

# Colloidal assembly in liquid crystals: from new phases to controlling quantum emitters with nanoparticles

Ivan I. Smalyukh

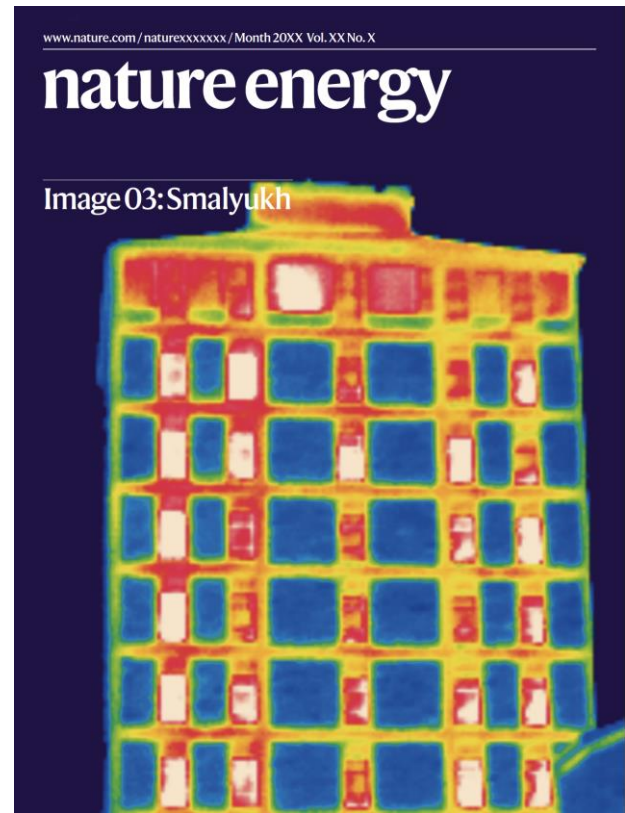
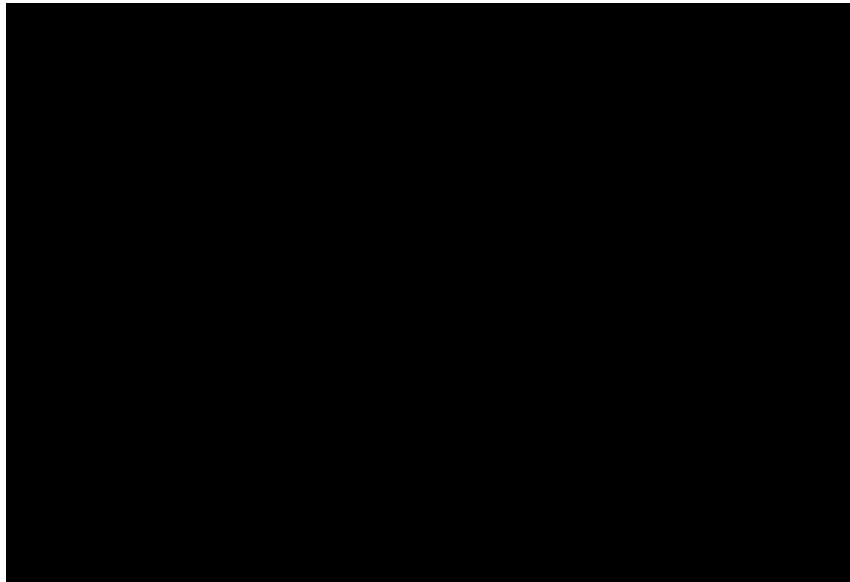


# From Einstein to new pre-designed materials

- 1905 PhD: from Brownian motion to existence/size of atoms
- Colloidal atom/molecule paradigm & nanoparticle assembly
- Material from nanoparticles & property engineering
- Mimic and **exceed** what is known for natural systems
- Buildings consume 40% of all generated energy
- 20% of building energy wasted due to windows
- Super-insulation with transparent aerogels
- Fabricated from colloidal nanofibers

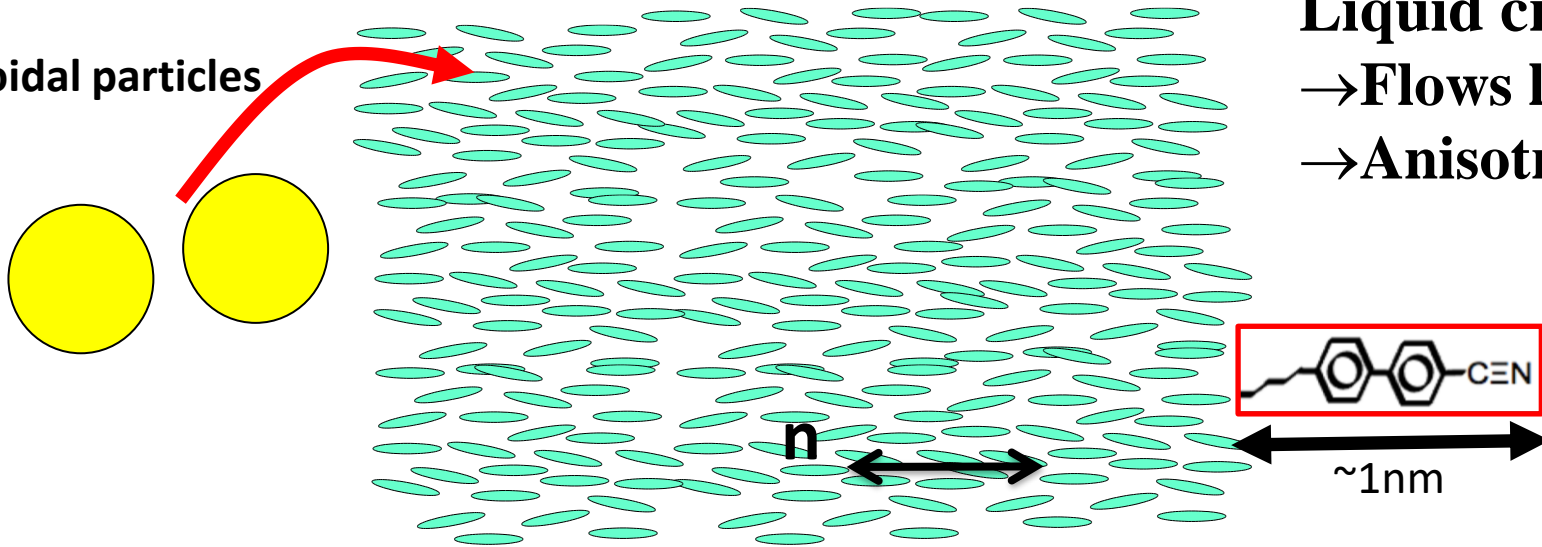


Albert Einstein  
in 1904, age 25



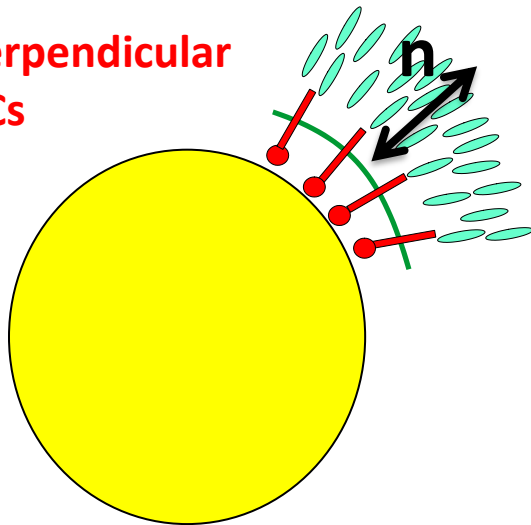
# Colloidal particles in nematic liquid crystals

Colloidal particles

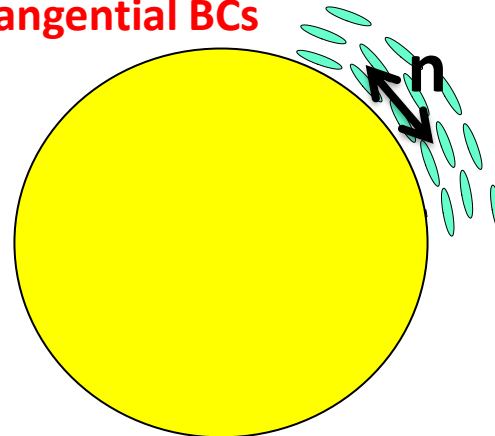


Define boundary conditions (BCs)

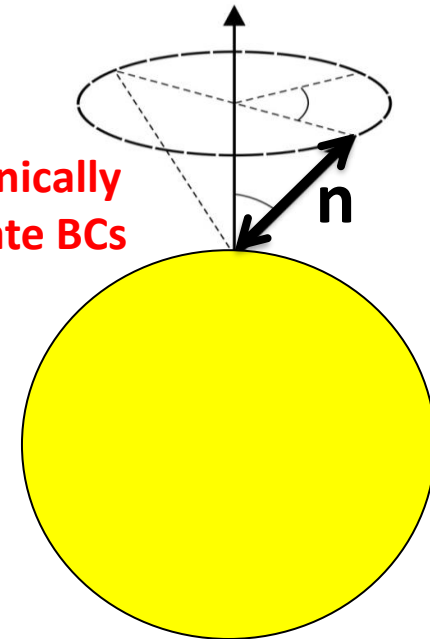
Perpendicular BCs



Tangential BCs



tilted, conically degenerate BCs

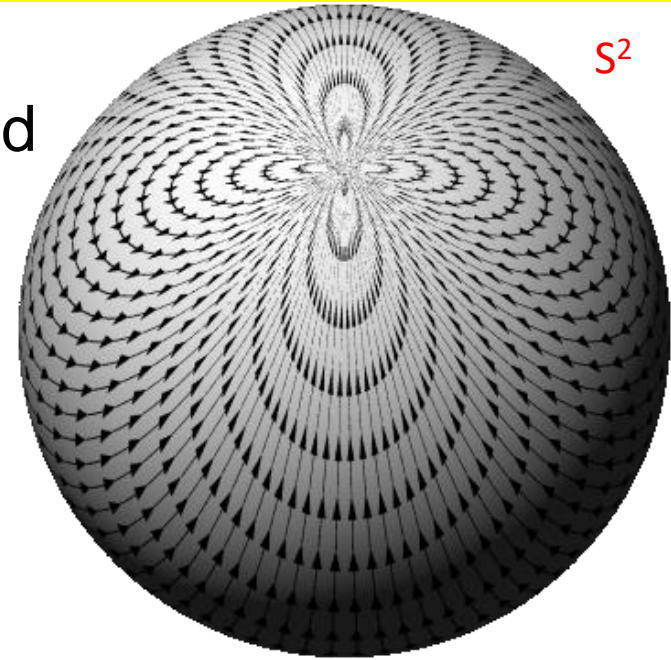


**Interaction of topology of colloidal surfaces & nonpolar director fields?**

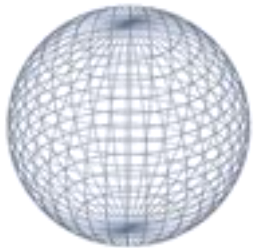
# Hairy Ball & Poincare-Hopf theorems

**Hairy Ball Theorem:** there is no nonvanishing continuous tangent vector field on even dimensional  $S^n$ -spheres (e.g.  $S^2$ )

**Poincare-Hopf theorem:** indices (winding numbers) of defects in the vector field add to the Euler characteristic:  $C = 2 - 2g$



$$g = 0$$



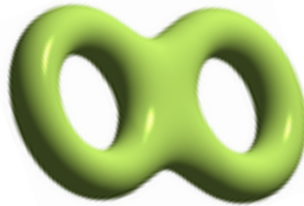
$$C = 2$$

$$g = 1$$



$$C = 0$$

$$g = 2$$



$$C = -2$$

$$g = 3$$

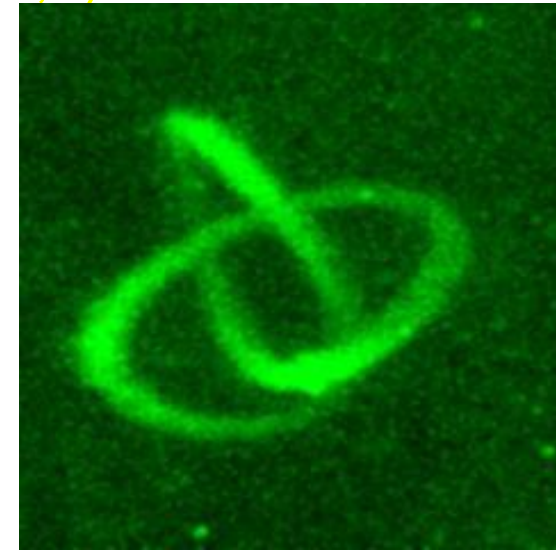
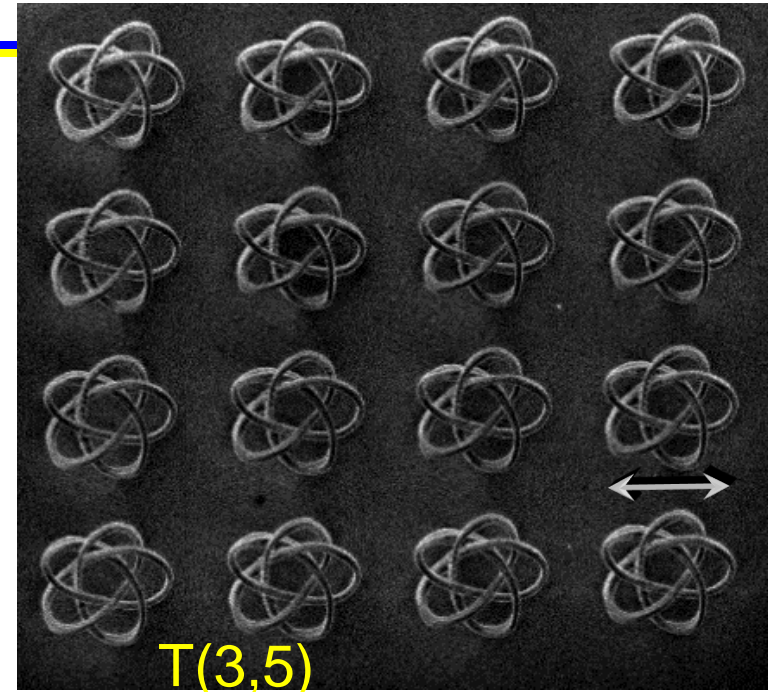
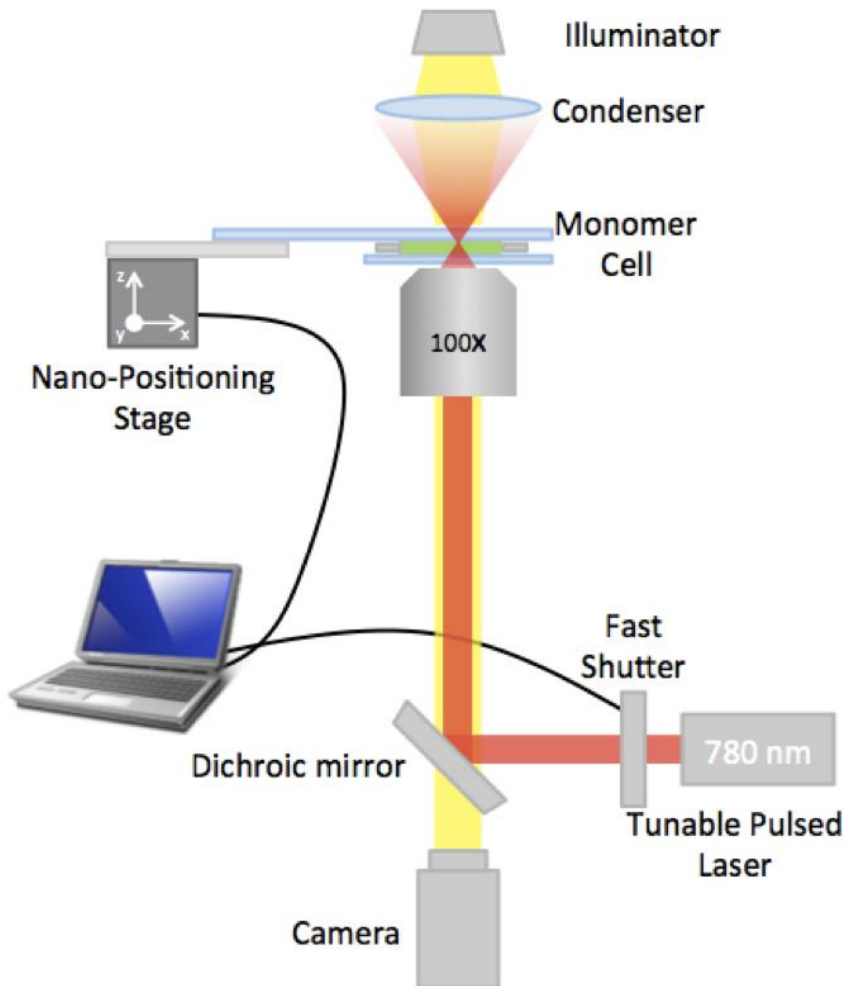


$$C = -4$$

<http://en.wikipedia.org>



# Colloidal particles fabricated by two-photon polymerization

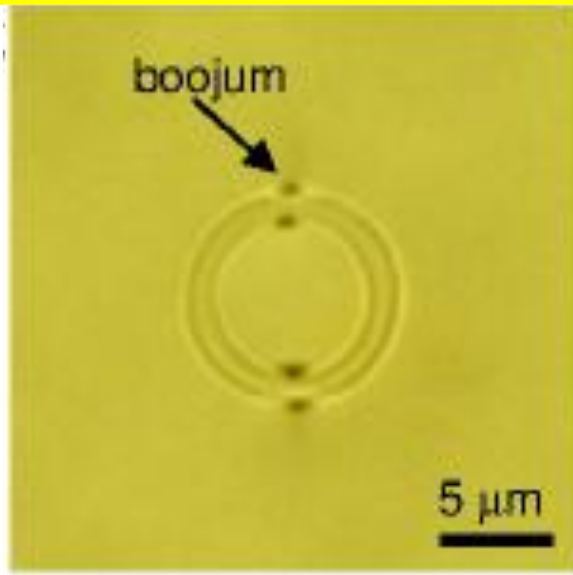


A. Martinez, T. Lee, I. I. Smalyukh, *Soft Matter* **7**, 11154-11159

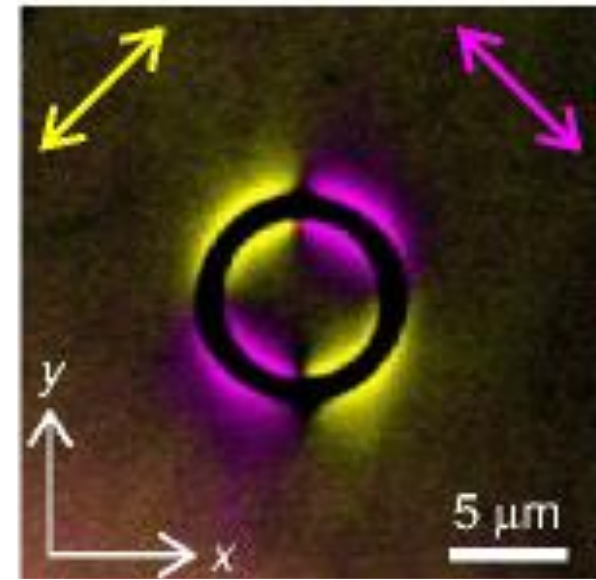
B. Senyuk, I. I. Smalyukh. *Nature Comm* **6**, 7157

# Surface boojums on colloidal rings

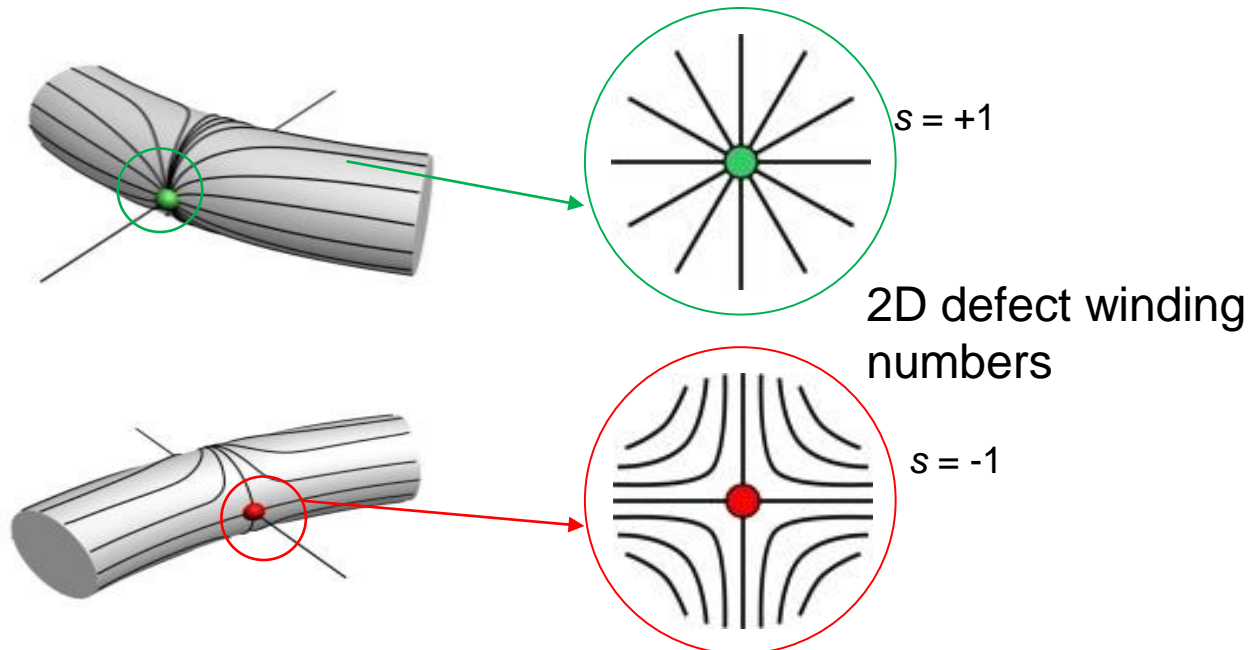
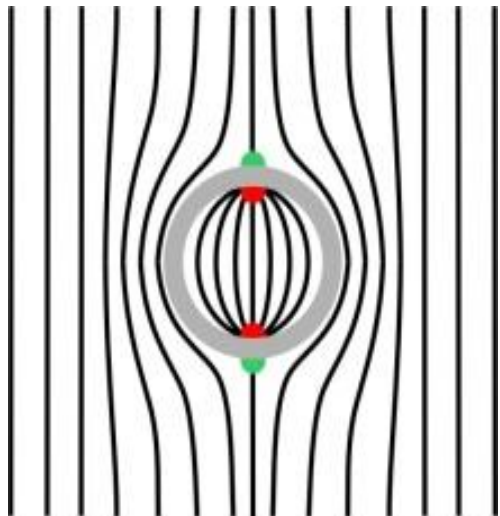
Optical microscopy



Nonlinear optical imaging

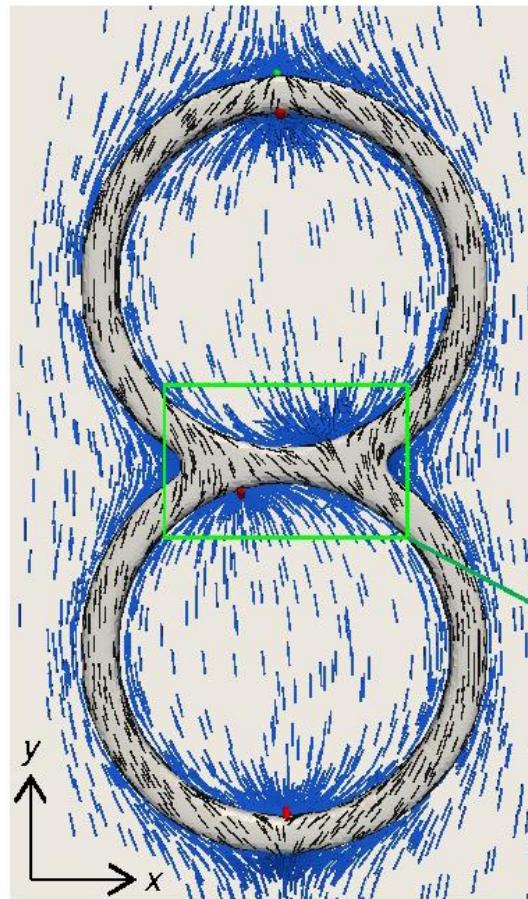
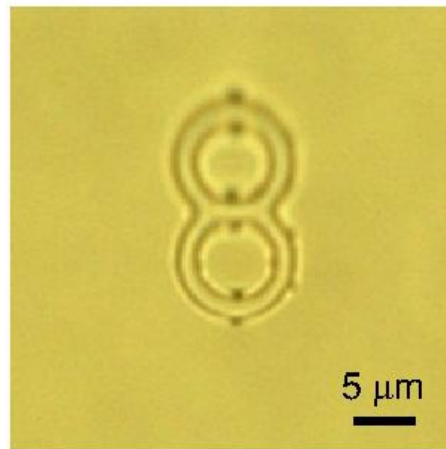
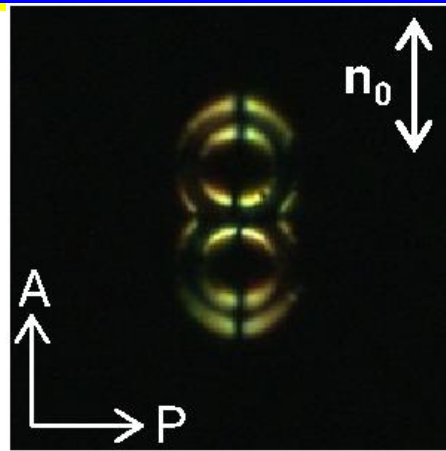


$$-2+2=0$$



# Boojums on $g=2$ handlebodies

$$-6+4=-2$$



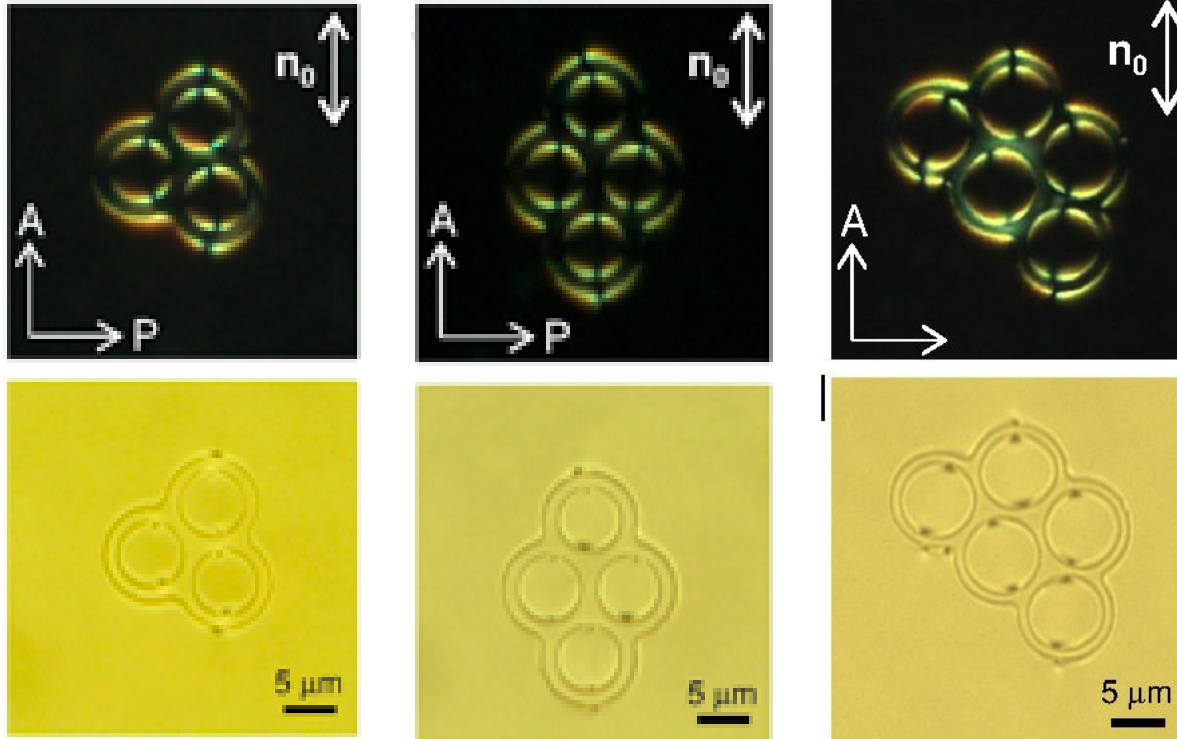
- Satisfy predictions of topological theorems:

$$\mathring{a}_i s_i = c = 2 - 2g$$

- ...with extra self-compensating defects!



