

Neutrinos: Theory Summary



- Neutrinos as probes
- Mass, mixing, intrinsic properties
- Astrophysics/cosmology

Neutrinos as a Unique Probe: $10^{-33} - 10^{+28}$ cm

- Particle Physics

- $\nu N, \mu N, eN$ scattering: existence/properties of quarks, QCD
- Weak decays ($n \rightarrow pe^- \bar{\nu}_e, \mu^- \rightarrow e^- \nu_\mu \bar{\nu}_e$): Fermi theory, parity violation, quark mixing
- Neutral current, Z -pole, atomic parity: electroweak unification, field theory, m_t, M_H ; severe constraint on physics to TeV scale
- Neutrino mass: constraint on TeV physics, grand unification, superstrings, extra dimensions; seesaw: $m_\nu \sim m_q^2/M_{\text{GUT}}$

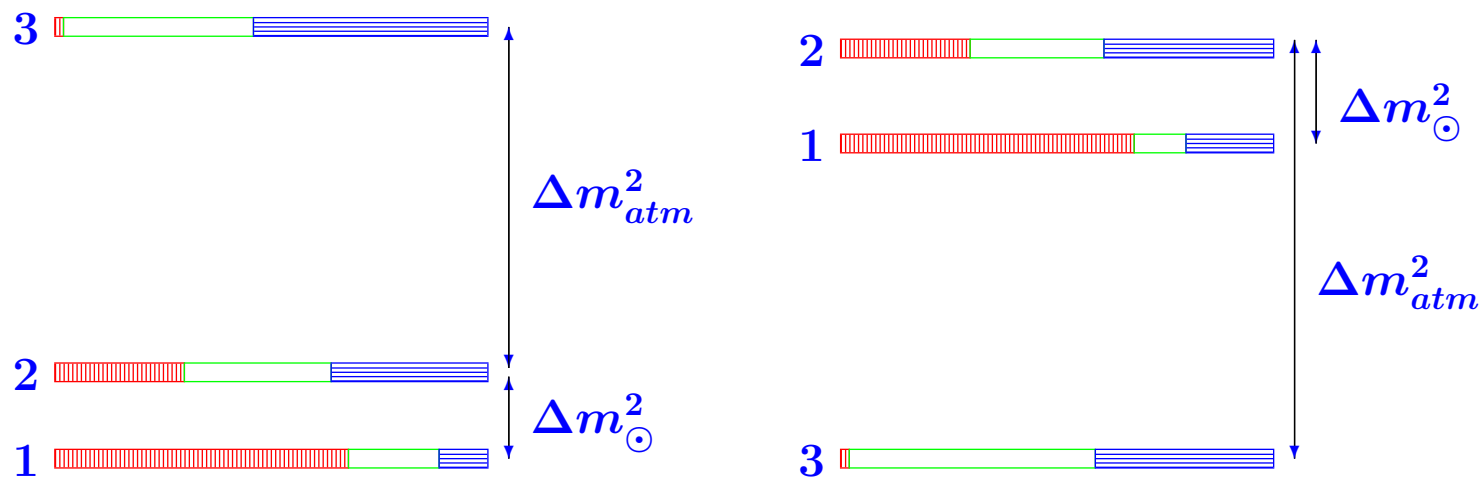
- **Astrophysics/Cosmology**

- Core of Sun
- Supernova dynamics
- Atmospheric neutrinos (cosmic rays)
- Violent events (AGNs, GRBs, cosmic rays)
- Large scale structure/CMB (dark matter, dark radiation)
- Nucleosynthesis (big bang - small A ; stars \rightarrow iron; supernova - large N)
- Baryogenesis (?)
- Simultaneous probes of ν and astrophysics

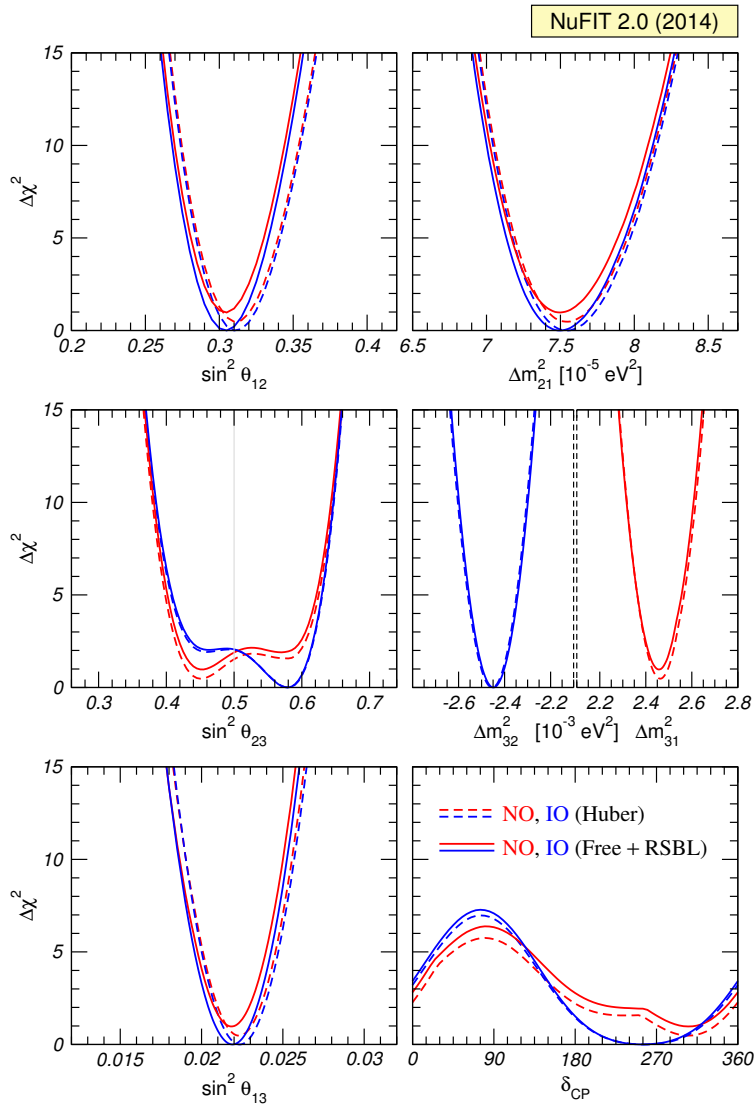
- **Interior of Earth**

Mass, mixing, intrinsic properties

- Talks by L. Everett, R. Mohapatra, S. Petcov, s. Pascoli
- Spectrum (assuming 3 active ν 's)



Left: normal hierarchy (NH); Right: inverted hierarchy (IH). Red, green, blue are the central values of $|V_{ei}|^2$, $|V_{\mu i}|^2$, and $|V_{\tau i}|^2$.



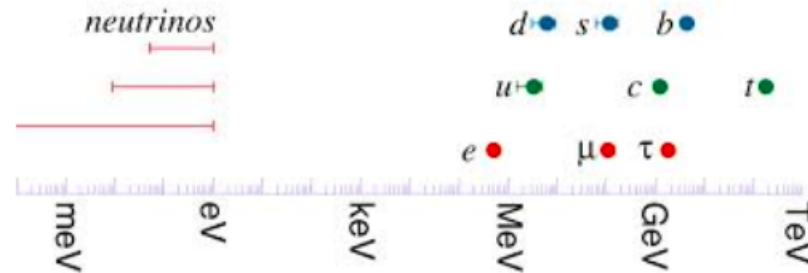
- θ_{23} large: hint of non-maximal; either octant
- θ_{12} large but non-maximal
- θ_{13} small but non-zero (CP, hierarchy)
- Hint of $\delta \sim 3\pi/2$

Gonzalez-Garcia et al, 2014 (1409-5439)

(Broad) Theoretical Implications

Shifts in the paradigm for SM flavor puzzle:

Suppression of neutrino mass scale



Seemingly milder hierarchies for neutrinos

Quark, Lepton Mixing Angles strikingly different

implications for quark-lepton unification?

