

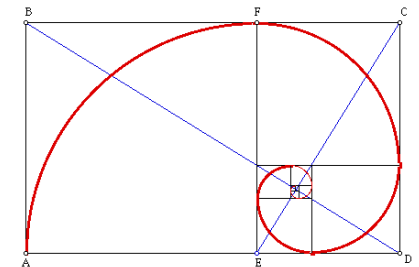
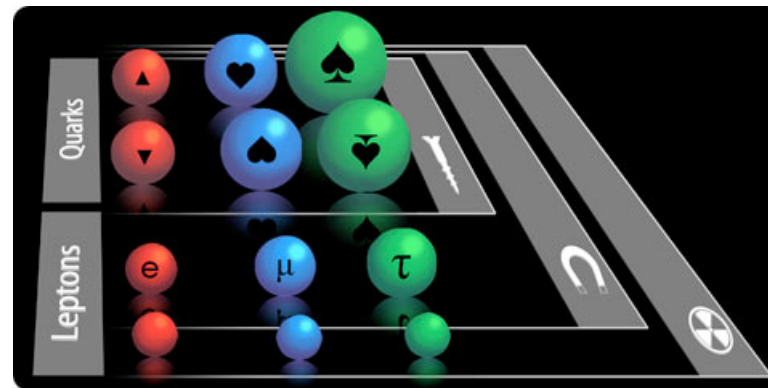
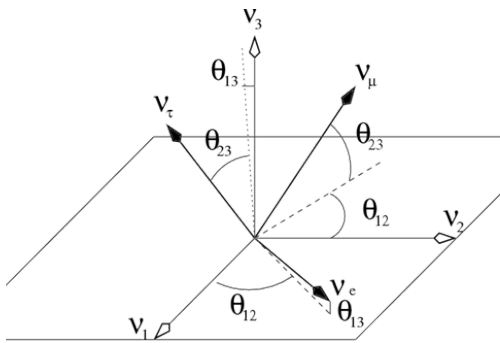
# Neutrino Mass Models

Lisa L. Everett

Neutrinos: Recent Developments and Future Challenges

KITP, Santa Barbara, CA

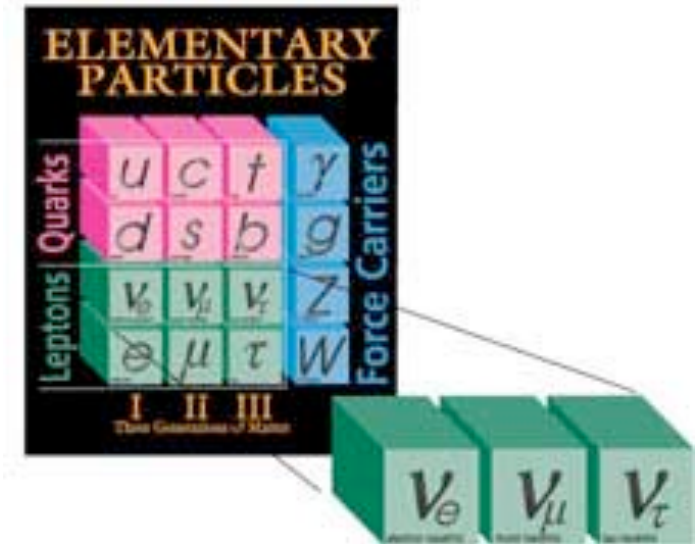
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# Main Theme

## Discovery of Neutrino Oscillations:

$$P_{\nu_\alpha \rightarrow \nu_\beta}(L) = \sum_{ij} U_{i\alpha} U_{i\beta}^* U_{j\alpha}^* U_{j\beta} e^{-\frac{i\Delta m_{ij}^2 L}{2E}}$$



surprises, confusion, excitement for beyond SM physics theory!

## 3 Neutrino “Reference” Picture:

data (w/exceptions\*) consistent with  $3\nu$  mixing picture

intriguing pattern of masses, mixings: paradigm shift for SM flavor puzzle

## Challenges to this picture:

\*LSND, MiniBooNE, reactor anomaly, gallium anomaly: sterile neutrinos?