# Boojums on colloidal handlebodies



$g=3,4,5$  handlebodies

[?]

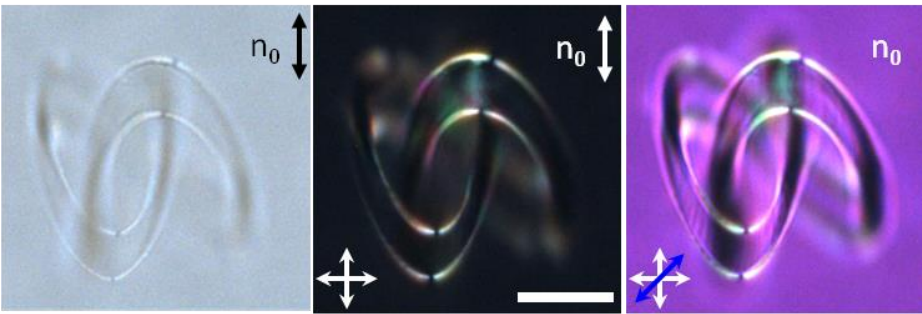
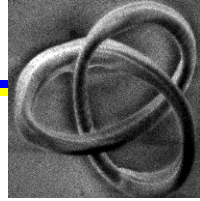
$g$	$c = \sum_i S_i s_i$	Number of defects	
		$s = +1$	$s = -1$
1	0	2	2
		0	0
2	-2	2	4
		4	6
3	-4	2	6
		4	8
4	-6	2	8
		4	10
5	-8	2	10
		3	11

Theorems & charge conservation:

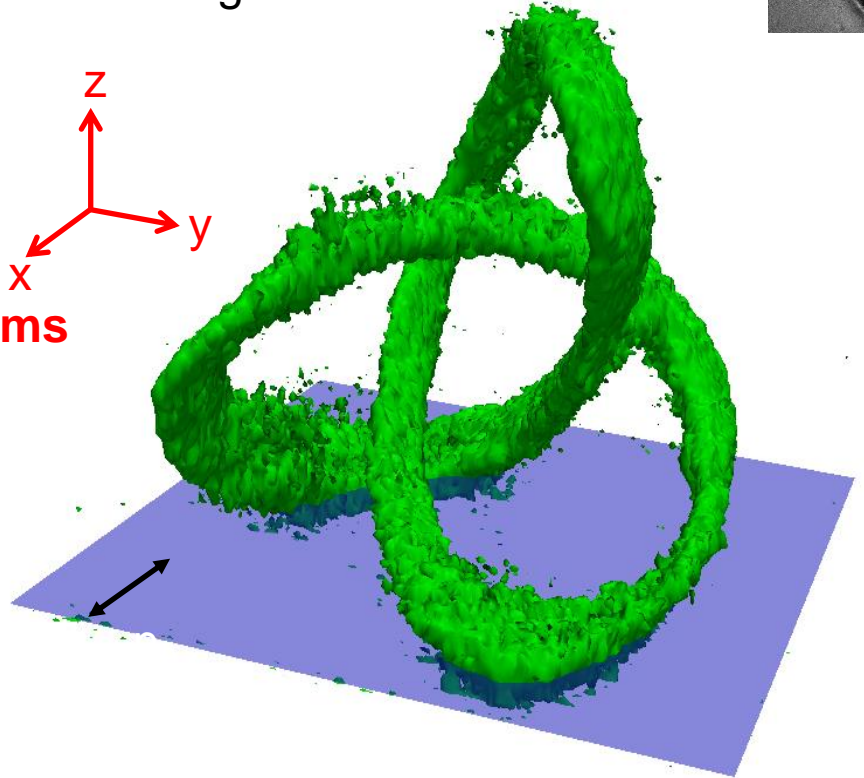
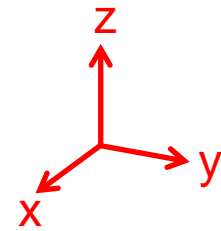
$$\sum_i \dot{a} s_i = c = 2 - 2g$$

Frequency of observation of different states depends on free energy of elastic distortions

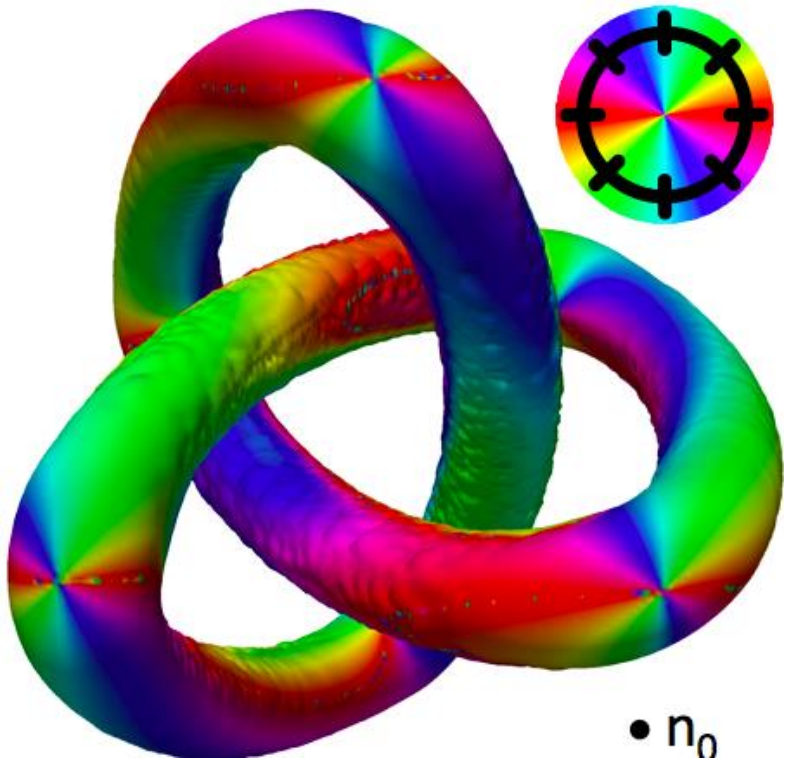
# Trefoil Colloidal-knot-induced defects



3D image of director structure

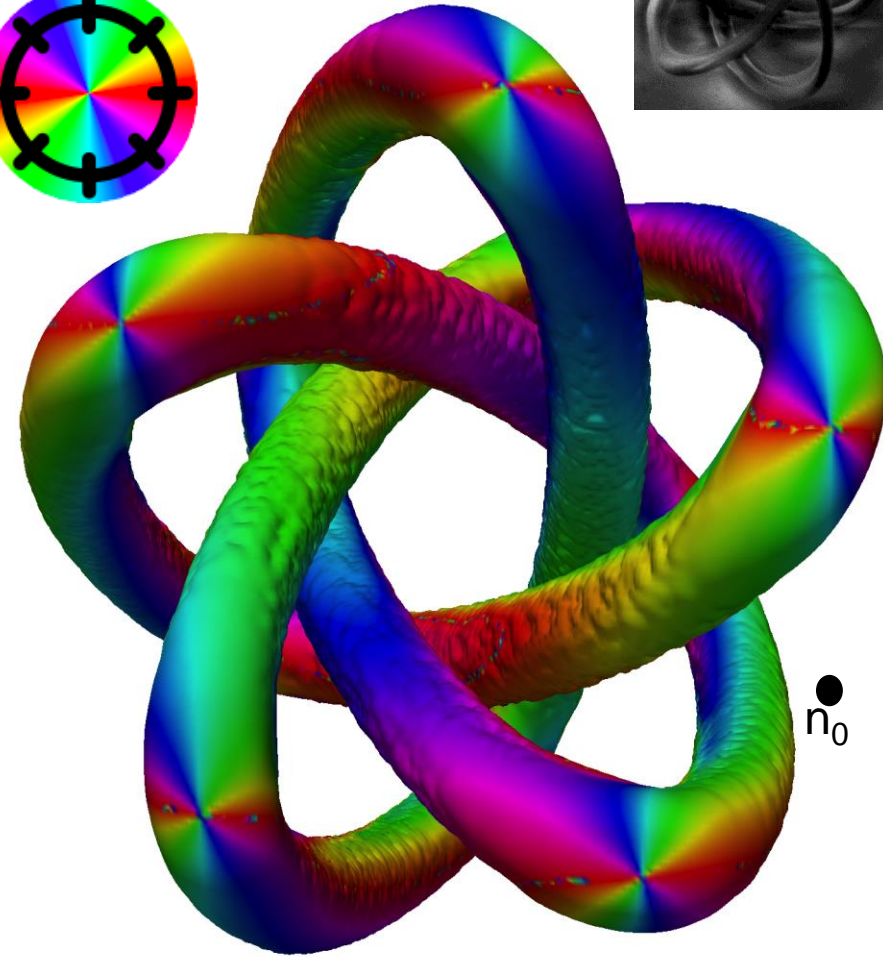
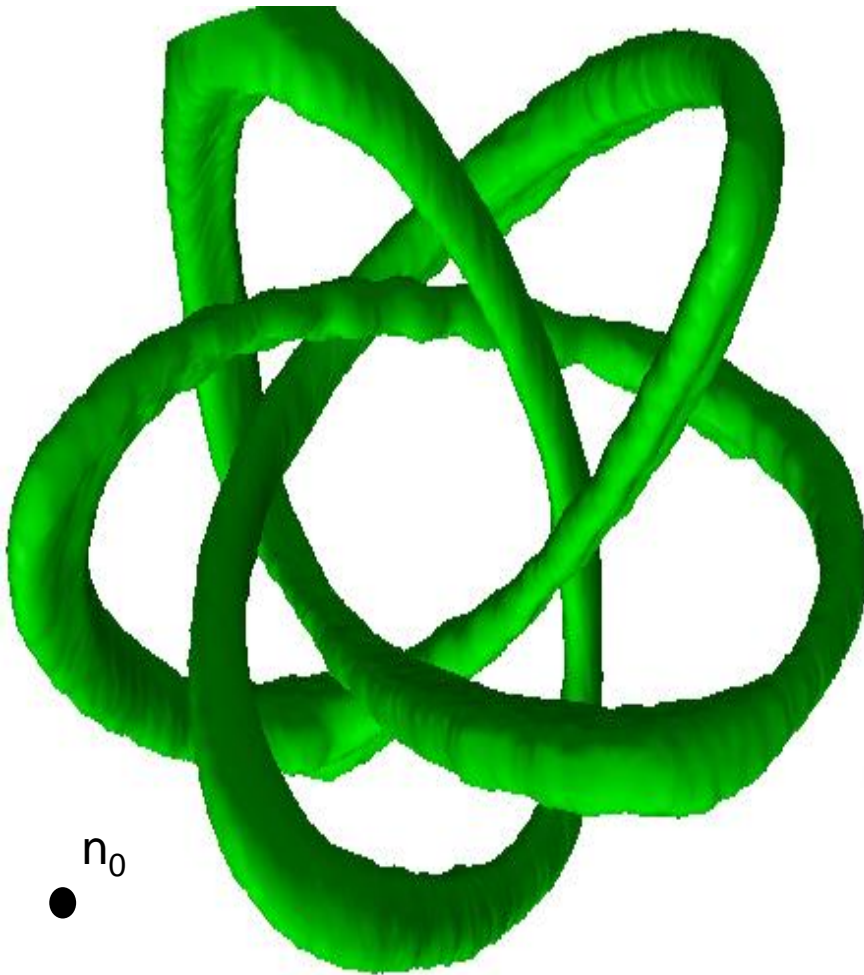
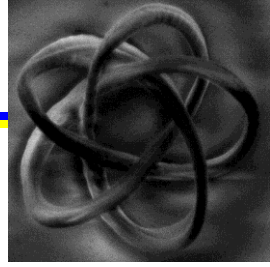


**Knots induce 12 self-compensating boojums**



- 6  $s=1$  & 6  $s=-1$  boojums compensate each other
- consistent with  $\int \neq 0$
- $T(p,q)=T(2,3)$
- The total number of boojums is  $4q$

# T(3,5) colloidal-knot-induced defects



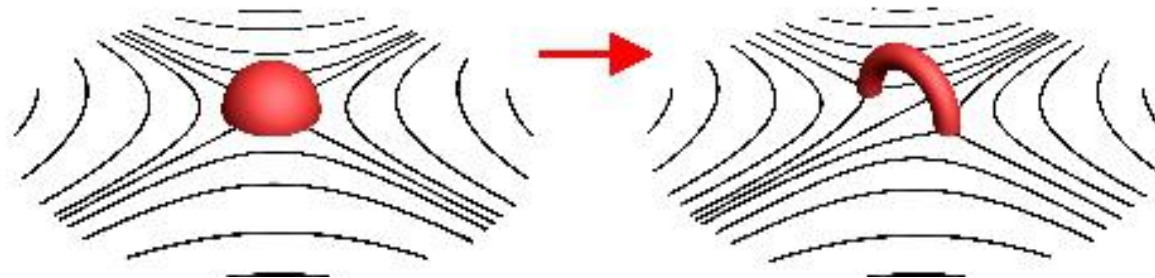
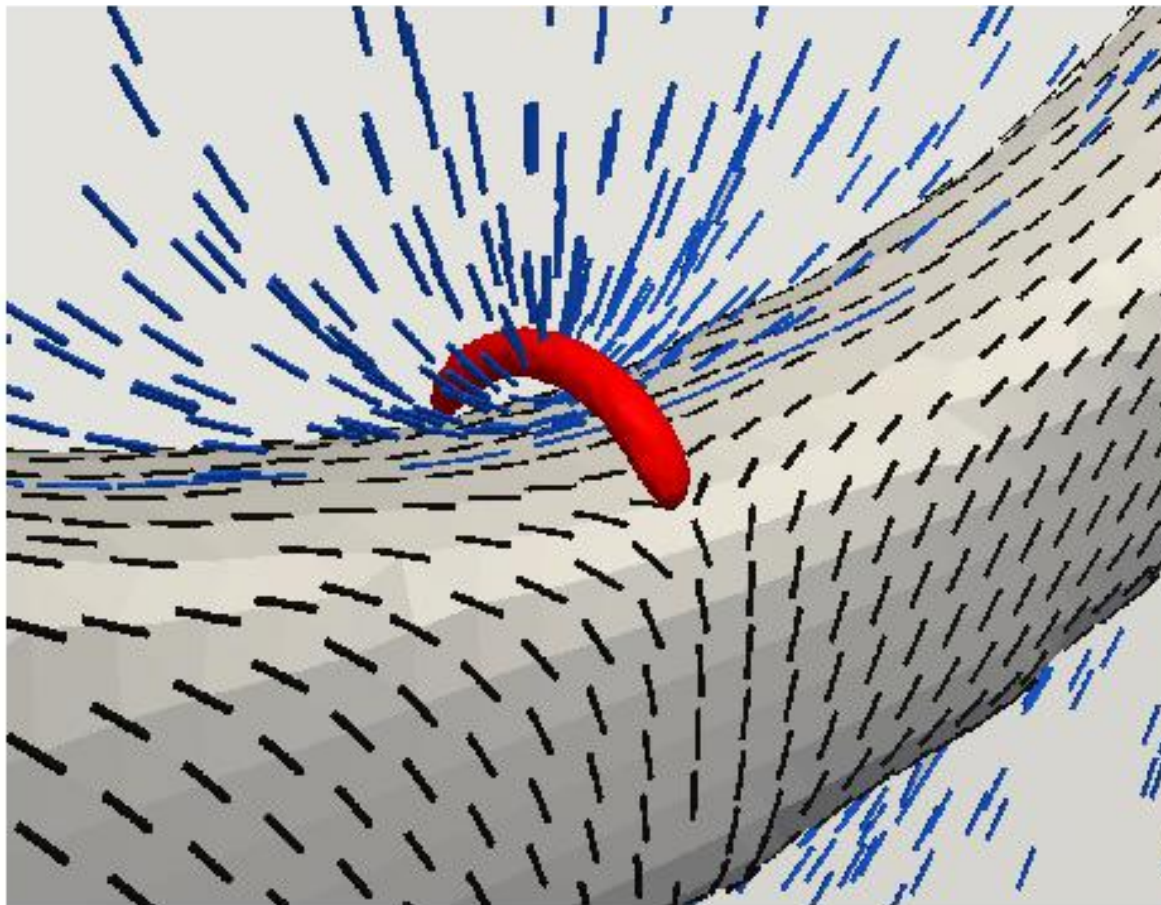
- 10  $s=1$  & 10  $s=-1$  boojums compensate each other
- consistent with  $\neq 0$
- The total number of boojums is  $4q$  again

# Boojums **form handles** on colloidal handlebodies

Elastic energy minimization & strong BCs promote boojum splitting into vortex semi-rings

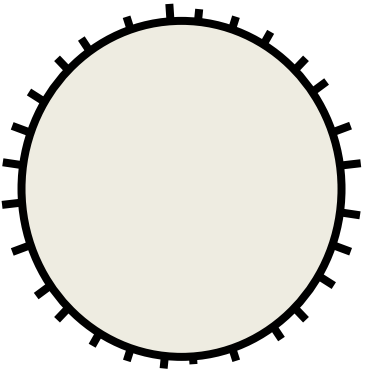
*handle-shaped boojums on colloidal handlebodies*

**Because of nonpolar nature of the field (would not emerge in vector fields)**



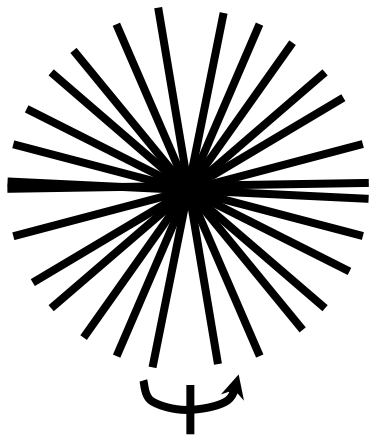
# Colloidal spheres & induced defects in 3D

Particle:

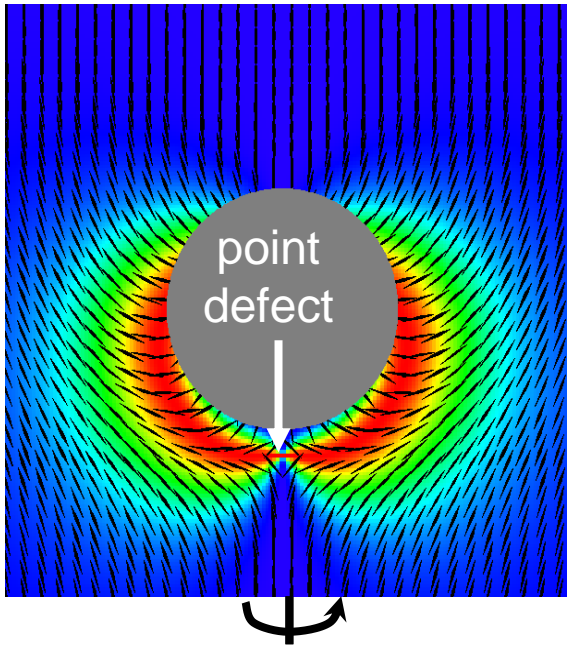


Topological charge due to particles is compensated by defects of opposite charge

Equivalent to:

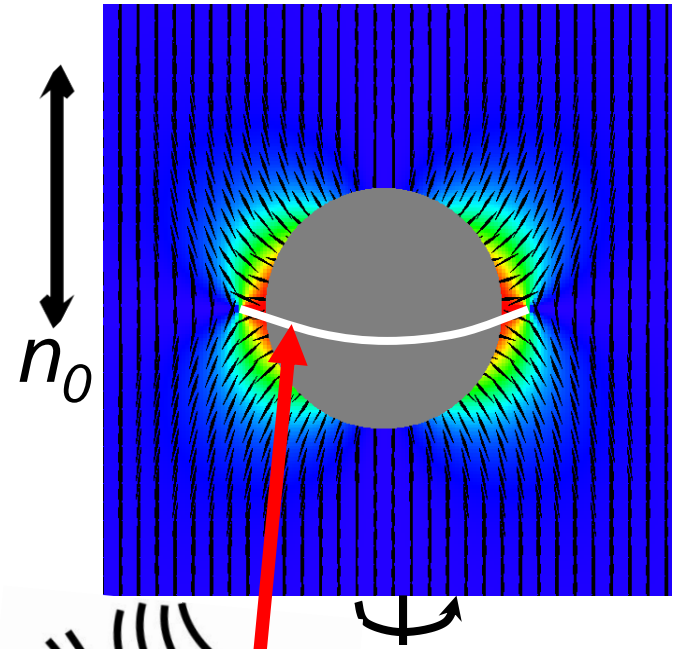


Point defect



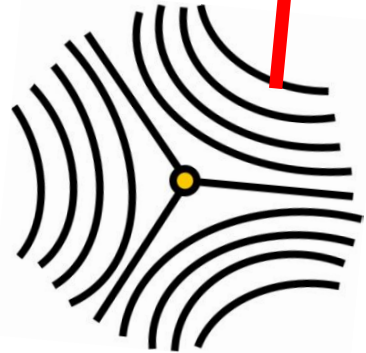
Disclination ring

$s = -1/2$  around bead



Theorems predict:

$$\sum_i \hat{a} m_i = \pm C / 2$$

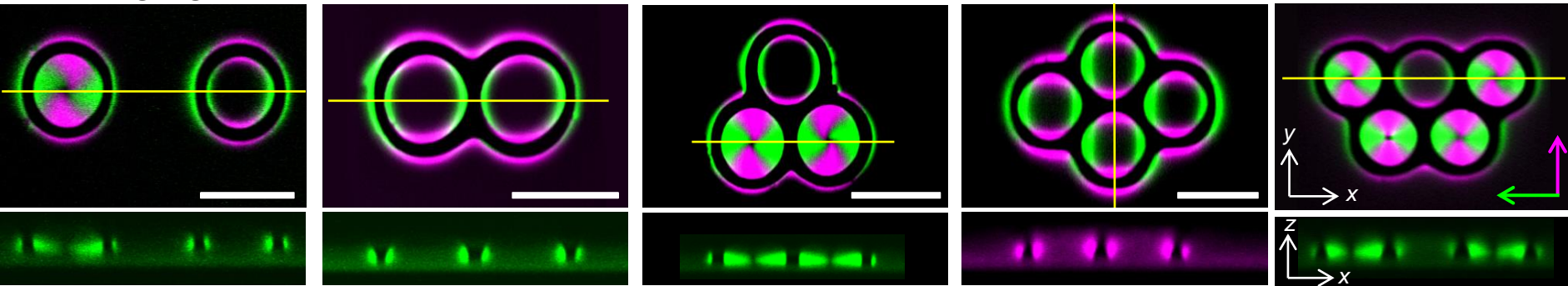
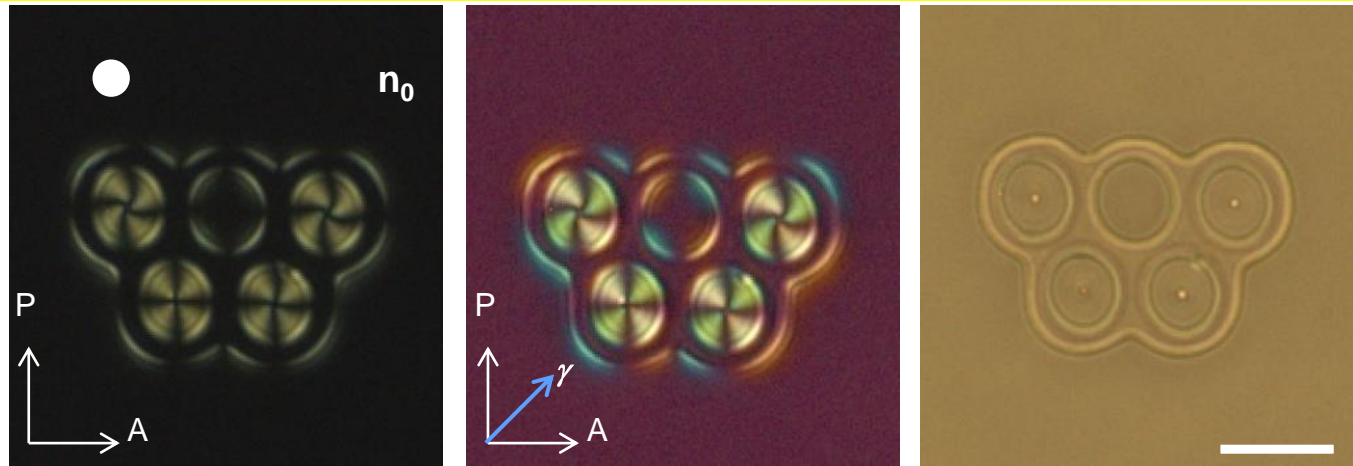