(Lisa Everett talk)

- Majorana or Dirac
- Overall neutrino mass scale
(power law (HDO) vs exponential suppression)
- Mass hierarchies (NH, IH, degenerate, comparison with quark and ℓ^\pm)
- Lightest mass (cosmology)
- Mixing angles (anarchy or symmetry?)
- CP violation

Majorana or Dirac

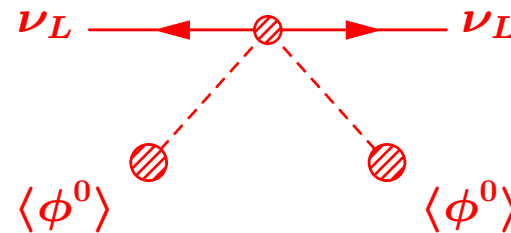
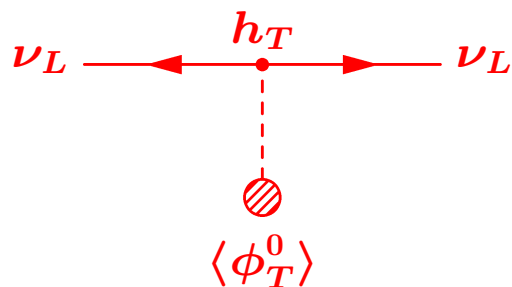
- No distinction except mass (or new interactions)
- Start with Weyl 2-component
 - ν_L active (doublet) neutrino, $\nu_R^c =$ active antineutrino
($\nu_L \xrightarrow{CP} \nu_R^c$)
 - Possible sterile: $\nu_R \xrightarrow{CP} \nu_L^c$

Majorana mass

$$-\mathcal{L}_T = \frac{m_T}{2} (\bar{\nu}_L \nu_R^c + \bar{\nu}_R^c \nu_L) = \frac{m_T}{2} (\bar{\nu}_L \mathcal{C} \bar{\nu}_L^T + \nu_L^T \mathcal{C} \nu_L) = \frac{m_T}{2} \bar{\nu}_M \nu_M$$

with ν_L active (doublet) neutrino, $\nu_R^c =$ active antineutrino
 $(\nu_L \xrightarrow{CP} \nu_R^c)$, $\nu_M \equiv \nu_L + \nu_R^c$

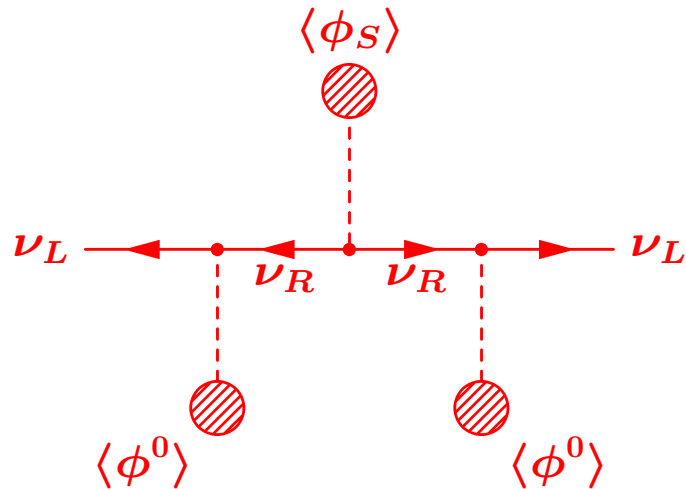
- $|\Delta L| = 2$; $|\Delta t_L^3| = 1$ (Higgs triplet or higher dimensional (Weinberg) operator)



- Not forbidden by any unbroken gauge symmetry
 - (Probably) L must be violated, *but*
 - [non-gravity: large 126 of $SO(10)$ or HDO added by hand]
 - [gravity: $m_\nu \lesssim \nu_{EW}^2 / \overline{M}_P \sim 10^{-5}$ eV (unless LED); often much smaller]
 - New TeV or string scale physics/symmetries/constraints may invalidate assumptions
 - [No 126 in string-derived $SO(10)$]
- Connection with leptogenesis (P. Di Bari talk)
- Naturally small by HDO (Weinberg op) if \mathcal{M} large ($\nu = \langle \phi^0 \rangle$):

$$\mathcal{L} \sim \frac{h^2}{\mathcal{M}} LH_u LH_u \rightarrow m_T \sim h^2 \nu^2 / \mathcal{M}$$

- Minimal Type I seesaw: $m_T \sim m_D^2/\mathcal{M} \ll m_D$, $m_D \sim h\nu$



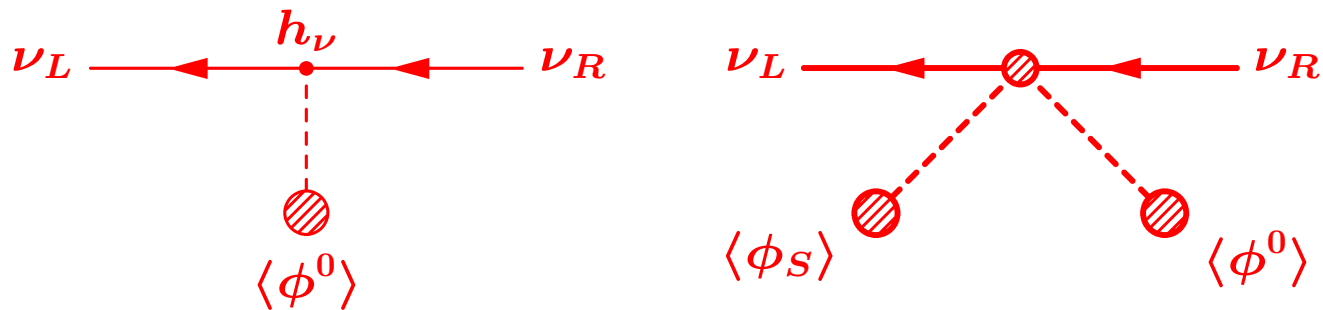
- Bottom-up alternatives: Higgs (or fermion) triplets, extended (TeV) seesaws, loops, R_p violation

Dirac mass

$$-\mathcal{L}_D = m_D (\bar{\nu}_L \nu_R + \bar{\nu}_R \nu_L) = m_D \bar{\nu}_D \nu_D$$

$\nu_L \xrightarrow{CP} \nu_R^c$ is active; $\nu_R \xrightarrow{CP} \nu_L^c$ is sterile; $\nu_D = \nu_L + \nu_R$

- $\Delta L = 0$; $|\Delta t_L^3| = \frac{1}{2}$ (Higgs doublet, $m_D \sim h_\nu \nu$)



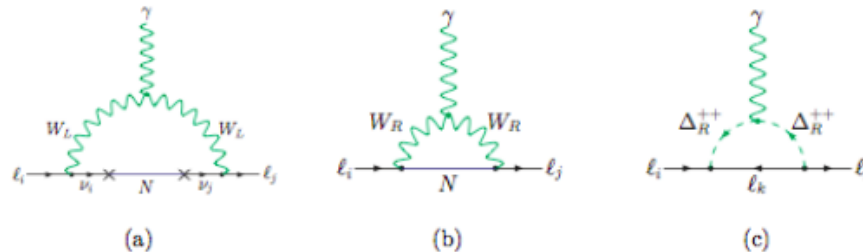
- Can be small if suppressed by symmetry ($m_D \sim h_\nu \nu \langle \phi_S \rangle / \mathcal{M}$)

