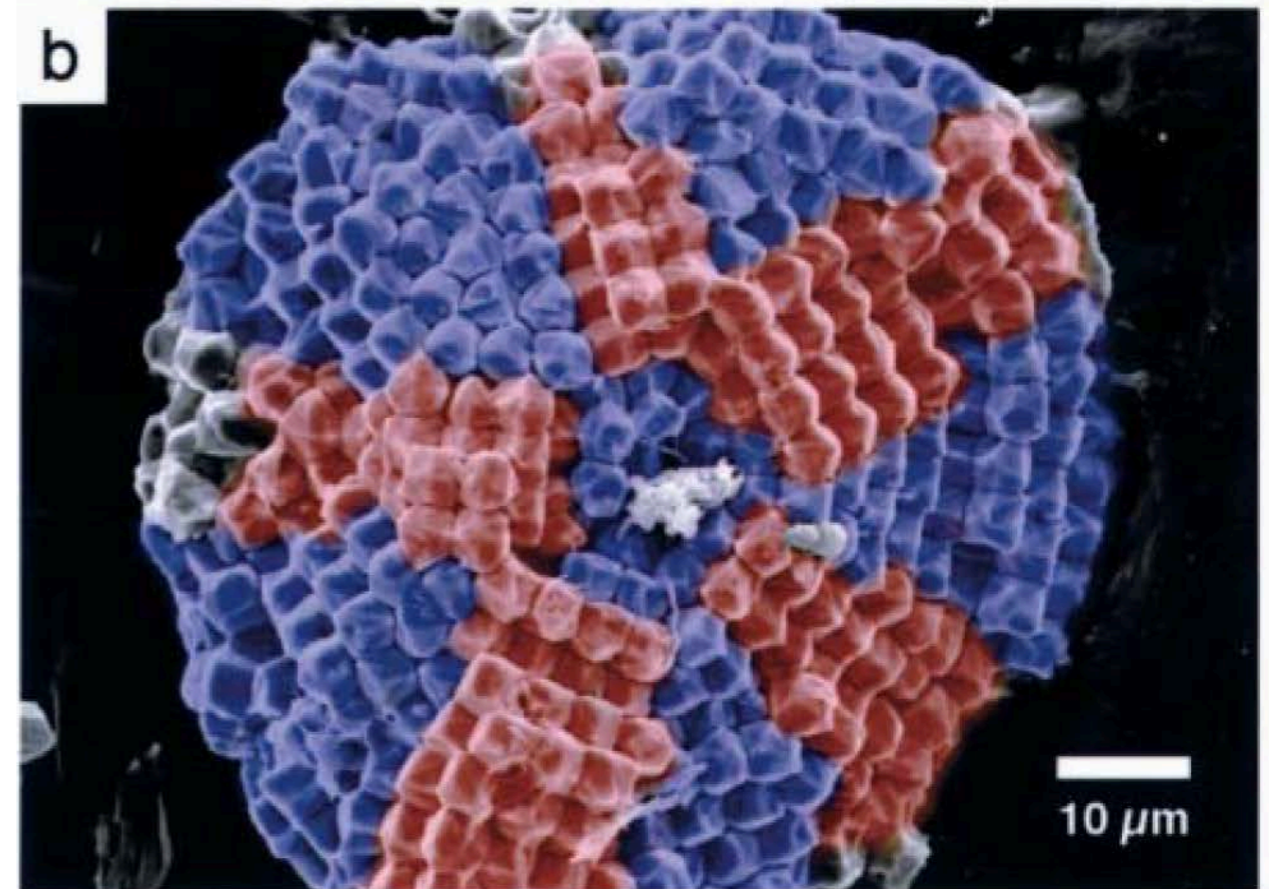
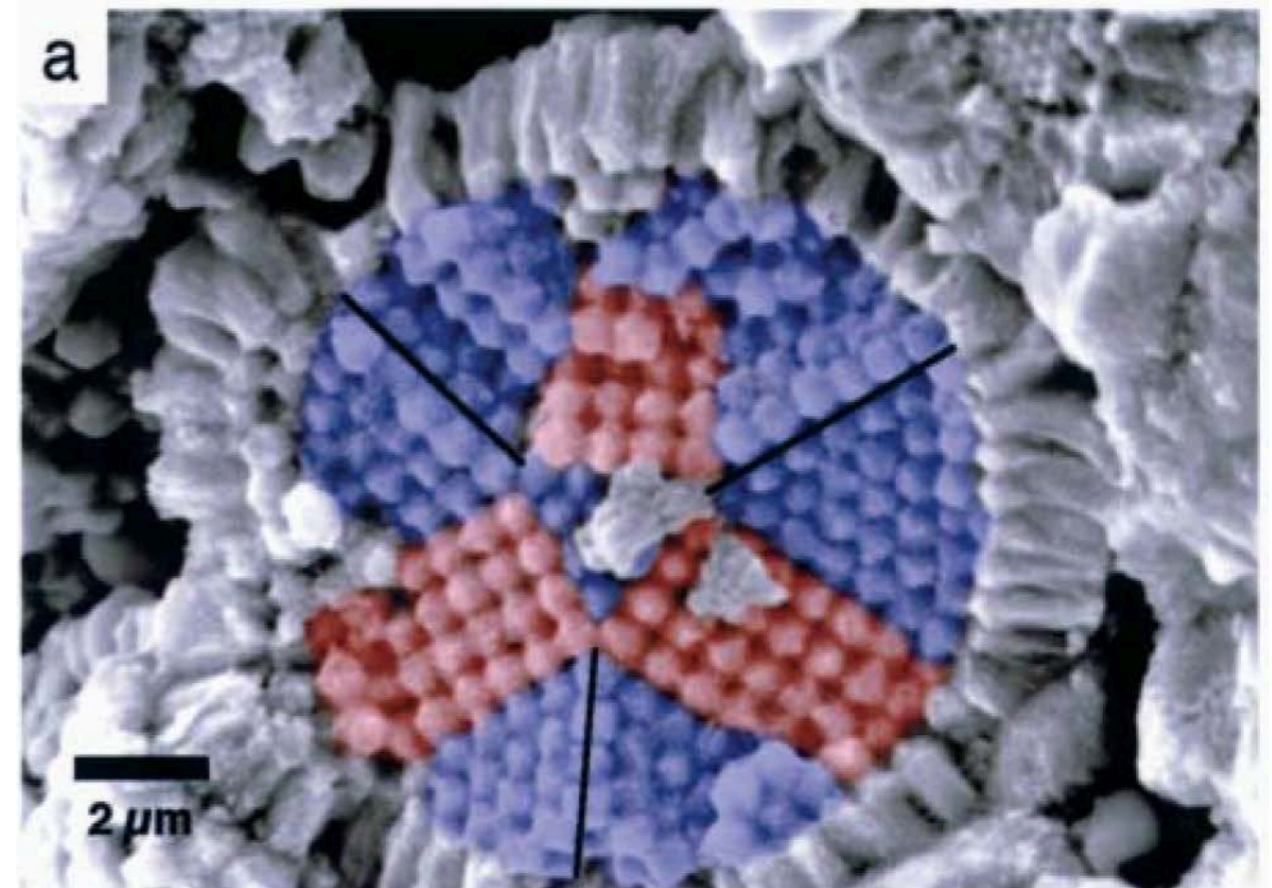
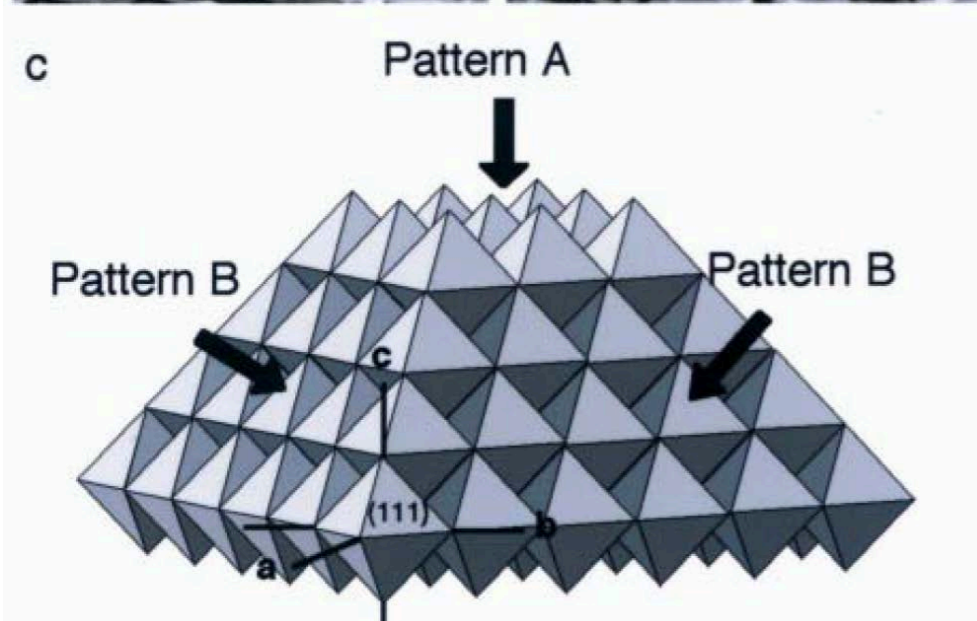
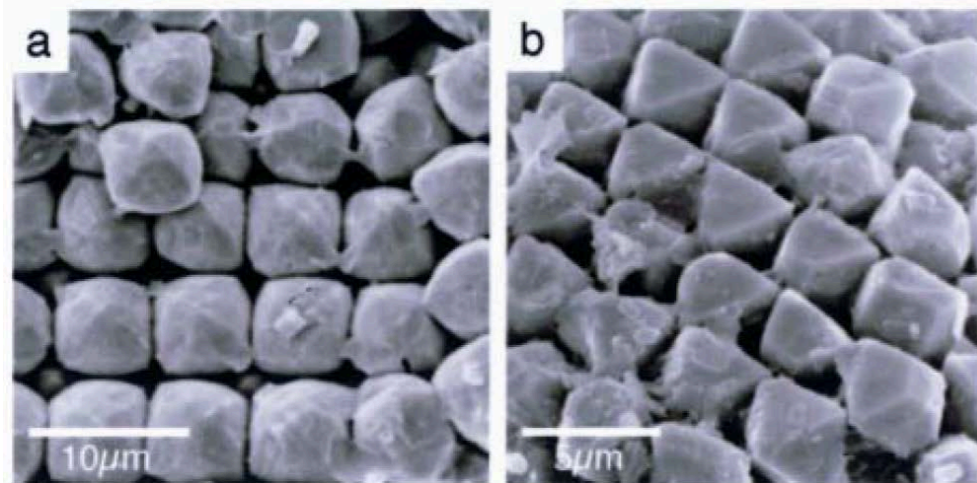


Multi-domain structure in framboidal pyrite (fool's gold)

Arrangement of microcrystals in **framboidal pyrite** FeS_2 .

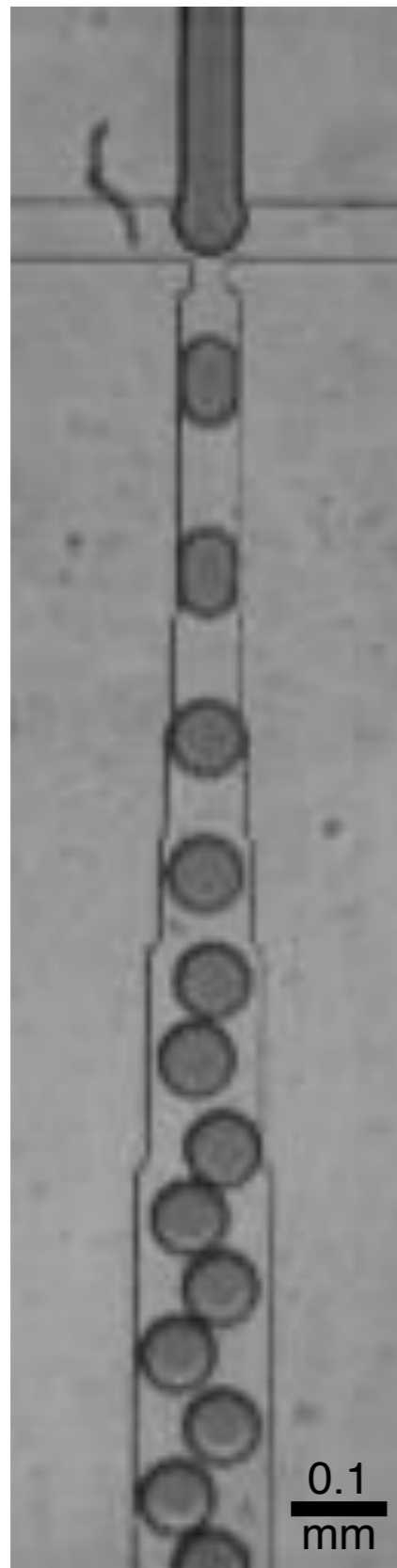
Domain structures on sections of framboids from Shirone drill core (31m depth)

H. Ohfuji, J. Akai, Am. Mineral. 87, 176 (2002)



Assembly line for colloid crystals (Vogel group @ FAU)

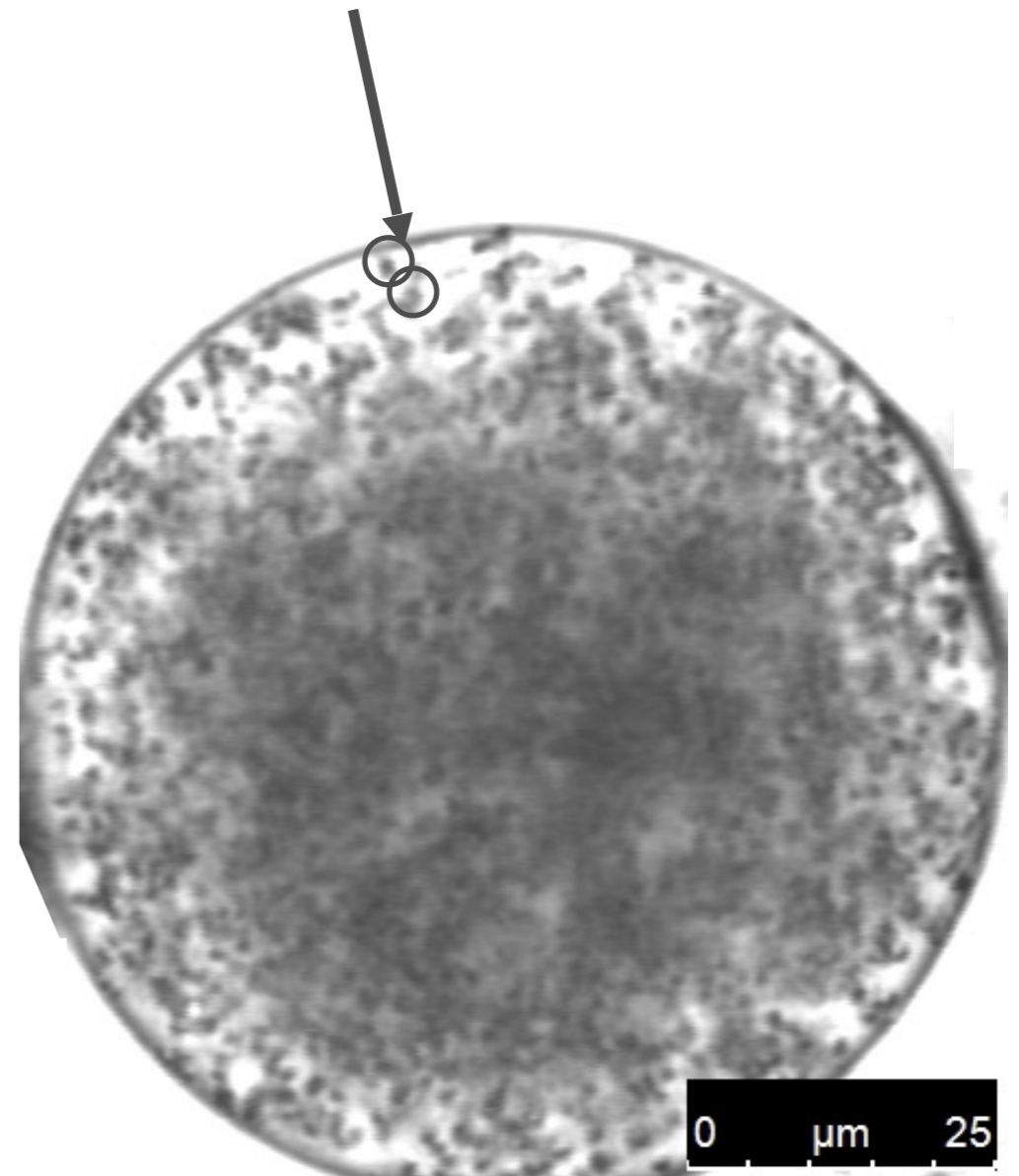
Water-in-oil emulsion droplets with colloidal spheres (polystyrene)

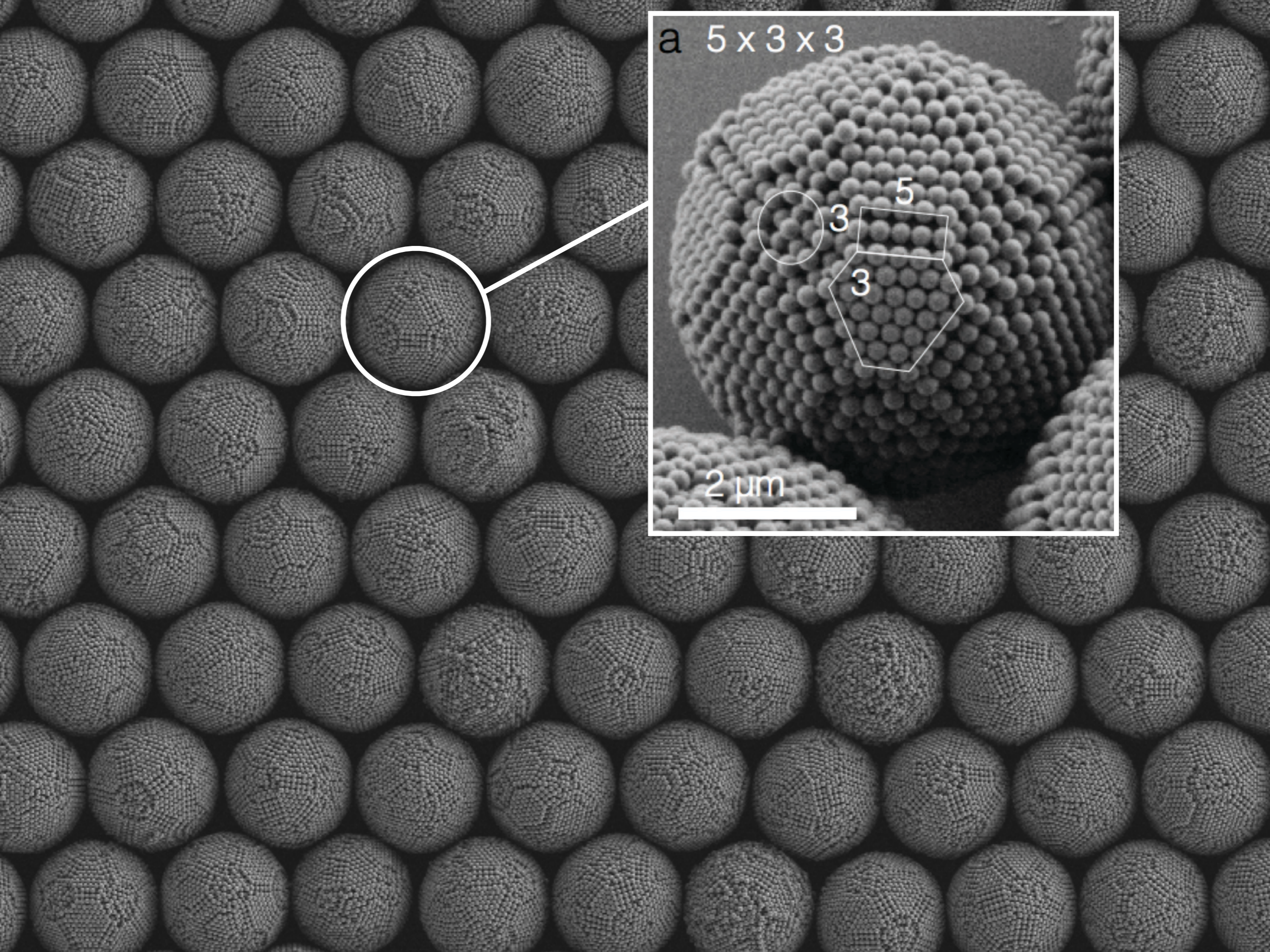


microfluidic
assembly line

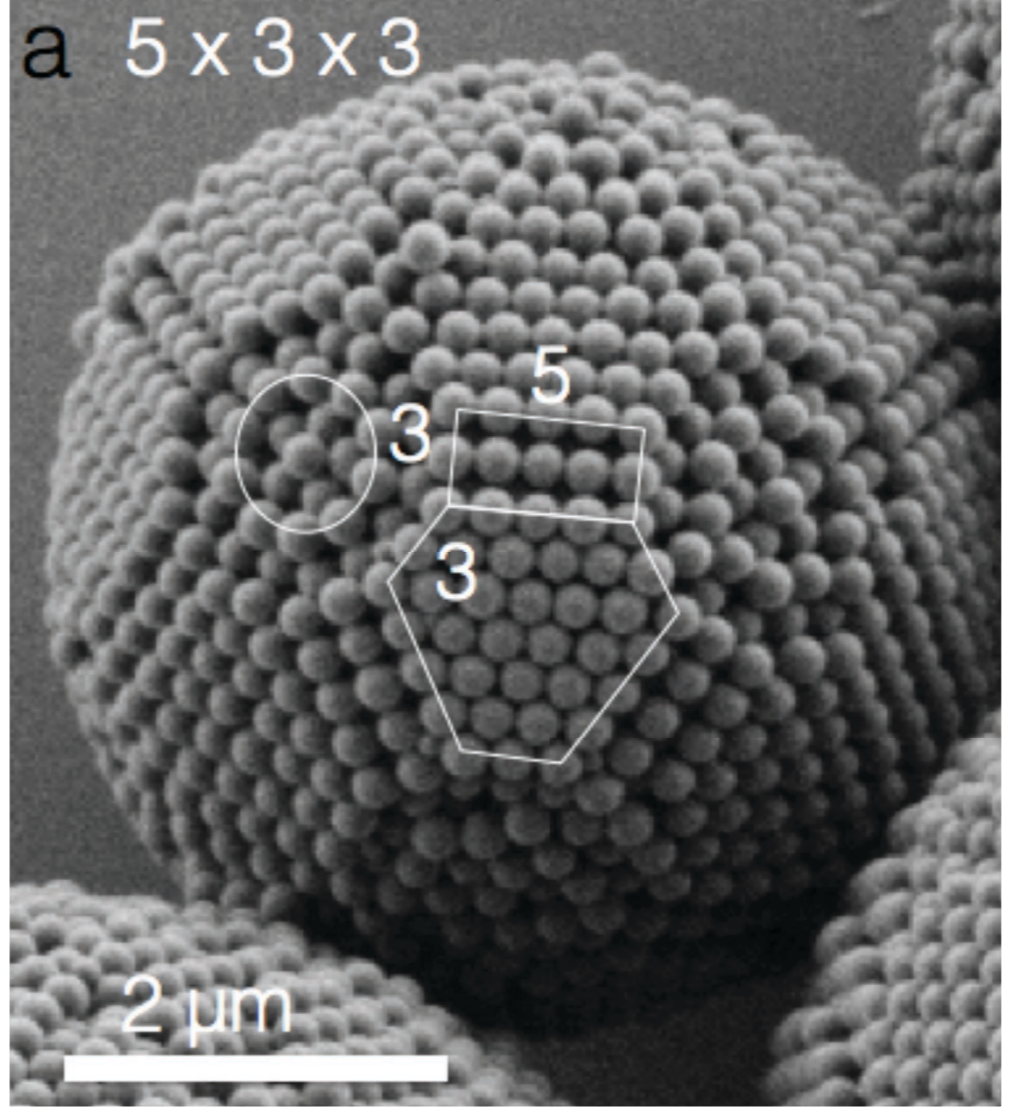
100 - 100,000 colloids ($\varnothing = 100-300$ nm)

monodisperse
emulsion droplets
(\varnothing tens of μm)