# Effects of topology: handlebody colloids

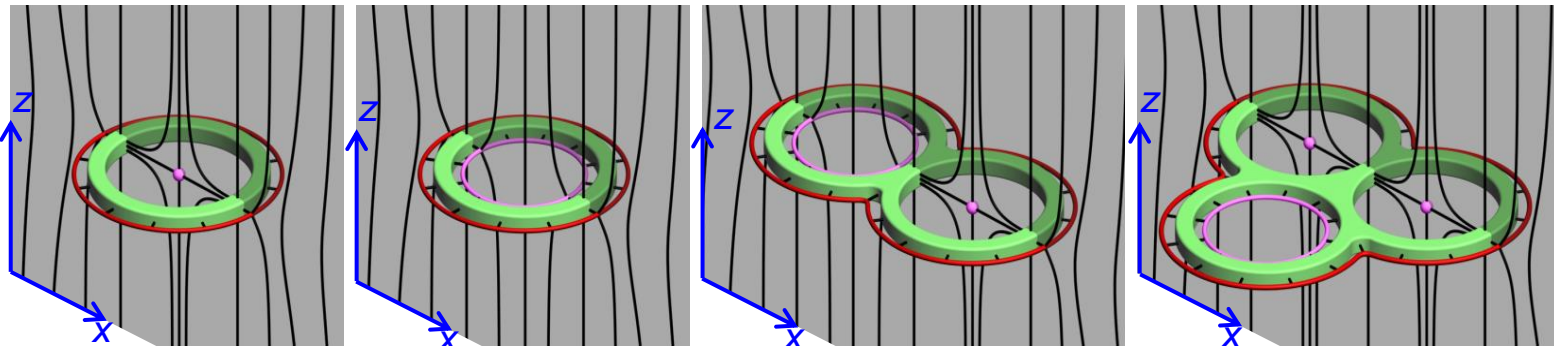
Theorems predict:

$$\oint_i \dot{a} m_i = \pm C / 2$$

3D imaging

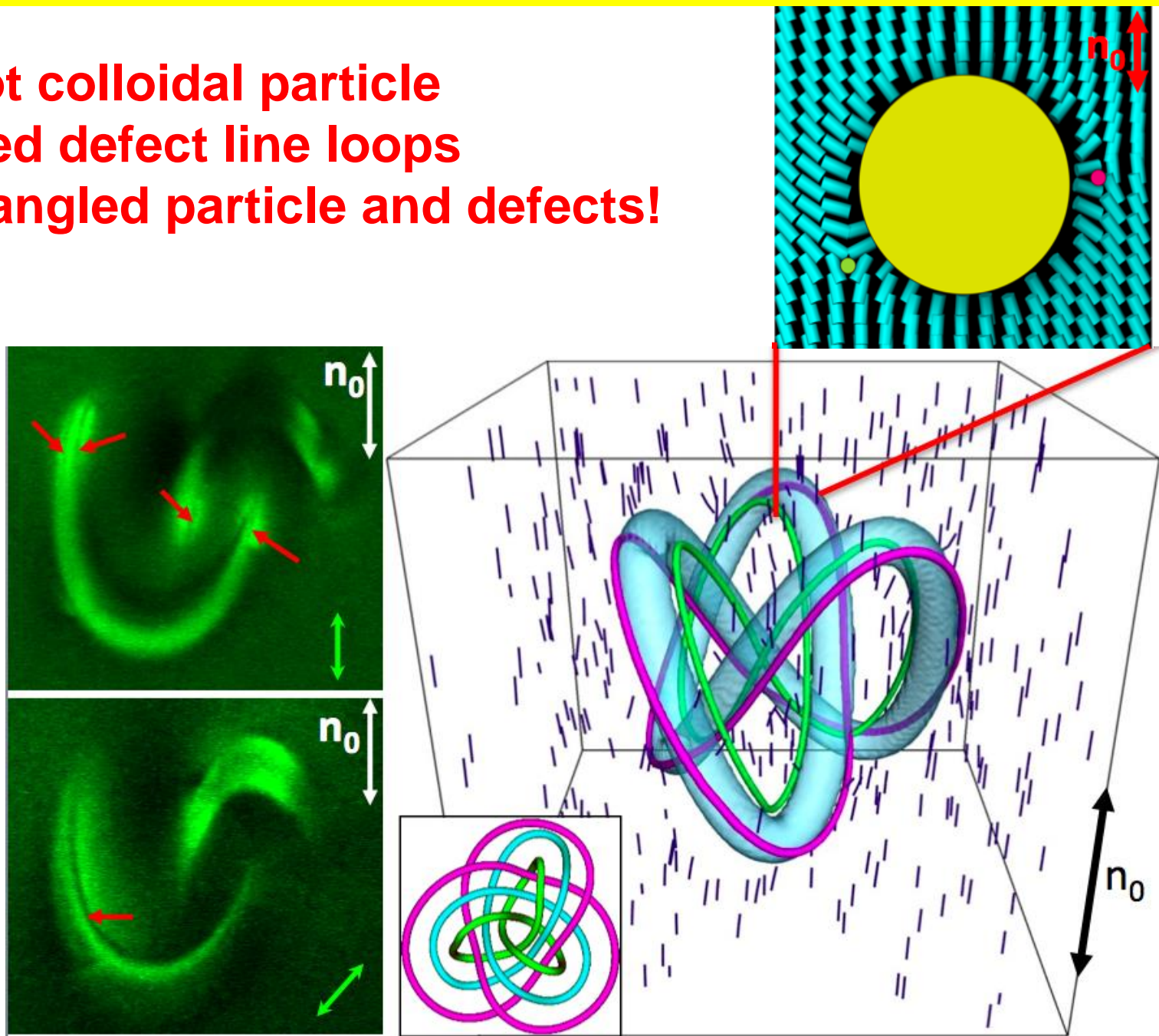


Reconstructed director structures and defects around colloidal handlebodies



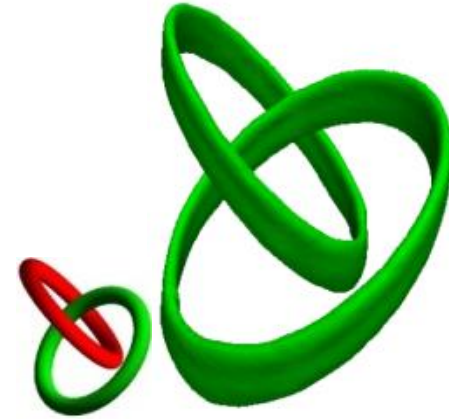
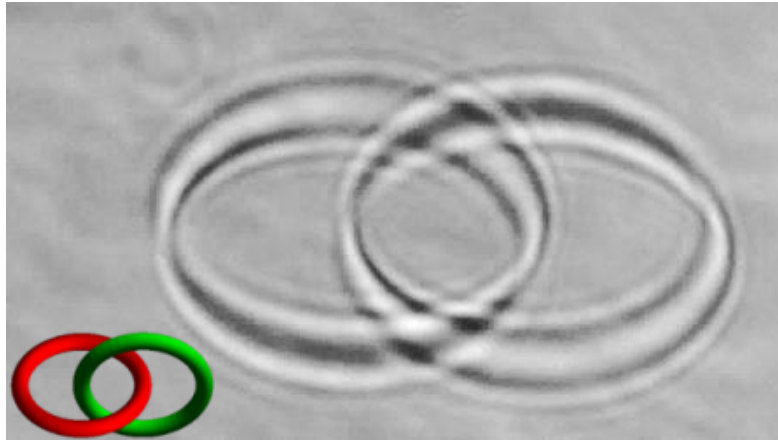
# Mutually tangled particle knots & director field

- Trefoil knot colloidal particle
- Two knotted defect line loops
- Mutually tangled particle and defects!

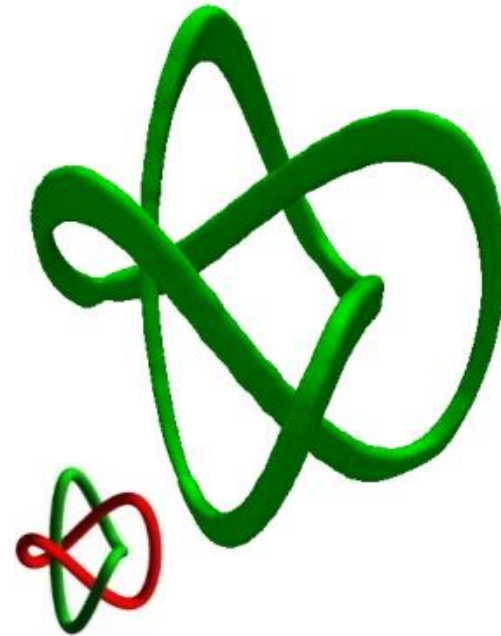
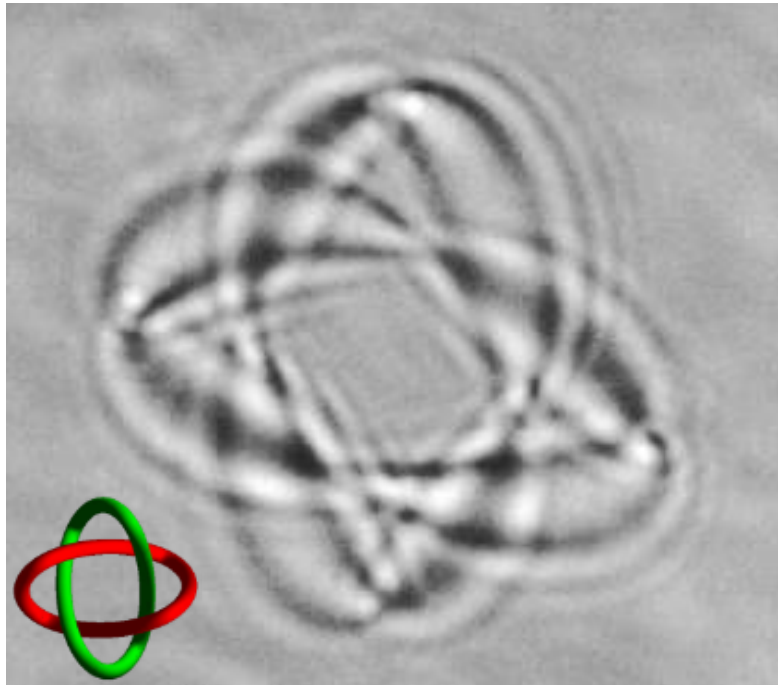


# Multi-component particles: colloidal links

Hopf Links

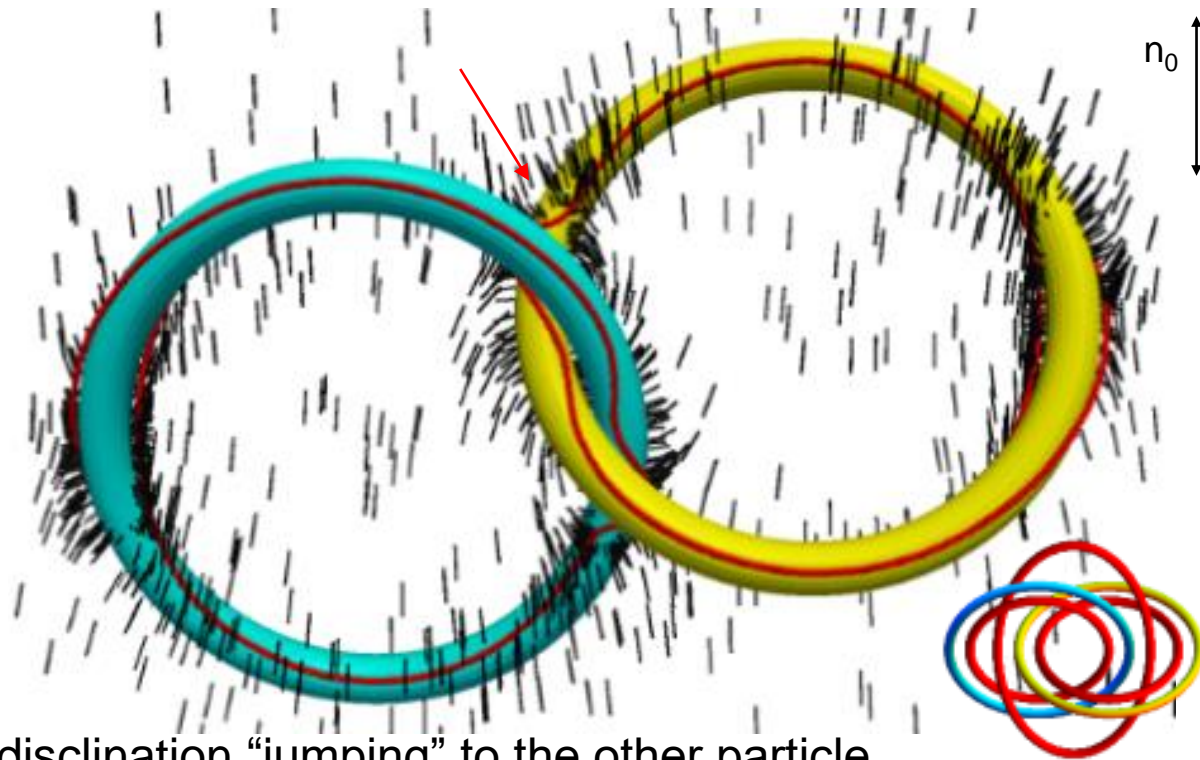
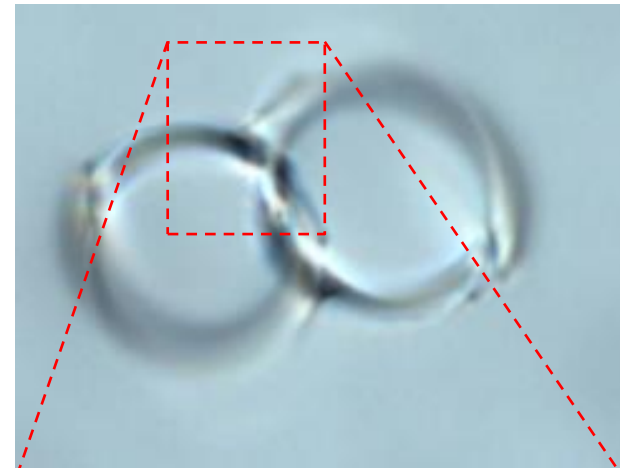
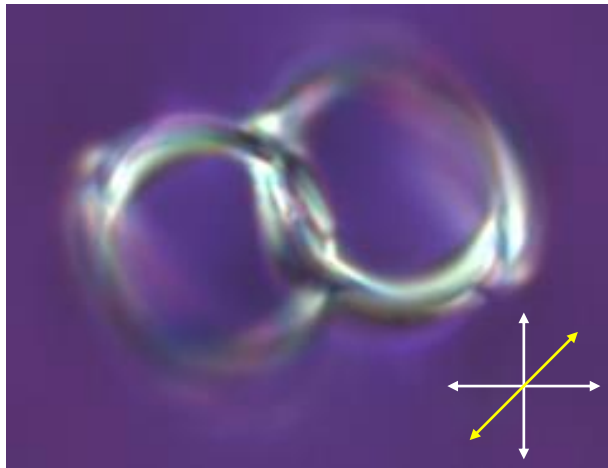
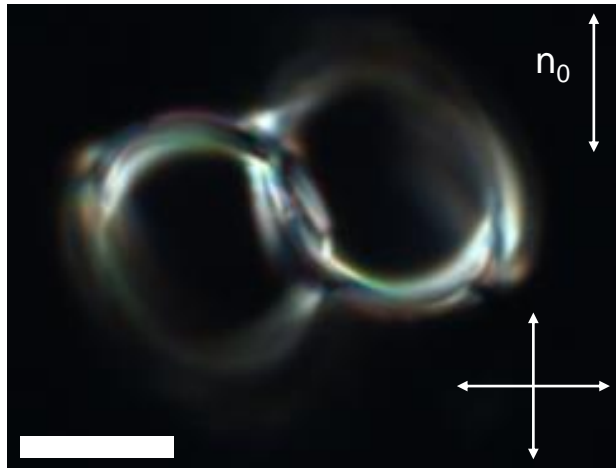


Solomon Links



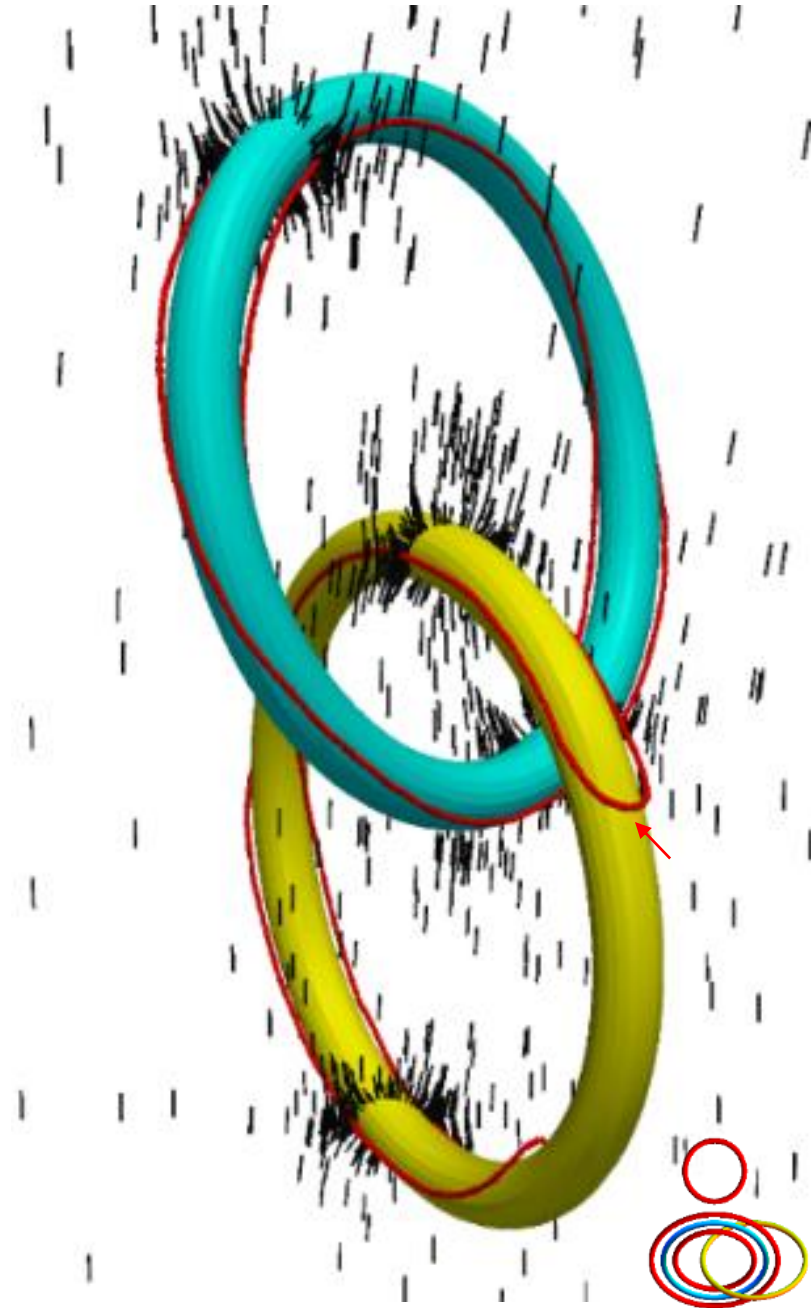
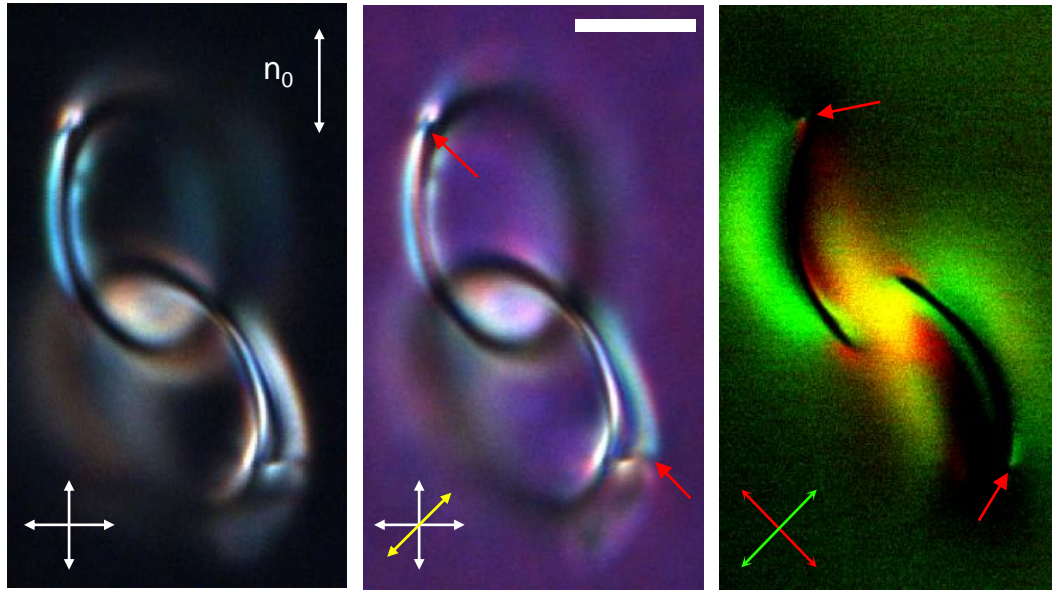


# Colloidal Hopf Links with perpendicular BCs

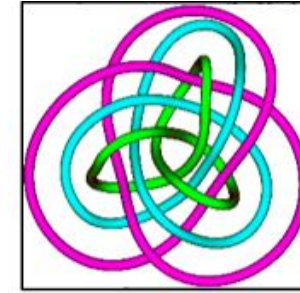
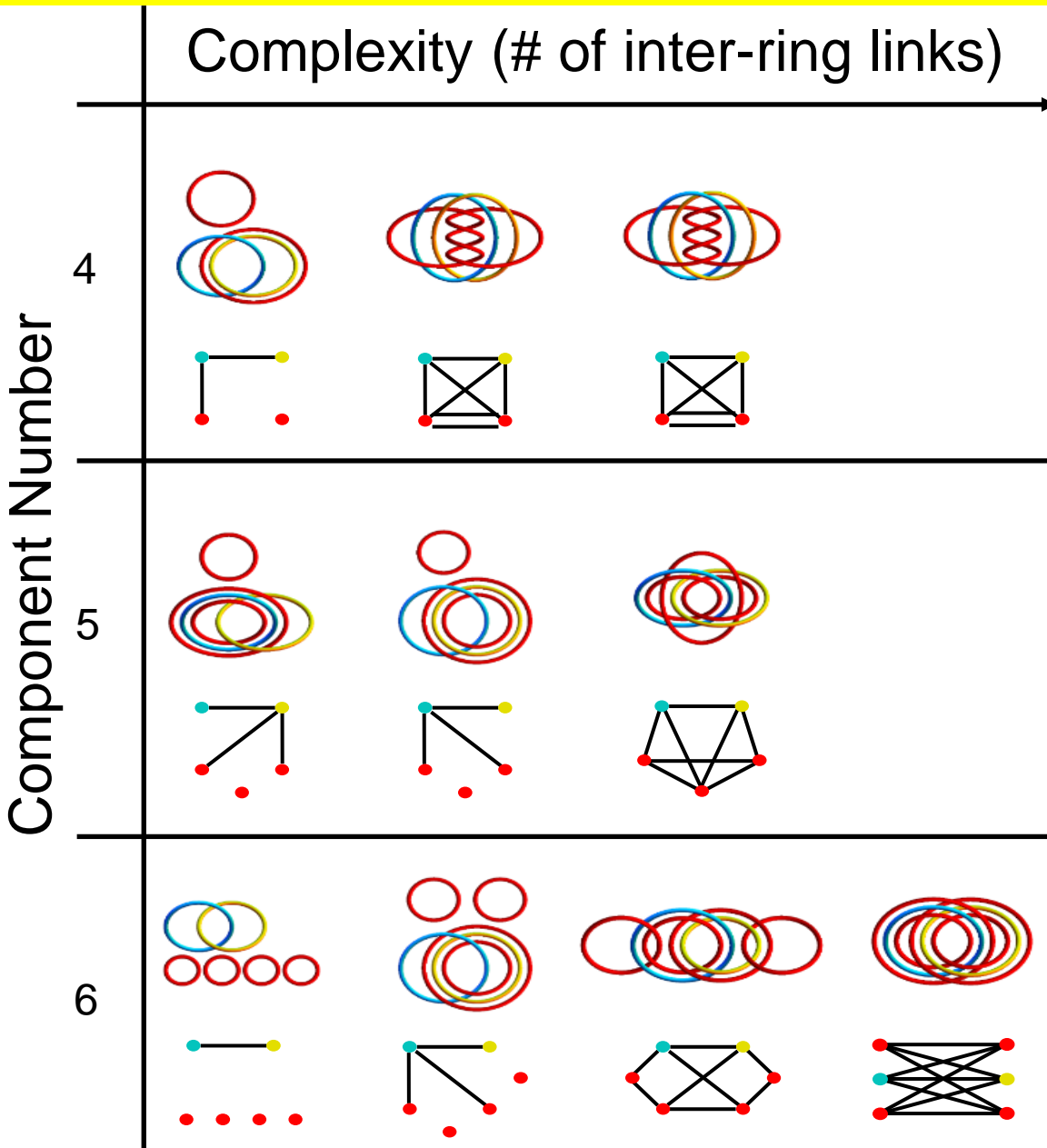


disclination "jumping" to the other particle

# Metastable Hopf links with perpendicular BCs



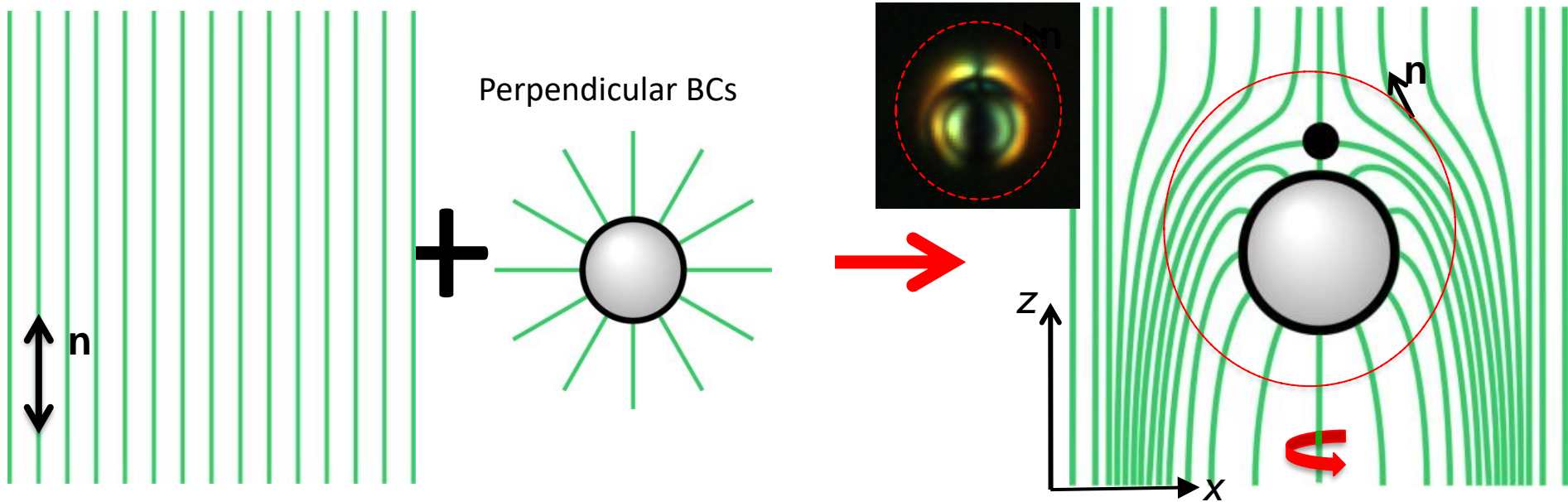
# Simplified graph diagrams & open questions



- colloidal Hopf links & knots induce 2 or more vortex rings
- Nonpolar nature - new topological interaction between fields & surfaces
- **NONE OF THIS** could not be realized in vector fields!
- Open question: how to extend statements of Poincare-Hopf theorem to nonpolar fields interacting (inter-linking!) with surfaces?