Overall neutrino mass scale

- Simplest possibility: (Majorana) seesaw with $m_D \sim m_t$ and $\mathcal{M} \sim 10^{14}$ GeV (near GUT scale)
- However, can have smaller m_D and \mathcal{M} , e.g., $m_D \sim m_e$ and $\mathcal{M} = \mathcal{O}(\text{TeV})$, allowing for LHC physics
 - e.g., LFV or lepton number violation
 - e.g., SUSY or left-right model (Mohapatra talk)

Lepton Flavor violation etc

▪ $\mu \rightarrow e + \gamma$



- **String-motivated alternatives**

- **Higher-dimensional operators (HDO)**

- (cf, Froggatt-Nielsen, but for scale)

- [non-minimal seesaw (not GUT-like), direct Majorana (Weinberg op);

- small Dirac (e.g., $U(1)'$ or non-holomorphic soft);

- mixed (LSND, MiniBooNE)]

- **String instantons (exponential suppressions)**

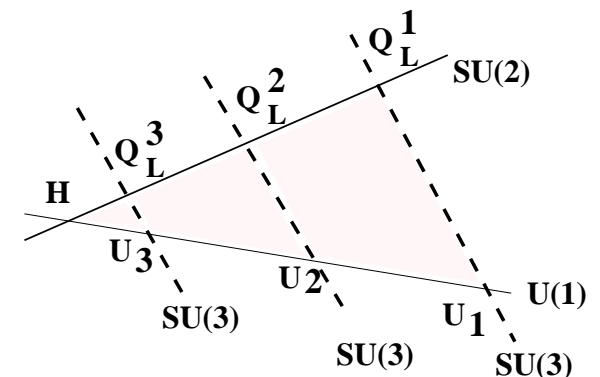
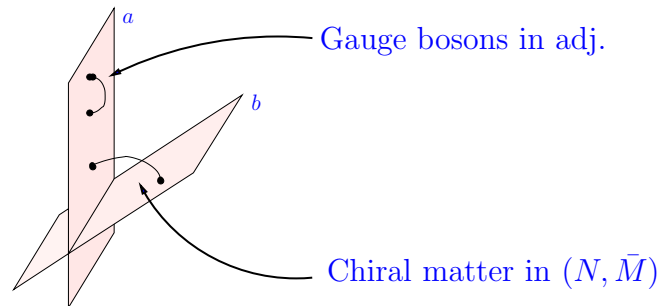
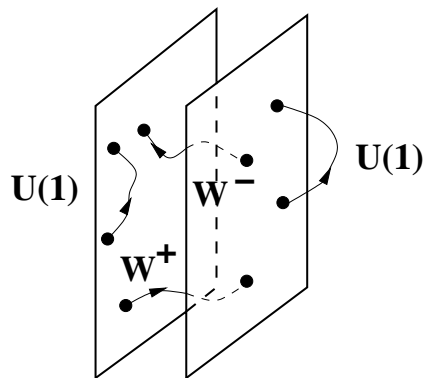
- [non-minimal seesaw, direct Majorana, small Dirac]

- **Geometric suppressions (large/warped dimensions)** [small Dirac]

- **Alternatives often associated with new TeV physics, electroweak baryogenesis, etc.**

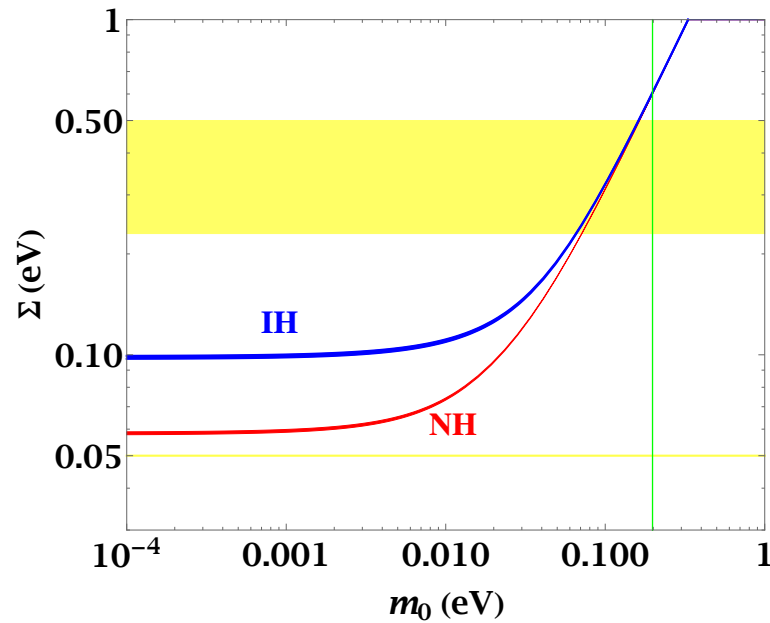
Mass hierarchies

- Smaller for neutrinos than quarks and ℓ
- Order n of HDO $(\langle \phi_S \rangle / \mathcal{M})^n$ (Froggatt-Nielson)
(field theory symmetry or stringy operators)
- Geometric: e^{-A} where A is area of intersecting branes triangle in extra dimensions



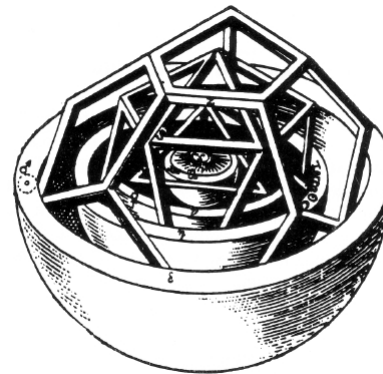
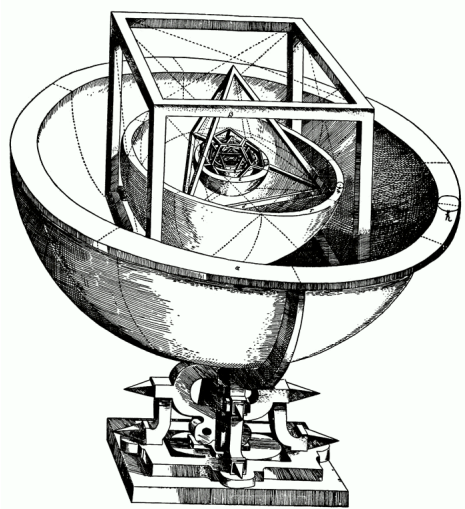
Lightest mass

- Constrained by and critical for cosmology (Raffelt talk)
- Direct measurements (S. Mertens talk)



Mixing angles and CP violation

- Family symmetries
 - Discrete non-abelian, e.g., leading to tri-bimaximal mixing (motivation weakened by $\theta_{13} \neq 0$ and possible non-maximal θ_{23})
 - Parameter correlations (sum rules)
 - Continuous global/gauge, e.g., Froggatt-Nielsen
 - Possible special string vacua



- **Anarchy**
 - **Consistent with observed angles**
 - **General string landscape** (e.g., windings of branes in extra dimensions)
- **CP violation: possible/probable in all/most models**
(typical of QM for complicated systems)
- **Complication: Cabibbo haze**