# Many Questions Remain

- **How many light neutrinos?**

Anomalies: LSND, MiniBooNE, Gallium, Reactor  
eV-scale sterile neutrinos? But tension still with all oscillation data

First restrict to 3-family neutrino models only

$$\text{SM} \longrightarrow \nu\text{SM}$$

- **Still, many questions:**

Nature of neutrino mass suppression? Majorana? Dirac?

Mass hierarchy? **Lepton mixing angle pattern?** CP violation?

**Implications for BSM paradigms?** Connections to other NP?

# The Lepton Data

$$\mathcal{U}_{\text{MNSP}} = \mathcal{R}_1(\theta_{23})\mathcal{R}_2(\theta_{13}, \delta_{\text{MNSP}})\mathcal{R}_3(\theta_{12})\mathcal{P}$$

Pontecorvo  
Maki, Nakagawa,  
Sakata

	Normal Ordering ( $\Delta\chi^2 = 0.97$ )		Inverted Ordering (best fit)		Any Ordering
	bfp $\pm 1\sigma$	$3\sigma$ range	bfp $\pm 1\sigma$	$3\sigma$ range	$3\sigma$ range
$\theta_{12}/^\circ$	$0.304^{+0.013}_{-0.012}$	0.270 $\rightarrow$ 0.344	$0.304^{+0.013}_{-0.012}$	0.270 $\rightarrow$ 0.344	0.270 $\rightarrow$ 0.344
	$33.48^{+0.78}_{-0.75}$	31.29 $\rightarrow$ 35.91	$33.48^{+0.78}_{-0.75}$	31.29 $\rightarrow$ 35.91	31.29 $\rightarrow$ 35.91
$\sin^2 \theta_{23}$	$0.452^{+0.052}_{-0.028}$	0.382 $\rightarrow$ 0.643	$0.579^{+0.025}_{-0.037}$	0.389 $\rightarrow$ 0.644	0.385 $\rightarrow$ 0.644
$\theta_{23}/^\circ$	$42.3^{+3.0}_{-1.6}$	38.2 $\rightarrow$ 53.3	$49.5^{+1.5}_{-2.2}$	38.6 $\rightarrow$ 53.3	38.3 $\rightarrow$ 53.3
$\sin^2 \theta_{13}$	$0.0218^{+0.0010}_{-0.0010}$	0.0186 $\rightarrow$ 0.0250	$0.0219^{+0.0011}_{-0.0010}$	0.0188 $\rightarrow$ 0.0251	0.0188 $\rightarrow$ 0.0251
$\theta_{13}/^\circ$	$8.50^{+0.20}_{-0.21}$	7.85 $\rightarrow$ 9.10	$8.51^{+0.20}_{-0.21}$	7.87 $\rightarrow$ 9.11	7.87 $\rightarrow$ 9.11
$\delta_{\text{CP}}/^\circ$	$306^{+39}_{-70}$	0 $\rightarrow$ 360	$254^{+63}_{-62}$	0 $\rightarrow$ 360	0 $\rightarrow$ 360
$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	$7.50^{+0.19}_{-0.17}$	7.02 $\rightarrow$ 8.09	$7.50^{+0.19}_{-0.17}$	7.02 $\rightarrow$ 8.09	7.02 $\rightarrow$ 8.09
$\frac{\Delta m_{3\ell}^2}{10^{-3} \text{ eV}^2}$	$+2.457^{+0.047}_{-0.047}$	+2.317 $\rightarrow$ +2.607	$-2.449^{+0.048}_{-0.047}$	-2.590 $\rightarrow$ -2.307	$[+2.325 \rightarrow +2.599]$ $[-2.590 \rightarrow -2.307]$

taken from:

Gonzalez-Garcia, Maltoni,  
Salvado, Schwetz | 409.5439

see also:

Forero, Tortola,  
Valle | 405.7540

Capozzi, Fogli, Lisi, Marrone,  
Montanino, Palazzo | 312.2878

2 large angles, 1  $\sim$  Cabibbo-sized (“small”)