# **Colloidal interactions & new condensed matter phases?**

# Nematostatics & elastic multipoles: dipole



Elastic free energy of the LC (simplest):

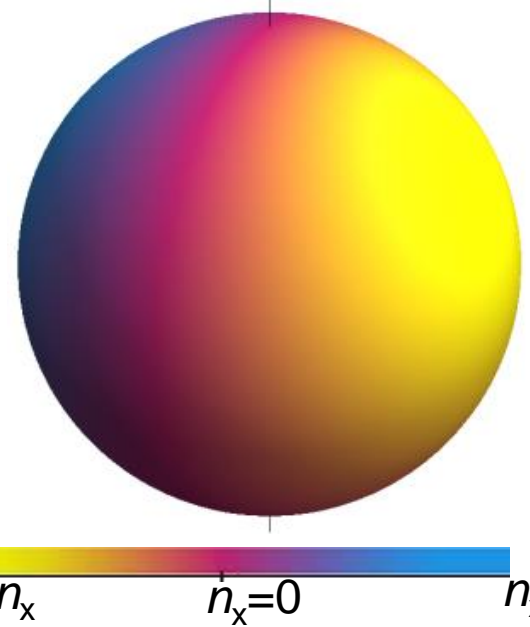
$$F_{\text{bulk}} = \frac{K}{2} \int dr \{ (\text{div} \mathbf{n})^2 + (\text{rot} \mathbf{n})^2 \}$$

Electrostatic analogy:  $2^l$ -pole

$$\sigma_l^m \propto \cos(m\phi) P_l^m(\cos\theta)$$

Dipole:  $l = 1, m = 1$

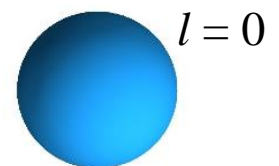
- Minimization of free energy
- Laplace's equation for director
- Solutions expanded in multipoles ( $2^l$ -poles)



# Nematic colloidal monopoles... hexadecapoles...

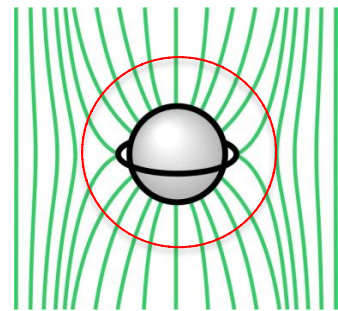
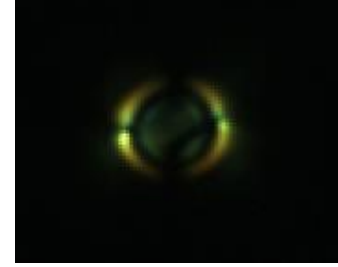
## Elastic $2^l$ -poles

### Monopoles ( $l=0$ ):



Y. Yuan, Q. Liu, B. Senyuk & I. I. Smalyukh. *Nature* **570**, 214 (2019).

### Quadrupoles ( $l=2$ ):

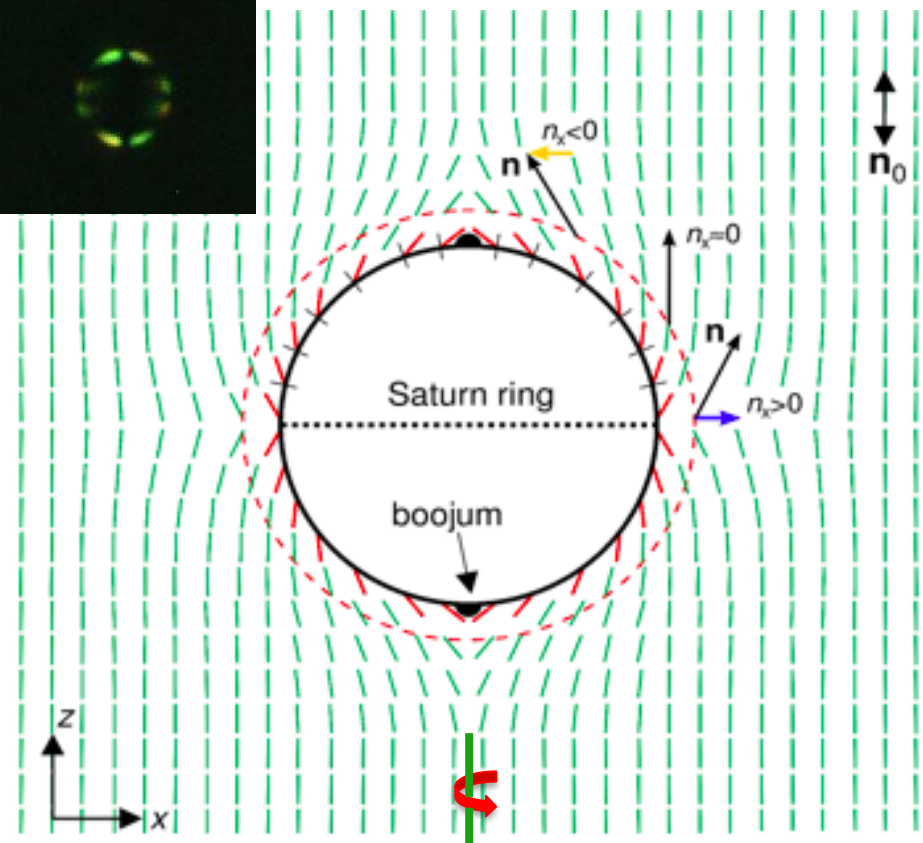
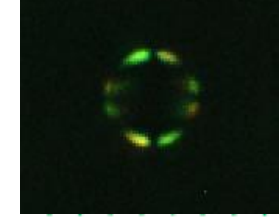


$l = 2, m = -1$



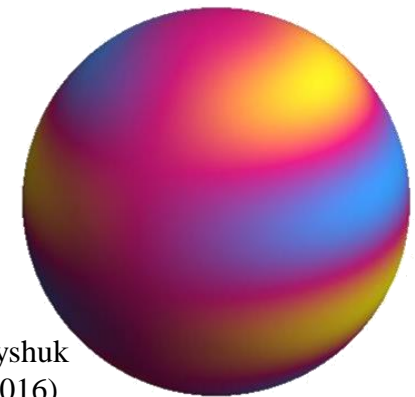
C. Lapointe, T. Mason I. I. Smalyukh *SCIENCE* **326**, 1083-1086 (2009)

### Conical BCs - Elastic hexadecapole ( $l=4$ ):

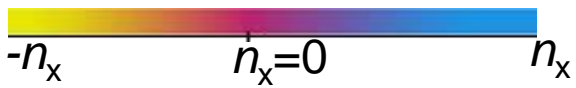


$l = 4, m = 1$

$2^l = 16$  – hexadecapole



$$\sigma_l^m \propto \cos(m\phi) P_l^m(\cos\theta)$$



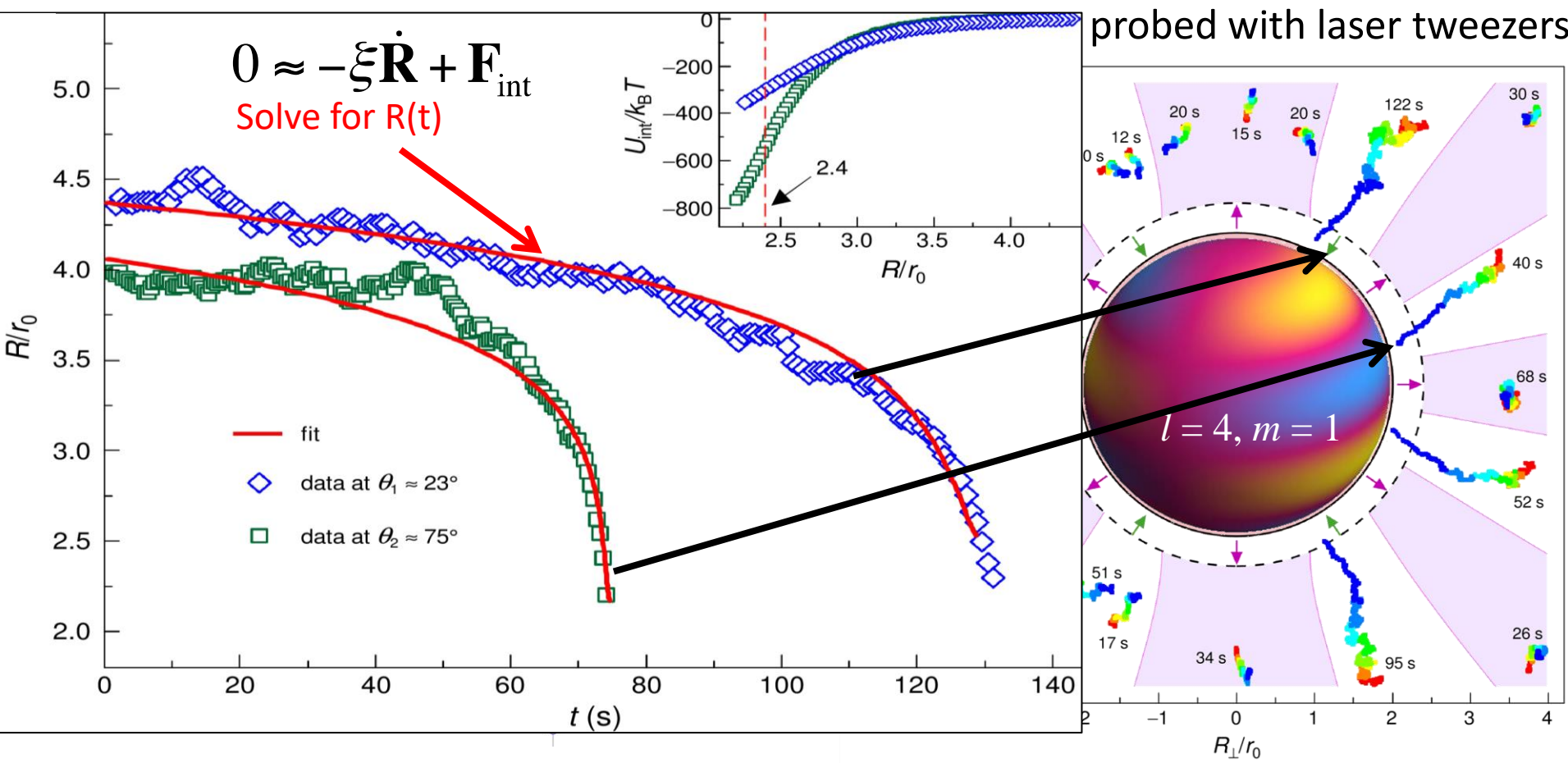
B. Senyuk, O. Puls, O. Tovkach, S. Chernyshuk  
I. I. Smalyukh. *Nature Comm* **7**, 10659 (2016)

# Elastic pair interactions between hexadecapoles

→ Analogy with electrostatics

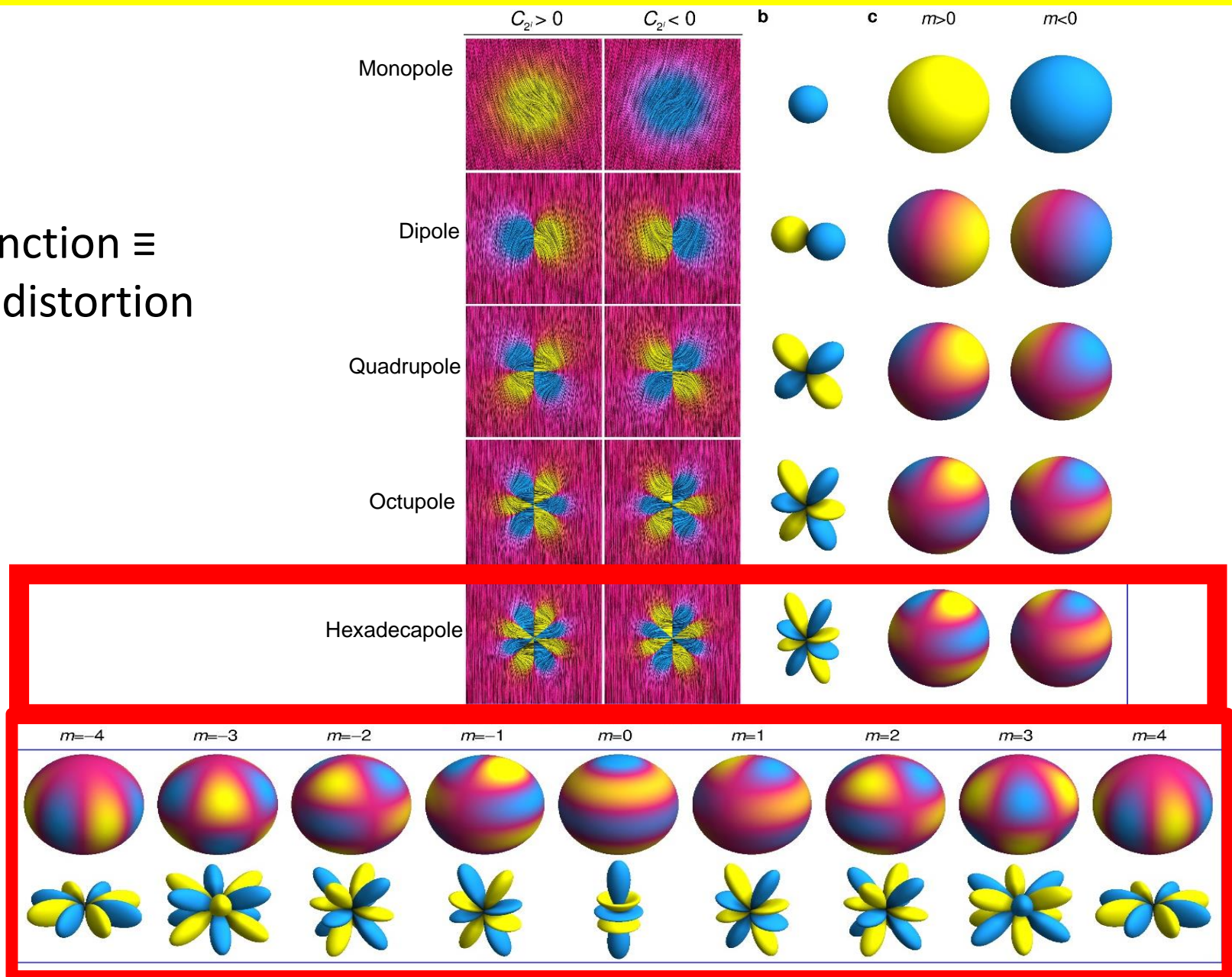
→ Pair-interaction energy (leading order)  $U_{hexa} \propto P_8(\cos q) / R^9$

→ 8 sectors of attraction + 8 sectors of repulsion



# Nematic colloidal multipoles & electron shells

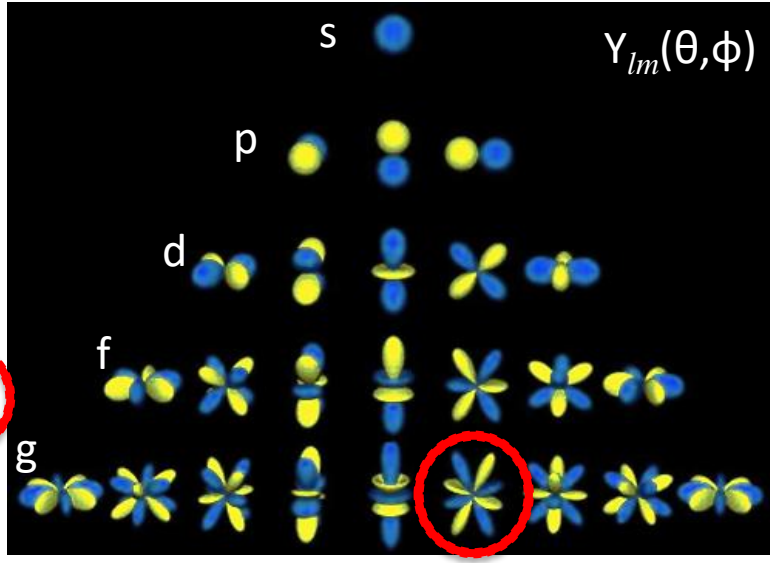
Wave function  $\equiv$   
director distortion



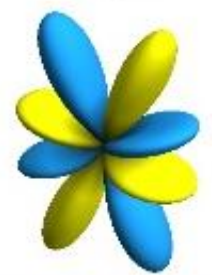
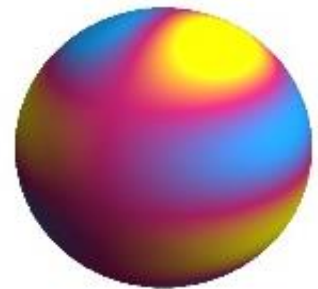


# Table of Elements & Diversity of Colloidal Atoms

1	1 H																	2 He
2	3 Li	4 Be											5 B	6 C	7 N	8 O	9 F	10 Ne
3	11 Na	12 Mg											13 Al	14 Si	15 P	16 S	17 Cl	18 Ar
4	19 K	20 Ca	21 Sc	22 Ti	23 V	24 Cr	25 Mn	26 Fe	27 Co	28 Ni	29 Cu	30 Zn	31 Ga	32 Ge	33 As	34 Se	35 Br	36 Kr
5	37 Rb	38 Sr	39 Y	40 Zr	41 Nb	42 Mo	43 Tc	44 Ru	45 Rh	46 Pd	47 Ag	48 Cd	49 In	50 Sn	51 Sb	52 Te	53 I	54 Xe
6	55 Cs	56 Ba	*	72 Hf	73 Ta	74 W	75 Re	76 Os	77 Ir	78 Pt	79 Au	80 Hg	81 Tl	82 Pb	83 Bi	84 Po	85 At	86 Rn
7	87 Fr	88 Ra	**	104 Rf	105 Db	106 Sg	107 Bh	108 Hs	109 Mt	110 Ds	111 Rg	112 Cn	113 Uut	114 Fl	115 Uup	116 Lv	117 Uus	118 Uuo
f	* 57 La		58 Ce	59 Pr	60 Nd	61 Pm	62 Sm	63 Eu	64 Gd	65 Tb	66 Dy	67 Ho	68 Er	69 Tm	70 Yb	71 Lu		
	** 89 Ac		90 Th	91 Pa	92 U	93 Np	94 Pu	95 Am	96 Cm	97 Bk	98 Cf	99 Es	100 Fm	101 Md	102 No	103 Lr		



$l = 4, m = 1$

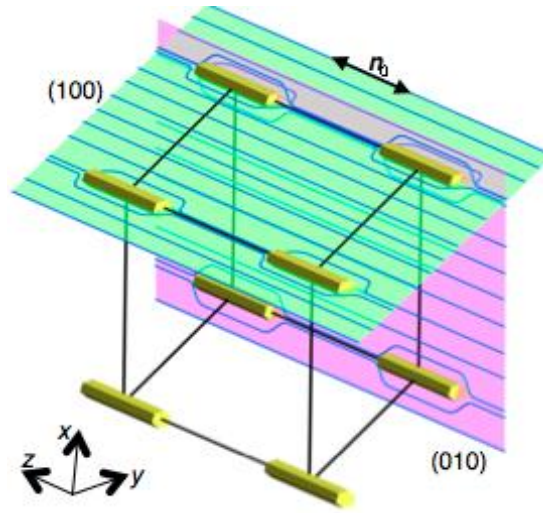
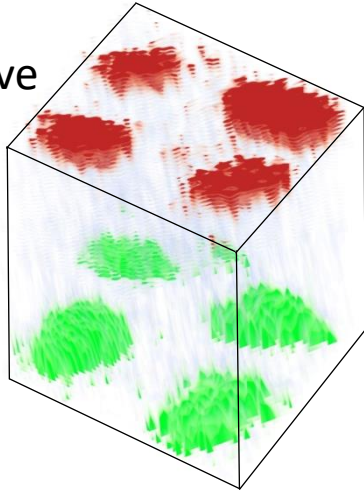


- Element #118 added in June 2016
- Elements with filled s,p,d,f-orbitals
- No atoms with filled g-orbitals discovered so far!
- Colloidal Hexadecapole has symmetry of atoms with g-orbitals starting from #121
- “Colloidal” atom diversity exceeds that of atoms!

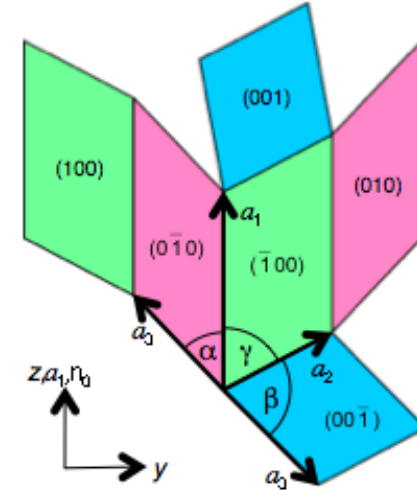
The same mathematical description with spherical harmonics  $Y_{lm}(\theta, \phi)$

# Crystallization of triclinic colloidal crystals

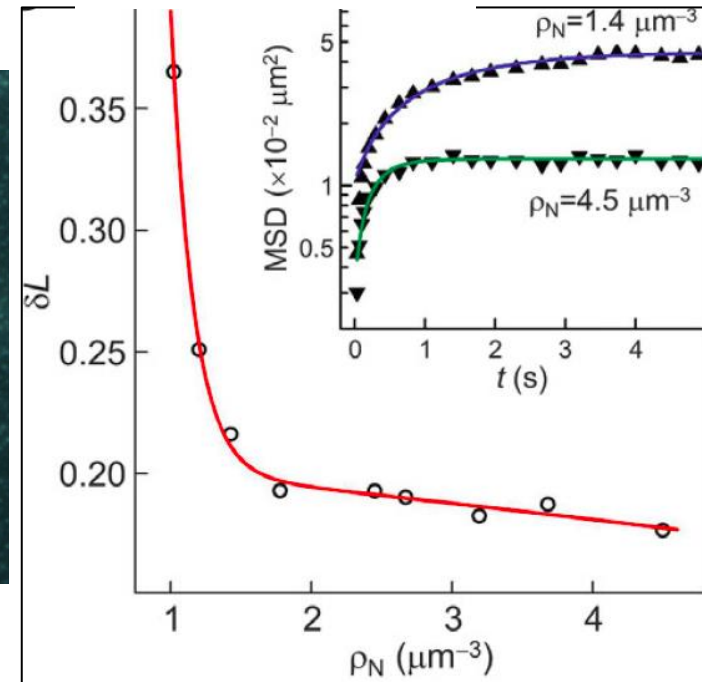
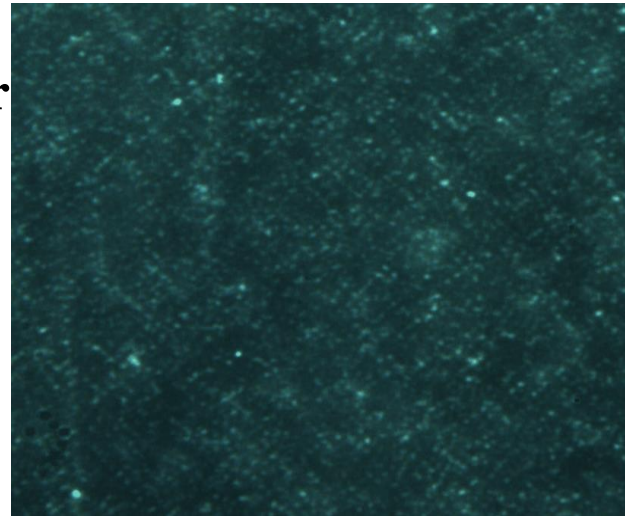
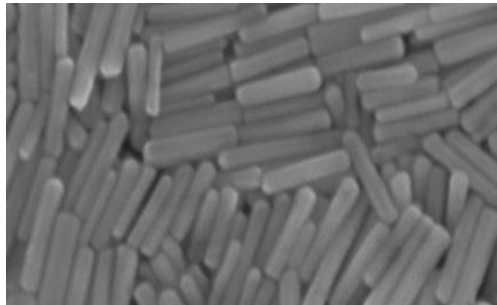
primitive cell



“paper” model



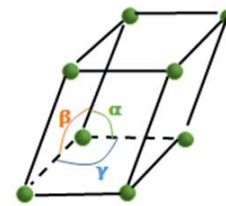
- Crystallization with increased concentration;
- Filling factor  $\ll 1\%$
- Lindemann parameter



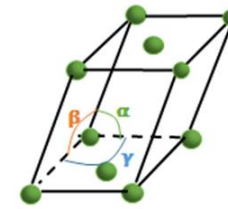
- All lattice parameters & angles different
- Triclinic crystal of aligned  $30 \times 150$  nm nanorods

# Soft matter analogs of 3D crystalline solids?

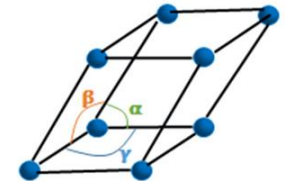
Crystal family (6)	Crystal system (7)	Required symmetries of the point group
<b>Triclinic</b>		None
<b>Monoclinic</b>		1 twofold axis of rotation or 1 mirror plane
<b>Orthorhombic</b>		3 twofold axes of rotation or 1 twofold axis of rotation and 2 mirror planes
<b>Tetragonal</b>		1 fourfold axis of rotation
<b>Hexagonal</b>	<b>Trigonal</b>	1 threefold axis of rotation
	<b>Hexagonal</b>	1 sixfold axis of rotation
<b>Cubic</b>		4 threefold axes of rotation