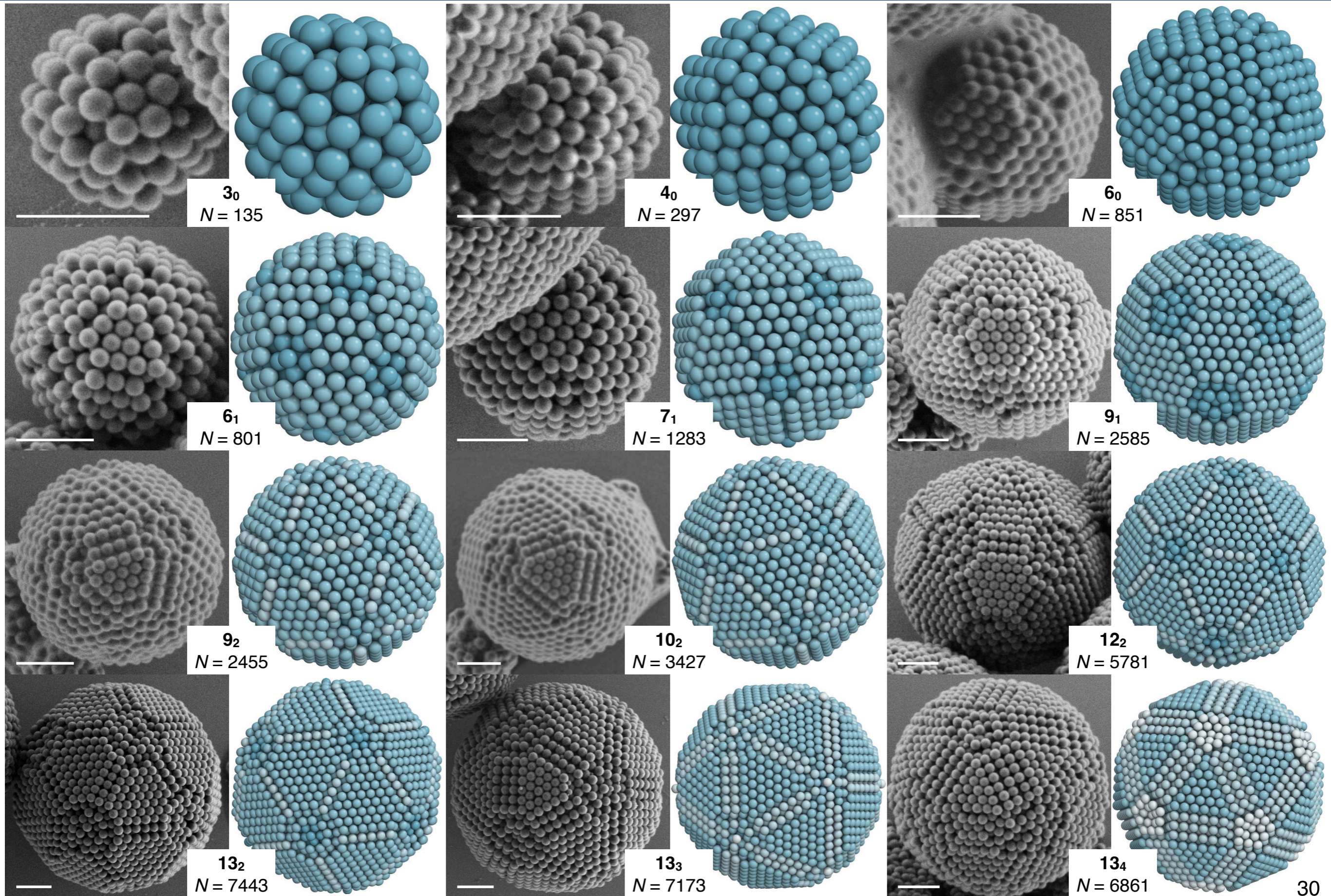


a $5 \times 3 \times 3$



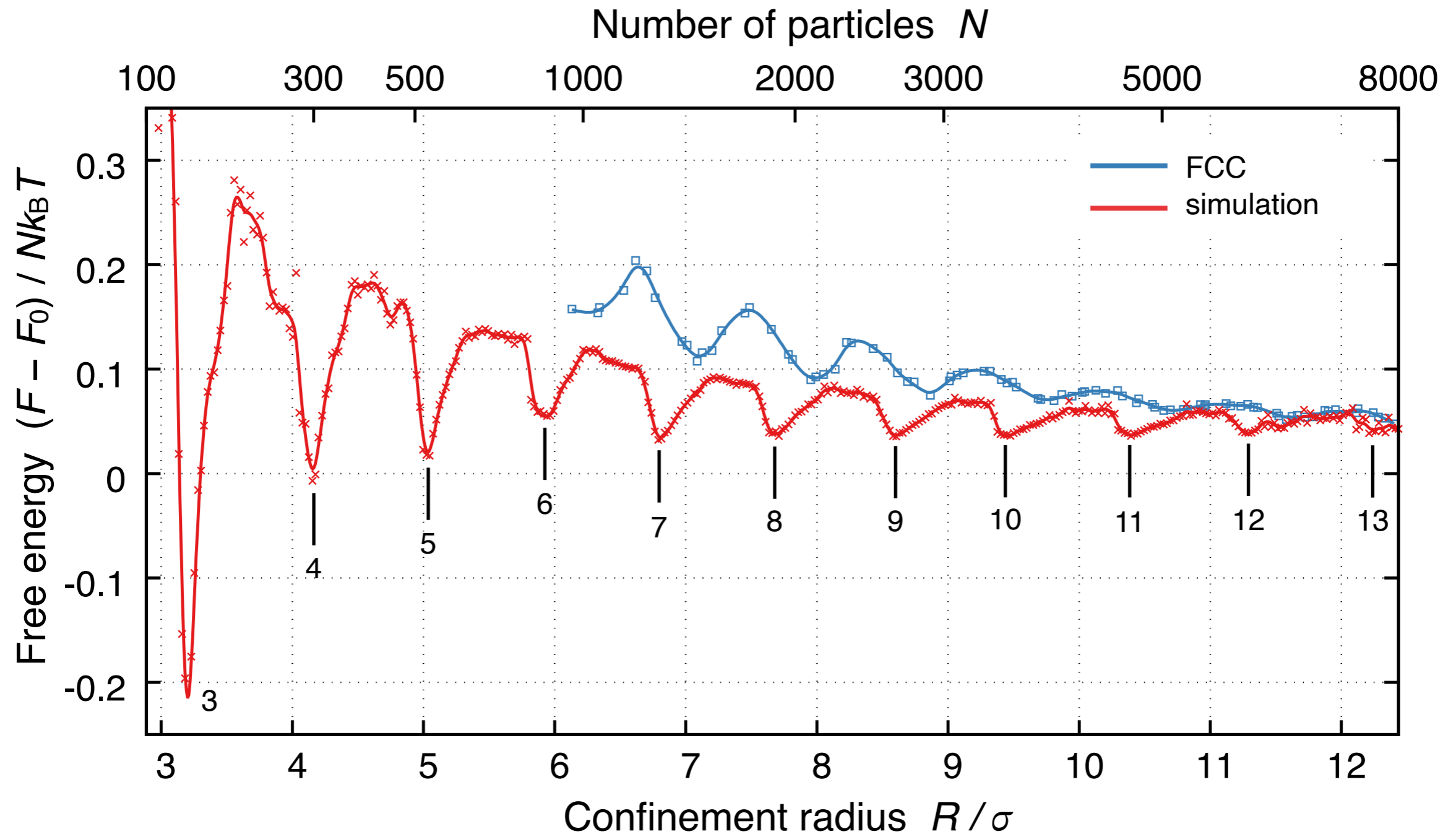
2 μm

Magic number cluster database



Magic number hard sphere clusters

Thermodynamic stability diagram: high-precision free energy calc.



J. Wang, C.F. Mbah, T. Przybilla, B.A. Zubiri, E. Spiecker, ME, N. Vogel
Nature Comm. **2018**, 9, 5259

Free energy calculations

(1) Existing free energy calculations (FEC):

- work well for solids **with no or few defects**
 - e.g. with Frenkel-Ladd method
- work well for fluids
 - e.g. with thermodynamic integration to ideal gas

How to calculate free energies for partially ordered systems?

(2) **Why are simulations so much easier than FEC?**

- Systems quickly and consistently move towards lower free energy.
- How can we see this in FEC?

Hierarchy in configuration space

Partition function is hierarchical:

- **system**

- all configurations



- **macrostate**

- phase; e.g.: fluid, crystal



- **basin**

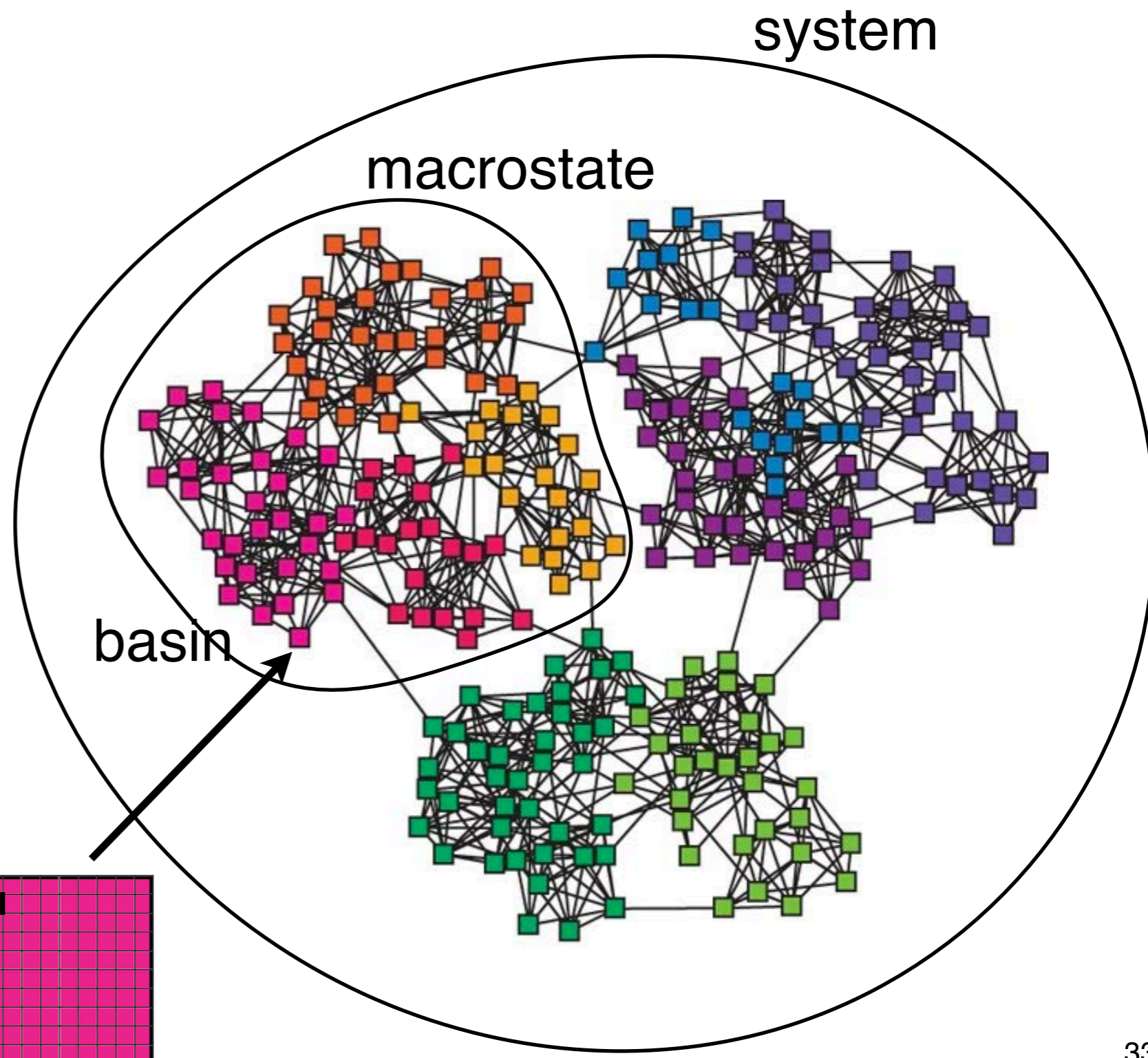
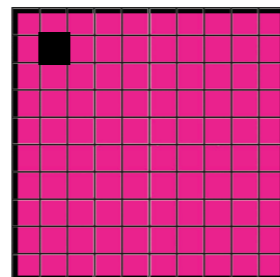
- averages over phonons



- **microstate**

- single configuration, r

microstate



Hierarchy in configuration space

Partition function is hierarchical:

- **system**

- all configurations



- **macrostate**

- phase; e.g.: fluid, crystal



- **basin**

- averages over phonons



- **microstate**

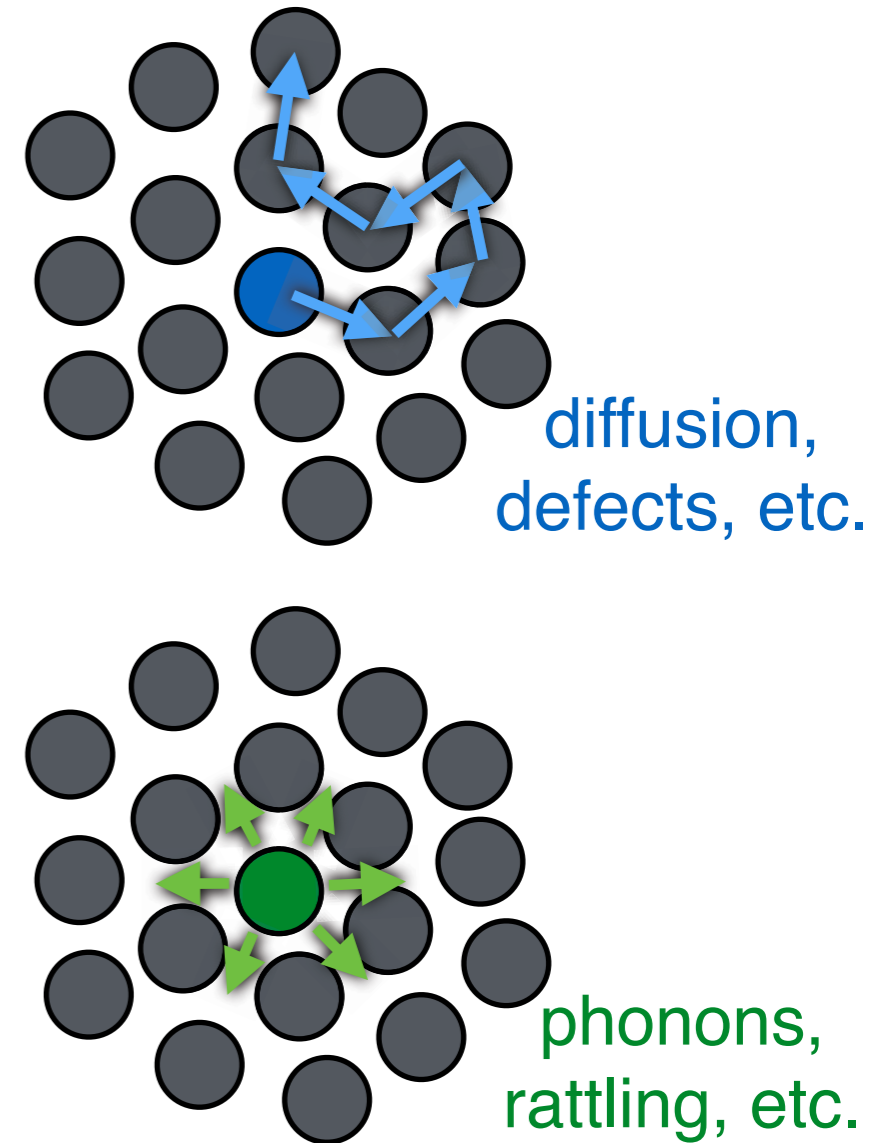
- single configuration, r

configurational entropy

$$\int_{\text{basins} \in \text{macrostate}} d^{3N} r$$

vibrational entropy

$$\int_{\text{microstates} \in \text{basin}} d^{3N} r$$



$$S_{\text{macrostate}} = k_B \log (\langle W_{\text{micro} \in \text{basin}} \rangle_{\text{basin}} \times \langle W_{\text{basins} \in \text{macro}} \rangle_{\text{macro}})$$

$$= S_{\text{vib.}} + S_{\text{config.}}$$

Is this separation correct?

Let's assume it is!

Fluid = many basins,

Solid = fewer basins,

$$S_{\text{conf.}}^{\text{fluid}} > S_{\text{conf.}}^{\text{solid}}$$

macroscopic (dis)order

few phonons

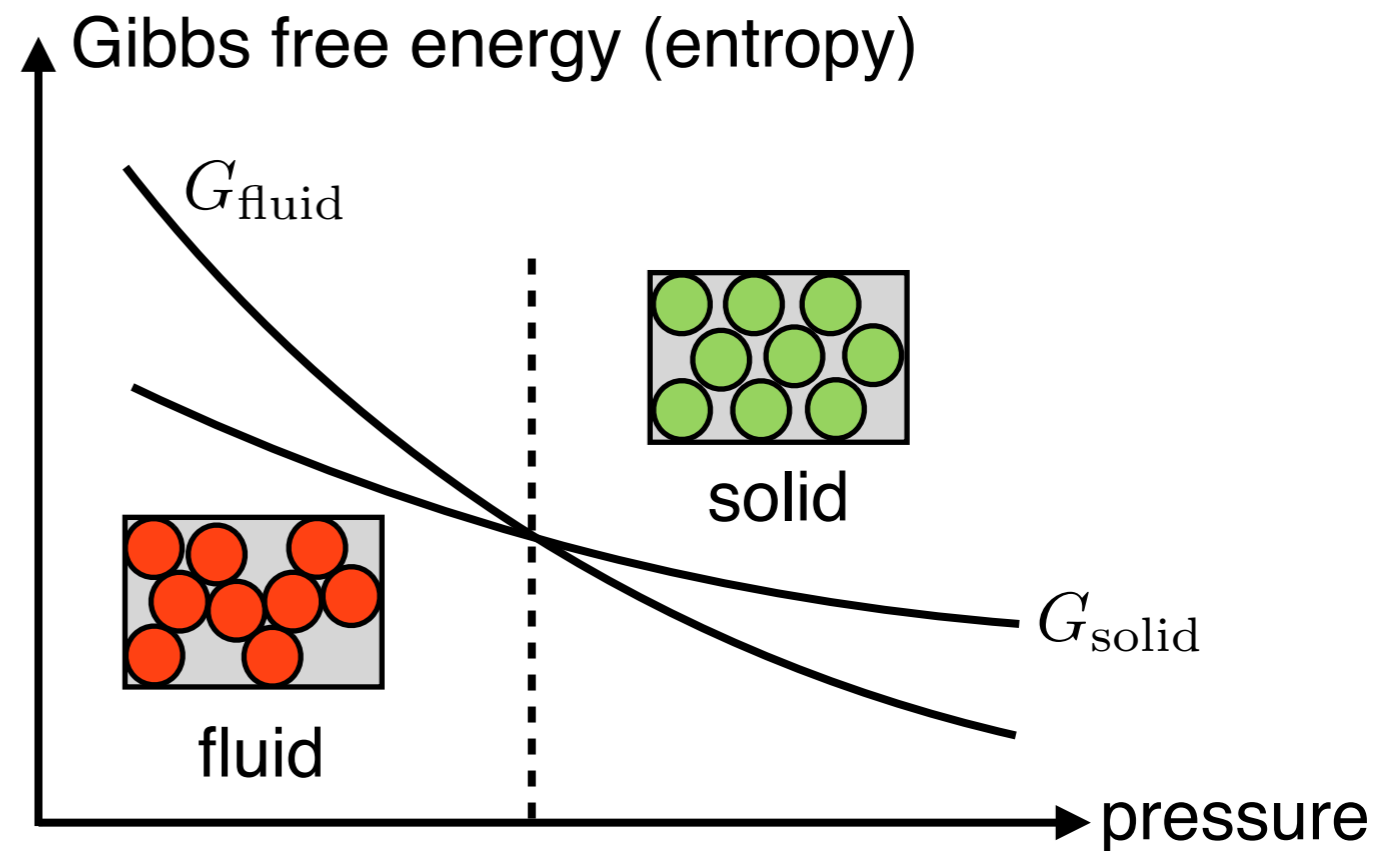
more phonons

$$S_{\text{vib.}}^{\text{fluid}} < S_{\text{vib.}}^{\text{solid}}$$

microscopic (dis)order

Dependence on density

- **configurational entropy** (basins) does not or only slowly change with density
- **vibrational entropy** (phonons) decreases rapidly with density

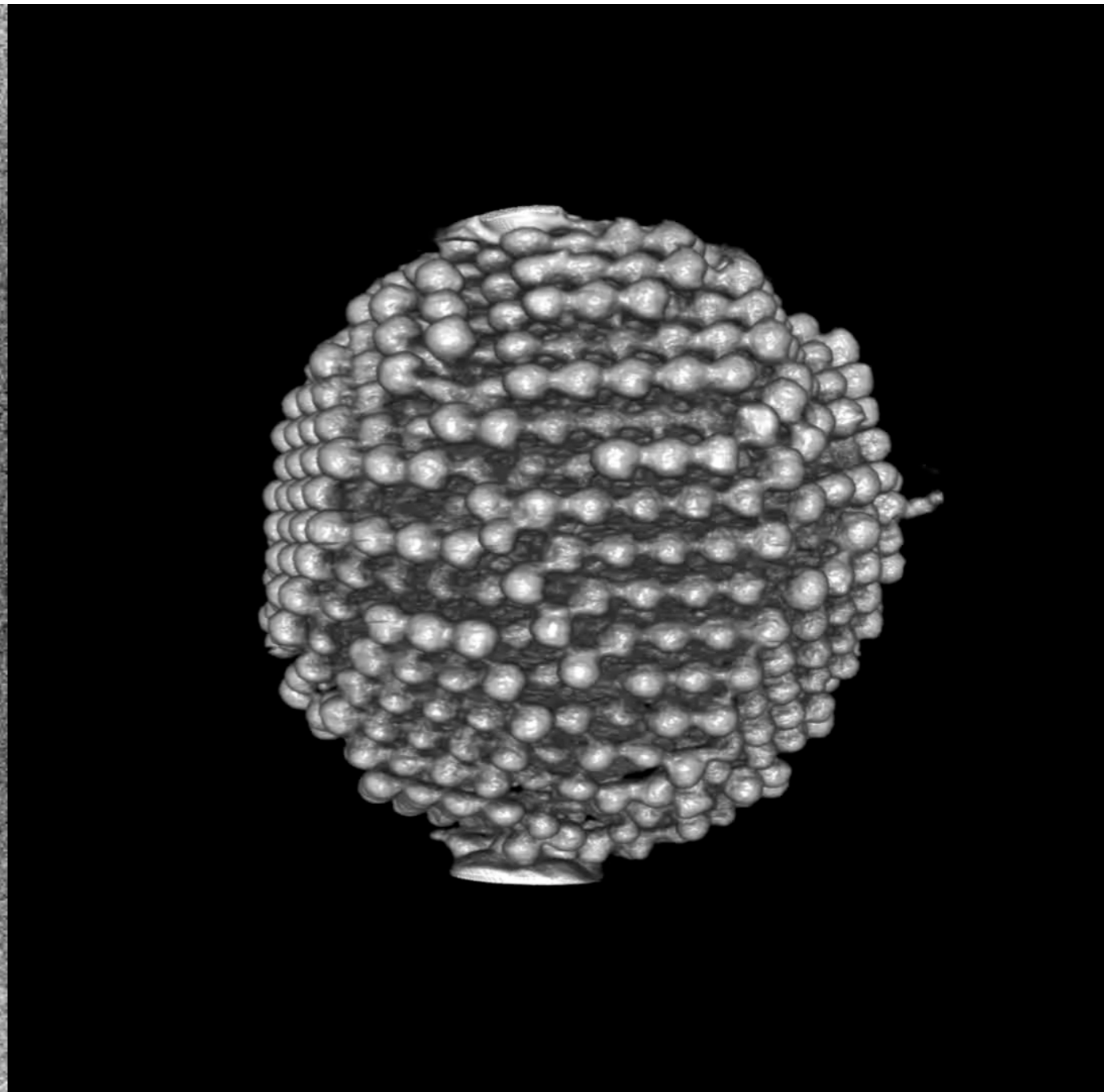
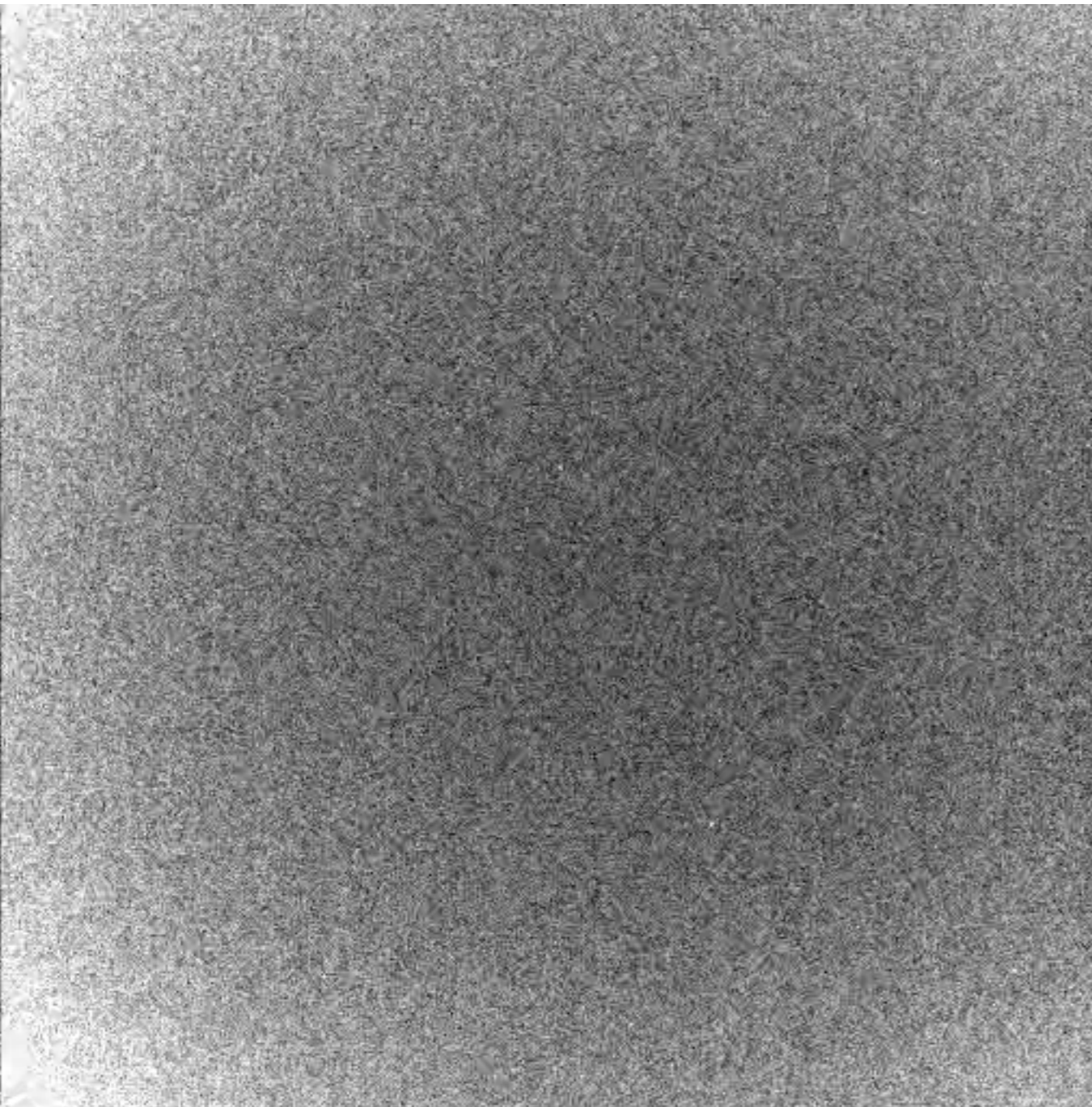


Interpretation:

“At the hard sphere phase transition, the system trades configurational entropy for vibrational entropy.”