# For Comparison: Quark Mixings

Cabibbo; Kobayashi, Maskawa

$$U_{\text{CKM}} = \mathcal{R}_1(\theta_{23}^{\text{CKM}}) \mathcal{R}_2(\theta_{13}^{\text{CKM}}, \delta_{\text{CKM}}) \mathcal{R}_3(\theta_{12}^{\text{CKM}})$$

Cabibbo angle  $\theta_c$

**Mixings:**

$$\left. \begin{aligned} \theta_{12}^{\text{CKM}} &= 13.0^\circ \pm 0.1^\circ \\ \theta_{23}^{\text{CKM}} &= 2.4^\circ \pm 0.1^\circ \\ \theta_{13}^{\text{CKM}} &= 0.2^\circ \pm 0.1^\circ \end{aligned} \right\} \text{3 small angles}$$

**CP violation:**  $J \equiv \text{Im}(U_{\alpha i} U_{\beta j} U_{\beta i}^* U_{\alpha j}^*)$

Jarlskog; Dunietz,  
Greenberg, Wu

$$J_{\text{CP}}^{(\text{CKM})} \simeq \sin 2\theta_{12}^{\text{CKM}} \sin 2\theta_{23}^{\text{CKM}} \sin 2\theta_{13}^{\text{CKM}} \sin \delta_{\text{CKM}}$$

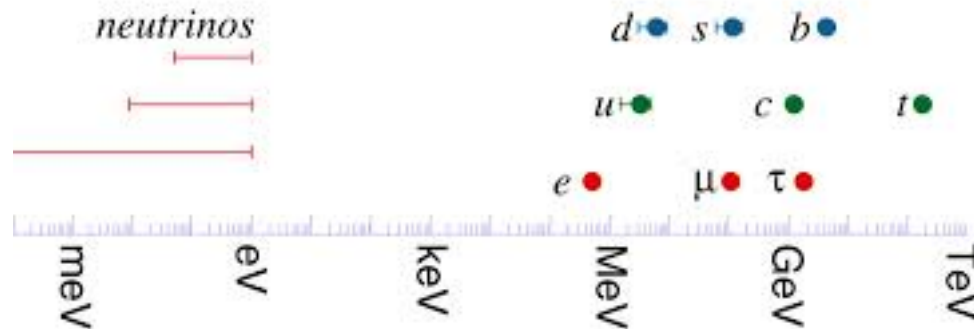
$$J \sim 10^{-5} \quad \delta_{\text{CKM}} = 60^\circ \pm 14^\circ$$

**O(1) CP-violating phase**

# (Broad) Theoretical Implications

Shifts in the paradigm for SM flavor puzzle:

## Suppression of neutrino mass scale



## Seemingly milder hierarchies for neutrinos

## Quark, Lepton Mixing Angles strikingly different

implications for quark-lepton unification?

# Mass Generation

## Quarks, Charged Leptons

“natural” mass scale tied to electroweak scale  
Dirac mass terms, parametrized by Yukawa couplings



$$Y_{ij} H \cdot \bar{\psi}_{Li} \psi_{Rj}$$

top quark:  $O(1)$  Yukawa coupling  
rest: suppression (flavor symmetry)

Neutrinos beyond physics of Yukawa couplings!

Options: **Dirac**



**Majorana**



# Majorana first:

advantages: naturalness, leptogenesis,  $0\nu\beta\beta$

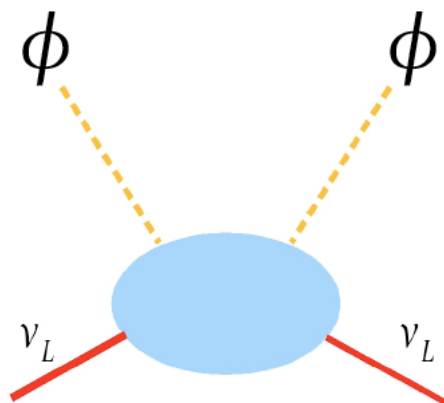


SM at NR level: Weinberg dim 5 operator

$$\frac{\lambda_{ij}}{\Lambda} L_i H L_j H$$

(if  $\lambda \sim O(1)$   $\Lambda \gg m \sim O(100 \text{ GeV})$  but wide range possible)