Simple monoclinic



Base-centered monoclinic

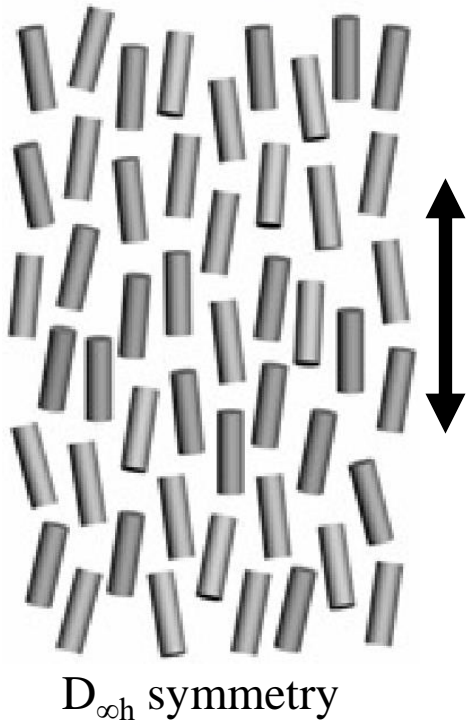


Triclinic

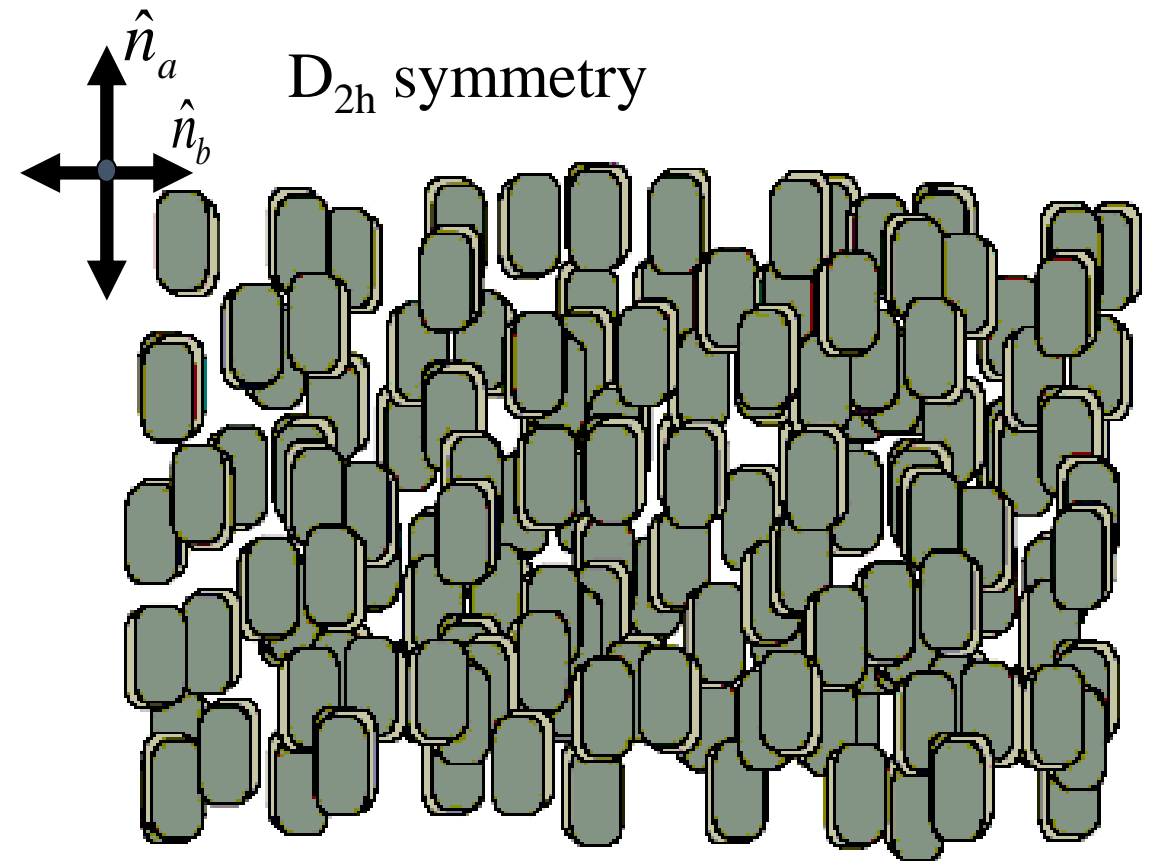
→ Low-symmetry nematic fluid analogs?

# Fundamental question: how order & fluidity coexist?

Uniaxial nematics



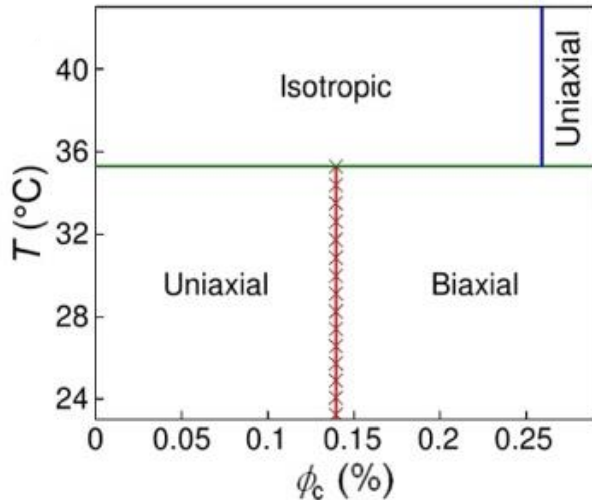
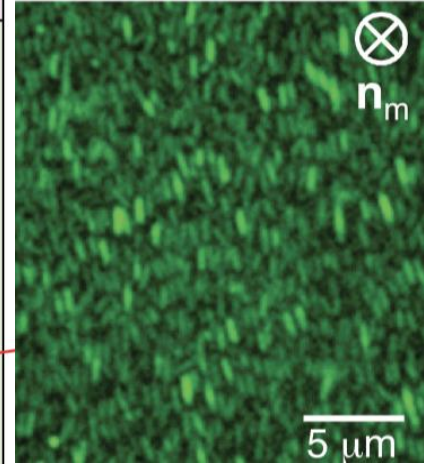
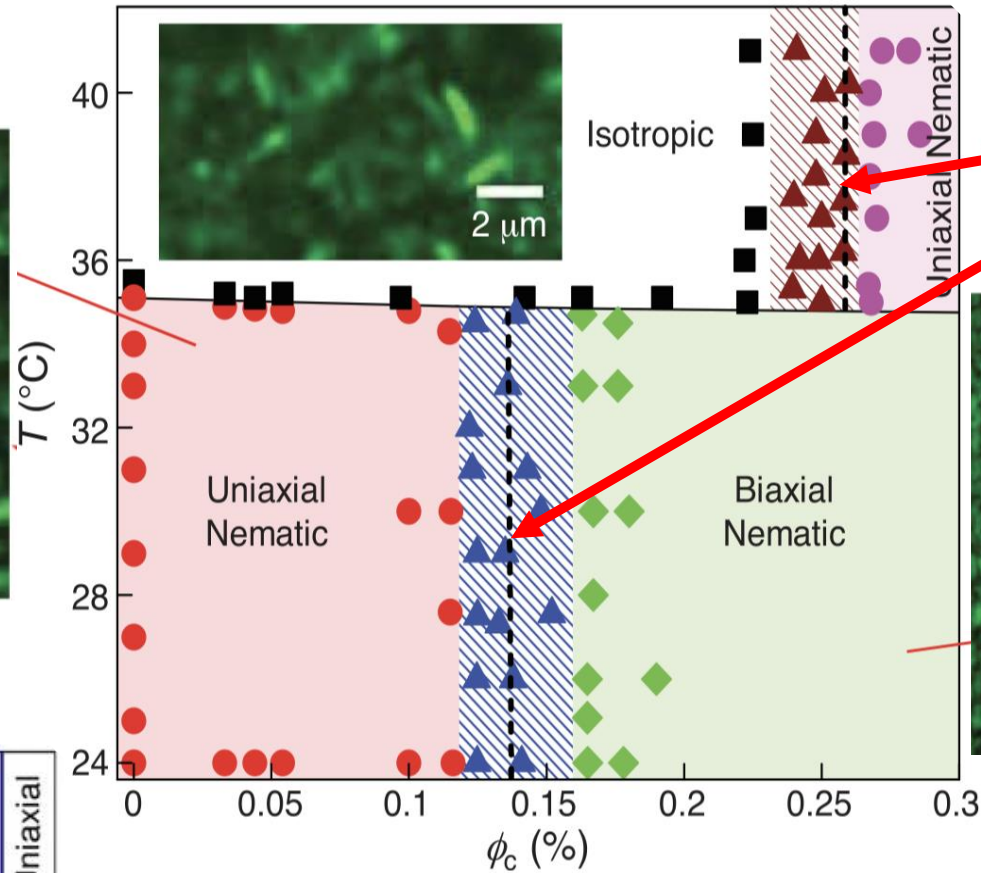
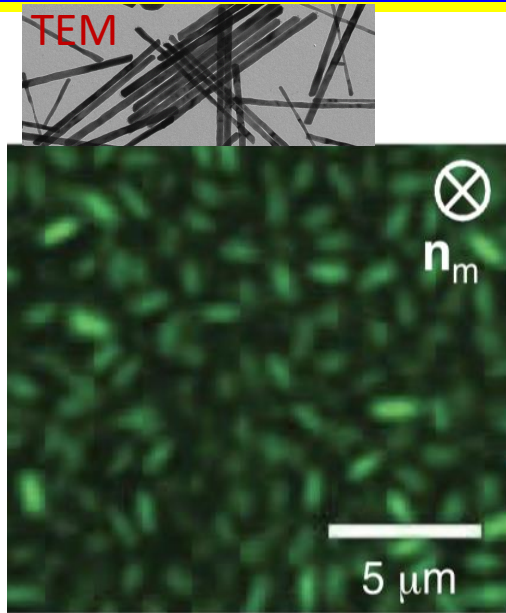
Biaxial nematic fluids



M.J. Freiser. PRL 24,1041 (1970)

- Somewhat like "Higgs Bosons" of Soft Matter
- 50-year of search & studies in micellar, colloidal & molecular systems...

# Molecular-colloidal orthorhombic biaxial nematic



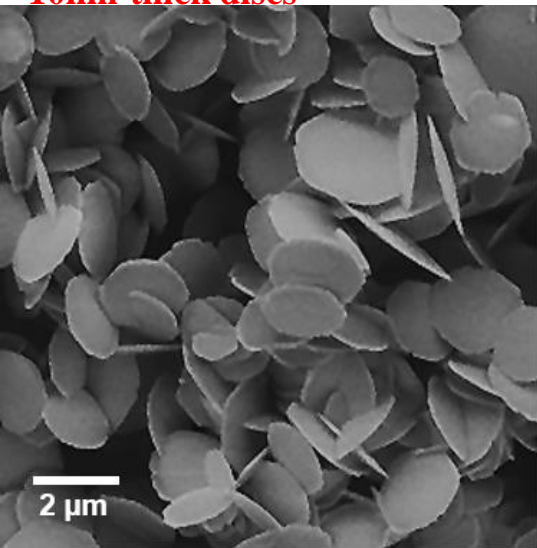
→ Modified Onsager model

→ In presence of LC-colloid interaction potential

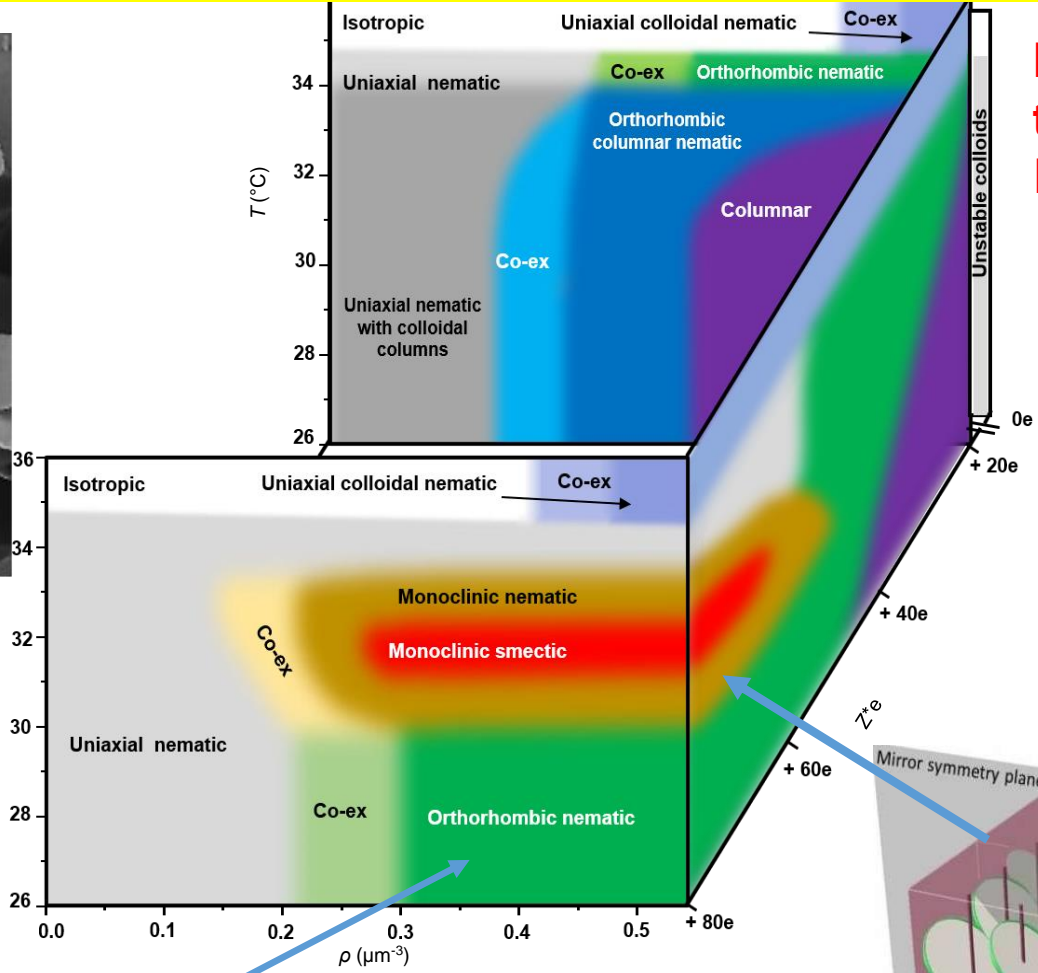
→ Reduced degrees of freedom of colloidal rods

# Monoclinic LCs from discs in a nematic host

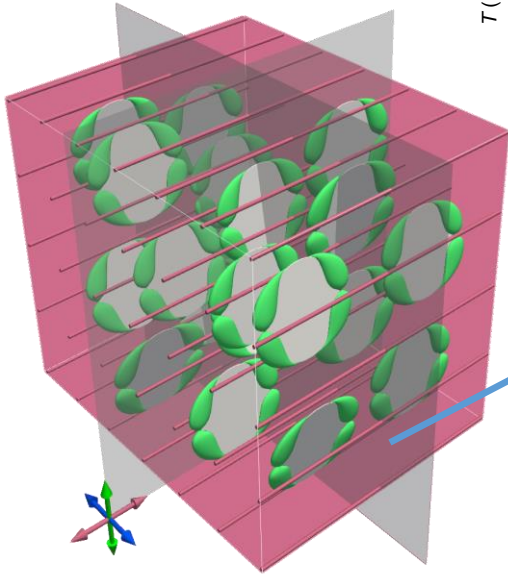
10nm-thick discs



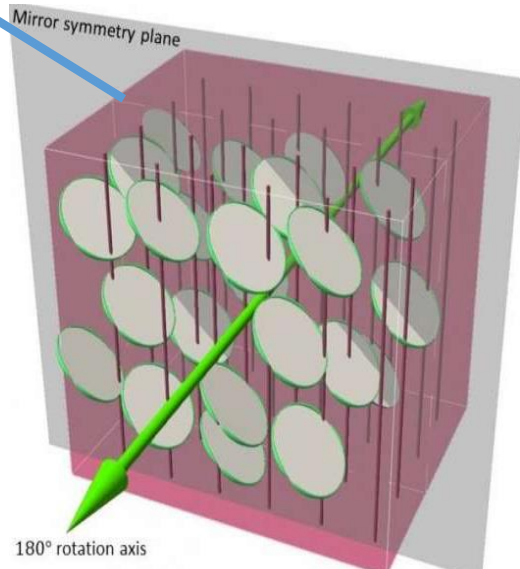
Mundoor, Wu, Wensink, Smalyukh. *Nature* **590**, 268-274 (2021)



My conference talk in May at KITP



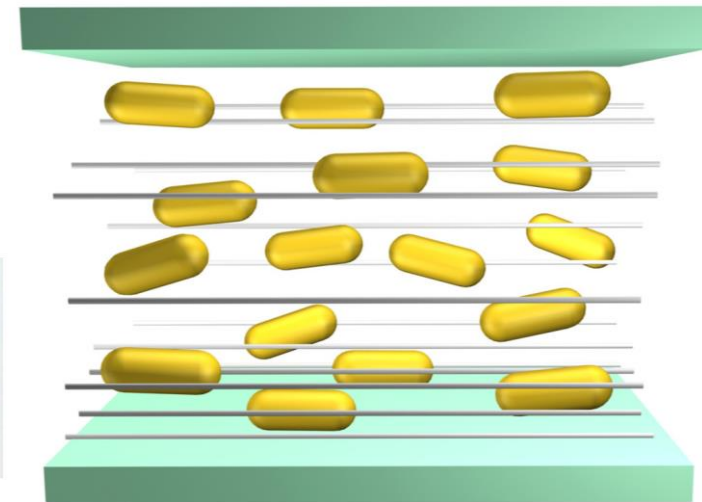
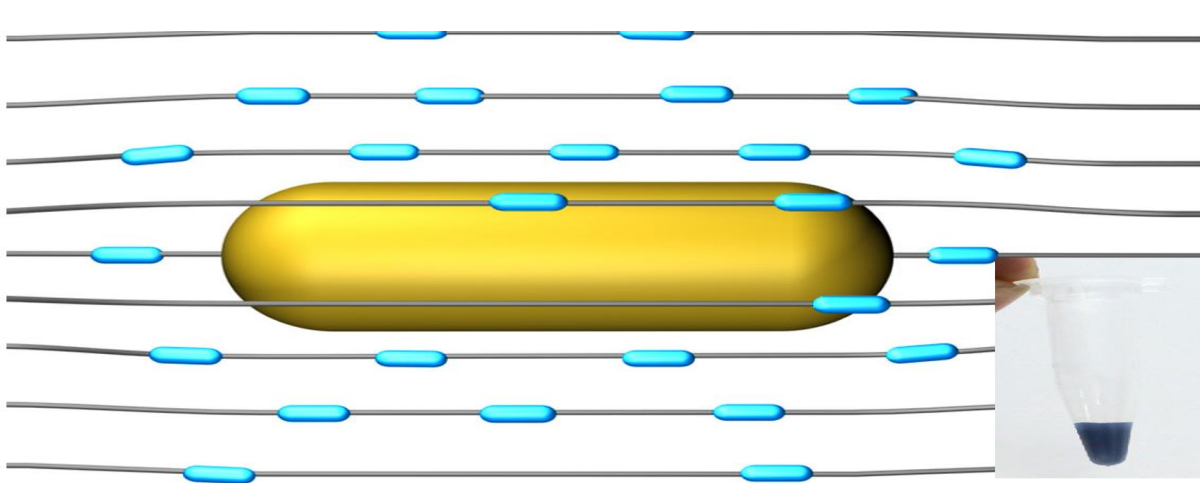
**Open questions:**  
 Can we achieve a triclinic nematic?  
 What other symmetries of nematic fluids & other LCs can be realized?



180° rotation axis

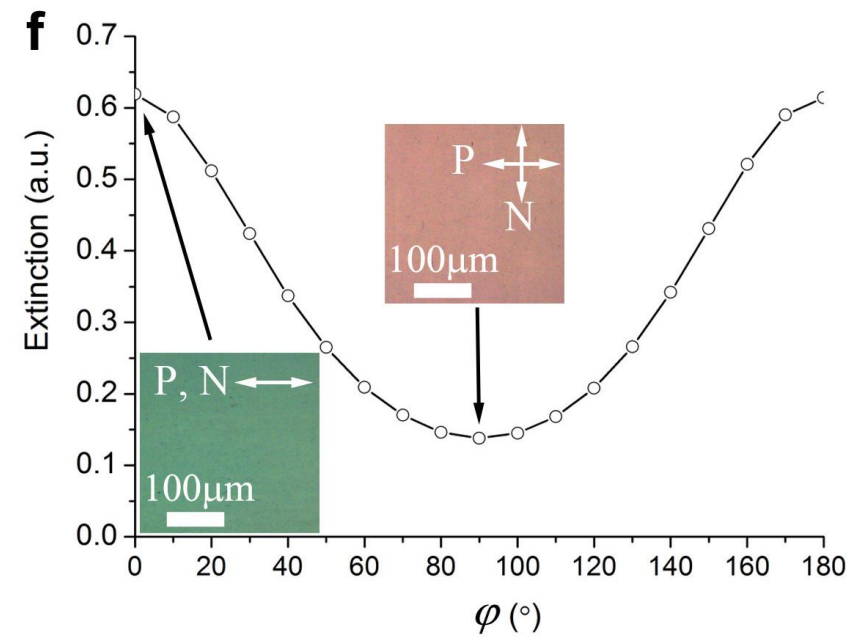
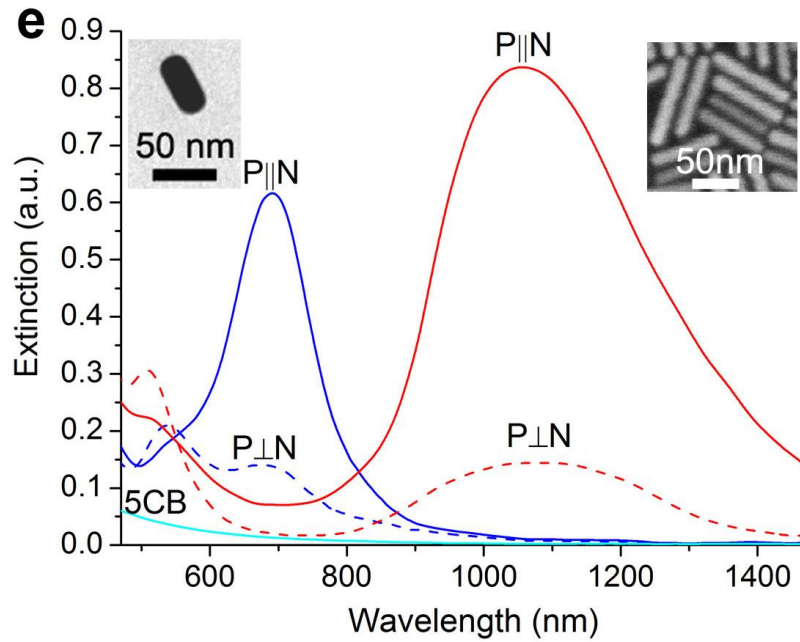
**Nanoparticle-enabled emergent  
physical behavior?**

# Gold nanorods (GNRs) aligned in a thermotropic LC (5CB...)



→ Alignment yields strong polarization sensitivity;  
→ PEG-functionalized, ~1 vol% in 5CB

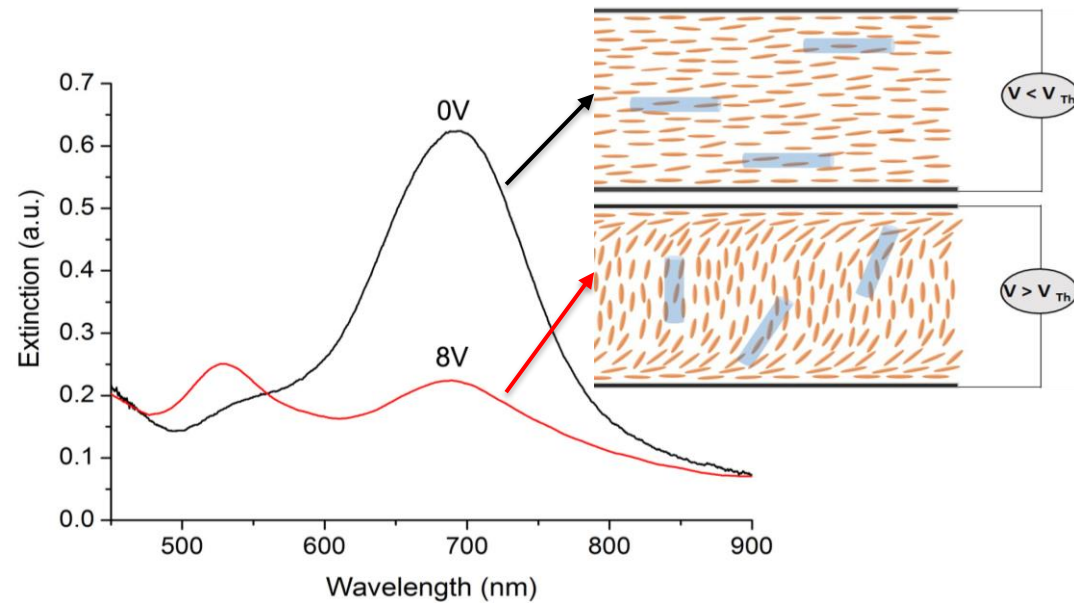
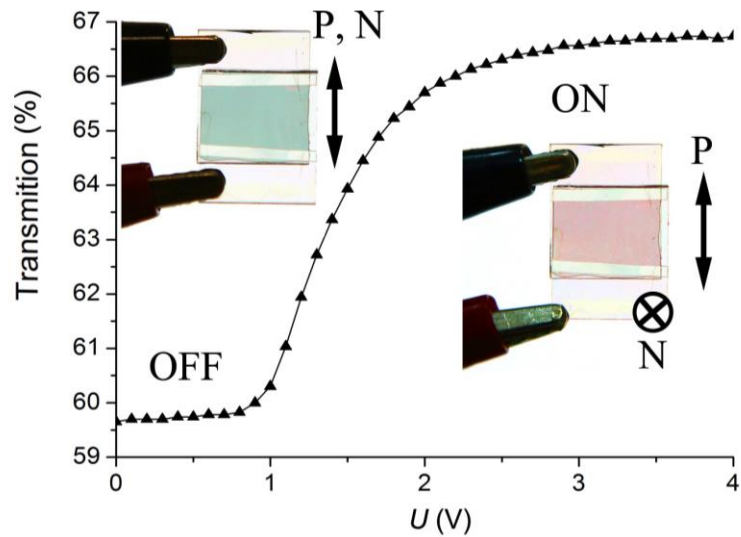
Q. Liu, Y. Yuan, and I. I. Smalyukh.  
Nano Lett. 14, 4071–4077