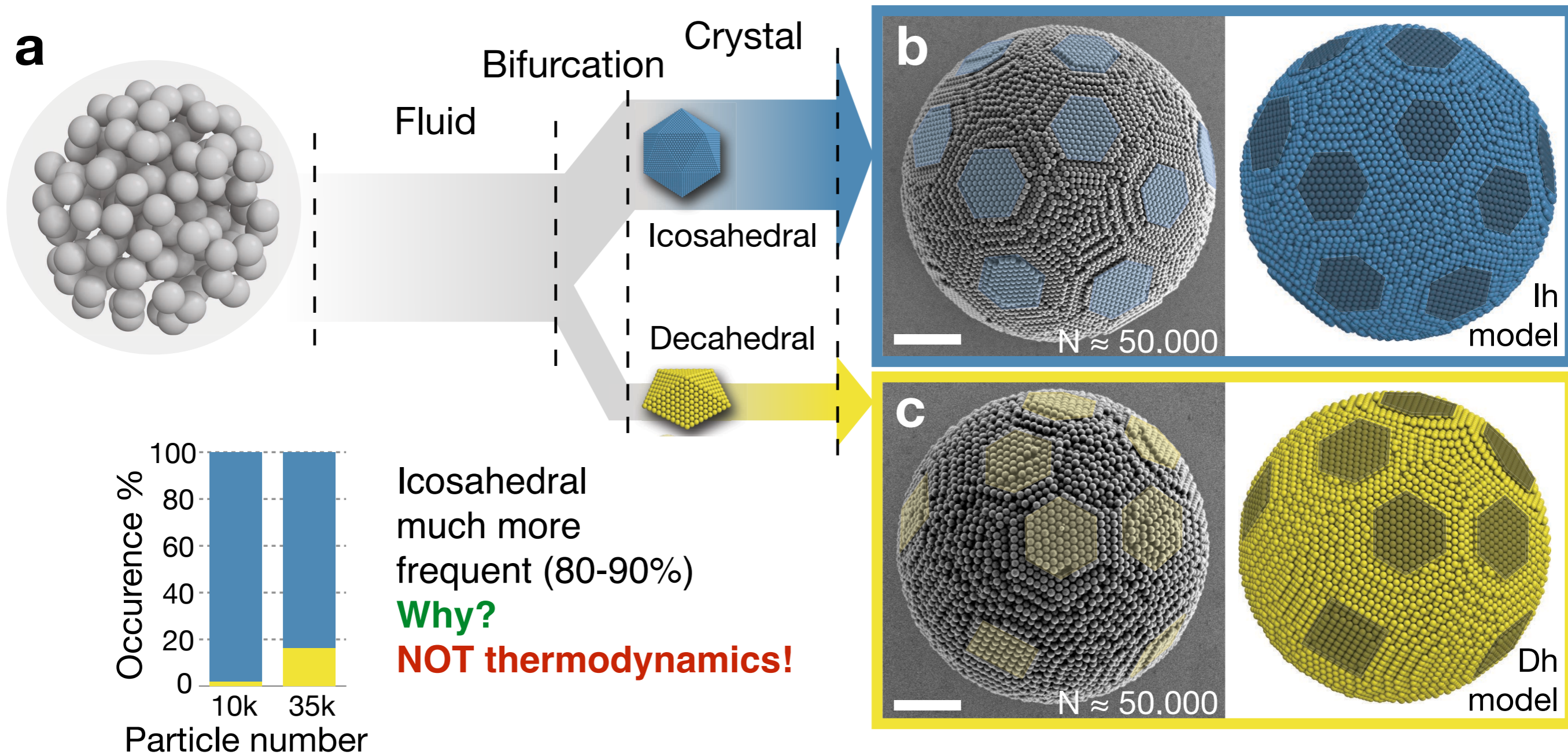
Transmission X-ray imaging (Spiecker group @ FAU)

- ZEISS Xradia 810 Ultra X-ray microscope
- imaging of $16\ \mu\text{m} \times 16\ \mu\text{m}$ large areas
- optical resolutions down to 50 nm (pixel size of 16 nm)



Competing pathways

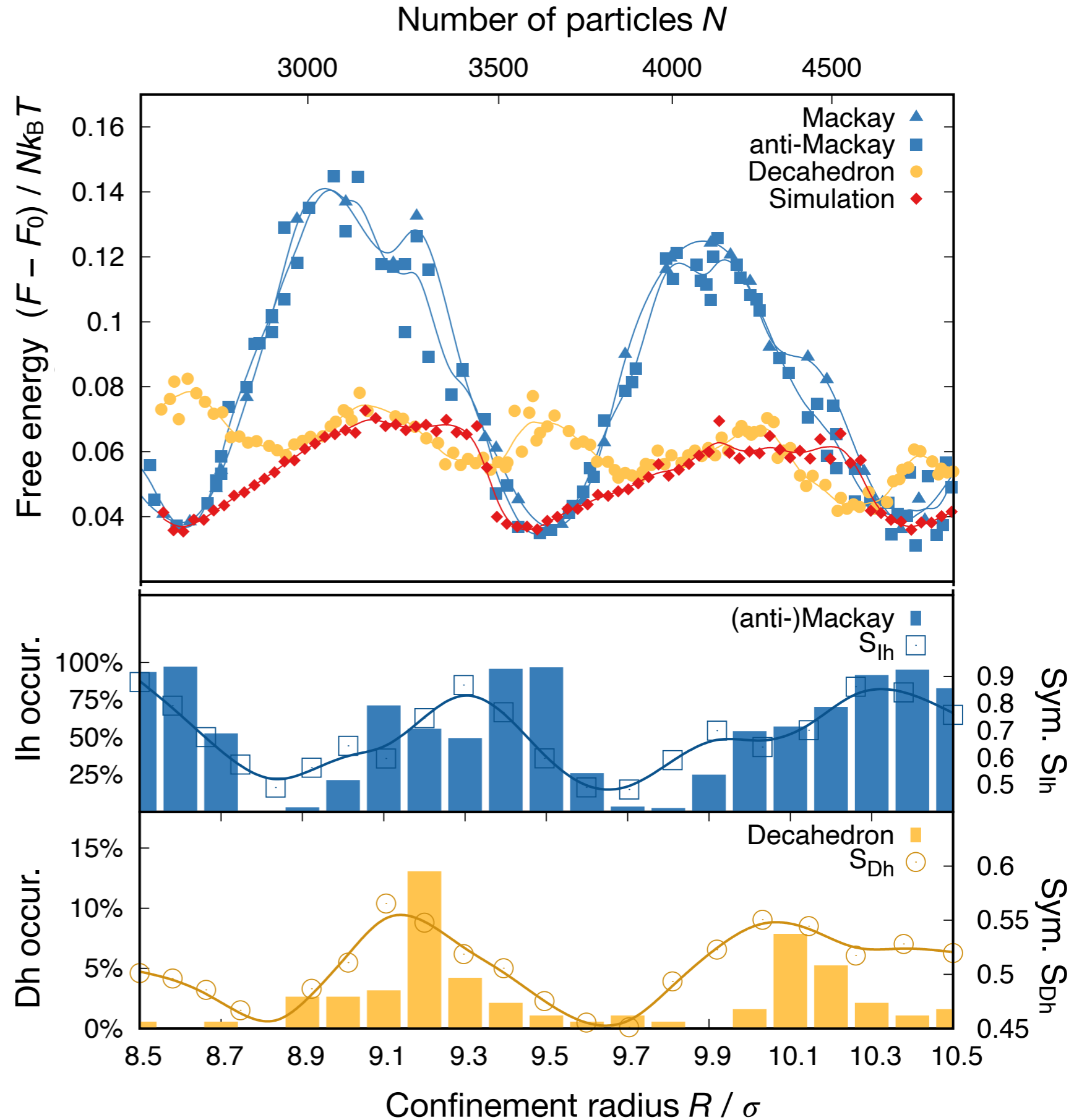
Structure characterization of icosahedral and decahedral colloidal cluster polymorphs



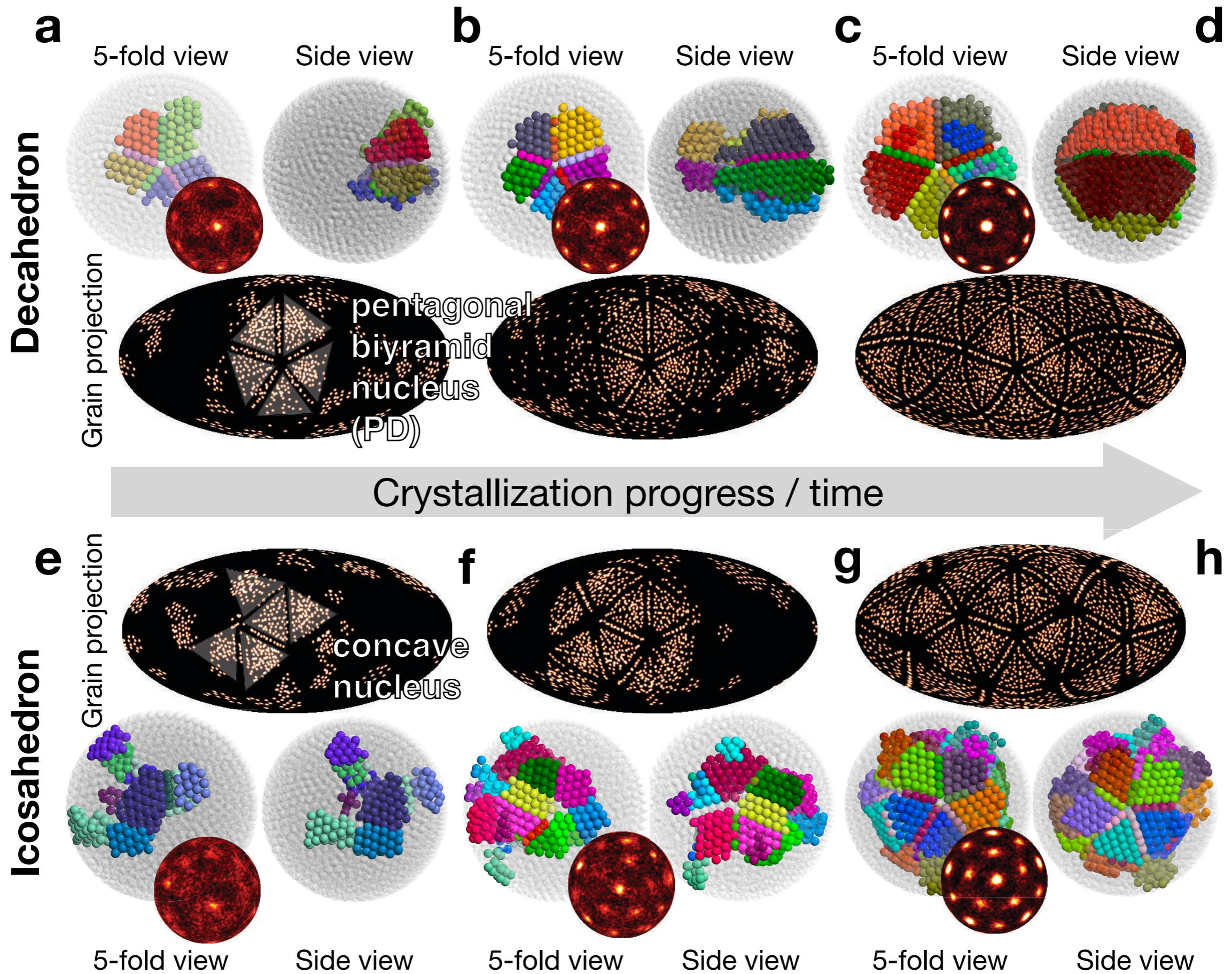
Thermodynamics of clusters

Free energy landscape of decahedral and icosahedral clusters

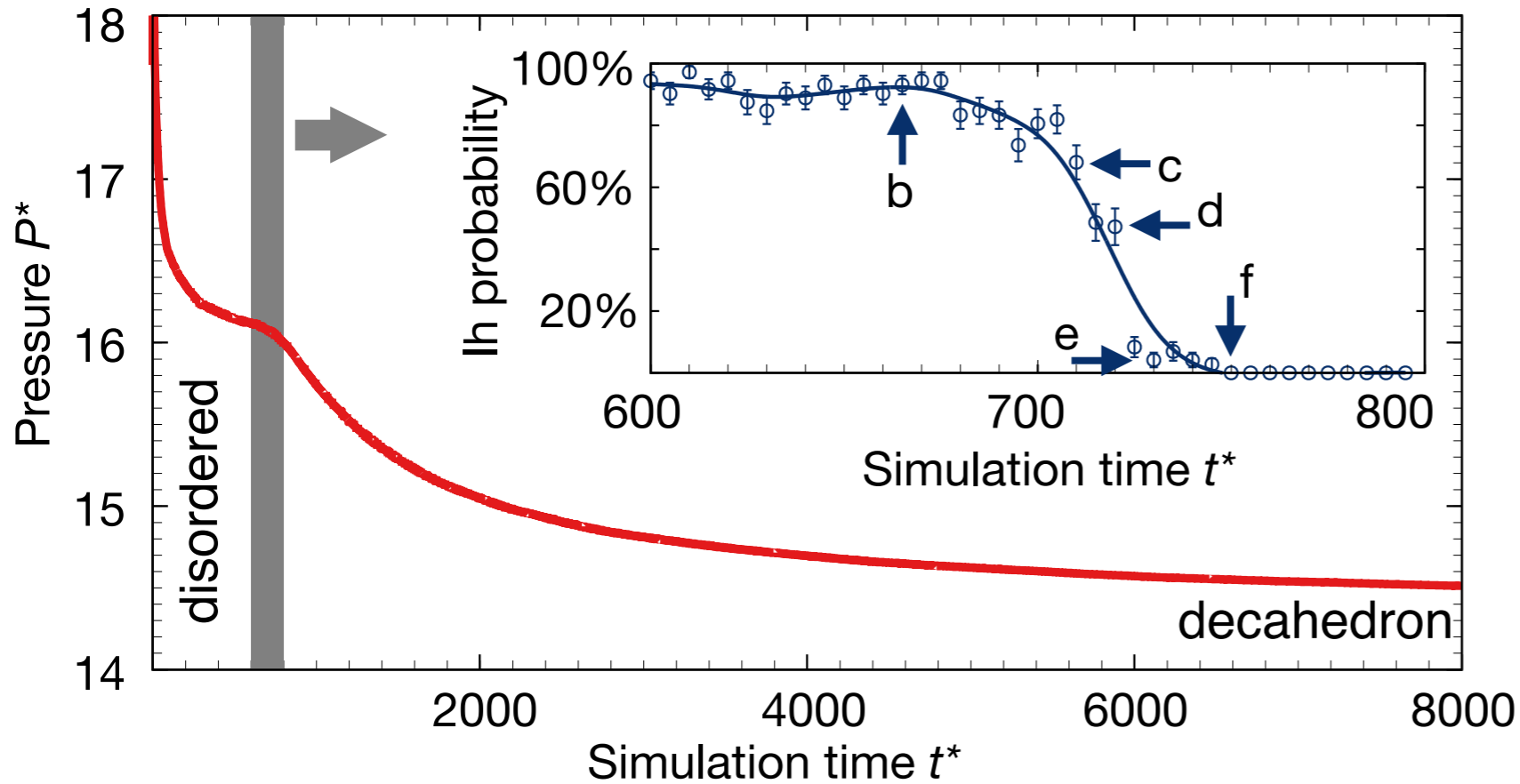
Occurrence statistics in simulation contradicts FE calculations



Kinetic crystallization pathways



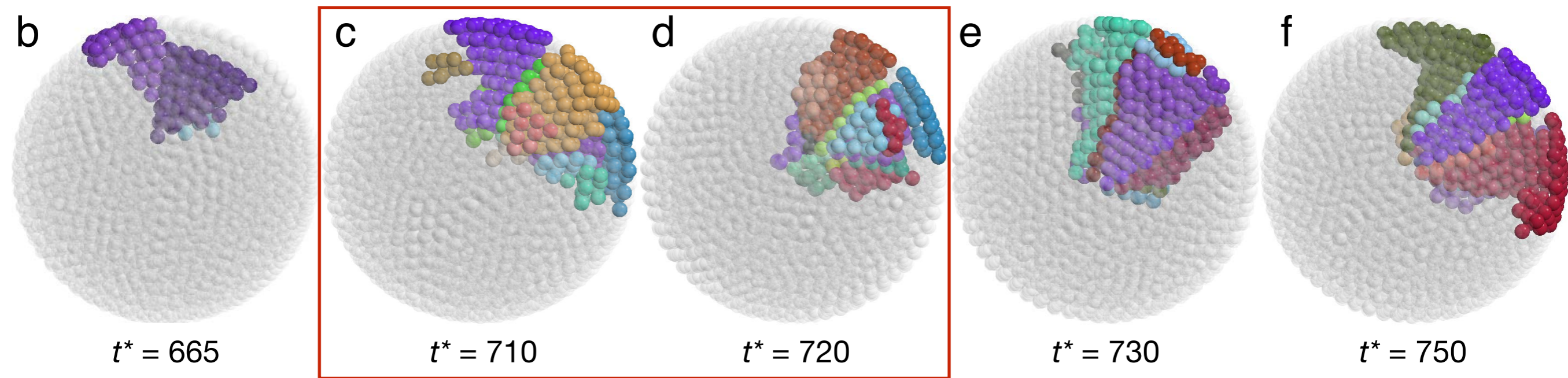
Bifurcation of crystallization trajectories



Probability of forming a compact PD nucleus is:

$$2/5 \times 2/6 \approx 13\%$$

~ probability of observing decahedra



At the critical point, five grains are present in the compact pentagonal bipyramid (PB) configuration.

Outline

- Self-assembly of complex crystals on the computer
- Relationship between structure and formation pathways
- Finite magic number clusters
- **Role of polydispersity**
- Modeling anisotropic patchy interactions
- Simulating crystal growth (incomplete)

Opals are naturally occurring colloidal crystals

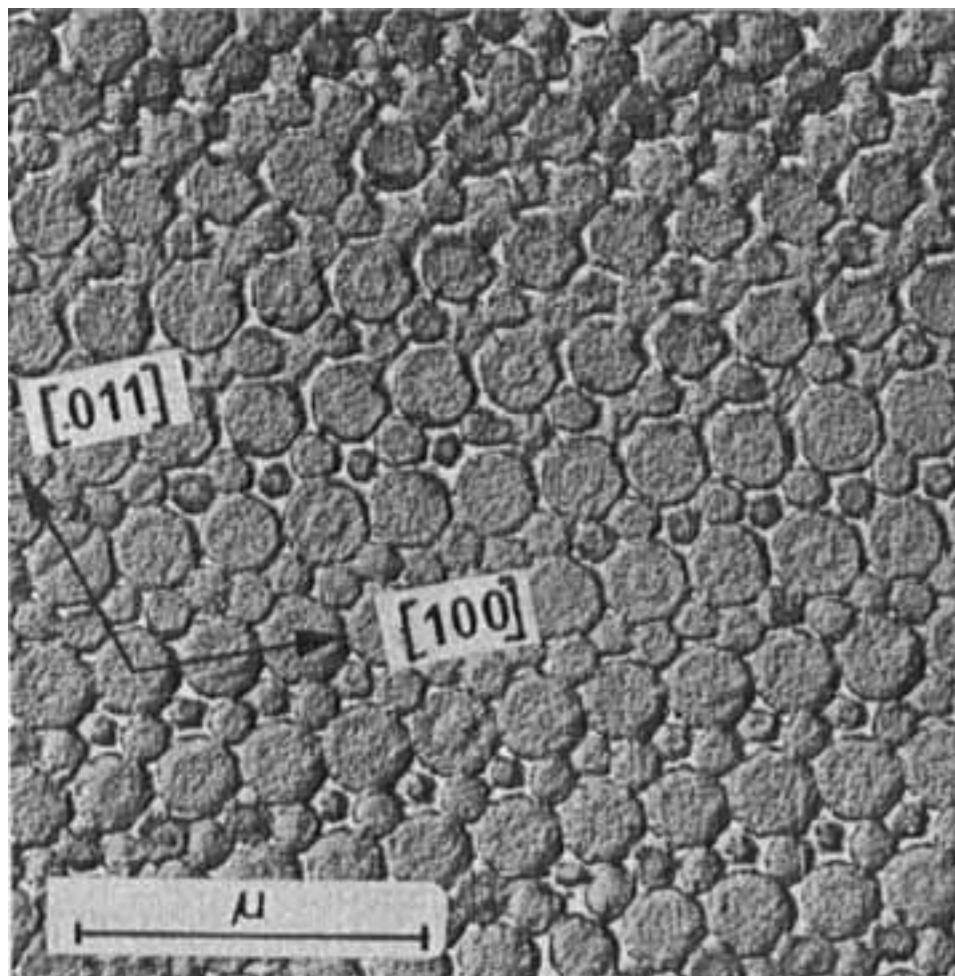
Opal = “hydrated aggregation of silica colloidal spheres ($\text{SiO}_2 \cdot n\text{H}_2\text{O}$)”

Colloids in nature initially size-disperse (?)