## Underlying mechanism: examples



Type I seesaw  $\nu_R$  (fermion singlet)

Type II seesaw  $\Delta$  (scalar triplet)

Type III seesaw  $\Sigma$  (fermion triplet)

+ variations

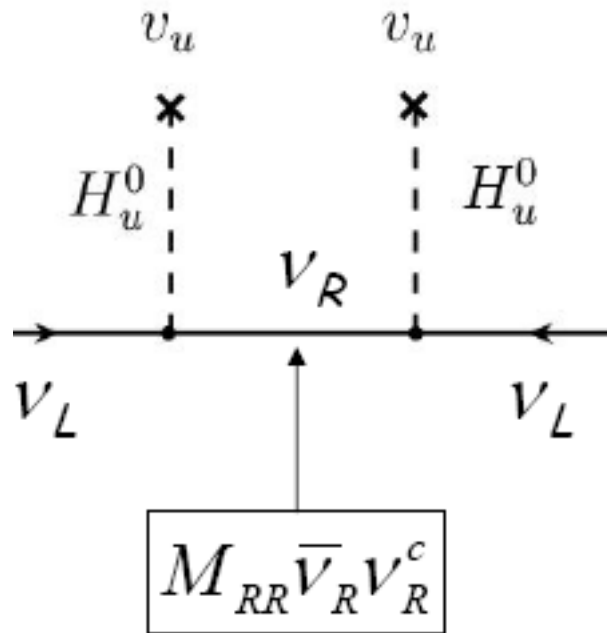


# Prototype: Type I seesaw

Minkowski; Yanagida;  
Gell-Mann, Ramond, Slansky;  
Mohapatra, Senjanovic;...

right-handed neutrinos:

$$Y_{ij} L_i \nu_{Rj} H + M_{Rij} \nu_{Ri} \nu_{Rj}^c$$



$$\mathcal{M}_\nu = \begin{pmatrix} 0 & m \\ m & M \end{pmatrix}$$

$$m \sim \mathcal{O}(100 \text{ GeV})$$

$$M \gg m$$

$$m_1 \sim \frac{m^2}{M} \quad m_2 \sim M \gg m_1$$

$$\nu_{1,2} \sim \nu_{L,R} + \frac{m}{M} \nu_{R,L}$$

**advantages:** naturalness, connection to grand unification, leptogenesis,...

**disadvantage:** testability (even at low scales)

Different in Type II, III: new EW charged states, may be visible at LHC

## Many other ideas for Majorana neutrino masses...



more seesaws (double, inverse,...),  
loop-induced masses (Babu-Zee, ...),  
SUSY with R-parity violation, RS models,  
higher-dimensional ( $>5$ ) operators,...

## What about Dirac masses?

Less intuitive, but suppression mechanisms exist...



extra dimensions, extra gauge symms (non-singlet  $\nu_R$ ), SUSY breaking,...

## General themes:

Trade-off b/w naturalness and testability.  
Much richer than quark and charged lepton sectors.

# Lepton (and Quark) Mixing Angle Generation

Standard paradigm: **spontaneously broken flavor symmetry**

$$Y_{ij} H \cdot \bar{\psi}_{Li} \psi_{Rj} \longrightarrow \left( \frac{\varphi}{M} \right)^{n_{ij}} H \cdot \bar{\psi}_{Li} \psi_{Rj} \quad \text{Froggatt, Nielsen}$$

## Quarks:

hierarchical masses, small mixings: **continuous** family symmetries

CKM matrix: small angles and/or alignment of left-handed mixings

$$\mathcal{U}_{\text{CKM}} = \mathcal{U}_u \mathcal{U}_d^\dagger \sim 1 + \mathcal{O}(\lambda) \quad \lambda \sim \frac{\varphi}{M}$$

**Wolfenstein parametrization:**  $\lambda \equiv \sin \theta_c = 0.22$

suggests Cabibbo angle (or some power) as a flavor expansion parameter

# The Flavor Puzzle, Rejuvenated

Flavor puzzle of SM is notoriously difficult...