# Plasmonic guest-host LCs: electric switching

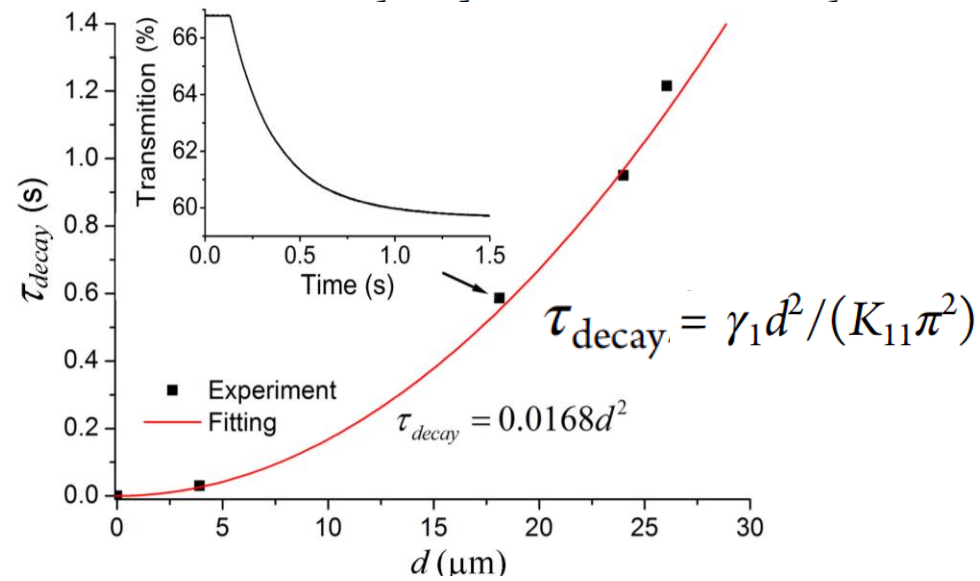
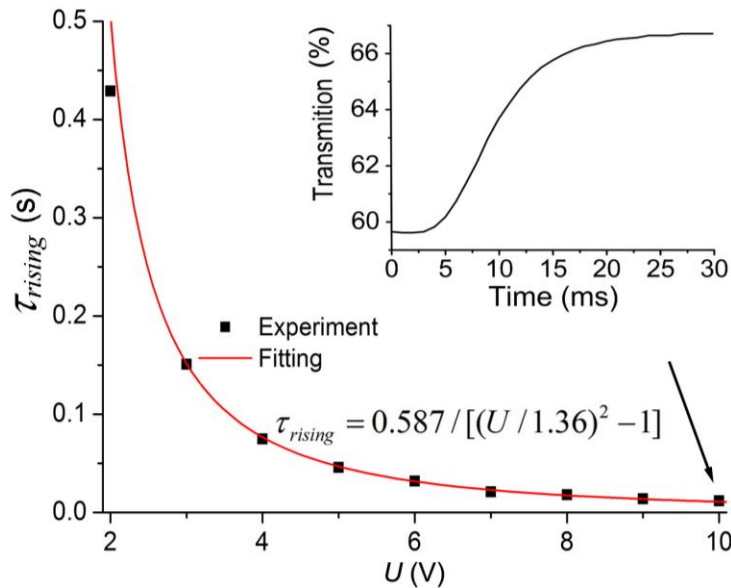
→ Can switch at ~1V



→ GNRs follow the director

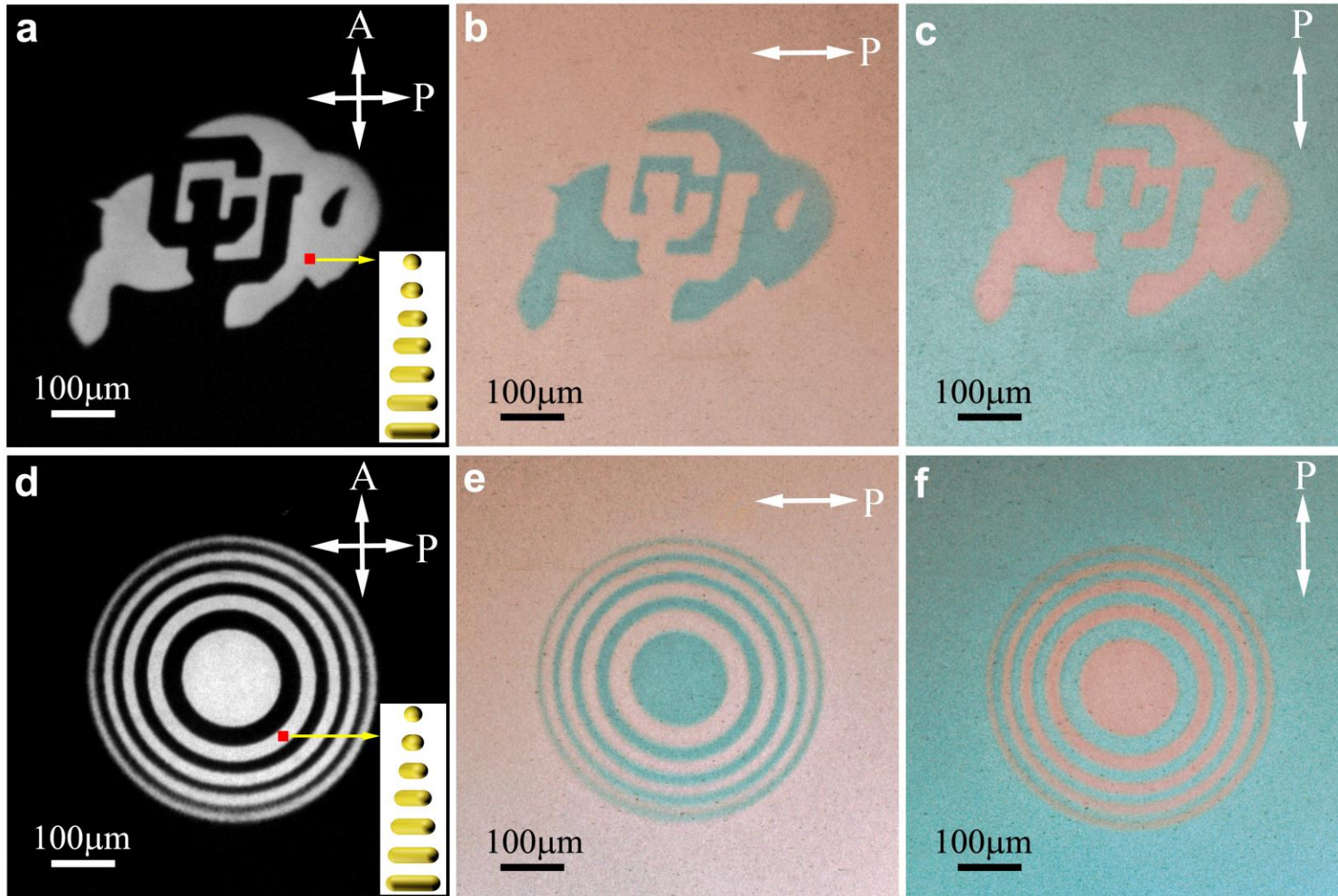
→ Switching response times comparable to that of LCs

$$\tau_{\text{rising}} = \tau_{\text{decay}} / [(U/U_{th})^2 - 1]$$



# Alignment & dynamic control by photo-responsive layers

→CU – Colorado University;



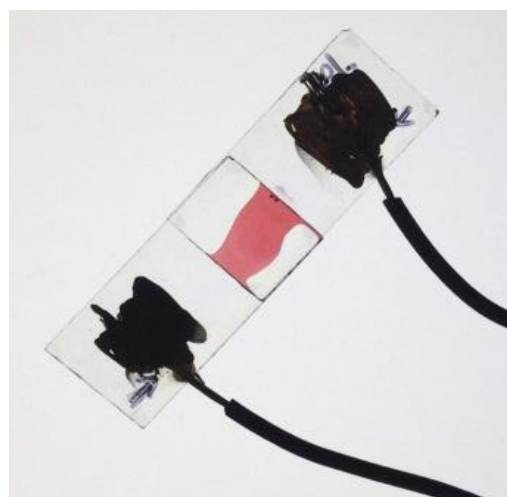
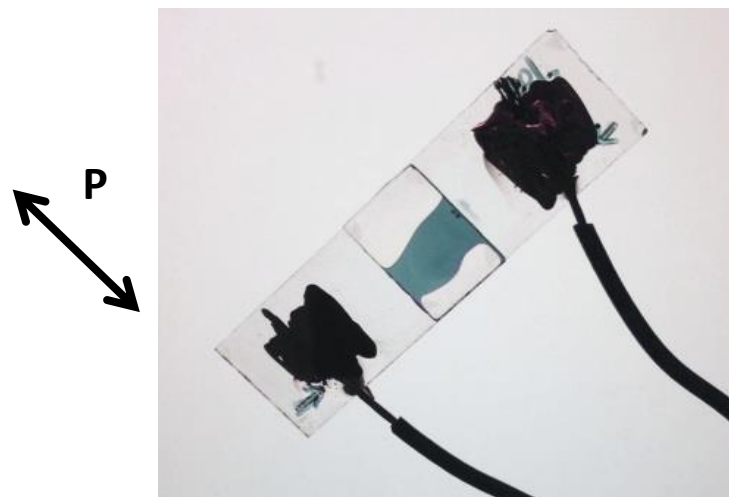
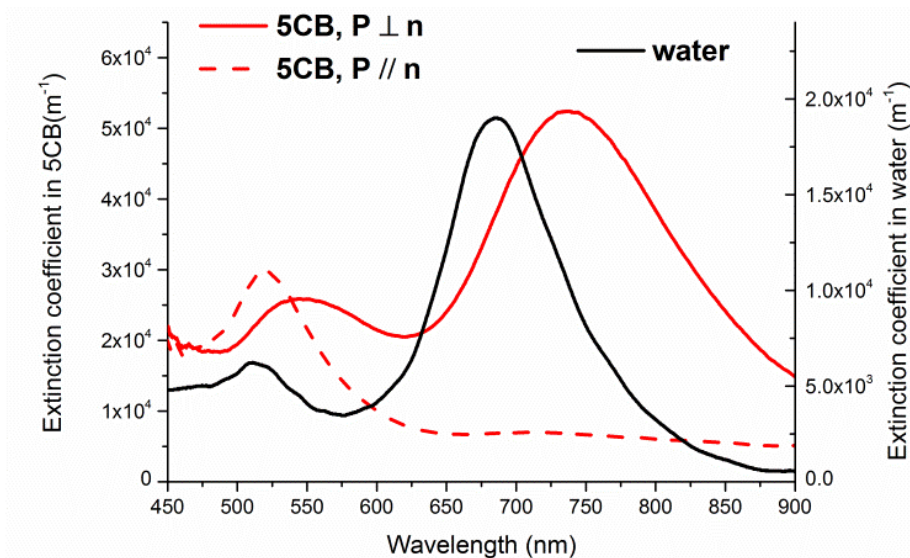
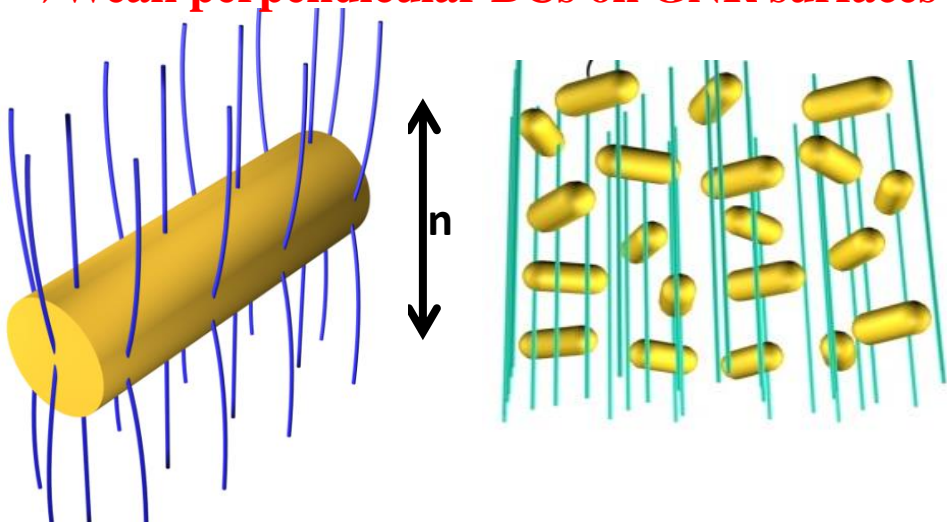
→Polarized illumination of PAAD-22 azobenzene alignment layer;

→Twisted domains/patterns in uniform nematic – transverse & longitudinal modes

Q. Liu, Y. Yuan, and I. I. Smalyukh. Nano Lett. 14, 4071–4077.

# Perpendicular boundary conditions & alignment of GNRs

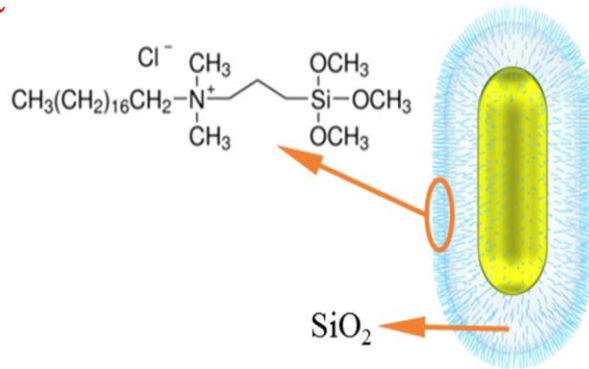
→ Weak perpendicular BCs on GNR surfaces



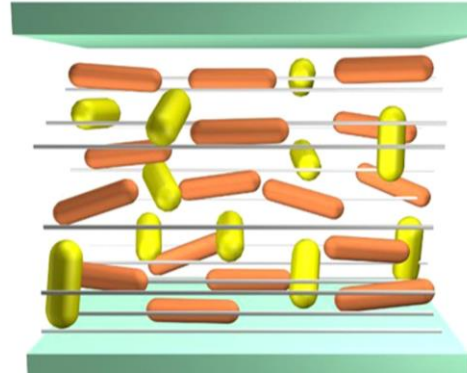
→ Alignment & switching guided by LC

# Switching of Orthogonally Aligned Plasmonic Nanorods

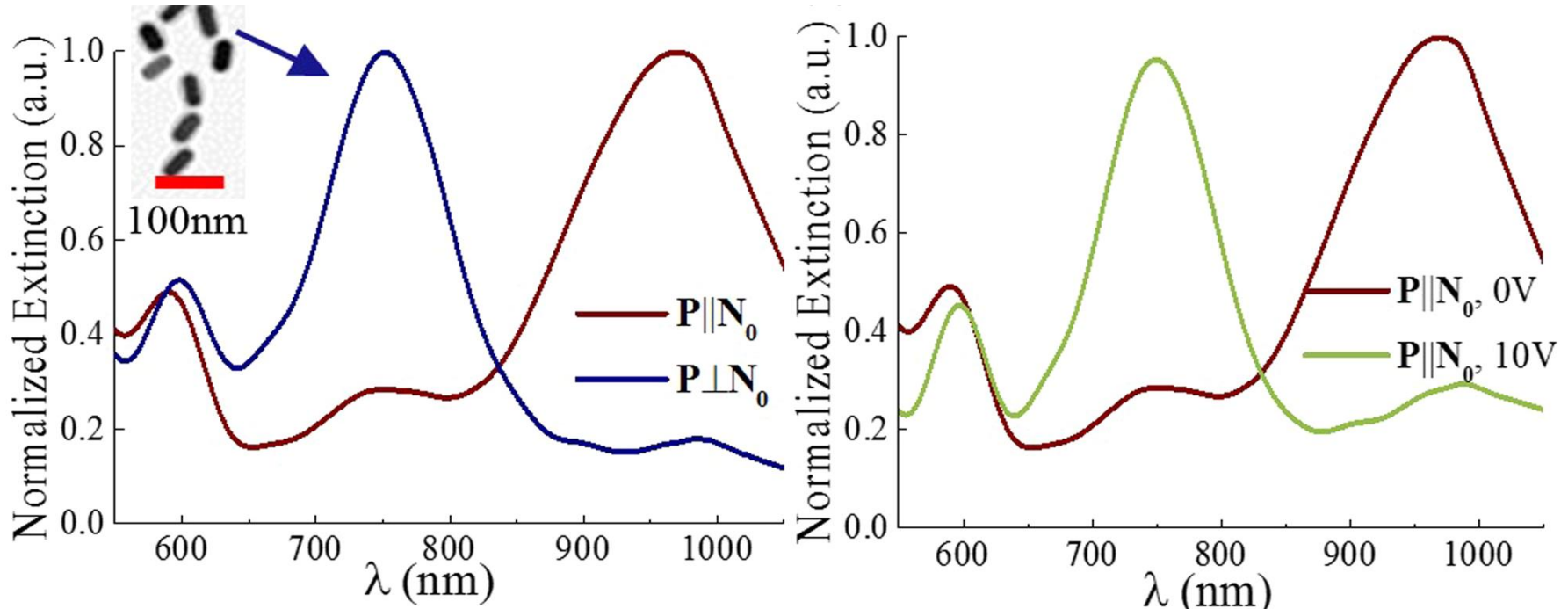
→ **DMOAP surfactant treatment**



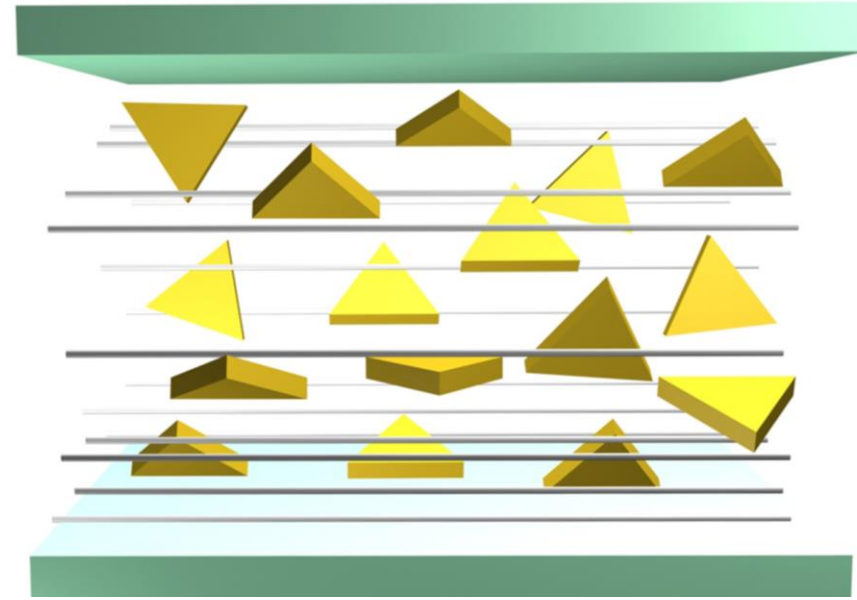
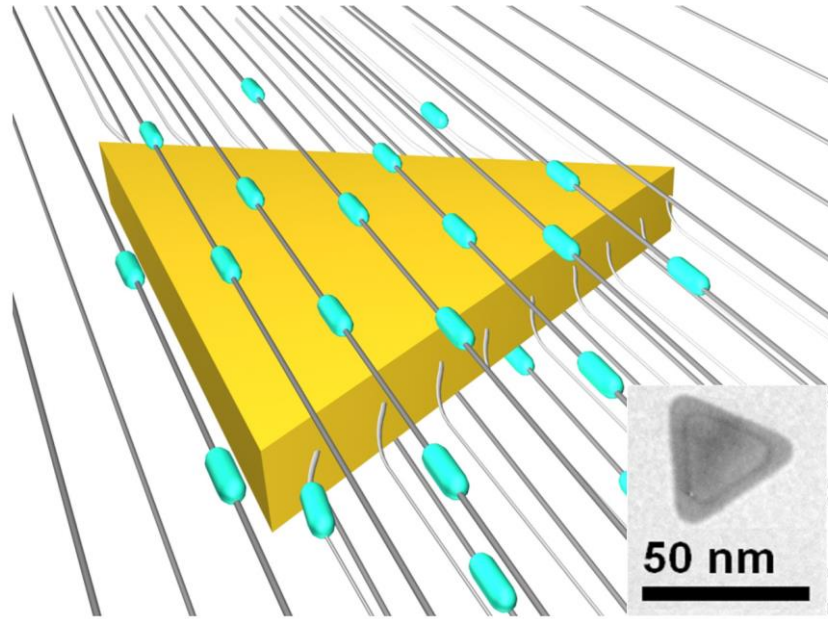
→ **Orthogonal ordered colloidal co-dispersion**



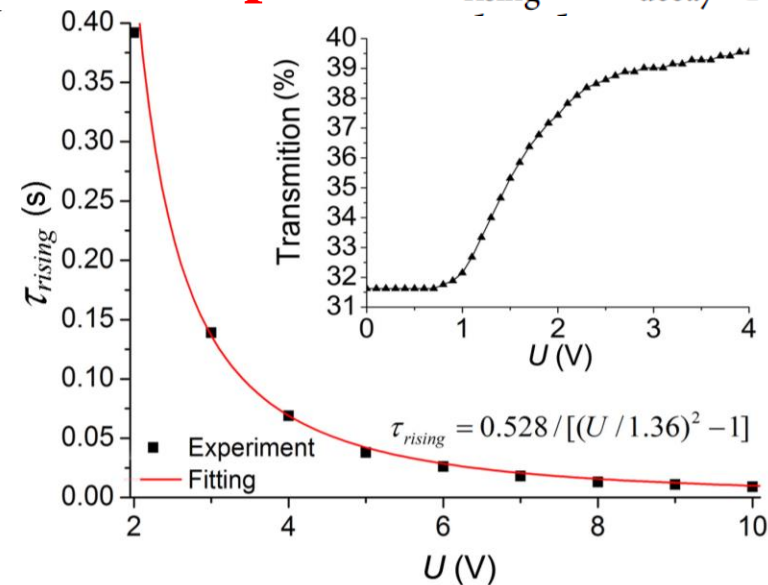
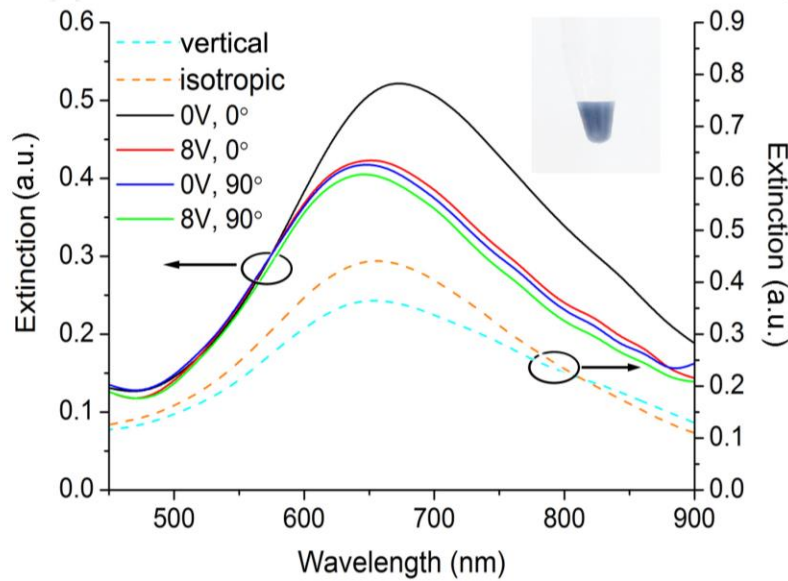
→ **Polarization dependence & electric switching of spectra**



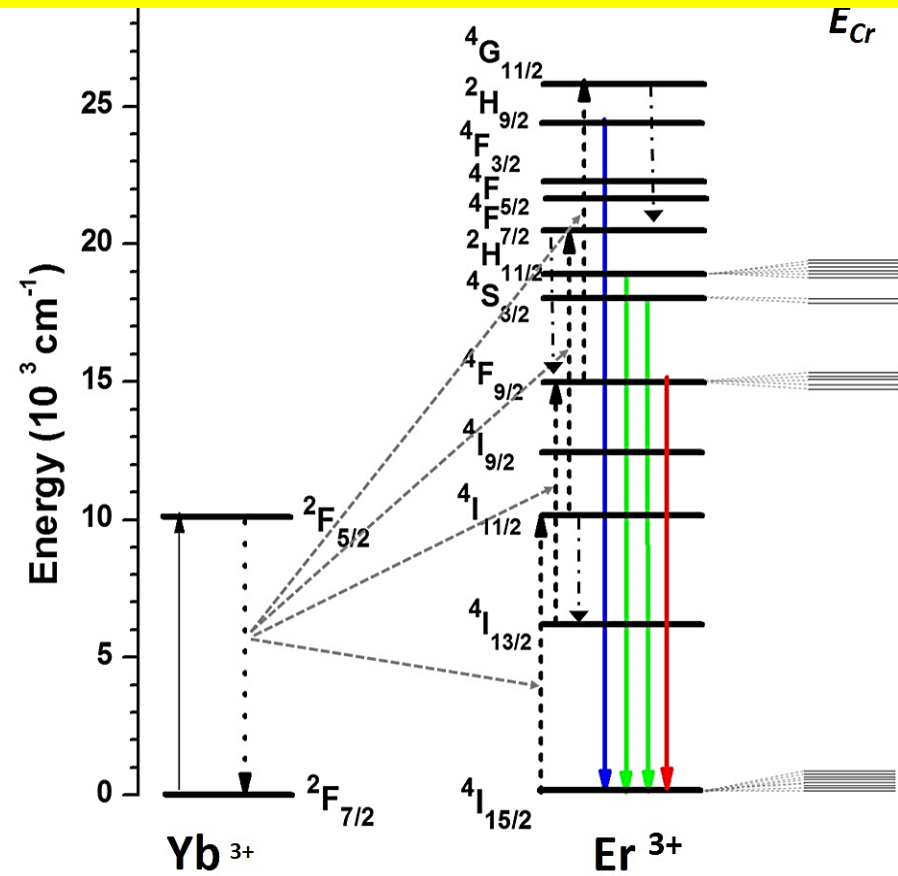
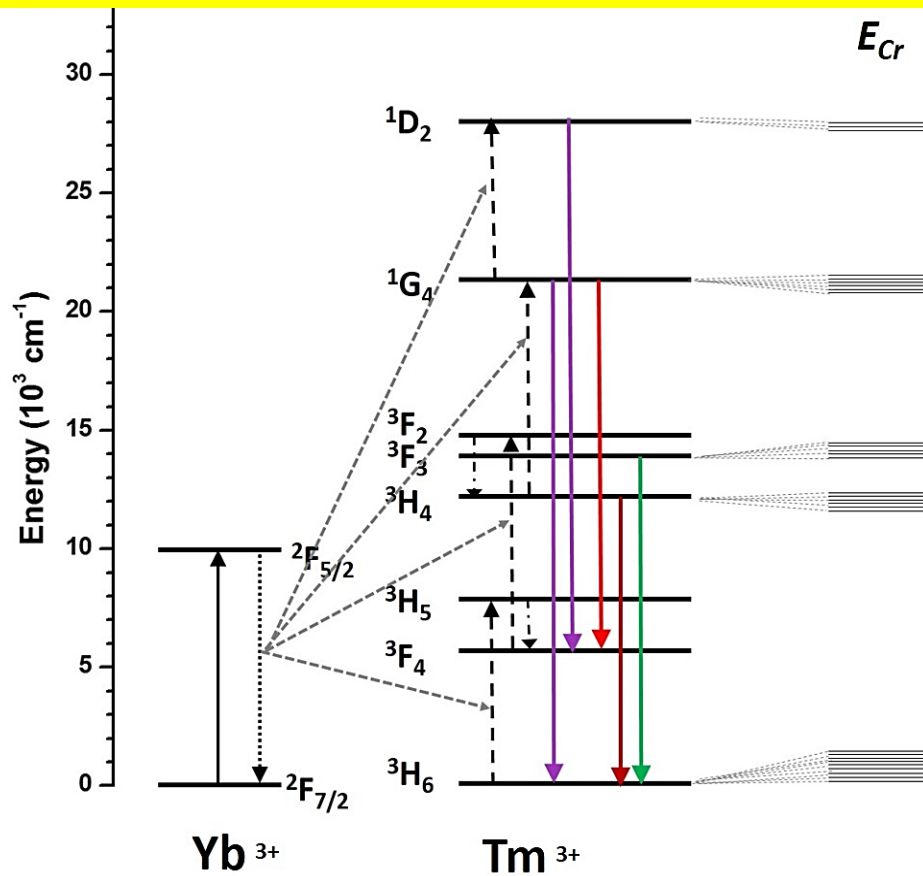
# Alignment of polygonal (e.g., triangular) nanoplatelets