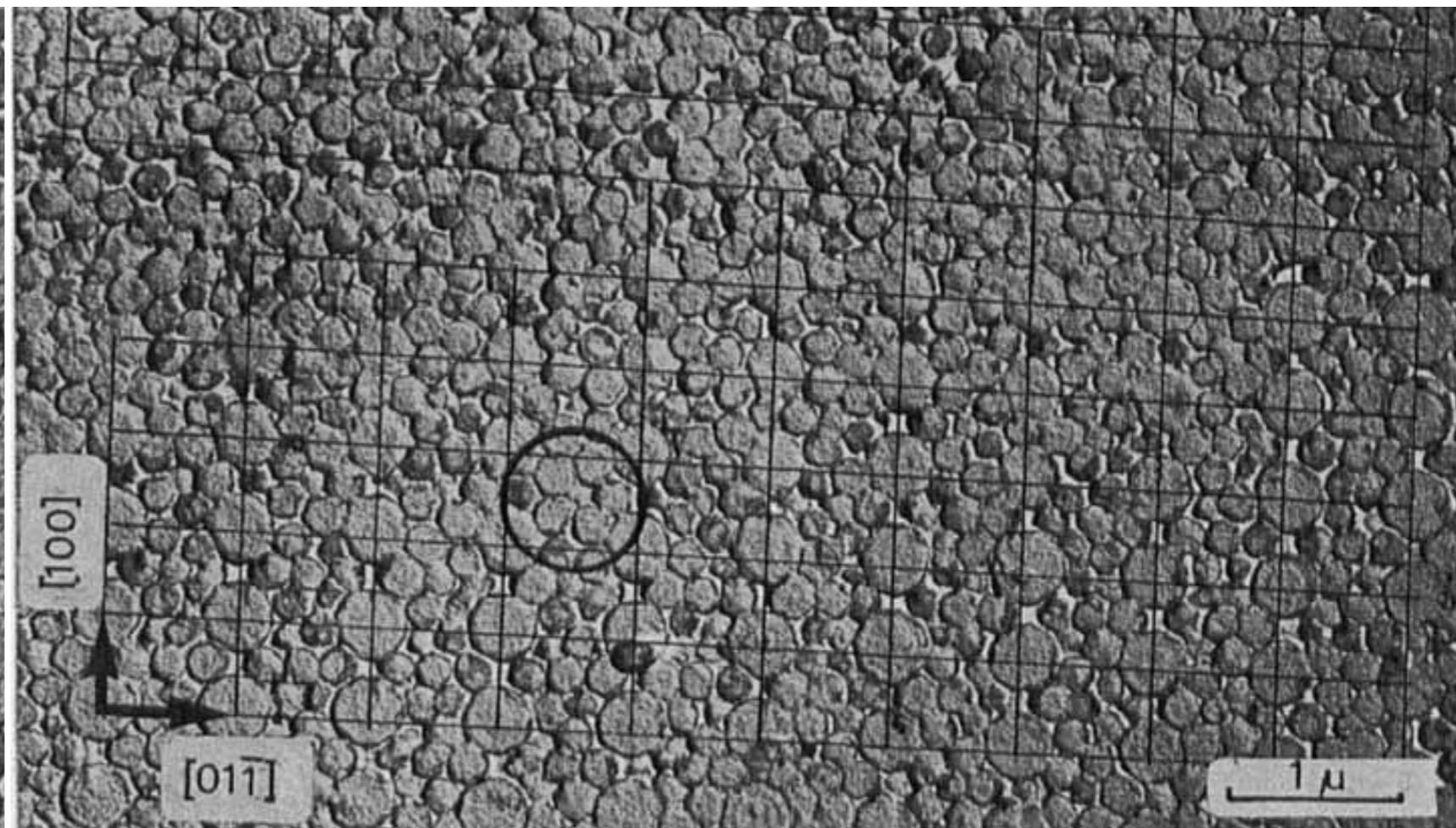
Some opals form binary crystals.

- Sanders, *Phil. Mag. A* **42**, 705 (1980)

How is this possible?

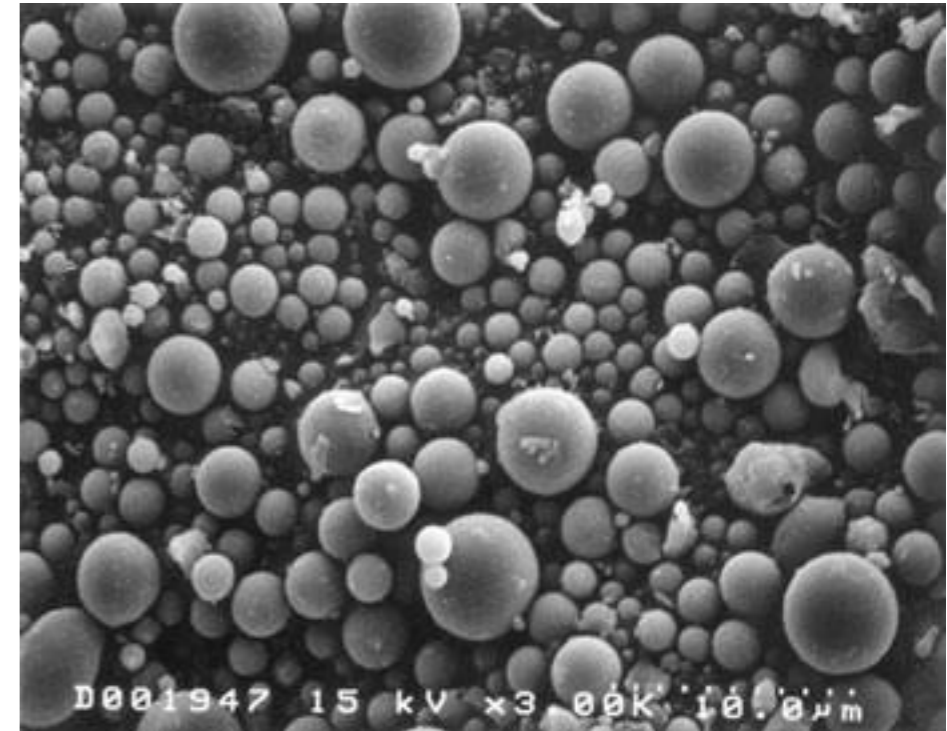
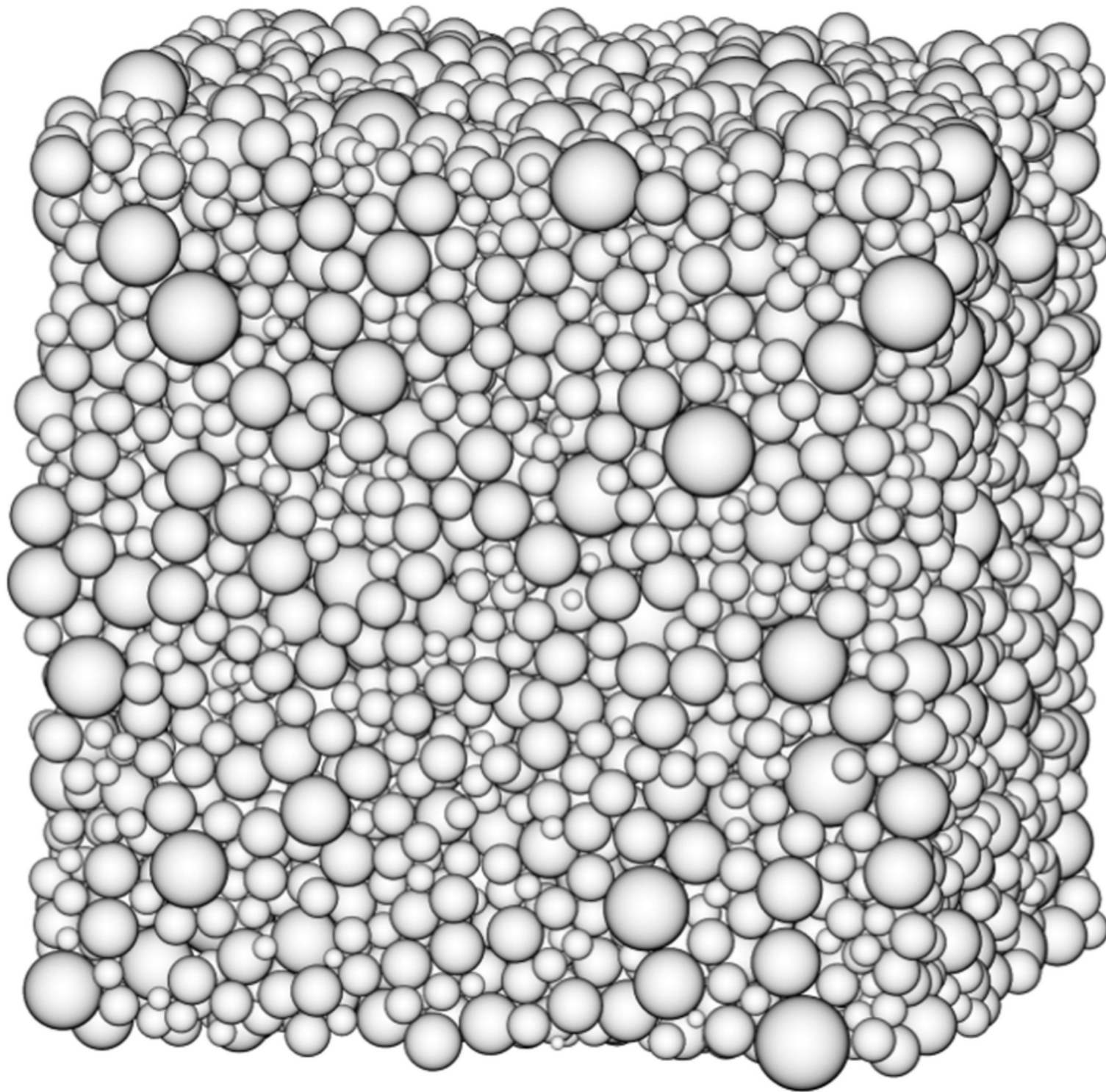


AB₂

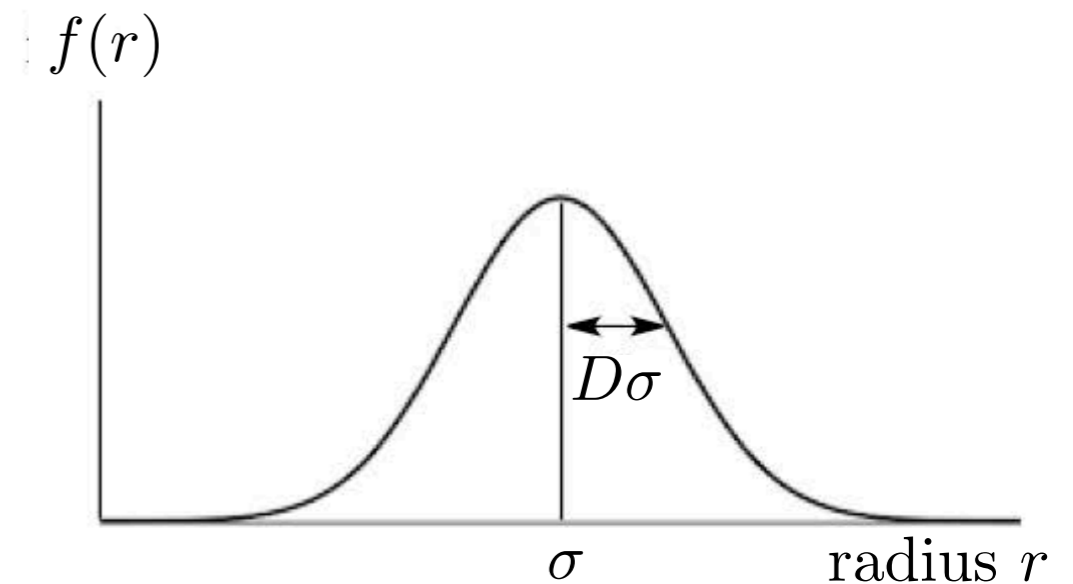


AB₁₃

Size-disperse hard sphere mixture

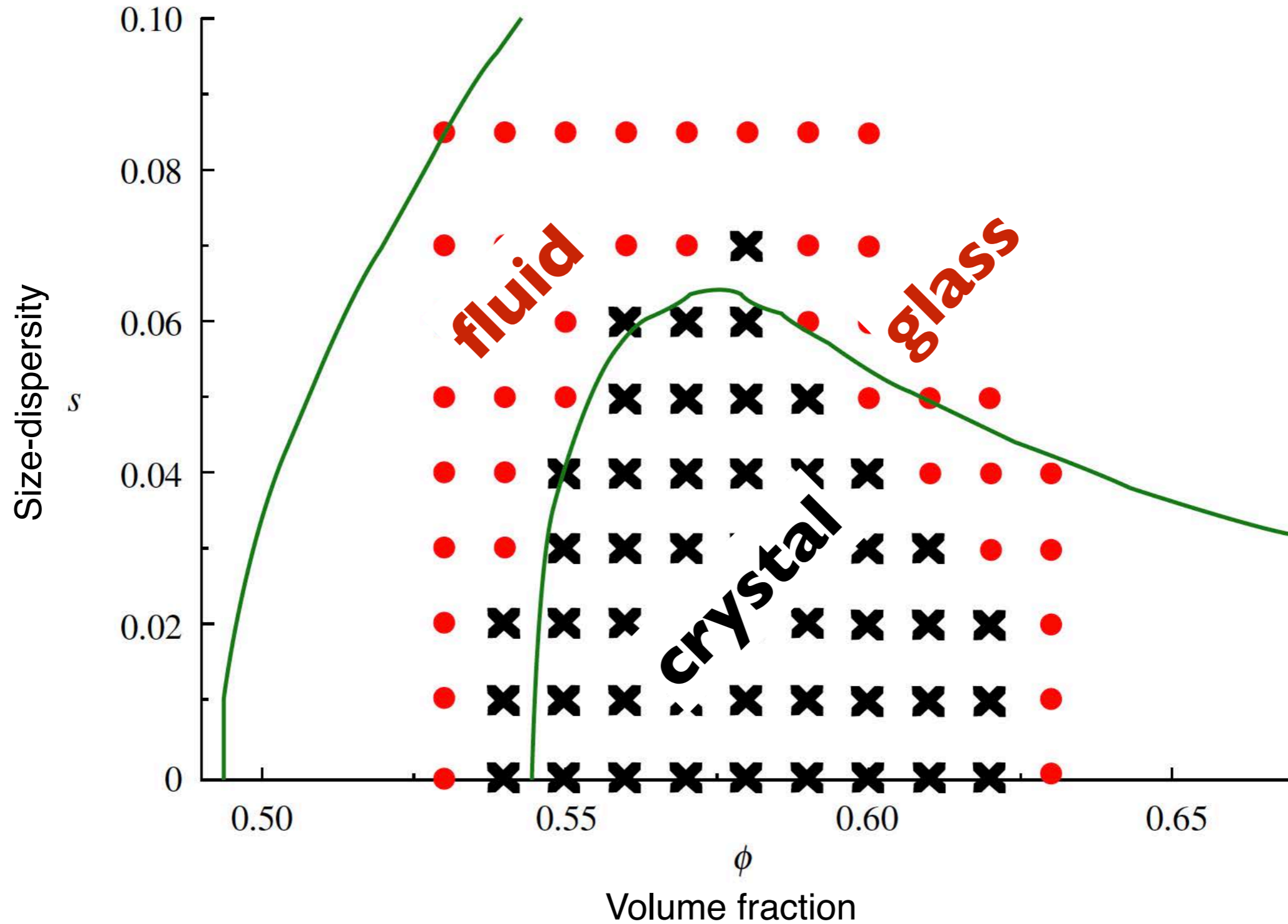


fly ash from combustion
U.S. Department of Transportation



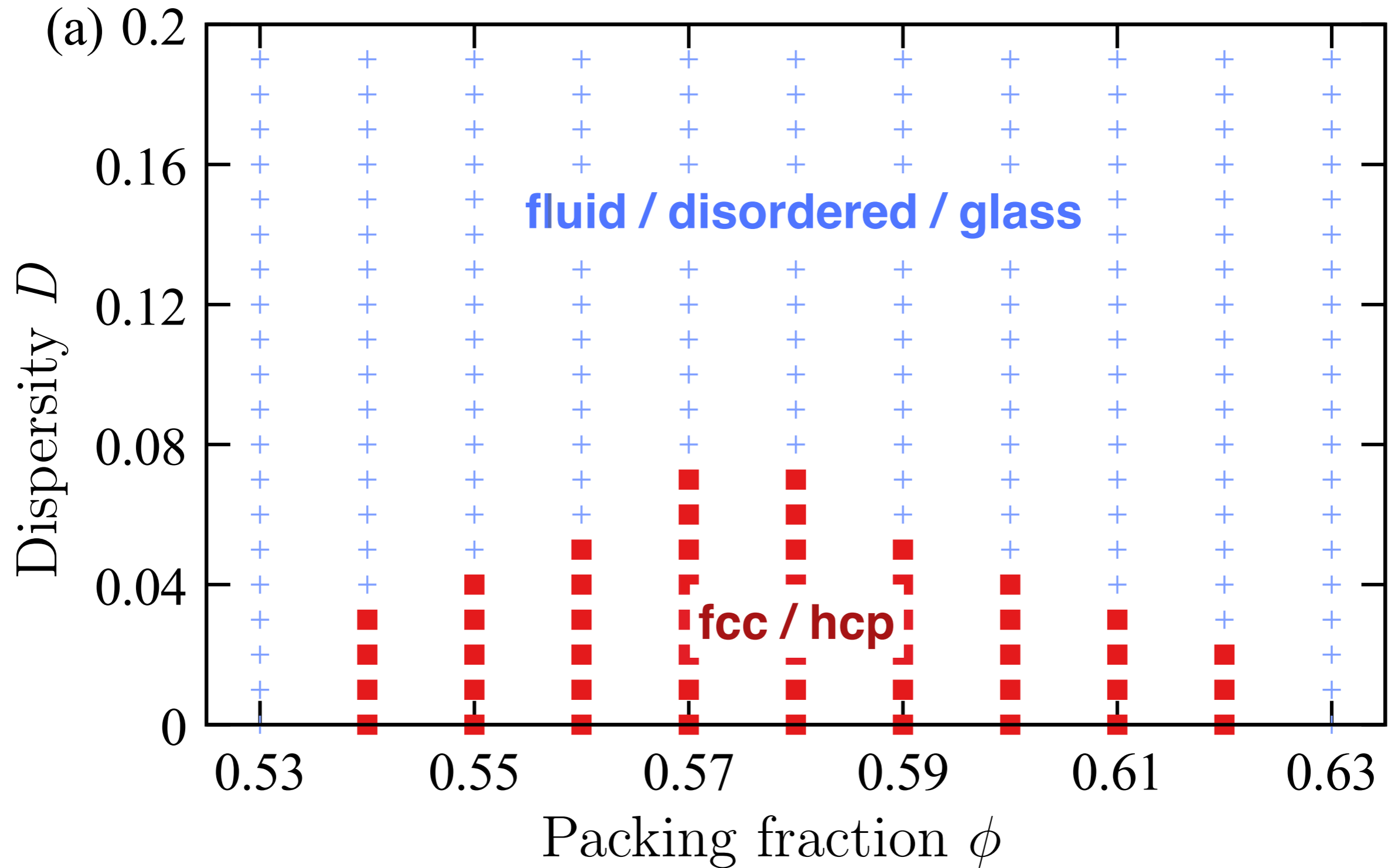
Gaussian radius distribution: $f(r) \propto \exp\left(-\frac{(2r/\sigma - 1)^2}{2D^2}\right)$ ← dispersity

Crystallization diagram from simulation

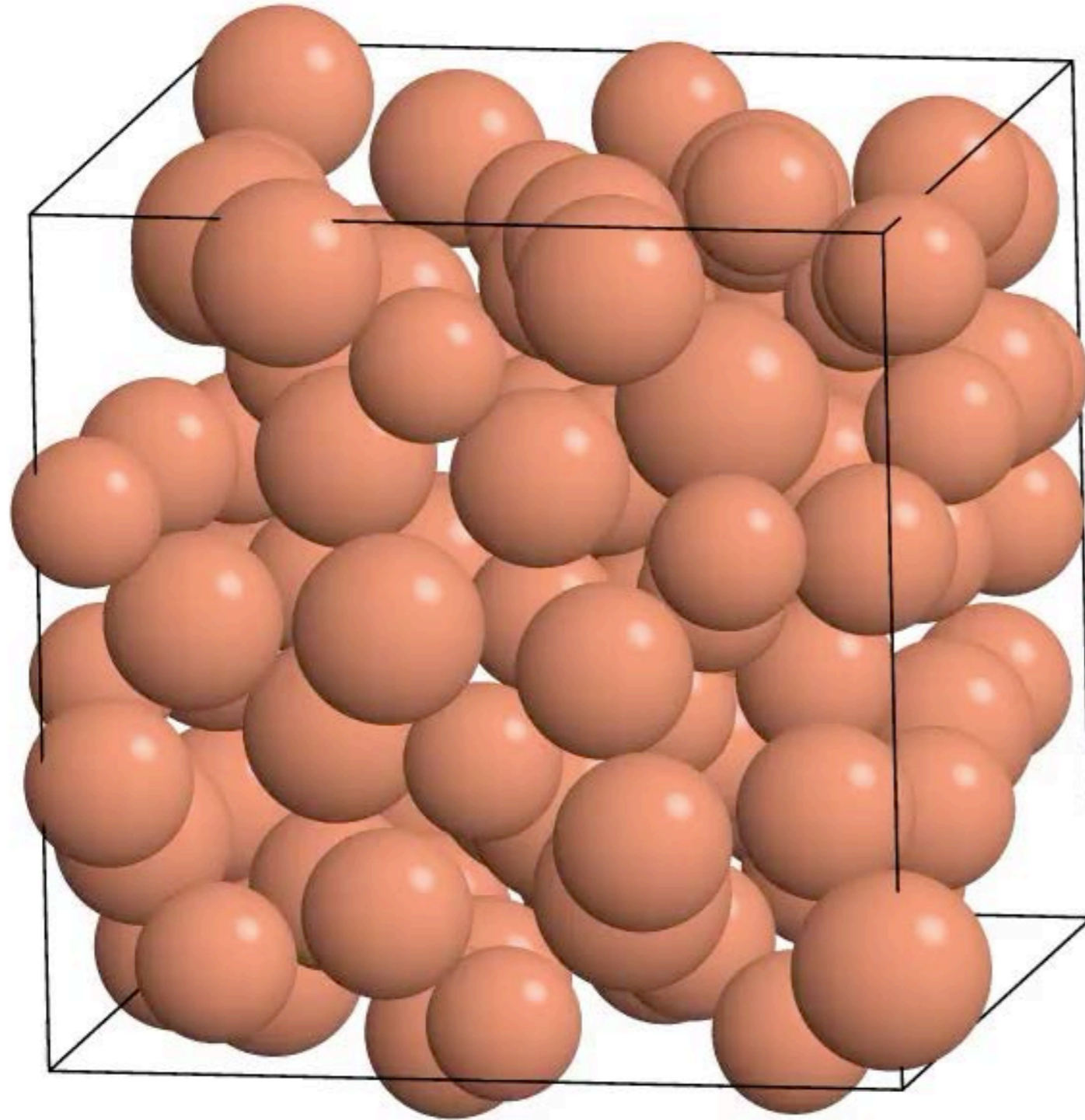


E. Zaccarelli, C. Valeriani, E. Sanz, W.C.K. Poon, M.E. Cates, P.N. Pusey
PRL **2009**, 103, 135704

Molecular dynamics of hard spheres

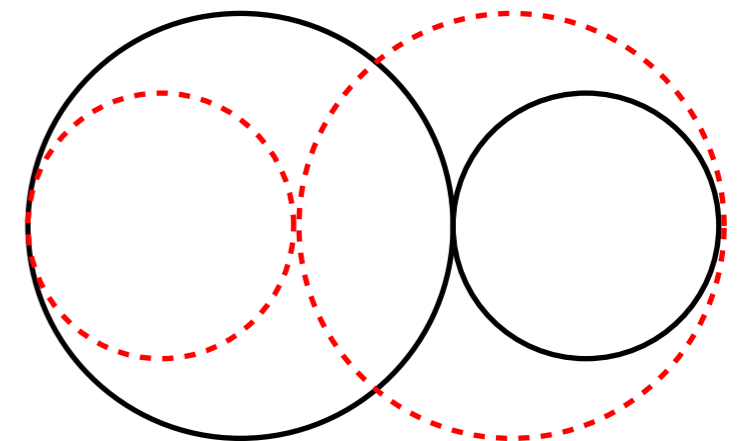


Event-driven molecular dynamics with swap

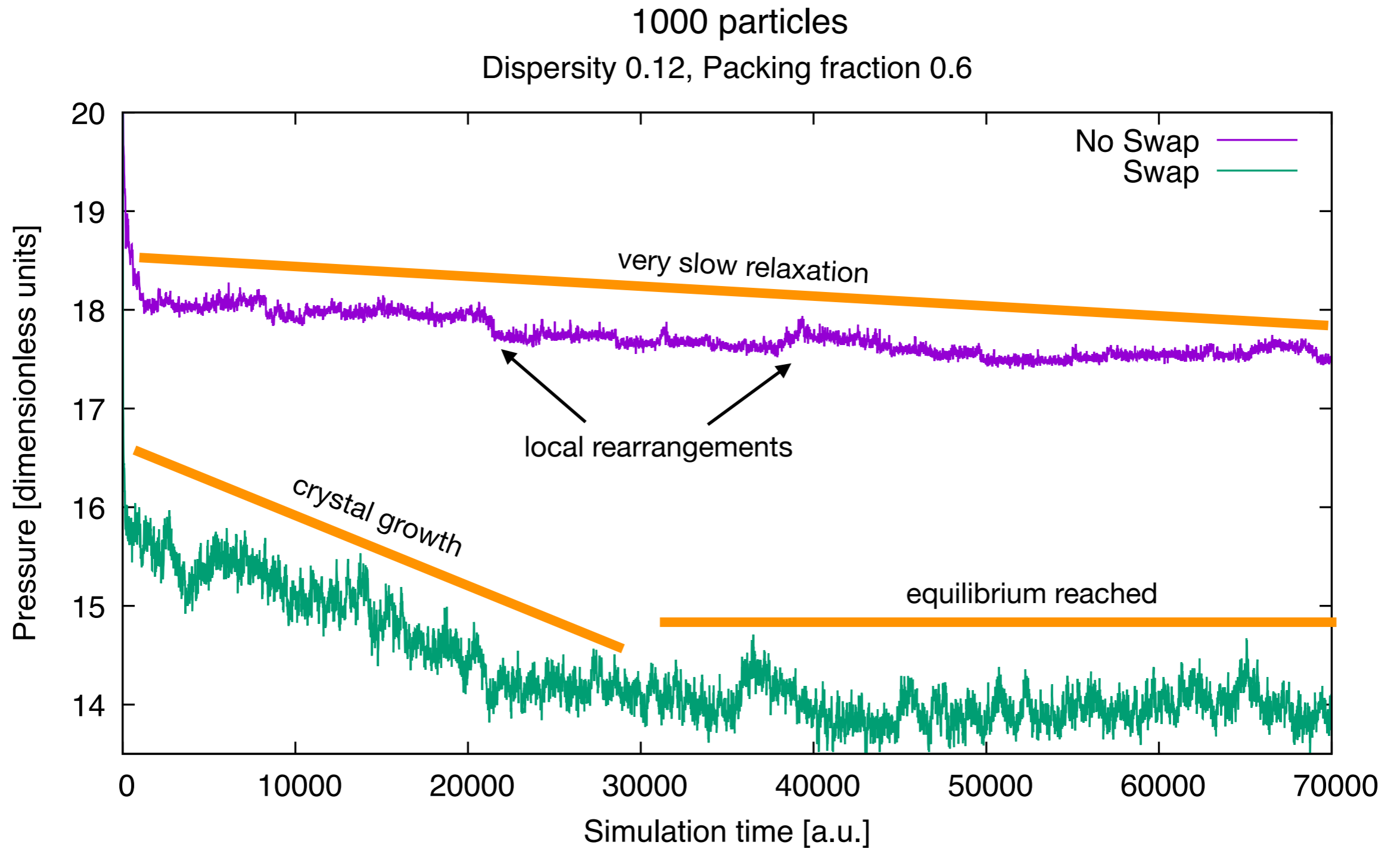


Swap particles at
each collision
(if possible)