Possible sterile neutrinos

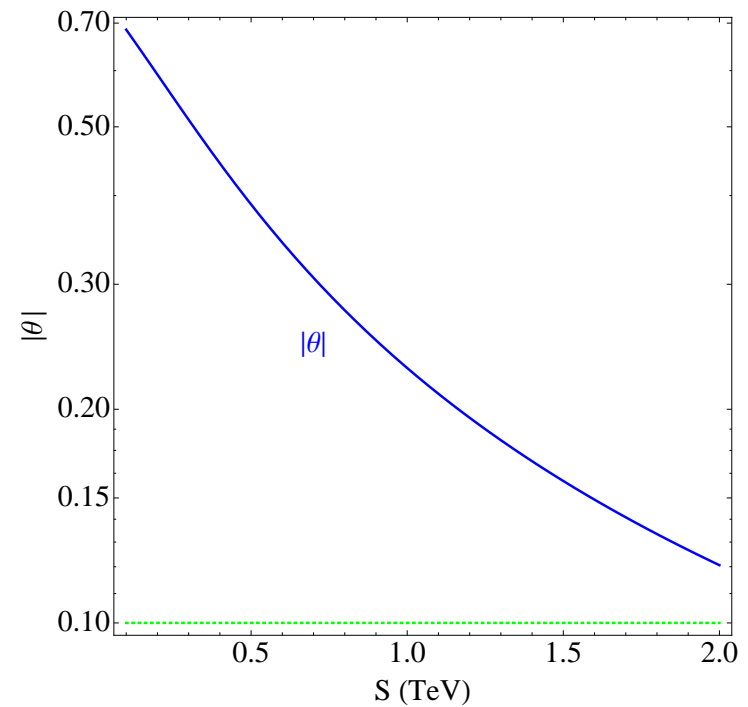
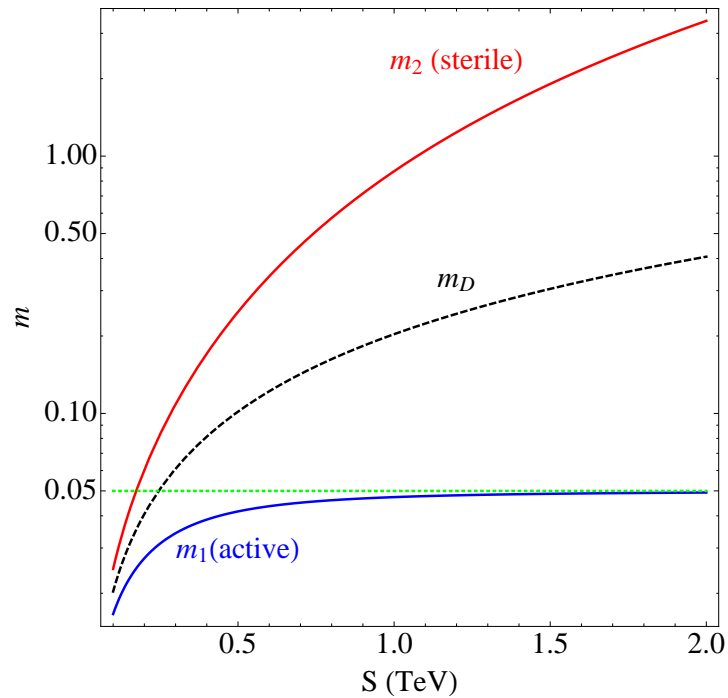
- Talks by B. Louis, S-B. Kim, K. Heeger
- Active mixing with eV-sterile suggested by LSND, MiniBooNE, gallium anomaly, reactor anomaly (however, reactor recalibration of energy spectrum has large uncertainties, from forbidden transitions and from “bump”; A. Hayes talk)
- Tension with ν_μ disappearance
- $3 + N$ schemes, especially $N > 1$ for CP violation ($N + 3, 1 + 3 + 1$, etc, more problematic cosmologically)
- Decaying keV steriles with tiny mixing may be warm dark matter/pulsar kick candidates (3.5 keV line; M. Lowenstein talk)
- Sterile neutrinos expected in most models

- However, mixing of active and sterile neutrinos of same helicity is difficult
 - Pure Majorana masses: no active-sterile coupling
 - Pure Dirac masses: conserved L ; no $\nu_L - \nu_L^c$ mixing
 - Normal seesaw with large \mathcal{M} : mass too large, mixing too small
- Need tiny Majorana mass for sterile *and* tiny Dirac mass *and* non-thermalized cosmology (G. Raffelt talk) \Rightarrow major paradigm shift
- Likely implication: both Dirac and Majorana couplings vanish to lowest order by new symmetry, and arise from HDO

$$\mathcal{L} \sim \frac{S^p}{\mathcal{M}^p} LH_u \nu_L^c, \quad \frac{S^{q+1}}{\mathcal{M}^q} \nu_L^c \nu_L^c, \quad \frac{S^{r-1}}{\mathcal{M}^r} LH_u LH_u$$

For $p = q = r = 1$ can obtain approximate suggested scales for $\langle S \rangle \sim \text{TeV}$ and $\mathcal{M} \sim 10^{14} \text{ GeV}$ (i.e., new physics at TeV scale and high scale)

- Special case: no $LH_u LH_u$ term (many authors): mini-seesaw



$$M = \begin{pmatrix} 0 & \Gamma_D \frac{\nu S}{\mathcal{M}} \\ \Gamma_D \frac{\nu S}{\mathcal{M}} & \Gamma_S \frac{S^2}{\mathcal{M}} \end{pmatrix}$$

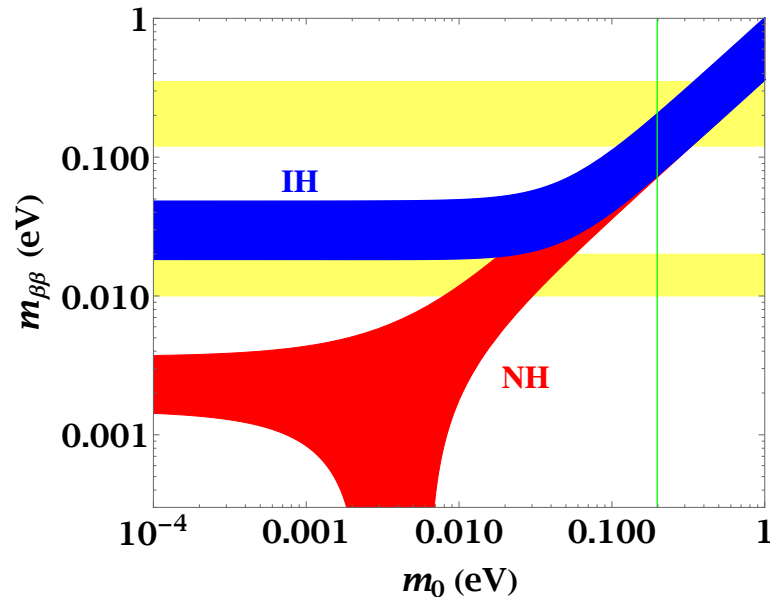
$$\Gamma_D = \Gamma_S = 1$$

$$\mathcal{M} = 1.2 \times 10^{15} \text{ GeV}$$

$$\nu = 246 \text{ GeV}$$

Neutrinoless double beta decay

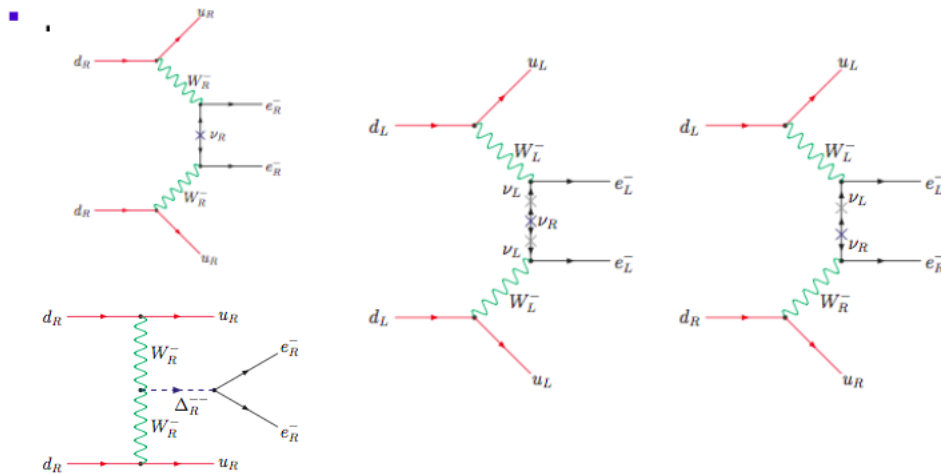
- Talks by S. Petcov, S. Elliott, T. Banks
- Three active neutrinos