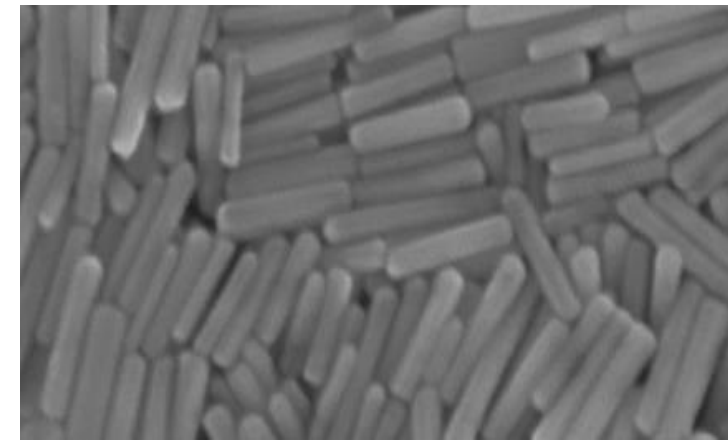
→ **Orientalional ordering & electric response:**  $\tau_{\text{rising}} = \tau_{\text{decay}} / [(U/U_{\text{th}})^2 - 1]$



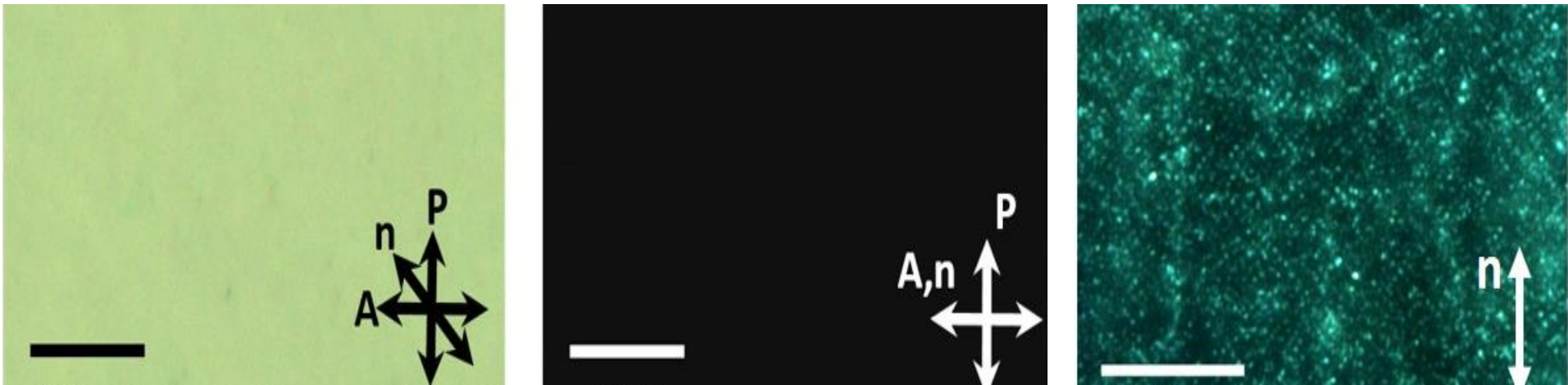
# Upconversion semiconductor nanorods (infrared->visible)



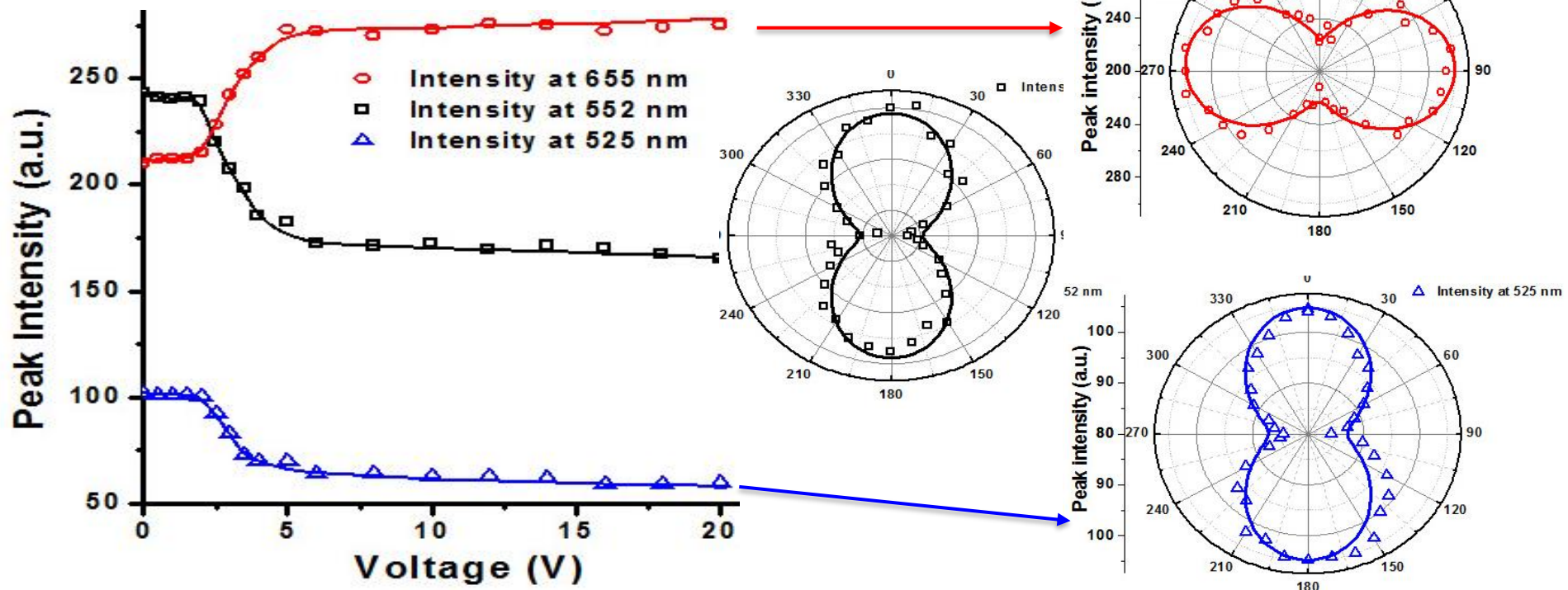
- Energy level diagram for the upconversion process
- through energy transfer from  $\text{Yb}^{3+}$  Ions to  $\text{Tm}^{3+}$  &  $\text{Er}^{3+}$  ions
- $\beta\text{-NaYF}_4$  doped by 30%  $\text{Gd}^{3+}$ , 18%  $\text{Yb}^{3+}$ , 2%  $\text{Tm}^{3+}$



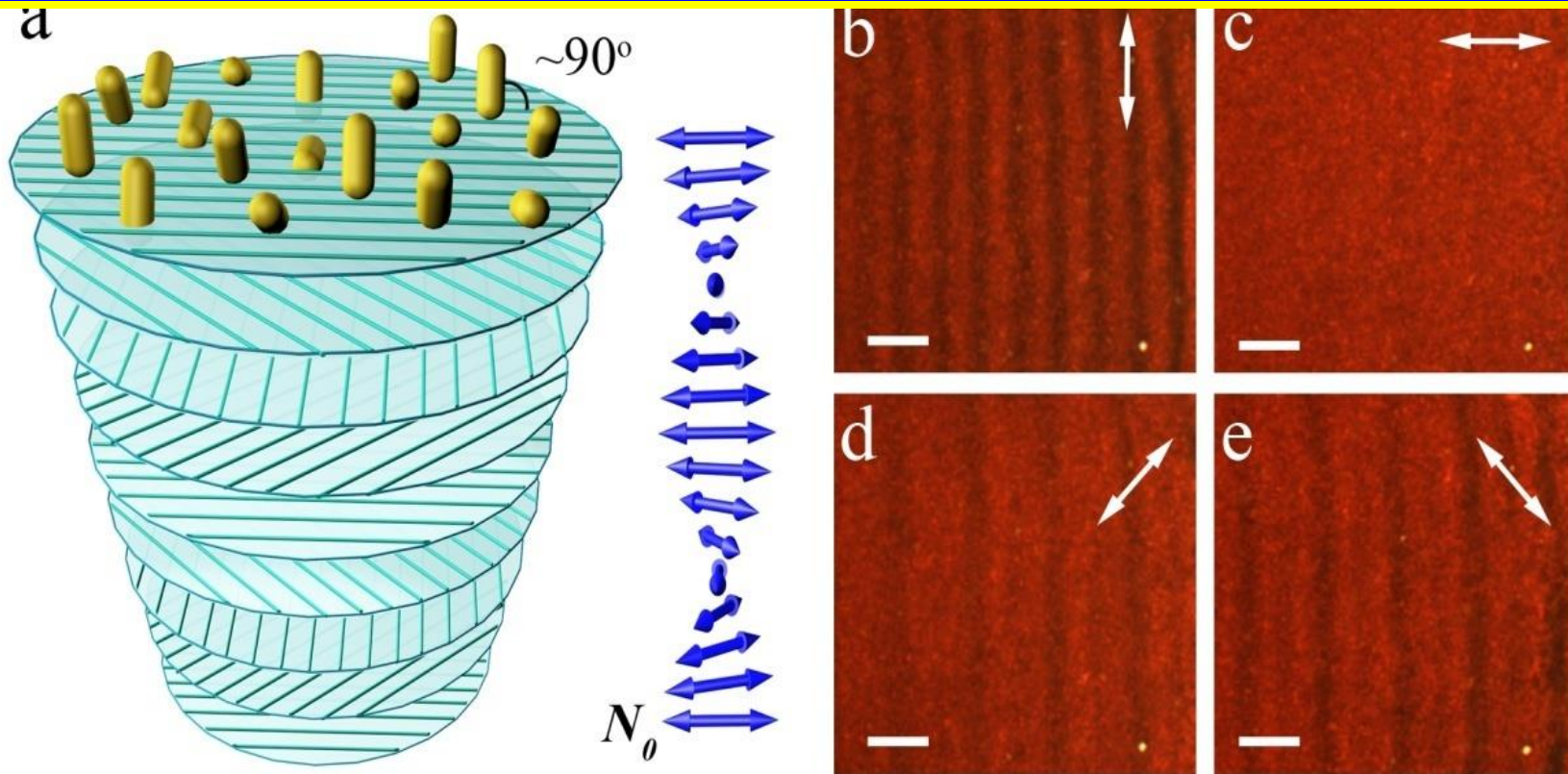
# Upconversion nanorods dispersed in 5CB



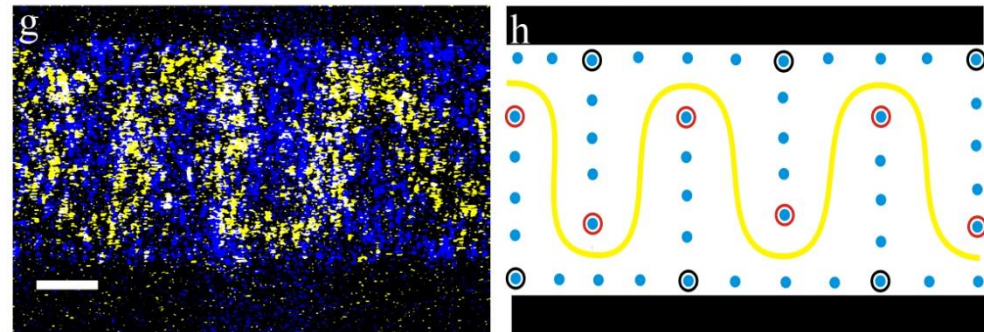
## Electric switching & polarization dependencies



# Chiral nematic assemblies with negative scalar orientational order parameter of GNRs in LC

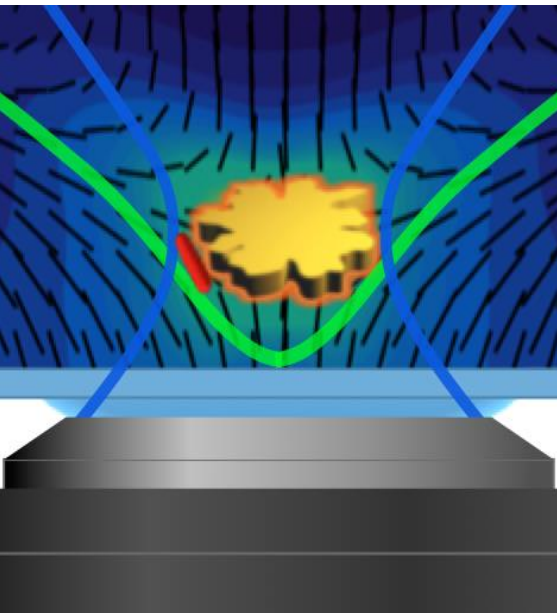


→By applying fields or mechanical stresses – further control patterns of nanorods through LC

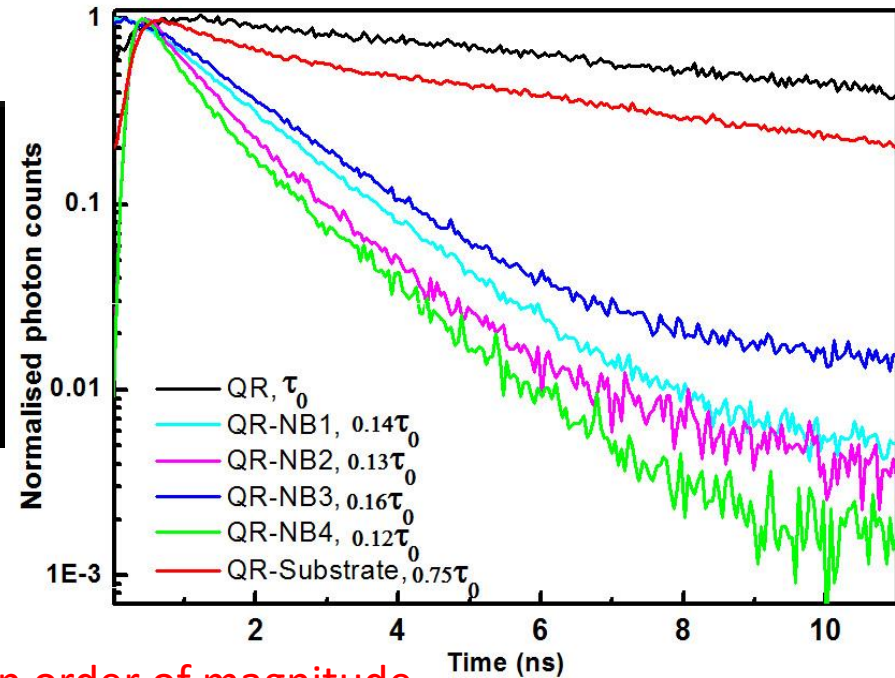
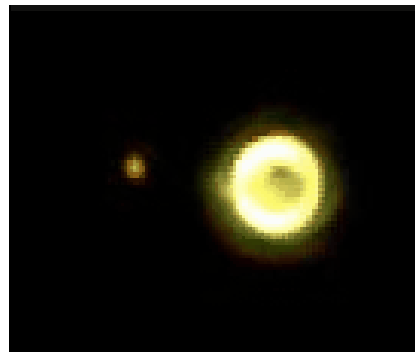




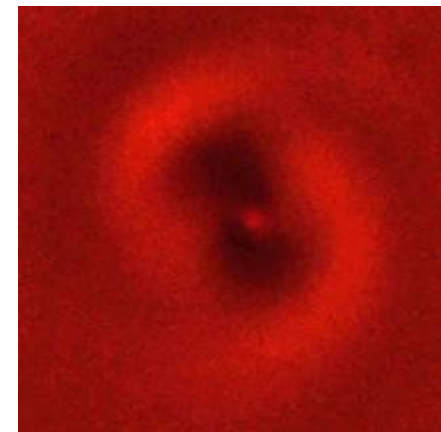
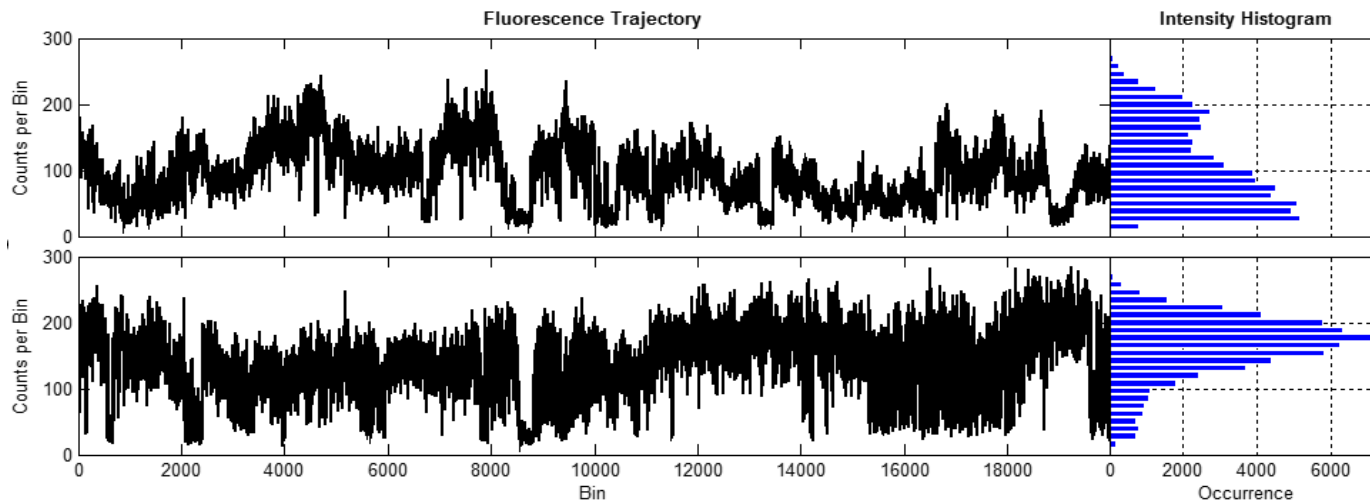
# Topological point singularity as a nanolaboratory



plasmonic NP + QD



- Plasmonic effects shorten lifetime by nearly an order of magnitude
- Modify the quantum dot blinking statistics (increase the on time)

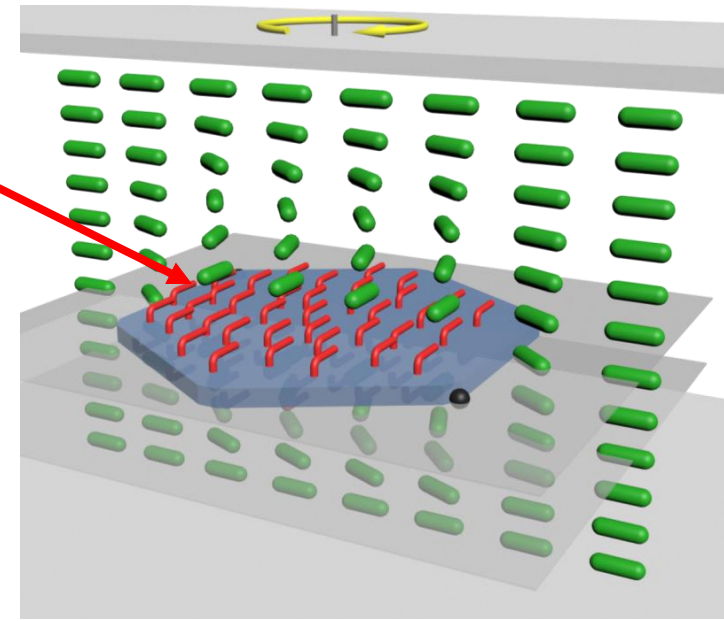
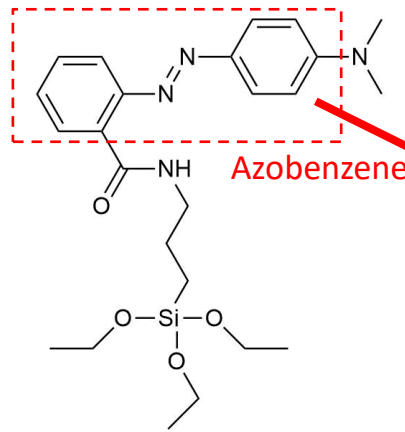
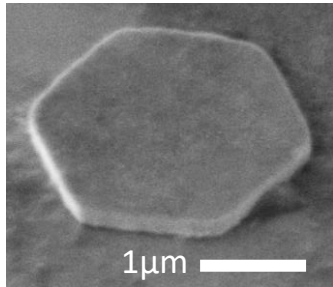


Open question: how to use such emergent effects to make metamaterials?

# **Self-assembled colloidal motors & machines?**

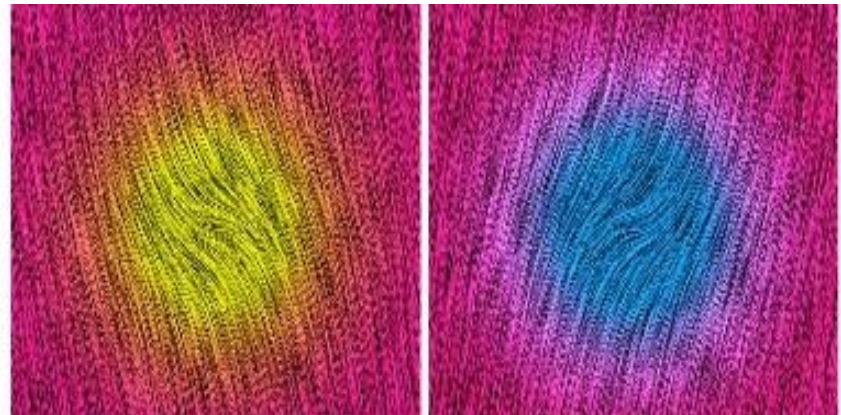
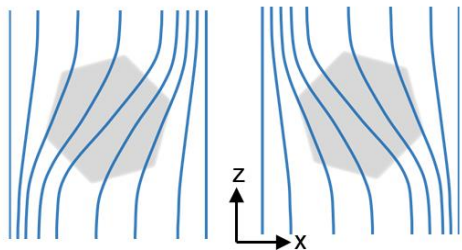
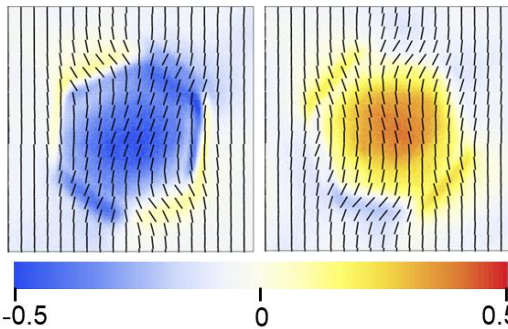
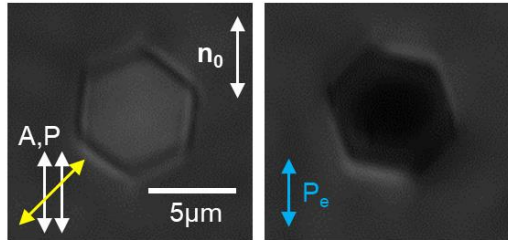
# Develop elastic colloidal monopoles/hydrogen