Still difficult in  $\nu$ SM, but more interesting --

**One primary reason: two large mixing angles!**

$$\theta_{23} \simeq 45^\circ \pm 5^\circ \quad \theta_{12} \simeq 34^\circ \pm 1^\circ$$

3-family models: handwave a bit (in diagonal charged lepton basis)

3	small angles	→	~ diagonal $\mathcal{M}_\nu$	} (“easy”)
1	large, 2 small	→	~ Rank $\mathcal{M}_\nu < 3$	
3	large angles	→	anarchical $\mathcal{M}_\nu$	} (“harder”)
2	large, 1 small	→	fine-tuning, non-Abelian	

# Anarchy vs. Structure

→ The question: is  $\theta_{13}$  large or small?

$$\theta_{13} \simeq 9^\circ \pm 1^\circ$$

**New case for anarchy:** de Gouvea and Murayama, '12

some recent realizations: Bai and Torroba, Altarelli et al., '12

**Focus here on structure (symmetry):**

**Paradigm:** discrete non-Abelian family symmetry

(e.g. some subgroup of  $SO(3)$  or  $SU(3)$ , broken to some appropriate coset space)

**Main issue/challenge:** many theoretical starting points

# Role of Small (Cabibbo-sized) Corrections

## Quark sector:

$$\mathcal{U}_{\text{CKM}} \sim 1 + O(\lambda_C)$$

Cabibbo angle  $\lambda_C$  (or some power) as a flavor expansion parameter

## Lepton sector:

$$\mathcal{U}_{\text{MNSP}} \sim \mathcal{W} + O(\lambda')$$

“bare” mixing angles  $(\theta_{12}^0, \theta_{13}^0, \theta_{23}^0)$  perturbations

**choice of bare mixing angles?**

Unification paradigm (broad sense): useful to take

$$\lambda' = \lambda_C$$

ideas of **quark-lepton complementarity** and **“Cabibbo haze”**

Raidal '04, Minakata+Smirnov, '04, many others...

“haze” terminology from Datta, L.E., Ramond '05

Long before measurement, conjectured that  $\theta_{13}$  is a Cabibbo effect

$$\theta_{13} \sim \frac{\lambda_C}{\sqrt{2}} \sim \lambda_C \cos \theta_{23}^0 \quad \text{Ramond '03, ...}$$

(general idea often called “charged lepton corrections”)  $\mathcal{U}_{\text{MNSP}} \sim \mathcal{U}_{\text{CKM}}^\dagger \mathcal{W}$

**good fit to data! but nontrivial to implement...**

one reason: now  $\sim \lambda_C$  corrections floating around

# The Flavor Puzzle in the $\nu$ SM

**Pre-Reactor Meas.** most models:  $\theta_{23}^0 = 45^\circ$   $\theta_{13}^0 = 0^\circ$

Choices for “bare” solar angle  $\theta_{12}^0$ :

(i) within  $\sim \lambda_C^2$  of exp:

**tri-bimaximal** mixing

“the beautiful matrix  
with the ugly name”

$$\tan \theta_{12}^0 = \frac{1}{\sqrt{2}} \quad \theta_{12}^0 = 35.26^\circ$$

Harrison, Perkins, Scott '02

(100s of papers. Key players include Ma,  
Chen et al., Altarelli et al.,...)

others, such as **golden ratio** mixing  $\phi = (1 + \sqrt{5})/2$

$$\tan \theta_{12} = \phi^{-1} \quad \theta_{12} = 31.72^\circ \quad \text{or} \quad \cos \theta_{12} = \frac{\phi}{2} \quad \theta_{12} = 36^\circ$$

Ramond, Kajiyama et al.,  
LE+Stuart (+Ding), Feruglio et al.,...

Rodejohann et al.,...

(ii) within  $\sim \lambda_C$  of exp:

**bimaximal** mixing

Raidal '04, Minakata, Smirnov '04,...

$$\tan \theta_{12}^0 = 1$$



# Approaches:

“top-down”: detailed model-building

example: tri-bimaximal mixing

Harrison, Perkins, Scott '02

$$U_{\text{MNSP}}^{(\text{HPS})} = \begin{pmatrix} \sqrt{\frac{2}{3}} & -\frac{1}{\sqrt{3}} & 0 \\ \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{pmatrix} \quad (\sim \text{Clebsch-Gordan coeffs!})$$

Meshkov; Zee,...