- Planned experiments may ultimately cover full IH range, confirming Majorana (or excluding if IH known)

- Significant NME uncertainties (needed to extract $m_{\beta\beta}$ and to discriminate light Majorana from other mechanisms)
- eV-scale sterile ν allow entire $m_{\beta\beta}$ range (e.g., zero in mini-seesaw)
- Other mechanisms (e.g., left-right model, Mohapatra talk)

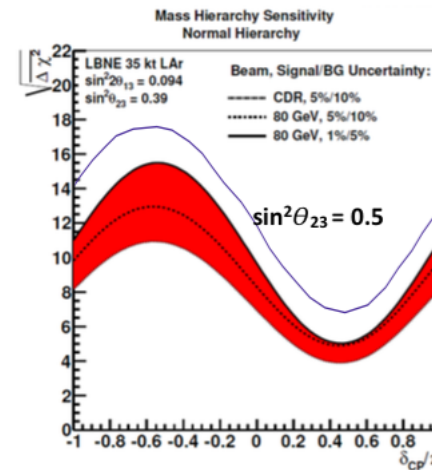
New contributions to $\beta\beta_{0\nu}$



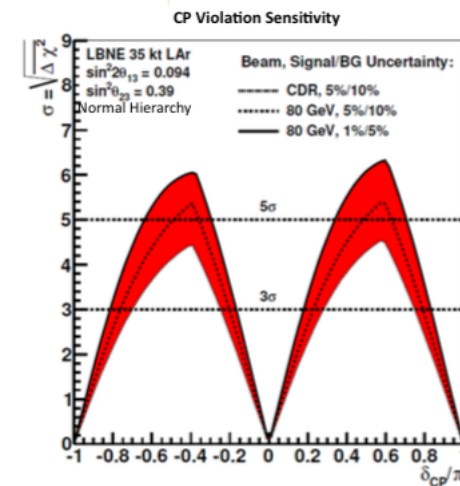
Long baseline/atmospheric

- Talks by J. Learned, T. DeYoung, R. Gandhi, E. Noah, S. Pascoli, M. Diwan
- Determine hierarchy and CP violation
- L ; 1^{st} , 2^{nd} max; ND
- Precision measurements
- Test 3ν scheme
- Non standard interactions (NSI), supernova, proton decay, cross sections, $\sin^2 \theta_W$

median sensitivity to reject IH



Sensitivity



(M. Diwan talk)

Exotica (subdominant)

- Non-standard interactions
- ν decays
- Large elm moments (G. Raffelt)
 - Expect $\mathcal{O}(10^{-20} \mu_B)$
 - Stellar cooling $\lesssim 4.5 \times 10^{-12} \mu_B$
 - Theory arguments (for Dirac) $\lesssim 10^{-15} \mu_B$ (or fine-tuning)
 - Spin-flavor precession \Rightarrow Solar ν_R^c
- Mass variation
- Lorentz, CPT , or equivalence principle violation
- Extra-dimensional effects

Astrophysics/cosmology

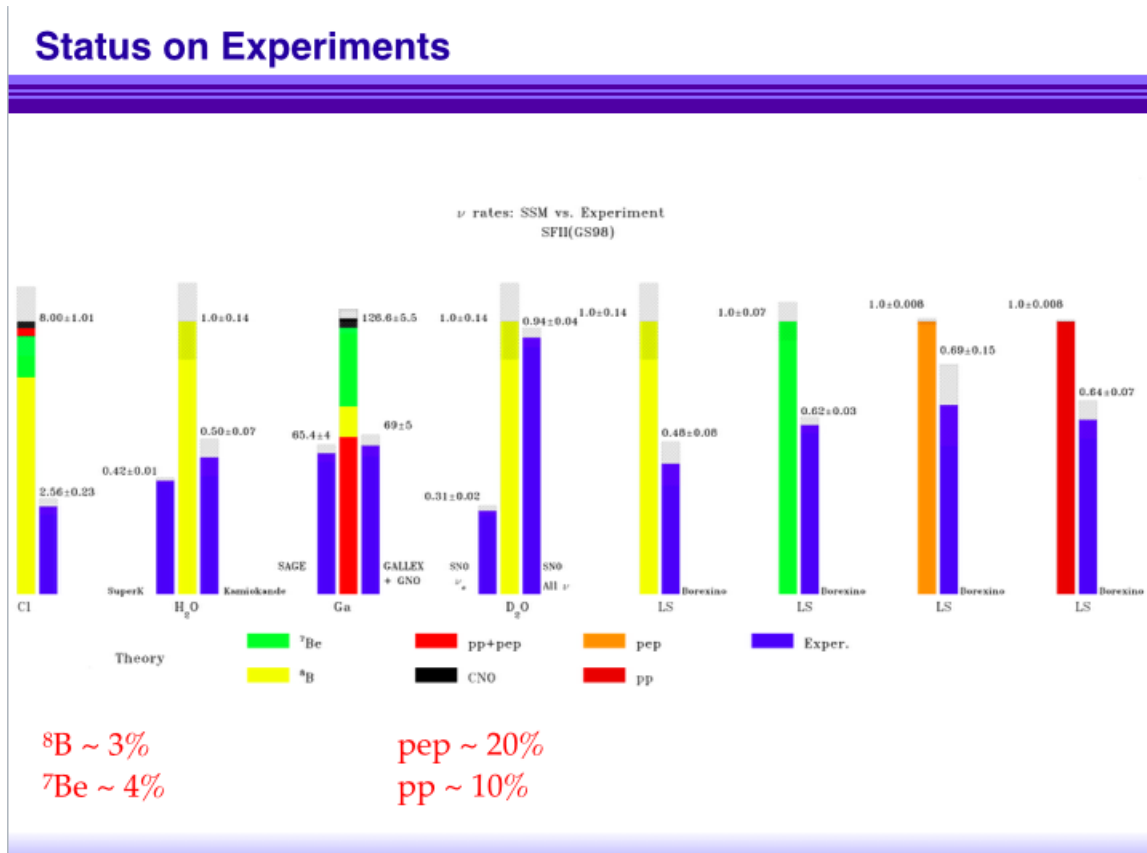
- Relic neutrinos
- Solar neutrinos
- BBN/CMB
- Leptogenesis
- Core collapse supernovae
- Cosmic neutrinos

Relic neutrinos

- P. Vogel talk
- Left over from ν decoupling at few MeV (~ 1 s)
- Indirect tests in BBN, CMB, but no direct observation
- Expect $\sim 3 \times 112/\text{cm}^3$ with $T_\nu \sim 1.94\text{K} = 1.67 \times 10^{-4}$ eV
(possible local enhancement)
- Extremely hard to detect, but $\nu_e T \rightarrow e^- {}^3\text{He}$ conceivable
($2m_\nu$ above endpoint)
- Test non-standard cosmology (e.g., T_ν/T_γ), Dirac vs Majorana