→ Silica platelets



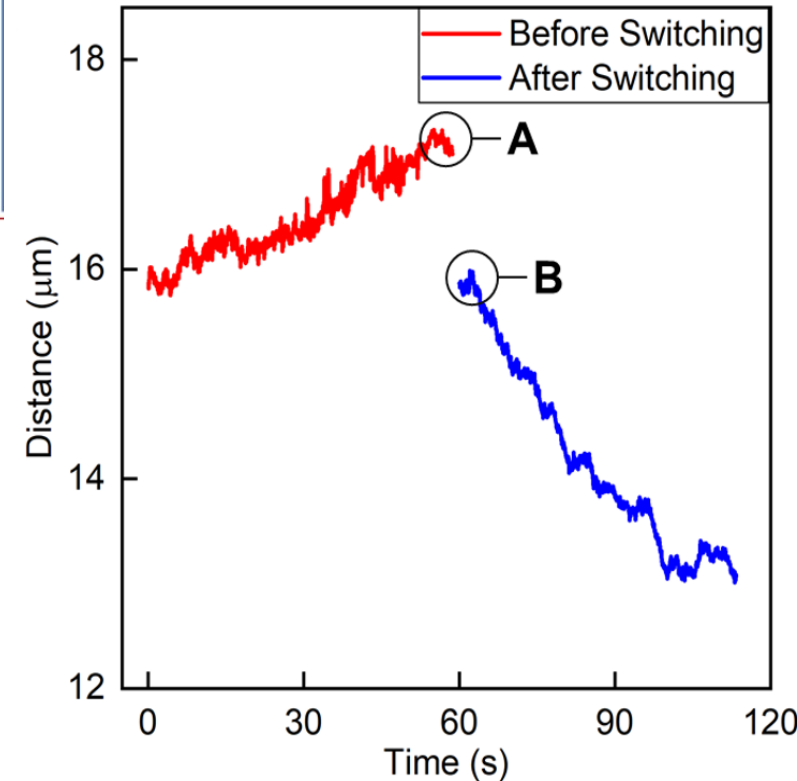
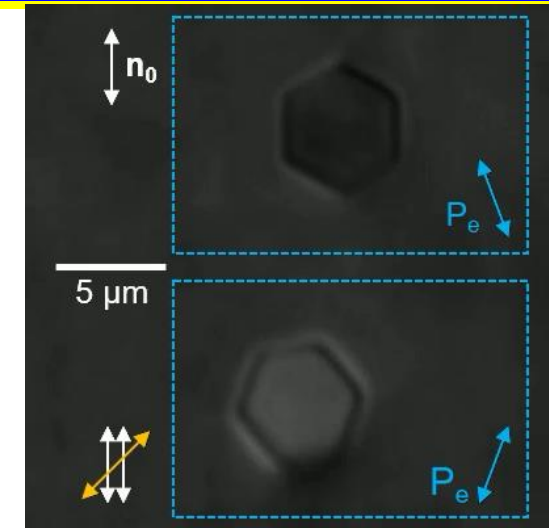
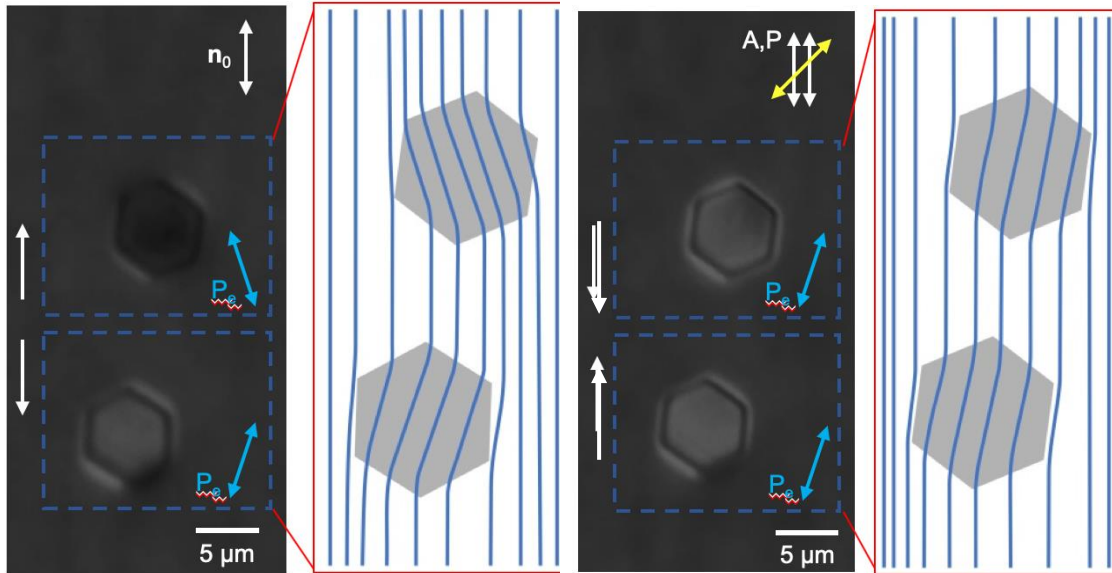
→ Low intensity light (<1nW) torques platelets  
→ Symmetry breaking creates elastic monopoles:

→ POM & Polarimetry:



# Optical switching of the elastic interactions

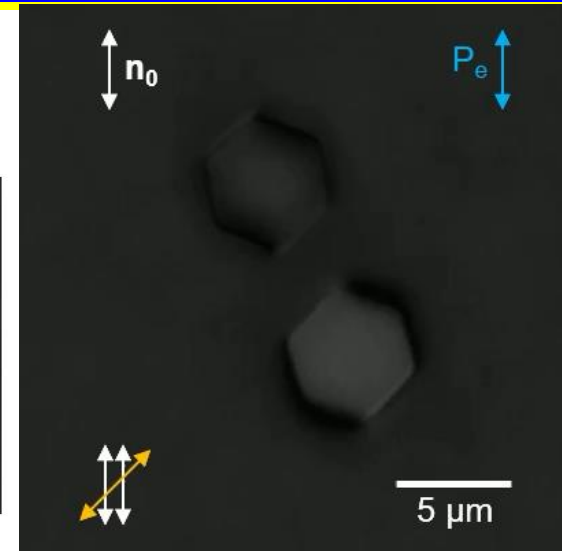
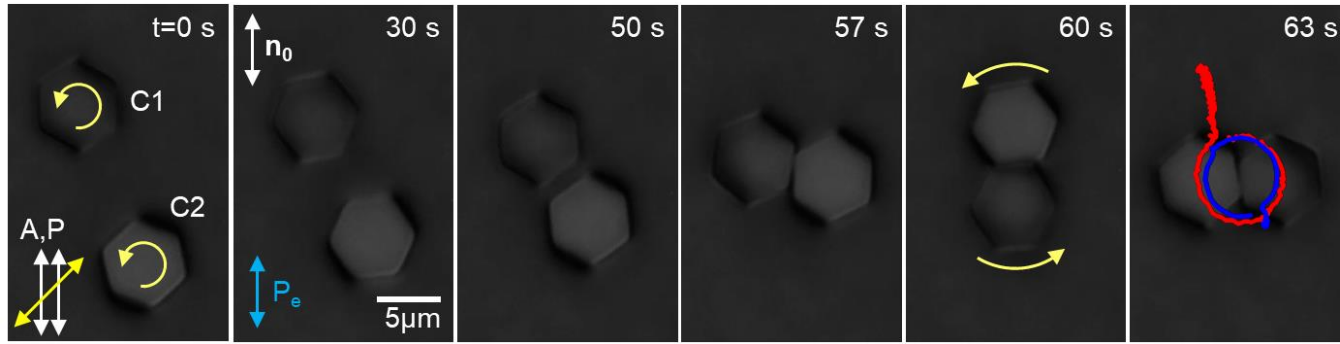
- Switch the elastic monopole sign with  $<1\text{nW}$  light
- Interactions switch between attractive & repulsive



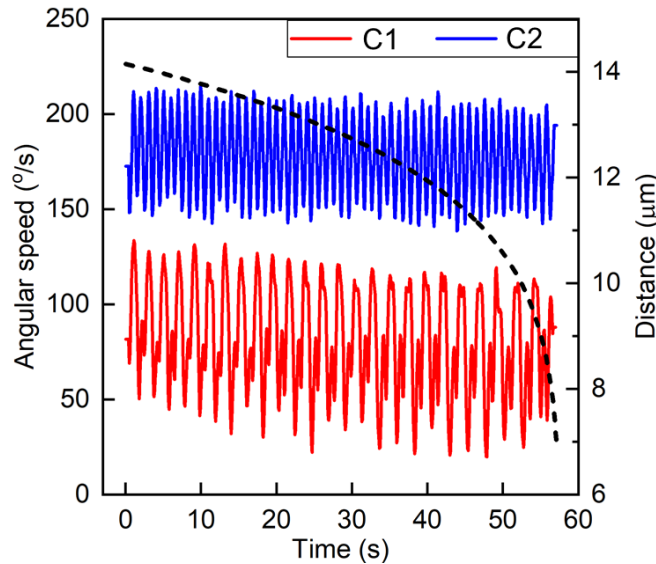
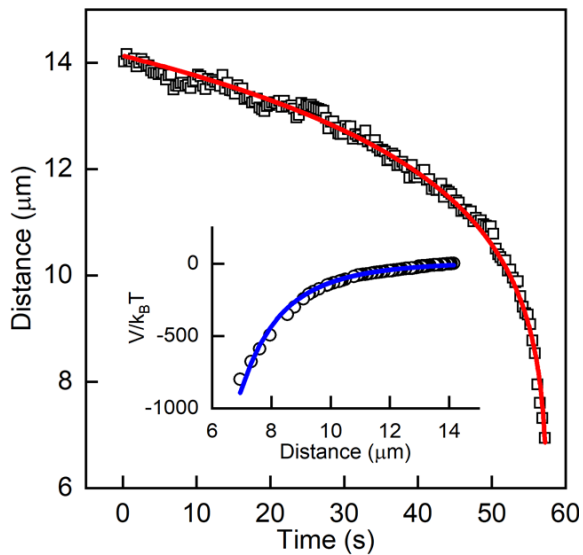
- Interactions minimize free energy of elastic distortions

# Out-of-equilibrium elastic self-assembly

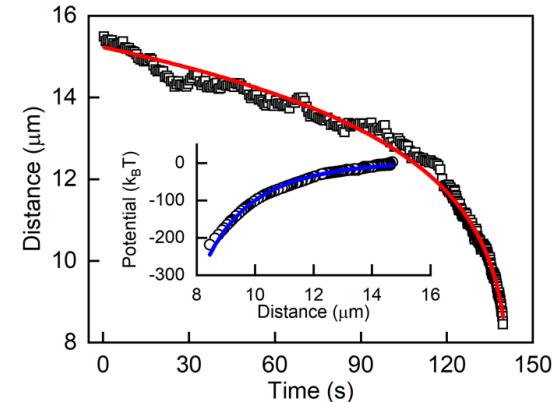
- Platelets attract while spinning in the same direction
- The spinning rates are different



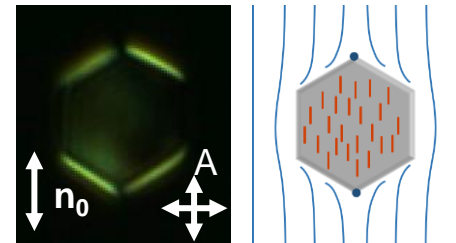
Fitting of  $R(t) = (R_0^n - n\alpha dt)^{1/n}$ ,  $n=7$



→ Like quadrupole interaction in equilibrium

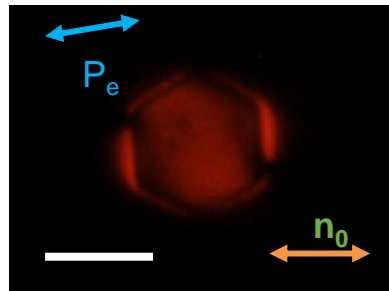
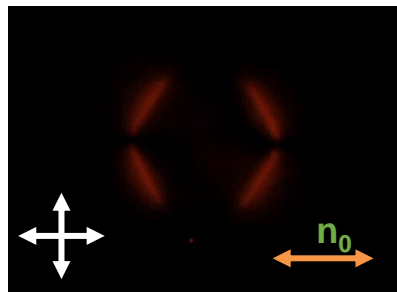


- Monopole signs periodically change at different rates
- As a result, monopole interactions average out

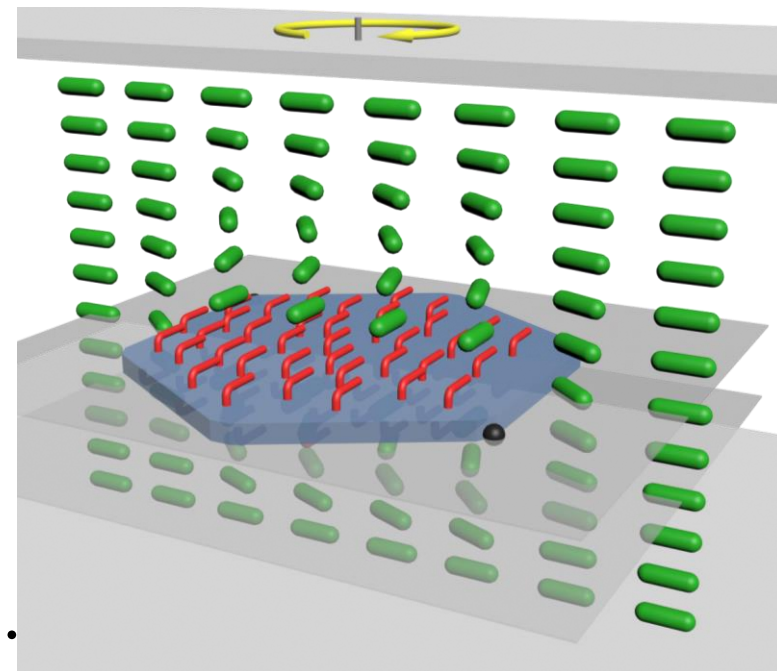
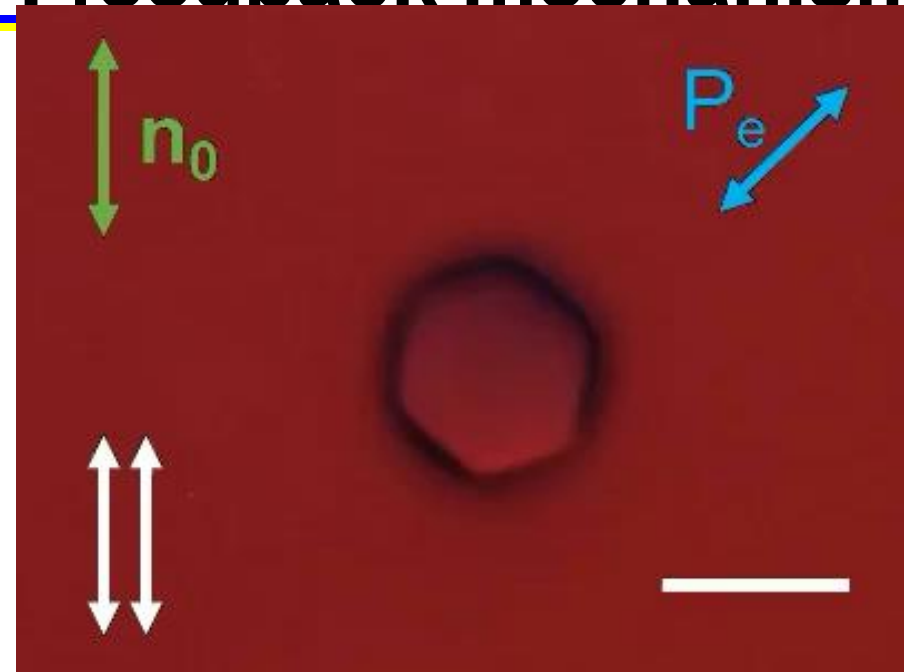
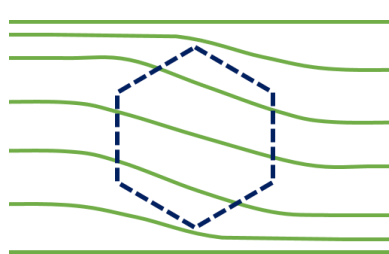
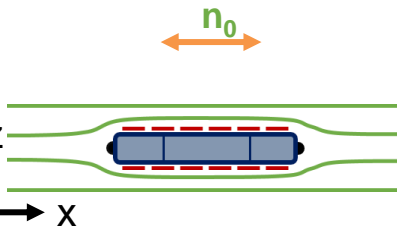
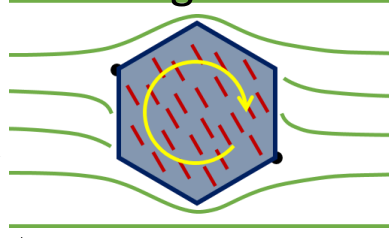
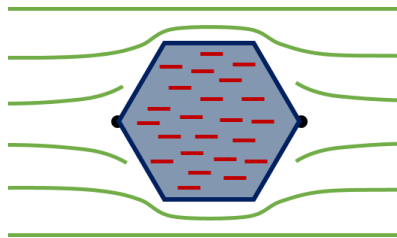


# From monopole to motor: feedback mechanism

→ What if we go from  $<1\text{nW}$  to  $2\text{nW}$  light per particle?



Blue light on

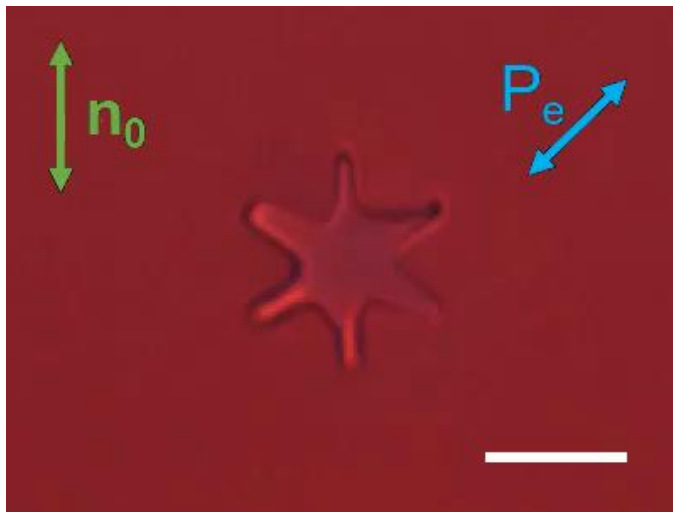


**Spontaneous feedback mechanism:**

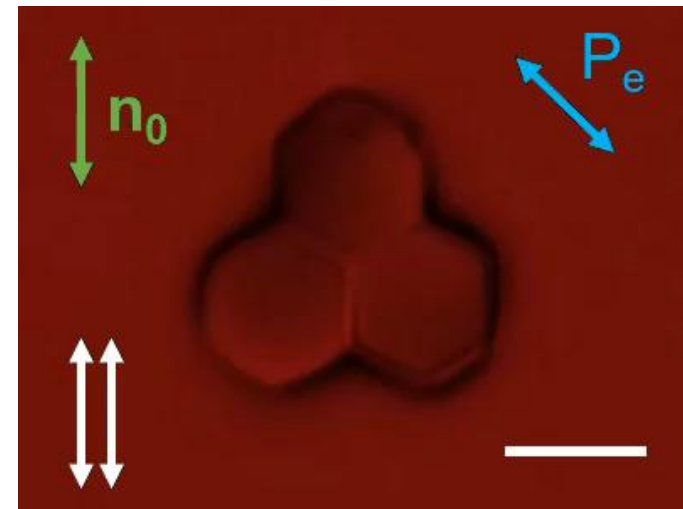
- Light rotates platelet/dMR & distorts LC
- LC changes polarization
- Light rotates platelet more, distorts LC more...

# Colloidal shapes & self-assembled structures

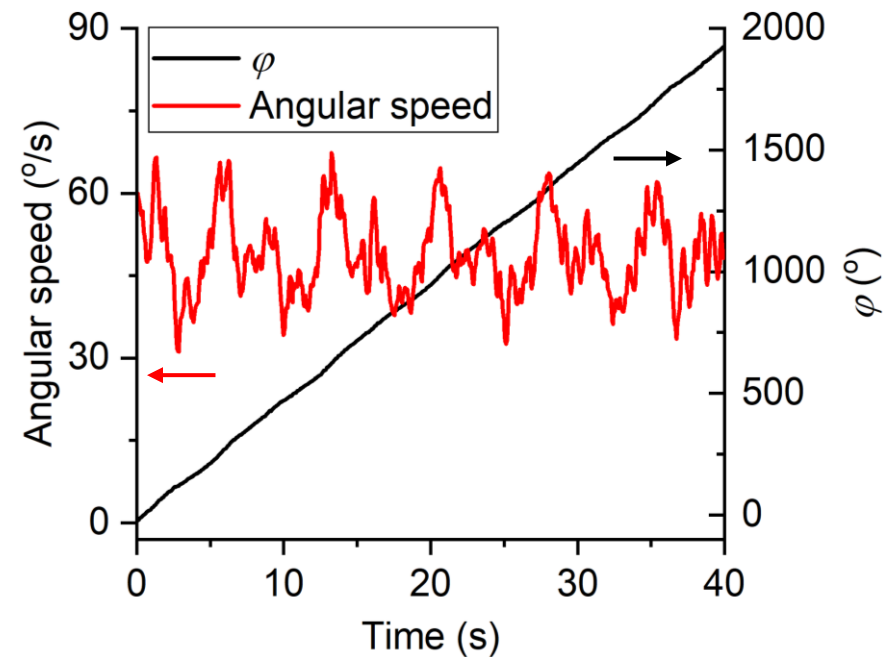
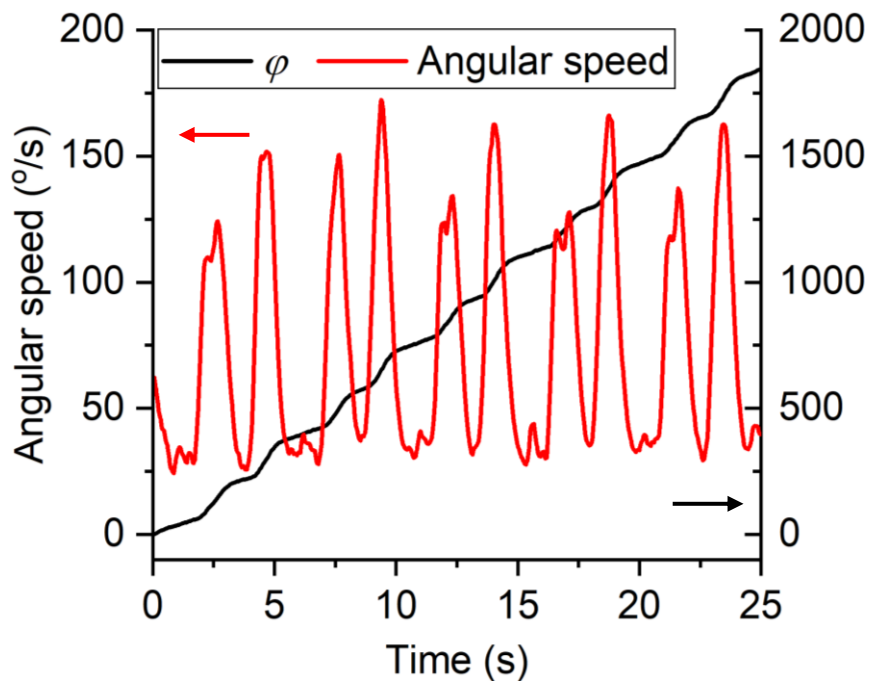
Cogwheel-like



Self-assembly

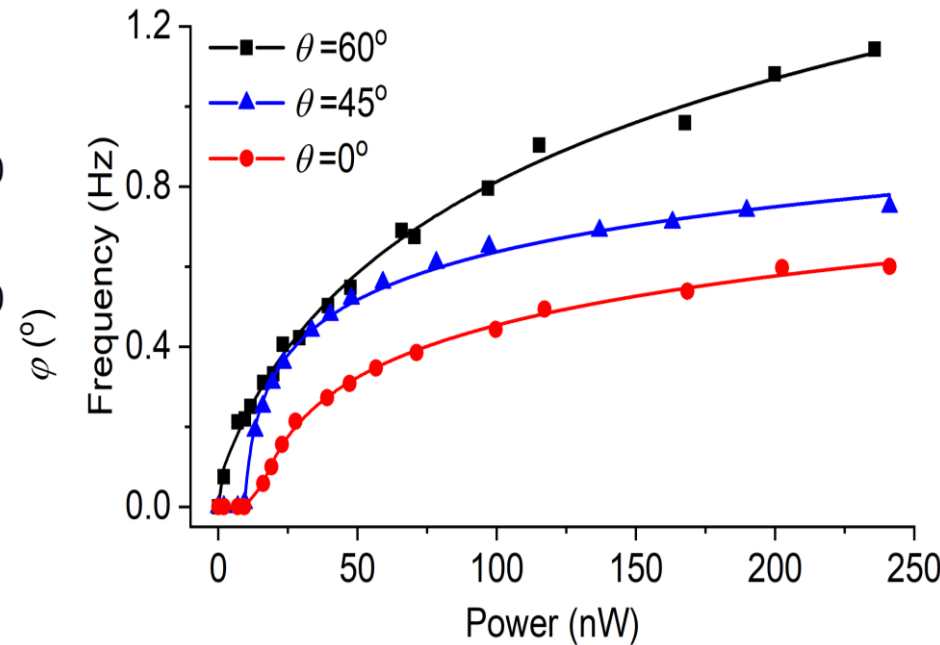
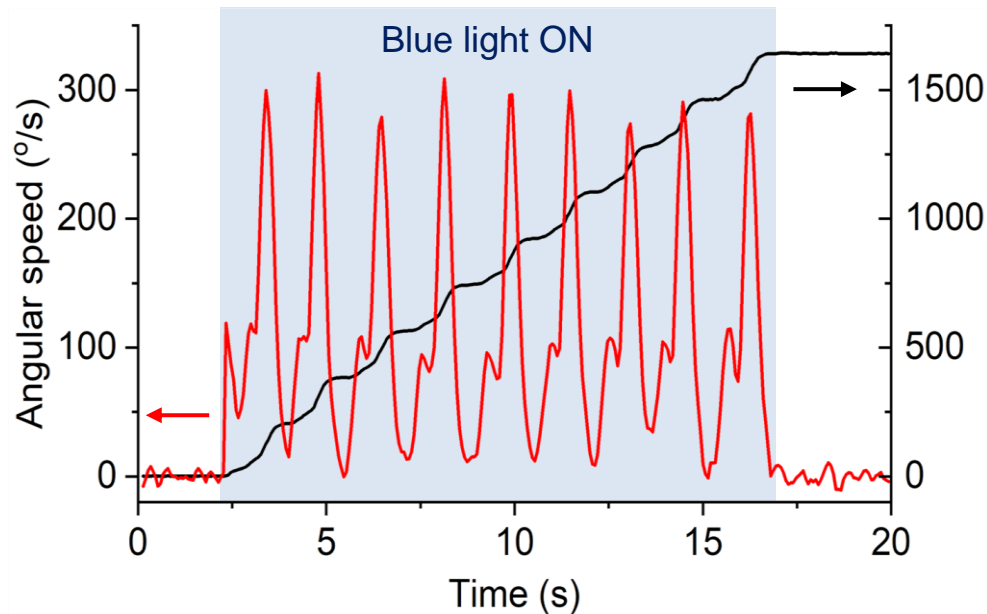


→ Both showing periodic rotation



# Light-driven motor: sensitivity & rotation rates

→ Rotation when blue light is on



Low Reynolds number system

$$W_m \approx \omega^2 (k_B T / D_\varphi + \gamma_n d A_p)$$

Stokes drag

Director twist

→ Rotation frequency vs intensity

→ Frequency scales as  $\propto (W_o - W_{oth})^{1/2}$

→ Threshold ~ a few nW per particle, rotates in ambient light!

**Open question: What kind of self-assembled machines & active matter systems can be made from spinning light-powered nano/micro-particles?**



# Conclusions & Design Opportunities

- Colloids in LCs & inspirations for pure math
- Electrostatic analogy & diversity of quasi-atoms
- Self-assembled low-symmetry condensed matter phases
- Physical behavior from assembling solid nanoparticles in LCs
- Switchable colloidal interactions & light-powered motors



**Thank you!**

# Thank you !!!