- Kranendonk, Frenkel
*J. Phys. Condens.
Matter* **1**, 7735 (1989)
- Berthier et al.
Phys. Rev. Lett. **116**,
238002 (2016)



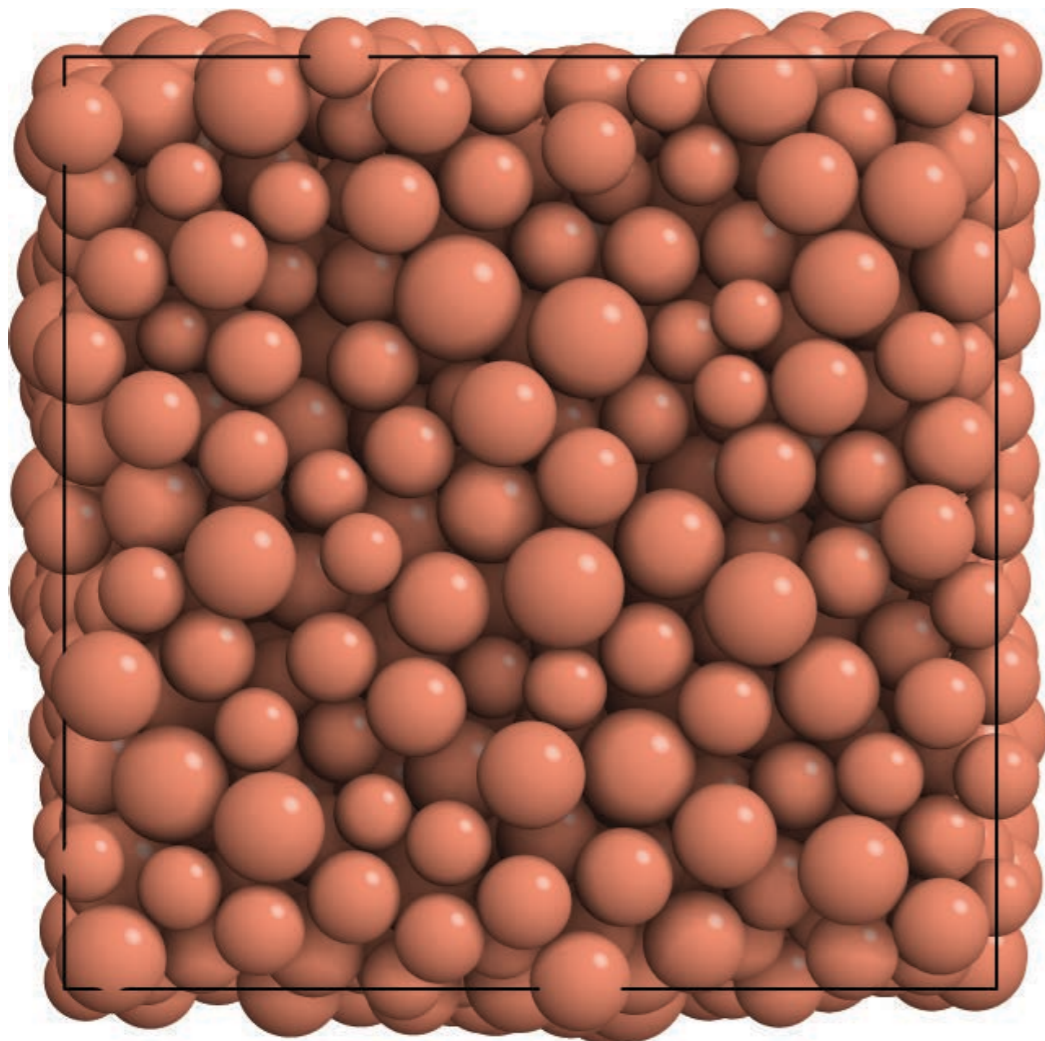
Orders of magnitude speed-up by swap moves



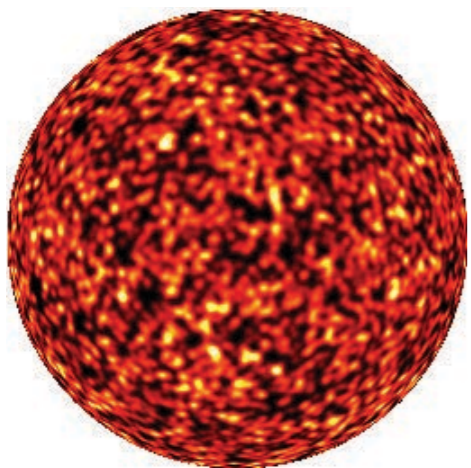
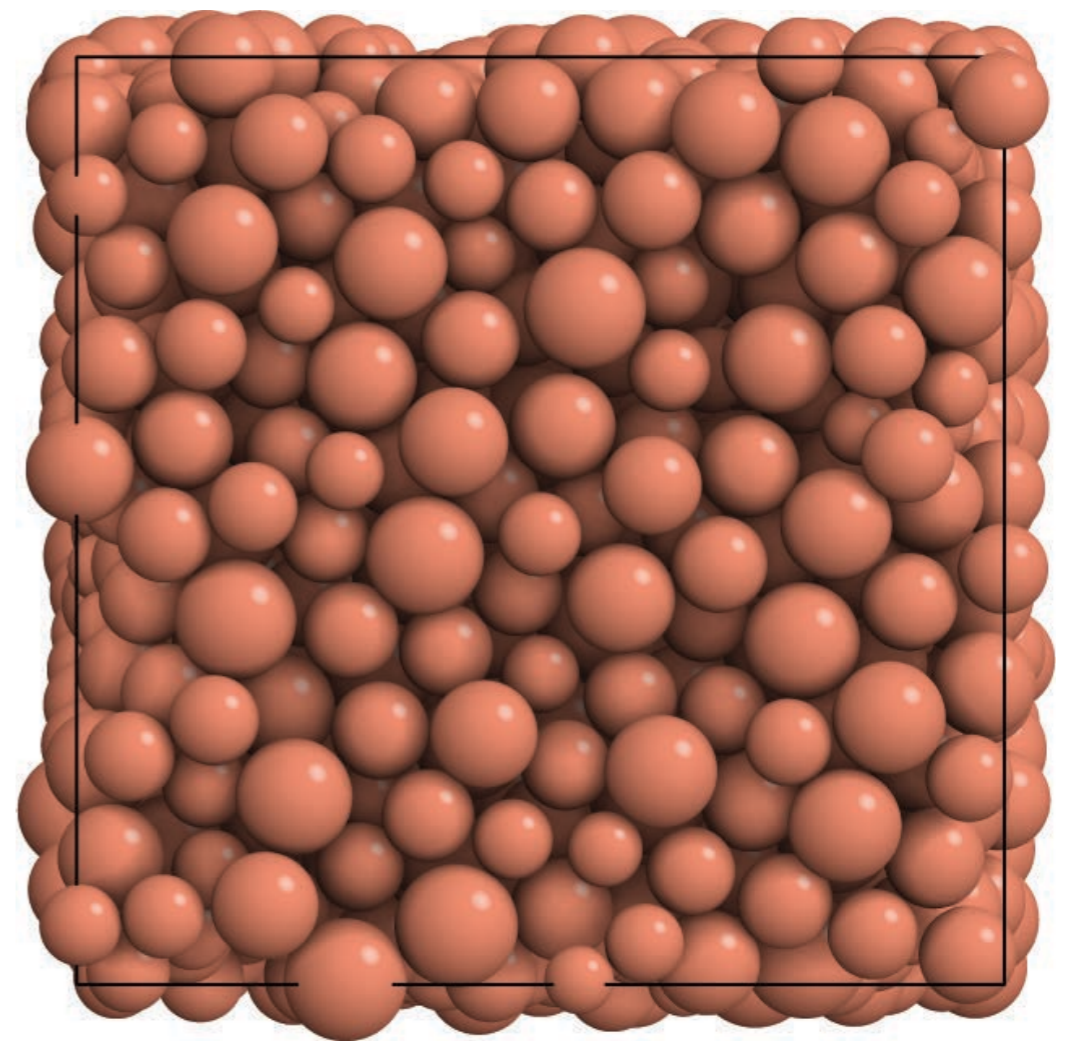
about 1.5 CPU hours in total

Crystal structure analysis

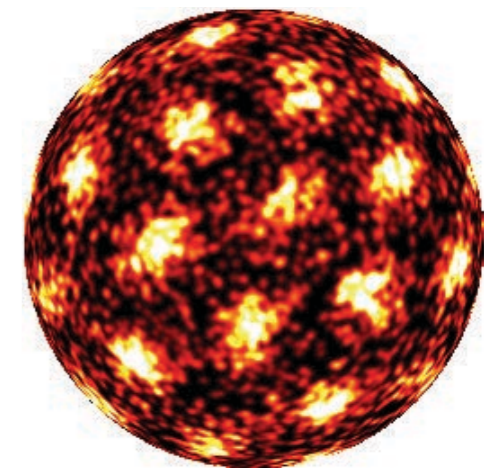
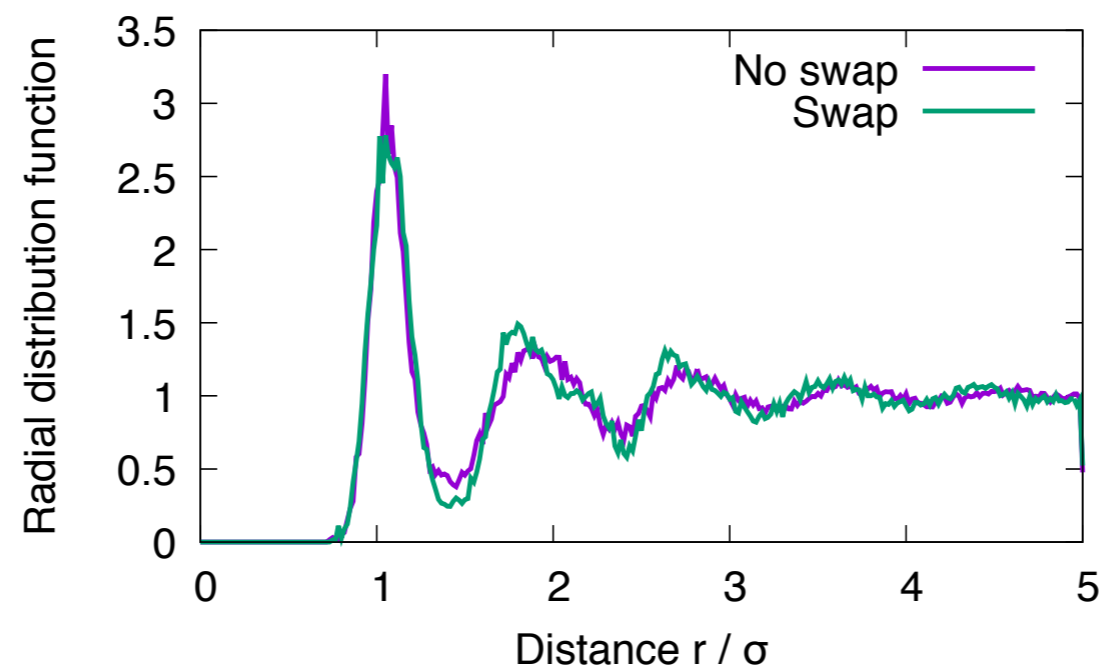
No swap



Swap

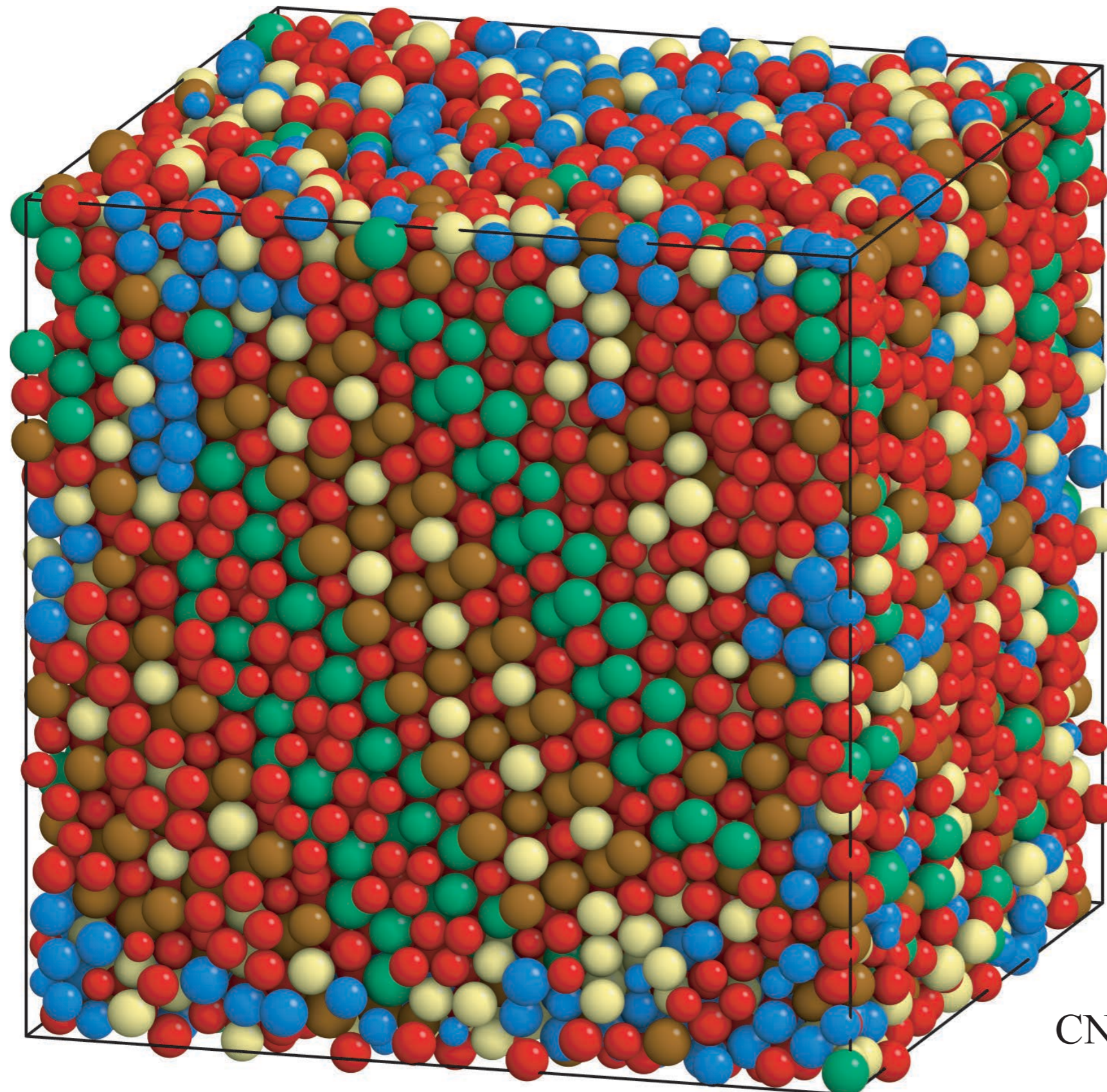


Bond orientational order diagram (BOD)








Bond orientational order diagram (BOD)

Ordering observed *via* coordination number

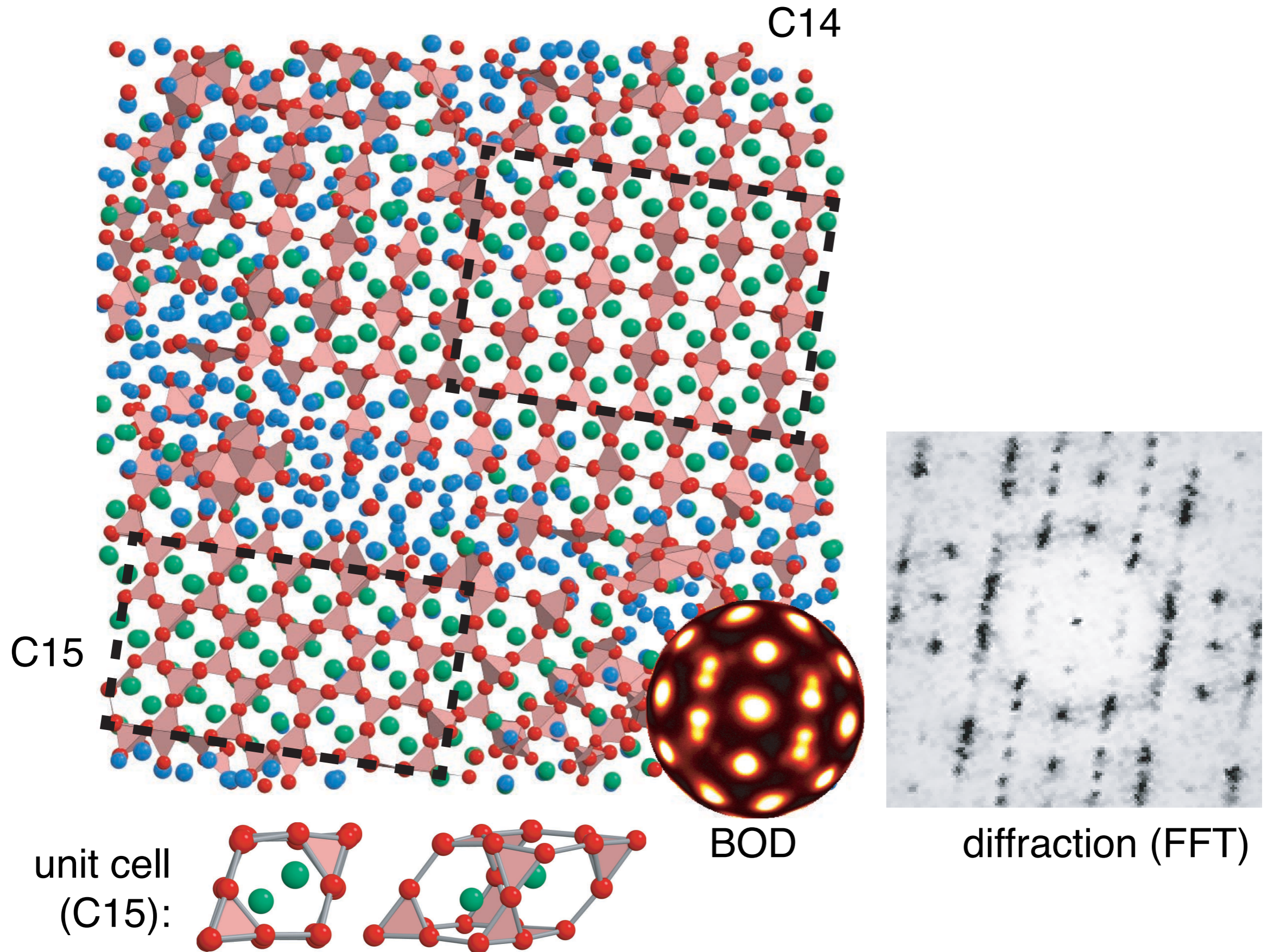


Particles colored by coordination number (CN)