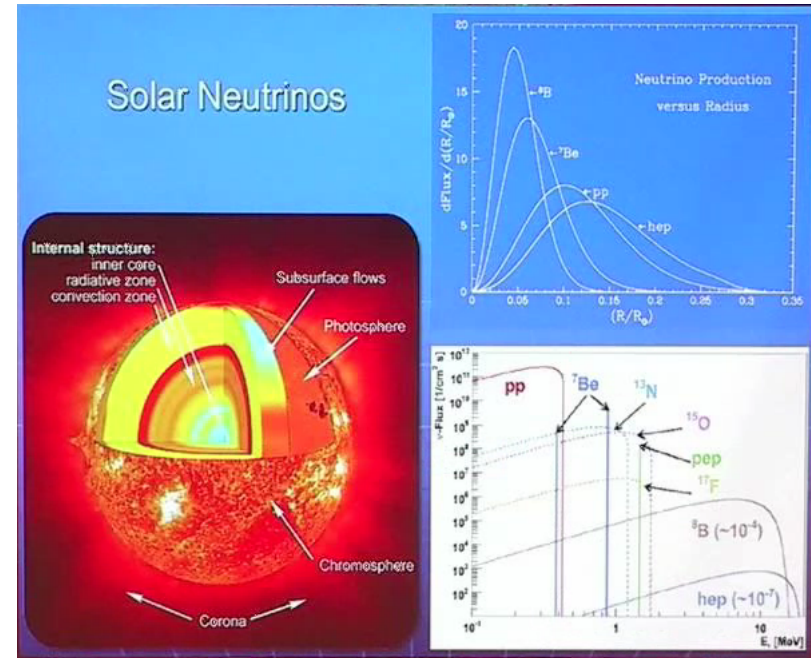
Solar neutrinos

- Talks by B. Vogelaar, A. Serenelli



(Serenelli talk)

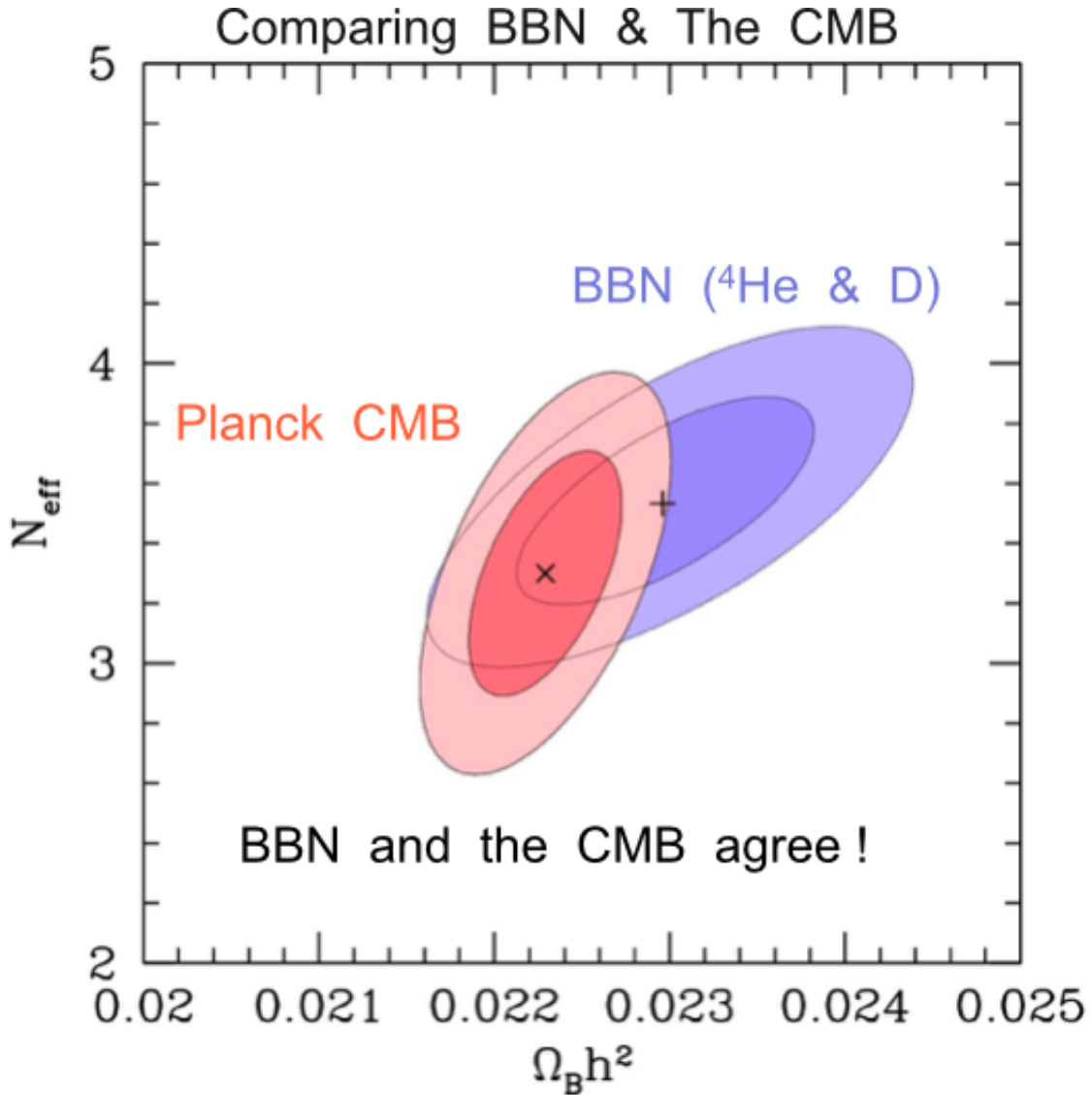
- Beautiful confirmation of Solar and neutrino physics
- Most components measured
- However, recent 3d modeling of Solar atmosphere yields lower metallicity than helioseismology (CN neutrinos could resolve)
- Position of MSW rise (e.g., steriles, mass-variation, NSI)
- Time variability, etc.



(Vogelaar talk)