Readily obtained within many discrete subgroups of  $SO(3)$ ,  $SU(3)$

$$\mathcal{G}_F = \mathcal{A}_4, \mathcal{S}_4, \mathcal{T}', \Delta(3n^2), \dots$$

(100s of papers. Key players include Ma, Chen et al., Altarelli et al.,...)

“bottom-up”: residual symmetries

$$\mathcal{G}_F \longrightarrow \mathcal{G}_e \times \mathcal{G}_\nu$$

Lam '07, '08,...

pure group theory argument: e.g. “minimal” group is  $S_4$  for TBM

# Post-Reactor Meas.

## “top-down”: detailed model-building

(1) Keep  $\theta_{23}^0 = 45^\circ$   $\theta_{13}^0 = 0^\circ$

(i) within  $\sim \lambda_C^2$  of exp: need to control corrections

TBM (or other mixing scenarios) as leading order framework

e.g. Lin '09; Ma '12, '13; Chen et al., King and Stuart '12, '13, many others...

(ii) within  $\sim \lambda_C$  of exp: resurgence?

(2) Modify  $\theta_{23}^0 = 45^\circ$   $\theta_{13}^0 = 0^\circ$

$\theta_{13}$  numerology?

drop maximal  $\theta_{23}$  (some hints in data)?

implications for GUT connections?

e.g. Hagedorn et al. '12, '13,  
King and Stuart '12, many others...

## “bottom-up”: residual symmetries

large groups, typically trivial CP violation w/Klein group for  $\mathcal{G}_\nu$

Holthausen et al. '12, King et al. '13, Hagedorn et al. '13, many others,...

# eV-scale Sterile Neutrinos?

suggested by LSND, MiniBooNE, reactor anomaly, gallium anomaly

$n_s$  **sterile neutrinos:**  $3(n_s + 1)$  mixing angles  
 $2n_s + 1$  Dirac phases  
 $n_s + 2$  Majorana phases

## Global fits:

$n_s = 1$  “2+2” strongly disfavored, “3+1” tension w/cosmology  
1+3 (1 at eV scale) better, but no possibility of CP violation in SBL

$n_s = 2$  “3+2” tension w/cosmology, “1+3+1” better  
allows for CPV in SBL experiments

Kopp, Maltoni, Schwetz '13  
Giunti et al. '13

**but all fits “bad” — tension b/w app and disapp data**

# Theoretical Implications: Sterile Neutrinos

If eV-scale sterile  $\nu$  present, many implications:

Impact on  $0\nu\beta\beta$

see e.g. Barry, et al. '11, Girardi et al. '13, ...

**Many interesting implications for model-building!**

mass hierarchies? GUT connections?

mixing pattern and residual symmetries

intriguing hint:  $n_s = 1$   $\theta_{14} \sim \theta_{13}$  same origin?

recent example: Merle, Morisi, Winter '14, ...

**back to the drawing board!!**

# CP Violation

Reactor angle measured: prospects for measuring CP phases

Model-building: **spontaneous** v. explicit CP violation

generalized CP transformations

CP tmns as automorphisms **Grimus, Rebelo '95**

for discrete groups: **Holthausen et al.'12, Chen et al.'14,...**

family symm tmn:  $\phi \rightarrow \rho(g)\phi$

generalized CP tmn:  $\phi \rightarrow U\phi^*$  (not  $\phi \rightarrow \phi^*$ )

with consistency condition:  $U\rho(g)^*U^{-1} = \rho(g')$

**Moral: CP and family symmetries can be inextricably intertwined**

Much recent model-building along these lines...

see e.g. **Ding et al., Girardi et al., many others...**

# Conclusions and Outlook

The SM flavor puzzle is a hard but intriguing problem!

The lepton data  $\longrightarrow$  paradigm shift

## Bottom Line:

A number of ways to generate masses/mixings, all with advantages/disadvantages. **Reactor angle** has added new surprises

Still room for **many more surprises** (CP violation, sterile neutrinos...)

**Exciting times!** Lots of ideas, lots of room for more

**Stay tuned!**