- low CN = small particles
- high CN = large particles

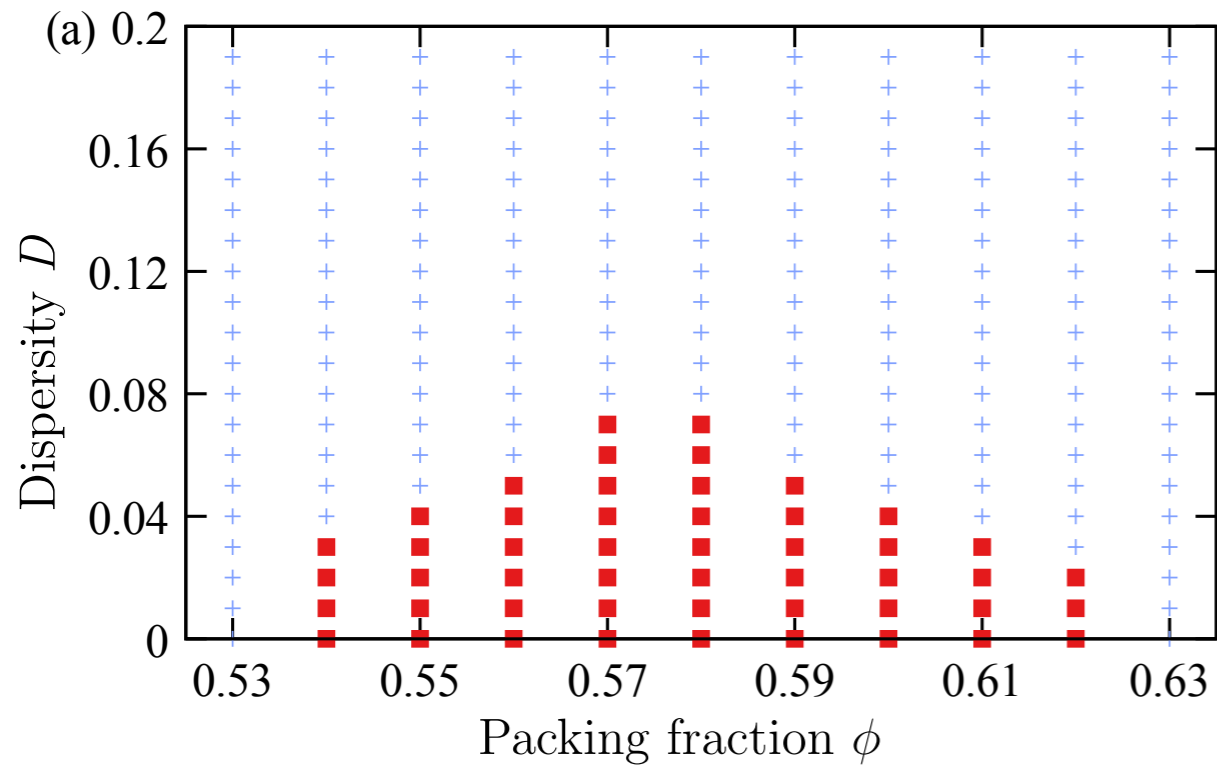
    
CN = 12 14 15 16 other

Discovery of Laves phases

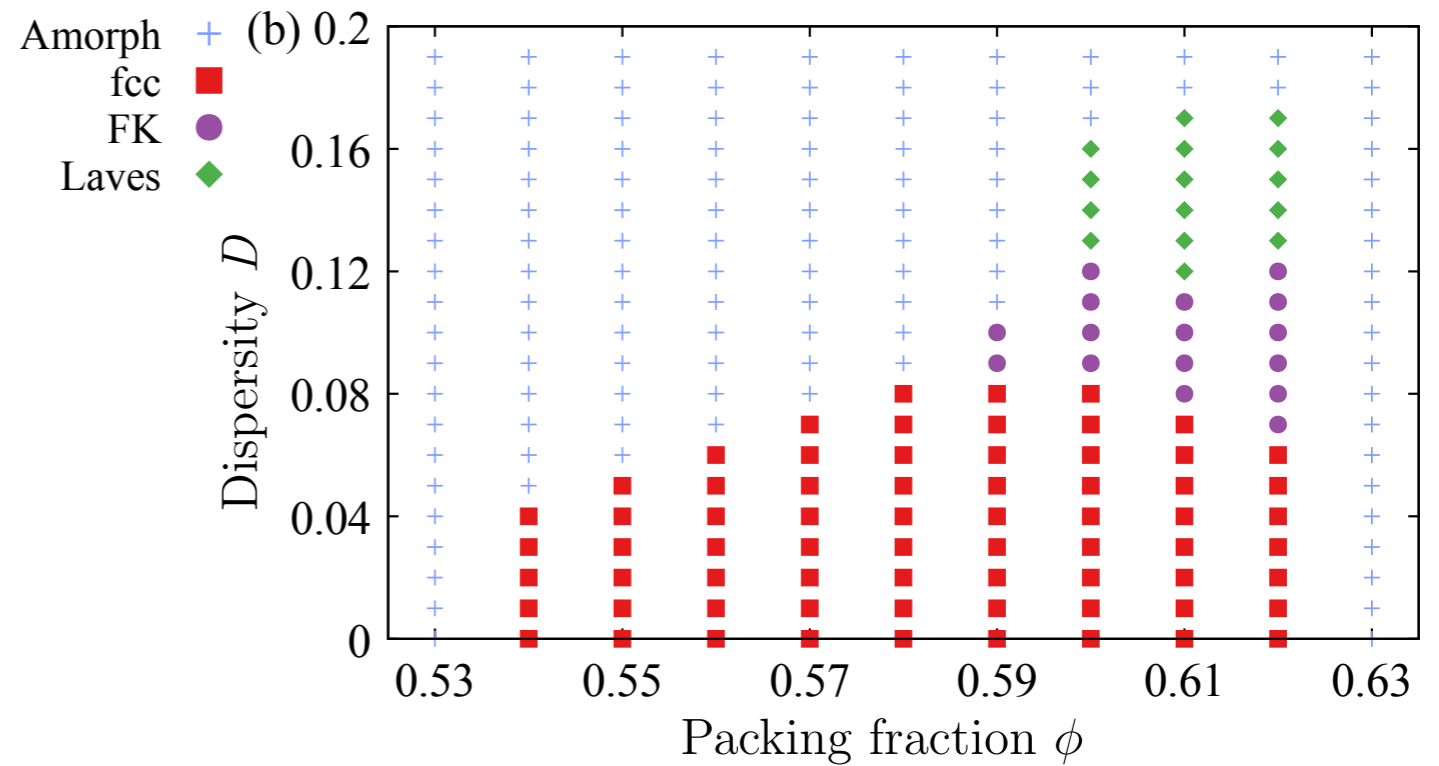


Phase observation diagram with swap algorithm

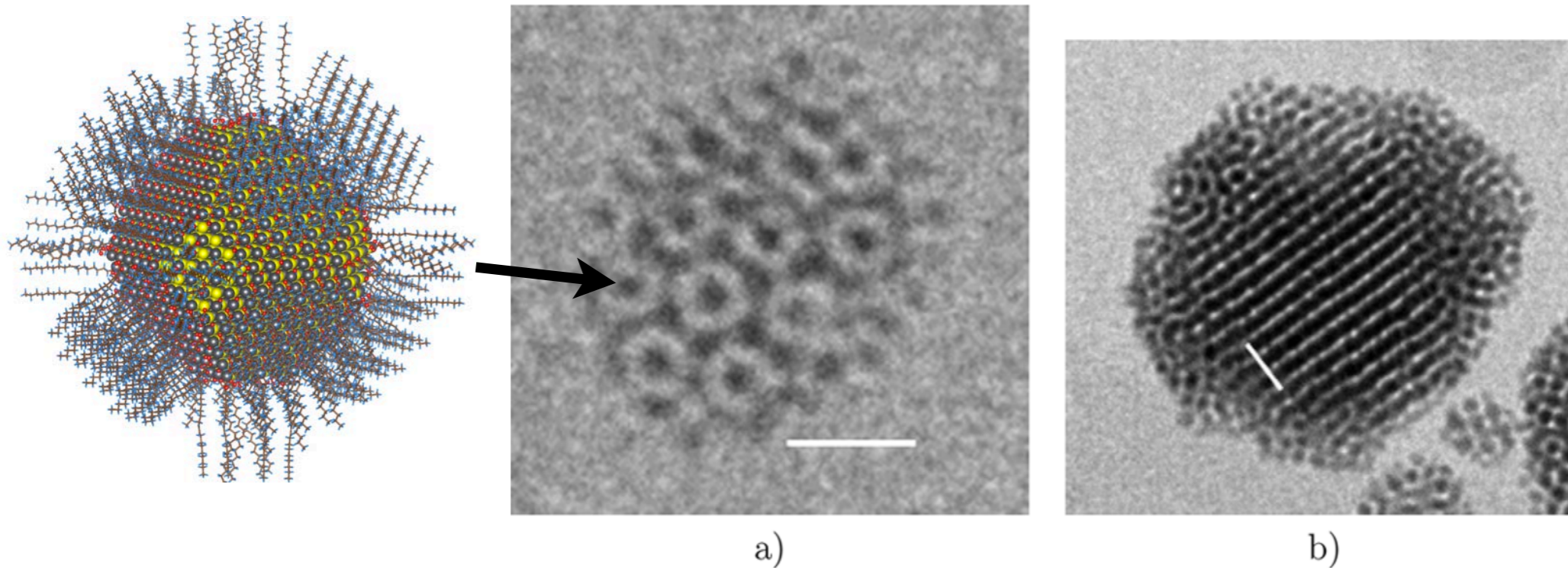
without swap



with swap



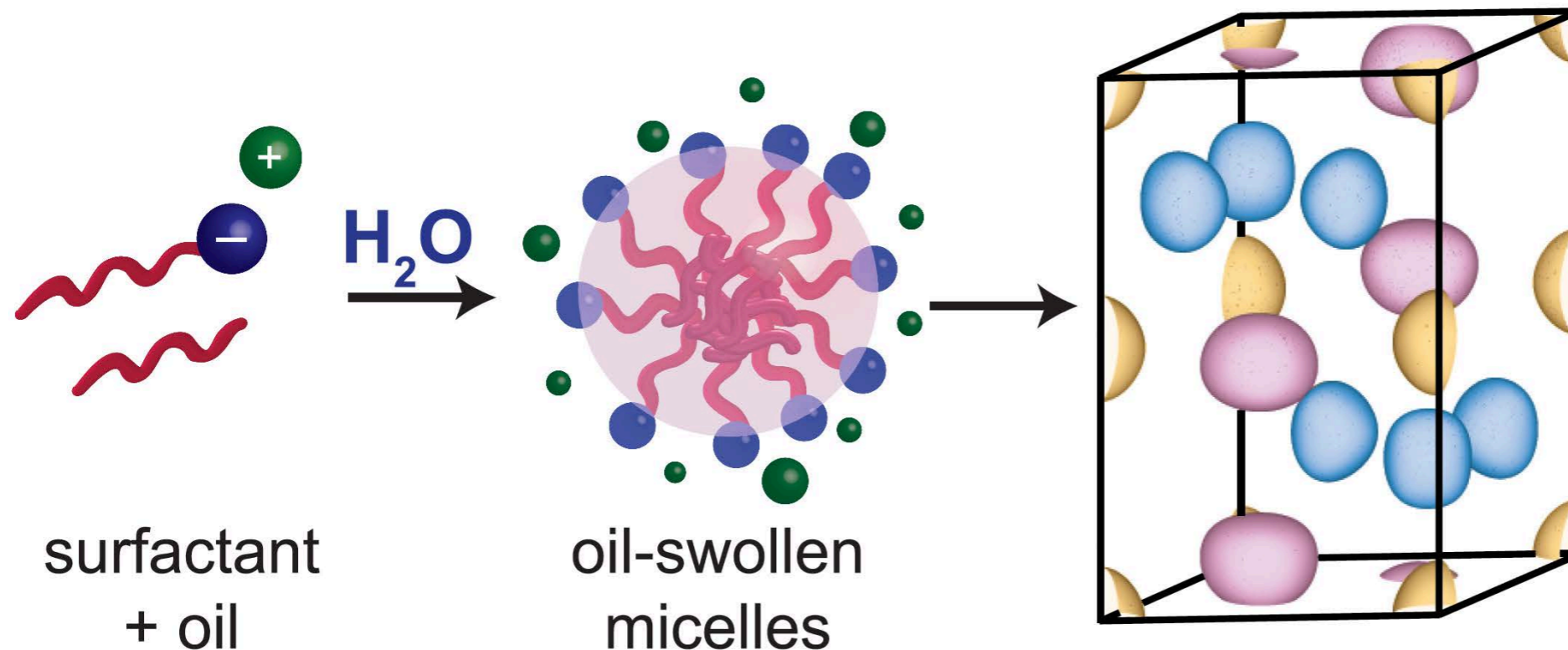
Laves phases in one-component systems



2.5 nm diameter
Au nanocrystals

C14 Laves phase

S. Hajiw, B. Pansu,
J.-F. Sadoc, *ACS
Nano* **2015**, 9, 8116



soft spherical
micelles from
hydrated mixture
TMADec-40

C14 + C15 Laves

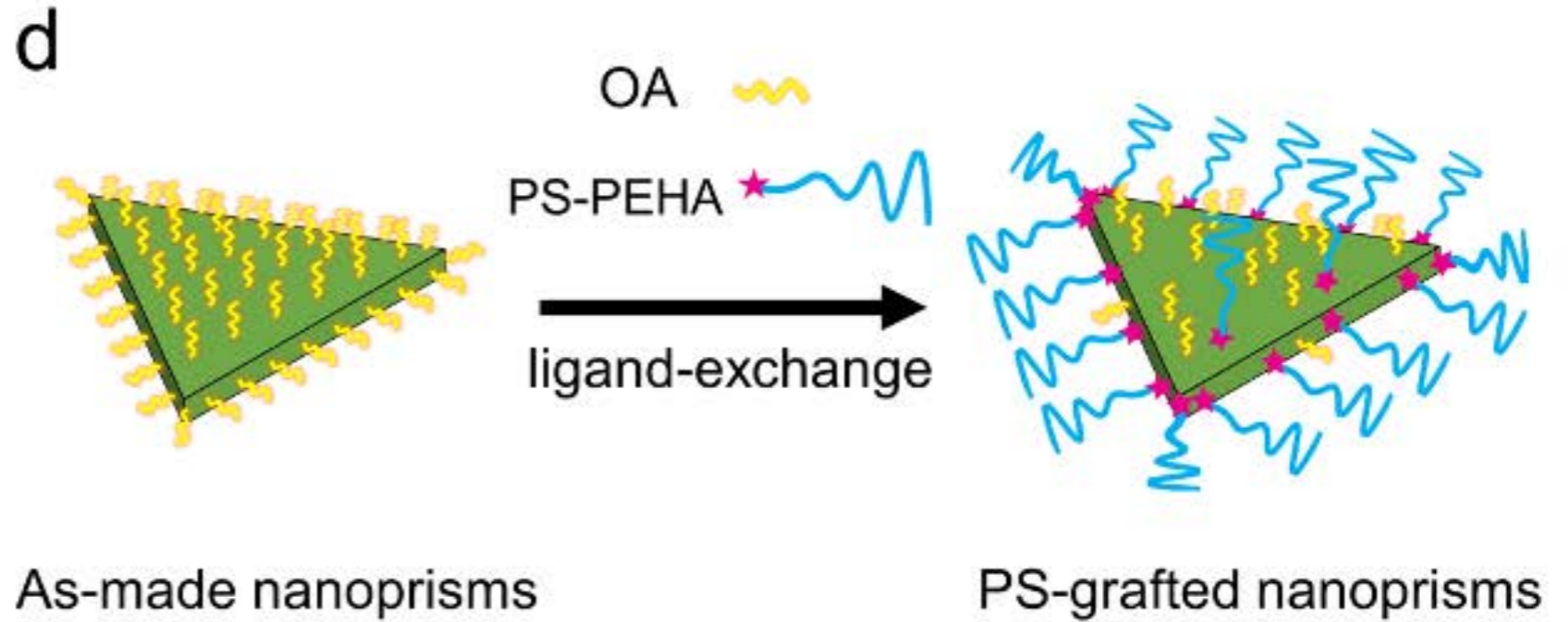
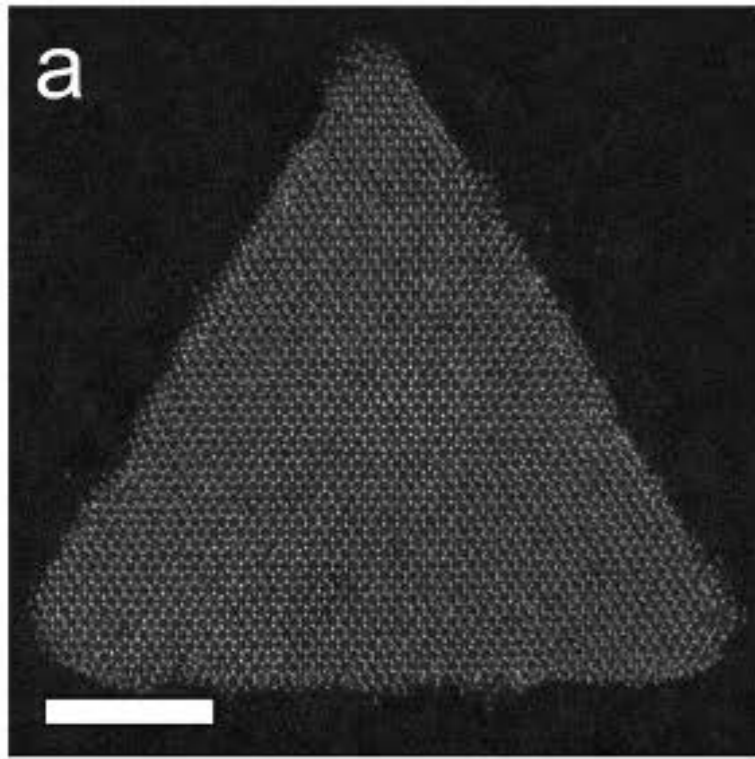
C.M. Baez-Cotto,
M.K. Mahanthappa,
ACS Nano **2018**,
12, 3226

Outline

- Self-assembly of complex crystals on the computer
- Relationship between structure and formation pathways
- Finite magic number clusters
- Role of polydispersity
- **Modeling anisotropic patchy interactions**
- Simulating crystal growth (incomplete)

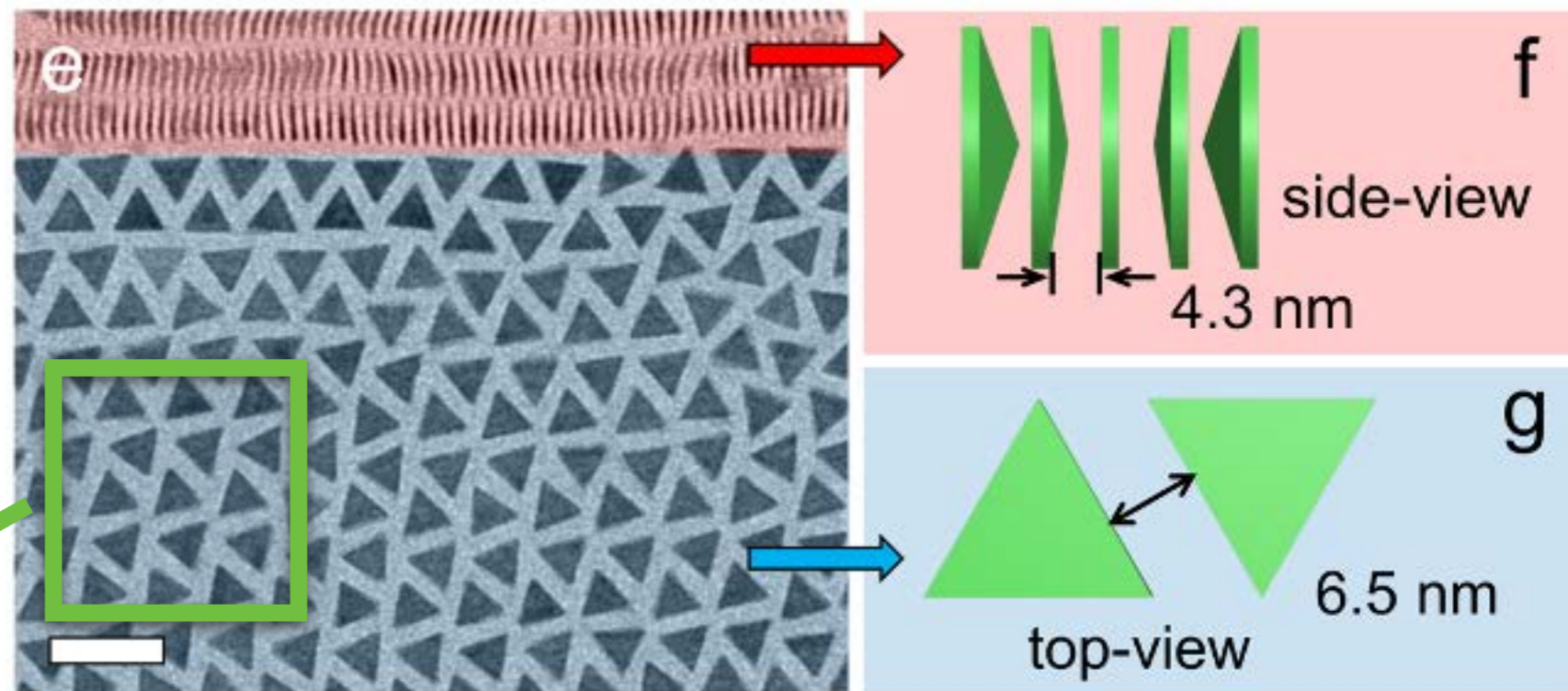
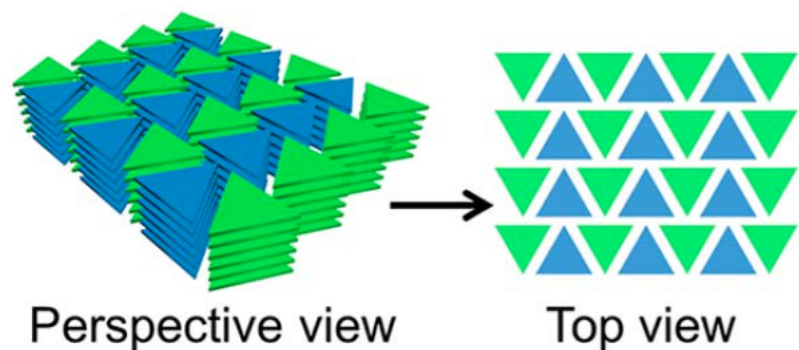
Anisotropic patchy interactions

PS-grafted Gd_2O_3 triangular nanoprisms @ Ye lab (Indiana)

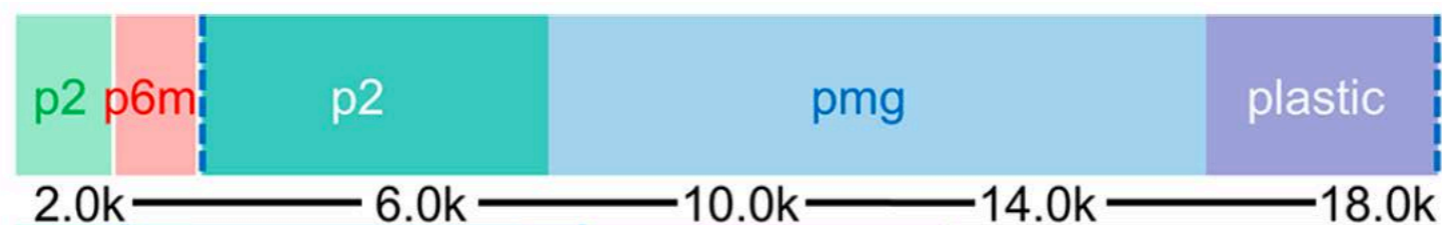
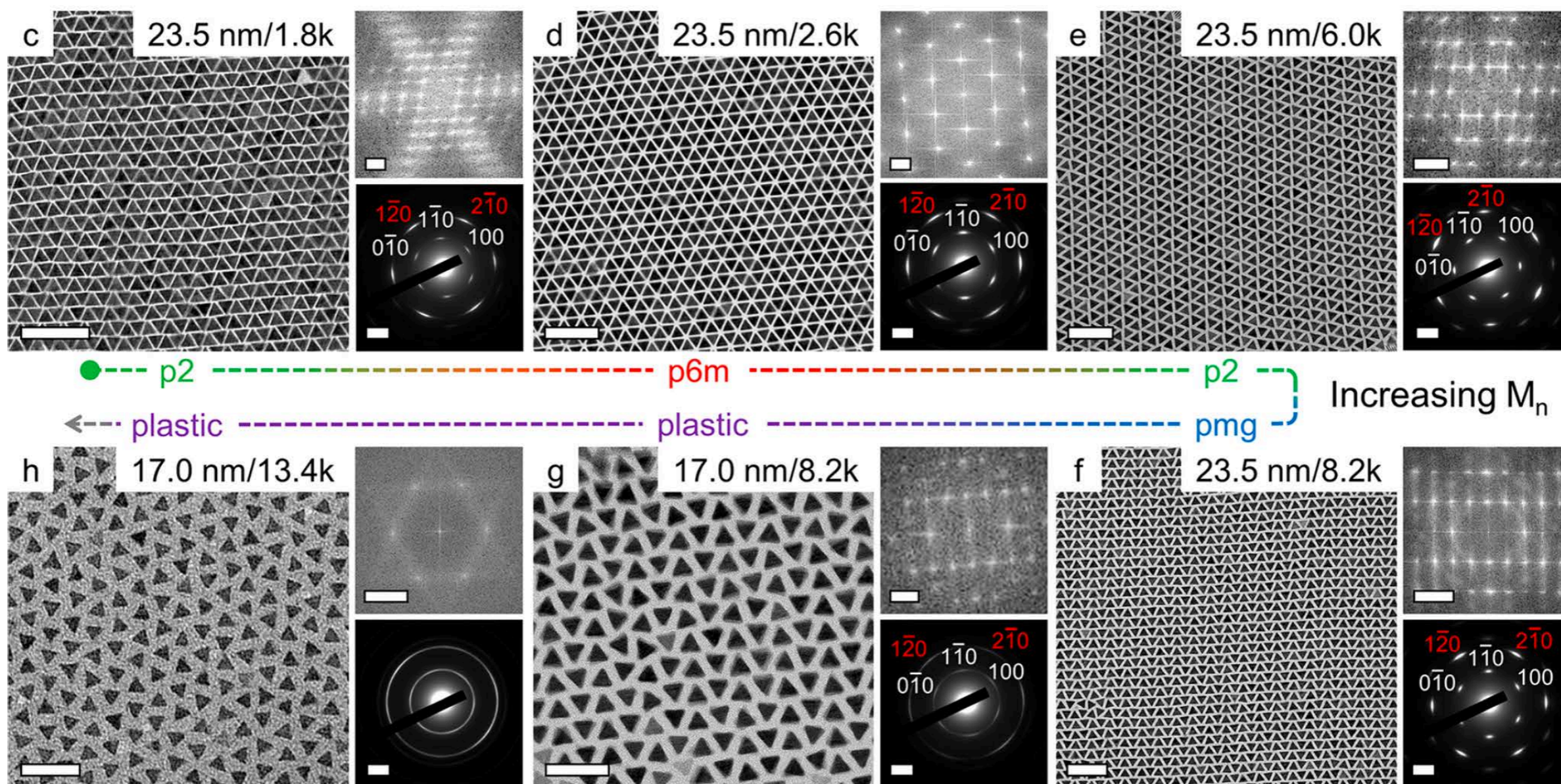


Assembly:

- columns
- laying down (stacked)