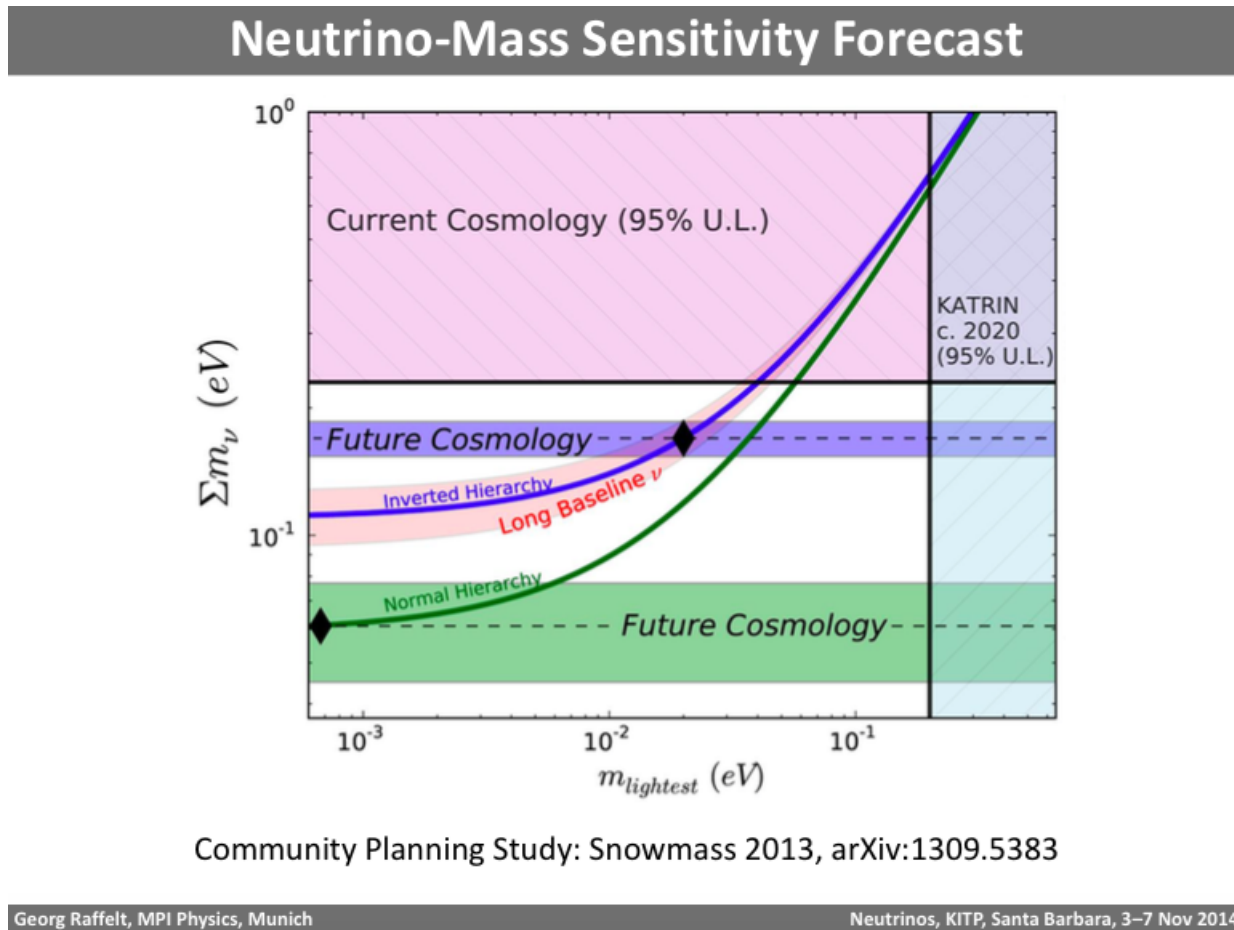
BBN/CMB

- Talks by G. Steigman, G. Raffelt
- Extra radiation density can be parametrized by number $\Delta N_\nu = N_{eff} - 3.046$ of fully-populated equivalent neutrinos. Modifies expansion rate for BBN, CMB
- Neutrinos free stream, damping small scale structure
- CMB and BBN data consistent with $\Delta N_\nu = 0$ or 1



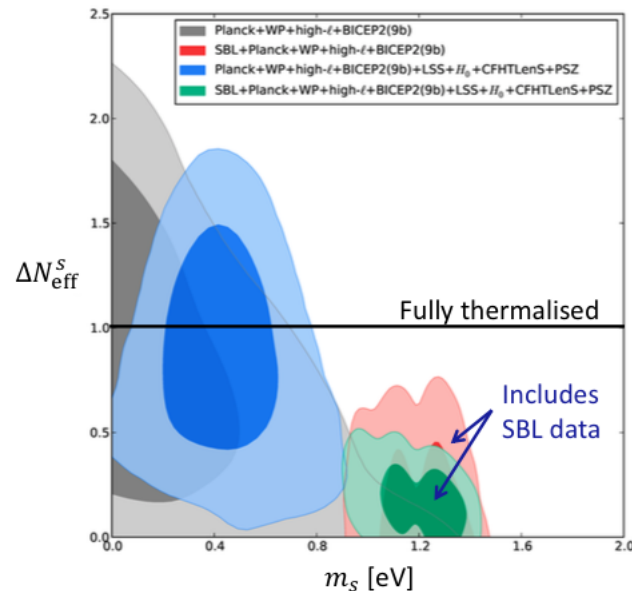
(Steigman talk)

- Present/future constraints on $\Sigma = \sum_i m_{\nu_i}$, including thermal sterile (CMB + BAO: $\Sigma < 0.23$ eV (95% cl), but caveats)



- $3 + 1$ not consistent with full thermal density (expected for SBL mixings); worse for other schemes
- Can reduce N_{eff} by new interactions, ν asymmetries, etc.

Constraints on Light Sterile Neutrinos



Sterile neutrinos with parameters favored by short-baseline (SBL) experiments are in conflict with cosmology (complete thermalization)

But thermalization could be suppressed (matter effect from strong interactions among sterile nus or asymmetries among active nus)
 [arXiv:1303.5368, 1310.5926, 1310.6337, 1404.5915, 1410.1385]

Archidiacono, Fornengo, Gariazzo, Giunti, Hannestad, Laveder, arXiv:1404.1794

Leptogenesis

- P. Di Bari, S. Pascoli, R. Mohapatra talks
- Elegant idea
- High scale seesaw
 - In general, too many parameters to determine from low energy
 - Specific flavor schemes can lead to constraints/predictions for low scale neutrino physics
(e.g., NH with $|\sin \theta_{13} \sin \delta| > 0.11$ (Pascoli et al))
- Alternatives: low-scale seesaw; electroweak baryogenesis (new TeV-scale physics), Affleck-Dine (color-charge breaking in early universe), ...

Core collapse supernovae

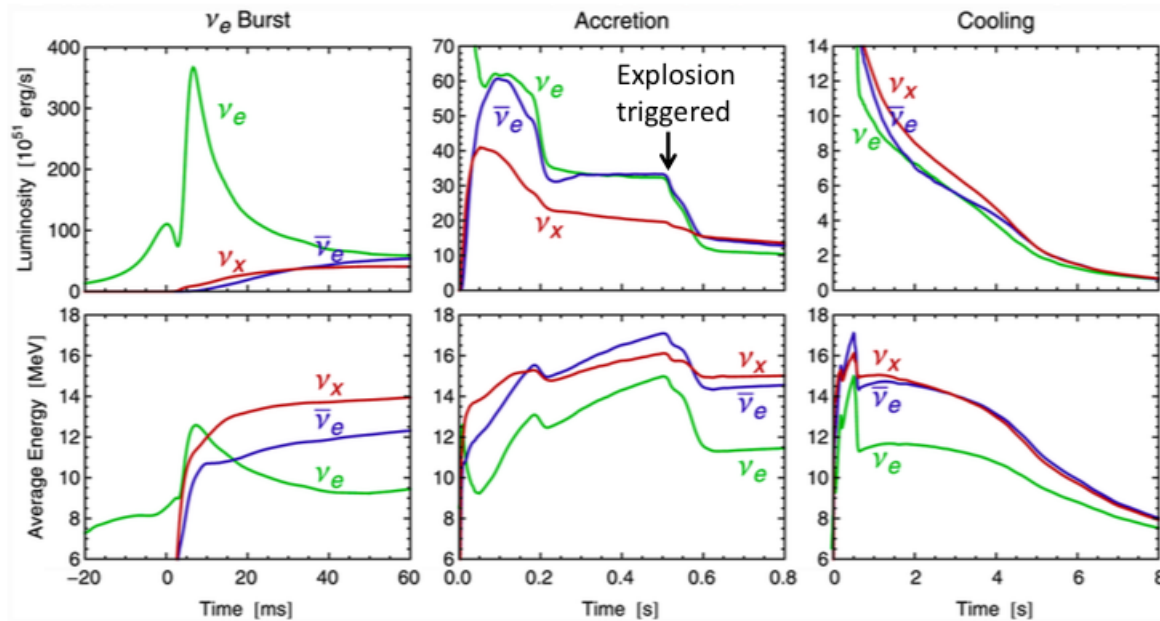
- Talks by C. Fryer, A. Friedland, K. Scholberg, G. Raffelt
- Tremendous amount of ν physics, supernova dynamics, and other physics (cf 1987A)

Tracing neutrinos back

- ◊ Vacuum oscillations over $O(10 \text{ kpc})$
 - ◊ Possible matter effect in the Earth
- ◊ "Solar" MSW in the outer envelope of the progenitor
- ◊ "Atmospheric" MSW in the outer envelope of the progenitor
- ◊ Turbulent region behind the shock
- ◊ Collective oscillations near the neutrino-sphere
- ◊ This is schematic, the order of some of these ingredients could be interchanged, depending on the progenitor mass, stage of the explosion

(Friedland talk)

Three Phases of Neutrino Emission



- Shock breakout
- De-leptonization of outer core layers

- Shock stalls ~ 150 km
- Neutrinos powered by infalling matter

Cooling on neutrino diffusion time scale

Spherically symmetric Garching model ($25 M_{\odot}$) with Boltzmann neutrino transport

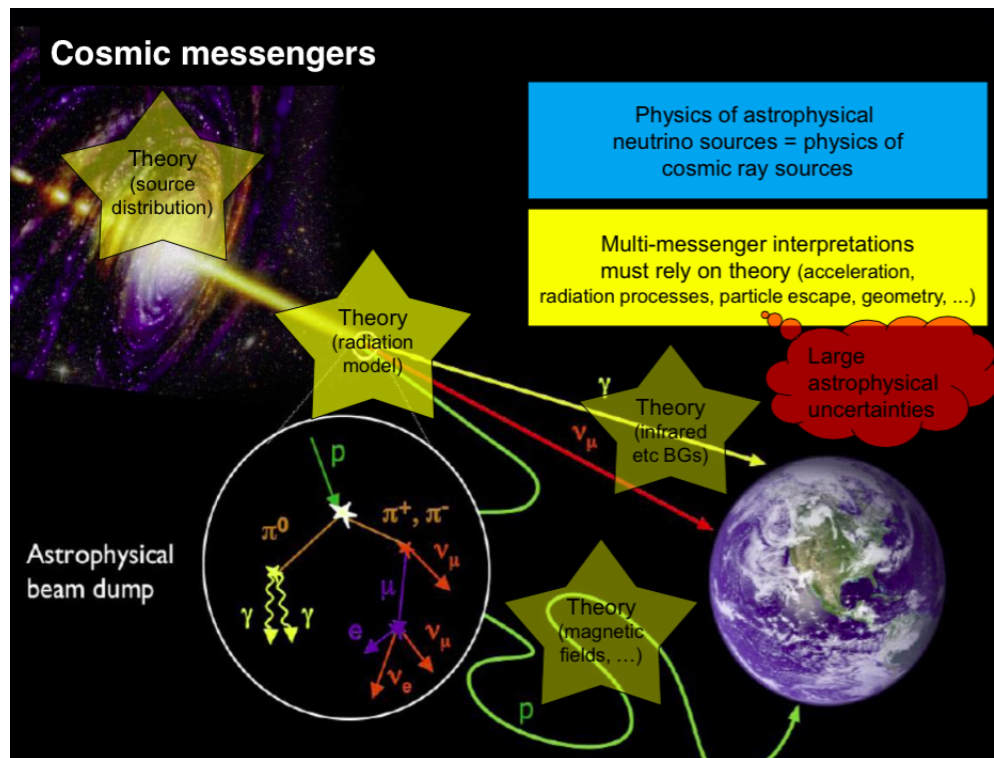
Georg Raffelt, MPI Physics, Munich

Neutrinos, KITP, Santa Barbara, 3–7 Nov 2014

- However, incompetent scheduling committee
- Need detectors to run for many years; supernova watch

Cosmic neutrinos

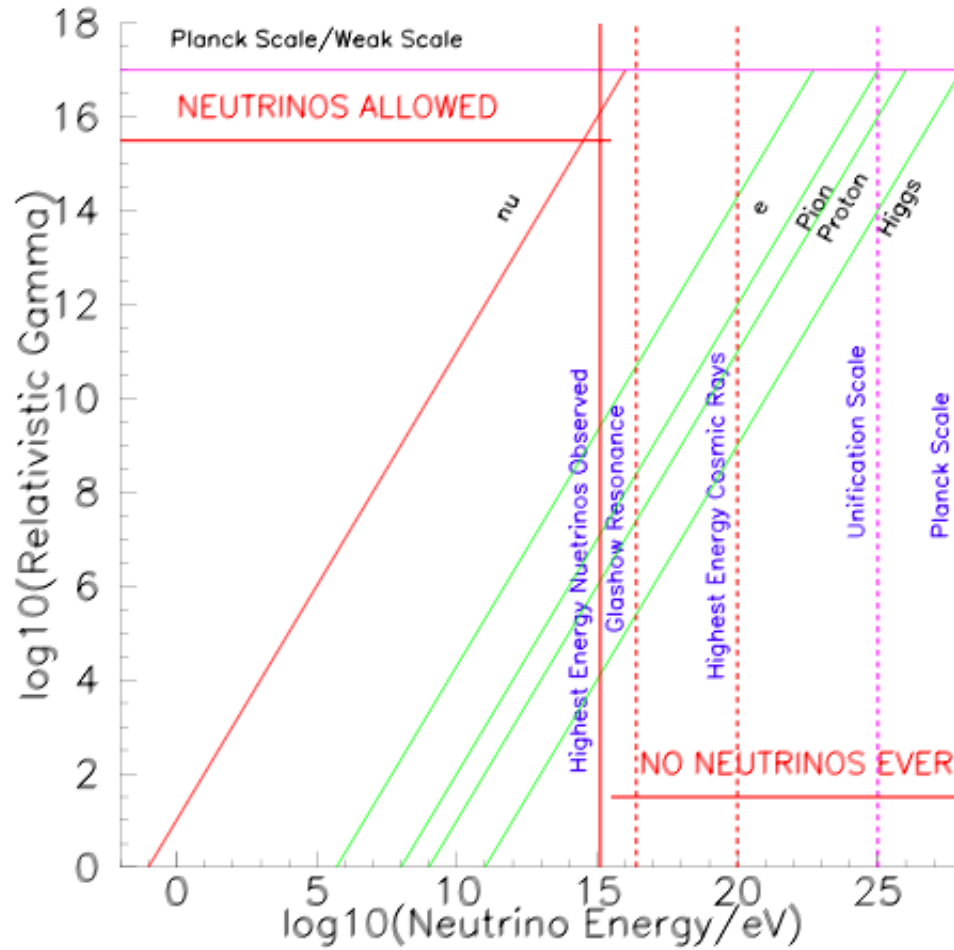
- Talks by F. Halzen, C. Kopper, W. Winter, T. Weiler



(Winter talk)

- IceCube: 36(+1) events with $50 \lesssim E_\nu \lesssim 2000 \text{ TeV}$ (2 PeV)
- Not GZK ($p + \gamma_{CMB} \rightarrow \Delta^+ \rightarrow \pi^+ n$), GRB, atm, μ
- Sources unknown (AGN?, starburst galaxies?)
- Need directions, spectral shapes, flavors, anisotropies; consistent study with p and γ (Winter)
- Why no events above 2 PeV? Why no Glashow resonance ($\bar{\nu}_e e^- \rightarrow W^-$ at 6.3 PeV)? (Weiler)
 - Fluctuation?
 - Stabilization of π and n above 10^{15} eV (LIV)

The End of the Neutrino Spectrum



(Learned and Weiler, 1407.0739)

Conclusions

- Neutrinos are interesting and important on scales from 10^{-33} to 10^{+28} cm
- Thanks to Graciela, Danny, Sandip, the KITP, and the KITP staff