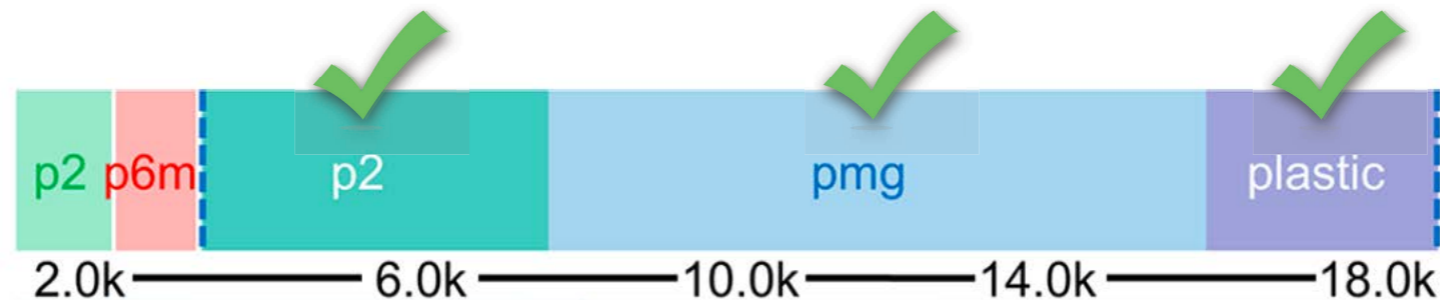
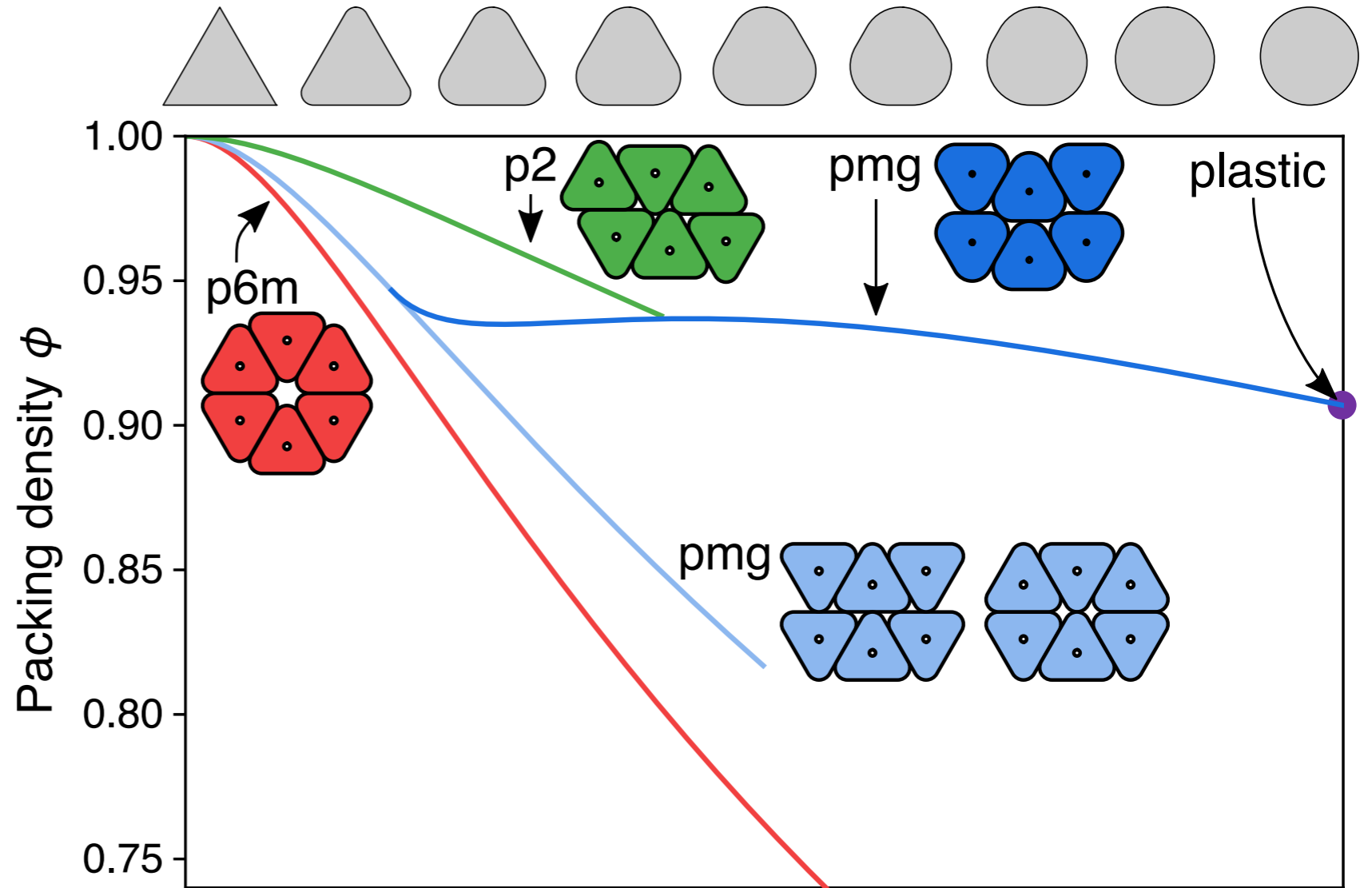
Self-assembly of triangles



Level 1: Densest packing

Analytic construction

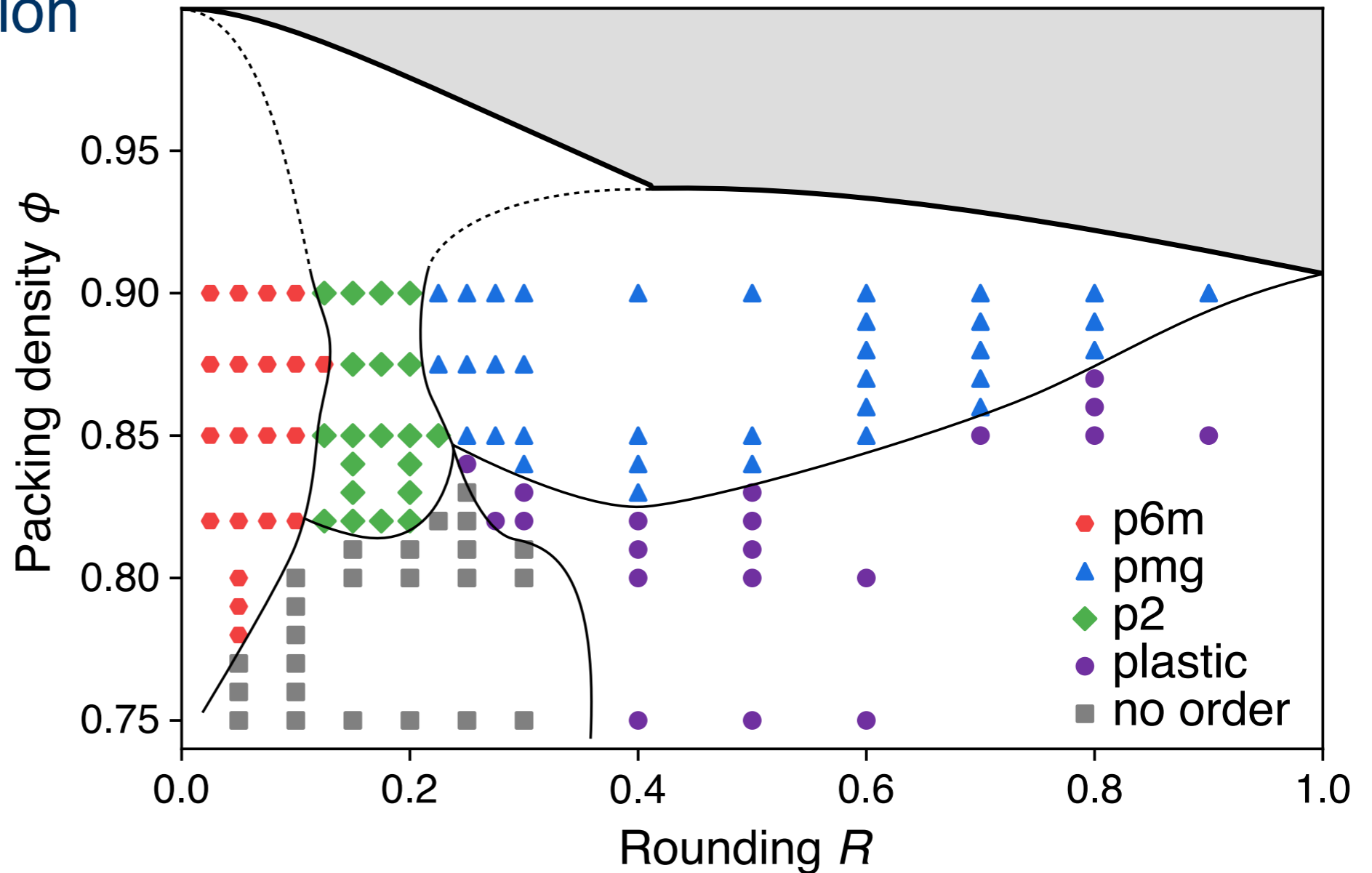
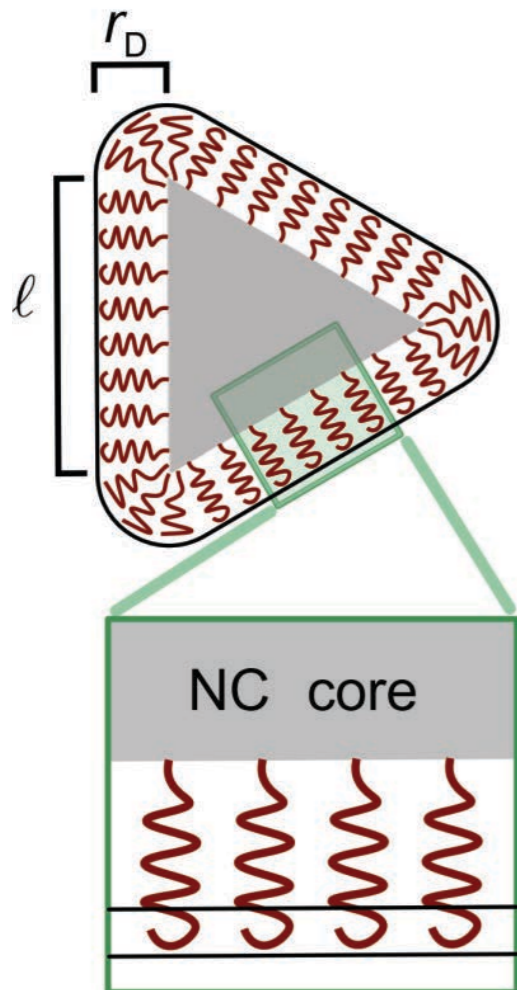
- (1) ligands round particle shape
- (2) results in lattice shear



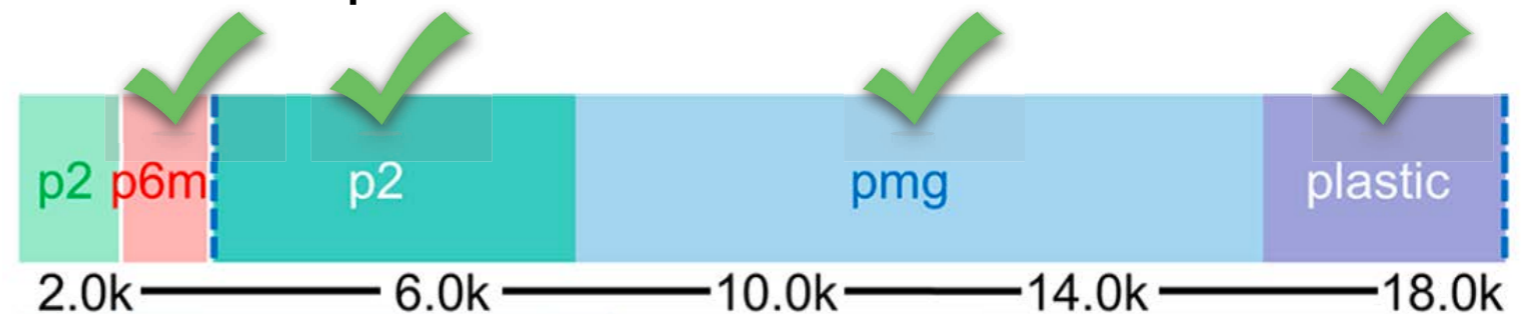
Level 2: Hard particle simulation

Monte Carlo simulation

- entropy favors high-symmetry lattice p6m

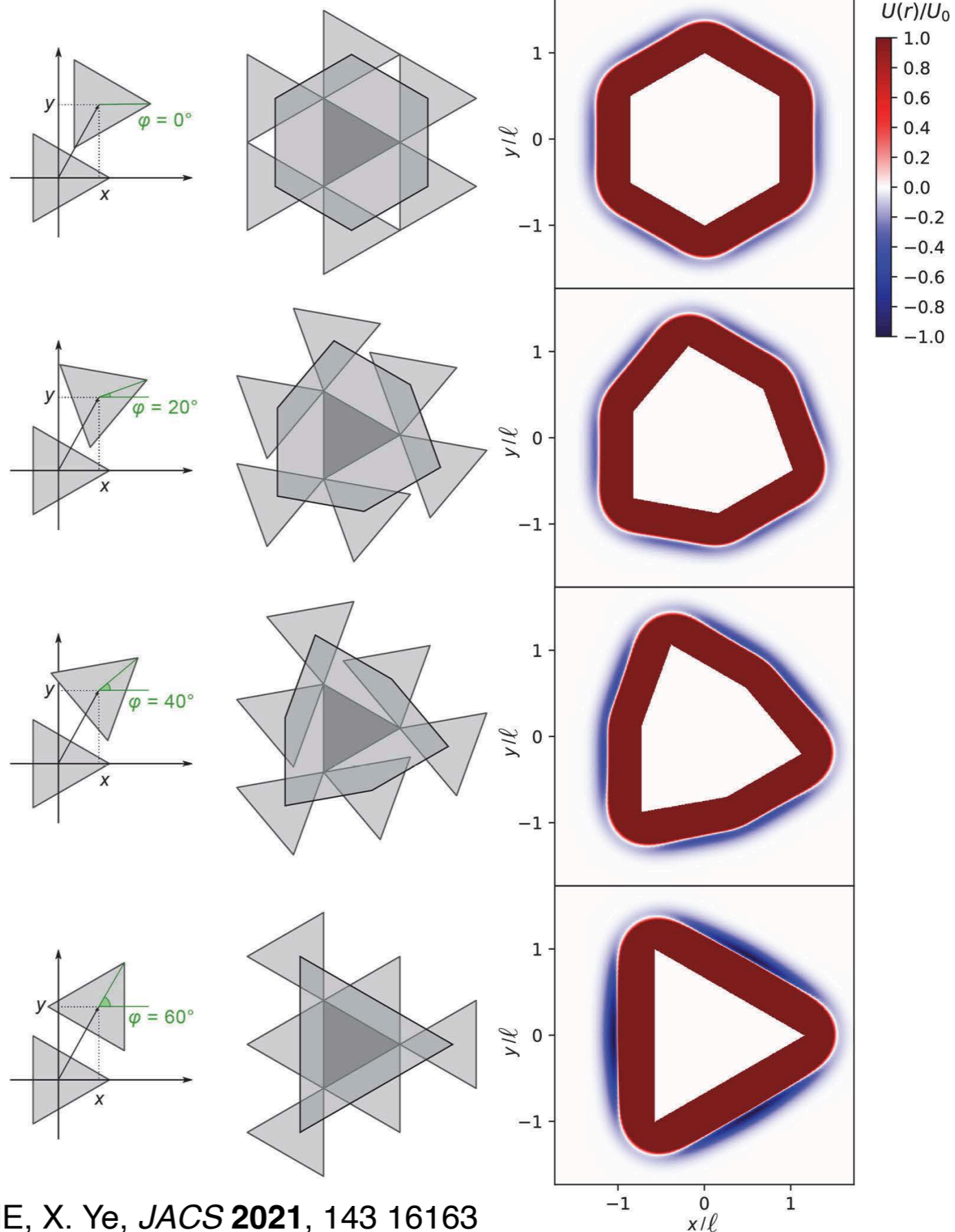
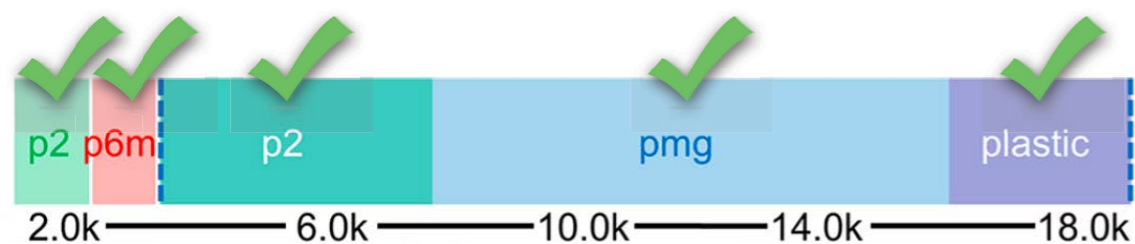
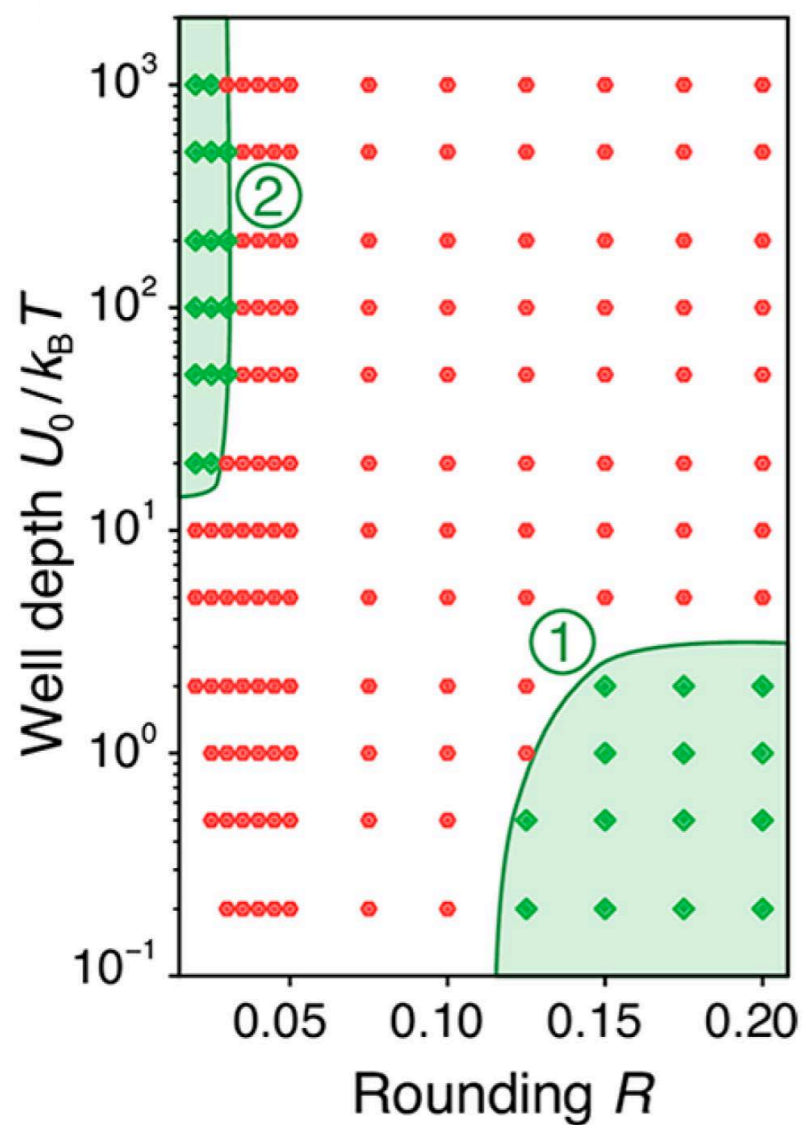


Comparison to experiment:



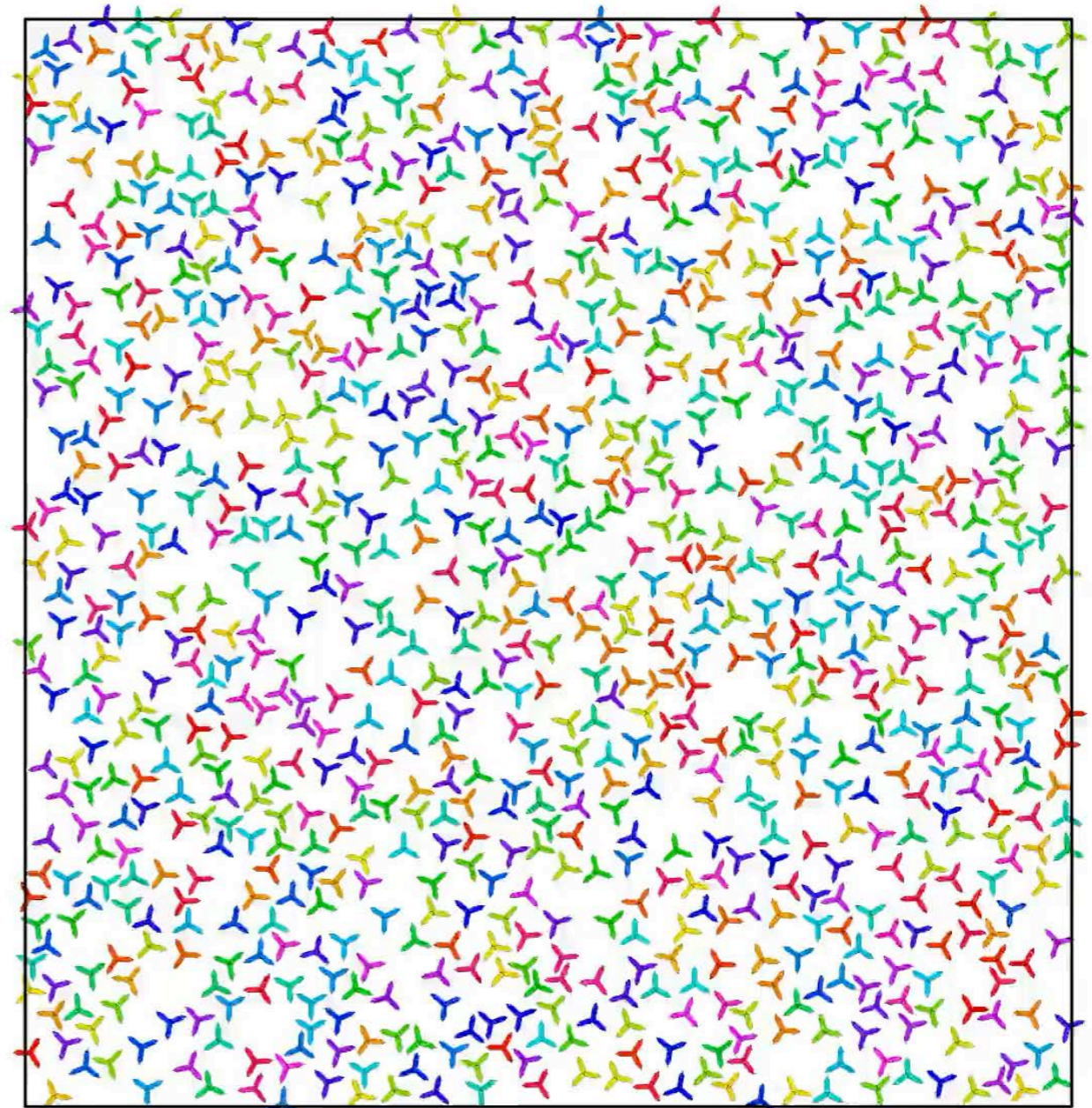
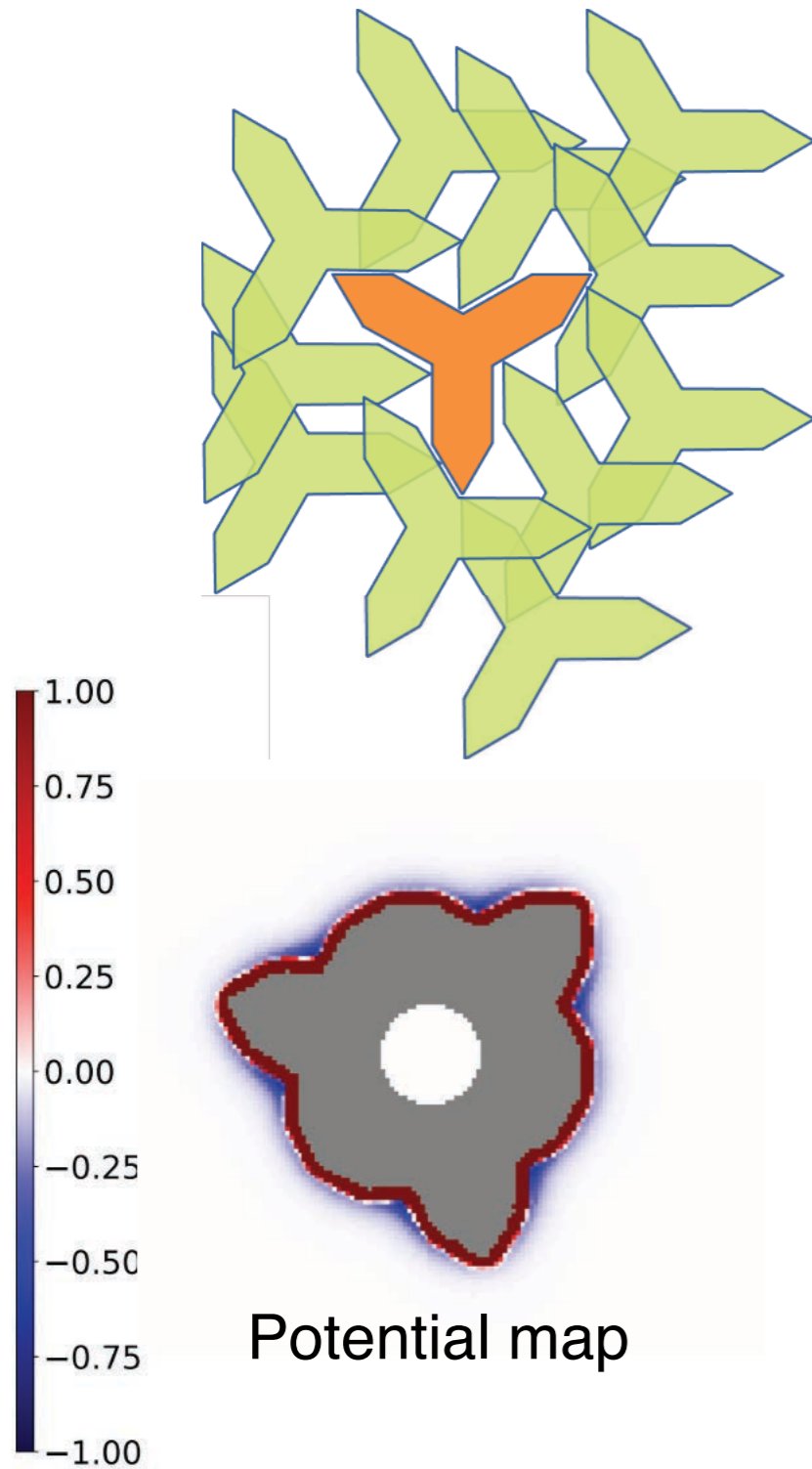
Level 3: Anisotropic patchy interactions (multiscale)

Precomputed tables



Outlook: More shapes

Tripod particles



Outline

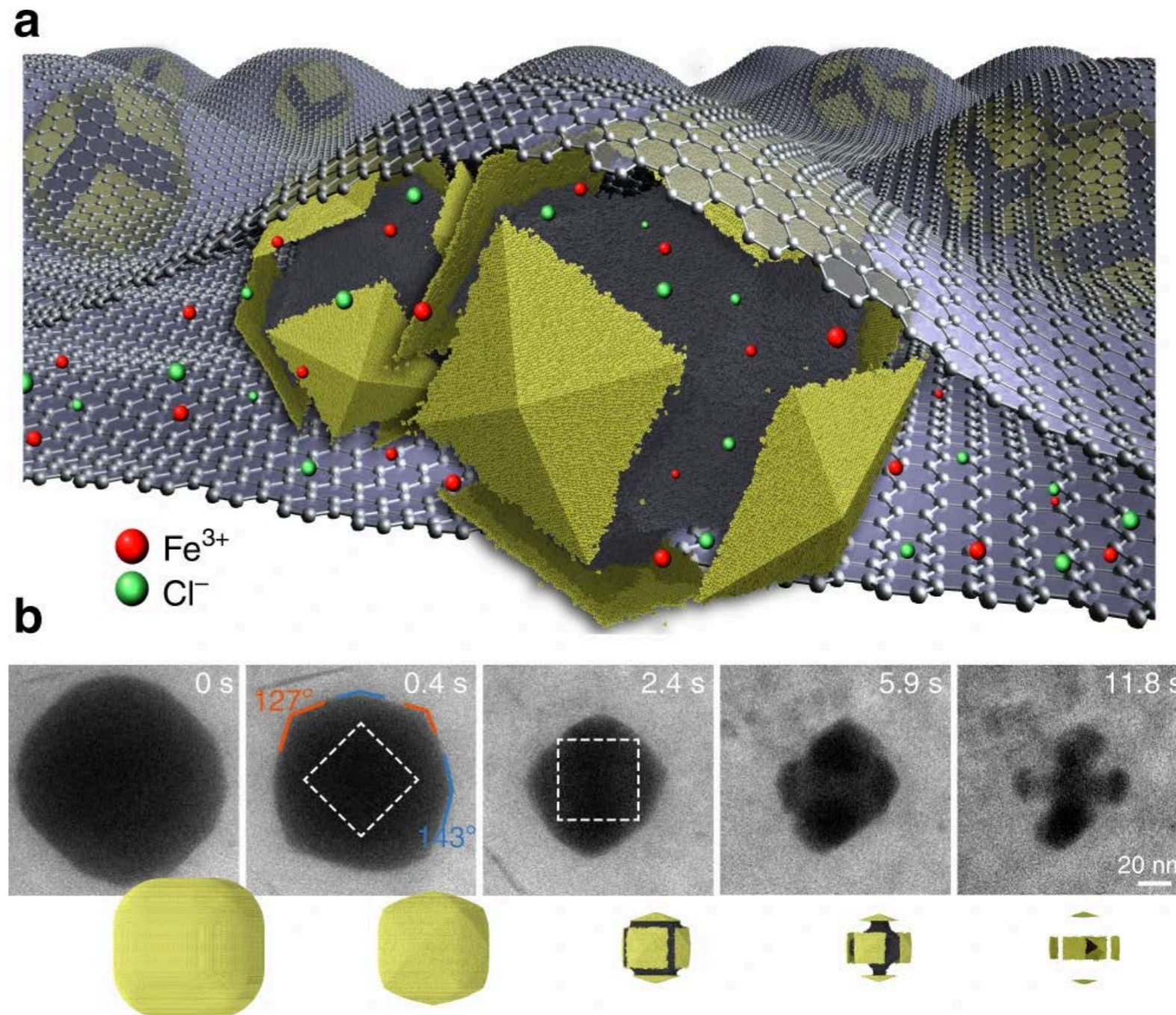
- Self-assembly of complex crystals on the computer
- Relationship between structure and formation pathways
- Finite magic number clusters
- Role of polydispersity
- Modeling anisotropic patchy interactions
- **Simulating crystal growth (incomplete)**

Shape evolution in liquid cell @ Ye lab (Indiana)

Oxidative etching conditions: Pd@Au in H₂O + ferric chloride (FeCl₃)

Observations:

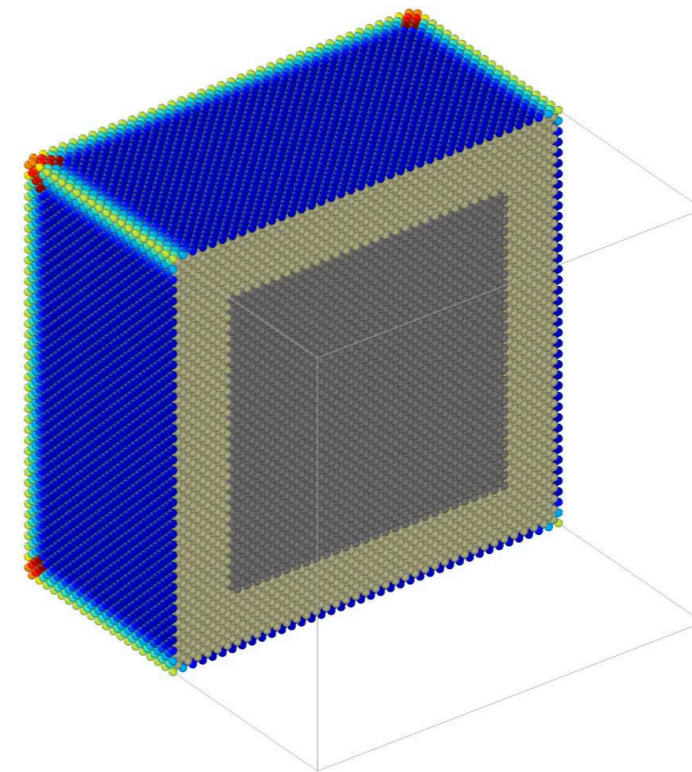
- from edge-selective to layer-by-layer atom removal
- tetrahexahedron intermediate with {310} facets
- concave shapes are achievable



Appearance of anisotropic shape in core@shell NPs

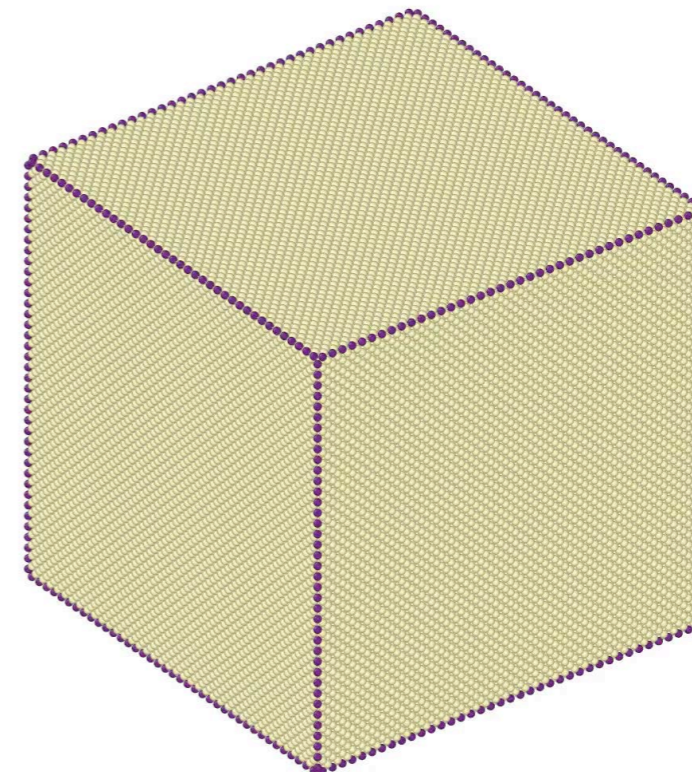
Chemical etching

- Interaction modeled via embedded-atom method (EAM) potential



Local surface normal direction

| |
|---------|
| {1 0 0} |
| {5 1 0} |
| {4 1 0} |
| {3 1 0} |
| {5 2 0} |
| {2 1 0} |
| {3 2 0} |
| {5 4 0} |
| {1 1 0} |
| {5 5 1} |
| {3 3 1} |
| {2 2 1} |
| {1 1 1} |
| {2 1 1} |
| {3 1 1} |
| {5 1 1} |



A(C->C')

1.0

0.0

Kinetic Monte Carlo lattice gas

Implementation in C++

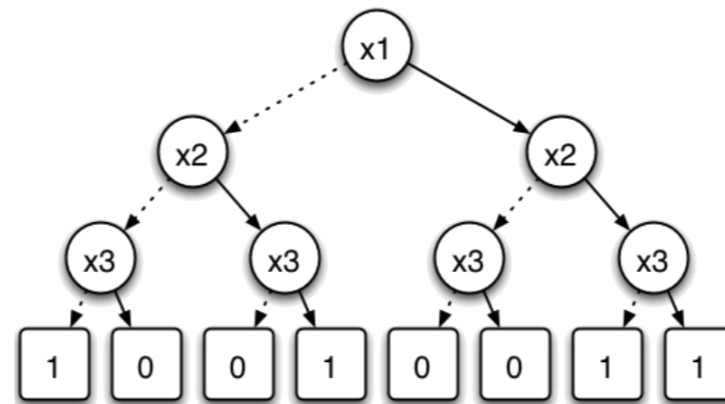
Binary decision tree to store probabilities and choose where to place new particles

Cell linked list:

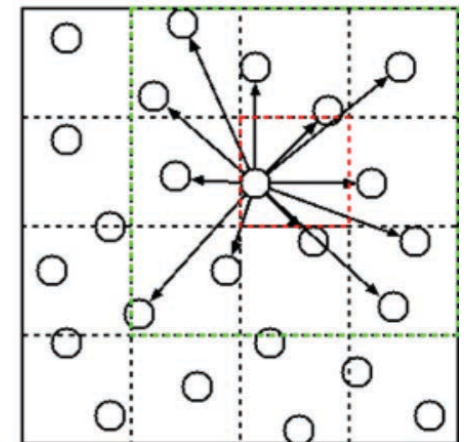
- Only pass over objects spatially close to reference particle (scales with $N \cdot \ln(N)$ instead of N^3)
- Select type of site (particle, position to add particle, bulk buffer layer, or none)

Use of **bulk buffer layer**: exclude bulk particles from simulation domain to spare memory

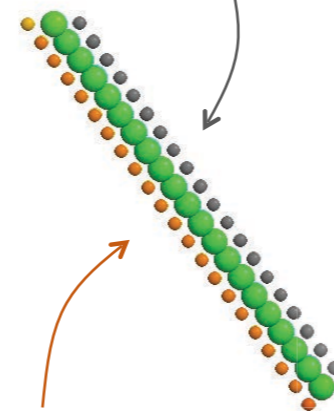
Binary decision tree



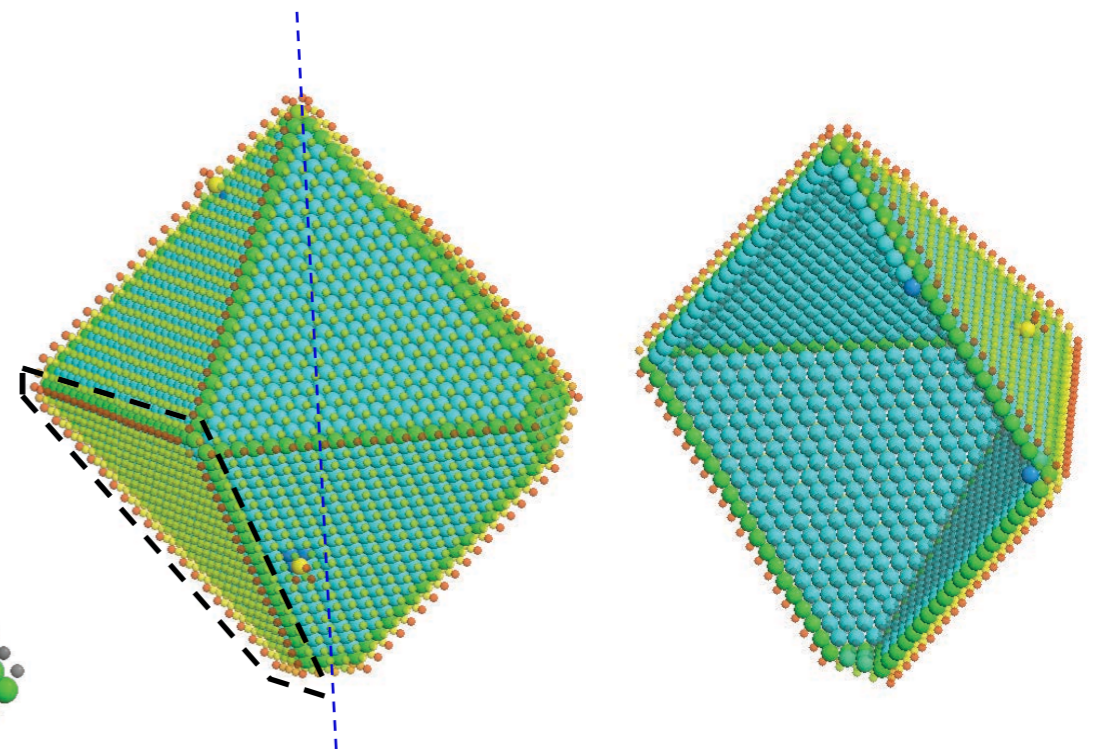
Cell linked list



Bulk buffer layer



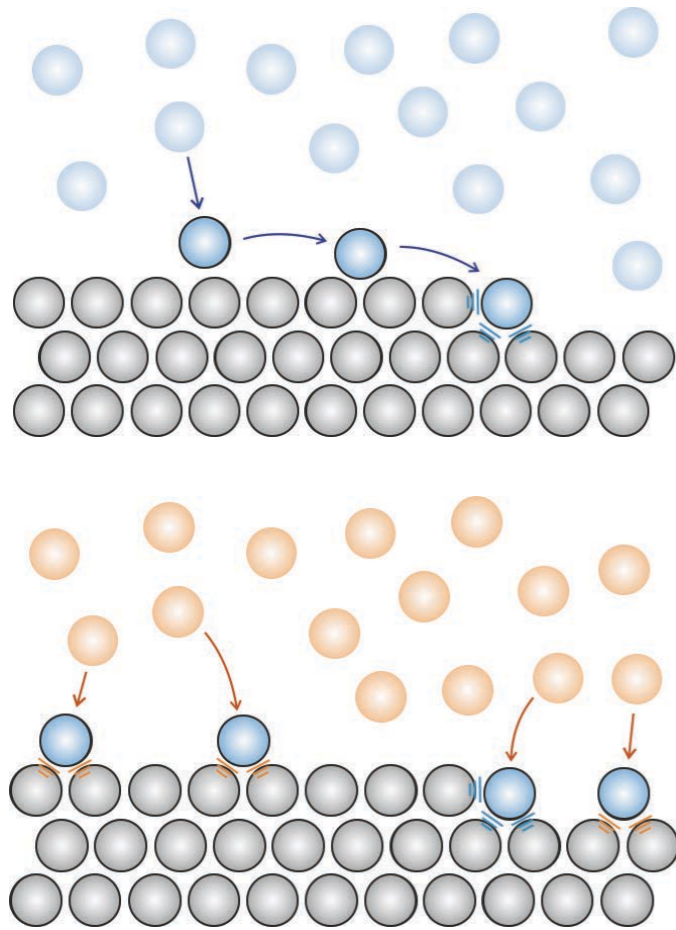
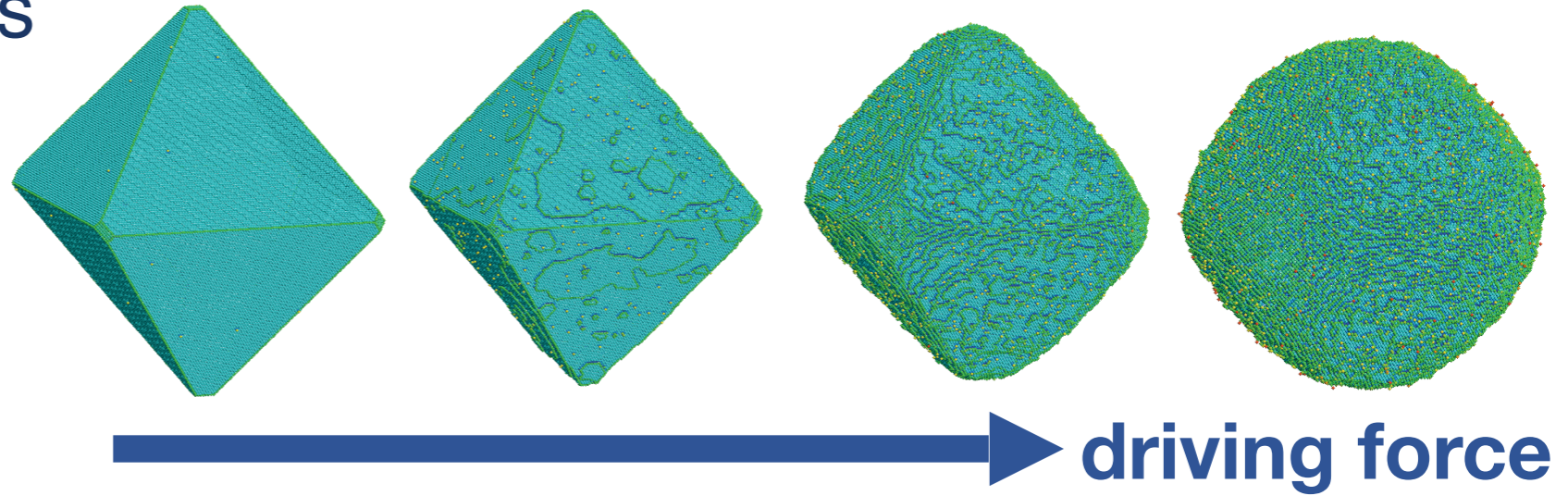
Positions for growth



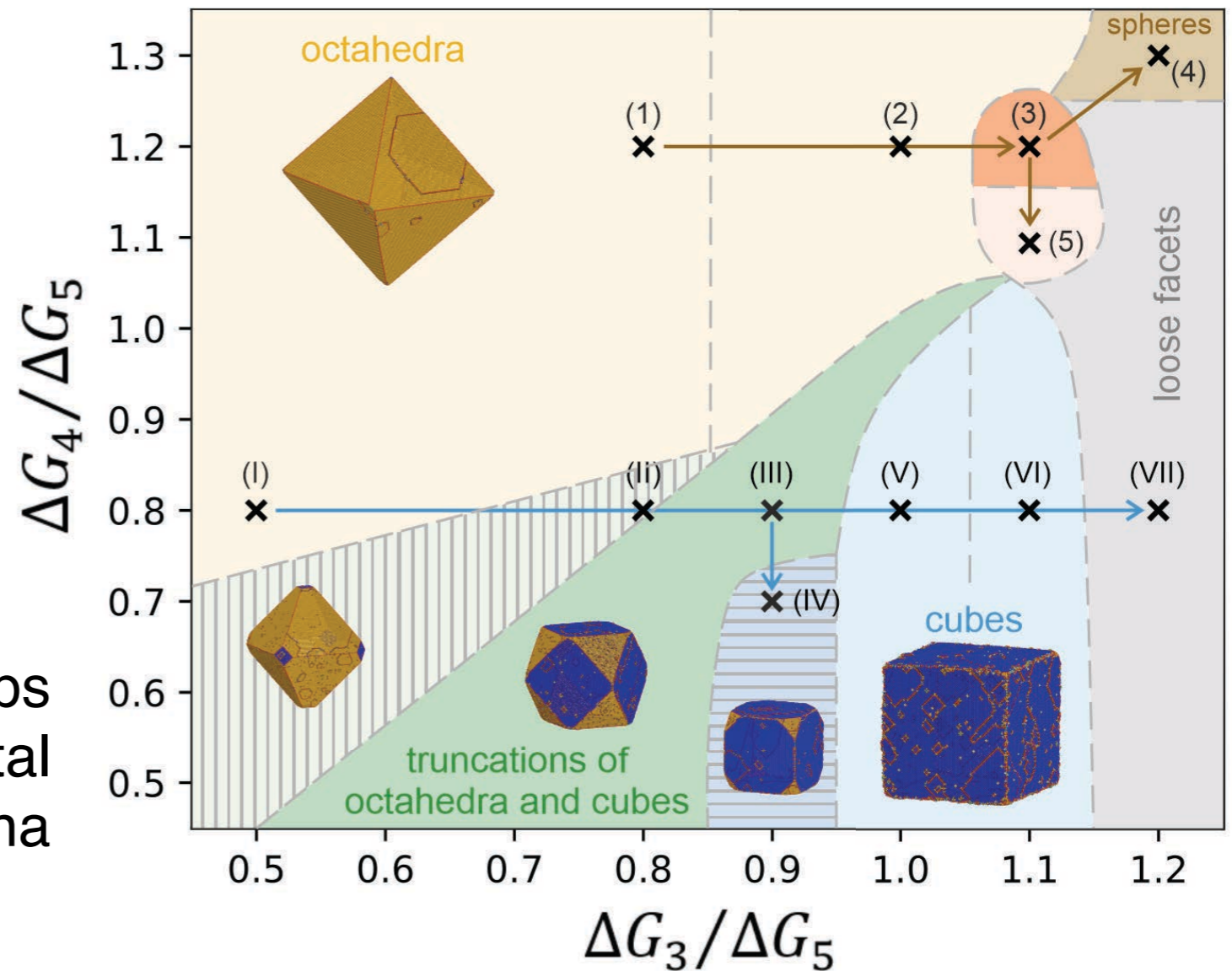
Modeling crystal growth (Carlos Bassani, @ KITP later!)

Varying growth conditions

- thermodynamics vs. kinetics



Wulff-shape maps summarize crystal growth phenomena



Postdocs

- Alberto Leonardi
- Aswathy Muttathukattil
- Carlos Lange Bassani

PhD students

- Zhiyu Song
- Nydia Varela-Rosales
- Federico Tomazic
- Navid Panchi

Master/Bachelor students

- Sahib Abdullayev
- Prashanth Kamath
- Isabella Schneider

Background

- Chemical Engineers
- Material Scientists
- Physicists
- Chemists
- Computational Engineers

